

# Beam estimation

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## 1 Direct estimation

To prevent the use of fitting methods to estimate the beam size, the main idea is to consider that  $(u, v)$  points were drawn from a 2D normal distribution. The beam shape is extracted from the empirical covariance of this distribution.

We have  $N$  points in the  $uv$  plane described by the vectors  $\mathbf{u}$  and  $\mathbf{v}$  (including the null frequency  $(u, v) = (0, 0)$ ). We can define the modulation transfer function  $h$  of the interferometer as:

$$\hat{h}(u, v) = \begin{cases} 1 & \text{if } u \in \mathbf{u} \text{ and } v \in \mathbf{v}, \\ 0 & \text{otherwise.} \end{cases} \quad (1)$$

The dirty beam is  $h$  the Fourier transform of  $\hat{h}$ . One way to define the resolution of the interferometer is to compute the so called beam as half-width at half-maximum of a 2D Gaussian  $g$  fitted on the central peak of the dirty beam. The covariance matrix of the Gaussian  $\mathbf{C}$  are given by

$$\theta = \arg \min_{\mathbf{C}} \|g(\mathbf{C}) - h\|^2 \quad (2)$$

From Parseval-Plancherel theorem, this can be also written in Fourier domain as

$$\theta = \arg \min_{\mathbf{C}} \|\hat{g}(\mathbf{C}) - \hat{h}\|^2 \quad (3)$$

where the  $\hat{g}(\mathbf{C})$  is also a Gaussian of covariance matrix  $\mathbf{D} = \pi^{-2} \mathbf{C}^{-1}$ .

This covariance matrix  $\mathbf{D}$  can be approximated from the distribution of the sampling point in the  $uv$  plane. As by construction  $\langle \mathbf{u} \rangle = 0$  and  $\langle \mathbf{v} \rangle = 0$  we have:

$$\mathbf{D} = \frac{2}{2N+1} \begin{pmatrix} \sum_n u_n^2 & \sum_n u_n v_n \\ \sum_n u_n v_n & \sum_n v_n^2 \end{pmatrix} \quad (4)$$

The  $\frac{2}{2N+1}$  factor is to count twice all the  $(uv)$  points (due to the symmetry of the  $uv$  plane) excepted the null frequency. In addition to its covariance matrix  $\mathbf{C}$ , we can describe the beam with its principal angle  $\theta$  and its half-widths at half maximum  $r_1$  and

$r_2$  along both the major and minor axes respectively. These parameters can be extracted by the mean of the eigendecomposition:

$$\mathbf{D} = \mathbf{Q} \mathbf{\Lambda} \mathbf{Q}^T \quad (5)$$

where  $\mathbf{Q}$  and  $\mathbf{\Lambda}$  are the matrices of eigenvectors and eigenvalues respectively. The matrix  $\mathbf{\Lambda}$  is a diagonal matrix that contains the eigenvalues  $(\lambda_1, \lambda_2)$  that are the variances along both axis.  $\mathbf{Q}$  is a rotation by the principal angle. As  $\mathbf{C} = \pi^{-2} \mathbf{D}^{-1}$ , its eigenvalues are  $\mathbf{\Lambda}^{-1}$  and major and minor axis are inverted leading to a rotation of  $\pi/2$  of the principal angle. As a result, these matrices can be expressed as a function of the beam parameters using as :

$$\mathbf{Q} = \begin{pmatrix} \cos(\pi/2 - \theta) & -\sin(\pi/2 - \theta) \\ \sin(\pi/2 - \theta) & \cos(\pi/2 - \theta) \end{pmatrix} \quad (6)$$

$$\mathbf{\Lambda} = \frac{\log(2)}{2\pi^2} \begin{pmatrix} r_1^{-2} & 0 \\ 0 & r_2^{-2} \end{pmatrix} \quad (7)$$

where  $\frac{\sqrt{2\log(2)}}{2}$  is a factor to convert standard deviation to half-width at half-maximum. The beam ellipse parameters are then:

$$r_1 = \frac{\sqrt{2\log(2)}}{2\pi} \frac{1}{\sqrt{\lambda_1}} \quad (8)$$

$$r_2 = \frac{\sqrt{2\log(2)}}{2\pi} \frac{1}{\sqrt{\lambda_2}} \quad (9)$$

$$\theta = \arctan(Q[1, 1], Q[2, 1]) \quad (10)$$

In OImaging, we can plot the beam by applying the transformation matrix  $\mathbf{T} = \frac{1}{\pi} \mathbf{Q} \mathbf{\Lambda}^{-1/2}$  to a circle of diameter 1.

## 2 Results

See notebook <https://jovian.com/ferreols/beamexample>