Natural Language Processing for Law and Social Science

9. Sequence Models and Transformers

Outline

Introduction to Sequence Models

Sentence Embeddings

Demzsky et al (2019): Polarization in Social Media

Introduction to Transformers

Transformers: Overview

Self-Attention

A Basic Transformer

Sequence Data

- ▶ The real break-through from deep learning for NLP:
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Sequence Data

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 - Rather than inputting counts over words x, take as input a sequence of tokens $\{w_1, ..., w_t, ... w_n\}$.
- "Traditional" architectures:
 - Convolutional neural nets (CNNs)
 - Recurrent Neural Nets (RNNs)
- ➤ Since 2018, CNNs and RNNs (as currently implemented) usually get worse performance than transformers (<u>attentional</u> neural nets).

The Classic Sentence Classification Problem



- bag-of-words models won't capture the importance of "don't love" or "nothing I don't love", even with interactions / hidden layers.
- N-grams have a large feature space (especially with 4-grams) and don't share information across similar words/n-grams.

Convolutional Neural Nets ↔ N-gram Detectors

A neural net architecture that constructs **filters** that slide across input sequences and extract **local predictive structure**.

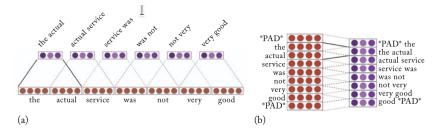


Figure 13.1: The inputs and outputs of a narrow and a wide convolution in the vector-concatenation and the vector-stacking notations. (a) A *narrow* convolution with a window of size k=2 and 3-dimensional output $(\ell=3)$, in the vector-concatenation notation. (b) A *wide* convolution with a window of size k=2, a 3-dimensional output $(\ell=3)$, in the vector-stacking notation.

Johnson and Zhang 2015

- ▶ Use CNN for classifying documents by topic and sentiment.
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- Linear model (SVM) relied on single words and just a few n-grams:
 - poor, useless, returned, not worth, return, worse, disappointed, terrible, worst, horrible
 - preat, excellent, perfect, love, easy, amazing, awesome, no problems, perfectly, beat

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 - poor, useless, returned, not worth, return, worse, disappointed, terrible, worst, horrible
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- CNN recovers longer, more interesting phrases:

N1	completely useless ., return policy .
N2	it won't even, but doesn't work
N3	product is defective, very disappointing !
N4	is totally unacceptable, is so bad
N5	was very poor, it has failed
P1	works perfectly !, love this product
P2	very pleased !, super easy to, i am pleased
P3	'm so happy, it works perfect, is awesome!
P4	highly recommend it, highly recommended!
P5	am extremely satisfied, is super fast

Table 5: Examples of predictive text regions in the training set.

were unacceptably bad, is abysmally bad, were universally poor, was hugely disappointed, was enormously disappointed, is monumentally frustrating, are endlessly frustrating best concept ever, best ideas ever, best hub ever, am wholly satisfied, am entirely satisfied, am incredicibly satisfied, 'm overall impressed, am awfully pleased, am exceptionally pleased, 'm entirely happy, are acoustically good, is blindingly fast,

Table 6: Examples of text regions that contribute to prediction. They are from the *test set*, and they did *not* appear in the training set, either entirely or partially as bi-grams.

but overall, CNNs do not work well in NLP; use embedded hashed n-grams instead (Joulin et al 2016, Goldberg 2017).

RNNs can input and output arbitrary-length sequences

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 - further, they would only output scalars or class probabilities.
- ► Recurrent Neural Nets (RNNs) work with **sequences of arbitrary length**, both as **inputs** and **outputs**:
 - can encode sequences into vectors.
 - can decode vectors into sequences.
- therefore especially useful for language tasks such as translation.

RNN Architecture

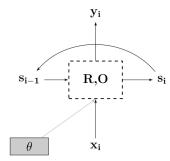
- ► At each step *t*:
 - ▶ a recursion function $R(s_{t-1}, x_t)$ computes the state vector s_t given current word x_t and previous state s_{t-1} .

RNN Architecture

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 - ▶ a recursion function $R(s_{t-1}, x_t)$ computes the state vector s_t given current word x_t and previous state s_{t-1} .
 - An output function $O(s_t)$ computes an output vector y_t (to be compared to the outcome variable in the dataset).

$$\hat{\boldsymbol{y}}_t = O(\boldsymbol{s}_t)$$

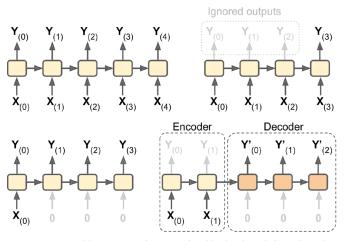
 $\boldsymbol{s}_t = R(\boldsymbol{s}_{t-1}, \boldsymbol{x}_t)$



▶ in a "Simple RNN", $R(\cdot)$ is just a dense layer with ReLU activation, and $O(s_t) = s_t$.

Encoding and Decoding

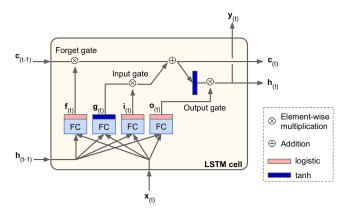
top left: sequence to sequence; top right: sequence to vector



Figure~15-4.~Seq-to-seq~(top~left),~seq-to-vector~(top~right),~vector-to-seq~(bottom~left),~and~Encoder-Decoder~(bottom~right)~networks

bottom left: vector to sequence; bottom right: encoder-decoder.

Gated Architectures – LSTM (Long Short-Term Memory)



- gating mechanisms prevent vanishing/exploding gradients (see also GRU).
- bidirectional LSTMs (trained backward and forward) get state-of-the-art performance on text classification of short documents (e.g. classifying sentences by sentiment).

RNNs: Practical Use for Sequence-to-Vector Task (see Richard Socher lecture notes)

For example, sentiment analysis:

- \triangleright tokenize the documents into $\mathbf{w}_{1:n}$
- ightharpoonup embedding $x_t = w_t \cdot \omega_E$
 - \triangleright embedding matrix ω_E can be initialized with pre-trained GloVe embeddings.
- **b** bidirectional LSTM on $x_{1:n}$ (and $x_{n:1}$) to generate document vector s
 - that is, document is fed in backwards and forwards to two parallel LSTMs,
 - Use layer normalization (normalize each data point across feature dimensions) rather than batch normalization (normalizes each feature across a sample of data points).
- **s** input to MLP to predict **y**

Application: RNN's for predicting partisanship

lyyer, Enns, Boyd-Graber, and Resnik (2014)

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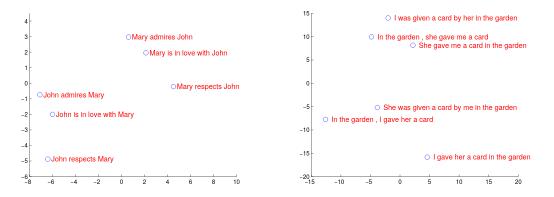
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n	Most conservative n-grams	Most liberal n-grams
1	Salt, Mexico, housework, speculated, consensus, lawyer,	rich, antipsychotic, malaria, biodiversity, richest, gene,
	pharmaceuticals, ruthless, deadly, Clinton, redistribution	pesticides, desertification, Net, wealthiest, labor, fertil-
		izer, nuclear, HIV
3	prize individual liberty, original liberal idiots, stock mar-	rich and poor,"corporate greed", super rich pay, carrying
	ket crash, God gives freedom, federal government inter-	the rich, corporate interest groups, young women work-
	ference, federal oppression nullification, respect individ-	ers, the very rich, for the rich, by the rich, soaking the
	ual liberty, Tea Party patriots, radical Sunni Islamists,	rich, getting rich often, great and rich, the working poor,
	Obama stimulus programs	corporate income tax, the poor migrants
5	spending on popular government programs, bailouts and	the rich are really rich, effective forms of worker partic-
	unfunded government promises, North America from	ipation, the pensions of the poor, tax cuts for the rich,
	external threats, government regulations place on busi-	the ecological services of biodiversity, poor children and
	nesses, strong Church of Christ convictions, radical Is-	pregnant women, vacation time for overtime pay
_	lamism and other threats	
7	government intervention helped make the Depression	African Americans and other disproportionately poor
	Great, by God in His image and likeness, producing	groups; the growing gap between rich and poor; the
	wealth instead of stunting capital creation, the tradi-	Bush tax cuts for the rich; public outrage at corporate
	tional American values of limited government, trillions	and societal greed; sexually transmitted diseases, most
	of dollars to overseas oil producers, its troubled assets to	notably AIDS; organize unions or fight for better condi-
	federal sugar daddies, Obama and his party as racialist	tions, the biggest hope for health care reform
	fanatics	

Table 2: Highest probability n-grams for conservative and liberal ideologies, as predicted by the RNN2-(W2V) model.

RNN's (e.g. Machine Translation) Produce Document Embeddings

- ▶ RNN machine translators produce a sentence vector that must be decoded into another language.
- ▶ if the vector produces a good translation, it must contain the important information in the sentence.



Sutskever, Vinyals, and Le, "Sequence to sequence learning with neural networks."

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 - show example sentences to interpret the clusters
- Sentence mover distance (Clark et al 2019):
 - adapt the idea of word mover distance to sentences.
 - find minimal cost of moving a set of sentence embeddings in document A to co-locate wth a set of sentence embeddings in document B.

Universal Sentence Encoder

```
import tensorflow_hub as hub

embed = hub.Module("https://tfhub.dev/google/"
    "universal-sentence-encoder/1")

embedding = embed([
    "The quick brown fox jumps over the lazy dog."])
```

Listing 1: Python example code for using the universal sentence encoder.

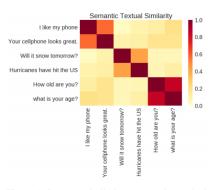


Figure 1: Sentence similarity scores using embeddings from the universal sentence encoder.

- Architecture:
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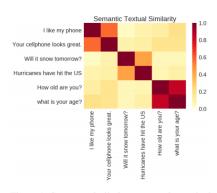


Figure 1: Sentence similarity scores using embeddings from the universal sentence encoder.

- Architecture:
 - Deep Averaging Network with embedded words and bigrams.
- Multiple pre-training objectives:
 - ▶ Identifying co-occuring sentences (as in skip thought vectors)
 - ▶ Identifying message-response pairs (Henderson et al 2017)
 - Some supervised learning tasks (see Cer et al 2018).

InferSent

- Train a bidirectional LSTM on Stanford Natural Language Inference task:
 - classifying 570K sentence pairs by entailment, contradiction, and neutral.
- ➤ The resulting sentence embeddings do better than skip-thought vectors on transfer learning tasks.

Multingual Encoders

- ► The multilingual sentence encoder (MUSE) expands the USE model to sixteen languages, in a single embedding model.
 - Trained on a similar array of tasks in all languages, so that it can be used out-of-the-box.

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 - ► Trained on a similar array of tasks in all languages, so that it can be used out-of-the-box.
- Facebook's LASER encoder produces vectors for 90 languages with a single model.
 - bidirectional LSTM architecture
 - trained on multilingual machine translation task

Transformer-Based Sentence Encoders

- ► The newest version of USE, and the best-performing sentence embeddings overall, use transformer models.
- ▶ The standard sentence encoders are in the python package SentenceTransformers.

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Analyzing polarization in social media: Method and application to tweets on 21 mass shootings

Demszky, Garg, Voigt, Zou, Gentzkow, Shapiro, and Jurafsky (2019)

- Dataset:
 - tweets about 21 mass shooting events in USA, 2015-2018.
 - N = 10,000 (out of 4.4 million tweets from the firehose archive).
 - Party affiliation identified off of whether account follows more Democrats or Republicans
- Text partisanship:
 - measure from Gentzkow, Shapiro, and Taddy (2019) roughly, text distance between Democrat and Republican twitter accounts.

Sentence Embeddings for Topic Assignment

- ▶ Train GloVe embeddings on tweets and create Create Arora et al (2017) embeddings:
- Cluster the embeddings using k-means
- Identify and drop hard-to-classify tweets:
 - 1. compute ratio of distance to closest topic and distance to second-closest topic.
 - 2. drop tweets above the 75th percentile.
- Validation using Amazon Mechanical Turk to choose number of clusters:
 - ▶ Identify word intruder: five from one cluster, one from another cluster.
 - ▶ Identify tweet intruder: three from one cluster, and one from another cluster.

Topic Content

Topic	10 Nearest Stems
news	break, custodi, #breakingnew, #updat, confirm,
(19%)	fatal, multipl, updat, unconfirm, sever
investigation	suspect, arrest, alleg, apprehend, custodi,
(9%)	charg, accus, prosecutor, #break, ap
shooter's identity	extremist, radic, racist, ideolog, label,
& ideology (11%)	rhetor, wing, blm, islamist, christian
victims & location	bar, thousand, california, calif, among,
(4%)	los, southern, veteran, angel, via
laws & policy	sensibl, regul, requir, access, abid, #gunreformnow,
(14%)	legisl, argument, allow, #guncontolnow
solidarity	affect, senseless, ach, heart, heartbroken,
(13%)	sadden, faculti, pray, #prayer, deepest
remembrance	honor, memori, tuesday, candlelight, flown,
(6%)	vigil, gather, observ, honour, capitol
other	dude, yeah, eat, huh, gonna, ain,
(23%)	shit, ass, damn, guess

- The embedding method resulted in more coherent topics (better MTurk validation for words and tweets) than a topic model. k = 8 got best coherence.
 - ► Appendix reports samples of tweets for each topic (but does not say how samples were selected).

Between-topic vs within-topic polarization

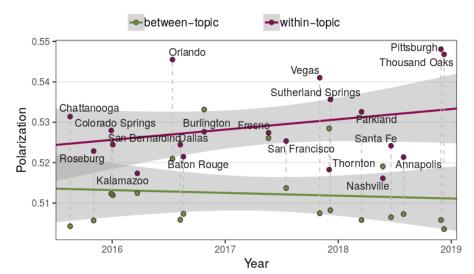
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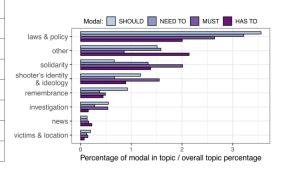
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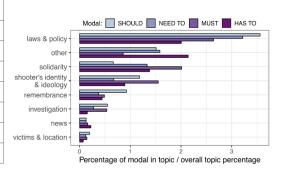
This roller coaster debate MUST STOP! Sensible gun ownership is one thing but assault weapons massacre innocent lives. The savagery of gore at #Parkland was beyond belief & must be the last. In times of tragedy shouldn't we all come together?! Prayers for those harmed in the #PlannedParenthood shooting. Communities need to step up and address white on white crime like the Las Vegas massacre. White men are out of control. he BLM protest shooting, planned parenthood, now cali... domestic terrorism will crumble this country, SANE PPL HAVE TO FIGHT BACK Shooting cops is horrible, cannot be condoned. But must be understood these incidents are outgrowth of decades of police abuses, #BatonRouge 1. Islamic terrorists are at war with us 2. Gun free zones = kill zones 3. Americans should be allowed to defend themselves #Chattanooga Las Vegas shooting Walmart shooting and now 25 people killed in Texas over 90 people killed Mexico should build that wall to keep the US out CNN reporting 20 dead, 42 injured in Orlando night club shooting. Just awful. The US must act to control guns or this carnage will continue.



- ➤ Count the four most frequent necessity modals in the data: should, must, have to, need to.
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 - in this context, they are used as calls to action.
- ▶ Democrats use modals more than Republicans; Republicans seem more fatalistic.

Partisanship of Topics, by Race of Shooter

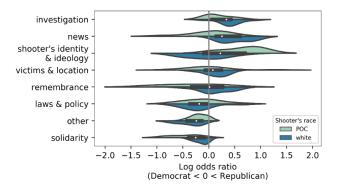


Figure 7: The plot shows the kernel density of the partisan log odds ratios of each topic (one observation per event). The white points show the median and the black rectangles the interquartile range across events.

Partisan Framing Devices: Words

Partisanship of phrases from supervised model:

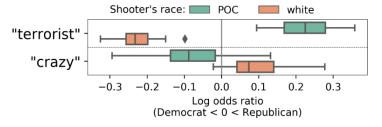


Figure 8: The log odds ratios of "terrorist" and "crazy" across events, grouped by the shooter's race. The boxes show the interquartile range and the diamond an outlier.

Partisan valence of "terrorist" and "crazy" flip depending on race of shooter (these words have the largest racial difference in the joint vocabulary).

Affect (Emotions)

- ► Starting point: Emotion lexicon from Mohammad and Turney (2013), available at saifmohammad.com.
 - ▶ 14,182 words assigned to sentiment (positive/negative) and emotions (anger, anticipation, disgust, fear, joy, sadness, surprise, trust).

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sadness senseless, loss, tragedi, lost, devast, sad, love, griev, horrif, terribl, pain, violenc, condol, broken, hurt, feel, victim, mourn, horrifi, will, grief, ach, suffer, sick, kill, aw, sicken, evil, massacr, mad

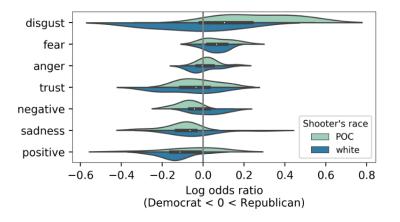
disgust disgust, sick, shame, ignor, wrong, blame, hell, ridicul, idiot, murder, evil, coward, sicken, feel, disgrac, slaughter, action, bad, insan, attack, pathet, outrag, polit, terrorist, mad, damn, lose, shit, lie, asshol

anger gun, will, murder, kill, violenc, wrong, shoot, bad, death, attack, feel, shot, action, arm, idiot, crazi, crimin, terrorist, mad, hell, crime, blame, fight, ridicul, insan, shit, die, threat, terror, hate fear danger, threat, fear, arm, gun, still, shooter, attack, feel, fight, hide, murder, shot, shoot, bad, kill, chang, serious, violenc, forc, risk, defend, warn, govern, concern, fail, polic, wrong, case, terrorist

trust school, like, good, real, secur, show, nation, don, protect, call, teacher, help, law, great, save, true, wonder, respons, sad, answer, person, feel, safe, thought, continu, love, guard, church, fact, support

Partisanship of Affect Categories

► Compute partisanship scores using affect-category counts:



▶ Disgust affect flips along partisan lines depending on race of shooter.

We provide an NLP framework to uncover four linguistic dimensions of political polarization in social media: topic choice, framing, affect and illocutionary force. We quantify these aspects with existing lexical methods, and propose clustering of tweet embeddings as a means to identify salient topics for analysis across events; human evaluations show that our approach generates more cohesive topics than traditional LDA-based models. We apply our methods to study 4.4M tweets on 21 mass shootings. We provide evidence that the discussion of these events is highly polarized politically and that this polarization is primarily driven by partisan differences in framing rather than topic choice. We identify framing devices, such as grounding and the contrasting use of the terms "terrorist" and "crazy", that contribute to polarization. Results pertaining to topic choice, affect and illocutionary force suggest that Republicans focus more on the shooter and event-specific facts (news) while Democrats focus more on the victims and call for policy changes. Our work contributes to a deeper understanding of the way group divisions manifest in language and to computational methods for studying them.

- 1. What is the research question?
- 2. What is the problem solved?

- 3. What is being measured?
- 4. How does the measurement help answer the research question?

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- ➤ Since a 2017 paper (Vaswani et al 2017), deep learning for NLP has been transformed by a new class of models: **transformers.**
 - replaces recurrence or convolutions with *attention*.
- "Attention" is a type of soft convolutional filter, which provides a weighted aggregation over a sequence, with task-relevant words up-weighted by the attention filter.

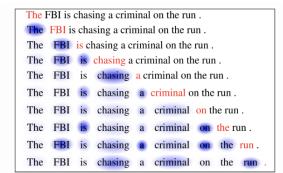


Fig. 6. The current word is in red and the size of the blue shade indicates the activation level. (Image source: Cheng et al., 2016)

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► A transformer consists of multiple "transformer layers", which consist of multiple parallel attention filters.

OPENAI'S NEW MULTITALENTED AI WRITES, TRANSLATES, AND SLANDERS

A step forward in AI text-generation that also spells trouble

By James Vincent | Feb 14, 2019, 12:00pm EST

Howard, co-founder of Fast.Al agrees. "I've been trying to warn people about this for a while," he says. "We have the technology to totally fill Twitter, email, and the web up with reasonable-sounding, context-appropriate prose, which would drown out all other speech and be impossible to filter."

https://transformer.huggingface.co/doc/distil-gpt2

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 - the resulting document embeddings contain most (perhaps all?) of the relevant information in short language snippets.
 - blew away all the NLP baselines (e.g. semantic role labeling, question-answering, entailment, etc.) when it came out in 2018.

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 - train transformer to predict left-out words in the middle of a sequence.
 - the resulting document embeddings contain most (perhaps all?) of the relevant information in short language snippets.
 - blew away all the NLP baselines (e.g. semantic role labeling, question-answering, entailment, etc.) when it came out in 2018.
- immediately relevant use cases for our purpose:
 - many pre-trained models, e.g. for sentiment classification
 - BERT model can be fine-tuned to quickly get optimal results for many text classification tasks.

Shortcut: Using BERT-Based Pre-Trained Models

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```
from transformers import pipeline
sentiment analysis = pipeline("sentiment-analysis")
pos text = "I enjoy studying computational algorithms."
neg text = "I dislike sleeping late everyday."
pos sent = sentiment analysis(pos text)[0]
print(pos sent['label'], 0 pos sent['score'])
neg sent = sentiment analysis(neg text)[0]
print(neg sent['label'], neg sent['score'])
```

- also straightforward to fine-tune BERT for your own classification tasks.
- see notebooks for full details / explanation.

Outline

Introduction to Sequence Models

Sentence Embeddings

Demzsky et al (2019): Polarization in Social Media

Introduction to Transformers

Transformers: Overview

Self-Attention

A Basic Transformer

Self-Attention – the fundamental computation underlying transformers

- ► Consider a sequence of tokens with fixed length n_L , $\{w_1,...,w_i,...,w_{n_l}\}$
- ▶ We have word embedding vectors $x_i = E(w_i)$ with dimension n_E , producing a sequence of vectors

$$\{x_1,...,x_i,...,x_{n_L}\}$$

In previous models, the sequence $x_{1:n_L}$ could be flattened to an $n_L n_E$ -dimensional vector and piped to the hidden layers for use in the task, e.g. sentiment classification.

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- In previous models, the sequence $x_{1:n_L}$ could be flattened to an $n_L n_E$ -dimensional vector and piped to the hidden layers for use in the task, e.g. sentiment classification.
- ▶ A **self-attention layer** transforms $x_{1:n_l}$ into a second sequence $h_{1:n_l}$, where

$$h_i = \sum_{j=1}^{n_L} a(x_i, x_j) x_j$$

- where $a(\cdot)$ is an attention function such that $a(\cdot) \ge 0$, $\sum a(\cdot) = 1$.
- ightharpoonup each h_i becomes a weighted average of the whole sequence.
- $ightharpoonup h_{1:n_L}$ is flattened and piped to the network's hidden layers, rather than $x_{1:n_L}$.

Basic Self-Attention

Setup:

- 1. Sequence of tokens $\{w_1, ..., w_i, ..., w_{n_l}\}$
- 2. Sequence of (trainable) embedding vectors $\{x_1,...,x_i,...,x_{n_L}\}$
- 3. Sequence of attention-transformed vectors $\{h_1,...,h_i,...,h_{n_i}\}$ with

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Basic self-attention specifies

$$a(x_i, x_j) = \frac{\exp(x_i \cdot x_j)}{\sum_{k=1}^{n_L} \exp(x_i \cdot x_k)}$$

▶ the dot-product $x_i \cdot x_j$, normalized with softmax such that $\sum_i a(\cdot) = 1$.

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- Putting it together:

$$h_i = \sum_{i=1}^{n_L} \frac{\exp(x_i \cdot x_j)}{\sum_{k=1}^{n_L} \exp(x_i \cdot x_k)} x_j$$

► The basic self-attention transformation

$$h_i = \sum_{j=1}^{n_L} \frac{\exp(x_i \cdot x_j)}{\sum_{k=1}^{n_L} \exp(x_i \cdot x_k)} x_j$$

is the foundational ingredient of transformers.

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Note the following simplifications:

- basic self-attention has no learnable parameters.
 - self-attention works indirectly through the word embeddings (more next slide)
- basic self-attention ignores word order.

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Note the following simplifications:

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The big initial gain from transformers, relative to RNNs, came from basic self-attention.

▶ The successful models (e.g. BERT, GPT) do add parameters and word order information to $a(\cdot)$ (to be discussed more next week).

Why self-attention works

Consider a sentence

the, cat, walks, on, the, street

with embeddings

$$\mathbf{X}_{\mathsf{the}}, \mathbf{X}_{\mathsf{cat}}, \mathbf{X}_{\mathsf{walks}}, \mathbf{X}_{\mathsf{on}}, \mathbf{X}_{\mathsf{the}}, \mathbf{X}_{\mathsf{street}}$$

► Feeding this sentence into the self-attention layer produces

$$h_{\mathsf{the}}, h_{\mathsf{cat}}, h_{\mathsf{walks}}, h_{\mathsf{on}}, h_{\mathsf{the}}, h_{\mathsf{street}}$$

where
$$\boldsymbol{h}_i = \sum_{j=1}^n \frac{\exp(\boldsymbol{x}_i \cdot \boldsymbol{x}_j)}{\sum_k \exp(\boldsymbol{x}_i \cdot \boldsymbol{x}_k)} \cdot \boldsymbol{x}_j$$
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.

Embedding layer will learn vectors **x** that tend to have **attention dot products** that contribute to the task at hand.

- ► For example, most transformers are pre-trained on a language modeling task (predicting a left-out word or sentence)
- in this task, stopwords like "the" will not be helpful.
 - ightharpoonup the learned embedding x_{the} will tend to have a low or negative dot product with more informative words.

Outline

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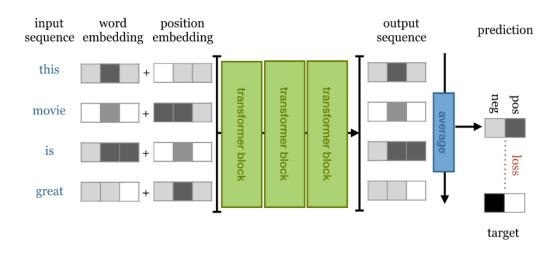
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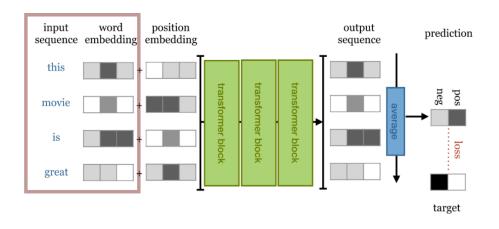
A Basic Transformer

Transformer for Sentiment Classification



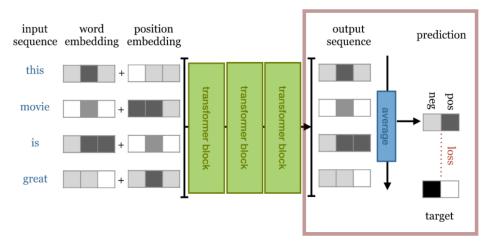
Transformer for Sentiment Classification

Input sequence → word embedding



- ▶ Input sequence of tokens $\{w_1, ..., w_i, ..., w_{n_L}\}$
- ▶ Trainable embedding vectors $[x_1...,x_i...x_{n_L}]$

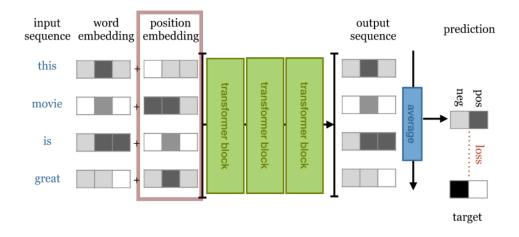
 $\dots \rightarrow \mathsf{document} \ \mathsf{embedding} \rightarrow \mathsf{sentiment} \ \mathsf{score}$



- ightharpoonup output sequence $\{h_1^y,...,h_i^y,...,h_{n_l}^y\}$
- averaged to produce document vector d
- final output layer with sigmoid activation to produce probabilities \hat{y} across positive and negative output classes.

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 $\dots \rightarrow \mathsf{position} \; \mathsf{embedding} \rightarrow \dots$



Position Embeddings

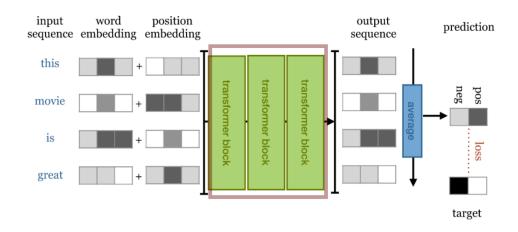
- ► To add word order information, transformers add a **position embedding** along with the **word embedding** as input to the attention layer.
- ▶ input to transformer block is

$$h^0 = \begin{bmatrix} x_1 & \dots & x_i & \dots & x_{n_L} \\ t_1 & \dots & t_i & \dots & t_{n_L} \end{bmatrix}$$

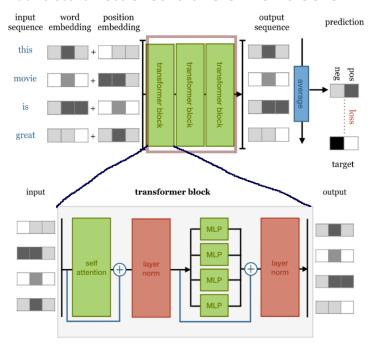
which includes

- word embeddings $\{x_1,...,x_i,...,x_{n_l}\}$ with dimension n_E
- stacked with $\{t_1,...,t_i,...,t_{n_L}\}$, learnable categorical embeddings with dimension n_t for each index number i itself.
- ▶ Note: puts a hard limit on sequence lengths (will discuss ways to address this next time)

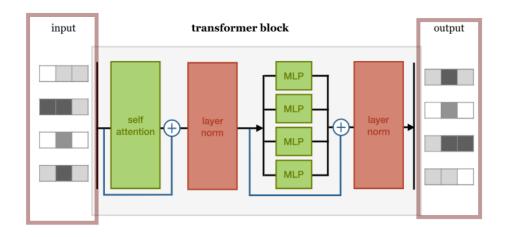
 $\dots \rightarrow \mathsf{transformer} \; \mathsf{blocks} \rightarrow \dots$



A transformer consists of stacked transformer blocks

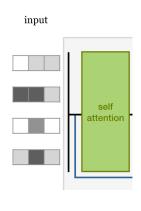


Transformer block (input and output)



▶ Each transformer block $l \in \{0,...,n_y\}$ takes as input a sequence of vectors $h_{1:n_L}^l$ and outputs a sequence of vectors $h_{1:n_L}^l$, which become the input for the next transformer block.

Transformer Block (Self-Attention Layer)

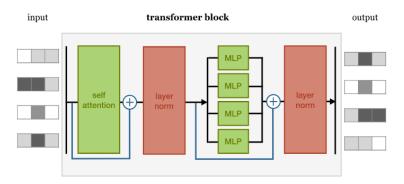


the "self attention" layer:

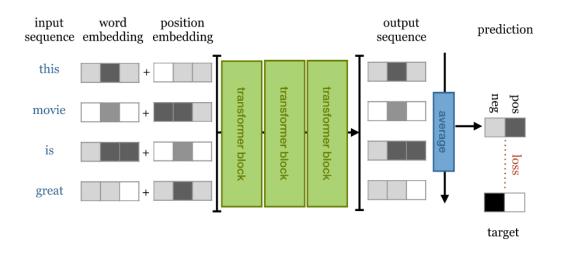
- input:
 - ightharpoonup for the first block, includes the word embeddings and position embeddings h^0
 - ▶ for the later blocks, includes the output of the previous block h^I
- output:
 - matrix of self-attention-transformed vectors where item i is

$$\sum_{i=1}^{n_L} a(h_i^l, h_j^l) h_j^l$$

The Transformer Block (Dense Layers)



- self-attention layer's outputs are normalized
 - ▶ we will come back to residual connections (blue line with ⊕) and "layer normalization" next week.
- piped to a multi-layer perceptron (MLP) with two hidden layers, with ReLU activation after the first layer.
- **normalized** again then output to h^{l+1} :
 - \triangleright either to the next transformer block, or to the output layer h^{n_y} .



will get state-of-the-art performance, and much faster to train than a bidirectional LSTM.

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 - With RNNs, training cannot be parallelized because they are connected
 - you need the output at each step to compute the next steps.
 - with transformers, all the blocks can be trained together.
- ► Thanks to scalability, transformers can become huge and deep and still train efficiently.
 - ▶ 12-layer LSTMs do not exist because they are computationally too expensive