

**TREE ADT**

**BST**

**AVL**

# TREE ADT

## MOTIVATIONS

Lists - Linear

Trees - Logarithmic

File Systems

Arithmetic Expressions

Compiler Designs

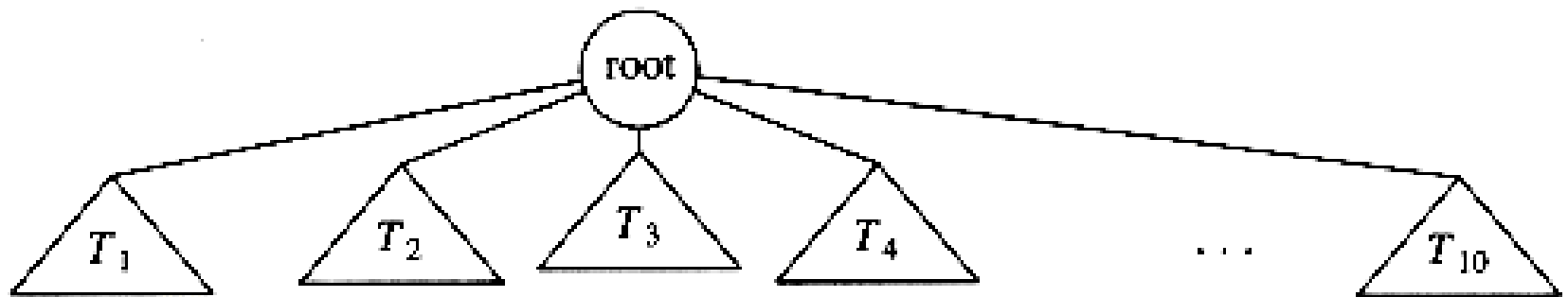
# TREE

A connected graph  
with no cycles.

# TREE

A tree consists of a distinguished node  $r$  (the root), and zero or more sub trees,  $T_1, T_2, \dots, T_k$  each of whose roots are connected by a directed edge to  $r$ .

# TREES



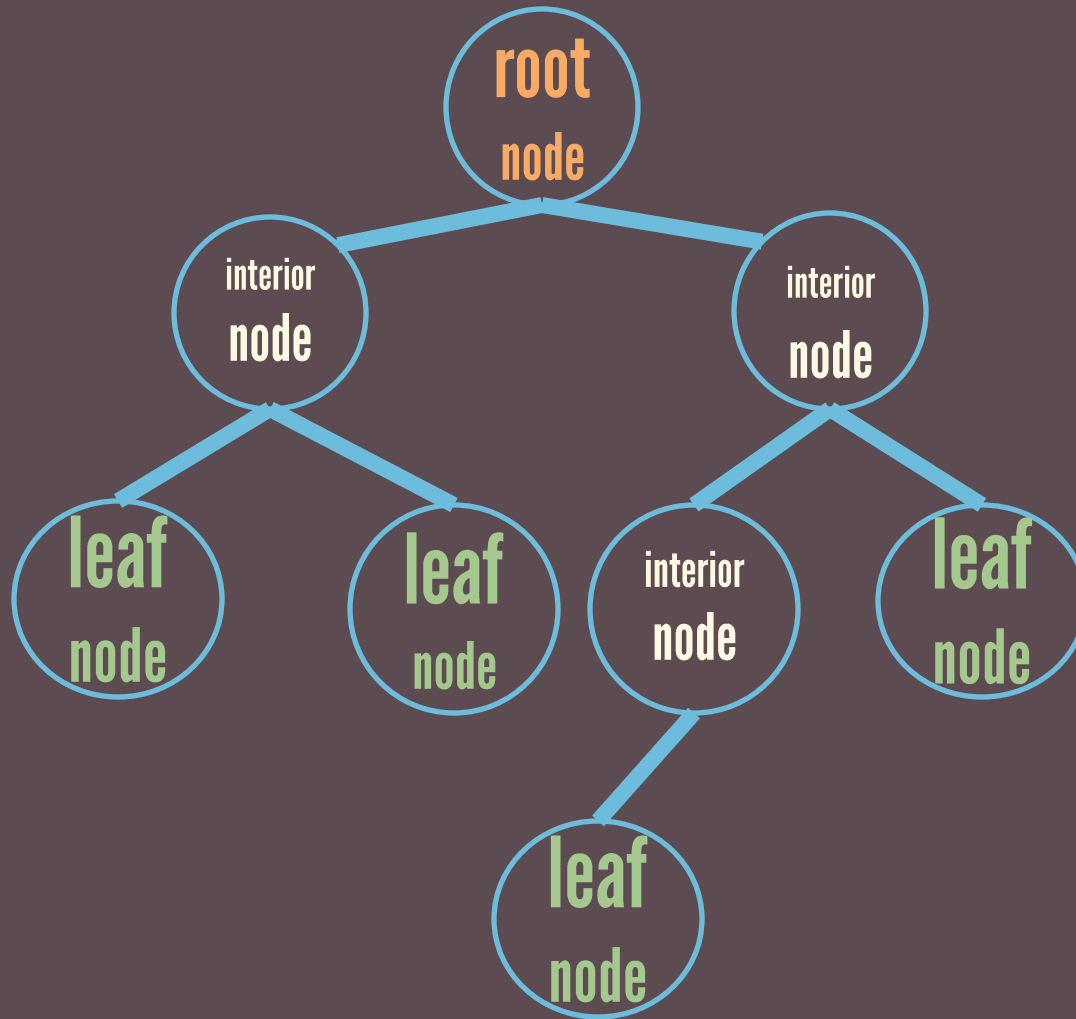
# TREE THEOREMS

Any two vertices are connected by a unique path.

# TREE THEOREMS

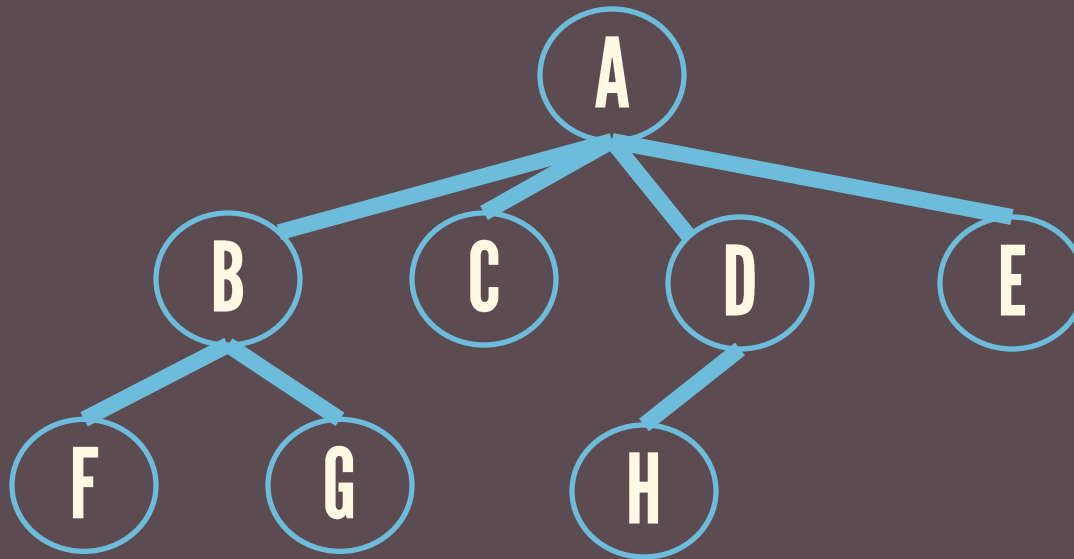
The number of edges in  
a tree is  $|V(G)| - 1$

# ROOT INTERIOR NODE LEAF NODE





**SIBLINGS** **PARENT** **CHILD** **GRANDCHILD**  
**ANCESTORS** **DESCENDANTS**



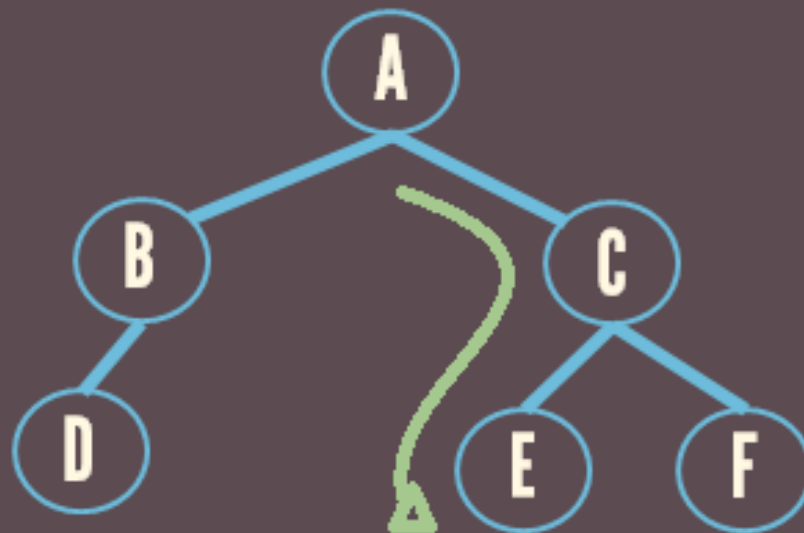
# PATH

from node  $n_1$  to  $n_k$

Sequence of nodes  $n_1, n_2, \dots, n_k$  such that  $n_i$  is the parent of  $n_{i+1}$

$$1 \leq i \leq k$$

# PATH



ACE

length of the  
**PATH**

The number of edges  
on the path.

# HEIGHT

of node  $n_i$

The height of node  $n_i$  is the longest path from  $n_i$  to a leaf.

# DEPTH

of node  $n_i$

The length of the unique path from the root to  $n_i$

Height of A = 2

Height of B = 0

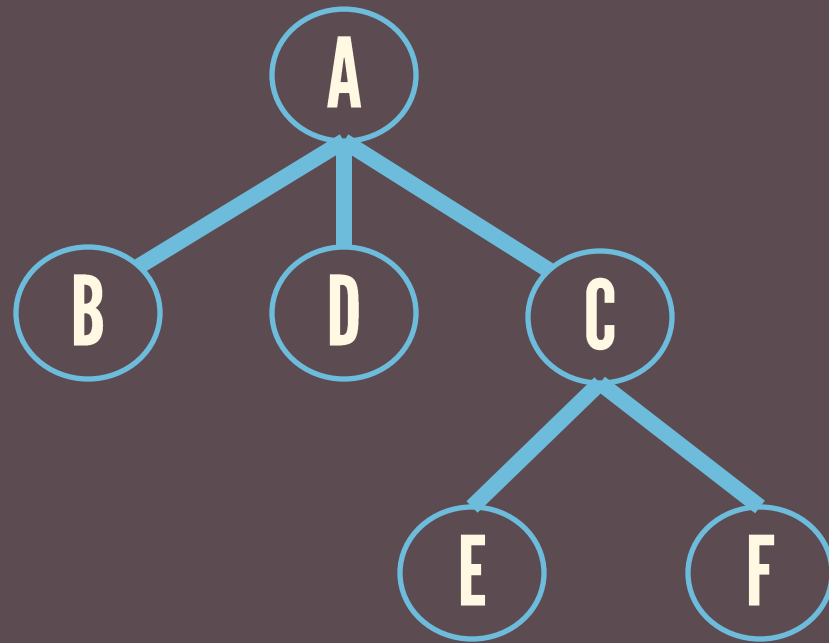
Height of D = 0

Height of C = 1

Height of E = 0

Height of F = 0

Height of the tree = 2

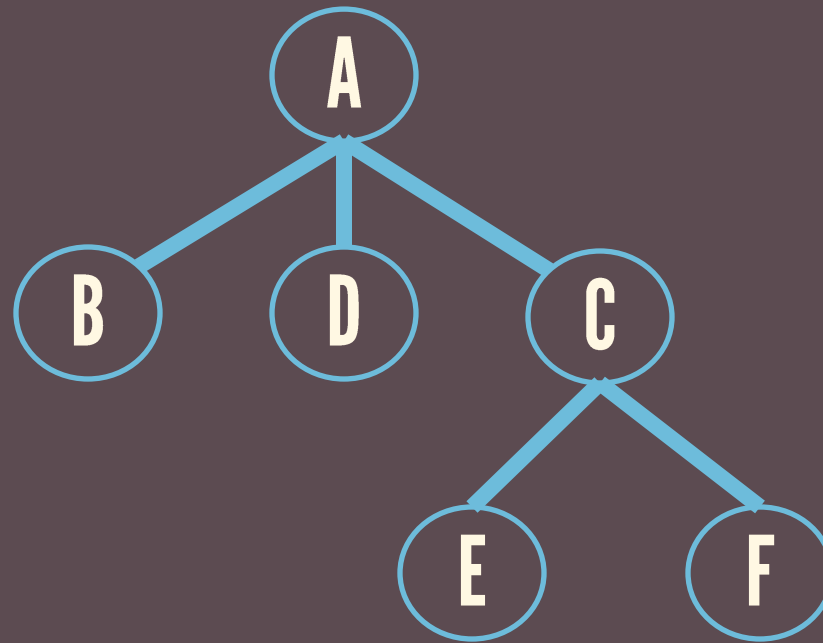


DEPTH

0

1

2



LEVEL

0

1

2



# IMPLEMENTATIONS

**LINKED  
REPRESENTATION**

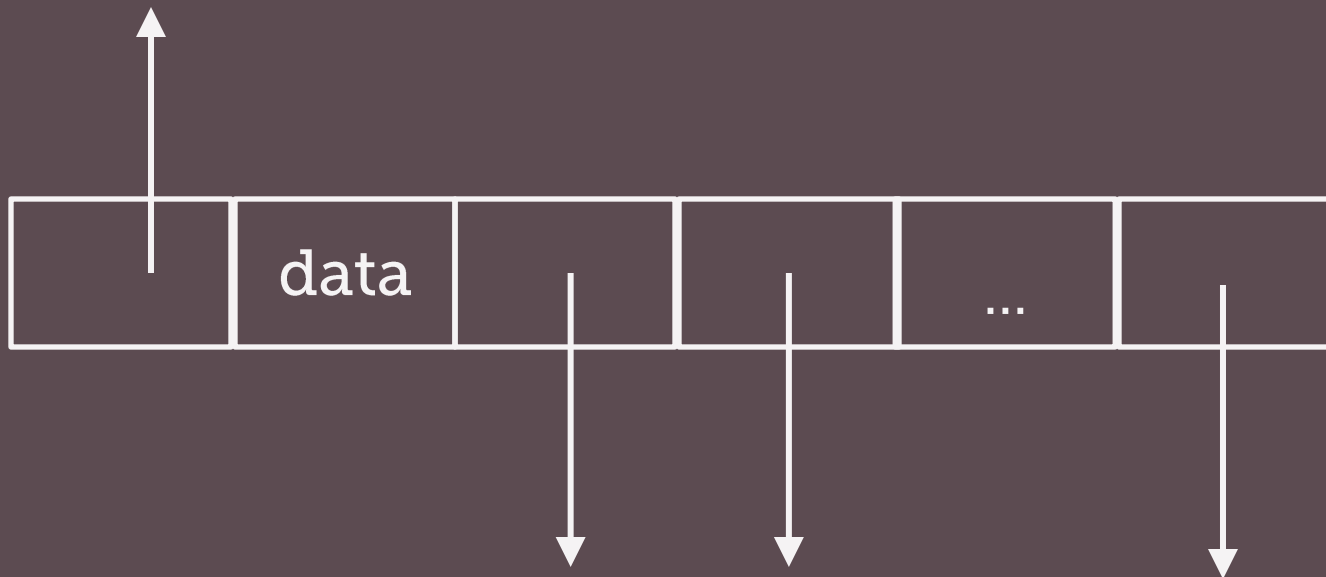
**FIRST CHILD,  
NEXT SIBLING  
REPRESENTATION**

# LINKED REPRESENTATION

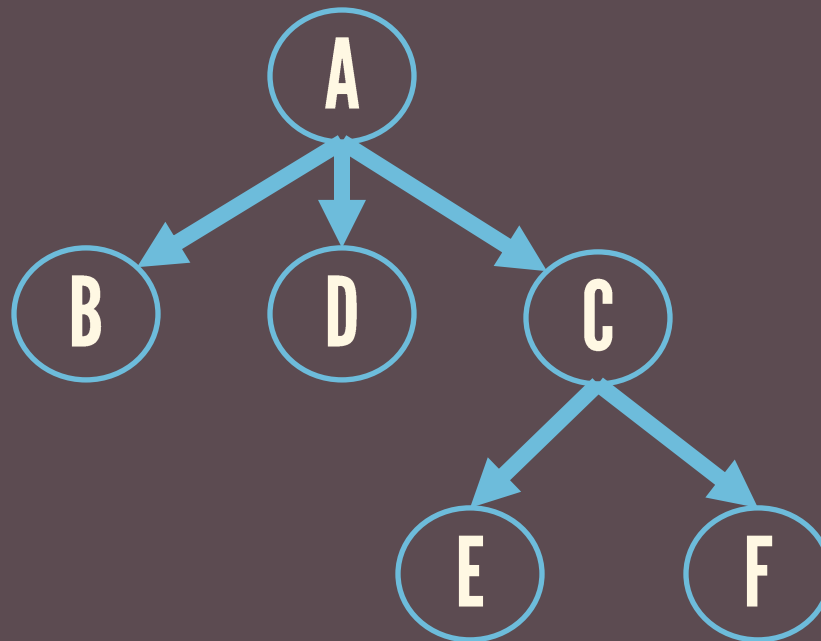
Each node besides its data has a pointer to each child of the node.

```
typedef struct node{  
    int data;  
    struct node *parent;  
    struct node *child1;  
    struct node *child2;  
    ...  
    struct node *childk;  
}tree;
```

# LINKED REPRESENTATION



# LINKED REPRESENTATION

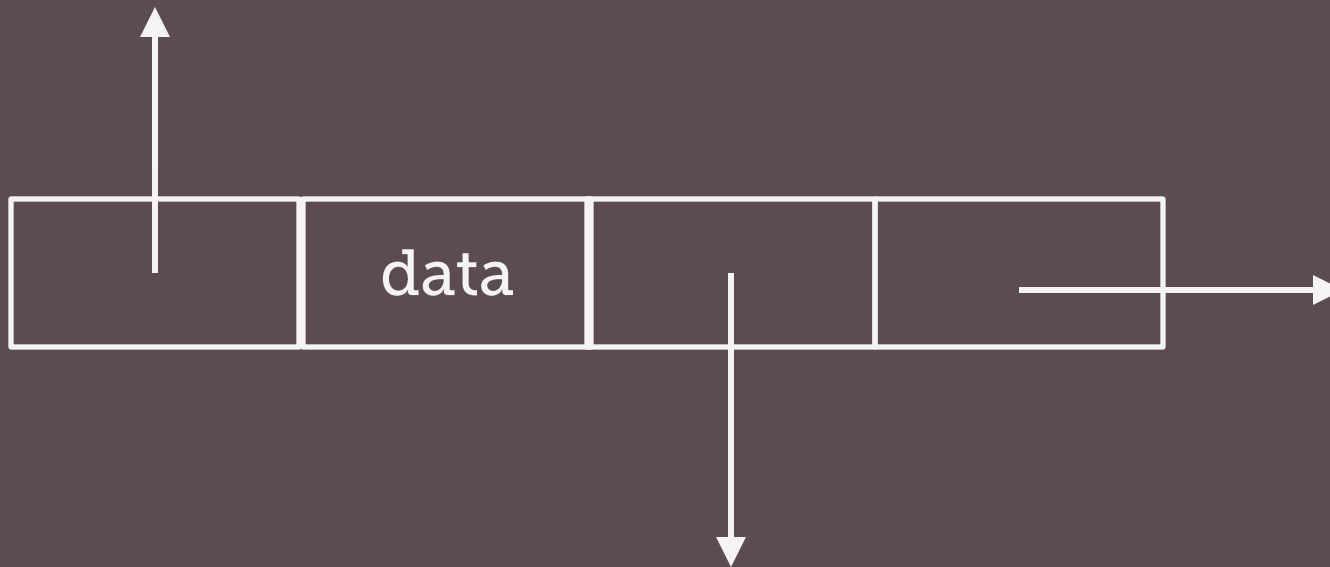


**FIRST CHILD,  
NEXT SIBLING  
REPRESENTATION**

Keep the children of each node in a linked list of tree nodes.

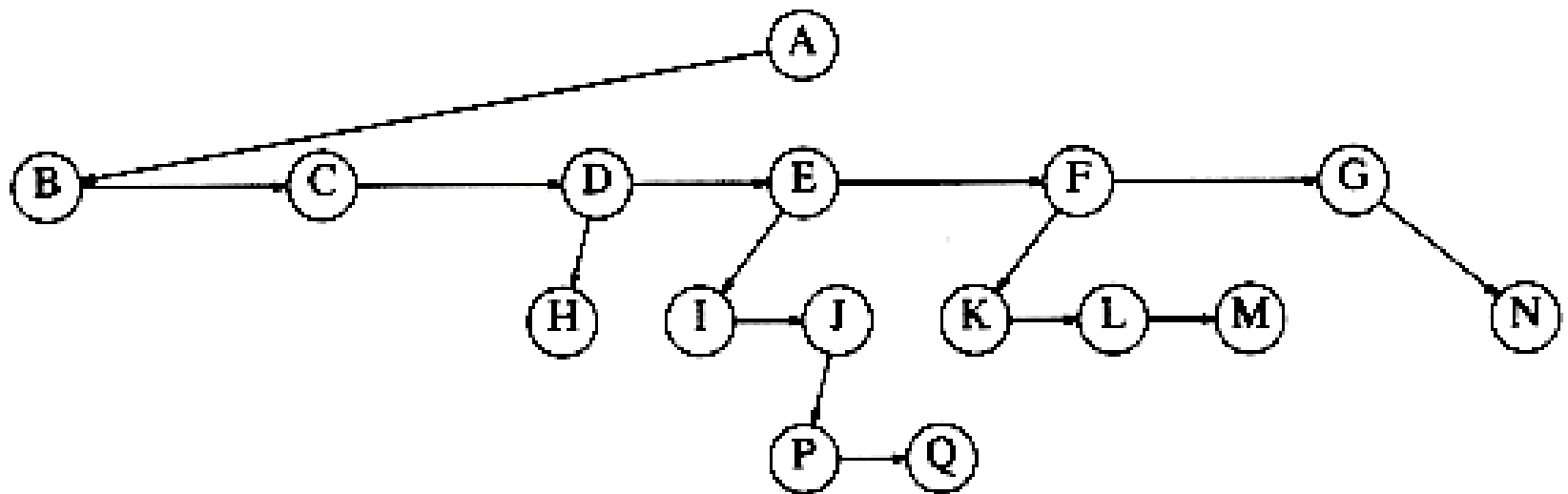
```
typedef struct node{  
    int data;  
    struct node *parent;  
    struct node *first_child;  
    struct node *next_sibling;  
}tree;
```

# FIRST CHILD, NEXT SIBLING REPRESENTATION





# FIRST CHILD, NEXT SIBLING REPRESENTATION



# BINARY TREES

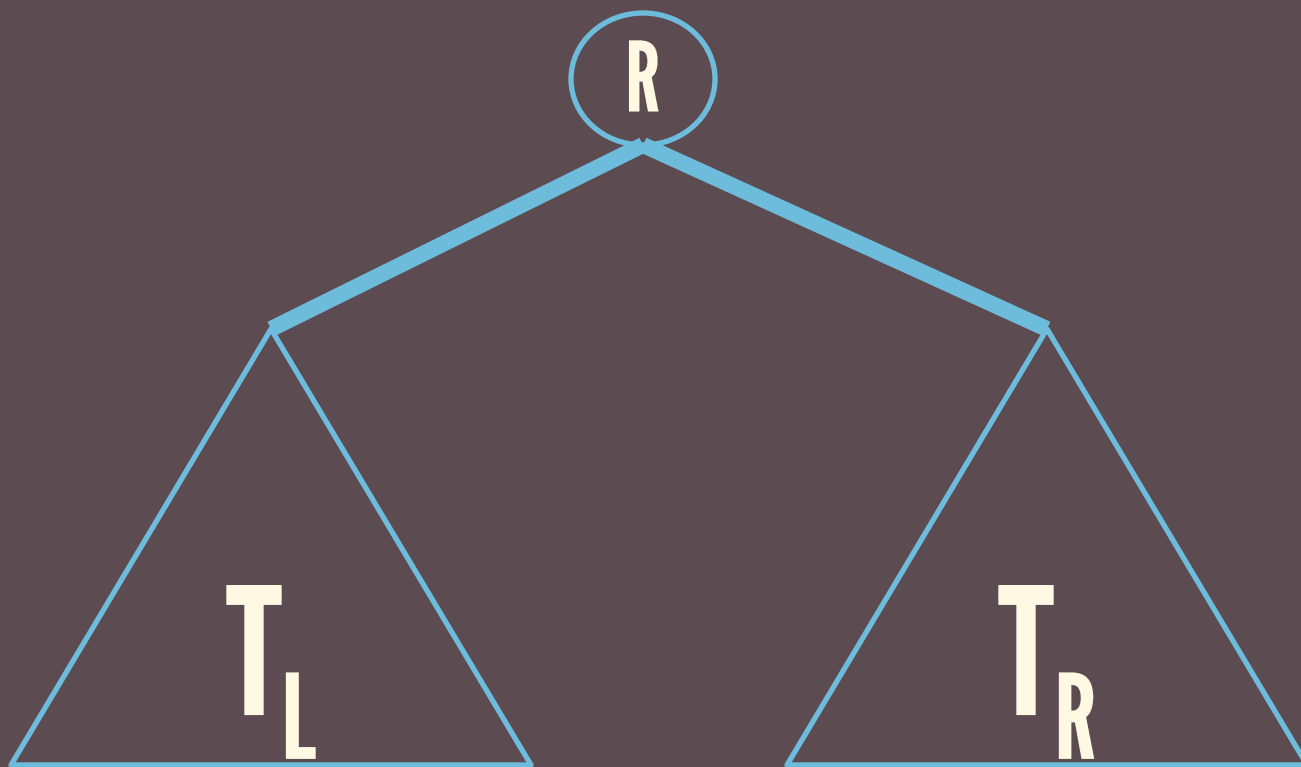
# BINARY TREE

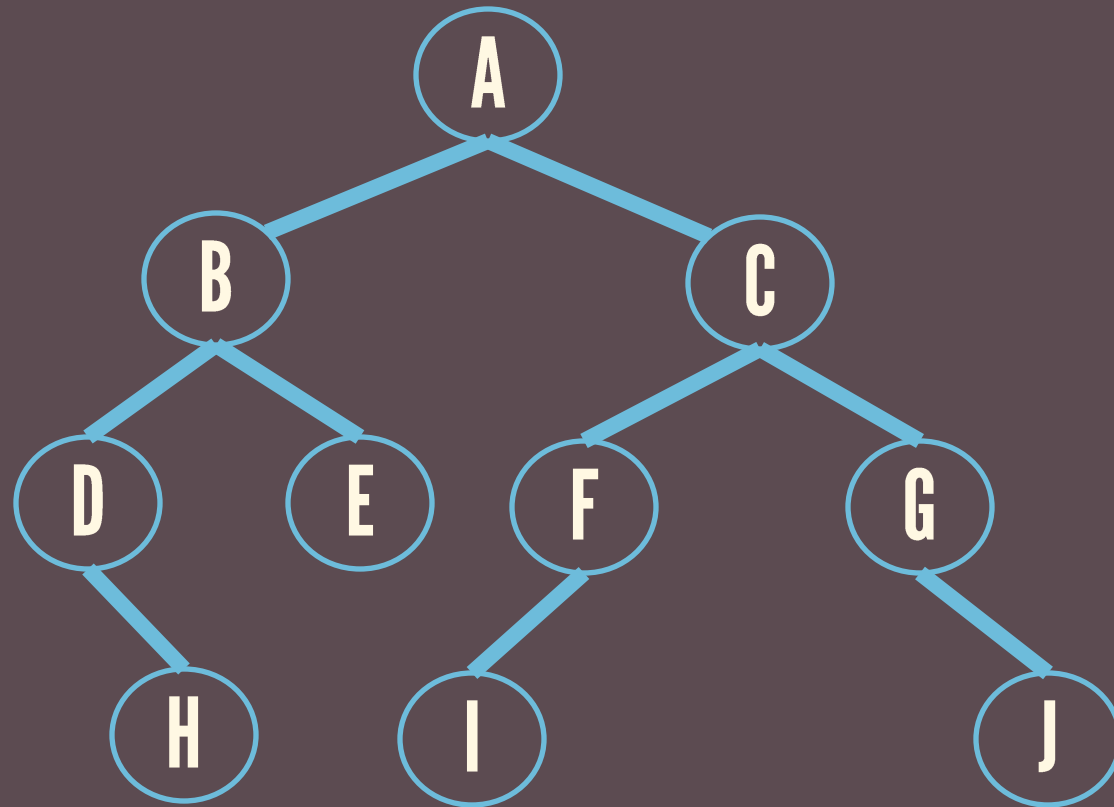
A tree in which no node can have more than two children.

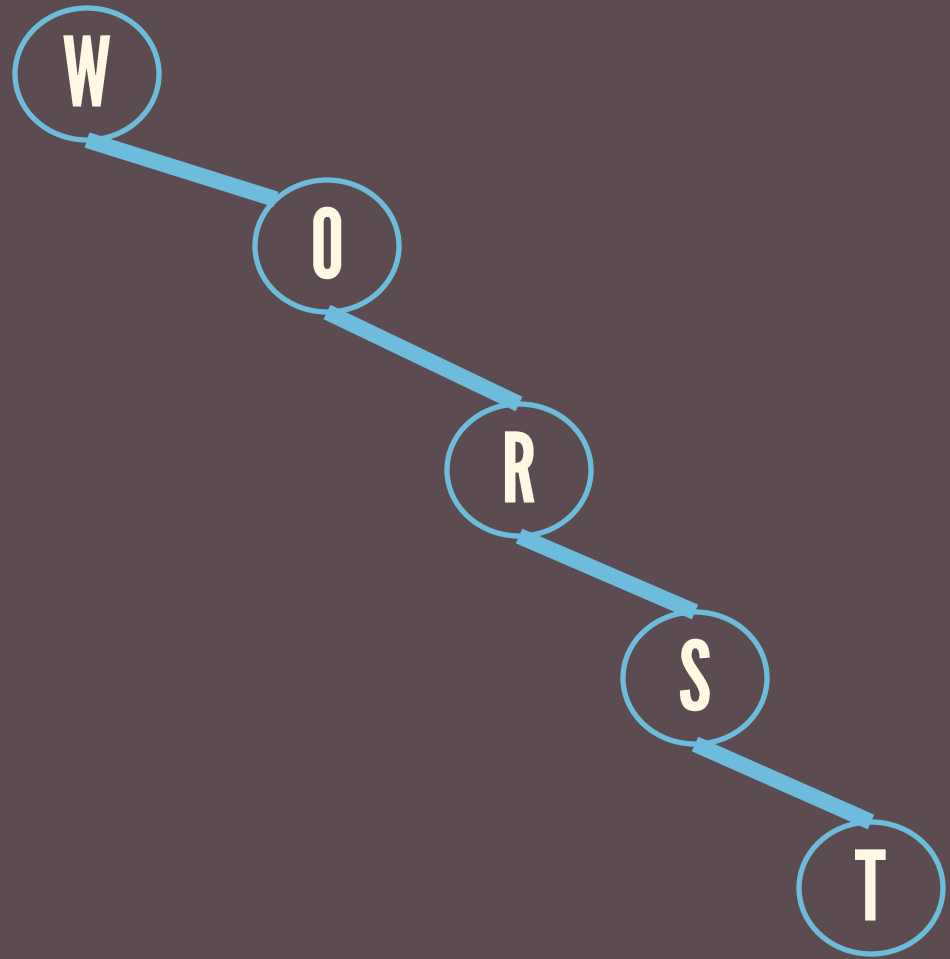
# BINARY TREE

A tree where each node has either

- no children
- a left child
- a right child
- both left and right child





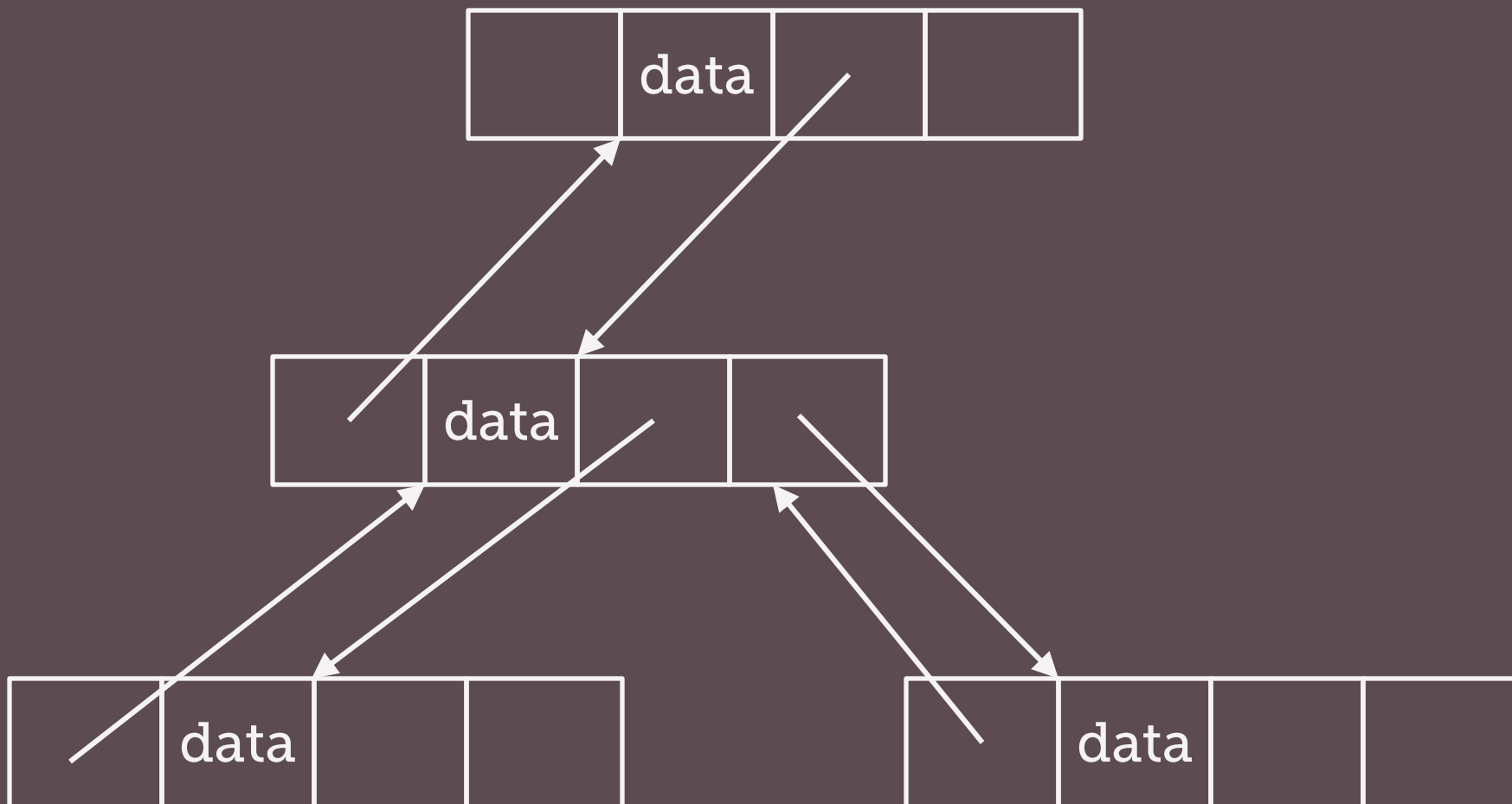


**IMPLEMENTATION**

**LINKED REPRESENTATION**

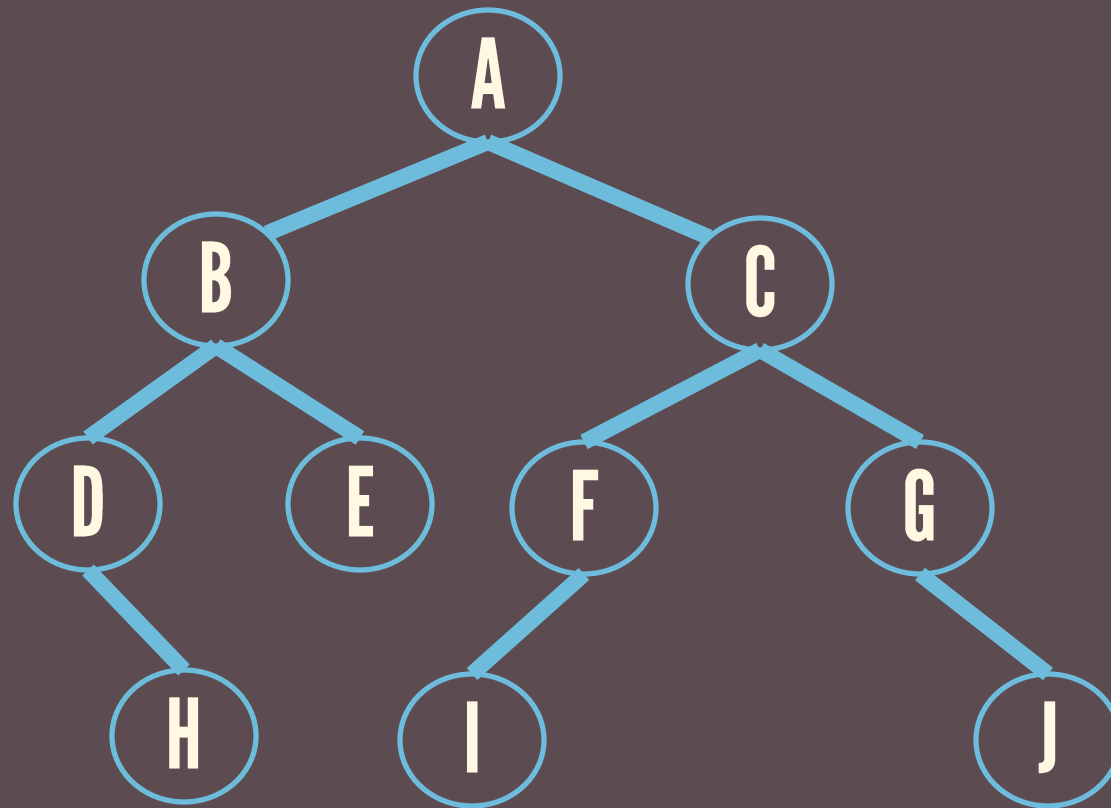


```
typedef struct node{  
    int data;  
    struct node *parent;  
    struct node *left;  
    struct node *right;  
}tree;
```



full  
**LEVEL**

Level  $i$  is full if there are exactly  $2^i$  nodes at this level.



# **BINARY TREE TRAVERSALS**

**PREORDER**

**INORDER**

**POSTORDER**

# PREORDER

Visit root node, then left subtree and finally the right subtree.

```
preorder(tree *node){  
  
    if(node!=NULL){  
        print node->data  
        preorder(node->left);  
        preorder(node->right);  
    }  
  
}
```

# INORDER

Visit left subtree, then root node and finally the right subtree.

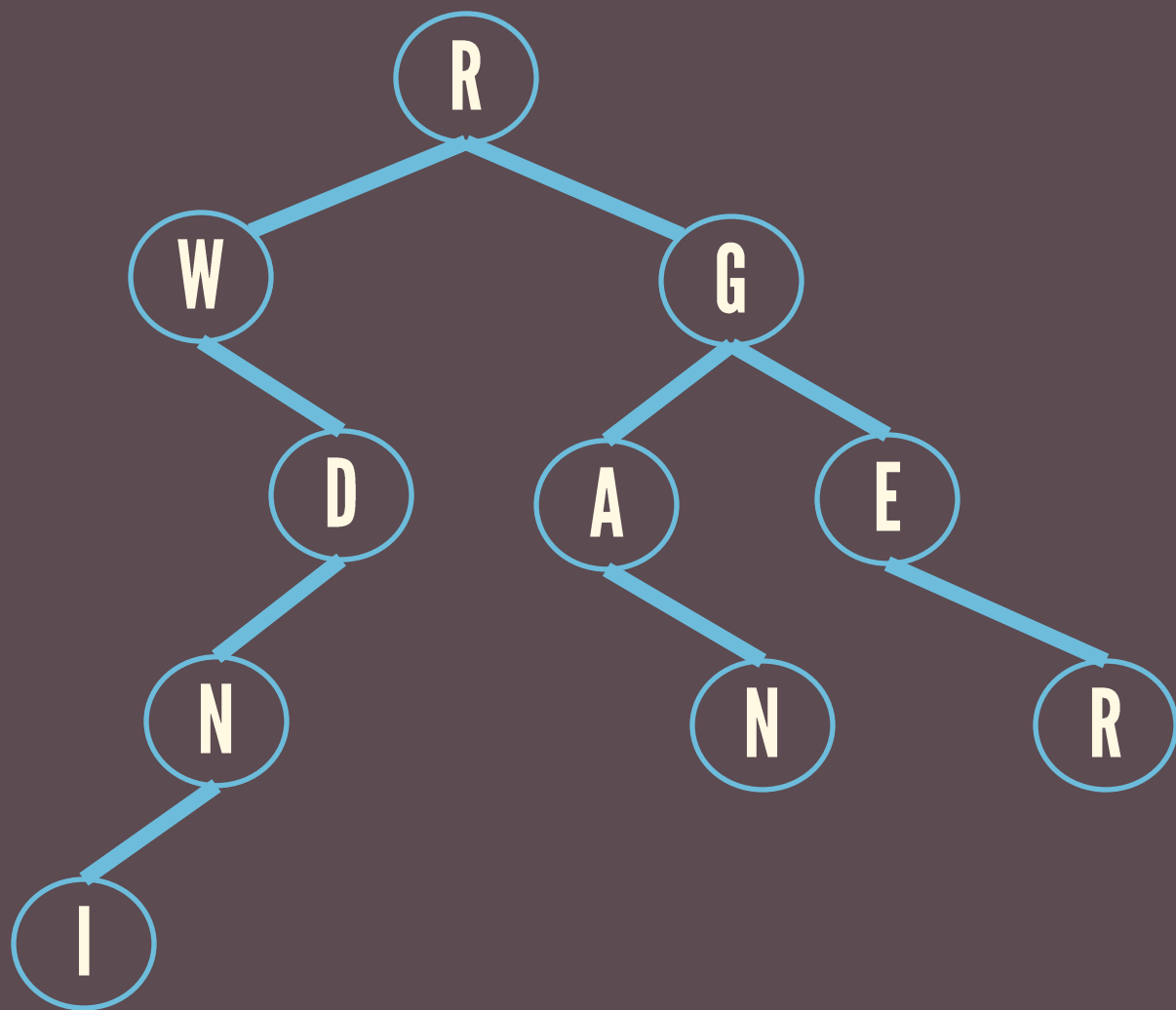


```
inorder(tree *node){  
  
    if(node!=NULL){  
        inorder(node->left);  
        print node->data  
        inorder(node->right);  
    }  
  
}
```

# POSTORDER

Visit left subtree, then right subtree and finally the root node.

```
postorder(tree *node){  
  
    if(node!=NULL){  
        postorder(node->left);  
        postorder(node->right);  
        print node->data  
    }  
  
}
```



**PREORDER:**      R W D N I G A N E R

**INORDER:**      W I N D R A N G E R

**POSTORDER:**      \_ \_ \_ \_ \_

**PREORDER:      R W D N I G A N E R**

**INORDER:        W I N D R A N G E R**

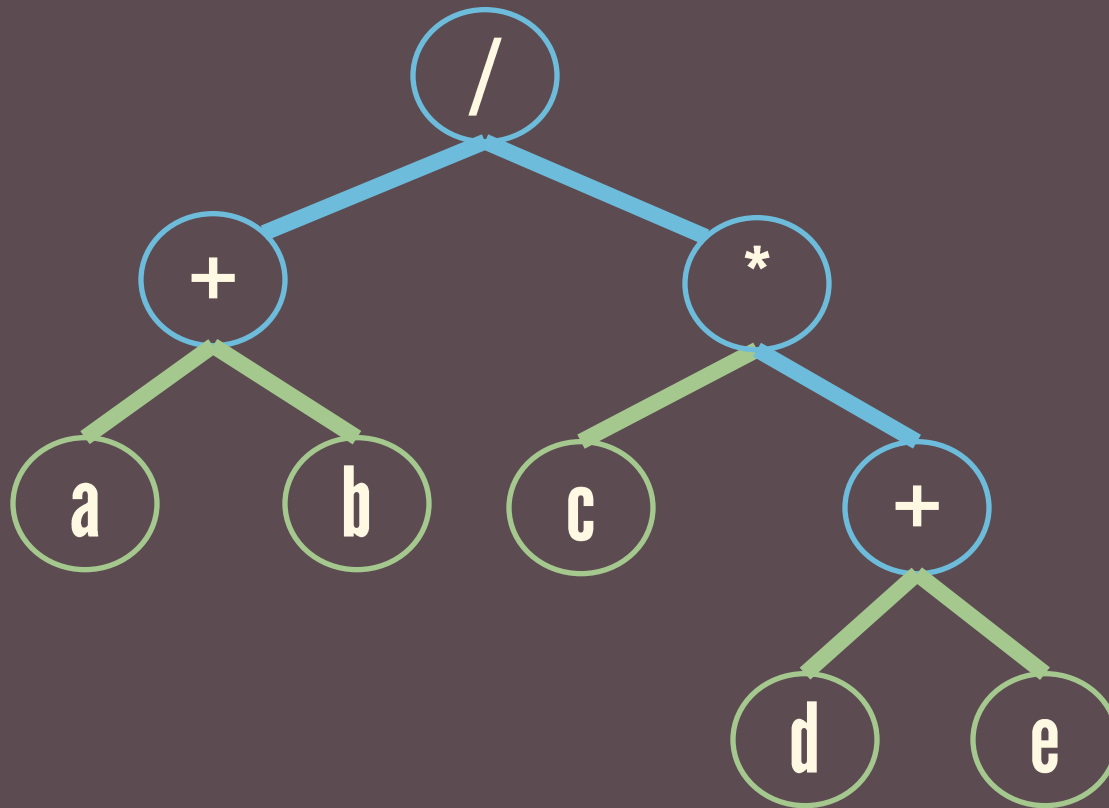
**POSTORDER:     I N D W N A R E G R**

# EXPRESSION TREES

LEAVES  
OPERANDS

INTERNAL NODES  
OPERATORS

$$(a + b) / (c * (d + e))$$



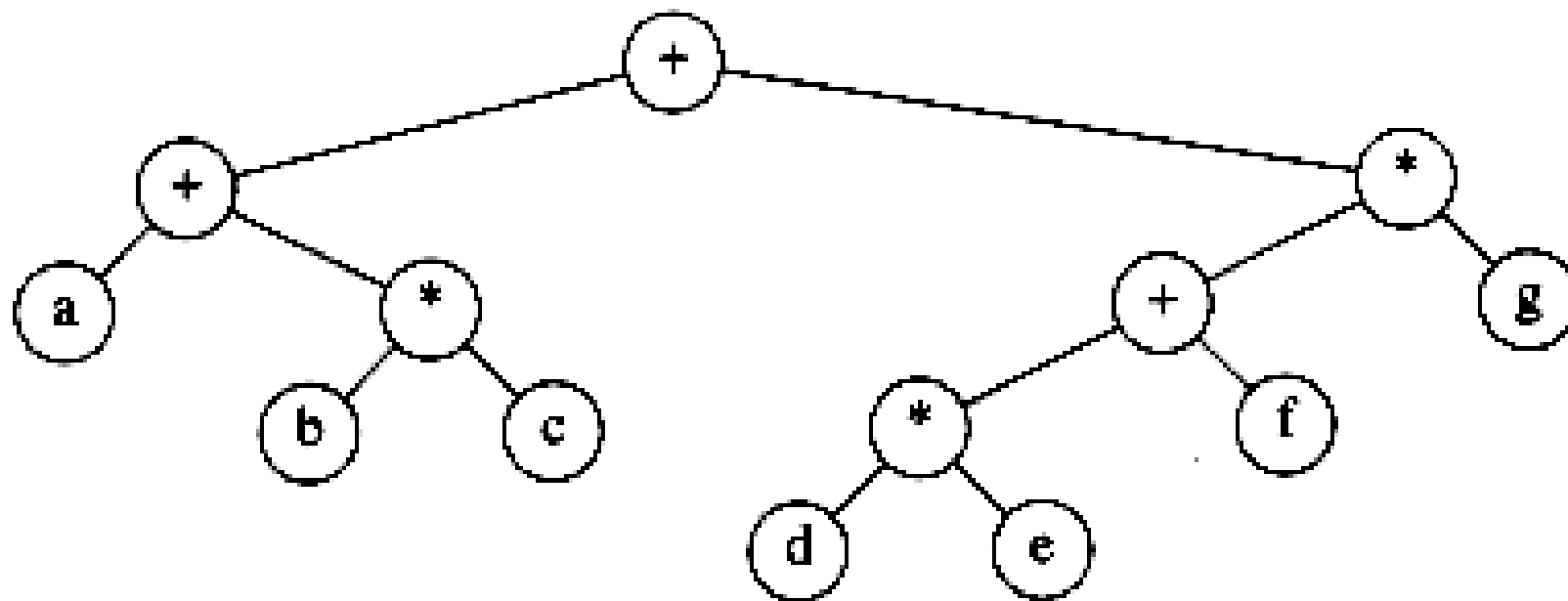


# EXPRESSION TREE TRAVERSALS

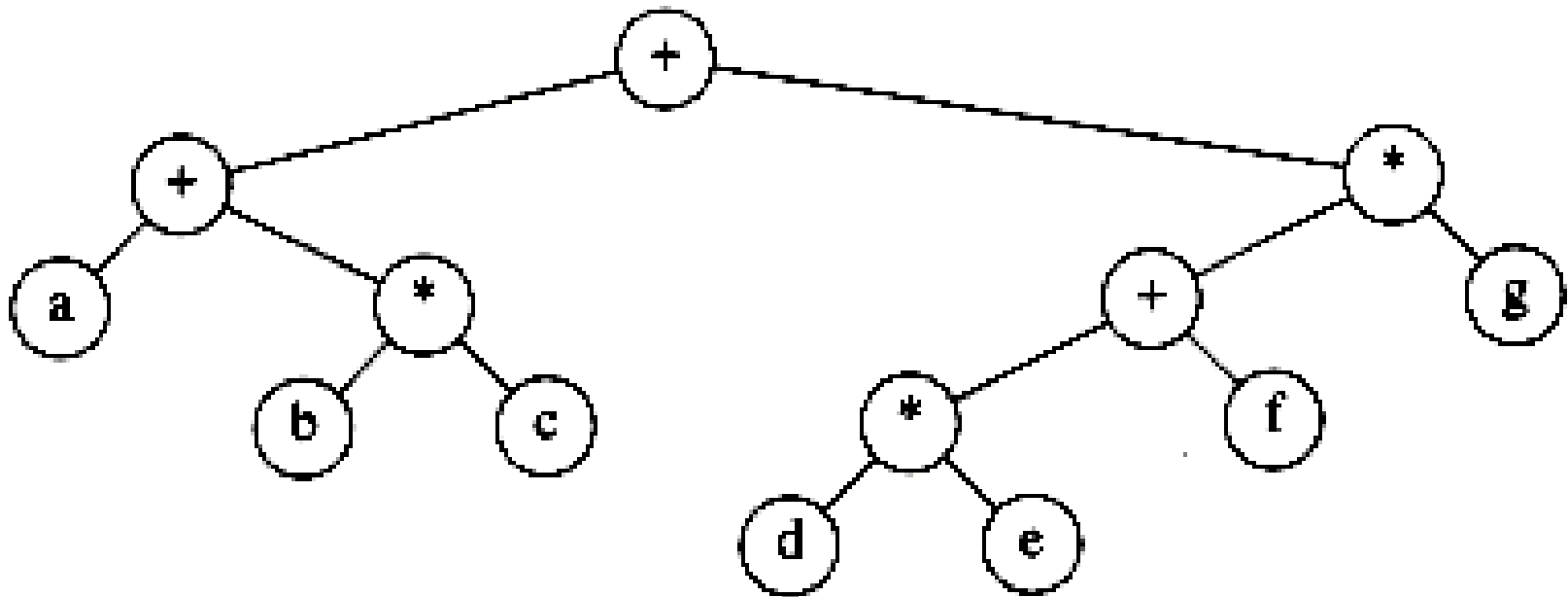
**PREORDER  
PREFIX**

**INORDER  
INFIX**

**POSTORDER  
POSFIX**

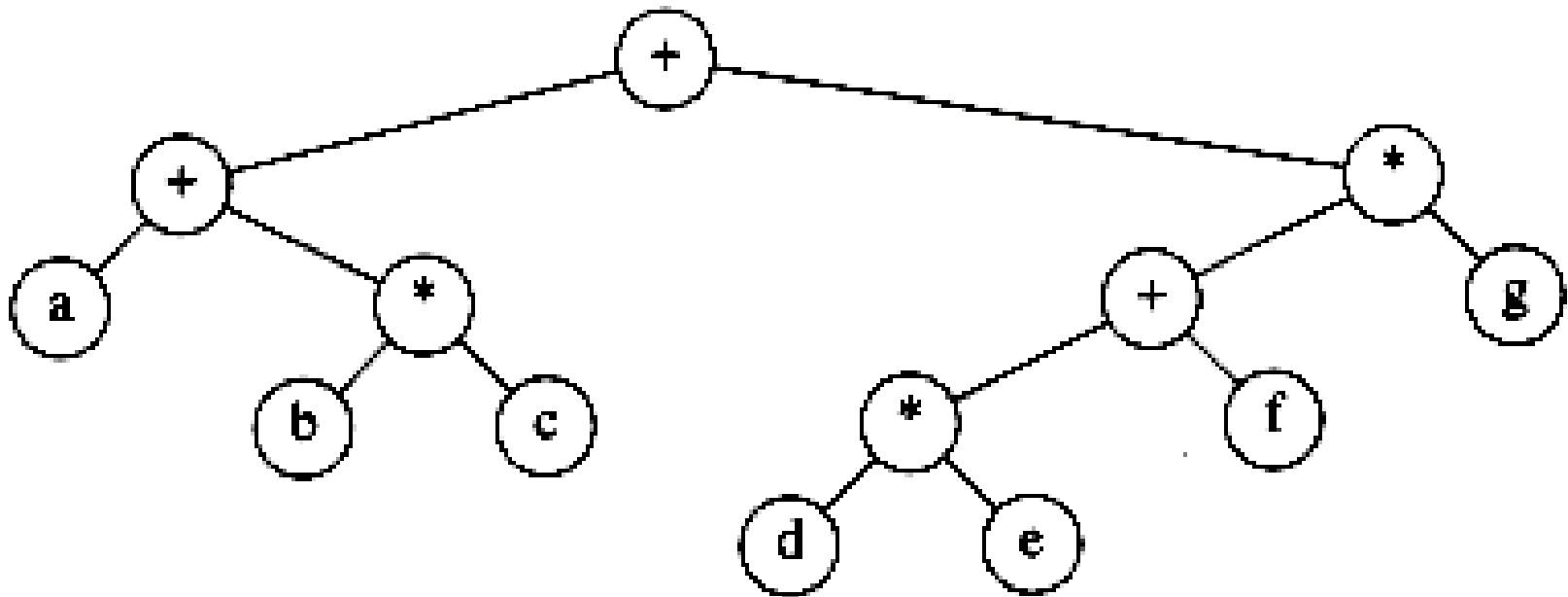


$(a + b * c) + ((d * e + f) * g)$



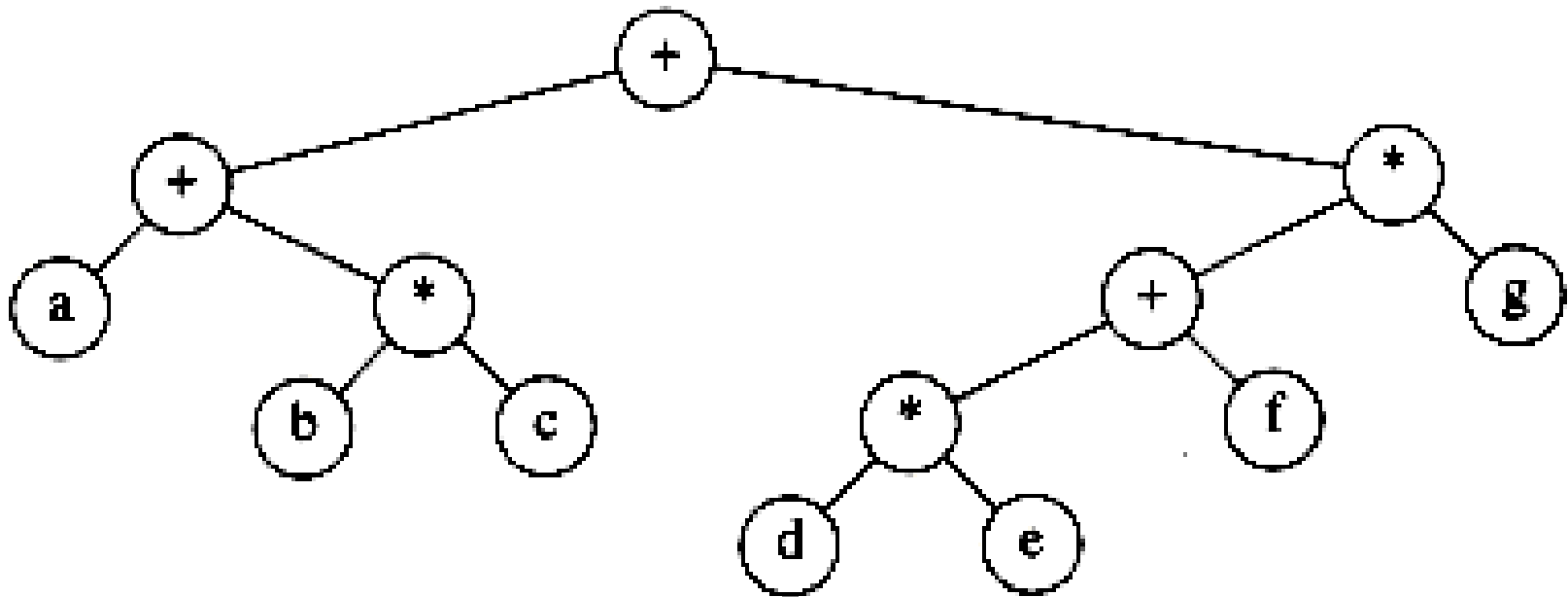
**PREFIX**

$++a*b*c*+*d*efg$



**POSTFIX**

a b c \* + d e \* f + g \* +



INFIX

$a + b * c + d * e + f * g$

**ALGORITHM  
TO CONSTRUCT**

**EXPRESSION  
TREES**

Convert the expression to postfix.

Use a stack.

Read the expression (postfix) one symbol at a time:

if the symbol is an **operand**,

- create a one-node tree
- **push** a pointer to it onto a stack



Read the expression (postfix) one symbol at a time:

if the symbol is an **operator**,

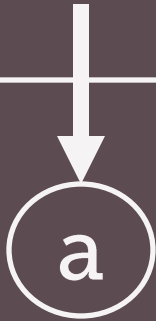
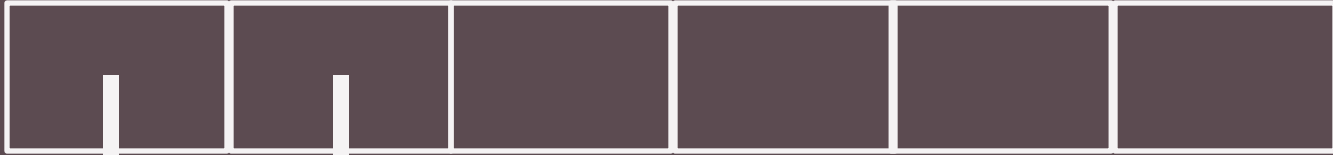
- **pop** two pointers to two trees ( $T_1$  and  $T_2$ ).
- Form a new tree whose root is the operator with left and right child pointing to  $T_1$  and  $T_2$  respectively.
- push onto the stack a pointer to this new tree.

EXAMPLE

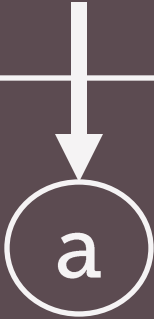
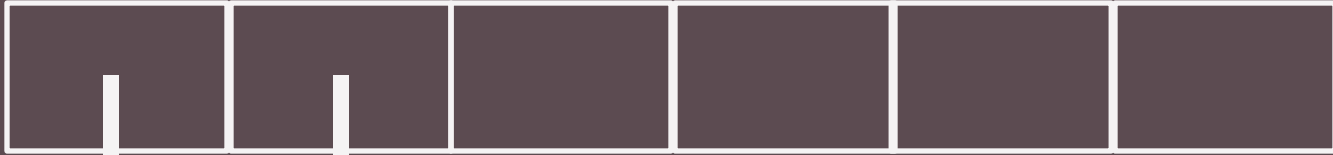
a b + c d e + \* \*

--	--	--	--	--	--

**a** **b** + c d e + \* \*

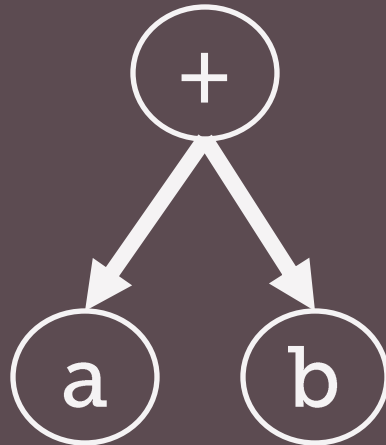


$a b + c d e + * *$



$a b + c d e + * *$

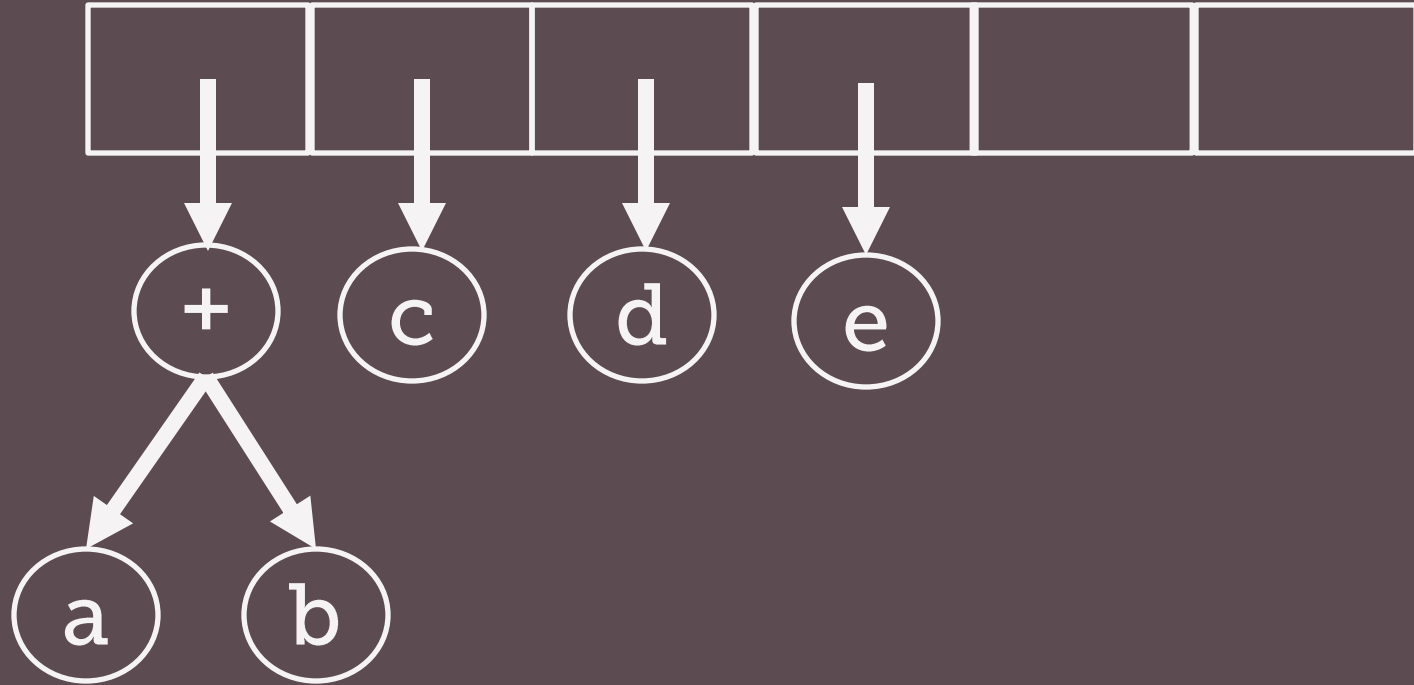
--	--	--	--	--	--



$a b + c d e + * *$

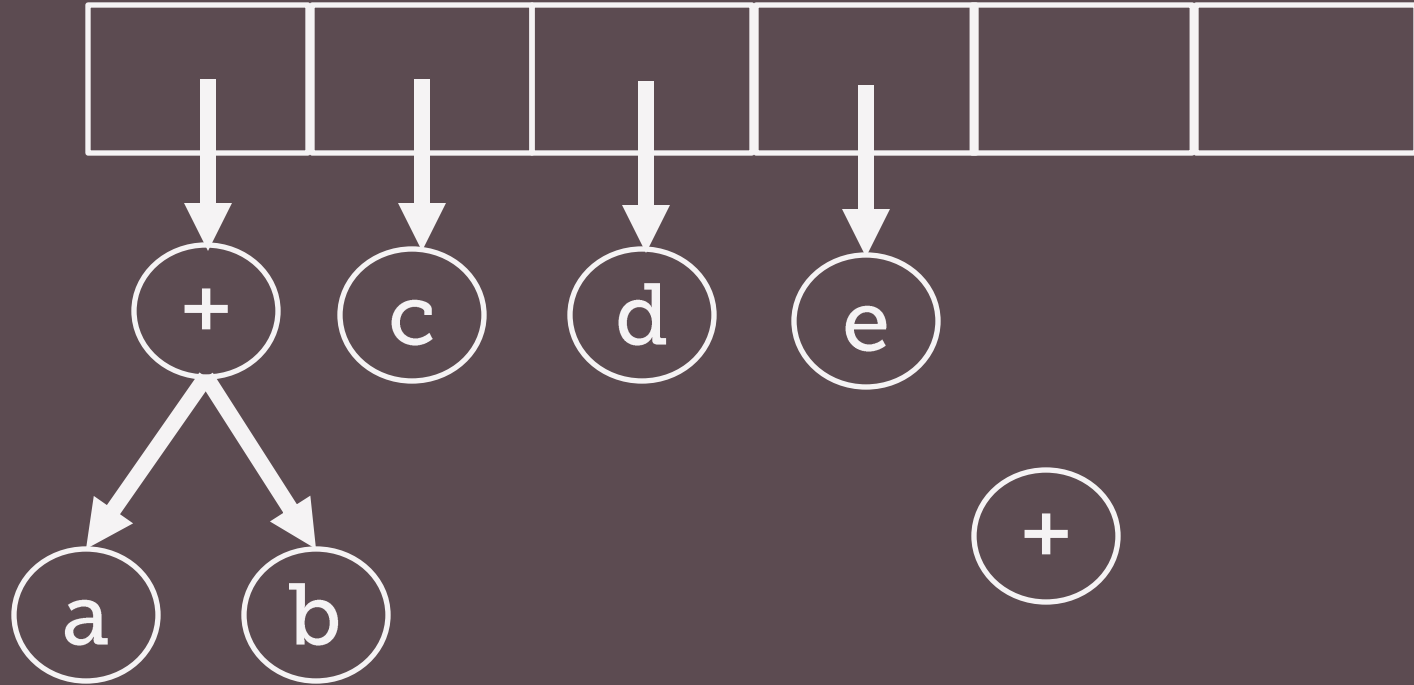


$a b + c d e + * *$

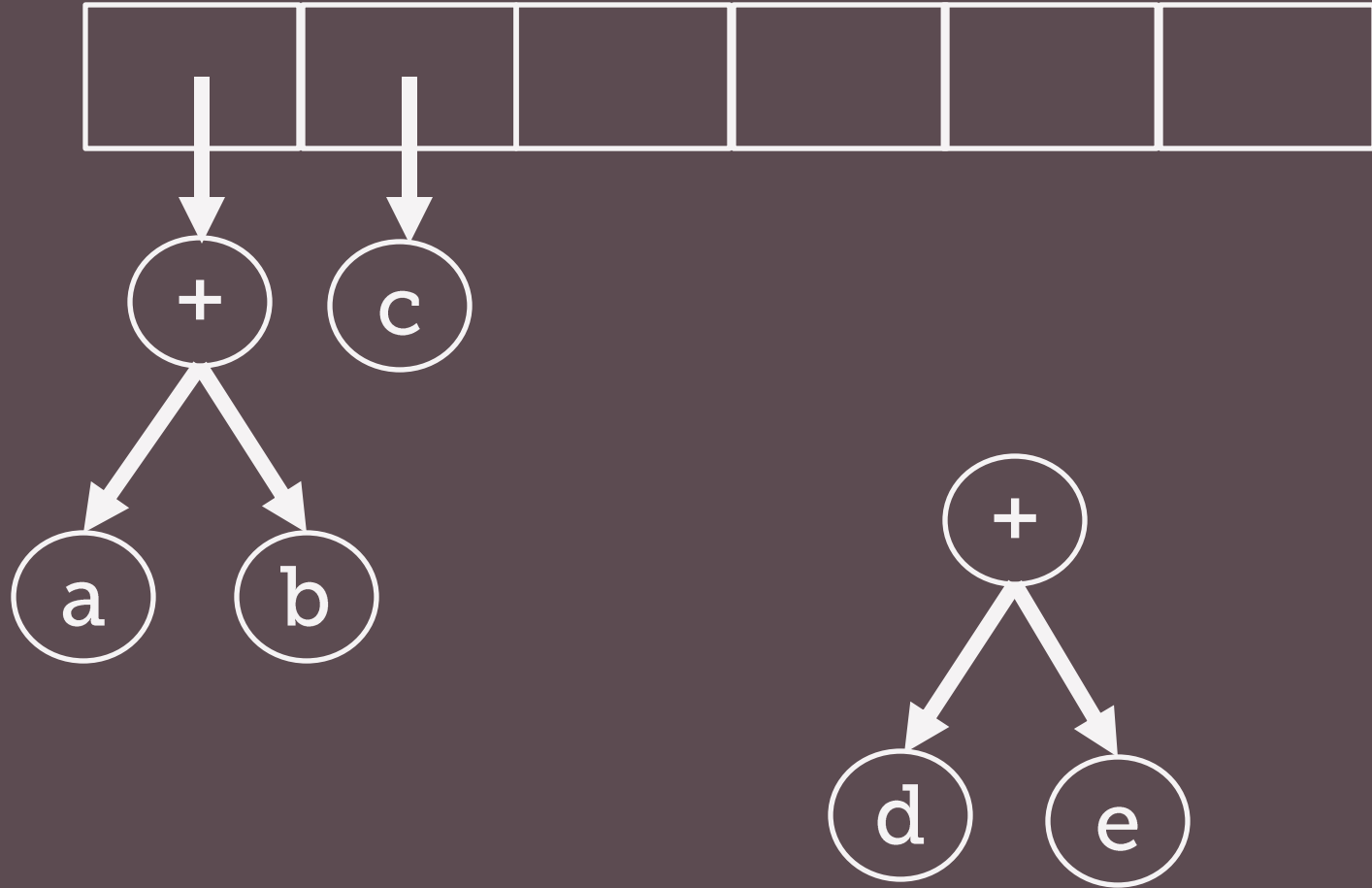




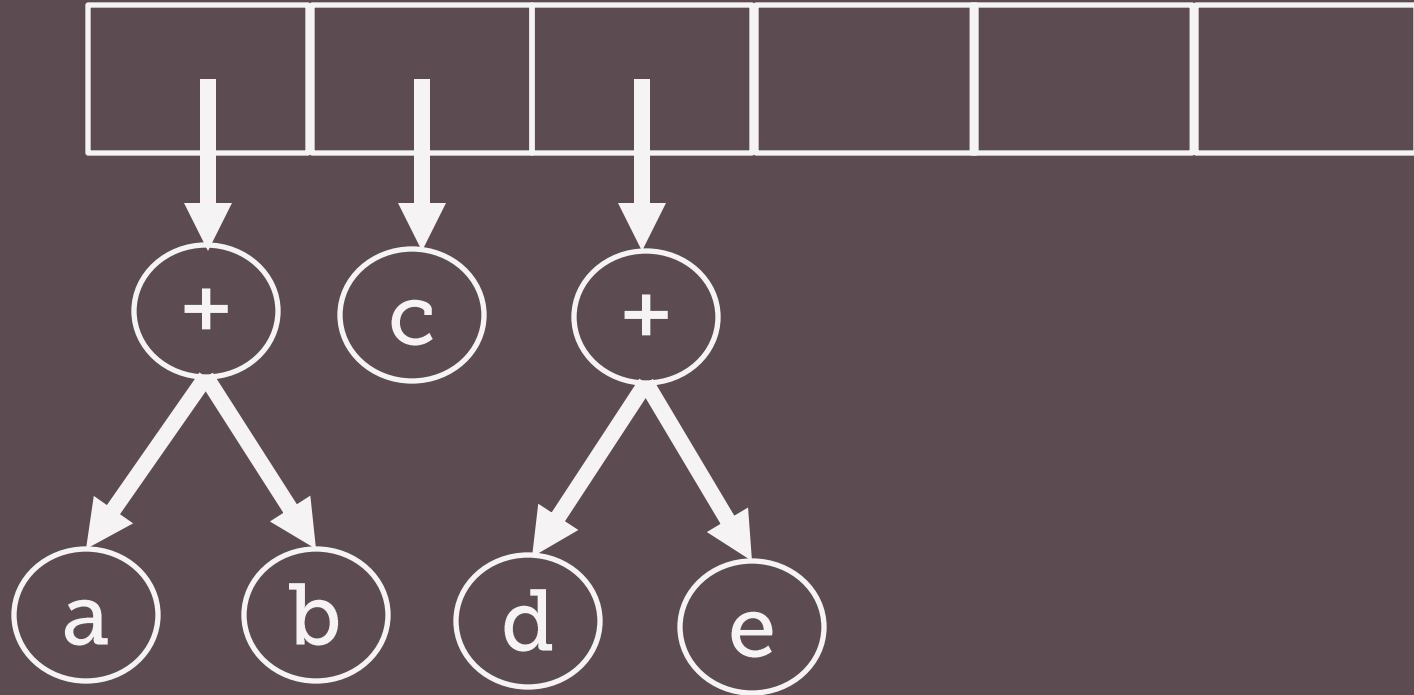
$a b + c d e + * *$



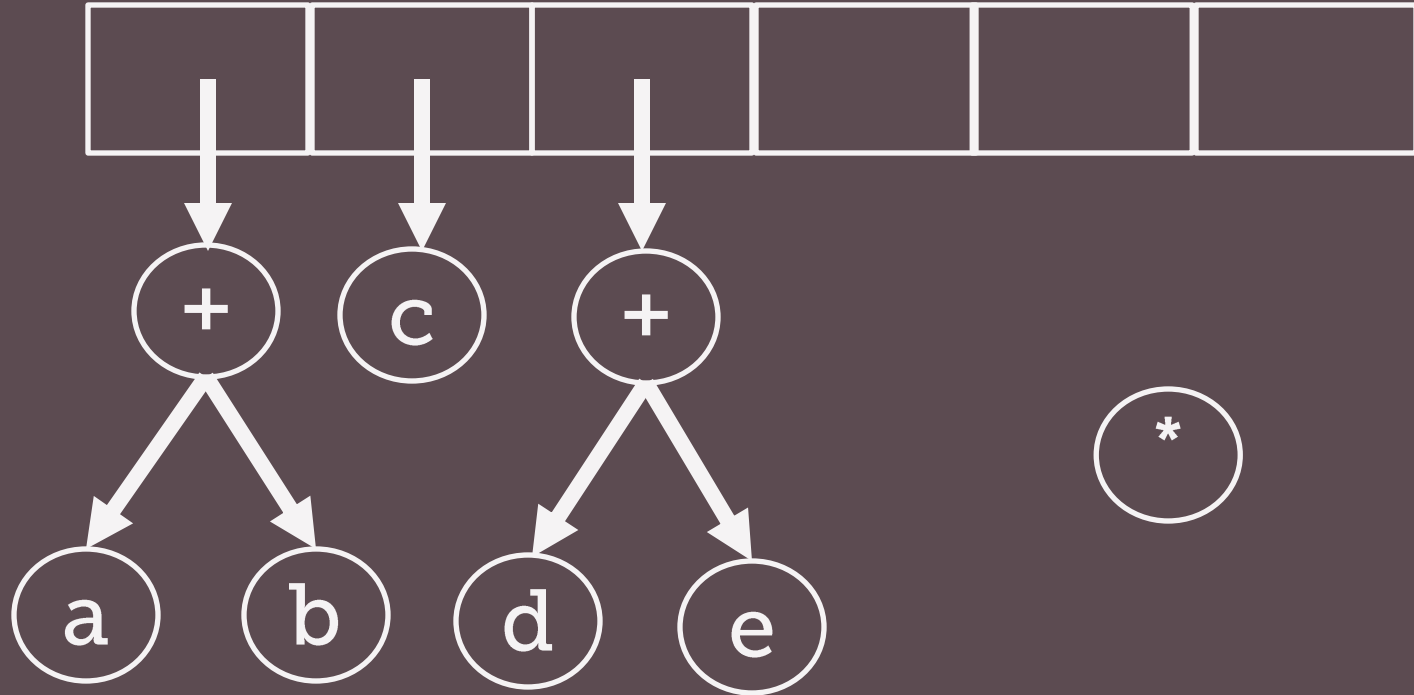
$a b + c d e + * *$



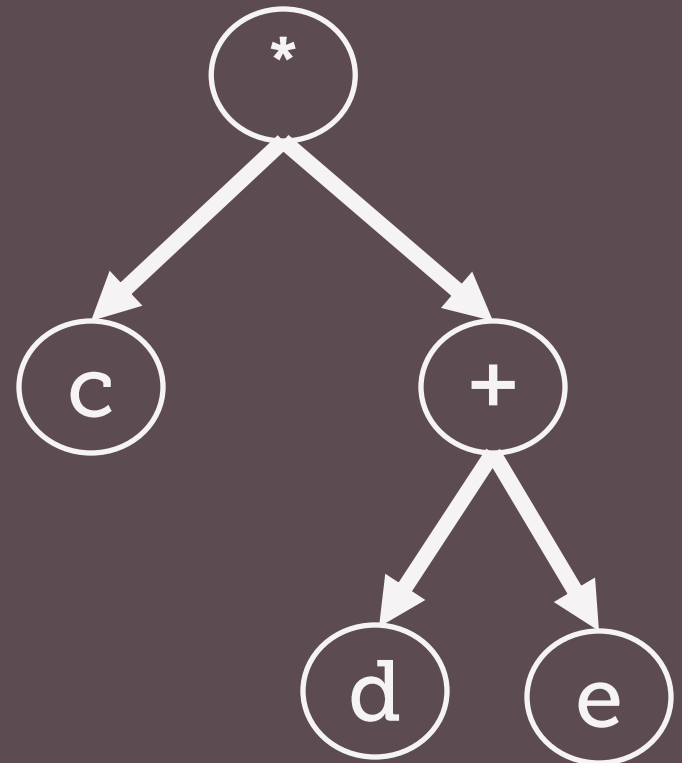
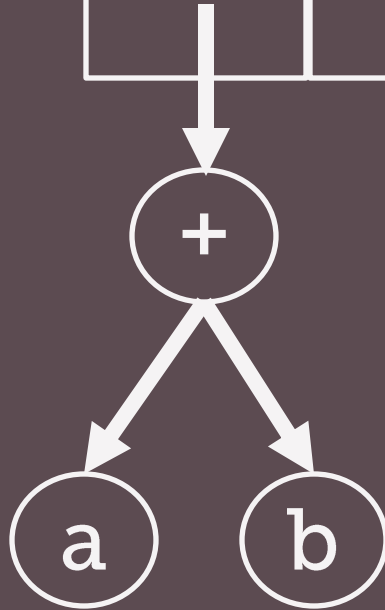
$a b + c d e + * *$



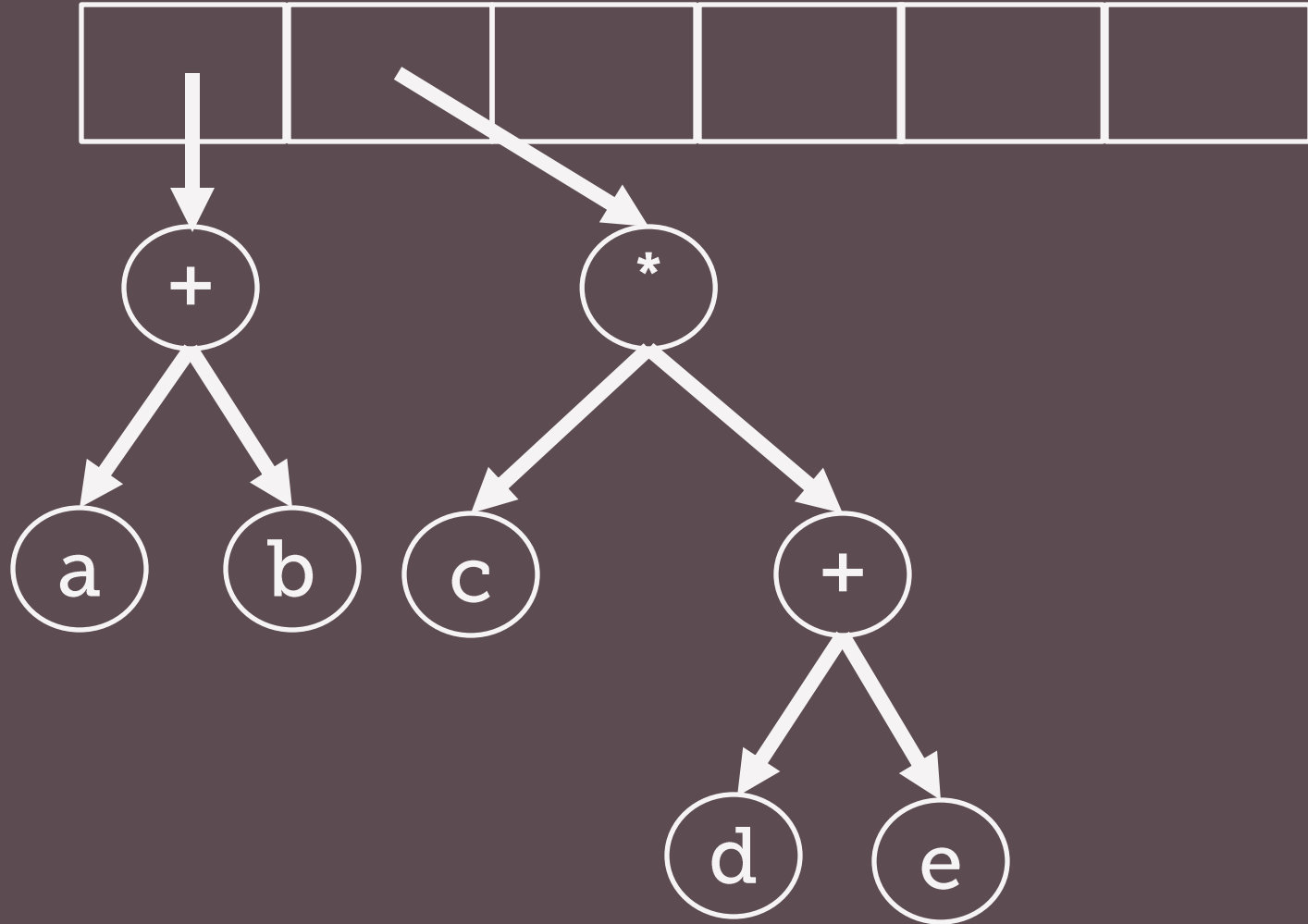
$a b + c d e + * *$



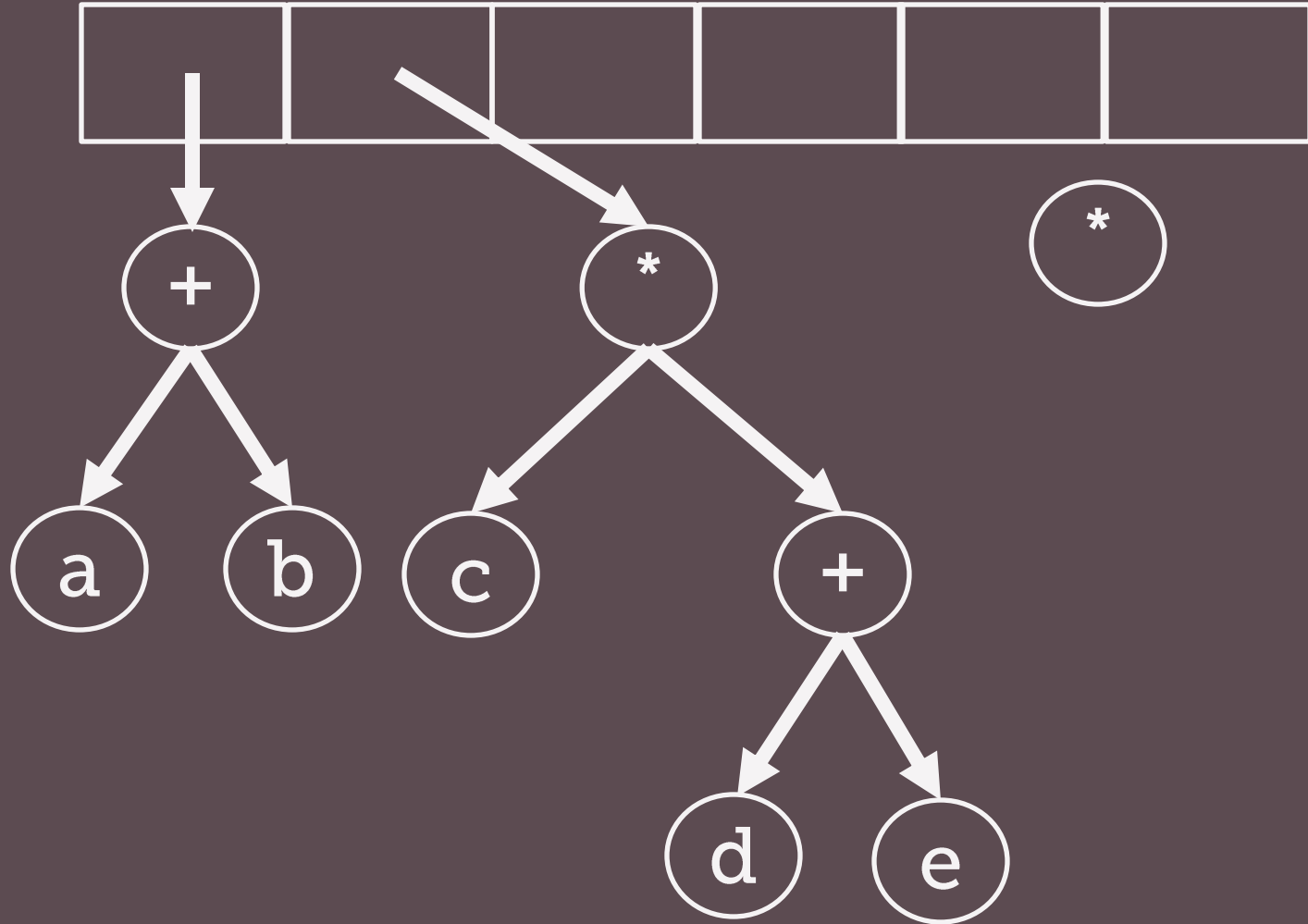
$ab + cde + **$



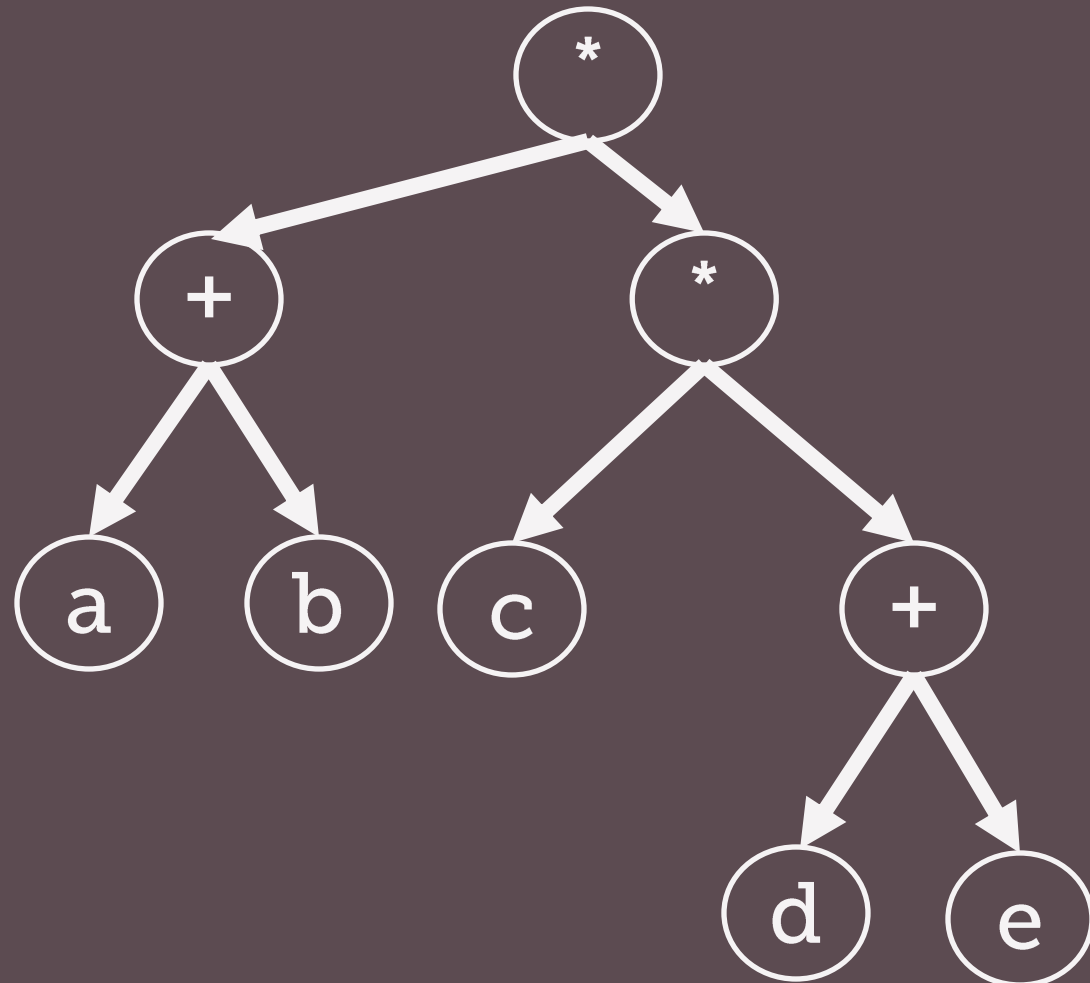
$ab + cde + **$



$ab + cde + **$

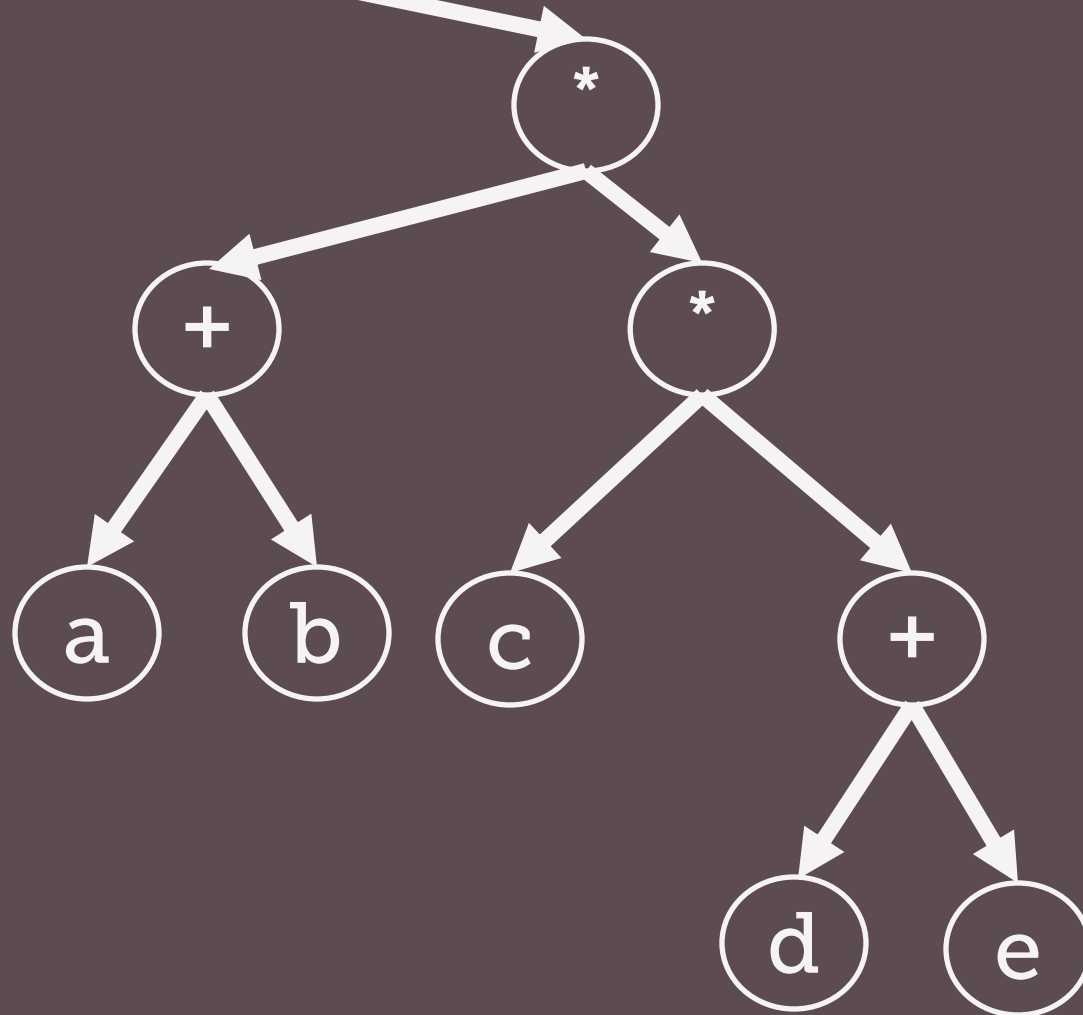


$ab + cde + **$





$ab + cde + **$



**SEARCH TREE ADT**

**BST**

**AVL**

**BST**

**BINARY SEARCH TREE**

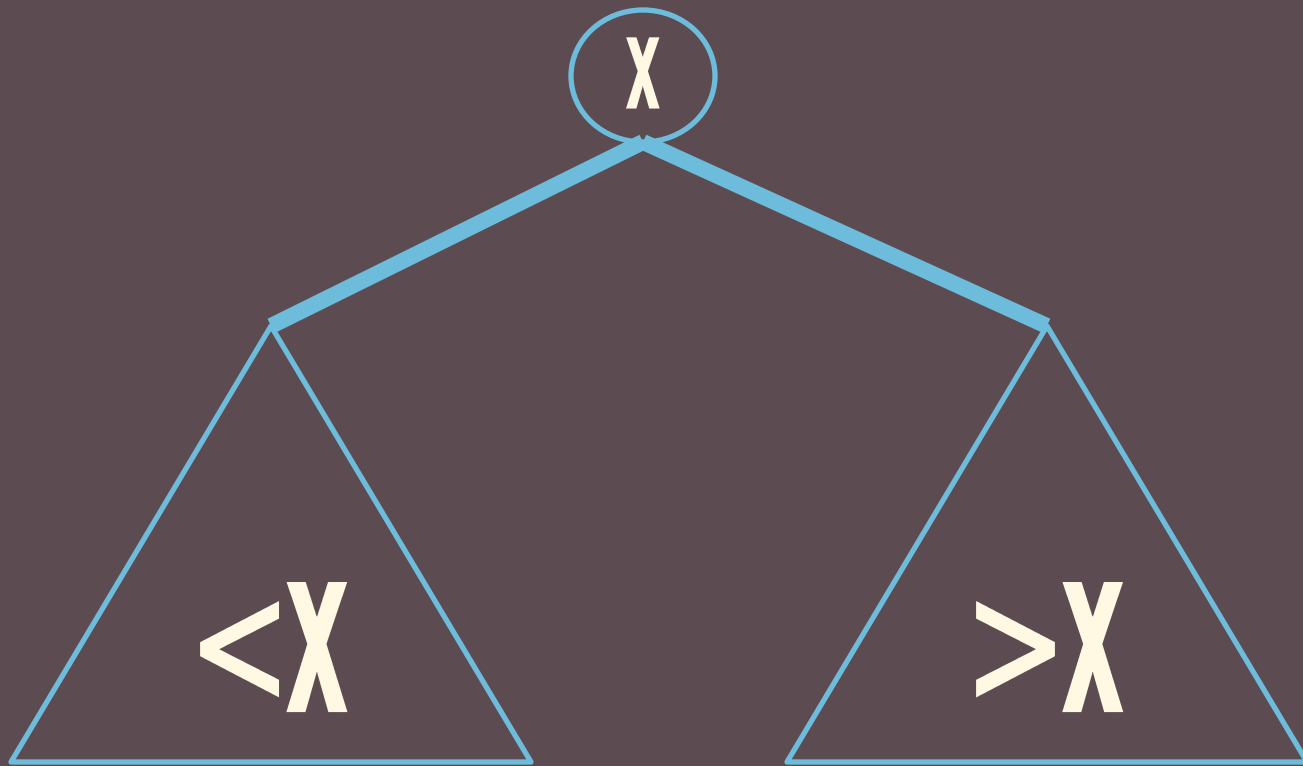


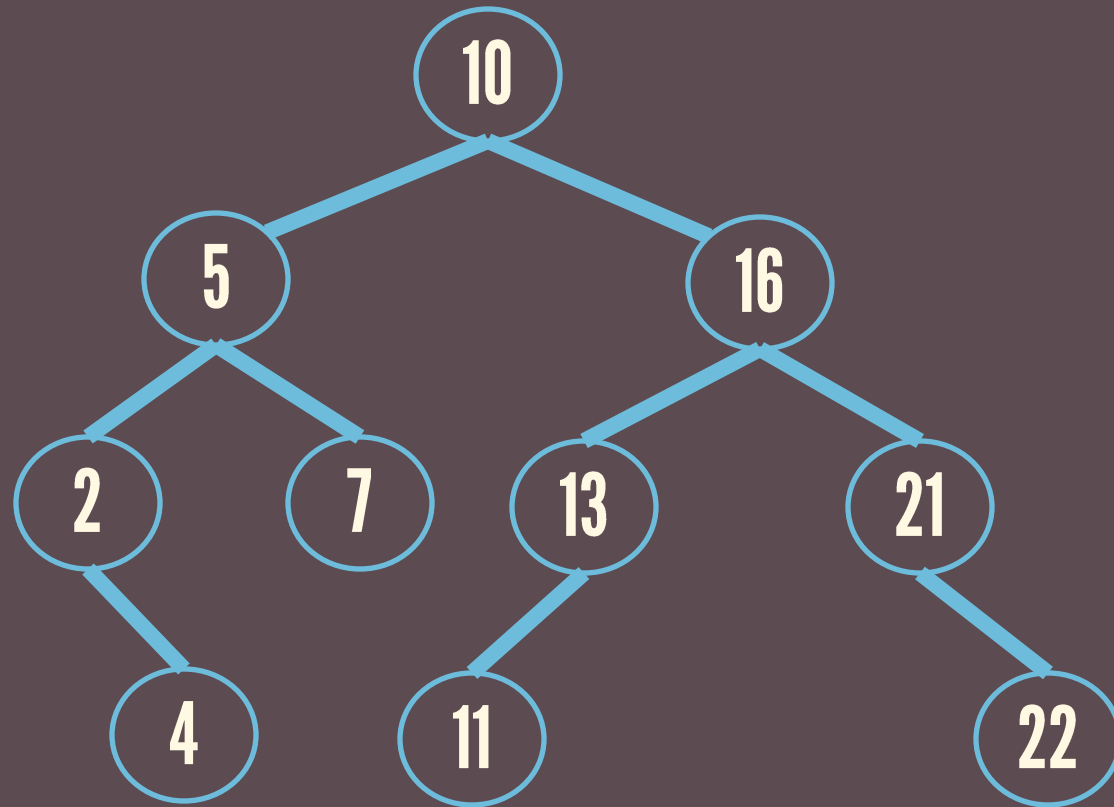
# BST

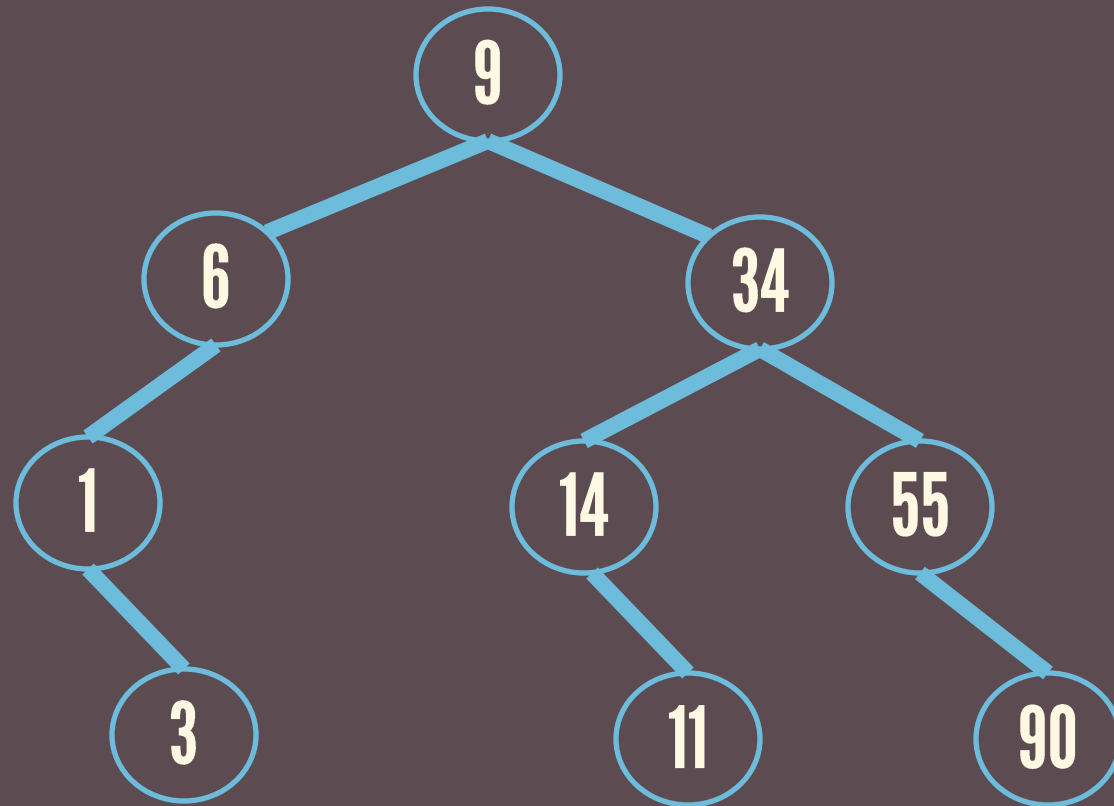
For every node,  $X$  in the tree,

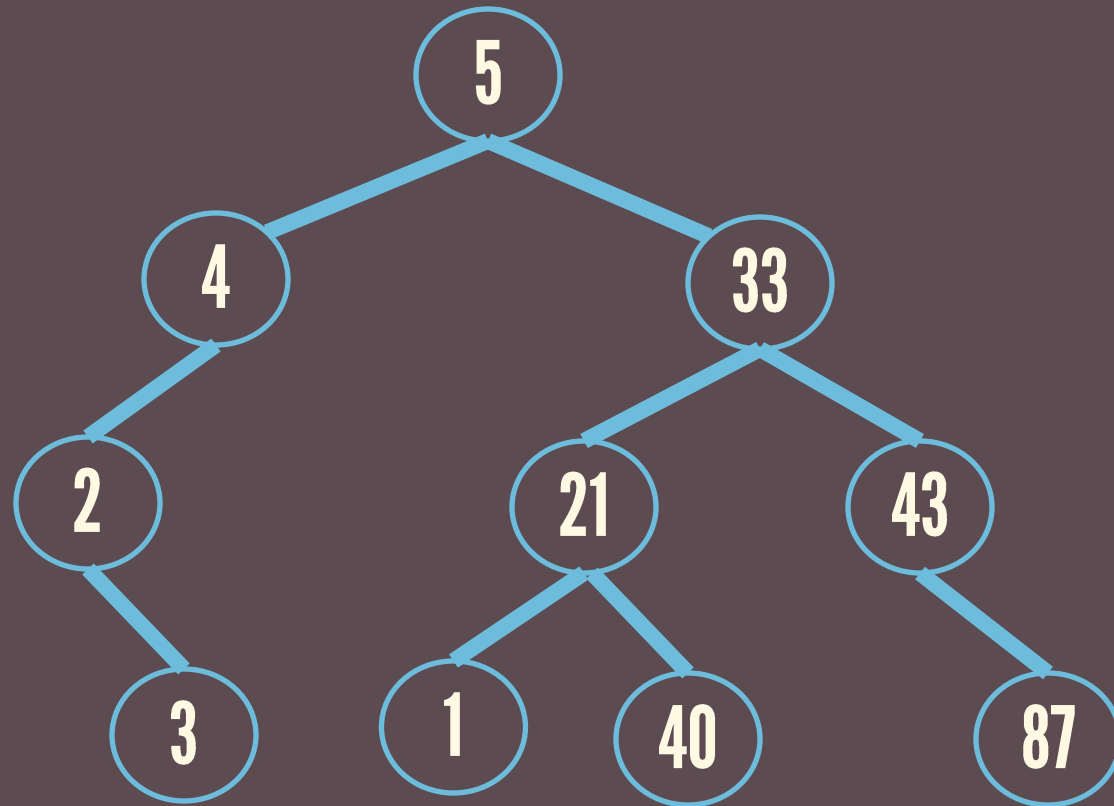
the values of all the keys in the left subtree are less than the key value in  $X$ ; and

the values of all the keys in the right subtree are larger than the key value in  $X$ .

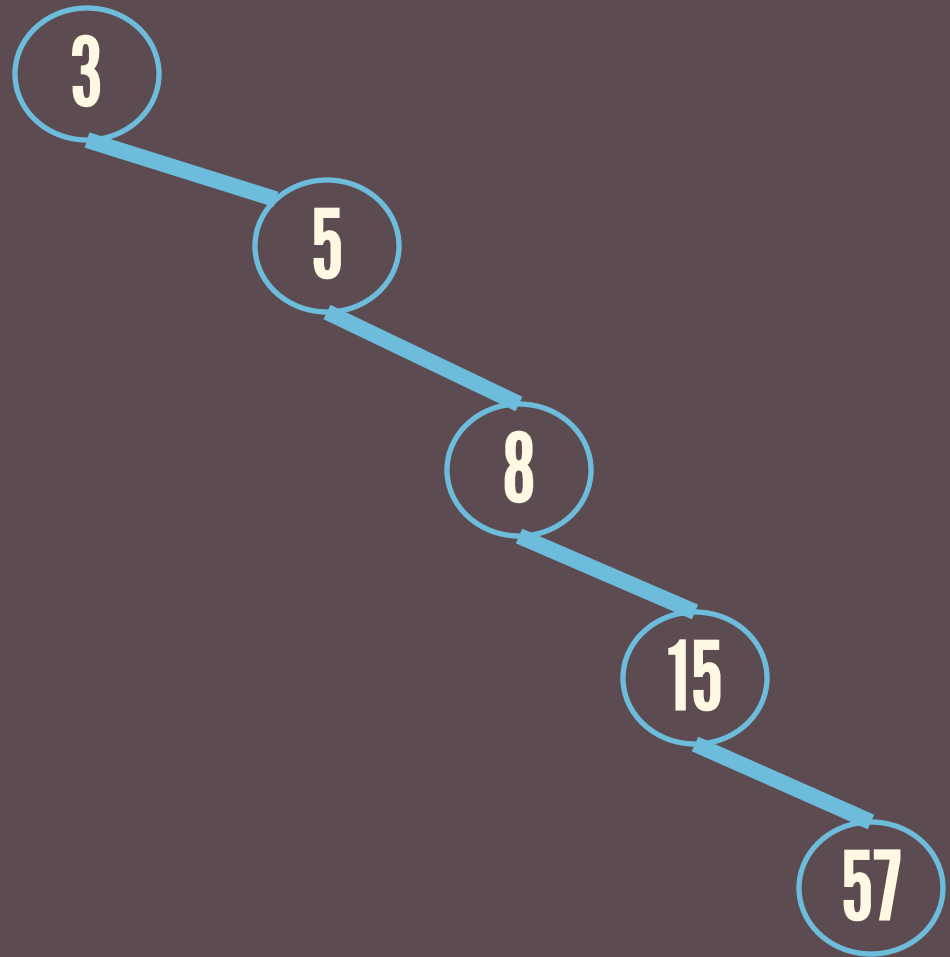












A teal square containing the text 'BST' in white, bold, sans-serif font.

**BST**

A teal square containing the text 'OPERATIONS' in white, bold, sans-serif font.

**OPERATIONS**

find

insert

delete

minimum

maximum

successor

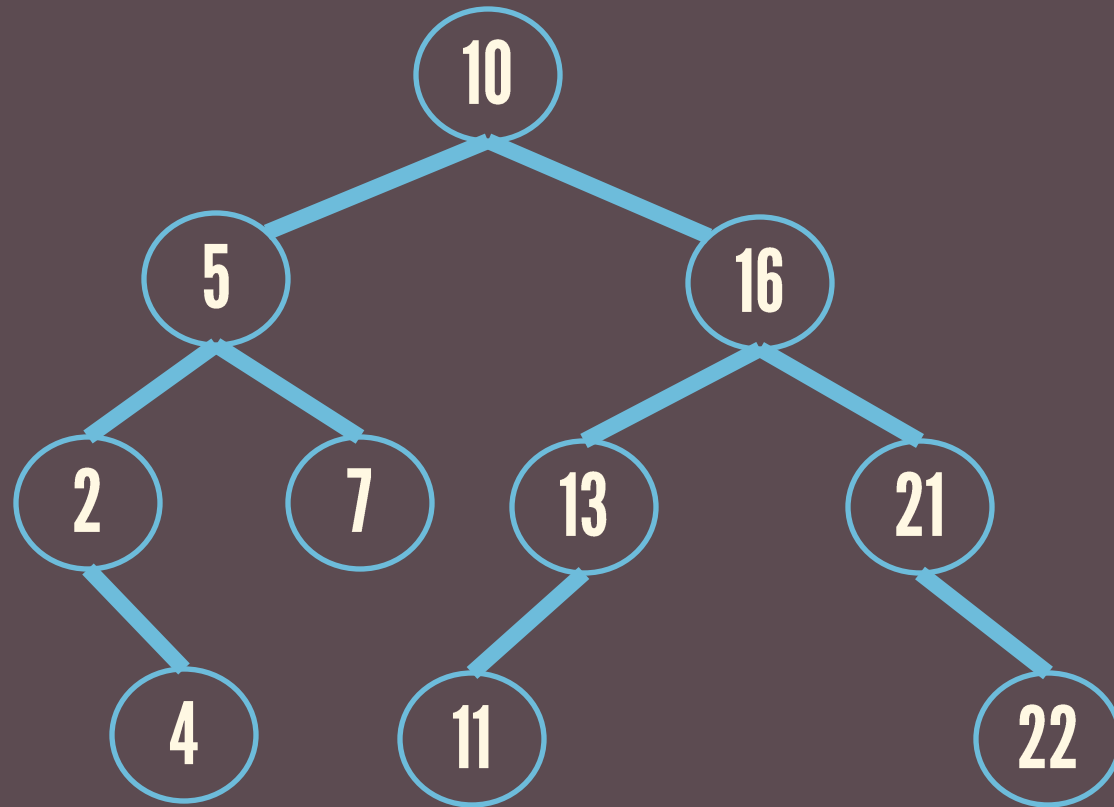
predecessor

# IMPLEMENTATIONS

recursive  
non-recursive

**IMPLEMENTATIONS**

`find()`



```
typedef struct node{  
    int data;  
    struct node *left;  
    struct node *right;  
}BST;
```

```
BST *t;
```

```
BST find(int x, BST *t){  
    if(t==NULL)  
        return NULL;  
    if(x < t->data)  
        return ( _____ );  
    if(x > t->data)  
        return ( _____ );  
    else  
        return t;  
}
```

```
BST find(int x, BST *t){  
    if(t==NULL)  
        return NULL;  
    if(x < t->data)  
        return (find(x, t->left) );  
    if(x > t->data)  
        return (find(x, t->right));  
    else  
        return t;  
}
```



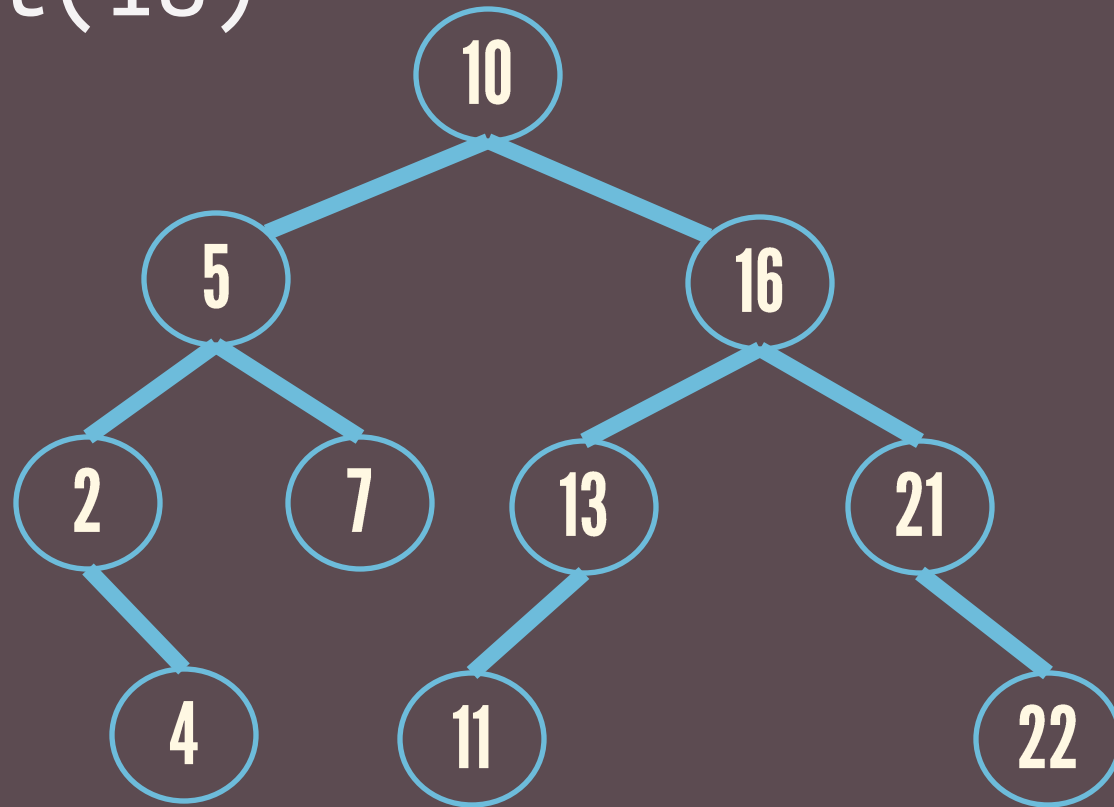
**IMPLEMENTATIONS**

`find()`

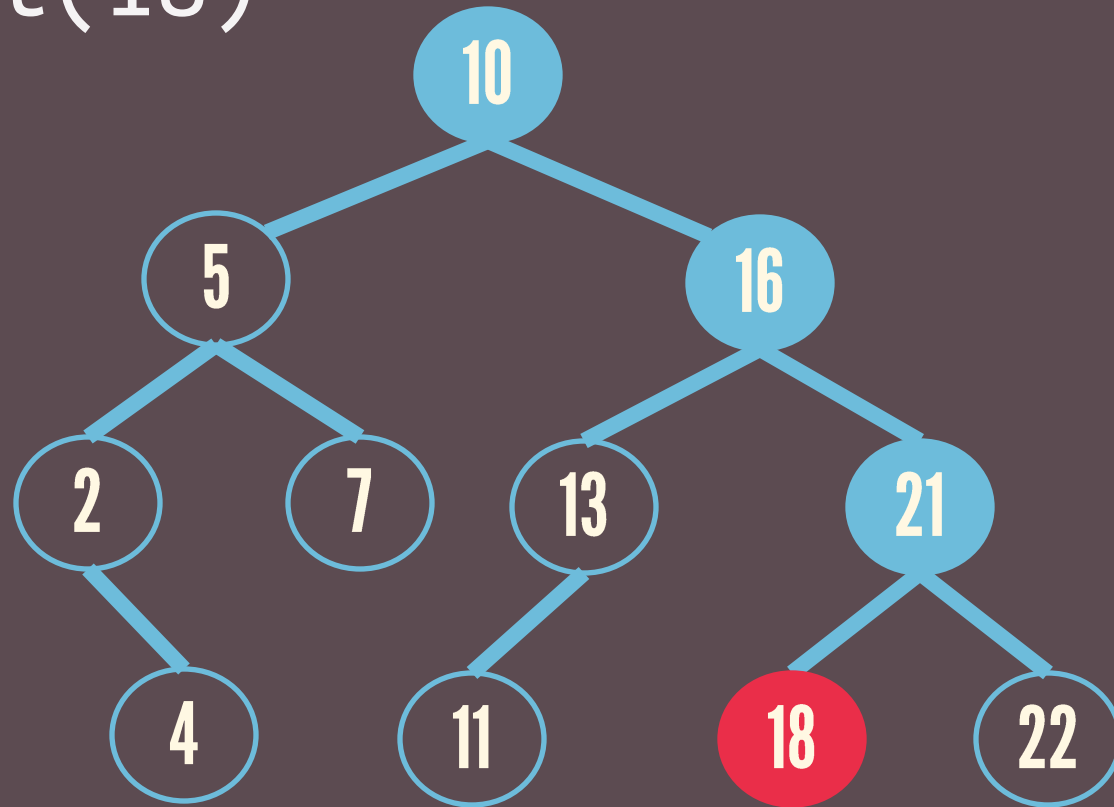
**IMPLEMENTATIONS**

`insert()`

insert(18)



insert(18)



**IMPLEMENTATIONS**

`minimum()`

**IMPLEMENTATIONS**

`maximum()`

**IMPLEMENTATIONS**

predecessor()

**IMPLEMENTATIONS**

successor()



**IMPLEMENTATIONS**

`printBST()`

```
void printBST(BST *root,int tabs){  
    int i;  
    if(root!=NULL){  
        printBST(root->right,tabs+1);  
        for(i=0;i<tabs;i++)  
            printf("\t");  
        printf("%3i\n",root->value);  
        printBST(root->left,tabs+1);  
    }  
}
```

**IMPLEMENTATIONS**

`delete()`

**delete()**

3 cases

Node is a leaf

Node has one child

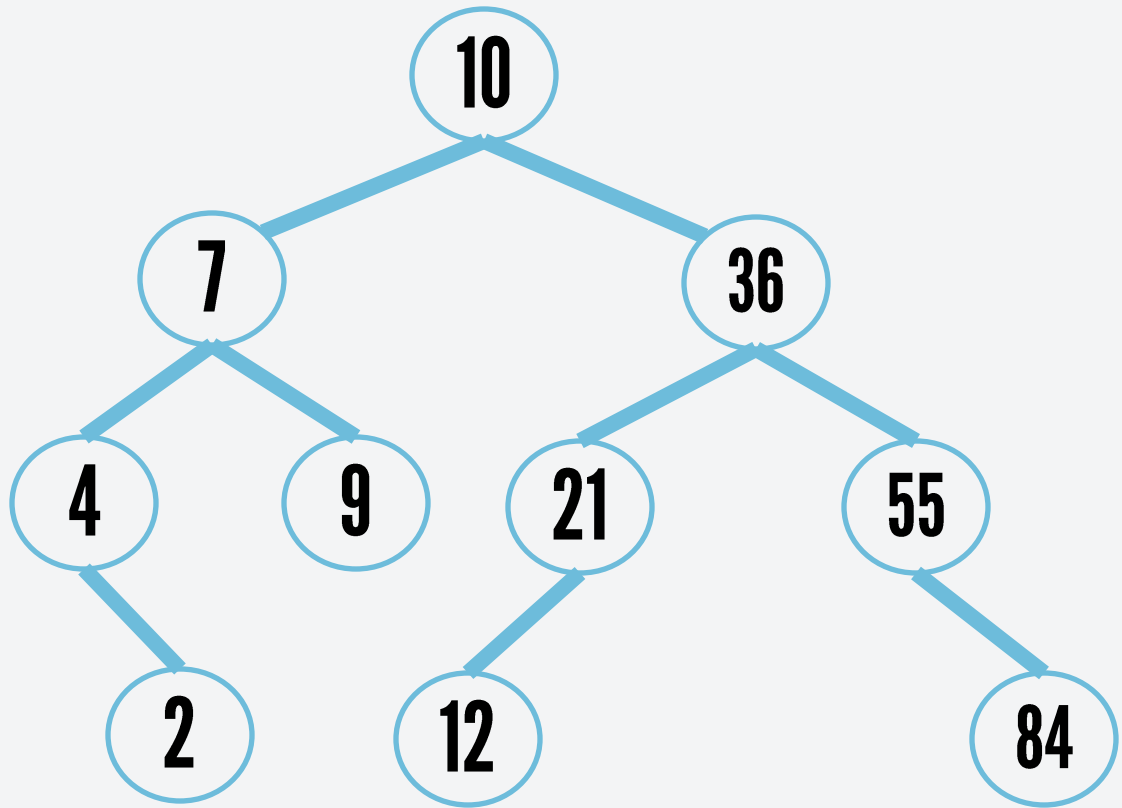
Node has two children

Node is a  
leaf

The node can be  
deleted immediately.

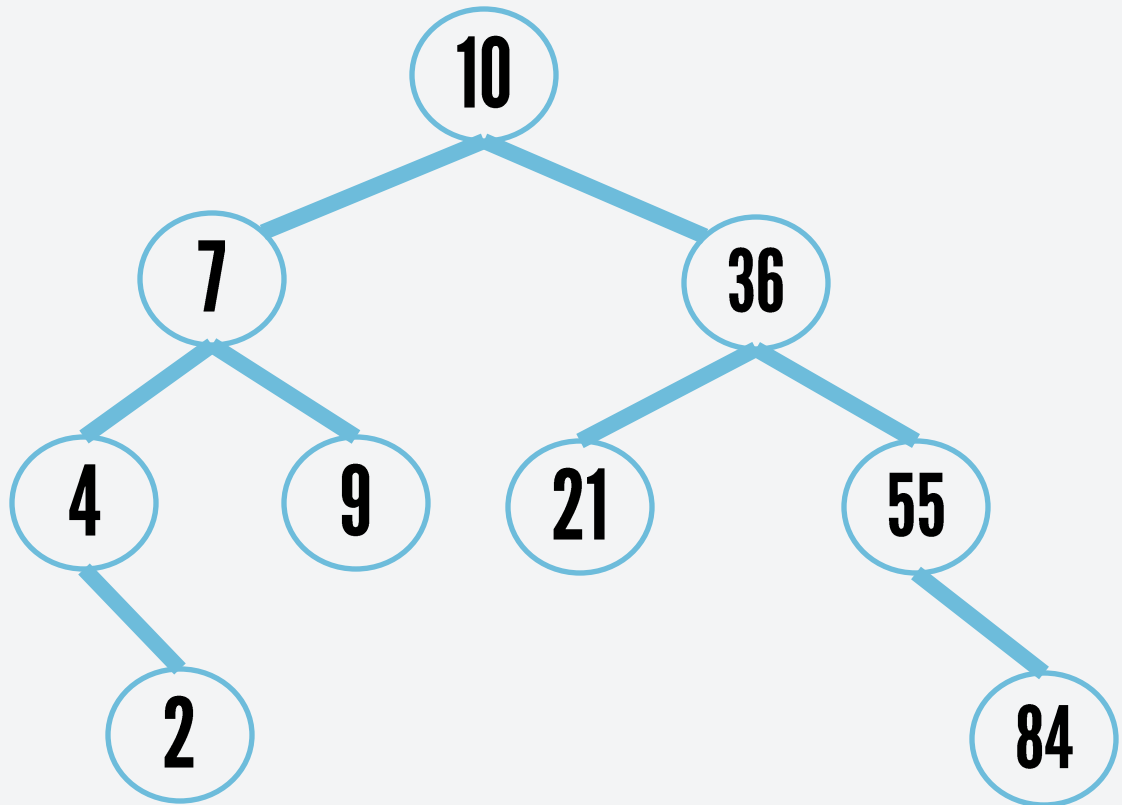
delete(12)

Node is a  
leaf



delete(12)

Node is a  
leaf



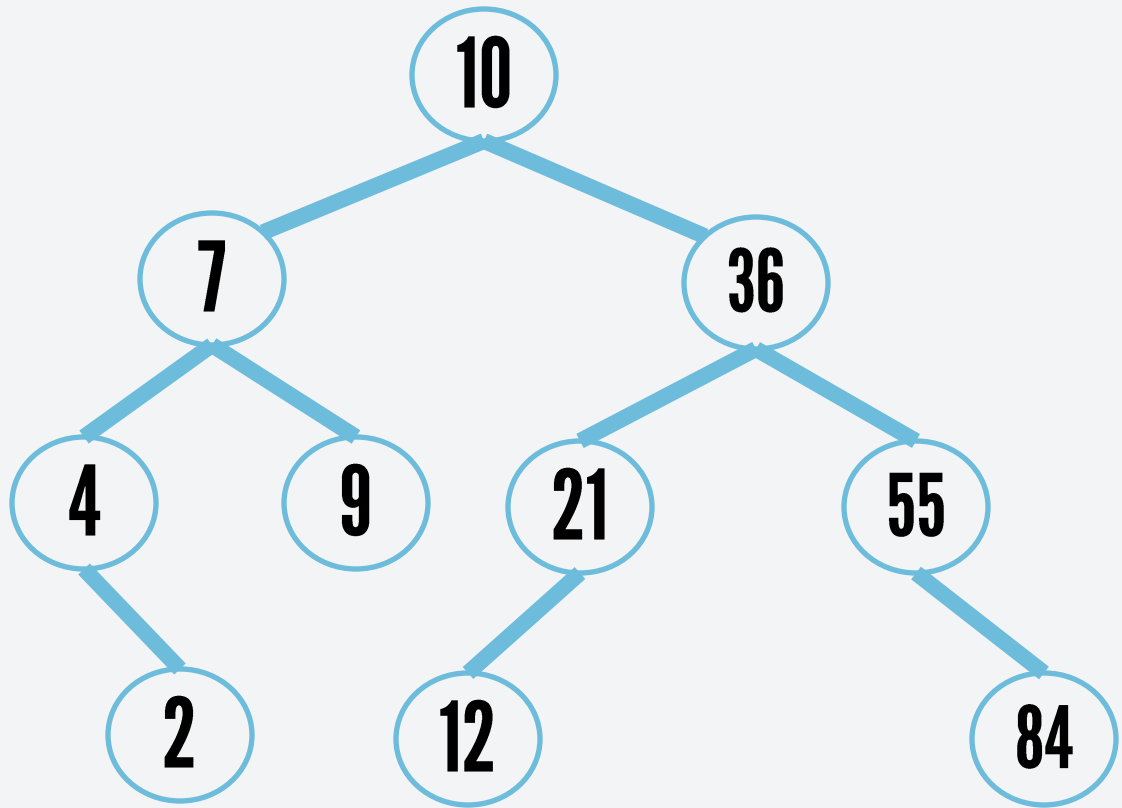
Node has  
one child

Its parent adjusts a  
pointer to bypass the  
node.



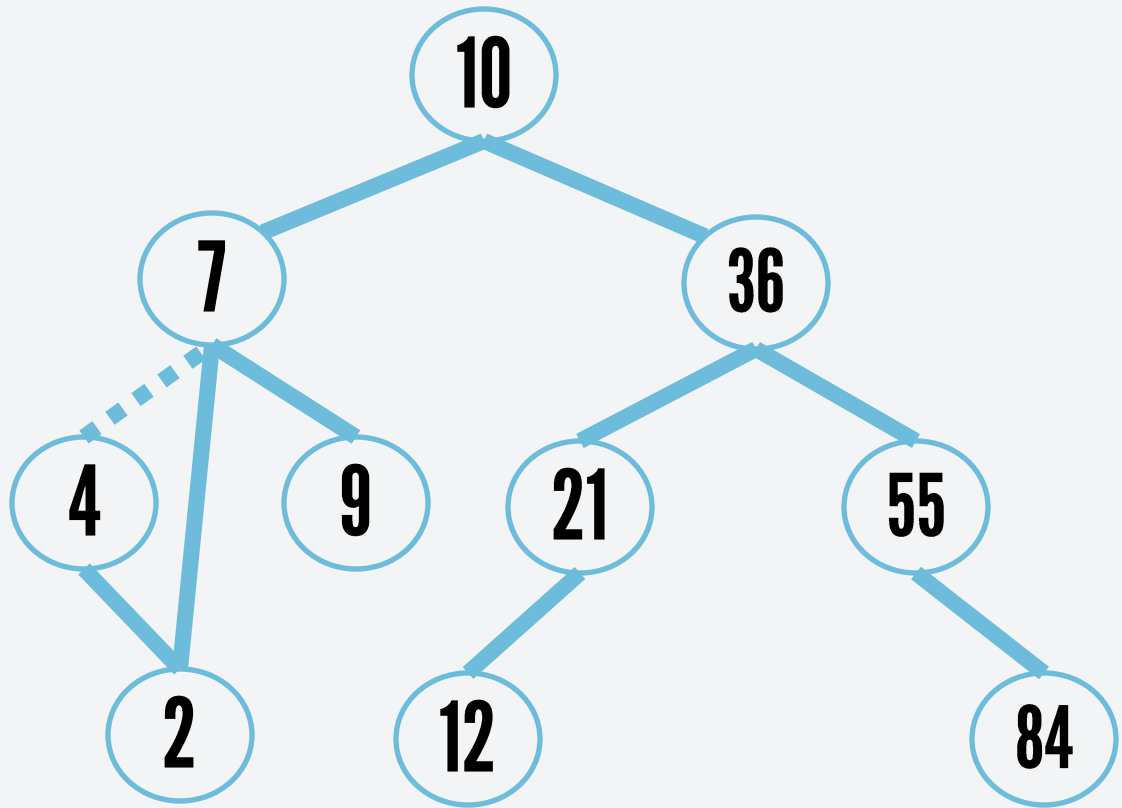
delete(4)

Node has  
one child



delete(4)

Node has  
one child



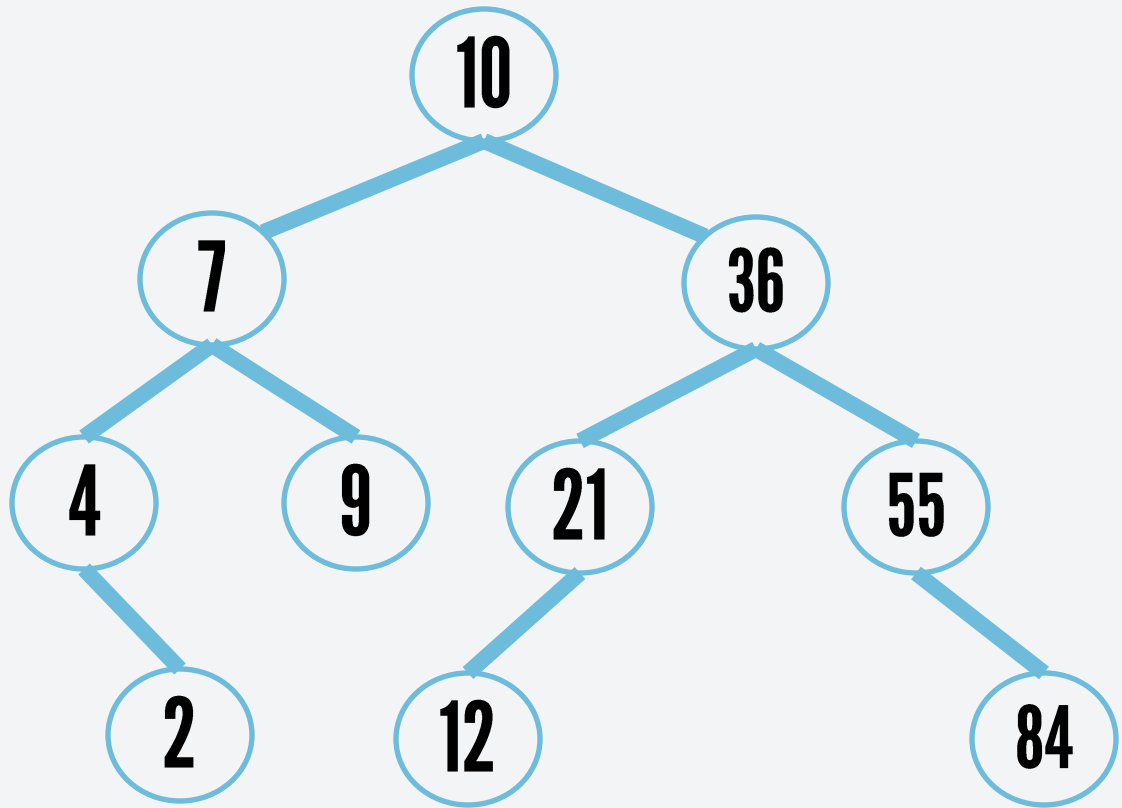
Node has  
two children

Replace this node with  
the smallest key of the  
right subtree.

Recursively delete this  
node.

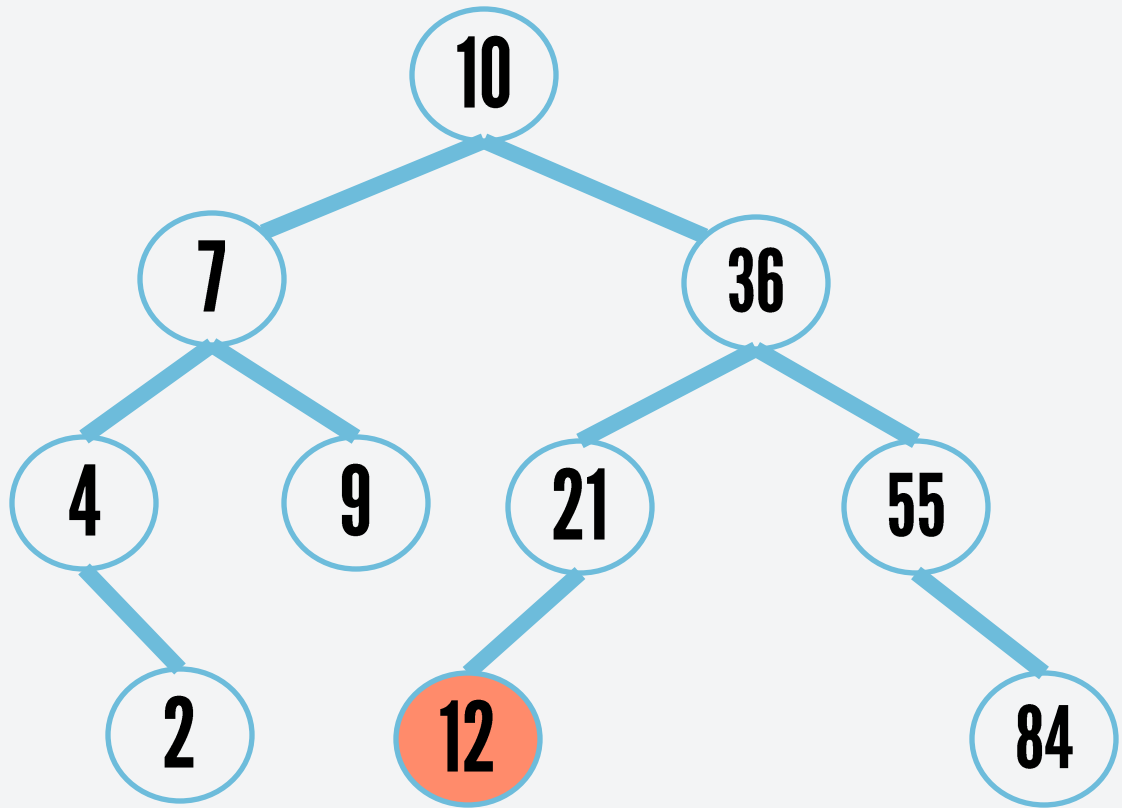
delete(10)

Node has  
two children



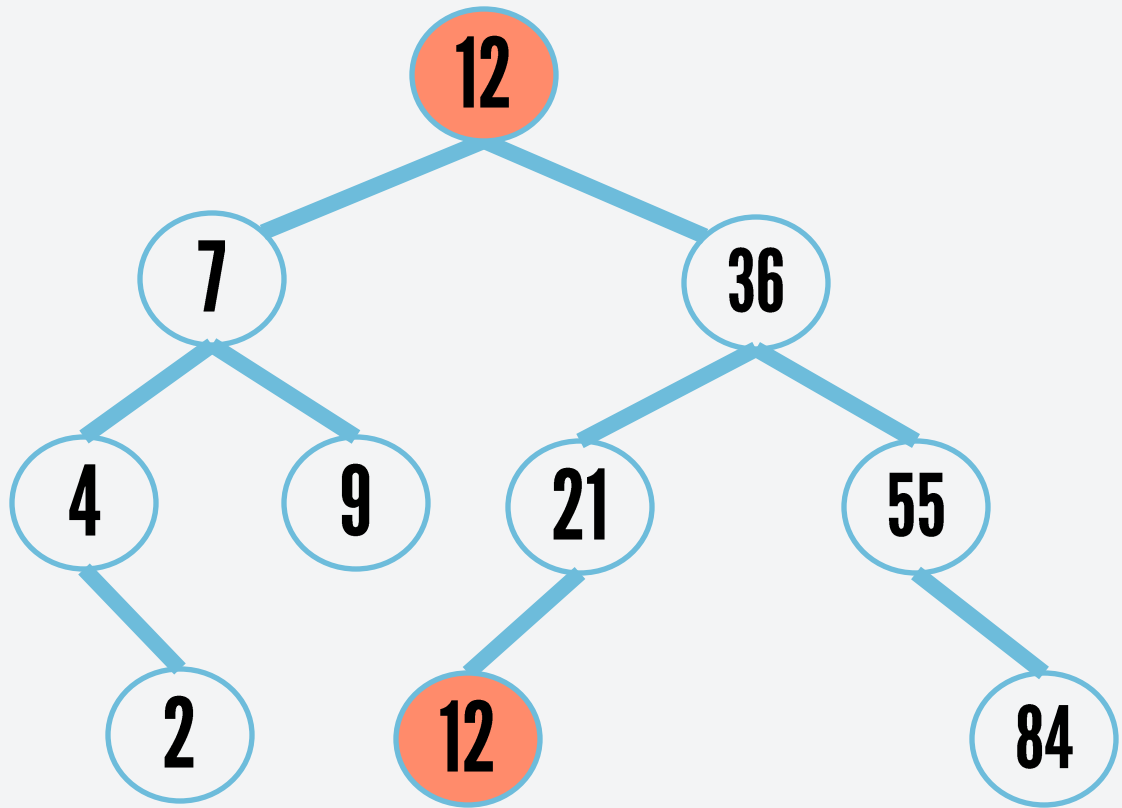
delete(10)

Node has  
two children



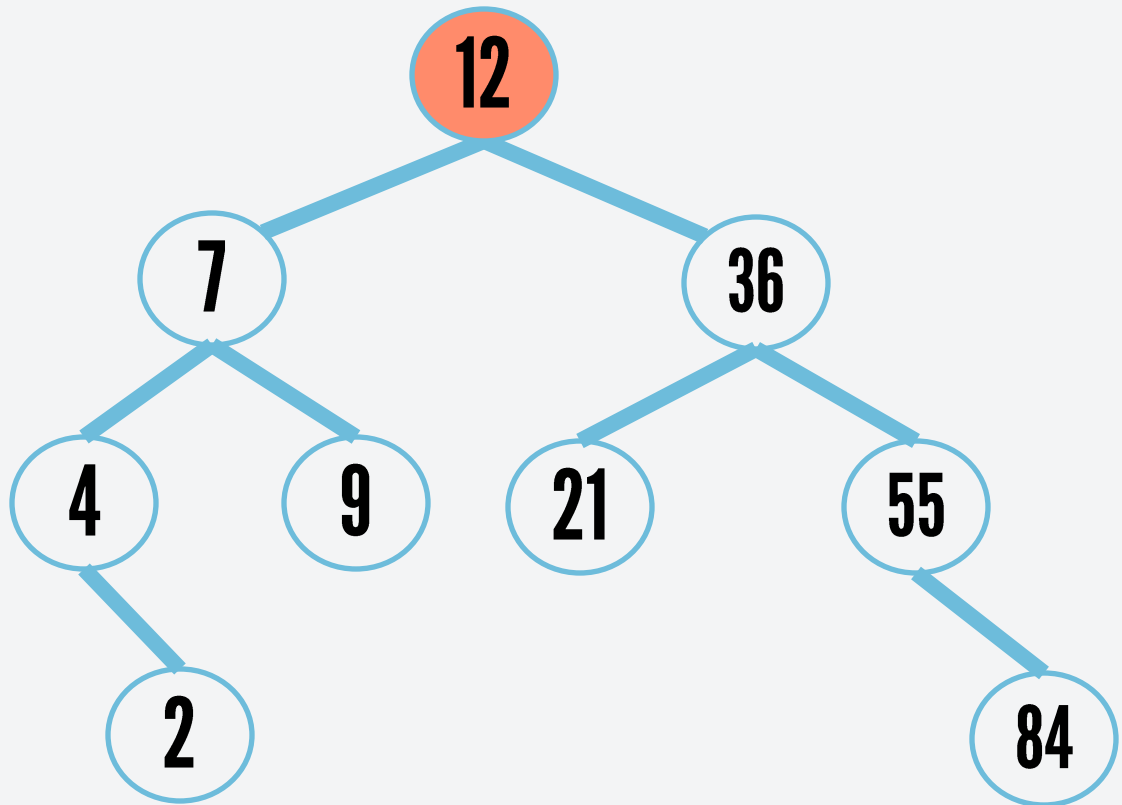
delete(10)

Node has  
two children



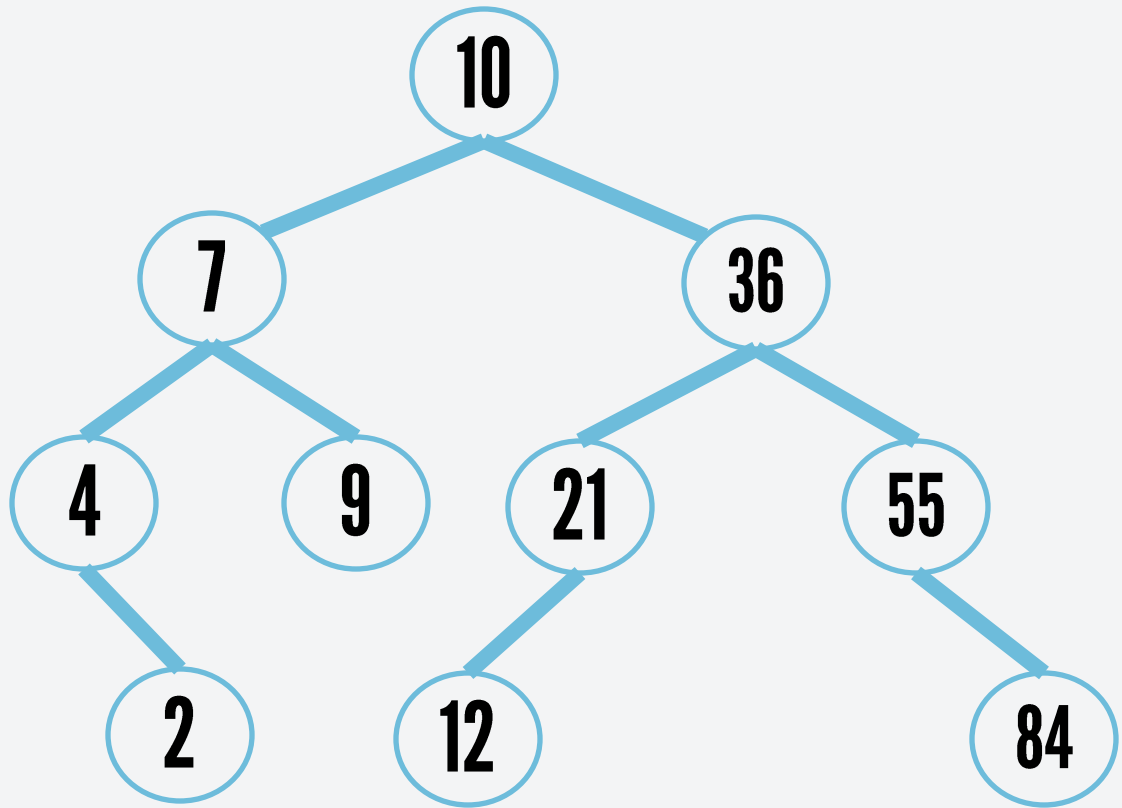
delete(10)

Node has  
two children



delete(36)

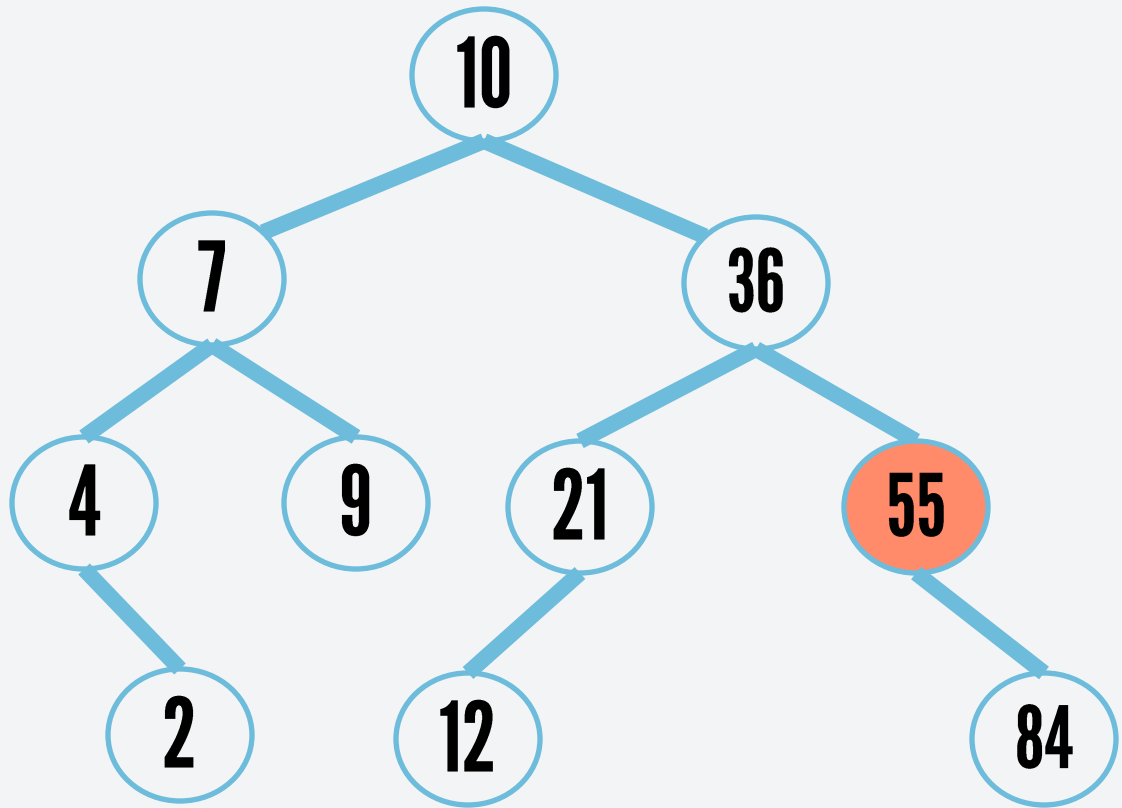
Node has  
two children





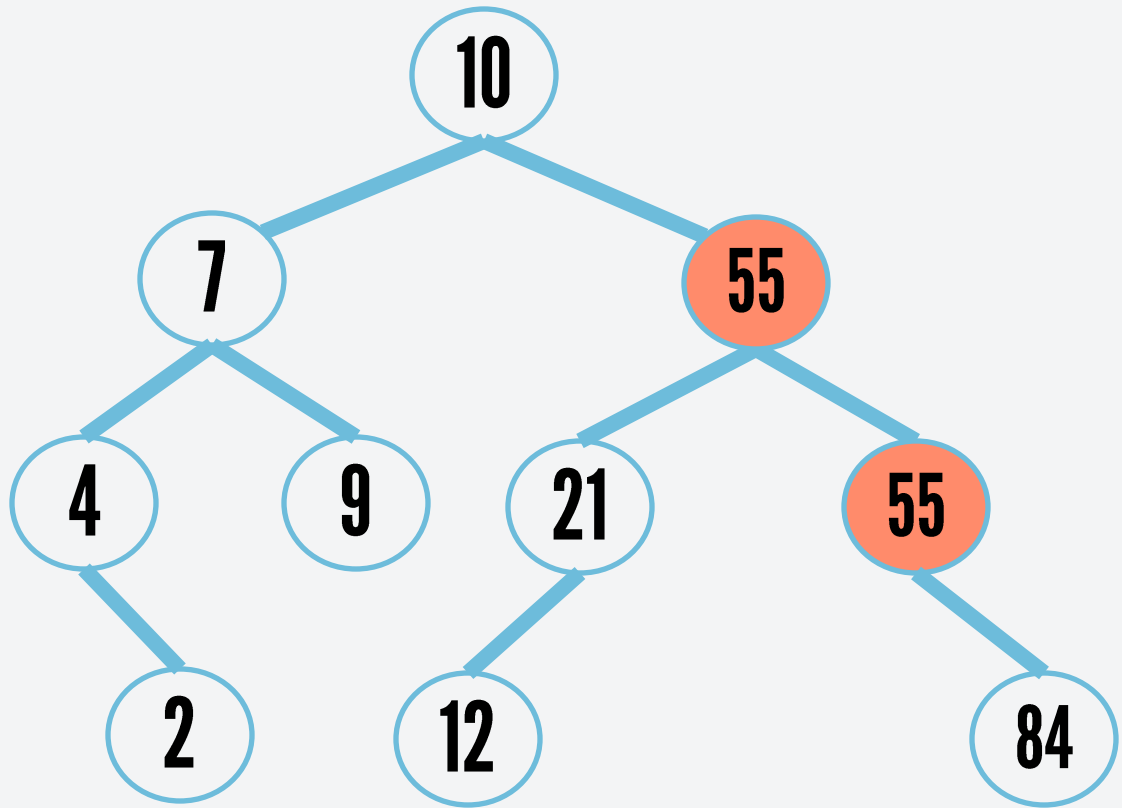
delete(36)

Node has  
two children



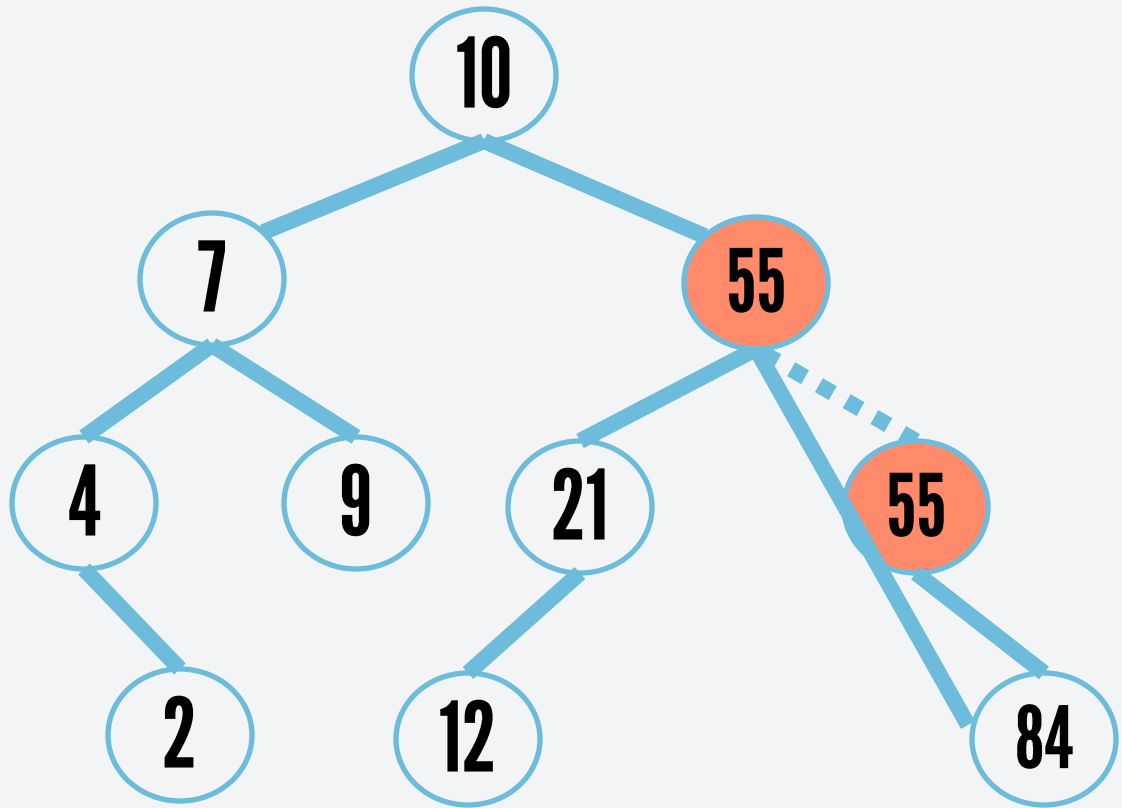
delete(36)

Node has  
two children



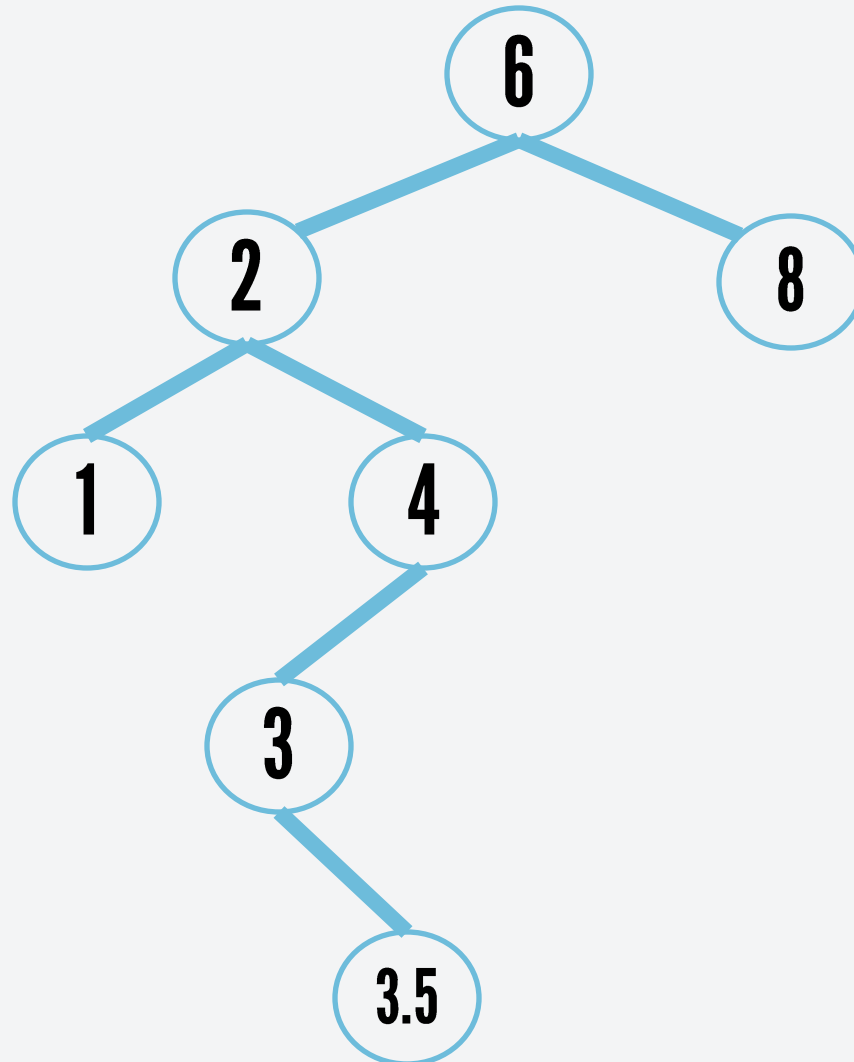
delete(36)

Node has  
two children



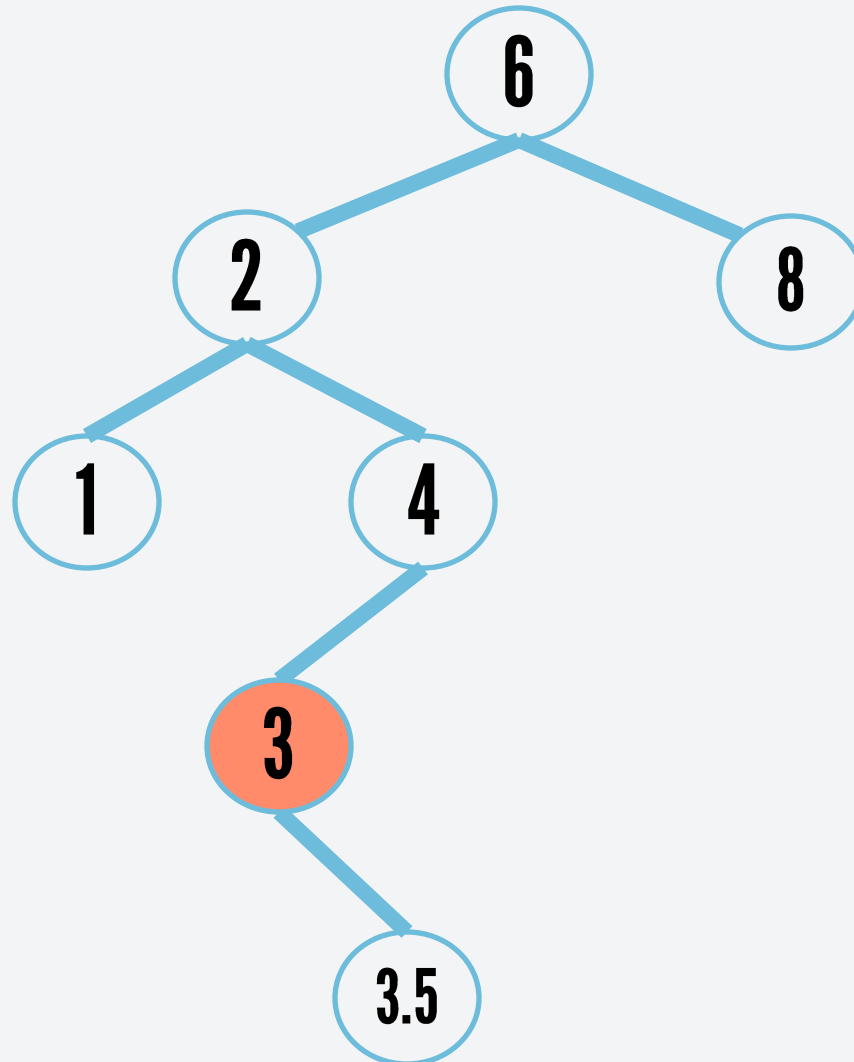
delete(2)

Node has  
two children



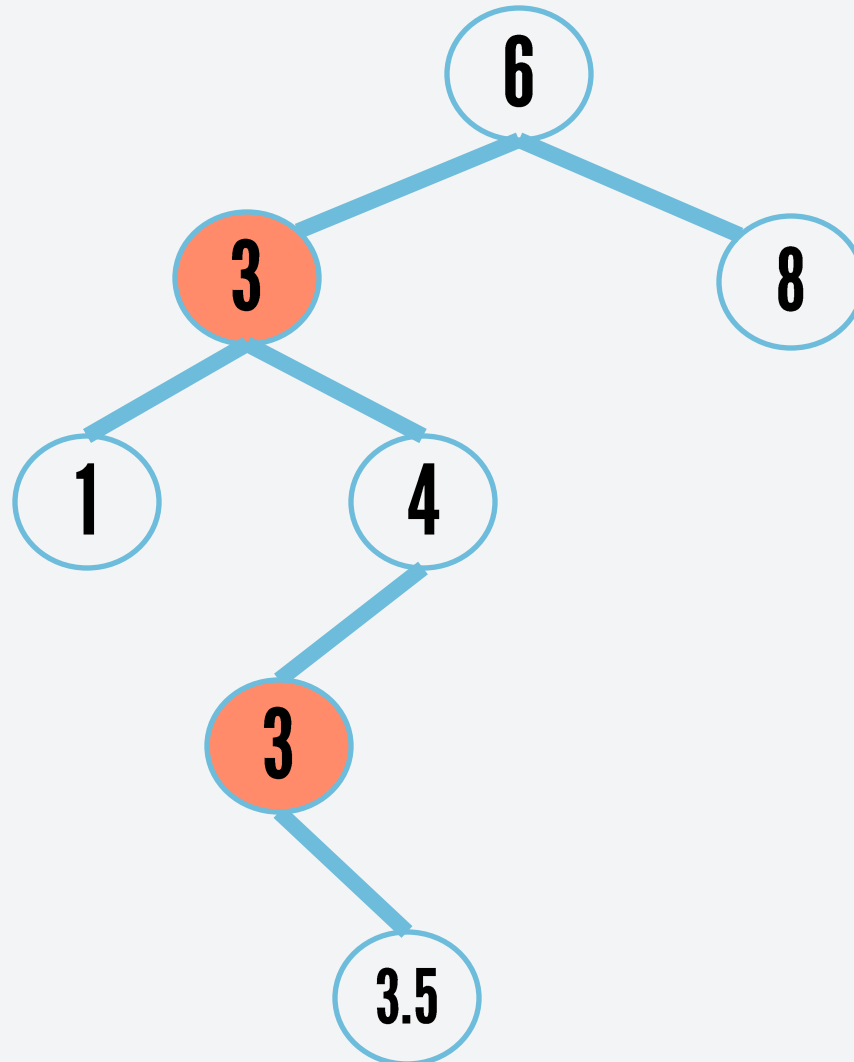
delete(2)

Node has  
two children



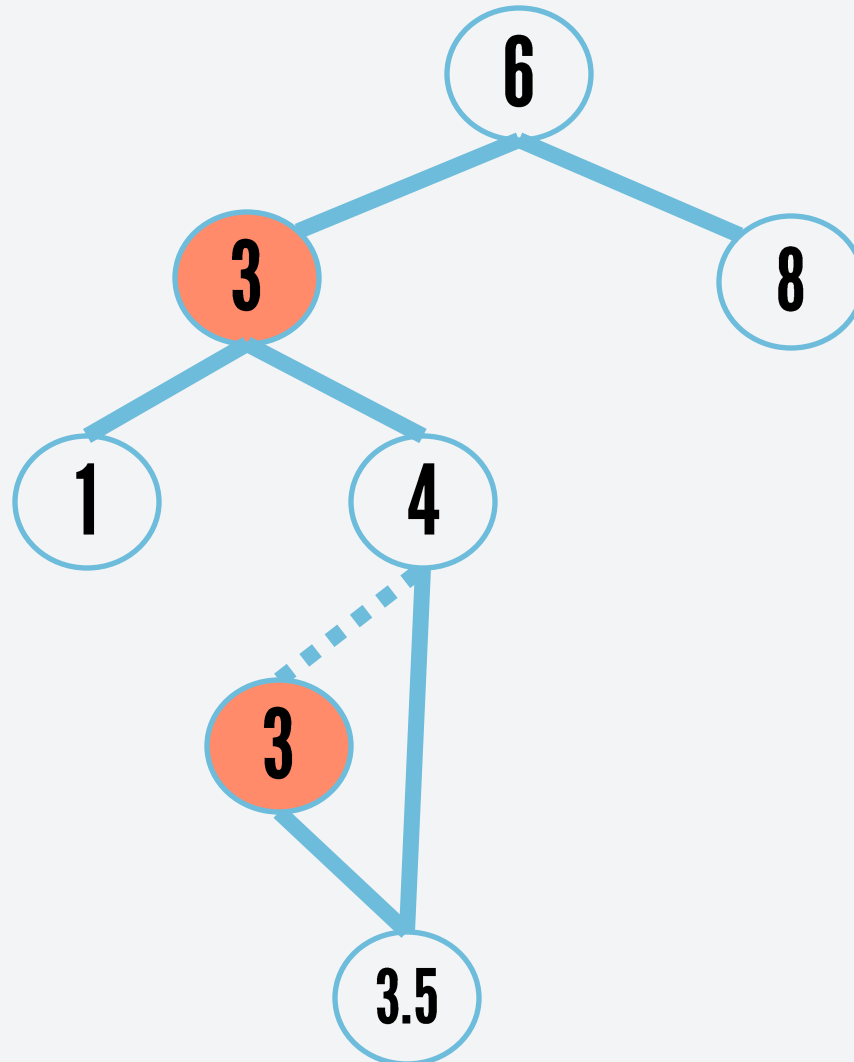
delete(2)

Node has  
two children



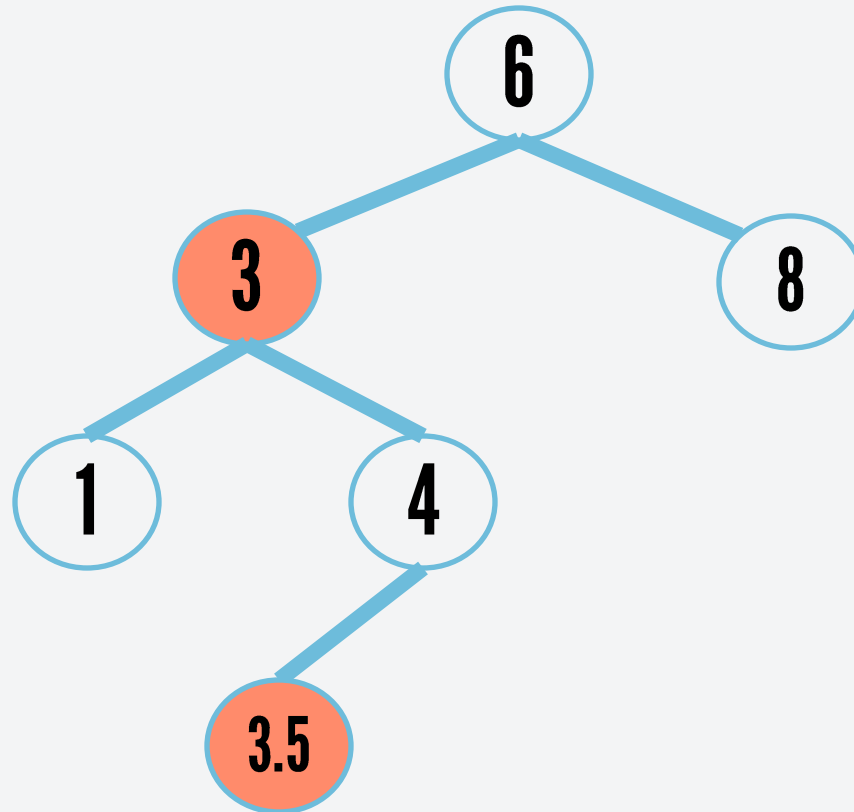
delete(2)

Node has  
two children



delete(2)

Node has  
two children





**AVL TREE**

**ADELSON-VELSKII  
AND LANDIS' TREE**

# AVL TREE

A binary search tree with a **balance** condition.

# AVL TREE

For every node in the tree, the height of its left and right subtrees can differ by at most 1.

# AVL TREE

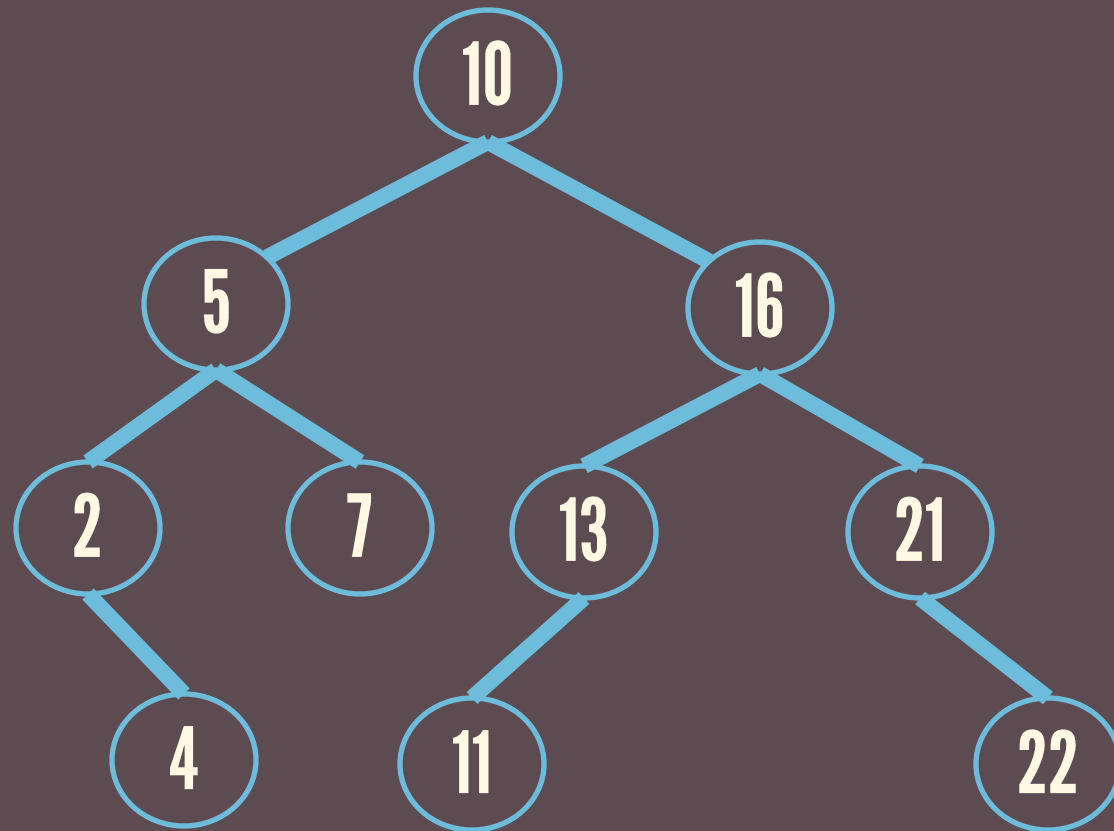
If anytime they differ by more than one, rebalancing is done to restore this property.

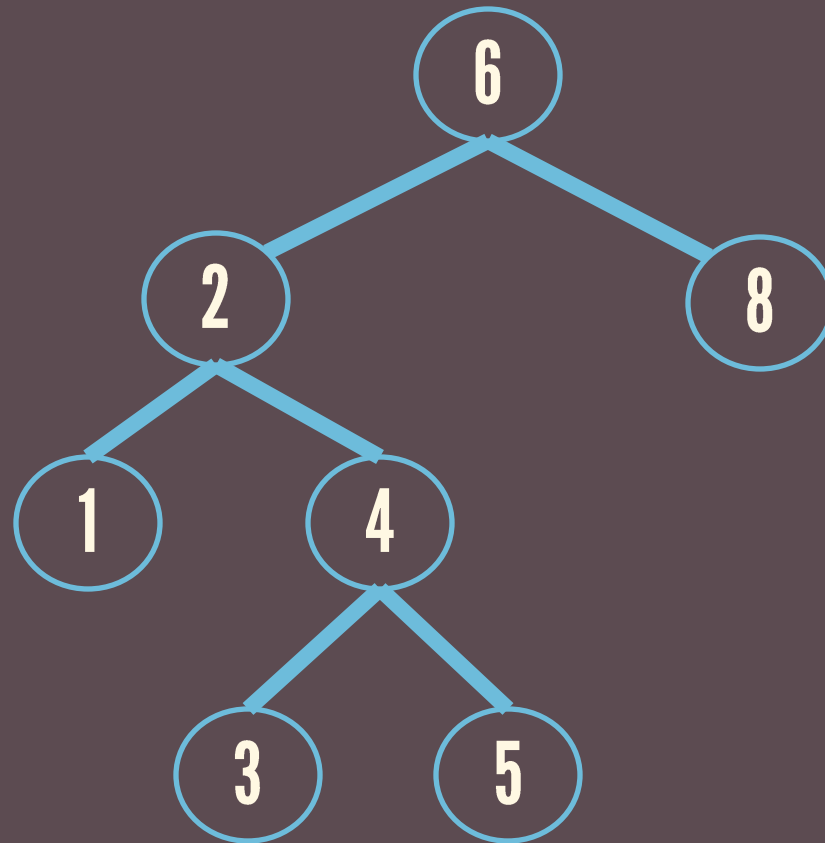
# NOTE

The height of an empty tree is -1.

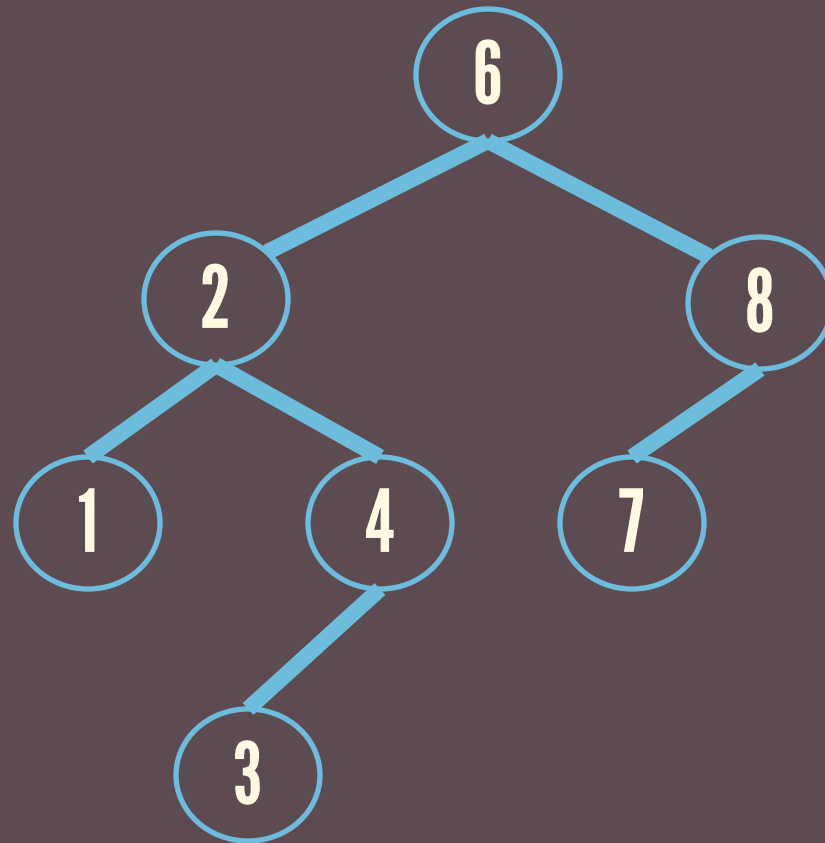
```
typedef struct node{  
    int data;  
    struct node *left;  
    struct node *right;  
    int height;  
}BST;
```

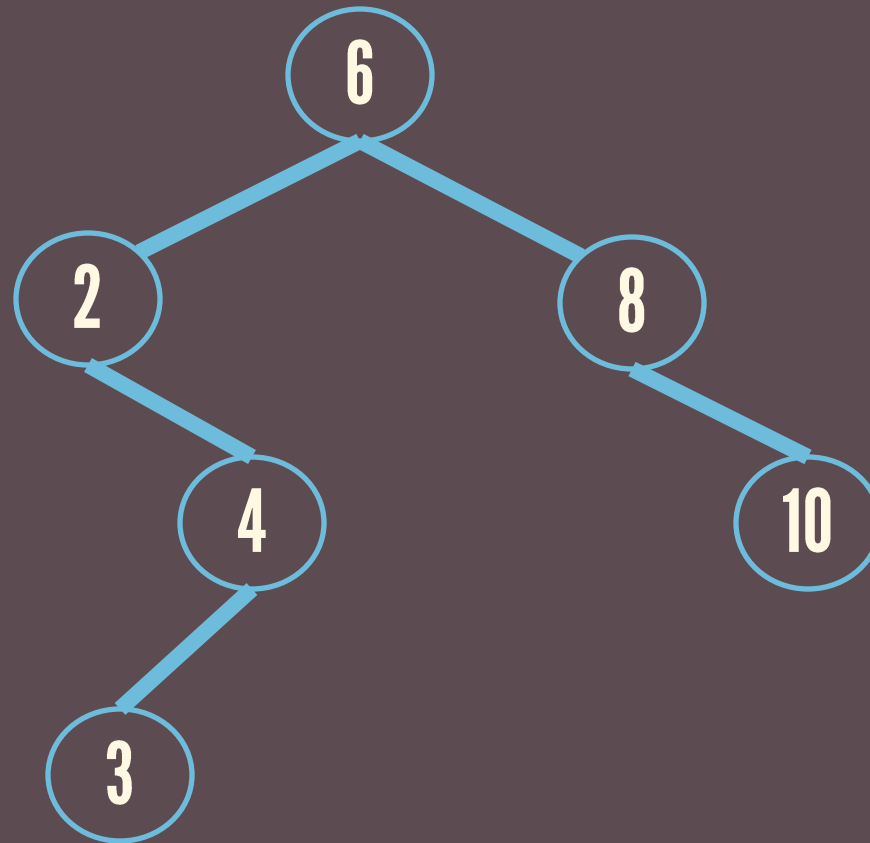
```
BST *t;
```

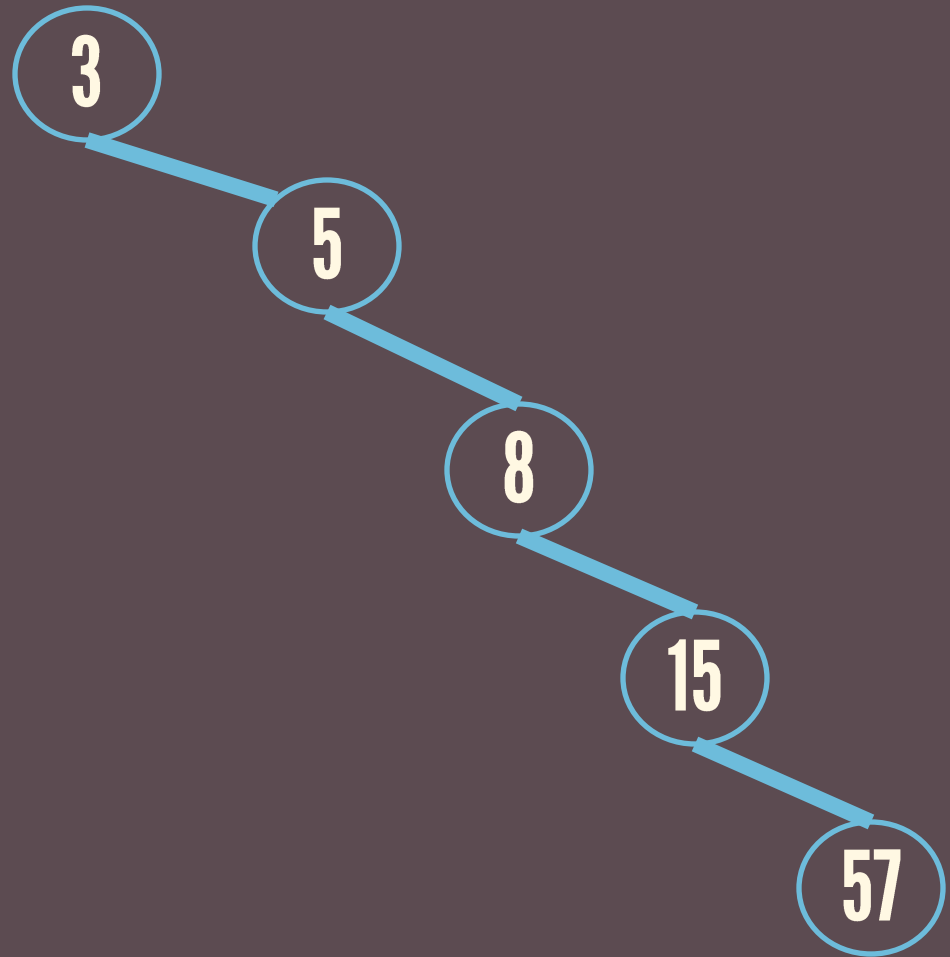


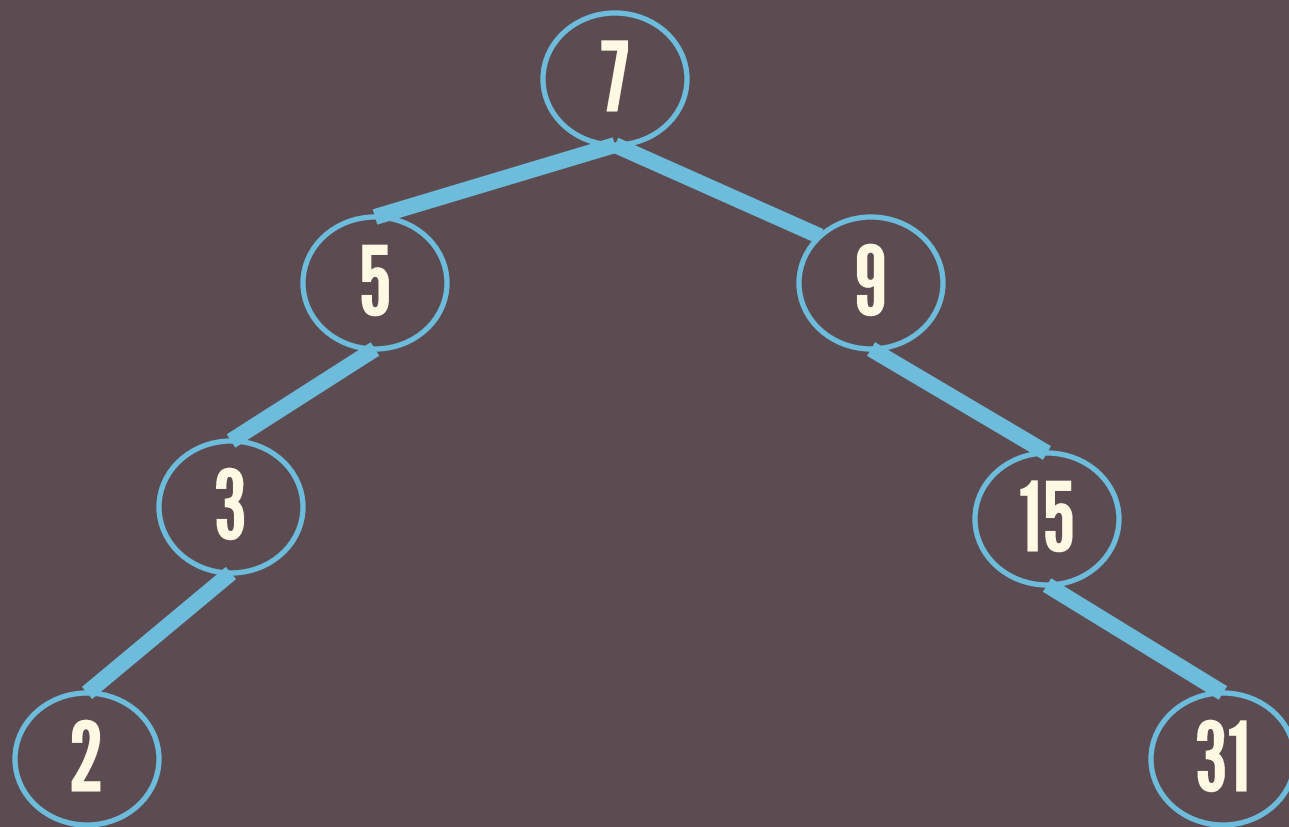












The logo consists of a solid red square containing the letters 'AVL' in a bold, white, sans-serif font.

**AVL**

The logo consists of a solid red rectangle containing the word 'OPERATIONS' in a bold, white, sans-serif font.

**OPERATIONS**

find

insert (with rotations)

delete (with rotations)

minimum

maximum

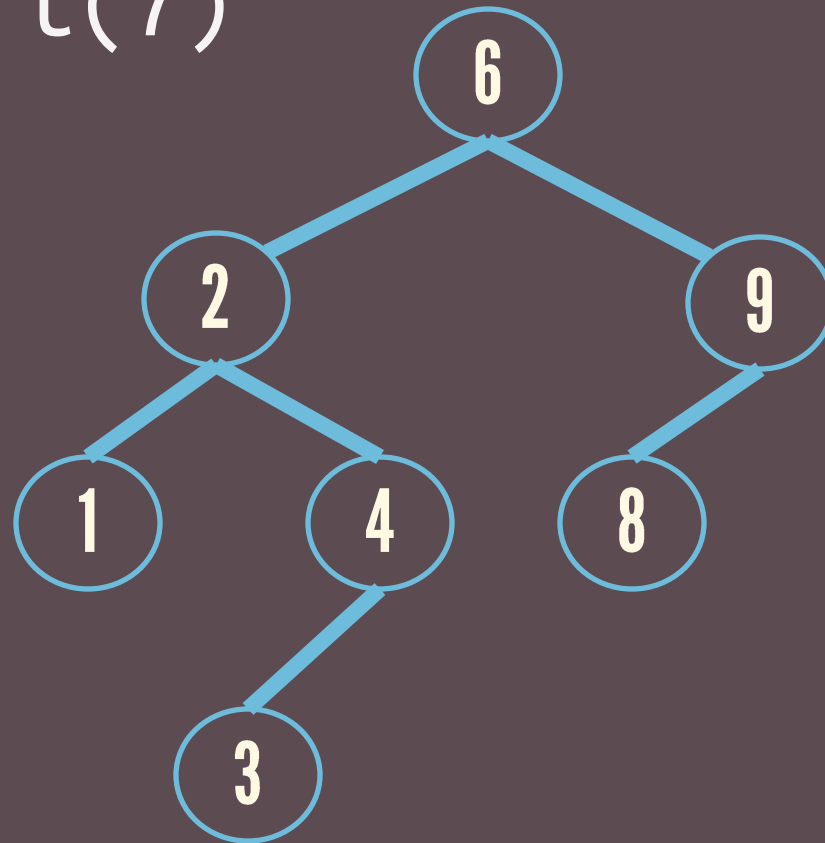
successor

predecessor

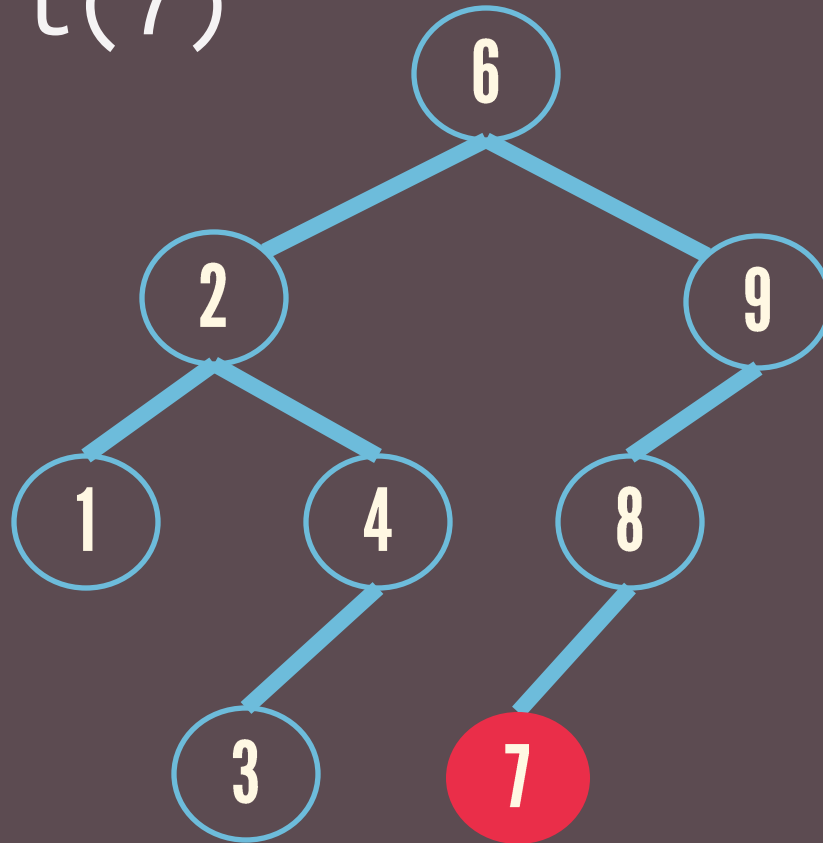
# ROTATIONS

Rotations are done to maintain the AVL property.

insert(7)

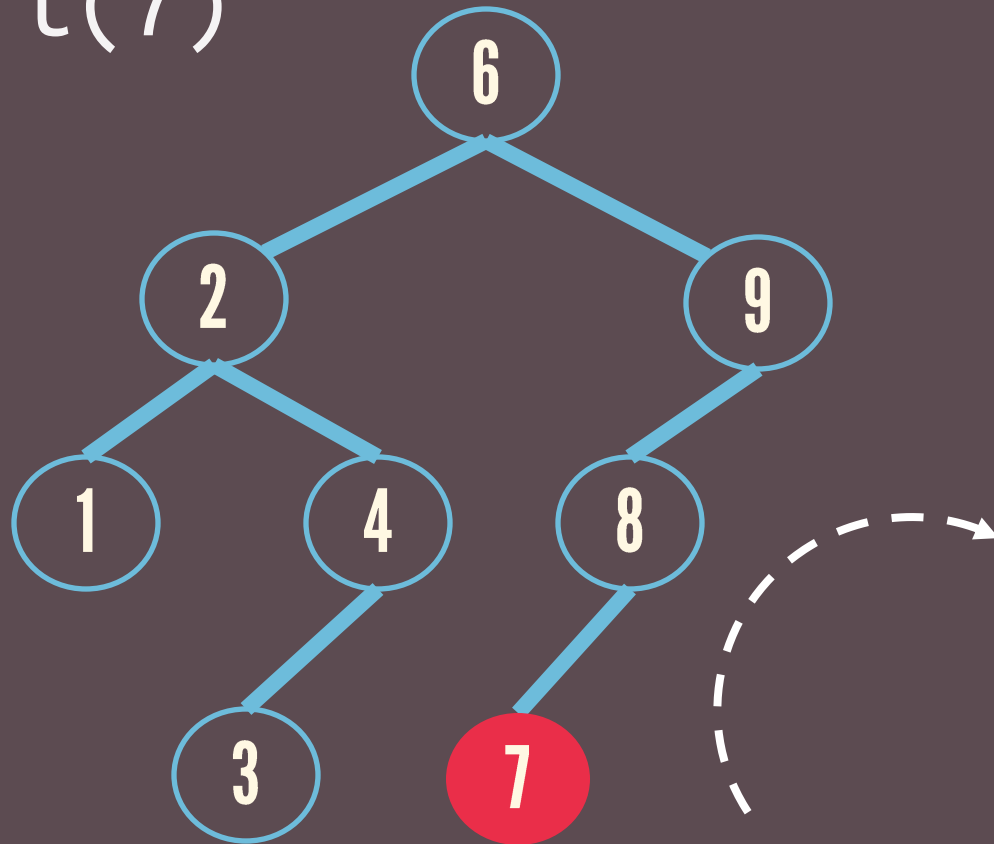


insert(7)

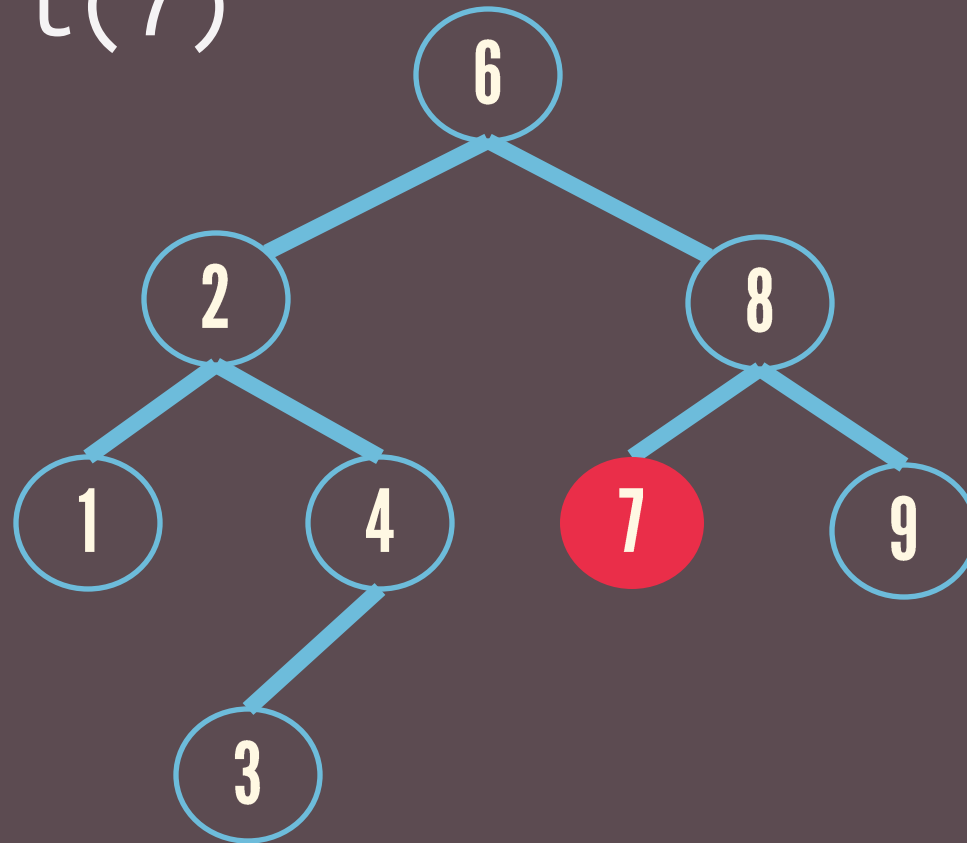




insert(7)



insert(7)



# ROTATIONS

INSERT OPERATION

Single:

Left Rotate

Right Rotate

# ROTATIONS

INSERT OPERATION

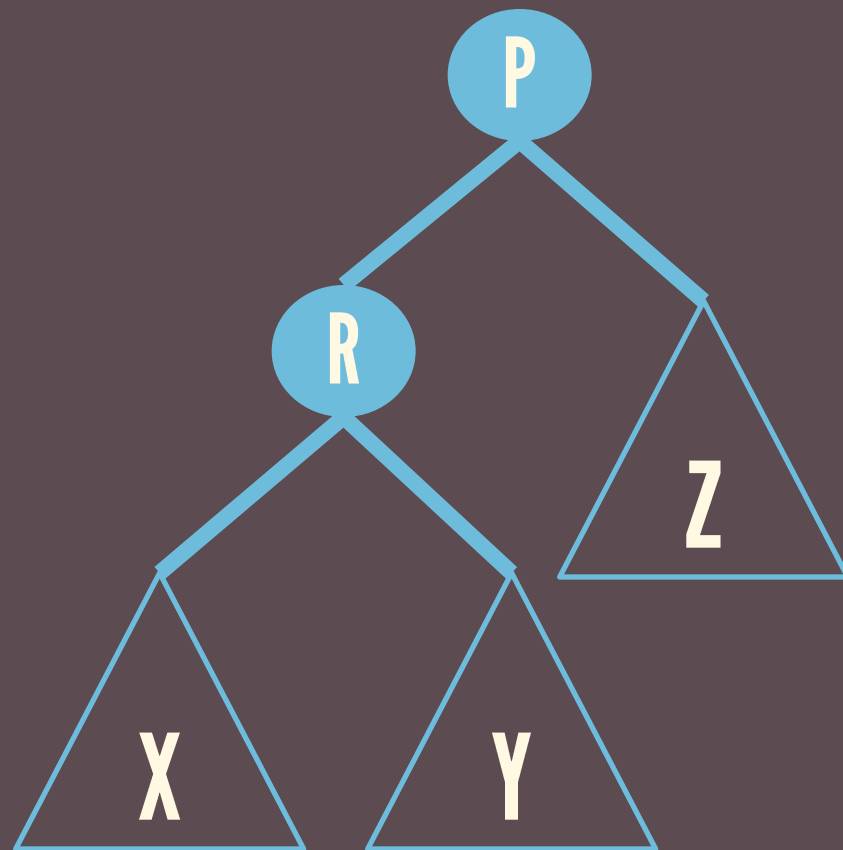
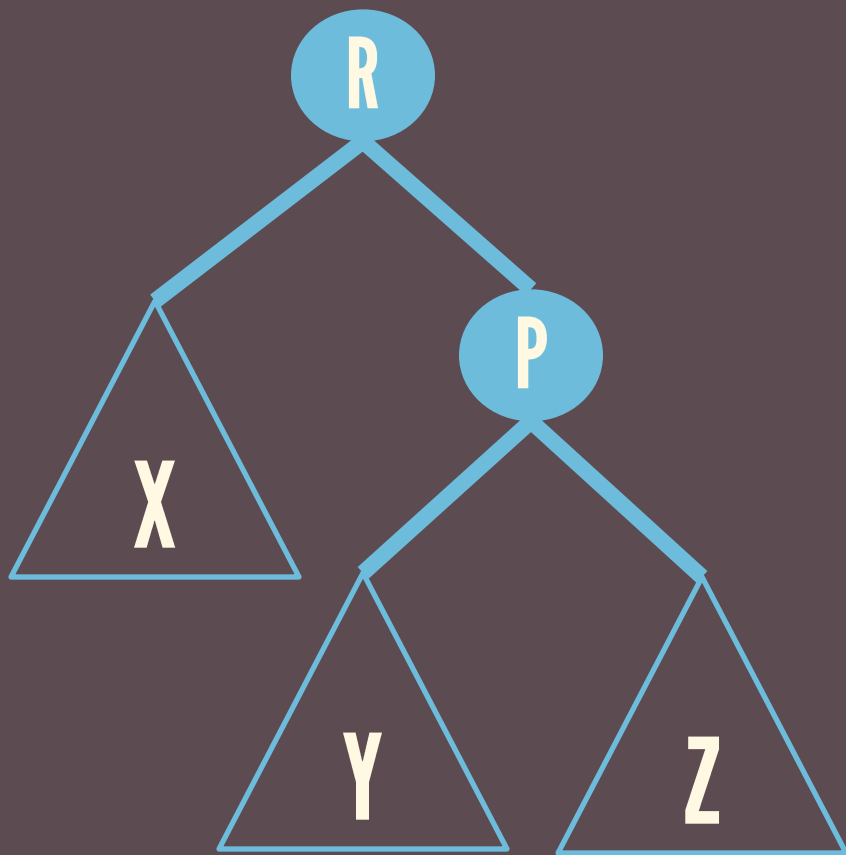
Double:

Left-right Rotate

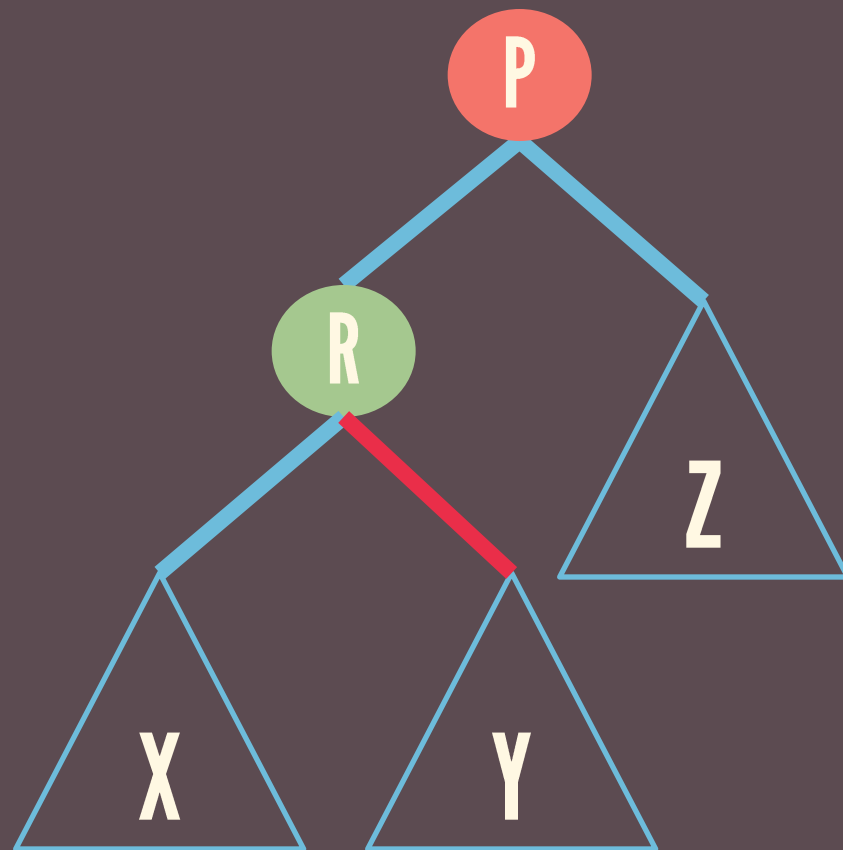
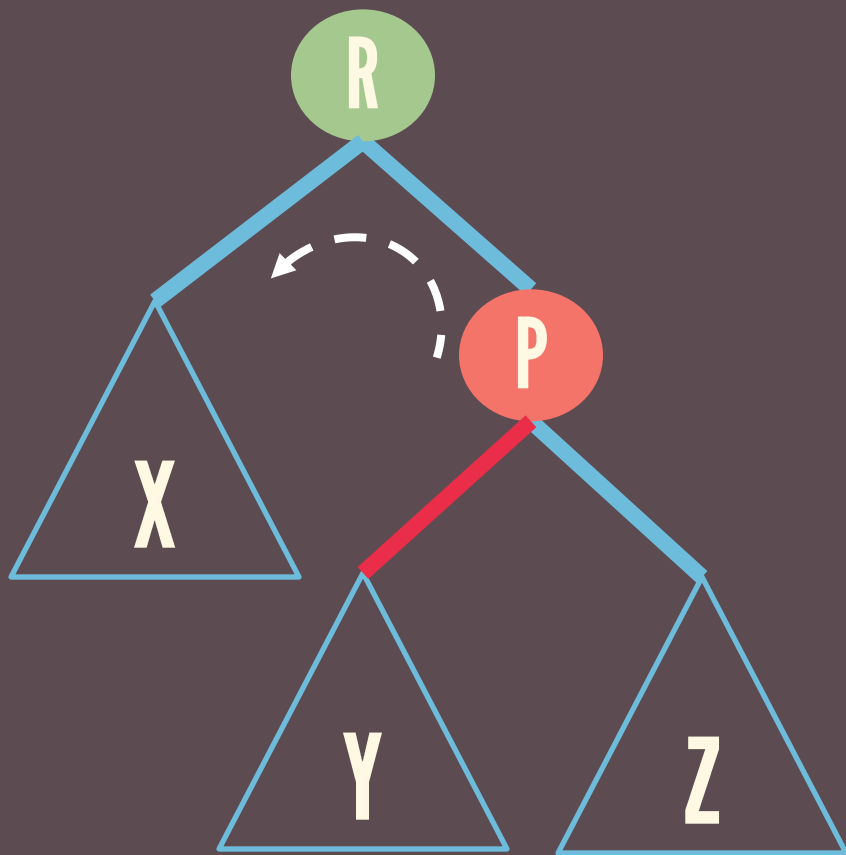
Right-left Rotate

**ROTATIONS**

**ILLUSTRATED**



LEFT ROTATE



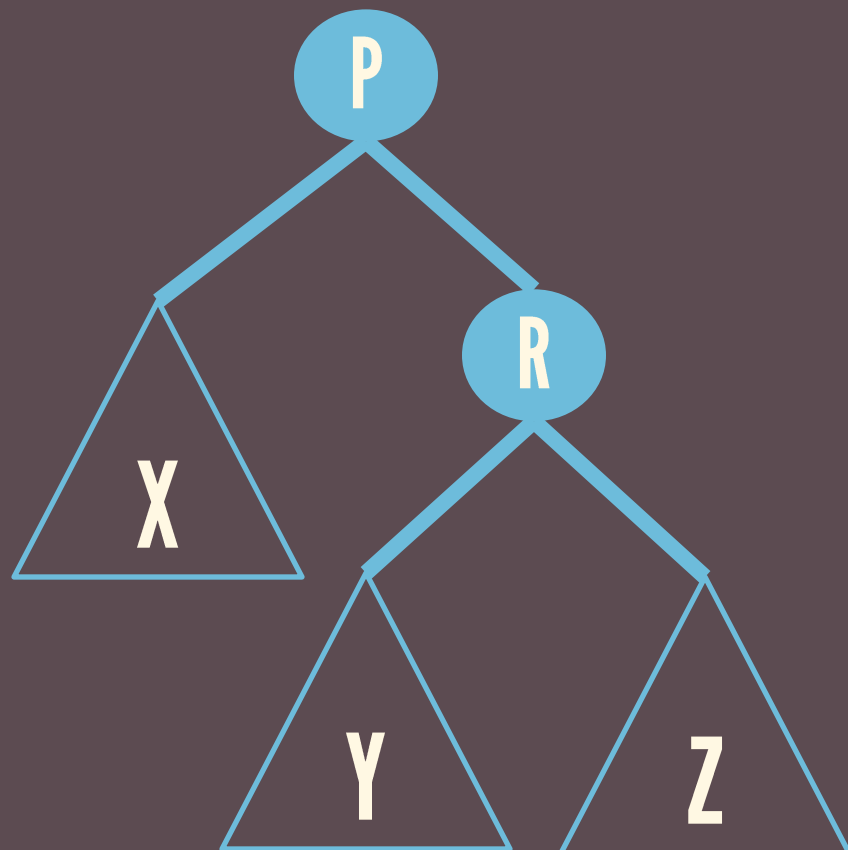
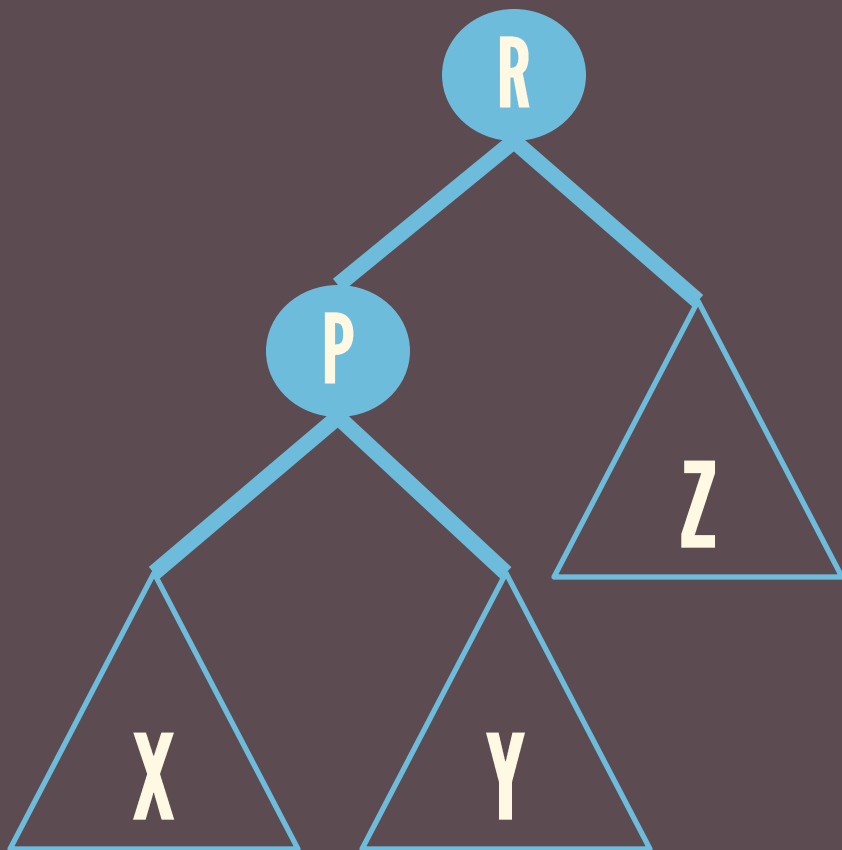
**LEFT ROTATE**

# LEFT ROTATE

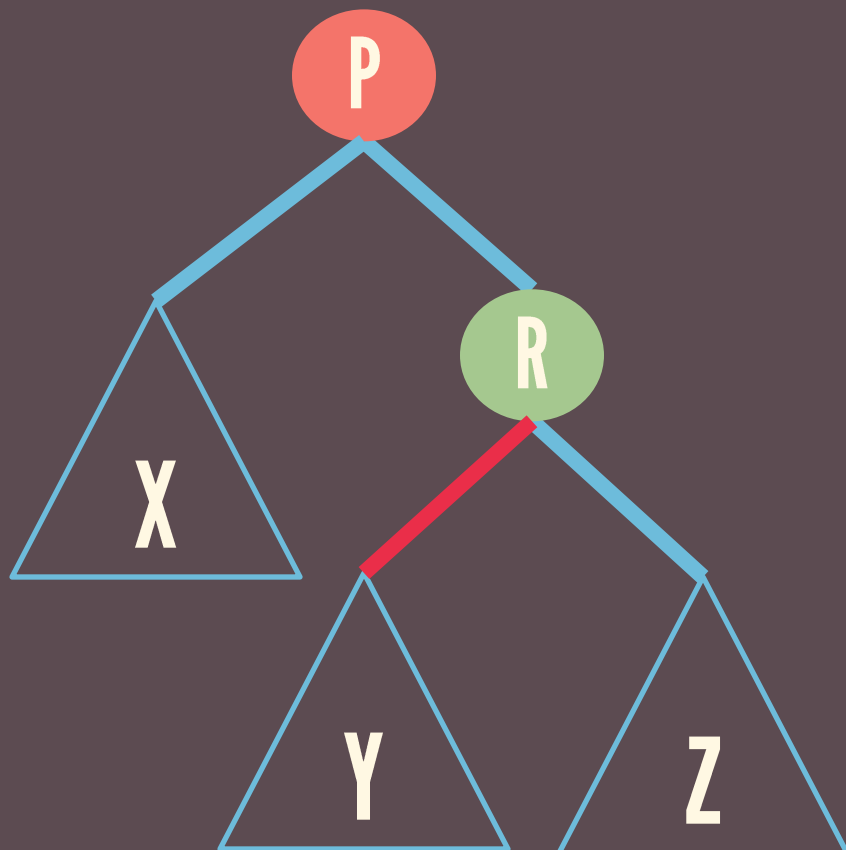
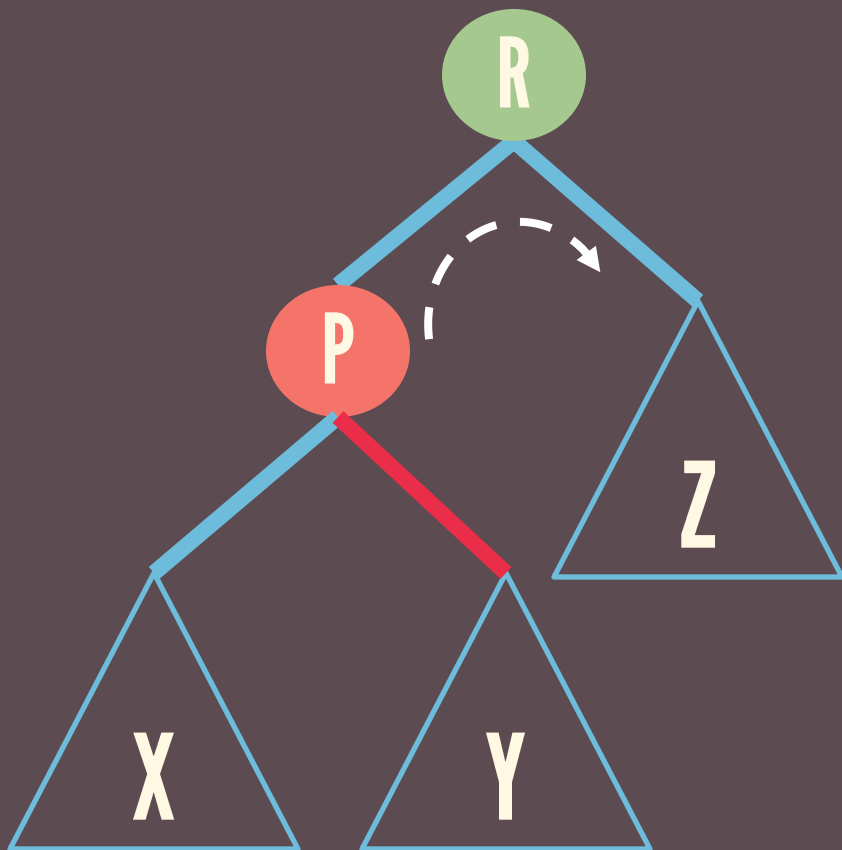
 becomes the  
left child of 

 becomes the  
right child of 





**RIGHT ROTATE**

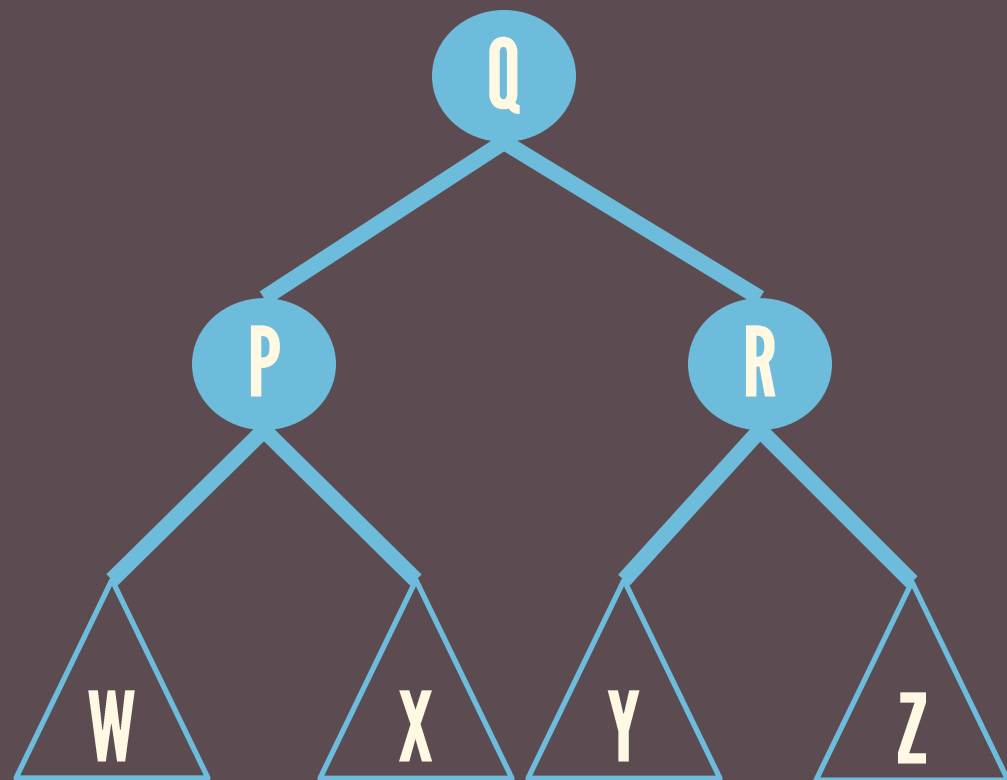
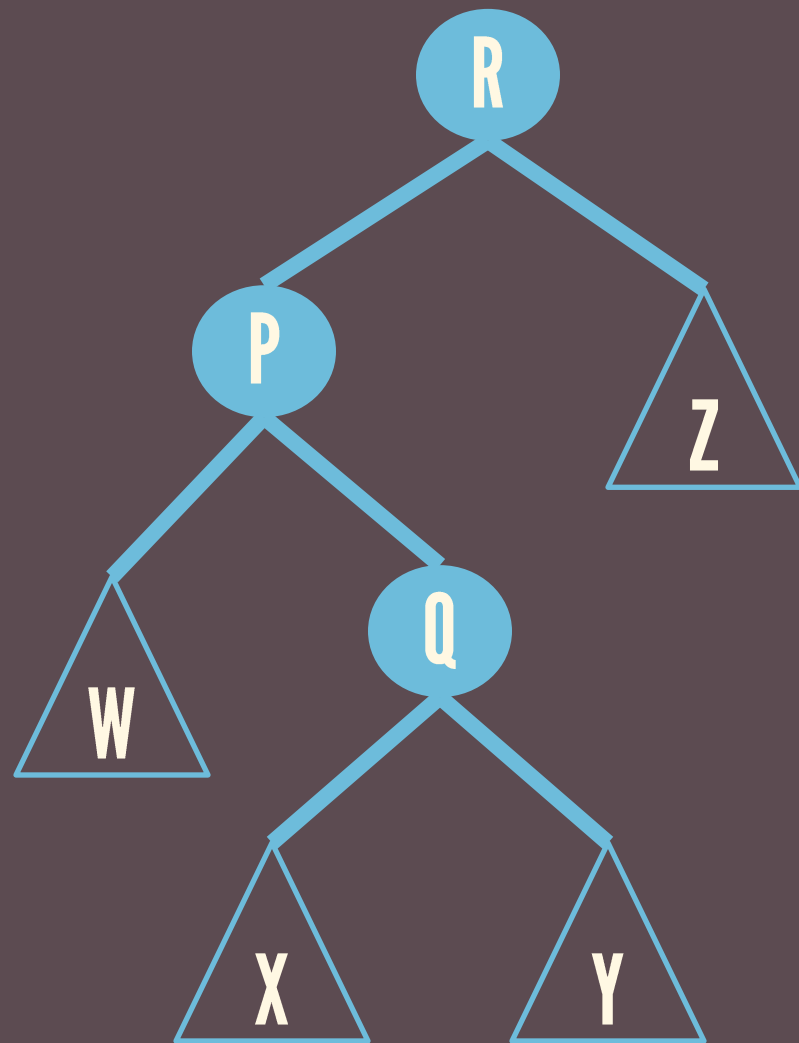


RIGHT ROTATE

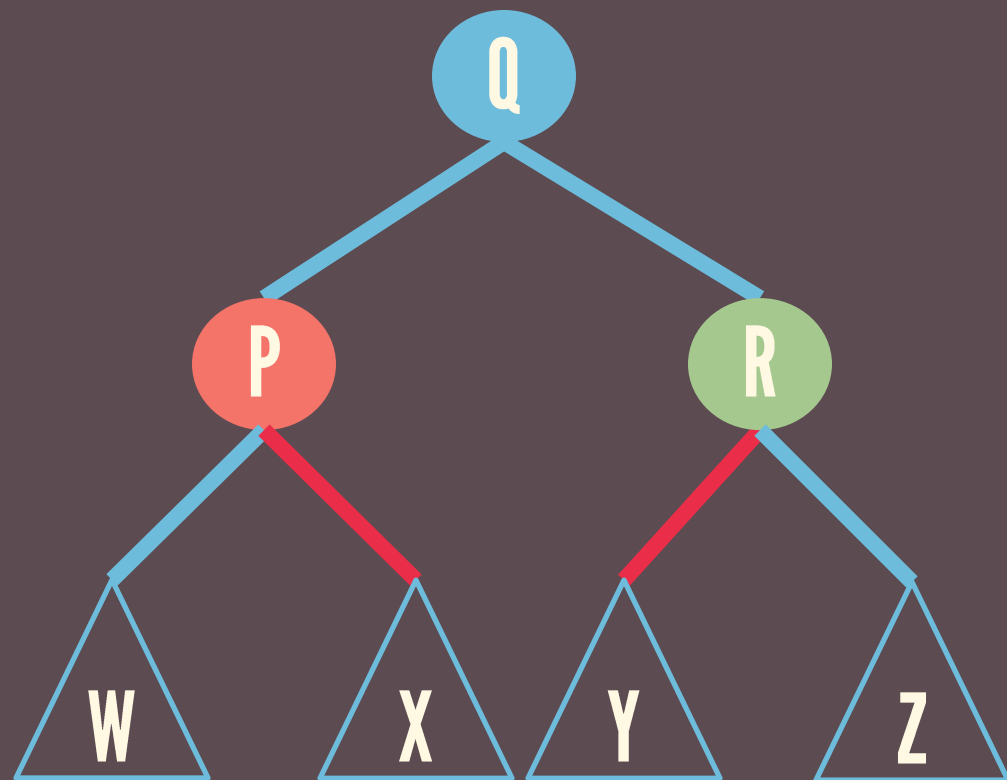
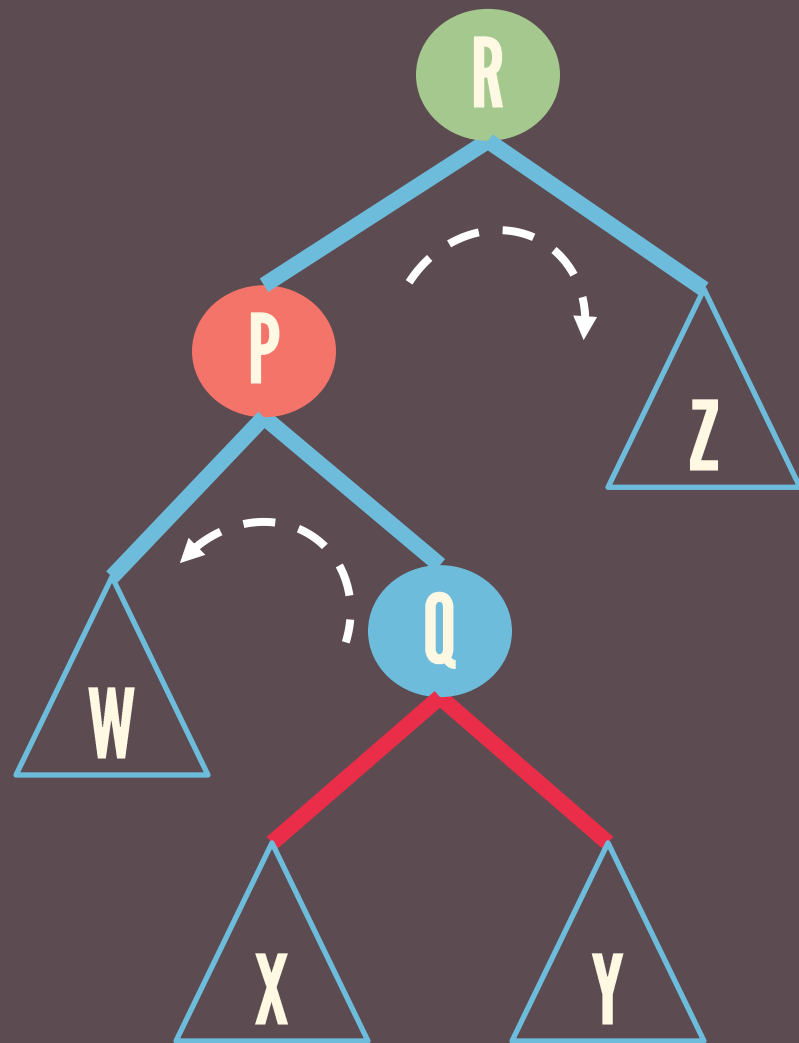
# RIGHT ROTATE

 becomes the  
right child of 

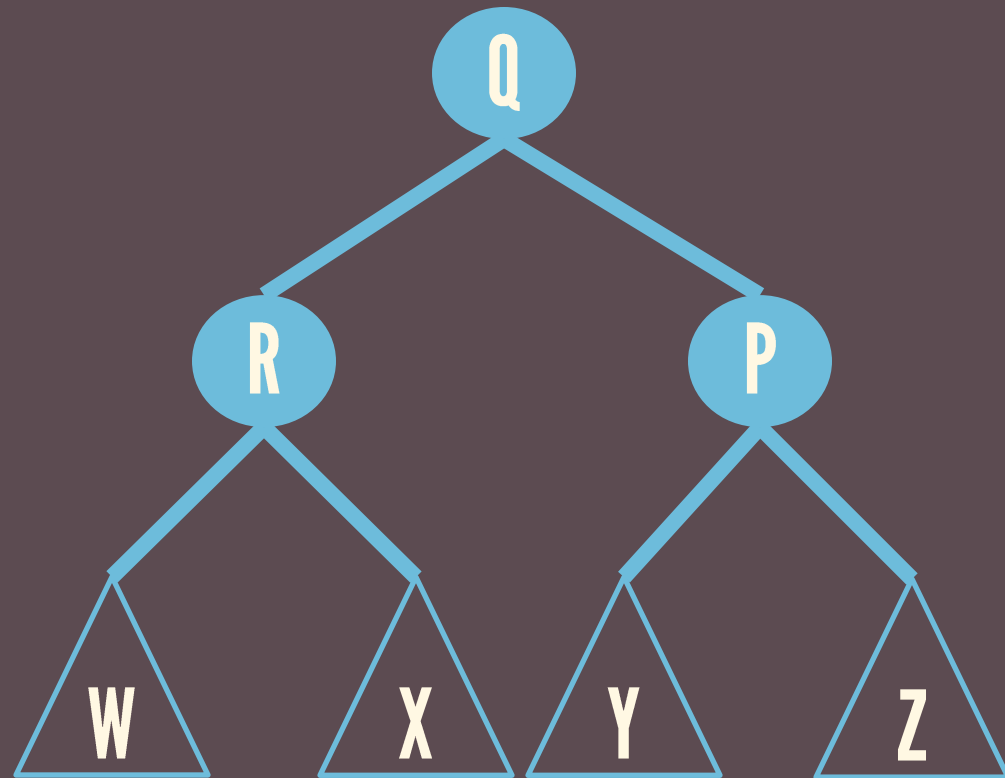
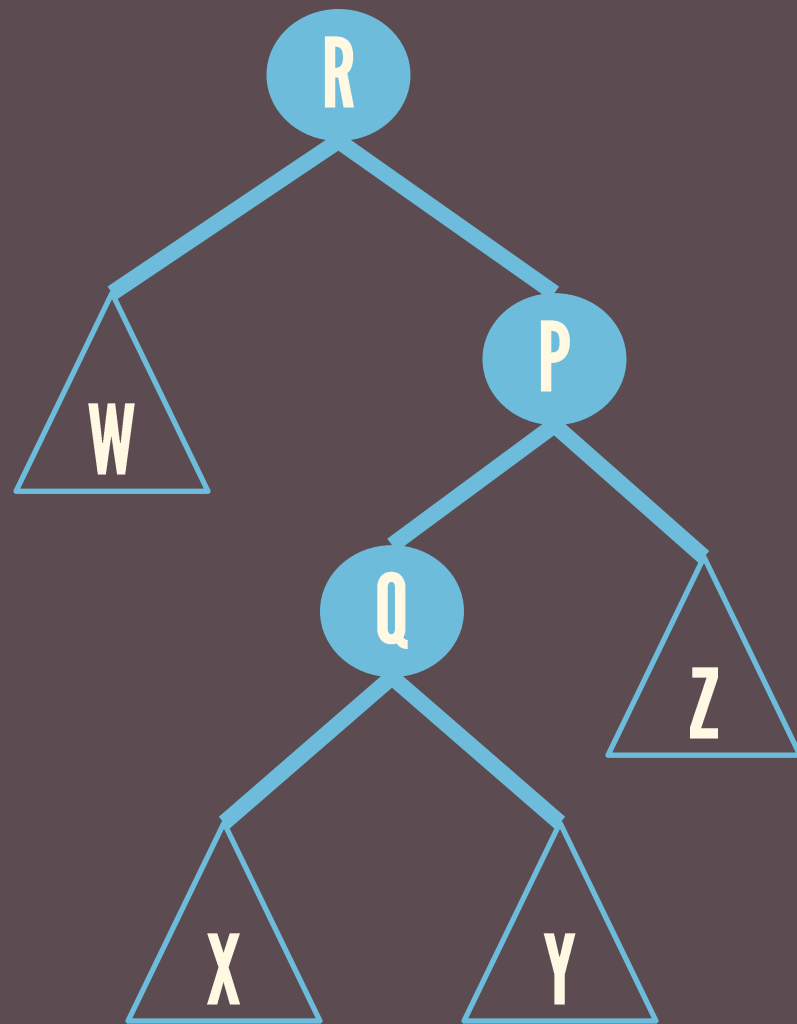
 becomes the  
left child of 



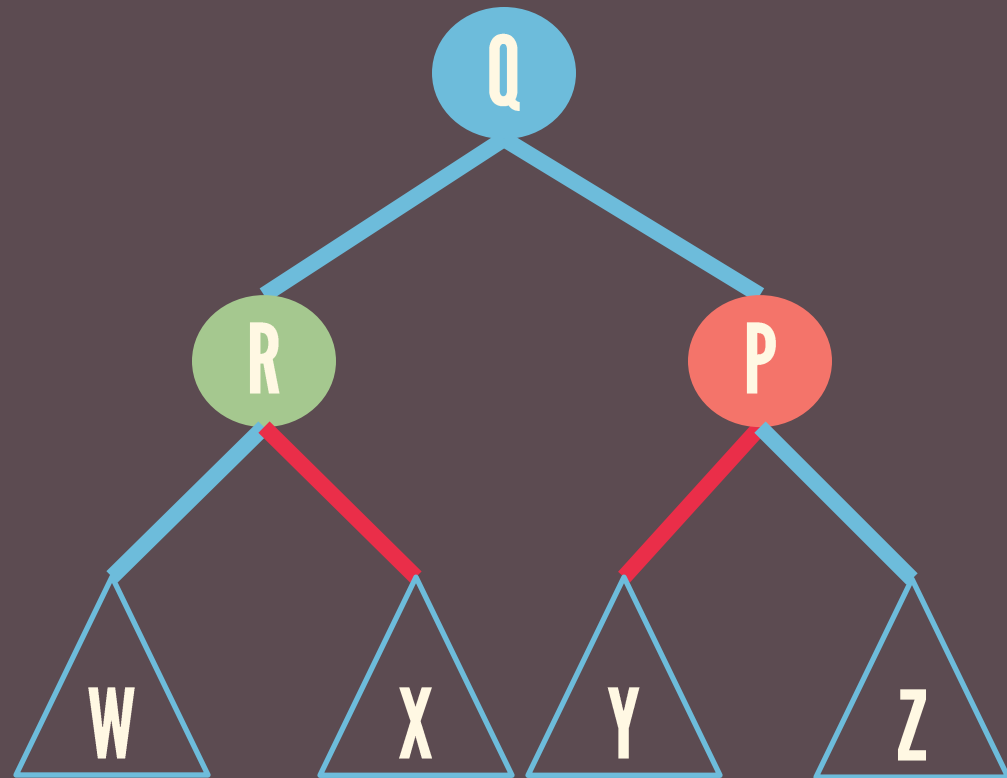
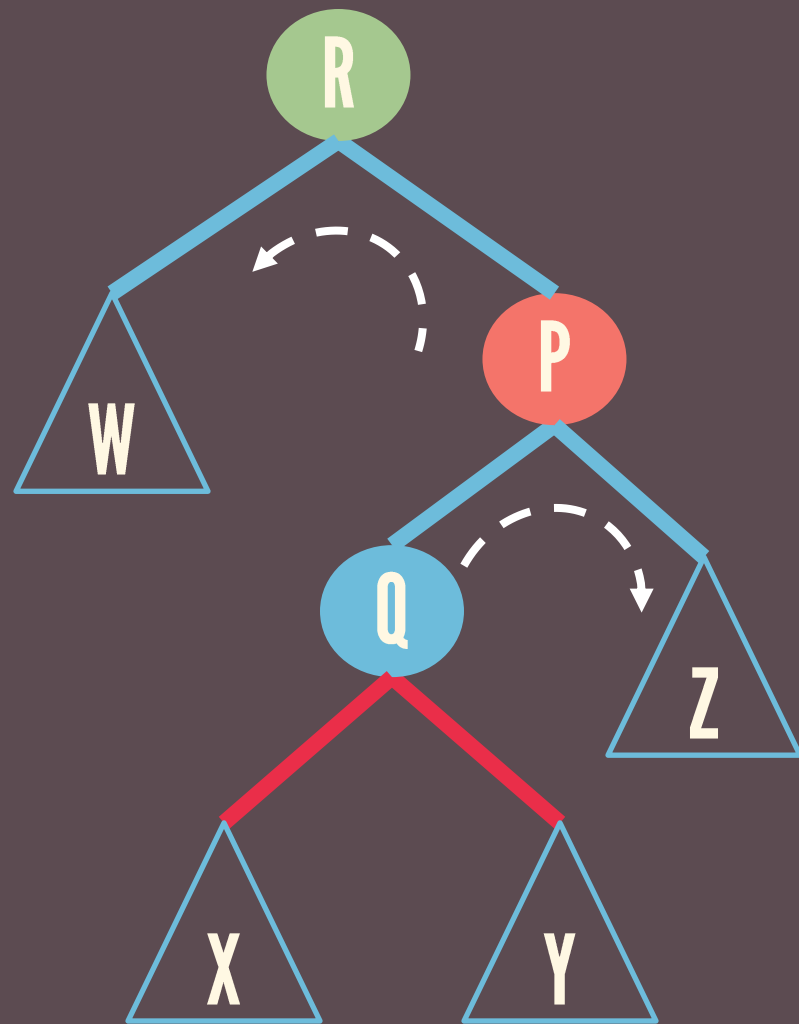
**LEFT-RIGHT ROTATE**



**LEFT-RIGHT ROTATE**



**RIGHT-LEFT ROTATE**



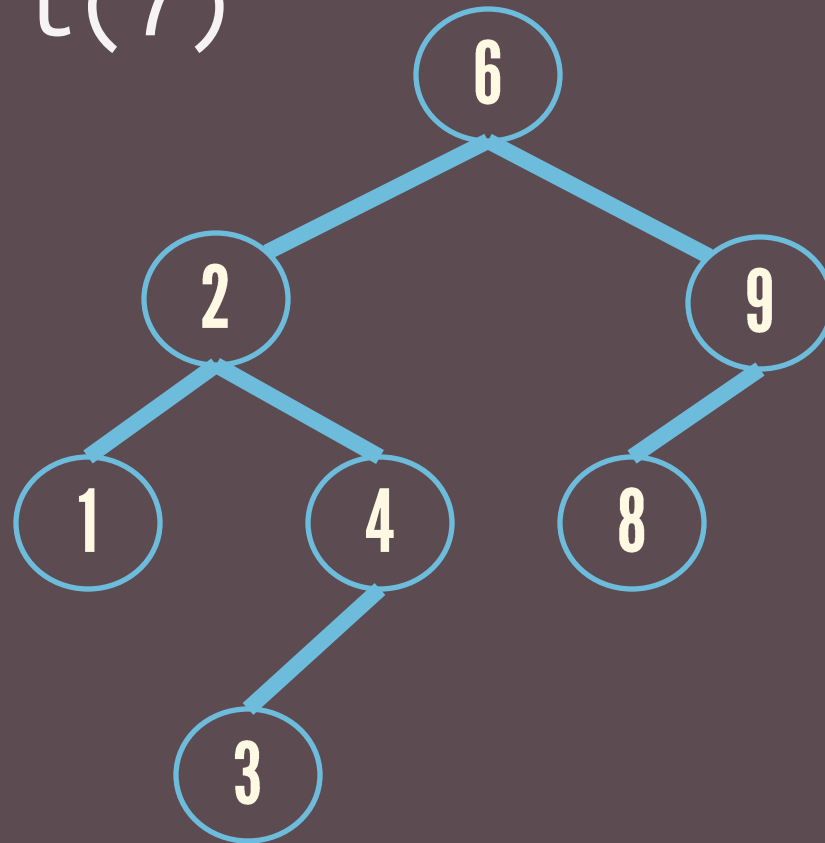
**RIGHT-LEFT ROTATE**

# WHEN TO USE WHAT ROTATION

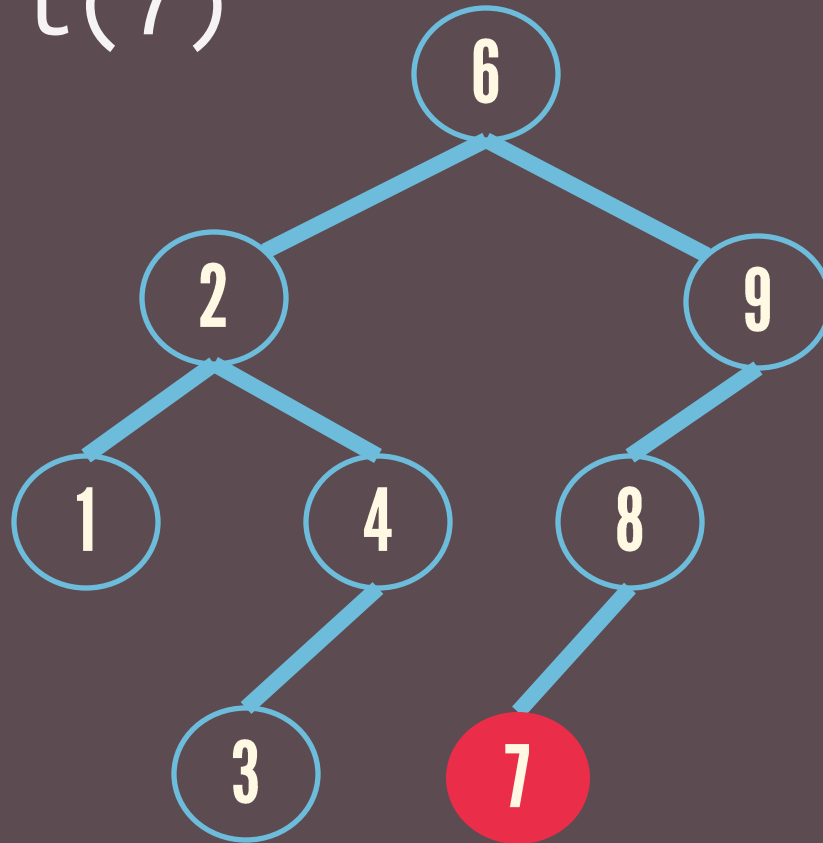
4 CASES



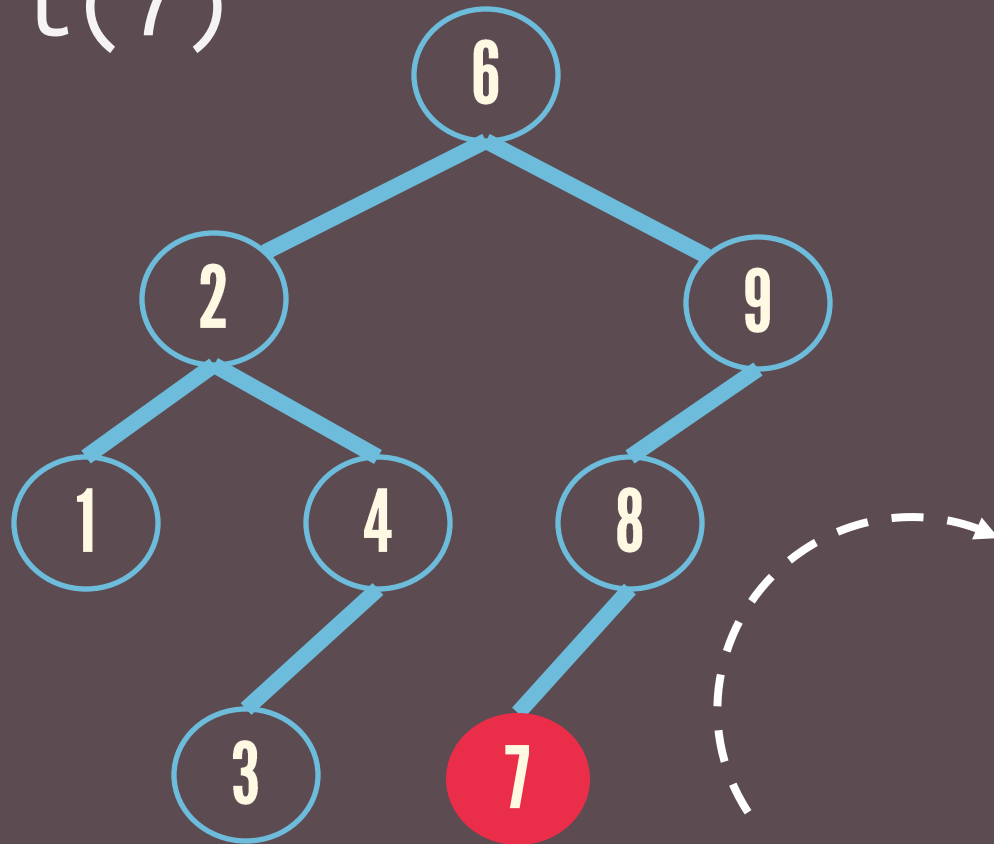
insert(7)



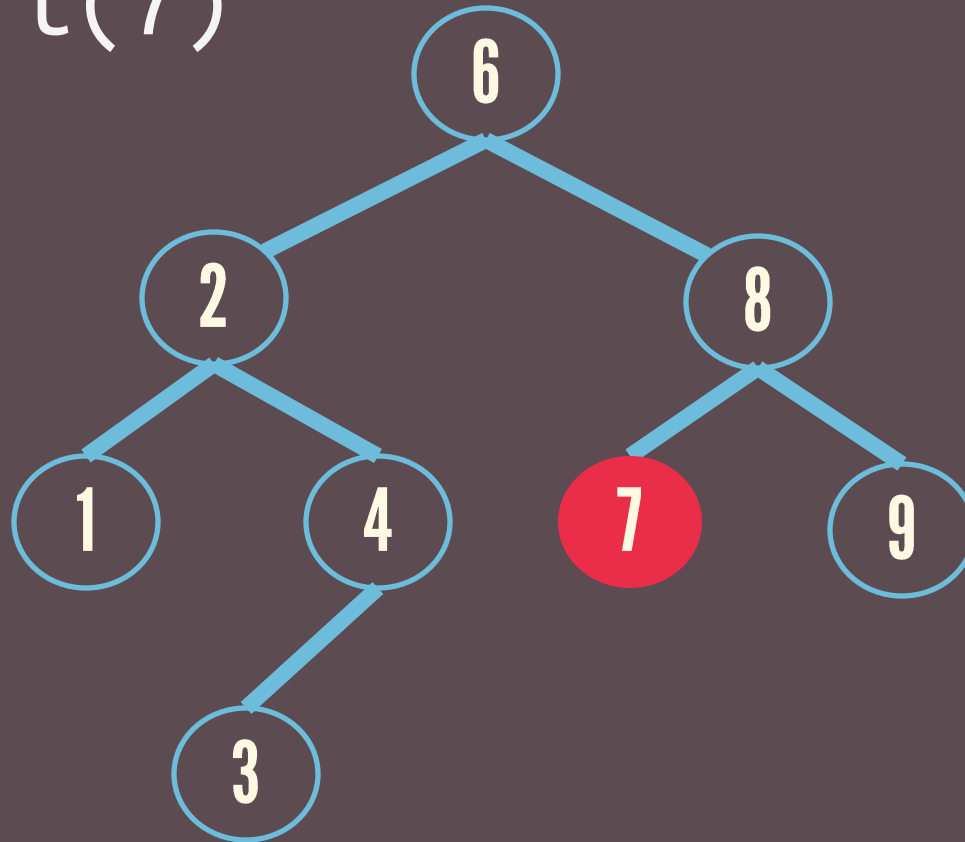
insert(7)



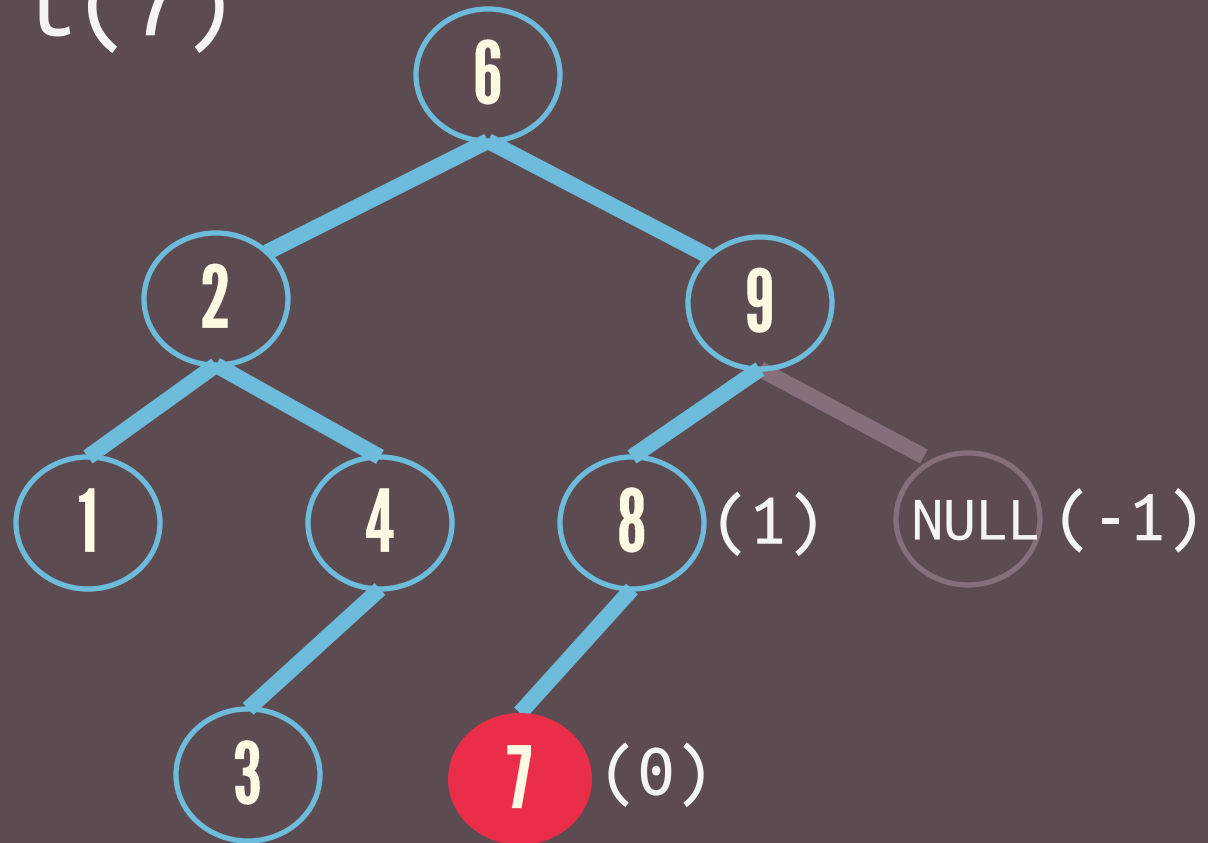
insert(7)



insert(7)



insert(7)



```
insertNode(){
```

```
    algorithm for inserting a node.
```

```
    update height of nodes.
```

```
    fixUp()
```

```
}
```

```
fixUp(){
```

```
    start at the node inserted and travel  
    up the tree:
```

```
        if an imbalance is found,  
            check the four cases and do the  
            appropriate rotation.
```

```
        update height of the nodes.
```

```
}
```

fixUp()

rotation is made where the imbalance is found



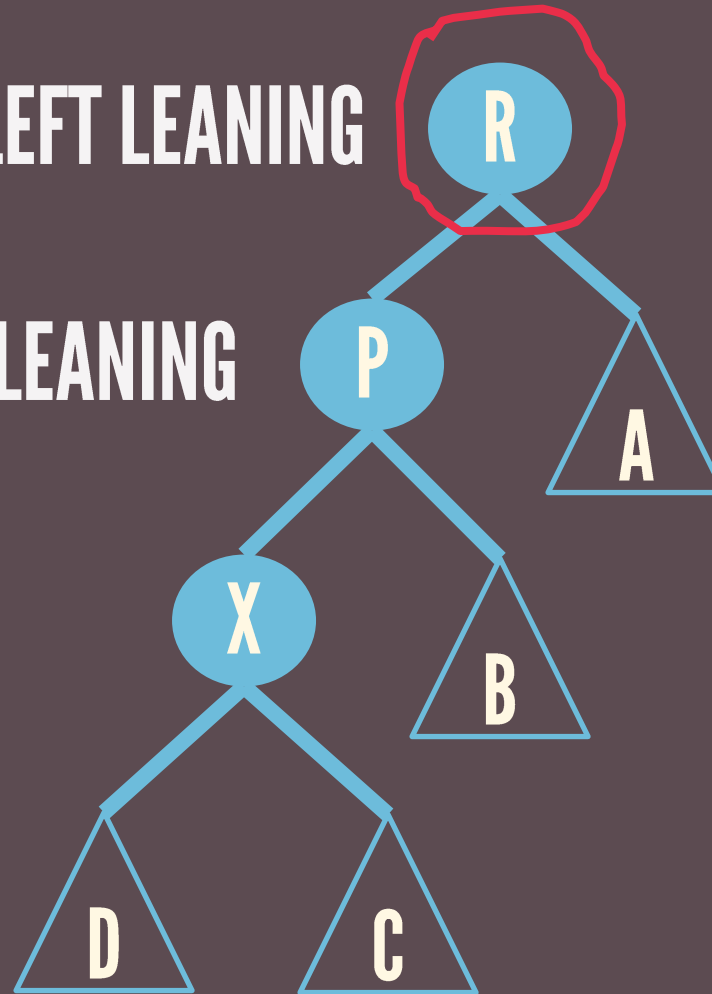
**LEFT LEFT  
CASE**

**RIGHT  
ROTATE**



LEFT LEANING

LEFT LEANING



R – root

P – pivot

```
fixUp(){
```

```
    start at the node inserted and travel  
    up the tree:
```

```
        if an imbalance is found,
```

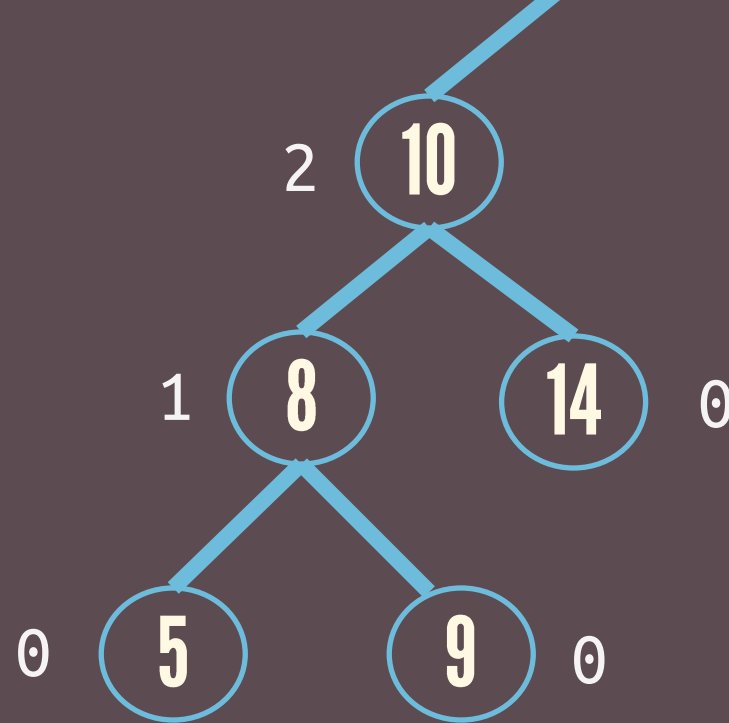
```
            if pivot is left leaning and  
            root is left leaning
```

```
                do a left rotation on root.
```

```
        update height of the nodes.
```

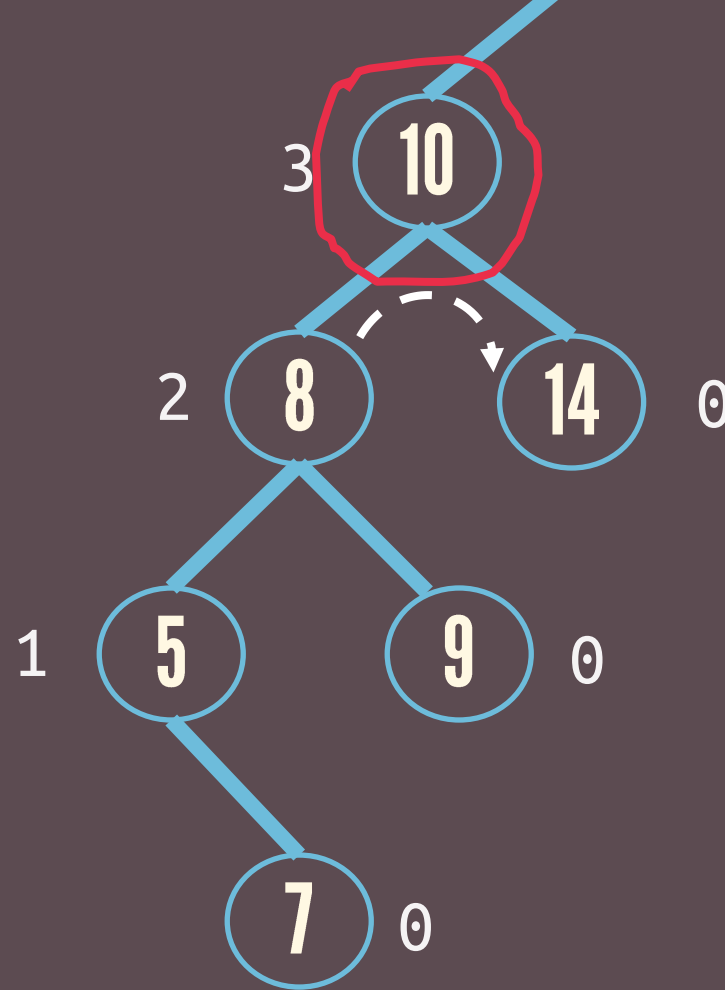
```
}
```

#1



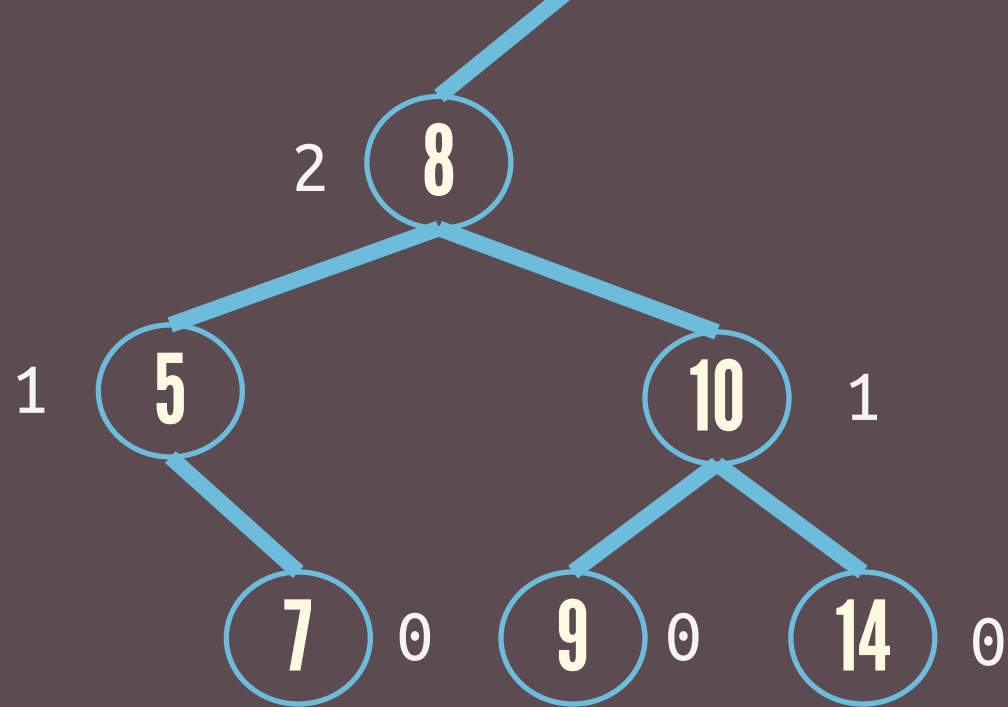
insert(7)

#1



insert(7)

#1

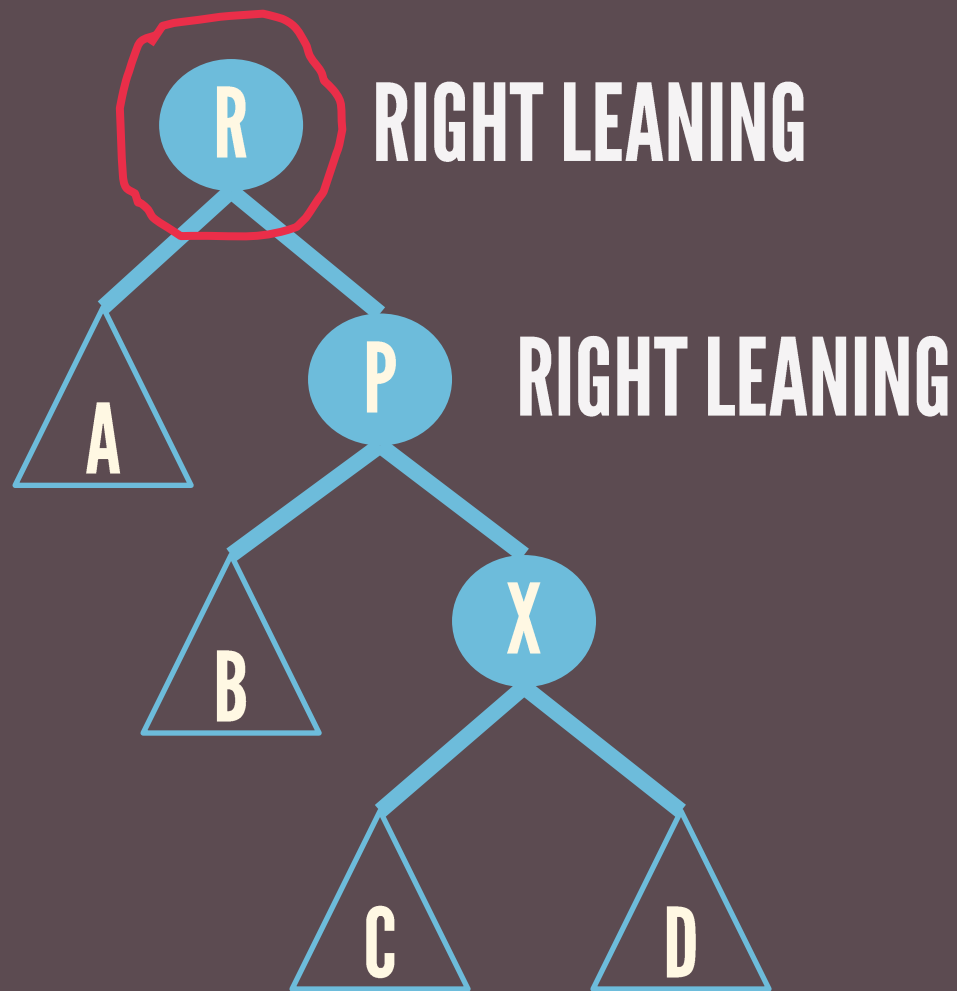


insert(7)

**RIGHT RIGHT  
CASE**

**LEFT ROTATE**





R – root

P – pivot



```
fixUp(){
```

```
    start at the node inserted and travel  
    up the tree:
```

```
        if an imbalance is found,
```

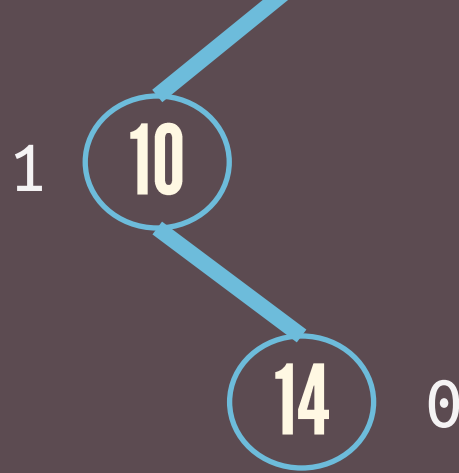
```
            if pivot is right leaning and  
            root is right leaning
```

```
                do a left rotation on root.
```

```
        update height of the nodes.
```

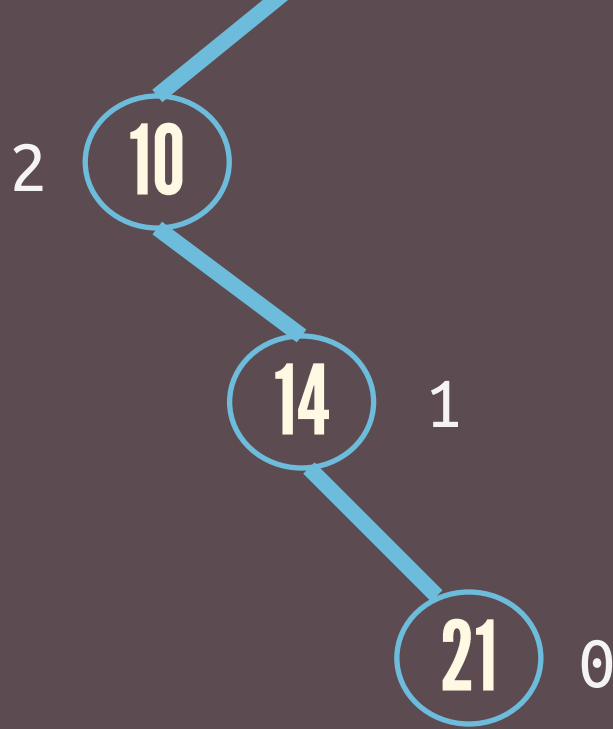
```
}
```

#2



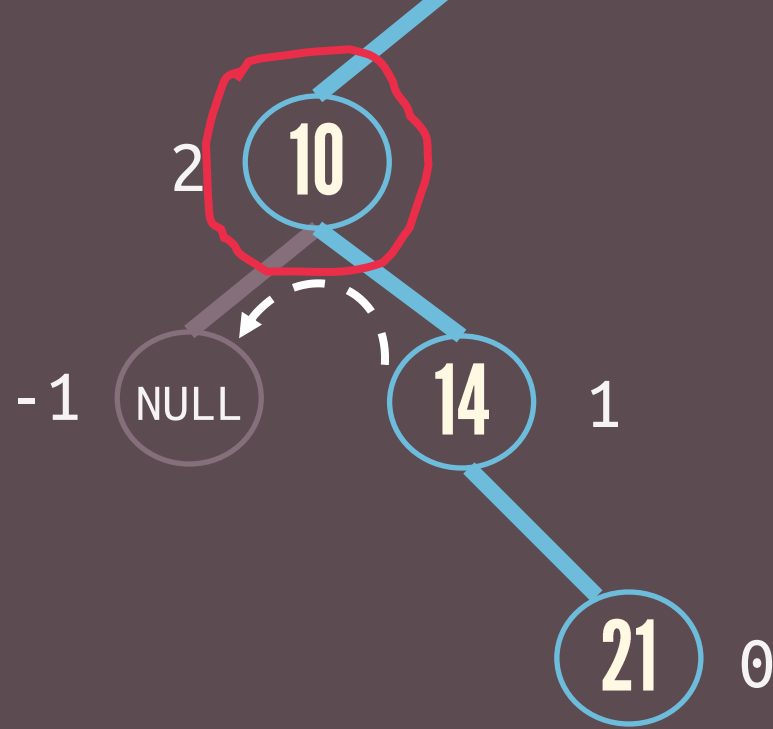
insert(21)

#2



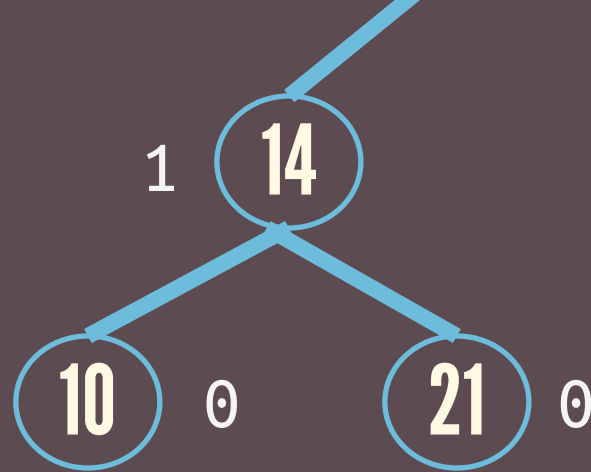
insert(21)

#2



insert(21)

#2

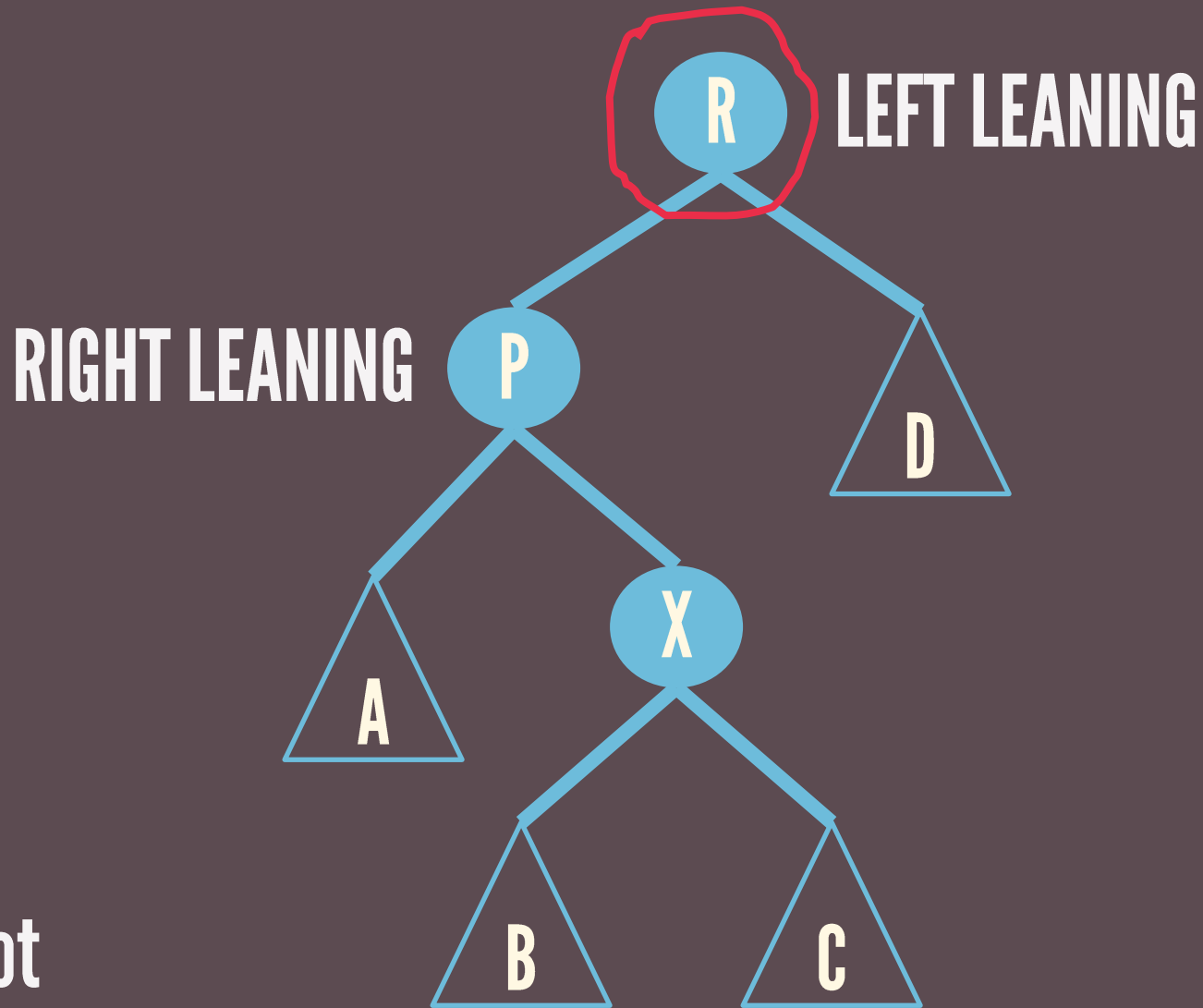


insert(21)

**LEFT RIGHT  
CASE**

**LEFT RIGHT  
ROTATE**





R – root

P – pivot

```
fixUp(){
```

```
    start at the node inserted and travel  
    up the tree:
```

```
        if an imbalance is found,
```

```
            if pivot is right leaning and  
            root is left leaning
```

```
                do a left rotation on pivot.
```

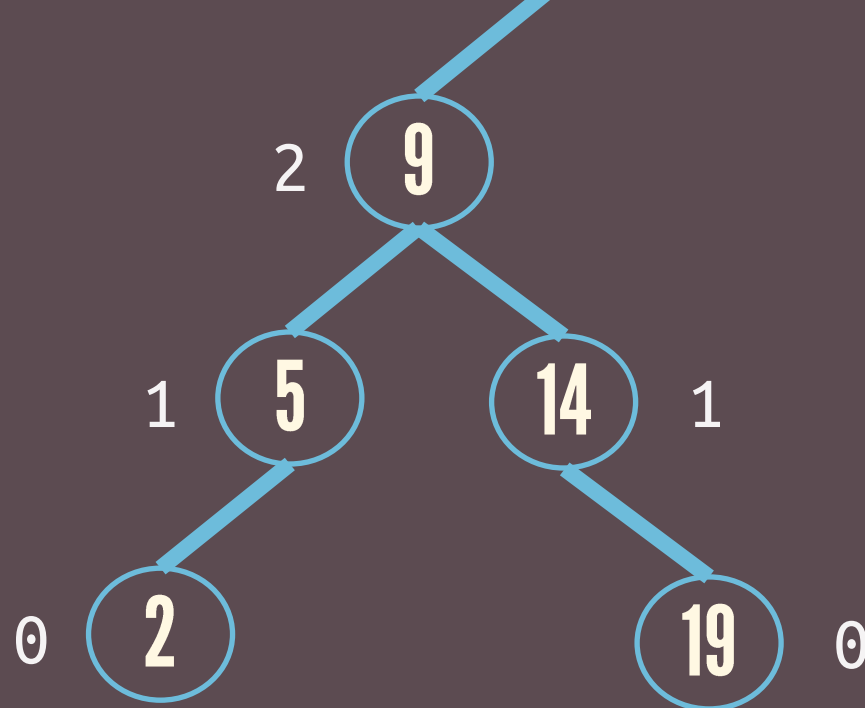
```
                do a right rotation on root.
```

```
        update height of the nodes.
```

```
}
```

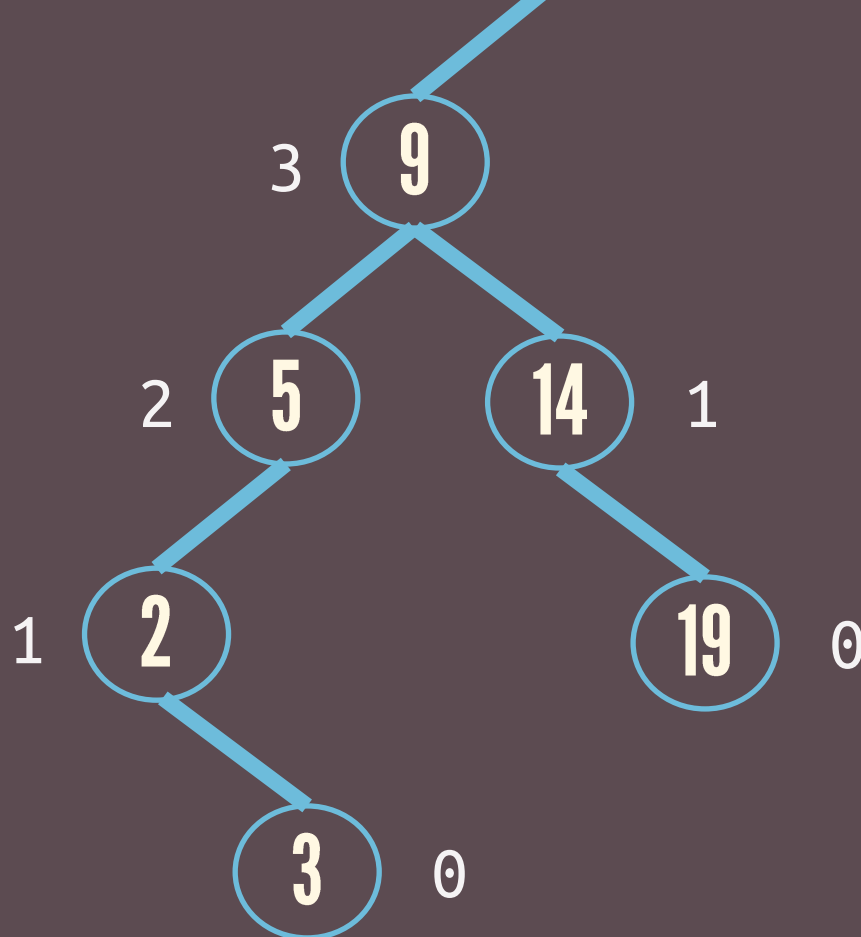


#3



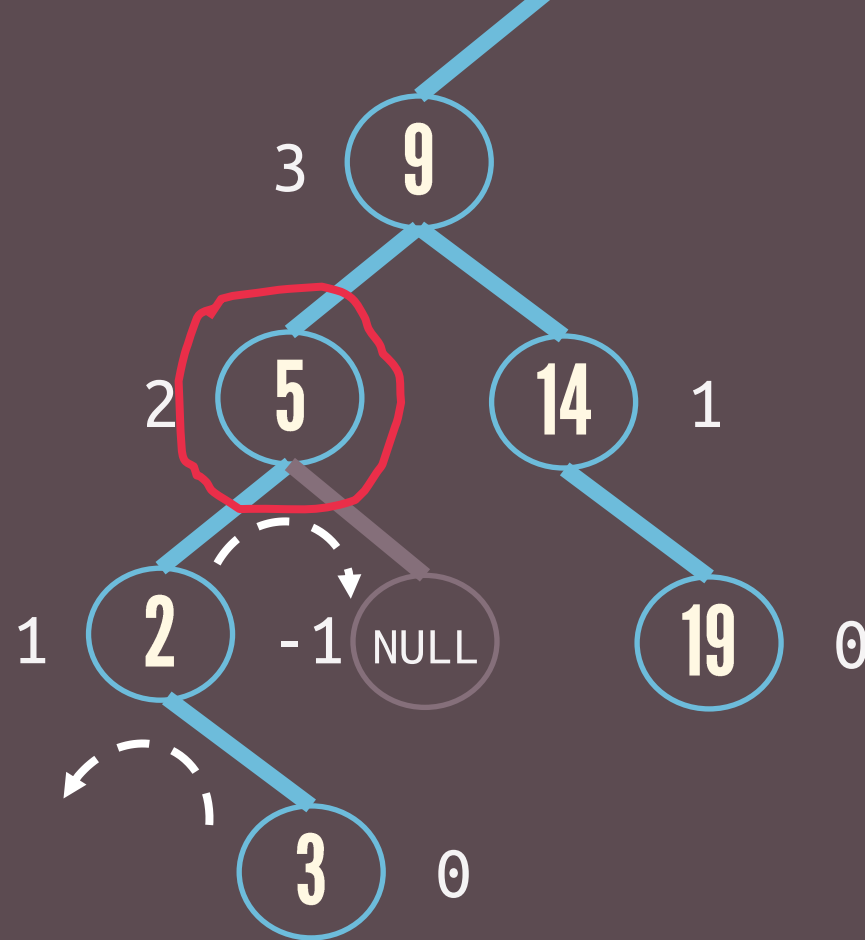
insert(3)

#3



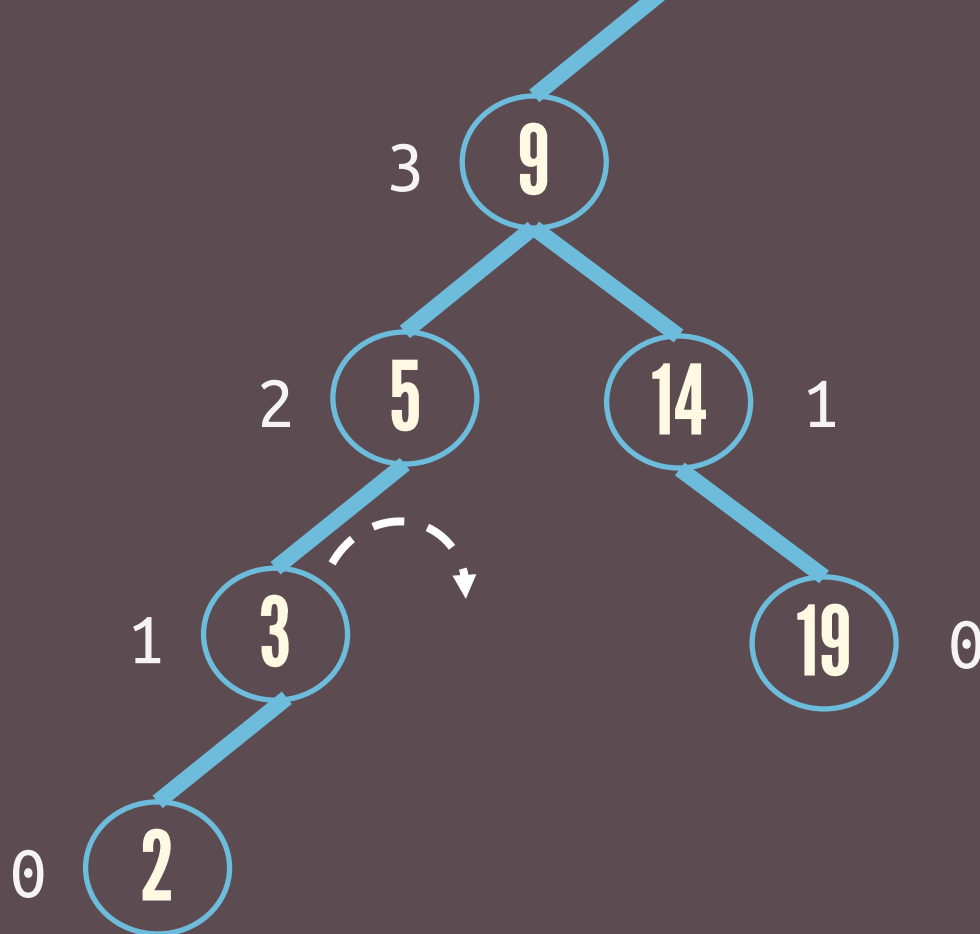
insert(3)

#3



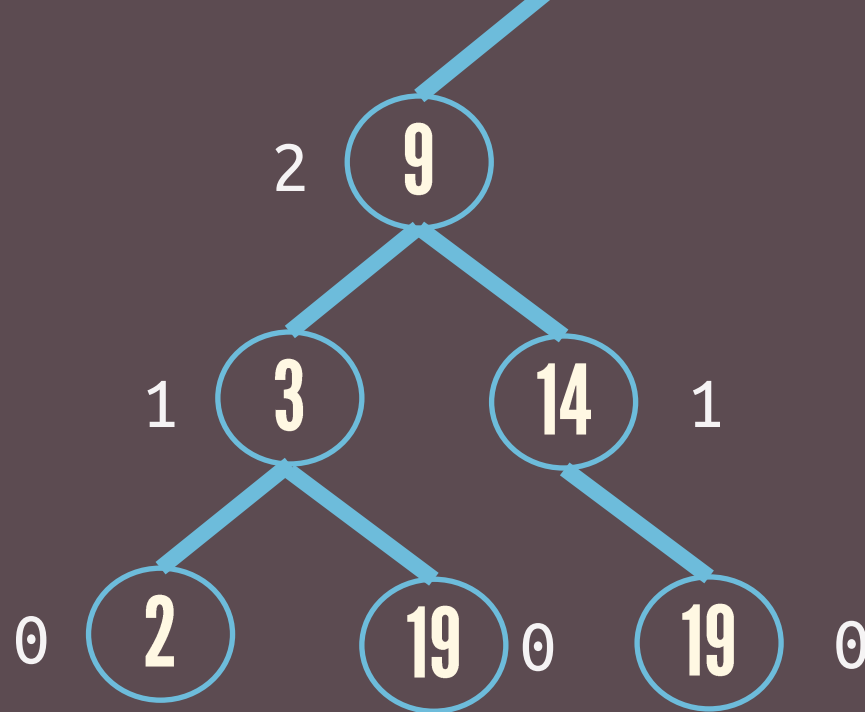
insert(3)

#3



insert(3)

#3

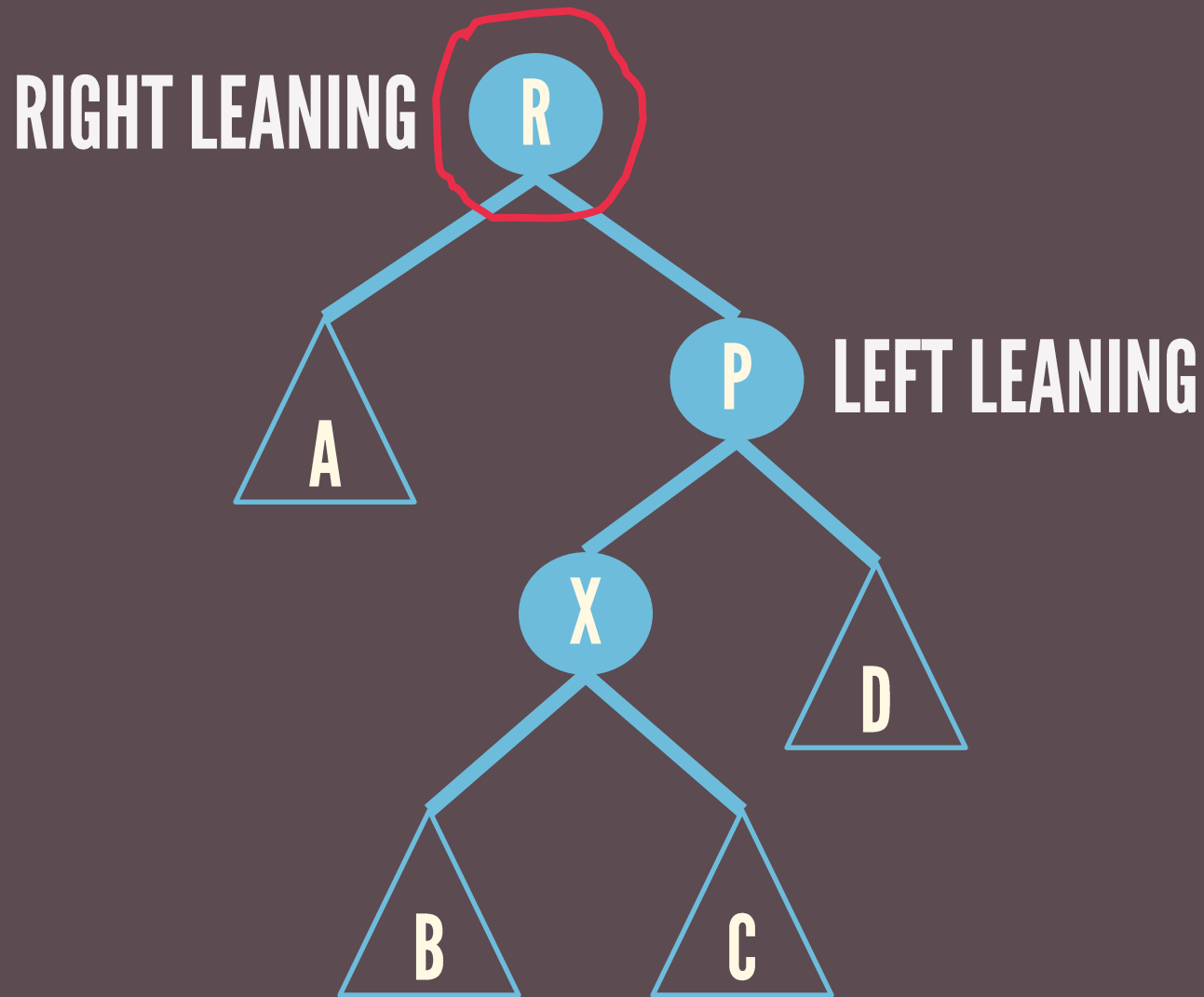


insert(3)

**RIGHT LEFT  
CASE**

**RIGHT LEFT  
ROTATE**





R – root  
P – pivot

```
fixUp(){
```

```
    start at the node inserted and travel  
    up the tree:
```

```
        if an imbalance is found,
```

```
            if pivot is left leaning and  
            root is right leaning
```

```
                do a right rotation on pivot.
```

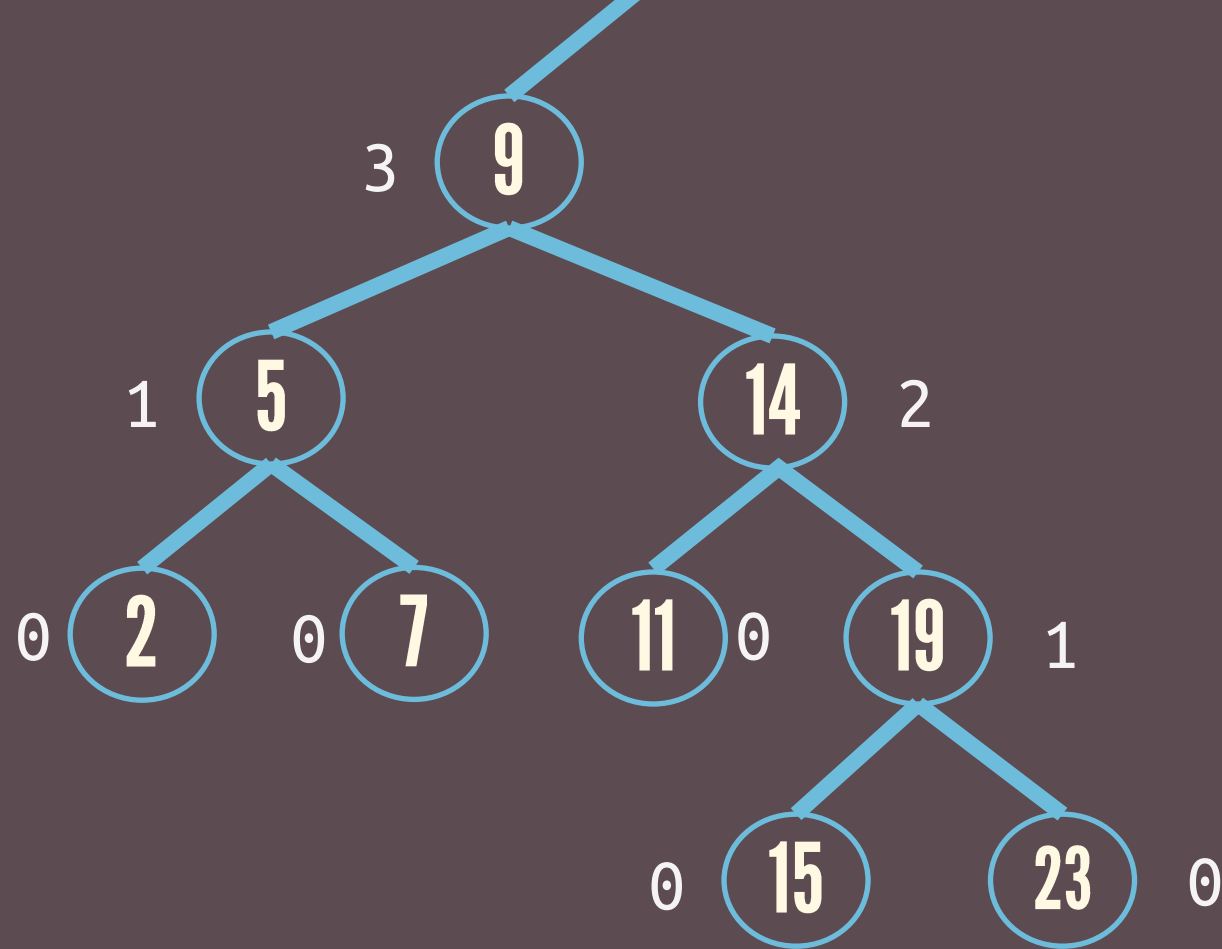
```
                do a left rotation on root.
```

```
        update height of the nodes.
```

```
}
```

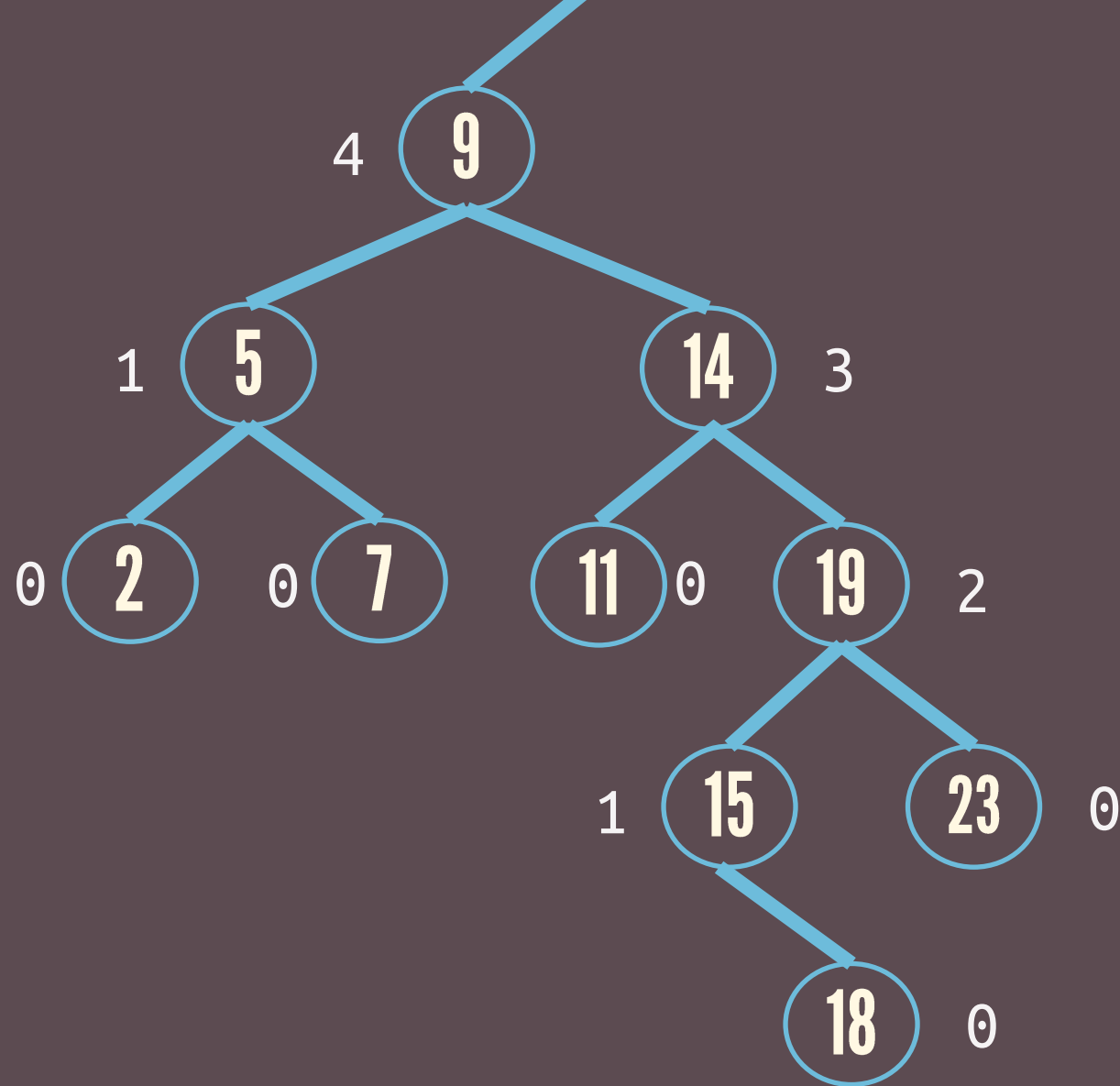


#4



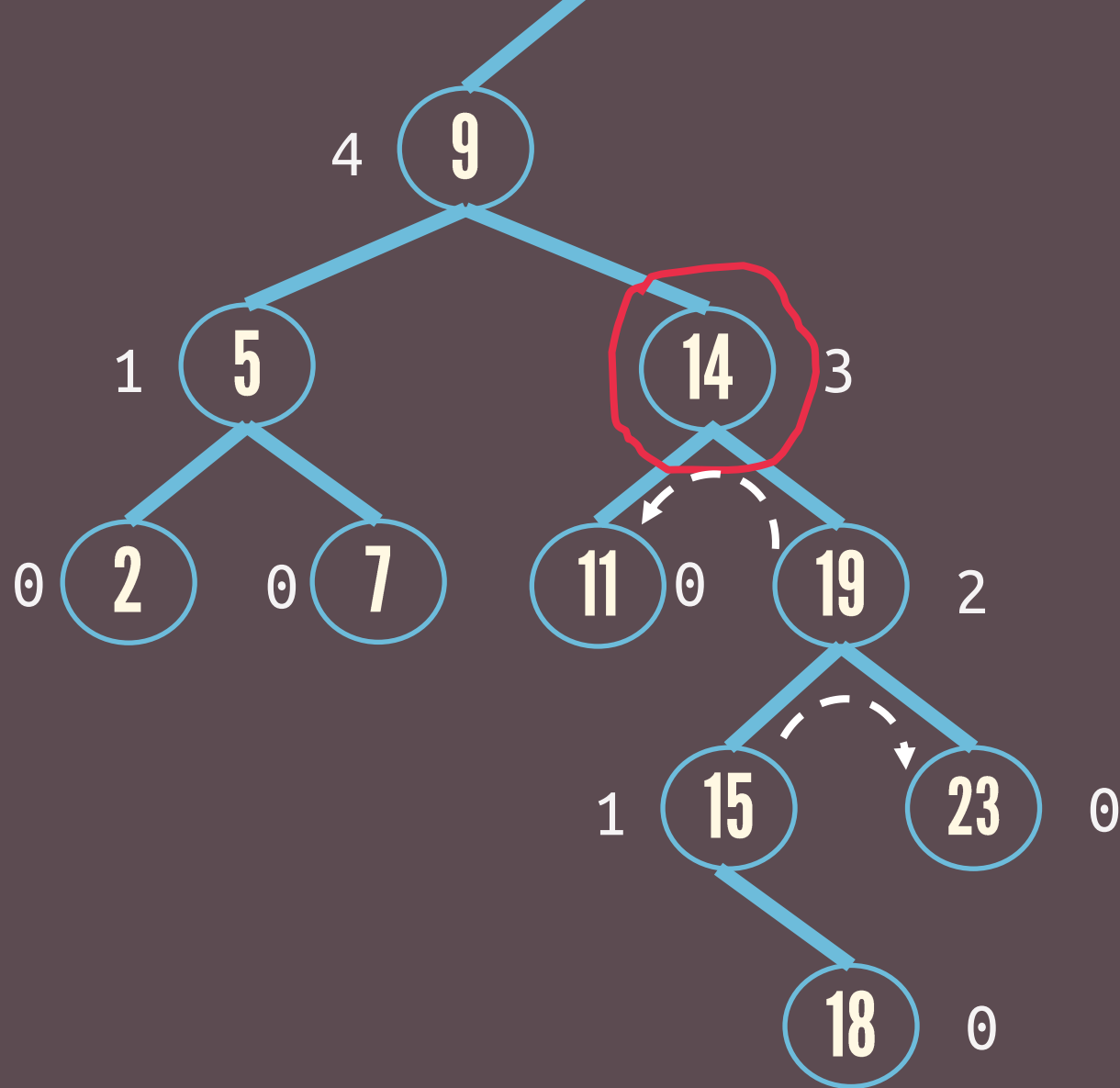
insert(18)

#4



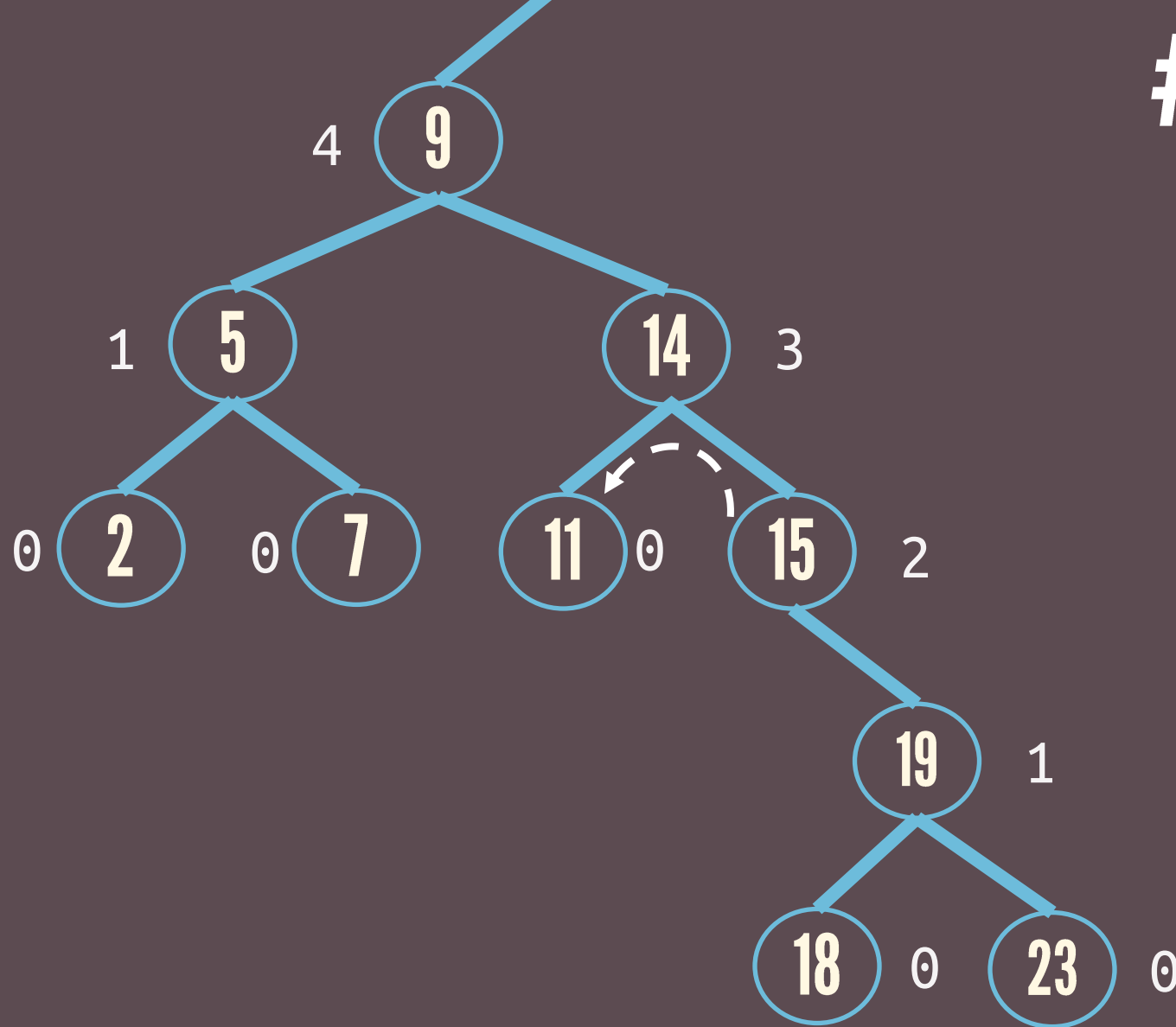
insert(18)

# #4



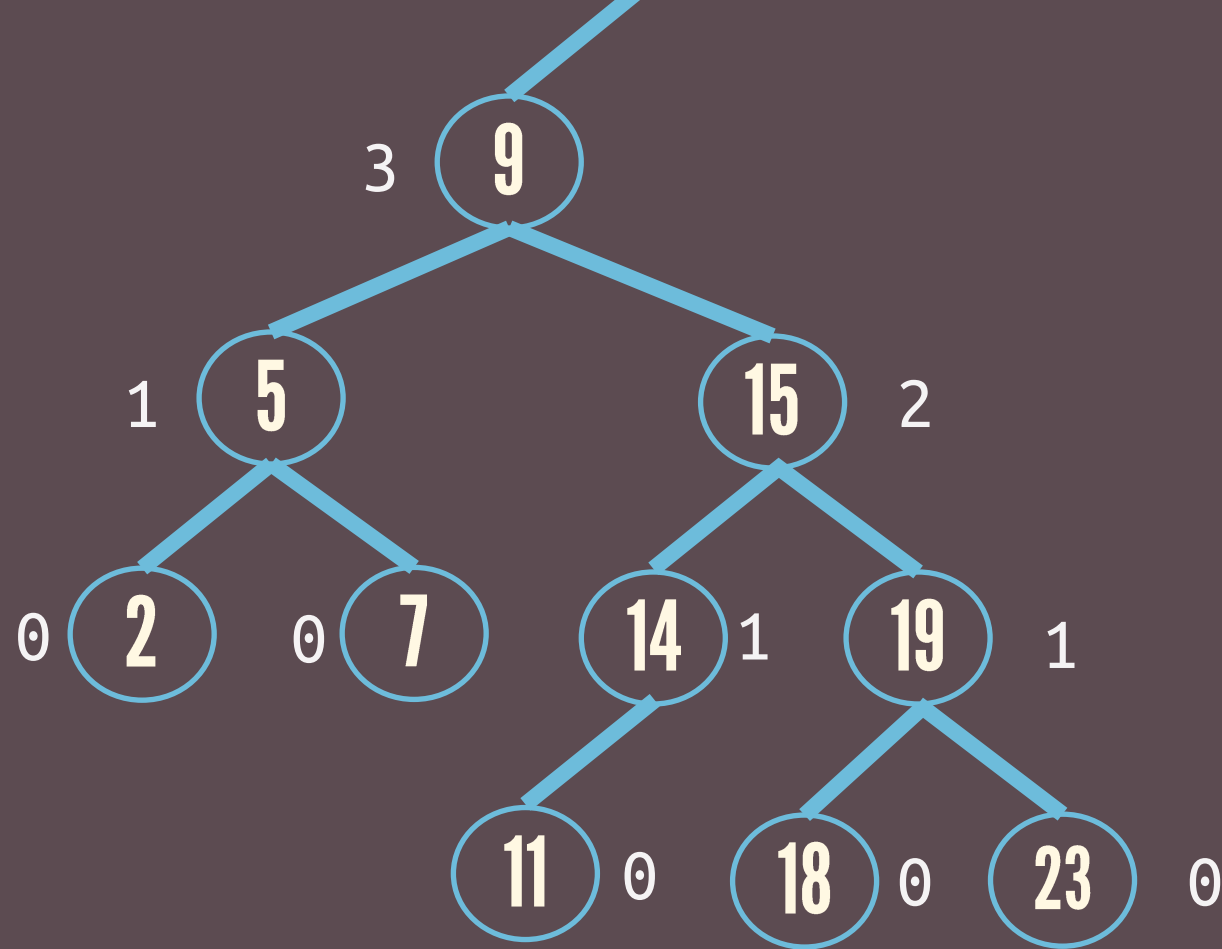
insert(18)

# #4



insert(18)

#4



insert(18)