

## Z5488317: Felix Lin

## ERD and SQL :D

mapping ERD rels: N:M 3rd table w FKs 1:N '1' table has FK 1:1 <b>total</b> table has FK	Mapping ERD subclasses <b>ER-style</b> parent table, subclass tables w FK to parent <b>OO-style</b> table for parent and subclass w all attr; subclasses must reference parent <b>Single Table</b> 1 table w attrs of all classes; can represent disjoint inheritance by using an attr to signify its subclass		Treat <b>MVAs</b> and <b>weak entities</b> as rels. wk PK = FK + discrim, MVA PK = FK + attrs	CASE WHEN cond1 THEN res1 WHEN cond2 THEN res2 ... ELSE default END <small>equiv to if-else; returns single val</small>
attr CHECK(cond); cond involves attr	CREATE TYPE name AS ENUM(val1, val2, ...)	attr type PRIMARY KEY REFERENCES table(X)		Can use CASE for just one attr in query
IF cond THEN ... code ... ELSIF cond THEN ... code ... ELSE ... code ... END IF <b>plpgsql</b>	CREATE TABLE name ( attr1 type special constraint CHECK(condition); ... CONSTRAINT name CHECK(condition), PRIMARY KEY (PK attrs), FOREIGN KEY (FK attrs) REFERENCES r(PK) );		Filter groups using HAVING instead of WHERE	CREATE OR REPLACE FUNCTION funcName(arg1 type1, ...) RETURNS retType AS \$\$ ... sql code ... \$\$ LANGUAGE sql;
plpgsql funcs returning a SETOF can use RETURN NEXT to append to the result, and RETURN to return all	DELETE FROM table WHERE cond	If we want to find (a,b) pairs and exclude (b,a) pairs, we can sort by PK and put a WHERE pk1 > pk2	CREATE OR REPLACE FUNCTION funcName(arg1 type, ...) RETURNS retType AS \$\$ DECLARE variable1 type; ... BEGIN ... plpgsql code ... END \$\$ LANGUAGE plpgsql;	
CREATE ASSERTION name check(condition); <b>SQL only</b> Checked before and after all ops on the db	UPDATE table SET attr_j = val1, attr_j = val2, ... WHERE cond	FOR record IN sql select query LOOP ... plpgsql code ... END LOOP;		
	CREATE AGGREGATE name(intype) ( sfunc = name of transition func stype = type of intermediate states initcond = initial value of starting state finalfunc = name of finalisation func )	sfunc and finalfunc are plpgsql functions. finalfunc is optional Append 'RETURNING x' to INSERT if inserting into a table w a serial attr x without specifying a value for x		
Arrow points at the '1' entity in the relationship	Not null and check() constraints are row level, unique and PK are table level, FK is inter-table		<b>Triggers :0</b>	
Trigger funcs have access to <b>TG_OP</b> = 'INSERT', 'DELETE', 'UPDATE'	CREATE OR REPLACE TRIGGER name AFTER/BEFORE operations ON table FOR EACH ROW EXECUTE FUNCTION funcName();	If operation is UPDATE, we can specify BEFORE/AFTER UPDATE OF attr	plpgsql trigger functions have return type TRIGGER, take no args, and have access to NEW and OLD which have type RECORD	
For each statement executes once for the entire statement	Modifying and returning NEW in BEFORE trigger funcs impact the operation	Insert: NEW Update: NEW + OLD Delete: OLD	Returning OLD or NULL in a BEFORE trigger function or raising an exception aborts the operation	
Modifying OLD does nothing.	For each row runs funcName on each row impacted by the triggering event	STRING_AGG(expr, 'split' ORDER BY ...) will create a 'split' separated string of the expr (optionally) ordered by ...		
AGGREGATE(expr) FILTER(WHERE cond) allows a where to be applied only on the aggregate			RAISE EXCEPTION str;	

def funcName(args):	<b>PSYCOPG2 :a</b>			
<b>RA note:</b> theta joins will include both joined attr R Join[R.c=S.c] C will have a column for R.c and S.c	a**b = a^b a // b = floor(a / b) exit(0) success, (1) failure Strings are similar to C; str[0] is first letter sys.argv to access command-line args	<b>Parse</b> strings using int(str) or float(str). round(num, x) will round to x dp len(list) = length of list Python uses None instead of NULL; is None, is not None	cur.execute(query_string, [flags])  Use this notation to avoid SQL injections input("prompt") to print prompt and take in user input from terminal	

## Redundancy and FDs :0

Reflexivity $X \rightarrow X$	<b>Closure <math>F^+</math></b> is the largest set of FDs derivable from $F$  Closure $X^+$ is the largest set of attributes derivable from $X$ using $F$  If $Y \subset X^+$ , then $X \rightarrow Y$  In order of most to least redundancy 1,2,3NF, BCNF, 4, 5 NF  The reduced minimal cover of $F$ is the minimal cover with common LHSs recombined through additivity: $A \rightarrow B, A \rightarrow C$ becomes $A \rightarrow BC$	To find the <b>attribute closure</b> of set $X$ , start with $closure = X$ , then extend $closure$ by adding $B$ from $A \rightarrow B \in F$ where $A \subset closure$ until you can't add anything more  $X \rightarrow Y$ : if $X \subseteq Y$ , the FD is trivial; i.e. $X$ is all attrs in schema	To check if $F$ and $G$ are equivalent: 1. For each FD in $G$ , check it is derivable from $F$ , and vice versa 2. If all true, then $F = G$  The key of $R$ implied by $F$ is the smallest subset of attributes $K \subset R$ such that $K^+ = R$
Transitivity $X \rightarrow Y, Y \rightarrow Z \Rightarrow X \rightarrow Z$		BCNF differs from 3NF in that it may not preserve all FDs	Decompose $R$ into $S$ and $T$ such that $R = S \cup T, S \cap T \neq \emptyset$
Additivity $X \rightarrow Y, X \rightarrow Z \Rightarrow X \rightarrow YZ$		$X \rightarrow Y$ can be left reduced if there exists $Z \subset X$ such that $Z \rightarrow A$ can replace $X \rightarrow A$ without changing $F^+$	A decomp is lossy if we lose critical connection info needed for joins  $r(R) \neq s(S) \text{ Join } t(T)$
Projectivity $X \rightarrow YZ \Rightarrow X \rightarrow Y, X \rightarrow Z$			
Pseudo-Transitivity $X \rightarrow Y, YZ \rightarrow W \Rightarrow XZ \rightarrow W$			
A schema $R$ is in BCNF iff all FDs $(X \rightarrow Y) \in F^+$ are trivial or $X^+ = R$ (is CK)			
When finding FDs, just find the ones on the schema, don't look at FKs		To <b>find the minimal cover</b> of $F$ , make FDs canonical (1 attr RHS), left-reduce FDs (remove redundant attrs from $X$ ), then remove redundant (derivable) FDs	
Check each schema $S \in Res$ , and choose a relevant FD $X \rightarrow Y$ on $S$ that violates BCNF ( $X \neq key(S)$ and FD is not trivial) to do decomp with		Note that minimal covers are rarely unique	

<p>Compute <math>F^+</math> (impossible, just use what they give)</p> <p><b>BCNF Decomp</b></p> <p><math>Res = \{R\}</math></p> <p>while (any schema <math>S \in Res</math> is not in BCNF)</p> <p>  choose any FD <math>X \rightarrow Y</math> on <math>S</math> that violates BCNF</p> <p>    <math>R1 = S - Y</math></p> <p>    <math>R2 = XY</math></p> <p>    <math>Res = (Res - S) \cup R1 \cup R2</math></p>	<p><b>3NF Decomp</b></p> <p>Compute <b>reduced</b> minimal cover <math>F_c</math></p> <p><math>Res = \{\}</math></p> <p>for each FD <math>X \rightarrow Y \in F_c</math></p> <p>  if (no <math>S \in Res</math> contains <math>XY</math>)</p> <p>    <math>Res = Res \cup XY</math></p> <p>if (no <math>S \in Res</math> contains <math>key(R)</math>)</p> <p>  <math>K = \text{any key}(R)</math></p> <p>  <math>Res = Res \cup K</math></p>	<p><b>EXPLAIN ANALYZE</b> sql_query;</p> <p>Shows query evaluation tree in preorder traversal: read from the deepest indented leaves out</p> <p>ANALYZE is optional (runs and times the query instead of just estimating)</p> <p>Timing in psql cli toggles timing for queries</p> <p>CK is any attr set <math>X</math> such that <math>X^+ = R</math>, and there is no <math>Y \subset X</math> such that <math>Y^+ = R</math>. often multi CKs</p> <p><b>NOTE:</b> RA ops return sets, not bags</p> <p>NO DUPLICATES → impacts aggregates</p>
<p>Rename[S(attr1, attr2, ...)]R</p> <p>Renames R S, and the attrs of r to attr1, attr2, ...</p> <p>Is in 3NF if all <math>X \rightarrow Y</math>: <math>X</math> is a CK or <math>Y</math> is a single attr in a CK; or if in BCNF</p>	<p>Rename[attr1, ...]R</p> <p>Renames the attrs of R to attr1, ...</p> <p>Is in BCNF if all LHS of all relevant FDs are CKs</p>	
<p>Good strat for BCNF decomp is to state <math>S</math>, state relevant FDs on <math>S</math>, state <math>key(S)</math> then determine if <math>S</math> is in BCNF, decomposing if not</p>		

## Performance Tuning and Transactions

Sorting	$O(n \log_b n)$	External merge sort using $B$ memory buffers	To <b>encourage efficient choices by DBMS</b> :
Selection – Sequential Scan	$O(n)$		Use joins instead of subqueries, especially if subqueries are correlated
Selection – Index-Based	$O(n \log n)$	Uses B-trees with pages as nodes. Finds the page a tuple is in then searches it. Good for max/min	Filter before combining data (join, prod, div)
Selection – Hash-Based	$O(1)$	Can only be used for equality (=) tests	Avoid applying functions in WHERE/GROUP BY clauses
Nested-Loop Join	$O(nm)$	Reducible to $O(n + m)$ with buffering. Optimal for joining large relations with small ones	Indexes provide efficient content-based access to tuples, speeding up filtering/searching
Sort-Merge Join	$O(n \log n + m \log m)$	Optimal for joining two large relations that are similar in size	Create and use indexes on tables; only if the table is queried much more than it is updated, or the queries are very expensive
Hash-Join	$O(n + m \cdot \frac{n}{B})$	Uses $B$ buffers. Optimal for two large relations that are not similar in size. Hashed indexes may lose value after a join, so don't use multiple hash-joins together	Rearrange math to have indexed attr as subject, and use UNIONS of select statements with indexed attrs not OR, otherwise indexes will not be used
CREATE INDEX name ON table(attr1, ...) USING method		Rearrange math to have indexed attr as subject	Transactions are Atomic Consistent Isolated Durable
If indexing on unique attrs for equality tests, use hash method, else for non-unique range tests use btree method		Use UNIONS of select statements with indexed attrs instead of OR	The first query on some data will be slower as it reads from disk to memory, but subsequent queries can just use the data read into memory by the first query
<b>Conflict serialisable</b> → schedule can execute R and W ops in the 'right' order		Two schedules are view equivalent if every R views the same version of a data item, and the final W for each data item is the same	All conflict serialisable schedules are view serialisable, but not the other way around
Conflict if $R_i(X) W_j(X)$ , $W_i(X) W_j(X)$ , or $W_i(X) R_j(X)$			To check <b>view serializability</b> , find a view equivalent serial schedule from among the $n!$ serial schedules
Build precedence graph by drawing edge from $T_i$ to $T_j$ for each conflicting op between the transactions where the op occurs in $T_i$ before $T_j$		A schedule is safe if it is view equivalent to a serial schedule	Serializability tests are theoretically useful, but don't help make schedules in the first place
Div is good for finding "all" conditions; Div(ppl, xyz) finds all ppl with x and y and z.			Computationally cost $O(n!)$

when considering relevant FDs, break existing RHS to get more relevant FDs: <b>A→BCD gives A→BC on R(ABC)</b>
''' to print apostrophe, E'\n'
sfunc(sType, baseType) returns sType
<b>REMEMBER:</b> MVA PK = FK + all attrs

**Find the ids of employees who make the highest salary.**

Approach: find employees who do not have the highest salary (via the join), and then subtract them from the set of all employees, thus leaving just the highest paid employees.

$E1 = \text{Employees}$

$E2 = \text{Employees}$

$\text{Employees} = \text{Proj}_{[eid]}(\text{Employees})$

$\text{LowerPaidEmployees} = \text{Proj}_{[E2.eid]}(E1 \text{ Join}_{[E1.salary > E2.salary]} E2)$

**Answer = Employees Minus LowerPaidEmployees**

$R = ABCDEFGH$

$F = \{ABH \rightarrow C, A \rightarrow D, C \rightarrow E, BGH \rightarrow F, F \rightarrow AD, E \rightarrow F, BH \rightarrow E\}$

$F_c = \{BH \rightarrow C, A \rightarrow D, C \rightarrow E, F \rightarrow A, E \rightarrow F, BH \rightarrow E\}$

Produce a 3NF decomposition of R.

3NF is constructed directly from the minimal cover, after combining dependencies with a common right hand side where possible.

$F_c$  gives the following tables (with table keys in bold):

**BHCE AD CE FA EF**

A key for  $R$  is **BHG**;  $G$  must be included because it appears in no functional dependency. Since no table contains the whole key for  $R$ , we must add a table containing just the key, giving: 3NF = **BHCE AD CE FA EF BGH**

Compute a minimal cover for:

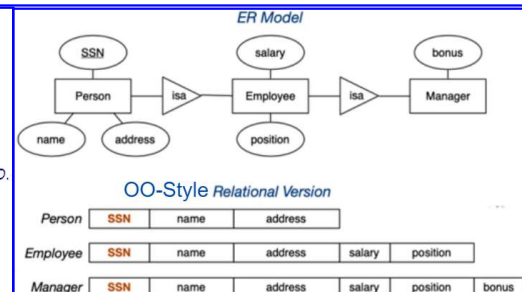
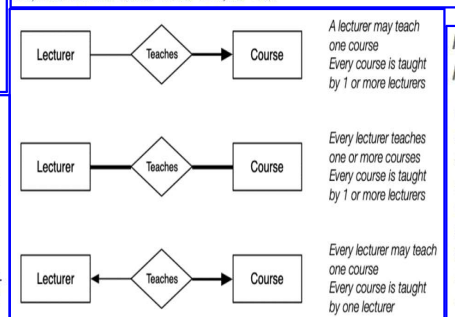
$F = \{B \rightarrow A, D \rightarrow A, AB \rightarrow D\}$

[hide answer]

Steps in converting to a minimal cover:

- put FDs into canonical form:  
 $B \rightarrow A, D \rightarrow A, AB \rightarrow D$  is already in canonical form.
- eliminate redundant attributes:  
The only possible redundant attributes are  $A$  or  $B$  in  $AB \rightarrow D$ .  
We can prove that  $A$  is redundant as follows:  
 $B \rightarrow A \Rightarrow BB \rightarrow AB \Rightarrow B \rightarrow AB$   
 $AB \rightarrow D + B \rightarrow AB \Rightarrow B \rightarrow D$   
Since we have  $AB \rightarrow D$  and  $B \rightarrow D$ ,  $A$  is redundant.
- eliminate redundant dependencies:  
The above elimination leaves:  $B \rightarrow A, D \rightarrow A, B \rightarrow D$   
But  $D \rightarrow A, B \rightarrow D \Rightarrow B \rightarrow A$

So, the minimal cover is:  $B \rightarrow D, D \rightarrow A$



$R = ABCDEFGH$

$F = \{ABH \rightarrow C, A \rightarrow DE, BGH \rightarrow F, F \rightarrow ADH, BH \rightarrow GE\}$

- We start from a schema:  $ABCDEFGH$ , with key  $BH$  (work it out from FDs).
- The FD  $A \rightarrow DE$  violates BCNF (FD with non key on LHS).
- To fix, we need to decompose into tables:  $ADE$  and  $ABCFGH$ .
- FDs for  $ADE$  are  $\{A \rightarrow DE\}$ , therefore key is  $A$ , therefore BCNF.
- FDs for  $ABCFGH$  are  $\{ABH \rightarrow C, BGH \rightarrow F, F \rightarrow AH, BH \rightarrow G\}$
- Key for  $ABCFGH$  is  $BH$ , and FD  $F \rightarrow AH$  violates BCNF (FD with non key on LHS)
- To fix, we need to decompose into tables:  $AFH$  and  $BCFG$ .
- FDs for  $AFH$  are  $\{F \rightarrow AH\}$ , therefore key is  $F$ , therefore BCNF.
- FDs for  $BCFG$  are  $\{ \}$ , so key is  $BCFG$  and table is BCNF.
- Final schema (with keys bolded): **ADE**, **FAH**, **BCFG**