## 用现实核力求解np束缚态

s-wave: 
$$|\alpha_1\rangle = |l=0; j=1\rangle$$
  
d-wave:  $|\alpha_2\rangle = |l=2, j=1\rangle$  (1)

$$|\phi\rangle = \frac{1}{E - T} V |\phi\rangle \tag{2}$$

$$\langle k_{i}\alpha|\phi\rangle = \sum_{\alpha'} \frac{1}{E-T} \sum_{j} \langle k_{i}\alpha|V|k_{j}\alpha'\rangle \langle k_{j}\alpha'|\phi\rangle$$

$$= \sum_{\alpha'} \frac{1}{E-\frac{k_{i}^{2}}{2\mu}} \int V(k_{i}\alpha, k_{j}\alpha') \langle k_{j}\alpha'|\phi\rangle k_{j}^{2} dk_{j}$$

$$= \sum_{\alpha'} \sum_{j} \omega_{j} k_{j}^{2} \frac{1}{E-\frac{k_{i}^{2}}{2\mu}} V(k_{i}\alpha, k_{j}\alpha') \langle k_{j}\alpha'|\phi\rangle$$
(3)

对 $\alpha$ 展开求和,得到: (设 $c_{ij} = \omega_j k_j^2/(E - k_i^2/2\mu)$ )

$$\langle k_{i}0|\phi\rangle = \sum_{j} c_{ij}V(k_{i}0,k_{j}0) \langle k_{j}0|\phi\rangle + \sum_{j} c_{ij}V(k_{i}0,k_{j}2) \langle k_{j}2|\phi\rangle$$

$$\langle k_{i}2|\phi\rangle = \sum_{j} c_{ij}V(k_{i}2,k_{j}0) \langle k_{j}0|\phi\rangle + \sum_{j} c_{ij}V(k_{i}2,k_{j}2) \langle k_{j}2|\phi\rangle$$

$$(4)$$

写成分块矩阵的形式(长度为2\*np):

$$\begin{pmatrix} \phi_0 \\ \phi_2 \end{pmatrix} = \begin{pmatrix} A_{00} & A_{02} \\ A_{20} & A_{22} \end{pmatrix} \begin{pmatrix} \phi_0 \\ \phi_2 \end{pmatrix} \tag{5}$$

束缚态波函数(长度为np):  $\Phi = \phi_0 + \phi_2$ 

D波的概率(将 $\Phi$ 归一化后):  $D\% = \langle \phi_2 | \Phi \rangle = \langle \phi_2 | \phi_2 \rangle$ 

验证自洽性:

$$\langle \phi | T + V | \phi \rangle = \langle \phi | T | \phi \rangle + \langle \phi | V | \phi \rangle$$

$$= \sum_{\alpha} \sum_{i} \omega_{i} k_{i}^{2} \langle \phi | k_{i} \alpha \rangle \frac{k_{i}^{2}}{2\mu} \langle k_{i} \alpha | \phi \rangle + \sum_{\alpha \alpha'} \sum_{ij} \omega_{i} \omega_{j} k_{i}^{2} k_{j}^{2} \langle \phi | k_{i} \alpha \rangle V(k_{i} \alpha, k_{j} \alpha') \langle k_{j} \alpha' | \phi \rangle$$

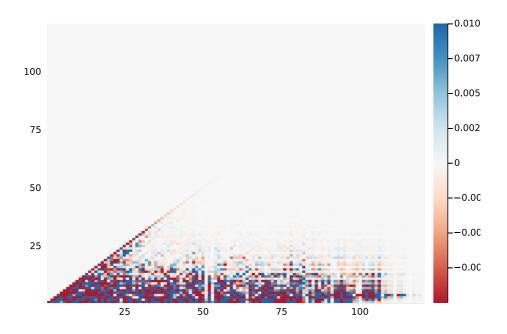
$$= \operatorname{sum}((\phi_{0}^{2} + \phi_{2}^{2}) * \omega * k^{4}) / (2\mu) + \sum_{ij} \omega_{i} \omega_{j} k_{i}^{2} k_{j}^{2} \phi_{0}(i) \phi_{0}(j) V_{00}(ij) + \cdots$$

$$(6)$$

## Krylov子空间算法

对象: 大型稀疏矩阵的本征值问题

本问题中的A矩阵:



目的:找到模方最大的特征值 → 幂迭代

$$K_n = [b, Ab, A^2b, \cdots, A^{n-1}b]$$
 (7)

故使用方法: Arnoldi iteration

## 理解

H(n,n)为A(N,N)在子空间 $K_n$ 内的表达, $Q(N,n) = \{q_n(N)\}$ 为子空间的基

$$H_n = Q_n^* A Q_n \tag{8}$$

$$H_{n} = \begin{bmatrix} h_{1,1} & h_{1,2} & h_{1,3} & \cdots & h_{1,n} \\ h_{2,1} & h_{2,2} & h_{2,3} & \cdots & h_{2,n} \\ 0 & h_{3,2} & h_{3,3} & \cdots & h_{3,n} \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ 0 & \cdots & 0 & h_{n,n-1} & h_{n,n} \end{bmatrix}$$
(9)

若 $H_n$ 的本征值与本征向量为 $\{\lambda\}$ 和 $\{v\}$ (长度为n),则A的近似本征值与本征向量为 $\{\lambda\}$ 和 $\{Qv\}$ (长度为N)。

## 操作

通过Gram—Schmidt process正交化基底 $q_1, q_2, q_3 \cdots, q_n$ ,叫作Arnoldi vectors,它们张成了 Krylov subspace  $\mathcal{K}_n$ 。具体操作为:

- 任意归一化初始向量 $q_1$ (事实上似乎需要一些针对性选取更好)
- 对于 $k = 2, 3, \cdots$

$$q_k \leftarrow Aq_{k-1}$$
 # 幂迭代操作

• 对于
$$j=1,2,\cdots,k-1$$

$$h_{j,k-1} \leftarrow q_i^* q_k$$

```
q_k \leftarrow q_k - h_{j,k-1}q_j # 施密特正交化  \circ \ h_{k,k-1} \leftarrow ||q_k|| \ \ \ \# \ \ bH_{k-1} 的拓展部份,求本征值时只考虑k-1维的部分
```

 $∘ q_k \leftarrow q_k/h_{k,k-1}$  # 归一化基底

```
1 function arnoldi bases(A, q0, miniter, maxiter, tol;
 2
       howmany=1, kstep=1
3)
 4
       # q0: 随机初始向量
       # miniter: 限制最小维度,不然容易两次偶然相等
 5
       # maxiter: 限制最大维度,不应到计算到和A一样
 7
       # howmany: 需要计算多少个 howmany > miniter+1
       # tol: 收敛精度要求多少
 8
9
       # howmany: 需要多少个,只支持幅度最大本征值的筛选
10
       # kstep: 如果需要的维度比较高,不必每次计算本征值,间隔几步算一次就行
       n = size(A, 1) # 矩阵大小
11
       H = zeros(maxiter + 1, maxiter) # 子空间投影最大大小
12
       Q = zeros(n, maxiter + 1) # Krylov基矢
13
       Q[:, 1] •= normalize(q0) # 初始化第一个基矢
14
15
       λ = zeros(ComplexF64, howmany) # 储存howmany个本征值的绝对值
       λ0 = zeros(ComplexF64, howmany) # 比较
16
       k_cut = 0 # 记录跳出循环的截断位置
17
       converge_info = false # 是否收敛
18
       for k in 2:maxiter+1
19
           k_cut = k
20
21
           λ0 •= λ
22
           v = \text{aview } Q[:, k]
           mul!(v, A, @view Q[:, k-1])
23
           for j in 1:k-1
24
               vj = aview Q[:, j]
25
               H[j, k-1] = dot(vj, v)
26
               v \leftarrow = H[j, k-1] .* vj
27
           end
28
29
           H[k, k-1] = norm(v)
           normalize!(v)
30
31
           if k > miniter & k > howmany + 2 & k % kstep = 0 # 满
   足这些才计算一次本征值
               # 求解本征值,按照绝对值大小进行排序
32
33
               \lambda \leftarrow \text{eigvals}(H[1:(k-1), 1:(k-1)], \text{sortby=abs})
   [end:-1:end-howmany+1]
34
               if all(abs.(\lambda \leftarrow \lambda 0) .< tol)</pre>
                   println("Converged when k = \$(k) \setminus n \lambda = \$(\lambda)")
35
36
                   converge_info = true
```

```
37
                   break
38
               end
39
           end
           if k > maxiter
40
               println("Probably not converged")
41
42
           end
43
      end
       return \lambda, Q[:, 1:k_cut-1], H[1:(k_cut-1), 1:(k_cut-1)],
44
   converge_info
45 end
```