

# TUTORIAL

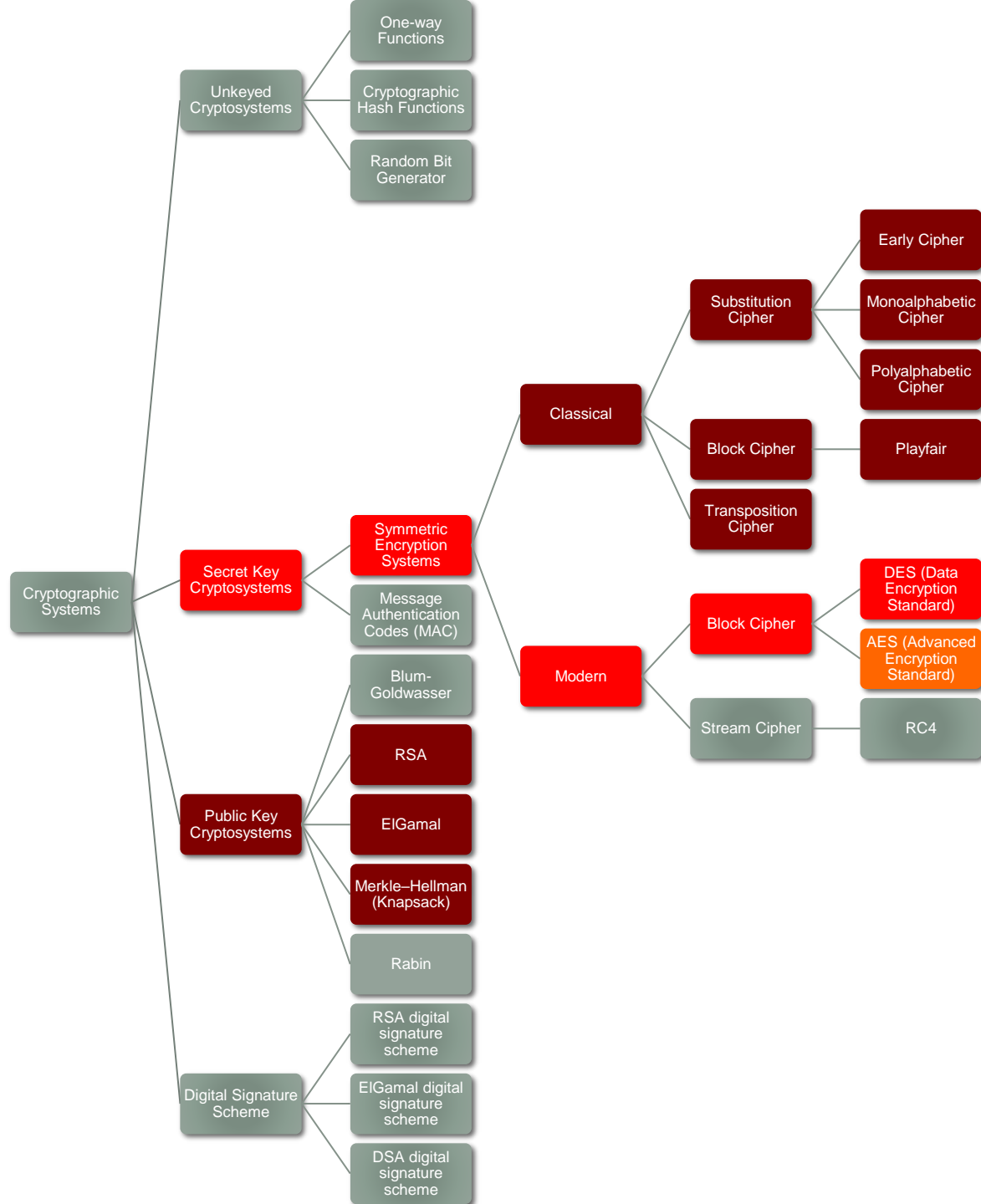
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CSCI361 – Computer Security

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# AES (ADVANCED ENCRYPTION STANDARD)

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# AES

- AES is a block cipher with a block length of 128 bits and a variable key length of 128, 192, or 256 bits.
- The number of rounds depends on the key length (i.e., 10, 12, or 14 rounds).

	Block Length	Key Length	Number of rounds
AES-128	4	4	10
AES-192	4	6	12
AES-256	4	8	14

# AES

- AES operates on a two-dimensional array  $s$  of bytes, called the *State*.
- The State consists of 4 rows and  $N_b$  columns (where  $N_b$  is the block length divided by 32).
- In the current AES specification,  $N_b$  is always 4 (for all official versions of the AES). Note, however, that this need not be the case and that there may be future versions of the AES that work with larger values for  $N_b$ .

# AES

- Each entry in the State refers to a byte  $s_{r,c}$  or  $s[r, c]$  (where  $0 \leq r < 4$  refers to the row number and  $0 \leq c < 4$  refers to the column number).
- With  $N_b = 4$  (current specification), each state has 16 bytes.

# AES

## Encryption:

- At the start of the encryption process, the 16 input bytes are copied into the state  $s$ .
- An initial application of the add-round-key transformation is applied to the state  $s$ .
- Next 10, 12, or 14 round-function are applied to the state  $s$ , with a final round that slightly differs from the previous  $N_r - 1$  rounds (i.e., the final round does not include a MixColumns() transformation).
- The content of the State is finally taken to represent the output of the AES encryption algorithm.

# AES

AES encryption algorithm:

(in)

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```
s ← in
s ← AddRoundKey(s, w[0, Nb - 1])
for r=1 to (Nr - 1) do
    s ← SubBytes(s)
    s ← ShiftRows(s)
    s ← MixColumns(s)
    s ← AddRoundKey(s, w[rNb, (r + 1)Nb - 1])
s ← SubBytes(s)
s ← ShiftRows(s)
s ← AddRoundKey(s, w[Nr Nb, (Nr + 1)Nb - 1])
out ← s
```

---

(out)



# AES

## Decryption:

- The transformations used by the AES encryption algorithm can be inverted and implemented in reverse order to produce a straightforward AES decryption algorithm.
- The individual transformations used in the AES decryption algorithm are called `InvShiftRows()`, `InvSubBytes()`, `InvMixColumns()`, and `AddRoundKey()`.
- Since the add-round-key transformation involves a bitwise addition modulo 2, the add-round-key function is its own inverse. Also, note that the `SubBytes()` and `ShiftRows()` transformations commute, and that this is also true for their inverse `InvSubBytes()` and `InvShiftRows()` transformations.

# AES

AES decryption algorithm:

(in)

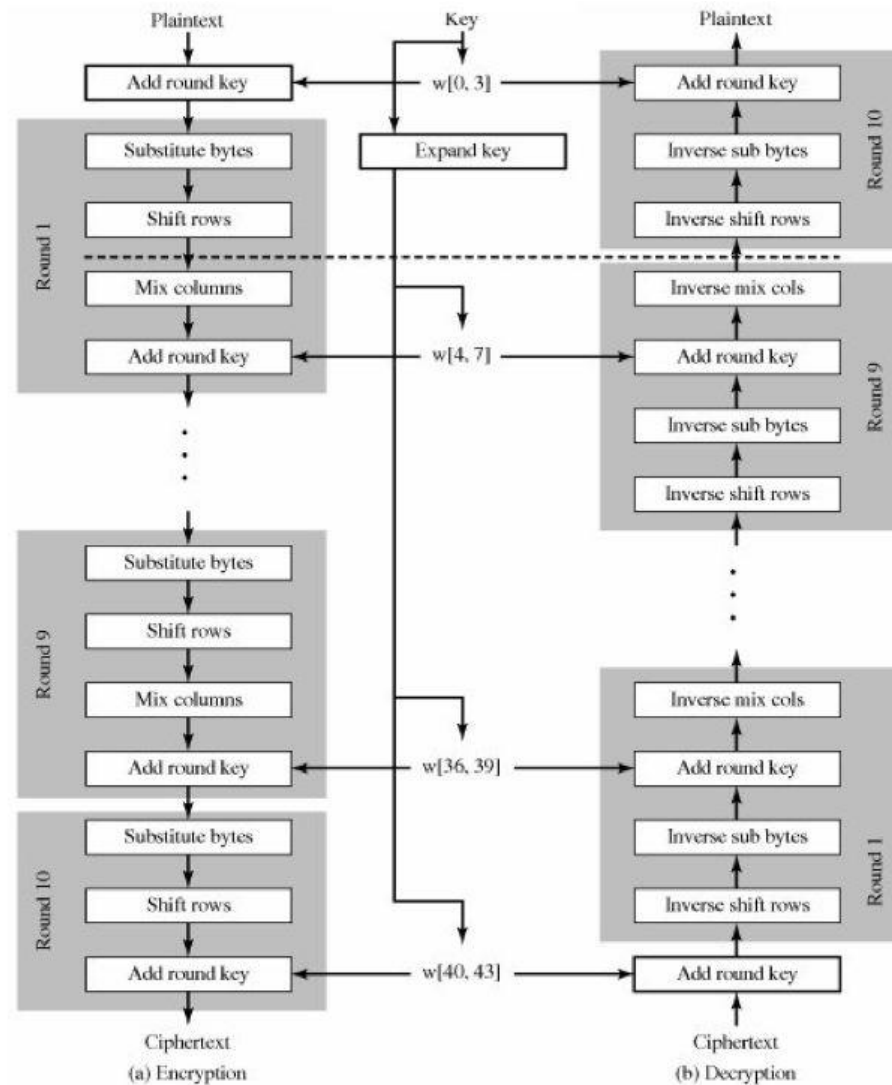
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```
s ← in
s ← AddRoundKey(s, w[Nr Nb , (Nr + 1)Nb - 1])
for r = Nr - 1 downto 1 do
    s ← InvShiftRows(s)
    s ← InvSubBytes(s)
    s ← AddRoundKey(s, w[rNb, (r + 1)Nb - 1])
    s ← InvMixColumns(s)
s ← InvShiftRows(s)
s ← InvSubBytes(s)
s ← AddRoundKey(s, w[0, Nb - 1])
out ← s (out)
```

---

(out)

# AES



# AES Stages

The four AES's invertible operations:

1. Byte substitution (S-box, 8-bit to 8-bit).
2. Shift row (rotating order of bytes in each row).
3. Mix column (linear mixing of a word column).
4. Key mixing (addition).

# BYTE SUBSTITUTION (SUB-BYTE TRANSFORMATION)

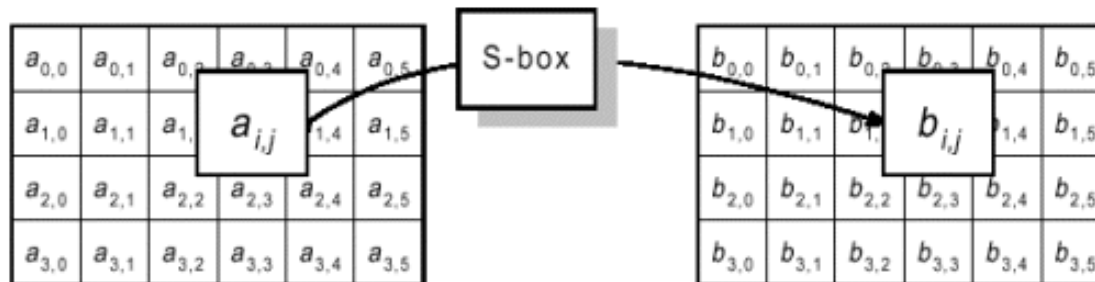
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# Substitute Bytes Transformation

- The byte substitution is a fixed S box from 8 bits (**x**) to 8 bits (**y**) or 16 x 16 matrix.
- It substitutes all the bytes of the input according to the following matrix:

$y=S[x] = \{$   
99, 124, 119, 123, 242, 107, 111, 197, 48, 1, 103, 43, 254, 215, 171, 118,  
202, 130, 201, 125, 250, 89, 71, 240, 173, 212, 162, 175, 156, 164, 114,  
192,  
183, 253, 147, 38, 54, 63, 247, 204, 52, 165, 229, 241, 113, 216, 49, 21,  
4, 199, 35, 195, 24, 150, 5, 154, 7, 18, 128, 226, 235, 39, 178, 117,  
9, 131, 44, 26, 27, 110, 90, 160, 82, 59, 214, 179, 41, 227, 47, 132,  
83, 209, 0, 237, 32, 252, 177, 91, 106, 203, 190, 57, 74, 76, 88, 207,  
208, 239, 170, 251, 67, 77, 51, 133, 69, 249, 2, 127, 80, 60, 159, 168,  
81, 163, 64, 143, 146, 157, 56, 245, 188, 182, 218, 33, 16, 255, 243, 210,  
205, 12, 19, 236, 95, 151, 68, 23, 196, 167, 126, 61, 100, 93, 25, 115,  
96, 129, 79, 220, 34, 42, 144, 136, 70, 238, 184, 20, 222, 94, 11, 219,  
224, 50, 58, 10, 73, 6, 36, 92, 194, 211, 172, 98, 145, 149, 228, 121,  
231, 200, 55, 109, 141, 213, 78, 169, 108, 86, 244, 234, 101, 122, 174, 8,  
186, 120, 37, 46, 28, 166, 180, 198, 232, 221, 116, 31, 75, 189, 139, 138,  
112, 62, 181, 102, 72, 3, 246, 14, 97, 53, 87, 185, 134, 193, 29, 158,  
225, 248, 152, 17, 105, 217, 142, 148, 155, 30, 135, 233, 206, 85, 40, 223,  
140, 161, 137, 13, 191, 230, 66, 104, 65, 153, 45, 15, 176, 84, 187, 22  
}:

# Substitute Bytes Transformation



Each byte at the input of a round undergoes a non-linear byte substitution according to the following transform:

$$\begin{bmatrix} y_0 \\ y_1 \\ y_2 \\ y_3 \\ y_4 \\ y_5 \\ y_6 \\ y_7 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} x_0 \\ x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \\ x_6 \\ x_7 \end{bmatrix} + \begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \end{bmatrix}$$

Substitution ("S")-box

# AES S-box (in Hexadecimal)


	0	1	2	3	4	5	6	7	8	9	a	b	c	d	e	f
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---
00	63	7c	77	7b	f2	6b	6f	c5	30	01	67	2b	fe	d7	ab	76
10	ca	82	c9	7d	fa	59	47	f0	ad	d4	a2	af	9c	a4	72	c0
20	b7	fd	93	26	36	3f	f7	cc	34	a5	e5	f1	71	d8	31	15
30	04	c7	23	c3	18	96	05	9a	07	12	80	e2	eb	27	b2	75
40	09	83	2c	1a	1b	6e	5a	a0	52	3b	d6	b3	29	e3	2f	84
50	53	d1	00	ed	20	fc	b1	5b	6a	cb	be	39	4a	4c	58	cf
60	d0	ef	aa	fb	43	4d	33	85	45	f9	02	7f	50	3c	9f	a8
70	51	a3	40	8f	92	9d	38	f5	bc	b6	da	21	10	ff	f3	d2
80	cd	0c	13	ec	5f	97	44	17	c4	a7	7e	3d	64	5d	19	73
90	60	81	4f	dc	22	2a	90	88	46	ee	b8	14	de	5e	0b	db
a0	e0	32	3a	0a	49	06	24	5c	c2	d3	ac	62	91	95	e4	79
b0	e7	c8	37	6d	8d	d5	4e	a9	6c	56	f4	ea	65	7a	ae	08
c0	ba	78	25	2e	1c	a6	b4	c6	e8	dd	74	1f	4b	bd	8b	8a
d0	70	3e	b5	66	48	03	f6	0e	61	35	57	b9	86	c1	1d	9e
e0	e1	f8	98	11	69	d9	8e	94	9b	1e	87	e9	ce	55	28	df
f0	8c	a1	89	0d	bf	e6	42	68	41	99	2d	0f	b0	54	bb	16



# Substitute Bytes Transformation

Example of the SubBytes transformation

<b>EA</b>	<b>04</b>	<b>65</b>	<b>85</b>
<b>83</b>	<b>45</b>	<b>5D</b>	<b>96</b>
<b>5C</b>	<b>33</b>	<b>98</b>	<b>B0</b>
<b>F0</b>	<b>2D</b>	<b>AD</b>	<b>C5</b>



<b>87</b>	<b>F2</b>	<b>4D</b>	<b>97</b>
<b>EC</b>	<b>6E</b>	<b>4C</b>	<b>90</b>
<b>4A</b>	<b>C3</b>	<b>46</b>	<b>E7</b>
<b>8C</b>	<b>D8</b>	<b>95</b>	<b>A6</b>

The hexadecimal value {65} references row 6, column 5 of the S-box, which contains the value {4D}.

# SHIFT ROW TRANSFORMATION

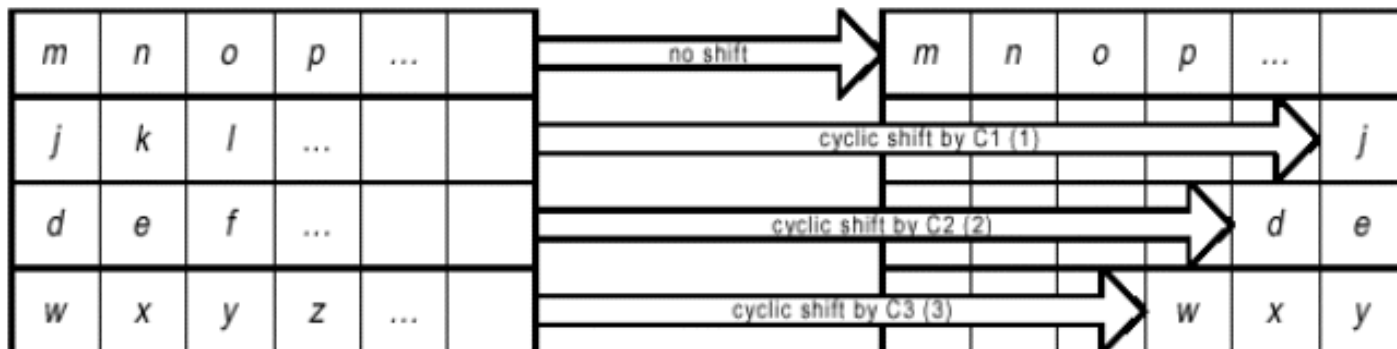
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# ShiftRows Transformation

- The Shift row operation rotates the order of bytes.

Nb	C1	C2	C3
4	1	2	3
6	1	2	3
8	1	3	4


Depending on the block length, each “row” of the block is cyclically shifted according to the above table



# ShiftRows Transformation

Example of ShiftRows transformation

<b>EA</b>	<b>04</b>	<b>65</b>	<b>85</b>
<b>83</b>	<b>45</b>	<b>5D</b>	<b>96</b>
<b>5C</b>	<b>33</b>	<b>98</b>	<b>B0</b>
<b>F0</b>	<b>2D</b>	<b>AD</b>	<b>C5</b>



<b>EA</b>	<b>04</b>	<b>65</b>	<b>85</b>
<b>45</b>	<b>5D</b>	<b>96</b>	<b>83</b>
<b>98</b>	<b>B0</b>	<b>5C</b>	<b>33</b>
<b>C5</b>	<b>F0</b>	<b>2D</b>	<b>AD</b>

- The first row of State is not altered.
- The second row is left-rotate by 1-byte.
- The third row is left-rotate by 2-byte.
- The fourth row is left-rotate by 3-byte.

# MIXCOLUMNS TRANSFORMATION

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# MixColumns Transformation

- Mix column is a linear mixing of a word column (four bytes)  $(a_{i,j}, a_{i,j}, a_{i,j}, a_{i,j})$  into a word column  $(b_{i,j}, b_{i,j}, b_{i,j}, b_{i,j})$ , where  $i$  and  $j = 1, 2, 3$ , and  $4$ . The mixing is defined by operations in the field  $GF(2^8)$ , and can be defined by the following matrix multiplication on State.

$$\begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix} \times \begin{bmatrix} a_{1,1} & a_{1,2} & a_{1,3} & a_{1,4} \\ a_{2,1} & a_{2,2} & a_{2,3} & a_{2,4} \\ a_{3,1} & a_{3,2} & a_{3,3} & a_{3,4} \\ a_{4,1} & a_{4,2} & a_{4,3} & a_{4,4} \end{bmatrix} = \begin{bmatrix} b_{1,1} & b_{1,2} & b_{1,3} & b_{1,4} \\ b_{2,1} & b_{2,2} & b_{2,3} & b_{2,4} \\ b_{3,1} & b_{3,2} & b_{3,3} & b_{3,4} \\ b_{4,1} & b_{4,2} & b_{4,3} & b_{4,4} \end{bmatrix}$$

Coefficient. This is fixed (constant).

State (matrix) before mixcolumn process.

State (matrix) after mixcolumn process.

# MixColumns Transformation

- Each element in the product matrix is the sum of products of elements of one row and one column performed in  $GF(2^8)$ , with the irreducible polynomial  $m(x) = x^8 + x^4 + x^3 + x + 1$  (also known as **prime polynomial**).
- The MixColumns transformation on a single column  $j$  ( $0 \leq j \leq 3$ ) of **State** can be expressed as:

$$S'_{0,j} = (2 \cdot S_{0,j}) \oplus (3 \cdot S_{1,j}) \oplus S_{2,j} \oplus S_{3,j}$$

$$S'_{1,j} = S_{0,j} \oplus (2 \cdot S_{1,j}) \oplus (3 \cdot S_{2,j}) \oplus S_{3,j}$$

$$S'_{2,j} = S_{0,j} \oplus S_{1,j} \oplus (2 \cdot S_{2,j}) \oplus (3 \cdot S_{3,j})$$

$$S'_{3,j} = (3 \cdot S_{0,j}) \oplus S_{1,j} \oplus S_{2,j} \oplus (2 \cdot S_{3,j})$$

# MixColumns Transformation

- An example of MixColumns:

<b>D4</b>	<b>F2</b>	<b>4D</b>	<b>97</b>
<b>BF</b>	<b>4C</b>	<b>90</b>	<b>EC</b>
<b>5D</b>	<b>E7</b>	<b>4A</b>	<b>C3</b>
<b>30</b>	<b>8C</b>	<b>D8</b>	<b>95</b>



<b>04</b>	<b>40</b>	<b>A3</b>	<b>4C</b>
<b>66</b>	<b>D4</b>	<b>70</b>	<b>9F</b>
<b>81</b>	<b>E4</b>	<b>3A</b>	<b>42</b>
<b>E5</b>	<b>A5</b>	<b>A6</b>	<b>BC</b>



# MixColumns Transformation

- Note: in  $GF(2^8)$ ,
    - Addition is the bitwise XOR operation, and
    - multiplication is performed according to the following rule:
      - Multiplication of a value by  $x$ , where  $x = 02$ , can be implemented as a 1-bit left shift followed by a conditional bitwise XOR with  $(0001\ 1011)$  if the leftmost bit of the original value (prior to the shift) is 1.
- (This is because AES uses arithmetic in the finite field  $GF(2^8)$ , with the irreducible polynomial  $m(x) = x^8 + x^4 + x^3 + x + 1$ , and thus  $(100011011)_{\text{bin}} = (11b)_{\text{hex}} = (283)_{\text{dec}}$ .)

MODULAR ARITHMETIC  
VS

MODULAR POLYNOMIAL  
ARITHMETIC

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## Modular arithmetic vs modular polynomial arithmetic

Before we look at the example on mixcolumn, we have a short revision on modular arithmetic.

For example, we want to perform a **two-digit integer** with a **three-digit modulo**. Let the modulo be a prime number 107, we can then do the calculation as follow:

- $70 \bmod 107 = 70$
- $68 \bmod 107 = 68$

Now if we double the integer by multiplying it with 2, we have  $2 \times 70 = 140 \bmod 107 = 33$ . But since we can only perform a two-digit integer modulus with three-digit modulo, how can we do  $140 \bmod 107$ ?

## Modular arithmetic vs modular polynomial arithmetic

What is  $100 \bmod 107$ ?       $100 \bmod 107 = 100$

What is  $-7 \bmod 107$ ?       $-7 \bmod 107 = 100$

Through this observation, we can conclude that  $100 \bmod 107$  congruent  $(100 - 107) \bmod 107$  or  $100 \bmod 107$  congruent  $-7 \bmod 107$ .

Now we can say  $140 \bmod 107$  is equivalent to  $100 \bmod 107 + 40 \bmod 107$ .

We can then substitute  $100 \bmod 107$  with  $-7$ , and we have

$$-7 + 40 \bmod 107 = 33.$$

## Modular arithmetic vs modular polynomial arithmetic

Similarly operation can be done with polynomial in  $\text{GF}(2^8)$ .

*Let  $m(x) = (x^8 + x^4 + x^3 + x + 1)$  and*

$$f(x) = b_7x^7 + b_6x^6 + b_5x^5 + b_4x^4 + b_3x^3 + b_2x^2 + b_1x^1 + b_0$$

**If we multiply  $f(x)$  by  $x$ , we have**

$$x \cdot f(x) = (b_8x^8 + b_7x^7 + b_6x^6 + b_5x^5 + b_4x^4 + b_3x^3 + b_2x^2 + b_1x^1 + b_0x) \bmod m(x)$$

$$\text{where } m(x) = (x^8 + x^4 + x^3 + x + 1)$$

# Modular arithmetic vs modular polynomial arithmetic

*Note :*  $(b_8x^8 + b_7x^7 + b_6x^6 + b_5x^5 + b_4x^4 + b_3x^3 + b_2x^2 + b_1x^1 + b_0x) \bmod m(x)$

*is equivalent to*

$$b_8x^8 \bmod (x^8 + x^4 + x^3 + x + 1) +$$

$$b_7x^7 + b_6x^6 + b_5x^5 + b_4x^4 + b_3x^3 + b_2x^2 + b_1x^1 + b_0x) \bmod (x^8 + x^4 + x^3 + x + 1)$$

Through similar observation,

$$\begin{aligned} x^8 \bmod (x^8 + x^4 + x^3 + x + 1) &= (x^8 + x^4 + x^3 + x + 1) - x^8 \bmod (x^8 + x^4 + x^3 + x + 1) \\ &= (x^4 + x^3 + x + 1) \end{aligned}$$

## Modular arithmetic vs modular polynomial arithmetic

Hence,  $(b_8x^8 + b_7x^7 + b_6x^6 + b_5x^5 + b_4x^4 + b_3x^3 + b_2x^2 + b_1x^1 + b_0x) \bmod m(x)$   
*is equivalent to*

$$\begin{aligned} & b_8x^8 \bmod (x^8 + x^4 + x^3 + x + 1) + \\ & (b_7x^7 + b_6x^6 + b_5x^5 + b_4x^4 + b_3x^3 + b_2x^2 + b_1x^1 + b_0x) \bmod (x^8 + x^4 + x^3 + x + 1) \\ &= \left( x^8 + x^4 + x^3 + x + 1 \right) + \\ & \quad (b_7x^7 + b_6x^6 + b_5x^5 + b_4x^4 + b_3x^3 + b_2x^2 + b_1x^1 + b_0x) \end{aligned}$$

## Modular arithmetic vs modular polynomial arithmetic

*Thus we can generalize that*

$$x \cdot f(x) = \begin{cases} (b_7x^7 + b_6x^6 + b_5x^5 + b_4x^4 + b_3x^3 + b_2x^2 + b_1x^1 + b_0x) & \text{if } b_7=0 \\ (b_7x^7 + b_6x^6 + b_5x^5 + b_4x^4 + b_3x^3 + b_2x^2 + b_1x^1 + b_0x) + (x^4 + x^3 + x + 1) & \text{if } b_7=1 \end{cases}$$

Note the definition (generalization) is performed on modular polynomial arithmetic.



# MIXCOLUMNS TRANSFORMATION

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# MixColumns Transformation

- For example, the first column transformation of the example shown can be obtained as follows:

$$(D4 \cdot 02) \oplus (BF \cdot 03) \oplus 5D \oplus 30$$

$$(D4 \cdot 02) = (1010\ 1000) \oplus (0001\ 1011) = (1011\ 0011)$$

$$\begin{aligned}(BF \cdot 03) &= (BF) \oplus (BF \cdot 02) \\ &= (1011\ 1111) \oplus (0111\ 1110 \oplus 0001\ 1011) \\ &= (1101\ 1010)\end{aligned}$$

# MixColumns Transformation

(D4 • 02) : (1011 0011)

(BF • 03) : (1101 1010)

(5D): (0101 1101)

(30): (0011 0000)

(0000 0100) = (04)

# MixColumns Transformation

$$D4 \oplus (BF \cdot 02) \oplus (5D \cdot 03) \oplus 30$$

$$(D4) = (1101 \ 0100)$$

$$(BF \cdot 02) = (0110 \ 0101)$$

$$(5D \cdot 03) = 5D \oplus (5D \cdot 02)$$

$$= (0101 \ 1101) \oplus (1011 \ 1010)$$

$$= (1110 \ 0111)$$

# MixColumns Transformation

$$(30) = (0011 \ 0000)$$

$$(1101 \ 0100) \oplus (0110 \ 0101) \oplus (1110 \ 0111) \oplus (0011 \ 0000)$$

(1101 0100)

(0110 0101)

(1110 0111)

(0011 0000)

(0110 0110) = (66)

# MixColumns Transformation

$$D4 \oplus BF \oplus (5D \cdot 02) \oplus (30 \cdot 03)$$

$$(D4) = \mathbf{(1101\ 0100)}$$

$$(BF) = \mathbf{(1011\ 1111)}$$

$$(5D \cdot 02) = \mathbf{(1011\ 1010)}$$

$$(30 \cdot 03) = (30) \oplus (30 \cdot 02)$$

$$= (0011\ 0000) \oplus (0110\ 0000)$$

$$= \mathbf{(0101\ 0000)}$$

# MixColumns Transformation

$$(1101\ 0100) \oplus (1011\ 1111) \oplus (1011\ 1010) \oplus (0101\ 0000)$$

(1101 0100)

(1011 1111)

(1011 1010)

(0101 0000)

(1000 0001) = (81)

# MixColumns Transformation

$$(D4 \cdot 03) \oplus BF \oplus 5D \oplus (30 \cdot 02)$$

$$(D4 \cdot 03) = D4 \oplus (D4 \cdot 02)$$

$$= (1101 \ 0100) \oplus (1011 \ 0011)$$

$$= \mathbf{(0110 \ 0111)}$$

$$BF = \mathbf{(1011 \ 1111)}$$

$$5D = \mathbf{(0101 \ 1101)}$$

$$(30 \cdot 02) = \mathbf{(0110 \ 0000)}$$



# MixColumns Transformation

$$(0110\ 0111) \oplus (1011\ 1111) \oplus (0101\ 1101) \oplus (0110\ 0000)$$

(0110 0111)

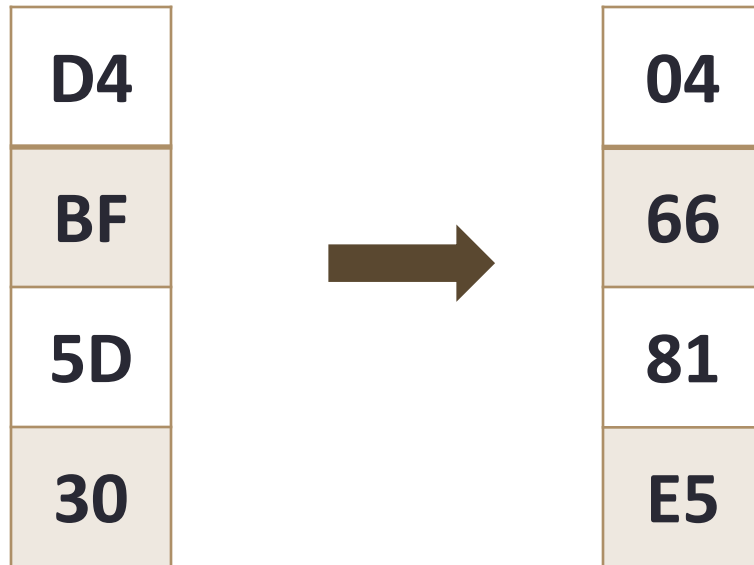
(1011 1111)

(0101 1101)

(0110 0000)

(1110 0101) = (E5)

# MixColumns Transformation



# KEY MIXING (ADD ROUND KEY)

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# Key mixing (add round)

- In the key mixing transformation, called Add-round-key, the 128 bits of State are bitwise Xored (added modulo 2) with the 128 bits of the round key.



State

Round key

# Key mixing (add round)

For example:

04	40	A3	4C		AC	19	28	57		A8	59	8B	1B
66	D4	70	9F		77	FA	D1	5C		11	2E	A1	C3
81	E4	3A	42	$\oplus$	66	DC	29	00	=	E7	38	13	42
E5	A5	A6	BC		F3	21	41	6A		16	84	E7	D2

# Key mixing (add round)

Addition of the first row of the previous example:

04: 00000100

AC: 10101100  $\oplus$

A8: 10101000

40: 01000000

19: 00011001  $\oplus$

59: 01011001

A3: 10100011

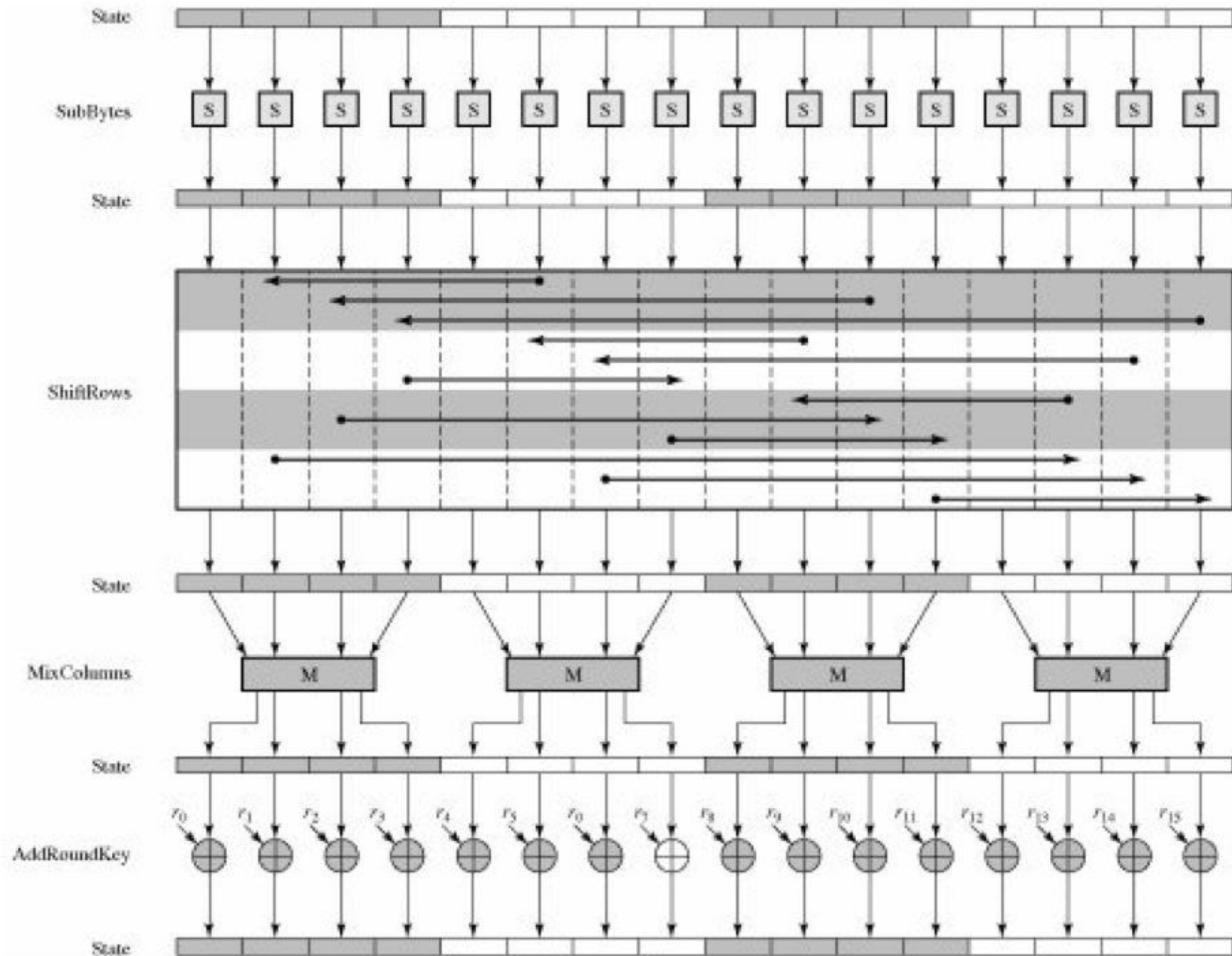
28: 00101000  $\oplus$

8B: 10001011

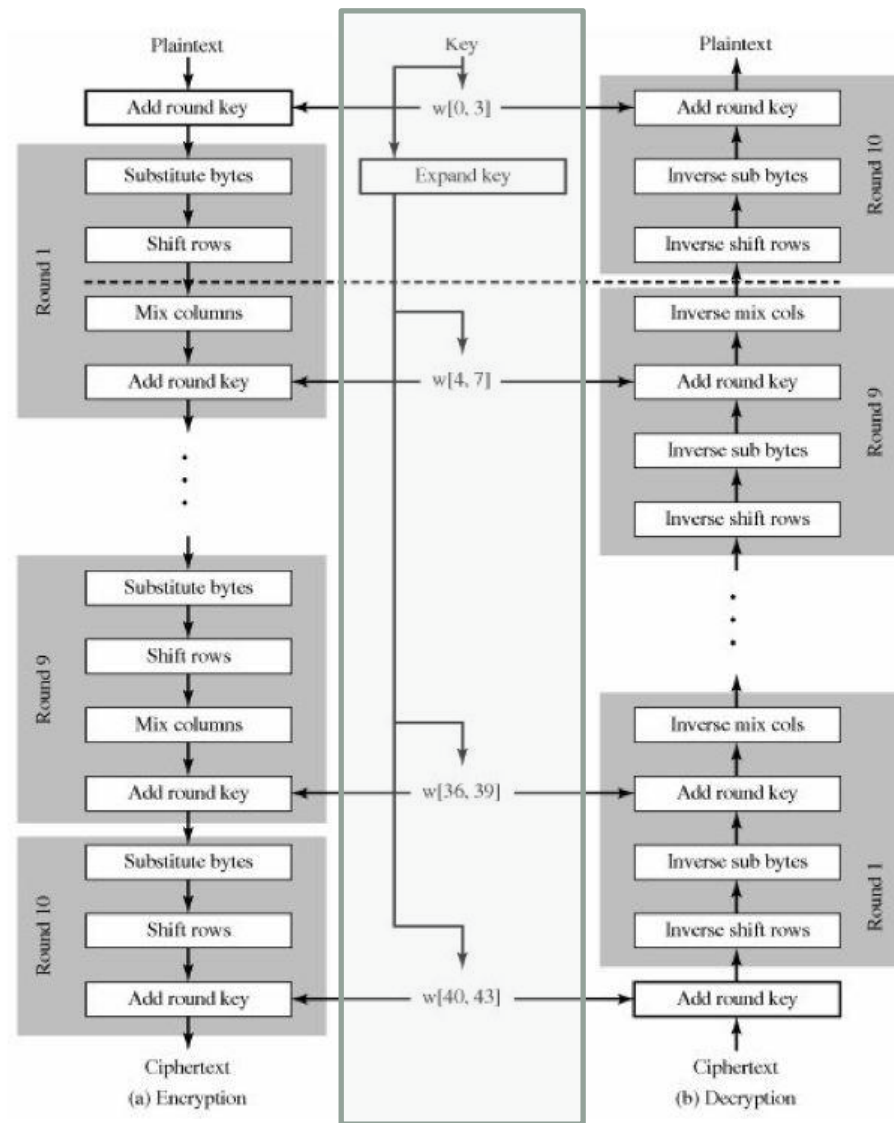
4C: 01001100

57: 01010111  $\oplus$

1B: 00011011



# AES – Key expansion





# Aes - Key Expansion

- AES key expansion algorithm takes as input a 4-word (16 bytes) key and produces a linear arrays of 44 words (176 bytes).

$k_0$	$k_4$	$k_8$	$k_2$
$k_1$	$k_5$	$k_9$	$k_3$
$k_2$	$k_6$	$k_{10}$	$k_4$
$k_3$	$k_7$	$k_{11}$	$k_5$

Word 0	Word 1	Word 2	Word 3	...	Word 40	Word 41	Word 42	Word 43
				...				
				...				
				...				
				...				

Initial 4-word keys

10 x 4-word round keys

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1. The key is copied into the first four words of the expanded key.
2. The remainder ten 4-word round keys are generated using the following key expansion algorithm:
  - i. Each word  $w[i]$  is set to the sum modulo 2 of the previous word  $w[i-1]$  and the word that is located  $N_k$  (key size) positions earlier; i.e.,  $w[i-N_k]$ .
  - ii. For words in positions that are a multiple of  $N_k$ , a transformation (word rotation and byte substitution) is applied to  $w[i-1]$  prior to the addition modulo 2 to the word that is located  $N_k$  position earlier, followed by an addition modulo 2 with the round constant word.

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- The word rotation operation is to left-rotate the round-key  $\{w_0, w_1, w_2, w_3\}$  one position to form the word  $\{w_1, w_2, w_3, w_0\}$ . We name this operation Rotword().
- The byte substitution is done on the rotated word  $\{w_1, w_2, w_3, w_0\}$  (the result of step i) using the AES S-box. We name this operation Subbyte().

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Round constant is fixed as the array of powers of 2 in  $GF(2_8)$

- The round constant in Hexadecimal:

<b>Round constant 1</b>	<b>01</b>	<b>00</b>	<b>00</b>	<b>00</b>
<b>Round constant 2</b>	<b>02</b>	<b>00</b>	<b>00</b>	<b>00</b>
<b>Round constant 3</b>	<b>04</b>	<b>00</b>	<b>00</b>	<b>00</b>
<b>Round constant 4</b>	<b>08</b>	<b>00</b>	<b>00</b>	<b>00</b>
<b>Round constant 5</b>	<b>10</b>	<b>00</b>	<b>00</b>	<b>00</b>
<b>Round constant 6</b>	<b>20</b>	<b>00</b>	<b>00</b>	<b>00</b>
<b>Round constant 7</b>	<b>40</b>	<b>00</b>	<b>00</b>	<b>00</b>
<b>Round constant 8</b>	<b>80</b>	<b>00</b>	<b>00</b>	<b>00</b>
<b>Round constant 9</b>	<b>1B</b>	<b>00</b>	<b>00</b>	<b>00</b>
<b>Round constant 10</b>	<b>36</b>	<b>00</b>	<b>00</b>	<b>00</b>

# Aes - Key Expansion

For example:

AC	19	28	57
77	FA	D1	5C
66	DC	29	00
F3	21	41	6A

$W_{(i-Nk)}$			$W_{(i-1)}$	$W_i$								
AC	19	28	57									
77	FA	D1	5C									
66	DC	29	00									
F3	21	41	6A									
$W_0$	$W_1$	$W_2$	$W_3$	$W_4$	$W_5$	$W_6$	$W_7$	$W_8$	...		$W_{42}$	$W_{43}$

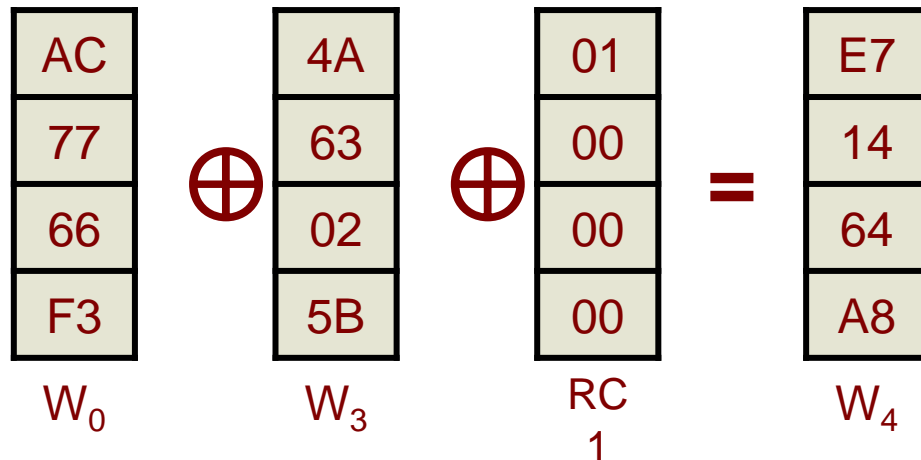
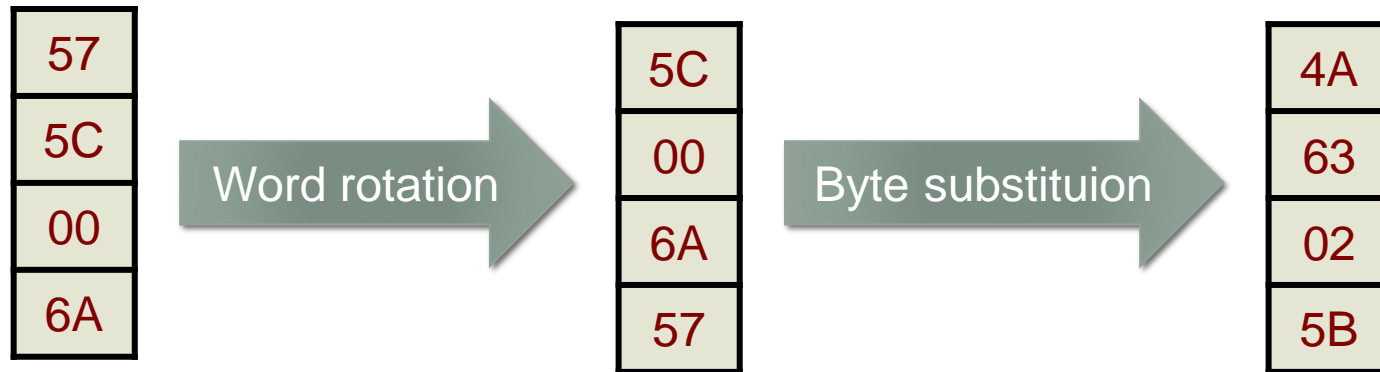
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$W_{(i-N_k)}$			$W_{(i-1)}$	$W_i$						
AC	19	28	57						...	
77	FA	D1	5C							
66	DC	29	00							
F3	21	41	6A							
$W_0$	$W_1$	$W_2$	$W_3$	$W_4$	$W_5$	$W_6$	$W_7$	$W_8$	...	$W_{42}$ $W_{43}$

- $i = 4$ , and 4 is a multiple of  $N_k$  ( $N_k = 4$ )  $\Rightarrow W_{i-1} = W_3$  is transformed with word rotation (Rotword()) and byte substitution (Subbyte()) before it is sum-modulo 2 with  $W_{i-N_k}$  and first round constant (RCon1).

$$W_4 = \text{Subbyte}(\text{Rotword}(W_3)) \oplus W_0 \oplus \text{Round constant 1}$$

# Aes - Key Expansion



# Aes - Key Expansion

$W_{(i-N_k)}$		$W_{(i-1)}$		$W_i$						
AC	19	28	57	E7					...	
77	FA	D1	5C	14						
66	DC	29	00	64						
F3	21	41	6A	A8						
$W_0$	$W_1$	$W_2$	$W_3$	$W_4$	$W_5$	$W_6$	$W_7$	$W_8$	...	$W_{42}$ $W_{43}$

- $i = 5$ , and 5 is not a multiple of  $N_k$  ( $N_k = 4$ )

$$W_5 = W_4 \oplus W_1$$

E7	19	FE
14	FA	EE
64	DC	B8
A8	21	89
$W_4$	$W_1$	$W_5$

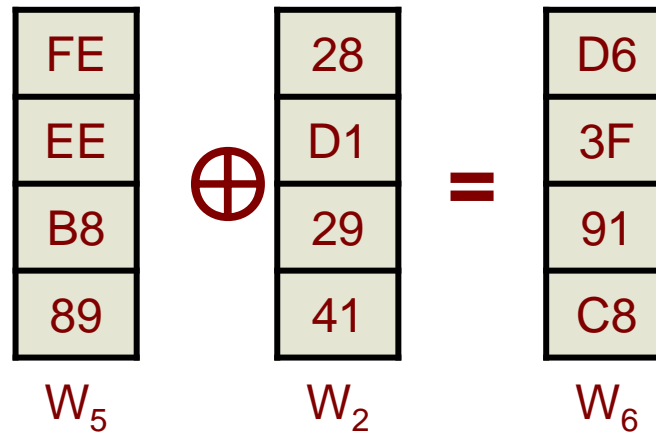


# Aes - Key Expansion

$W_{(i-N_k)}$			$W_{(i-1)}$	$W_i$							
AC	19	28	57	E7	FE				...		
77	FA	D1	5C	14	EE						
66	DC	29	00	64	B8						
F3	21	41	6A	A8	89						
$W_0$	$W_1$	$W_2$	$W_3$	$W_4$	$W_5$	$W_6$	$W_7$	$W_8$	...	$W_{42}$	$W_{43}$

- $i = 6$ , and 6 is not a multiple of  $N_k$  ( $N_k = 4$ )

$$W_6 = W_5 \oplus W_2$$

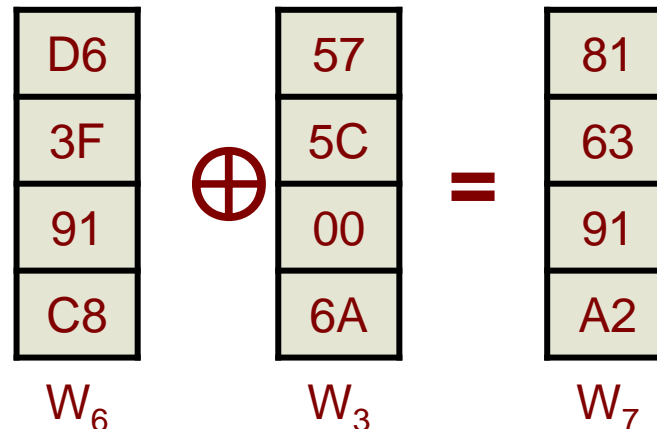


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$W_{(i-Nk)}$		$W_{(i-1)}$		$W_i$							
AC	19	28	57	E7	FE	D6			...		
77	FA	D1	5C	14	EE	3F					
66	DC	29	00	64	B8	91					
F3	21	41	6A	A8	89	C8					
$W_0$	$W_1$	$W_2$	$W_3$	$W_4$	$W_5$	$W_6$	$W_7$	$W_8$	...	$W_{42}$	$W_{43}$

- $i = 7$ , and 7 is not a multiple of  $N_k$  ( $N_k = 4$ )

$$W_7 = W_6 \oplus W_3$$



# Aes - Key Expansion

$W_{(i-Nk)}$		$W_{(i-1)}$		$W_i$							
AC	19	28	57	E7	FE	D6	81		...		
77	FA	D1	5C	14	EE	3F	63				
66	DC	29	00	64	B8	91	91				
F3	21	41	6A	A8	89	C8	A2				
$W_0$	$W_1$	$W_2$	$W_3$	$W_4$	$W_5$	$W_6$	$W_7$	$W_8$	...	$W_{42}$	$W_{43}$

- As an exercise, complete the key for round 3; i.e.,  $W_8$  to  $W_{11}$ .

# TUTORIAL 3

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AES Related  
Questions

# AES Related questions

Briefly describe the Shift Rows and Byte Substitution layers of AES.  
Explain why we can apply them in either order with the same result.

# AES Related questions

The state in AES is a  $4 \times 4$  matrix with entries in the field of 256 elements. The shift row layer shifts each row to the right a certain amount, wrapping the entries around. More precisely, the first row is not shifted, the second row is shifted by one, the third row is shifted by two, and the fourth row is shifted by three.

# AES Related questions

The Byte Substitution layer can be viewed as a lookup table. Each matrix entry, represented by an 8-bit byte, is broken into two pieces which index the rows and columns of a  $16 \times 16$  lookup matrix. The byte is replaced by the corresponding entry in the table, which is another 8-bit byte.

# AES Related questions

The Byte Substitution layer is applied entry by entry to the state, with all entries treated in the same way. The Row Shift layer simply moves the bytes around. Thus it doesn't matter in which order we apply these layers: shifting and substituting is the same as first substituting then shifting.