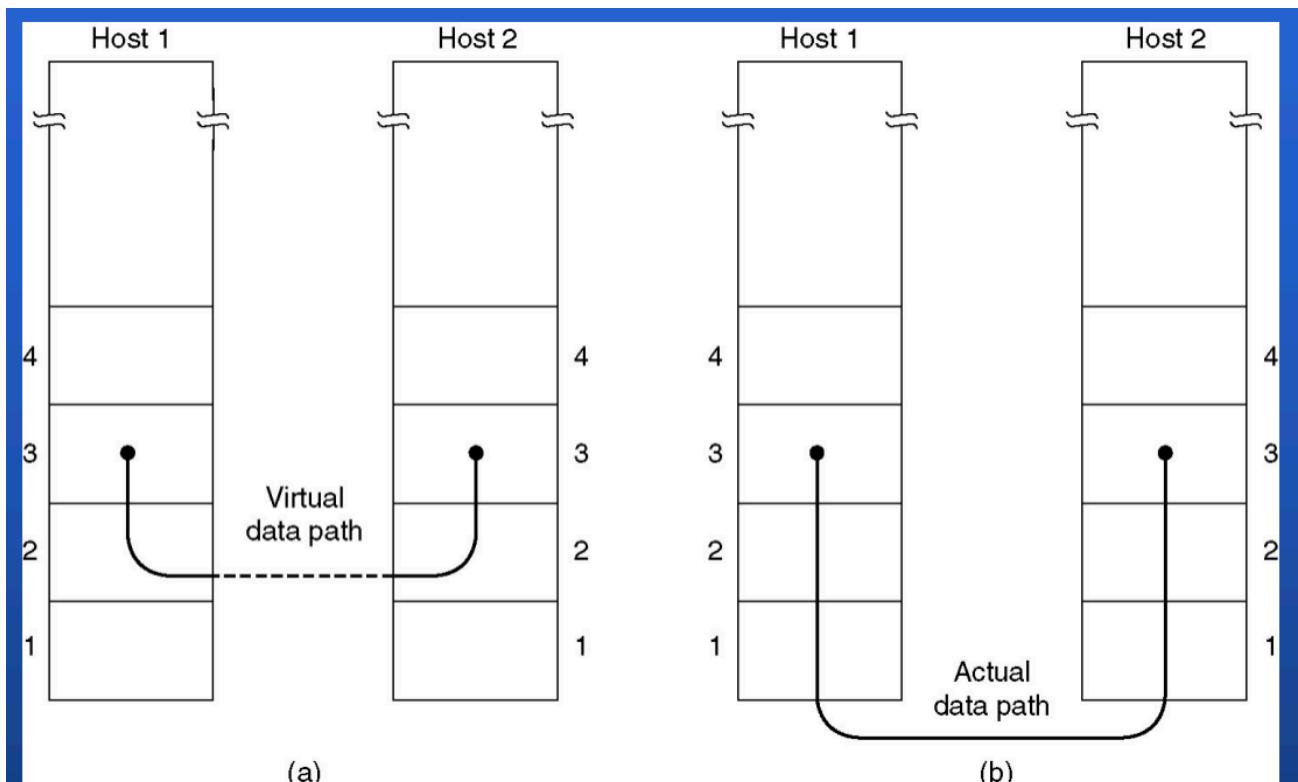
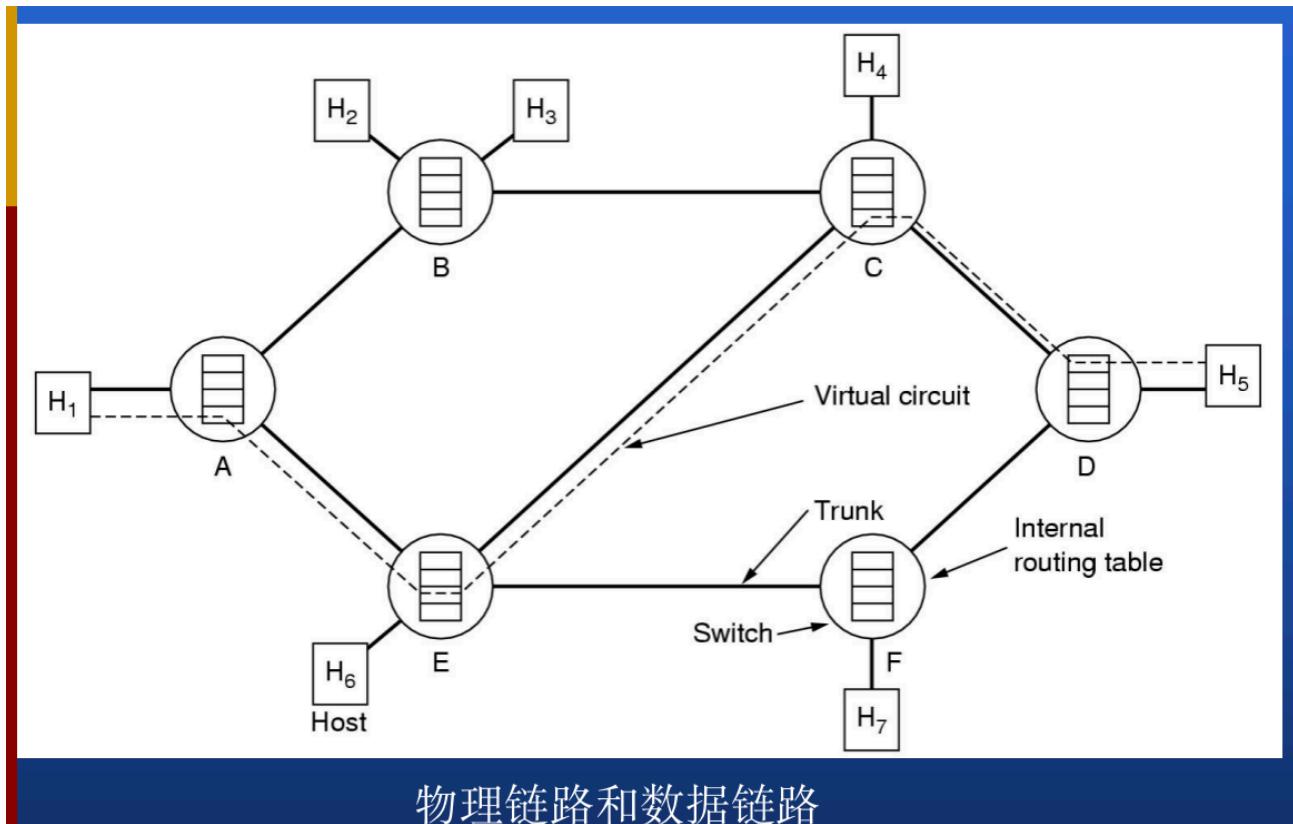


# 1 Data Link Layer Issues

- Services provided to the network layer
- framing, frame--- DATA LINK LAYER PDU(Protocol Data Unit)
- Error control
- Flow control



(a) Virtual communication. (b) Actual communication.



广播式链路（广播式信道）: WIFI、同位电缆

点对点链路

- 数据链路=物理链路+传输协议

## 1.1 Data Link Layer Design Issues

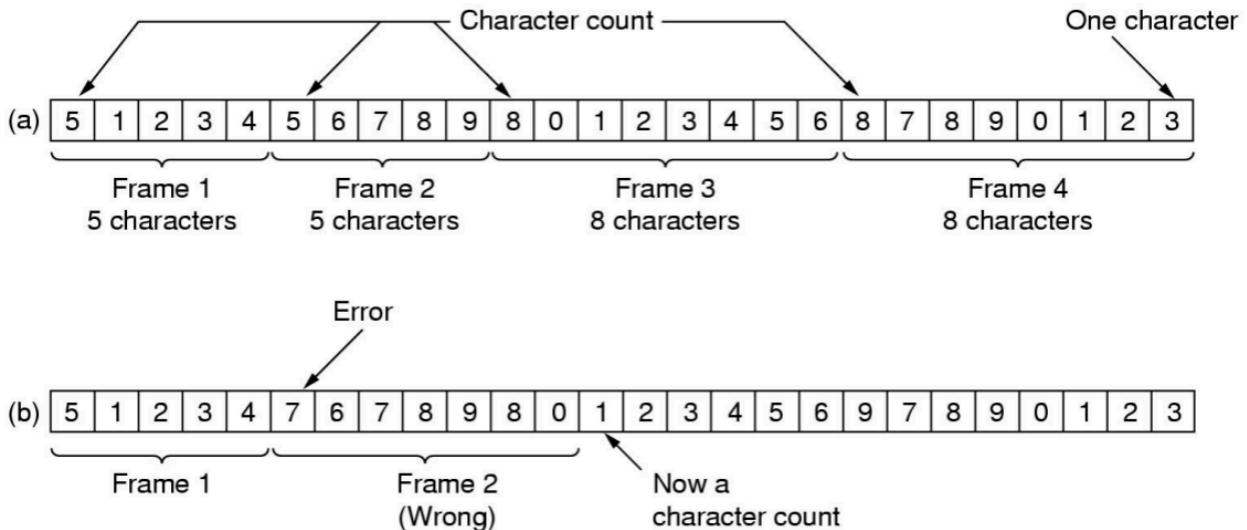
### 1.1.1 Services provided to the network layer

- Unacknowledged connectionless service 无应答的无连接服务
- Acknowledged connectionless service 有应答的无连接服务（发送简短的包，数据量少，发一次等一次应答）
- Acknowledged connection-oriented service 有应答的连接服务（大量数据传输，可以一次发很多，然后再等）

## 1.1.2 Framing 确定数据分割

### 1.1.2.1 character count

- framing
  - character count – [see fig 3-4]



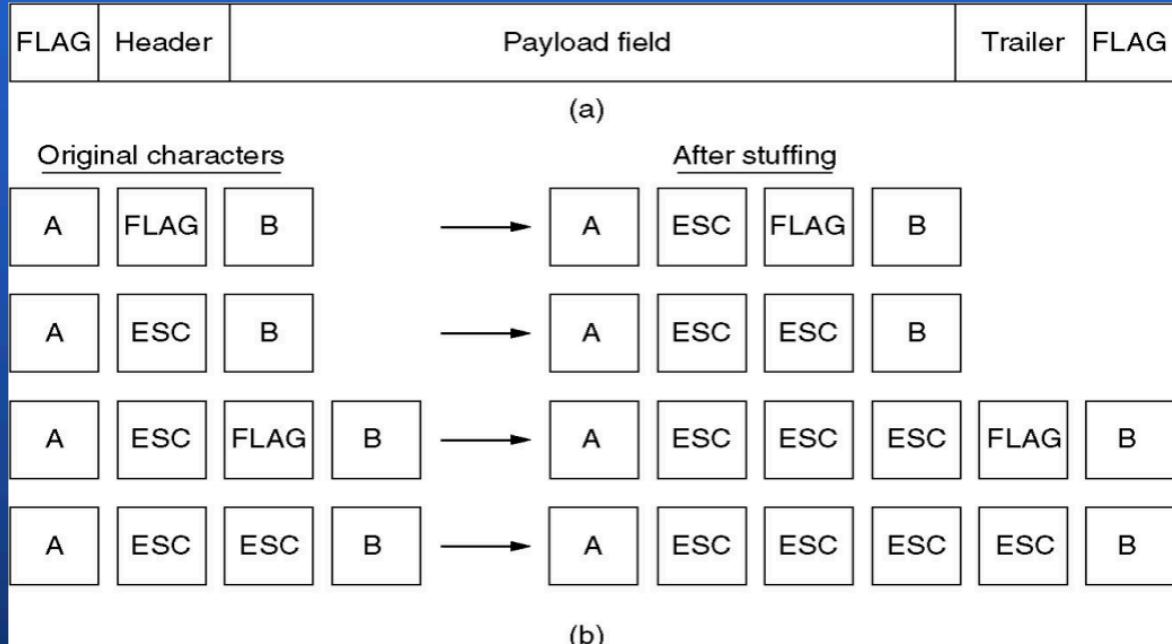
A character stream. (a) Without errors (b) With one error

- a中，就是利用长度来确定边界，但是可能会存在b中这样丢包的现象，导致出错

### 1.1.2.2 Character Stuffing 字符填充法

– Byte stuffing or character stuffing:

- having each frame start and end with special character



(a) A frame delimited by flag bytes.

(b) Four examples of byte sequences before and after stuffing,

- 设定一个flag来作为开始和结束的标记
- 如果中间想发送flag，那就发送“ESC + FLAG”，相当于转义符。但也有可能存在Trailer里存在ESC，导致FLAG被当成数据。

### 1.1.2.3 Bit Stuffing

- Starting and ending flags (01111110) ,with bit stuffing. --see fig 3-5

(a) 0110111111111111111110010

(b) 01101111011111011111010010

(c) 011011111111111111110010

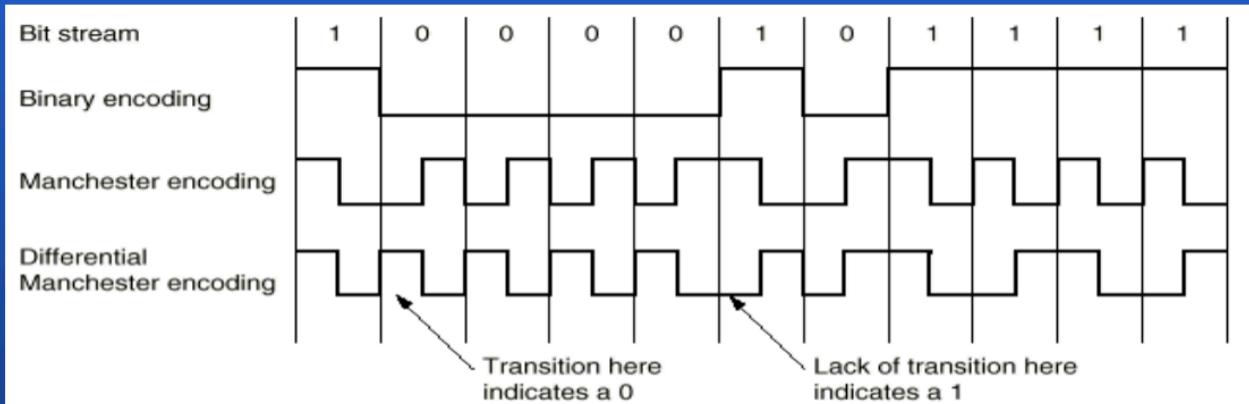
Bit stuffing (a) The original Data (b). The data as they appearing on the line  
(c) The data as they are stored in the receiver's memory after destuffing.

- 比如FLAG是0x71（01111110）
  - 那么五个1插入一个0，防止当成FLAG
  - 如果五个1后面已经有0了，也要插，反正就是要满足上述的规定

#### 1.1.2.4 编码传输

- Physical layer coding violation

- data 1:高-低电平对, 0:低-高电平对
  - Delimiter(coding violation): High-High pair, or Low-Low pair



**Fig. 4-20.** (a) Binary encoding. (b) Manchester encoding. (c) Differential Manchester encoding.

## (补充)

- 曼彻斯特编码，每个bit必须有跳变。如果有一段一直空着不变，意思是到尾了。

### 1.1.3 Error Control

- 丢帧
- 重复帧
- 错误帧

### 1.1.4 Flow Control

- to solve the problem that a sender wants to transmit frames faster than the receiver can accept them.
  - 发送方发的太快，快于接收方
- Two approaches
  - feedback\_based flow control: receiver gives sender permission
    - 发送rr, 说明可以接收，继续发；发送rn, 说明缓存已满，不能发。
  - rate\_based flow control: limit the data rate at which the sender may transmit data.
    - 控制发送方的发送速率

## 2 Error Detection And Correction

### 2.1 Error- correcting codes (不考！！)

- codeword 码字：以2bit为单位，编码成码字发送
  - An n-bit unit containing data (m bit) and check bits (r bit).  $n=m+r$
  - the list of four codewords : 0000000000 (原始00), 0000011111 (原始01), 1111100000, and 1111111111

### 2.1.1 Hamming 码

- The number of different bits in two codewords.
    - $10001001 \text{ xor} \text{ 异或 } 10110001 \Rightarrow 00111000$ , Hamming distance=3
    - Hamming distance of a codewords list: Given a codewords list, look for the two codewords whose Hamming distance is **minimum** in the list. This distance is Hamming distance.
  - $D(a,c) \leq D(a,b) + D(b,c)$ , here  $D(x,y)$  is the Hamming distance of codeword x and y
    - e.g.
    - $a=0000000000, b=0000011111, c=1111111111$   
 $D(a,c)=10, D(a,b)=5, D(b,c)=5$
    - $a=0000000000, b=\underline{1000011111}, c=\underline{0111111111},$   
 $D(a,c)=9, D(a,b)=6, D(b,c)=5$
  - 纠正d比特错
    - 需距离为 $2d+1$ 比特的编码
      - $0000000000, 0000011111, 1111100000, \text{and } 1111111111$
      - $0000000000$  error  $\rightarrow 1100000000$
      - $a=0000000000, b=1100000000, c$  是  $0000011111, 1111100000, 1111111111$  中某一个
      - $1100000000$  has the shortest distance with  $0000000000$ .
- 有点类似三角形任意一边小于任意两边之和  
 ▪ 这样可以纠错，但是消耗很大

## 2.2 Error-detecting codes CRC

- polynomial(多项式) code (cyclic redundancy code 循环冗余码, CRC code)
  - the algorithm for computing the checksum :
    - CRC-32
    - $\text{CRC-12} = x^{12} + x^{11} + x^3 + x^2 + x^1 + 1$
    - $\text{CRC-16} = x^{16} + x^{15} + x^2 + 1$

- CRC-CCITT =  $x^{16}+x^{12}+x^5+1$
- conclusion:
  - r阶的多项式能够检测所有长度 $\leq r$ 的突发错误（连穿错：最远的两个错了的bit，相差m，成为m大小的连穿错）
  - 长度大于r+1的错误漏检的概率为 $\frac{1}{2}^r$

## Cyclic Redundancy Check(CRC)

Frame : 1 1 0 1 0 1 1 0 1 1  
 Generator: 1 0 0 1 1  
 Message after appending 4 zero bits: 1 1 0 1 0 1 1 0 0 0 0

Modulo 2 Division

Check Remainder

Polynomial Representation

543210

|||||

$110101 = x^5 + x^4 + x^2 + 1$

$1101\ 1001\ 0011 = x^{11} + x^{10} + x^8 + x^7 + x^4 + x + 1$

11 10 9 8 10

Transmitted frame: 1 1 0 1 0 1 1 0 1 1 1 1 0

13

- 根据除数的位数-1
- 模2加法无进位，模2减法无借位
- 先给原始数据加xbit位（根据采用的规则，CRC-12，就有13位的除数），上面那个appending的写错了
- 将原始数据+校验码发出去
- 接收方再对接收到的进行模2除法，一定为0，非0则出错
- 应该能证明，这个和普通的除法不太一样，模二除法/模二加法都是异或操作

## 2.3 停止等待协议

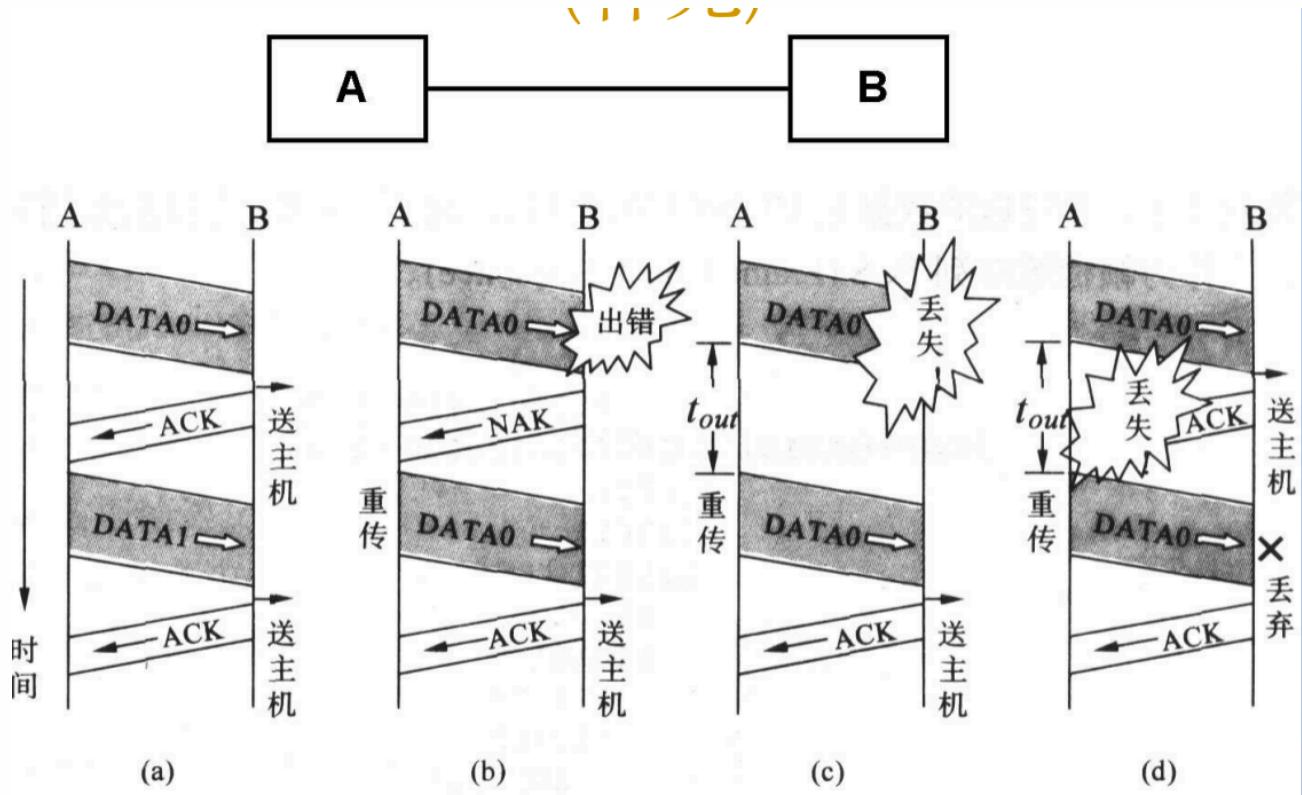


图 3-3 数据帧在链路上传输的几种情况：

(a) 正常情况; (b) 数据帧出错; (c) 数据帧丢失; (d) 确认帧丢失

- A、B就是电脑/路由器...
- 等待协议就是每发送一次都会等待应答，是正确应答还是错误应答还是丢失，根据不同的来处理
- 如何判断如何把重复帧扔掉：判断看序号，给帧编号（0、1、0、1、0、1...）
- 应答帧出错：A如果收到了出错的应答帧，那就和丢失的4情况一样处理，都会扔掉
- 应答帧必须发

### 3 Elementary Data Link Protocol

#### 3.1 protocol 1

unidirectional data transmission, network layer of sender has unlimited data to transmit, network layer of receiver can receive unlimited data, channel never damages or loses frame.

## 3.2 protocol 2

stop-and-wait,simplex traffic, network layer of sender has unlimited data to transmit,channel never damages or loses frame.

Discard restricted condition: network layer of receiver can receive unlimited data.

## 3.3 protocol 3

- a protocol of PAR
- timer, sequence
- PAR(Positive Acknowledgement Retransmission) / ARQ(Automatic Repeat reQuest)
- network layer of sender has unlimited data to transmit.
- Discard restricted condition: channel never damages or loses frame.

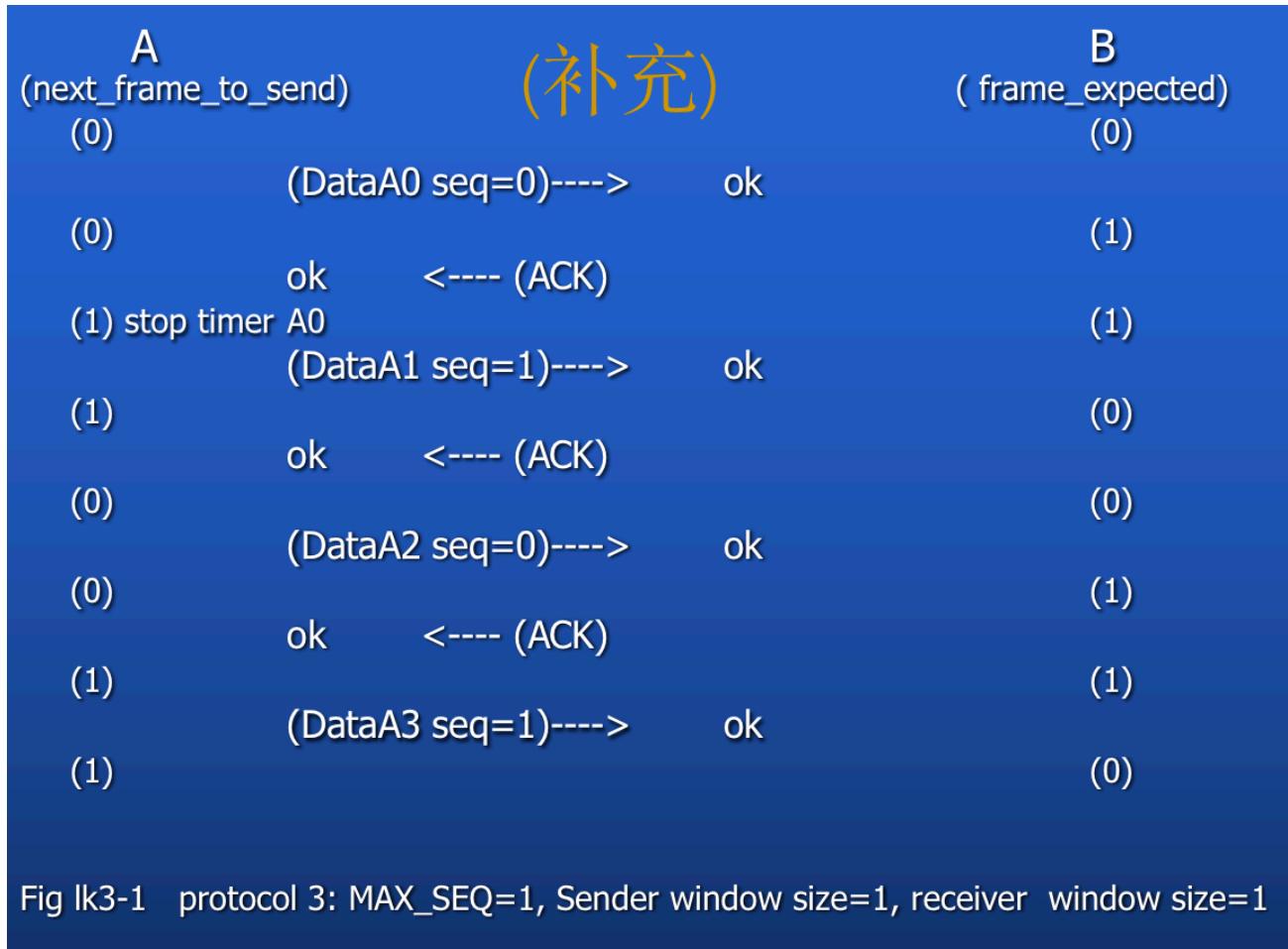


Fig lk3-1 protocol 3: MAX\_SEQ=1, Sender window size=1, receiver window size=1

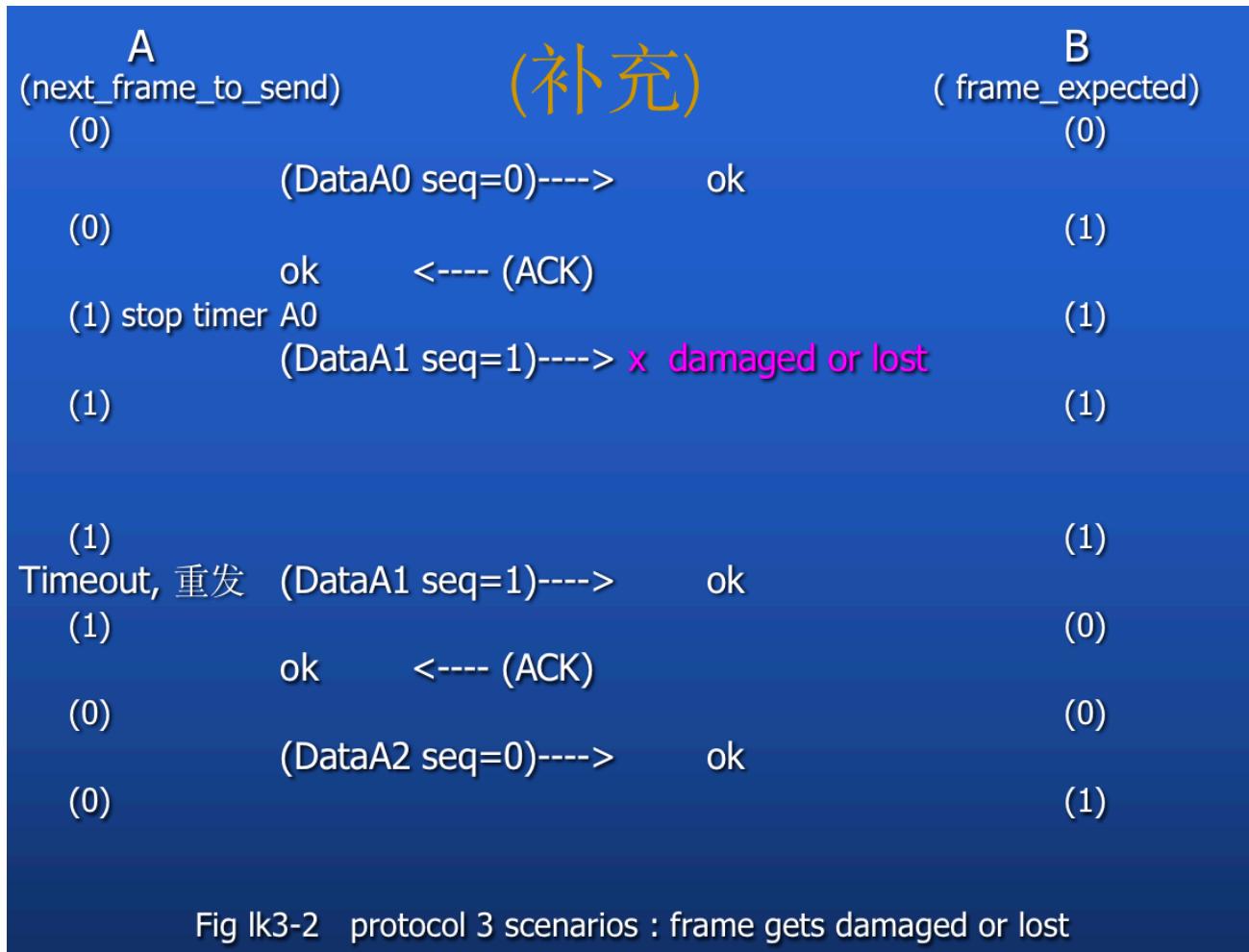
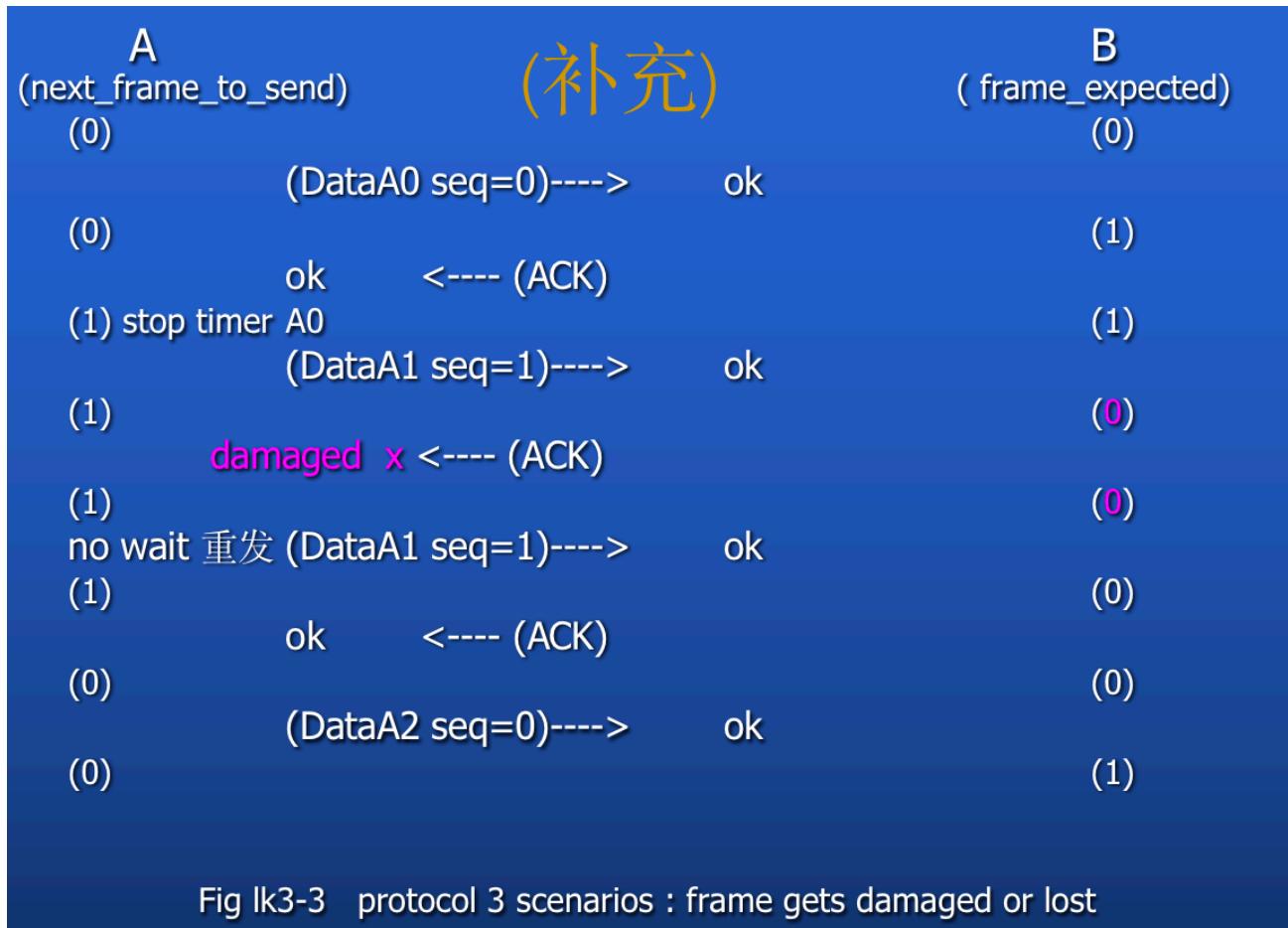


Fig lk3-2 protocol 3 scenarios : frame gets damaged or lost

- 发送帧出错：定时器超时，A重发



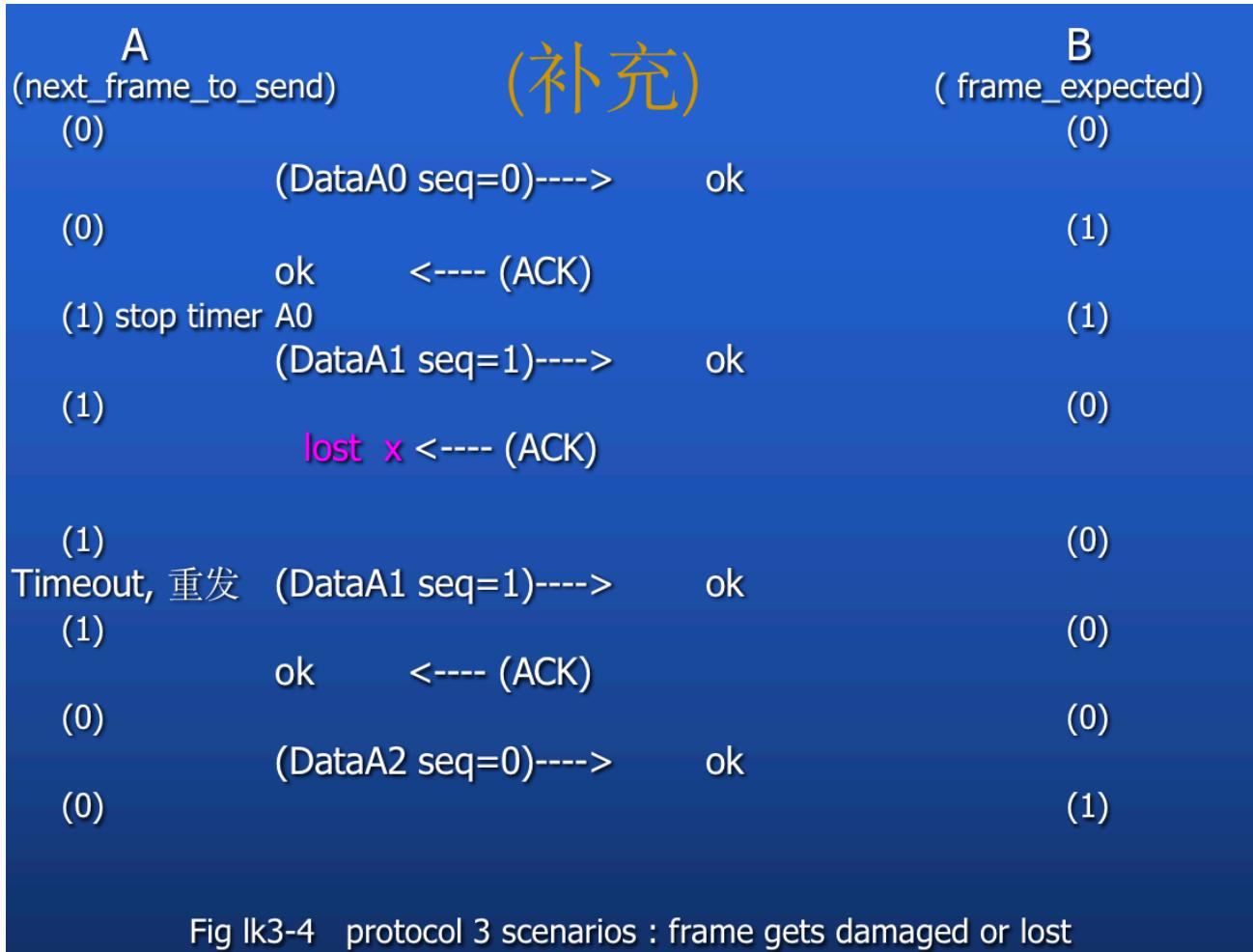


Fig lk3-4 protocol 3 scenarios : frame gets damaged or lost

- 应答帧出错/丢失：不会接收重复的发送帧，但会发一个应答帧

### 3.4 Sliding Window Protocol

- sending window: The sequence numbers within the sender's window represent frames that have been sent or can be sent but are as yet not acknowledged. 在等待应答协议中，sending window的大小=1。
- receiving window: corresponding to the set of frames which is permitted to accept for receiver. 应答窗口大小=1， always。

别的书上说： sending window is a set of sequence numbers corresponding to frame it is permitted to send for sender. 下面是我根据别的书的作者的观点修改后的图片（红色是修改部分）。

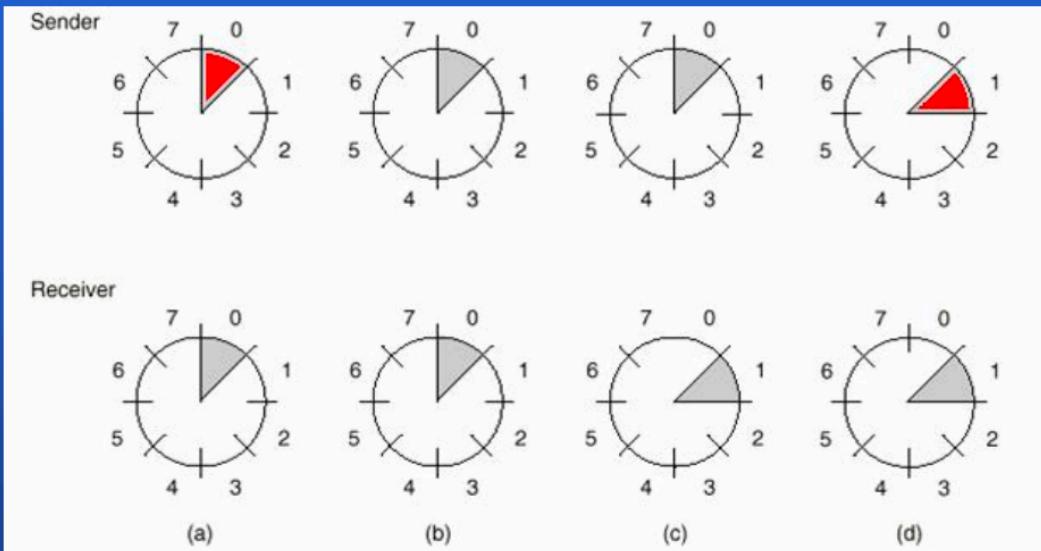
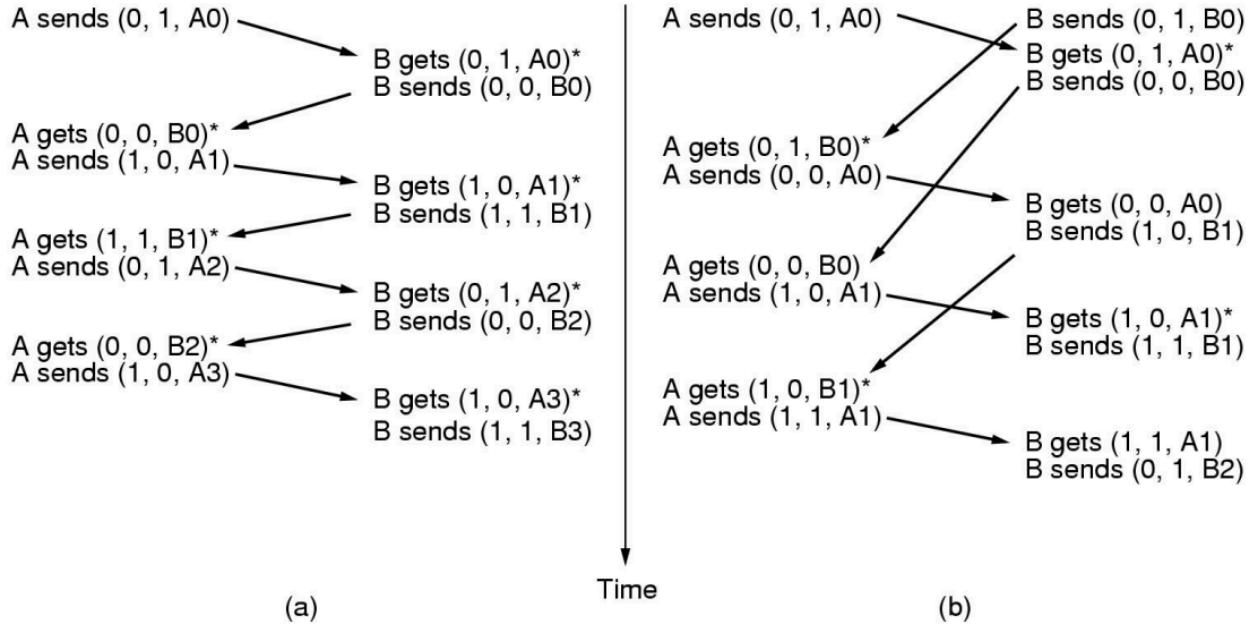


Fig. 3-12. A sliding window of size 1, with a 3-bit sequence number. (a) Initially. (b) After the first frame has been sent. (c) After the first frame has been received. (d) After the first acknowledgement has been received.

2

- 如果发送要发的话，那个集合里就会有那个序号，
- 接收方也是，就是集合里有哪些已经接收了的序号/等待接收的信号
- 上下俩是一对，如果一方不工作，那么另一方也做不了



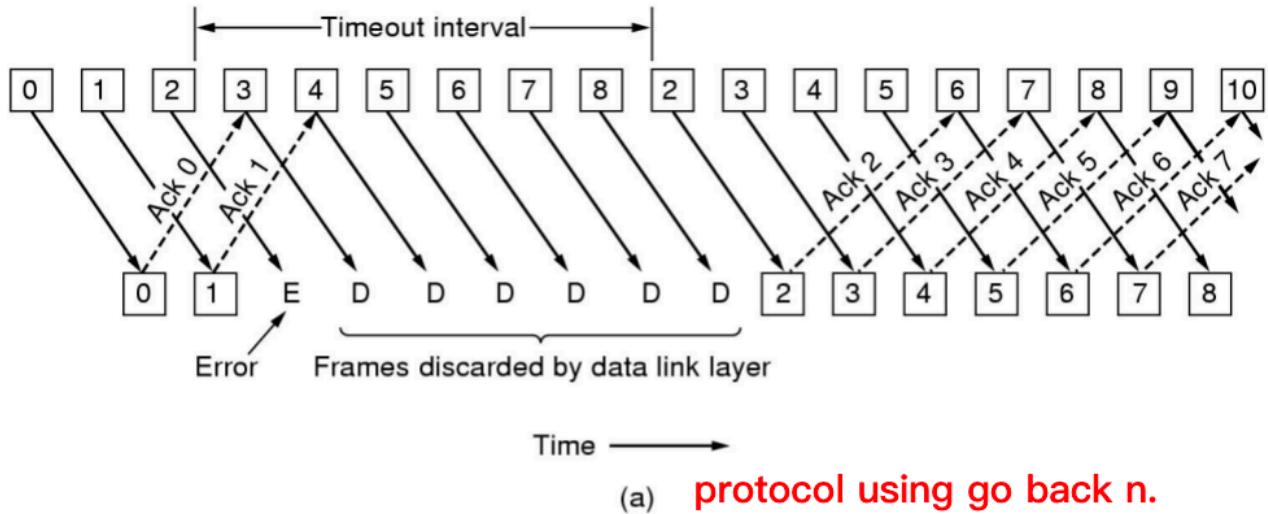
Two scenarios for protocol 4. The notation is (seq,ack,packet number).  
An asterisk indicates where a network layer accept a packet.

- 顺带应答，发送信息帧的时候顺便把应答帧给发了
- ACK取决于发送方发的数据帧的序号
- 图右是处理不当的情况（很乱，我也没看懂）

### 3.5 protocol 5

- Stop-and-wait is not fitful for channel which has long round-trip delay, e.g. Satellite channel, 270 ms
- 发送等待的信道浪费严重
- Bidirectional transmission,noisy channel,
- Discard restricted condition: network layer of sender has unlimited data to transmit
- size of receiving window = 1, receiver discards all subsequent frames after a damaged or lost data frame.

### 3.5.1 Go back N -后退N协议



- 可以一次发7个，但是超过7个包还没有应答，那么就要等待了
- 如果B给一个应答回来，那么窗口就滚动一个，发送方扔掉一个集合内的元素，可以发一个新的包
- 图上的2号帧出错了，那么接收方后面得到的都扔掉，一定要等2号帧，一直不发ACK。然后超时发送方重新发送。
- 链路层用该协议多。

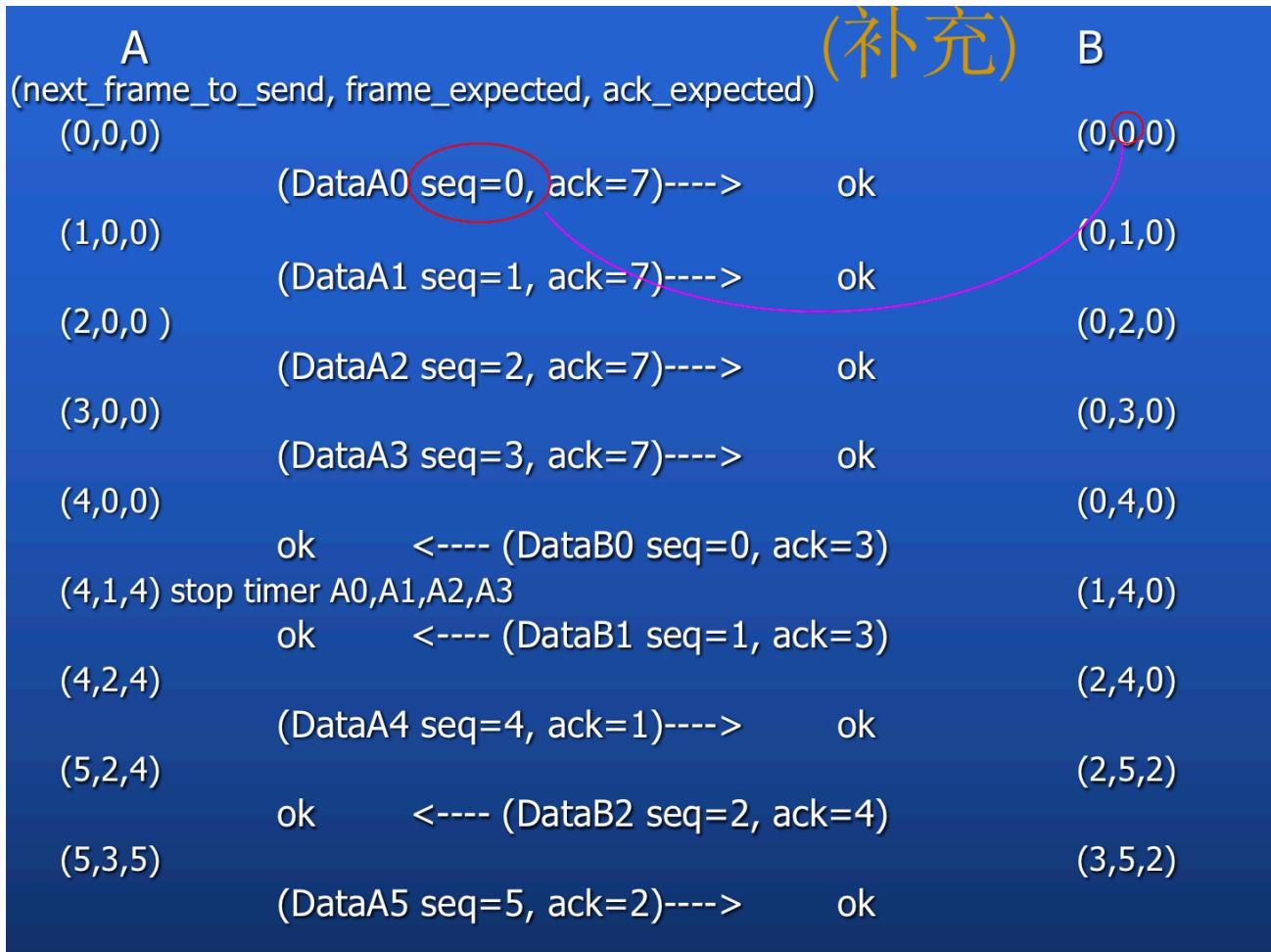
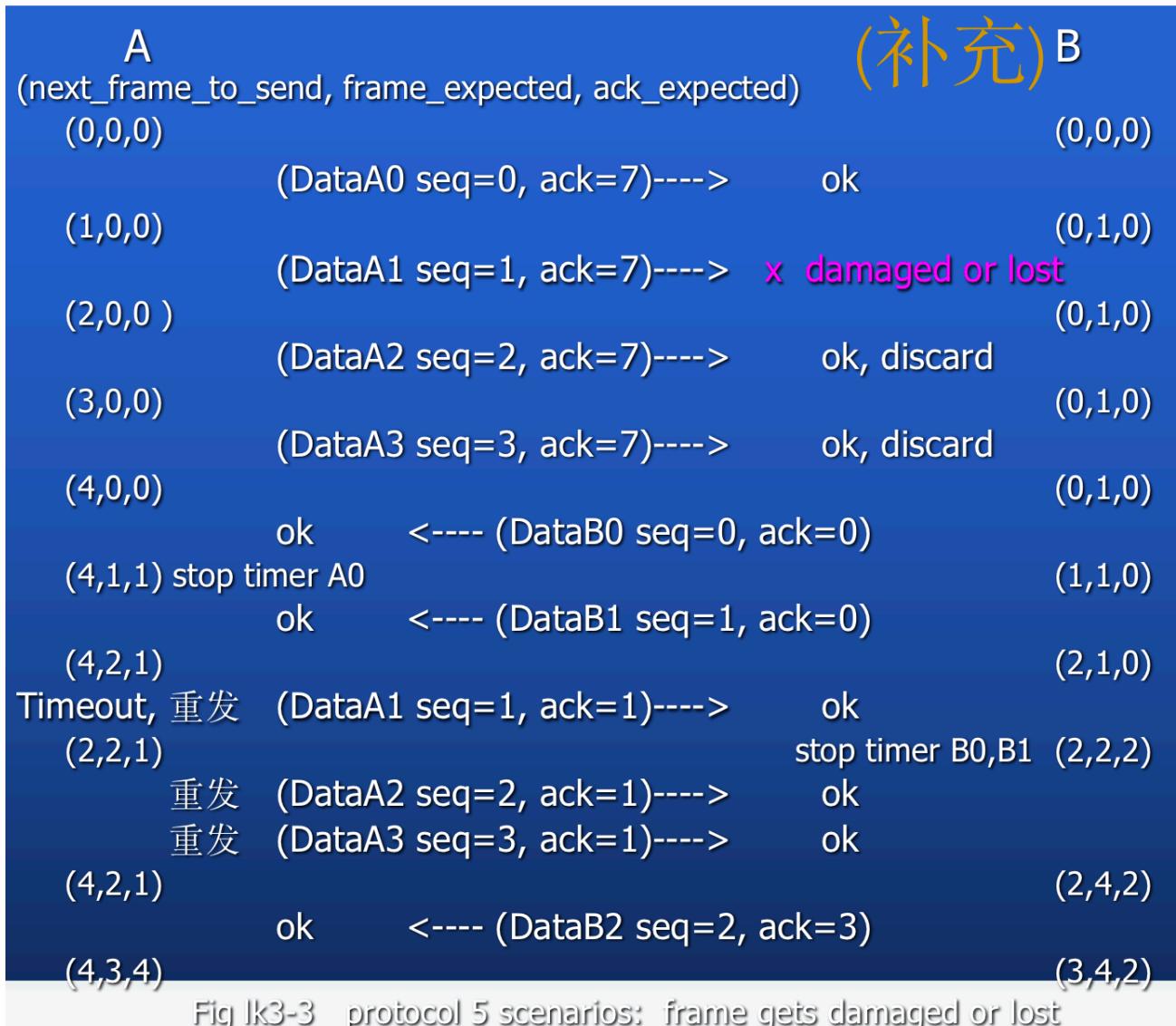


Fig lk3-1 protocol 5:MAX\_SEQ=7, Sender window size=4, receiver window size=18

- seq: 帧号, 从 next\_frame\_to\_send 拷贝过来, 就是即将要发的帧号
- frame\_expected 是期望收到的帧, 比如第一次B就在等A发0号帧, 收到之后就加一变成了1, 但是ack发送给A的要减1, 即0。
- ack\_expected 是最早发送的但还未被应答那个帧。如果对方应答了3号帧已经收到了, 那么ack\_expected 就加1, 就是说我接下来要发4号帧, 我也在等4号帧的回复。之后发送窗口就往后移xx位。
- ack是说对面发送的x即以及x之前的帧已经接到了。比如ack=7, 说明之前那个窗口已经接收完毕了, 其实是“上辈子”B发的帧已经全部被接收了(相当于初始化)。如果ack=3, 那么说明A之前发的3、2、1、0四个帧都已经收到了。
- ack = frame\_expected - 1; seq = next\_frame\_to\_send; ack\_expected = 发送过的帧号



- 这是出错的情况
- 出错未卡死
- 但是还是有问题，程序没有单独的应答帧，如果B不给A发数据，那么A永远接受不到应答帧，那么A就会死循环一直发送消息

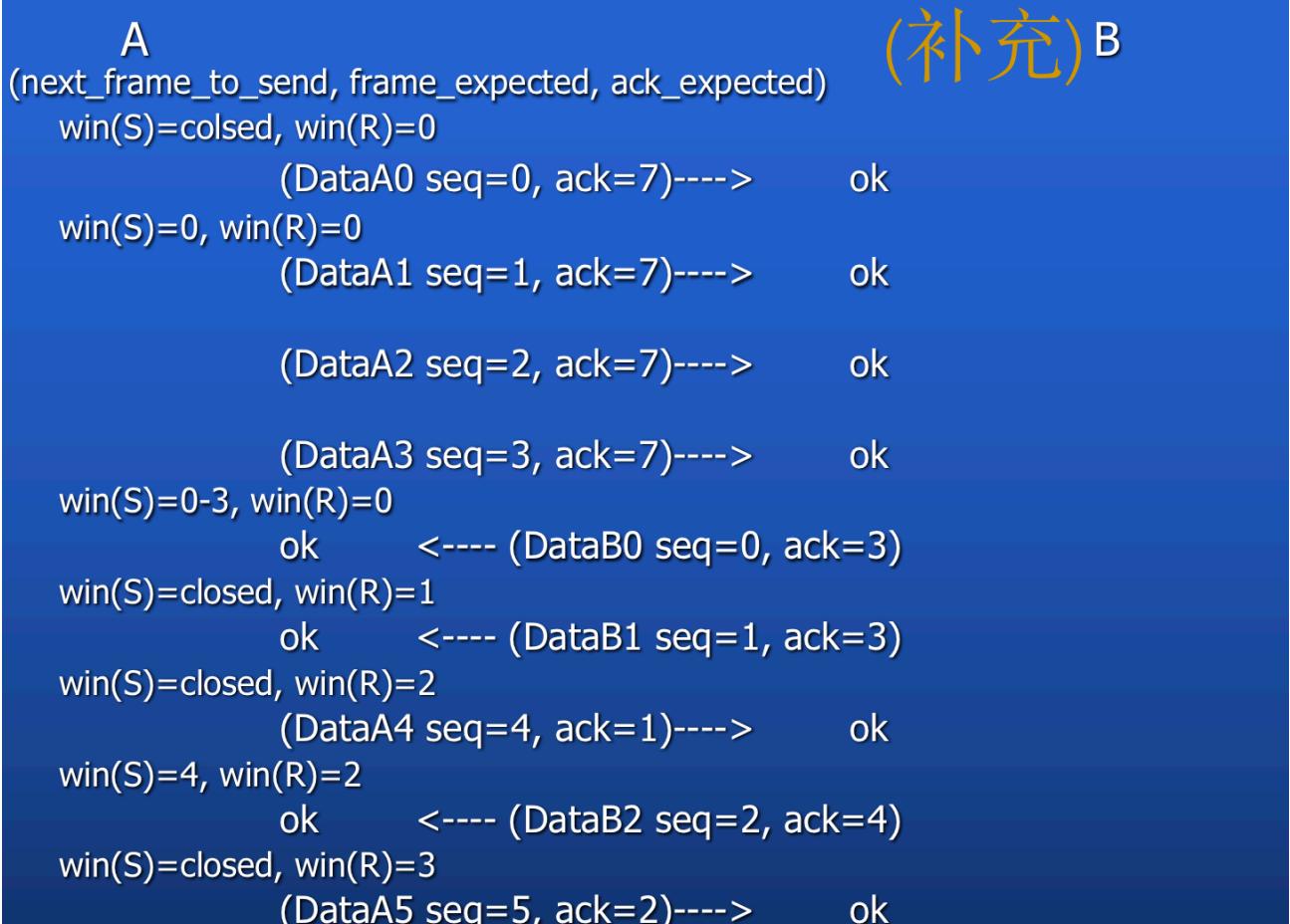


Fig Jk3-2 protocol 5:MAX\_SEQ=7, Sender window size=4, receiver window size=19

- 这是发送窗口和接收窗口变化的情况

### 3.5.2 *discussion*

- A Protocol Using Go Back n

- MAX\_SEQ+1 distinct sequence numbers(0,1,2,... MAX\_SEQ), no more than MAX\_SEQ unacknowledged frames, **the sender window $\leq$ MAX\_SEQ**. Why? Consider the following scenario with MAX\_SEQ=7(a sender window of size eight):
  - The sender sends frames 0 through 7.
  - A piggybacked acknowledgement for frame 7 eventually comes back to the sender.
  - The sender sends another eight frames, again with sequence numbers 0 through 7.
    - All eight frames belonging to the second batch get lost
    - All eight frames belonging to the second batch arrive successfully.
  - Another piggybacked acknowledgement for frame 7 come in, ambiguity will arise to sender:
    - All eight frames belonging to the second batch get lost, ack=7
    - All eight frames belonging to the second batch arrive successfully, ack=7

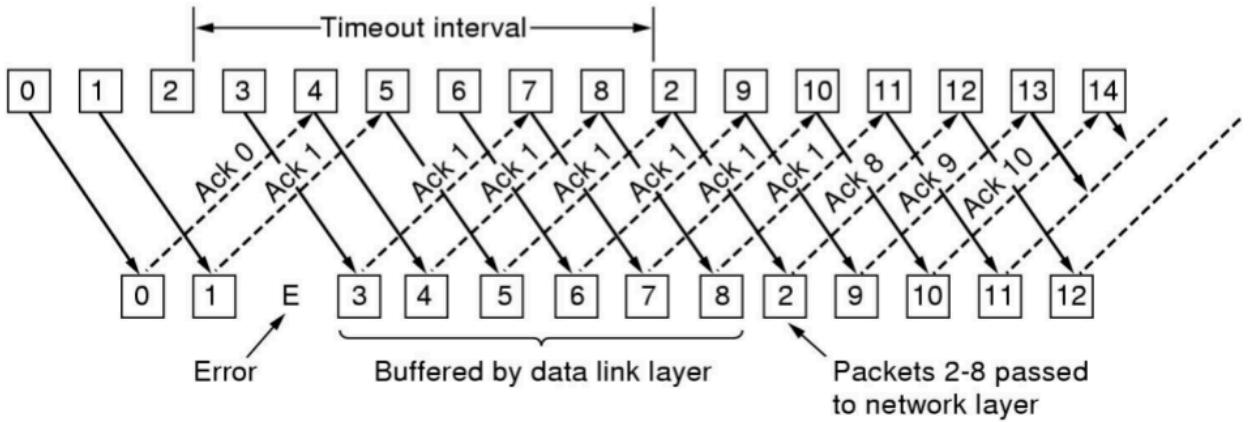
$$\text{sender window} \leq \text{MAX\_SEQ} \quad (1)$$

- 比如我的MAX\_SEQ是7，即帧号可以到7，那么我窗口只能有7个（0-6），不能有8个（0-7），why？
- 如果发0-7八种帧，同时有8个窗口
- 存在一种问题：如果包全部丢了，此时也是发送7（上次最后接收到7），如果包全部收到，也是发送7，所以会出现两头难ambiguity。

- A Protocol Using Go Back n( when the size of sender window=7,no ambiguity:
  - The sender sends frames 0 through 6
  - A piggybacked acknowledgement for frame 6 eventually comes back to the sender
  - The sender sends another 7 frames:7,0,1,2,3,4,5,
    - Frame 7,0,1,2,3,4,5 belonging to the second batch get lost
    - Frame 7,0,1,2,3,4,5 belonging to the second batch arrive
  - Another piggybacked acknowledgement comes in,no ambiguity
    - Frame 7,0,1,2,3,4,5 belonging to the second batch get lost,ack=6
    - Frame 7,0,1,2,3,4,5 belonging to the second batch arrive,ack=5
- Buffering: Since a sender may have to retransmit all the unacknowledged frames at a future time ,it must buffer all transmitted frames until acknowledgement arrives.
- Serious problem of Protocol 5: No acknowledgement could be sent, if there is no reverse traffic.
- Simulation of multiple timers in software-[see fig 3-17],

- 开窗口等于7，那么不会出现ambiguity
- 如果全丢，那么我要等待收到帧号7，我应该给ack=6;
- 如果全到，那么我要等待收到6，那么应该给ack=5。
- 本质上就是牺牲一个窗口，来区别收到的情况
- 发送窗口数是最大帧号减1。

### 3.6 Selective repeat 选择重传协议 (Protocol 6)



(a). Effect of an error when the receiver window size is 1.

(b) the receiver window size is larger than 1.

- 像后退N把很多扔掉了，有点浪费；选择重传协议尽管出错，但把收到的正确帧先存到缓存区，不会直接传到网络层，等到正确的帧也发送过来，再给网络层。
- 如果2出错了，那么就发送已经完成的序号（ACK=1，1前面的0、1已经发送完成），后面再重发2。
- TCP用该协议多。
- 不按顺序到达的帧，一定要选择Protocol 6
- 接收窗口大小一定要等于发送窗口大小：等在那里
- 对于3 bit序号值，序号范围为 0 - 7，即 $\text{MAX\_SEQ}=7$ ,发送窗口的大小为 $(\text{MAX\_SEQ}+1)/2=4$ ，接受窗口的大小=4，证明如下

$$(\text{MAX\_SEQ} + 1)/2 = \text{接收窗口大小} = \text{发送窗口大小} \quad (2)$$

- 发送窗口的大小不能大于 $(\text{MAX\_SEQ}+1)/2$ , 这里是4 (设 $\text{MAX\_SEQ} = 7$ ) , 原因如下:
  - 设发送窗口和接受窗口的大小都是5, 序号空间为0 – 7;
  - A的发送窗口 = [0,1,2,3,4], B的接收窗口 = [0,1,2,3,4]
  - A向B发送 0、1、2、3、4 # 帧, 两种不同的情况发生了:
    - 情况1: B收到全部5个帧, 应答也全部被A收到; A新的发送窗口 = [5, 6, 7, 0, 1], B新的接收窗口 = [5, 6, 7, 0, 1], A新发5, 6, 7, 0, 1 # 帧;
    - 情况2: 5个帧全部收到, 但应答全部丢失, A新的发送窗口 = [0,1,2,3,4], B新的接收窗口 = [5, 6, 7, 0, 1], A重发0 # 帧;
  - 问题: 新来的0 # 帧会被接收并交给高层, 如果是重发的 (情况2) 本该丢弃, 却被B接收并交给高层。
    - 原因: 在情况2下A的发送窗口 ([0,1,2,3,4]) 与B的接收窗口 ([5, 6, 7, 0, 1]) 有重叠部分。
- 根本上就是不能有一个窗口内不能有重复部分, 有重复部分就会重叠, 导致BUG

## 4 Example Data Link Protocols

### 实际

#### 4.1 HDLC---High-level Data Link Control

| Bits | 1 | 3   | 1    | 3        |
|------|---|-----|------|----------|
| (a)  | 0 | Seq | P/F  | Next     |
| (b)  | 1 | 0   | Type | P/F      |
| (c)  | 1 | 1   | Type | P/F      |
|      |   |     |      | Modifier |

Fig. 3-25. Control field of (a) an information frame, (b) a supervisory frame, (c) an unnumbered frame.

- Seq --- sender seq(like former protocol 5 )
- Next --- expected frame seq, different from former ack,it is  $(ack+1) \bmod 8$
- next不等于ack！！！但是和ack作用一样，之前是ack回应收到了3号帧，next是说我想收到你的4号帧，言下之意也是1、2、3号帧我已经收到了

- **Information frame**
- **Supervisory frame:**
  - RR(Receive Ready), 接收准备就绪
  - RNR(Receive Not Ready): to implement flow control
  - REJ(Reject): like NAK frame, 从Next起的所有帧都被否认, 但确认序号为Next-1及其以前的各帧
  - SREJ(Selective Reject), 选择性拒绝
- **Unnumbered frame**
  - request to establish connection: SNRM(Set Normal Response Mode), SABM(set asynchronous balanced mode)
  - request to disconnect: DISC(Disconnect)
  - response frame: UA(unnumbered acknowledgement)
  - report severe error: FRMR(FRaMe Reject)

## 4.2 PPP协议

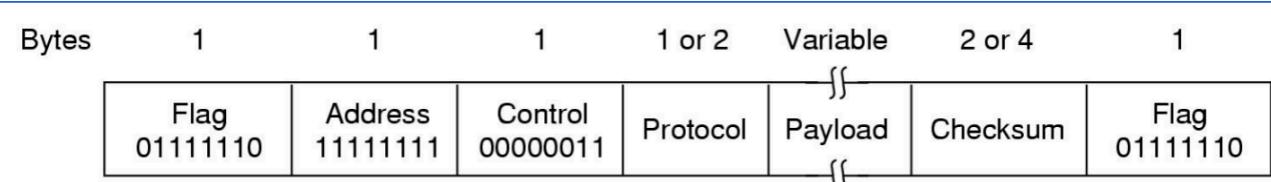


Fig. 3-27. The PPP full frame format for unnumbered mode operation.

- 信息是面向字节的, 因此一定要 8bit 位的整数倍
- 但 HDLC 不需要是字节的整数倍

## – PPP—Point to Point Protocol

- support: error detection、multiple protocols(IP,IPX,Apple Talk,XNS,...),allows IP address to be negotiated、permits authentication...
- LCP(Link Control Protocol).
- NCP(Network Control Protocol).
- Frame format(as HDLC):frame character-[see fig 3-27]
- A simplified phase diagram for bringing a line up and down-[see fig 3-28]

- 支持身份鉴别

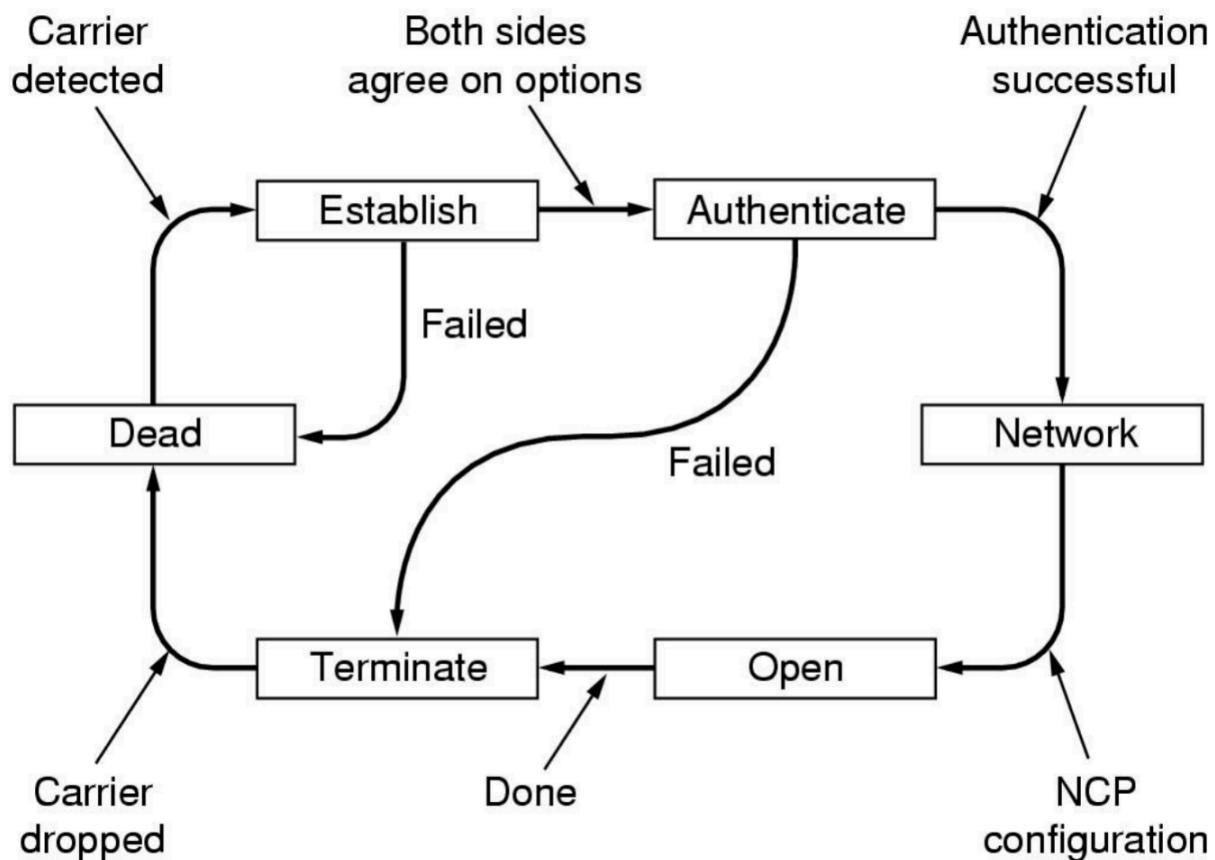
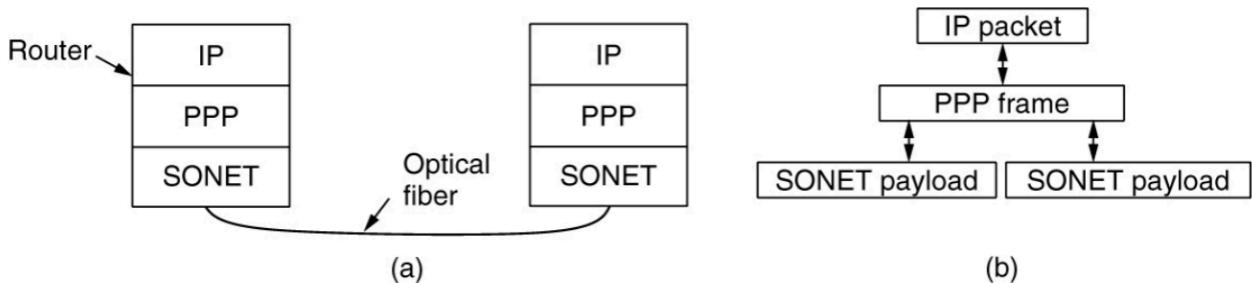


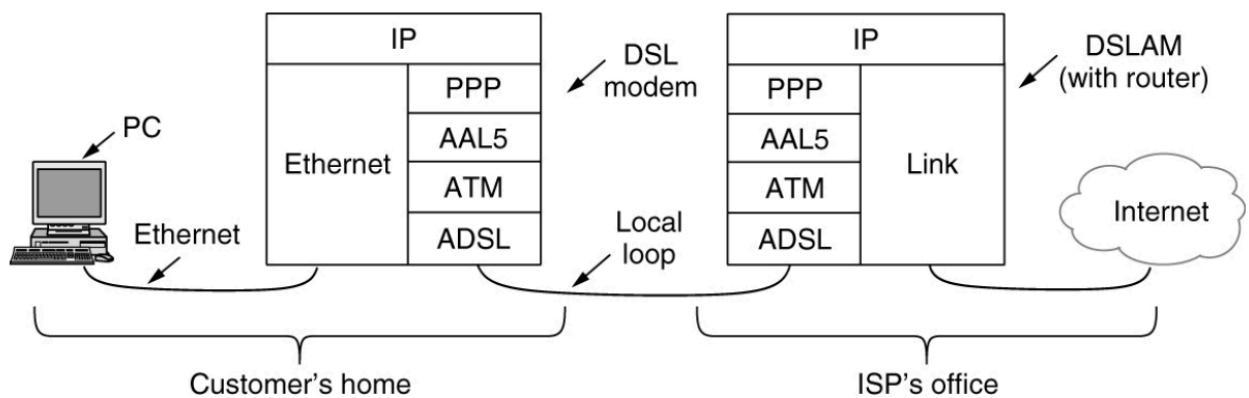
Fig. 3-28. A simplified phase diagram for bringing a line up and down.

## 4.3 SONET



Packet over SONET. (a) A protocol stack. (b) Frame relationships.

## 4.4 ADSL



ADSL protocol stacks

