

# Lighting and Shading

CMSC 161: Interactive Computer Graphics

2<sup>nd</sup> Semester 2014-2015

Institute of Computer Science

University of the Philippines – Los Baños

Lecture by James Carlo Plaras

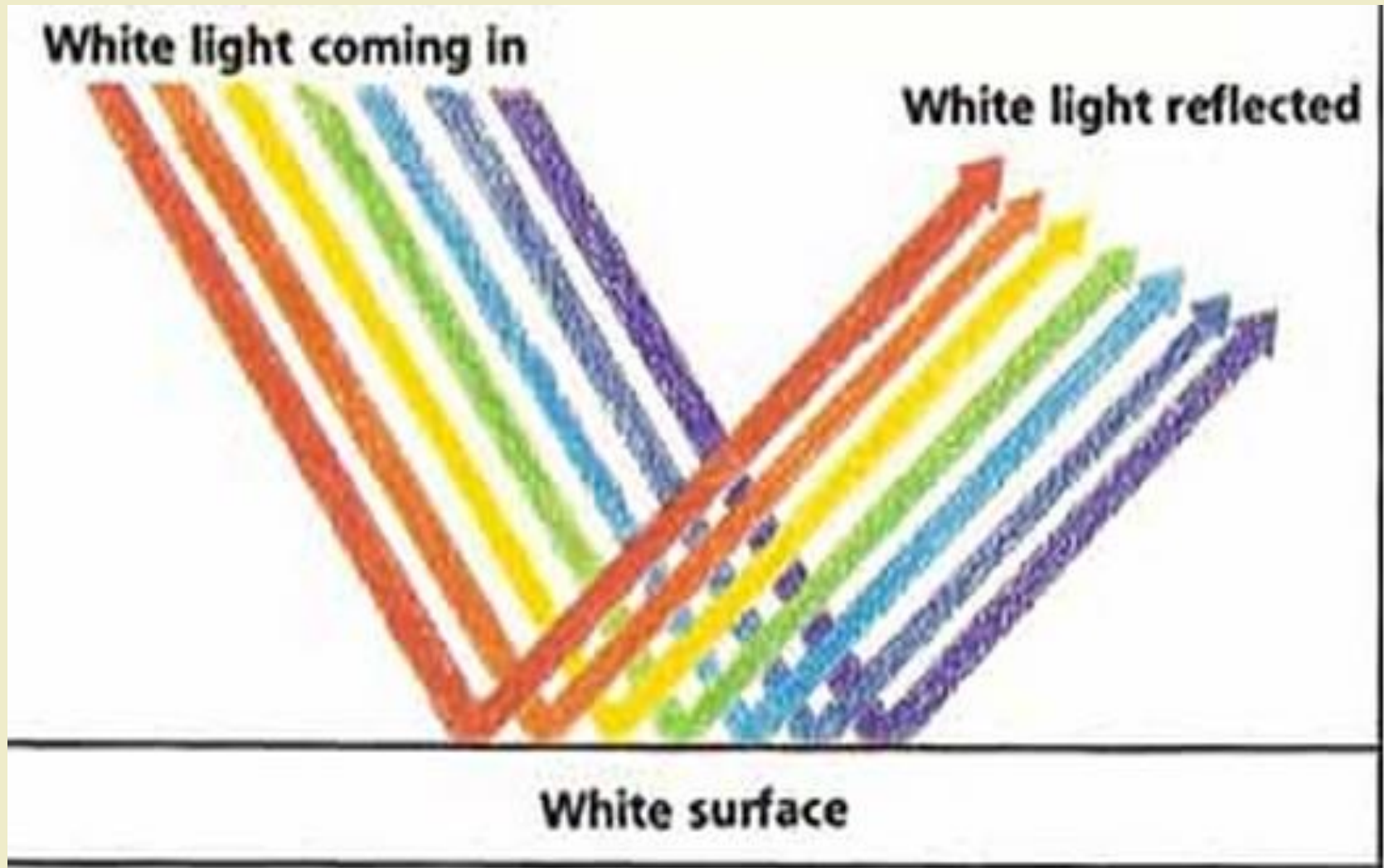


# Light

the natural agent that stimulates sight and  
makes things visible

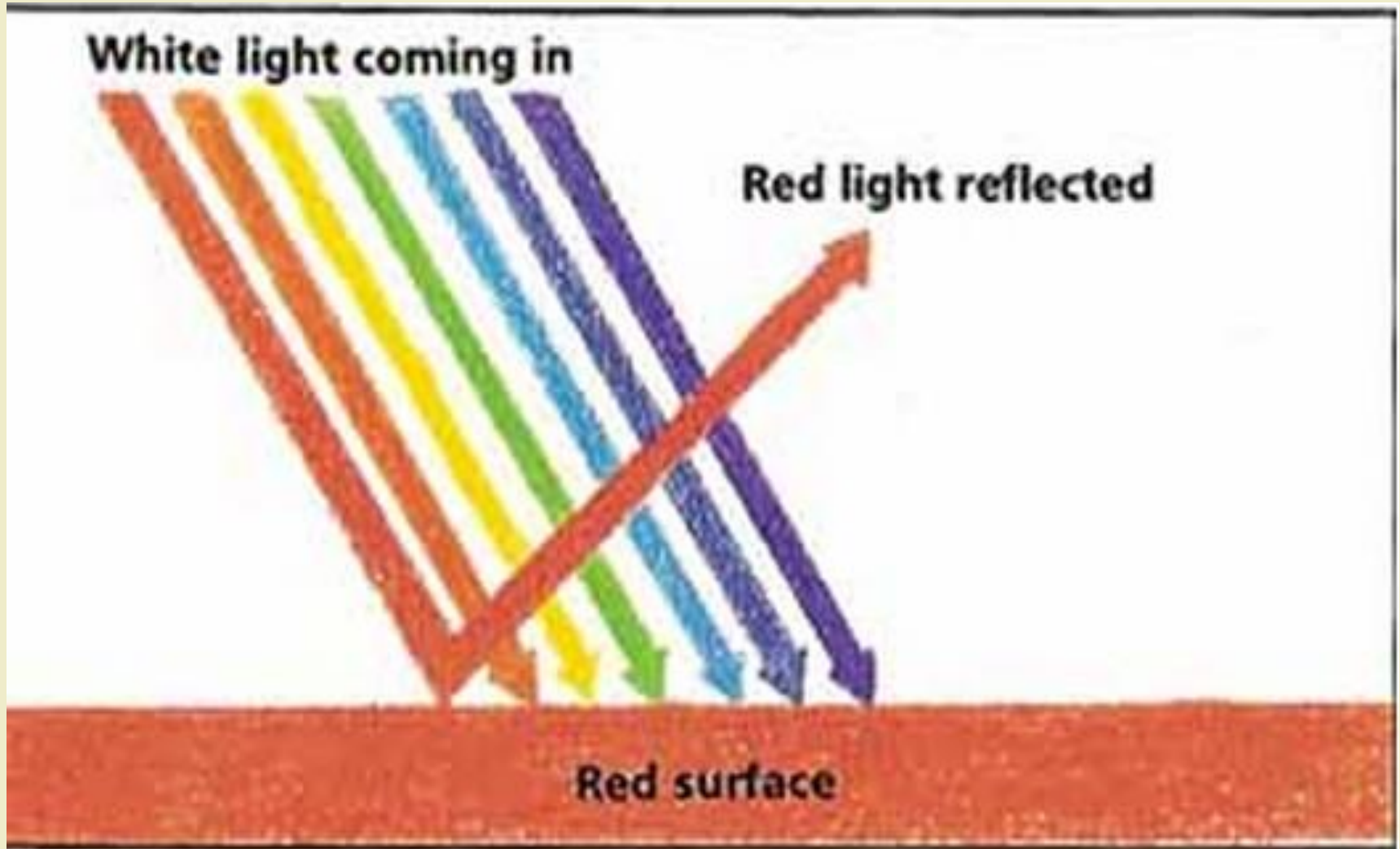
a source of illumination

# Lighting in the Real World

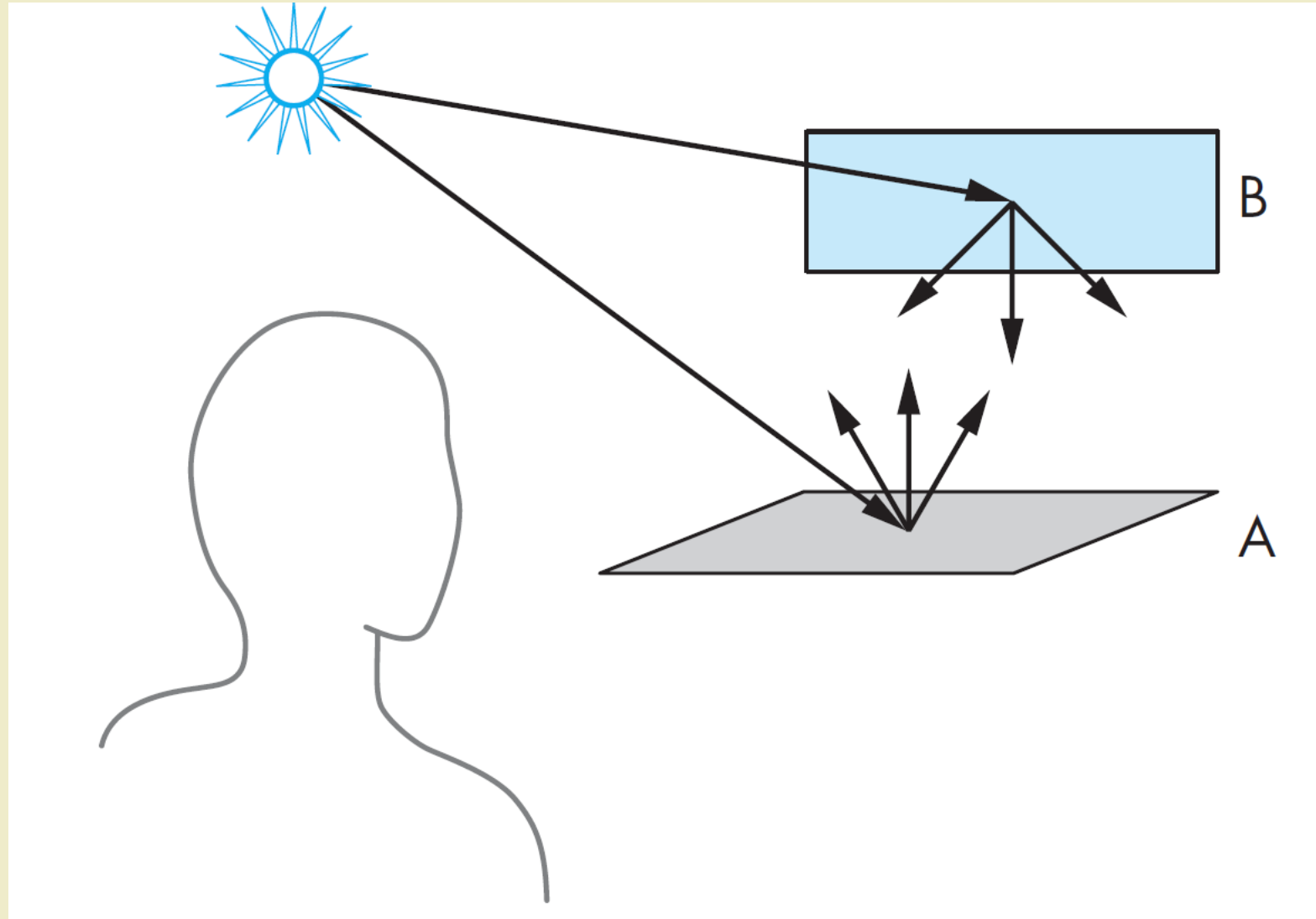




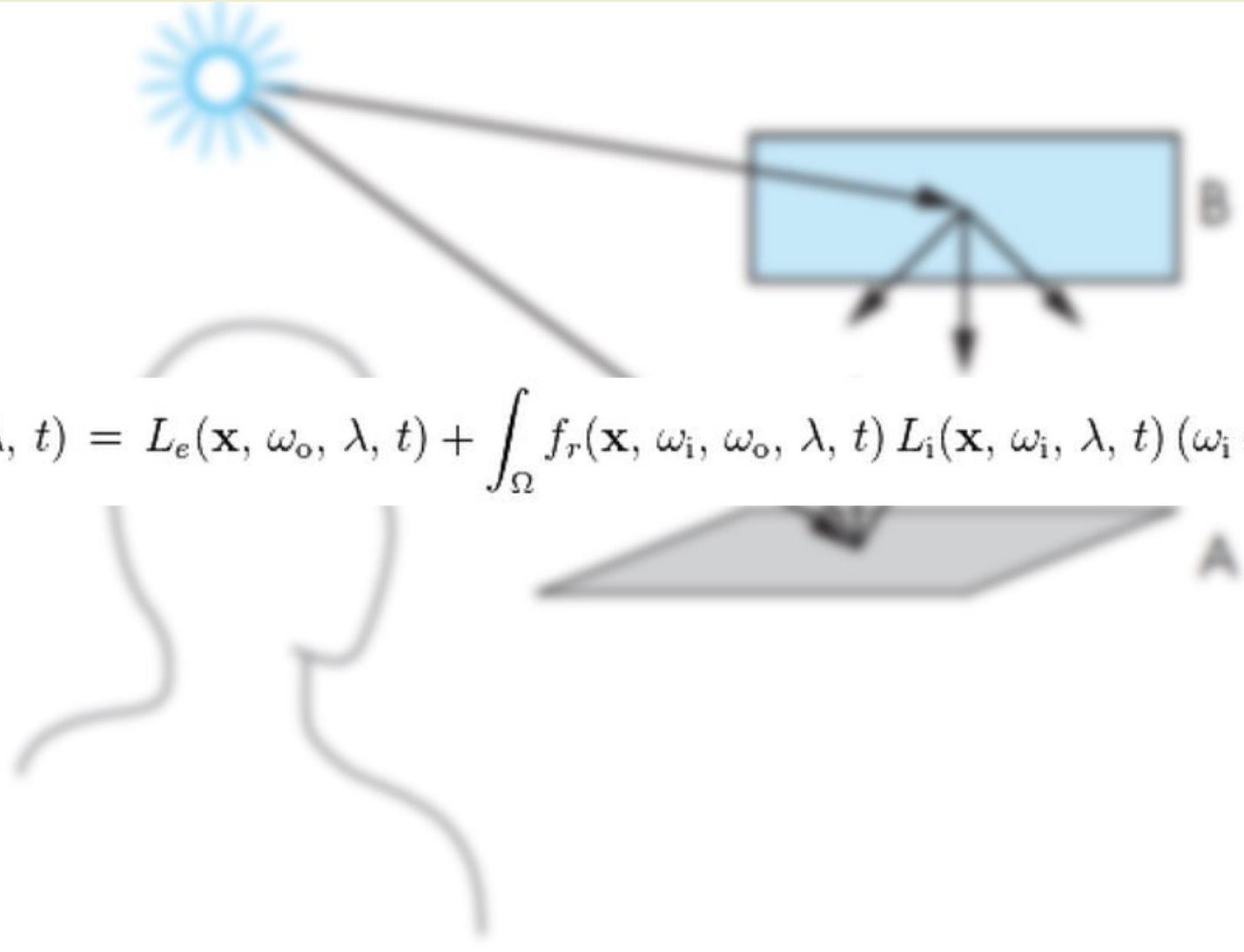
# Lighting in the Real World



# Lighting in the Real World



# Rendering Equation



$$L_o(\mathbf{x}, \omega_o, \lambda, t) = L_e(\mathbf{x}, \omega_o, \lambda, t) + \int_{\Omega} f_r(\mathbf{x}, \omega_i, \omega_o, \lambda, t) L_i(\mathbf{x}, \omega_i, \lambda, t) (\omega_i \cdot \mathbf{n}) d\omega_i$$

# Approximating Lighting

Numerical methods for computing the  
**rendering equation** are not fast enough for  
real-time graphics



# Approximating Lighting

Solved by using

**Local approximation lighting models**

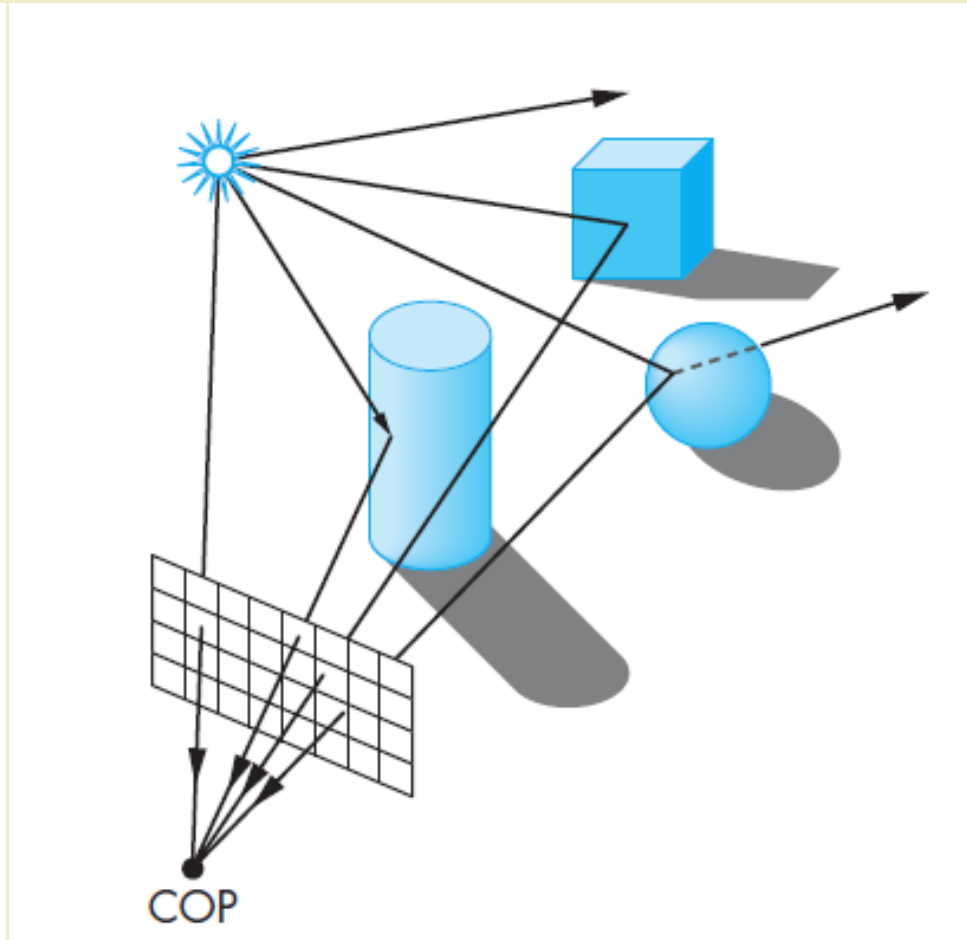
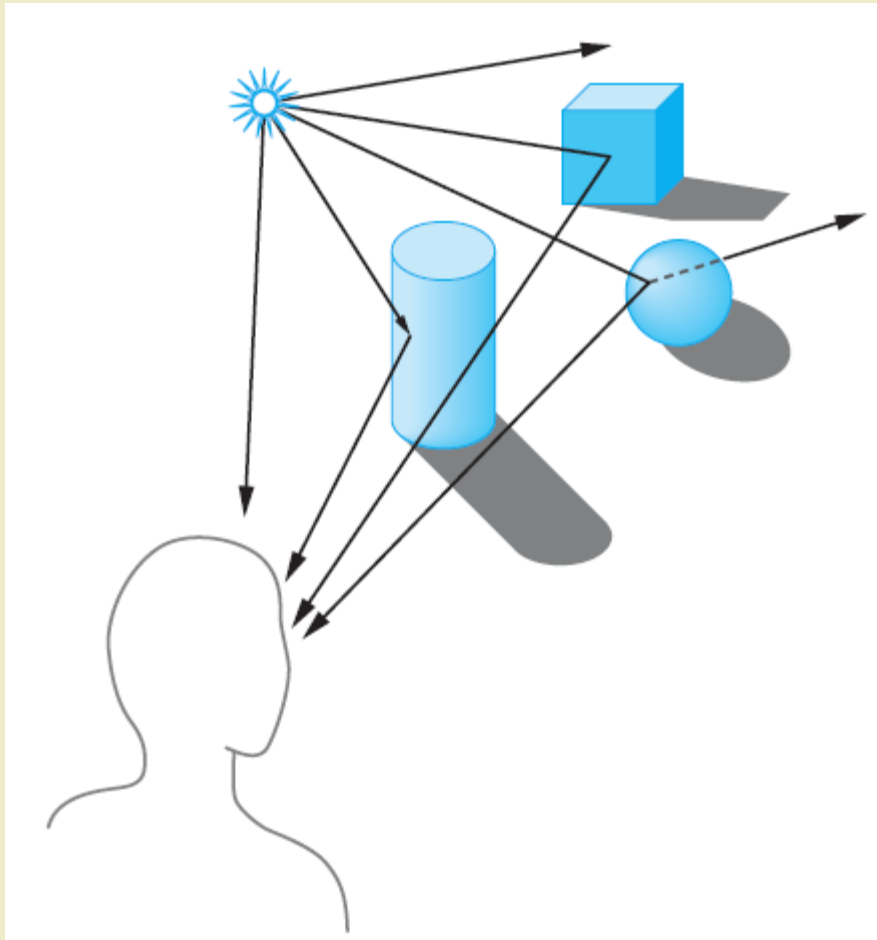
# Local approximation lighting models

Direct interactions between light sources and  
surface material

# Local approximation lighting models

Multiple object interaction is **not considered**

# Approximating Lighting



# LIGHT SOURCES

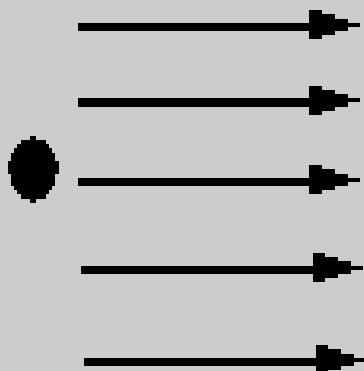
# Light Sources

Ambient Light

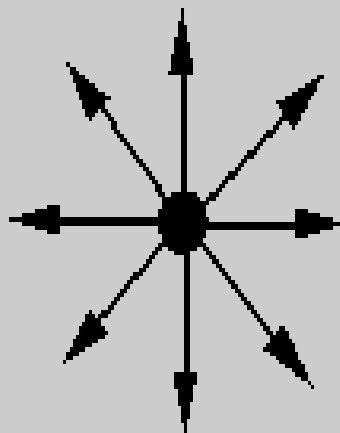
Point Light (and Spot Light)

Directional Light

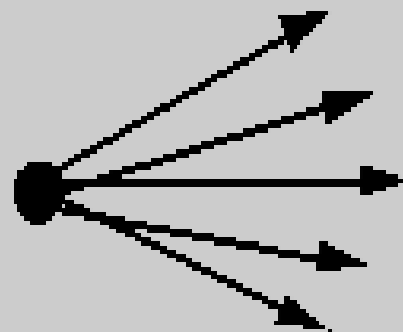




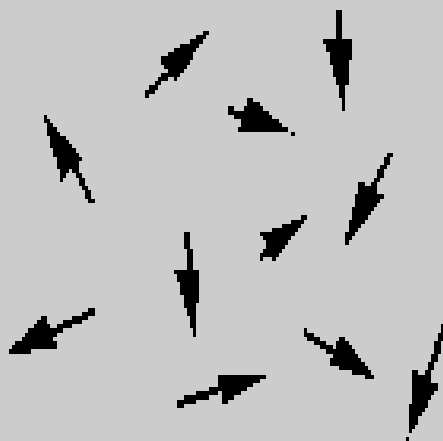
Directional light



Point light



Spot light



Ambient light

# Ambient Light (Real World)

Source of light that is **not explicitly supplied**  
by the photographer for the purpose of taking  
photos

# Ambient Light (Computer Graphics)

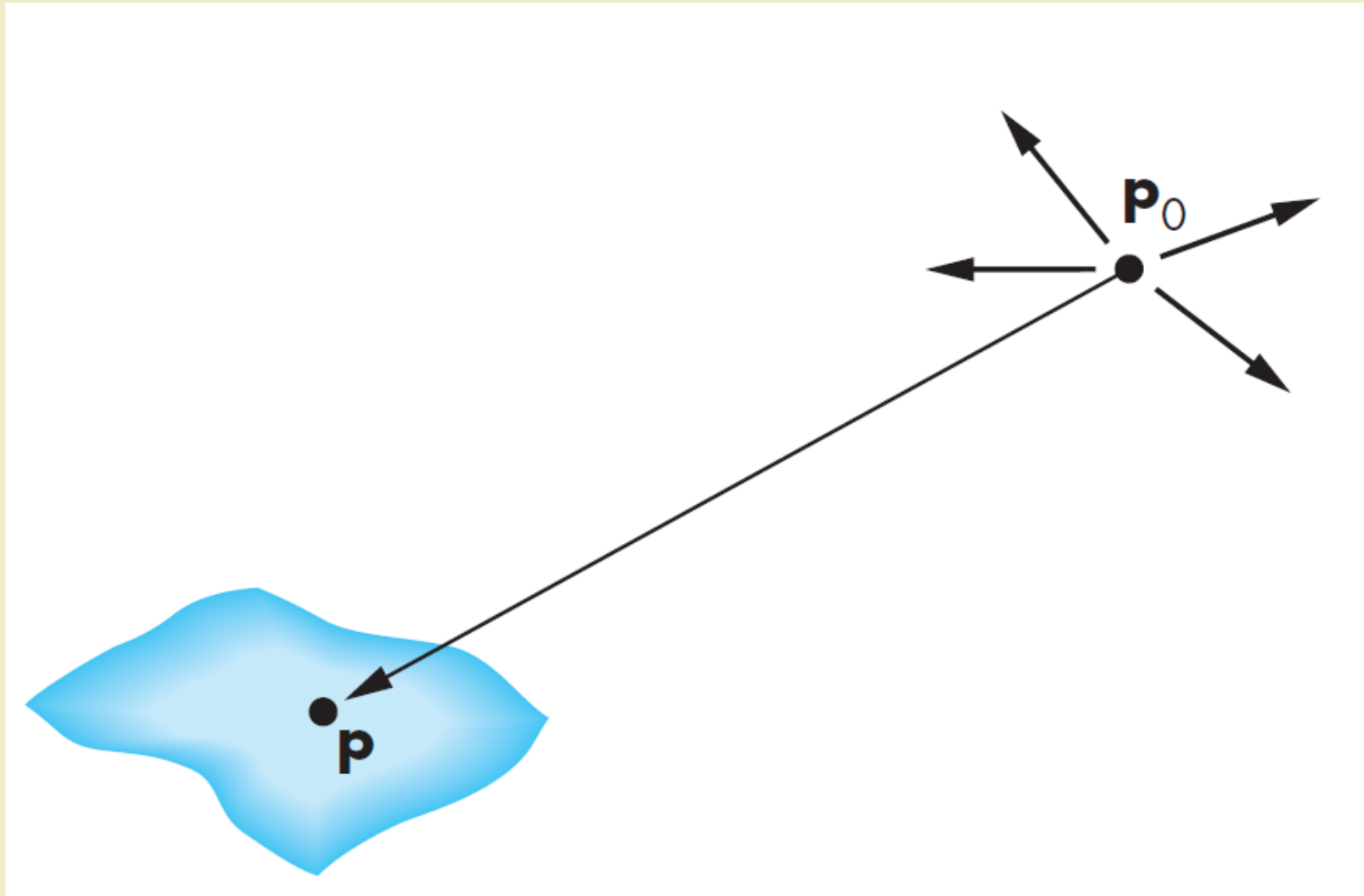
Uniform illumination on all objects

Crude approximation to global light  
scattering effects

# Ambient Light



# Point Light



# Point Light

A light located at some point  $P$



# Point Light

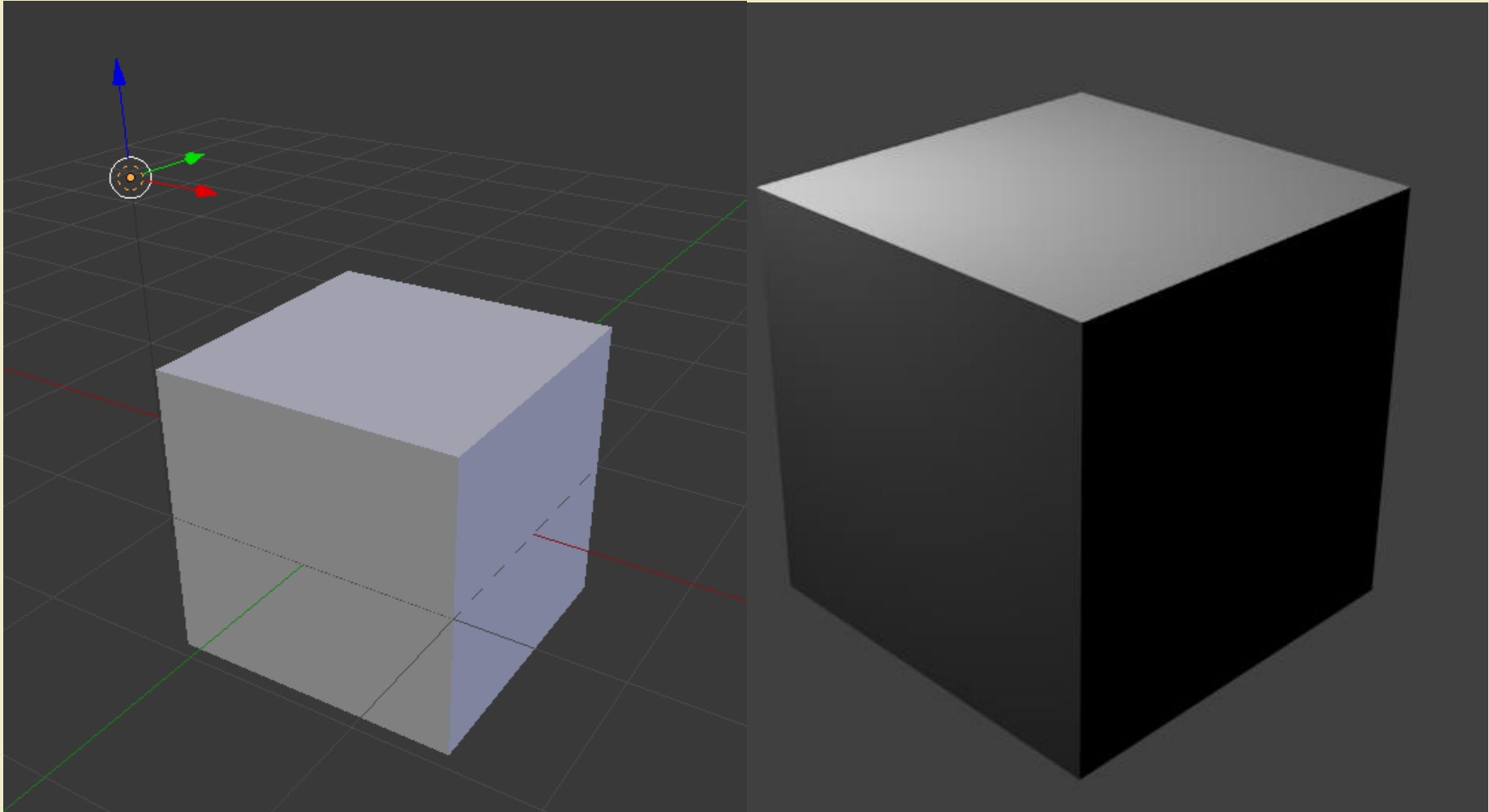
Emit light **equally** in all directions

# Point Light

Exhibits **attenuation** of light wave

Illumination from point source is inversely proportional to  
distance of point light to surface

# Point Light



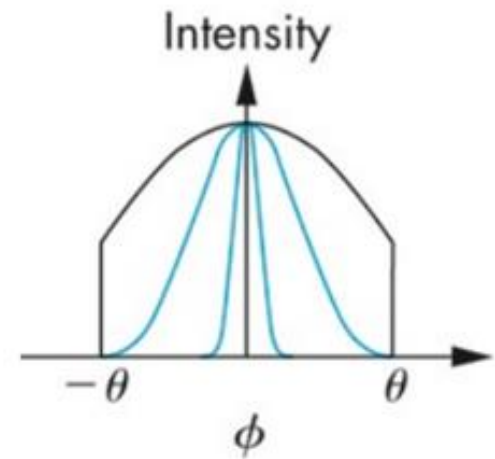
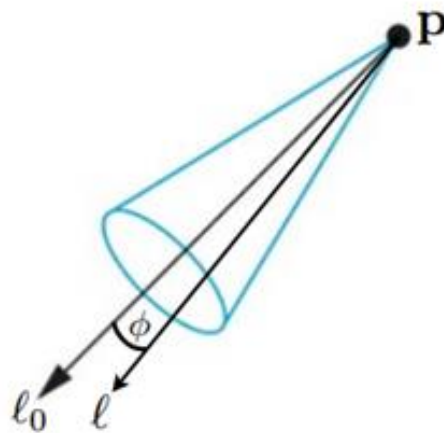
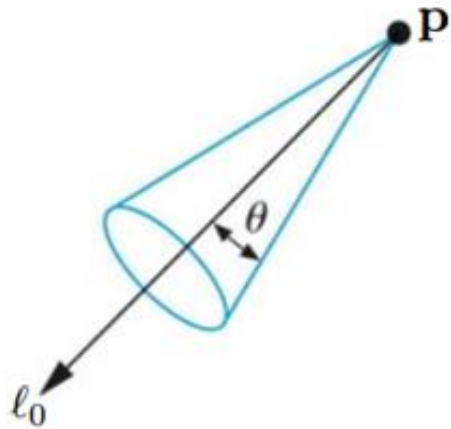
# Spotlights

Special Point Light

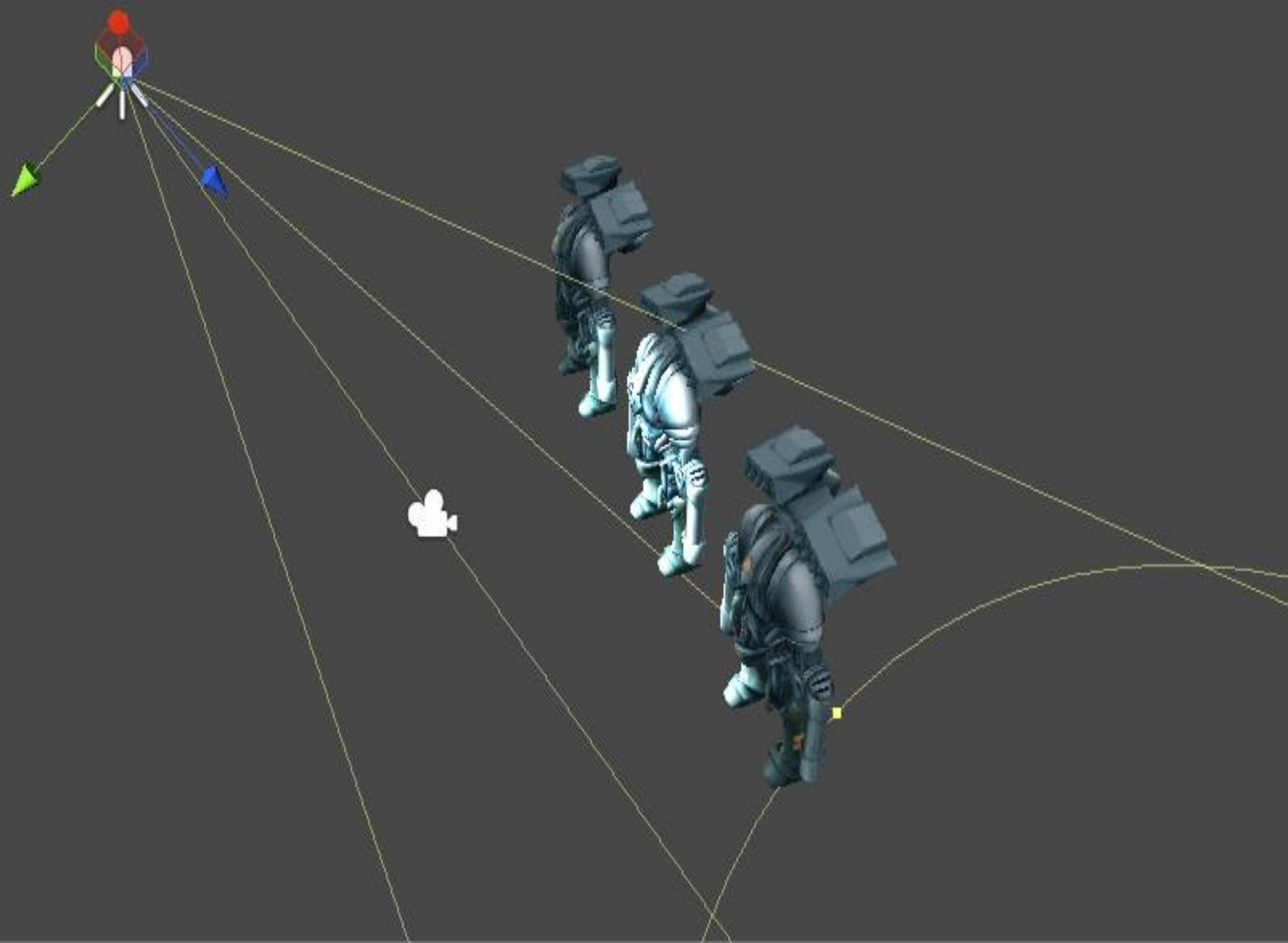
# Spotlights

Similar to point light but limiting the light emission to narrow range of angles

# Spotlights







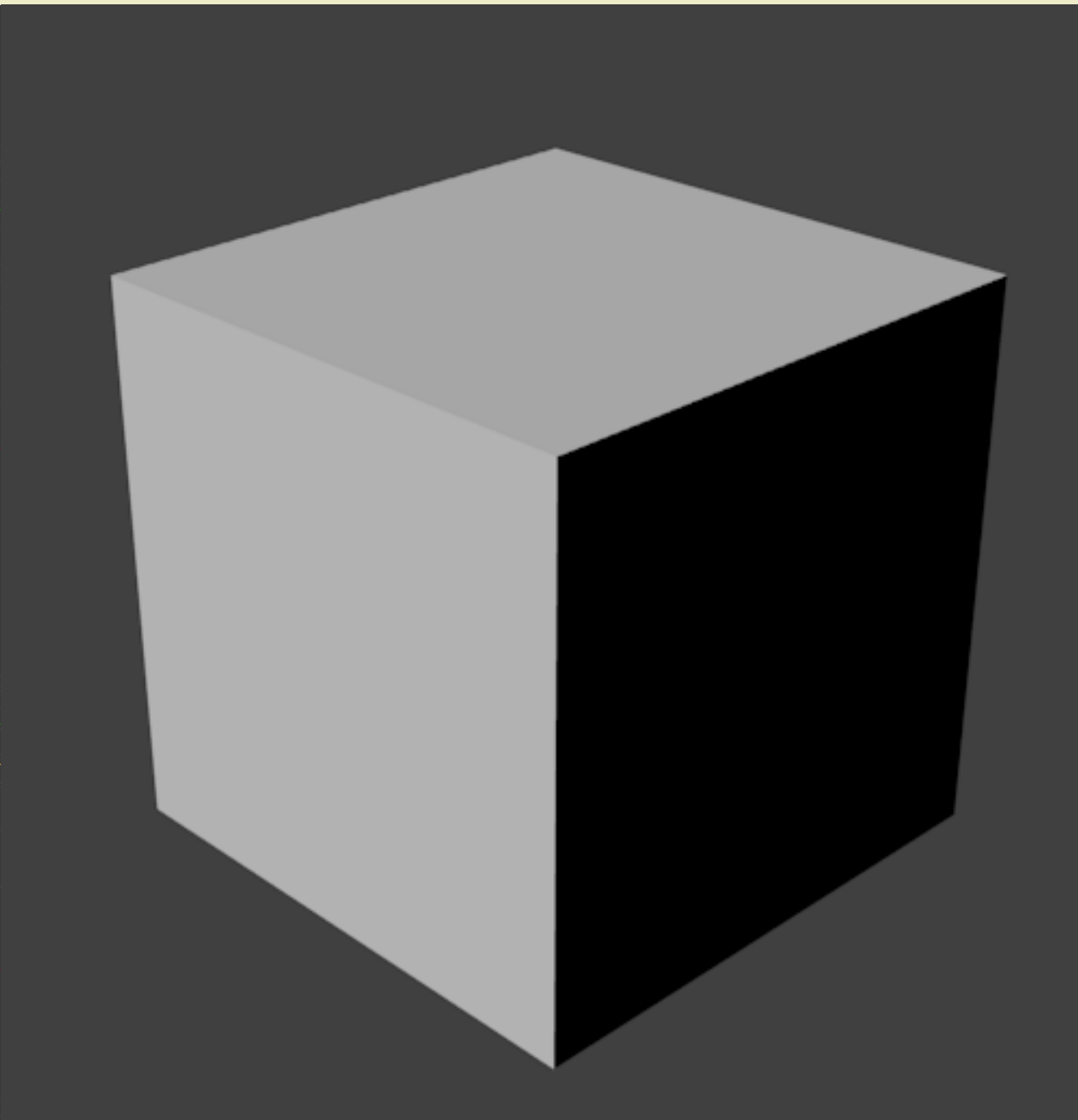
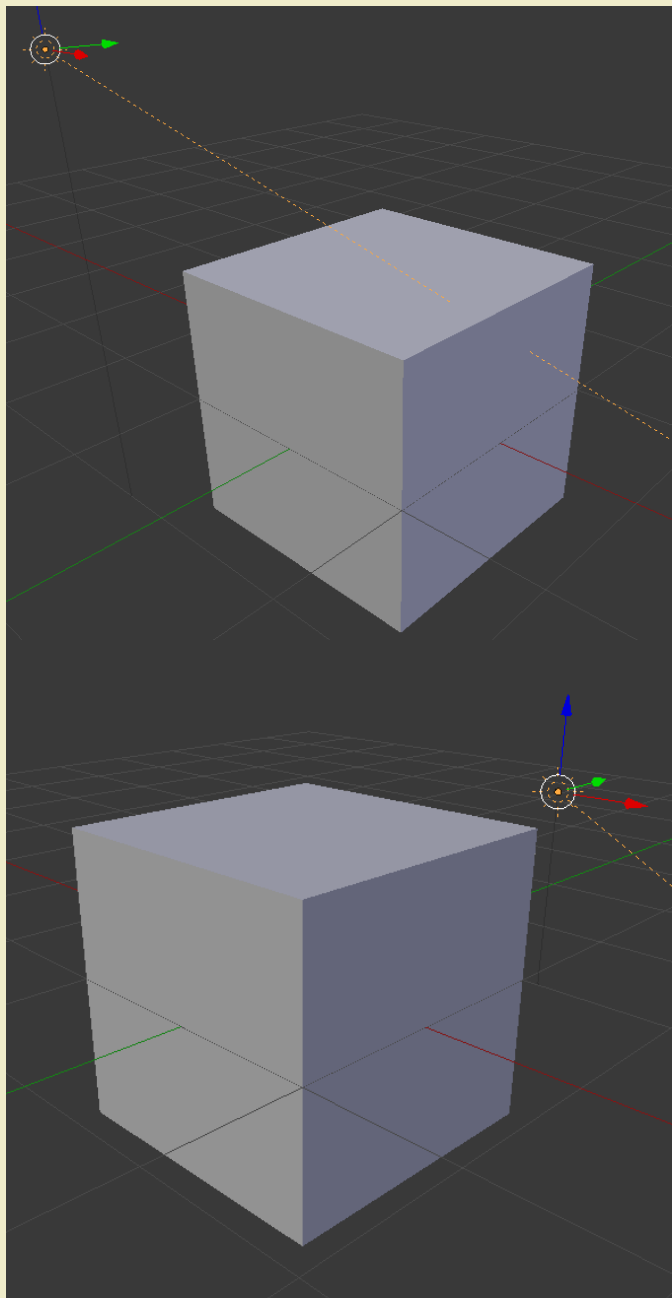


# Directional Light

Approximates distant light sources where only the **surface angles matter** and not the distance

# Directional Light

Sun is a best real world example of directional light



# LIGHT-MATERIAL INTERACTION



# Light-Material Interaction

Specular surface

Diffuse surface

Translucent surface

# Specular Surface

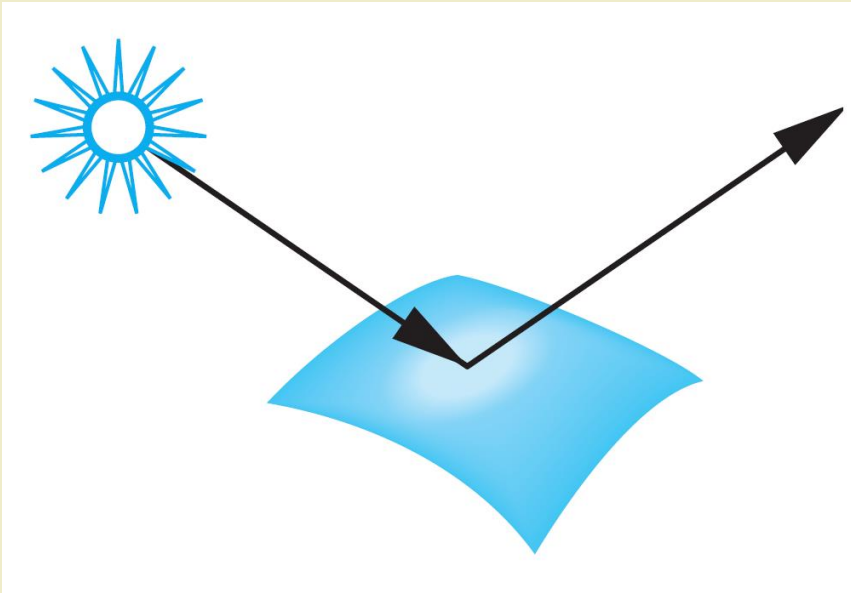
Light reflected is scattered in narrow range of angles close to the angle of reflection

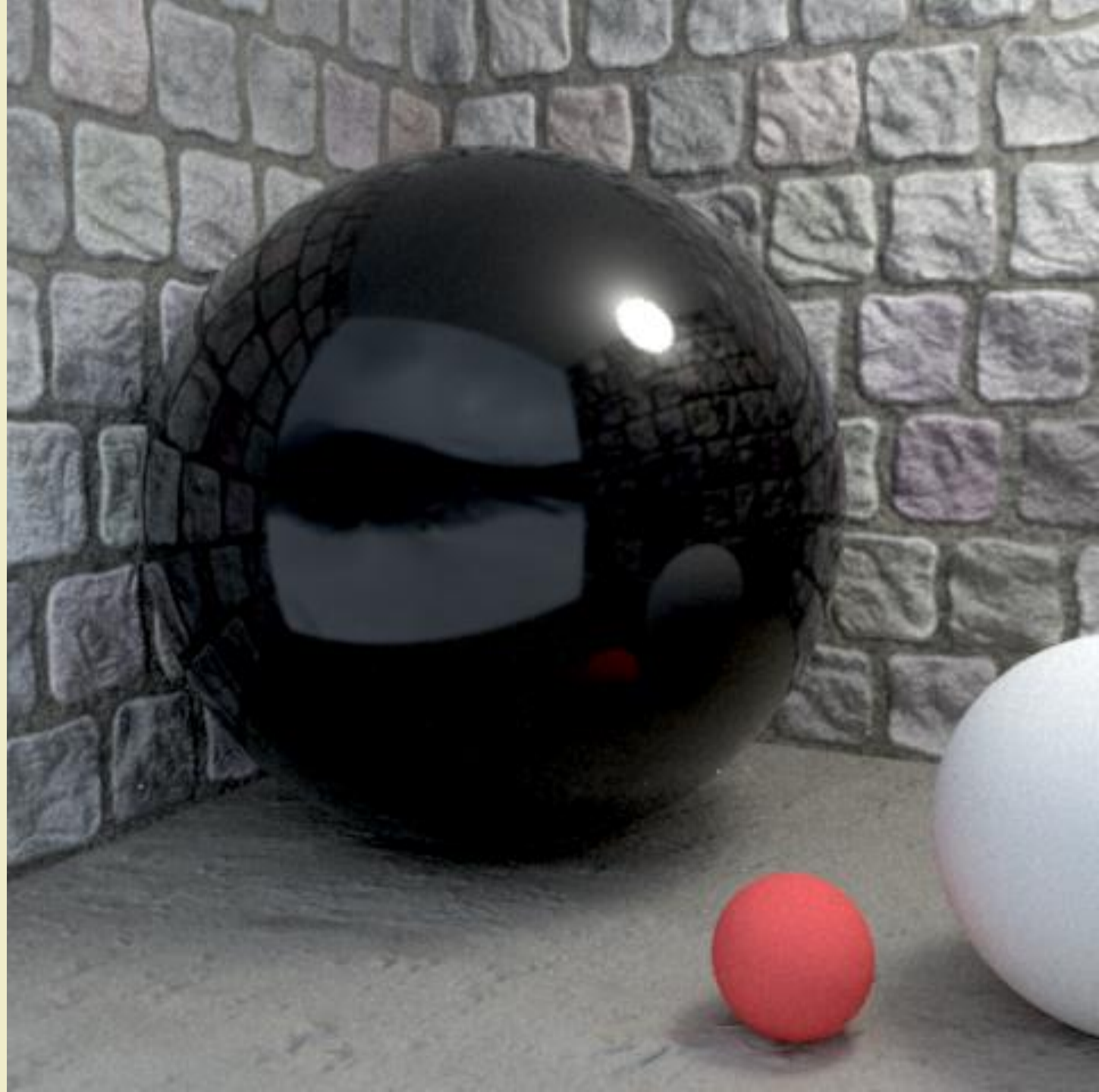
# Specular Surface

## Perfectly specular surface

All reflected light emerges at a single angle (Mirrors)

# Specular Surface





# Diffuse Surface

Light reflected is scattered in **all directions**

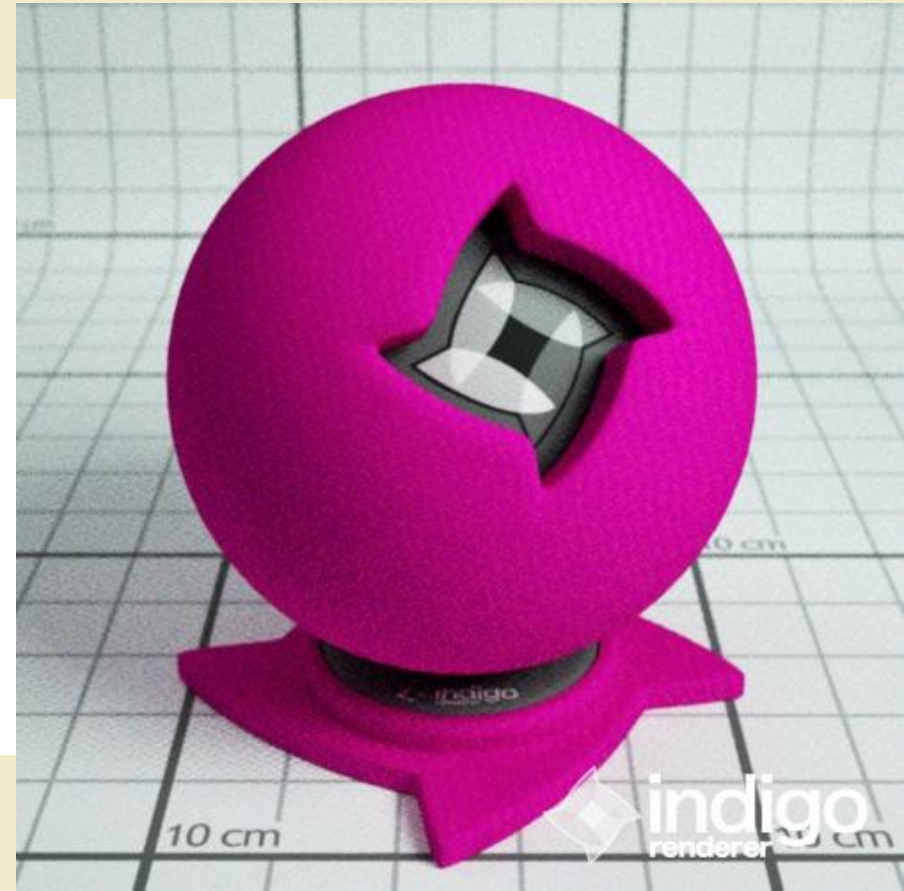
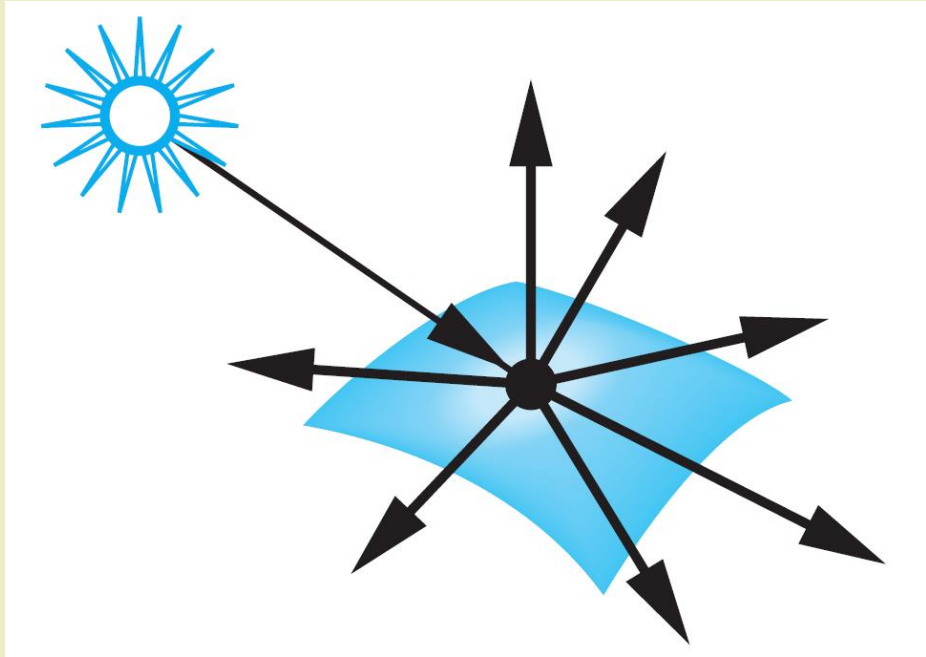
Matte (car paint), Flat paint, Fabric

# Diffuse Surface

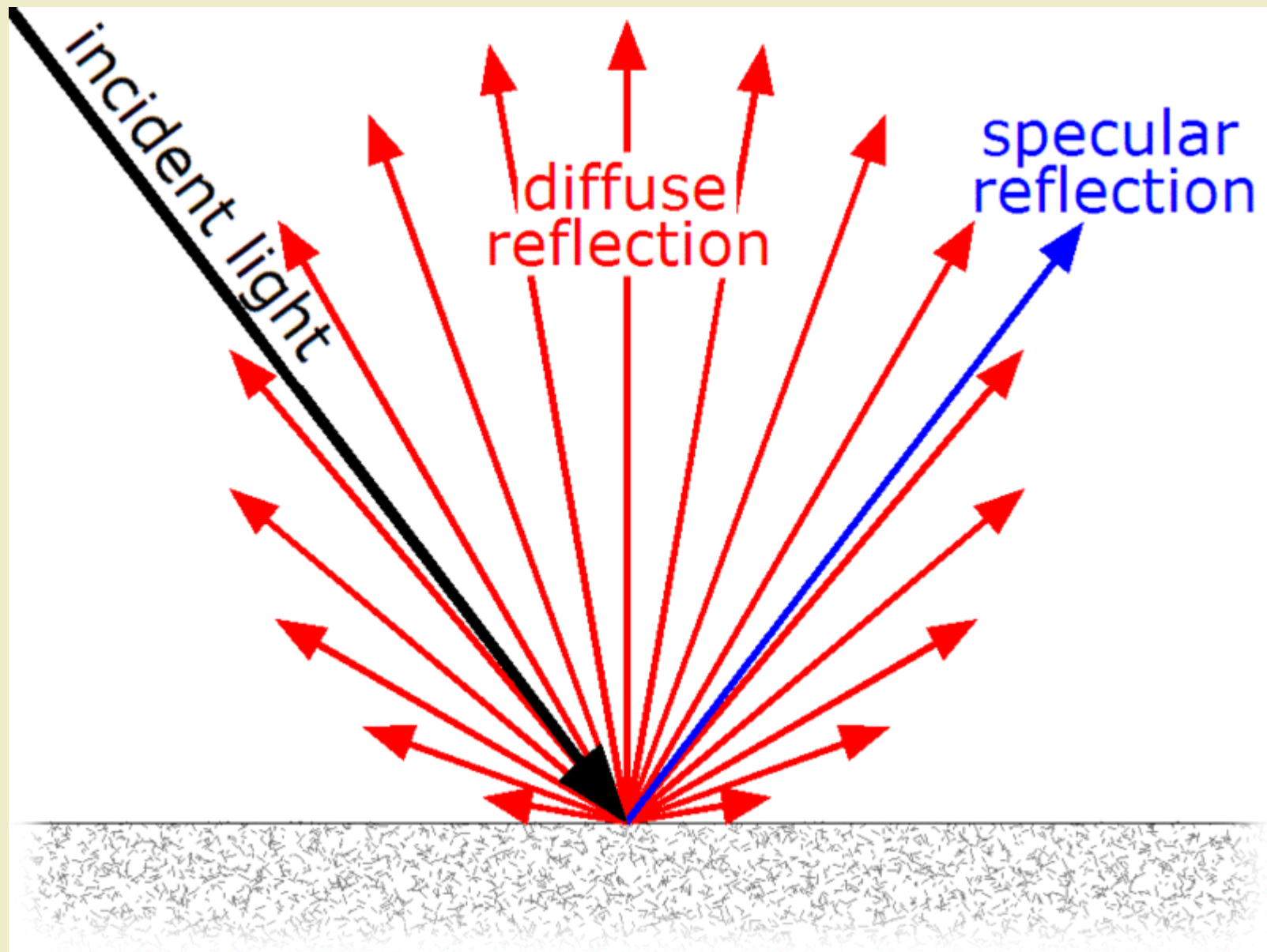
## Perfectly Diffuse Surface

Materials that scatter light equally in all directions

# Diffuse Surface





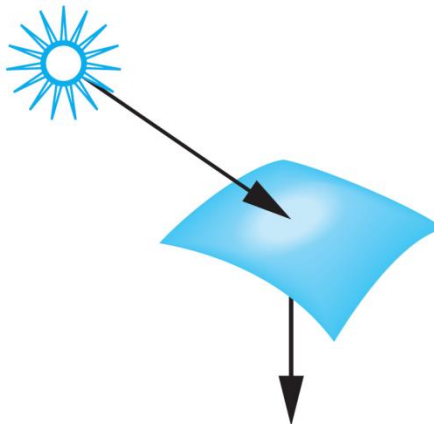


# Translucent Surface

Fraction of the light penetrates the surface to  
emerge from another location

Refraction of light

# Translucent Surface



# LIGHTING MODEL

# Lighting Model

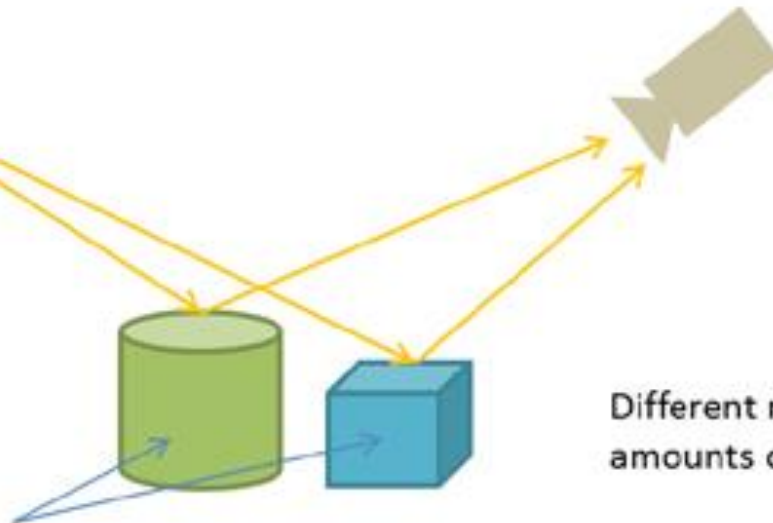
A mathematical representation of how the  
**normals, materials, and lights** are combined  
to produce the color of the fragment

# Scene Lighting

Light Source

Camera

Materials

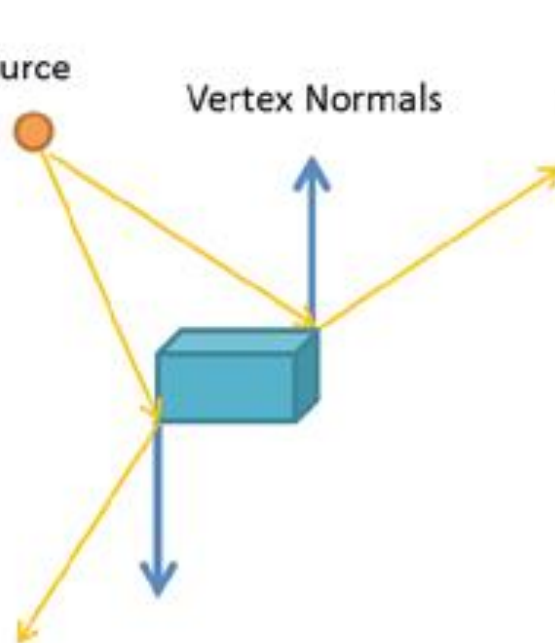


Different materials reflect different amounts of light.

Light Source

Vertex Normals

Camera



Normals allows us to calculate the direction of light that is reflected.

If the reflected light lies outside of our field of vision (camera) then we will not see it

# LIGHITNG MODEL: LIGHTS

# Lighting Model Components:

## Lights

### Ambient Light

Represented by a scalar value



# Lighting Model Components:

## Lights

Point Light (Positional Light)

Represented by a point in space

# Lighting Model Components:

## Lights

### Directional Light

Represented by a **normalized vector**

# Lighting Model Components:

## Lights

Color properties:

Light ambient color

Light diffuse color

Light specular color

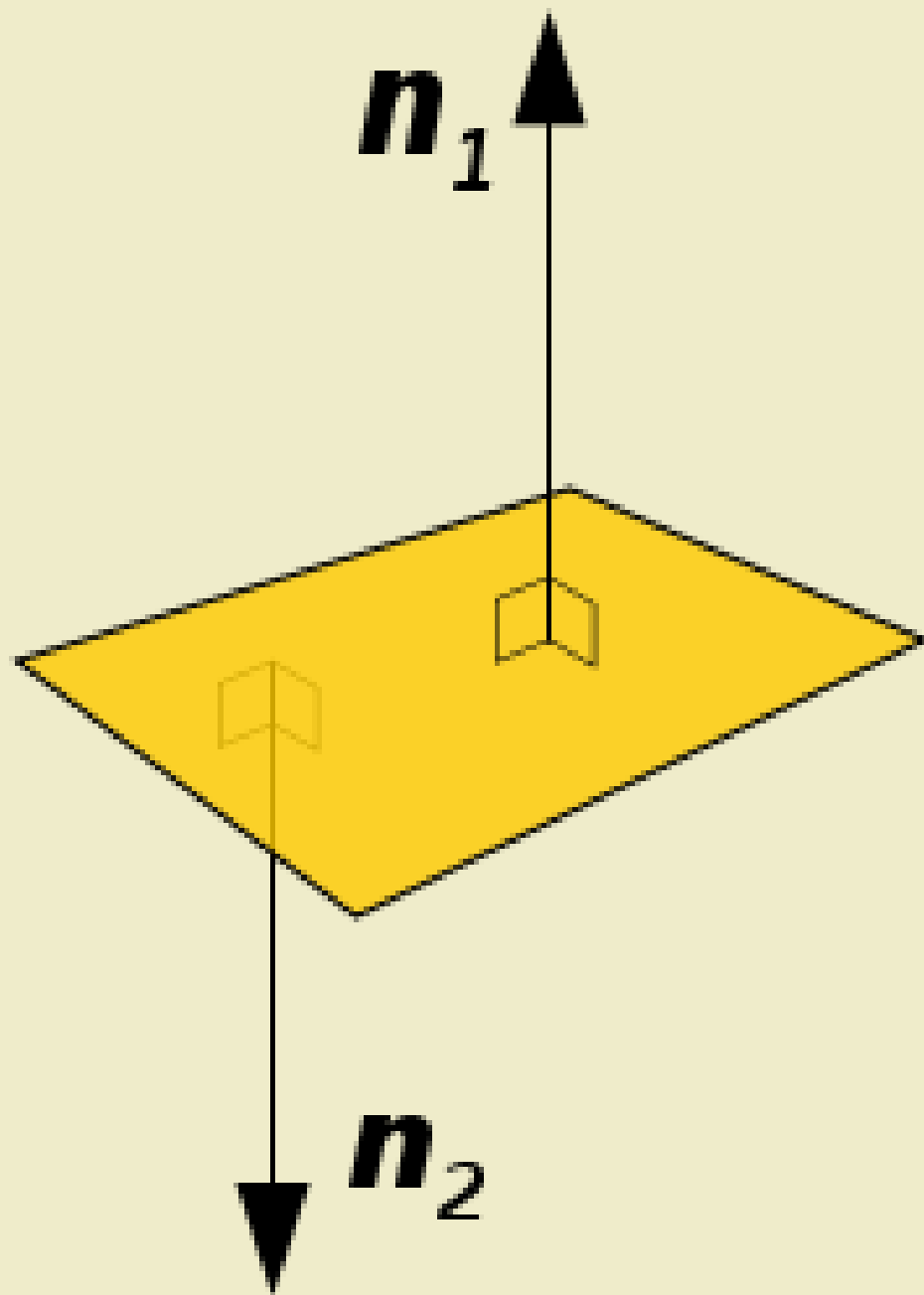
# LIGHTING MODEL: NORMALS

# Lighting Model Components:

## Normals

**Vectors** perpendicular to the surface

Represent orientation of the surface



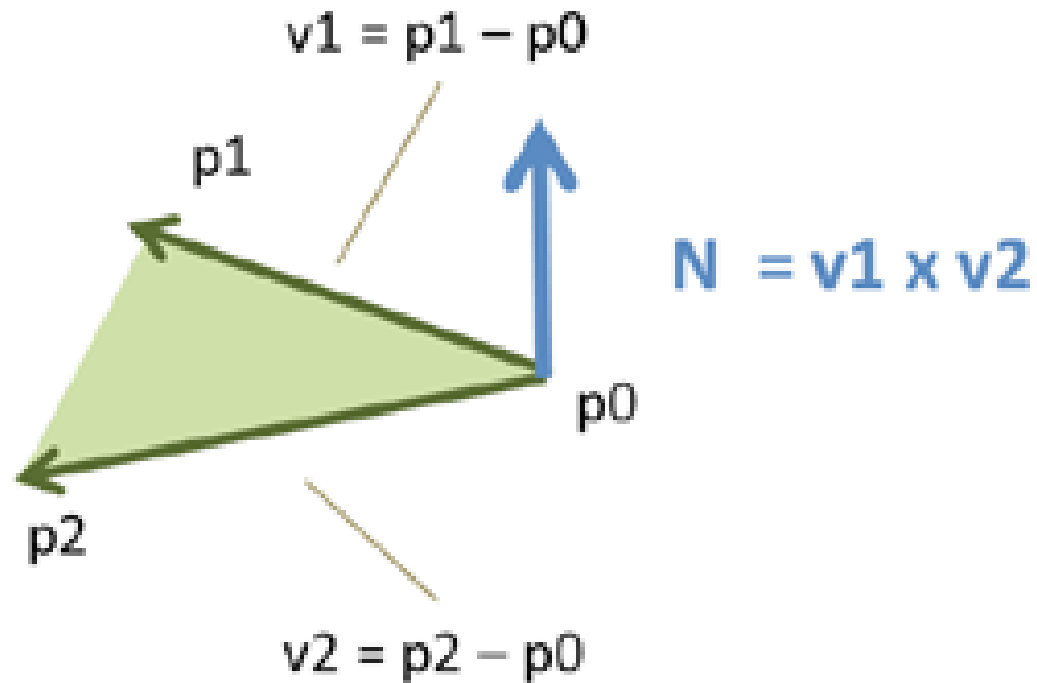
# Lighting Model Components: **Normals**

In computer graphics

Each vertex is associated with its own normal  
vector

# Calculating Normal Vectors

## Calculating the normals





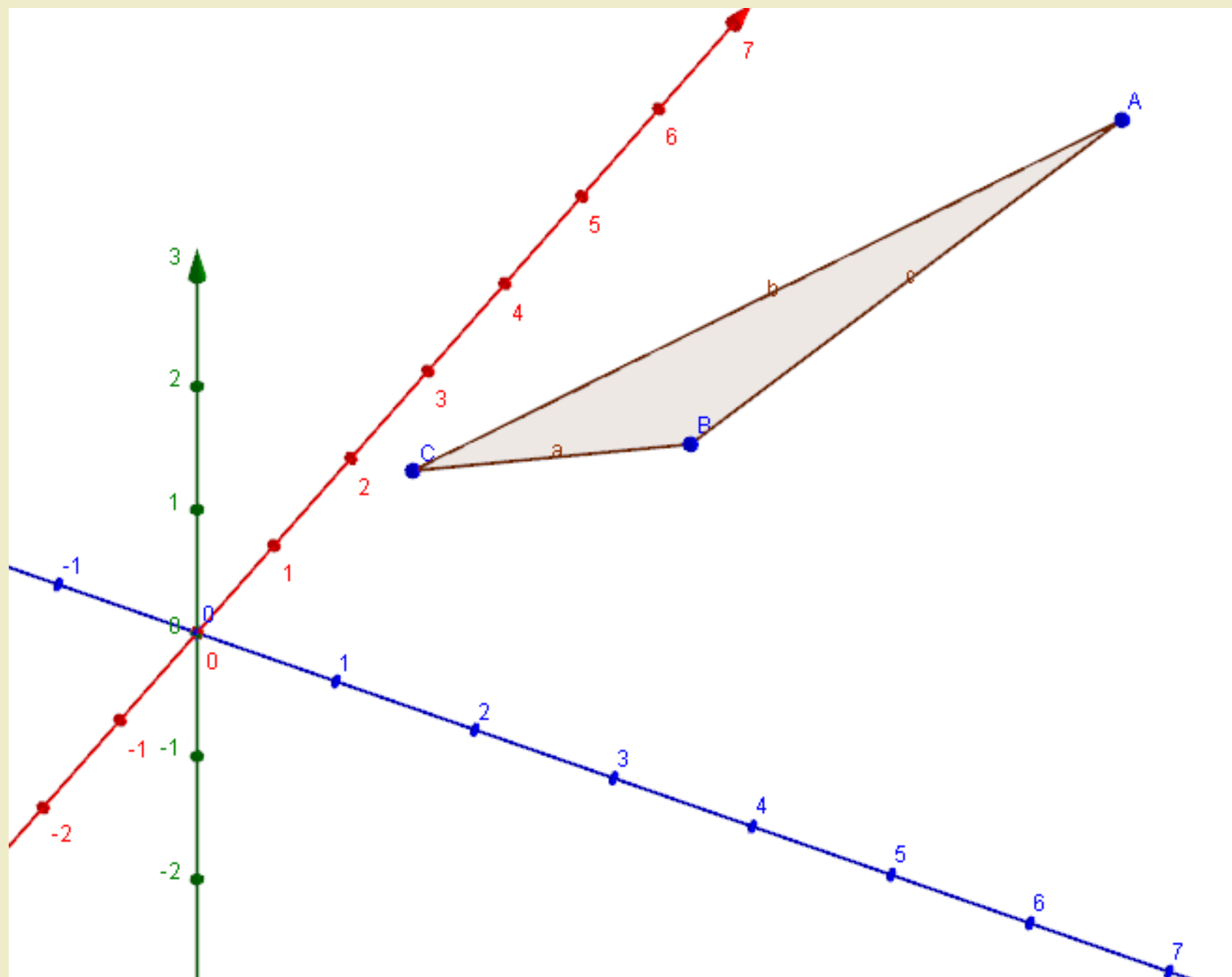
# Calculating Normal Vectors

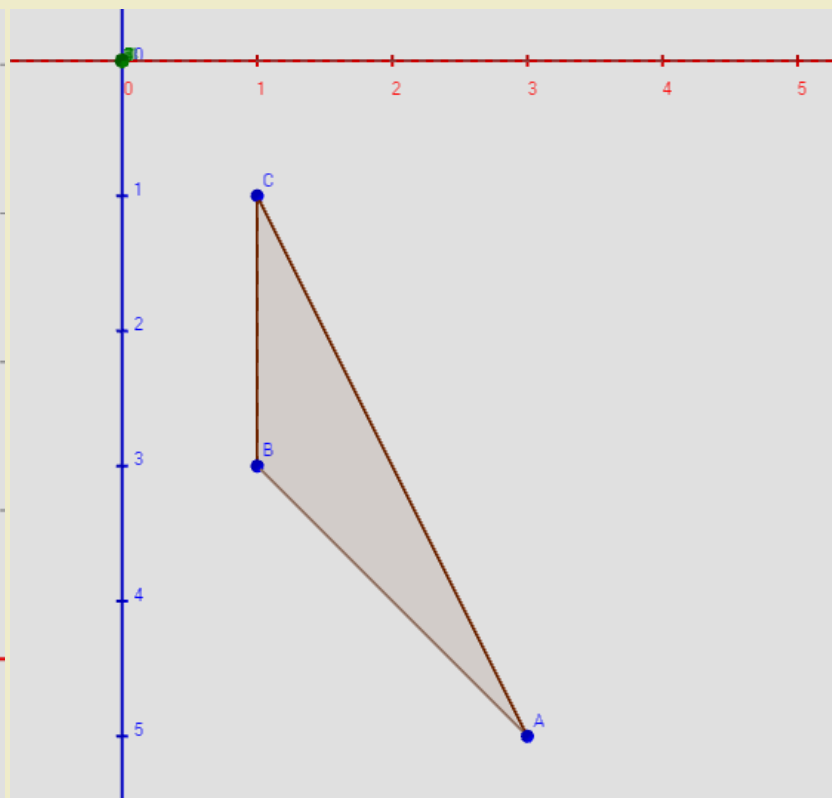
Triangle

$$A = (3, 4, 5)$$

$$B = (1, 2, 3)$$

$$C = (1, 1, 1)$$





# Calculating Normals

$$v_1 = B - A$$

$$v_2 = C - A$$

# Calculating Normals

$$v_1 = \begin{bmatrix} 1 \\ 2 \\ 3 \\ 1 \end{bmatrix} - \begin{bmatrix} 3 \\ 4 \\ 5 \\ 1 \end{bmatrix}$$

$$v_2 = \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix} - \begin{bmatrix} 3 \\ 4 \\ 5 \\ 1 \end{bmatrix}$$

# Calculating Normals

$$v_1 = \begin{bmatrix} -2 \\ -2 \\ -2 \\ 0 \end{bmatrix}$$

$$v_2 = \begin{bmatrix} -2 \\ -3 \\ -4 \\ 0 \end{bmatrix}$$

# Calculating Normals

$$n_1 = v_1 \times v_2$$

$$n_2 = v_2 \times v_1$$

# Calculating Normals

$$n_1 = \begin{bmatrix} -2 \\ -2 \\ -2 \\ 0 \end{bmatrix} \times \begin{bmatrix} -2 \\ -3 \\ -4 \\ 0 \end{bmatrix}$$

$$n_2 = \begin{bmatrix} -2 \\ -3 \\ -4 \\ 0 \end{bmatrix} \times \begin{bmatrix} -2 \\ -2 \\ -2 \\ 0 \end{bmatrix}$$

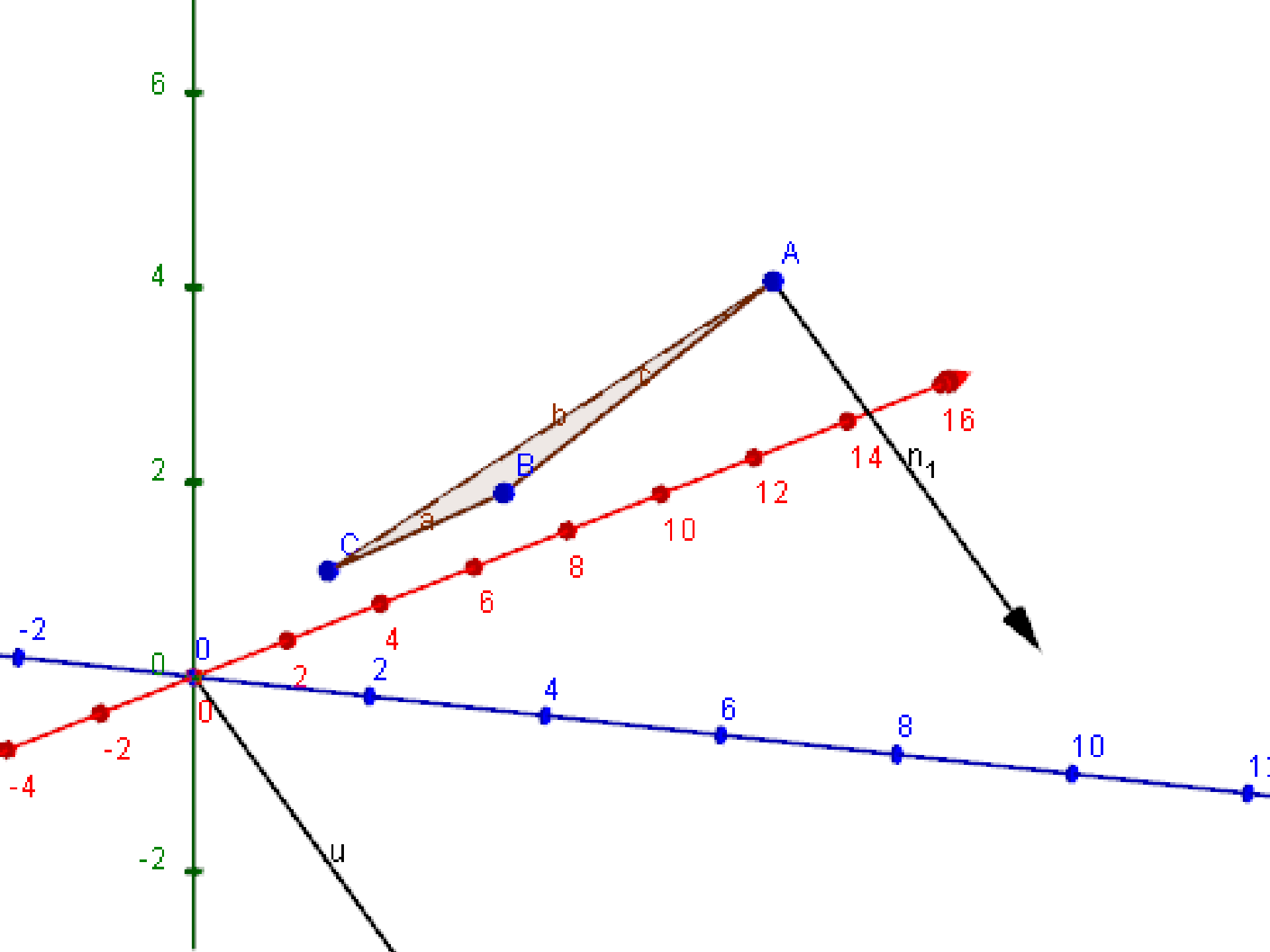


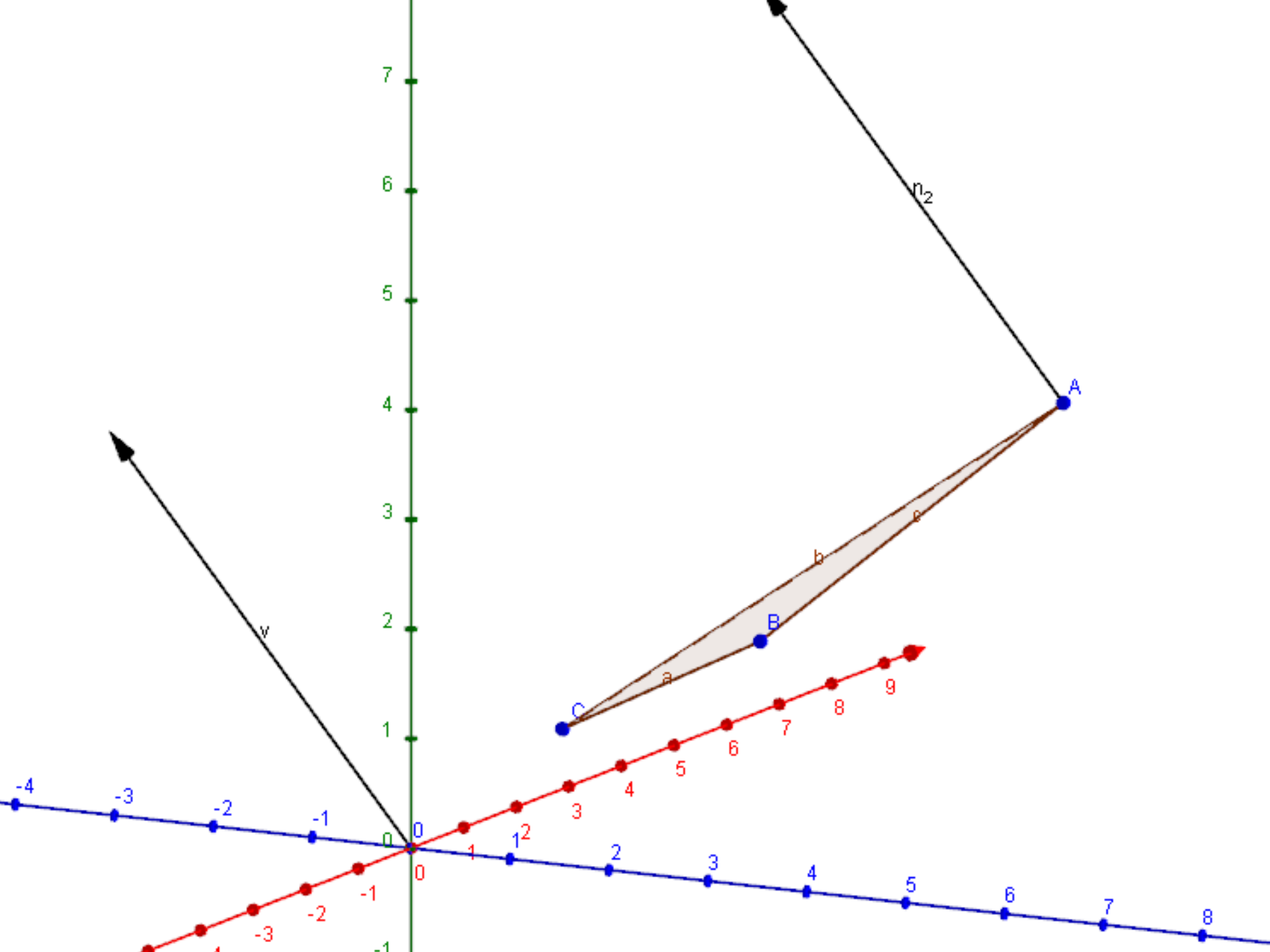
# Calculating Normals

Normal vector at point A of triangle ABC

$$n_1 = \langle 2, -4, 2 \rangle$$

$$n_2 = \langle -2, 4, -2 \rangle$$

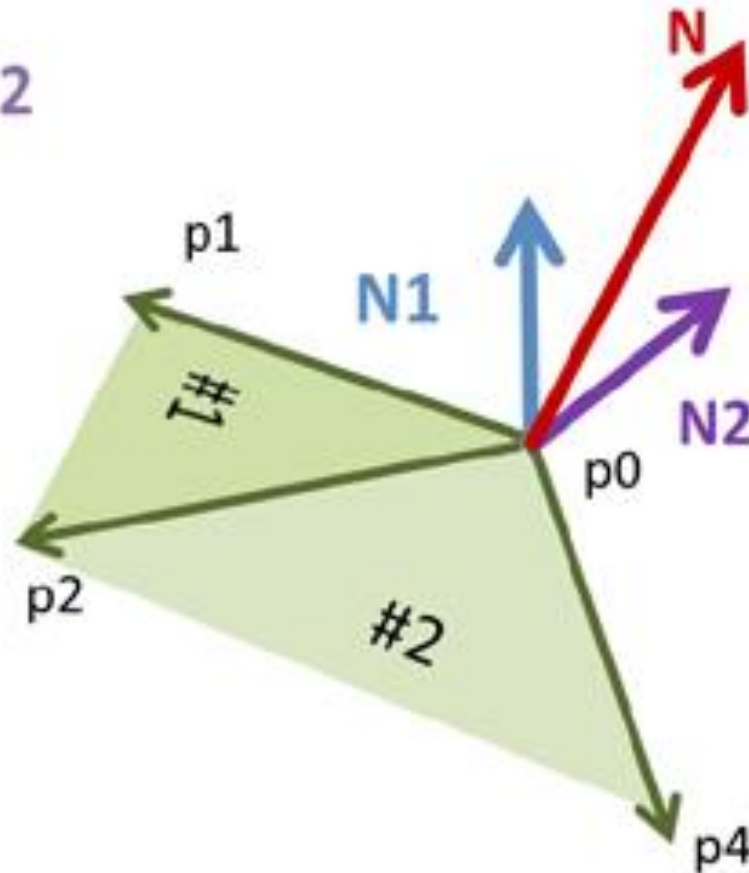




# Calculating Normal Vectors

Updating normals for shared vertices

$$\mathbf{N} = \mathbf{N1} + \mathbf{N2}$$



# LIGHTING MODEL: MATERIALS

# Lighting Model Components:

## Materials

### Colors

3-tuple: (rgb) or 4-tuple: (rgba)

# Lighting Model Components:

## **Materials**

### Textures

Images that are mapped to the surface of the object

# Lighting Model Components: Materials

## Example Brass Material

Ambient color: (0.33, 0.22, 0.03, 1.0)

Diffuse color: (0.78, 0.57, 0.11, 1.0)

Specular color: (0.99, 0.91, 0.81, 1.0)

Emission color: (0.0, 0.0, 0.0, 1.0)

Shininess factor: 27.8





# LAMBERTIAN LIGHT REFLECTION MODEL

# Lambertian Light Reflection Model

Lambert's emission law

Johann Heinrich Lambert, Photometria, 1760

# Lambertian Light Reflection Model

A model for **diffuse reflection**

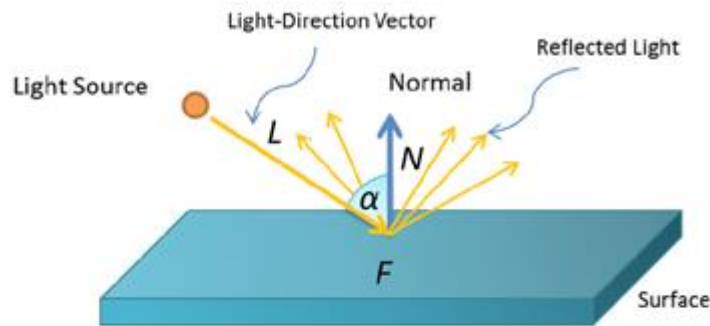
# Lambertian Light Reflection Model

$$FinalDiffuseColor = LightDiffuseProperty \times MaterialDiffuseProperty \times LambertCoefficient$$

$$= L_d \times k_d \times LambertCoefficient$$

# Lambertian Light Reflection Model

## Lambertian Reflectance

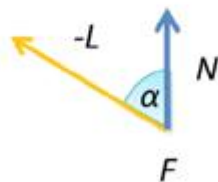


Final Diffuse Color

$$F = C_l C_m (-L \cdot N)$$

Light Diffuse Color    Material Diffuse Color

## Final diffuse color calculation for fragment F



$$-L \cdot N = |-L||N| \cos \alpha$$

If  $L$  and  $N$  are normalized then:

$$-L \cdot N = \cos \alpha$$

$$F = C_l C_m \cos \alpha$$

A Lambertian surface reflects light in many directions

# Lambertian Light Reflection Model

$$FinalDiffuseColor = LightDiffuseProperty \times MaterialDiffuseProperty \times LambertCoefficient$$

$$= L_d \times k_d \times LambertCoefficient$$

$$= L_d \times k_d \times (-\hat{l} \cdot \hat{n})$$

# Lambertian Light Reflection Model

## Sample 1

Diffuse Light Color: (1.0,1.0,1.0)

Diffuse Material Color: (0.0,1.0,0.0)

Direction of light to plane:  $\langle 3.0, -3.0, 0.0 \rangle$

Normal Vector of plane:  $\langle 0.0, 2.0, 0.0 \rangle$

Final Diffuse Color = ?



# Lambertian Light Reflection Model

## Sample 1

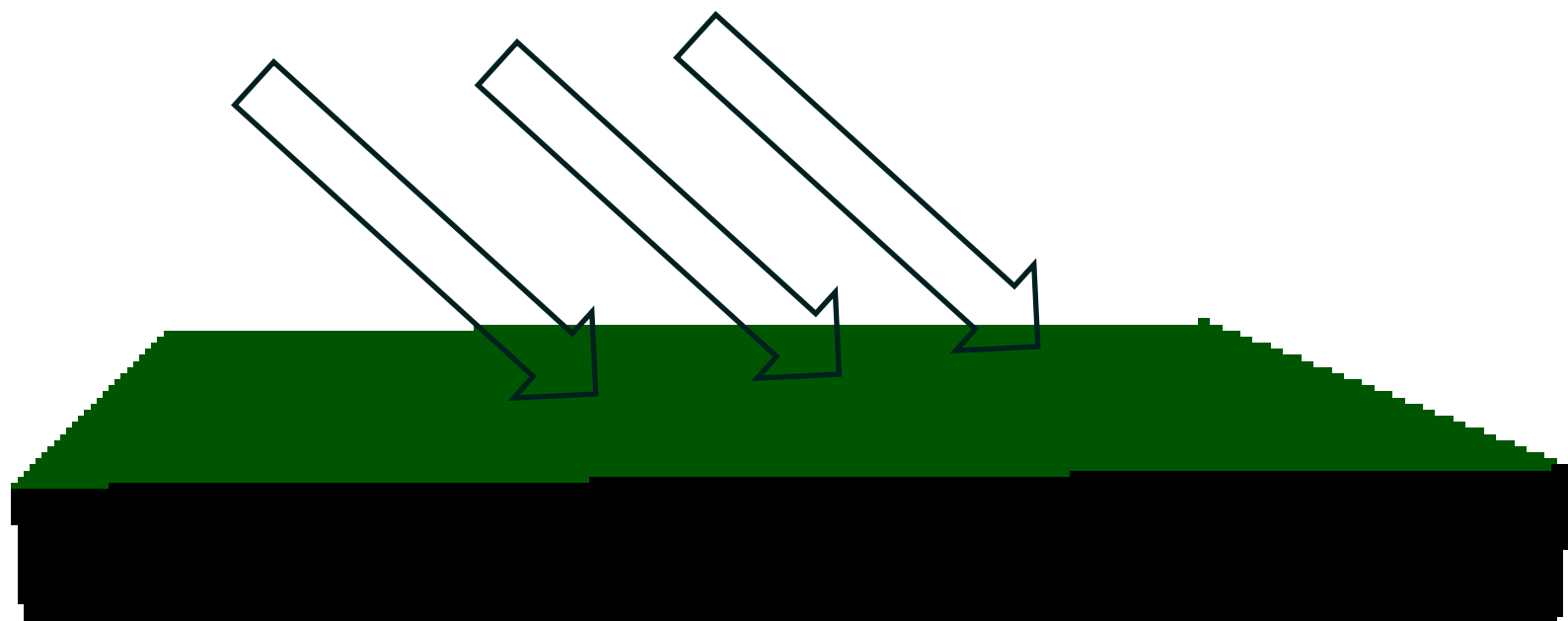
Diffuse Light Color: (1.0,1.0,1.0)

Diffuse Material Color: (0.0,1.0,0.0)

Direction of light to plane:  $\langle 3.0, -3.0, 0.0 \rangle$

Normal Vector of plane:  $\langle 0.0, 2.0, 0.0 \rangle$

Final Diffuse Color = (0.0,0.71,0.0)



# Lambertian Light Reflection Model

## Sample 2

Diffuse Light Color: (1.0,1.0,1.0)

Diffuse Material Color: (0.0,1.0,0.0)

Direction of light to plane:  $\langle 0.0, -4.0, 0.0 \rangle$

Normal Vector of plane:  $\langle 0.0, 2.0, 0.0 \rangle$

Final Diffuse Color = ?

# Lambertian Light Reflection Model

## Sample 2

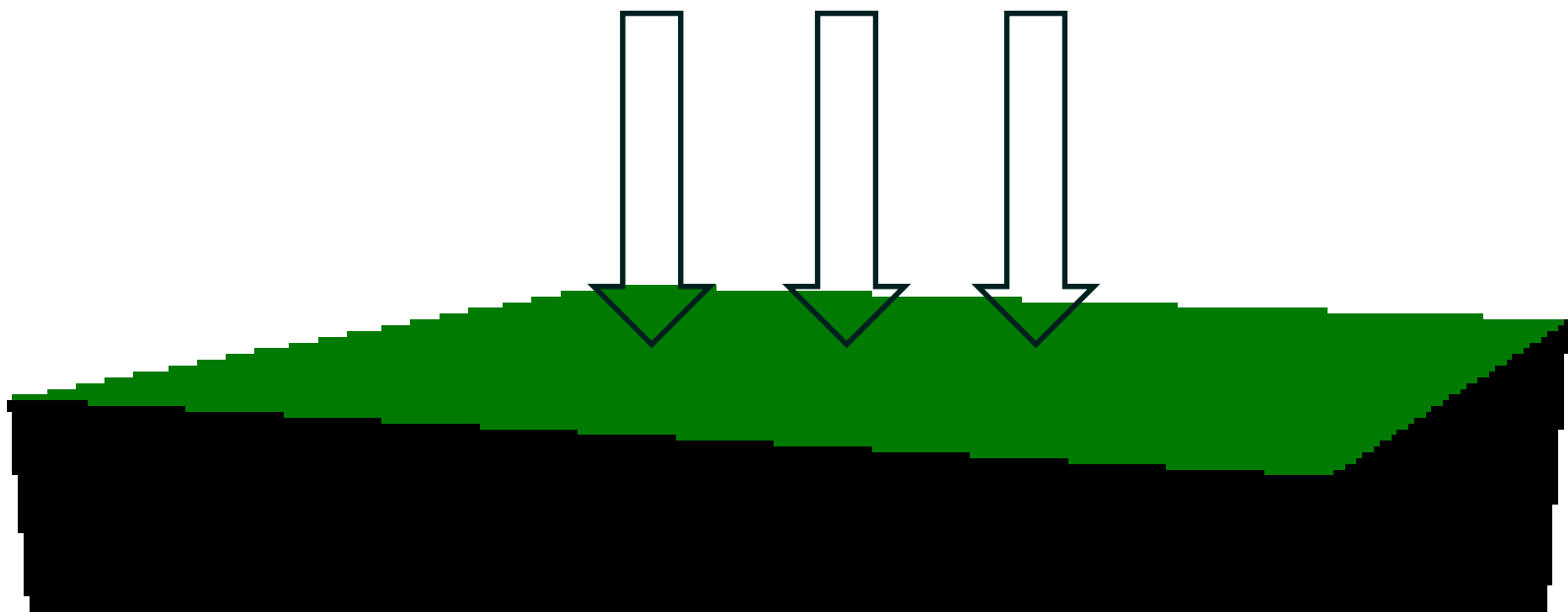
Diffuse Light Color: (1.0,1.0,1.0)

Diffuse Material Color: (0.0,1.0,0.0)

Direction of light to plane:  $\langle 0.0, -4.0, 0.0 \rangle$

Normal Vector of plane:  $\langle 0.0, 2.0, 0.0 \rangle$

Final Diffuse Color = (0.0,1.0,0.0)



# Lambertian Light Reflection Model

## Sample 3

Diffuse Light Color: (1.0,1.0,1.0)

Diffuse Material Color: (0.0,1.0,0.0)

Direction of light to plane:  $\langle -2.0, 4.0, 0.0 \rangle$

Normal Vector of plane:  $\langle 0.0, 2.0, 0.0 \rangle$

Final Diffuse Color = ?

# Lambertian Light Reflection Model

## Sample 3

Diffuse Light Color: (1.0,1.0,1.0)

Diffuse Material Color: (0.0,1.0,0.0)

Direction of light to plane:  $\langle 2.0, 4.0, 0.0 \rangle$

Normal Vector of plane:  $\langle 0.0, 2.0, 0.0 \rangle$

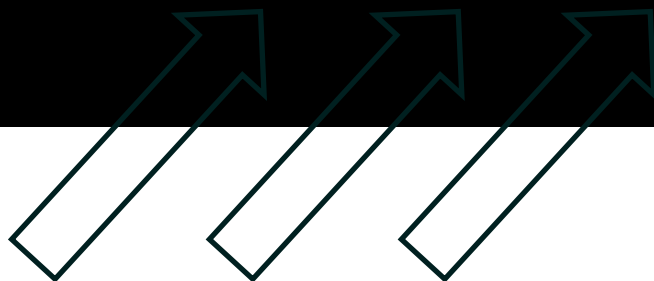
Final Diffuse Color = (0.0, 0.0, 0.0)

# Lambertian Light Reflection Model

Negative Lambert Coefficient is  
automatically converted to 0

Color components (r,g,b) cannot be negative





# PHONG LIGHT REFLECTION MODEL

# Phong Light Reflection Model

Bui Tuong Phong, Ph.D. dissertation, 1973

Describes reflection of light as three  
components

## Phong Reflection Model

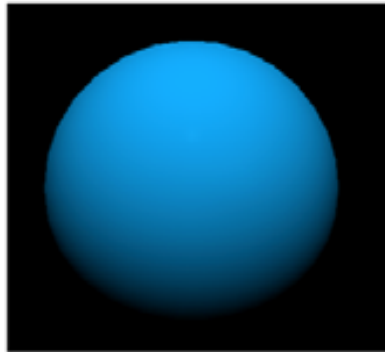
Reflected color is the result of combining three types of light-object interactions:

Ambient



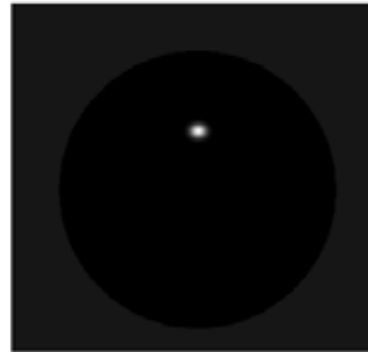
+

Diffuse



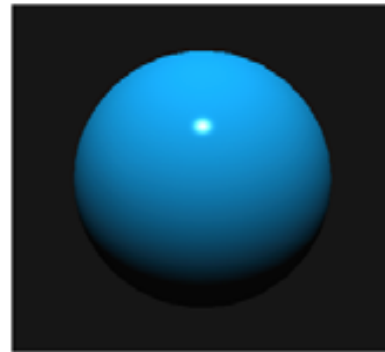
+

Specular



=

Phong  
Reflection



Amount of light present *everywhere* in the scene. Independent from any light source

The incident light is reflected in *many directions*. It can be modelled by a Lambertian surface.

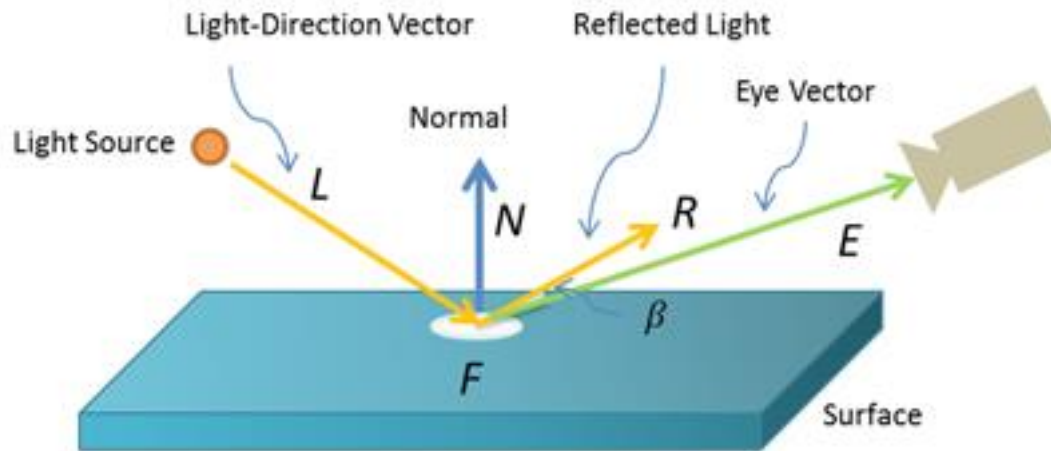
Mirror-like reflection. The direction of the incoming light and the direction of the reflected outgoing light make *the same angle with respect to the surface normal*.

# Phong Light Reflection Model

*Intensity = AmbientIntensity + DiffuseIntensity + SpecularIntensity*

$$I = I_a + I_d + I_s$$

## Specular Reflection

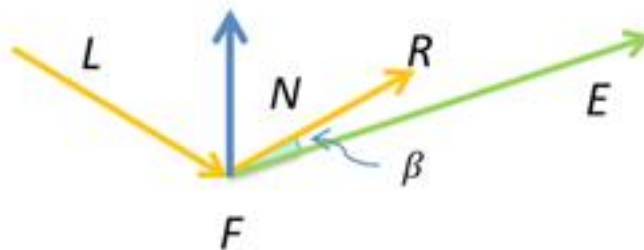


$$F_s = C_l C_m (R \cdot E)^n$$

Final Specular Color      Material Shininess

Light Specular Color      Material Specular Color

## Final specular color calculation for fragment F



$$R \cdot E = |R||E| \cos \beta$$

If  $R$  and  $E$  are normalized then:

$$R \cdot E = \cos \beta$$

$$F = C_l C_m \cos^n \beta$$

The specular reflection reaches its maximum when  $R$  and  $E$  have the same direction.

# Phong Light Reflection Model: Ambient Intensity

$$I_a = L_a \times k_a$$

# Phong Light Reflection Model: Diffuse Intensity

(using lambert model)

$$I_d = L_d \times k_d \times (n \cdot -l)$$



# Phong Light Reflection Model: Specular Intensity

(using phong model)

$$I_s = L_s \times k_s \times \textit{specularCoefficient}$$

# Specular Coefficient Computation

$$I_s = L_s \times k_s \times (\hat{r} \cdot \hat{e})^\alpha$$

$r$  is the reflection of light vector

$e$  is the surface to eye vector

$\alpha$  is the material shininess coefficient

# Specular Coefficient Computation

$$I_s = L_s \times k_s \times (\hat{r} \cdot \hat{e})^\alpha$$

$$\hat{r} = 2(-\hat{l} \cdot \hat{n})\hat{n} - (-\hat{l})$$

$\alpha$  is the material shininess coefficient

# Phong Light Reflection Model

$$I = I_a + I_d + I_s$$

$$I = [(L_a \times k_a)] + [L_d \times k_d \times (\hat{n} \cdot -\hat{l})] + [L_s \times k_s \times (\hat{r} \cdot \hat{e})^\alpha]$$

PHONG LIGHT REFLECTION MODEL

**SAMPLE 1**

# Sample 1 – Phong LRM

## Light Color Specifications:

- Ambient Light Color ( $L_a$ ): **(0.1, 0.1, 0.1)**
- Diffuse Light Color ( $L_d$ ): (1.0, 1.0, 1.0)
- Specular Light Color ( $L_s$ ): (1.0, 1.0, 1.0)

# Sample 1 – Phong LRM

## Material Color Specifications :

- Ambient Material Color ( $K_a$ ): (0.0, 1.0, 0.0)
- Diffuse Material Color ( $K_d$ ): (0.0, 1.0, 0.0)
- Specular Material Color ( $K_s$ ): (0.82, 1.0, 0.82)

# Sample 1 – Phong LRM

## Vector and Other Specifications :

- Direction of light to plane ( $l$ ):  $\langle 3.0, -3.0, 0.0 \rangle$
- Normal Vector of plane ( $n$ ):  $\langle 0.0, 2.0, 0.0 \rangle$
- **Location of Eye/Camera ( $E$ ):**  $(4.0, 5.0, 3.0)$
- Location of Vertex ( $F$ ):  $(1.0, 2.0, 3.0)$
- Material Shininess ( $\alpha$ ):  $27.0$



# Sample 1 – Phong LRM

## Final Colors:

- Intensity of Ambient Color ( $I_a$ ) = ?
- Intensity of Diffuse Color ( $I_d$ ) = ?
- Intensity of Specular Color ( $I_s$ ) = ?
- Intensity of Final Color ( $I$ ) = ?

# Sample 1 – Phong LRM

## Final Colors:

- Intensity of Ambient Color ( $I_a$ ) = (0.0, 0.1, 0.0)
- Intensity of Diffuse Color ( $I_d$ ) = (0.0, 0.71, 0.0)
- Intensity of Specular Color ( $I_s$ ) = (0.82, 1.0, 0.82)
- Intensity of Final Color ( $I$ ) = (0.82, 1.81, 0.82)

PHONG LIGHT REFLECTION MODEL

**SAMPLE 2**

# Sample 2 – Phong LRM

## Light Color Specifications:

- Ambient Light Color ( $L_a$ ): **(0.1, 0.1, 0.1)**
- Diffuse Light Color ( $L_d$ ): (1.0, 1.0, 1.0)
- Specular Light Color ( $L_s$ ): (1.0, 1.0, 1.0)

# Sample 2 – Phong LRM

## Material Color Specifications :

- Ambient Material Color ( $K_a$ ): (0.0, 1.0, 0.0)
- Diffuse Material Color ( $K_d$ ): (0.0, 1.0, 0.0)
- Specular Material Color ( $K_s$ ): (0.82, 1.0, 0.82)

# Sample 2 – Phong LRM

## Vector and Other Specifications :

- Direction of light to plane ( $l$ ):  $\langle 3.0, -3.0, 0.0 \rangle$
- Normal Vector of plane ( $n$ ):  $\langle 0.0, 2.0, 0.0 \rangle$
- **Location of Eye/Camera ( $E$ ):  $(3.0, 5.0, 2.0)$**
- Location of Vertex ( $F$ ):  $(1.0, 2.0, 3.0)$
- Material Shininess ( $\alpha$ ):  $27.0$

# Sample 2 – Phong LRM

## Final Colors:

- Intensity of Ambient Color ( $I_a$ ) = ?
- Intensity of Diffuse Color ( $I_d$ ) = ?
- Intensity of Specular Color ( $I_s$ ) = ?
- Intensity of Final Color ( $I$ ) = ?

# Sample 2 – Phong LRM

## Final Colors:

- Intensity of Ambient Color ( $I_a$ ) = (0.0, 0.1, 0.0)
- Intensity of Diffuse Color ( $I_d$ ) = (0.0, 0.71, 0.0)
- *Intensity of Specular Color* ( $I_s$ ) = (0.18, 0.22, 0.18)
- Intensity of Final Color ( $I$ ) = (0.18, 0.93, 0.18)



**SHADING**

# Shading

**Shading** refers to the type of interpolation that is performed to obtain the final color for every pixel in our object

# Shading

Commonly mistaken as synonymous to  
lighting

# Shading Methods

Flat Shading

# Shading Methods

Smooth Shading

Gouraud Shading OR Phong Shading

# Shading Methods

Flat Shading (per-polygon)

# Shading Methods

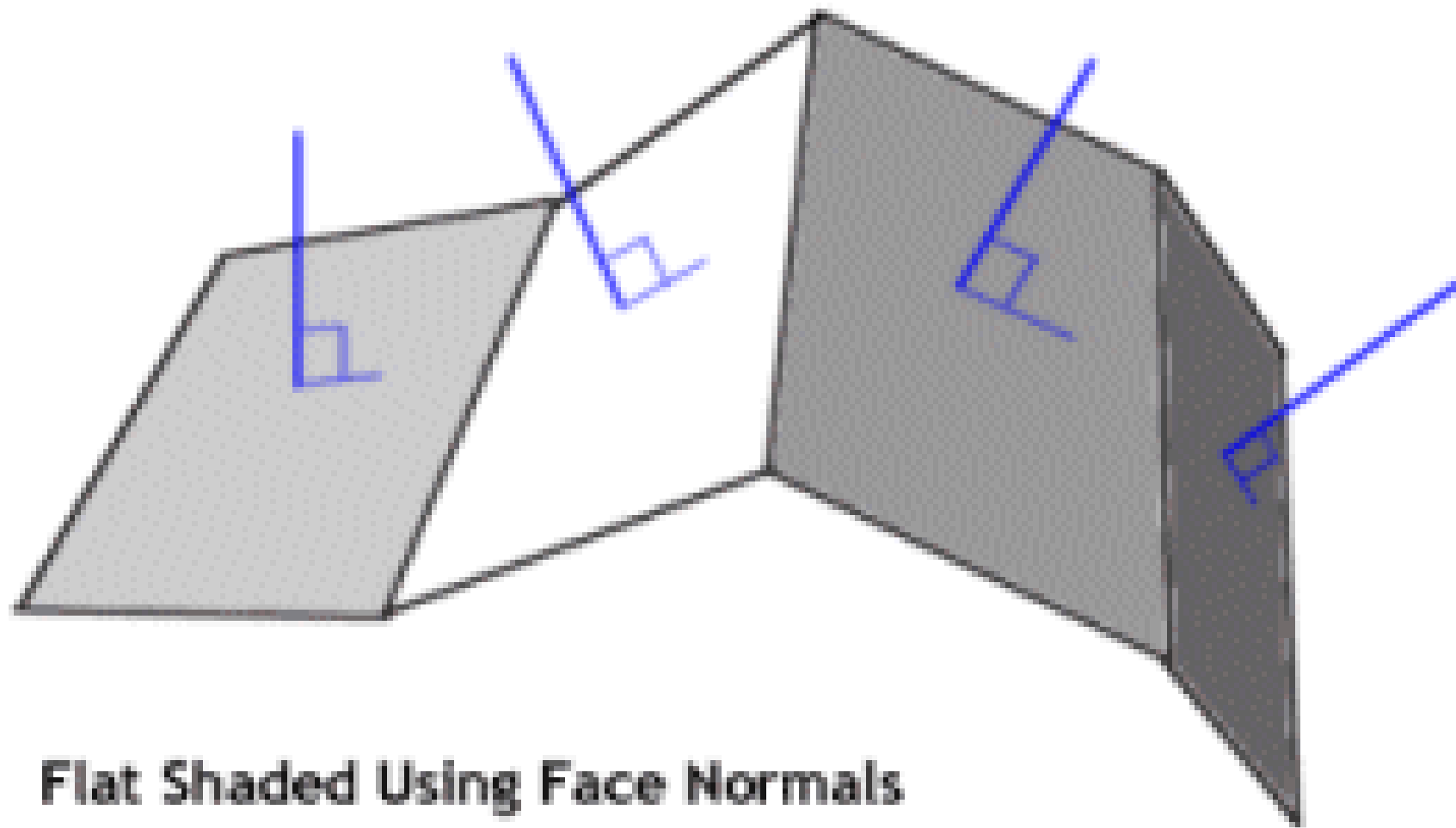
Smooth Shading

Gouraud Shading (per-vertex)

Phong Shading (per-fragment)

**FLAT SHADING**





**Flat Shaded Using Face Normals**

# Flat Shading

The normal vector does not vary at any point  
in the surface

*Compute the color of a pixel in the surface and apply that on every pixel of the  
surface*

**“Per-polygon”**

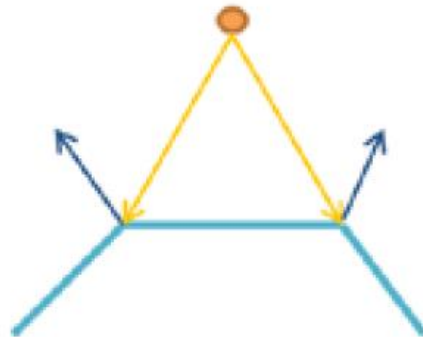


**SMOOTH SHADING**

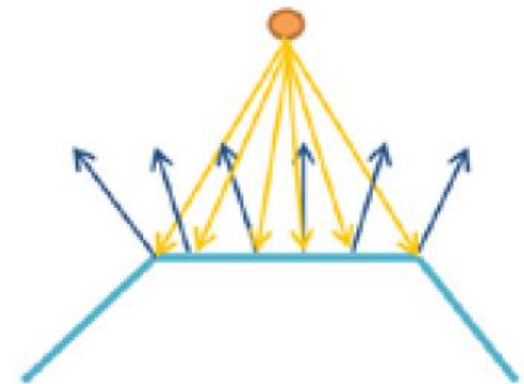
# Smooth Shading

## Shading/Interpolation Methods

Gouraud



Phong

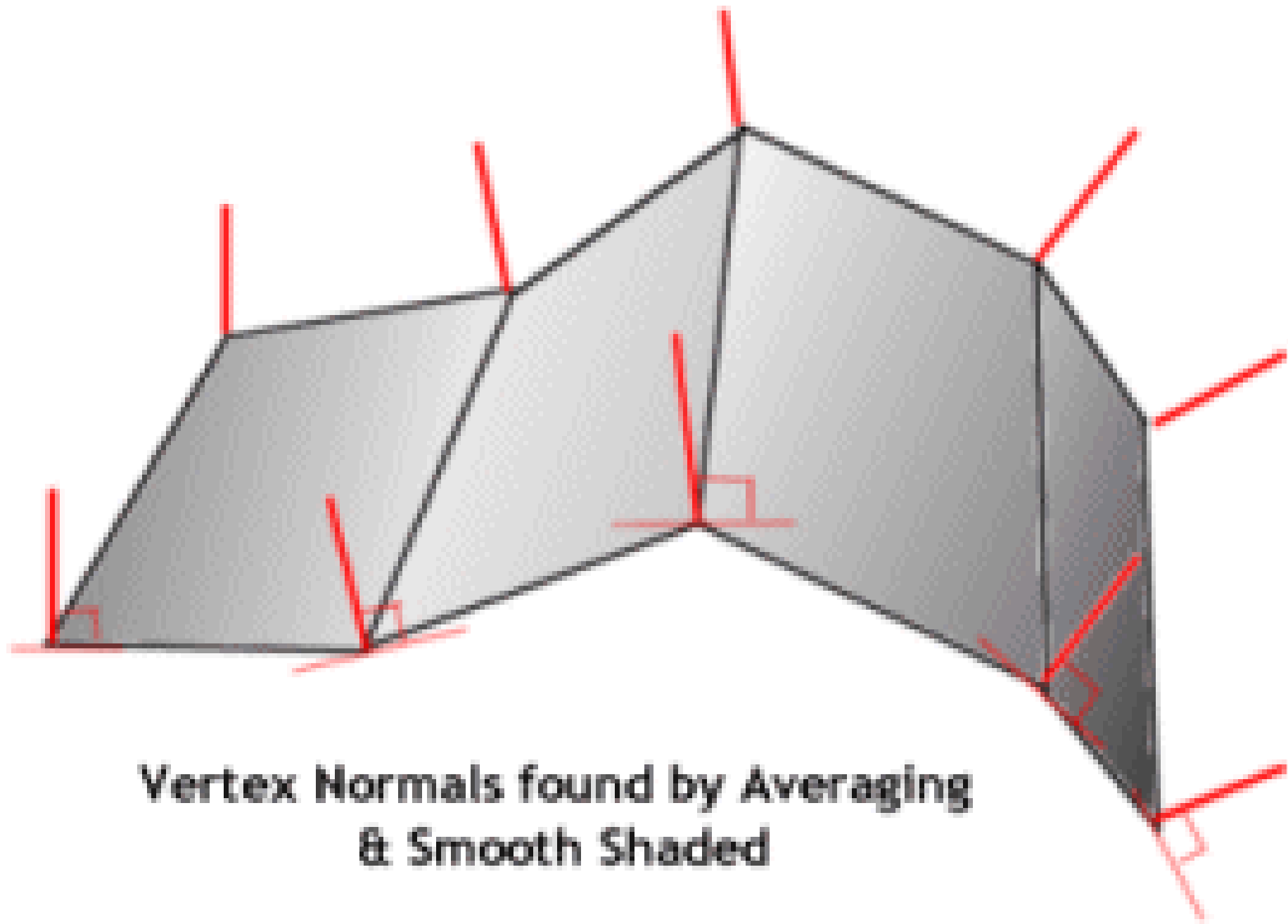


# Gouraud Shading

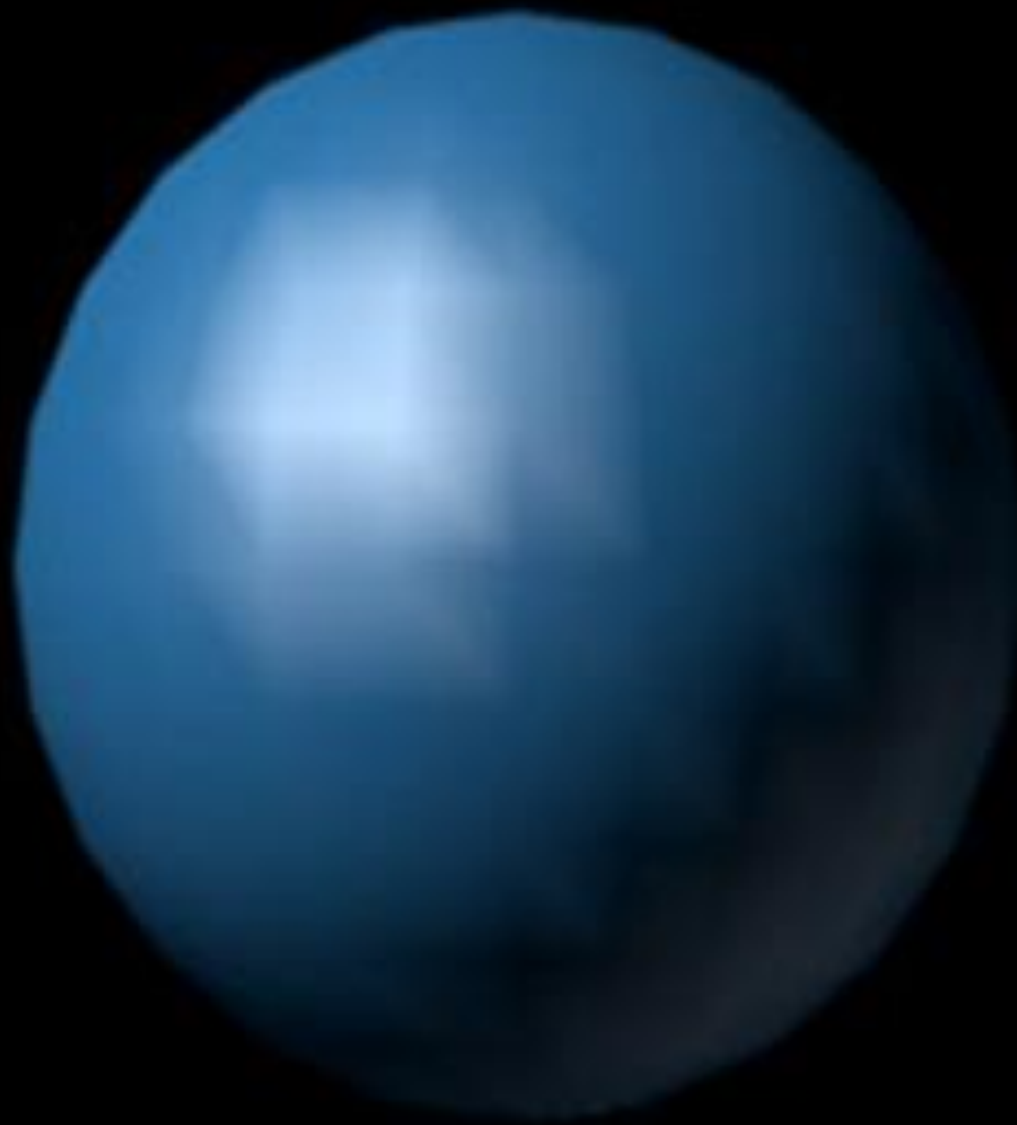
Henri Gouraud, 1971

Computes color at each vertex then  
Bi-linearly **interpolate** color for each interior  
pixel

“Per-vertex”



**Vertex Normals found by Averaging  
& Smooth Shaded**





# Phong Shading

Applies lighting computation per-pixel.

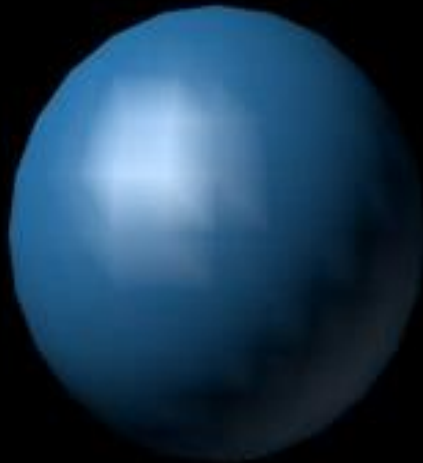
**Interpolation of normal vectors, rather than colors**



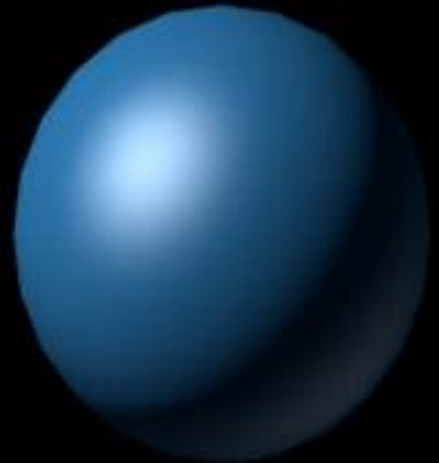
Flat



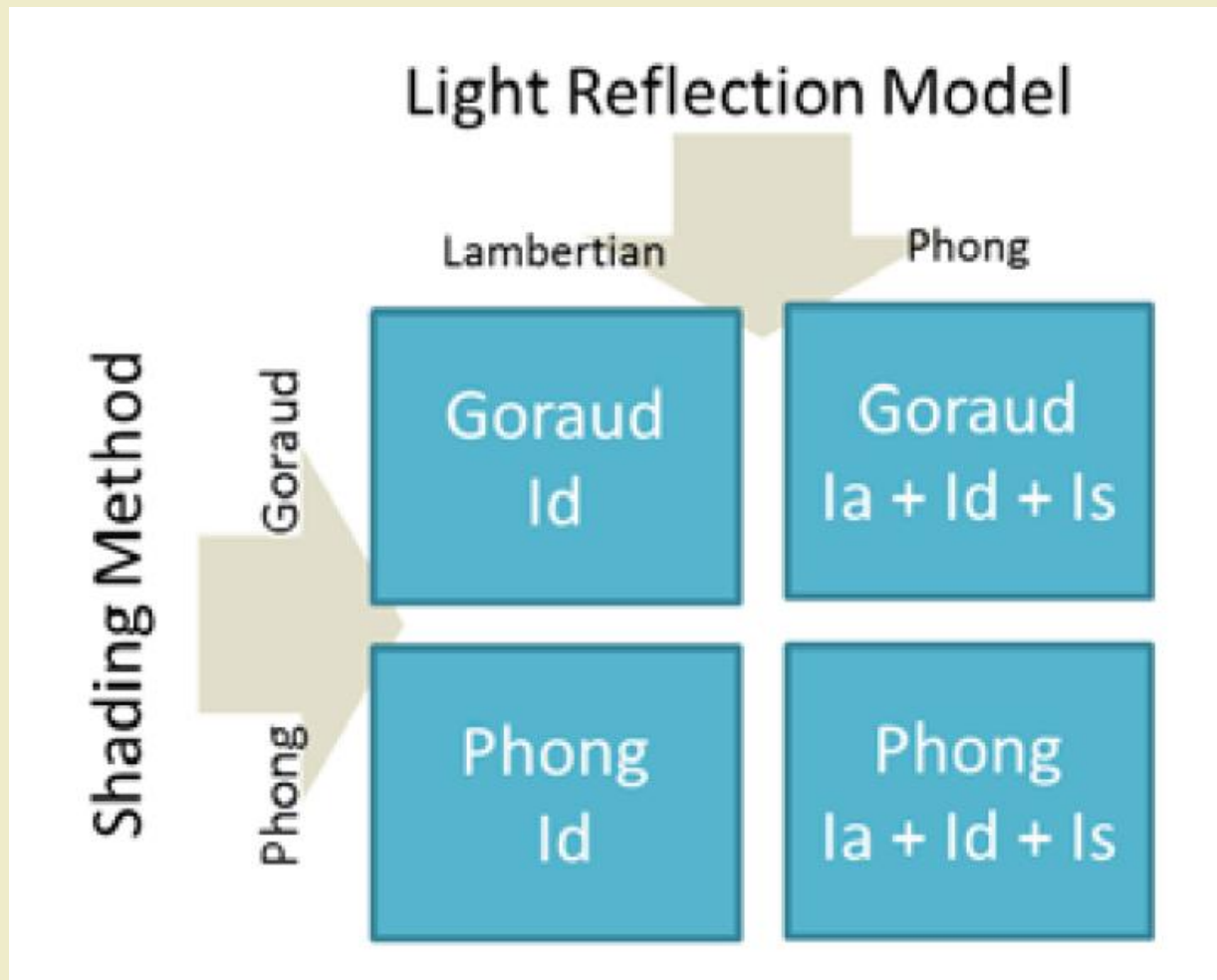
Gouraud



Phong



# In Practice



# **ADVANCED LIGHTING CONCEPTS**

# Blinn-Phong Light Reflection Model

Variant of Phong Lighting Model

Original Phong Specular Coefficient

$$I_s = L_s \times k_s \times (\mathbf{r} \cdot \mathbf{e})^\alpha,$$

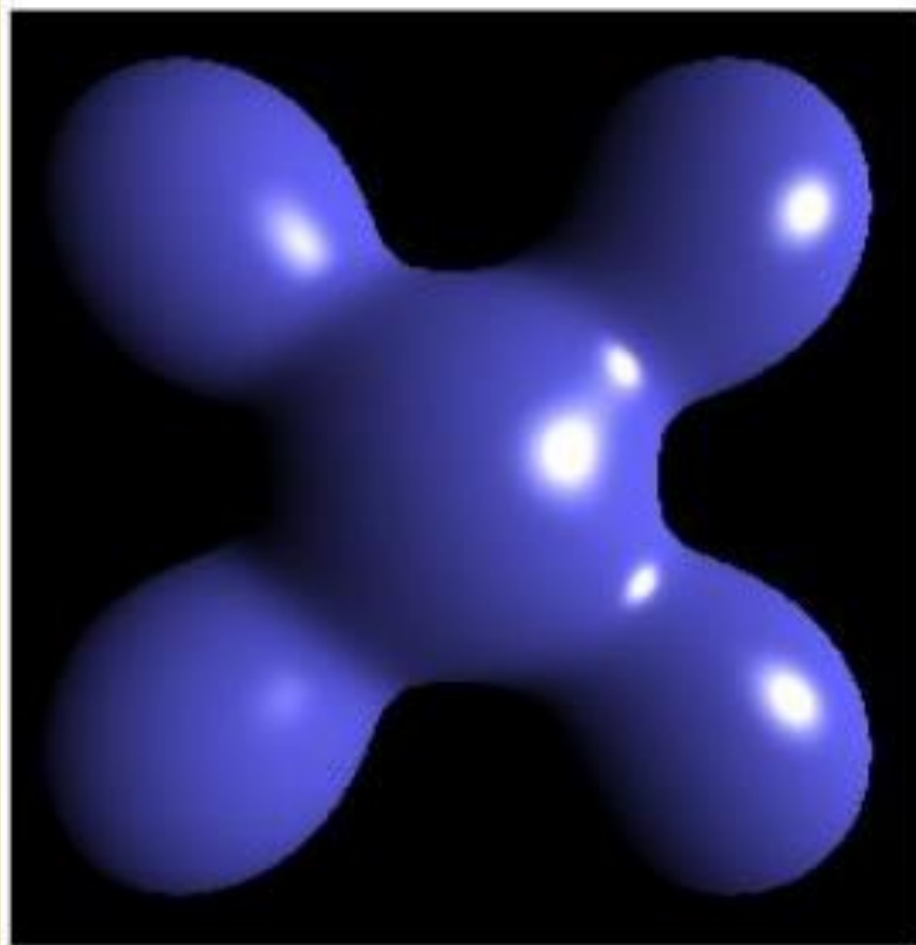
*where  $\mathbf{r} = 2(-\mathbf{l} \cdot \mathbf{n})\mathbf{n} - (-\mathbf{l})$*

# Blinn-Phong Light Reflection Model

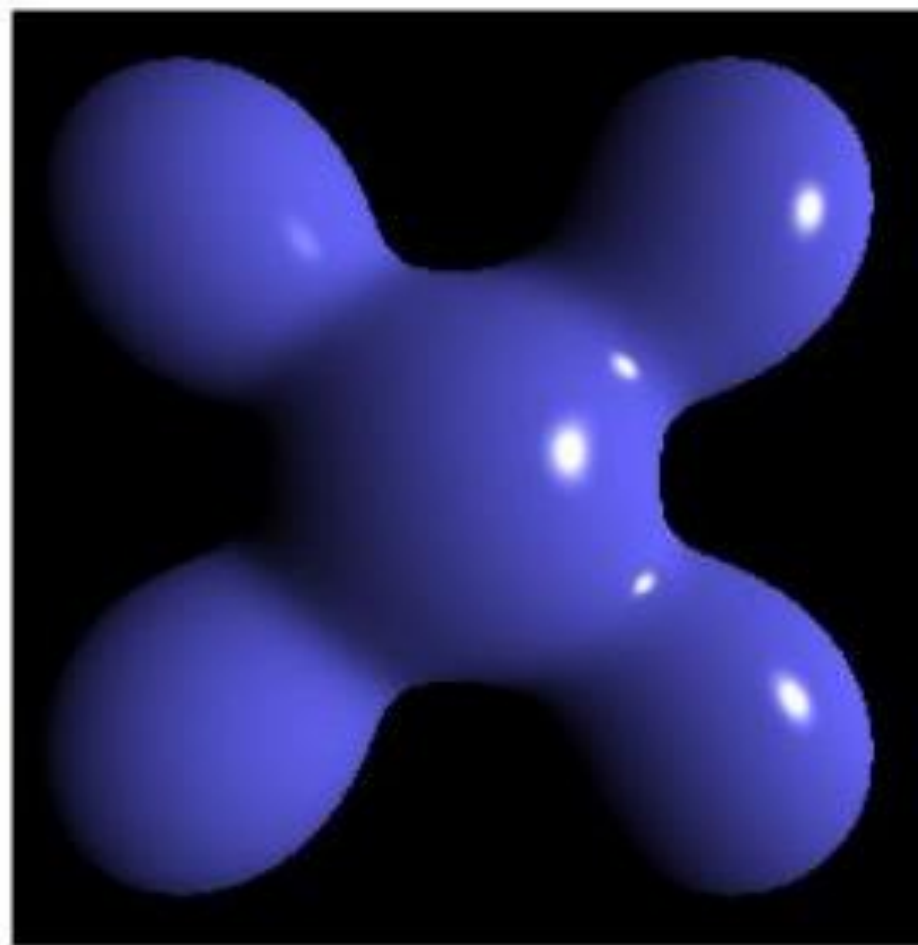
## Blinn-Phong Specular Coefficient

$$I_s = L_s \times k_s \times (\mathbf{n} \cdot \mathbf{h})^\alpha,$$

$$\text{where } \mathbf{h} = (\mathbf{l} + \mathbf{e}) / |\mathbf{l} + \mathbf{e}|$$



**Blinn-Phong**



**Phong**



# Multiple Lights

Sum all computed intensity from  $n$  light  
sources

More light sources means more computation

$$FinalColor = \sum_{i=0}^n I_i$$

# Multiple Lights Example: Game

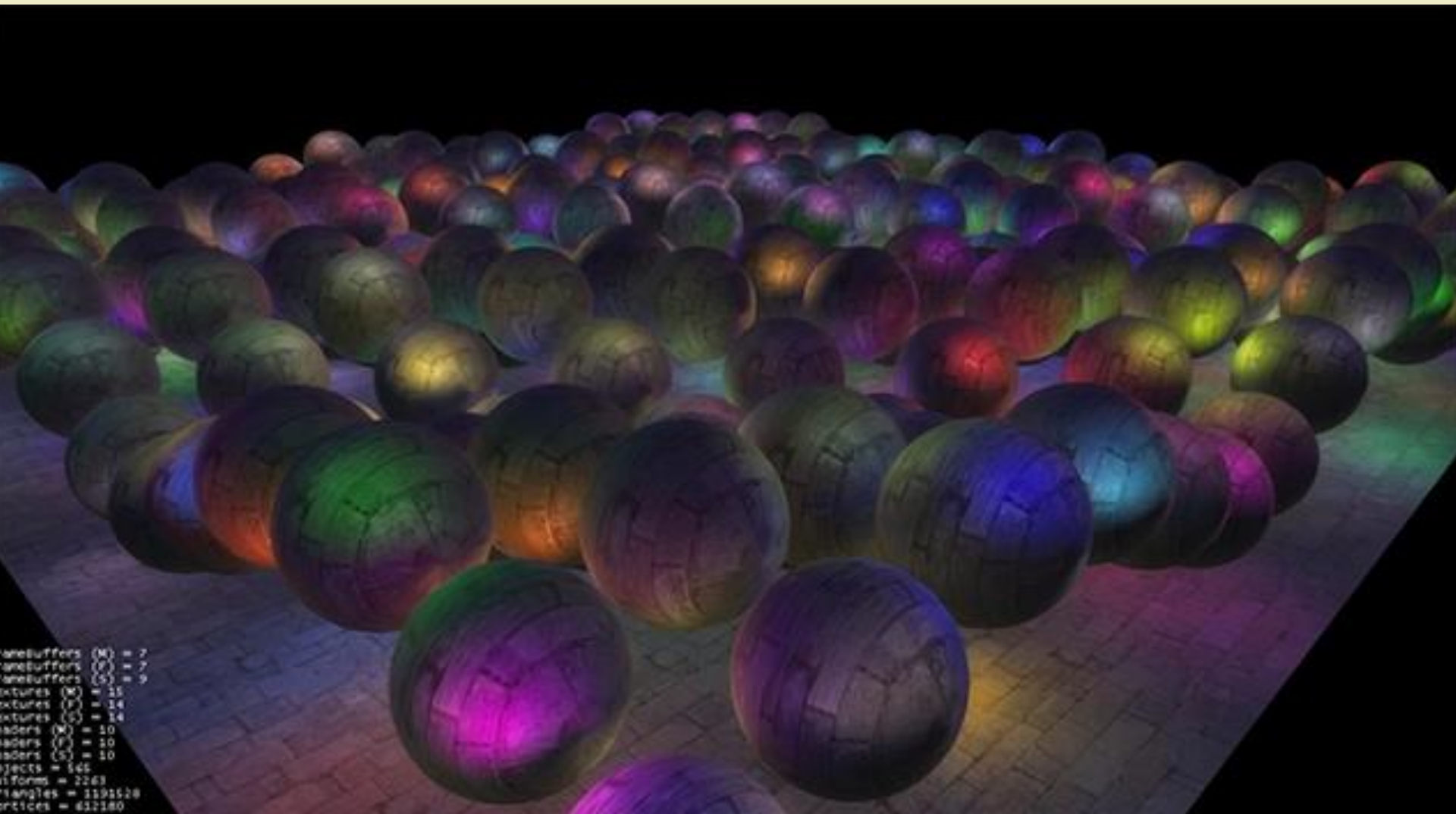


# Deferred Rendering

A method improving multiple light  
computation

Light computations are removed from the  
vertex shader and fragment shader at first  
shading pass *and is deferred until the second  
pass*

# Deferred Rendering



```
planeBuffers (M) = 7  
planeBuffers (P) = 7  
planeBuffers (S) = 5  
textures (M) = 15  
textures (P) = 14  
textures (S) = 14  
shaders (M) = 10  
shaders (P) = 10  
shaders (S) = 10  
objects = 146  
uniforms = 2263  
triangles = 1191528  
vertices = 612180
```

# Fog

Lighting effect that is based on the distance of the pixel from the camera

Near = OriginalColor

Far = FogColor

Between = Mix(FogColor,OriginalColor)

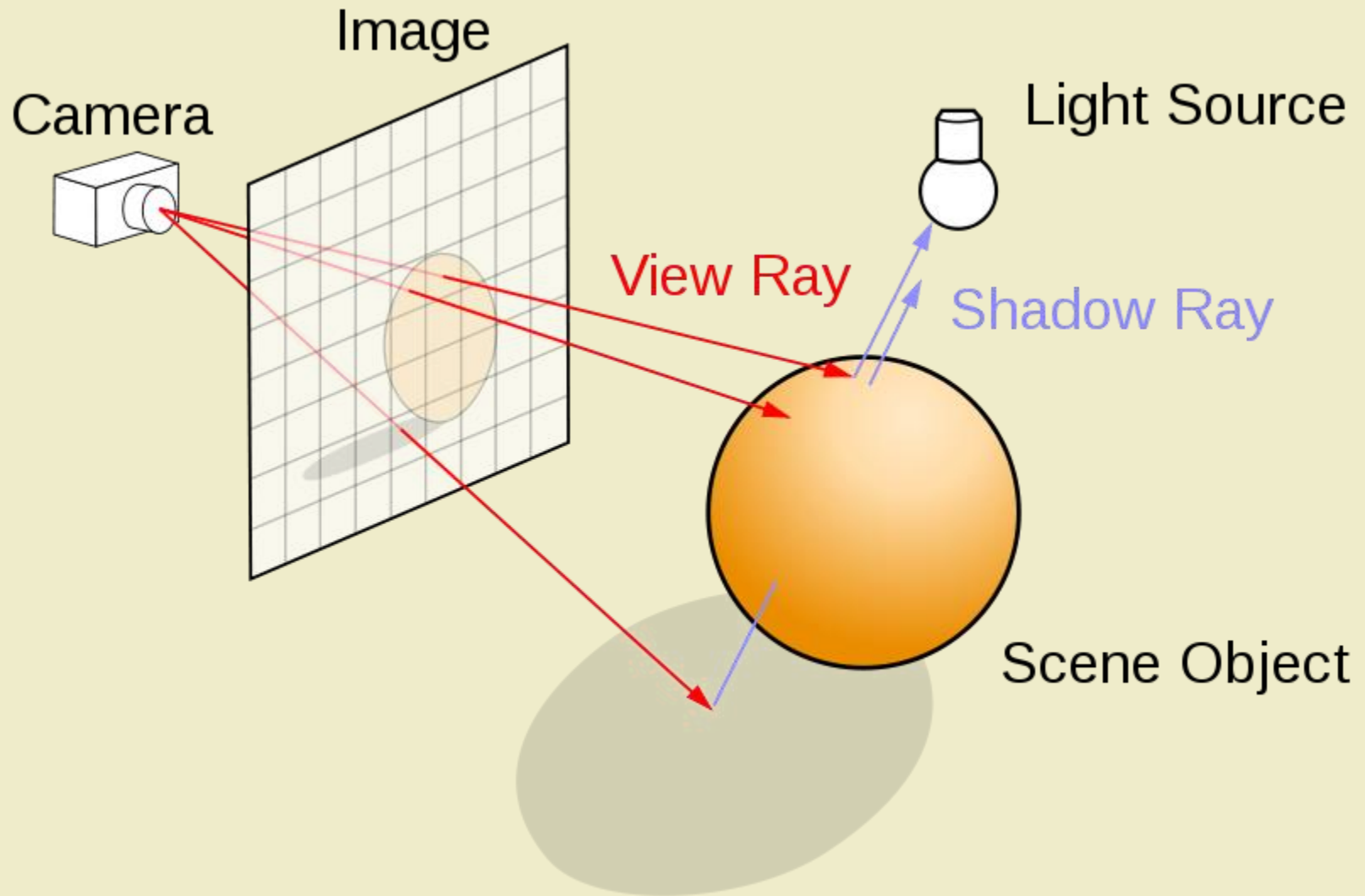
# Fog



# Ray Tracing

Achieves better realistic lighting by computing the view ray's recursive reflections and refractions

# Ray Tracing





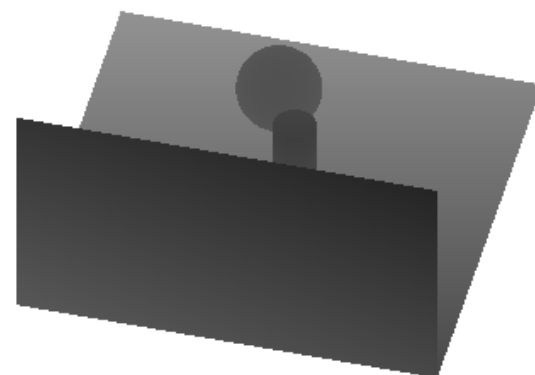
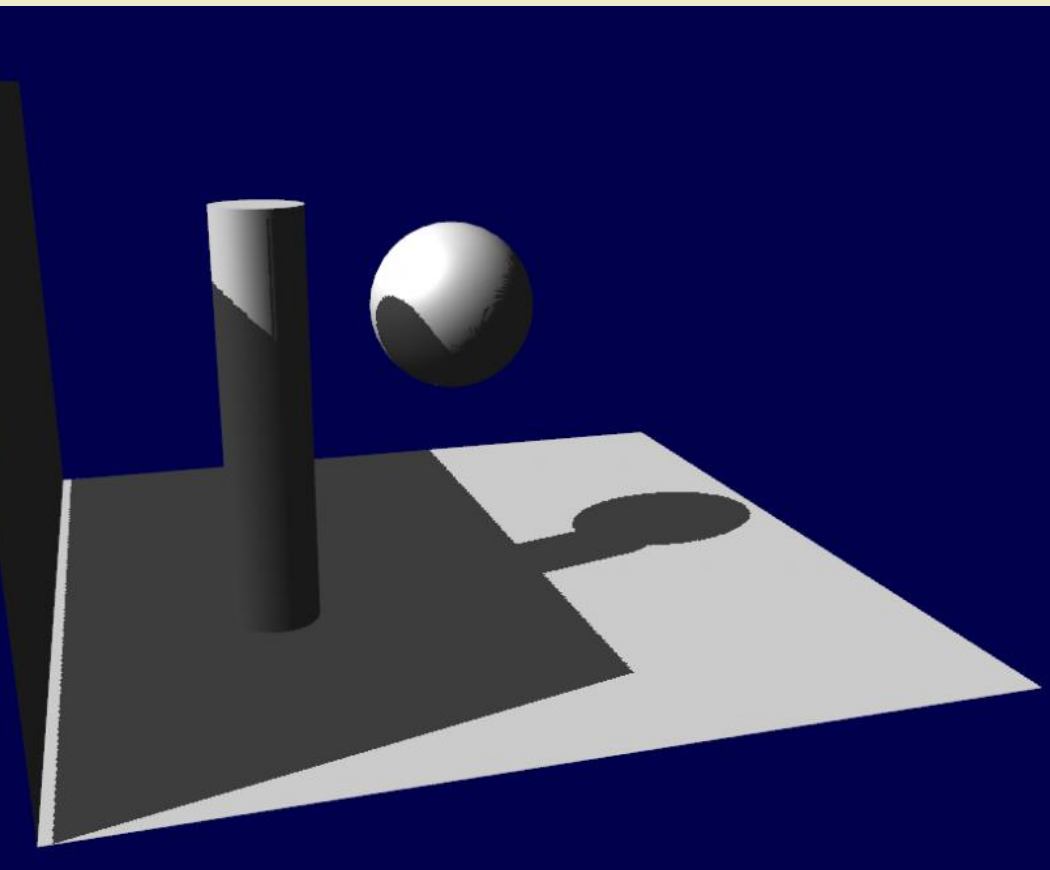


# Shadow Mapping

A method for simulating shadows in the scene

*Create the depth map using the light position as the camera*

*Use the depth map as the basis of pixels that will be lit*



# References

## Books

- ANGEL, E. AND SHREINER, D. 2012. Interactive computer graphics : a top-down approach with shader-based OpenGL. Addison-Wesley. 6ed. Boston, MA.
- CANTOR, D. AND JONES, B. 2012. WebGL Beginner's Guide. Packt Publishing. Birmingham, UK.
- MATSUDA, K. AND LEA, R. 2013. WebGL Programming Guide: Interactive 3D Graphics Programming with WebGL.. Addison-Wesley. Upper Saddle River, NJ

## Images

- [http://fc00.deviantart.net/fs38/i/2008/350/0/d/UPLB\\_Christmas\\_Tree\\_by\\_yourex\\_loverisJa.jpg](http://fc00.deviantart.net/fs38/i/2008/350/0/d/UPLB_Christmas_Tree_by_yourex_loverisJa.jpg)
- [http://en.wikipedia.org/wiki/File:Tso\\_Kiagar\\_Lake\\_Ladakh.jpg](http://en.wikipedia.org/wiki/File:Tso_Kiagar_Lake_Ladakh.jpg)
- [http://www.indigorenderer.com/media/docs/729/techniquesmanual\\_html\\_m53bf5938.jpg](http://www.indigorenderer.com/media/docs/729/techniquesmanual_html_m53bf5938.jpg)
- <http://en.wikipedia.org/wiki/File:Lambert2.gif>
- <http://www.cs.berkeley.edu/~ravir/refraction.jpg>
- [http://upload.wikimedia.org/wikipedia/commons/thumb/a/a5/Normal\\_vectors2.svg/195px-Normal\\_vectors2.svg.png](http://upload.wikimedia.org/wikipedia/commons/thumb/a/a5/Normal_vectors2.svg/195px-Normal_vectors2.svg.png)
- <http://cdn.tutsplus.com/gamedev/uploads/2013/10/scene1.png>
- [http://threejs.org/examples/#webgl\\_geometry\\_terrain\\_fog](http://threejs.org/examples/#webgl_geometry_terrain_fog)
- <http://www.opengl-tutorial.org/intermediate-tutorials/tutorial-16-shadow-mapping/>
- [http://4.bp.blogspot.com/-OLGB9JeddzY/T4c14EhZ7FI/AAAAAAAAAYY/3GXq3E\\_nk\\_s/s1600/SpecularMicrofacetBSDF.png](http://4.bp.blogspot.com/-OLGB9JeddzY/T4c14EhZ7FI/AAAAAAAAAYY/3GXq3E_nk_s/s1600/SpecularMicrofacetBSDF.png)
- <http://www.codeproject.com/KB/GDI/3DSoftwareRenderingEngine/flatshaded.png>