Transformer

Language Models

This decade: Generative AI

Text generation process

I love eating _____

{ prompt }

bagels with cream cheese

my mother's meatloaf

out with friends
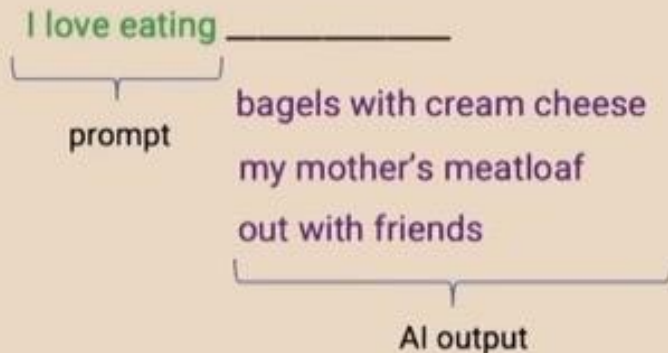
{ AI output }

How it works

Generative AI is built by using supervised learning (A→B) to repeatedly predict the next word.

My favorite food is a bagel with cream cheese and lox.

Input (A)	Output (B)
My favorite food is a	bagel
My favorite food is a bagel	with
My favorite food is a bagel with	cream

When we train a very large AI system on a lot of data (hundreds of billions of words) we get a Large Language Model like ChatGPT.

Andrew Ng

Unsupervised Learning

learning by observation

no target information → kind of "vague" pattern recognition (but plenty of data)

can be cast as **self-supervised learning**:

- input-output mapping like supervised learning

- but generating labels itself from input information

generative AI as unsupervised learning: generate variations of training data

Word Embeddings as Part of Language Model

language models contain embedding matrix as part of learned parameters

- can be extracted and subsequently used as pre-trained embeddings for other task

- typically several hundred dimensions for word vectors

- trained on huge data sets (millions in vocabulary)
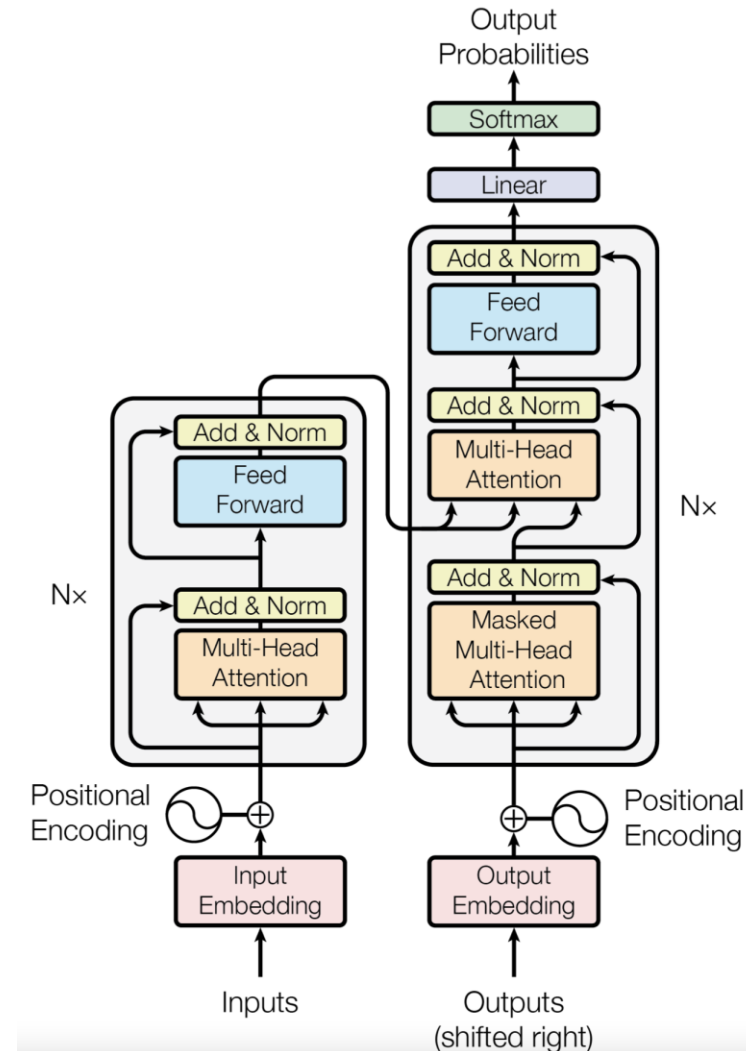
source
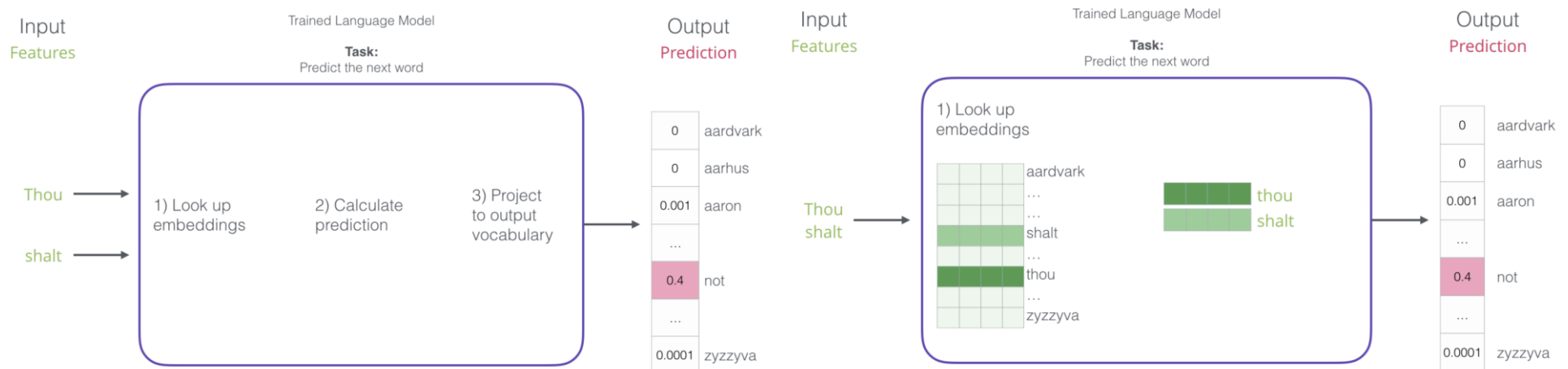
Context Awareness: Transformer

attention is all you need: RNNs replaced by multi-headed self-attention (implemented with matrix multiplications and feed-forward neural networks)

→ allowing for much more parallelization

→ allowing for bigger models (more parameters)

better long-range dependencies thanks to shorter path lengths in network (less sequential operations)

Let's go through it step by step …

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Tokenization and Embeddings
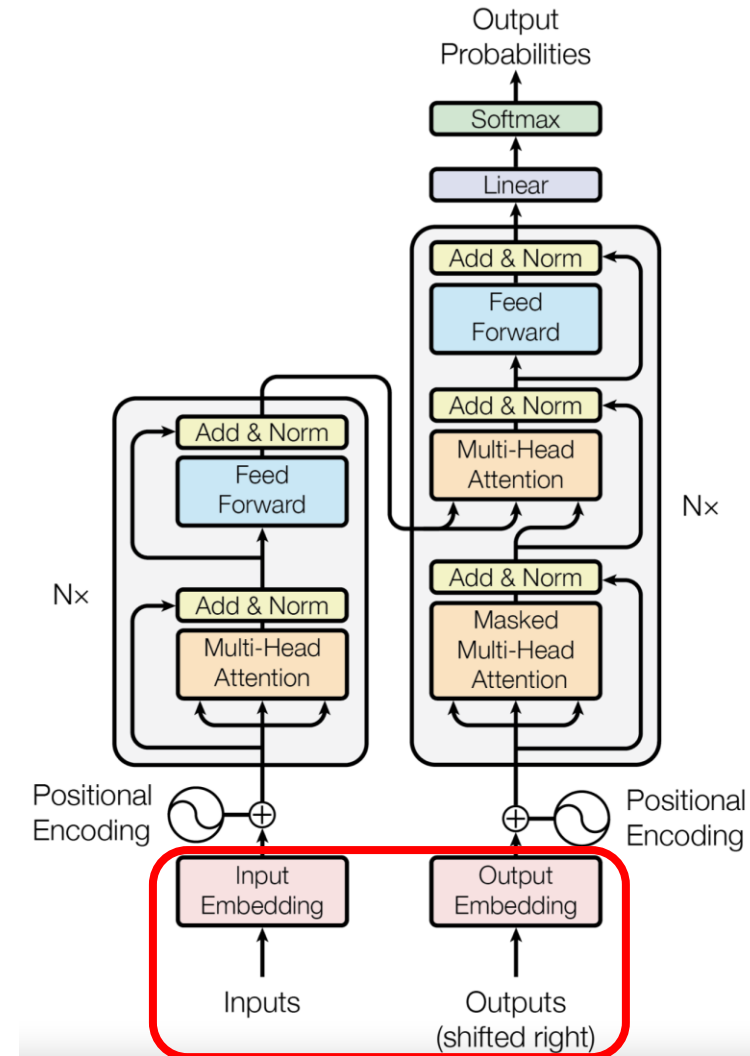
tokenization: *breaking text in chunks*

- word tokens: different forms, spellings, etc → undefined and vast vocabulary (need for stemming, lemmatization)

- character tokens: not enough semantic content (longer sequences)

→ byte-pair encoding as compromise for tokenization

one-hot encoding on tokens → token (word) embeddings:

only before bottom-most encoder/decoder

x_1

x_2

x_3

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suis

étudiant

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Output Probabilities

Softmax

Linear

Add & Norm

Feed Forward

Add & Norm

Multi-Head Attention

Add & Norm

Feed Forward

Add & Norm

Multi-Head Attention

Add & Norm

Masked Multi-Head Attention

Nx

Nx

Positional Encoding

Positional Encoding

Input Embedding

Output Embedding

Inputs

Outputs (shifted right)

source

Byte-Pair Encoding

data compression method used for encoding text as sequence of tokens

- merging token pairs (starting with characters) with maximum frequency

- continue merging until defined fixed vocabulary size (hyperparameter) is reached

→common words encoded as single token

→rare words encoded as sequence of tokens (representing word parts)

alternative: direct operation on bytes (e.g., ByT5)

aaabdaaabac

ZabdZabac
Z=aa

ZYdZYac
Y=ab
Z=aa

XdXac
X=ZY
Y=ab
Z=aa

example from wikipedia

Positional Encoding

attention permutation invariant → need for positional encoding to learn from order of sequence

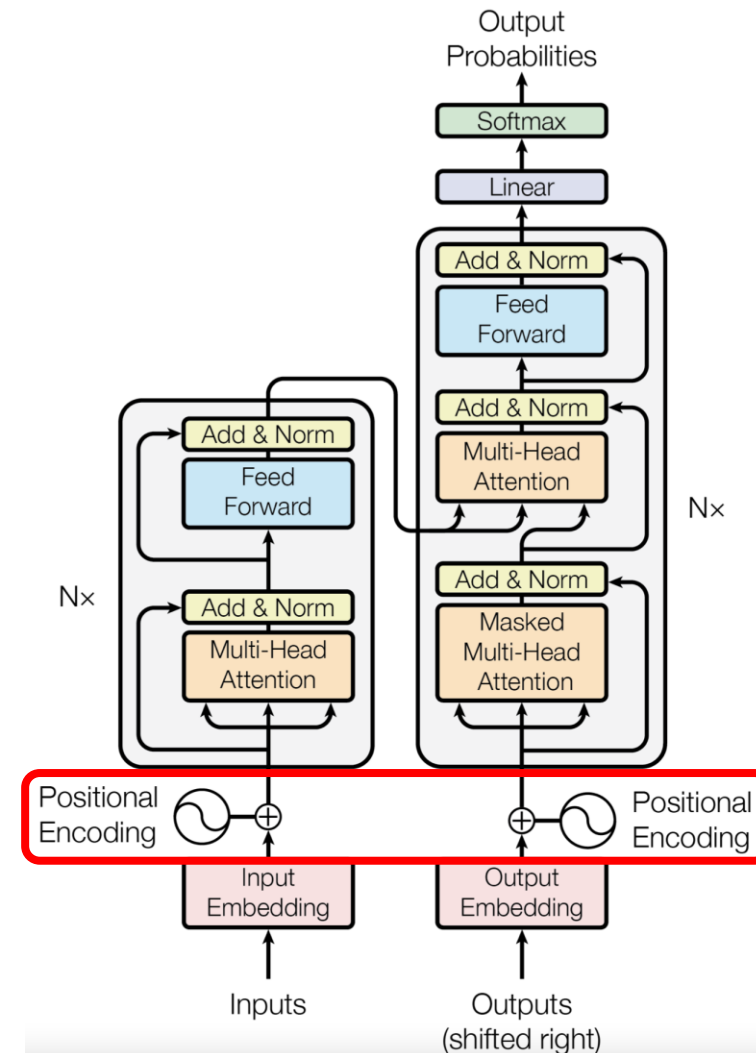added to input embeddings (same dimension d_{model})

different choices for positional encoding:

- learned (by including absolute position in embedding)
- fixed, e.g., sine/cosine functions for each dimension i

battery in the last position

battery before position encoding

battery at position 0
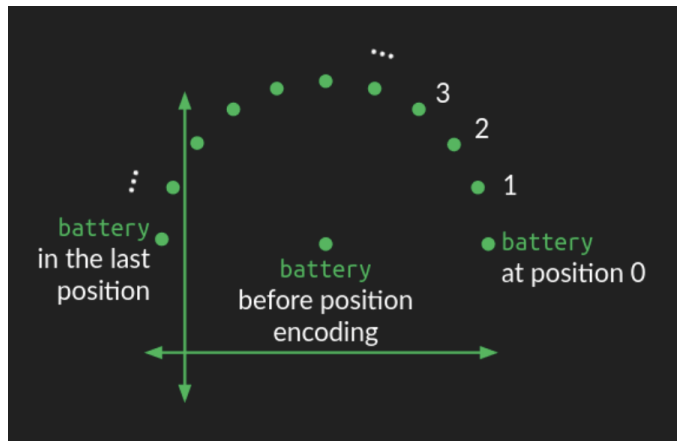
$$PE_{pos,2i} = \sin\left(\frac{pos}{10000^{\frac{2i}{d_{\mathrm{model}}}}}\right)$$

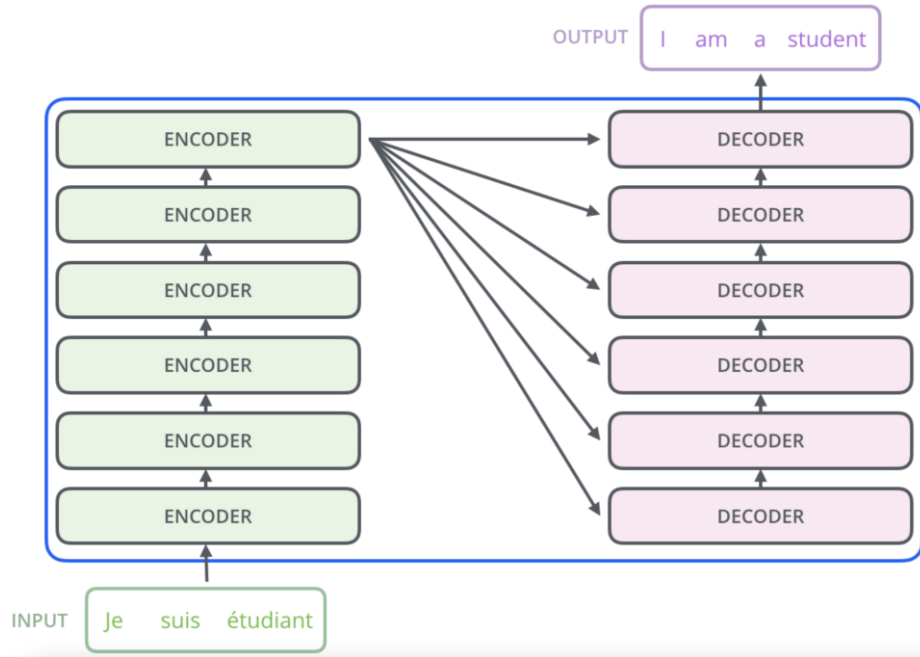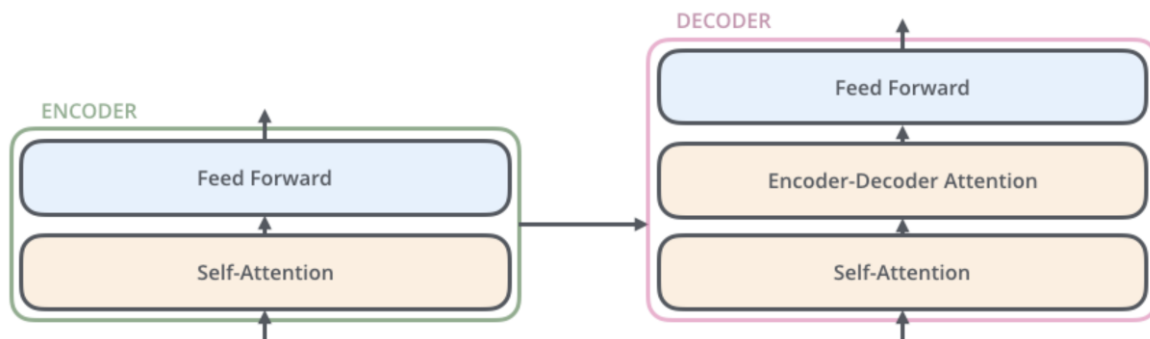$$PE_{pos,2i+1} = \cos\left(\frac{pos}{10000^{\frac{2i}{d_{\mathrm{model}}}}}\right)$$
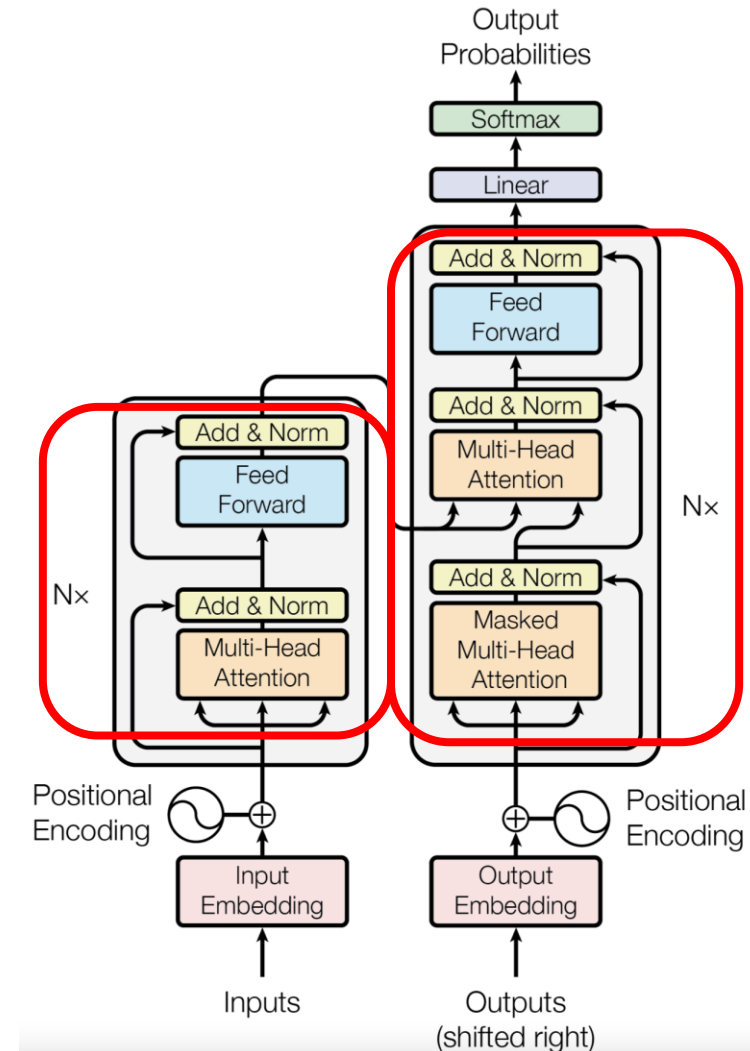
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Encoder and Decoder Stacks

output of encoders/decoders
fed as input to next ones

idea of depth:
providing redundancy
(even more important here than
increasingly sophisticated
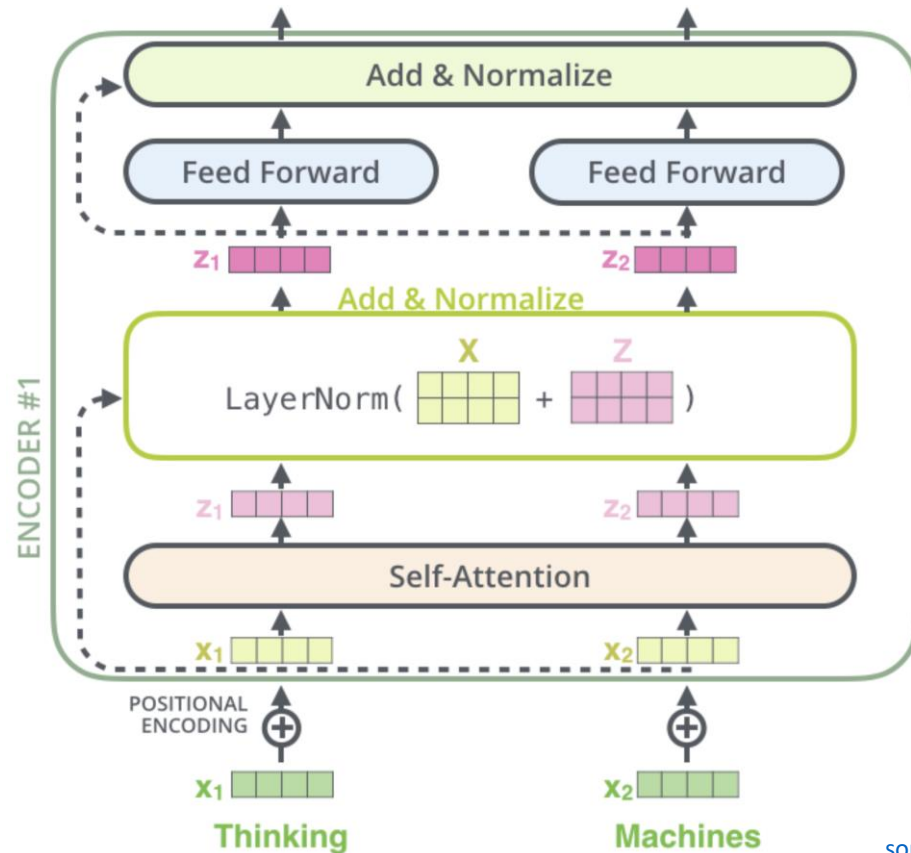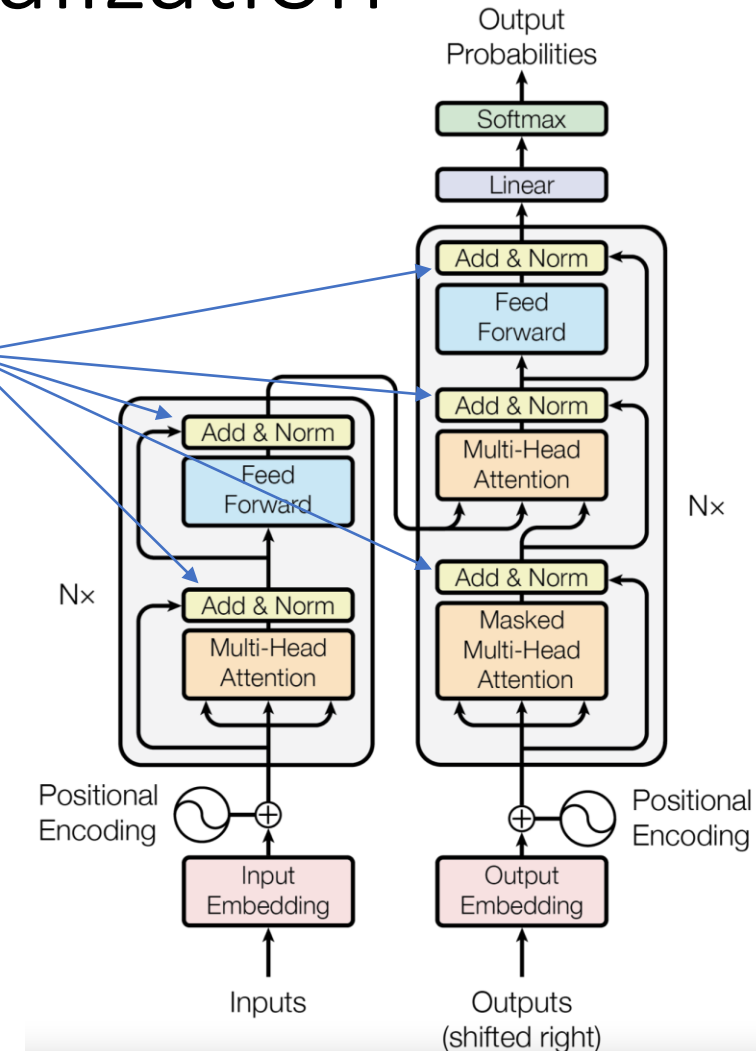abstraction, like, e.g., in CNN)

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Skip Connections and Layer Normalization

skip connections and layer normalization for each sub-layer

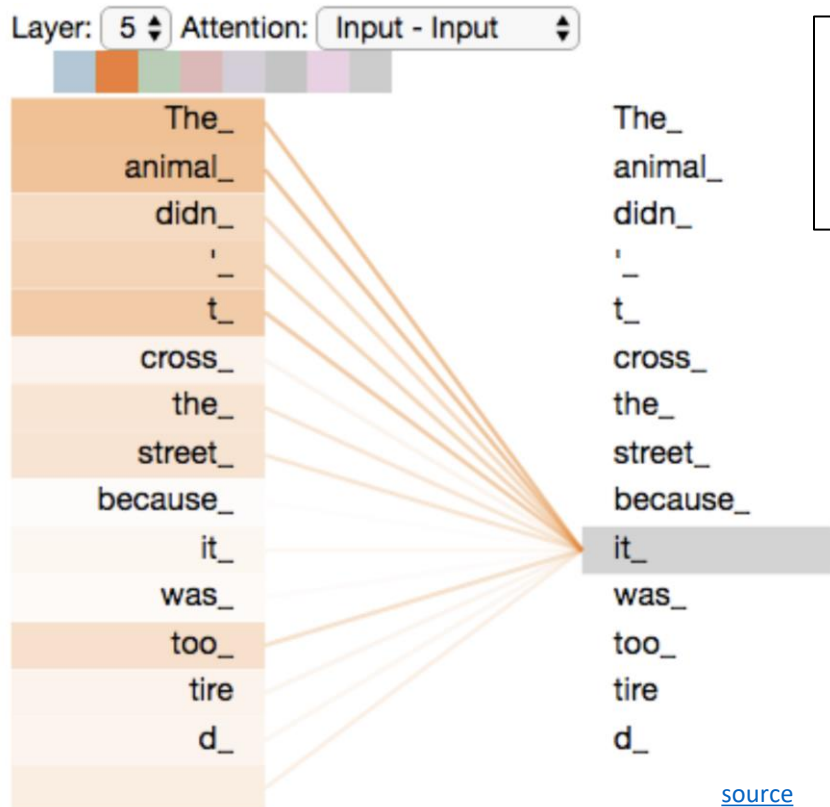skip connections improve robustness by preserving original input (attention layers as filters)
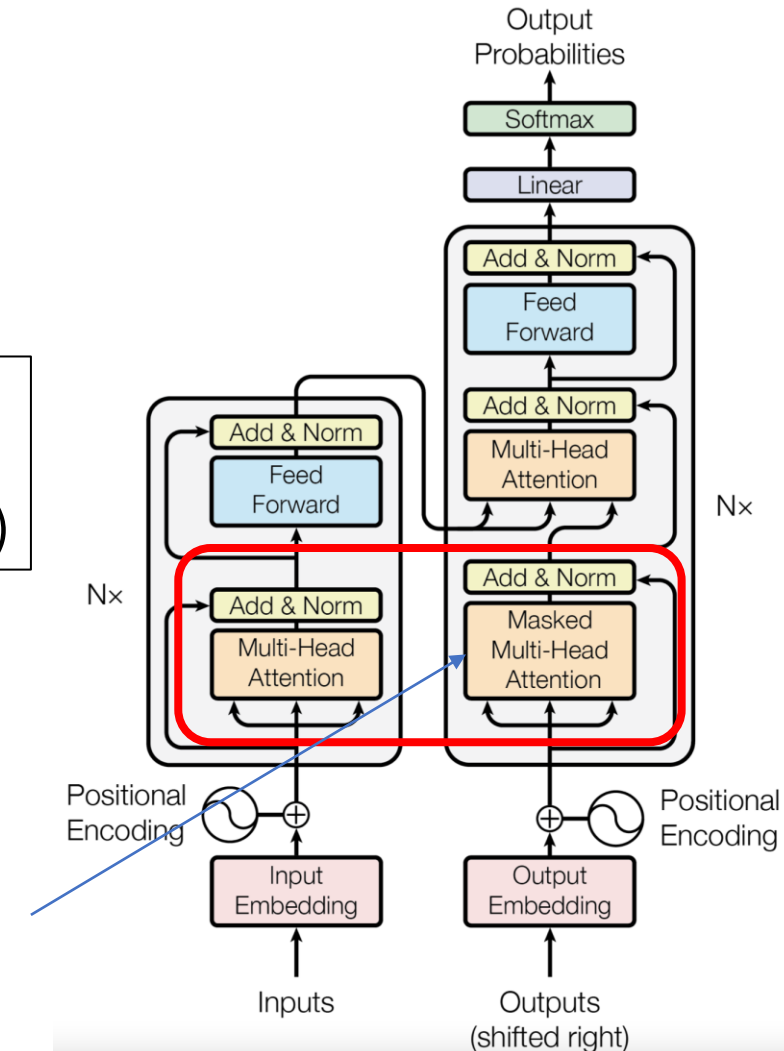
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Self-Attention

evaluating other input words in terms of relevance for encoding of given word

computational complexity quadratic in length of input (each token attends to each other token)

masked self-attention in decoder: only allowed to attend to earlier positions in output sequence (masking future positions by setting them to $-\infty$)
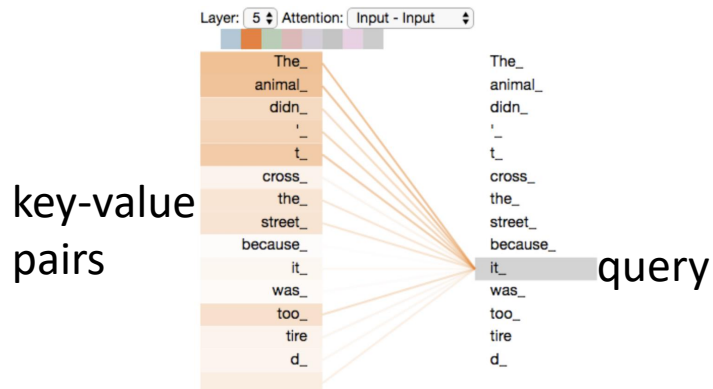
Scaled Dot-Product Attention

softmax not scale invariant: largest inputs dominate output for large inputs (more embedding dimensions d_k)

3 abstract matrices created from inputs (e.g., word embeddings) by multiplying inputs with 3 different weight matrices

- query Q
- key K
- value V

$$\text{Attention}(Q, K, V) = \text{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$
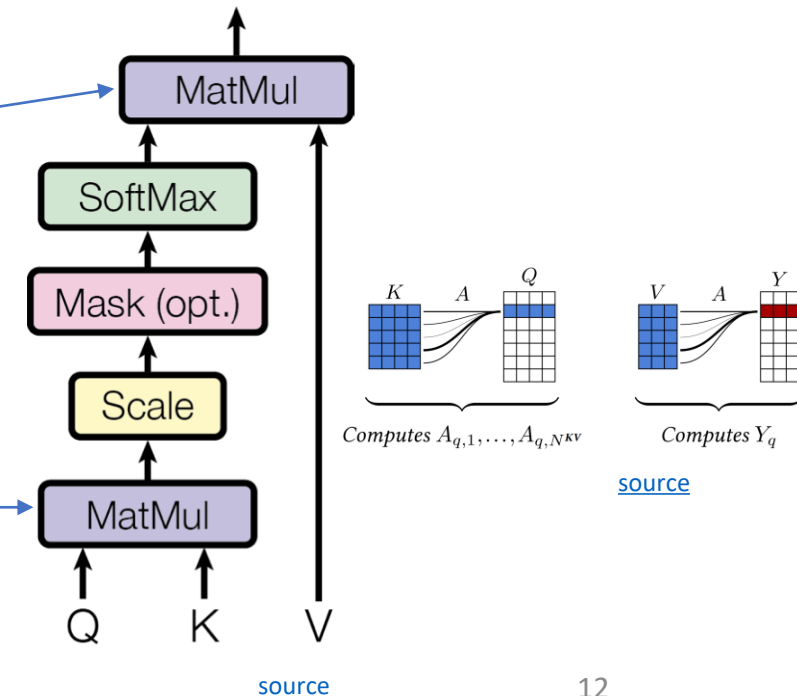
Scaled Dot-Product Attention

filtering: multiplication of attention probabilities with corresponding key word values

scoring each of the key words (context) with respect to current query word: multiplication of inputs (in contrast to inputs times weights in neural networks)

key-value pairs

query

MatMul

SoftMax

Mask (opt.)

Scale

MatMul

Q   K   V

Computes $A_{q,1}, \ldots, A_{q,N^{KV}}$

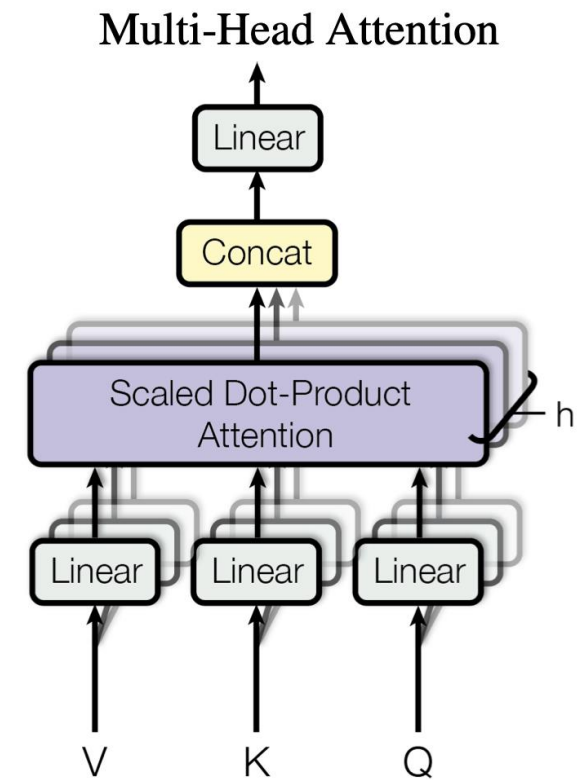Computes Y_q

source

source

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Multi-Head Attention

multiple heads: several attention layers running in parallel
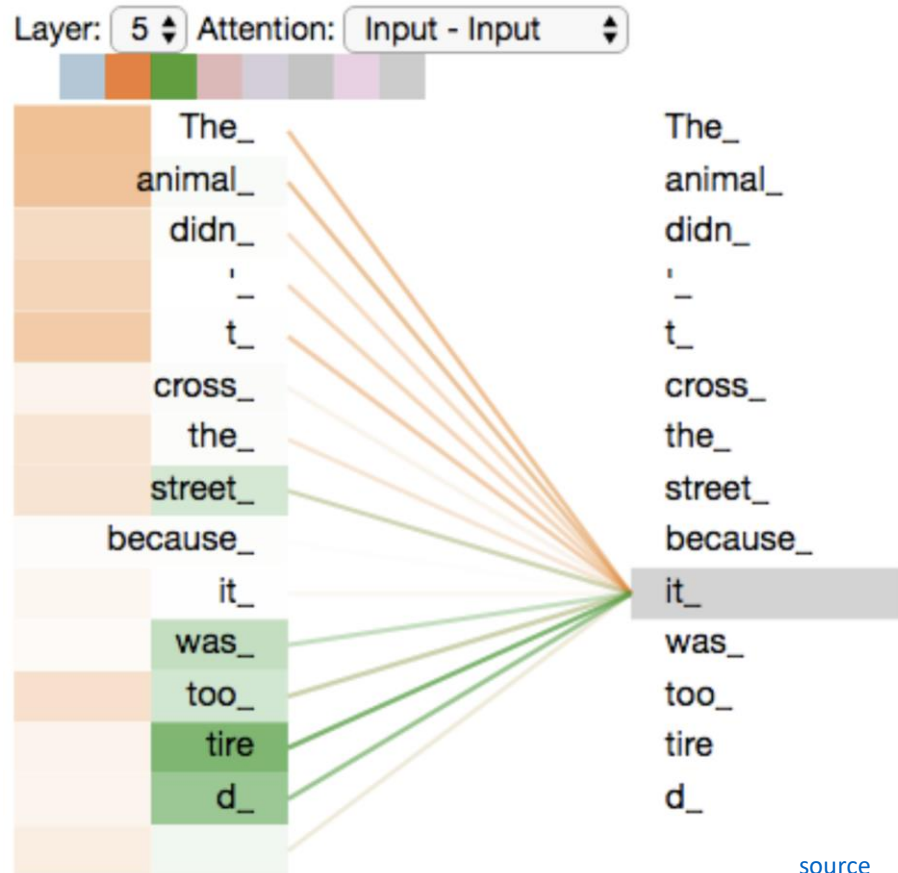
different heads can pay
attention to different
aspects of input
(multiple representation
sub-spaces)

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Involved Matrix Calculations

parameters
to be learned

1) This is our input sentence*

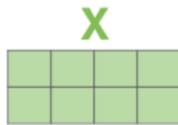2) We embed each word*

3) Split into 8 heads. We multiply X or R with weight matrices

4) Calculate attention using the resulting Q/K/V matrices

5) Concatenate the resulting Z matrices, then multiply with weight matrix W^O to produce the output of the layer

Thinking Machines

X

W_0^Q
W_0^K
W_0^V

Q_0
K_0
V_0

Z_0

W^O

* In all encoders other than #0, we don't need embedding. We start directly with the output of the encoder right below this one

W_1^Q
W_1^K
W_1^V

Q_1
K_1
V_1

Z_1

Z

R

...

W_7^Q
W_7^K
W_7^V

...
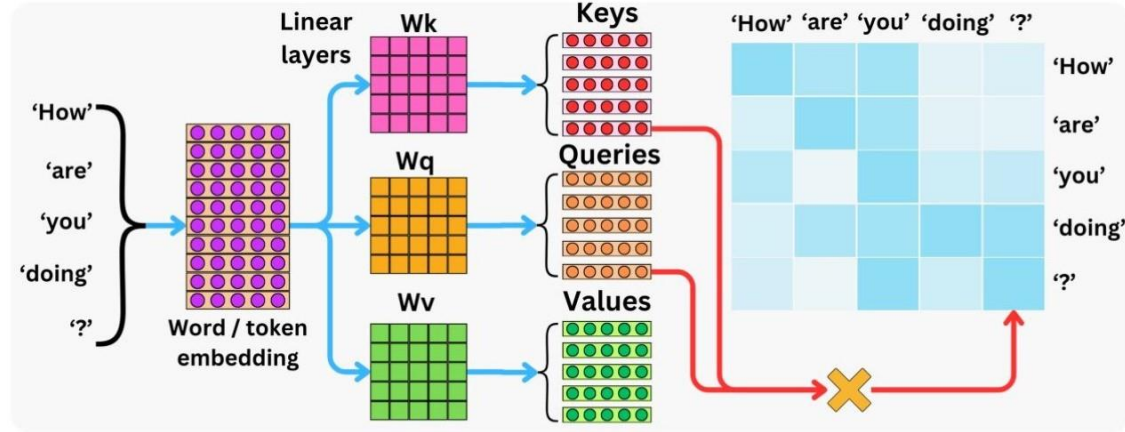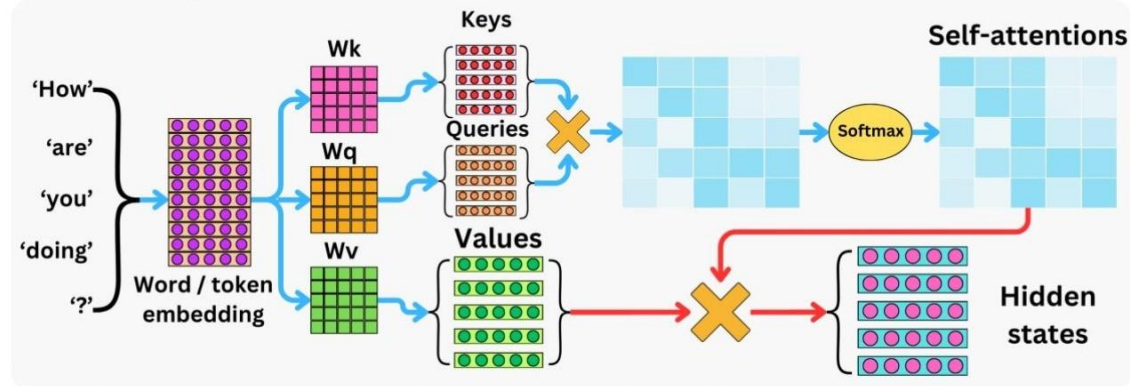
Q_7
K_7
V_7

...

Z_7
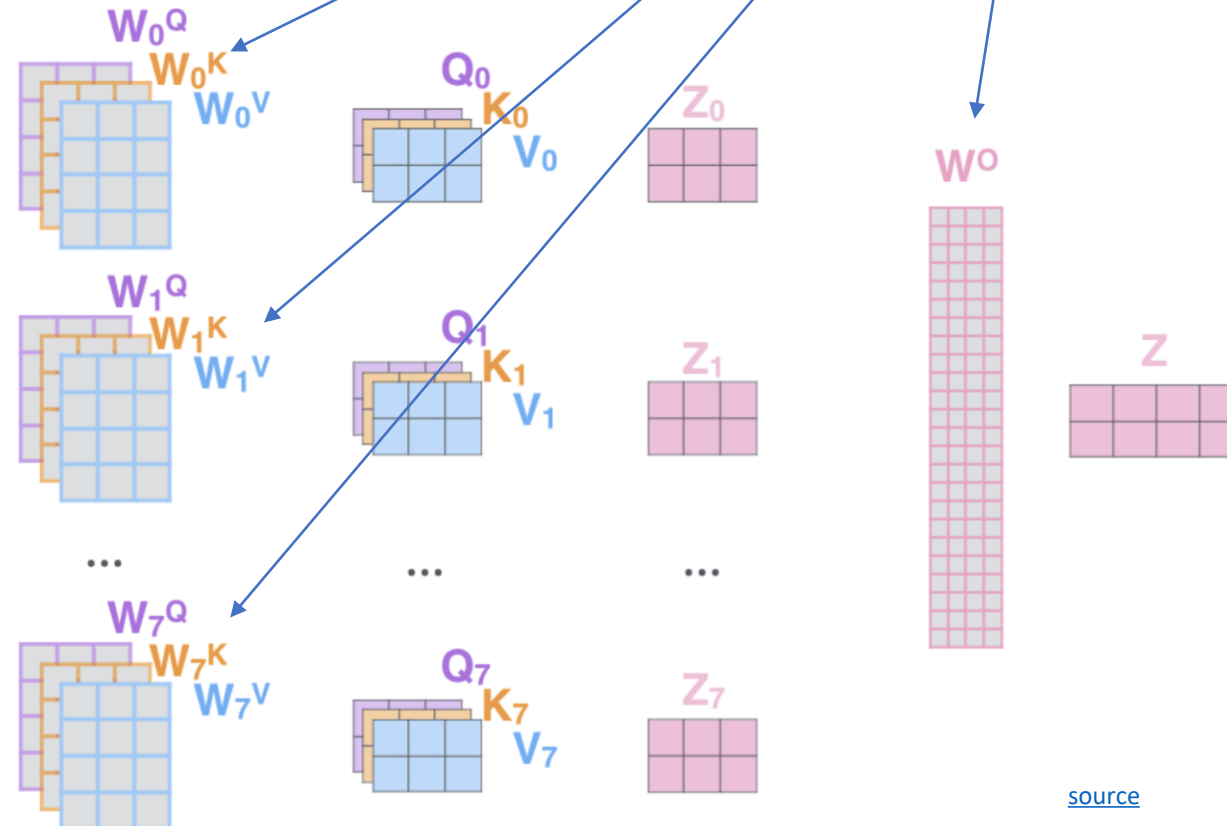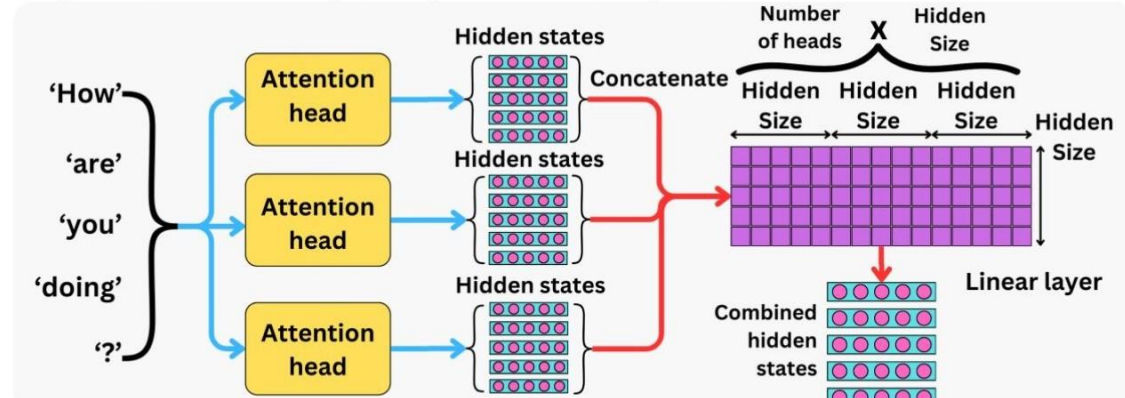
source

Attention is all you need!

Step 1: Compute the scores that captures the token-token interaction

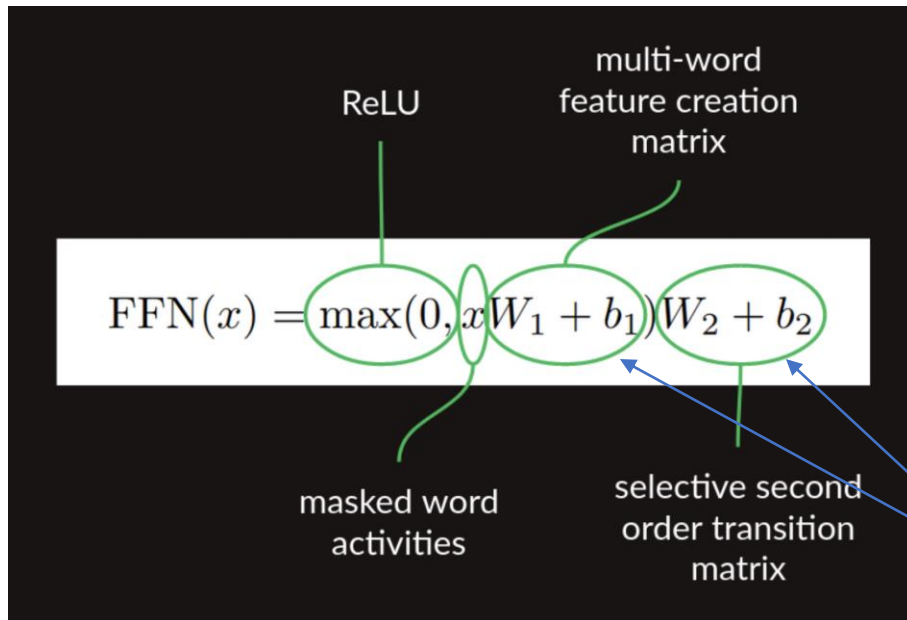## Step 2: Compute the hidden states

Step 3: Combine multiple outputs from multiple Attention heads
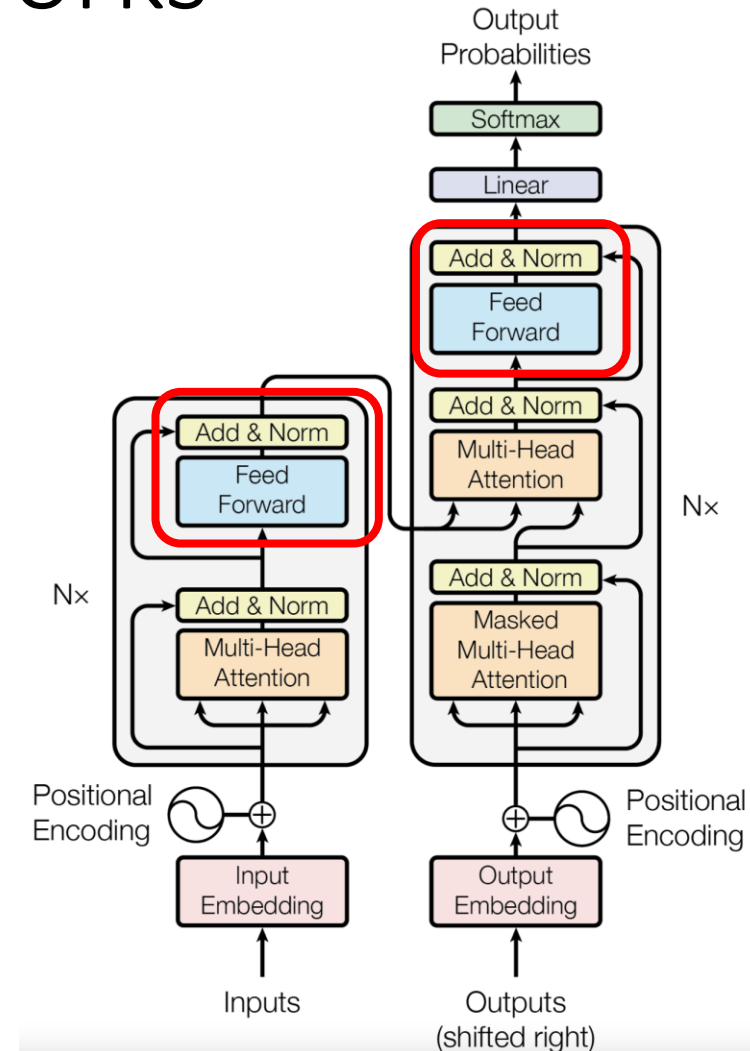
Position-Wise Feed-Forward Networks

for each encoder or decoder layer: identical feed-forward network independently applied to each position

attention is just weighted averaging → need for non-linearities (neural networks): creating multi-word features from (self-)attention outputs (selectively masked words)

$$\text{FFN}(x) = \max(0, xW_1 + b_1)W_2 + b_2$$

ReLU

multi-word feature creation matrix

masked word activities

selective second order transition matrix

two network layers

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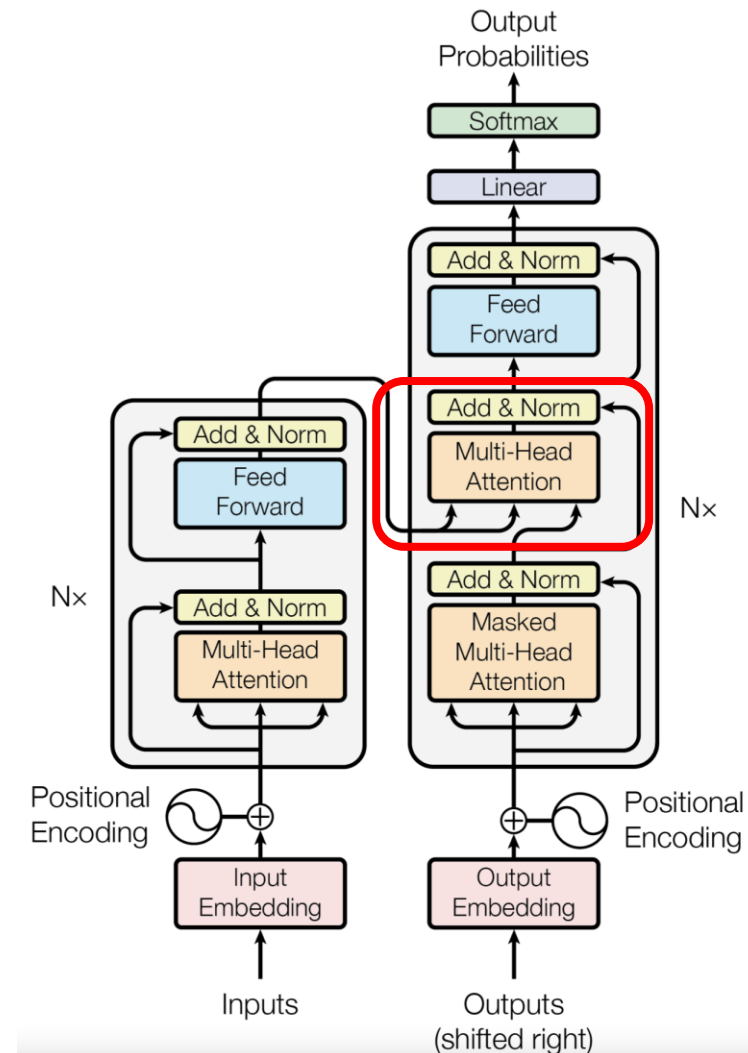

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Encoder-Decoder Attention

aka cross-attention

connection between encoders and decoders

attention layer helping decoder to focus on relevant parts of input sentence (similar to attention in seq2seq models)

output of last encoder transformed into set of attention matrices K and V → fed to each decoder's cross-attention layer (redundancy)
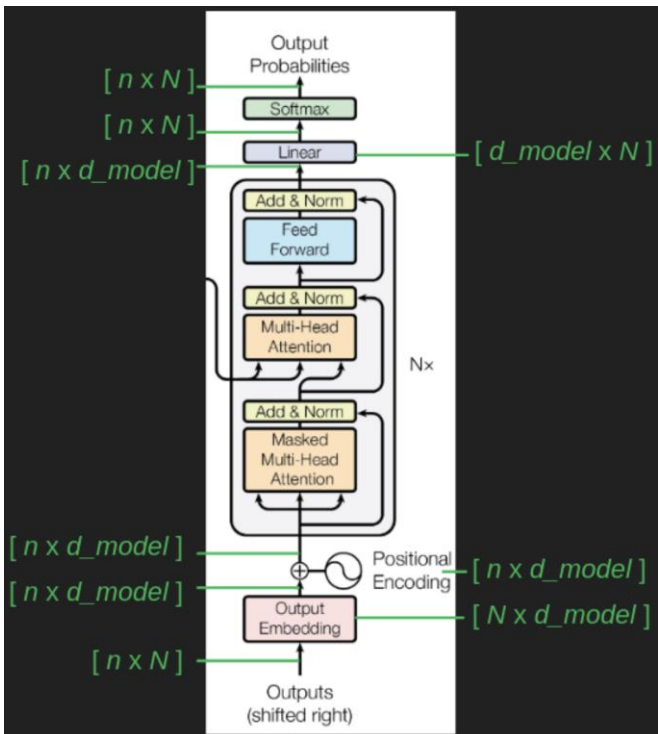
multiheaded self-attention with Q from decoder layer below and K, V from output of encoder stack
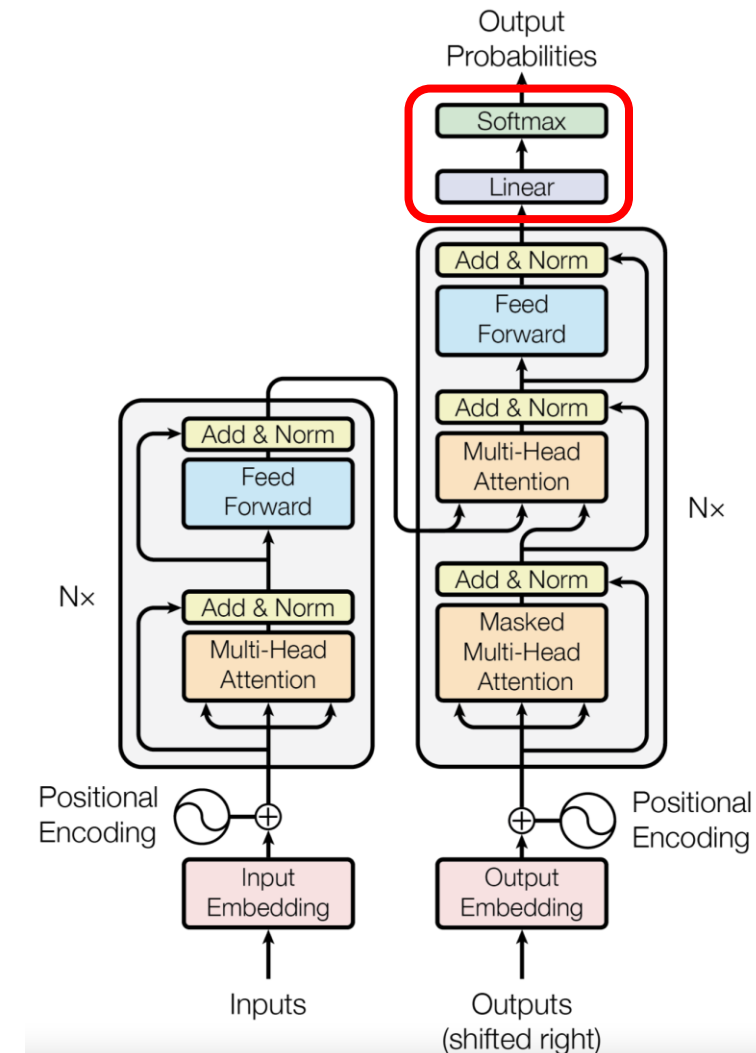

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De-Embedding and Softmax

n: maximum sequence length
N: vocabulary size
d_model: embedding dimensions

conversion of final decoder output to predicted next-token probabilities for output vocabulary

de-embedding: linear transformation (matrix multiplication / fully connected neural network layer)

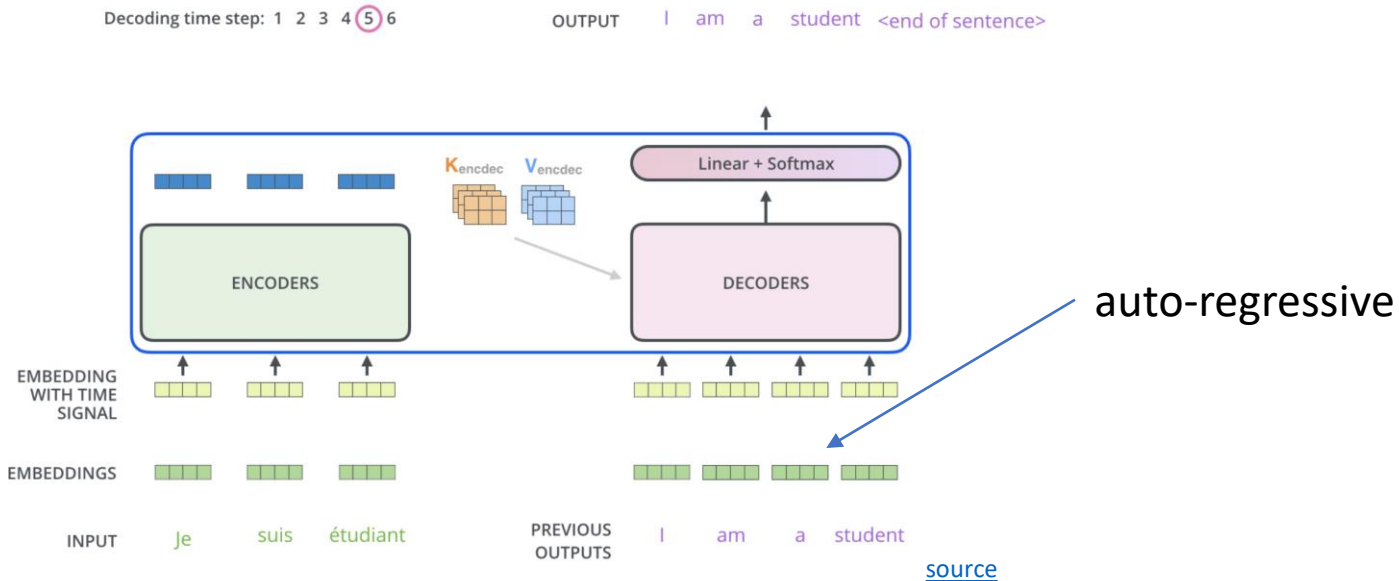softmax: transformation to probabilities ("softness" can be controlled by hyperparameter temperature)
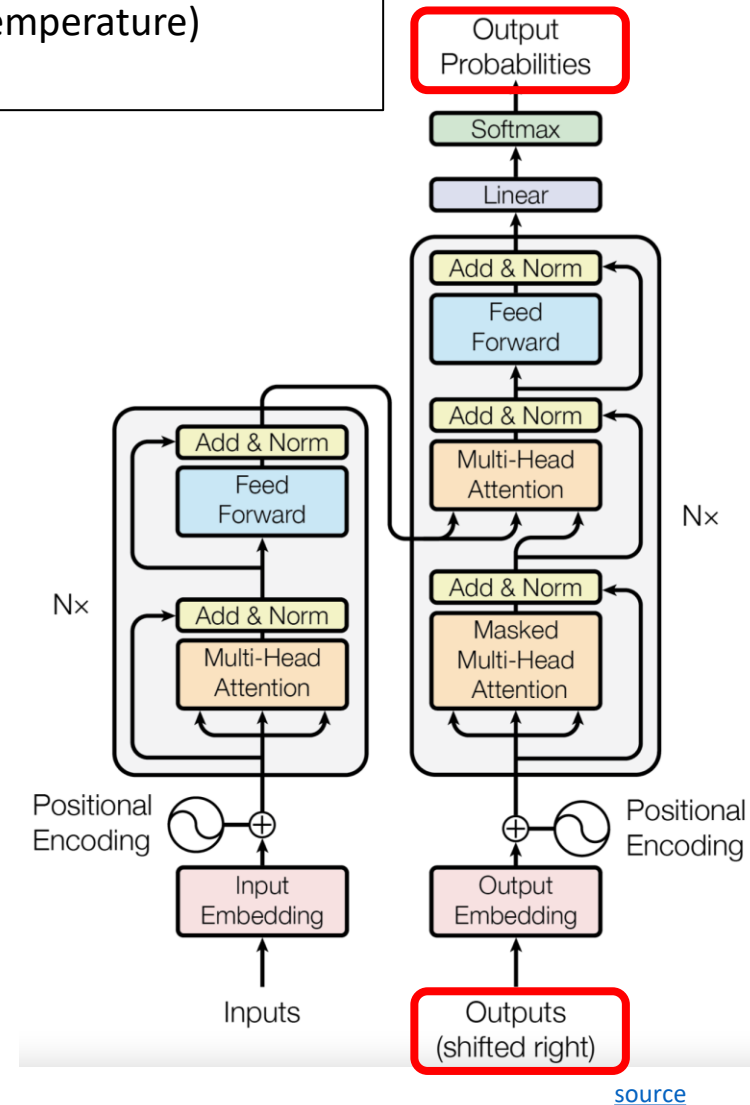

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Sequence Completion

for each step/token (iteratively), choose one output token to add to decoder input sequence → increasing uncertainty

auto-regressive

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prompt: externally given initial sequence for running start and context on which to build rest of sequence (prompt engineering)
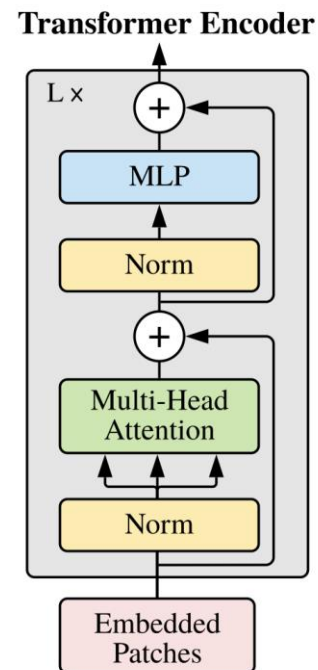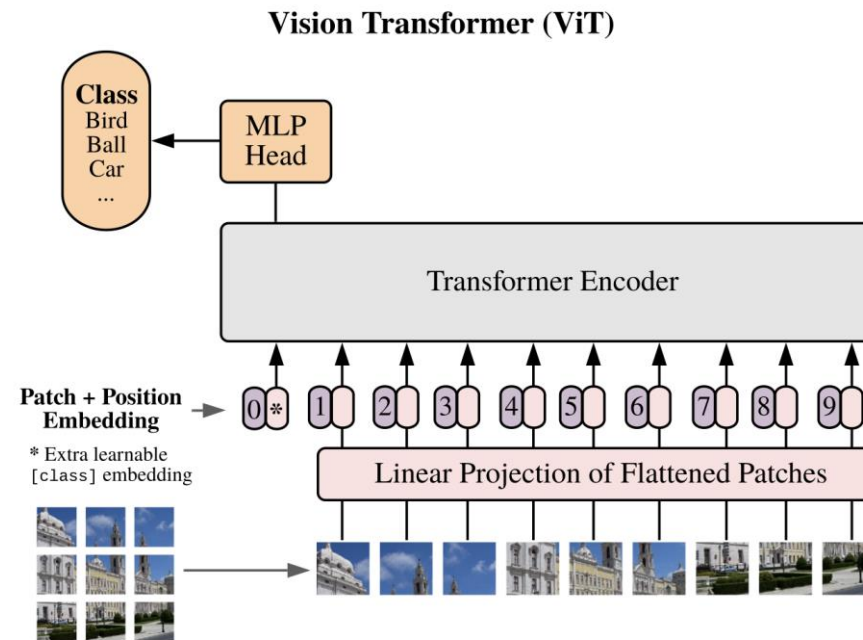

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Image Classification with Vision Transformer (ViT)

formulation as sequential problem:

- split image into patches and flatten → use as tokens

- produce linear embeddings and add positional embeddings

processing by transformer encoder:

- pre-train with image labels

- fine-tune on specific data set

Open-Source Implementations

lightweight PyTorch re-implementation of GPT (decoder-only transformer):

minGPT

more powerful:

nanoGPT, LitGPT