

# **Chapter 4 : Intermediate SQL**

**Database System Concepts, 7th Ed.** 

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### **Outline**

- Join Expressions
- Views
- Transactions
- Integrity Constraints
- SQL Data Types and Schemas
- Index Definition in SQL
- Authorization



#### **Joined Relations**

- Join operations take two relations and return as a result another relation.
- A join operation is a Cartesian product which requires that tuples in the two relations match (under some condition). It also specifies the attributes that are present in the result of the join
- The join operations are typically used as subquery expressions in the from clause
- Three types of joins:
  - Natural join
  - Inner join
  - Outer join



#### **Natural Join in SQL**

- Natural join matches tuples with the same values for all common attributes, and retains only one copy of each common column.
- List the names of students along with the course ID of the courses that they took
  - students(<u>ID</u>, name, dept\_name, tot\_cred), takes(<u>ID</u>, <u>course\_id</u>, <u>sec\_id</u>, <u>semester</u>, <u>year</u>, grade)
  - select name, course\_idfrom student, takeswhere student.ID = takes.ID;
- Same query in SQL with "natural join" construct
  - select name, course\_id
     from student natural join takes;



# **Natural Join in SQL (Cont.)**

The from clause can have multiple relations combined using natural join:

```
select A_1, A_2, ... A_n
from r_1 natural join r_2 natural join ... natural join r_n
where P;
```



# **Student Relation, Takes Relation**

#### Common attributes

ID	name	dept_name	tot_cred
00128	Zhang	Comp. Sci.	102
12345	Shankar	Comp. Sci.	32
19991	Brandt	History	80
23121	Chavez	Finance	110
44553	Peltier	Physics	56
45678	Levy	Physics	46
54321	Williams	Comp. Sci.	54
55739	Sanchez	Music	38
70557	Snow	Physics	0
76543	Brown	Comp. Sci.	58
76653	Aoi	Elec. Eng.	60
98765	Bourikas	Elec. Eng.	98
98988	Tanaka	Biology	120

ID	course_id	sec_id	semester	year	grade
00128	CS-101	1	Fall	2017	A
00128	CS-347	1	Fall	2017	A-
12345	CS-101	1	Fa11	2017	С
12345	CS-190	2	Spring	2017	A
12345	CS-315	1	Spring	2018	A
12345	CS-347	1	Fall	2017	A
19991	HIS-351	1	Spring	2018	В
23121	FI <b>N</b> -201	1	Spring	2018	C+
44553	PHY-101	1	Fa11	2017	B-
45678	CS-101	1	Fall	2017	F
45678	CS-101	1	Spring	2018	B+
45678	CS-319	1	Spring	2018	В
54321	CS-101	1	Fall	2017	A-
54321	CS-190	2	Spring	2017	B+
55739	MU-199	1	Spring	2018	A-
76543	CS-101	1	Fall	2017	A
76543	CS-319	2	Spring	2018	A
76653	EE-181	1	Spring	2017	С
98765	CS-101	1	Fall	2017	C-
98765	CS-315	1	Spring	2018	В
98988	BIO-101	1	Summer	2017	A
98988	BIO-301	1	Summer	2018	null



# student natural join takes

ID	name	dept_name	tot_cred	course_id	sec_id	semester	year	grade
00128	Zhang	Comp. Sci.	102	CS-101	1	Fa11	2017	A
00128	Zhang	Comp. Sci.	102	CS-347	1	Fall	2017	A-
12345	Shankar	Comp. Sci.	32	CS-101	1	Fall	2017	C
12345	Shankar	Comp. Sci.	32	CS-190	2	Spring	2017	A
12345	Shankar	Comp. Sci.	32	CS-315	1	Spring	2018	A
12345	Shankar	Comp. Sci.	32	CS-347	1	Fa11	2017	A
19991	Brandt	History	80	HIS-351	1	Spring	2018	В
23121	Chavez	Finance	110	FI <b>N-2</b> 01	1	Spring	2018	C+
44553	Peltier	Physics	56	PHY-101	1	Fall	2017	B-
45678	Levy	Physics	46	CS-101	1	Fall	2017	F
45678	Levy	Physics	46	CS-101	1	Spring	2018	B+
45678	Levy	Physics	46	CS-319	1	Spring	2018	В
54321	Williams	Comp. Sci.	54	CS-101	1	Fa11	2017	A-
54321	Williams	Comp. Sci.	54	CS-190	2	Spring	2017	B+
55739	Sanchez	Music	38	MU-199	1	Spring	2018	A-
76543	Brown	Comp. Sci.	58	CS-101	1	Fall	2017	A
76543	Brown	Comp. Sci.	58	CS-319	2	Spring	2018	A
76653	Aoi	Elec. Eng.	60	EE-181	1	Spring	2017	С
98765	Bourikas	Elec. Eng.	98	CS-101	1	Fall	2017	C-
98765	Bourikas	Elec. Eng.	98	CS-315	1	Spring	2018	В
98988	Tanaka	Biology	120	BIO-101	1	Summer	2017	A
98988	Tanaka	Biology	120	BIO-301	1	Summer	2018	null



### **Dangerous in Natural Join**

- Beware of unrelated attributes with same name which get equated incorrectly
- Example -- List the names of students along with the titles of courses that they have taken
  - Correct version

```
select name, title
from student natural join takes, course
where takes.course_id = course.course_id;
```

Incorrect version (what query in nature language for the following?)

```
select name, title
from student natural join takes natural join course;
```

- This query omits all (student name, course title) pairs where the student takes a course in a department other than the student's own department.
  - students(<u>ID</u>, name, dept\_name, tot\_cred), takes(<u>ID</u>, <u>course\_id</u>, <u>sec\_id</u>, <u>semester</u>, <u>year</u>, grade), course(<u>course\_id</u>, ...,dept\_name)
- The correct version (above), correctly outputs such pairs.



## **Natural Join with Using Clause**

- To avoid the danger of equating attributes erroneously, we can use the "using" construct that allows us to specify exactly which columns should be equated.
- Query example

select name, title
from (student natural join takes) join course using (course\_id);



#### **Join Condition**

- The on condition allows a general predicate over the relations being joined
- This predicate is written like a where clause predicate except for the use of the keyword on
- Query example

```
select *
from students join takes on students.ID = takes.ID;
```

- The on condition above specifies that a tuple from student matches a tuple from takes if their ID values are equal.
- Equivalent to:

```
select *
from students, takes
where students.ID = takes.ID;
```



#### **Outer Join**

- An extension of the join operation that avoids loss of information.
- Computes the join and then adds tuples form one relation that does not match tuples in the other relation to the result of the join.
- Uses null values.
- Three forms of outer join:
  - left outer join
  - right outer join
  - full outer join



## **Outer Join Examples**

Relation course

course_id	title	dept_name	credits
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

Relation prereq

course_id	prereq_id
BIO-301	BIO-101
CS-190	CS-101
CS-347	CS-101

Observe that
 course information is missing CS-347
 prereq information is missing CS-315



### **Left Outer Join**

course natural left outer join prereq

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null



# **Right Outer Join**

course natural right outer join prereq

course_id	title	dept_name	credits	prereq_id
	2 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	Biology	(5)	BIO-101
CS-190 CS-347	Game Design null	Comp. Sci. null	200	CS-101 CS-101

In relational algebra: course ⋈ prereq



### **Full Outer Join**

course natural full outer join prereq

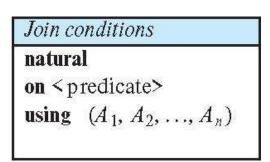
course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null
CS-347	null	null	null	CS-101



## **Joined Types and Conditions**

- Join operations take two relations and return as a result another relation.
- These additional operations are typically used as subquery expressions in the from clause
- Join condition defines which tuples in the two relations match.
- Join type defines how tuples in each relation that do not match any tuple in the other relation (based on the join condition) are treated.

Join types
inner join
left outer join
right outer join
full outer join





### Joined Relations – Examples

course natural right outer join prereq

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-347	null	null	null	CS-101

course full outer join prereq using (course\_id)

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null
CS-347	null	null	null	CS-101



### Joined Relations – Examples

course inner join prereq on course.course\_id = prereq.course\_id

course_id	title	dept_name	credits	prereq_id	course_id
BIO-301 (CS-190 (	Genetics Game Design	Biology	183	BIO-101 CS-101	BIO-301 CS-190

- What is the difference between the above, and a natural join?
  - Common columns repeat
- course left outer join prereq on course.course\_id = prereq.course\_id

course_id	title	dept_name	credits	prereq_id	course_id
		Biology	127	BIO-101	BIO-301
CS-190	Game Design	Comp. Sci.	4	CS-101	CS-190
CS-315	Robotics	Comp. Sci.	3	null	null



### Joined Relations – Examples

course natural right outer join prereq

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-347	null	null	null	CS-101

course full outer join prereq using (course\_id)

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null
CS-347	null	null	null	CS-101



#### **Views**

- In some cases, it is not desirable for all users to see the entire logical model (that is, all the actual relations stored in the database.)
- Consider a person who needs to know an instructors name and department, but not the salary. This person should see a relation described, in SQL, by

**select** *ID*, *name*, *dept\_name* **from** *instructor* 

- A view provides a mechanism to hide certain data from the view of certain users.
- Any relation that is not of the conceptual model but is made visible to a user as a "virtual relation" is called a view.



#### **View Definition**

A view is defined using the create view statement which has the form

create view v as < query expression >

where <query expression> is any legal SQL expression. The view name is represented by *v*.

- Once a view is defined, the view name can be used to refer to the virtual relation that the view generates.
- View definition is not the same as creating a new relation by evaluating the query expression
  - Rather, a view definition causes the saving of an expression; the expression is substituted into queries using the view.



#### **View Definition and Use**

A view of instructors without their salary

```
create view faculty as
select ID, name, dept_name
from instructor
```

Find all instructors in the Biology department

```
select name
from faculty
where dept_name = 'Biology'
```

Create a view of department salary totals

```
create view departments_total_salary(dept_name, total_salary) as select dept_name, sum (salary) from instructor group by dept_name;
```



### **Views Defined Using Other Views**

- create view physics\_fall\_2017 as
   select course.course\_id, sec\_id, building, room\_number
   from course, section
   where course.course\_id = section.course\_id
   and course.dept\_name = 'Physics'
   and section.semester = 'Fall'
   and section.year = '2017';
- create view physics\_fall\_2017\_watson as select course\_id, room\_number from physics\_fall\_2017 where building= 'Watson';



### **View Expansion**

Expand the view :

```
create view physics_fall_2017_watson as select course_id, room_number from physics_fall_2017 where building= 'Watson'
```

To:

```
create view physics_fall_2017_watson as
  select course_id, room_number
from (select course.course_id, building, room_number
  from course, section
  where course.course_id = section.course_id
    and course.dept_name = 'Physics'
    and section.semester = 'Fall'
    and section.year = '2017')
where building= 'Watson';
```



### **Update of a View**

Add a new tuple to faculty view which we defined earlier

insert into faculty

values ('30765', 'Green', 'Music');

- This insertion must be represented by the insertion into the instructor relation
  - Must have a value for salary.
- Two approaches
  - Reject the insert
  - Inset the tuple

('30765', 'Green', 'Music', null)

into the *instructor* relation



# Some Updates Cannot be Translated Uniquely

- create view instructor\_info as
   select ID, name, building
   from instructor, department
   where instructor.dept\_name= department.dept\_name;
- insert into instructor\_infovalues ('69987', 'White', 'Taylor');
- Issues
  - Which department, if multiple departments in Taylor?
  - What if no department is in Taylor?



#### And Some Not at All

- create view history\_instructors as select \* from instructor where dept\_name= 'History';
- What happens if we insert ('25566', 'Brown', 'Biology', 100000) into history\_instructors?



### **View Updates in SQL**

- Most SQL implementations allow updates only on simple views
  - The from clause has only one database relation.
  - The select clause contains only attribute names of the relation, and does not have any expressions, aggregates, or distinct specification.
  - Any attribute not listed in the select clause can be set to null
  - The query does not have a group by or having clause.



#### **Transactions**

- A transaction consists of a sequence of query and/or update statements and is a "unit" of work
- The SQL standard specifies that a transaction begins implicitly when an SQL statement is executed.
- The transaction must end with one of the following statements:
  - Commit work. The updates performed by the transaction become permanent in the database.
  - Rollback work. All the updates performed by the SQL statements in the transaction are undone.
- Atomic transaction
  - either fully executed or rolled back as if it never occurred
- Isolation from concurrent transactions



#### **Transaction in Practice**

- MySQL Shell
  - set autocommit =1
    - This is the default setting
    - Each SQL statement is automatically followed by 'commit' command.
  - Set autocommit=0
    - You need to use 'commit' to commit your transaction, or use 'rollback' to withdraw any change in the database
- Python IDLE
  - You need to use commit() or rollback() to commit or rollback your current transaction (connection session)



### **Integrity Constraints**

- Integrity constraints guard against accidental damage to the database, by ensuring that authorized changes to the database do not result in a loss of data consistency.
  - A checking account must have a balance greater than \$10,000.00
  - A salary of a bank employee must be at least \$4.00 an hour
  - A customer must have a (non-null) phone number



# **Constraints on a Single Relation**

- not null
- primary key
- unique
- **check** (P), where P is a predicate



#### **Not Null Constraints**

#### not null

 Declare name and budget to be not null name varchar(20) not null budget numeric(12,2) not null



### **Unique Constraints**

- unique  $(A_1, A_2, ..., A_m)$ 
  - The unique specification states that the attributes  $A_1, A_2, ..., A_m$  form a candidate key.
  - Candidate keys are permitted to be null (in contrast to primary keys).



#### The check clause

- The check (P) clause specifies a predicate P that must be satisfied by every tuple in a relation.
- Example: ensure that semester is one of fall, winter, spring or summer

```
create table section
  (course_id varchar (8),
  sec_id varchar (8),
  semester varchar (6),
  year numeric (4,0),
  building varchar (15) not null,
  room_number varchar (7),
  time slot id varchar (4),
  primary key (course_id, sec_id, semester, year),
  check (semester in ('Fall', 'Winter', 'Spring', 'Summer')))
```



# **Referential Integrity**

- Ensures that a value that appears in one relation for a given set of attributes also appears for a certain set of attributes in another relation.
  - Example: If "Biology" is a department name appearing in one of the tuples in the *instructor* relation, then there exists a tuple in the *department* relation for "Biology".
- Let A be a set of attributes. Let R and S be two relations that contain attributes A and where A is the primary key of S. A is said to be a foreign key of R if for any values of A appearing in R these values also appear in S.





## **Referential Integrity (Cont.)**

 Foreign keys can be specified as part of the SQL create table statement

**foreign key** (*dept\_name*) **references** *department* 

- By default, a foreign key references the primary-key attributes of the referenced table.
- SQL allows a list of attributes of the referenced relation to be specified explicitly.

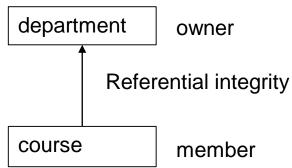
**foreign key** (dept\_name) **references** department (dept\_name)



# **Cascading Actions in Referential Integrity**

- When a referential-integrity constraint is violated, the normal procedure is to reject the action that caused the violation.
- An alternative, in case of delete or update is to cascade

- Instead of cascade we can use :
  - set null,
  - set default





### **Integrity Constraint Violation During Transactions**

Consider:

- How to insert a tuple without causing constraint violation?
  - Insert father and mother of a person before inserting person
  - OR, set father and mother to null initially, update after inserting all persons (not possible if father and mother attributes declared to be **not null**)
  - OR defer constraint checking



### **Complex Check Conditions**

 The predicate in the check clause can be an arbitrary predicate that can include a subquery.

```
check (time_slot_id in (select time_slot_id from time_slot))
```

The check condition states that the time\_slot\_id in each tuple in the section relation is actually the identifier of a time slot in the time\_slot relation.

- The condition has to be checked not only when a tuple is inserted or modified in section, but also when the relation time\_slot changes
- create table part(

```
pid int primary key auto_increment, pname varchar(30), weight int check ck1(weight>0), primary key (pid)
);
```



## **Built-in Data Types in SQL**

- date: Dates, containing a (4 digit) year, month and date
  - Example: date '2005-7-27'
- time: Time of day, in hours, minutes and seconds.
  - Example: time '09:00:30'
     time '09:00:30.75'
- timestamp: date plus time of day
  - Example: timestamp '2005-7-27 09:00:30.75'
- interval: period of time
  - Example: interval '1' day
  - Subtracting a date/time/timestamp value from another gives an interval value
  - Interval values can be added to date/time/timestamp values
- https://dev.mysql.com/doc/refman/8.0/en/date-and-time-functions.html



### **Large-Object Types**

- Large objects (photos, videos, CAD files, etc.) are stored as a large object.
  - text, text(M), longtext
  - blob: binary large object -- object is a large collection of uninterpreted binary data (whose interpretation is left to an application outside of the database system)
  - https://dev.mysql.com/doc/refman/8.0/en/string-type-syntax.html
- When a query returns a large object, a pointer is returned rather than the large object itself.
- grant file on \*.\* to user1@localhost; fush privileges; (login out and in again) create table my\_image(id int, fid blob); insert into my\_image values(4,load\_file(C:/ProgramData/MySQL/MySQL Server 8.0/Uploads/temp.tex'));
- Remark: global variable secure\_file\_priv indicates the path for the file to load into the database.



### **Index Creation**

- Many queries reference only a small proportion of the records in a table.
- It is inefficient for the system to read every record to find a record with particular value
- An index on an attribute of a relation is a data structure that allows the database system to find those tuples in the relation that have a specified value for that attribute efficiently, without scanning through all the tuples of the relation.
- We create an index with the create index command

**create index** index-name **on** table-name (attribute);

show indexes from table-name;
drop index index-name on table-name;



### **Index Creation Example**

- create table student (ID varchar (5), name varchar (20) not null, dept\_name varchar (20), tot\_cred numeric (3,0) default 0, primary key (ID))
- create index studentID\_index on student(ID)
- The query:

```
select *
from student
where ID = '12345'
```

can be executed by using the index to find the required record, without looking at all records of *student* 

- show indexes from student;
- drop index studentID\_index on student;



#### **Authorization**

- We may assign a user several forms of authorizations on parts of the database.
  - select allows reading, but not modification of data.
  - Insert allows insertion of new data, but not modification of existing data.
  - Update allows modification, but not deletion of data.
  - Delete allows deletion of data.
- Each of these types of authorizations is called a privilege. We may authorize the user all, none, or a combination of these types of privileges on specified parts of a database, such as a relation or a view.



### **Authorization (Cont.)**

- Forms of authorization to modify the database schema
  - Index allows creation and deletion of indices.
  - Create allows creation of new relations.
  - Alter allows addition or deletion of attributes in a relation.
  - Drop allows deletion of relations.
- See more;
  - https://dev.mysql.com/doc/refman/8.0/en/grant.html



#### **Create Users**

- Create users in MySQL database
  - create user user1 identified by 'password1'
- Show users of MySQL database
  - select user from mysql.user
    - List the names of all users
  - select \* from mysql.user
    - List the full information of all users
- Drop users
  - drop user user1



## **Authorization Specification in SQL**

- The grant statement is used to confer authorization
   grant <privilege list> on <relation or view > to <user list>
- <user list> is:
  - a user-id
  - public, which allows all valid users the privilege granted
  - A role (more on this later)
- Example:
  - grant select on department to Amit, Satoshi
- Granting a privilege on a view does not imply granting any privileges on the underlying relations.
- The grantor of the privilege must already hold the privilege on the specified item (or be the database administrator).



### **Privileges in SQL**

- select: allows read access to relation, or the ability to query using the view
  - Example: grant users  $U_1$ ,  $U_2$ , and  $U_3$  **select** authorization on the *instructor* relation:

#### grant select on instructor to $U_1$ , $U_2$ , $U_3$

- insert: the ability to insert tuples
- update: the ability to update using the SQL update statement
- delete: the ability to delete tuples.
- all privileges: used as a short form for all the allowable privileges
- grant all privileges on \*.\* to U1



### Revoking Authorization in SQL

- The revoke statement is used to revoke authorization.
   revoke <privilege list> on <relation or view> from <user list>
- Example:
  - revoke select on student from  $U_1$ ,  $U_2$ ,  $U_3$
- <pri><pri>ilege-list> may be all to revoke all privileges the revokee may hold.
- If <revokee-list> includes public, all users lose the privilege except those granted it explicitly.
- If the same privilege was granted twice to the same user by different grantees, the user may retain the privilege after the revocation.
- All privileges that depend on the privilege being revoked are also revoked.



#### Roles

- A role is a way to distinguish among various users as far as what these users can access/update in the database.
- To create a role we use:

create role <name>

- Example:
  - create role instructor
- Once a role is created we can assign "users" to the role using:
  - grant <role> to <users>



### **Roles Example**

- create role instructor;
- grant instructor to Amit;
- Privileges can be granted to roles:
  - grant select on takes to instructor,
- Roles can be granted to users, as well as to other roles
  - create role teaching\_assistant
  - grant teaching\_assistant to instructor,
    - Instructor inherits all privileges of teaching\_assistant
- Chain of roles
  - create role dean;
  - grant instructor to dean;
  - grant dean to Satoshi;



#### **Authorization on Views**

- create view geo\_instructor as
  (select \*
  from instructor
  where dept\_name = 'Geology');
- grant select on geo\_instructor to geo\_staff
- Suppose that a geo\_staff member issues
  - select \* from geo\_instructor,
- What if
  - geo\_staff does not have permissions on instructor?
  - Creator of view did not have some permissions on instructor?



#### Other Authorization Features

- references privilege to create foreign key
  - grant reference (dept\_name) on department to Mariano;
  - Why is this required?
- transfer of privileges
  - grant select on department to Amit with grant option;
  - revoke select on department from Amit, Satoshi cascade;
    - Revoke the privilege recursively from users who get it from Satoshi too.
  - revoke select on department from Amit, Satoshi restrict;
    - Not get back the privilege if Satoshi granted to others now.
  - And more!



# **End of Chapter 4**