

IP Performance Measurement
Internet-Draft
Intended status: Experimental
Expires: 16 January 2023

C. Paasch
R. Meyer
S. Cheshire
O. Shapira
Apple Inc.
M. Mathis
Google, Inc
15 July 2022

Responsiveness under Working Conditions
draft-ietf-ippm-responsiveness-00

Abstract

For many years, a lack of responsiveness, variously called lag, latency, or bufferbloat, has been recognized as an unfortunate, but common, symptom in today's networks. Even after a decade of work on standardizing technical solutions, it remains a common problem for the end users.

Everyone "knows" that it is "normal" for a video conference to have problems when somebody else at home is watching a 4K movie or uploading photos from their phone. However, there is no technical reason for this to be the case. In fact, various queue management solutions (fq_codel, cake, PIE) have solved the problem.

Our networks remain unresponsive, not from a lack of technical solutions, but rather a lack of awareness of the problem and its solutions. We believe that creating a tool whose measurement matches people's everyday experience will create the necessary awareness, and result in a demand for products that solve the problem.

This document specifies the "RPM Test" for measuring responsiveness. It uses common protocols and mechanisms to measure user experience specifically when the network is under working conditions. The measurement is expressed as "Round-trips Per Minute" (RPM) and should be included with throughput (up and down) and idle latency as critical indicators of network quality.

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Table of Contents

1. Introduction	3
1.1. Terminology	3
2. Design Constraints	4
3. Goals	6
4. Measuring Responsiveness Under Working Conditions	6
4.1. Working Conditions	6
4.1.1. From single-flow to multi-flow	7
4.1.2. Parallel vs Sequential Uplink and Downlink	8
4.1.3. Reaching full link utilization	8
4.1.4. Final "Working Conditions" Algorithm	9
4.2. Measuring Responsiveness	10
4.2.1. Aggregating the Measurements	11
5. Interpreting responsiveness results	12
5.1. Elements influencing responsiveness	12
5.1.1. Client side influence	12
5.1.2. Network influence	13
5.1.3. Server side influence	13
5.2. Root-causing Responsiveness	13
6. RPM Test Server API	14
7. RPM Test Server Discovery	15

8. Security Considerations	16
9. IANA Considerations	16
10. Acknowledgments	16
11. Informative References	16
Appendix A. Example Server Configuration	18
A.1. Apache Traffic Server	18
Authors' Addresses	18

1. Introduction

For many years, a lack of responsiveness, variously called lag, latency, or bufferbloat, has been recognized as an unfortunate, but common, symptom in today's networks [Bufferbloat]. Solutions like fq_codel [RFC8290] or PIE [RFC8033] have been standardized and are to some extent widely implemented. Nevertheless, people still suffer from bufferbloat.

Although significant, the impact on user experience can be transitory – that is, its effect is not always present. Whenever a network is actively being used at its full capacity, buffers can fill up and create latency for traffic. The duration of those full buffers may be brief: a medium-sized file transfer, like an email attachment or uploading photos, can create bursts of latency spikes. An example of this is lag occurring during a videoconference, where a connection is briefly shown as unstable.

These short-lived disruptions make it hard to narrow down the cause. We believe that it is necessary to create a standardized way to measure and express responsiveness.

Existing network measurement tools could incorporate a responsiveness measurement into their set of metrics. Doing so would also raise the awareness of the problem and would help establish a new expectation that the standard measures of network quality should – in addition to throughput and idle latency – also include latency under load, or, as we prefer to call it, responsiveness under working conditions.

1.1. Terminology

A word about the term "bufferbloat" – the undesirable latency that comes from a router or other network equipment buffering too much data. This document uses the term as a general description of bad latency, using more precise wording where warranted.

"Latency" is a poor measure of responsiveness, since it can be hard for the general public to understand. The units are unfamiliar ("what is a millisecond?") and counterintuitive ("100 msec – that sounds good – it's only a tenth of a second!").

Instead, we create the term "Responsiveness under working conditions" to make it clear that we are measuring all, not just idle, conditions, and use "round-trips per minute" as the metric. The advantage of round-trips per minute are two-fold: First, it allows for a metric that is "the higher the better". This kind of metric is often more intuitive for end-users. Second, the range of the values tends to be around the 4-digit integer range which is also a value easy to compare and read, again allowing for a more intuitive use. Finally, we abbreviate the measurement to "RPM", a wink to the "revolutions per minute" that we use for car engines.

This document defines an algorithm for the "RPM Test" that explicitly measures responsiveness under working conditions.

2. Design Constraints

There are many challenges around measurements on the Internet. They include the dynamic nature of the Internet, the diverse nature of the traffic, the large number of devices that affect traffic, and the difficulty of attaining appropriate measurement conditions.

Internet paths are changing all the time. Daily fluctuations in the demand make the bottlenecks ebb and flow. To minimize the variability of routing changes, it's best to keep the test duration relatively short.

TCP and UDP traffic, or traffic on ports 80 and 443, may take significantly different paths on the Internet and be subject to entirely different Quality of Service (QoS) treatment. A good test will use standard transport-layer traffic - typical for people's use of the network - that is subject to the transport's congestion control that might reduce the traffic's rate and thus its buffering in the network.

Traditionally, one thinks of bufferbloat happening on the routers and switches of the Internet. However, the networking stacks of the clients and servers can have huge buffers. Data sitting in TCP sockets or waiting for the application to send or read causes artificial latency, and affects user experience the same way as "traditional" bufferbloat.

Finally, it is crucial to recognize that significant queueing only happens on entry to the lowest-capacity (or "bottleneck") hop on a network path. For any flow of data between two communicating devices, there is always one hop along the path where the capacity available to that flow at that hop is the lowest among all the hops of that flow's path at that moment in time. It is important to understand that the existence of a lowest-capacity hop on a network

path is not itself a problem. In a heterogeneous network like the Internet it is inevitable that there must necessarily be some hop along the path with the lowest capacity for that path. If that hop were to be improved to make it no longer the lowest-capacity hop, then some other hop would become the new lowest-capacity hop for that path. In this context a "bottleneck" should not be seen as a problem to be fixed, because any attempt to "fix" the bottleneck is futile - such a "fix" can never remove the existence of a bottleneck on a path; it just moves the bottleneck somewhere else. Arguably, this heterogeneity of the Internet is one of its greatest strengths. Allowing individual technologies to evolve and improve at their own pace, without requiring the entire Internet to change in lock-step, has enabled enormous improvements over the years in technologies like DSL, cable modems, Ethernet, and Wi-Fi, each advancing independently as new developments became ready. As a result of this flexibility we have moved incrementally, one step at a time, from 56kb/s dial-up modems in the 1990s to Gb/s home Internet service and Gb/s wireless connectivity today.

Note that in a shared datagram network, conditions do not remain static. The hop that is the current bottleneck may change from moment to moment. For example, changes in other traffic may result in changes to a flow's share of a given hop. A user moving around may cause the Wi-Fi transmission rate to vary widely, from a few Mb/s when far from the Access Point, all the way up to Gb/s or more when close to the Access Point.

Consequently, if we wish to enjoy the benefits of the Internet's great flexibility, we need software that embraces and celebrates this diversity and adapts intelligently to the varying conditions it encounters.

Because significant queueing only happens on entry to the bottleneck hop, the queue management at this critical hop of the path almost entirely determines the responsiveness of the entire flow. If the bottleneck hop's queue management algorithm allows an excessively large queue to form, this results in excessively large delays for packets sitting in that queue awaiting transmission, significantly degrading overall user experience.

In order to discover the depth of the buffer at the bottleneck hop, the RPM Test mimics normal network operations and data transfers, to cause this bottleneck buffer to fill to capacity, and then measures the resulting end-to-end latency under these operating conditions. A well managed bottleneck queue will keep its queue occupancy under control, resulting in consistently low round-trip time and consistently good responsiveness. A poorly managed bottleneck queue will not.

3. Goals

The algorithm described here defines an RPM Test that serves as a good proxy for user experience. This means:

1. Today's Internet traffic primarily uses HTTP/2 over TLS. Thus, the algorithm should use that protocol.

As a side note: other types of traffic are gaining in popularity (HTTP/3) and/or are already being used widely (RTP). Traffic prioritization and QoS rules on the Internet may subject traffic to completely different paths: these could also be measured separately.

2. The Internet is marked by the deployment of countless middleboxes like transparent TCP proxies or traffic prioritization for certain types of traffic. The RPM Test must take into account their effect on TCP-handshake [RFC0793], TLS-handshake, and request/response.
3. The test result should be expressed in an intuitive, nontechnical form.
4. Finally, to be useful to a wide audience, the measurement should finish within a short time frame. Our target is 20 seconds.

4. Measuring Responsiveness Under Working Conditions

To make an accurate measurement, the algorithm must reliably put the network in a state that represents those "working conditions". During this process, the algorithm measures the responsiveness of the network. The following explains how the former and the latter are achieved.

4.1. Working Conditions

There are many different ways to define the state of "working conditions" to measure responsiveness. There is no one true answer to this question. It is a tradeoff between using realistic traffic patterns and pushing the network to its limits.

In this document we aim to generate a realistic traffic pattern by using standard HTTP transactions but exploring the worst-case scenario by creating multiple of these transactions and using very large data objects in these HTTP transactions.

This allows to create a stable state of working conditions during which the network is used at its nearly full capacity, without generating DoS-like traffic patterns (e.g., intentional UDP flooding). This creates a realistic traffic mix representative of what a typical user's network experiences in normal operation.

Finally, as end-user usage of the network evolves to newer protocols and congestion control algorithms, it is important that the working conditions also can evolve to continuously represent a realistic traffic pattern.

4.1.1.1. From single-flow to multi-flow

A single TCP connection may not be sufficient to reach the capacity of a path quickly. Using a 4MB receive window, over a network with a 32 ms round-trip time, a single TCP connection can achieve up to 1Gb/s throughput. For higher throughput and/or networks with higher round-trip time, TCP allows larger receive window sizes, up to 1 GB. For most applications there is little reason to open multiple parallel TCP connections in an attempt to achieve higher throughput.

However, it may take some time for a single TCP connection to ramp up to full speed, and one of the goals of the RPM test is to quickly load the network to capacity, take its measurements, and then finish. Additionally, traditional loss-based TCP congestion control algorithms react aggressively to packet loss by reducing the congestion window. This reaction (intended by the protocol design) decreases the queueing within the network, making it harder to determine the depth of the bottleneck queue reliably.

The purpose of the RPM Test is not to productively move data across the network in a useful way, the way a normal application does. The purpose of the RPM Test is, as quickly as possible, to simulate a representative traffic load as if real applications were doing sustained data transfers, measure the resulting round-trip time occurring under those realistic conditions, and then end the test. Because of this, using multiple simultaneous parallel connections allows the RPM test to complete its task more quickly, in a way that overall is less disruptive and less wasteful of network capacity than a test using a single TCP connection that would take longer to bring the bottleneck hop to a stable saturated state.

4.1.2. Parallel vs Sequential Uplink and Downlink

Poor responsiveness can be caused by queues in either (or both) the upstream and the downstream direction. Furthermore, both paths may differ significantly due to access link conditions (e.g., 5G downstream and LTE upstream) or the routing changes within the ISPs. To measure responsiveness under working conditions, the algorithm must explore both directions.

One approach could be to measure responsiveness in the uplink and downlink in parallel. It would allow for a shorter test run-time.

However, a number of caveats come with measuring in parallel:

- * Half-duplex links may not permit simultaneous uplink and downlink traffic. This means the test might not reach the path's capacity in both directions at once and thus not expose all the potential sources of low responsiveness.
- * Debuggability of the results becomes harder: During parallel measurement it is impossible to differentiate whether the observed latency happens in the uplink or the downlink direction.

Thus, we recommend testing uplink and downlink sequentially. Parallel testing is considered a future extension.

4.1.3. Reaching full link utilization

The RPM Test gradually increases the number of TCP connections and measures "goodput" – the sum of actual data transferred across all connections in a unit of time. When the goodput stops increasing, it means that the network is used at its full capacity. At this point we are creating the worst-case scenario within the limits of the realistic traffic pattern.

The algorithm notes that throughput increases rapidly until TCP connections complete their TCP slow-start phase. At that point, throughput eventually stalls, often due to receive window limitations, particularly in cases of high network bandwidth, high network round-trip time, low receive window size, or a combination of all three. The only means to further increase throughput is by adding more TCP connections to the pool of load-generating connections. If new connections leave the throughput the same, full link utilization has been reached and – more importantly – the working condition is stable.

4.1.4. Final "Working Conditions" Algorithm

The following algorithm reaches working conditions of a network by using HTTP/2 upload (POST) or download (GET) requests of infinitely large files. The algorithm is the same for upload and download and uses the same term "load-generating connection" for each. The actions of the algorithm take place at regular intervals. For the current draft the interval is defined as one second.

Where

- * i : The index of the current interval. The variable i is initialized to 0 when the algorithm begins and increases by one for each interval.
- * instantaneous aggregate goodput at interval p : The number of total bytes of data transferred within interval p , divided by the interval duration. If p is negative (i.e., a time interval logically prior to the start of the test beginning, used in moving average calculations), the number of total bytes of data transferred within that interval is considered to be 0.
- * moving average aggregate goodput at interval p : The number of total bytes of data transferred within interval p and the three immediately preceding intervals, divided by four times the interval duration.
- * moving average stability during the period between intervals b and e : Whether or not, for all $b \leq x < e$, the absolute difference is less than 5% between the moving average aggregate goodput at interval x and the moving average aggregate goodput at interval $x+1$. If all absolute differences are below 5% then the moving average has achieved stability. If any of the absolute differences are 5% or more then the moving average has not achieved stability.

the steps of the algorithm are:

- * Create four load-generating connections.
- * At each interval:
 - Compute the instantaneous aggregate goodput at interval i .
 - Compute the moving average aggregate goodput at interval i .

- If the moving average aggregate goodput at interval i is more than a 5% increase over the moving average aggregate goodput at interval $i - 1$, the network has not yet reached full link utilization.
 - o If no load-generating connections have been added within the last four intervals, add four more load-generating connections.
- Else, the network has reached full link utilization with the existing load-generating connections. The current state is a candidate for stable working conditions.
 - o If a) there have been load-generating connections added in the past four intervals and b) there has been moving average stability during the period between intervals $i-4$ and i , then the network has reached full link utilization and the algorithm terminates.
 - o Otherwise, add four more load-generating connections.

In Section 3, it is mentioned that one of the goals is that the test finishes within 20 seconds. It is left to the implementation what to do when full link utilization is not reached within that time-frame. For example, an implementation might gather a provisional responsiveness measurement or let the test run for longer.

4.2. Measuring Responsiveness

Measuring responsiveness during the previously explained working conditions creation is a continuous process during the duration of the test. It requires a sufficiently large sample-size to have confidence in the results.

The measurement of the responsiveness happens by sending probe-requests for a small object. There are two types of probe requests:

1. A HTTP GET request on a separate connection ("foreign probes"). This test mimics the time it takes for a web browser to connect to a new web server and request the first element of a web page (e.g., "index.html"), or the startup time for a video streaming client to launch and begin fetching media.
2. A HTTP GET request multiplexed on the load-generating connections ("self probes"). This test mimics the time it takes for a video streaming client to skip ahead to a different chapter in the same video stream, or for a navigation client to react and fetch new map tiles when the user scrolls the map to view a different area.

In a well functioning system fetching new data over an existing connection should take less time than creating a brand new TLS connection from scratch to do the same thing.

Foreign probes will provide 3 sets of data-points. First, the duration of the TCP-handshake (noted hereafter as `tcp_foreign`). Second, the TLS round-trip-time (noted `tls_foreign`). For this, it is important to note that different TLS versions have a different number of round-trips. Thus, the TLS establishment time needs to be normalized to the number of round-trips the TLS handshake takes until the connection is ready to transmit data. And third, the HTTP elapsed time between issuing the GET request for a 1-byte object and receiving the entire response (noted `http_foreign`).

Self probes will provide a single data-point for the duration between of time between when the HTTP GET request for the 1-byte object is issued on the load-generating connection and the full HTTP response has been received (noted `http_self`).

`tcp_foreign`, `tls_foreign`, `http_foreign` and `http_self` are all measured in milliseconds.

The more probes that are sent, the more data is available for calculation. In order to generate as much data as possible, the methodology requires a client to issue these probes every 100ms. For the probes on the load-generating connections, the client needs to use one of the initial load-generating connections. This means that every 100ms, 2 probes are being evaluated. The total amount of data used for these probes would be no more than about 50KB worth of data within one second.

4.2.1. Aggregating the Measurements

The algorithm produces sets of 4 times for each probe, namely: `tcp_foreign`, `tls_foreign`, `http_foreign`, `http_self` (from the previous section). Each of these sets will have a large number of samples. Use the following methodology to calculate a single RPM value from these data:

1. Among each set, take the 90th percentile, thus resulting in 4 individual numbers (`tcp_foreign_p90`, `tls_foreign_p90`, `http_foreign_p90`, `http_self_p90`).
2. Calculate the RPM as the weighted mean:

$$\text{Responsiveness} = 60000 / ((1/3 * \text{tcp_foreign_p90} + 1/3 * \text{tls_foreign_p90} + 1/3 * \text{http_foreign_p90} + \text{http_self_p90}) / 2)$$

This responsiveness value presents round-trips per minute (RPM).

5. Interpreting responsiveness results

The described methodology uses a high-level approach to measure responsiveness. By executing the test with regular HTTP requests a number of elements come into play that will influence the result. Contrary to more traditional measurement methods the responsiveness metric is not only influenced by the properties of the network but can significantly be influenced by the properties of the client and the server implementations. This section describes how the different elements influence responsiveness and how a user may differentiate them when debugging a network.

5.1. Elements influencing responsiveness

Due to the HTTP-centric approach of the measurement methodology a number of factors come into play that influence the results. Namely, the client-side networking stack (from the top of the HTTP-layer all the way down to the physical layer), the network (including potential transparent HTTP "accelerators"), and the server-side networking stack. The following outlines how each of these contributes to the responsiveness.

5.1.1. Client side influence

As the driver of the measurement, the client-side networking stack can have a large influence on the result. The biggest influence of the client comes when measuring the responsiveness in the uplink direction. Load-generation will cause queue-buildup in the transport layer as well as the HTTP layer. Additionally, if the network's bottleneck is on the first hop, queue-buildup will happen at the layers below the transport stack (e.g., NIC firmware).

Each of these queue build-ups may cause latency and thus low responsiveness. A well designed networking stack would ensure that queue-buildup in the TCP layer is kept at a bare minimum with solutions like TCP_NOTSENT_LOWAT [draft-ietf-tcpm-rfc793bis]. At the HTTP/2 layer it is important that the load-generating data is not interfering with the latency-measuring probes. For example, the different streams should not be stacked one after the other but rather be allowed to be multiplexed for optimal latency. The queue-buildup at these layers would only influence latency on the probes that are sent on the load-generating connections.

Below the transport layer many places have a potential queue build-up. It is important to keep these queues at reasonable sizes or that they implement techniques like FQ-Codel. Depending on the techniques used at these layers, the queue build-up can influence latency on probes sent on load-generating connections as well as separate connections. If flow-queuing is used at these layers, the impact on separate connections will be negligible.

5.1.2. Network influence

The network obviously is a large driver for the responsiveness result. Propagation delay from the client to the server as well as queuing in the bottleneck node will cause latency. Beyond these traditional sources of latency, other factors may influence the results as well. Many networks deploy transparent TCP Proxies, firewalls doing deep packet-inspection, HTTP "accelerators",... As the methodology relies on the use of HTTP/2, the responsiveness metric will be influenced by such devices as well.

The network will influence both kinds of latency probes that the responsiveness tests sends out. Depending on the network's use of Smart Queue Management and whether this includes flow-queuing or not, the latency probes on the load-generating connections may be influenced differently than the probes on the separate connections.

5.1.3. Server side influence

Finally, the server-side introduces the same kind of influence on the responsiveness as the client-side, with the difference that the responsiveness will be impacted during the downlink load generation.

5.2. Root-causing Responsiveness

Once an RPM result has been generated one might be tempted to try to localize the source of a potential low responsiveness. The responsiveness measurement is however aimed at providing a quick, top-level view of the responsiveness under working conditions the way end-users experience it. Localizing the source of low responsiveness involves however a set of different tools and methodologies.

Nevertheless, the responsiveness test allows to gain some insight into what the source of the latency is. The previous section described the elements that influence the responsiveness. From there it became apparent that the latency measured on the load-generating connections and the latency measured on separate connections may be different due to the different elements.

For example, if the latency measured on separate connections is much less than the latency measured on the load-generating connections, it is possible to narrow down the source of the additional latency on the load-generating connections. As long as the other elements of the network don't do flow-queueing, the additional latency must come from the queue build-up at the HTTP and TCP layer. This is because all other bottlenecks in the network that may cause a queue build-up will be affecting the load-generating connections as well as the separate latency probing connections in the same way.

6. RPM Test Server API

The RPM measurement is built upon a foundation of standard protocols: IP, TCP, TLS, HTTP/2. On top of this foundation, a minimal amount of new "protocol" is defined, merely specifying the URLs that used for GET and PUT in the process of executing the test.

Both the client and the server MUST support HTTP/2 over TLS. The client MUST be able to send a GET request and a POST. The server MUST be able to respond to both of these HTTP commands. The server MUST have the ability to provide content upon a GET request. Both client and server SHOULD use loss-based congestion controls like Cubic. The server MUST use a packet scheduling algorithm that minimizes internal queueing to avoid affecting the client's measurement.

The server MUST respond to 4 URLs:

1. A "small" URL/response: The server must respond with a status code of 200 and 1 byte in the body. The actual message content is irrelevant. The server SHOULD specify the content-type as application/octet-stream. The server SHOULD minimize the size, in bytes, of the response fields that are encoded and sent on the wire.
2. A "large" URL/response: The server must respond with a status code of 200 and a body size of at least 8GB. The server SHOULD specify the content-type as application/octet-stream. The body can be bigger, and may need to grow as network speeds increases over time. The actual message content is irrelevant. The client will probably never completely download the object, but will instead close the connection after reaching working condition and making its measurements.

3. An "upload" URL/response: The server must handle a POST request with an arbitrary body size. The server should discard the payload. The actual POST message content is irrelevant. The client will probably never completely upload the object, but will instead close the connection after reaching working condition and making its measurements.
4. A configuration URL that returns a JSON [RFC8259] object with the information the client uses to run the test (sample below). The server **SHOULD** specify the content-type as application/json. Sample JSON:

```
{
  "version": 1,
  "urls": {
    "large_https_download_url": "https://nq.example.com/api/v1/large",
    "small_https_download_url": "https://nq.example.com/api/v1/small",
    "https_upload_url":         "https://nq.example.com/api/v1/upload"
  }
  "test_endpoint": "hostname123.provider.com"
}
```

All of the fields in the sample configuration are required except "test_endpoint". If the test server provider can pin all of the requests for a test run to a specific host in the service (for a particular run), they can specify that host name in the "test_endpoint" field.

The client begins the responsiveness measurement by querying for the JSON configuration. This supplies the URLs for creating the load-generating connections in the upstream and downstream direction as well as the small object for the latency measurements.

7. RPM Test Server Discovery

It makes sense to host RPM Test Server instances in Internet Data Centers where they can be accessed easily by users wishing to test the quality of their Internet connection. However, when a user performs an RPM test and determines that they are suffering from poor RPM during download, the logical next question might be, "What's causing my poor performance? Is it poor buffer management by my ISP? Is it poor buffer management in my home Wi-Fi Access point? Something else?"

To help an end user answer this question, it will be useful for home gateway equipment to host RPM Test Server instances. In an example configuration, a user may have cable modem service offering 100 Mb/s download speed, connected via gigabit Ethernet to one or more Wi-Fi

access points in the home, which then offer service to Wi-Fi client devices at different rates depending on distance, interference from other traffic, etc. By having the cable modem itself host an RPM Test Server instance, the user can then run a test between the cable modem and their computer or smartphone, to help isolate whether bufferbloat they are experiencing is occurring in equipment inside the home (like their Wi-Fi access points) or somewhere outside the home.

To aid in discoverability of these facilities, local RPM Test Server instances SHOULD advertise the availability of service type [RFC6335] "_nq._tcp" (Network Quality), via DNS-Based Service Discovery [RFC6763], using Multicast DNS on its local link(s) [RFC6762]. Where applicable, an RPM Test Server instance SHOULD also advertise the availability of its service via unicast discovery, for discovery by client devices not directly attached to the same link. Population of the appropriate DNS zone with the relevant unicast discovery records can be performed automatically using a Discovery Proxy [RFC8766], or in some scenarios simply by having a human administrator manually enter the required records. Similarly, a "cloud" service, providing Internet hosting service for "example.com" could choose to include the relevant DNS-SD records within the "example.com" domain [RFC6763] to communicate to clients the list of available RPM Test Server instances.

8. Security Considerations

TBD

9. IANA Considerations

IANA has been requested to record the service type "_nq._tcp" (Network Quality) for advertising and discovery of RPM Test Server instances.

10. Acknowledgments

We would like to thank Rich Brown for his editorial pass over this I-D. We also thank Erik Auerswald and Will Hawkins for their constructive feedback on the I-D.

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Appendix A. Example Server Configuration

This section shows fragments of sample server configurations to host an responsiveness measurement endpoint.

A.1. Apache Traffic Server

Apache Traffic Server starting at version 9.1.0 supports configuration as a responsiveness server. It requires the generator and the statichit plugin.

The sample remap configuration file then is:

```
map https://nq.example.com/api/v1/config \
    http://localhost/ \
    @plugin=statichit.so \
    @pparam=--file-path=config.example.com.json \
    @pparam=--mime-type=application/json

map https://nq.example.com/api/v1/large \
    http://localhost/cache/8589934592/ \
    @plugin=generator.so

map https://nq.example.com/api/v1/small \
    http://localhost/cache/1/ \
    @plugin=generator.so

map https://nq.example.com/api/v1/upload \
    http://localhost/ \
    @plugin=generator.so
```

Authors' Addresses

Christoph Paasch
Apple Inc.
One Apple Park Way
Cupertino, California 95014,
United States of America

Email: cpaasch@apple.com

Randall Meyer
Apple Inc.
One Apple Park Way
Cupertino, California 95014,
United States of America

Email: rrm@apple.com

Stuart Cheshire
Apple Inc.
One Apple Park Way
Cupertino, California 95014,
United States of America

Email: cheshire@apple.com

Omer Shapira
Apple Inc.
One Apple Park Way
Cupertino, California 95014,
United States of America

Email: oesh@apple.com

Matt Mathis
Google, Inc
1600 Amphitheatre Parkway
Mountain View, CA 94043,
United States of America

Email: mattmathis@google.com