

Solution - 2

1.

- (a) 91, 90, 15, 77, 60, 11, -2, 16, 21, 6
- (b) 90, 77, 15, 21, 60, 11, -2, 16, 6
- (c) 91, 90, 15, 21, 77, 11, -2, 16, 6, 60

2.

Loop Invariant: $A[1 \dots A.\text{heap-size}]$ satisfies the heap order property except possibly $A[i]$ is larger than its parent

Initialization: Prior to the first iteration,

- Assume that i is a leaf node. Then the trees rooted at $\text{LEFT}(i)$ and $\text{RIGHT}(i)$ are heaps containing 0 element, which are min heaps trivially.
- Assume that i is an internal node. Since A is a min heap, the children of i will be min heaps by the property of min heaps.

Maintenance: Every iteration changes the value of i to its parent after swapping the parent's value with its own if the $A[\text{parent}(i)]$ is greater than the value of $A[i]$. Therefore, before each iteration, the trees rooted at $\text{LEFT}(i)$ and $\text{RIGHT}(i)$ are min heaps.

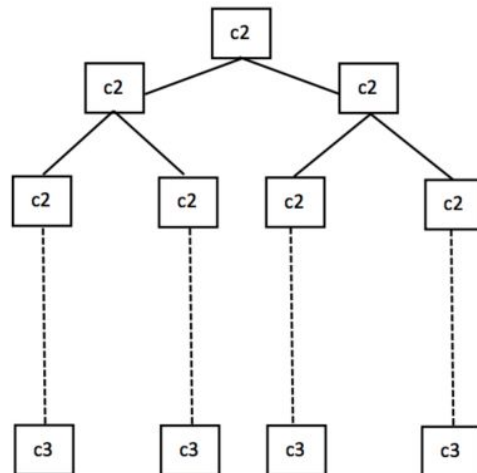
Termination: The loop terminates when either $i = 1$ or $A[\text{Parent}(i)] \leq A[i]$.

- When $i = 1$, the trees rooted at $\text{LEFT}(i)$ and $\text{RIGHT}(i)$ are min heaps and the previous swap puts the lower value to the root. This makes the trees rooted at $\text{LEFT}(i)$ and $\text{RIGHT}(i)$ to be min-heaps and $A[i]$ to be lower than $\text{LEFT}(i)$ and $\text{RIGHT}(i)$. Therefore, A is a min heap.
- When $A[\text{Parent}(i)] \leq A[i]$, the trees rooted at $\text{LEFT}(i)$ and $\text{RIGHT}(i)$ are min heaps, and A as a whole is a min-heap.

3.

a. $T(n) = \begin{cases} c_3 & n = 1 \\ c_2 + 2T(n/2) & \text{otherwise} \end{cases}$

b.



# per level	cost
2^0	$2^0 \cdot c_2$
2^1	$2^1 \cdot c_2$
2^2	$2^2 \cdot c_2$
$2^{\log n}$	$2^{\log n} \cdot c_3$

Running time = $c_3(n) + c_2(n)$
 $= O(n)$

4.

a) quad max-heap array representation:

- $\text{Parent}(i) = \lfloor (i - 2) / 4 \rfloor + 1$, where $i > 1$ or $\text{Parent}(i) = \lceil (i - 1) / 4 \rceil$ or $\lfloor (i + 2) / 4 \rfloor$
- $\text{Children}(i, j) = 4(i - 1) + j + 1$, where $1 \leq i \leq \text{heap_size}$ and $1 \leq j \leq 4$

b) $\theta(\log_4 n)$ or $\lceil \log_4(3n + 1) \rceil - 1$

c)

HEAP_EXTRACT_MAX(A)

- if** A.heap_size < 1
- error "heap underflow"
- $max = A[1]$
- $A[1] = A[A.heap_size]$
- A.heap_size = A.heap_size - 1
- MAX_HEAPIFY(A, 1)
- return** max

MAX_HEAPIFY(A, i)

- 1) $largest = i$
- 2) **for** $j = 1$ **to** 4
- 3) $child = CHILDREN(i, j)$
- 4) **if** $child \leq A.heap_size$ **and** $A[child] > A[largest]$
- 5) $largest = child$
- 6) **if** $largest \neq i$
- 7) exchange $A[i]$ with $A[largest]$
- 8) MAX_HEAPIFY($A, largest$)

The running time of HEAP-EXTRACT-MAX depends on the time taken by MAX-HEAPIFY. For MAX HEAPIFY to check all the child nodes, it takes $O(4)$ time for a 4-ary tree and the total number of levels to check is $O(\log_4 n)$.

Therefore: Running time of HEAP-EXTRACT-MAX = $O(4\log_4 n) = O(\log_4 n)$.

d)

MAX_HEAP_INSERT(A, key)

- 1) $A.heap_size = A.heap_size + 1$
- 2) $A[A.heap_size] = -\infty$
- 3) HEAP_INCREASE_KEY($A, A.heap_size, key$)

e)

HEAP_INCREASE_KEY(A, i, key)

- 1) **if** $key < A[i]$
- 2) error "new key is smaller than current key"
- 3) $A[i] = key$
- 4) **while** $i > 1$ **and** $A[PARENT(i)] < A[i]$
- 5) exchange $A[i]$ with $A[PARENT(i)]$
- 6) $i = PARENT(i)$

The run time of max heap insert is the same as that of a binary heap, as no extra comparisons are made. The run time of MAX-HEAP-INSERT depends on the running time of HEAP-INCREASE-KEY.

Therefore: Running time of MAX-HEAP-INSERT = $O(\text{height of the tree}) = O(\log_4 n)$

5. The question requires an algorithm that is (small) $O(n^2)$ so we need to find an algorithm that is $\Theta(n \log n)$ or $\Theta(n)$..and so on and not $\Theta(n^2)$.

We can use merge sort to sort the array in ascending order $\Theta(n \log n)$ and then remove equal elements adjacent to each other to get rid of duplicates in one pass $\Theta(n)$. Making our algorithm - $\Theta(n \log n)$

// **MergeSort(nums)**

```
int sol[] = new int[nums.length];
int i=0;
int index=0;
while(i<nums.length){

    while(i+1<nums.length && nums[i+1]==nums[i])
        i++;

    sol[index] = nums[i];
    index++;
    i++;
}
```

Note: 2 loops $\neq O(n^2)$ while this code has two loops it is still one pass over the array making it $\Theta(n)$

6.

def top_k(A):

 min_heap = build_heap(first k elements in A):

 for i = k + 1 to A.length:

 if min_heap[1] < A[i]:

 min_heap.extract_min()

 min_heap.heappush(A[i])

 return min_heap

7.

In this problem, we have k queues sorted by arrival time. We only have one gate, and only one player is allowed to enter at a time. We want the person with earliest arrival time to enter first.

This is essentially asking us to merge K sorted list. We can use a Min Heap to store the heads of each list. Hence, we only need $O(k)$ space and each person is processed in $O(\log k)$ time.

Pseudocode:

```
PROCESS_SHIPS(List<Line> lines) {
    result = [];    // initialize output list
    heads = BUILD_MIN_HEAP(lines); // sort by arrival time,  $O(k \log k)$ 
    while heads is not empty:
        nextLine = EXTRACT_MIN_HEAP(heads); // get min arrival time,  $O(\log k)$ 
        append(result, nextLine.ship);
        if (nextLine has more ships) { // update the line
            INSERT_MIN_HEAP(heads, nextLine.next);
        }
    return result;
}
```

8.

The number of leaves of a nearly complete binary heap is $\lceil n/2 \rceil$

Basis step: Consider a binary heap of height h where $h = 0$.

When the height of a binary heap is 0, the number of nodes in the tree is 1.

\therefore The number of leaves in such a tree is: $\left\lceil \frac{1}{2} \right\rceil = \left\lceil \frac{1}{2^{h+1}} \right\rceil = \left\lceil \frac{1}{2^{0+1}} \right\rceil = 1$

Inductive step: Let us assume that the above also holds true for nodes of height $(h - 1)$. Suppose we have a binary heap with n nodes and we remove all the leaves of this new binary heap.

$$\therefore \text{The number of remaining nodes} = n - \left\lfloor \frac{n}{2} \right\rfloor = \left\lfloor \frac{n}{2} \right\rfloor$$

By removing the leaf nodes, the height of the heap decreases by 1. Thus, the nodes with height initially h , now are of height $(h - 1)$ in the modified heap.

By strong induction, the number of nodes with height $(h - 1)$ is:

$$\left\lfloor \frac{\left\lfloor \frac{n}{2} \right\rfloor}{2^{h-1+1}} \right\rfloor \leq \left\lfloor \frac{\frac{n}{2}}{2^h} \right\rfloor = \left\lfloor \frac{n}{2^{h+1}} \right\rfloor$$

9. <https://leetcode.com/problems/find-median-from-data-stream/solution/>

Approach 3 and 4 are accepted solutions as they fall within the complexity constraints