

## **II.1 - Introduction**

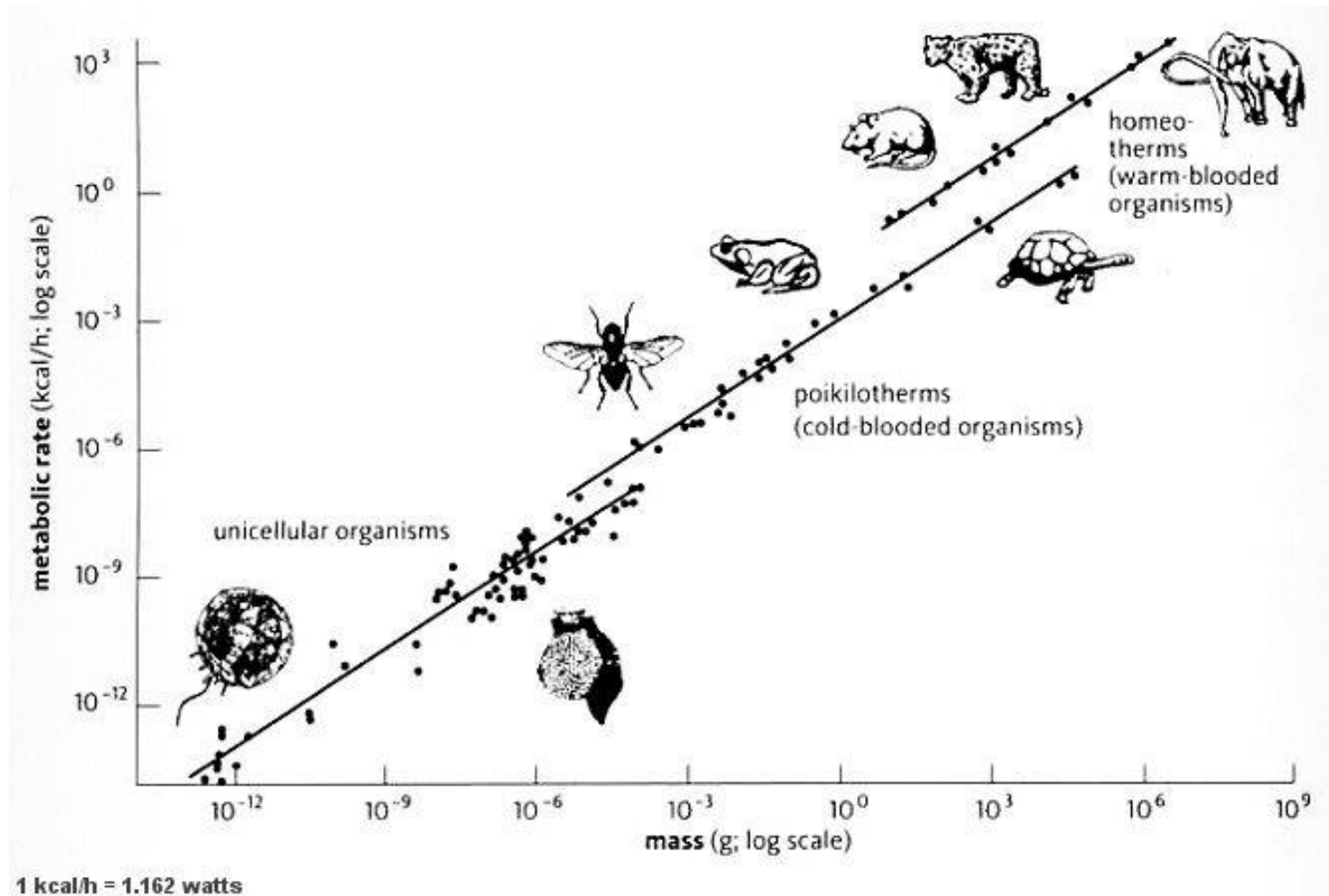
## **II.2 - Gas-Liquid Mass Transfer Process Modeling**

## **II.3 - Rate of Oxygen Consumption**

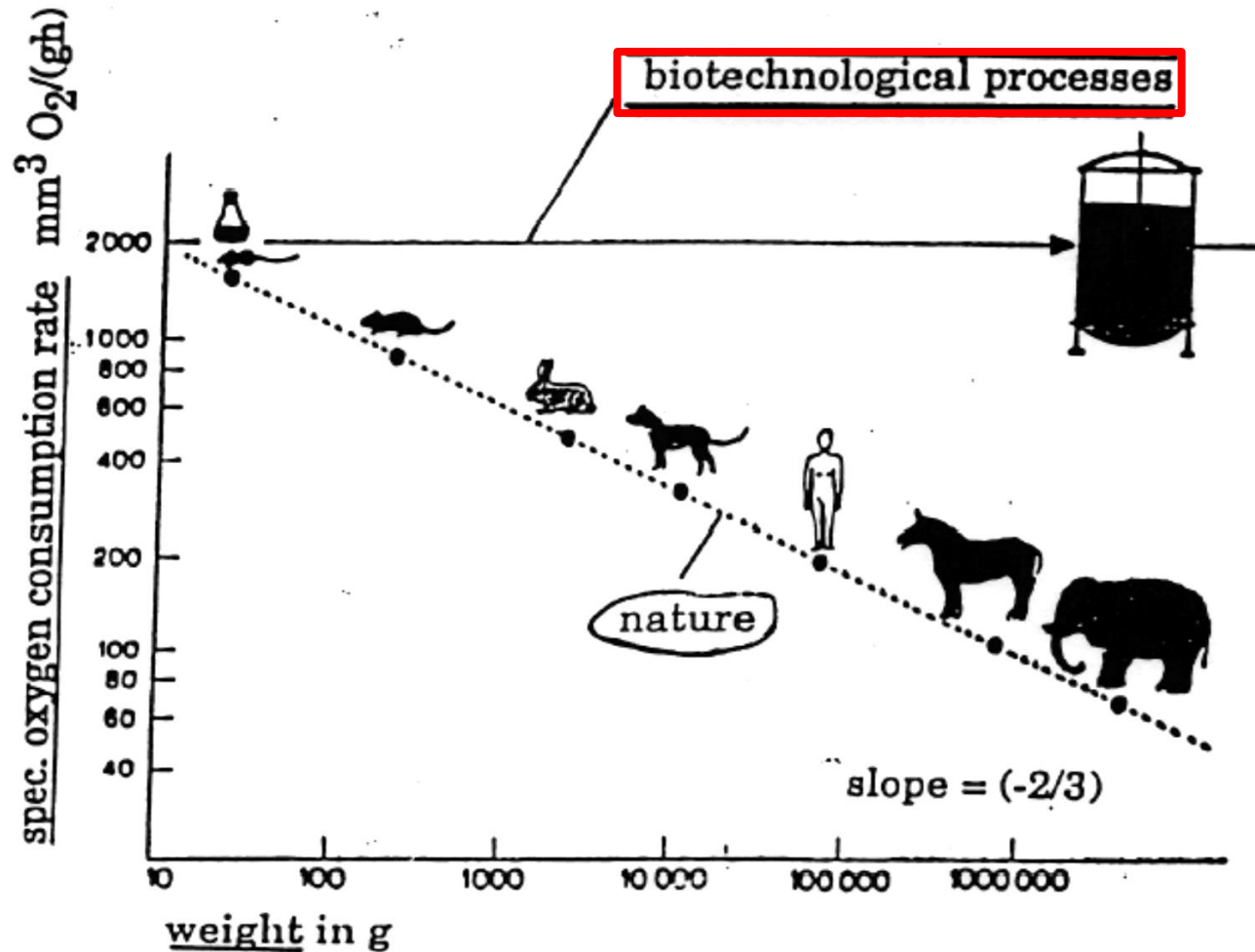
## **II.4 - Gas-Liquid Mass Transfer in Systems Without Mechanical Agitation**

## **II.5 - Mass transfer in mechanical agitation systems**

### In Nature:



### In Nature:



Bioreactors are  
**artificial systems**

Oxygen needs  
must be guaranteed

Aeration  
Oxygen solubility  
Oxygen mass transfer

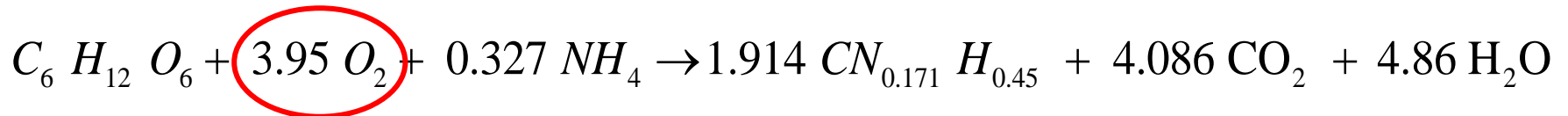
### Importance of the study of mass transfer in biological systems:

- Nutrients supplied in high concentrations and high solubility
- Oxygen has low solubility (7 mg/l)



**Limiting step in microbial cultivation**

**Example: consumption of O<sub>2</sub> by *S. cerevisiae***



### Oxygen requirements in some processes and by certain microorganisms :

Microorganism/process	Rate of consumption (mg O <sub>2</sub> / g cel h)
<b>Microorganism:</b>	
<i>Aspergillus niger</i>	96
<i>Penicillium chrysogenum</i>	124
<i>S. cerevisiae</i>	256
<b>Process:</b>	
Streptomycin	134
Oxitetracycline	237
Penicillin	64
Levedura	86

### 1. RATIONALE FOR CONSIDERING OXYGEN TRANSFER

- LOW SOLUBILITY (CAPACITY) IN AQUEOUS BROTH
- OXYGEN REQUIRED IN ALL AEROBIC PROCESSES
- MEASUREABLE (D.O. PROBE)
- TRANSPORT IS PASSIVE (NOT ACTIVE TRANSPORT)
- SHOULD CONSIDER OTHER NUTRIENT TRANSPORT COULD ALSO BE IMPORTANT (OILS, ORGANIC NITROGEN)

### 2. TIME SCALE FOR OXYGEN DEPLETION

- YEAST ( $\mu = 0.2 \text{ h}^{-1}$ )
- CELL DENSITY = 15 g/L
- DISSOLVED OXYGEN: FULLY SATURATED = 7 mg O<sub>2</sub>/L
- $Y_{x/o} = 1 \text{ mgX/mgO}_2$
- OXYGEN CONSUMPTION RATE = 0.8 mg O<sub>2</sub>/L-sec
- TIME FOR TOTAL DEPLETION  $\theta = \frac{7.0}{0.80} = 8.7 \text{ seconds}$

### Effect of solutes on solubility

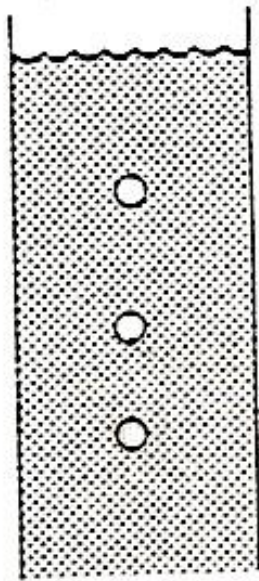
<i>Concentration</i> (M)	<i>Oxygen solubility (kg m<sup>-3</sup>)</i>		
	HCl	$\frac{1}{2} \text{H}_2\text{SO}_4$	NaCl
0	$4.14 \times 10^{-2}$	$4.14 \times 10^{-2}$	$4.14 \times 10^{-2}$
0.5	$3.87 \times 10^{-2}$	$3.77 \times 10^{-2}$	$3.43 \times 10^{-2}$
1.0	$3.75 \times 10^{-2}$	$3.60 \times 10^{-2}$	$2.91 \times 10^{-2}$
2.0	$3.50 \times 10^{-2}$	$3.28 \times 10^{-2}$	$2.07 \times 10^{-2}$



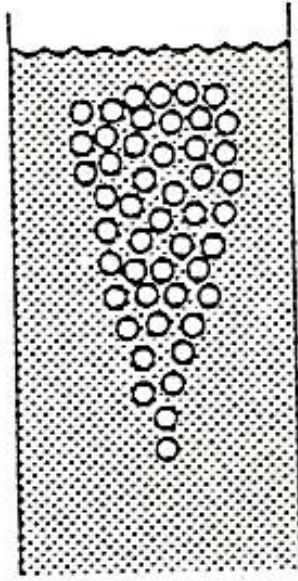
### Effect of solutes on solubility

<i>Sugar</i>	<i>Concentration</i> (gmol per kg H <sub>2</sub> O)	<i>Temperature</i> (°C)	<i>Oxygen solubility</i> (kg m <sup>-3</sup> )
Glucose	0	20	$4.50 \times 10^{-2}$
	0.7	20	$3.81 \times 10^{-2}$
	1.5	20	$3.18 \times 10^{-2}$
	3.0	20	$2.54 \times 10^{-2}$
Sucrose	0	15	$4.95 \times 10^{-2}$
	0.4	15	$4.25 \times 10^{-2}$
	0.9	15	$3.47 \times 10^{-2}$
	1.2	15	$3.08 \times 10^{-2}$

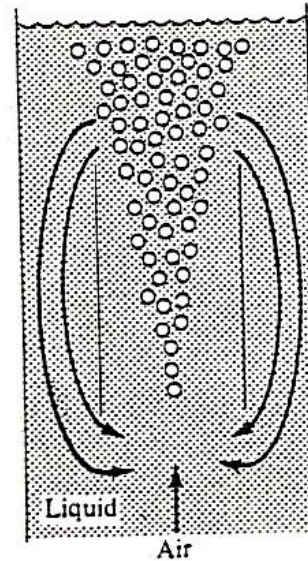
### Gas-liquid contacting modes



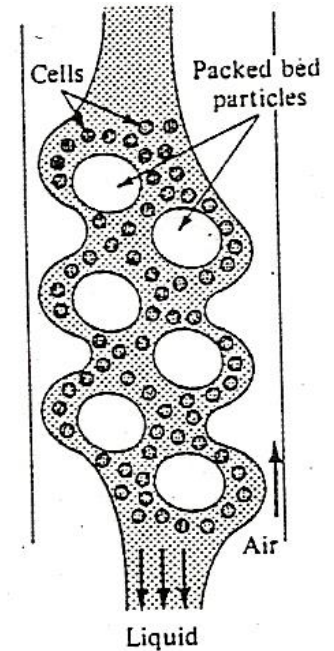
Rising  
single  
bubble



Bubble  
swarms

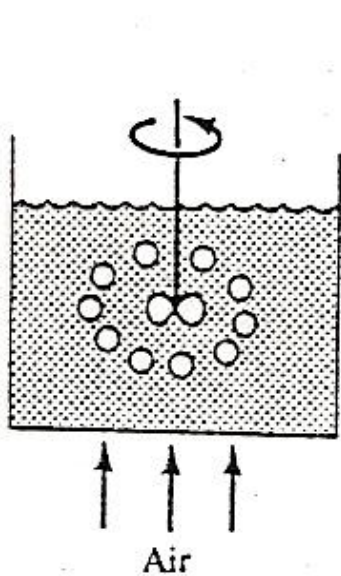


Sparged  
air lift

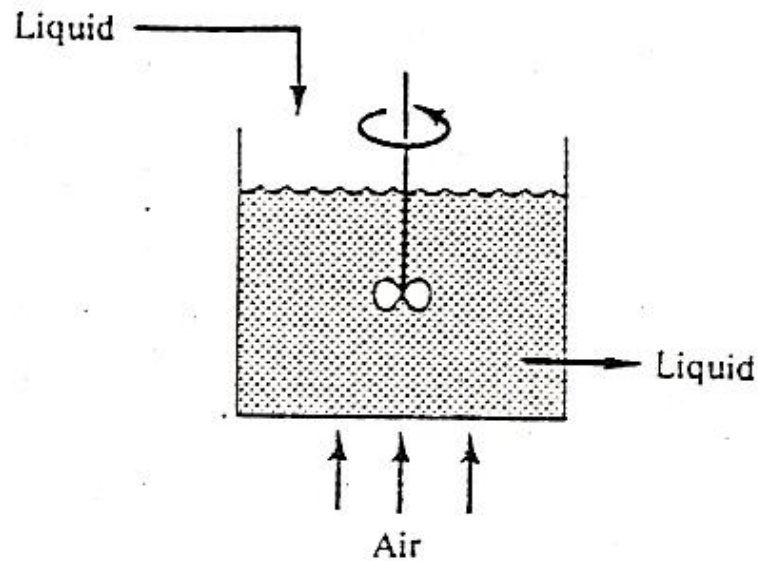


Trickling filter  
counter current

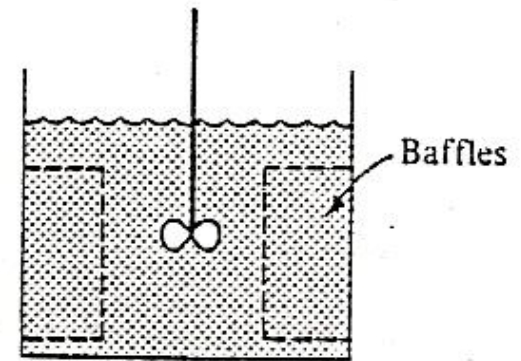
### Gas-liquid contacting modes



Batch liquid,  
continuous air

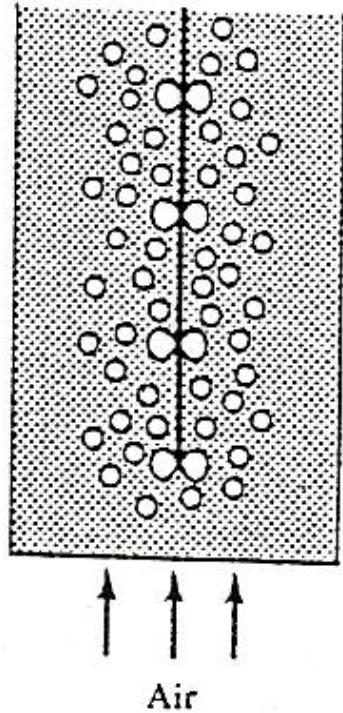


Continuous  
liquid and air

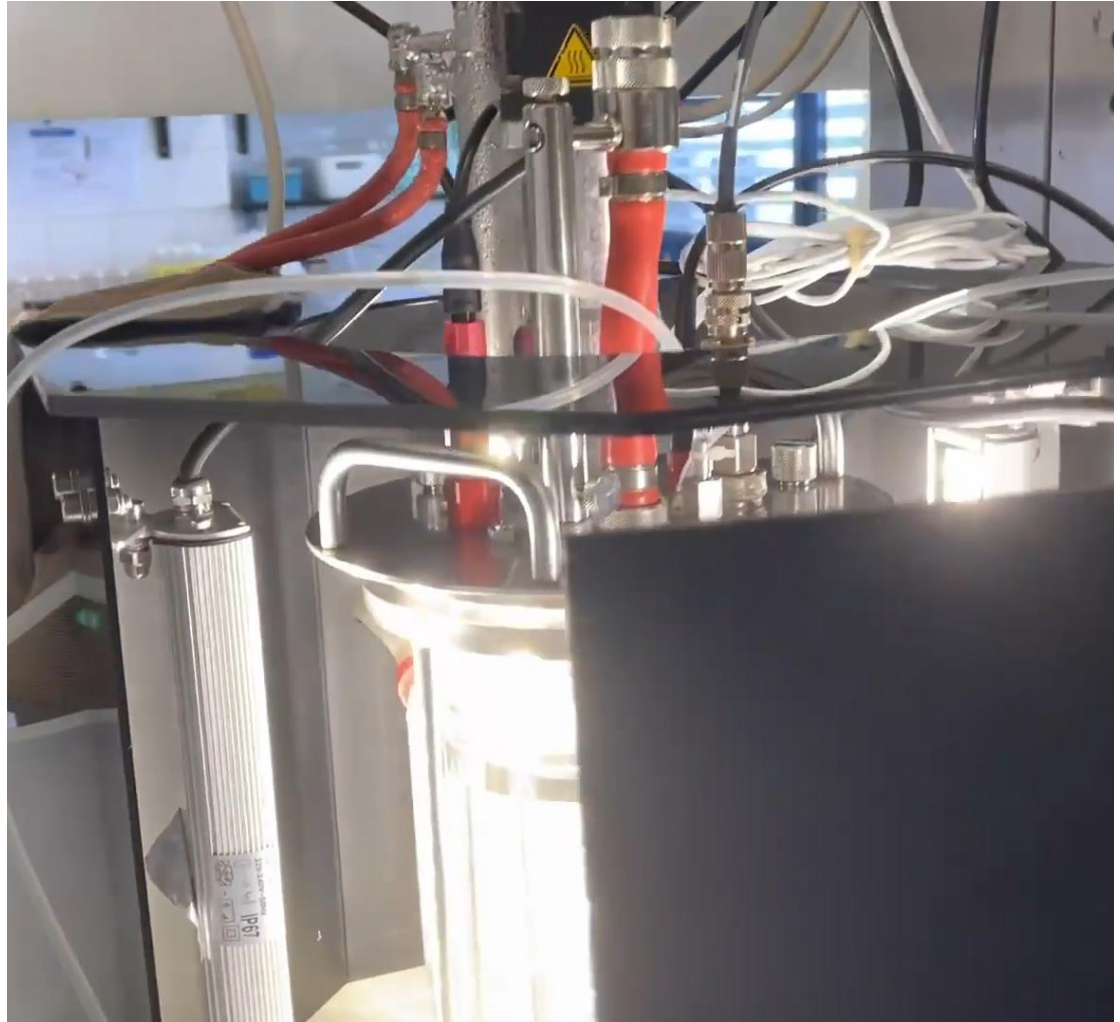


Stirred tank  
with baffles

### Gas-liquid contacting modes



Multiple  
propeller

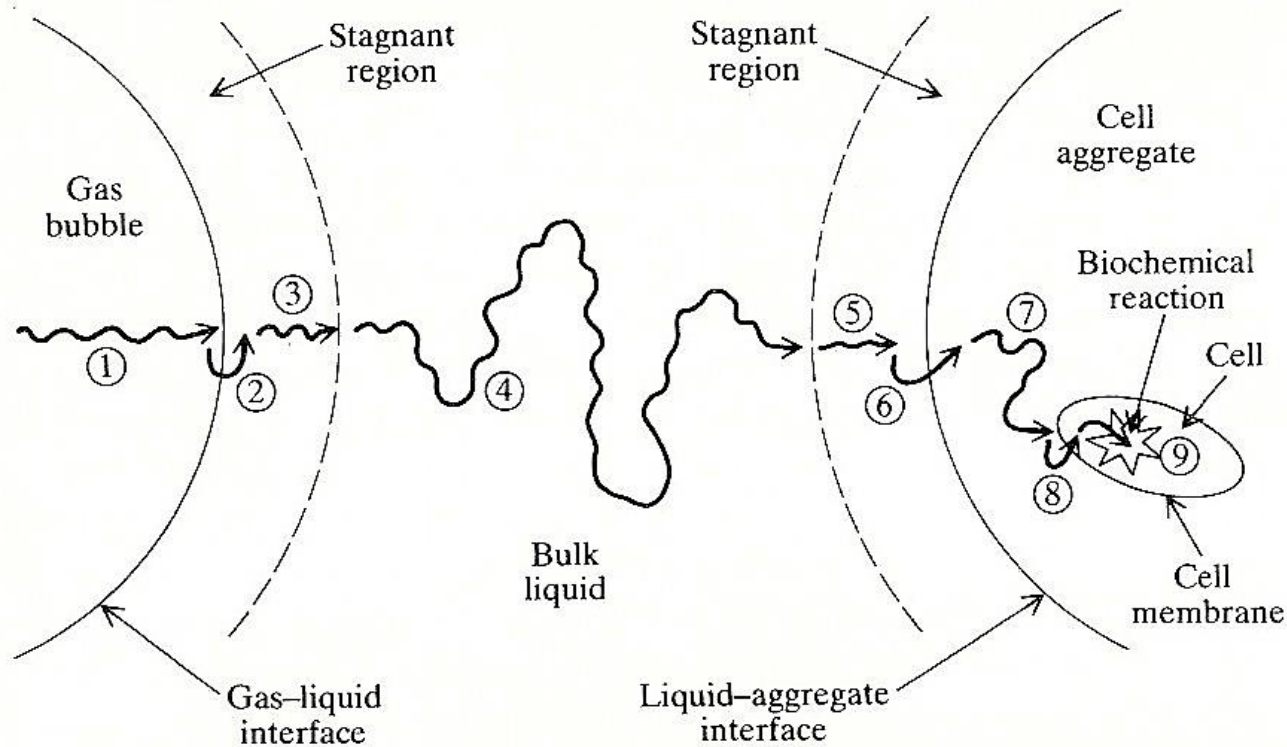




## II.1 - Introduction



### Steps involved in O<sub>2</sub> transport in biological systems:



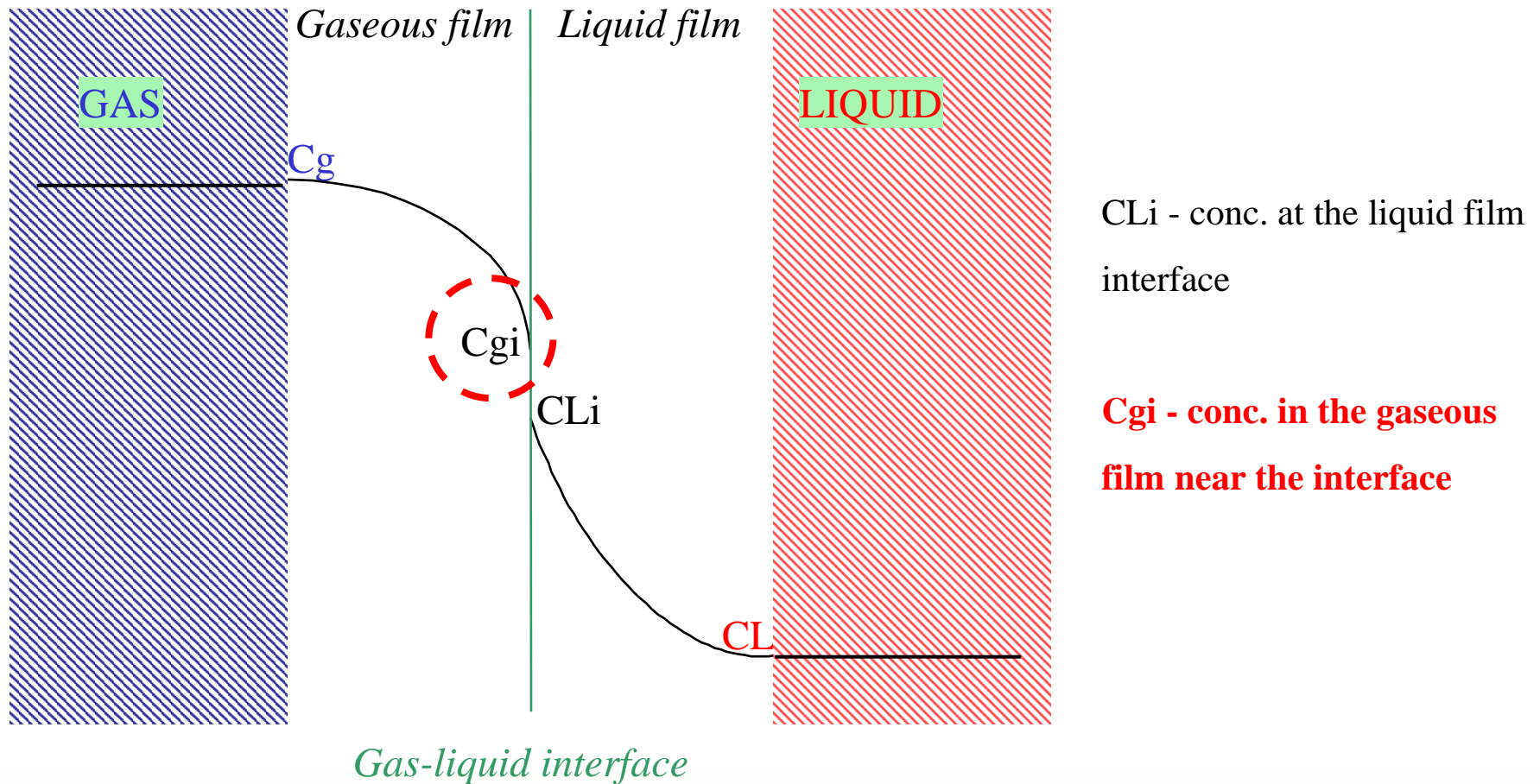
**Figure 8.1** Schematic diagram of steps involved in transport of oxygen from a gas bubble to inside cell.

When cells are dispersed in the liquid and the medium is well stirred



the greatest resistance is the one from step 3

Gas-liquid mass transfer is normally modeled by the double-film theory:



In dilute aqueous solutions (in the case of microbial cultivation), the relationship between concentrations at the gas-liquid interface is given by Henry's Law:

$$C_{Li} \times M = C_{gi}$$

M – equilibrium constant

$C_{Li}$  - conc. at the liquid film interface

$C_{gi}$  - conc. in the gaseous film near the interface

Oxygen transfer rates in the gaseous film and in the liquid film:

$$N_{ag} = k_g (C_g - C_{gi})$$

$$N_{aL} = k_L (C_{Li} - C_L)$$

$N_{ag}$  and  $N_{aL}$  = oxygen flux ( $\text{mol cm}^{-2} \text{s}^{-1}$ )

$k_g$  - mass transfer coefficient in the gaseous film

$k_L$  – mass transfer coefficient in liquid film

$C_g$  - concentration of oxygen in the gas

$C_L$  - oxygen concentration in the liquid



Due to the difficulty in directly measuring interfacial oxygen concentrations, only the global flux is considered:

$$N_a = K_L (C_L^* - C_L) \quad \text{with:} \quad M \quad C_L^* = C_g$$

$C_L^*$  – gas concentration in the liquid phase in equilibrium with the phase gaseous → saturation concentration.

$K_L$  – global mass transfer coefficient (cm s<sup>-1</sup>)

$N_a$  – global flux of O<sub>2</sub> (mol cm<sup>-2</sup> s<sup>-1</sup>)

In steady state:

$$N_a = N_{ag} = N_{aL}$$

Global resistance = resistance at liquid film + resistance at gaseous film

$$\frac{1}{K_L} = \frac{1}{k_L} + \frac{1}{M k_g}$$

For oxygen and carbon dioxide :

– M is greater than 1

–  $k_g$  is typically greater than  $k_L$

⇒  $K_L = k_L$

The oxygen transfer rate ( $Q_{O_2}$ ) is given by:

$$Q_{O_2} = flux \times \frac{\text{interface area}}{\text{reactor liquid volume}} = K_L (C_L^* - C_L) \left( \frac{A}{V} \right) a'$$

$$Q_{O_2} = \text{mol } O_2 / \text{cm}^3 \text{s}$$

$a'$  = interface área per unit of volume ( $\text{cm}^{-1}$ )


$V$  = Volume of reactor ( $\text{cm}^3$ )

$K_L a'$  = volumetric mass transfer coefficient

## II.3 - Rate of Oxygen Consumption

O<sub>2</sub> transfer rate  $Q_{O_2} = K_L a' (C^* - C_L)$

Maximum rate when:  $C_L = 0 \Rightarrow Q_{O_2 \max} = K_L a' C^*$

$$r_{O_2} = V_{O_2} \cdot X = \frac{1}{Y_{x/o_2}} \cdot \mu \cdot X$$
$$r_{O_2} = \frac{1}{Y_{x/o_2}} \cdot \frac{\mu_{\max} C_L}{k_{O_2} + C_L} \cdot X$$

$$\mu = \frac{\mu_{\max} C_L}{k_{O_2} + C_L}$$

**Maximum rate:**

$$r_{O_2} = \frac{1}{Y_{x/o_2}} \cdot \mu_{\max} \cdot X$$

In steady state:  $r_{O_2} = Q_{O_2}$

(the oxygen consumption rate,  $r_{O_2}$ , is equal to the oxygen transfer rate,  $Q_{O_2}$ )

$$K_L a' (C_L^* - C_L) = \frac{1}{Y_{X/O_2}} \mu x$$

- Kinetic limitation :  $K_L a' C_L^* > \frac{1}{Y_{X/O_2}} \mu_{\max} x$
- Limitation by mass transfer:  $K_L a' C_L^* < \frac{1}{Y_{X/O_2}} \mu_{\max} x$

- Calculation of  $C_L$ :

If  $C_L \ll C_L^*$  
$$x_{\max} = \frac{K_L a' C_L^*}{\mu} \times Y_{x/O_2}$$

If  $C_L \gg$  **critical value** of  $O_2$  concentration  There is no Limitation  
(does not depend on  $O_2$ )

The critical value varies between **0.003 - 0.05 mmol /l** or between **0.1 - 10%** of the solubility value

It depends on:

- cell type
- growth phase
- substrate type
- type of process

## II.3 - Rate of Oxygen Consumption

Type of cells:

TYPICAL CRITICAL DISSOLVED OXYGEN CONCENTRATION ( <b>Low Density Cultures</b> )	
ORGANISM	CRITICAL DISSOLVED OXYGEN (% Air Saturation)
<i>E. coli</i>	3.4%
<i>S. marcescens</i>	6.3%
<i>S. cerevisiae</i>	1.9%
<i>P. chrysogenum</i>	9.1%
<i>A. niger</i>	8.3%

Table 8.2 Typical values of  $c_{O_2,cr}$  in the presence of substrate.<sup>†</sup>

Organism	Temp, °C	$c_{O_2,cr}$ , mmol/L
<i>Azotobacter vinelandii</i>	30	0.018–0.049
<i>E. coli</i>	37.8	0.0082
	15	0.0031
<i>Serratia marcescens</i>	31	~0.015
<i>Pseudomonas denitrificans</i>	30	~0.009
Yeast	34.8	0.0046
	20	0.0037
<i>Penicillium chrysogenum</i>	24	~0.022
	30	~0.009
<i>Aspergillus oryzae</i>	30	~0.020

<sup>†</sup> Summarized by R. K. Finn, p. 81 in N. Blakebrough (ed.), *Biochemical and Biological Engineering Science*, vol. 1, Academic Press, Inc., New York, 1967.

## II.3 - Rate of Oxygen Consumption

Growth phase:

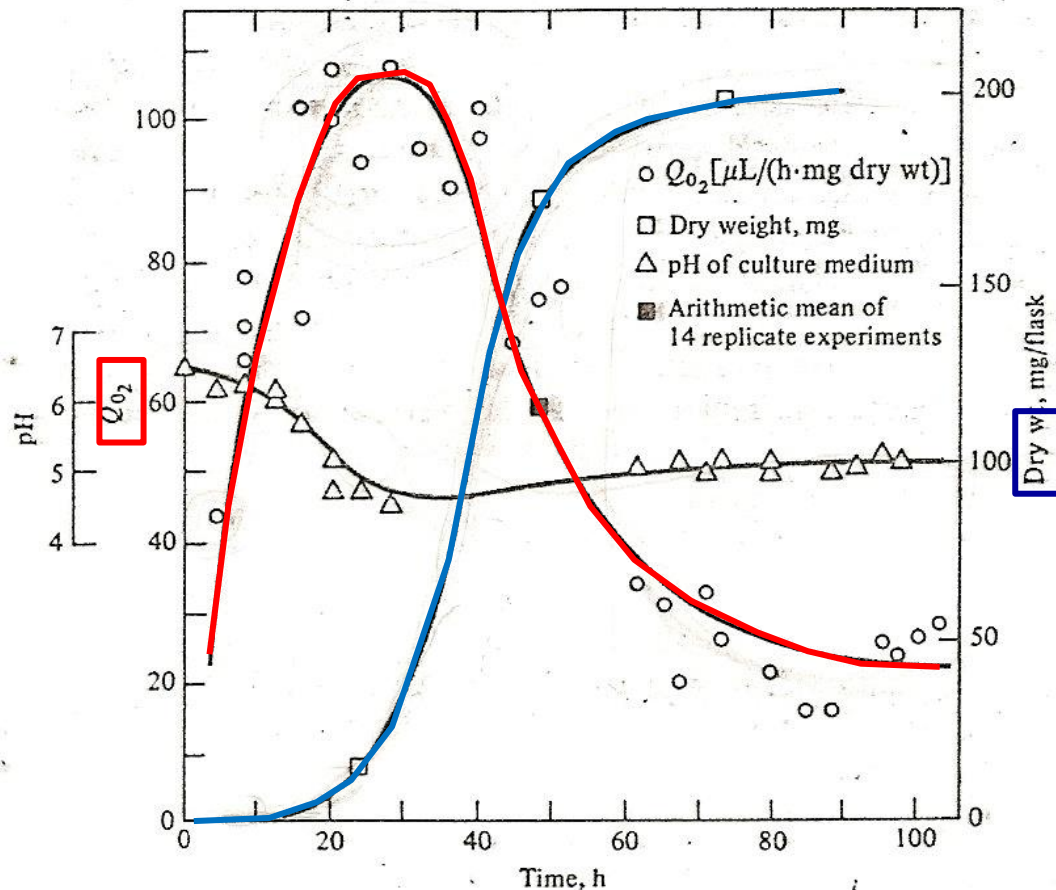



Figure 8.5 Oxygen utilization rate in batch culture of *Myrothecium verrucaria* [Reprinted from R. T. Darby and D. R. Goddard, *Am. J. Bot.*, vol. 37, p. 379 (1950).]

### Type of substrate:

rate of substrate consumption  $O_2$  (mmol/l h)

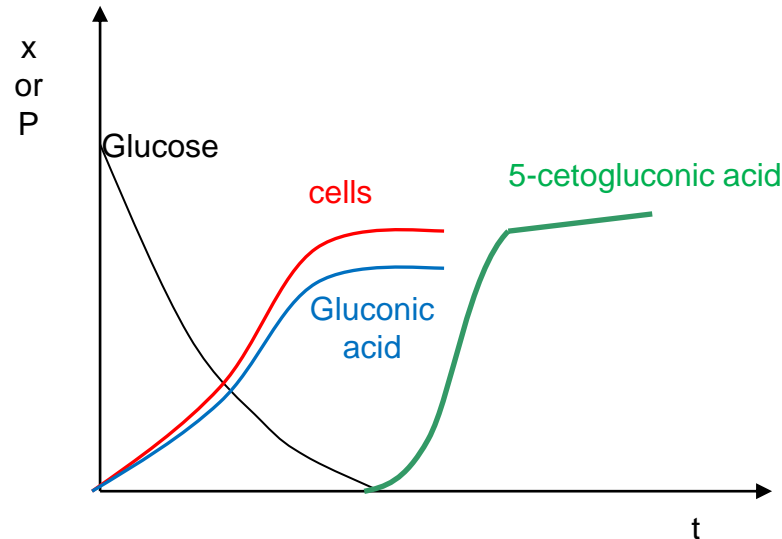
(ex: glucose is at higher rate)

- For more reduced compounds  Higher oxygen consumption  
(ex: parafines and methane)
- The consumption of  $O_2$  in fermentations with hydrocarbons is 2.5 to 3 times higher than that consumed with carbohydrates.

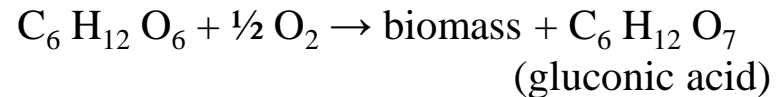


## II.3 - Rate of Oxygen Consumption

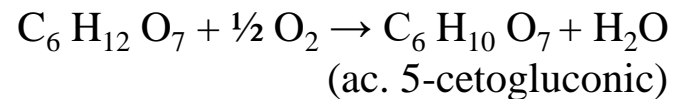
Type of process:



a) Biological oxidation:



b) Chemical oxidation:



In a) oxygen is used for: biomass and product (gluconic acid)

In b) oxygen is used as reagent (oxidant) → biotransformation

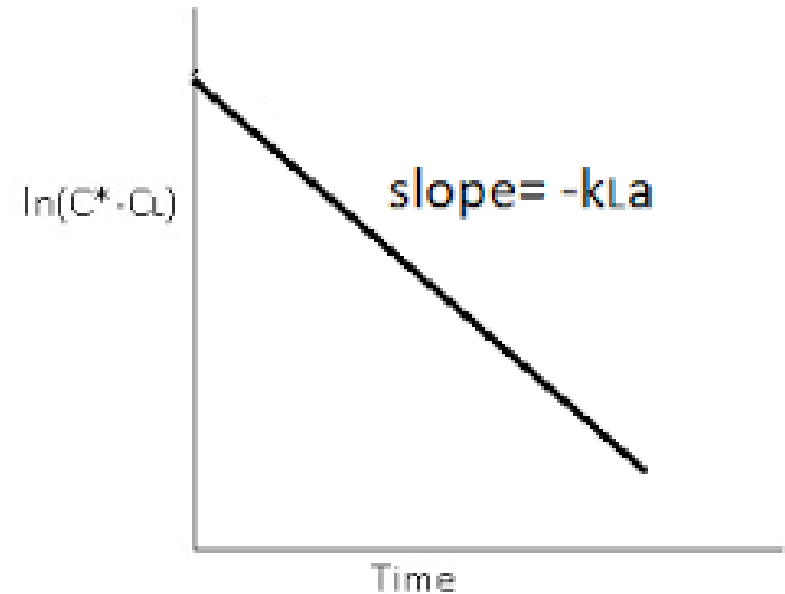
### Cálculo do $K_La'$ – Método dinâmico

$$\frac{dO_2}{dt} = K_L a' (C^* - C_L)$$

$$\Leftrightarrow dO_2 = K_L a' (C^* - C_L) dt$$

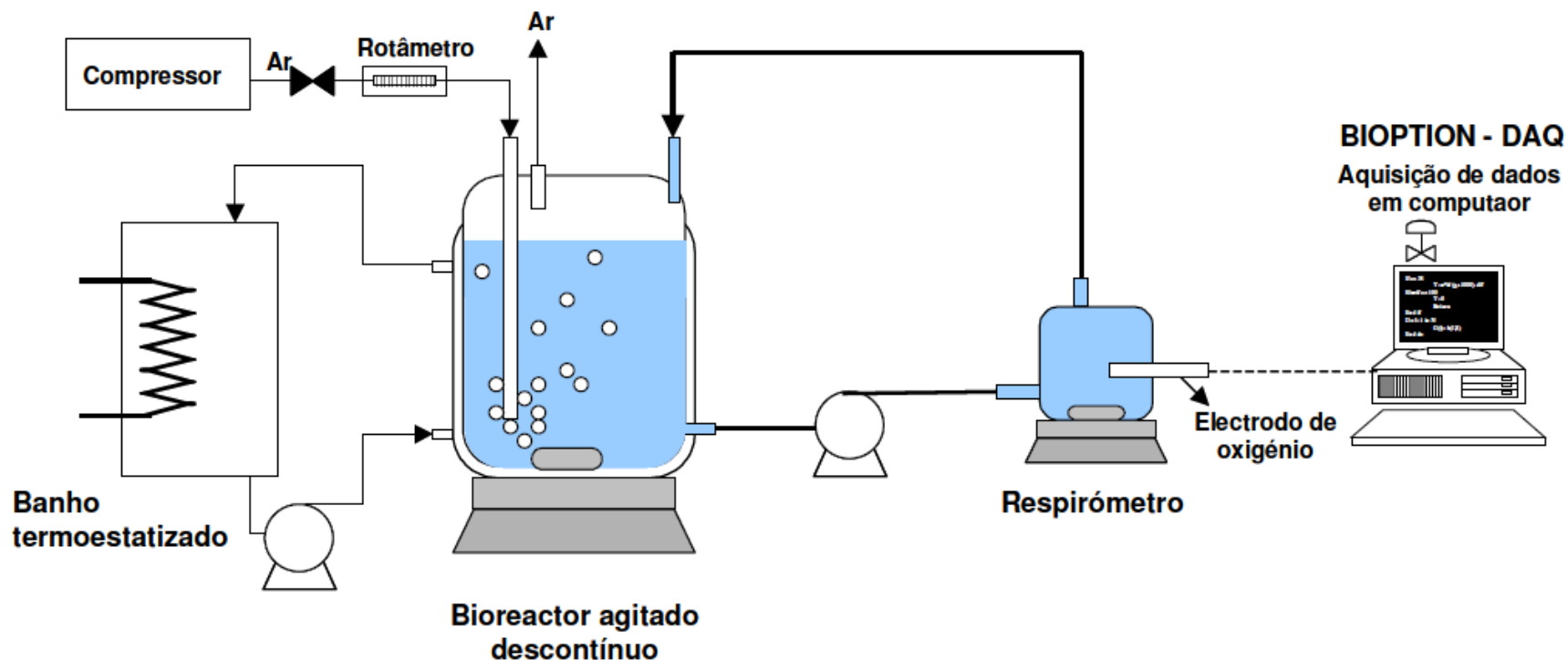
$$\Leftrightarrow \int \frac{1}{(C^* - C_L)} dO_2 = K_L a' \int dt$$

$$\Leftrightarrow \ln(C^* - C_L) = K_L a' t + cte$$



## II.3 - Rate of Oxygen Consumption

### Cálculo do $KLa'$ – Método dinâmico



### Determination of $K_L$

the mass transfer coefficient -  $K_L$  - varies with:

- fluid properties
- flow conditions
- physical system geometry

### Dimensionless Numbers:

- |   |  |
|---|--|
| <input type="checkbox"/> Sherwood number (Sh) | allows to calculate $K_L$  |
| <input type="checkbox"/> Grashof number (Gr)  | is the ratio of gravitational and viscous forces                               |
| <input type="checkbox"/> Schmidt number (Sc)  | gives a measure of the properties of the fluid                                 |
| <input type="checkbox"/> Reynolds Number (Re) | gives a measure of the physical properties of the fluid and the fluid velocity |

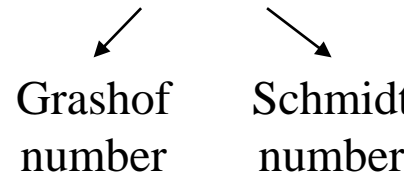
Sherwood number

$$Sh = \frac{K_L \cdot D_p}{D_{O_2}}$$

$D_p$  – bubble diameter

$D_{O_2}$  – oxygen diffusivity

$$Sh = 0,42 \cdot \sqrt[3]{Gr} \cdot \sqrt{Sc}$$

  
Grashof number      Schmidt number

$$Gr = \frac{D_p^3 \cdot \rho_L \cdot \Delta\rho \cdot g}{\mu_L^2}$$

$\rho_L$  – density of the liquid

$$\Delta\rho = \rho_L - \rho_G$$

$g$  – gravitational acceleration

$\mu_L$  – viscosity of the liquid

$$Sc = \frac{\mu_L}{\rho_L \cdot D_{O_2}}$$

### Reynolds Number (Re)

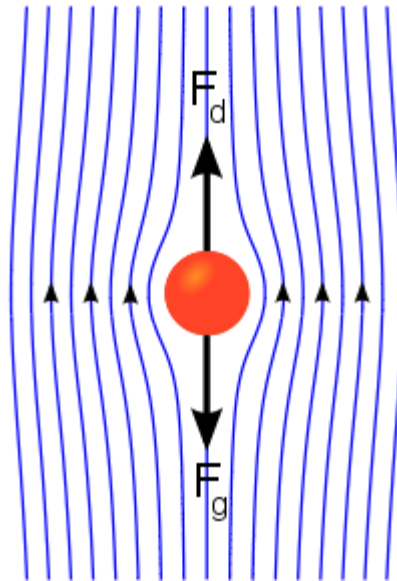
The diagram shows the formula for the Reynolds Number,  $Re = \frac{\rho V D}{\mu}$ , with arrows pointing from descriptive labels to each variable in the formula:

- $\rho$ : Density of fluid
- $V$ : Velocity of fluid
- $D$ : Diameter of pipe
- $\mu$ : Dynamic Viscosity of fluid
- $Re$ : Reynolds Number

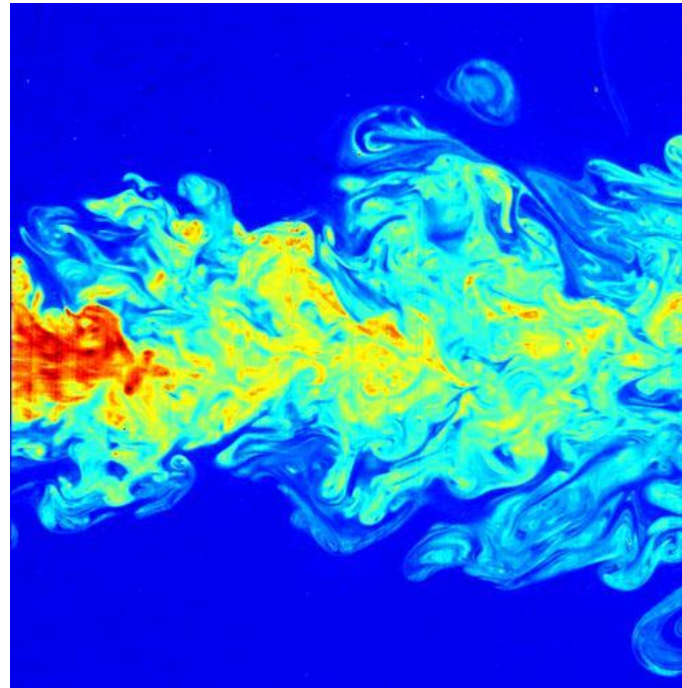
- gives a measure of the physical properties of the fluid and the fluid velocity.

Determines the regime of the fluid	$Re < 2300 (\pm 10^3)$	laminar regime
	$Re > 10^4$	turbulent regime
	$Re$ between $10^3$ e $10^4$	transition regime

### Reynolds Number (Re)



**laminar regime**

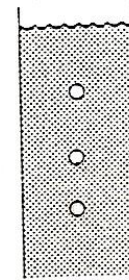


**turbulent regime**

### Reynolds Number (Re)

- Correlations for dispersed bubbles (“single bubbles”)

a) for small Reynolds number ( $Re \ll 1$ )



$$Sh = \frac{K_L D_P}{D_{O_2}} = 1.01 \left( \frac{V_t D_P}{D_{O_2}} \right)^{\frac{1}{3}}$$

Pe = Peclet number

$$Sh = 0.39 (Gr)^{\frac{1}{3}} (Sc)^{\frac{1}{3}} = 0.39 (Ra)^{\frac{1}{3}}$$

Ra = n° Rayleigh

$V_t$  = particle terminal velocity (gas bubble)

$$V_t = \frac{D_P^2 \Delta \rho g}{18 \mu_L}$$

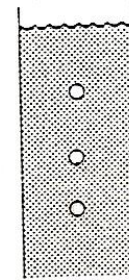
Stokes equation



### Reynolds Number (Re)

- Correlations for dispersed bubbles (“single bubbles”)

b) for high Reynolds number ( $Re \gg 1$ )



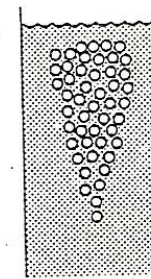
$$Sh = 2.0 + 0.6 Re^{\frac{1}{2}} Sc^{\frac{1}{3}}$$

$$Sh = 2.0 + 0.6 \left( \frac{D_P^3 \rho \Delta \rho g}{18 \mu_L^2} \right)^{\frac{1}{2}} (Sc)^{\frac{1}{3}}$$

**Gr**

### Reynolds Number (Re)

- Correlations for bubbles clusters ("bubbles swarms")



Critical bubble diameter -  **$D_c = 2.5 \text{ mm}$**

- i)  $D < D_c$                       Small bubbles
- i)  $D > D_c$                       Large bubbles

### **i) Correlations for Small Bubbles : $D < 2.5 \text{ mm}$**

$$Sh = 2.0 + 0.31Ra^{\frac{1}{3}}$$

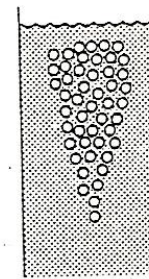
$$Sh = 2.0 + 0.31Gr^{\frac{1}{3}}Sc^{\frac{1}{3}}$$

For  $\Delta\rho = 0$

$Sh = 2$  (minimum value)

### Reynolds Number (Re)

- Correlations for bubbles clusters ("bubbles swarms")



### ii) Correlations for large Bubbles : $D > 2.5 \text{ mm}$

$$Sh = 0.42 Gr^{\frac{1}{3}} Sc^{\frac{1}{2}}$$

Comparison between i) and ii):

i)  $Sh = f(Sc^{1/3})$

ii)  $Sh = f(Sc^{1/2})$

It implies a change of hydrodynamic regime



Changing the shape of bubbles



Spherical shape for small bubbles  
semi-spherical shape for large bubbles

### Determination of the interfacial area and "Hold-Up"

The **interfacial area per volume unit** ( $a'$ ) is defined by :

$$a' = \frac{1}{V_L} n F_o t_b \frac{6}{D}$$

Aeration  
rate

$V_L$  – Liquid volume of the reactor

$n$  – Number of dispersor orifices

$F_o$  – Gas flow per orifice

$t_b$  – Residence time of bubbles in the reactor

$D$  – diameter of the bubble

### Determination of the interfacial area and "Hold-Up"

The **interfacial area per volume unit** ( $a'$ ) is defined by :

$$a' = \frac{1}{V_L} n F_o t_b \frac{6}{D}$$

$V_L$  – Liquid volume of the reactor

$n$  – Number of dispersor orifices

$F_o$  – Gas flow per orifice

$t_b$  – Residence time of bubbles in the reactor

“**Hold-up**” is defined as:

$$H = \frac{\text{Gas Volume}}{\text{Gas volume} + \text{liquid volume}}$$

can be calculated by:

$$a = H \frac{6}{D}$$

$a$  - interfacial area per unit of total volume (gas volume and liquid volume)

relationship between  $a$  and  $a'$  is given by:

$$a' (1-H) = a$$

### Determination of the interfacial area and "Hold-Up"

**Residence time of bubbles** ( $t_b$ ) is given by:

$$t_b = \int_0^{h_r} \frac{dz}{u_b(z)} = \frac{h_r}{v_t}$$

$h_r$  – reactor height  
 $v_t$  – terminal velocity

The particle **terminal velocity** ( $v_t$ ) can be calculated by:

**Stokes Law**

$$v_t = \frac{D^2 \Delta \rho g}{18\mu c}$$

Valid for small bubbles and small Reynolds numbers

$$v_t = 0,711(gD)^{\frac{1}{2}} = 22,26(D)^{\frac{1}{2}}$$

Valid for large bubbles in Newtonian fluids

### Factors influencing $K_L a'$

- $K_L$

- Diffusivity of the gas in the liquid
- Surfactants that affect interfacial properties
- Liquid rheology
- Bubble size
- Liquid flow regime

- $a'$

- Bubble size:
  - mechanical stress
  - use of surfactants
- Terminal velocity of bubbles
- “Hold-up”

### Factors influencing $K_L a'$

#### Addition of surfactants – antifoams:

⇒ Decreases surface free energy ⇒  $\sigma$  is lower

⇒  $D$  is smaller

⇒ greater liquid gas interfacial area

⇒ Interface gas-liquid ⇒ increases the resistance

more rigid                      to transport



### $K_L a'$ values in industrial fermenters

The mass transfer rate is affected by physical and chemical factors that influence  $K_L$ ,  $a'$  and  $(C_L^* - C_L)$

In industrial fermenters the  $K_L$  values vary :

$3 - 4 \times 10^{-4} \text{ m/s}$       for bubbles  $> 2\text{-}3 \text{ mm}$

$1 \times 10^{-4} \text{ m/s}$       for bubbles  $< 2 \text{ mm}$

To improve mass transfer rate,  $a'$  is the key parameter.

In industrial fermenters the value of  $K_L a'$  is :  $0,02 - 0,25 \text{ s}^{-1}$ .

- i) agitation causes a decrease in the size of the bubble  
 $\Rightarrow a'$  increases  $\Rightarrow$  **mass transfer increases** (if there is no coalescence of bubbles)
- ii) stirring leads to a **homogeneous** dispersion of different phases (solid-liquid)
- iii) agitation leads to a decrease in the size of the mycelium/fungus and cell aggregates  $\Rightarrow$  lower diffusion resistance inside  
(Agitation can cause loss of enzymatic activity (enzyme damage), morphological change)
- iv) Agitation is particularly useful in viscous systems (improves the degree of mixing in the fermentation medium).
- v) Agitation increases heat transfer rates - dissipation of heat generated by biological reaction and mechanical work.

### Type of Impellers



**Helical Agitator**

<https://chemicalengineeringworld.com>



**Propeller Agitator**



**Turbine Agitator**

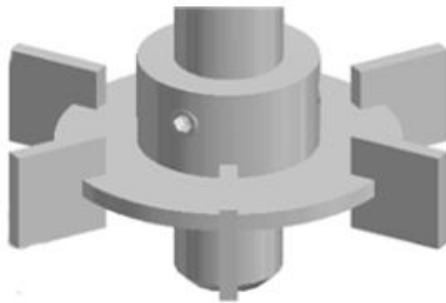


**Anchor Agitator**



**Paddle Agitator**

### Type of Impellers



#### Rushton

radial flow  
for microbial applications

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#### Pitch-blade / marine

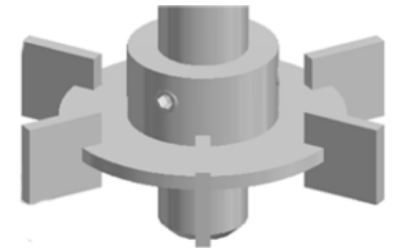
axial flow  
shear sensitive applications

### Type of Impellers

a) **Axial Flow Impellers** - Blades have a slope with the impeller



b) **Radial Flow Impellers** - Blades are parallel to agitator axis












•High agitation rates  
•n° blades  $> 4$  } Turbines

•Low agitation rates  
•2 or 4 blades } Impellers with blades

## II.5 - Mass transfer in mechanical agitation systems

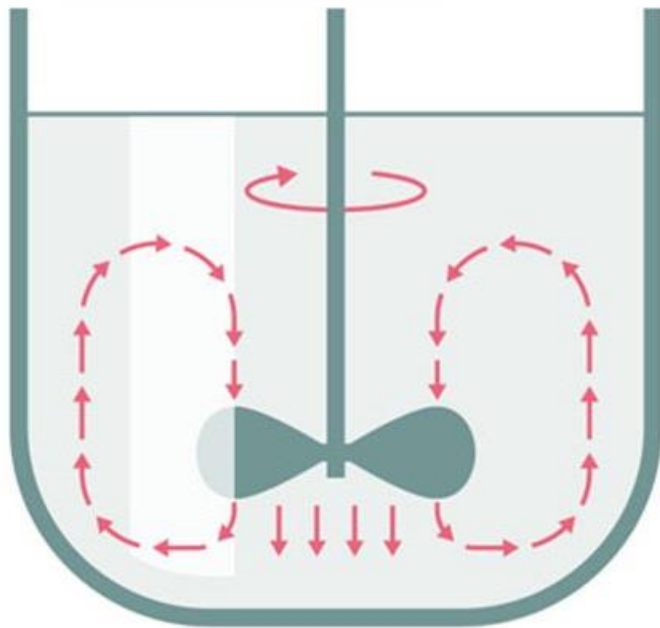
### Type of Impellers

(C) RADIAL					
	Rushton Turbine (RT)	Straight Blade (SB)	Curved Blade (CB)	R130 impeller	Curved Blade with Disc (CBWD)
(D) AXIAL					
	Rushton Turbine 45 (RT 45)	Pitched Blade Turbine (PBT)	A320 impeller	HE3 impeller	

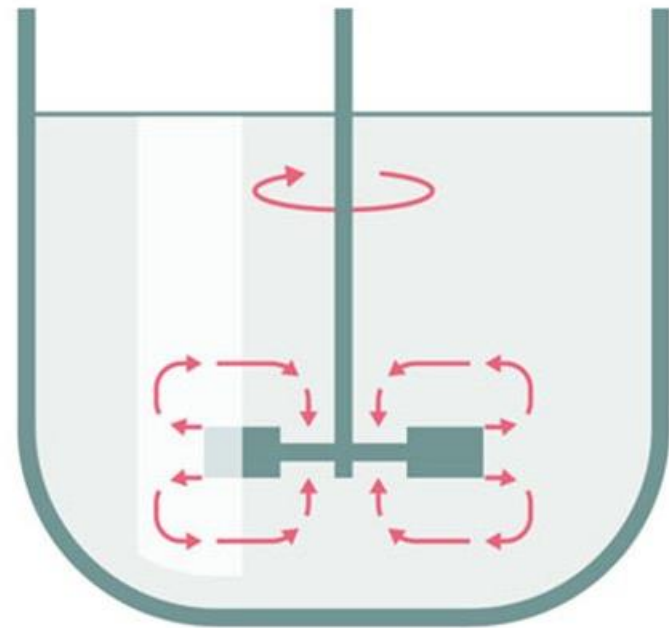
### Type of Impellers



Eppendorf  
Handling Solutions



**Axial**



**Radial**

### ➤ Reynolds number in relation to the fluid:

$$Re = \frac{\rho_L D v}{\mu_L}$$

$\rho_L$  – density of the liquid

$D$  – diameter

$v$  – fluid velocity

$\mu_L$  – viscosity of the liquid

### ➤ Reynolds number in relation to the impeller:

$$Re = \frac{\rho_L D_i^2 N_i}{\mu_L}$$

$\rho_L$  – density of the liquid

$D_i$  – impeller diameter

$N_i$  – stirring rate

$\mu_L$  – viscosity of the liquid



➤ **Agitation Power:** Only Newtonian Fluids will be considered

Power number –  $P_{no}$

$$P_{no} = \frac{P}{N_i^3 D_i^5 \rho}$$

$P$  = agitation power ( $W = Kg \ m^2/s^3$ )

$g = 9.81 \ m/s^2$

$N_i$  = stirring rate ( $s^{-1}$ )

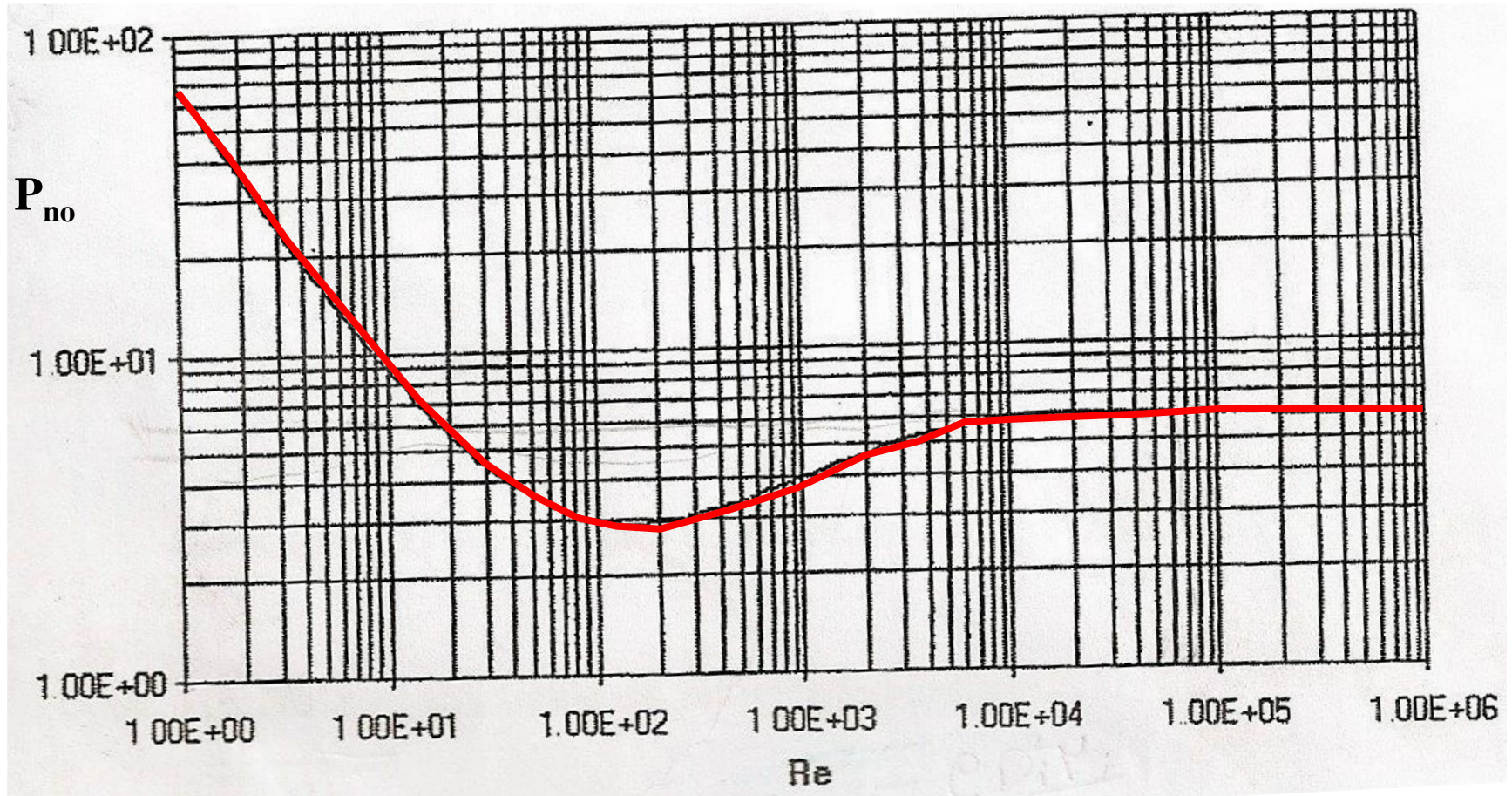
$D_i$  = impeller diameter (m)

$\rho$  = density ( $Kg/m^3$ )

**turbulent regime :**  $P_{no} = \frac{P}{N_i^3 D_i^5 \rho} \Rightarrow P = P_{no} N_i^3 D_i^5 \rho$  independent of fluid viscosity and proportional to fluid density

**laminar regime :**  $P_{no} \propto \frac{1}{R_{ei}} \Rightarrow P \propto N_i^2 D_i^3 \mu$  independent of fluid density and is directly proportional to fluid viscosity

### ➤ Agitation Power:



### ➤ Power in aerated systems with stirring:

In these systems the power requirements are lower than in non-aerated systems.

The decrease in power requirement depends on the aeration rate and the type of impeller.

The aeration velocity is characterized by **the aeration number  $N_a$** :

$$N_a = \frac{F_g / D_i^2}{N_i D_i} = \frac{F_g}{N_i D_i^3}$$

$F_g$  – Volumetric gas flow rate

$F_g / D_i^2$  – surface flow rate

### ➤ **Power with aeration:** for Newtonian Fluids

$$\frac{P_a}{P} = 0.10 \left( \frac{F_g}{N_i V} \right)^{-0.25} \left( \frac{N_i^2 D_i^4}{g w_i V^{2/3}} \right)^{-0.20}$$

- $P_a$  – Power with aeration
- $P$  – Power without aeration
- $F_g$  – Gas flow rate
- $N_i$  – Agitator agitation speed
- $V$  – Liquid Volume
- $D_i$  – Impeller diameter
- $g$  – Acceleration of gravity
- $w_i$  – Agitator Blade Width

*Power consumption per unit volume for Industrial fermenters is around 10 kW/m<sup>3</sup> for small volumes (0.1 m<sup>3</sup>) and 1 – 2 kW/m<sup>3</sup> for large volumes (100 m<sup>3</sup>).*