

# Combinator Parsers

*Combinator parsers are a powerful and flexible way to construct parsers by combining small, reusable parser components. These components, or "combinators," can be used to build complex parsers in a modular and readable way.*

## Example: Arithmetic expressions

Let's consider a simple example of parsing arithmetic expressions like "3 + 5".

### Basic parsers

We define basic parsers for digits and operators.

- **Digit parser:** Parses a single digit.
- **Operator parser:** Parses the addition operator "+".

### Combining parsers

We combine these basic parsers above to parse an entire expression.

- **Expression parser:** Parses a digit, followed by an operator, followed by another digit.

### Implementation

Here is a *pseudocode* (Python like) implementation of the parsers:

```
def digit_parser(s):
    if s and s[0].isdigit():
        return [(s[0], s[1:])]
    else:
        return []

def operator_parser(s):
    if s and s[0] == '+':
        return [('+', s[1:])]
    else:
        return []

def expression_parser(s):
    result1 = digit_parser(s)
    if not result1:
```

```

        return []

    (digit1, rest1) = result1[0]
    result2 = operator_parser(rest1)
    if not result2:
        return []

    (_, rest2) = result2[0]
    result3 = digit_parser(rest2)
    if not result3:
        return []

    (digit2, rest3) = result3[0]
    return [(int(digit1) + int(digit2), rest3)]

```

```

expression_parser("3+5")
# Output: [(8, "")]

```

As can be seen it also, besides parsing, *evaluates* the expression with the last statements (not part of parsing).

Moreover, the "defs" are related here in the following way:

```

def digit_parser(s):
    ...
def operator_parser(s):
    ...
def expression_parser(s):
    ... = digit_parser(s)
    ... = operator_parser(rest1)
    ... = digit_parser(rest2)

```

When a match is made, e.g. a digit is found by 'digit\_parser,' the returned value is a pair '(s[0], s[1:]),' the first a digit and the second the rest of the input for parsing:

```

def digit_parser(s):
    if s and s[0].isdigit():
        return [(s[0], s[1:])]
    else:
        return []

```

Other observations are that if no match is found, an empty result (empty "list") is returned. The split of *parsed item* and the *rest unparsed* shows how the parsers transfer information in between each other.

One thing to take note of here is that the "defs" doesn't return a parser, but only what has been parsed. In real applications, often functional languages, a (new) function is returned for later use. Thus a "tree of parsers" or *combinations of parsers*, represent the grammar of the language.

## Theory

### Basics

- **Parser:** A parser is a function that, given an input string, returns a set of possible parses. Each parse is typically *a pair* consisting of the *parsed result* and the *remaining unparsed* portion of the input.
- **Combinator:** A combinator is a higher-order function that takes *one or more parsers* as arguments and returns *a new parser*.

### Formally defined parsers

Let  $P$  be a parser with the type signature:

$$P : \Sigma^* \rightarrow \mathcal{P}(\mathcal{R} \times \Sigma^*)$$

where  $\Sigma^*$  is the set of all possible input strings,  $\mathcal{R}$  is the set of parse results, and  $\mathcal{P}$  denotes the power set.

This means that a parser  $P$  takes an input string and returns a set of pairs. Each pair consists of a result and the remaining unparsed part of the input.

### Combinators

- **Choice:** The choice combinator 'alt' tries two parsers and returns the result of the first successful parser.

$$\text{alt}(P, Q) \text{ def } \lambda s. \text{filter results from } (P(s) \cup Q(s)) \quad (1)$$

- **Sequence:** The sequence combinator 'seq' combines two parsers such that the second parser is applied to the remaining input after the first parser succeeds.

$$\text{seq}(P, Q) \text{ def } \lambda s. \{(r_1 \oplus r_2, s'') \mid (r_1, s') \in P(s) \quad (2)$$

$$\text{and } (r_2, s'') \in Q(s')\} \quad (3)$$

where  $\oplus$  denotes some combination of the results  $r_1$  and  $r_2$ .

- **Many:** The combinator 'many' applies a parser zero or more times.

$$\text{many}(P) \text{ def } \lambda s. \{(results, s') \mid \quad (4)$$

$$results \text{ is a list of results from zero or more applications of} \quad (5)$$

$$P \text{ and } s' \text{ is the remaining input}\} \quad (6)$$

- **Many1:** The combinator 'many1' applies a parser one or more times.

$$\text{many1}(P) \text{ def seq}(P, \text{many}(P)) \quad (7)$$

- **Option:** The option combinator 'opt' applies a parser and returns a default value if the parser fails.

$$\text{opt}(P, d) \text{ def } \lambda s. \{(r, s) \mid (r, s) \in P(s)\} \cup \{(d, s) \mid \text{if } P(s) \text{ fails}\} \quad (8)$$

## Formal definitions using *Category Theory*

In category theory, parsers can be modeled as functors between categories. For instance:

- **Category of Parsers:**
  - Objects: Parsers.
  - Morphisms: Combinators.
- **Functorial Composition:** A parser combinator can be viewed as a *functor* that *maps* parsers to new parsers. For example, the sequence combinator is a functor that maps *a pair of parsers* to *a new parser*.

## Example: Parsing add(x, y) Expression

### 1. Category of Parsers

Let  $\mathcal{P}$  be the category of parsers:

- **Objects:** Parsers  $P$  that parse components of the expression.
- **Morphisms:** Functions that transform parsed results.

## 2. Basic Parsers

Define basic parsers for the operator and the arguments:

- **Parser for "add":**  $P_{\text{add}}$
- **Parser for argument "x":**  $P_x$
- **Parser for argument "y":**  $P_y$

These parsers are defined as:

$$P_{\text{add}}(s) = \begin{cases} \{("add", s')\} & \text{if } s = "add" \cdot s' \\ \emptyset & \text{otherwise} \end{cases} \quad (9)$$

$$P_x(s) = \begin{cases} \{(x, s')\} & \text{if } s = x \cdot s' \\ \emptyset & \text{otherwise} \end{cases} \quad (10)$$

$$P_y(s) = \begin{cases} \{(y, s')\} & \text{if } s = y \cdot s' \\ \emptyset & \text{otherwise} \end{cases} \quad (11)$$

## 3. Combining Parsers with Functors

Define a functor  $F$  that combines these parsers:

$$F_{P_{\text{add}}, P_x, P_y} : \mathcal{P}_{\text{add}} \times \mathcal{P}_x \times \mathcal{P}_y \rightarrow \mathcal{P} \quad (12)$$

The functor  $F$  maps  $P_{\text{add}}$ ,  $P_x$ , and  $P_y$  to a new parser that parses an expression.

## 4. Functor Action on Objects

Let  $P_{\text{add}}$ ,  $P_x$ , and  $P_y$  be parsers:

$$F(P_{\text{add}}, P_x, P_y) = \lambda s. \{(\text{add}(a, b), s'') \mid ("add", s') \quad (13)$$

$$\in P_{\text{add}}(s), (a, s'') \in P_x(s'), (b, s'') \in P_y(s')\} \quad (14)$$

Here,  $P_{\text{add}}(s)$ ,  $P_x(s')$ , and  $P_y(s'')$  parse "add",  $x$ , and  $y$  from the input strings  $s$ ,  $s'$ , and  $s''$ , respectively. The functor combines these parsers to produce a parser.

## 5. Functor Action on Morphisms

Let  $\phi : P_x \rightarrow P_{x'}$  and  $\psi : P_y \rightarrow P_{y'}$  be morphisms (functions that transform parsed results):

$$F(\phi, \psi) = \lambda(\text{add}(a, b), s'').(\text{add}(\phi(a), \psi(b)), s'') \quad (15)$$

Where  $\phi$  and  $\psi$  transform the results of the parsers  $P_x$  and  $P_y$ , respectively.

## 6. Example: Parsing `add(3, 4)`

Consider the parsers  $P_{\text{add}}$ ,  $P_x$ , and  $P_y$  that parse the strings `"add"`, `"3"`, and `"4"`:

$$P_{\text{add}}(s) = \{(\text{"add"}, s') \mid s' \text{ is the remainder of} \quad (16)$$

$$s \text{ after matching the string "add"}\} \quad (17)$$

$$P_x(s') = \{(3, s'') \mid s'' \text{ is the remainder of} \quad (18)$$

$$s' \text{ after matching the string "3"}\} \quad (19)$$

$$P_y(s'') = \{(4, s''') \mid s''' \text{ is the remainder of} \quad (20)$$

$$s'' \text{ after matching the string "4"}\} \quad (21)$$

The functor  $F$  combines these parsers to create a new parser  $F(P_{\text{add}}, P_x, P_y)$  that parses the entire expression:

$$F(P_{\text{add}}, P_x, P_y)(s) = \{(\text{"add"}(3, 4), s''') \mid (\text{"add"}, s') \quad (22)$$

$$\in P_{\text{add}}(s), (3, s'') \in P_x(s'), (4, s''') \in P_y(s'')\} \quad (23)$$

This expression means:

- $P_{\text{add}}$  parses the `"add"` part of the string.
- $P_x$  parses the `"3"` part of the string.
- $P_y$  parses the `"4"` part of the string.
- The functor  $F$  combines these parsed results into the final parsed expression, which is `add(3, 4)`.

Thus, the parser  $F(P_{\text{add}}, P_x, P_y)$  correctly parses the expression `add(3, 4)`.

## 7. Commutative Diagram

The commutative diagram for the functor  $F$  acting on parsers and their morphisms can be represented as follows:

$$\begin{array}{ccccc}
 P_{\text{add}} & P_x & P_y & \longrightarrow & F_{P_{\text{add}}, P_x, P_y}(P_{\text{add}}, P_x, P_y) \\
 \phi \downarrow & \psi \downarrow & \chi \downarrow & & \downarrow F_{\phi, \psi, \chi} \\
 P_{\text{add}'} & P_{x'} & P_{y'} & \longrightarrow & F_{P_{\text{add}'}, P_{x'}, P_{y'}}(P_{\text{add}'}, P_{x'}, P_{y'})
 \end{array} \tag{24}$$

In this diagram:

- $P_{\text{add}}, P_x$ , and  $P_y$  are the initial parsers.
- $P_{\text{add}'}, P_{x'}$ , and  $P_{y'}$  are the transformed parsers.
- $\phi, \psi$ , and  $\chi$  are the morphisms that transform the parsing results.
- $F_{P_{\text{add}}, P_x, P_y}$  is the functor that combines the parsers.
- $F_{\phi, \psi, \chi}$  is the functor that combines the morphisms.

This diagram shows how the functor  $F$  acts on both the parsers and their transformations, ensuring that the parsing process and the transformations commute appropriately.

## Summary

The mathematical formalism of combinator parsers involves defining parsers as functions that map input strings to sets of parse results and remaining input. Combinators are higher-order functions that combine parsers in various ways. This formalism can be described using set theory, formal language theory, and category theory.

The functor example illustrates how individual parsers for components of an expression (like `add`, `x`, and `y`) can be combined into a single parser that parses a composite expression `add(x, y)`. The functor acts on the parsers and their transformations, ensuring that the overall parsing process is consistent and commutative.

## Reference

- Danielsson, Nils Anders, "Total parser combinators", *SIGPLAN*, Not. 45, 9 (September 2010), pp. 285–296.
- Swierstra, S. "Combinator Parsers: From Toys to Tools", *Electronic Notes in Theoretical Computer Science*, 41 (2000), pp. 38-59.