

# NP-completeness

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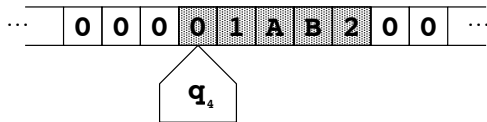
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# Turing Machines



Alan Turing  
(1912-1952)

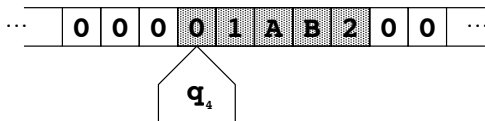


Turing machine (TM)

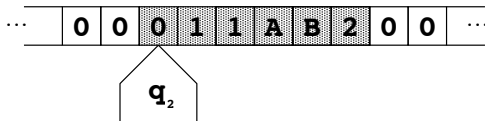
- TM = (theoretical) device that operates on an **infinite tape** with cells containing symbols in a finite alphabet (including blank '0')
- The TM has a **current state**  $S_i$  among a finite set of states (including '*Halt*'), and a **head** pointing to "current" cell in the tape.
- Its **transition function** describes jumps from state to next state.

# Transition function

- Example: with scanned symbol **0** and state  $q_4$ , write **1**, move *Left* and go to state  $q_2$ . That is:



$$t(0, q_4) = (1, \text{Left}, q_2)$$



# Decision problems

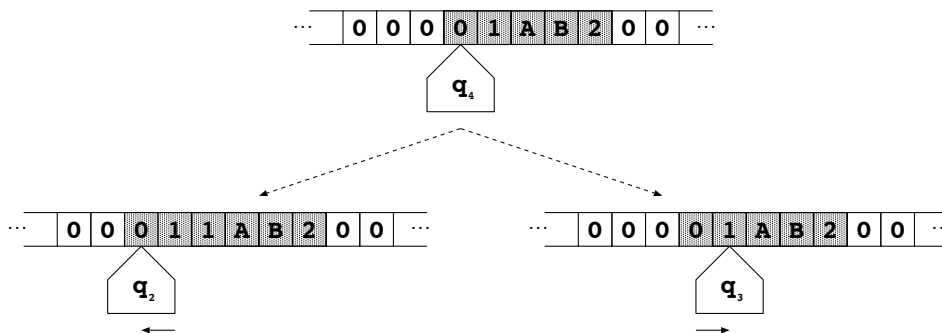
## Definition (Decision problem)

A **decision problem** consists in providing a given tape input and asking the TM for a final output symbol answering **Yes** or **No**.

- Example: **SAT** = given (an encoding of) a propositional formula, does it have at least one model?
- Example: **HALTING** = given another TM plus its input, does it stop or not?
- A decision problem is **decidable** if the TM stops (answering **Yes** or **No**) in a finite number of steps.
- Examples: **SAT** is decidable. **HALTING** is undecidable.
- A decision problem is in complexity class **P** iff the **number of steps** carried out by the TM is **polynomial** on the size  **$n$**  of the input.

# Non-deterministic TM

- Now, a **non-deterministic Turing Machine** (NDTM) is such that the transition function is replaced by a **transition relation**.
- We may have **different possibilities** for the **next step**.
- Example:  $t(0, q_4, 1, \text{Left}, q_2)$ ,  $t(0, q_4, 0, \text{Right}, q_3)$



# Non-deterministic TM

- **Keypoint:** an NDTM provides an affirmative answer to a **decision problem** when at least **one of the executions** for the same input answers **Yes**.
- A decision problem is in class **NP** iff the **number of steps** carried out by the **NDTM** is **polynomial** on the size  **$n$**  of the input.
- For **SAT**, we can build an NDTM that performs two steps:
  - 1 For each atom, generate **1** or **0** nondeterministically. This provides an arbitrary interpretation in linear time.
  - 2 Test whether the current interpretation is a model or not.  
Complexity:  **$\text{ALOGTIME} \subseteq \text{P}$**

The sequence of these two steps takes polynomial time.

# P vs NP

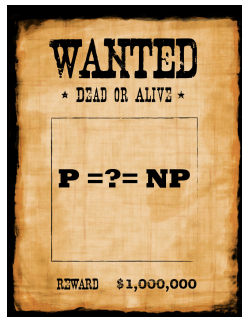
- Any TM is a particular type of NDTM, so  $P \subseteq NP$  trivially, but ...

$$P \stackrel{?}{=} NP$$

- Unsolved problem:** most accepted conjecture  $P \subset NP$ , but remains unproved.

It is one of the 7 **Millenium Prize Problems**

<http://www.claymath.org/millennium-problems>



The Clay Mathematics Institute designated \$1 million prize for its solution!

# Completeness

- A problem  $X$  is **C-complete**, for some complexity class **C**, iff any problem  $Y$  in **C** is reducible to  $X$  in polynomial-time.
- A complete problem is a **representative** of the class. Example: if an **NP**-complete problem happened to be in **P** then  $\mathbf{P} = \mathbf{NP}$ .
- **SAT** was the first problem to be identified as **NP**-complete (Cook's theorem, 1971).
- **SAT** is commonly used nowadays for showing that a problem  $X$  is at least as complex as **NP**. To this aim, just encode **SAT** into  $X$ .
- The Complexity Zoo

[https://complexityzoo.uwaterloo.ca/Complexity\\_Zoo](https://complexityzoo.uwaterloo.ca/Complexity_Zoo)



# Exercise: Turing machine in Prolog

- We use `tape(Ls, S, Rs)` to represent the current symbol `S`, the left fragment of the tape `Ls` (reversed) and the right one `Rs`.

```
compute(Q, T, T) :- final(Q), !.
```

```
compute(Q0, tape(Ls0, S, Rs0), T) :-  
    showmachine(Q0, Ls0, S, Rs0),  
    t(Q0, S, Q1, S1, Action),  
    move(Action, tape(Ls0, S1, Rs0), T1),  
    compute(Q1, T1, T).
```

```
move(l, tape([], S, Rs), tape([], 0, [S|Rs])).  
move(l, tape([L|Ls], S, Rs), tape(Ls, L, [S|Rs])).
```

```
move(r, tape(Ls, S, []), tape([S|Ls], 0, [])).  
move(r, tape(Ls, S, [R|Rs]), tape([S|Ls], R, Rs)).
```