# Yorick: An Interpreted Language

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# 1 Basic Ideas

Yorick is an interpreted programming language. With Yorick you can read input (usually lists of numbers) from virtually any text or binary file, process it, and write the results back to another file. You can also plot results on your screen.

Yorick expression and flow control syntax is similar to the C programming language, but the Yorick language lacks declaration statements. Also, Yorick array index syntax more closely resembles Fortran than C.

# 1.1 Simple Statements

An interpreter immediately executes each line you type. Most Yorick input lines define a variable, invoke a procedure, or print the value of an expression.

# 1.1.1 Defining a variable

The following five Yorick statements define the five variables c, m, E, theta, and file:

```
c = 3.00e10; m = 9.11e-28
E = m*c^2
theta = span(0, 6*pi, 200)
file = create("damped.txt")
```

Variable names are case sensitive, so E is not the same variable as e. A variable name must begin with a letter of the alphabet (either upper or lower case) or with an underscore (\_); subsequent characters may additionally include digits.

A semicolon terminates a Yorick statement, so the first line contains two statements. To make Yorick statements easier to type, you don't need to put a semicolon at the end of most lines. However, if you are composing a Yorick program in a text file (as opposed to typing directly to Yorick itself, a semicolon at the end of every line reduces the chances of a misinterpretation, and makes your program easier to read.

Conversely, a new line need not represent the end of a statement. If the line is incomplete, the statement automatically continues on the following line. Hence, the second and third lines above could have been typed as:

In the second line, \* and ^ represent multiplication and raising to a power. The other common arithmetic operators are +, -, / (division), and % (remainder or modulo). The rules for forming arithmetic expressions with these operators and parentheses are the same in Yorick as in Fortran or C (but note that ^ does not mean raise to a power in C, and Fortran uses the symbol \*\* for that operation).

The *span* function returns 200 equally spaced values beginning with 0 and ending with 6\*pi. The variable pi is predefined as 3.14159...

The create function returns an object representing the new file. The variable file specifies where output functions should write their data. Besides numbers like c, m, and E,

or arrays of numbers like theta, or files like file, Yorick variables may represent several other sorts of objects, taken up in later chapters.

The = operator is itself a binary operator, which has the side effect of redefining its left operand. It associates from right to left, that is, the rightmost = operation is performed first (all other binary operators except ^ associate from left to right). Hence, several variables may be set to a single value with a single statement:

```
psi = phi = theta = span(0, 6*pi, 200)
```

When you define a variable, Yorick forgets any previous value and data type:

```
phi = create("junk.txt")
```

# 1.1.2 Invoking a procedure

A Yorick function which has side effects may sensibly be invoked as a procedure, discarding the value returned by the function, if any:

```
plg, sin(theta)*exp(-theta/6), theta
write, file, theta, sin(theta)*exp(-theta/6)
close, file
```

The *plg* function plots a graph on your screen — in this case, three cycles of a damped sine wave. The graph is made by connecting 200 closely spaced points by straight lines.

The write function writes a two column, 200 line table of values of the same damped sine wave to the file 'damped.txt'. Then close closes the file, making it unavailable for any further write operations.

A line which ends with a comma will be continued, to allow procedures with long argument lists. For example, the **write** statement could have been written:

A procedure may be invoked with zero arguments; several graphics functions are often used in this way:

```
hcp
fma
```

The *hcp* function writes the current graphics window contents to a "hardcopy file" for later retrieval or printing. The *fma* function stands for "frame advance" — subsequent plotting commands will draw on a fresh page. Normally, plotting commands such as *plg* draw on top of whatever has been drawn since the previous *fma*.

# 1.1.3 Printing an expression

An unadorned expression is also a legal Yorick statement; Yorick prints its value. In the preceding examples, only the characters you would type have been shown; to exhibit the *print* function and its output, I need to show you what your screen would look like — not only what you type, but what Yorick prints. To begin with, Yorick prompts you for input with a > followed by a space (see Section 1.3.5 [Prompts], page 17). In the examples in this section, therefore, the lines which begin with > are what you typed; the other line(s) are Yorick's responses.

```
> E
8.199e-07
> print, E
8.199e-07
> m;c;m*c^2
9.11e-28
3.e+10
8.199e-07
> span(0,2,5)
[0,0.5,1,1.5,2]
> max(sin(theta)*exp(-theta/6))
0.780288
```

In the *span* example, notice that an array is printed as a comma delimited list enclosed in square brackets. This is also a legal syntax for an array in a Yorick statement. For numeric data, the *print* function always displays its output in a format which would be legal in a Yorick statement; you must use the *write* function if you want "prettier" output. Beware of typing an expression or variable name which is a large array; it is easy to generate lots of output (you can interrupt Yorick by typing control-c if you do this accidentally, see Section 1.3.1 [Starting], page 12).

Most non-numeric objects print some useful descriptive information; for example, before it was closed, the *file* variable above would have printed:

```
> file
write-only text stream at:
  LINE: 201 FILE: /home/icf/munro/damped.txt
```

As you may have noticed, printing a variable and invoking a procedure with no arguments are syntactically indistinguishable. Yorick decides which operation is appropriate at run time. Thus, if file had been a function, the previous input line would have invoked the file function; as it was not a function, Yorick printed the file variable. Only an explicit use of the print function will print a function:

```
> print, fma
builtin fma()
```

#### 1.2 Flow Control Statements

Almost everything you type at Yorick during an interactive session will be one of the simple Yorick statements described in the previous section – defining variables, invoking procedures, and printing expressions. However, in order to actually use Yorick you need to write your own functions and procedures. You can do this by typing Yorick statements at the keyboard, but you should usually put function definitions in a text file. Suggestions for how to organize such "include" files will be the topic of the next section. This section introduces the Yorick statements which define functions, conditionals, and loops.

# 1.2.1 Defining a function

Consider a damped sine wave. It describes the time evolution of an oscillator, such as a weight on a spring, which bobs up and down for a while after you whack it. The basic shape of the wave is determined by the Q of the oscillator; a high Q means there is little

friction, and the weight will continue bobbing for many cycles, while a low Q means a lot of friction and few cycles. The amplitude of the oscillation is therefore a function of two parameters – the phase (time in units of the natural period of the oscillator), and the Q:

```
func damped_wave(phase, Q)
{
  nu = 0.5/Q;
  omega = sqrt(1.-nu*nu);
  return sin(omega*phase)*exp(-nu*phase);
}
```

Within a function body, I terminate every Yorick statement with a semicolon (see Section 1.1.1 [Define variable], page 1).

The variables *phase* and *Q* are called the parameters of the function. They and the variables *nu* and *omega* defined in the first two lines of the function body are *local* to the function. That is, calling *damped\_wave* will not change the values of any variables named *phase*, *Q*, *nu*, or *omega* in the calling environment.

In fact, the only effect of calling <code>damped\_wave</code> is to return its result, which is accomplished by the <code>return</code> statement in the third line of the body. That is, calling <code>damped\_wave</code> has no side effects. You can use <code>damped\_wave</code> in expressions like this:

```
> damped_wave(1.5, 3)
0.775523
> damped_wave([.5,1,1.5,2,2.5], 3)
[0.435436,0.705823,0.775523,0.659625,0.41276]
> q5 = damped_wave(theta,5)
> fma; plg, damped_wave(theta,3), theta
> plg, damped_wave(theta,1), theta
```

The last two lines graphically compare Q=3 oscillations to Q=1 oscillations.

Notice that the arguments to <code>damped\_wave</code> may be arrays. In this case the result will be the array of results for each element of input; hence <code>q5</code> will be an array of 200 numbers. Nor is Yorick confused by the fact that the <code>phase</code> argument (<code>theta</code>) is an array, while the <code>Q</code> argument (<code>5</code>) is a scalar. The precise rules for "conformability" between two arrays will be described later (see Section 2.6 [Broadcasting], page 33); usually you get what you expected.

In this case, as Yorick evaluates <code>damped\_wave</code>, <code>nu</code> and <code>omega</code> will both be scalars, since <code>Q</code> is a scalar. On the other hand, <code>omega\*phase</code> and <code>nu\*phase</code> become arrays, since <code>phase</code> is an array. Whenever an operand is an array, an arithmetic operation produces an array as its result.

# 1.2.2 Defining Procedures

Any Yorick function may be invoked as a procedure; the return value is simply discarded. Calling <code>damped\_wave</code> as a procedure would be pointless, since it has no side effects. The converse case is a function to be called <code>only</code> for its side effects. Such a function need not have an explicit <code>return</code> statement:

```
func q_out(Q, file_name)
{
  file = create(file_name);
  write, file, "Q = "+pr1(Q);
```

```
write, file, " theta amplitude";
write, file, theta, damped_wave(theta,Q);
}
```

The pr1 function (for "print one value") returns a string representation of its numeric argument, and the + operator between two strings concatenates them.

You would invoke  $q_{out}$  with the line:

```
q_out, 3, "q.out"
```

Besides lacking an explicit return statement, the  $q_{out}$  function has two other peculiarities worth mentioning:

First, the variable theta is never defined. But neither are the functions create and write. Any symbol not defined within a function is external to the function. As already noted, parameters and variables defined within the function are local to the function. Here, theta is the 200 element array of phase angles defined before q\_out was called. You can change theta (both its dimensions and its values) before the next call to q\_out; that is, an external reference may change between calls to a function that uses it.

Second, file is never explicitly closed. When a function returns, its local variables (such as file) disappear; when a file object disappears, the associated file automatically closes.

#### 1.2.3 Conditional Execution

The design of the  $q_{out}$  function can be improved. As written, each output file will contain only a single wave. You might want the option of writing several waves into a single file. Consider this alternative:

```
func q_out(Q, file)
{
  if (structof(file)==string) file = create(file);
  write, file, "Q = "+pr1(Q);
  write, file, " theta amplitude";
  write, file, theta, damped_wave(theta,Q);
  return file;
}
```

The *if* statement executes its body (the redefinition of *file*) if and only if its condition (*structof(file)==string*) is true. Any scalar number may serve as a condition – a non-zero value is "true", and the value zero is "false".

The structof() function returns the data type object when its argument is an array, and nil ([]) otherwise. In particular, if file is a text string (like "q.out"), structof returns string, which is the data type of strings. The binary operator == (as distinguished from assignment =) tests for equality, returning "true" or "false". Note that the test works even for non-numeric objects, like the data type string.

Hence, if the file argument is a string, the new  $q_out$  presumes it is the name of a file, which it creates, redefining file as the associated file object. Thus, after the first line of the function body, file will be a file object, even if a file name was passed into the function. Furthermore, since the parameter file is local to  $q_out$ , none of this hocus pocus will have any effect outside  $q_out$ .

The second trick in the new  $q_{out}$  is the reappearance of a return statement. The original calling sequence:

```
q_out, 3, "q.out"
```

has the same result as before – the if condition is true, so the file is created, then the wave data is written. This time the file object is returned, only to be discarded because  $q_{out}$  was invoked as a procedure. When the file object disappears, the file closes. But if  $q_{out}$  were invoked as a function, the file object can be saved, which keeps the file open:

```
f = q_out(3,"q.out")
q_out,2,f
q_out,1,f
close,f
```

Now the file 'q.out' contains the Q=3 wave, followed by the Q=2 and Q=1 waves. In the second and third calls to q\_out, the file parameter is already a file object, so the if condition is false, and create is not called. Notice that the file does not close when the return value from the second (or third) call is discarded; the variable f refers to the same file object as the discarded return value. Without an explicit call to close, a file only closes when the final reference to it disappears.

#### 1.2.3.1 General if and else constructs

The most general form of the *if* statement is:

```
if (condition) statement_if_true;
else statement_if_false;
```

When you need to choose among several alternatives, make the else clause itself an if statement:

```
if (condition_1) statement_if_1;
else if (condition_2) statement_if_2;
else if (condition_3) statement_if_3;
...
else statement_if_none;
```

The final <code>else</code> is always optional – if it is not present, nothing at all happens if none of the conditions is satisfied.

Often you need to execute more than one statement conditionally. Quite generally in Yorick, you may group several statements into a single compound statement by means of curly braces:

```
{ statement_1; statement_2; statement_3; statement_4; }
```

Ordinarily, both curly braces and each of the statements should be written on a separate line, with the statements indented to make their membership in the compound more obvious. Further, in an if-else construction, when one branch requires more than one statement, you should write every branch as a compound statement with curly braces. Therefore, for a four branch if in which the second if requires two statements, and the else three, write this:

```
if (condition_1) {
   statement_if_1;
} else if (condition_2) {
   statement_if_2_A;
   statement_if_2_B;
```

```
} else if (condition_3) {
   statement_if_3;
} else {
   statement_if_none_A;
   statement_if_none_B;
   statement_if_none_C;
}
```

The else statement has a very unusual syntax: It is the only statement in Yorick which depends on the form of the previous statement; an else must follow an if. Because Yorick statements outside functions (and compound statements) are executed immediately, you must be very careful using else in such a situation. If the if has already been executed (as it would be in the examples without curly braces), the following else leads to a syntax error!

The best way to avoid this puzzling problem is to always use the curly brace syntax of the latest example when you use **else** outside a function. (You don't often need it in such a context anyway.)

# 1.2.3.2 Combining conditions with && and ||

The logical operators && ("and") and || ("or") combine conditional expressions; the ! ("not") operator negates them. The ! has the highest precedence, then &&, then ||; you will need parentheses to force a different order.

(Beware of the bitwise "and" and "or" operators & and | - these should never be used to combine conditions; they are for set-and-mask bit fiddling.)

The operators for comparing numeric values are == (equal), != (not equal), > (greater), < (less), >= (greater or equal), and <= (less or equal). These all have higher precedence than &&, but lower than ! or any arithmetic operators.

```
if ((a<b && x>a && x<b) || (a>b && x<a && x>b))
write, "x is between a and b"
```

Here, the expression for the right operand to && will execute only if its left operand is actually true. Similarly, the right operand to || executes only if its left proves false. Therefore, it is important to order the operands of && and || to put the most computationally expensive expression on the right — even though the logical "and" and "or" functions are commutative, the order of the operands to && and || can be critical.

In the example, if a>b, the x>a and x<b subexpressions will not actually execute since a<b proved false. Since the left operand to  $|\cdot|$  was false, its right operand will be evaluated.

Despite the cleverness of the && and | | operators in not executing the expression for their right operands unless absolutely necessary, the example has obvious inefficiencies: First, if a>=b, then both a<b and a>b are checked. Second, if a<b, but x is not between a and b, the right operand to | | | is evaluated anyway. Yorick has a ternary operator to avoid this type of inefficiency:

```
expr_A_or_B= (condition? expr_A_if_true : expr_B_if_false);
```

The ?: operator evaluates the middle expression if the condition is true, the right expression otherwise. Is that so? Yes: No. The efficient betweeness test reads:

```
if (a<b? (x>a && x<b) : (x<a && x>b))
write, "x is between a and b";
```

#### 1.2.4 Loops

Most loops in Yorick programs are implicit; remember that operations between array arguments produce array results. Whenever you write a Yorick program, you should be suspicious of *all* explicit loops. Always ask yourself whether a clever use of array syntax could have avoided the loop.

To illustrate an appropriate Yorick loop, let's revise  $q\_out$  to write several values of Q in a single call. Incidentally, this sort of incremental revision of a function is very common in Yorick program development. As you use a function, you notice that the surrounding code is often the same, suggesting a savings if it were incorporated into the function. Again, a careful job leaves all of the previous behavior of  $q\_out$  intact:

```
func q_out(Q, file)
{
  if (structof(file)==string) file = create(file);
  n = numberof(Q);
  for (i=1; i<=n; ++i) {
    write, file, "Q = "+pr1(Q(i));
    write, file, " theta amplitude";
    write, file, theta, damped_wave(theta,Q(i));
  }
  return file;
}</pre>
```

Two new features here are the numberof function, which returns the length of the array Q, and the array indexing syntax Q(i), which extracts the i-th element of the array Q. If Q is scalar (as it had to be before this latest revision), numberof returns 1, and Q(1) is the same as Q, so scalar Q works as before.

But the most important new feature is the for loop. It says to initialize i to 1, then, as long as i remains less than or equal to n, to execute the loop body, which is a compound of three write statements. Finally, after each pass, the increment expression ++i is executed before the  $i \le n$  condition is evaluated. ++i is equivalent to i=i+1; --i means i=i-1.

A loop body may also be a single statement, in which case the curly braces are unecessary. For example, the following lines will write the Q=3, Q=2, and Q=1 curves to the file 'q.out', then plot them:

```
q_list = [3,2,1]
q_out, q_list, "q.out"
fma; for(i=1;i<=3;++i) plg, damped_wave(theta,q_list(i)), theta</pre>
```

A for loop with a plg body is the easiest way to overlay a series of curves. After the three simple statement types, for statements see the most frequent direct use from the keyboard.

#### 1.2.4.1 The while and do while statements

The  ${\it while}\ {\it loop}$  is simpler than the  ${\it for}\ {\it loop}$ :

```
while (condition) body_statement
```

The body\_statement — very oten a compound statement enclosed in curly braces — executes over and over until the condition becomes false. If the condition is false to begin with, body\_statement never executes.

Occasionally, you want the body\_statement to execute and set the condition before it is tested for the first time. In this case, use the do while statement:

```
do {
  body_statement_A;
  body_statement_B;
   ...etc...
} while (condition);
```

#### 1.2.4.2 The for statement

The for statement is a "packaged" form of a while loop. The meaning of this generic for statement is the same as the following while:

```
for (start_statements ; condition ; step_statements) body_statements
start_statments
while (condition) {
  body_statements
  step_statements
}
```

There only two reasons to prefer for over while: Most importantly, for can show "up front" how a loop index is initialized and incremented, rather than relegating the increment operation (step\_statements) to the end of the loop. Secondly, the continue statement (see Section 1.2.4.3 [goto], page 9) branches just past the body of the loop, which would include the step\_statements in a while loop, but not in a for loop.

In order to make Yorick loop syntax agree with C, Yorick's for statement has a syntactic irregularity: If the  $start\_statements$  and  $step\_statements$  consist of more than one statement, then commas (not semicolons) separate the statements. (The C comma operator is not available in any other context in Yorick.) The two semicolons separate the start, condition, and step clauses and must always be present, but any or all of the three clauses themselves may be blank. For example, in order to increment two variables i and j, a loop might look like this:

```
for (i=1000, j=1; i>j; i+=1000, j*=2);
```

This example also illustrates that the  $body\_statements$  may be omitted; the point of this loop is merely to compute the first i and j for which the condition is not satisfied. The trailing semicolon is necessary in this case, since otherwise the line would be continued (on the assumption that the loop body was to follow).

The += and \*= are special forms of the = operator; i=i+1000 and j=j\*2 mean the same thing. Any binary operator may be used in this short-hand form in order to increment a variable. Like ++i and --i, these are particularly useful in loop increment expressions.

#### 1.2.4.3 Using break, continue, and goto

The break statement jumps out of the loop containing it. The continue statement jumps to the end of the loop body, "continuing" with the next pass through the loop (if any). In deeply nested loops — which are extremely rare in Yorick programs — you can jump to more general places using the goto statement. For example, here is how to break out or continue from one or both levels of a doubly nested loop:

```
for (i=1 ; i<=n ; ++i) {
  for (j=1 ; j<=m ; ++j) {
    if (skip_to_next_j_now) continue;
    if (skip_to_next_i_now) goto skip_i;
    if (break_out_of_inner_loop_now) break;
    if (break_out_of_both_loops_now) goto done;
    more_statements_A;
  }
  more_statements_B;
  skip_i:
  }
done:
  more_statements_C;</pre>
```

The continue jumps just after more\_statements\_A, the break just before more\_statements\_B.

Break and continue statements may be used to escape from while or do while loops as well.

If while tests the condition before the loop body, and do while checks after the loop body, you may have wondered what to do when you need to check the condition in the middle of the loop body. The answer is the "do forever" construction, plus the break statement (note the inversion of the sense of the condition):

```
for (;;) {
  body_before_test;
  if (!condition) break;
  body_after_test;
}
```

#### 1.2.5 Variable scope

By default, dummy parameters and variables which are defined in a function body before any other use are *local* variables. Variables (or functions) used before their definition are *external* variables (see Section 1.2.2 [Define procedure], page 4, see Section 1.2.1 [Define function], page 3). A variable (or function) defined outside of all functions is just that — external to all functions and local to none.

Whenever a function is called, Yorick remembers the external values of all its local variables, then replaces them by their local values. Thus, all of its local variables are potentially "visible" as external variables to any function it calls. When it returns, the function replaces all its local variables by the values it remembered. Neither that function, nor any function it calls can affect these remembered values; Yorick provides no means of "unmasking" a local variable.

The default rule for determining whether a variable should have local or external scope fails in two cases: First, you may want to redefine an external variable without looking at its value. Second, a few procedures set the values of their parameters when they return; it may appear to Yorick's parser that such a variable has been used without being defined, even though you intend it to be local to the function. The extern and local statements solve these two problems, respectively.

#### 1.2.5.1 extern statements

Suppose you want to write a function which sets the value of the theta array used by all the variants of the  $q_out$  function:

```
func q_out_domain(start, stop, n)
{
  extern theta;
  theta = span(start, stop, n);
}
```

Without the extern statement, theta will be a local variable, whose external value is restored when  $q_{out\_domain}$  returns (thus, the function would be a no-op). With the extern statement,  $q_{out\_domain}$  has the intended side effect of setting the value of theta. The new theta would be used by subsequent calls to  $q_{out}$ .

Any number of variables may appear in a single extern statement:

```
extern var1, var2, var3, var4;
```

#### 1.2.5.2 local statements

The save and restore functions store and retrieve Yorick variables in self-descriptive binary files (called PDB files). To create a binary file 'demo.pdb', save the variables theta, E, m, and c in it, then close the file:

```
f = createb("demo.pdb")
save, f, theta, E, m, c
close, f
```

To open this file and read back the **theta** variable:

```
restore, openb("demo.pdb"), theta, c
```

For symmetry with save, the restore function has the unusual property that it redefines its parameters (except the first). Thus, theta is redefined by this restore statement, just as it would have been by a theta= statement. The Yorick parser does not understand this, which means that you must be careful when you place such a function call inside a function:

```
func q_out_file(infile, Q, outfile)
{
  if (!is_stream(infile)) infile = openb(infile);
  local theta;
  restore, infile, theta;
  return q_out(Q, outfile);
}
```

This variant of  $q_{out}$  uses the theta variable read from infile, instead of an external value of theta. Without the local declaration, restore would clobber the external value of theta. This way, when  $q_{out}$ -file calls  $q_{out}$ , it has remembered the external value of theta, but  $q_{out}$  "sees" the value of theta restored from infile. When  $q_{out}$ -file finally returns, it replaces the original value of theta intact.

Any number of variables may appear in a single local statement:

```
local var1, var2, var3, var4;
```

# 1.3 The Interpreted Environment

The Yorick program accepts only complete input lines typed at your keyboard. Typing a command to Yorick presumes a "command line interface" or a "terminal emulator" which is not a part of Yorick. I designed Yorick on the assumption that you have a good terminal emulator program. In particular, Yorick is much easier to use if you can recall and edit previous input lines; as in music, repitition with variations is at the heart of programming. My personal recommendation is shell-mode in GNU Emacs.

Therefore, Yorick inherits most of its "look and feel" from your terminal emulator. Yorick's distinctive prompts and error messages are described later in this section.

Any significant Yorick program will be stored in a text file, called an *include* file, after the command which reads it. Use your favorite text editor to create and modify your include files. Again, GNU Emacs is my favorite — use its c-mode to edit Yorick include files as well as C programs. Just as C source file names should end in '.c' or '.h', and Fortran source file names should end in '.f', so Yorick include file names should end in '.i'.

This section begins with additional stylistic suggestions concerning include files. In particular, Yorick's *help* command can find documentation comments in your include files if you format them properly. All of the built-in Yorick functions, such as *sin*, *write*, or *plg*, come equipped with such comments.

# 1.3.1 Starting, stopping, and interrupting Yorick

Start Yorick by typing its name to your terminal emulator. When you are done, use the quit function to exit gracefully:

```
% yorick
Yorick ready. For help type 'help'.
> quit
%
```

You can interrupt Yorick at any time by typing Control-C; this causes an immediate runtime error (see Section 1.3.7 [Errors], page 19). (Your terminal emulator and operating system must be able to send Yorick a SIGINT signal in order for this to work. On UNIX systems, you can set this character using the *intr* option of the *stty* command; Control-C is the usual setting.)

#### 1.3.2 Include files

When you run Yorick in a window or Emacs environment, keep a window containing the include file you are writing, in addition to the window where Yorick is running. When you want to test the latest changes to your include file — let's call it 'damped.i' — save the file, then move to your Yorick window and type:

```
#include "damped.i"
```

The special character # reminds you that the include directive is not a Yorick statement, like all other inputs to Yorick. Instead, #include directs Yorick to switch its input stream from the keyboard to the quoted file. Yorick then treats each line of the file exactly as if it were a line you had typed at the keyboard (including, of course, additional #include lines). When there are no more lines in the file, the input stream switches back to the keyboard.

While you are debugging an include file, you will ordinarily include it over and over again, until you get it right. This does no harm, since any functions or variables defined in the file will be replaced by their new definitions the next time you include the file, just as they would if you typed new definitions.

Ideally, your include files should consist of a series of function definitions and variable initializations. If you follow this discipline, you can regard each include file as a library or package of functions. You type #include once in order to load this library, making the functions defined there available, just like Yorick's built in functions. Many such libraries come with Yorick — Bessel functions, cubic spline interpolators, and other goodies. Most of these functions are available without the need to explicitly #include the required package, via yorick's autoload function (see Section 1.3.2.5 [Customizing], page 16).

# 1.3.2.1 A sample include file

Here is 'damped.i', which defines the damped sine wave functions we've been designing in this chapter:

```
/* damped.i */
local damped;
/* DOCUMENT damped.i --- compute and output damped sine waves
   SEE ALSO: damped_wave, q_out
 */
func damped_wave(phase, Q)
/* DOCUMENT damped_wave(phase, Q)
     returns a damped sine wave evaluated at PHASE, for quality factor Q.
     (High Q means little damping.) The PHASE is 2*pi after one period of
     the natural frequency of the oscillator. PHASE and Q must be
     conformable arrays.
   SEE ALSO: q_out
 */
₹
 nu = 0.5/Q;
 omega = sqrt(1.-nu*nu);
  return sin(omega*phase)*exp(-nu*phase); /* always zero at phase==0 */
func q_out(Q, file)
/* DOCUMENT q_out, Q, file
         or q_out(Q, file)
     Write the damped sine wave of quality factor Q to the FILE.
     FILE may be either a filename, to create the file, or a file
     object returned by an earlier create or q_out operation. If
     q_out is invoked as a function, it returns the file object.
     The external variable
          theta
```

```
determines the phase points at which the damped sine wave is
    evaluated; q_out will write two header lines, followed by
    two columns, with one line for each element of the theta
    array. The first column is theta; the second is
    damped_wave(theta, Q).
    If Q is an array, the two header lines and two columns will
    be repeated for each element of Q.
 SEE ALSO: damped_wave
*/
if (structof(file)==string) file = create(file);
n = numberof(Q);
 for (i=1; i<=n; ++i) { /* loop on elements of Q */
   write, file, "Q = "+pr1(Q(i));
   write, file, "
                   theta
                                amplitude";
   write, file, theta, damped_wave(theta,Q(i));
}
 return file;
```

You would type
#include "damped.i"

to read the Yorick statements in the file. The first thing you notice is that there are comments — the text enclosed by /\* \*/. If you take the trouble to save a program in an include file, you will be wise to make notes about what it's for and annotations of obscure statements which might confuse you later. Writing good comments is arguably the most difficult skill in programming. Practice it diligently.

#### 1.3.2.2 Comments

There are two ways to insert comments into a Yorick program. These are the C style /\* ... \*/ and the C++ style //:

I strongly recommend C++ style comments when you "comment out" a sequence of Yorick statements. C style comments do not nest properly, so you can't comment out a series of lines which contain comments:

```
/* E = m*c^2; /* ERROR -- this ends the outer comment --> */ \\ F = m*a \\ */ <-- then this causes a syntax error
```

The C++ style not only works correctly; it also makes it more obvious that the lines in question are comments:

```
// E = m*c^2; /* Any kind of comment could go here. */
// F = m*a;
```

Yorick recognizes one special comment: If the first line of an include file begins with #!, Yorick ignores that line. This allows Yorick include scripts to be executable on UNIX systems supporting the "pound bang" convention:

```
#!/usr/local/bin/yorick -batch
/* If this file has execute permission, UNIX will use Yorick to
 * execute it. The Yorick function get_argv can be used to accept
 * command line arguments (see help, get_argv). You might want
 * to use -i instead of -batch on the first line. Read the help
 * on process_argv and batch for more information. */
write, "The square root of pi is", sqrt(pi);
quit;
```

#### 1.3.2.3 DOCUMENT comments

Immediately after (within a few lines of) a func, extern, or local statement (see Section 1.2.5 [Scoping], page 10), you may put a comment which begins with the eleven characters /\* DOCUMENT. Although Yorick itself doesn't pay any more attention to a DOCUMENT comment than to any other comment, there is a function called help which does. What Yorick does do when it sees a func, extern, or local (outside of any function body), is to record the include file name and line number where that function or variable(s) are defined.

Later, when you ask for help on some topic, the *help* function asks Yorick whether it knows the file and line number where that topic (a function or variable) was defined. If so, it opens the file, goes to the line number, and scans forward a few lines looking for a *DOCUMENT* comment. If it finds one, it prints the entire comment.

The file damped.i has three DOCUMENT comments: one for a fictitious variable called damped, so that someone who saw the file but didn't know the names of the functions inside could find out, and one each for the damped\_wave and q\_out functions.

Near the end of most *DOCUMENT* comments, you will find a *SEE ALSO*: field which feeds the reader the names of related functions or variables which also have *DOCUMENT* comments. If you use these wisely, you can lead someone (often an older self) to all of the documentation she needs to be able to use your package.

This low-tech form of online documentation is surprisingly effective: easy to create, maintain, and use. As an automated step toward a more formal document, the <code>mkdoc</code> function (in the Yorick library include file 'mkdoc.i') collects all of the <code>DOCUMENT</code> comments in one or more include files, alphabetizes them, adds a table of contents, and writes them into a file suitable for printing.

#### 1.3.2.4 Where Yorick looks for include files

You can specify a complete path name (including directories) for the file in an #include directive. More usually, you will use a relative path name. In that case, Yorick tries to find the file relative to these four directories, in this order:

- 1. Your current working directory.
- 2. "/yorick', that is, the 'yorick' subdirectory of your home directory.
- 3. 'Y\_SITE/i', where 'Y\_SITE' is the directory where Yorick was installed at your site (help will tell you where this is).
- 4. 'Y\_SITE/contrib'

You can use the **set\_path** command in your 'custom.i' file in order to change this path, but you should be very cautious if you do this.

The '~/yorick' directory is where you put all of the Yorick include files you frequently use, which have not been placed in the include or contrib directories at your site. You can also override an include file in one of these places by placing a file of the same name in your '~/yorick' directory.

## 1.3.2.5 The 'custom.i' file and 'i-start/' directory

When Yorick starts, the last thing it does before prompting you is to include the file 'custom.i'. The default 'custom.i' is in the 'Y\_SITE/i/custom.i'. (Yorick's help command will tell you the actual name of the 'Y\_SITE' directory at your site.)

If you create the directory '~/yorick', you can place your own version of <code>custom.i</code> there to override the default. Always begin by copying the default file to your directory. Then add your customizations to the bottom of the default file. Generally, these customizations consist of <code>#include</code> directives for function libraries you use heavily, plus commands like <code>pldefault</code> to set up your plotting style preferences.

Immediately before 'custom.i', yorick includes all files with the '.i' extension which are present in the 'Y\_SITE/i-start', 'Y\_HOME/i-start', and '~/yorick/i-start' directories. These files are intended to contain calls to the interpreted autoload function, which tells yorick what file to include in order to get the full definition of a function in some package not loaded by default. Using the '~/yorick/i-start', you can autoload your own private functions, eliminating most of the reason for a custom.i file. See 'Y\_SITE/i-start' for examples of how to do this.

## 1.3.3 The help function

Use the *help* function to get online help. Yorick will parrot the associated *DOCUMENT* comment to your terminal:

```
> #include "damped.i"
> help, damped
  DOCUMENT damped.i --- compute and output damped sine waves
  SEE ALSO: damped_wave, q_out
defined at: LINE: 3 FILE: /home/icf/munro/damped.i
>
```

Every Yorick function (including <code>help!</code>) has a <code>DOCUMENT</code> comment which describes what it does. Most have <code>SEE ALSO:</code> references to related help topics, so you can navigate your way through a series of related topics.

Whenever you want more details about a Yorick function, the first thing to do is to see what <code>help</code> says about it. Note that, in addition to the <code>DOCUMENT</code> comment, <code>help</code> also reports the full file name and line number where the function is defined. That way, if the comment doesn't tell you what you need to know, you can go to the file and read the complete definition of the function.

The help for <code>help</code> itself, which is the default topic, is of particular interest, even to a Yorick expert: it tells you the directory where you can find the include files for all of Yorick's library functions. Just type <code>help</code>, like it says when Yorick starts. One of the things you will find in Yorick's directory tree is a 'doc' directory, which contains not only the source for this manual, but also alphabetized listings of all <code>DOCUMENT</code> comments. Read the <code>README</code> file in the 'doc' directory.

## 1.3.4 The info function

If you want to know the data type and dimensions of a variable, use the *info* function. Unlike *help*, which is intended to tell you what a thing *means*, *info* simply tells what a thing *is*.

```
> info, theta
array(double,200)
> info, E
array(double)
```

Here, *double* means "double precision floating point number", which is the default data type for any real number. The default integer data type is called *long*. (Both these names come from the C language, which gives them a precise meaning.)

Notice that the scalar value E is, somewhat confusingly, called an "array". In fact, a scalar is a special case of an array with zero dimensions. The info function is designed to print a Yorick expression which will create a variable of the same data type and shape as its argument. Thus, array(double, 200) in an expression would evaluate to an array of 200 real numbers, while array(double) is a real scalar (the values are always zero).

Using *info* on a non-numeric quantity (a file object, a function, etc.) results in the same output as the *print* function. If an array of numbers might be large, try *info* before *print*.

# 1.3.5 Prompts

Yorick occasionally prompts you with something other than >. The usual > prompt tells you that Yorick is waiting for a new input line. The other prompts alert you to unusual situations:

cont> The previous input line was not complete; Yorick is waiting for its continuation before it does anything. Type several close brackets or control-C if you don't want to complete the line.

dbug> When an error occurs during the execution of a Yorick program, Yorick offers you the choice of entering "debug mode". In this mode, you are "inside" the

function where the error occurred. You can type any Yorick statement you wish, but until you explicitly exit from debug mode by means of the *dbexit* function, Yorick will prompt you with *dbug>* instead of its usual prompt. (see Section 1.3.7.2 [Simple debugging], page 20)

quot>

It is possible to continue a long string constant across multiple lines. If you do, this will be your prompt until you type the closing ". Don't ever do this intentionally; use the string concatenation operator + instead, and break long strings into pieces that will fit on a single line.

comm>

For some reason, you began a comment on the previous line, and Yorick is waiting for the \*/ to finish the comment. I can't imagine why you would put comments in what you were typing at the terminal.

#### 1.3.6 Shell commands, removing and renaming files

Yorick has a **system** function which you can use to invoke operating system utilities. For example, typing 1s \*.txt to a UNIX shell will list all files in your current working directory ending with '.txt'. Similarly, pwd prints the name of your working directory:

```
> system, "pwd"
/home/icf/munro
> system, "ls *.txt"
   damped.txt    junk.txt
```

This is so useful that there is a special escape syntax which automatically generates the system call, so you don't need to type the name <code>system</code> or all of the punctuation. The rule is, that if the first character of a Yorick statement is \$ (dollar), the remainder of that line becomes a quoted string which is passed to the <code>system</code> function. Hence, you really would have typed:

```
> $pwd
/home/icf/munro
> $ls *.txt
   damped.txt   junk.txt
```

Note that you cannot use; to stack \$ escaped lines — the semicolon will be passed to system. Obviously, this syntax breaks all of the ordinary rules of Yorick's grammar.

On UNIX systems, the <code>system</code> function (which calls the ANSI C standard library function of the same name) usually executes your command in a Bourne shell. If you are used to a different shell, you might be surprised at some of the results. If you have some complicated shell commands for which you need, say, the C-shell <code>csh</code>, just start a copy of that shell before you issue your commands:

```
> $csh
% ls *.txt
  damped.txt junk.txt
% exit
>
```

As this example shows, you can start up an interactive program with the **system** function. When you exit that program, you return to Yorick.

One system command which you cannot use is cd (or pushd or popd) to change directories. The working directory of the shell you start under Yorick will change, but the change has no effect on Yorick's own working directory. Instead, use Yorick's own cd function:

```
> cd, "new/working/directory"
>
```

Also, if you write a Yorick program which manipulates temporary files, you should not use the UNIX commands mv or rm to rename or remove the files; any time you use the system command you are restricting your program to a particular class of operating systems. Instead, use Yorick's rename and remove functions, which will work under any operating system. Yorick also has mkdir, rmdir, lsdir, get\_cwd, and get\_env functions.

# 1.3.7 Error Messages

When Yorick cannot decipher the meaning of a statement, you have made a *syntax* error. Syntax errors are mostly simple typos, but a mechanical language like Yorick can be exasperatingly picky:

```
> th= span(0,2*pi,200)
> for (i=1; i<=5; ++i) { r=cos(i*th); plg,r*sin(th),r*cos(th) }
SYNTAX: parse error near }
>
```

The only mistake here is that Yorick wants a semicolon (or newline) before the close curly brace completing a compound statement. If you think "fixing" this type of behavior would be simple, I suggest you study parsers. Every programming language has its quirks.

When Yorick detects a syntax error, the error message always begins with SYNTAX:. The entire block containing the error will be discarded; no statements will be executed which might lead to the execution of the statement containing the error. If the error is in a function body, the function will not be defined. However, if the sytax error occurs reading an include file, Yorick continues to parse the file looking for additional syntax errors. After about a dozen syntax errors in a single file, Yorick gives up and waits for keyboard input. Therefore, you may be able to repair several syntax errors before you re-include the file.

All other errors are *runtime* errors.

#### 1.3.7.1 Runtime errors

Runtime errors in Yorick are often simple typos, like syntax errors:

```
> theta = span(0,2*pi,200)
> wave = sin(thta)*exp(-0.5*theta)
ERROR (*main*) expecting numeric argument
WARNING source code unavailable (try dbdis function)
now at pc= 1 (of 23), failed at pc= 5
To enter debug mode, type <RETURN> now (then dbexit to get out)
>
```

Many errors of this sort would be detected as syntax errors if you had to declare Yorick variables. Yorick's free-and-easy attitude toward declaration of variables is particularly annoying when the offending statement is in a conditional branch which is very rarely executed. When a bug like that ambushes you, be philosophical: Minutely declared languages will just ambush you in more subtle ways.

Other runtime errors are more interesting; often such a bug will teach you about the algorithm or even about the physical problem:

```
> #include "damped.i"
> theta = span(0, 6*pi, 300)
> amplitude = damped_wave(theta, 0.25)
ERROR (damped_wave) math library exception handler called
  LINE: 19 FILE: /home/icf/munro/damped.i
To enter debug mode, type <RETURN> now (then dbexit to get out)
```

What is an oscillator with a Q of less than one half? Maybe you don't care about the so-called *overdamped* case — you really wanted Q to be 2.5, not 0.25. On the other hand, maybe you need to modify the <code>damped\_wave</code> function to handle the overdamped case.

# 1.3.7.2 How to respond to a runtime error

When Yorick stops with a runtime error, you have a choice: You can either type the next statment you want to execute, or you can type a carriage return (that is, a blank line) to enter debug mode. The two possibilities would look like this:

```
ERROR (damped_wave) math library exception handler called
  LINE: 19 FILE: /home/icf/munro/damped.i
  To enter debug mode, type <RETURN> now (then dbexit to get out)
> amplitude = damped_wave(theta, 2.5)
>
ERROR (damped_wave) math library exception handler called
  LINE: 19 FILE: /home/icf/munro/damped.i
  To enter debug mode, type <RETURN> now (then dbexit to get out)
> dbug>
```

In the second case, you have entered debug mode, and the <code>dbug></code> prompt appears. In debug mode, Yorick leaves the function which was executing and its entire calling chain intact. You can type any Yorick statement; usually you will print some values or plot some arrays to try to determine what went wrong. When you reference or modify a variable which is local to the function, you will "see" its local value:

```
dbug> nu; 1-nu*nu
2
-3
dbug>
```

As soon as possible, you should escape from debug mode using the dbexit function:

```
dbug> dbexit >
```

You may also be able to repair the function's local variables and resume execution. To modify the value of a variable, simply redefine it with an ordinary Yorick statement. The *dbcont* function continues execution, beginning by re-executing the statement which failed to complete. Use the *help* function (see Section 1.3.3 [Help], page 16) to learn about the other debugging functions; the help for the *dbexit* function describes them all.

# 2 Using Array Syntax

Most Yorick statements look like algebraic formulas. A variable name is a string like  $Var_1$ —upper or lower case characters (case matters), digits, or underscores in any combination except that the first character may not be a digit. Expressions consist of the usual arithmetic operations + - \*/, with parentheses to indicate the order of operations (when that order is different than or unclear from the ordinary rules of precedence in algebra). Elementary mathematical functions such as exp(x), cos(x), or atan(x) look just like that.

Usually, a Yorick variable is a parametric representation of a mathematical function. The variable is an array of numbers which are values of the function at a number of points; few points to represent the function coarsely, more for an accurate rendition. The parameters of the function are the indices into the array, which rarely make an explicit appearance in Yorick programs. Thus,

```
theta = span(0.0, 2*pi, 100)
```

defines a variable theta consisting of 100 evenly spaced values starting with 0.0 and ending with 2\*pi.

Now that **theta** has been defined as a list of 100 numbers, any function of **theta** has a concrete representation as a list of 100 numbers — namely the values of the function at the 100 particular values of **theta**. Hence, variables **x** and **y** representing coordinates of the unit circle are defined with:

```
x = cos(theta); y = sin(theta)
```

Here,  $\cos$  and  $\sin$  are built-in Yorick functions. Like most Yorick functions, they operate on an entire array of numbers, returning an array of like shape. Hence both x and y are now lists of 100 numbers – the cosines and sines of the 100 numbers theta.

The semicolon marks the end of a Yorick statement, allowing several statements to share a single line. The end of a line (i.e.- a newline) can also mark the end of a Yorick statement. However, if any parentheses are open, or if a binary operator or a comma is the last token on the line, then the newline is treated like a space or a tab character and does not terminate the Yorick statement.

If a line ends with backslash, the following newline will never terminate the Yorick statement. (That is, backslash is the continuation character in Yorick.) I recommend that you never use a backslash – end the line to be continued with a binary operator, or leave the comma separating subroutine arguments at the end of the line, or split a parenthetic expression across the line, and it will be continued automatically.

# 2.1 Creating Arrays

Including the **span** function introduced in the previous section, there are five common ways to originate arrays — that is, to make an array out of scalar values:

```
[val1, val2, val3, ...]
```

The most primitive way to build an array is to enumerate the successive elements of the array in square brackets. The length of the array is the number of values in the list. An exceptional case is [], which is called "nil". A Yorick variable has the value nil before it has been defined, and [] is a way to write this special value.

```
array(value, dimlist)
```

Use array to "broadcast" (see Section 2.6 [Broadcasting], page 33) a value into an array, increasing its number of dimensions. A dimlist is a standard list of arguments used by several Yorick functions (see Section 2.7 [Dimension Lists], page 34). Often, the pseudo-index range function (see Section 2.3.5 [Pseudo-Index], page 26) is a clearer alternative to the array function.

```
span(start, stop, number)
```

Use span to generate a list of equally spaced values.

```
indgen(n)
indgen(lower:upper)
indgen(start:stop:step)
```

Use *indgen* ("index generator") instead of *span* to generate equally spaced integer values. By default, the list starts with 1.

```
spanl(start, stop, number)
```

Use *span1* ("span logarithmically") to generate a list of numbers which increase or decrease by a constant ratio.

# 2.2 Interpolating

As I have said, a Yorick array often represents the values of a continuous function at a number of discrete points. In order to find the values of the function at other points, you need to know how it varies between (or beyond) the given points. In general, to interpolate (or extrapolate), you need a detailed understanding of how the function was discretized in the first place. However, when the list of values accurately represents the function, linear interpolation between the known points will suffice. A function which is linear between successive points is called "piecewise linear".

The *interp* function is a mechanism for converting a list of function values at discrete points into a piecewise linear function which can be evaluated at any point.

```
theta = span(0, pi, 100);
x_circle = cos(theta);
y_circle = sin(theta);
x = span(-2, 2, 64);
y = interp(y_circle, x_circle, x);
```

This code fragment produces a y array with the same number of points as x (64), with the values of the piecewise linear function defined by the points ( $x\_circle$ ,  $y\_circle$ ). Outside the range covered by  $x\_circle$ , the piecewise linear function remains constant – the simplest possible extrapolation rule.

Regarded as a function of its third argument, *interp* behaves just like the *sin* or *cos* function – its first two arguments are really parameters specifying which piecewise linear function *interp* will evaluate.

The *integ* function works just like *interp*, except that it returns the integral of the piecewise linear function. The integration constant is chosen so that *integ* returns zero at the first point of the piecewise linear function. (This point will actually have the maximum value of x if the x array is decreasing.) Thus, the integral of the piecewise linear

approximation to the semicircle and the exact integral of the semicircle can be computed by:

```
yi = integ(y_circle, x_circle, x);
yi_exact = 0.5*(acos(max(min(x,1),-1)) - x*sqrt(1-min(x^2,1)));
```

Again, the piecewise linear function is assumed to remain constant beyond the first and last points specified. Hence, *integ* is a linear function when extrapolating, and piecewise parabolic when interpolating.

Use *integ* only when you need the indefinite integral of a piecewise linear function. Yorick has more efficient ways to compute definite integrals. Again, think of *integ*, like *interp*, as a continuous function of its third argument; the first two arguments are parameters specifying which function.

Neither *interp* nor *integ* makes sense unless its second argument is either increasing or decreasing. There is no way to decide which branch of a multi-valued function should be returned.

Internally, both *interp* and *integ* need a lookup function – that is, a function which finds the index of the point in x\_circle just beyond each of the x values. This lookup function can also be called directly; its name is *digitize*.

# 2.3 Indexing

Yorick has a bewildering variety of different ways to refer to individual array elements or subsets of array elements. In order to master the language, you must learn to use them all. Nearly all of the examples later in this manual use one or more of these indexing techniques, so trust me to show you how to use them later:

- A scalar integer index refers to a specific array element. In a multi-dimensional array, this "element" is itself an array the specified row, column, or hyperplane.
- An index which is nil or omitted refers to the entire range of the corresponding array dimension.
- An index range of the form start:stop or start:stop:step refers to an evenly spaced subset of the corresponding array dimension.
- An array of integers refers to an arbitrary subset, possibly including repeated values, of the corresponding array dimension.
- The special symbol -, or -:start:stop, is the pseudo-index. It inserts an index in the result which was not present in the array being indexed. Although this sounds recondite, the pseudo-index is often necessary in order to make two arrays conformable for a binary operation.
- The special symbol . . or \* is a rubber-index. A rubber-index stands for zero or more dimensions of the array being indexed. This syntax allows you to specify a value for the final index of an array, even when you don't know how many dimensions the array has. The . . version leaves the original dimension count intact; the \* version collapses all dimensions into a single long dimension in the result.
- The special symbol + marks an index for matrix multiplication.
- Many statistical functions, such as <code>sum</code>, <code>avg</code>, and <code>max</code> can be applied along a specific dimension of an array. These reduce the rank of the resulting array, just like a scalar integer index value.

• Several finite difference functions, such as **zcen** (zone center) and **cum** (cumulative sums) can be applied along a specific array dimension. You can use these to construct numerical analogues to the operations of differential and integral calculus.

# 2.3.1 Scalar indices and array order

An array of objects is stored in consecutive locations in memory (where each location is big enough to hold one of the objects). An array x of three numbers is stored in the order [x(1), x(2), x(3)] in three consecutive slots in memory. A three-by-two array y means nothing more than an array of two arrays of three numbers each. Thus, the six numbers are stored in two contiguous blocks of three numbers each: [[x(1,1), x(2,1), x(3,1)], [x(1,2), x(2,2), x(3,2)]].

A multi-dimensional array may be referenced using fewer indices than its number of dimensions. Hence, in the previous example, x(5) is the same as x(2,2), since the latter element is stored fifth.

Although most of Yorick's syntax follows the C language, array indexing is designed to resemble FORTRAN array indexing. In Yorick, as in FORTRAN, the first (leftmost) dimension of an array is always the index which varies fastest in memory. Furthermore, the first element along any dimension is at index 1, so that a dimension of length three can be referenced by index 1 (the first element), index 2 (the second element), or index 3 (the third element).

If this inconsistency bothers you, here is why Yorick indexing is like FORTRAN indexing: In C, an array of three numbers, for example, is a data type on the same footing as the data type of each of its three members; by this trick C sidesteps the issue of multi-dimensional arrays — they are singly arrays of objects of an array data type. While this picture accurately reflects the way the multi-dimensional array is stored in memory, it does not reflect the way a multi-dimensional array is used in a scientific computer program.

In such a program, the fact that the array is stored with one or the other index varying fastest is irrelevent — you are equally likely to want to consider as a "data type" a slice at a constant value of the first dimension as of the second. Furthermore, the length of every dimension varies as you vary the resolution of the calculation in the corresponding physical direction.

# 2.3.2 Selecting a range of indices

You can refer to several consecutive array elements by an index range: x(3:6) means the four element subarray [x(3), x(4), x(5), x(6)].

Occasionally, you also want to refer to a sparse subset of an array; you can add an increment to an index range by means of a second colon: x(3:7:2) means the three element subarray [x(3), x(5), x(7)].

A negative increment reverses the order of the elements: x(7:3:-2) represents the same three elements, but in the opposite order [x(7), x(5), x(3)]. The second element mentioned in the index range may not actually be present in the resulting subset, for example, x(7:2:-2) is the same as x(7:3:-2), and x(3:6:2) represents the two element subarray [x(3), x(5)].

Just as the increment defaults to 1 if it is omitted, the start and stop elements of an index range also have default values, namely the first and last possible index values. Hence, if x is a one-dimensional array with 10 elements, x(8:) is the same as x(8:10). With a negative increment, the defaults are reversed, so that x(:8:-1) is the same as x(10:8:-1).

A useful special case of the index range default rules is x(::-1), which represents the array x in reverse order.

Beware of a minor subtlety: x(3:3) is not the same thing as x(3). An index range always represents an array of values, while a scalar index represents a single value. Hence, x(3:3) is an array with a single element, [x(3)].

#### 2.3.3 Nil index refers to an entire dimension

When you index a multi-dimensional array, very often you want to let one or more dimensions be "spectators". In Yorick, you accomplish this by leaving the corresponding index blank:

```
x(3,)
x(,5:7)
y(,::-1,)
x(,)
```

In these examples, x is a 2-D array, and y is a 3-D array. The first example, x(3,), represents the 1-D array of all the elements of x with first index 3. The second represents a 2-D array of all of the elements of x whose second indices are 5, 6, or 7. In the third example, y(,::-1,) is the y array with the elements in reverse order along its middle index. The fourth expression, x(,), means the entire 2-D array x, unchanged.

#### 2.3.4 Selecting an arbitrary list of indices

An index list is an array of index values. Use an index list to specify an arbitrary subset of an array: x([5,1,2,1]) means the four element array [x(5), x(1), x(2), x(1)]. The where function returns an index list:

```
list= where(x > 3.5)
y= x(list)
```

These lines define the array y to be the subset of the x array, consisting of the elements greater than 3.5.

Like the result of an index range, the result of an index list is itself an array. However, the index list follows a more general rule: The dimensionality of the result is the same as the dimensionality of the index list. Hence, x([[5, 1], [2, 1]]) refers to the two dimensional array [[x(5), x(1)], [x(2), x(1)]]. The general rule for index lists is:

Dimensions from the dimensions of the index list; values from the array being indexed.

Note that the scalar index value is a special case of an index list according to this rule.

The rule applies to multi-dimensional arrays as well: If x is a five-by-nine array, then x(, [[5, 1], [2, 1]]) is a five-by-two-by-two array. And x([[5, 1], [2, 1]], 3:6) is a two-by-two-by-four array.

# 2.3.5 Creating a pseudo-index

A binary operation applied between two arrays of numbers yields an array of results in Yorick – the operation is performed once for each corresponding pair of elements of the operands. Hence, if *rho* and *vol* are each four-by-three arrays, the expression *rho\*vol* will be a four-by-three array of products, starting with *rho(1,1)\*vol(1,1)*.

This extension of binary operations to array operands is not always appropriate. Instead of operating on the corresponding elements of arrays of the same shape, you may want to perform the operation between all pairs of elements. The most common example is the outer product of two vectors  $\mathbf{x}$  and  $\mathbf{y}$ :

```
outer= x*y(-,);
```

Here, if x were a four element vector, and y were a three element vector, outer would be the four-by-three array [[x(1)\*y(1), x(2)\*y(1), x(3)\*y(1), x(4)\*y(1)], [x(1)\*y(2), x(2)\*y(2), x(3)\*y(2), x(4)\*y(2)], [x(1)\*y(3), x(2)\*y(3), x(3)\*y(3), x(4)\*y(3)]].

In Yorick, this type of multiplication is still commutative. That is, x\*y(-,) is the same as y(-,)\*x. To produce the three-by-four transpose of the array *outer*, you would write x(-,)\*y.

I call the - sign, when used as an index, a pseudo-index because it actually inserts an additional dimension into the result array which was not present in the array being indexed. By itself, the expression y(-,) is a one-by-three array (with the same three values as the three element vector y). You may insert as many pseudo-indices into a list of subscripts as you like, at any location you like relative to the actual dimensions of the array you are indexing. Hence, outer(-,-,-,-) would be a one-by-one-by-four-by-one-by-three array.

By default, a pseudo-index produces a result dimension of length one. However, by appending an index range to the - sign, separated by a colon, you can produce a new dimension of any convenient length:

```
x = span(-10, 10, 100)(,-:1:50);

y = span(-5, 5, 50)(-:1:100,);

gauss2d = exp(-0.5*(x^2+y^2))/(2.0*pi);
```

computes a normalized 2-D Gaussian function on a 100-by-50 rectangular grid of points in the xy-plane. The pseudo-index -:1:50 has 50 elements, and -:1:100 has 100. For a pseudo-index of non-unit length, the values along the actual dimensions are simply copied, so that span(-10, 10, 100) (, -:1:50) is a 100-by-50 array consisting of 50 copies of span(-10, 10, 100).

If only the result **gauss2d** were required, a single default pseudo-index would have sufficed:

```
gauss2d = \exp(-0.5*( span(-10,10,100)^2 + span(-5,5,50)(-,)^2)) / (2.0*pi);
```

However, the rectangular grid of points (x,y) is often required – as input to plotting routines for example.

## 2.3.6 Numbering a dimension from its last element

Array index values are subtly asymmetric: An index of 1 represents the first element, 2 represents the second element, 3 the third, and so on. In order to refer to the last, or next

to last, or any element relative to the final element, you apparently need to find out the length of the dimension.

In order to remedy this asymmetry, Yorick interprets numbers less than 1 relative to the final element of an array. Hence, x(1) and x(2) are the first and second elements of x, while x(0) and x(-1) are the last and next to last elements, and so on.

With this convention for negative indices, many Yorick programs can be written without the need to determine the length of a dimension:

```
deriv = (f(3:0)-f(1:-2)) / (x(3:0)-x(1:-2));
```

computes a point-centered estimate of the derivative of a function f with values known at points x. (A better way to compute this derivative is to use the pcen and dif range functions described below. See Section 2.3.10 [Rank preserving (finite difference) range functions], page 30.)

In this example, the extra effort required to compute the array length would be slight:

```
n = numberof(f);

deriv = (f(3:n)-f(1:n-2)) / (x(3:n)-x(1:n-2));
```

However, using the negative index convention produces faster code, and generalizes to multidimensional cases in an obvious way.

The negative index convention works for scalar index values and for the start or stop field of an index range (as in the example). Dealing with negative indices in an index list would slow the code down too much, so the values in an index list may not be zero or negative.

# 2.3.7 Using a rubber index

Many Yorick functions must work on arrays with an unknown number of dimensions. Consider a filter response function, which takes as input a spectrum (brightness as a function of photon energy), and returns the response of a detector. Such a function could be passed a spectrum and return a scalar value. Or, it might be passed a two dimensional array of spectra for each of a list of rays, and be expected to return the corresponding list of responses. Or a three dimensional array of spectra at each pixel of a two dimensional image, returning a two dimensional array of response values.

In order to write such a function, you need a way to say, "and all other indices this array might have". Yorick's rubber-index, ..., stands for zero or more actual indices of the array being indexed. Any indices preceding a rubber-index are "left justified", and any following it are "right justified". Using this syntax, you can easily index an array, as long as you know that the dimension (or dimensions) you are interested in will always be first, or last – even if you don't know how many spectator dimensions might be present in addition to the one your routine processes.

Thus, as long as the spectral dimension is always the final dimension of the input brightness array,

```
brightness(..,i)
```

will always place the *i* index in the spectral dimension, whether *brightness* itself is a 1-D, 2-D, or 3-D array.

Similarly, x(i,...) selects a value of the first index of x, leaving intact all following dimensions, if any. Constructions such as x(i,j,...,k,l) are also legal, albeit rarely necessary.

A second form of rubber-index collapses zero or more dimensions into a single index. The length of the collapsed dimension will be the product of the lengths of all of the dimensions it replaces (or 1, if it replaces zero dimensions). The symbol for this type of rubber index is an asterisk \*. For example, if x were a five-by-three-by-four-by-two array, then x(\*) would be a 1-D array of 120 elements, while x(,\*) would be a five-by-twelve-by-two array.

If the last actual index in a subscripted array is nil, and if this index does not correspond to the final actual dimension of the array, Yorick will append a .. rubber-index to the end of the subscript list. Hence, in the previous example, x() is equivalent to x(,...), which is equivalent to x(,...), which is equivalent to simply x. This rule is the only rogue in Yorick's array subscripting stable, and I am mightily tempted to remove it on grounds of linguistic purity. When you mean, "and any other dimensions which might be present," use the .. rubber-index, not a nil index. Use a trailing nil index only when you mean, "and the single remaining dimension (which I know is present)."

## 2.3.8 Marking an index for matrix multiplication

Yorick has a special syntax for matrix multiplication, or, more generally, inner product:

```
A(,+)*B(+,)
B(+,)*A(,+)
x(+)*y(+)
P(,+,,)*Q(,,+)
```

In the first example, A would be an L-by-M array, B would be an M-by-N array, and the result would be the L-by-N matrix product. In the second example, the result would be the N-by-L transpose of the first result. The general rule is that all of the "spectator" dimensions of the left operand precede the spectator dimensions of the right operand in the result.

The third example shows how to form the inner product of two vectors  $\mathbf{x}$  and  $\mathbf{y}$  of equal length. The fourth example shows how to contract the second dimension of a 4-D array P with the third dimension of the 3-D array Q. If P were 2-by-3-by-4-by-5 and Q were 6-by-7-by-3, the result array would be 2-by-4-by-5-by-6-by-7.

Unlike all of the other special subscript symbols (nil, -, ..., and \* so far), the + sign marking an index for use in an inner product is actually treated specially by the Yorick parser. The + subscript is a parse error unless the array (or expression) being subscripted is the left or right operand of a binary \* operator, which is then parsed as matrix multiplication instead of Yorick's usual element-by-element multiplication. A parse error will also result if only one of the operands has a dimension marked by +. Both operands must have exactly one marked dimension, and the marked dimensions must turn out to be of equal length at run time.

# 2.3.9 Rank reducing (statistical) range functions

The beauty of Yorick's matrix multiplication syntax is that you "point" to the dimension which is to be contracted by placing the + marker in the corresponding subscript. In this

section and the following section, I introduce Yorick's range functions, which share the "this dimension right here" syntax with matrix multiplication. The topic in this section is the statistical range functions. These functions reduce the rank of an array, as if they were a simple scalar index, but instead of selecting a particular element along the dimension, a statistical range function selects a value based on an examination of all of the elements along the selected dimension. The statistical function is repeated separately for each value of any spectator dimensions. The available functions are:

min

returns the minimum (or maximum) value. These are also available as ordinary functions.

sum returns the sum of all the values. This is also available as an ordinary function.

avg returns the arithmetic mean of all the values. The result will be a real number, even if the input is an integer array. This is also available as an ordinary function.

ptp returns the peak-to-peak difference (difference between the maximum and the minimum) among all the values. The result will be positive if the maximum occurs at a larger index than the minimum, otherwise the result will be negative.

returns the root mean square deviation from the arithmetic mean of the values.

The result will be a real number, even if the input is an integer array.

mnx mxx

returns the index of the element with the smallest (or largest) value. The result is always an integer index value, independent of the data type of the array being subscripted. If more than one element reaches the extreme value, the result will be the smallest index.

The min, max, sum, and avg functions may also be applied using ordinary function syntax, which is preferred if you want the function to be applied across all the dimensions of an array to yield a single scalar result.

Given the **brightness** array representing the spectrum incident on a detector or set of detectors, the **mxx** function can be used to find the photon energy at which the incident light is brightest. Assume that the final dimension of **brightness** is always the spectral dimension, and that the 1-D array **gav** of photon energies (with the same length as the final dimension of **brightness**) is also given:

```
max_index_list = brightness(.., mxx);
gav_at_max = gav(max_index_list);
```

Note that <code>gav\_at\_max</code> would be a scalar if <code>brightness</code> were a 1-D spectrum for a single detector, a 2-D array if <code>brightness</code> were a 3-D array of spectra for each point of an image, and so on.

An arbitrary index range (start:stop or start:stop:step) may be specified for any range function, by separating the function name from the range by another colon. For example, to select only a relative maximum of brightness for photon energies above 1.0, ignoring possible larger values at smaller energies, you could use:

```
i = min(where(gav > 1.0));
max_index_list = brightness(.., mxx:i:0);
```

```
gav_at_max = gav(max_index_list);
```

Note the use of min invoked as an ordinary function in the first line of this example. (Recall that where returns a list of indices where some conditional expression is true.) In the second line, mxx:i:0 is equivalent to mxx:i:. Because of the details of Yorick's current implementation, the former executes slightly faster.

More than one range function may appear in a single subscript list. If so, they are computed from left to right. In order to execute them in another order, you must explicitly subscript the expression resulting from the first application:

```
x = [[1, 3, 2], [8, 0, 9]];
max_min = x(max, min);
min_max = x(, min)(max);
```

The value of max\_min is 3; the value of min\_max is 2.

# 2.3.10 Rank preserving (finite difference) range functions

Because Yorick arrays almost invariably represent function values, Yorick provides numercal equivalents to the common operations of differential and integral calculus. In order to handle functions of several variables in a straightforward manner, these operators are implemented as range functions. Unlike the statistical range functions, which return a scalar result, the finite difference range functions do not reduce the rank of the subscripted array. Instead, they preserve rank, in the same way that a simple index range <code>start:stop</code> preserves rank. The available finite difference functions are:

returns the cumulative sums (sum of all values at smaller index, the first index of the result having a value of 0). The result dimension has a length one greater than the dimension in the subscripted array.

psum returns the partial sum (sum of all values at equal or smaller index) up to each element. The result dimension has the same length as the dimension in the subscripted array. This is the same as cum, except that the leading 0 value in the result is omitted.

dif returns the pairwise difference between successive elements, positive when the function values increase along the dimension, negative when they decrease. The result dimension has a length one less than the dimension of the subscripted array. The dif function is the inverse of the cum function.

zcen returns the pairwise average between successive elements. The result dimension has a length one less than the dimension of the subscripted array. The name is short for "zone center".

pcen returns the pairwise average between successive elements. However, the two endpoints are copied unchanged, so that the result dimension has a length one greater than the dimension of the subscripted array. The name is short for "point center".

uncp returns the inverse of the pcen operation. (Gibberish will be the result of applying this function to an array dimension which was not produced by the pcen operation.) The result dimension has a length one less than the dimension of the subscripted array. The name is short for "uncenter point centered".

The derivative dy/dx of a function y(x), where y and x are represented by 1-D arrays y and x of equal length is:

```
deriv = y(dif)/x(dif);
```

Note that deriv has one fewer element than either y or x. The derivative is computed as if y(x) were the piecewise linear function passing through the given points (x,y); there is one fewer line segment (slope) than point.

The values x and y can be called "point-centered", while the values deriv can be called "zone-centered". The zcen and pcen functions provide a simple mechanism for moving back and forth between point-centered and zone-centered quantities. Usually, there will be several reasonable ways to point-center zone centered data and vice-versa. For example:

```
deriv_pc1 = deriv(pcen);
deriv_pc2 = y(dif)(pcen)/x(dif)(pcen);
deriv_pc3 = y(pcen)(dif)/x(pcen)(dif);
```

For a well-resolved function, the differences among these three arrays will be negligible. That is, the differences are second order in x(dif), which is often the order of the errors in the calculation that produced x and y in the first place. If x and y represent y(x) more accurately that this, then you must know a better model of the shape of y(x) than the simple piecewise linear model, and you should use that model to select  $deriv_pc1$ ,  $deriv_pc2$ , and  $deriv_pc3$ , or some other expression.

An indefinite integral may be estimated using the trapezoidal rule:

```
indef_integ = (y(zcen)*x(dif))(psum);
```

Once again,  $indef\_integ$  has one fewer point than x or y, because there is one fewer trapezoid than point. This time, however,  $indef\_integ$  is not zone centered. Instead,  $indef\_integ$  represents values at the upper (or lower) boundaries x(2:) (or x(:-1)). Often, you want to think of the integral of y(x) as a point centered array of definite integrals from x(1) up to each of the x(i). In this case (which actually arises more frequently), use the cum function instead of psum in order to produce a result  $def\_integs$  with the same number of points as the x and y arrays:

```
def_integs = (y(zcen)*x(dif))(cum);
```

For single definite integrals, the matrix multiply syntax can be used in conjunction with the dif range function. For example, suppose you know the transmission fraction of the filter, ff, at several photon energies ef. That is, ff and ef are 1-D arrays of equal length, specifying a filter transmission function as the piecewise linear function connecting the given points. The final dimension of an array brightness represents an incident spectrum (any other dimensions represent different rays, say one ray per pixel of an imaging detector). The 1-D array gb represents the group boundary energies – that is, the photon energies at the boundaries of the spectral groups represented in brightness. The following Yorick statements compute the detector response:

```
filter = integ(ff, ef, gb)(dif);
response = brightness(..,+)*filter(+);
```

For this application, the correct interpolation routine is *integ*. The integrated transmission function is evaluated at the boundaries *gb* of the groups; the effective transmission fraction for each group is the difference between the integral at the upper bin boundary and the lower bin boundary. The *dif* range function computes these pairwise differences. Since the *gb* array naturally has one more element than the final dimension of *brightness* 

(there is one more group boundary energy than group), and since dif reduces the length of a dimension by one, the filter array has the same length as the final dimension of brightness.

Note that the cum function cannot be used to integrate ff(ef), because the points gb at which the integral must be evaluated are not the same as the points ef at which the integrand is known. Whenever the points at which the integral is required are the same (or a subset of) the points at which the integrand is known, you should perform the integral using the zcen, dif, and cum index functions, instead of the more general integ interpolator.

# 2.4 Sorting

The function **sort** returns an index list:

```
list = sort(x);
```

The list has the same length as the input vector x, and list(1) is the index of the smallest element of x, list(2) the index of the next smallest, and so on. Thus, x(list) will be x sorted into ascending order.

By returning an index list instead of the sorted array, **sort** simplifies the co-sorting of other arrays. For example, consider a series of elaborate experiments. On each experiment, thermonuclear yield and laser input energy are measured, as well as fuel mass. These might reasonably be stored in three vectors **yield**, **input**, and **mass**, each of which is a 1D array with as many elements as experiments performed. The order of the elements in the arrays maight naturally be the order in which the experiments were performed, so that **yield(3)**, **input(3)**, and **mass(3)** represent the third experiment. The experiments can be sorted into order of increasing gain (yield per unit input energy) as follows:

```
list = sort(yield/input);
yield = yield(list);
input = input(list);
mass = mass(list);
```

The inverse list, which will return them to their original order, is:

```
invlist = list; /* faster than array(0, dimsof(list)) */
invlist(list) = indgen(numberof(list));
```

The sort function actually sorts along only one index of a multi-dimensional array. The dimensions of the returned list are the same as the dimensions of the input array; the values are indices relative to the beginning of the entire array, not indices for the dimension being sorted. Thus, x(sort(x)) is the array x sorted so that the elements along its first dimension are in ascending order. In order to sort along another dimension, pass sort a second argument -x(sort(x,2)) will be x sorted into increasing order along its second dimension, x(sort(x,3)) along its third dimension, and so on.

A related function is **median**. It takes one or two arguments, just like **sort**, but its result – which is, of course, the median values along the dimension being sorted – has one fewer dimension than its input.

# 2.5 Transposing

In a carefully designed Yorick program, array dimensions usually wind up where you need them. However, you may occasionally wind up with a five-by-seven array, instead of the seven-by-five array you wanted. Yorick has a very general *transpose* function:

```
x623451 = transpose(x123456);
x561234 = transpose(x123456, 3);
x345612 = transpose(x123456, 5);
x153426 = transpose(x123456, [2,5]);
x145326 = transpose(x123456, [2,5,3,4]);
x653124 = transpose(x123456, [2,5], [1,4,6]);
```

Here, x123456 represents a six-dimensional array (hopefully these will be rare). The same array with its first and last dimensions transposed is called x623451; this is the default result of transpose. The transpose function can take any number of additional arguments to describe an arbitrary permutation of the indices of its first argument – the array to be transposed.

A scalar integer value, as in the second and third lines, represents a cyclic permutaion of all the dimensions; the first dimension of the input becomes the Nth dimension of the result, for an argument value of N.

An array of integer values represents a cyclic permutation of the specified dimensions. Hence, in the fifth example, [2,5,3,4] means that the second dimension becomes the fifth, the fifth becomes the third, the third becomes the fourth, and the fourth becomes the second. An arbitrary permutation may be built up of a number of cyclic permutations, as shown in the sixth example.

One additional problem can arise in Yorick – you may not know how many dimensions the array to be transposed has. In order to deal with this possibility, either a scalar argument or any of the numbers in a cyclic permutation list may be zero or negative to count from the last dimension. That is, 0 represents the last dimension, -1 the next to last, -2 the one before that, and so on.

Typical transposing tasks are: (1) Move the first dimension to be last, and all the others back one cyclically. (2) Move the last dimension first, and all the others forward one cyclically. (3) Transpose the first two dimensions, leaving all others fixed. (4) Transpose the final two dimensions, leaving all others fixed. These would be accomplished, in order, by the following four lines:

```
x234561 = transpose(x123456, 0);
x612345 = transpose(x123456, 2);
x213456 = transpose(x123456, [1,2]);
x123465 = transpose(x123456, [0,-1]);
```

# 2.6 Broadcasting and conformability

Two arrays need not have identical shape in order for a binary operation between them to make perfect sense:

```
y = a*x^3 + b*x^2 + c*x + d;
```

The obvious intent of this assignment statement is that y should have the same shape as x, each value of y being the value of the polynomial at the corresponding y.

Alternatively, array valued coefficients a, b, ..., represent an array of several polynomials – perhaps Legendre polynomials. Then, if x is a scalar value, the meaning is again obvious; y should have the same shape as the coefficient arrays, each y being the value of the corresponding polynomial.

A binary operation is performed once for each element, so that a+b, say, means

$$a(i,j,k,l) + b(i,j,k,l)$$

for every i, j, k, 1 (taking a and b to be four dimensional). The lengths of corresponding indices must match in order for this procedure to make sense; Yorick signals a "conformability error" when the shapes of binary operands do not match.

However, if a had only three dimensions, a+b still makes sense as:

$$a(i,j,k) + b(i,j,k,l)$$

This sense extends to two dimensional, one dimensional, and finally to scalar a:

$$a + b(i, j, k, 1)$$

which is how Yorick interpreted the monomial  $x^3$  in the first example of this section. The shapes of a and b are conformable as long as the dimensions which they have in common all have the same lengths; the shorter operand simply repeats its values for every index of a dimension it doesn't have. This repitition is called "broadcasting".

Broadcasting is the key to Yorick's array syntax. In practical situations, it is just as likely for the  ${\tt a}$  array to be missing the second (j) dimension of the  ${\tt b}$  array as its last (1) dimension. To handle this case, Yorick will broadcast any unit-length dimension in addition to a missing final dimension. Hence, if the  ${\tt a}$  array has a second dimension of length one,  ${\tt a+b}$  means:

$$a(i,1,k,1) + b(i,j,k,1)$$

for every i, j, k, 1. The pseudo-index can be used to generate such unit length indices when necessary (see Section 2.3.5 [Pseudo-Index], page 26).

#### 2.7 Dimension Lists

You should strive to write Yorick programs in such a way that you never need to refer to the lengths of array dimensions. Array dimensions are usually of no direct significance in a calculation; your programs will tend to be clearer if only the arrays themselves appear.

In practice, unfortunately, you can't always get by without mentioning dimension lengths. Two functions are important:

number of(x)

returns the total number of items in the array x.

dimsof(x)

returns a list consisting of the number of dimensions of the array x, followed by the length of each of those dimensions. Thus, if x were a nine-by-two-by-six array, dimsof(x) would return [3,9,2,6], while if x were a scalar value, dimsof(x) would return [0].

dimsof(x, y, z, ...)

With multiple arguments, the dimsof function returns the dimension list of the array x+y+z+..., or [] if the input arrays are not conformable.

The array function (see Section 2.1 [Creating Arrays], page 21), and the more arcane functions add\_variable, add\_member, and reshape all have parameter lists ending with one or more "dimension list" parameters. Each parameter in a dimension list can be either a scalar integer value, representing the length of a single dimension, or a list of integers in the format returned by dimsof to represent zero or more dimensions. Several arguments can be used to build up a complicated dimension list:

In the final example, the flux might represent the three components of a flux vector, at each of a number of positions z, and for each of a number of photon energies groups. The first dimension of flux has length three, corresponding to the three components of each flux vector. The last dimension has the same length as the groups array. In between are zero or more dimensions – whatever the dimensions of the array of positions z.

By using the rubber index syntax (see Section 2.3.7 [Rubber-Index], page 27), you can extract meaningful slices of the flux array without ever needing to know how many dimensions z had, let alone their lengths.

## 3 Graphics

Yorick's graphics functions produce most of the generic kinds of pictures you see in scientific publications. However, providing the perfect graphics interface for every user is not a realistic design goal. Instead, my aim has been to provide the simplest possible graphics model and the most basic plotting functions. If you want more, I expect you to build your own "perfect" interface from the parts I supply.

My dream is to eventually supply several interfaces as interpreted code in the Yorick distribution. Currently, the best example of this strategy is the 'pl3d.i' interface, which I describe at the end of this chapter. Not every new graphics interface needs to be a major production like 'pl3d.i', however. Modest little functions are arguably more useful; the plh function discussed below is an example.

As you will see, the simplest possible graphics model is still very complicated. Unfortunately, I don't see any easy remedies, but I can promise that careful study pays off. I recommend the books "The Visual Display of Quantitative Information" and "Envisioning Information" by Edward Tufte for learning the fundamentals of scientific graphics.

## 3.1 Primitive plotting functions

Yorick features nine primitive plotting commands: plg plots polylines or polymarkers, pldj plots disjoint line segments, plm, plc, and plf plot mesh lines, contours, and filled (colored) meshes, respectively, for quadrilateral meshes, pli plots images, plfp plots filled polygons, plv plots vector darts, and plt plots text strings. You can write additional plotting functions by combining these primitives.

#### 3.1.1 plg

Yorick's plg command plots a one dimensional array of y values as a function of a corresponding array of x values. To be more precise,

plots a sequence of line segments from (x(1),y(1)) to (x(2),y(2)) to (x(3),y(3)), and so on until (x(N),y(N)), when x and y are N element arrays.

The "backwards" order of the arguments to plg (y,x instead of x,y) allows for a default value of x. Namely,

plots y against 1, 2, 3, ..., N, or *indgen(numberof(y))* in Yorick parlance. You often want a plot of an array y with the horizontal axis (y is plotted vertically) merely indicating the sequence of values in the array.

Optional keyword arguments adjust line type (solid, dashed, etc.), line color, markers placed along the line, whether to connect the last point to the first to make a closed polygon, whether to draw direction arrows, and other variations on the basic connect-the-dots theme.

Specifying line type 0 or "none" by means of the type= keyword causes plg to plot markers at the points themselves, rather than a polyline connecting the points. Here is how you make a "scatter plot":

```
plg, type=0, y, x
```

For a polymarker plot, x and y may be scalars or unit length arrays. If you need to specify your own marker shapes (perhaps to plot experimental data points), you may want to use the plmk function – use the help function to find out how.

#### 3.1.2 pldj

The pldj command also connects points, but as disjoint line segments:

```
pldj, x0, y0, x1, y1
```

connects (x0(1),y0(1)) to (x1(1),y1(1)), then (x0(2),y0(2)) to (x1(2),y1(2)), and so on. Unlike plg, where y and x are one dimensional arrays, the four arguments to pldj may have any dimensionality, as long as all four are the same shape.

#### 3.1.3 plm

The plm command plots a quadrilateral mesh. Two dimensional arrays x and y specify the mesh. The x array holds the x coordinates of the nodes of the mesh, the y array the y coordinates. If x and y are M by N arrays, the mesh will consist of (M-1)\*(N-1) quadrilateral zones. The four nodes (x(1,1),y(1,1)), (x(2,1),y(2,1)), (x(1,2),y(1,2)), and (x(2,2),y(2,2)) bound the first zone – nodes (1,1), (2,1), (1,2), and (2,2) for short. This corner zone has two edge-sharing neighbors – one with nodes (2,1), (3,1), (2,2), and (3,2), and the other with nodes (1,2), (2,2), (1,3), and (2,3). Most zones share edges four neighbors, one sharing each edge of the quadrilateral. The plm command:

```
plm, y, x
```

draws all (M-1)\*N+M\*(N-1) edges of the quadrilateral mesh. Optional keywords allow for separate color and linetype adjustments for both families of lines (those with constant first or second index).

An optional third argument is an existence map – not all (M-1)\*(N-1) zones need actually be drawn. Logically, the existence map is an (M-1) by (N-1) array of truth values, telling whether a zone exists or not. For historical reasons, plm instead requires an M by N array called ireg, where ireg(1,) and ireg(1) (the first row and column) are all 0, the value for zones which do not exist. Furthermore, for zones which do exist, ireg can take any positive value; the value of ireg(i,j) is the "region number" (non-existent zones belong to region zero) of the zone bounded by mesh nodes (i-1,j-1), (i,j-1), (i-1,j), and (i,j). The boundary= keyword causes plm to draw only the edges which are boundaries of a single region (the region= keyword value).

As a simple example, here is how you can draw a four by four zone mesh missing its central two by two zones:

```
x = span(-2, 2, 5)(,-:1:5);
y = transpose(x);
ireg = array(0, dimsof(x));
ireg(2:5,2:5) = 1;
ireg(3:4,3:4) = 0;
plm, y, x, ireg;
```

The plc, plf, and plfc commands plot functions on a quadrilateral mesh.

#### 3.1.4 plc and plfc

The plc command plots contours of a function z(x,y). If z is a two dimensional array of the same shape as the x and y mesh coordinate arrays, then

plots contours of z. The *levs*= keyword specifies particular contour levels, that is, the values of z at which contours are drawn; by default you get eight equally spaced contours spanning the full range of z. Each contour is actually a set of polylines. Here is the algorithm Yorick uses to find contour polylines:

Each edge of the mesh has a z value specified at either end. For those edges with one end above and the other below the desired contour level, linearly interpolate z along the edge to find the (x,y) point on the edge where z has the level value.

Start at any such point, choose one of the two zones containing that edge, and move to the point on another edge of that zone, stepping across the new edge to the zone on its opposite side. Continue until you reach the boundary of the mesh or the point at which you started. If any points remain, repeat the process to get another disjoint contour. If you start at boundary points as long as any remain, you will first walk all open contours – those which run from one point on the mesh boundary to another – then all closed contours. Note that each contour level may consist of several polylines.

One additional complication can arise: Some zones might have two diagonal corners above the contour value and the two other corners below, so all four edges have points on the contour. In a sense, your mesh does not resolve this contour – it could represent a true X-point where contour lines cross, in which case you want to move directly across the zone to the point on the opposite edge. Unfortunately, if you connect the opposite edges, you will make very ugly contour plots. (Even if you disagree on my taste in other places, trust me on this one.)

So the question is, which adjacent edge do you pick? If you don't make the same choice for every contour level you plot, contours at different levels cross (and so will your eyes when you try to interpret the picture). By default, plc will use a sort of minimum curvature algorithm: it turns the opposite direction that it turned crossing the previous zone. The triangulate= keyword to plc can be used both to force a particular triangulation of any or all zones in the mesh, and to return automatic triangulation decisions made during the course of the plc command. Again, if triangulation decisions become important to you, your mesh is probably not fine enough to resolve the contour you are trying to draw.

Making a good contour plot is an art, not an algorithm. Contours work best if used sparingly; more than a half dozen levels is likely to result in an unintelligible picture. I suggest you try drawing a very few levels on top of a filled mesh plot (draw with plf). You will need to select a contrasting color, and you may want to call plc once per level to get a different line type for each level.

If you need lots of levels, you should try the plfc function, which fills the regions between successive contour levels with different colors. Beyond about six levels, contour lines drawn with plc will be unintelligible, but with plfc you can get perhaps as many as twenty distinguishable levels.

```
plfc, z, y, x, levs=span(zmin,zmax,101);
```

#### 3.1.5 plf

The plf command plots a filled mesh, that is, it gives a solid color to each quadrilateral zone in a two dimensional mesh. The color is taken from a continuous list of colors called a palette. Different colors represent different function values. A palette could be a scale of gray values from black to white, or a spectrum of colors from red to violet.

Unlike plc, for which the z array had the same shape as x and y, the z array in a plf command must be an M-1 by N-1 array if x and y are M by N. That is, there is one z value or color for each zone of the mesh instead of one z value per node:

A separate palette command determines the sequence of colors which will represent z values. Keywords to plf determine how z will be scaled onto the palette (by default, the minimum z value will get the first color in the palette, and the maximum z the last color), and whether the edges of the zones are drawn in addition to coloring the interior. When the x and y coordinates are projections of a two dimensional surface in three dimensions, the projected mesh may overlap itself, in which case the order plf draws the zones becomes important – at a given (x,y), you will only see the color of the last-drawn zone containing that point. The drawing order is the same as the storage order of the z array, namely (1,1), (2,1), (3,1), ..., (1,2), (2,2), (3,2), ..., (1,3), (2,3), (3,3), ...

One or two contours plotted in a contrasting color on top of a filled mesh is one of the least puzzling ways to present a function of two variables. The fill colors give a better feel for the smooth variation of the function than many contour lines, but the correspondence between color and function value is completely arbitrary. One or two contour lines solves this visual puzzle nicely, especially if drawn at one or two particularly important levels that satisfy a viewer's natural curiosity.

As of yorick 1.5, z may also be a 3 by M-1 by N-1 array of type char in order to make a true color filled mesh. The first index of z is (red, green, blue), with 0 minimum intensity and 255 maximum.

#### 3.1.6 pli

Digitized images are usually specified as a two dimensional array of values, assuming that these values represent colors of an array of square or rectangular pixels. By making appropriate x and y mesh arrays, you could plot such images using the plf function, but the pli command is dramatically faster and more efficient:

plots the image with (x0,y0) as the corner nearest z(1,1) and (x1,y1) the corner nearest z(M,N), assuming z is an M by N array of image pixel values. The optional x0, y0, x1, y1 arguments default to 0, 0, M, N.

As of yorick 1.5, z may also be a 3 by M by N array of type char in order to make a true color filled mesh. The first index of z is (red, green, blue), with 0 minimum intensity and 255 maximum.

#### 3.1.7 plfp

A third variant of the plf command is plfp, which plots an arbitrary list of filled polygons; it is not limited to quadrilaterals. While pli is a special case of plf, plfp is a generalization of plf:

Here z is the list of colors, and x and y the coordinates of the corners of the polygons. The fourth argument n is a list of the number of corners (or sides) for each successive polygon in the list. All four arguments are now one dimensional arrays; the length of z and n is the number of polygons, while the length of x and y is the total number of corners, which is sum(n). Again, plfp draws the polygons in the order of the z (or n) array.

As a special case, if all of the lengths n after the first are 1, the first polygon coordinates are taken to be in NDC units, and the remaining single points are used as offsets to plot numberof(n)-1 copies of this polygon. This arcane feature is necessary for the plmk function.

As of yorick 1.5, z may also be a 3 by sum(n) array of type char in order to make a true color filled mesh. The first index of z is (red, green, blue), with 0 minimum intensity and 255 maximum.

#### 3.1.8 plv

While plc, plf, pli, and plfp plot representations of a single valued function of two variables, the plv command plots a 2D vector at each of a number of (x,y) points. The vector actually looks more like a dart – it is an isoceles triangle with a much narrower base than height, with its altitude equal to the vector (u,v), in both magnitude and direction, and its centroid at the point (x,y):

Making a good vector plot is very tricky. Not only must you find a nice looking length scale for your (u,v) vectors – the longest should be something like the spacing between your (x,y) points – but also you must sprinkle the (x,y) points themselves rather uniformly throughout the region of your plot. The time you spend overcoming these artistic difficulties usually isn't worth the effort.

#### 3.1.9 plt

The final plotting command, plt, plots text rather than geometrical figures:

Optional keywords determine the font, size, color, and orientation of the characters, and the precise meaning of the coordinates x and y – what coordinate system they are given in, and how the text is justified relative to the given point.

Unlike the other plotting primitives, by default the (x,y) coordinates in the plt command do not refer to the same (x,y) scales as your data. Instead, they are so-called normalized device coordinates, which are keyed to the sheet of paper, should you print a hardcopy of your picture. To make (x,y) refer to the so-called world coordinates of your data (what planet is your data from?), you must use the tosys=1 keyword. If you do locate text in your world coordinate system, only its position will follow your data as you zoom and pan

through it; don't expect text size to grow as you zoom in, or your characters to become hideously distorted when you switch to log axis scaling.

Text may be rotated by multiples of 90 degrees by means of the *orient=* keyword. Arbitrary rotation angles are not supported, and the speed that rotated text is rendered on your screen may be dramatically slower than ordinary unrotated text.

You can get superscripts, subscripts, and symbol characters by means of escape sequences in the text. Yorick is not a typesetting program, and these features will not be the highest possible quality. Neither will what you see on the screen be absolutely identical to your printed hardcopy (that is never true, actually, but superscripts and subscripts are noticeably different). With those caveats, the escape feature is still quite useful.

To get a symbol character (assuming you are a font other than symbol), precede that character by an exclamation point – for example, "!p" will be plotted as the Greek letter pi. There are four exceptions: "!!", "!^", and "!\_" escape to the non-symbol characters exclamation point, caret, and underscore, respectively. And "!]" escapes to caret in the symbol font, which is the symbol for perpendicular. The exclamation point, underscore, and right bracket characters are themselves in the symbol font, and shouldn't be necessary as escaped symbols. If the last character in the text is an exclamation point, it has no special meaning; you do not need to escape a trailing exclamation point.

Caret "^" introduces superscripts and underscore "\_" introduces subscripts. There are no multiple levels of superscripting; every character in the text string is either ordinary, a superscript, or a subscript. A caret switches from ordinary or subscript characters to superscript, or from superscript to ordinary. An underscore switches from ordinary or superscript characters to subscript, or from subscript back to ordinary.

If the text has multiple lines (separated by newline "\n" characters), plt will plot it in multiple lines, with each line justified according to the <code>justify=</code> keyword, and with the vertical justification applied to the whole block. You should always use the appropriate text justification, since the size of the text varies from one output device to another – the size of the text you see on your screen is only approximately the size in hardcopy. In multiline text, the superscript and subscript state is reset to ordinary at the beginning of each line.

Here is an example of escape sequences:

```
text = "The area of a circle is !pr^2\n"+
    "Einstein's field equations are G_!s!n_=8!pT_!s!n";
plt, text, .4,.7,justify="CH";
```

#### 3.2 Plot limits and relatives

A sequence of plotting primitives only partly determines your picture. You will often want to specify the plot limits – the range x and y values you want to see. You may also want log-log or semi-log scales instead of linear scales, or grid lines that extend all the way across your graph instead of just tick marks around the edges. Finally, you need to be able to specify the color palette used for pseudocoloring any plf, pli, or plfp primitives.

The limits, logxy, gridxy, and palette functions are the interface routines you need. If you are really fussy, you can also control the appearance of your picture in much more detail – for example, the thickness of the tick marks, the font of the labels, or the size and shape of the plotting region. The section on graphics styles explains how to take complete control

over the appearance of your graphics. This section sticks with the functions you are likely to use frequently and interactively.

#### 3.2.1 limits

There are several ways to change the plot limits: The limits command, the range command, and mouse clicks or drags. Also, the unzoom command undoes all mouse zooming operations.

The syntax of the limits command is:

```
limits, xmin, xmax, ymin, ymax
```

Each of the specified limits can be a number, the string "e" to signal the corresponding extreme value of the data, or nil to leave the limit unchanged. For example, to set the plot limits to run from 0.0 to the maximum x in the data plotted, and from the current minimum y value to the maximum y in the data, you would use:

```
limits, 0.0, "e", , "e"
```

If both xmin and xmax (or ymin and ymax) are numbers, you can put xmin greater than xmax (or ymin greater than ymax) to get a scale that increases to the right (or down) instead of the more conventional default scale increasing to the left (or up).

As a special case, limits with no arguments is the same as setting all four limits to their extreme values (rather than the no-op of leaving all four limits unchanged). Hence, if you can't see what you just plotted, a very simple way to guarantee that you'll be able to see everything in the current picture is to type:

```
limits
```

If you just want to change the x axis limits, type:

```
limits, xmin, xmax
```

As a convenience, if you just want to change the y axis limits, you can use the range function (instead of typing three consecutive commas after the limits command):

```
range, ymin, ymax
```

#### 3.2.1.1 Zooming with the mouse

To zoom with using the mouse, put the mouse on the point you want to zoom around. Click the left button to zoom in on this point, or the right button to zoom out. If you drag the mouse between pressing and releasing the button, the point under the mouse when you pressed the button will scroll to the point where you release the button. The middle mouse button does not zoom, but it will scroll if you drag while pressing it. Hence, the left button zooms in, the middle button pans, and the right button zooms out.

If you click just outside the edges of the plot, near the tick marks around the edges of the plot, the zoom and pan operations will involve only the axis you click on. In this way you can zoom in on a region of x (or y) without changing the magnification in y (or x). Or with the middle button, pan along one direction without having to worry about accidentally changing the limits in the other direction slightly.

An alternative way to scroll using the mouse is to hold the shift key and press the left mouse button at one corner of the region you want to expand to fill the screen. Holding the button down, drag the mouse to the opposite corner of your rectangle, then release

the button to perform the zoom. This zoom operation is more difficult to control, but it provides single step zooming with unequal x and y zoom factors. (The inverse operation – mapping the current full screen to fill the rectangle you drag out with the mouse – is available with shifted right button. This turns out to be unusably non-intuitive.)

After any mouse zoom function, all four limits are set to fixed values, even if they were extreme values before the zoom. You can restore the pre-zoom limits, including any extreme value settings, by typing:

unzoom

#### 3.2.1.2 Saving plot limits

You can also invoke the limits command as a function, in which case it returns the current value of [xmin,xmax,ymin,ymax,flags]. The flags are bits that determine which of the limits (if any) were computed as extreme values of the data, and a few other optional features (to be mentioned momentarily). The value returned by limits as the argument to a later limits command restores the limits to a prior condition, including the settings of extreme value flags. Thus,

#### 3.2.1.3 Forcing square limits

The square keyword chooses any extreme limit to force the x and y scales to have identical units – so that a circle will always look round, not elliptical, for instance. Of course, if you set all four limits explicitly, the square keyword will have no effect. For example,

```
limits, square=1, 0., "e", 0., "e"
```

forces the lower limits of both x and y to zero, while the upper limits are chosen to both show all of the data in the first quadrant, and to keep the units of x and y the same (normally, this means xmax and ymax are equal, but if the viewport were not square, xmax and ymax would be in the same ratio as the sides of the viewport). The square keyword remains in effect even if the units are changed, hence, for example,

```
limits
```

will reset all limits to extreme values, but now one axis may extend beyond the limits of the data in that direction to keep the x and y units equal. To return to the default behavior, you must explicitly call the limits command with the square keyword again, for example,

```
limits, square=0
```

#### $3.2.2 \log xy$

You may want to choose among log, semi-log, or ordinary linear scale axes. Use the logxy command:

```
logxy, 1, 1  // log-log plot
logxy, 1, 0  // semi-log plot, x logarithmic
logxy, 0, 1  // semi-log plot, y logarithmic
logxy, 0, 0  // linear axis scales
```

You can also omit the x or y flag to leave the scaling of that axis unchanged:

```
logxy, , 1
```

changes the y axis to a log scale, leaving the x axis scaling unchanged.

The flags returned by the limits function include the current logxy settings, if you need them in a program.

Zero or negative values in your data have no catastrophic effects with log axis scaling; Yorick takes the absolute value of your data and adds a very small offset before applying the logarithm function. As a side effect, you lose any indication of the sign of your data in a log plot. If you insist you need a way to get log axis scaling for oscillating data, write a function to treat negative points specially. For example, to draw positive-y portions solid and negative-y portions dashed, you might use a function like this:

```
func logplg(y, x)
{
   s = sum(y>=0.);    /* number of positive-y points */
   n = numberof(y);
   if (s) plg, max(y,0.), x, type="solid";
   if (s<n) plg, min(y,0.), x, type="dash";
}</pre>
```

You always have the option of plotting the logarithm of a function, instead of using log axes:

```
logxy,0,1; plg,y,x
plots the same curve as
logxy,0,0; plg,log(y),x
```

The log axis scaling merely changes the position of the ticks and their labels; the y axis ticks will look like a slide rule scale in the first case, but like an ordinary ruler in the second.

#### 3.2.3 gridxy

The gridxy command changes the appearance of your plot in a more subjective way than logxy or limits. Ordinarily, Yorick draws tick marks resembling ruler scales around the edges of your plot. With gridxy, you can cause the major ticks to extend all the way across the middle of your plot like the lines on a sheet of graph paper. Like logxy, with gridxy you get separate control over horizontal and vertical grid lines. However, with gridxy, if you supply only one argument, both axes are affected (usually you want grid lines for neither axis, or grid lines for both axes). For example,

```
gridxy, 1  // full grid, like graph paper
gridxy, 0, 1  // only y=const grid lines
gridxy, , 0  // turn off y=const lines, x unchanged
gridxy, 1,  // turn on x=const lines, y unchanged
gridxy, 0  // ticks only, no grid lines
```

If the flag value is 2 instead of 1, then instead of a full grid, only a single grid line is drawn at the "roundest number" in the range (zero if it is present). If you need a reference

line at y = 0, but you don't want your graph cluttered by a complete set of grid lines, try this:

```
gridxy, 0, 2
```

The appearance of the ticks and labels is actually part of the graphics style. You will want to change other details of your graphics style far less frequently than you want to turn grid lines on or off, which explains the separate gridxy function controlling this one aspect of graphics style.

The gridxy command also accepts keyword arguments which change the default algorithm for computing tick locations, so that ticks can appear at multiples of 30. This makes axes representing degrees look better sometimes. By default, ticks can appear only at "decimal" locations, whose last non-zero digit is 1, 2, or 5. See the online help for gridxy for a more complete description of these options.

#### 3.2.4 palette

The plf, pli, and plfp commands require a color scale or palette, which is a continuum of colors to represent the continuous values of a variable. Actually, a palette consists of a finite number of (red, green, blue) triples, which represent a color for each of a finite list of values. A Yorick palette can never have more than 256 colors, so that a type char variable (one byte per item) can hold any index into a palette. Because many screens can display only 256 colors simultaneously, however, you shouldn't have more than about 200 colors in a palette; that is the size of all of Yorick's predefined palettes.

The palette command allows you to change palettes. The Yorick distribution comes with predefined palettes called 'earth.gp' (the default palette), 'gray.gp', 'yarg.gp', 'heat.gp', 'stern.gp', and 'rainbow.gp'. To load the gray palette, you would type:

```
palette, "gray.gp"
```

These palettes tend to start with dark colors and progress toward lighter colors. The exceptions are 'yarg.gp', which is a reversed version of 'gray.gp' (your picture looks like the photographic negative of the way it looks with the 'gray.gp' palette), and 'rainbow.gp', which runs through the colors in spectral order at nearly constant intensity. Besides 'gray.gp' (or 'yarg.gp') and 'rainbow.gp', it's tough to find color sequences that people have been trained to think have an order. The 'heat.gp' palette is a red-orange scale resembling the colors of an iron bar as it grows hotter. The default 'earth.gp' is loosely based on mapmaker's colors from dark blue deep ocean to green lowlands to brown highlands to white mountains.

Instead of a file name, you may pass palette three arrays of numbers ranging from 0 to 255, which are relative intensities of red, green, and blue. For example,

```
scale = bytscl(indgen(200),top=255);
palette, scale,scale;
```

produces the same palette as 'gray.gp', but by direct specification of RGB values, rather than by reading a palette file.

Yorick internally uses the bytscl function to map the z values in a plf, pli, or plfp command into a (0-origin) index into the palette. Occasionally, as here, you will also want to call bytscl explicitly.

The predefined palette files are in the directory Y\_SITE+"gist"; you should be able to figure out their format easily if you want to produce your own. If you create a directory '~/Gist' and put your custom palette files there, Yorick will find them no matter what its current working directory. The library include file 'color.i' includes functions to help you construct palettes, and a dump\_palette function which writes a palette in Yorick's standard format.

You can use the *query=* keyword to retrieve the RGB values for the currently installed palette:

```
local r,g,b;
palette,query=1, r,g,b;
```

There is also a *private=* keyword to palette, which you should investigate if you are interested in color table animation, or if other programs steal all your colors.

#### 3.2.5 Color model

The line drawing primitives plg, pldj, plm, plc, and plv accept a *color=* keyword. You can use an index into the current palette, in which case the color of the line becomes dependent on the palette. However, you can also choose one of ten colors which are always defined, and which do not change when the palette changes. For example,

```
plg, sin(theta), cos(theta), color="red"
```

draws a red circle (assuming theta runs from zero to two pi). The colors you can specify in this way are: "black", "white", "red", "green", "blue", "cyan", "magenta", "yellow", "fg", and "bg".

Black and white, and the primary and secondary colors need no further explanation. But "fg" stands for foreground and "bg" for background. The default color of all curves is "fg"; the color of the blank page or screen is "bg". These colors are intentionally indefinite; unlike the others, they may differ on your screen and in print. By default, "fg" is black and "bg" is white. If you use the X window system, you can change these defaults by setting X resources. For example, set

```
Gist*foreground: white
Gist*background: black
```

to make Yorick draw in reversed polarity on your screen (white lines on a black background). (The X utility xrdb is the easiest way to set X resources for your screen.)

As of yorick 1.5, the color may also be an array of three values (red, green, blue), with 0 minimum intensity and 255 maximum. If you specify a true color on a display which only supports pseudocolor, that window will switch irreversibly to a 5x9x5 color cube, which causes a significant degradation in rendering quality with smooth palettes.

## 3.3 Managing a display list

Most graphics are overlays produced by several calls to the primitive plotting functions. For example, to compare of three calculations of, say, temperature as a function of time, type this:

```
plg, temp1, time1 plg, temp2, time2
```

#### plg, temp3, time3

All three curves will appear on a single plot; as you type each plg command, you see that curve appear.

The basic paradigm of Yorick's interactive graphics package is that as you type the command to add each curve to the plot, you see that curve appear. You may need to open a file or perform a calculation in order to generate the next curve. Often, an interesting comparison will occur to you only after you have seen the first few curves.

Even if you are not changing the data in a plot, you often will want to change plot limits, or see how your data looks with log or semi-log axes. You can call limits, logxy, or gridxy any time you want; the changes you request take place immediately.

Yorick maintains a display list – a list of the primitive plotting commands you have issued to display your data – to enable you to make these kinds of changes to your picture. The primitive functions just add items to the display list; you don't need access to the actual rendering routines. You can change secondary features like plot limits or log axis scaling changing the plotted data. In order to actually produce a picture, Yorick must "walk" its display list, sending appropriate plotting commands to some sort of graphics output device.

If the picture changes, Yorick automatically walks its display list just before pausing to wait for your next input line. Thus, while you are thinking about what to do next, you are always looking at the current state of the display list.

A command like limits does not actually force an immediate replot; it just raises a flag that tells Yorick it needs to walk its display list. Therefore, feel free to call limits or logxy several times if a Yorick program is clearer that way – the effects will be cumulative, but you will not have to wait for Yorick to redraw your screen each time – the replot only happens when Yorick pauses to walk the display list.

Usually, the display list paradigm does just what you expect. However, the indirection can produce some subtle and surprising effects, especially when you write a Yorick program that produces graphical output (rather than just typing plotting commands directly).

#### 3.3.1 fma and redraw

The fma command (mnemonic for frame advance) performs two functions: First, if the current display list has changed, Yorick re-walks it, ensuring that your screen is up to date. Afterwards, fma clears the display list.

In normal interactive use, you think of the first function – making sure what you see on your screen matches the display list – as a side effect. Your aim is to clear the display list so you can begin a fresh picture. However, in a Yorick program that is making a movie, the point is to draw the current frame of the movie, and clearing the display list is the side effect which prepares for the next movie frame.

Another side effect of fma is more subtle: Since Yorick no longer remembers the sequence of commands required to draw the screen, attempts to change plot limits (zoom or pan), rescale axes, or any other operation requiring a redraw will not change your screen after an fma, even though you may still see the picture on your screen.

Once set, limits are persistent across frame advances. That is, after an fma, the next plot will inherit the limits of the previous plot. I concede that this is not always desirable, but forgetting the previous limits can also be very annoying. Another possibility would be

to provide a means for setting the initial limits for new plots; I judged this confusing. You get used to typing

```
fma; limits
```

when you know the old limits are incorrect for the new plot. The axis scaling set by logxy, and grid lines set by gridxy are also persistent across frame advances.

On rare occasions, Yorick may not update your screen properly. The redraw command erases your screen and walks the entire display list. You can also use this in Yorick programs to force the current picture to appear on your screen immediately, without the side effect of clearing the display list with fma. (Perhaps you want to start looking at a rapidly completed part of a picture while a more lengthy calculation of the rest of the picture is in progress.)

Note that redraw unconditionally walks the entire display list, while fma only walks the part that hasn't been previously walked or damaged by operations (like limits changes) that have occurred since the last walk.

#### 3.3.2 Multiple graphics windows

You can have up to eight graphics windows simultaneously. Each window has its own display list, so you can build a picture in one window, switch to a second window for another plot, then return to the first window. The windows are numbered 0 through 7. The window command switches windows:

```
window, 1 // switch to window number 1 window, 0 // switch back to default window
```

If you switch to a window you have never used before, a new graphics window will appear on your screen. (If you do not issue an explicit window command before your first graphics command, Yorick automatically creates window number 0 for you.)

The window command takes several keywords. The <code>wait=1</code> keyword pauses Yorick until the new window actually appears on your screen (if the window command creates a new window). This is important under the X window system, which cannot draw anything until a newly created window actually appears. If you write a program (such as Yorick's demo programs) which will produce graphical output, but you are not sure whether there have been any previous graphics commands, you should start with the statement:

```
window, wait=1;
```

The style= keyword to window specifies a particular graphics style for the window. I'll return to graphics styles later.

The dpi=100 keyword specifies a 100 dot per inch window, rather than the default 75 dot per inch window. The window you see on your screen is a replica of a portion of an 8.5 by 11 inch sheet of paper, and the dpi (either 75 or 100) refers to the number of screen pixels which will correspond to one inch on that sheet of paper if you were to tell Yorick to print the picture (see hcp). Owing to the fonts available with X11R4, Yorick permits only the two scale factors.

Initially, a Yorick window is a six inch by six inch window on the 8.5 by 11 sheet, centered at the center of the upper 8.5 by 8.5 inch square for portrait orientation, or at the center of the rectangular sheet for landscape orientation. (Portrait or landscape orientation is a function of the graphics style you choose. Six inches is 450 pixels at 75 dpi or 600 pixels at 100 dpi, so dpi=75 makes a small window, while dpi=100 makes a large window.) You can

resize the window (with your window manager), but Yorick will always use either the 75 or 100 dot per inch scaling, so resizing a Yorick window is not very useful unless you want to use more than the six by six inch square that is initially visible.

The display= keyword specifies a display other than your default display. For example, if you have two screens you might use

```
window, 0
window, 1, display="zaphod:0.1"
```

(if zaphod is the name of the machine running your X server) in order to create window number 0 on your default screen and window number 1 on your second screen.

You will rarely need the other keywords to the window command, which allow for a private colormap (for color table animation), turn the legends off in hardcopy output, and create a private hardcopy file for the sole use of that one window. With the latter capability, you can write commands like eps that print the current picture, without affecting the main hardcopy file.

## 3.4 Getting hardcopy

Type:

hcp

to add the picture you see on your screen to the current hardcopy file. Yorick walks the current display list, rendering to the hardcopy file instead of to your screen. To close the hardcopy file and send it to the printer, use:

```
hcp_out
```

Normally, hcp\_out destroys the file after it has been printed; you can save the file in addition to printing it with:

```
hcp_out, keep=1
```

If you want to close the hardcopy file without printing it, you can call hcp\_finish instead of hcp\_out; if you invoke hcp\_finish as a function (with a nil argument), it returns the name of the file it just closed.

After you call hcp\_out or hcp\_finish, the next call to hcp creates a new hardcopy file. You can call hcp\_file to set the name of the next hardcopy file. For example,

```
hcp_file, "myfile.ps"
```

means that the next time Yorick creates hardcopy file, its name will be 'myfile.ps'. The name of the file also implicitly determines the file type; names of the form '\*.ps' will be PostScript files, while a file name of the form '\*.cgm' will be a binary CGM. If you do not specify a file name, Yorick chooses a name will not clobber any existing file; if you specify a name and that file already exists, Yorick will silently overwrite the existing file.

## 3.4.1 Color hardcopy

When you produce a color picture (with plf or pli, for example) on your screen, you need to save not only the picture, but also the palette you used to draw that picture. Since the palette might change for each frame in a hardcopy file, the file must contain a complete palette as a sort of preamble to each frame. However, you usually want less expensive,

higher quality black and white output, so the palette information is usually unnecessary. Yorick optionally converts colors to a standard gray scale before output to a hardcopy file, saving a little space in the output file.

If you are going to use a black and white printer, you can turn off palette dumping by means of the <code>dump=</code> keyword:

#### hcp\_file, dump=0

(you could also specify the name for the next hardcopy file). Unlike the name of the hardcopy file, the palette dumping flag persists across any number of hardcopy files. To turn on dumping again, you need to call hcp\_file with <code>dump=1</code>.

All Yorick PostScript files will print on any PostScript printer, black and white or color. However, if you set <code>dump=0</code>, the palette was not dumped to the file, and it will print gray even on a color printer. If you print a <code>dump=1</code> file on a black and white printer, the result will be very similar to <code>dump=0</code>; your colors will be translated to grays. You can put the call to hcp\_file setting <code>dump=0</code> in your 'custom.i' file if you rarely want to dump the palette.

#### 3.4.2 Binary CGM caveats

A Yorick binary CGM conforms to all the recommendations of the ANSI Computer Graphics Metafile standard. Unfortunately, this standard is very outdated. Unlike PostScript, the CGM standard does not specify where the ink has to go on the page, so that every program which interprets a CGM draws a somewhat different picture. Fonts and line types vary a great deal, as does the absolute scale of the picture. The Yorick distribution includes a binary CGM browser, gist (Gist is the name of Yorick's graphics package). The gist browser can convert a binary CGM generated by Yorick into exactly the same PostScript file that Yorick would have produced. No other CGM reader can do the same.

Yorick includes CGM support for historical reasons. Unless you like using the gist browser program, you should write PostScript files directly. If you want to archive lots of pictures, you may be concerned that the PostScript file is much larger than the equivalent CGM. However, standard compression software (such as gzip from project GNU) works much better on the text of a PostScript file than on the binary CGM data, which erases most of the size discrepancy.

If you are serious about archiving pictures, you should strongly consider archiving the raw data plus the Yorick program you used to create the pictures, rather than any graphics hardcopy files. Often this is even more compact than the graphics file, and it has the huge advantage that you can later recover the actual data you plotted, making it easy to plot it differently or overlay data from other sources.

#### 3.4.3 Encapsulated PostScript

If your system includes the ghostscript program from project GNU, you can use it to make Encapsulated PostScript (EPS) files from Yorick PostScript output. Yorick's eps command will do this, although it forks Yorick in order to start ghostscript, which is a bad idea if Yorick has grown to a large size. (The gist browser which is part of the Yorick distribution can make an inferior EPS file; you can change eps to use gist if you need to.) When you see the picture you want on your screen, type:

```
eps, "yowza"
```

to create an EPS file called 'yowza.eps' containing that picture. If you don't want to fork Yorick, you can also use the hcps command, which creates a PostScript file containing just the current picture; you can then run ps2epsi by hand to convert this to an EPS file.

Page layout programs such as FrameMaker or xfig can import EPS files generated by Yorick. You can then use the page layout program to resize the Yorick graphic, place other text or graphics around it (as for a viewgraph), and even add arrows to call out features of your plot. This is the easiest way to make finished, publication quality graphics from Yorick output. You may be able to produce satisfactory results using Yorick alone, but the page layout programs will always have fancier graphical user interfaces – they are and will remain better for page layout than Yorick.

My recommendation to set up for high quality output is this:

```
func hqg(onoff)
/* DOCUMENT hqg
     turn on and off high quality graphics output. You should
     refrain from using plot or axis titles; add them with your
     page layout program. You will also need to distinguish
     curves by means of line type as hq turns off alphabetic
     curve markers.
   SEE ALSO: eps
 */
{
  if (is_void(onoff) || onoff) {
    window, style="vg.gs", legends=0;
    pldefault, marks=0, width=4;
  } else {
    window, style="work.gs", legends=0;
    pldefault, marks=1, width=0;
  }
}
```

## 3.5 Graphics style

Yorick formats each page of graphics output according to a model regulated by several dozen numeric parameters. Most of these change seldom – you tend to find a graphics style that suits you, then stick with it for many plots. Yorick demotes the stylistic parameters to a lower rank; they are intentionally harder to access and change than more urgent items like plot limits or log scaling. (The gridxy function is an exception – it changes the stylistic parameters that determine how Yorick draws ticks, but has a relatively simple interface.)

Designing a new graphics style requires patience, hard work, and multiple iterations. Use the '\*.gs' files which come with the Yorick distribution (installed in Y\_SITE+"gist") as examples and starting points for your own designs. The following sections should help you to understand the meaning of the various style parameters, but I am not going to attempt to lead you through an actual design. Yorick's predefined graphics styles usually suffice.

#### 3.5.1 Style keyword

Graphics styles are ordinarily read from files, which you load by means of the style=keyword to the window command. The Yorick distribution comes with several style files:

The 'work.gs' file is the default style and my own preference. Many people prefer the 'boxed.gs' style, which looks more like other graphics packages (baaa baaa). The 'vg.gs' file stands for "viewgraph graphics style", which I recommend as the starting point for high quality graphics. There is a 'vgbox.gs' style as well. The 'axes.gs' style has coordinate axes with ticks running through the middle of the viewport, similar to the style of many elementary math textbooks. The 'nobox.gs' style has no tick marks, labels, or other distractions; use it for drawing geometrical figures or imitating photographs. The 'work2.gs' and 'boxed2.gs' styles are variants which allow you to put a second set of tick marks and labels on the right hand side of the plot, independent of the ones on the left side. (This is a little cumbersome in Yorick, but if you really need it, that's how you're supposed get it.) Finally, '1\_nobox.gs' is a variant of the nobox style in landscape orientation; all the other styles give portrait orientation.

For example,

```
window, style="nobox.gs"
```

switches to the nobox style. This clears the current display list; the new style will take effect with your next plot. If you have several different windows, they may have different styles; each window has its own style, just as it has its own display list.

The style files are in the  $Y_SITE+"gist"$  directory; the file 'work.gs' in that directory contains enough comments for you to see how to write your own variants of the distribution style files. You can put variants into either that public directory, or into a private directory called '~/Gist', or in Yorick's current working directory to enable the style= keyword to find them.

#### 3.5.2 'style.i' functions

If you need to control details of the graphics style from a Yorick program, you can include the library file 'style.i':

```
#include "style.i"
```

This defines functions get\_style and set\_style which enable you to determine and change every detail of Yorick's graphics style model. Functions read\_style and write\_style read from or write to a text file in the format used by the <code>style=keyword</code>.

#### 3.5.3 Coordinate systems

Most Yorick graphics styles define only a single coordinate system. In other words, there is a single transformation between the world coordinates of your data, and the normalized device coordinates (NDC) on the sheet of paper or the screen.

The world-to-NDC transformation maps the x-y limits of your data to a rectangle in NDC space, called the viewport. Normally, Yorick will not draw any part of your data lying outside the viewport. However, you can tell Yorick to use raw NDC coordinates without any transformation by means of the plsys command:

#### plsys, 0

tells Yorick not to transform data from subsequent plotting primitives. When you are plotting to "system 0", your data will not be clipped to the viewport. In NDC coordinates, the bottom left corner of the 8.5 by 11 sheet of paper is always (0,0), and 0.0013 NDC unit is exactly one printer's point, or 1/72.27 inch.

You can switch back to the default "system 1" plotting with:

#### plsys, 1

The fma command also switches you back to "system 1" as a side effect. Switching windows with the window command puts you in the coordinate system where you most recently plotted. Note that plsys returns the old system number if you call it as a function; together with the current\_window function you can write new functions which do something elsewhere, then return to wherever you were before.

Remember that there is a special relation between the window on your screen and an 8.5 by 11 inch sheet of paper; the bottom left corner of the visible screen window is not usually at (0,0) in NDC. Instead, the NDC point (0.3993,0.6342) in portrait mode – or (0.5167,0.3993) in landscape mode – remains at the center of your screen window no matter how you resize it. (The points make more sense in inches: (4.25,6.75) – centered horizontally and an equal distance down from the top of the page for portrait orientation, and (5.50,4.25) – centered on the page for landscape orientation.) Furthermore, the screen scale is always 75 dpi or 100 dpi, that is, either 798.29 pixels per NDC unit or 1064.38 pixels per NDC unit.

(I apologize to European users for not making explicit provision for A4 paper. If you use Yorick's eps command for serious, high quality output, the page positioning is not an issue. For rough draft or working plots, I hope the fact that Yorick produces off-center pictures on A4 paper will not hurt you too much. An inch, incidentally, is 2.54 cm.)

You can also create a graphics style with multiple world coordinate systems. For example, you could define a style with four viewports in a single window, in a two by two array. If your style has more than one coordinate system, you use

to switch among them, where i can be 0, 1, 2, up to the number of coordinate systems in your style. Each coordinate system has its own limits, logxy, and gridxy parameters. However, all systems in a window must share a single palette (many screens don't have more colors than what you need for one picture anyway). The limits, logxy, and gridxy commands are meaningless in "system 0", since there is no coordinate transformation there. If invoked as a function, plsys will return the current coordinate system, which allows you to do something in another system, then reset to the original system.

For interactive use, I find multiple coordinate systems too confusing. Instead, I pop up multiple windows when I need to see several pictures simultaneously. For non-interactive use, you should consider making separate Yorick pictures, saving them with the eps command, then importing the separate pieces into a page layout program to combine them.

#### 3.5.4 Ticks and labels

The style parameters regulating the location of the viewport, appearance of the tick marks, and so on are fairly straightforward: You can specify which of the four sides of the viewport will have tick marks, or you can select "axis" style, where coordinate axes and ticks run through the middle of the viewport. Tick marks can extend into or out of the viewport, or both (beware that tick marks which project into the viewport often overlay a portion of your data, making it harder to interpret). You can draw a frame line around the edge of the viewport, or just let the tick marks frame your picture. You can specify which, if any, of the four sides of the viewport will have numeric labels for the ticks, the distance from the ticks to the labels, the font and size of the labels, and so forth.

An elaborate artificial intelligence (or stupidity) algorithm determines tick and label positions. Four parameters control this algorithm: nMajor, nMinor, logAdjMajor, and logAdjMinor. Tick marks have a hierarchy of lengths. The longest I call major ticks; these are the tick marks which get a numeric label (if you turn on labels). Like the scale on a ruler, there is a hierarchy of progressively shorter ticks. Each level in the hierarchy divides the intervals between the next higher level into two or five subdivisions – two if the interval above had a width whose least significant decimal digit of 1 or 2, five if that digit was 5.

Only two questions remain: What interval should I use for the major ticks, and how many levels should I proceed down the hierarchy? The nMajor and nMinor parameters answer these questions. They specify the upper limit of the density of the largest and smallest ticks in the hierarchy, respectively, as follows: The tick interval is the smallest interval such that the ratio of the full interval plotted to that interval is less than nMajor (or nMinor). Only major tick intervals whose widths have least significant decimal digit 1, 2, or 5 are considered (otherwise, the rest of the hierarchy algorithm fails).

Log scaling adds two twists: First, if there are any decades, I make decade ticks longer than any others, even if the first level of subdecade ticks are far enough apart to get numeric labels. If there will be ticks within each decade, I divide the decade into three subintervals – from 1 to 2, 2 to 5, and 5 to 10 – then use the linear scale algorithm for selecting tick intervals at the specified density within each of the three subintervals. Since the tick density changes by at most a factor of 2.5 within each subinterval, the linear algorithm works pretty well. You expect closer ticks on a log scale than you see on a linear scale, so I multiply the nMajor and nMinor densities by logAdjMajor and logAdjMinor before using them for the subintervals.

A final caveat: On the horizontal axis, long numeric labels can overlap each other if the tick density gets too high. This depends on the font size and the maximum number of digits you allow for those labels, both of which are style parameters. Designing a graphics style which avoids this evil is not easy.

## 3.6 Queries, edits, and legends

Yorick allows you to query the current display list, and to edit parameters such as line types, widths, and the like. I usually choose to simply make a new picture with corrected values; the existing low level editing command is more suited to be the basis for a higher level menu driven editing system.

#### 3.6.1 Legends

Every plotting primitive function accepts a legend= keyword to allow you to set a legend string describing that object. If you do not supply a legend= keyword, Yorick supplies a default by repeating a portion of the command line. For example,

```
plg, cos(x), x
```

will have the default legend "A: plg, cos(x), x", assuming that the curve marker for this curve is "A". You can specify a more descriptive legend with the legend= keyword:

```
plg, cos(x), x, legend="oscillating driving force"
```

If you want the legend to have the curve marker prepended, so it is "A: oscillating driving force" if the curve marker is "A", but "F: oscillating driving force" if the curve marker is "F", you can begin the legend string with the special character "1":

```
plg, cos(x), x, legend="\1: oscillating driving force"
```

Like legends, you can specify a curve marker letter with the marker= keyword, but if you don't, Yorick picks a value based on how many curves have been plotted. By default, Yorick draws the marker letter on top of the curve every once in a while – so A's mark curve A, B's mark curve B, and so on. This is only relevant for the plg and plc commands. This default style is ugly; use it for working plots, not polished graphics. You should turn the markers off by means of the <code>marks=0</code> keyword for high quality plots, and distinguish your curves by line type. For example,

```
plg, cos(x), x, marks=0, type="dash",
  legend="oscillating driving force (dash)"
```

In order to conserve screen space, legends never appear on your screen; they only appear in hardcopy files. Furthermore, depending on the graphics style, legends may not appear in hardcopy either. In particular, the 'vg.gs' and 'nobox.gs' styles have no legends. This is because legends are ugly. Legends take the place of a proper figure caption in working plots. For high quality output, I expect you to take the trouble to add a proper caption. You can use the <code>legends=0</code> keyword to the window command in order to eliminate the legends even from those graphics styles where they normally appear.

#### 3.6.2 plq and pledit

Any time you want to see your legends, you can type:

```
plq
```

For any plotting primitive you have issued, you can find all its keyword values by specifying its index in the plq list (the basic plq command prints this index):

```
plq, 3
```

For the plc command, each contour level is a polyline; you can find out about each individual level by adding a second index argument to plq.

You can also invoke plq as a function in order to return representations of the current display list elements for use by a Yorick program.

Just as plq allows you query the current display list, pledit allows you to edit it. You specify an index as in the plq command (or two indices for a specific contour level), then one or more keywords that you want to change. This is occasionally useful for changing a line type or width. One could build a more user friendly interface combining plq and pledit with some sort of menu or dialogue.

## 3.7 Defaults for keywords

With the pldefault command, you can set default values for many of the keywords in the window command and the primitive plotting commands. These keywords control the line type, width, and color for the plg, plc, plm, and pldj commands, the style and dpi of newly created windows, and other properties of graphics windows and objects.

You can use pldefault interactively to set new, but temporary, default values before embarking on a series of plots. Or place a pldefault command in your '~/yorick/custom.i' file if your personal preferences differ from Yorick's defaults.

You must use the hcp\_file command to set values for the <code>dump=</code> or <code>ps=</code> keywords (which determine whether to dump the palette to hardcopy files and whether automatically created hardcopy files are PostScript or binary CGM), and these settings remain in effect until you explicitly change them.

For example, if you want to use the 'vg.gs' style, with unmarked wide lines, and you want PostScript hardcopy files (by default) including the palette for each frame, you can put the following two lines in your 'custom.i' file:

```
pldefault, style="vg.gs", marks=0, width=4;
hcp_file, dump=1, ps=1;
```

The values you set using pldefault are true default values – you can override them on each separate call to window or a plotting primitive, but the default value remains in effect unless you reset it with a second call to pldefault. Conversely, you can't temporarily override a dump= or ps= value you set with hcp\_file – you just have to set them to a new value.

## 3.8 Writing new plotting functions

You may want to plot something not directly supported by one of the plotting primitive functions. Usually, you can write your own plotting function to perform such a task. As an example, suppose you want to plot a histogram – that is, instead of a smooth curve connecting a series of (x,y) points, you want to draw a line consisting of a horizontal segment at each value of y, joined by vertical segments (this is sometimes called a Manhattan plot for its resemblance to the New York City skyline).

You quickly realize that to draw a histogram, you really need the x values at the vertical segments. This function works:

```
func plh(y,x)
{
   yy = xx = array(0.0, 2*numberof(y));
   yy(1:-1:2) = yy(2:0:2) = y;
   xx(2:-2:2) = xx(3:-1:2) = x(2:-1);
   xx(1) = x(1);
   xx(0) = x(0);
   plg, yy, xx;
}
```

Notice that the x array must have one more element that the y array; otherwise the assignment operations to xx will fail for lack of conformability.

A more sophistocated version would include the possibility for the caller to pass plh the keywords accepted by the plg function. Also, you might want to allow y to have one more

element than x instead of x one more than y, in order to start and end the plot with a vertical segment instead of a horizontal segment. Here is a more complete version of plh:

```
func plh(y,x,marks=,color=,type=,width=)
/* DOCUMENT plh, y, x
     plot a histogram (Manhattan plot) of Y versus X.
     the result of a plh is a set of horizontal segments at the Y
     values connected by vertical segments at the X values.
     has one more element than Y, the plot will begin and end with
     a horizontal segment; if Y has one more element than X, the
    plot will begin and end with a vertical segment. The keywords
     are a subset of those for plg.
  KEYWORDS: marks, color, type, width
  SEE ALSO: plg
  swap = numberof(x)<numberof(y);</pre>
  if (swap) \{ yy = y; y = x; x = yy; \}
  yy = xx = array(0.0, 2*min(numberof(y),numberof(x)));
 yy(1:-1:2) = yy(2:0:2) = y;
  xx(2:-2:2) = xx(3:-1:2) = x(2:-1);
 xx(1) = x(1);
 xx(0) = x(0);
 if (swap) { y = yy; yy = xx; xx = y }
  plg, yy, xx, marks=marks, color=color, type=type, width=width;
}
```

The point of an interpreted language is to allow you to easily alter the user interface to suit your own needs. Designing an interface for a wide variety of users is much harder than designing one for your own use. (The first version of plh might be adequate for your own use; I wouldn't release less than the second version to a larger public.) Linking your routines to the Yorick help command via a document comment is useful even if never anticipate anyone other than yourself will use them. Public interface routines should always have a document comment.

#### 3.9 Animation

Yorick's ordinary drawing commands make poor movies. In order to make a good movie on your screen, you need to use more resources – the idea is to draw the picture into offscreen memory first, then use a fast copy operation to make the entire frame appear instantly.

The animate command creates an offscreen pixmap, and redirects the rendering routines there, and modifies the fma command to perform the copy from offscreen to onscreen. A second animate command returns to the ordinary mode of operation.

You can't use animate mode for ordinary interactive work, because you don't get to see your picture until the fma. Typically, you need to write a Yorick function which enters animate mode, loops producing frames of the movie, then exits animate mode. Use the high level movie interface by including

```
#include "movie.i"
```

After this include, you can use the movie function, and let it manage the low level animate function for you. You only need to write a function (passed as an argument to movie) that draws the n-th frame of your movie and returns a flag saying whether there are any more frames. You can easily build and test this function a frame at a time in ordinary non-animation mode. Study the 'demo2.i', 'demo3.i', and 'demo5.i' demonstration programs that come with the Yorick distribution to learn more about making movies.

## 3.10 3D graphics interfaces

You can combine Yorick's graphical primitives to create your own graphics interfaces. The Yorick distribution includes a simple 3D graphics interface built in just this way. Study the 'pl3d.i' library file if you need an example of how to do this.

Before you can access the 3D functions, you need to include either 'plwf.i' or 'slice3.i', which provide the highest level 3D interfaces. The 'plwf.i' interface lets you plot scalar functions of two variables (like plc or plf). The 'slice3.i' interface allows you to plot isosurfaces and slices of scalar functions of three variables. The 'demo5.i' demonstration program in the Yorick distribution has examples of both; study it to see how they work in detail.

#### 3.10.1 Coordinate mapping

Since the picture you are viewing is always two dimensional, you need to project three dimensional objects onto your screen. I designed the 3D interface to allow you to change this projective mapping without re-issuing plotting commands, by analogy with the 2D limits command.

Instead of limits, however, you need to specify a projection. Imagine that your screen is the image plane of a camera. This camera carries a coordinate system in which +x is rightward, +y is upward, and +z is out of the screen toward your face. Your world coordinate system – the coordinates of your data – has some orientation relative to these camera coordinates. You can rotate your world coordinates – and see the object you have plotted rotate – using either the rot3 or orient3 commands.

The three arguments to rot3 are the angles (in radians) to rotate your object about the camera x, y, and z axes. These three rotations are applied in order, first the x angle, then the y angle, then the z angle. Omitted or nil arguments are the same as zero arguments. Note that 3D rotation is not a commutative operation, so if you want the z rotation to come first, followed by an x rotation, you need to issue two rot3 commands (like limits or logxy changes, rot3 changes accumulate so Yorick will only redraw your screen once):

#### rot3,,,zangle; rot3,xangle

The orient3 operation is less general than rot3, and therefore easier to use. With orient3, the z axis of your world coordinates always projects parallel to the camera y axis, so z is plotted upward on your screen. The first argument to orient3 is the angle about the world z axis that the world x axis should be rotated relative to the camera x axis. The optional second argument to orient3 is the angle from the camera y to the world z – the angle at which you are looking down at the scene. Once set, that downlook angle persists until you reset it. With no arguments, orient3 returns to a sane standard orientation. Hence, you can just type:

orient3

to return to a standard view of your scene.

Unlike rot3, the results of an orient3 are not cumulative. With each orient3 call, you completely specify the orientation of your object. Thus, if you set the orientation of your object by orient3:

orient3, -.75\*pi

and you want to twist it just a little, you would issue a slightly different orient3 command:

orient3, -.70\*pi

While if your rot3 command

rot3,, -.75\*pi

were not quite right, you'd twist it a little more by specifying a small angle:

rot3,, .05\*pi

Unfortunately, the projection operation is more complicated than merely the three angles of an arbitrary rotation matrix. The distance from your camera (or eye) to the object also enters. By default, you are infinitely far away, looking through an infinite magnification lens. This is called isometric projection, because equal length parallel segments in your world coordinates have equal length on your screen, no matter how close or far from the camera. If you want a perspective picture, you can use the setz3 command to set the z value in the camera coordinate system where your camera (or eye) is located.

Don't bother attempting to put the camera inside your data; it's not edifying. Extreme fisheye perspectives won't make your scientific data more intelligible. Keep the camera well outside your object.

Your camera always looks toward -z. Initially, the aim point is the origin of the camera coordinate system, but you can change it to any other point by means of the aim3 function. If you want to specify an aim point in your world coordinate system instead, use the mov3 function, which is analogous to rot3.

In general, you should use the nobox.gs graphics style, since the 2D axes are not useful. For an isometric view, the 2D (x,y) axes are simple projections of your (x,y,z) world coordinates. For a perspective view (after you have called setz3), the 2D (x,y) coordinates are the tangents of ray angles between the camera z axis and points on your object. The 2D limits command is useful for cropping or adjusting your view, but you should not turn off the square=1 keyword.

The functions save3 and restore3 save and later restore the entire 3D coordinate mapping, including the effects of rot3 or orient3, mov3, aim3, and setz3. You can also undo the effects of any number of these commands by means of the undo3 function.

#### 3.10.2 Lighting

Yorick's pseudocolor model cannot describe a shaded color object. (You need to be able to specify arbitrary colors for that.) Therefore, if you want shading to suggest a 3D shape, you must settle for black and white (or any single color – the 'heat.gp' palette works about as well as 'gray.gp' for shading).

You also need to worry about more variables – namely the position and relative brightness of any lights illuminating your object. You call the light3 function to set up light sources.

The 3D surfaces comprising your object (plotted by either plf or plfp for the 'plwf.i' or 'slice3.i' interfaces) consist of a number of polygonal facets. Yorick assigns a 3D normal vector to each facet (for non-planar polygons, the direction is somewhat arbitrary). The lighting model, which you set up by light3 calls, maps normal directions to surface brightness. If the facet is oriented so that it reflects one of your light sources directly toward your camera, that facet will appear very bright.

The functional form of this specular reflection model is  $(1+\cos(alpha))^n$ , where alpha is the angle between your camera and the light source, as seen from the center of the facet. You can adjust the power n. Large n gives a polished metallic look; small n gives a matte look. You can specify as many light sources as you want. However, the light sources are all infinitely far away. Also, although you can specify a different n for each light source, you cannot get different values of n for different surfaces or parts of surfaces.

Instead of or in addition to these specular light sources, you can also get diffuse lighting. In this model, the brightness of a surface is simply proportional to the cosine of its angle relative to your viewing direction. Thus, a surface you view face on is brightest, while one viewed edge on is dimmest (the effect is called limb darkening).

All of these effects are controlled by keywords to the light3 function: specular (brightness of specular light sources), sdir (directions to specular light sources), spower (the powers n for the specular light sources), diffuse (brightness of diffuse light source), and ambient (an overall additive brightness). The light3 function also returns a value, which you can pass back to it in a later call in order to restore a previous lighting specification.

These lighting models – which are actually the standard models you find in most 3D graphics packages – bear little relationship to the appearance of real world scenes. There are no shadows, and surfaces have no intrinsic texture. Your goal is excitement, not realism.

#### 3.10.3 gnomon

Use the gnomon function to turn the gnomon on or off. The gnomon is a projection of three orthgonal segments parallel to the world x, y, and z axes. The letters x, y, and z appear near the ends of the segments – a black on white letter means the segment is pointing out of the screen; white on black means into the screen.

A related function is cage3, which turns 3D axes on or off. The cage is a rectangular box surrounding your object, with tick marks on its edges to make your plot a 3D analogue of a typical 2D plot. (Of course, unlike the 2D plot, there is no way you can actually use the ticks around the cage to figure out the coordinates of a point in your picture.) The faces of the cage are at planes set by the limit3 function. The plwf function automatically calls limit3 with reasonable extreme values, but for the pl3tree function you will need to call limit3 yourself. Unlike the 2D limits function, limit3 allows you to set the aspect ratio of the cage; by default cage3 scales your x, y, and z world coordinates to make the edges of the cage appear as equal lengths.

#### 3.10.4 plwf interface

A plwf plot contains the same information as a plf or plc plot; a plwf is like looking at terrain from a mountain peak, while plf or plc is like looking at a map. (Have you ever stood at a vista point, and used a map to identify and understand the "real" scene you are

seeing? Would you rather have a photograph of the vista or a map to guide you through unfamiliar terrain?)

Like plc, plwf needs point centered z values on a quadrilateral mesh. A scale factor relating the units of z to the units of x or y may be specified via keyword; if not, plwf chooses a scale factor to make the range of z values half of the larger of the ranges of x and y. Other keywords allow you to choose whether or not the mesh lines will be drawn, and whether the surface itself will be shaded according to the scene lighting, or simply left the background color. (The latter choice results in a wire frame plot, which is the wf in plwf.)

#### 3.10.5 slice3 interface

The slice3 function, in contrast, makes planar slices or isosurface contours of functions of three variables. You can pseudocolor the planar slices, or show projections of the isosurfaces, or combine slices with isosurfaces. The plfp function makes the actual plots, but you call a higher level function, pl3tree.

Unlike plwf, you must invoke at least two functions in order to plot anything. First, you call to slice3 returns either a planar slice through your 3D data, or an isosurface of some scalar function on your 3D mesh. Next, you call pl3tree in order to add the slice or isosurface to your 3D display list.

Again, Yorick's pseudocolor model does not permit partially transparent surfaces. Often, you need to slice open closed isosurfaces like a melon in order to view the interior. Use the slice2 and slice2x functions to make slices like this. Unfortunately, when you remove slices to make interior surfaces visible, you will only be able to see through your slice from a restricted range of viewing directions. That is, the best slicing planes will depend on your viewing direction. Study the 'demo5.i' for an example of how to make this type of picture.

A trick allows you to both color your slices (like a 2D plf or pli), and shade your isosurfaces, despite the limitations of the pseudocolor model. The idea is to split your palette into a gray scale you can use to shade isosurfaces, followed by a color palette you can use to pseudocolor slices. The split\_palette routine in 'slice3.i' generates such a split palette. Again, read 'demo5.i' for details.

## 4 Embedding Compiled Routines Inside Yorick

You can create a custom version of Yorick containing your own C or Fortran compiled code. If you are careful, your custom version will be easily portable to any site where Yorick has been installed. You will considerably ease portability problems (read "future hassles for yourself") by writing in ANSI C, which is a portable language, as opposed to Fortran, which is not.

My experience with C++ is that its portability is intermediate between ANSI C and Fortran. You should be able to write C++ packages for Yorick by using the extern "C" statement for the interface routines called by the interpreter. I don't encourage this, however, since the interpreted language removes many of the motives for programming in C++ in the first place. I won't say any more about C++ packages for Yorick; you will need to use a modified Y\_HOME/Makepkg file to make it work, but it shouldn't present insurmountable difficulties.

If you do choose Fortran, stick to a strict subset of ANSI Fortran 77. Do not attempt to pass character variables into or out of interface routines, nor put them in common blocks. Also, try not to use common blocks to pass inputs to or receive outputs from your interface routines. (This is possible; if you enjoy Fortran programming, presumably you'll enjoy figuring out a portable way to do this.)

Whether you write in Fortran or C, do not attempt to do I/O of any sort in your compiled code. The whole idea of embedding routines inside Yorick is to let Yorick handle all I/O – text and graphics.

In the following discussion, I refer to three directories: 'Y\_SITE' is the directory where the architecture independent parts of Yorick reside; everything Yorick needs at runtime is here. 'Y\_HOME' is the directory where the libraries and executables you need to build custom versions are stored. 'Y\_LAUNCH' is the directory containing the executable for your version of Yorick. When you run Yorick, the names of all three directories are available as variables; for example, start yorick and type Y\_HOME to print the name of that directory. Also, I will only discuss the UNIX program development environment; the same system works tolerably well under the MinGW or Cygwin environments under Windows, which you can use with the commercial MSVC++ compiler if you wish.

The paradigm for a compiled extension to yorick is called a "compiled package". The idea is that a compiled package should be indistinguishable from an interpreted package (for example, a .i file in the interpreted library that comes with the distribution). In the case of a purely interpreted package, you write an include file defining your interface. So, too, you must lay out the interpreted interface for a compiled package in an interpreted include file, which we call here 'mypkg.i'. Including 'mypkg.i' makes the compiled package available to the interpreter.

The compiled package include file 'mypkg.i' must contain one statement, executed before any code that uses the compiled routines, which distinguishes it from an interpreted package:

Here, we assume the pacakge name is "mypkg", matching the name of the file 'mypkg.i', but you can choose any name for the package you like. In fact, you can have any number of include files defining different pieces of the interface to a single compiled package, say 'mypkg1.i', 'mypkg2.i', and so on, each of which would contain the above plug\_in call.

In a single compiled package source directory, however, there can be at most one package name. (If you want to build more than one binary library, use more than one directory to hold the source.)

An alternative form is permitted to make it possible to design package include files which are compatible with pre-version-1.6 yorick:

```
if (!is_void(plug_in)) plug_in, "mypkg";
```

Unlike an interpreted package, before you can use a compiled package, you naturally need to compile it! This is done using the UNIX make utility. Yorick has tools to assist in this build process. The first is the (interpreted) package <code>make.i</code> which generates, or at least provides a starting point for, the 'Makefile', which is the input to the make utility. The second is <code>codger</code>, a (compiled) yorick utility which generates the C source code that bridges between your compiled code and yorick's internal compiled code.

First, you need to put your C (and/or Fortran) source and header files in the directory containing the 'mypkg.i' interface definition file(s). Note that you need to design your C interface in an "interpreter friendly" fashion. For example, it is worthless to write a special function that computes a special function at a single point, because the interpreter would need to call it in a loop to compute a vector of values, most likely erasing the entire advantage of writing your special function in compiled code. At the very least, therefore, you want your compiled functions to accept a (potentially long) list of inputs an produce the corresponding list of outputs.

When all the .i, .c, and .h (and Fortran) source files for your package have been collected in this package source directory (and any unrelated source removed), execute the command (in that directory):

```
yorick -batch make.i
```

This creates a 'Makefile' for your package. In simple cases, this 'Makefile' will be all you need to build your compiled package; in more complicated situations, you will need to edit it by hand to fill in critical information the 'make.i' package is unable to determine by its examination of the source files.

The main reason you may need to edit 'Makefile' by hand is to add the loader flags (-l and -L) for dependencies, that is, third party libraries, your compiled code calls. You may also need to add compiler flags (-I) to enable the compiler to find the header files which declare the interfaces to such libraries. The corresponding macros in 'Makefile' are PKG\_DEPLIBS and PKG\_CFLAGS, respectively. In general, if your package needs modifications like this and you wish it to be buildable by non-experts, you will need to modify 'Makefile' to pick up the results of a configure script, which you will need to design and write. This can be far more difficult than writing the compiled package in the first place. The message is, do not rely on dependent libraries other than libc, libm, and the utilities in yorick's own 'play/' portability layer if you can possibly avoid it.

Once you have the 'Makefile', use the make utility to actually build your compiled package. The 'Makefile' invokes a more extensive input file, 'Y\_HOME/Makepkg', which provides a number of useful "targets" you can build. Here are several useful make command lines; read 'Y\_HOME/Makepkg' to learn about others:

```
make clean # delete all but source and Makefile
```

The release version of your package will be a dynamically loadable yorick plugin, as long as yorick is able to handle plugins on your platform. The debuggable version, and the release version on platforms which do not permit plugins, will be a complete yorick executable, which statically loads your new package at startup. (By default, it will simply be called "yorick", and installing such a release version it will overwrite the yorick you used to run -batch make.i, so be careful.)

When you want to build your package on a different platform, you always need to run: yorick -batch make.i

before you rerun the make utility.

In addition to the <code>plug\_in</code> command, your 'mypkg.i' package interface file must contain statements that allow the <code>codger</code> utility to construct the bridge code. During the build process, <code>codger</code> examines 'mypkg.i' package, looking for interpreted <code>extern</code> statements outside any function body. For each such <code>extern</code> statement, codger generates an interpreted built-in function, or an interpreted pointer to compiled global data. When the interpreter includes the file, these statements are no-ops, except that they may include DOCUMENT comments that are added to the interactive <code>help</code> system. The 'mypkg.i' file will usually also contain purely interpreted wrapper code for these compiled objects, in order to create a high-quality interpreted API.

For example, when codger finds this:

```
extern my_func;
/* DOCUMENT my_func(input)
    returns the frobnostication of the array INPUT.
    */
```

in the 'mypkg.i' file, it connects the interpreted symbol my\_func to a compiled function Y\_my\_func of type BuiltIn, which you are responsible for writing. See 'Y\_HOME/ydata.h' for the definition of the BuiltIn function type. This function must pop its arguments off of Yorick's interpreter stack using routines declared in 'Y\_HOME/ydata.h', and push its result back onto the stack. The distribution source code in 'yorick/ystr.c' is the most up to date example of how to write BuiltIn functions directly.

Often you can avoid all these details; codger can generate 'Y\_my\_func' for you automatically. To do this, put PROTOTYPE comments in your startup include file:

```
func my_func(input)
/* DOCUMENT my_func(input)
    returns the frobnostication of the array INPUT.
    */
{
    return my_func_raw(input, numberof(input));
}
extern my_func_raw;
/* PROTOTYPE
    double my_func_C_name(double array input, long length)
*/
```

This generates a wrapper for a C function which takes a single array as input and returns a scalar result. If the function had been Fortran, it would have looked like this (Fortran passes all arguments by reference – that is, as if they were arrays):

```
func my_func(input)
/* DOCUMENT my_func(input)
    returns the frobnostication of the array INPUT.

*/
{
    return my_func_raw(input, numberof(input));
}
extern my_func_raw;
/* PROTOTYPE FORTRAN
    double my_func_Fortran_name(double array input, long array length)
    */
```

Legal data types for the function return result in the *PROTOTYPE* comment are: void (i.e.-a subroutine), char, short, int, long, float, or double.

Legal data types for the function parameters in the *PROTOTYPE* comment are: void (only if there are no other parameters), char, short, int, long, float, double, string (char \*, guaranteed 0-terminated), or pointer (void \*). These may be followed by the word "array", which becomes "\*" in the C source code, to indicate an array of that type. The parameter name is optional.

The DOCUMENT comment should start with /\* DOCUMENT. They will be returned by the interpreted command help, my\_func, and be included in the poor- man's document produced by Yorick's "mkdoc" command (see 'Y\_HOME/i/mkdoc.i').

```
extern my_global;
reshape, my_global, datatype;
```

attaches the interpreted variable  $my\_global$  to a C-compiled global of the same name, which has the data type datatype (this must have been declared in a previous struct or be one of the primitive types). If you want  $my\_global$  to be attached to a global variable of a different name, use:

```
extern my_global;
/* EXTERNAL my_global_C_name */
reshape, my_global, datatype;
To attach to a Fortran common block, say
double var1, var2, var3
common /my_common/ var1, var2, var3
save /my_common/
```

(note that this doesn't make sense unless the common block is saved outside the scope of the functions in which it is used) use:

```
struct my_common_type { double var1, var2, var3; }
extern my_common;
/* EXTERNAL FORTRAN my_common */
reshape, my_common, my_common_type;
```

If you mix double, integer, and real data in a single common block, you can ensure that you won't have any alignment difficulties by putting all the doubles first, followed by integers and reals. If you don't do this, you're relying on the existence of a Fortran compiler switch which forces proper data alignment – some machine someday won't have this.

This covers all the things that codger can do for you.

The primary goal of this system is portability. The basic idea is that all the platform specific problems can be solved once in the 'Y\_HOME/Makepkg' file, so that you can easily move your compiled packages from one platform to another. With the advent of plugins, this system also must cope with packages which are either statically or dynamically loaded, and the same source code must work for either case. The secondary goal is that the final users should do nothing different to use a compiled package than they would to use an interpreted package.

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# Concept Index

(Index is nonexistent)

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