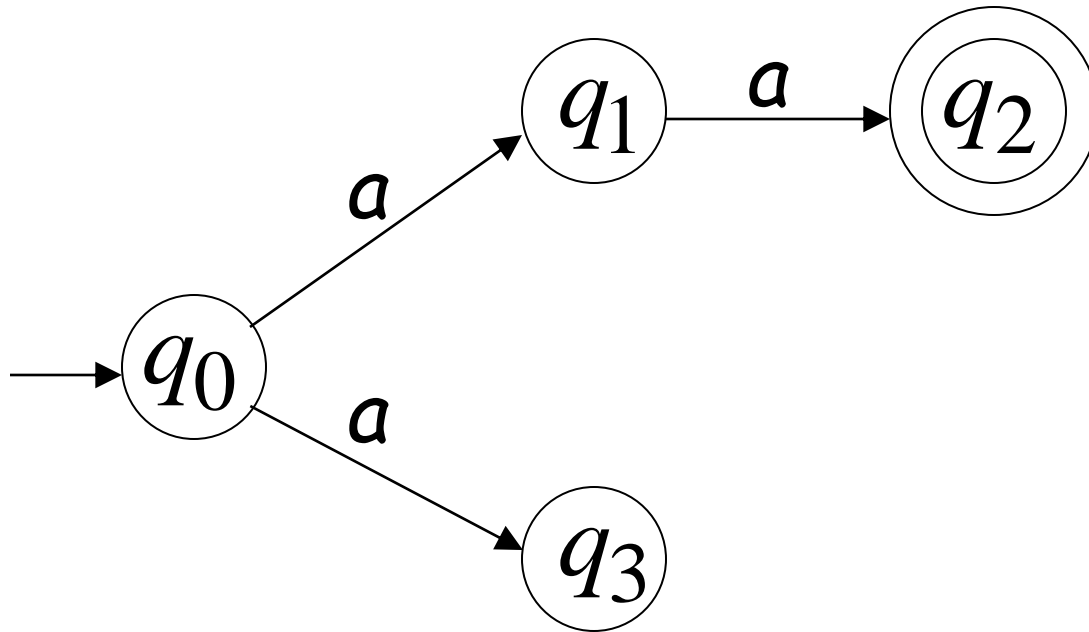


# Nondeterministic Finite Automata

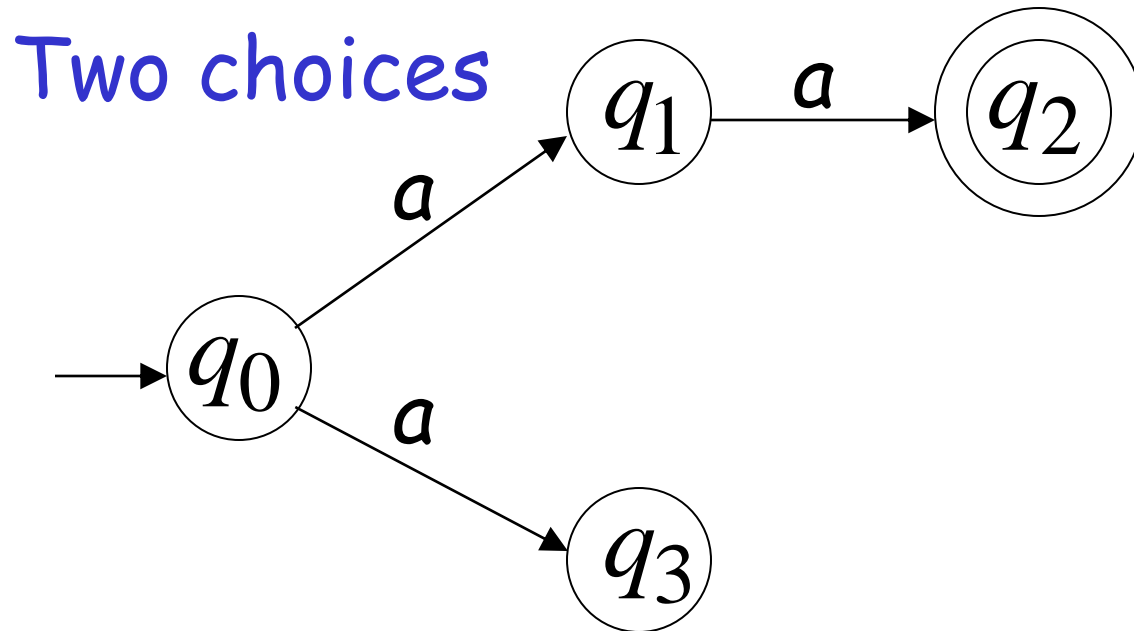
# Nondeterministic Finite Automata (NFA)

Alphabet =  $\{a\}$



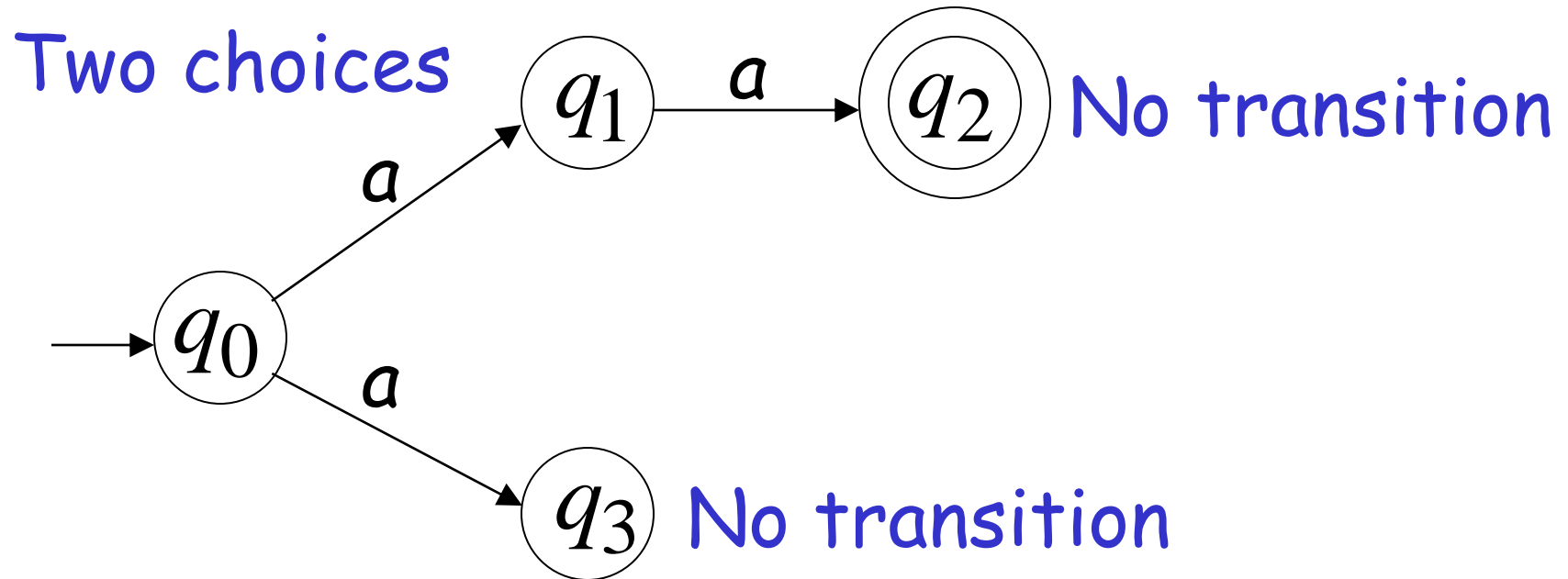
# Nondeterministic Finite Automata (NFA)

Alphabet =  $\{a\}$

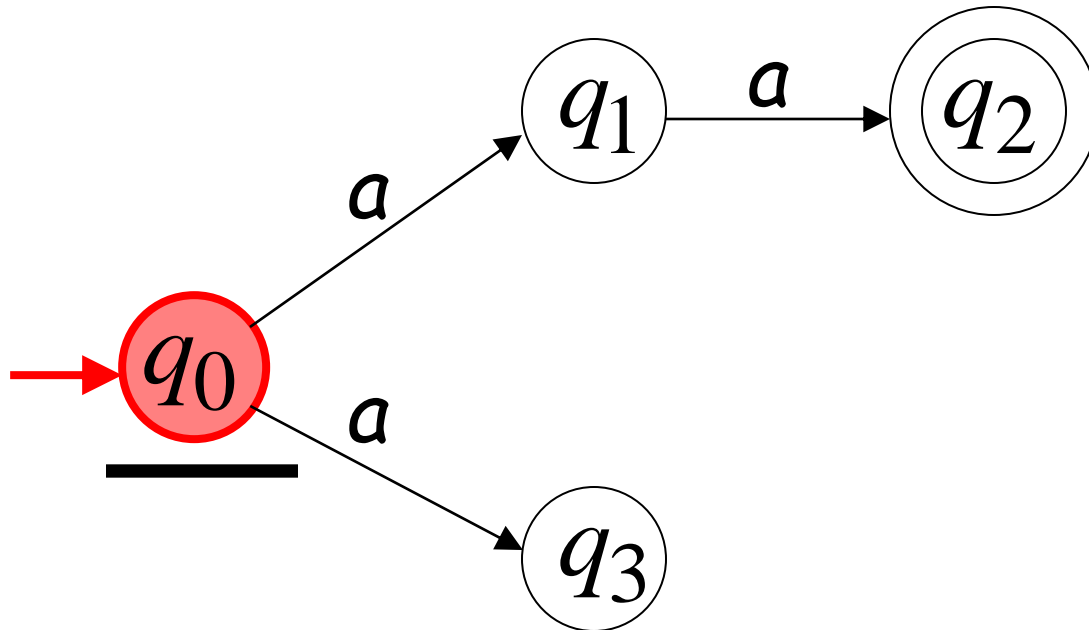
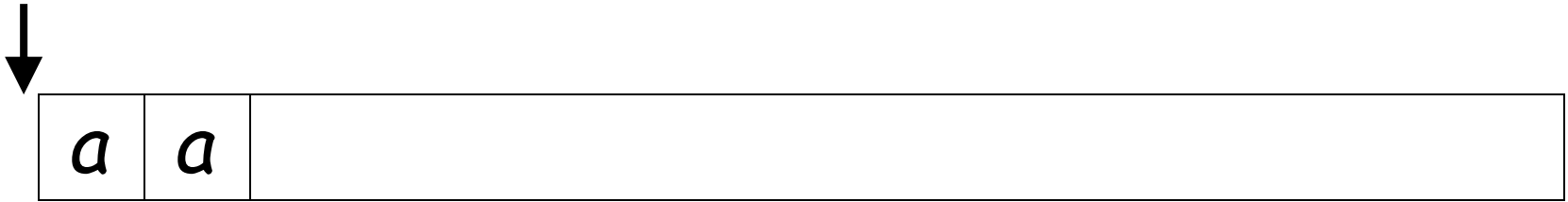


# Nondeterministic Finite Automata (NFA)

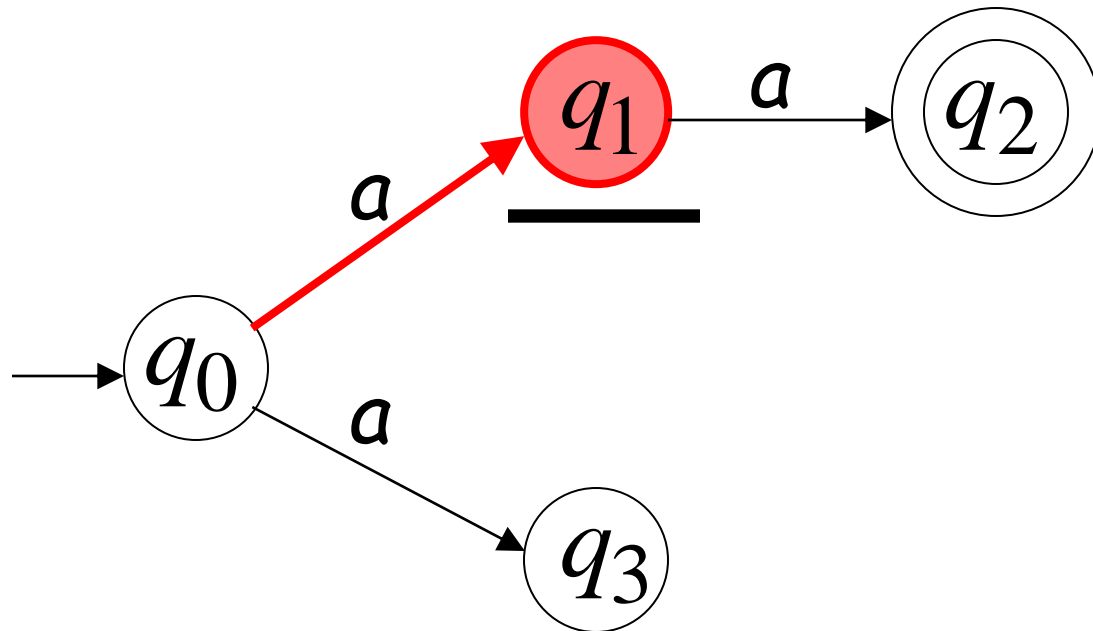
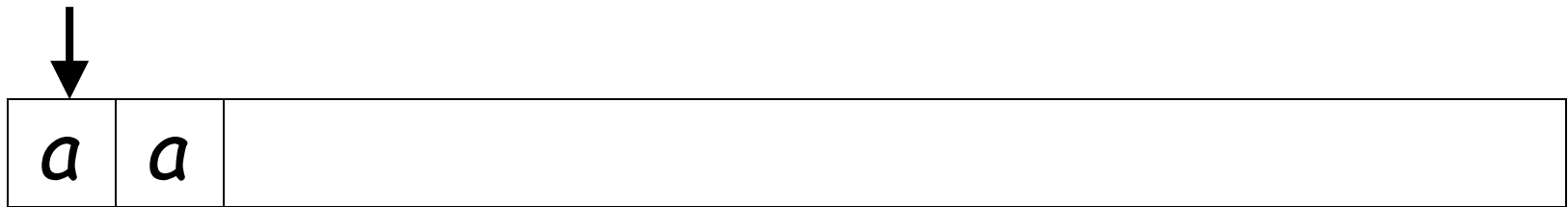
Alphabet =  $\{a\}$



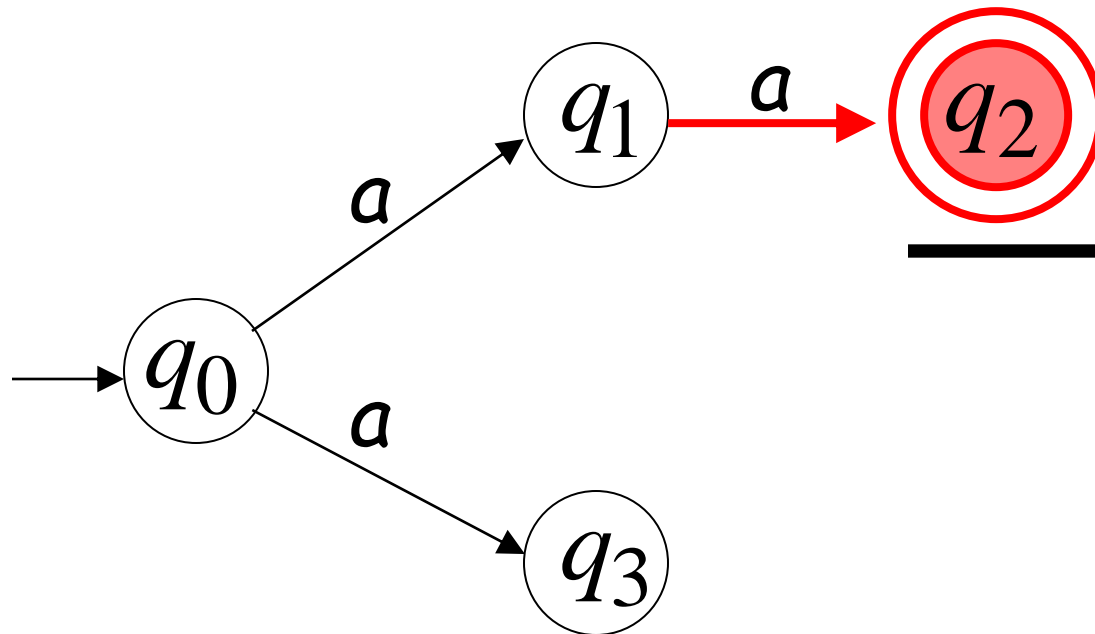
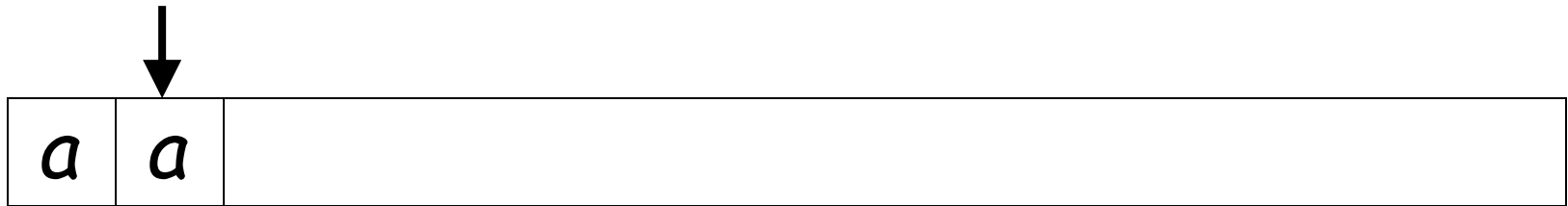
# First Choice



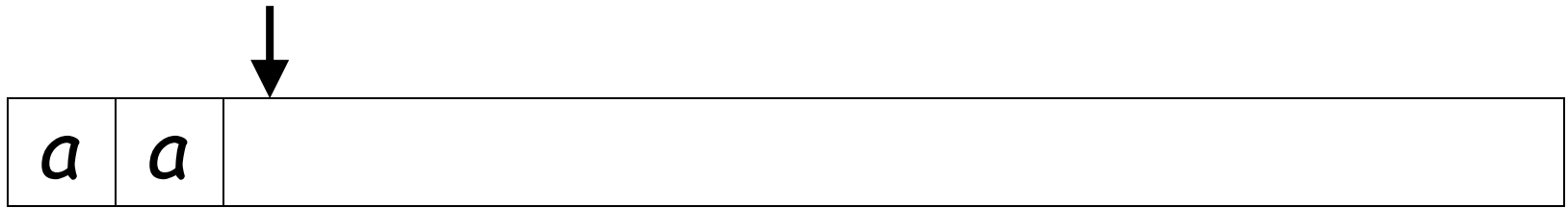
# First Choice



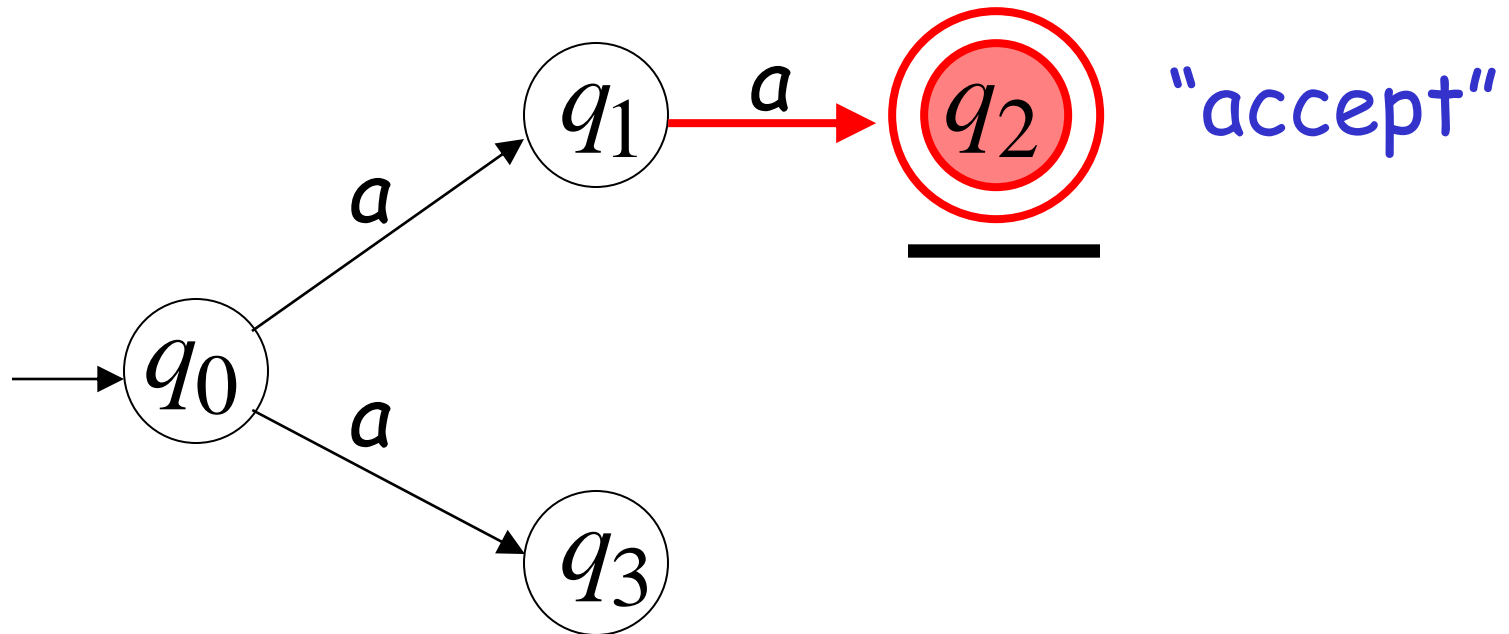
# First Choice



# First Choice

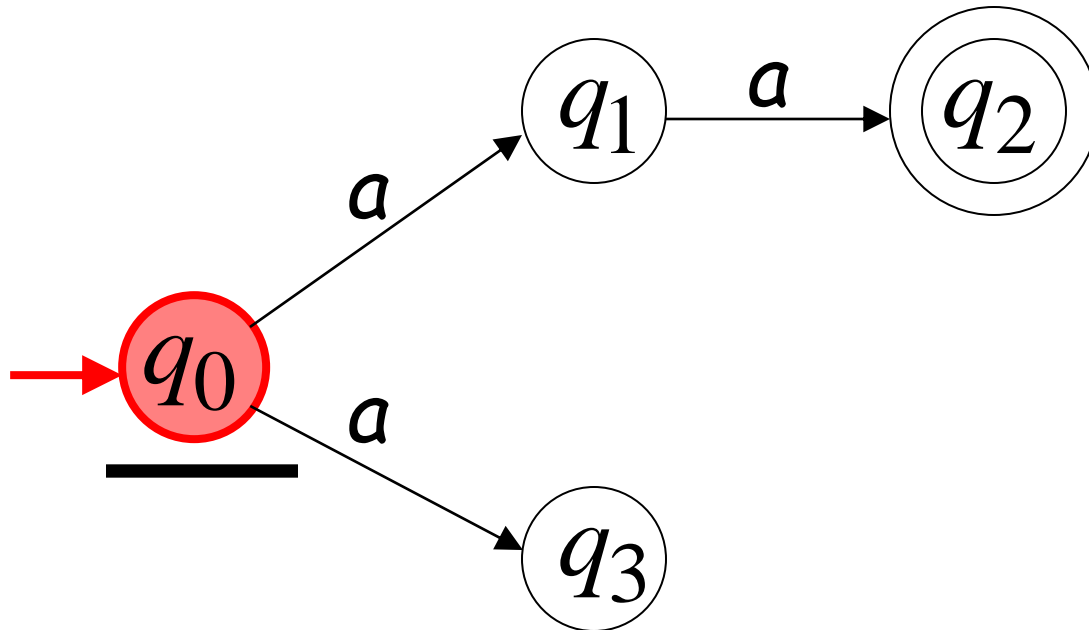
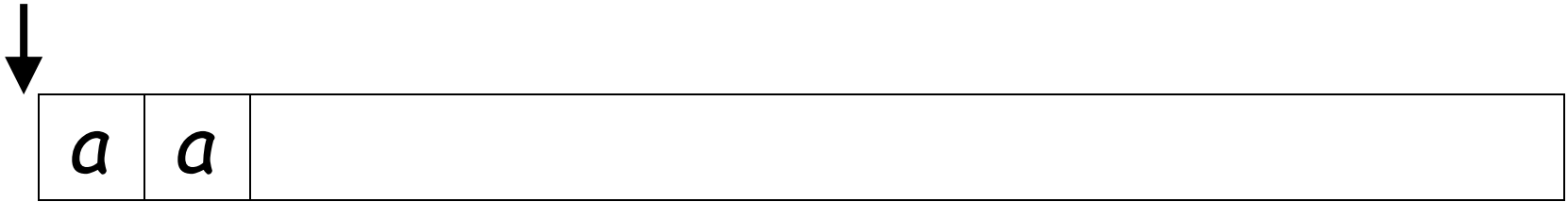


All input is consumed

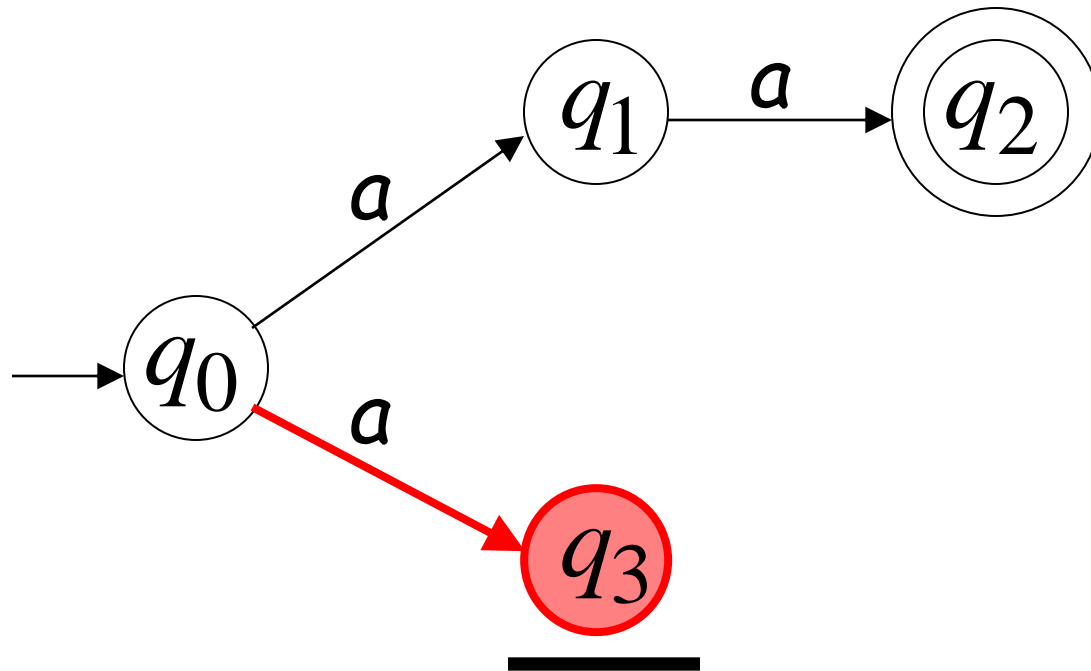
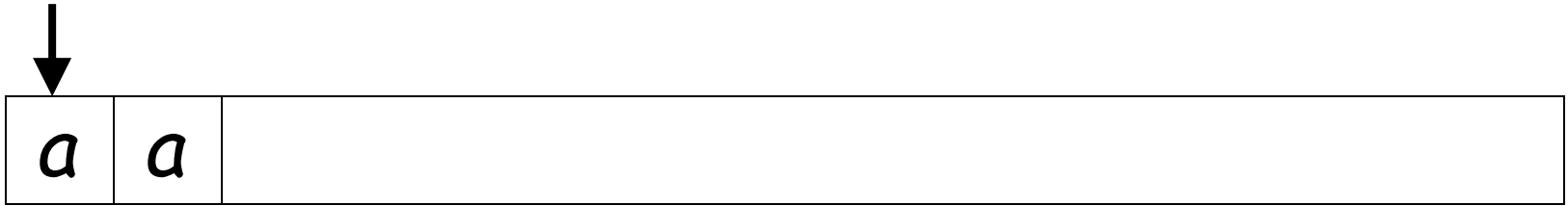




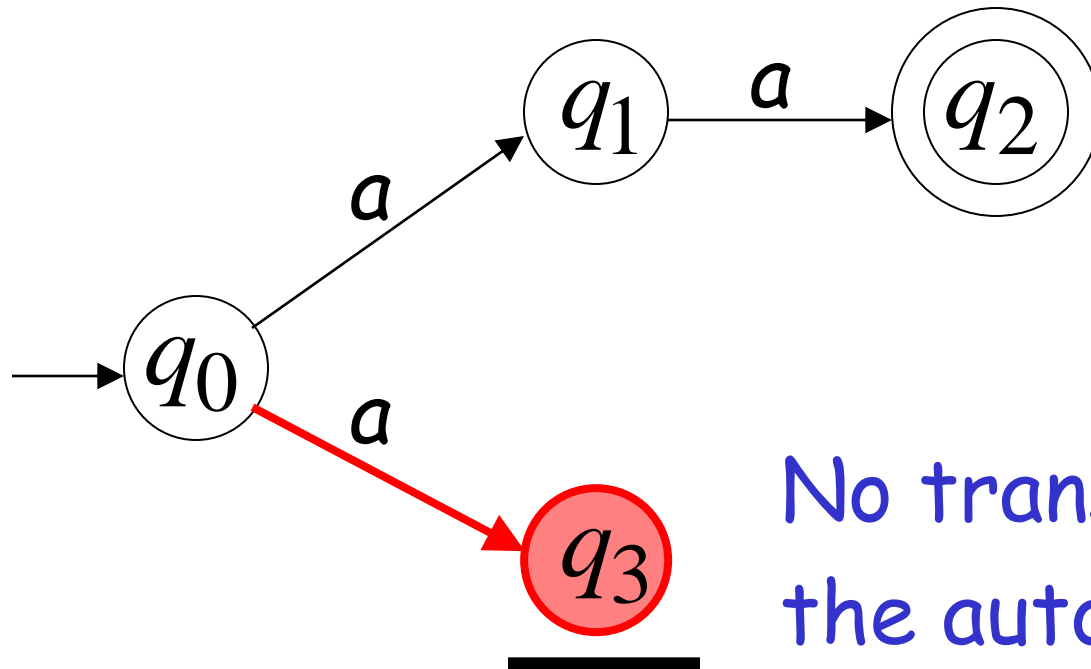
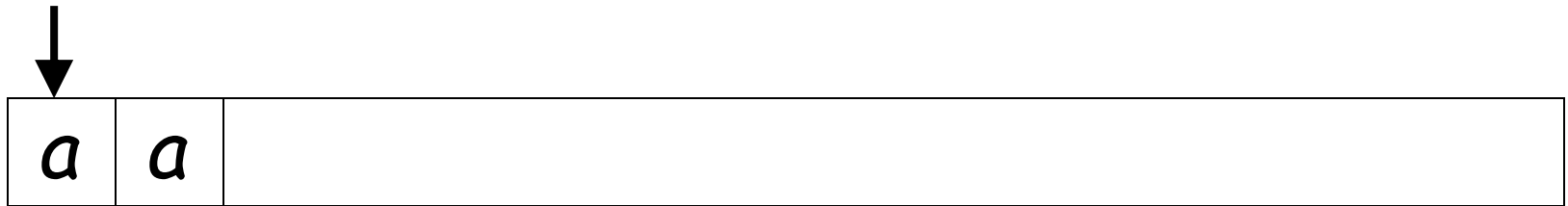
# Second Choice



# Second Choice

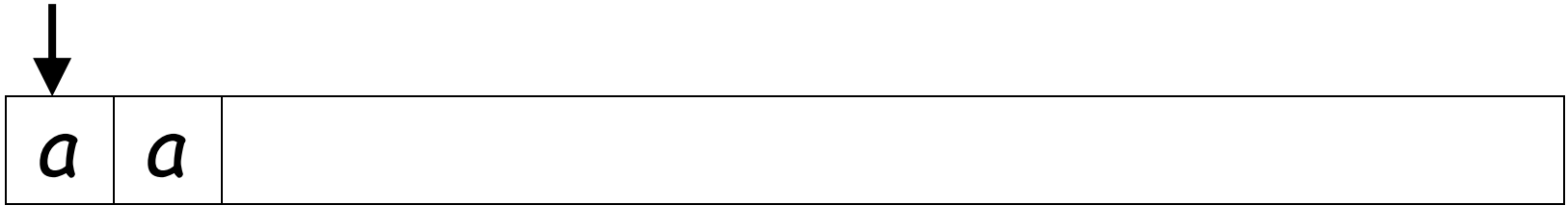


## Second Choice

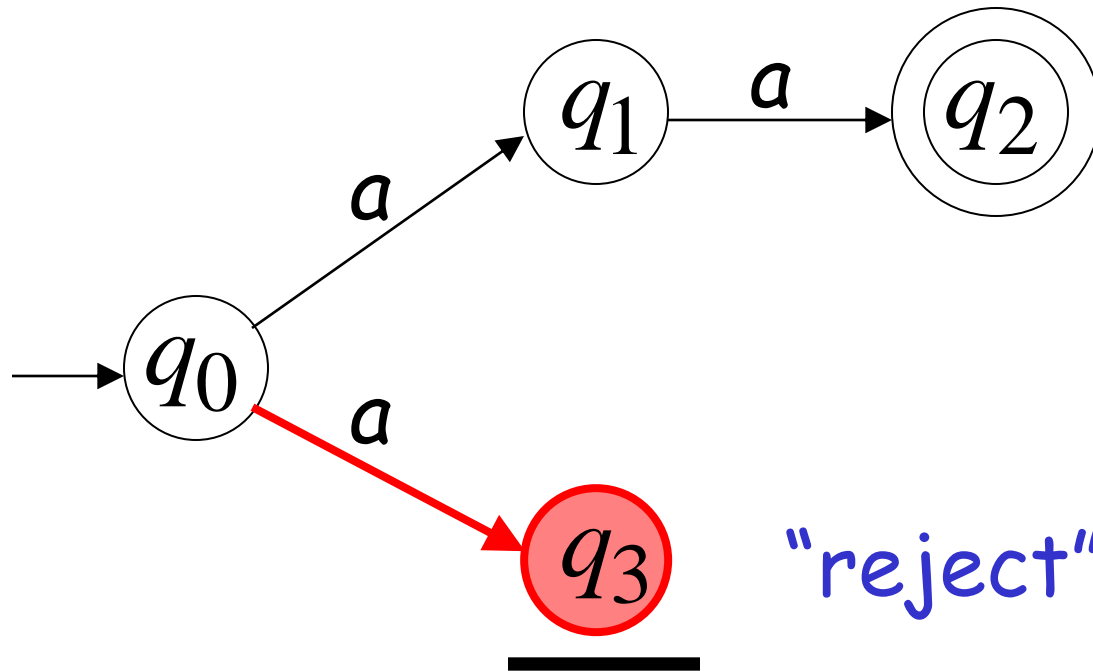


No transition:  
the automaton hangs

## Second Choice



Input cannot be consumed



An NFA accepts a string:

If there is a computation such that:

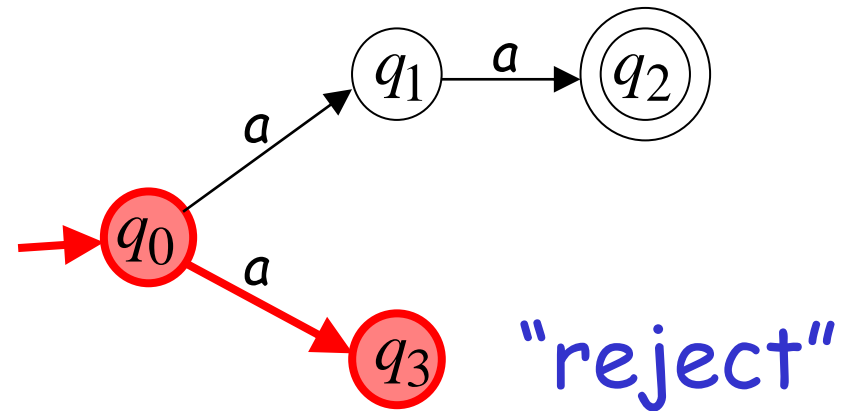
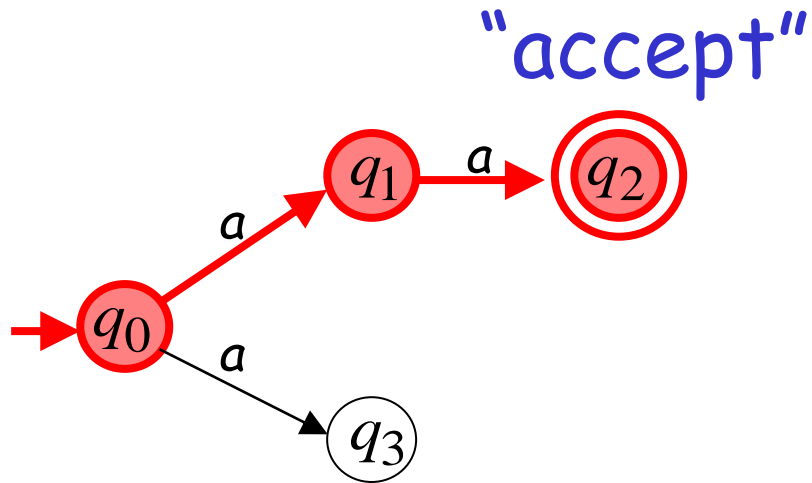
All the input is consumed

AND

The automata is in a final state

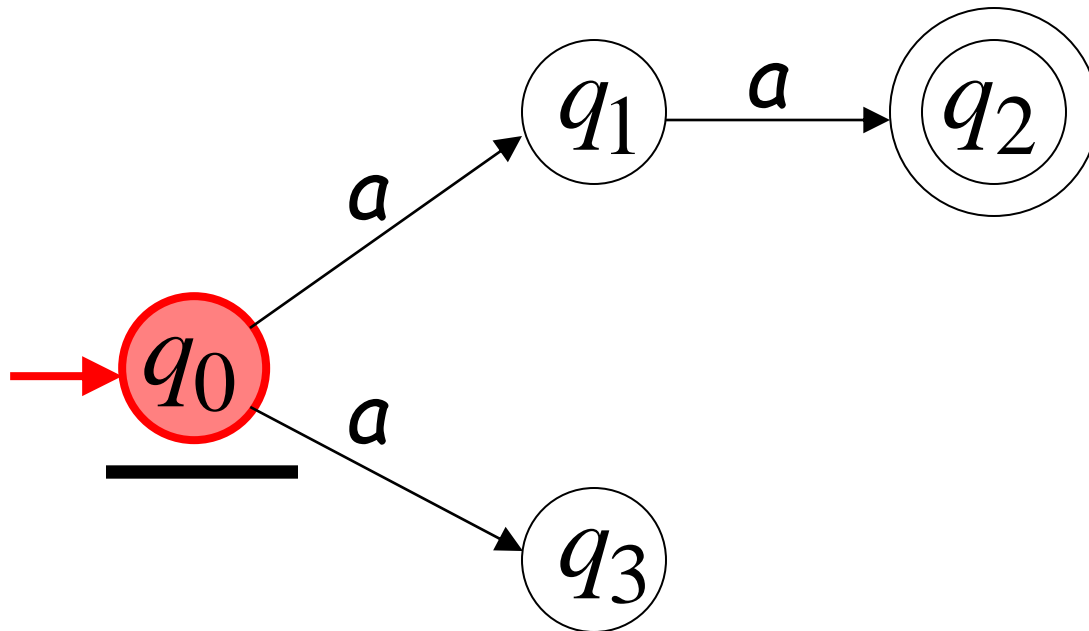
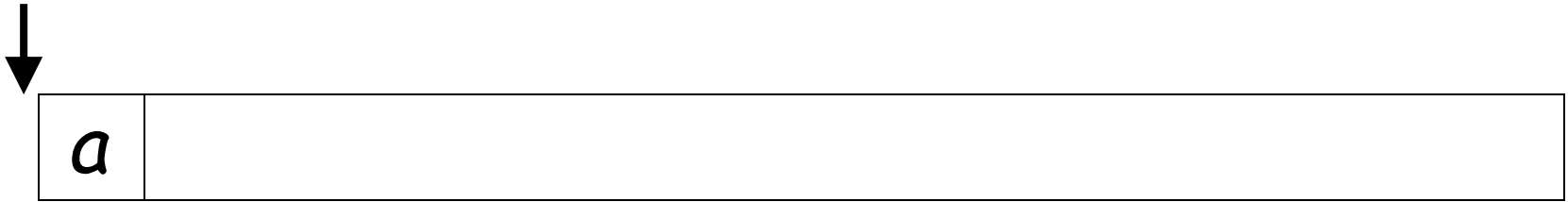
# Example

*aa* is accepted by the NFA:

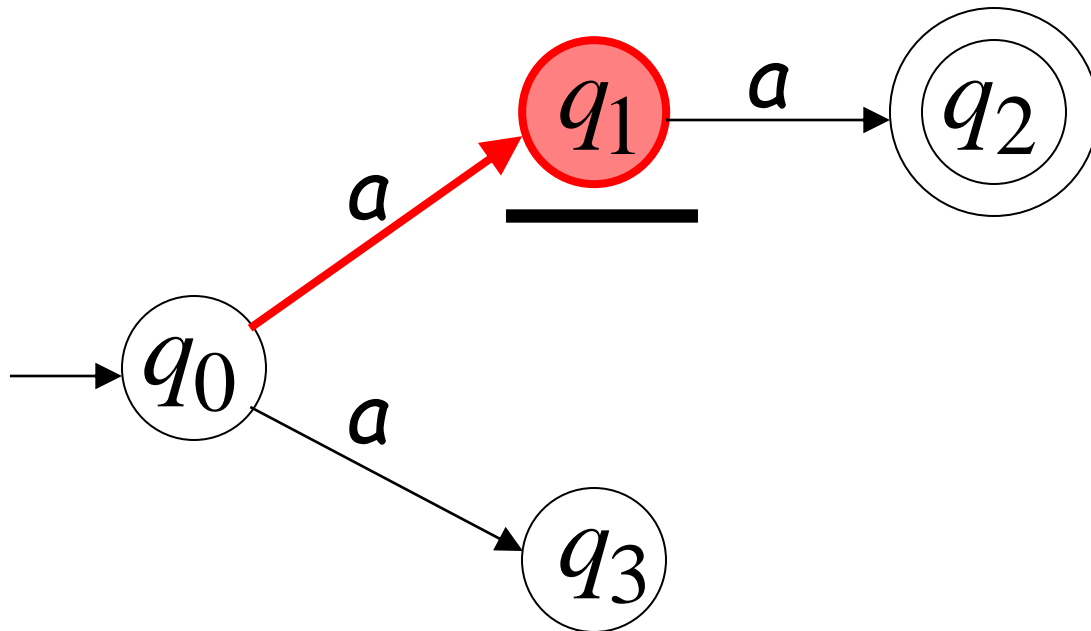
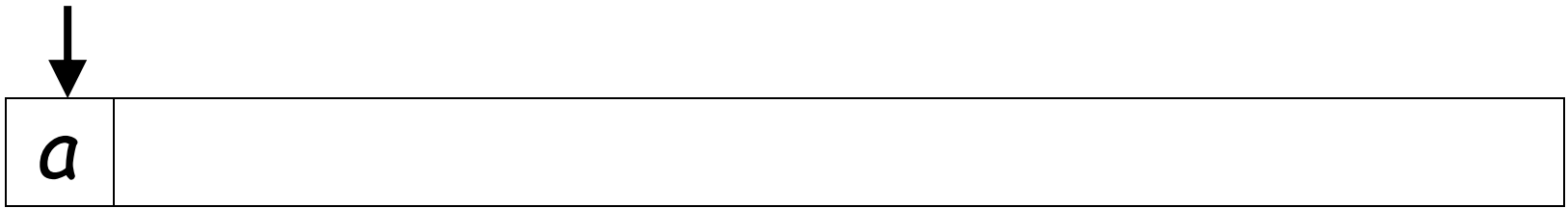


because this  
computation  
accepts *aa*

# Rejection example

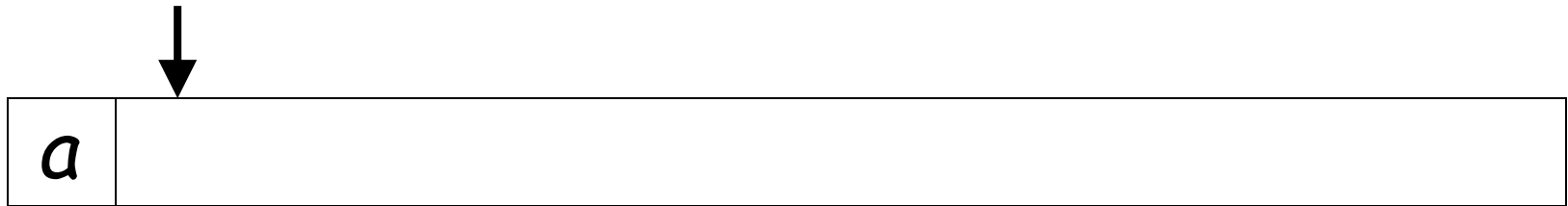


# First Choice

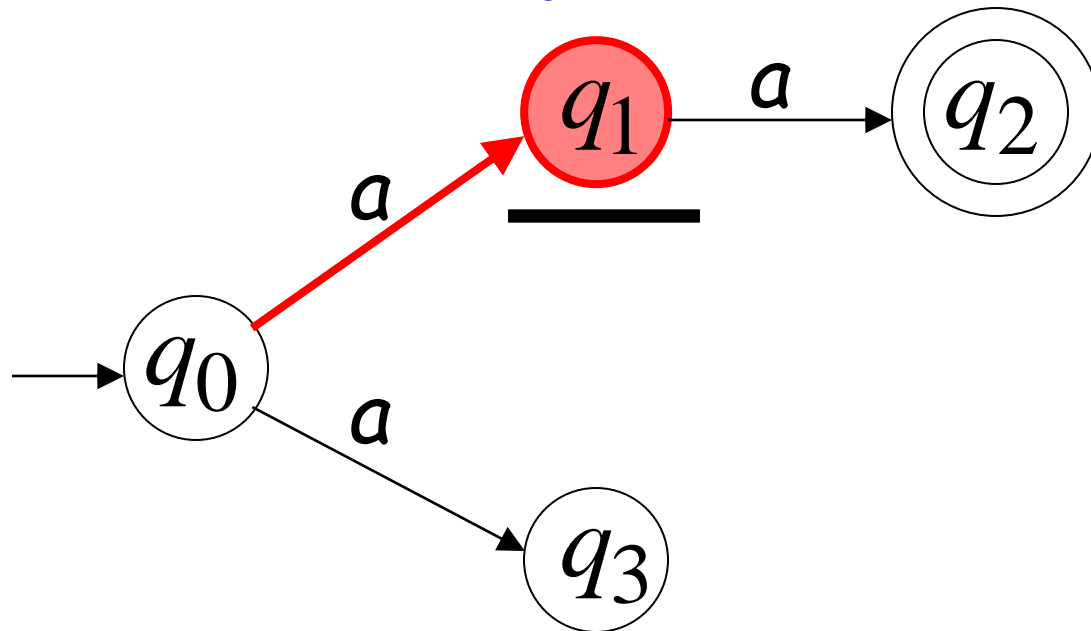




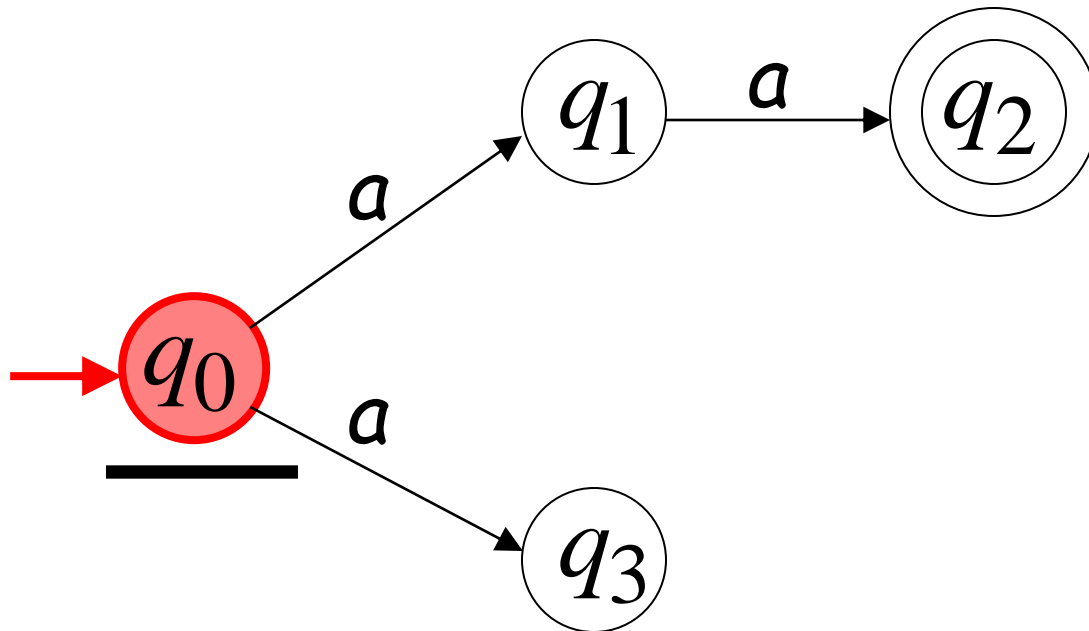
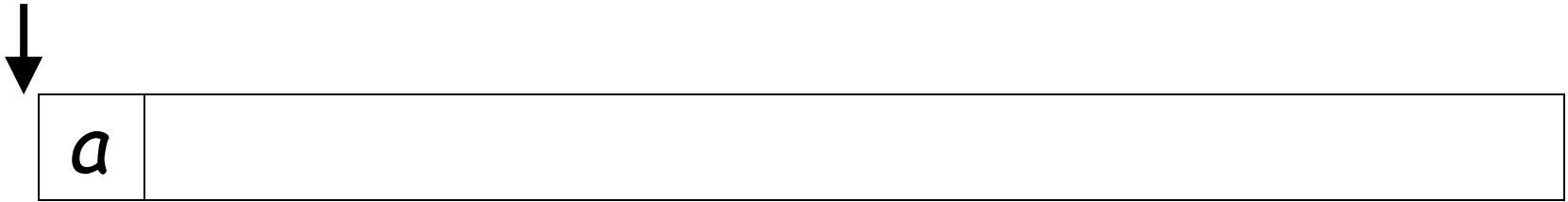
# First Choice



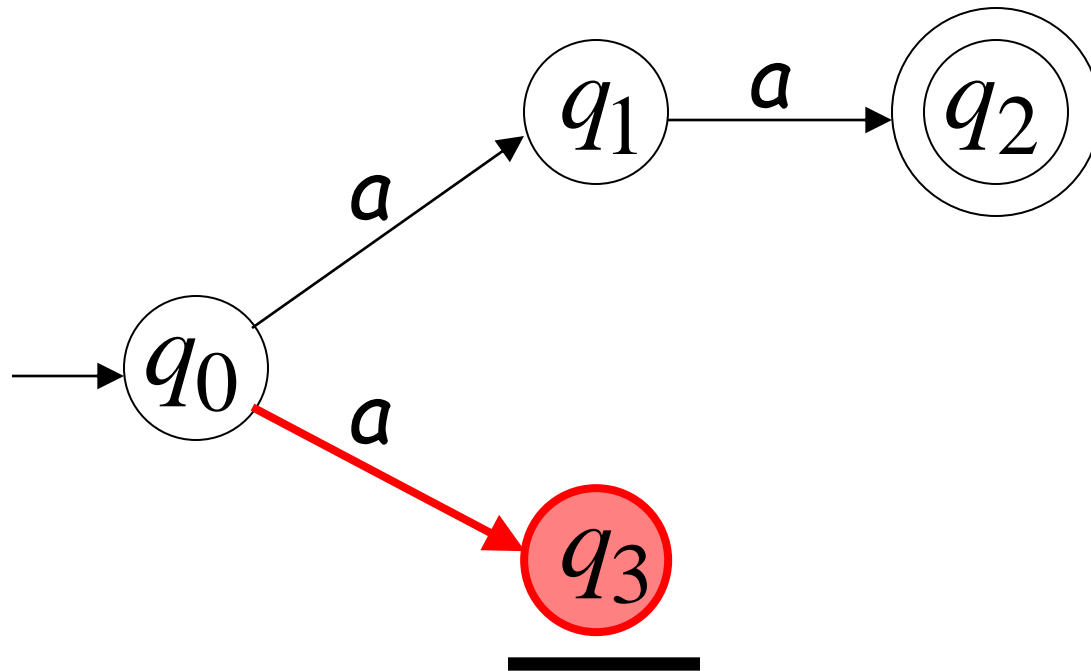
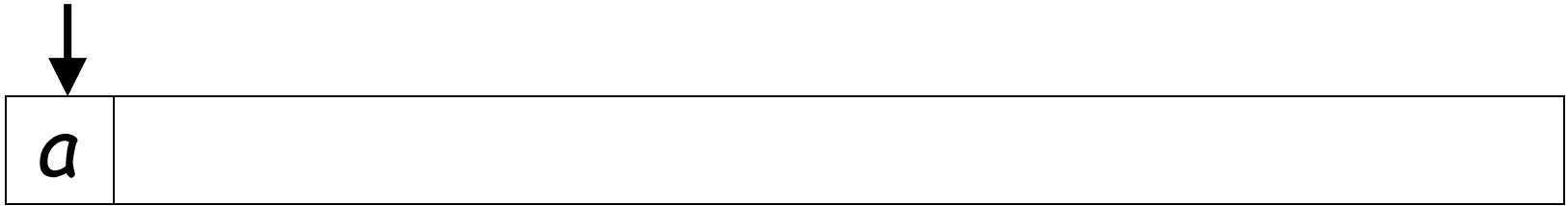
"reject"



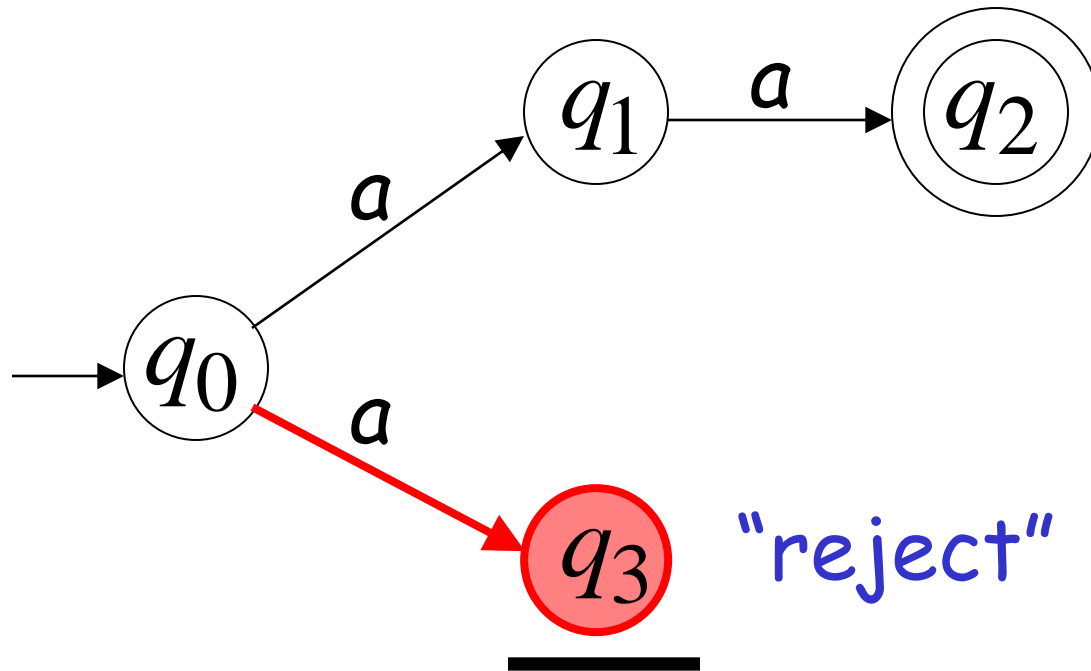
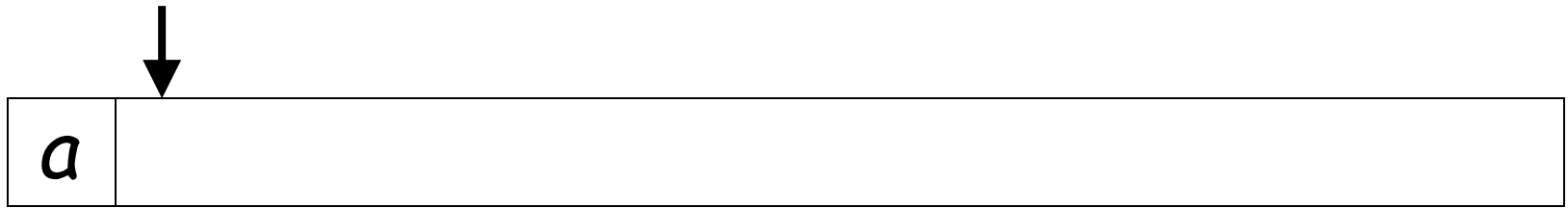
# Second Choice



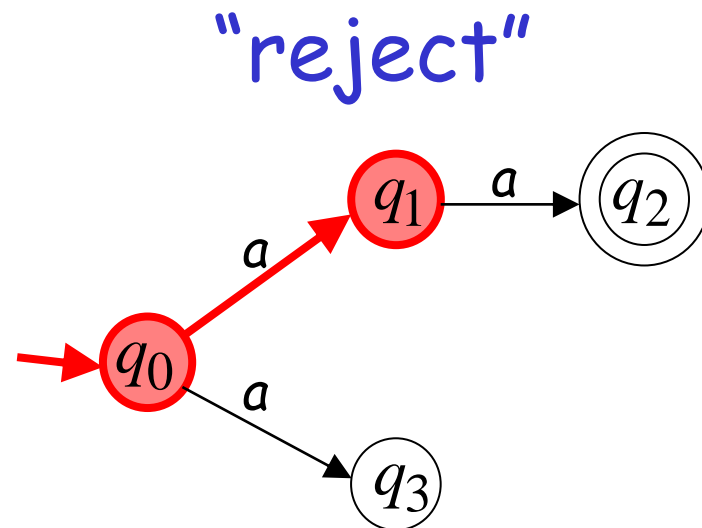
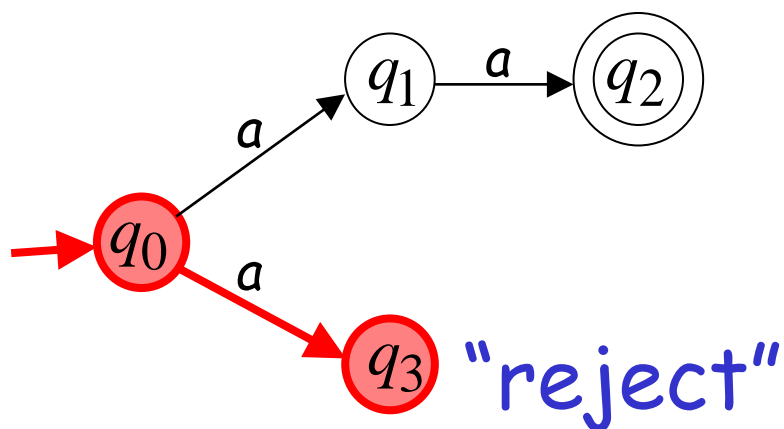
# Second Choice



# Second Choice

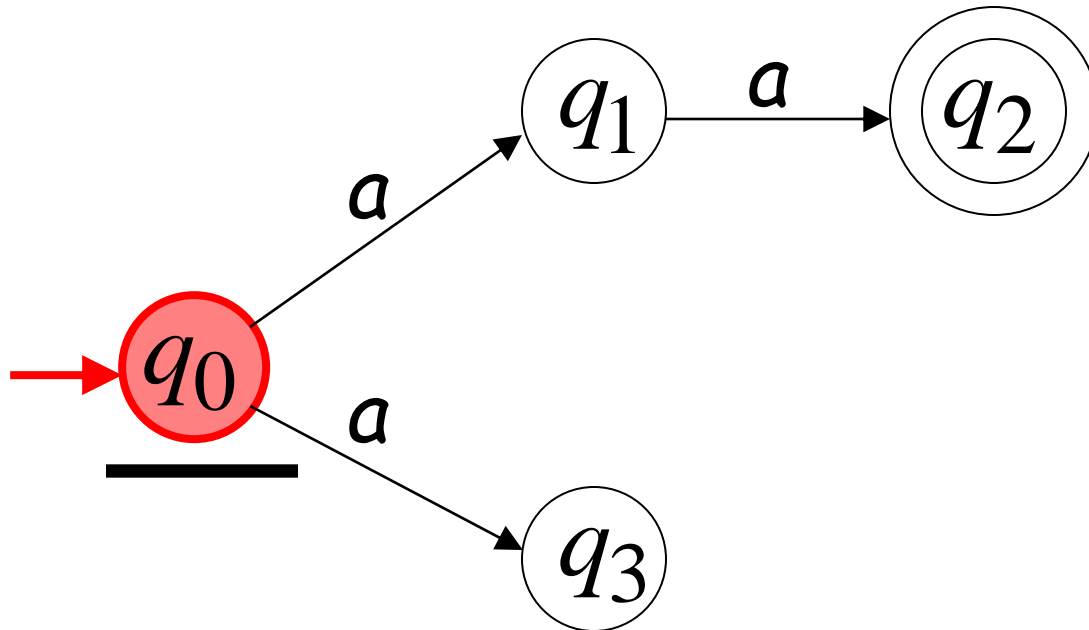
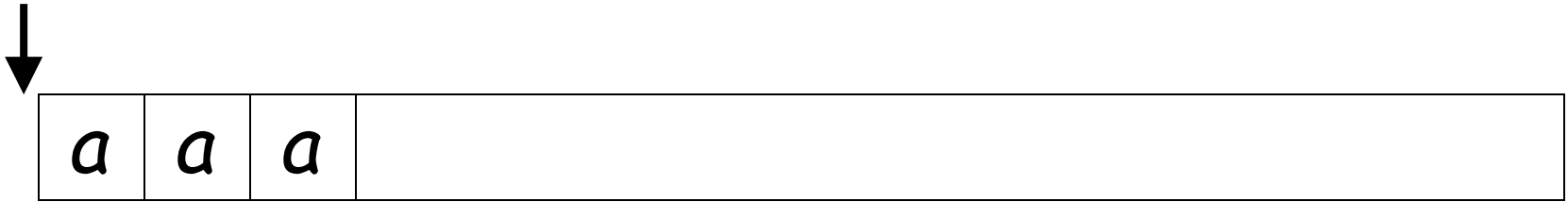


$a$  is rejected by the NFA:

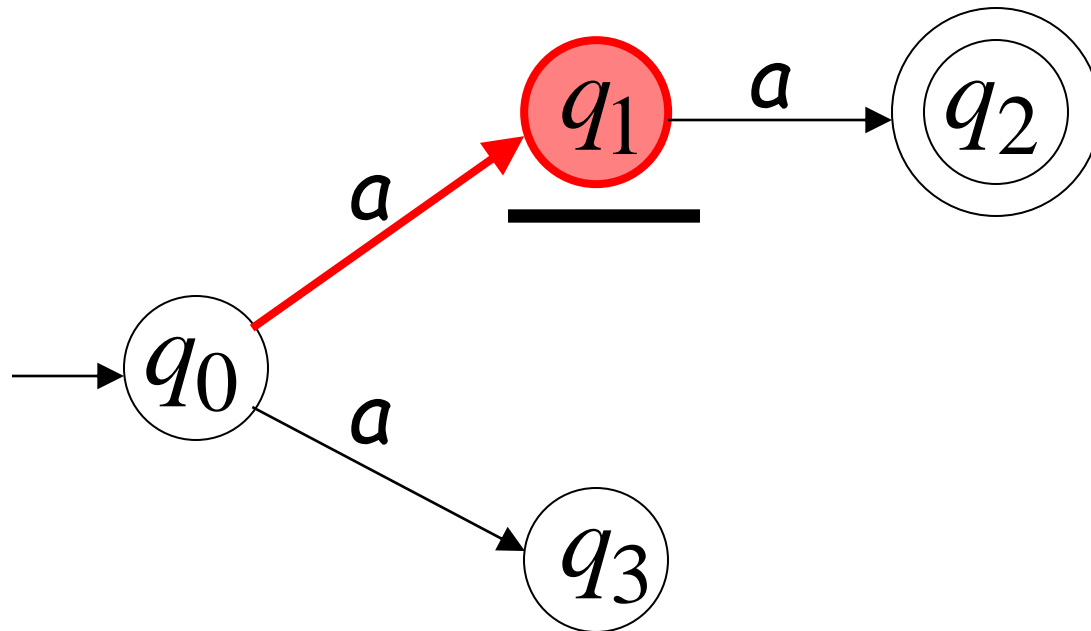
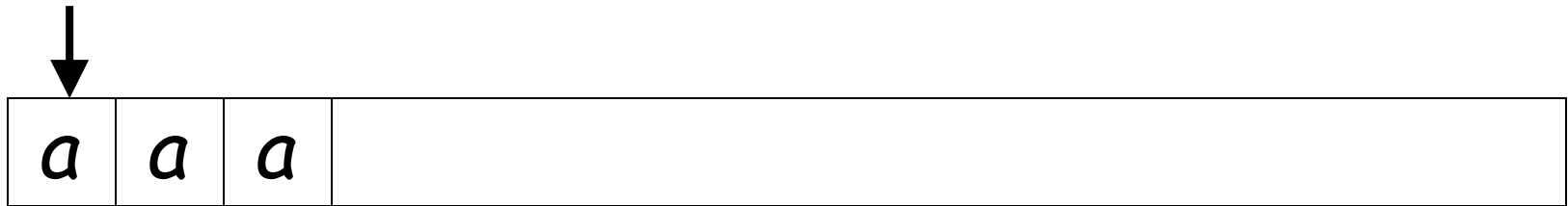


All possible computations lead to rejection

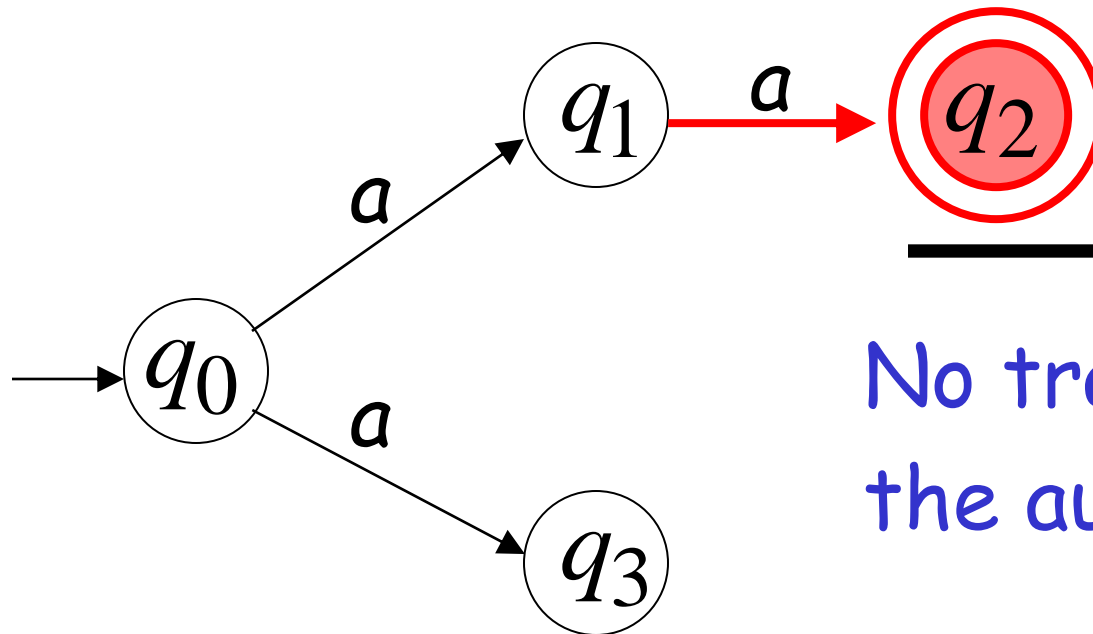
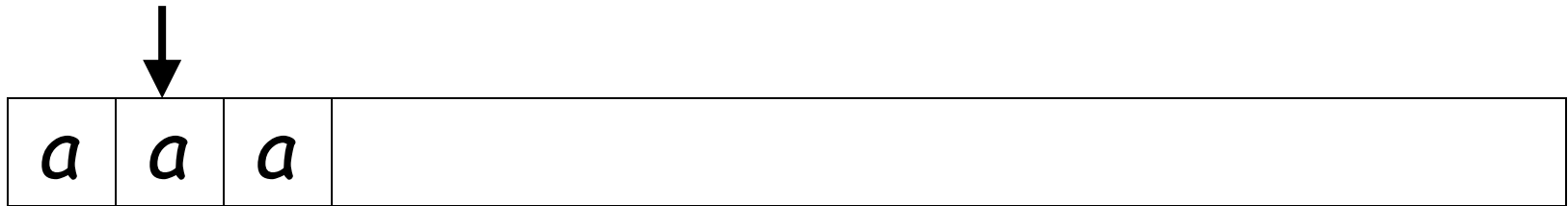
# Rejection example



# First Choice



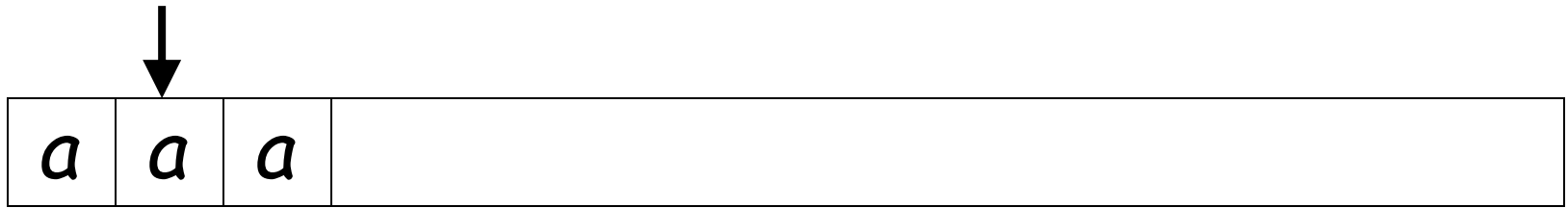
# First Choice



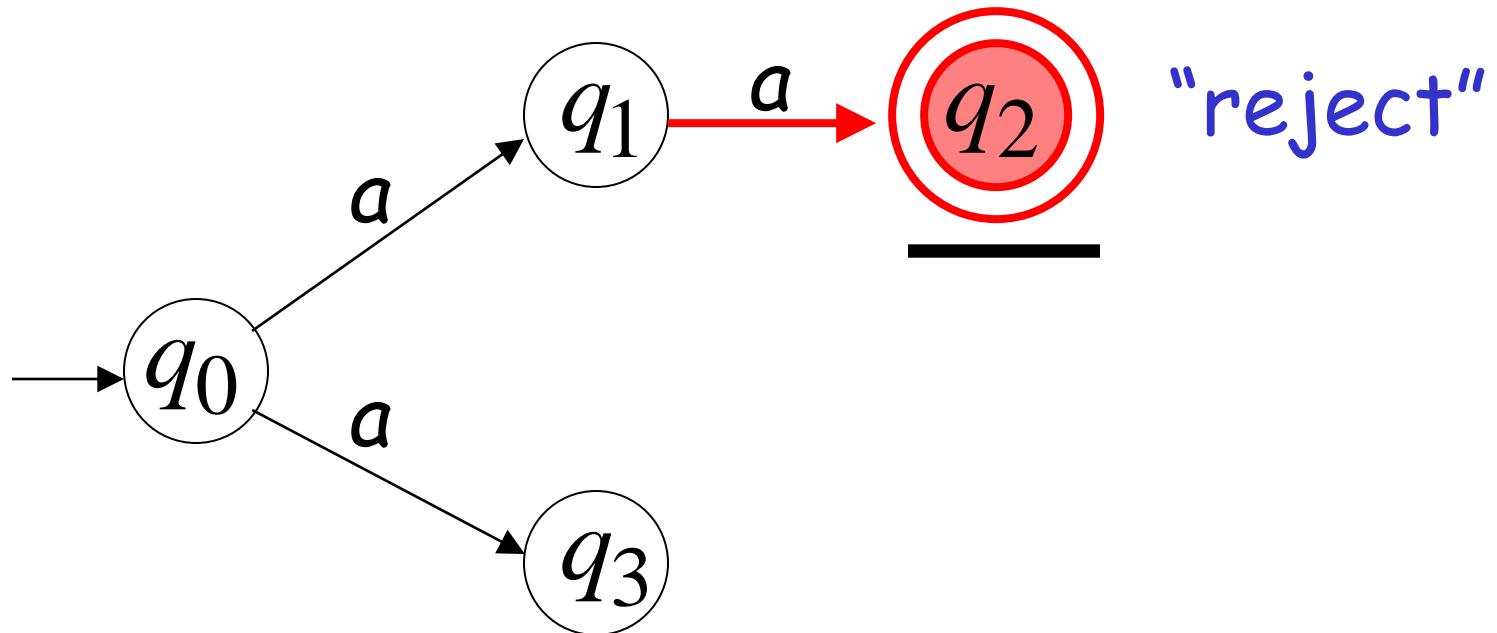
No transition:  
the automaton hangs



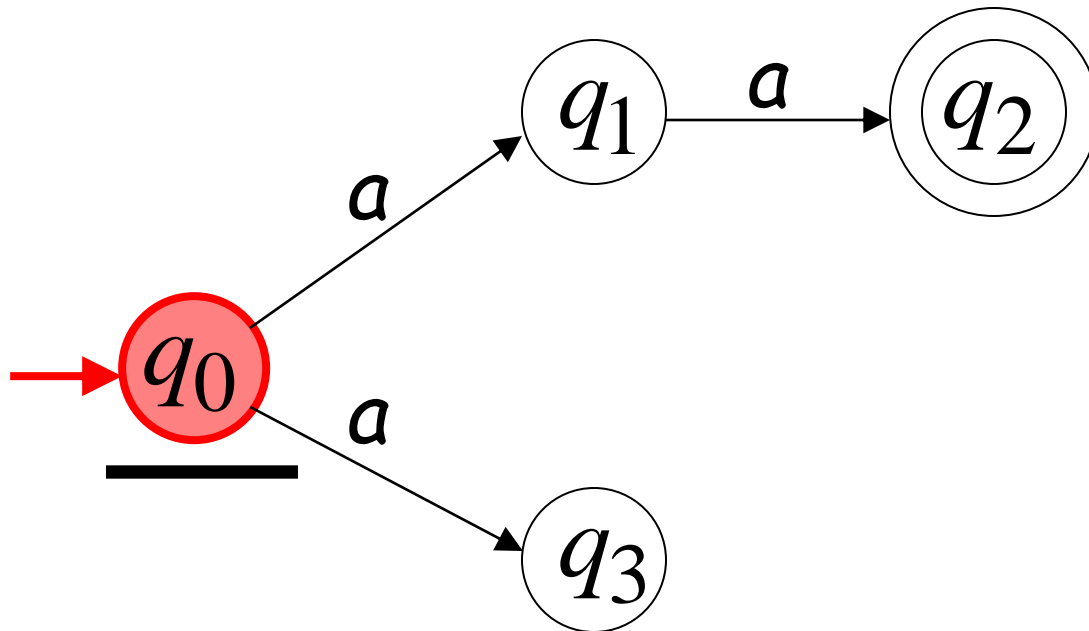
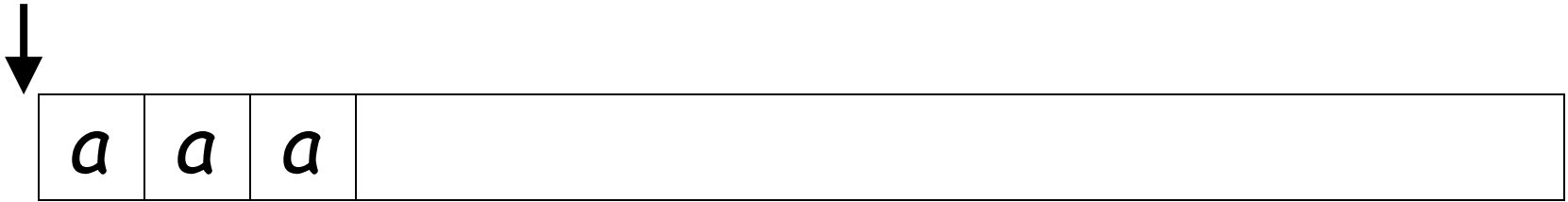
# First Choice



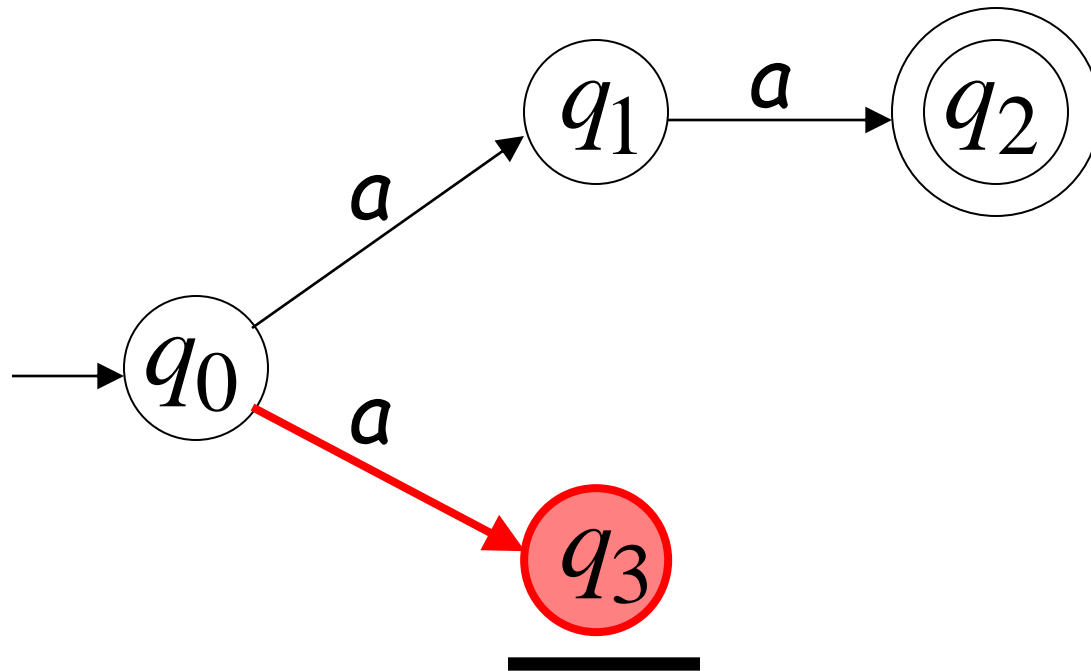
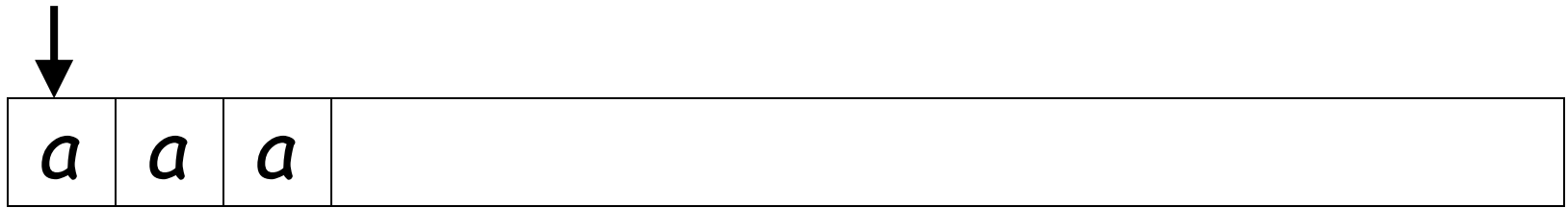
Input cannot be consumed



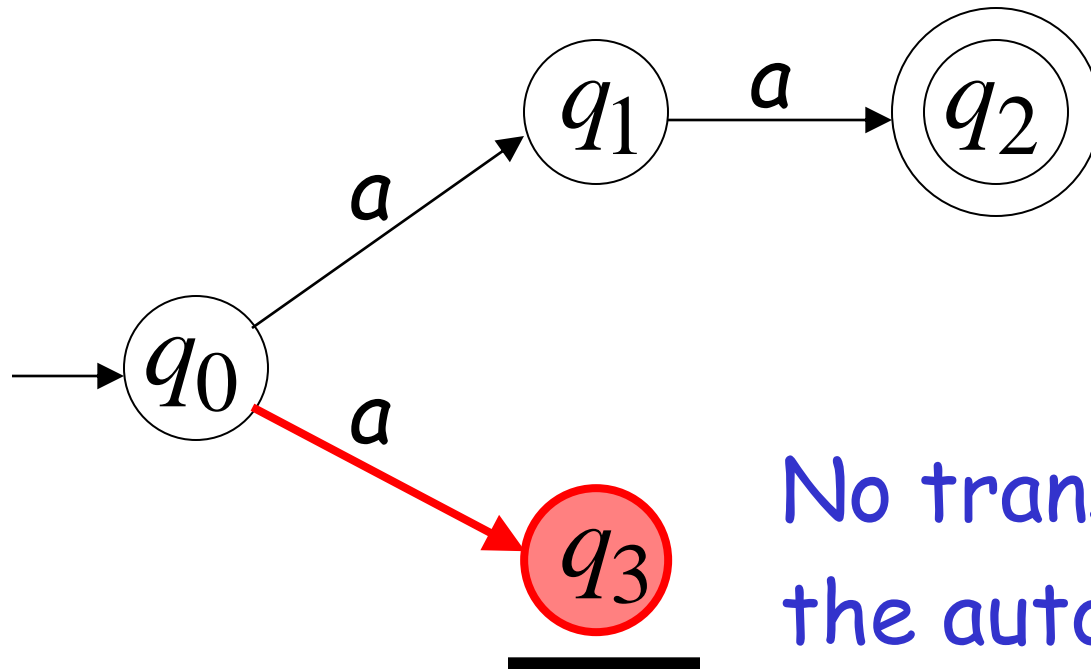
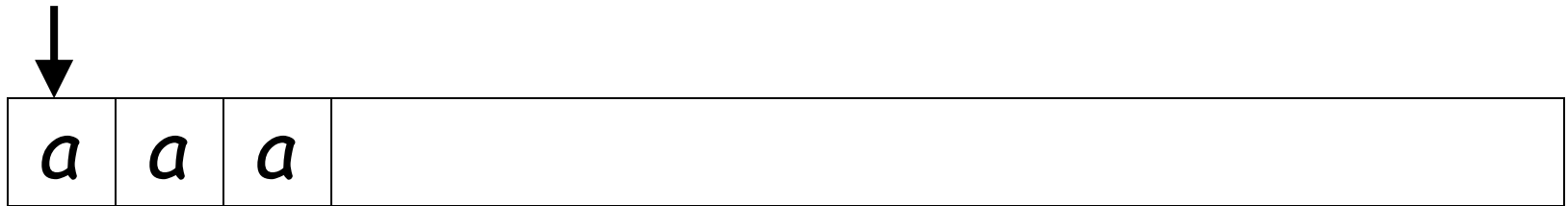
# Second Choice



# Second Choice

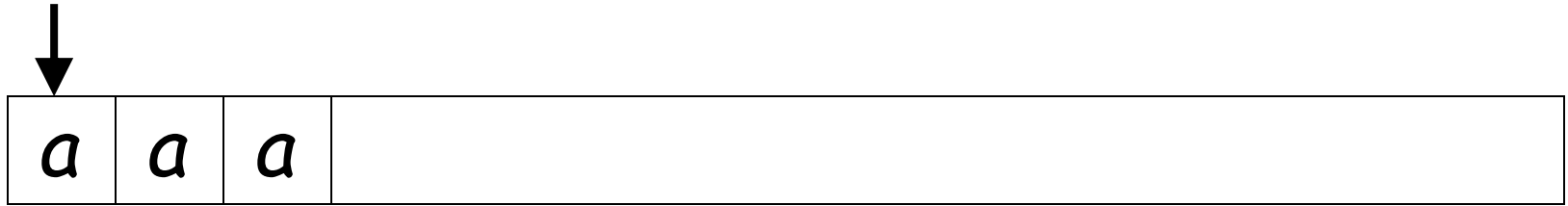


## Second Choice

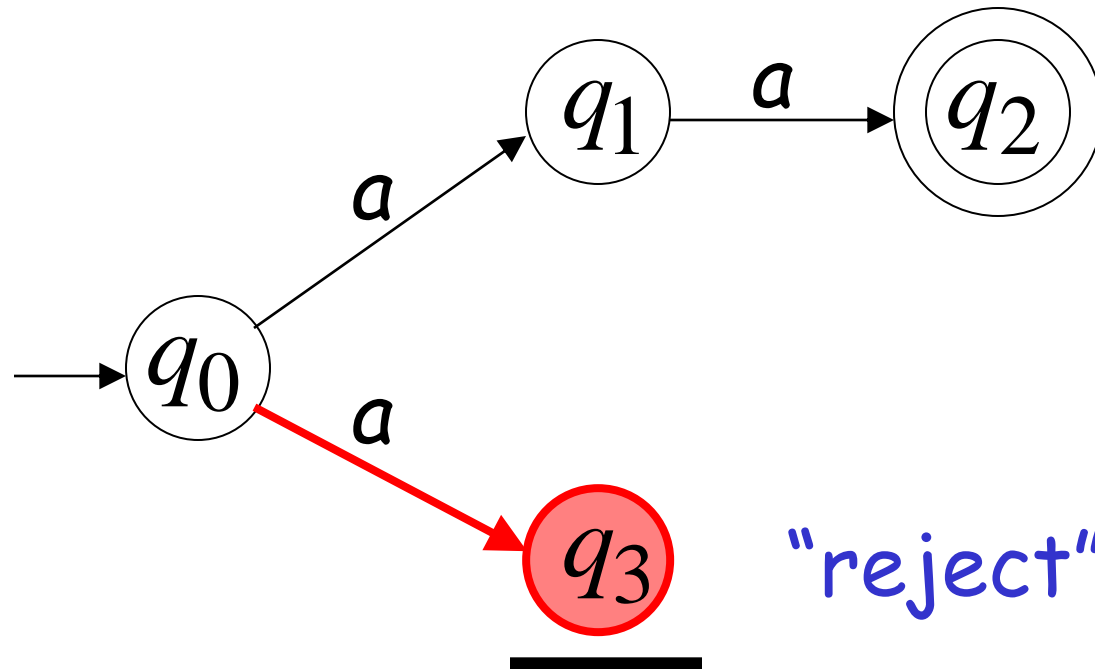


No transition:  
the automaton hangs

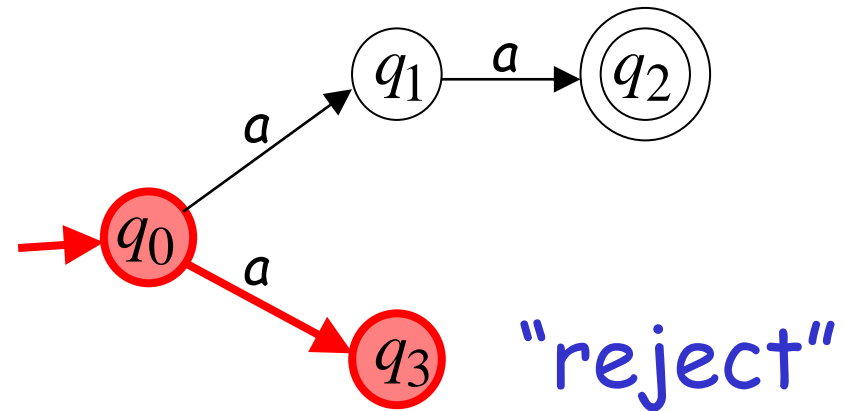
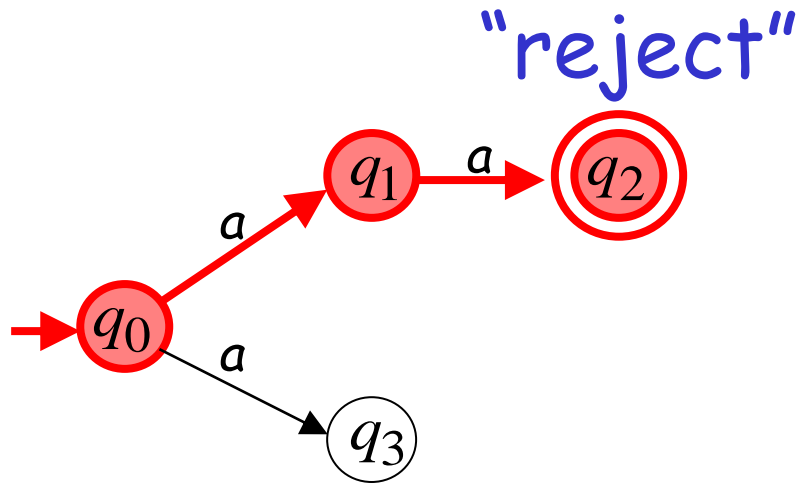
## Second Choice



Input cannot be consumed

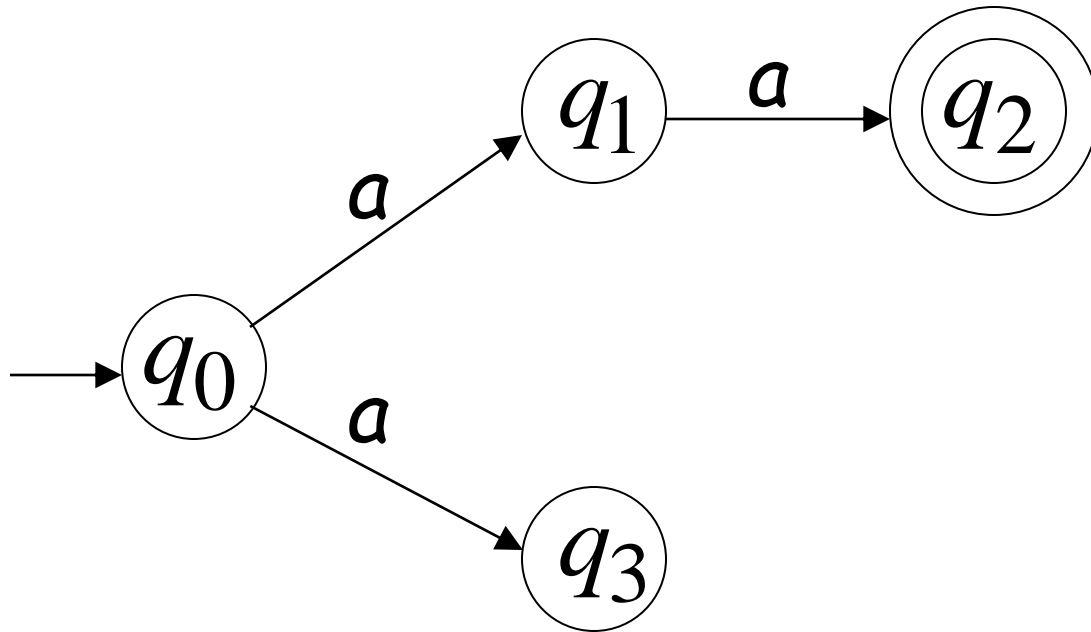


aaa is rejected by the NFA:

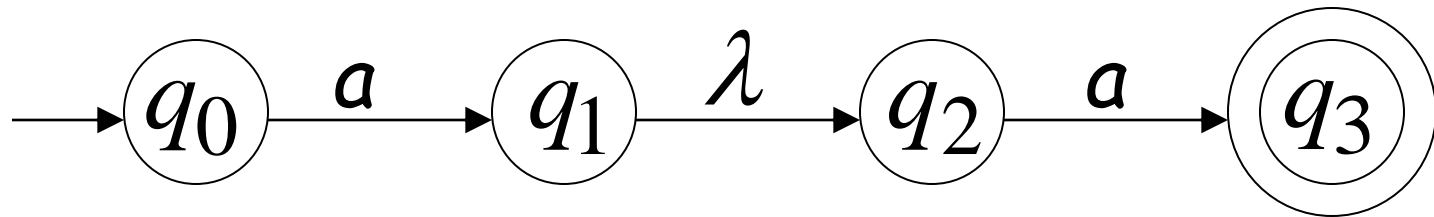


All possible computations lead to rejection

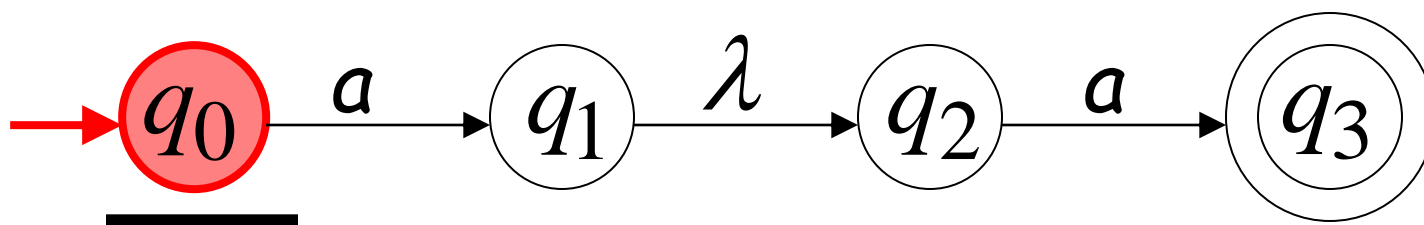
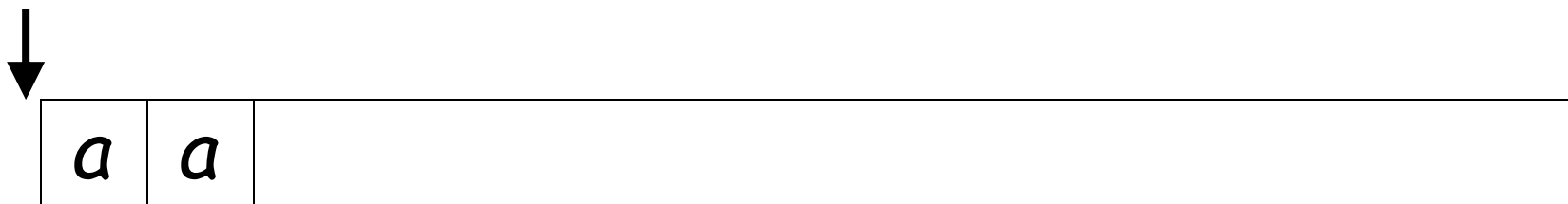
Language accepted:  $L = \{aa\}$

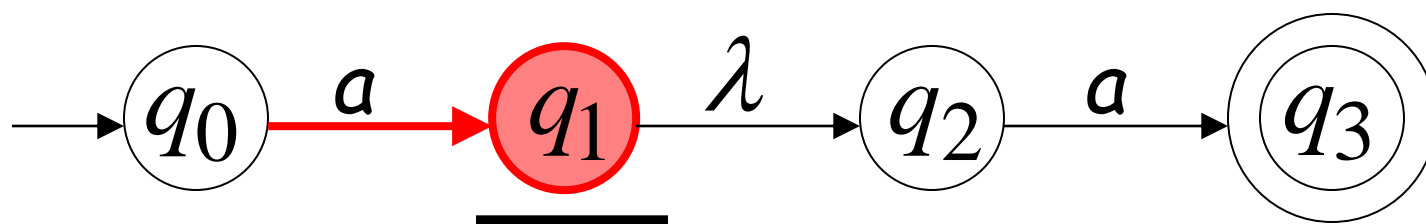
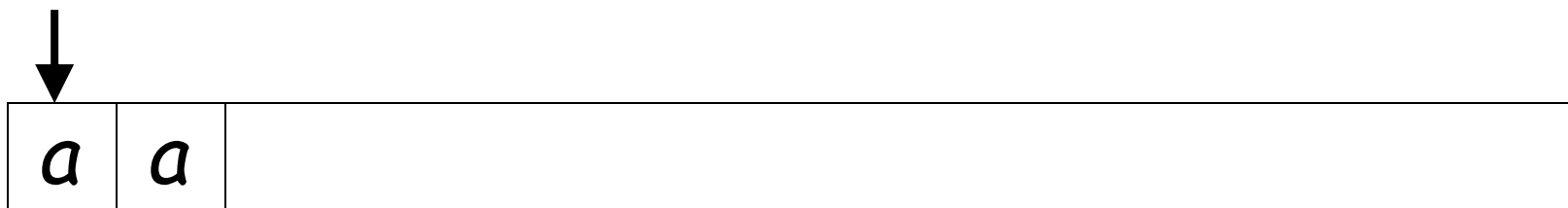


# Lambda Transitions

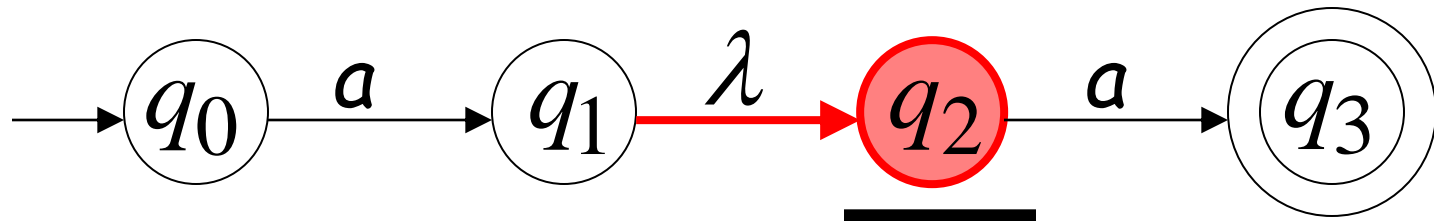


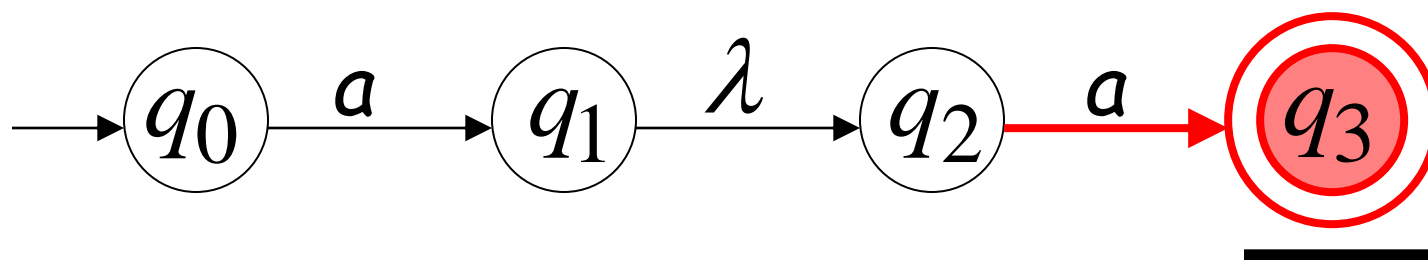
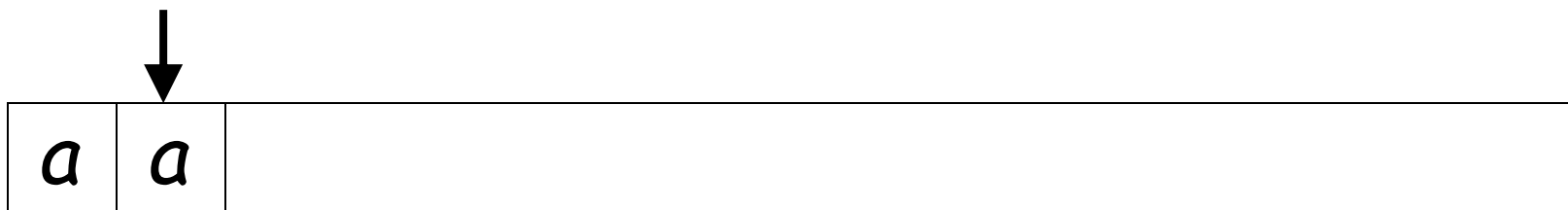




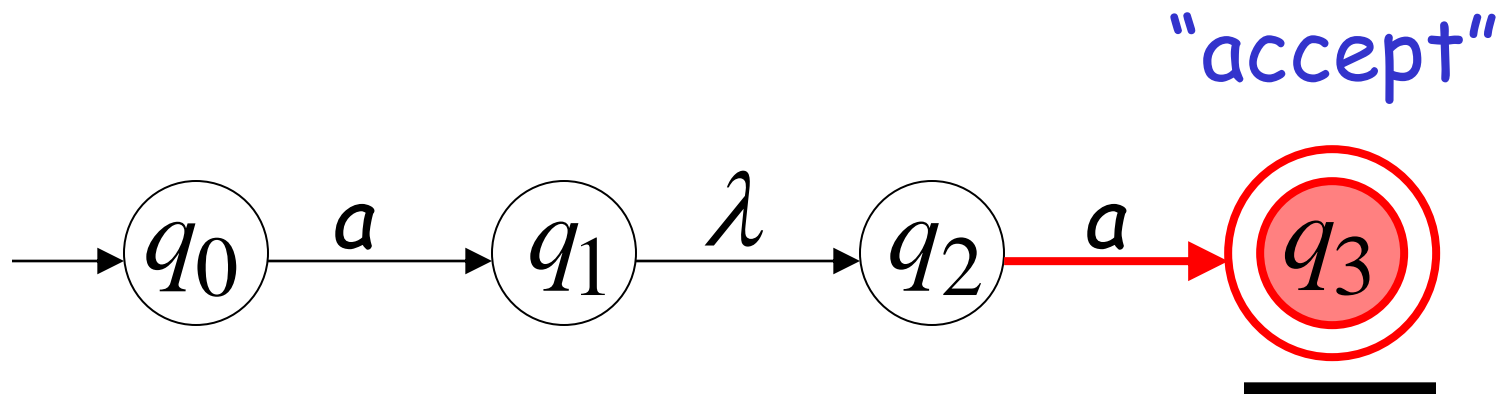


(read head does not move)



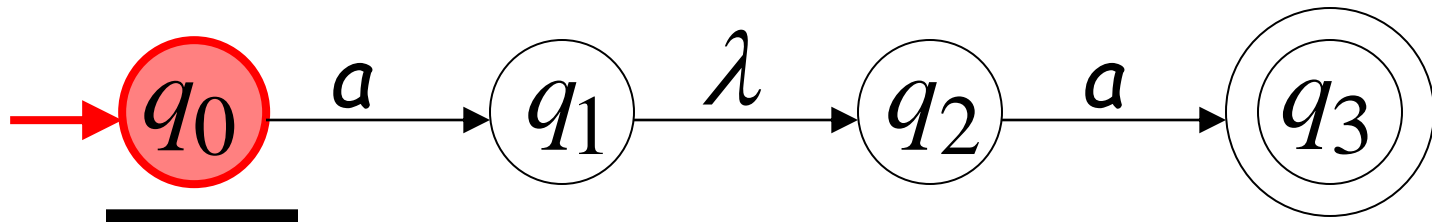
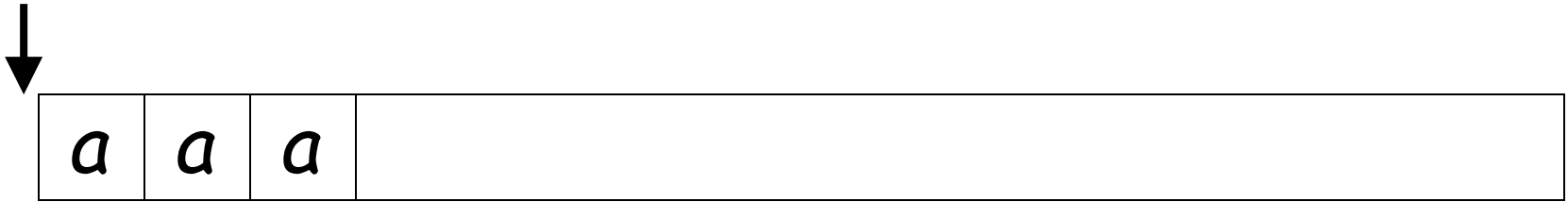


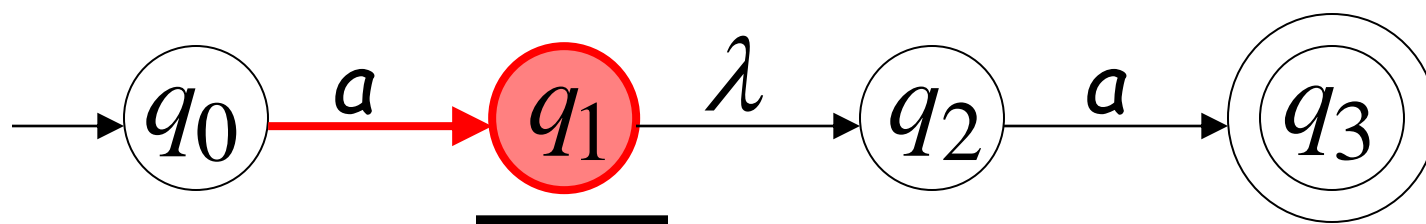
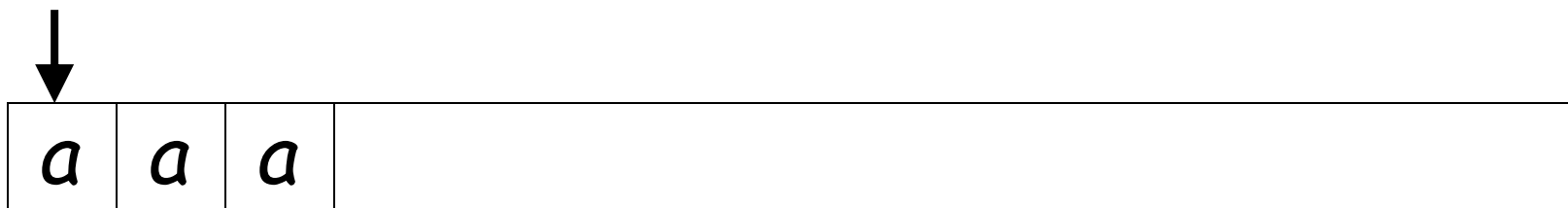
all input is consumed



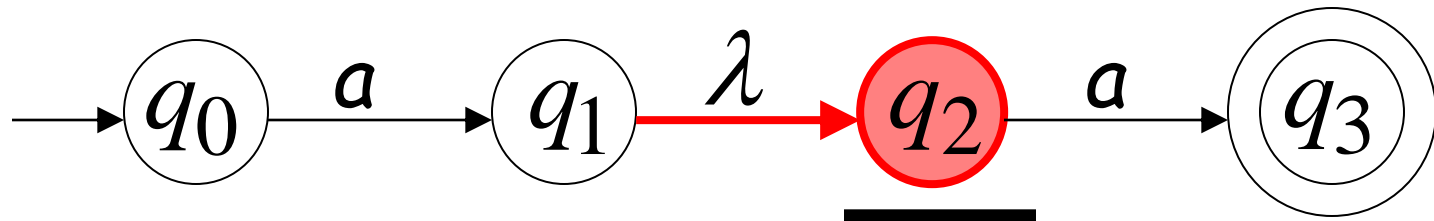
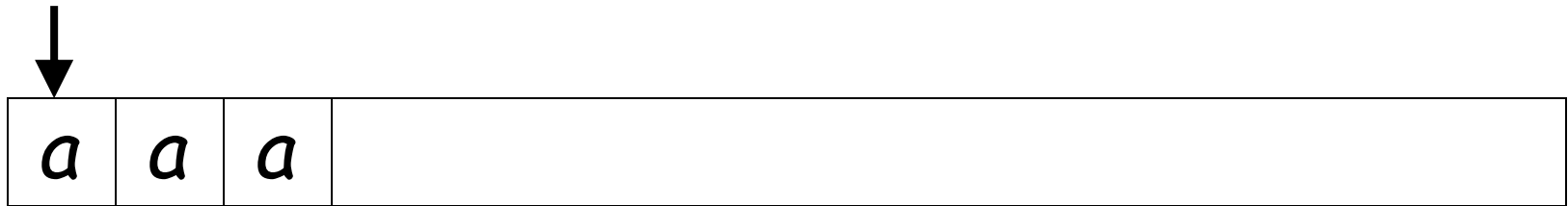
String  $aa$  is accepted

# Rejection Example

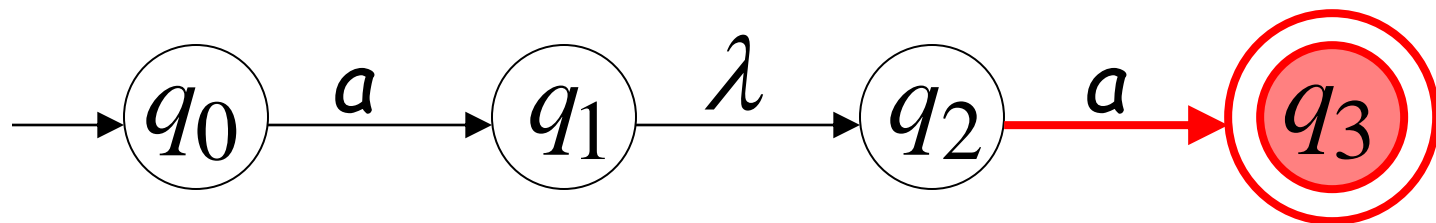
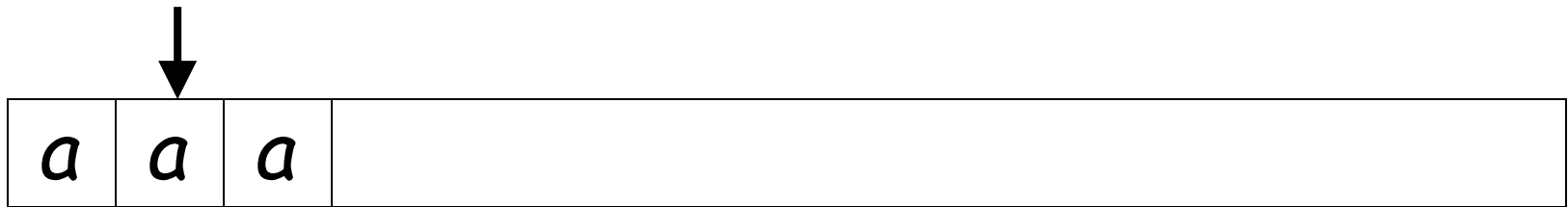




(read head doesn't move)

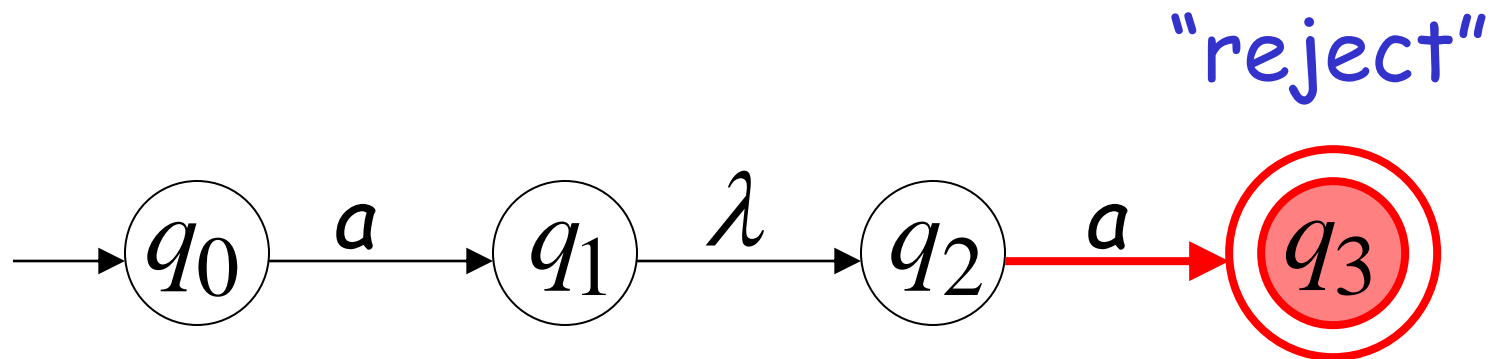
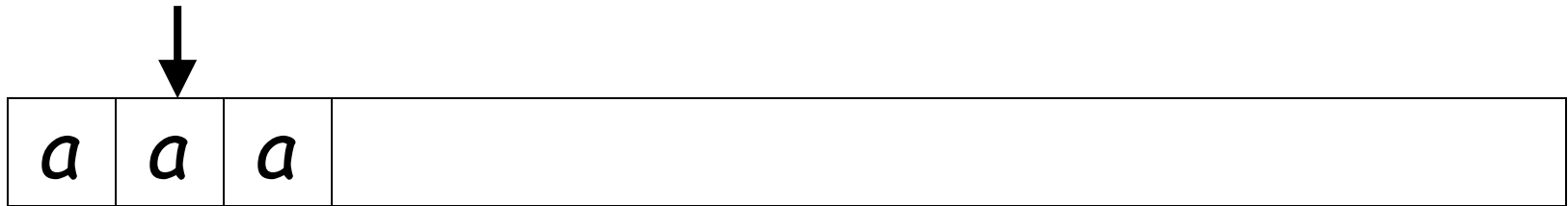






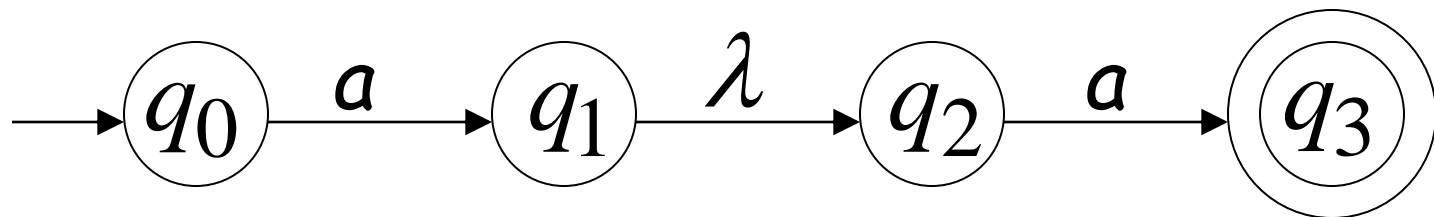
No transition:  
the automaton hangs

Input cannot be consumed

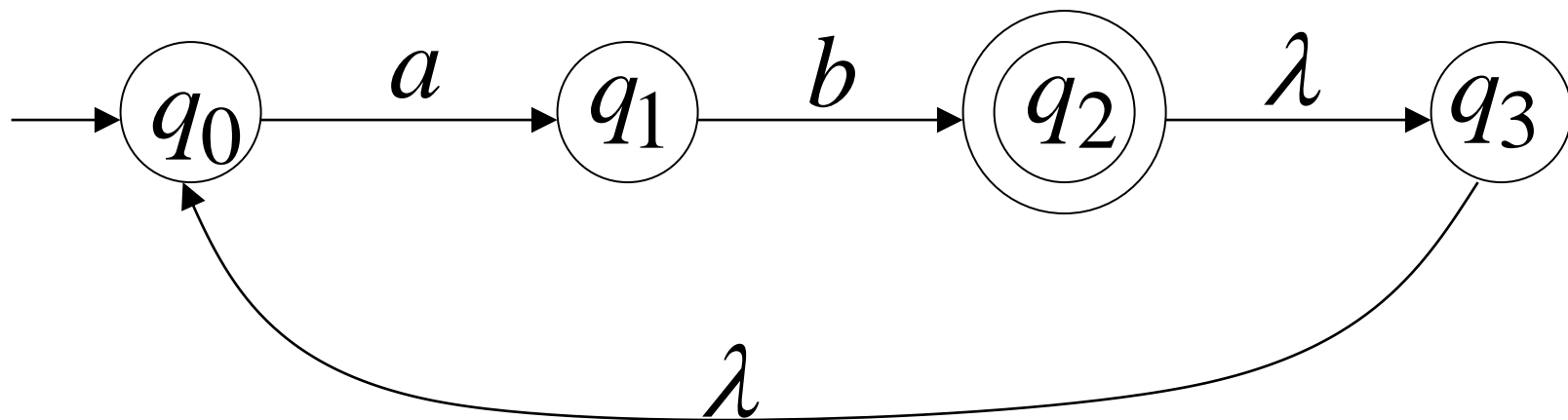


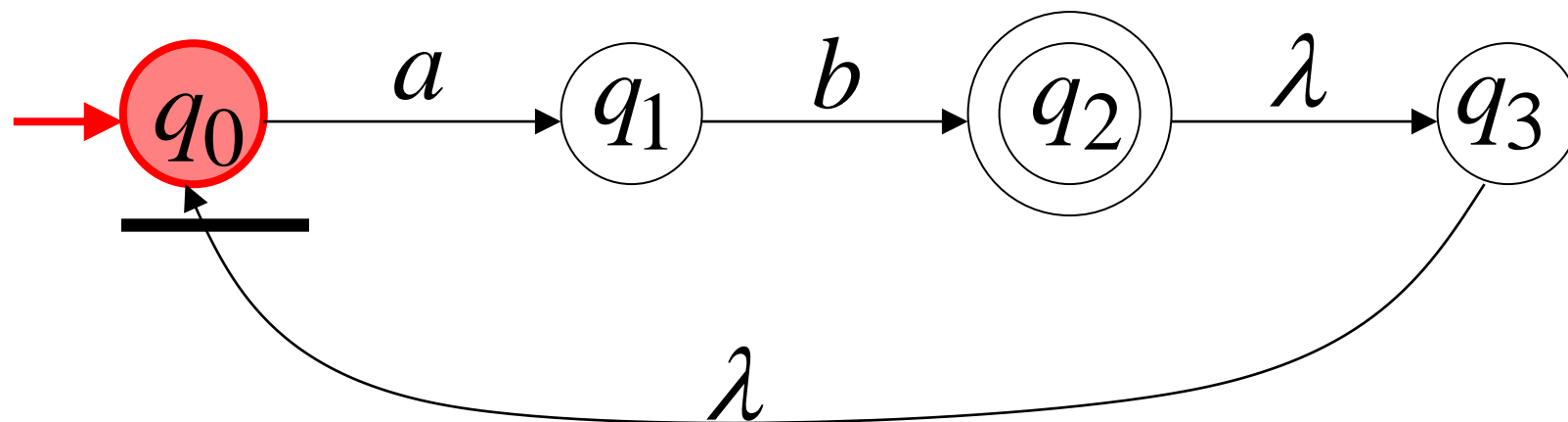
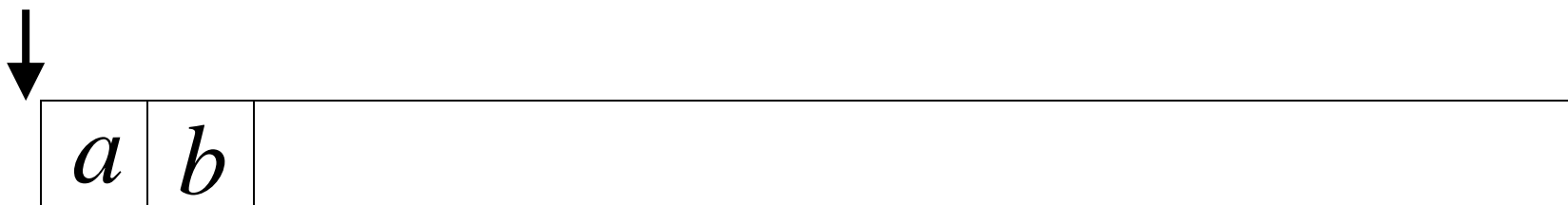
String **aaa** is rejected

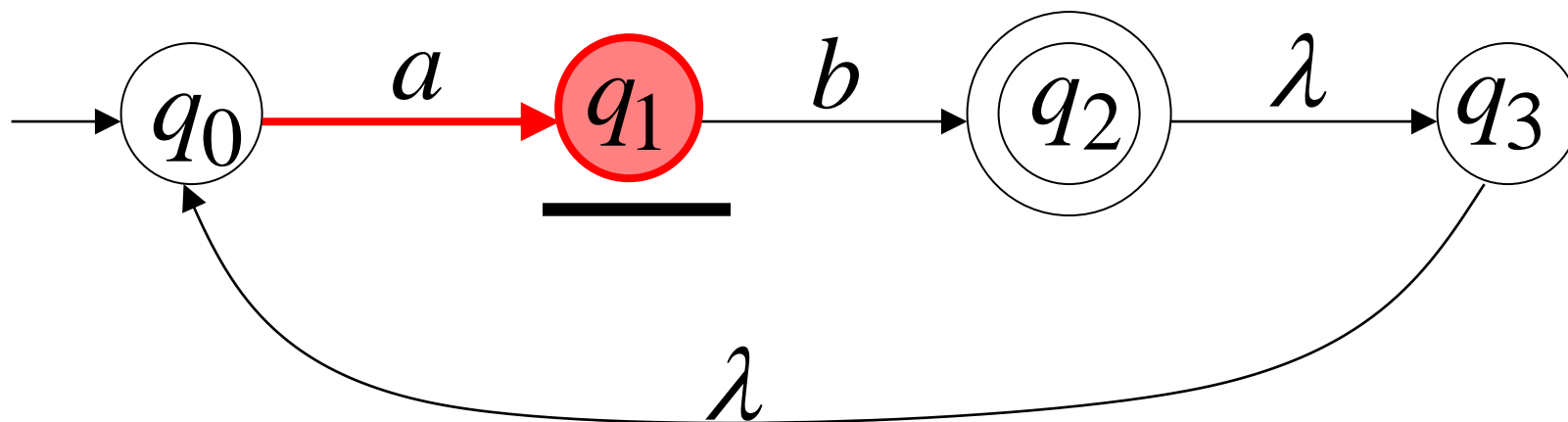
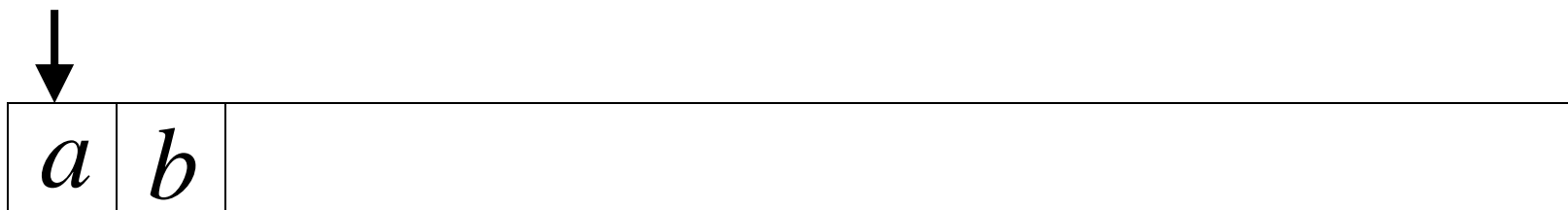
Language accepted:  $L = \{aa\}$

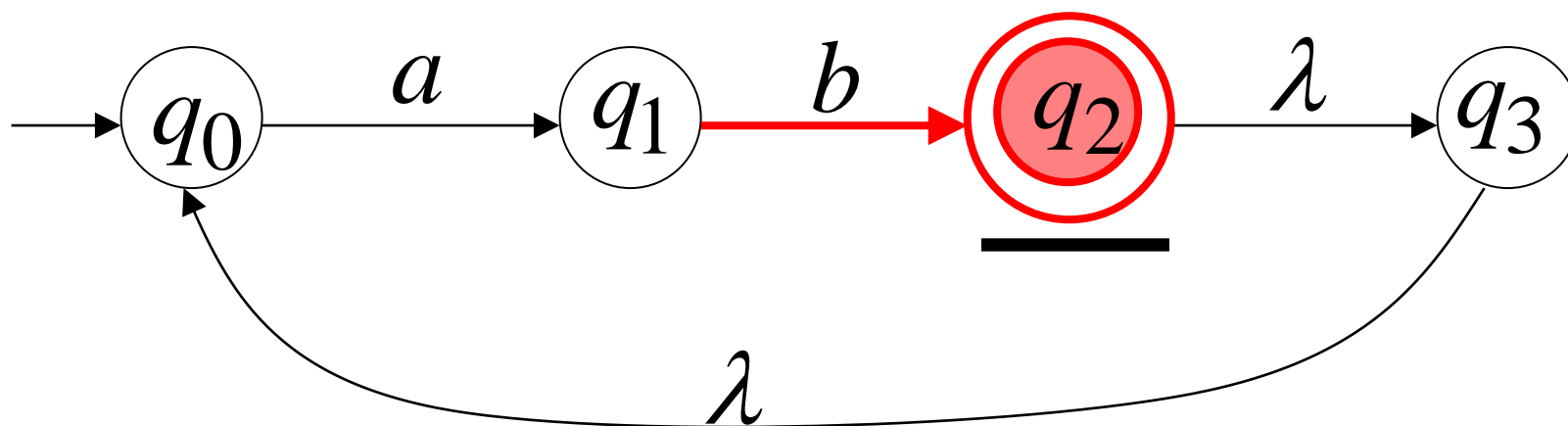
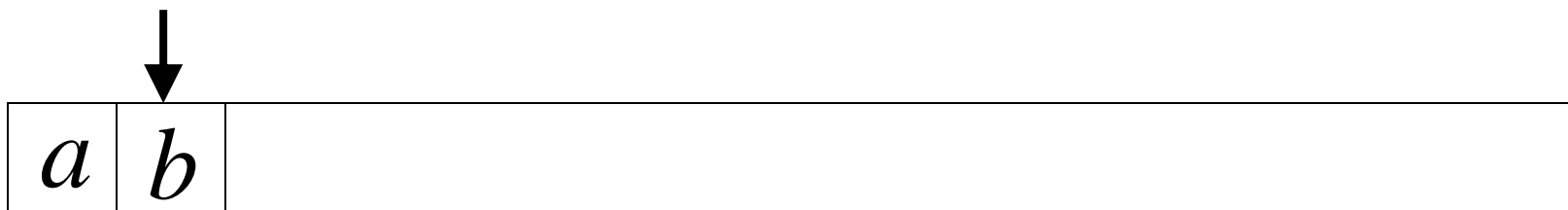


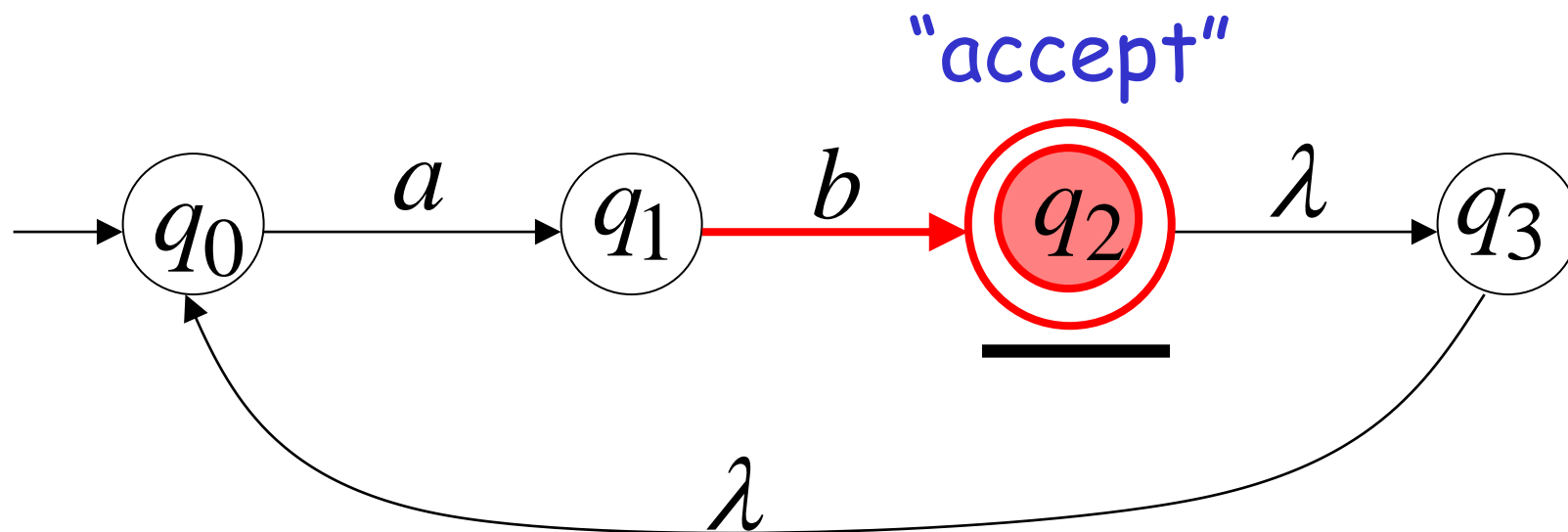
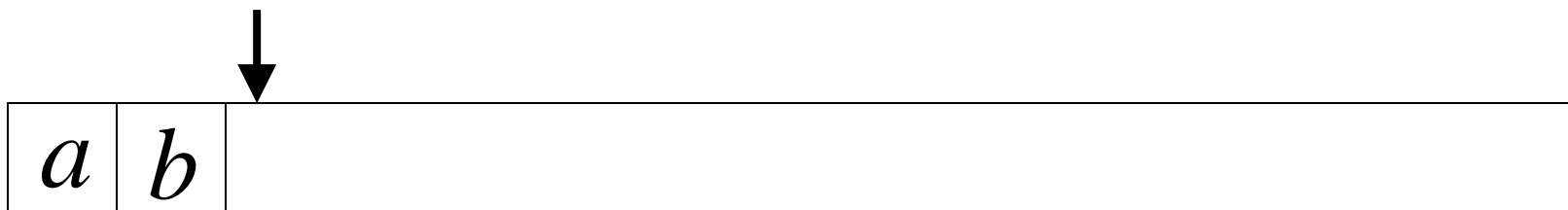
# Another NFA Example





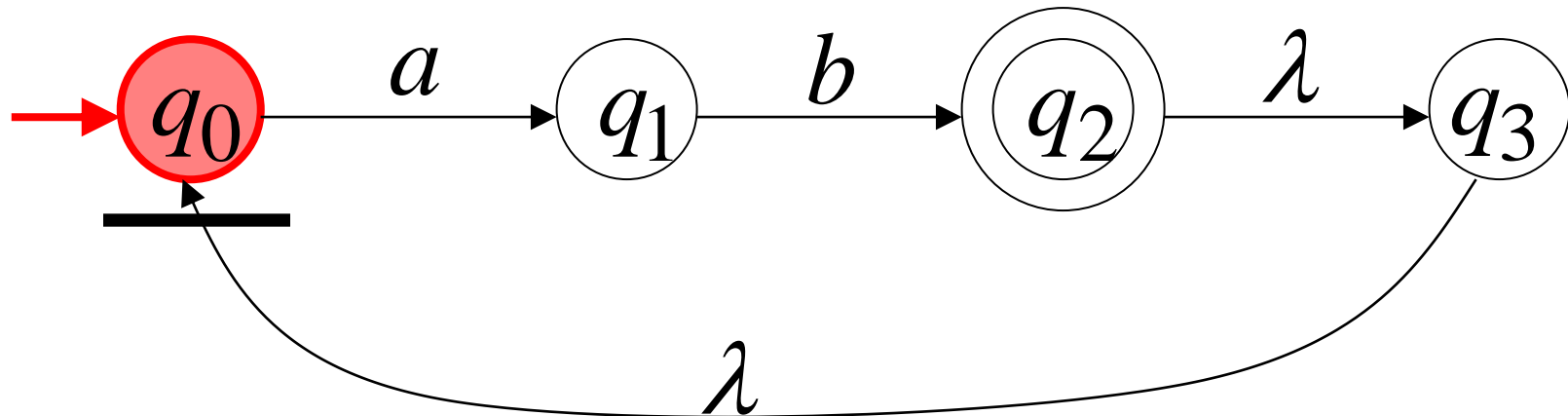
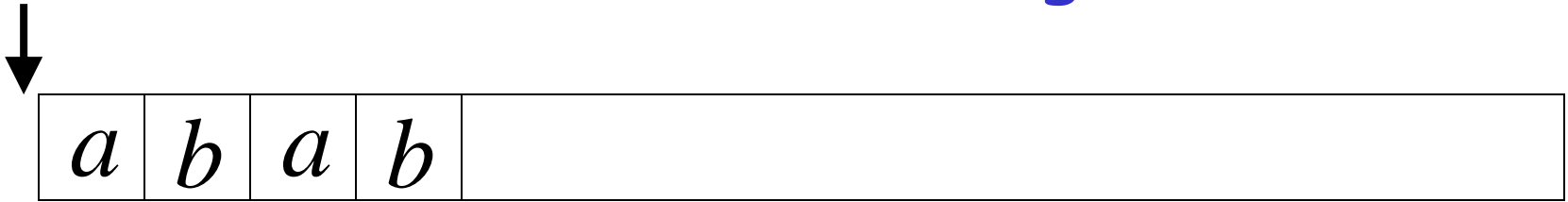


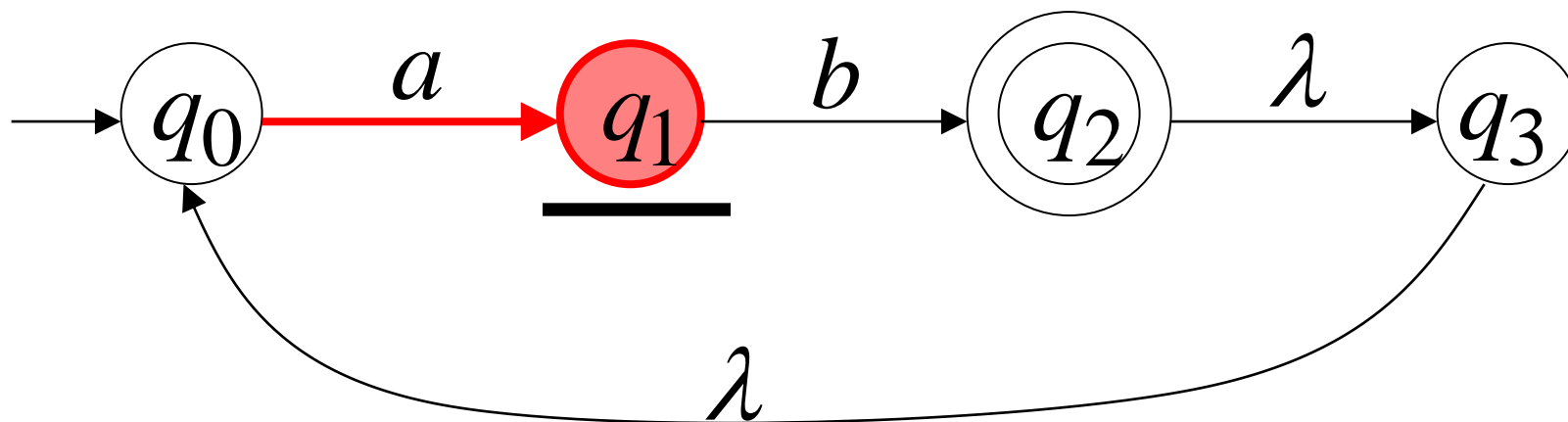
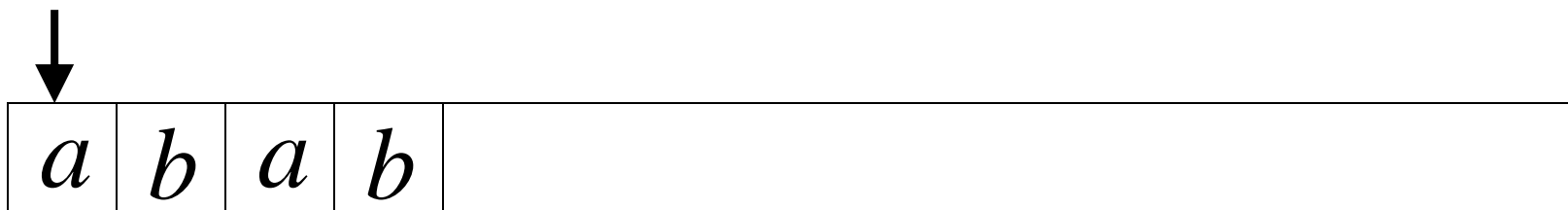


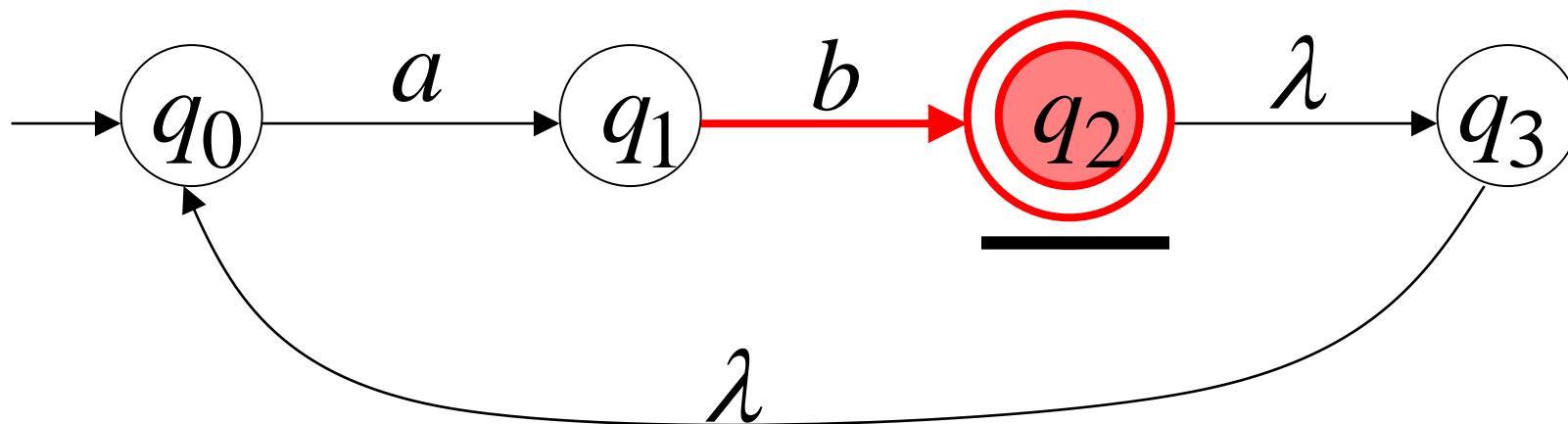
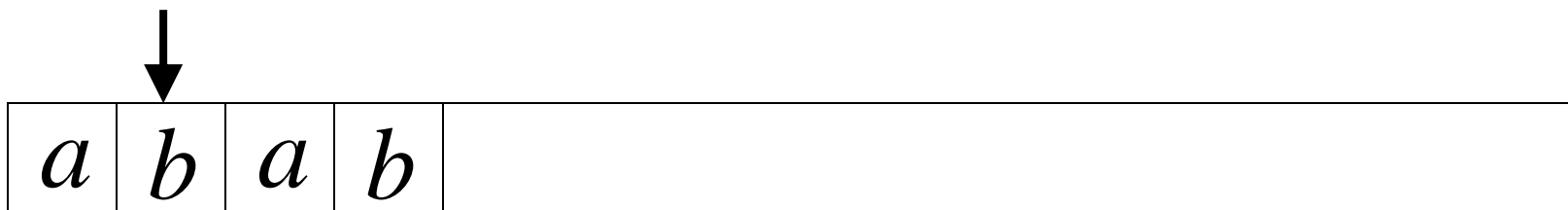


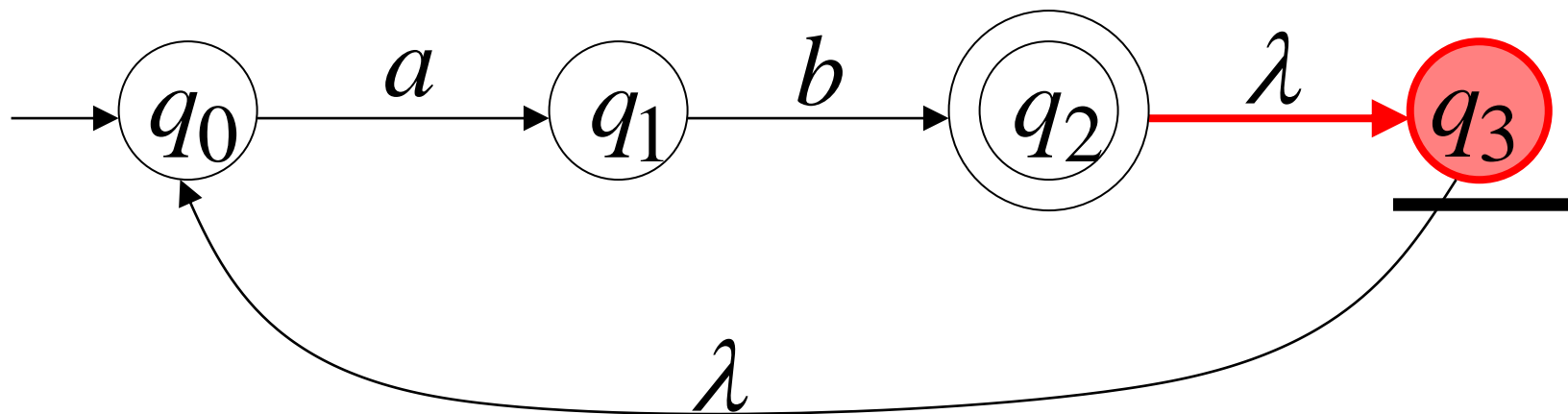
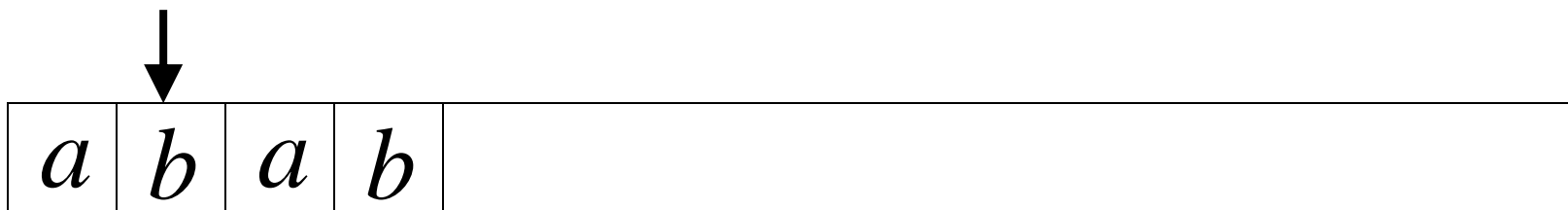


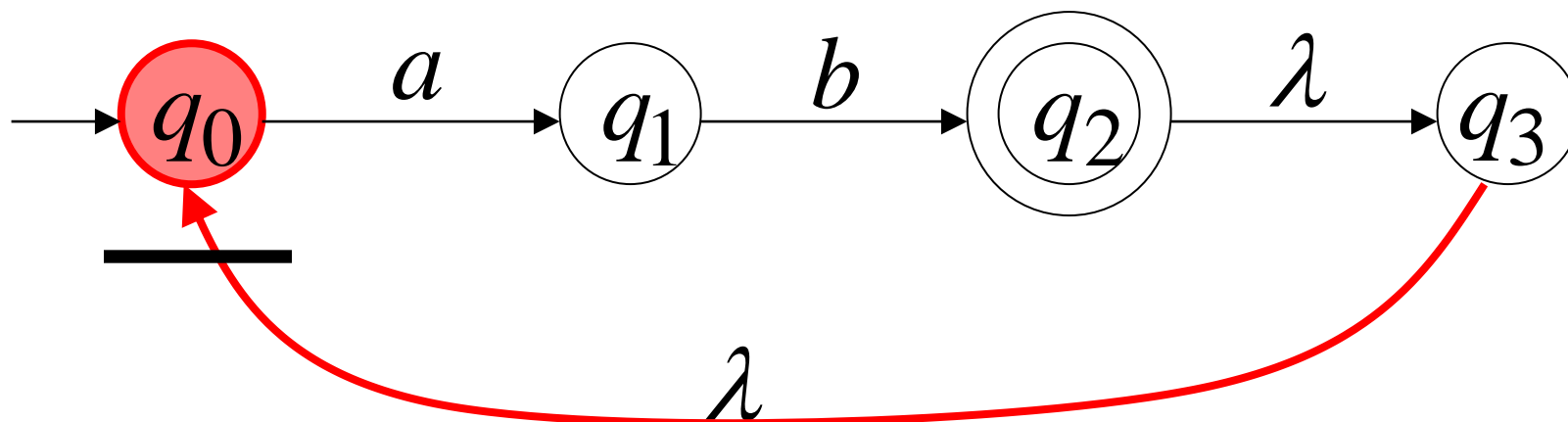
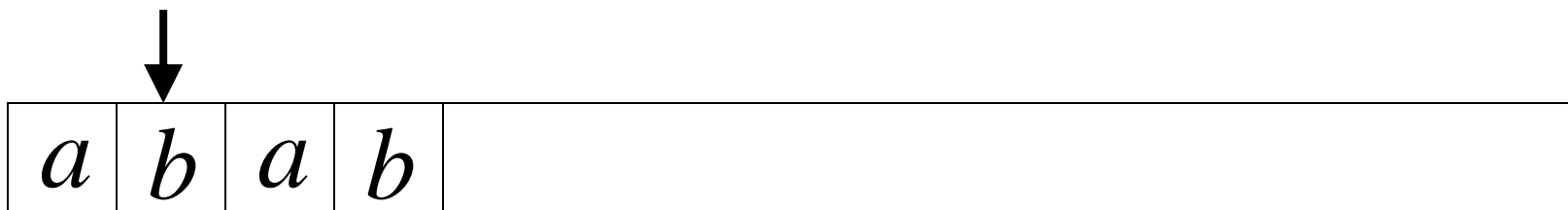
## Another String

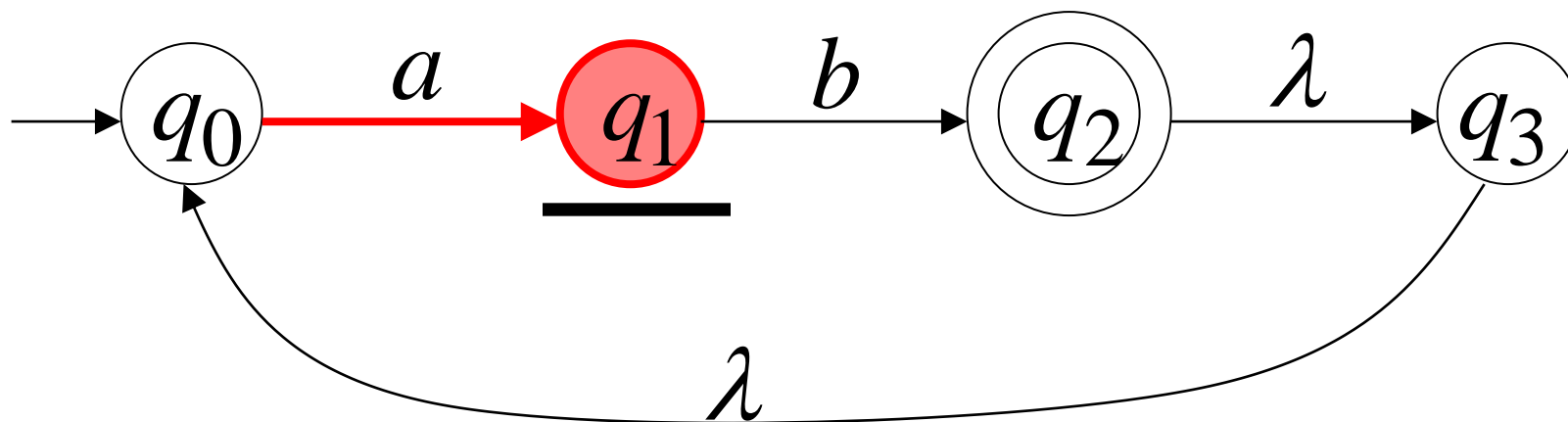
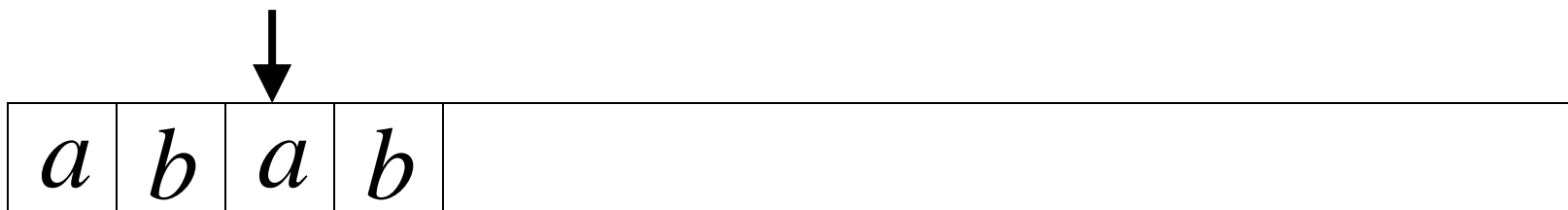


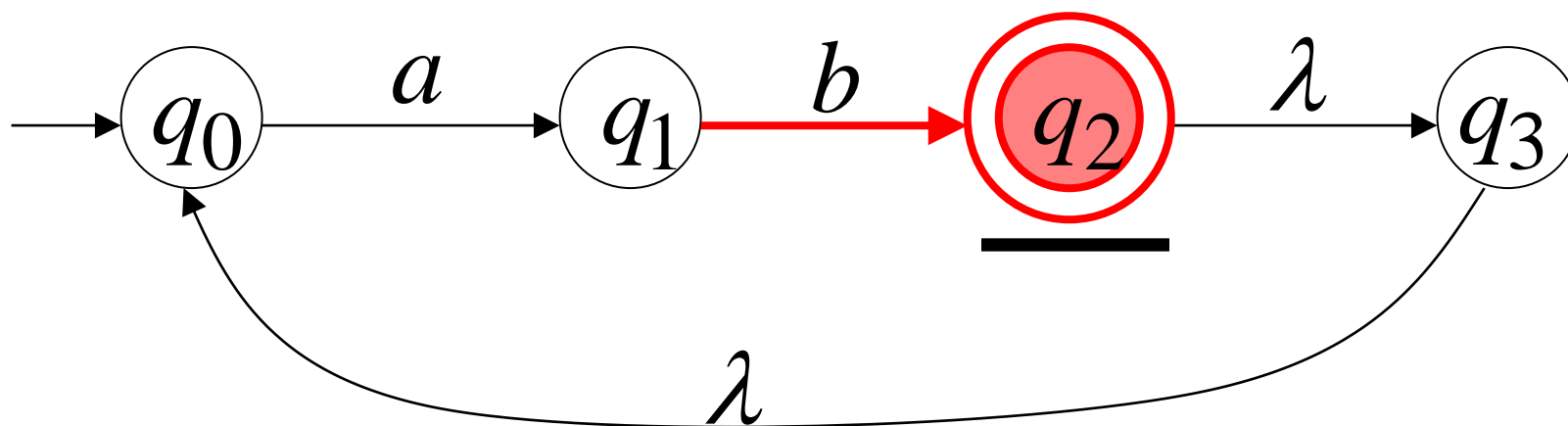
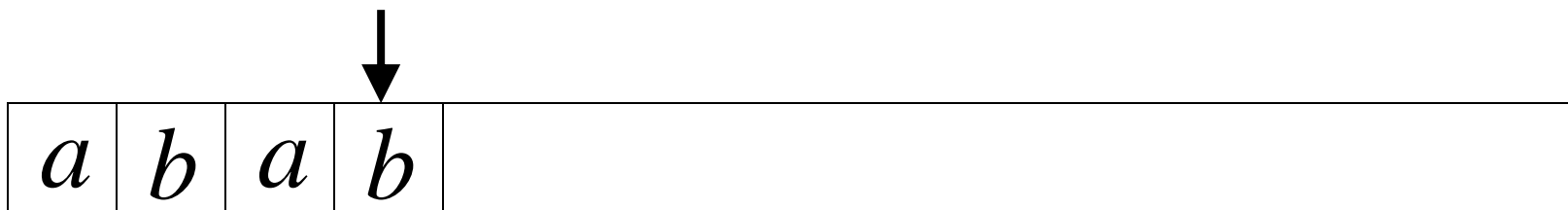


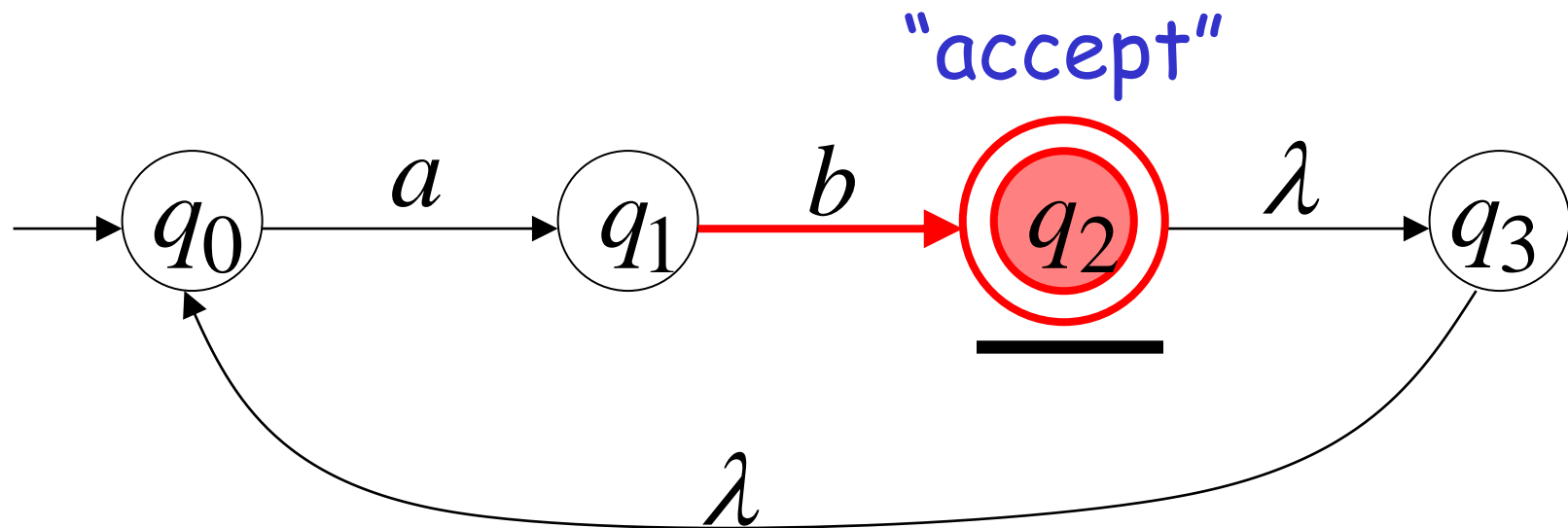
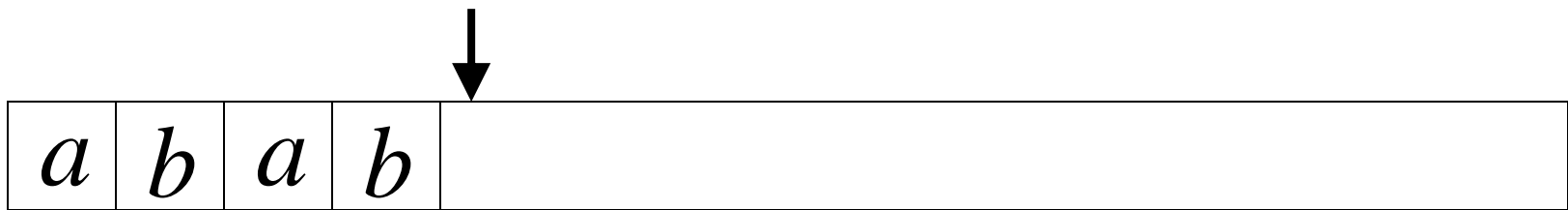








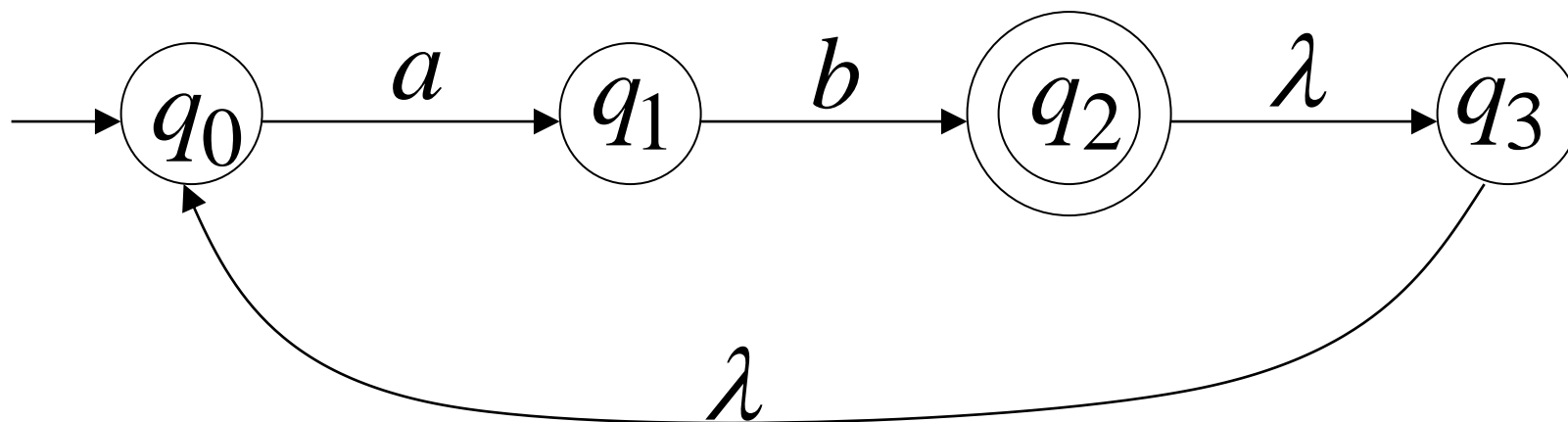




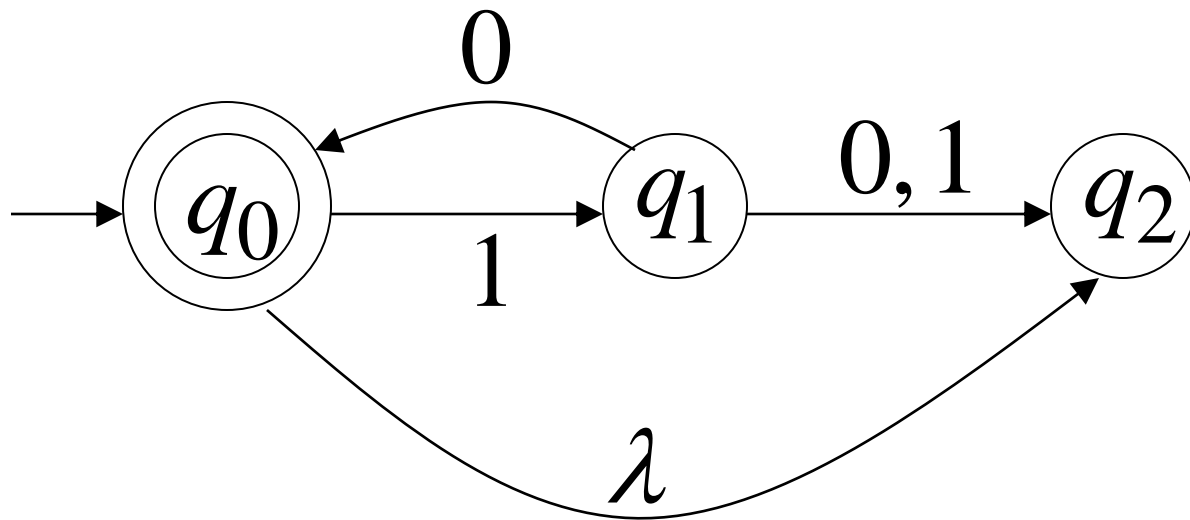


## Language accepted

$$L = \{ab, abab, ababab, \dots\}$$
$$= \{ab\}^+$$

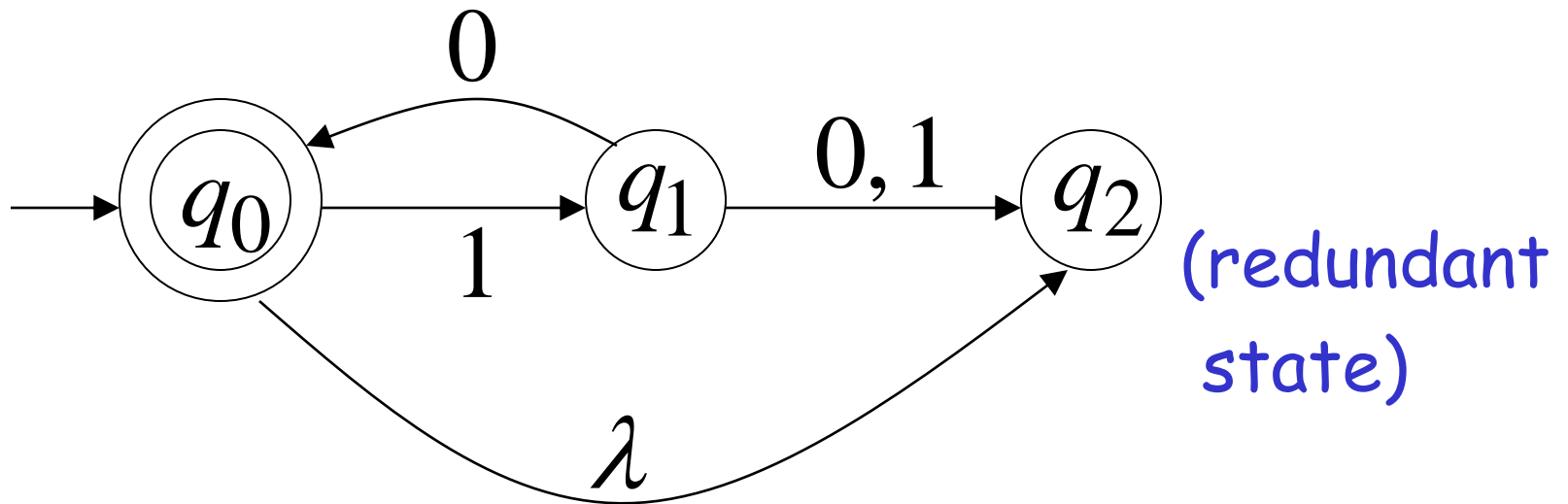


# Another NFA Example



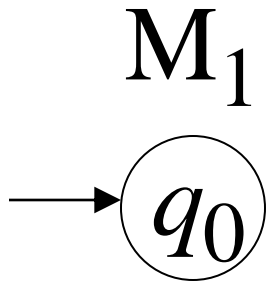
## Language accepted

$$L(M) = \{\lambda, 10, 1010, 101010, \dots\}$$
$$= \{10\}^*$$

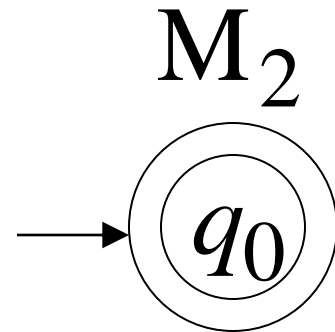


## Remarks:

- The  $\lambda$  symbol never appears on the input tape
- Simple automata:



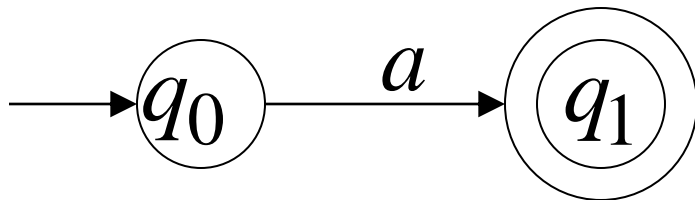
$$L(M_1) = \{ \}$$



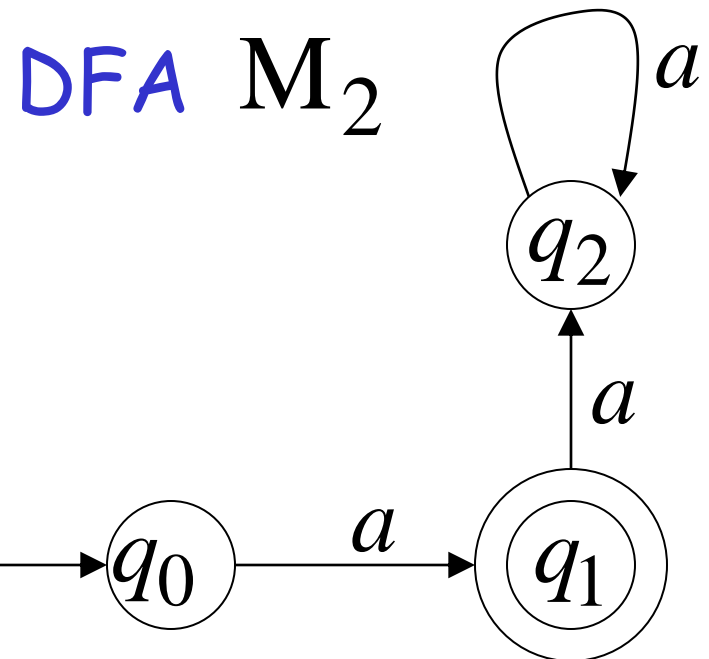
$$L(M_2) = \{ \lambda \}$$

- NFAs are interesting because we can express languages easier than DFAs

NFA  $M_1$



$$L(M_1) = \{a\}$$



$$L(M_2) = \{a\}$$

# Formal Definition of NFAs

$$M = (Q, \Sigma, \delta, q_0, F)$$

$Q$ : Set of states, i.e.  $\{q_0, q_1, q_2\}$

$\Sigma$ : Input alphabet, i.e.  $\{a, b\}$

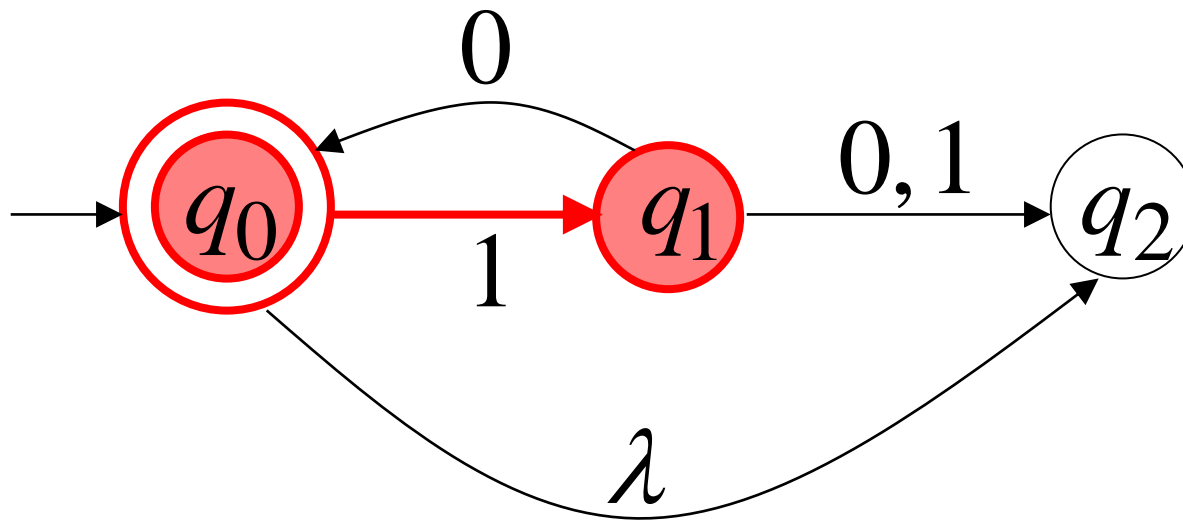
$\delta$ : Transition function

$q_0$ : Initial state

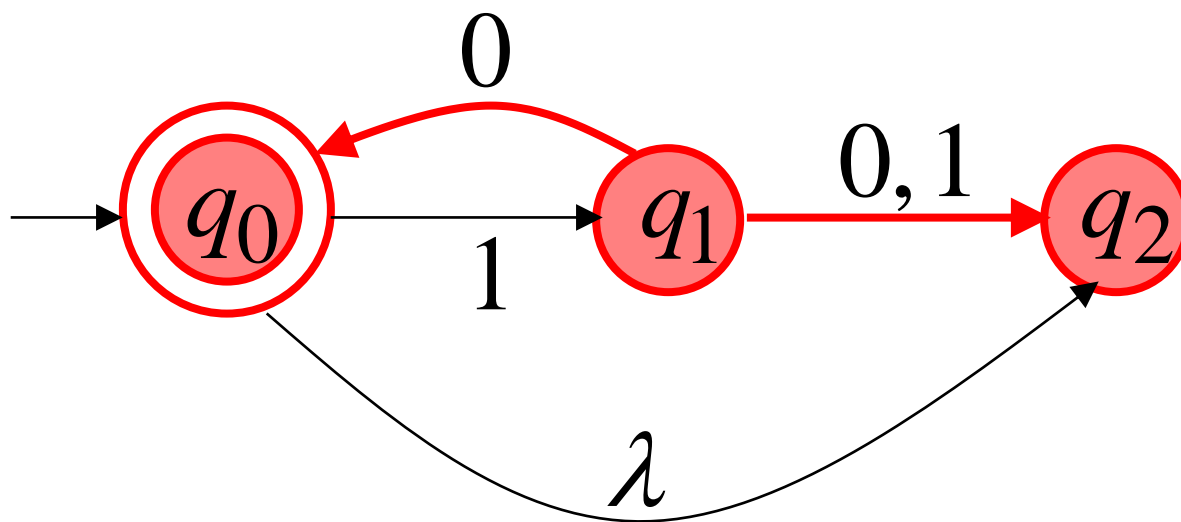
$F$ : Final states

# Transition Function $\delta$

$$\delta(q_0, 1) = \{q_1\}$$

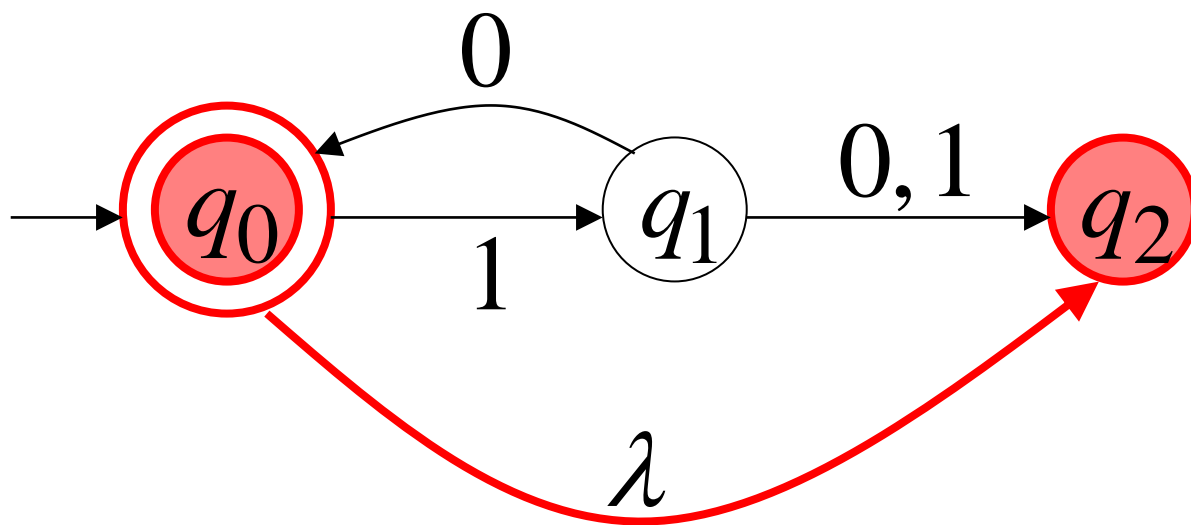


$$\delta(q_1, 0) = \{q_0, q_2\}$$

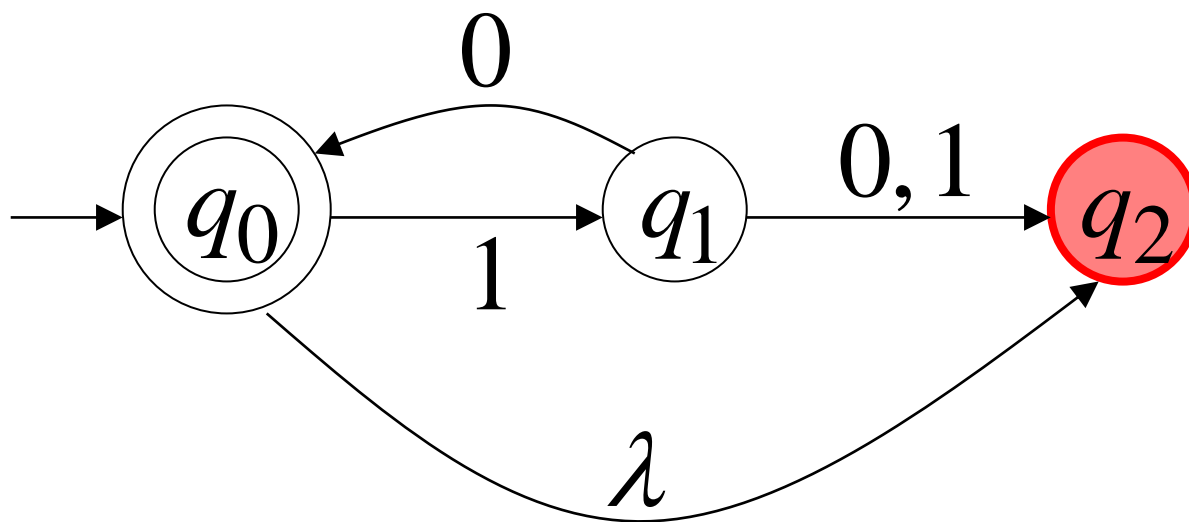




$$\delta(q_0, \lambda) = \{q_0, q_2\}$$

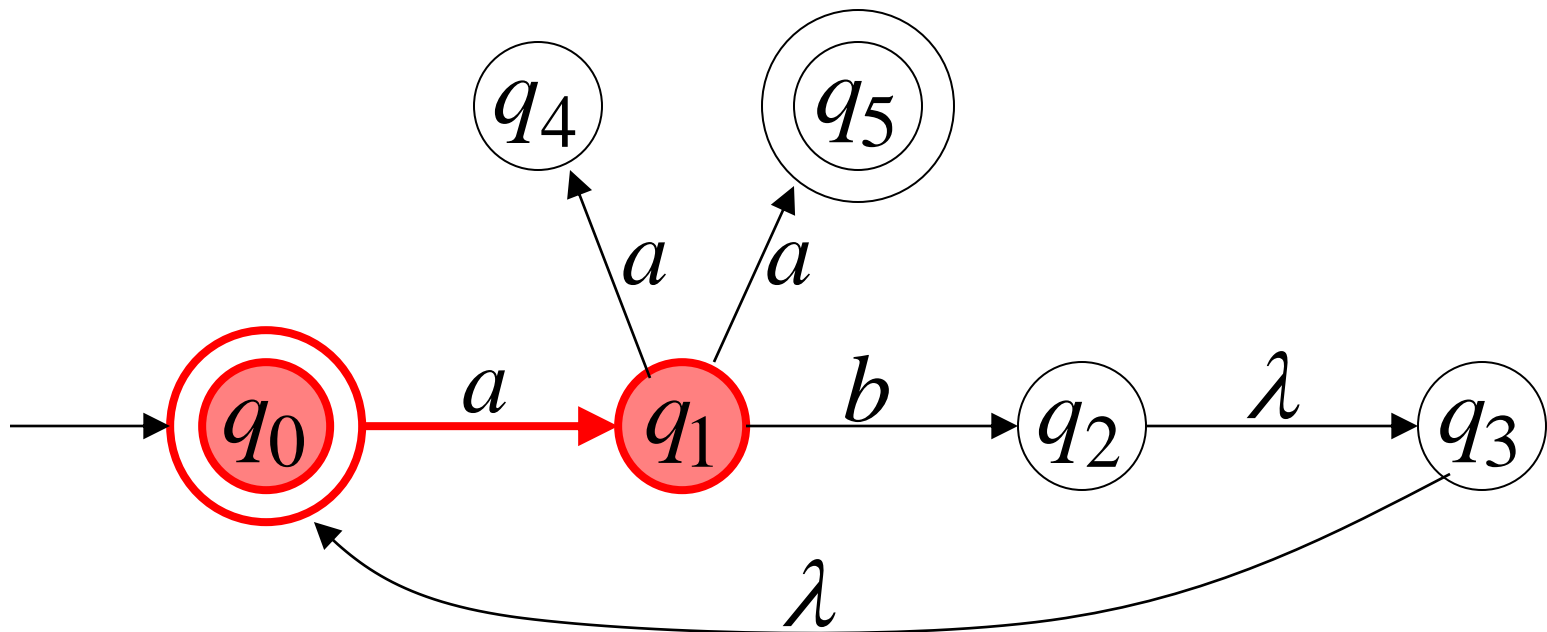


$$\delta(q_2, 1) = \emptyset$$

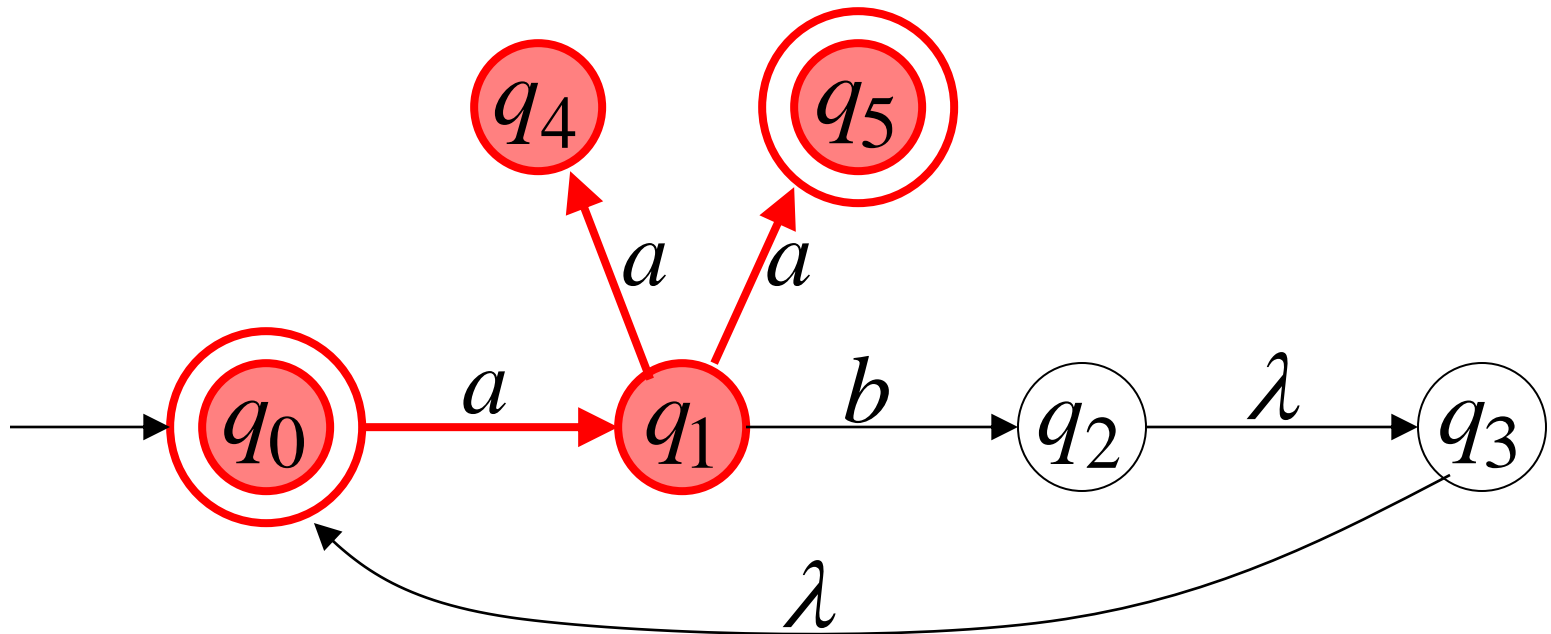


# Extended Transition Function $\delta^*$

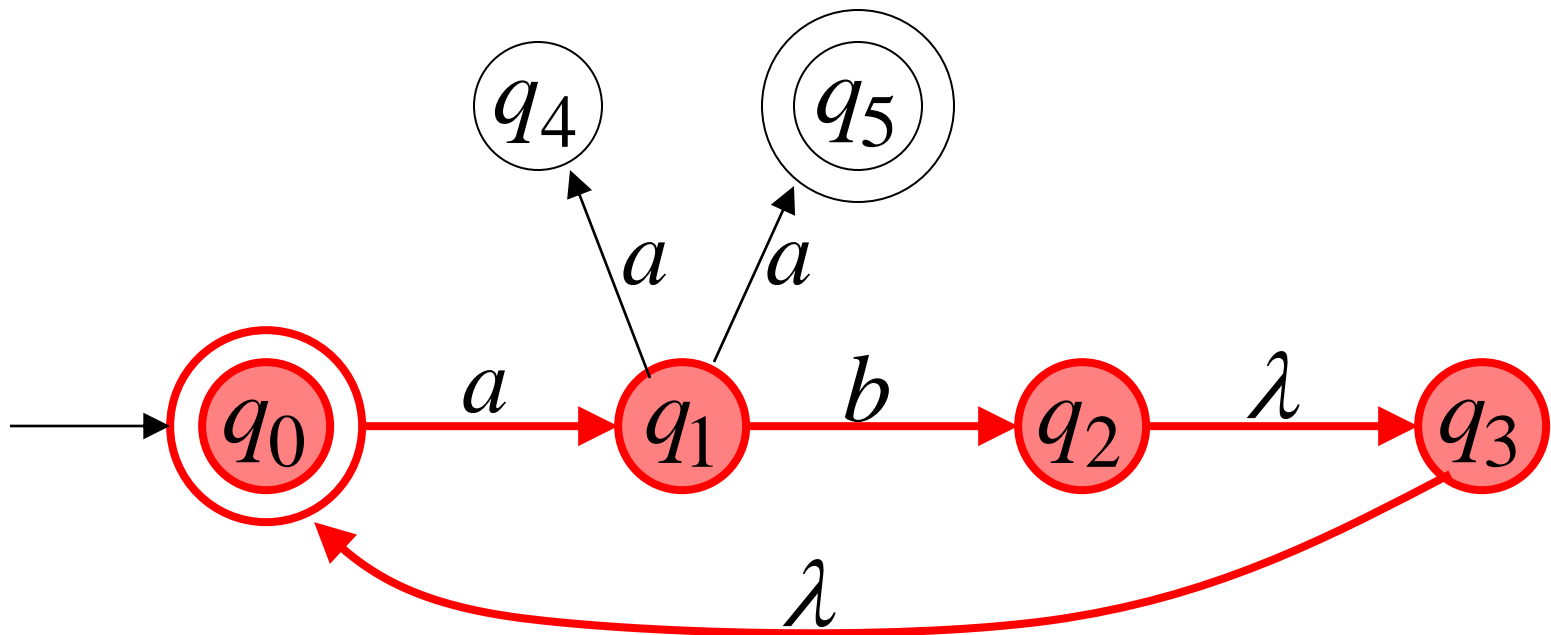
$$\delta^*(q_0, a) = \{q_1\}$$



$$\delta^*(q_0, aa) = \{q_4, q_5\}$$



$$\delta^*(q_0, ab) = \{q_2, q_3, q_0\}$$

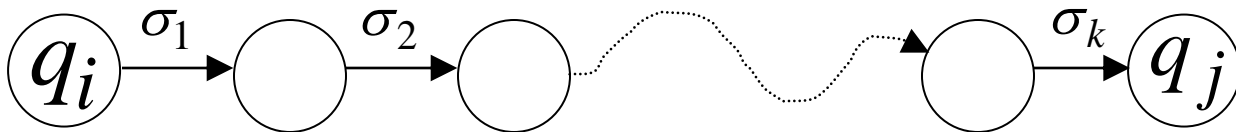


# Formally

$q_j \in \delta^*(q_i, w)$  : there is a walk from  $q_i$  to  $q_j$   
with label  $w$

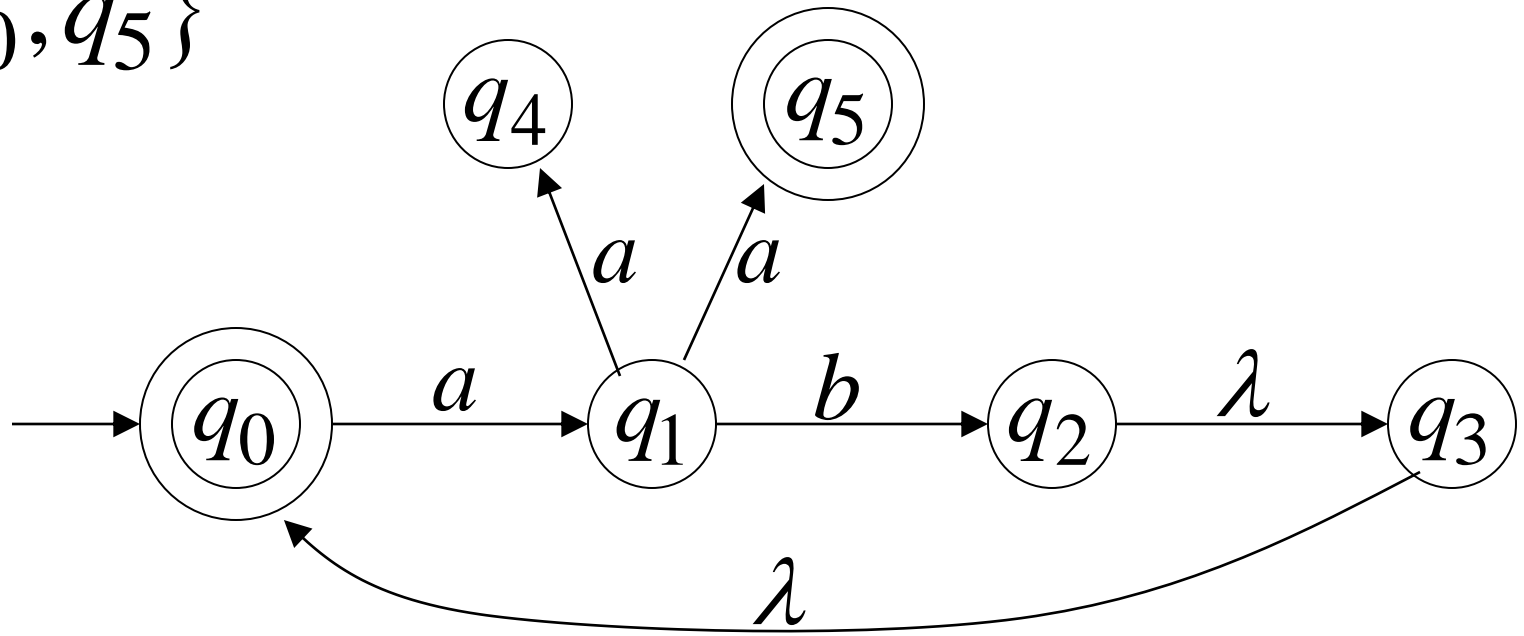


$$w = \sigma_1 \sigma_2 \cdots \sigma_k$$



# The Language of an NFA $M$

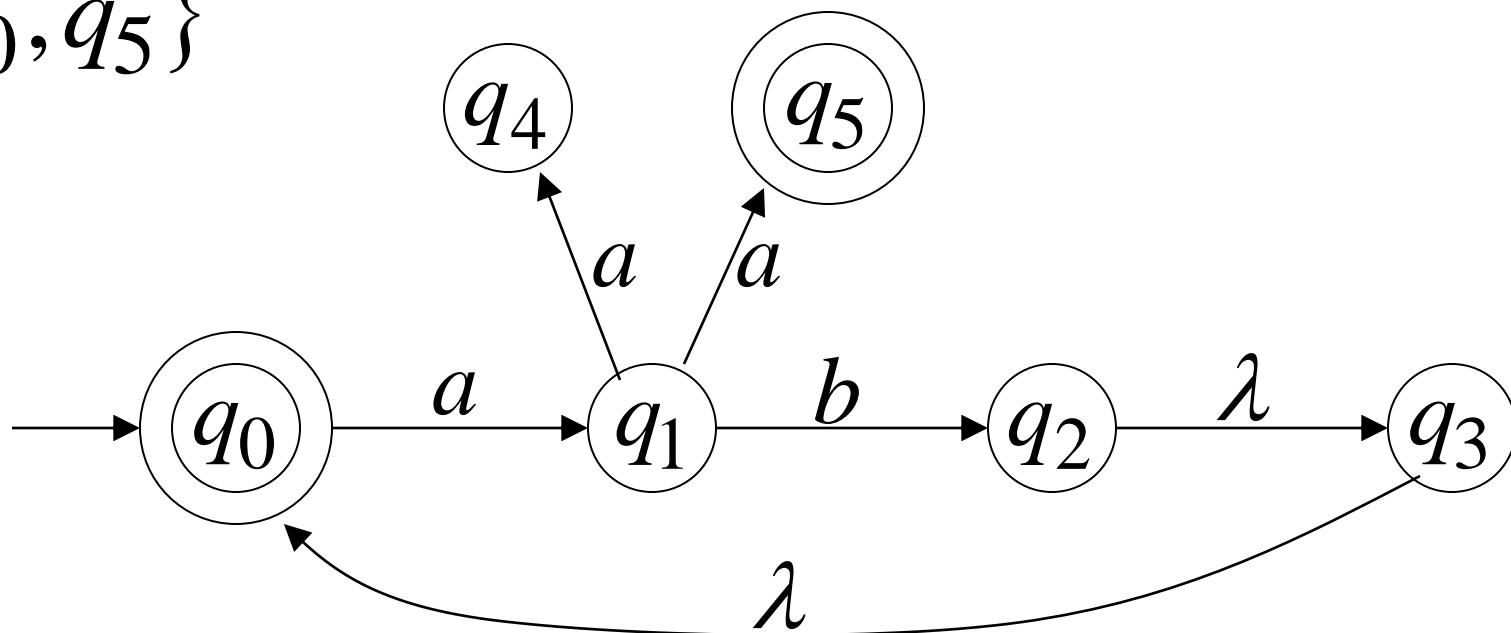
$$F = \{q_0, q_5\}$$



$$\delta^*(q_0, aa) = \{q_4, \underline{q_5}\} \quad aa \in L(M)$$

$\searrow \in F$

$$F = \{q_0, q_5\}$$

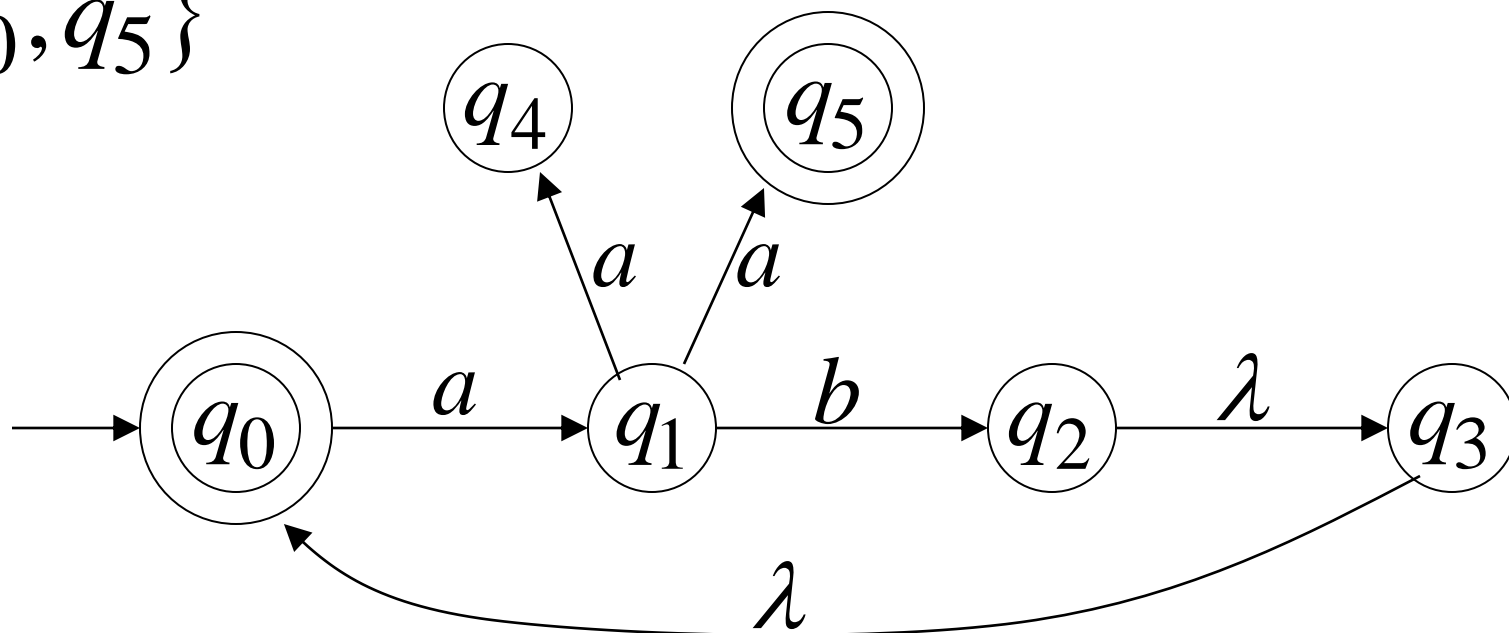


$$\delta^*(q_0, ab) = \{q_2, q_3, \underline{q_0}\} \quad ab \in L(M)$$

$\swarrow$   
 $\in F$



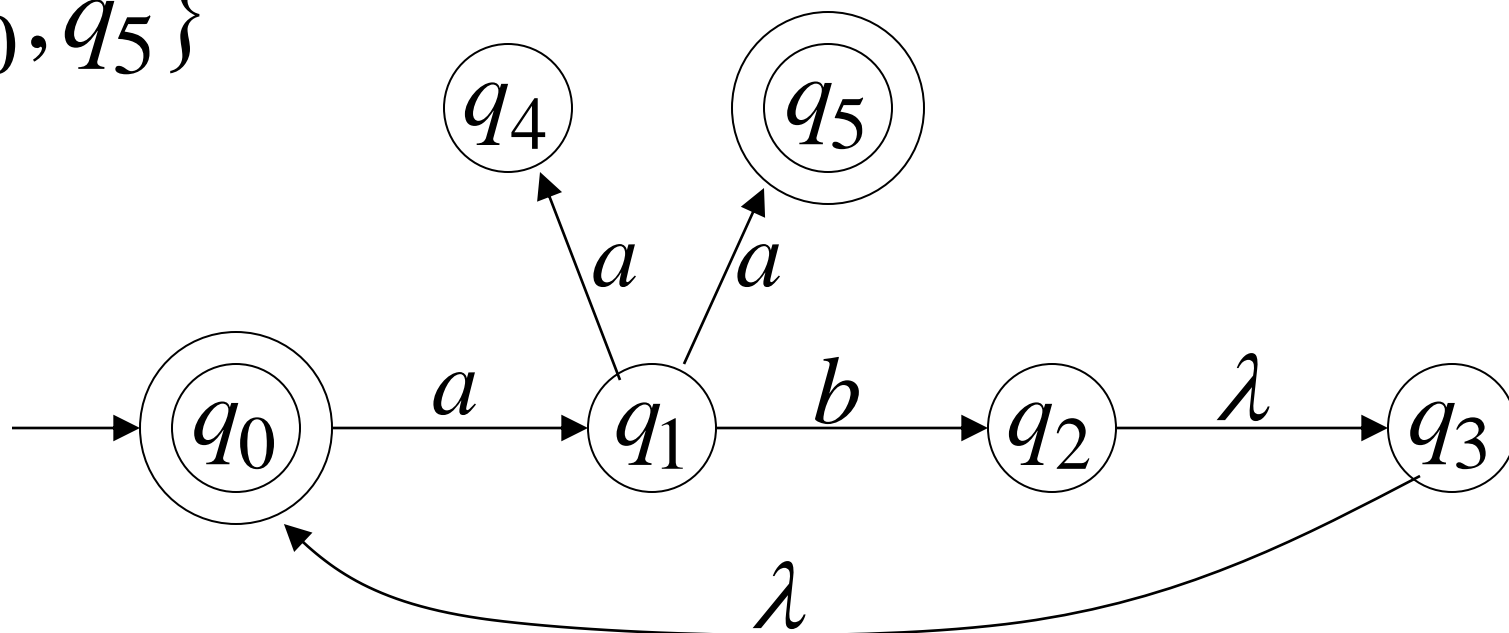
$$F = \{q_0, q_5\}$$



$$\delta^*(q_0, abaa) = \{q_4, \underline{q_5}\} \quad aaba \in L(M)$$

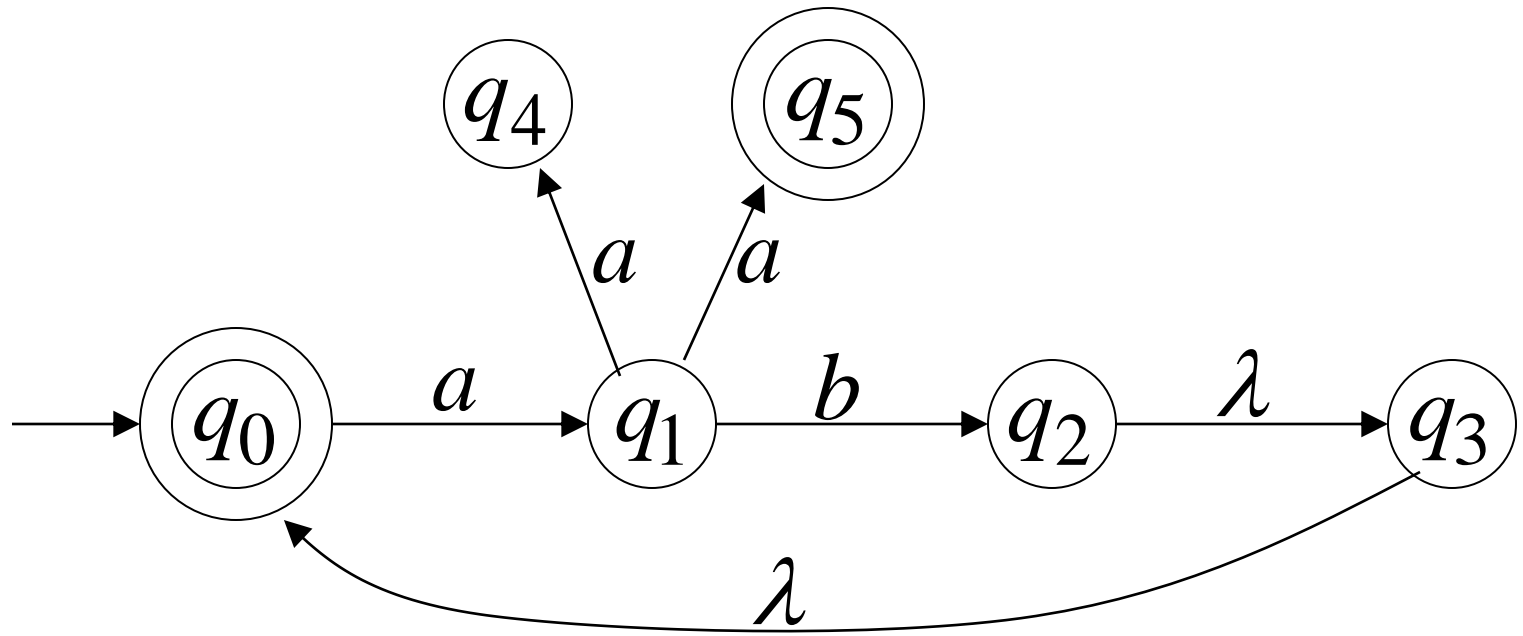
$\searrow \in F$

$$F = \{q_0, q_5\}$$



$$\delta^*(q_0, aba) = \{q_1\} \quad aba \notin L(M)$$

$\searrow \notin F$



$$\begin{aligned}
 L(M) &= \{\lambda\} \cup \{ab\}^* \cdot \{\lambda, aa\} \\
 &= \{ab\}^* \cdot \{\lambda, aa\}
 \end{aligned}$$

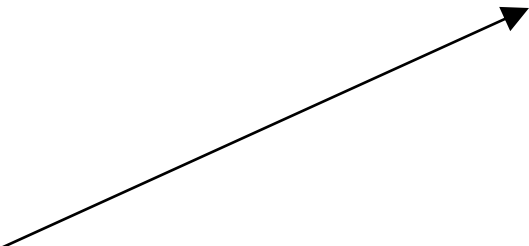
# Formally

The language accepted by NFA  $M$  is:

$$L(M) = \{w_1, w_2, w_3, \dots\}$$

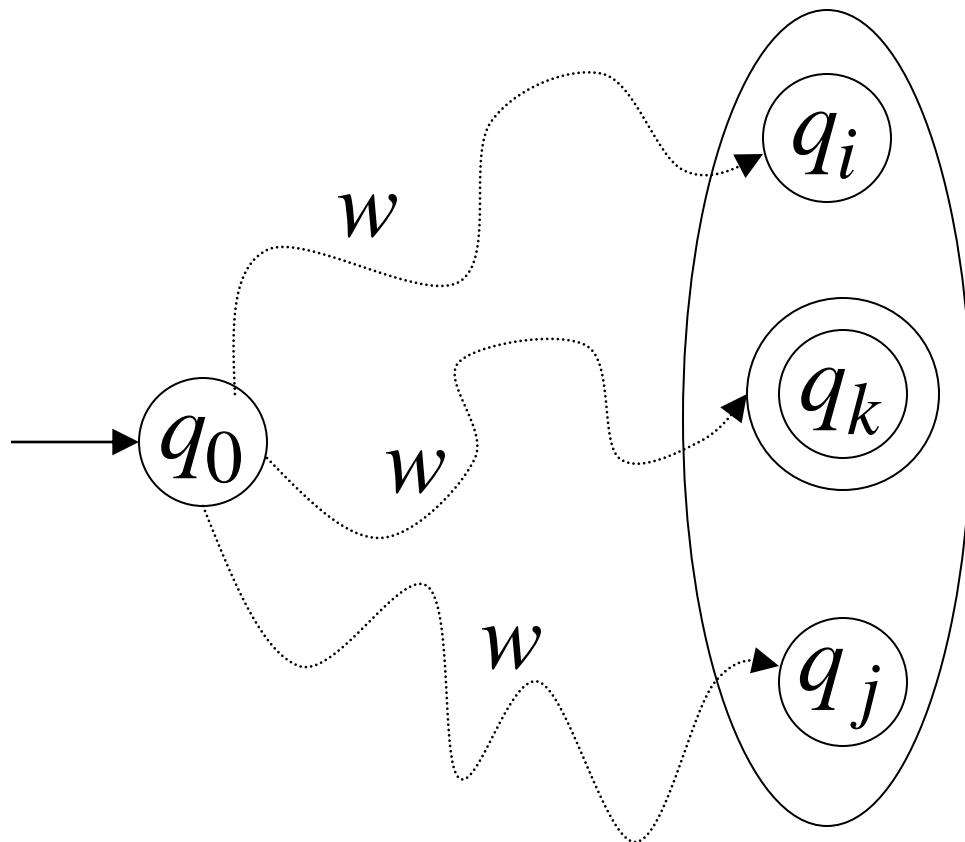
where  $\delta^*(q_0, w_m) = \{q_i, q_j, \dots, q_k, \dots\}$

and there is some  $q_k \in F$  (final state)



$$w \in L(M)$$

$$\delta^*(q_0, w)$$



$$q_k \in F$$

NFAs accept the Regular  
Languages

# Equivalence of Machines

Definition for Automata:

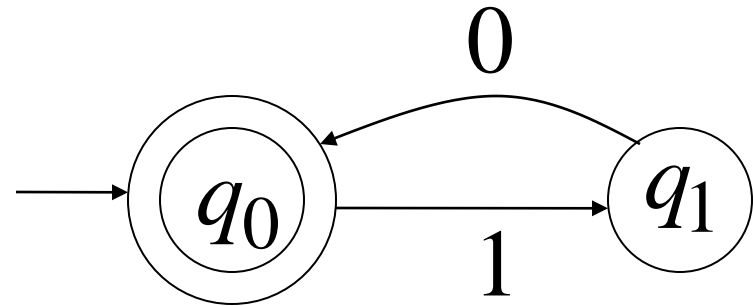
Machine  $M_1$  is equivalent to machine  $M_2$

if  $L(M_1) = L(M_2)$

# Example of equivalent machines

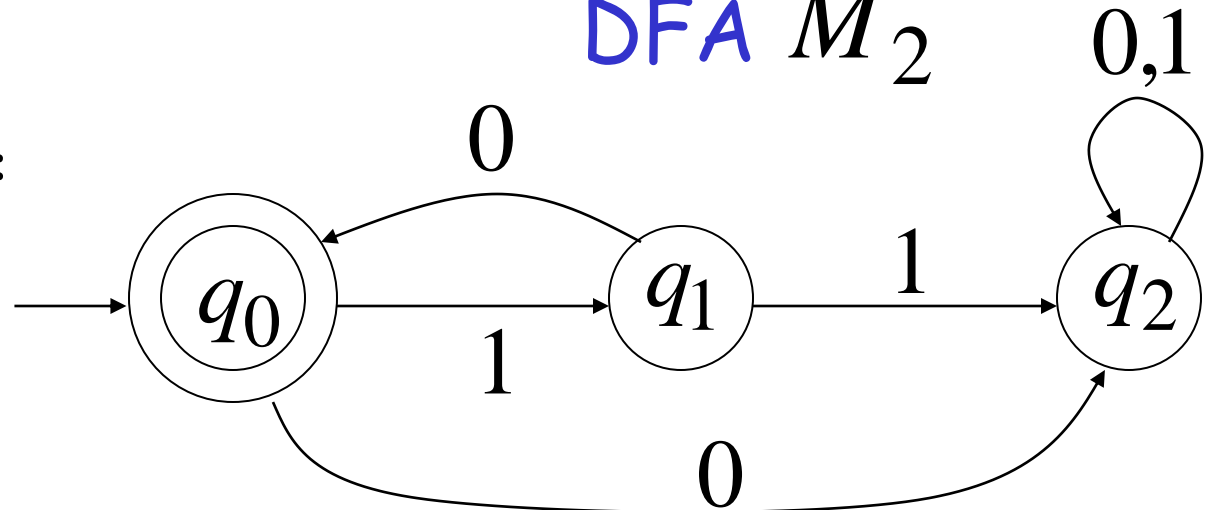
$$L(M_1) = \{10\}^*$$

NFA  $M_1$



$$L(M_2) = \{10\}^*$$

DFA  $M_2$





We will prove:

$$\left\{ \begin{array}{l} \text{Languages} \\ \text{accepted} \\ \text{by NFAs} \end{array} \right\} = \left\{ \begin{array}{l} \text{Regular} \\ \text{Languages} \end{array} \right\}$$

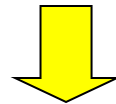
Languages  
accepted  
by DFAs

NFAs and DFAs have the  
same computation power

## Step 1

$$\left\{ \begin{array}{l} \text{Languages} \\ \text{accepted} \\ \text{by NFAs} \end{array} \right\} \supseteq \left\{ \begin{array}{l} \text{Regular} \\ \text{Languages} \end{array} \right\}$$

**Proof:** Every DFA is trivially an NFA

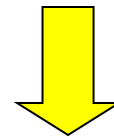


Any language  $L$  accepted by a DFA  
is also accepted by an NFA

## Step 2

$$\left\{ \begin{array}{l} \text{Languages} \\ \text{accepted} \\ \text{by NFAs} \end{array} \right\} \subseteq \left\{ \begin{array}{l} \text{Regular} \\ \text{Languages} \end{array} \right\}$$

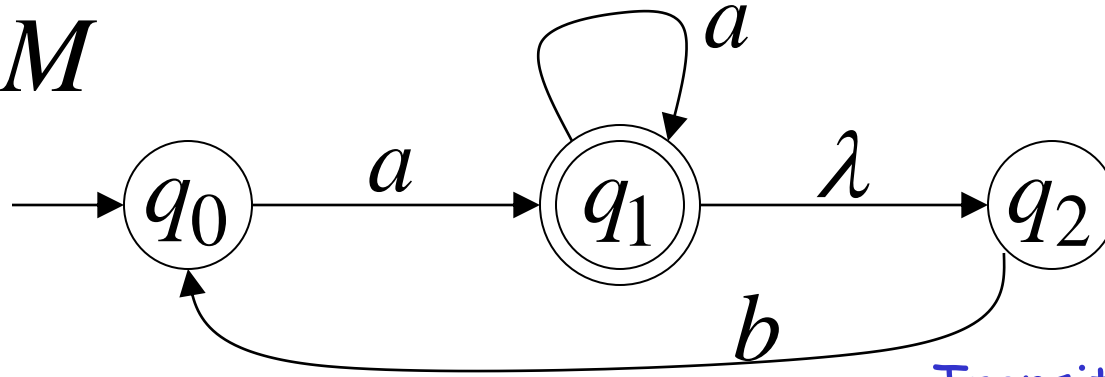
**Proof:** Any NFA can be converted to an equivalent DFA



Any language  $L$  accepted by an NFA is also accepted by a DFA

# Convert NFA to DFA

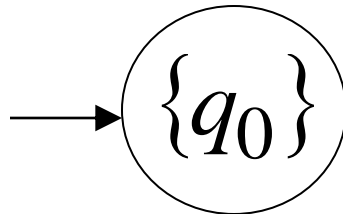
NFA  $M$



Transition table for NFA  $M$

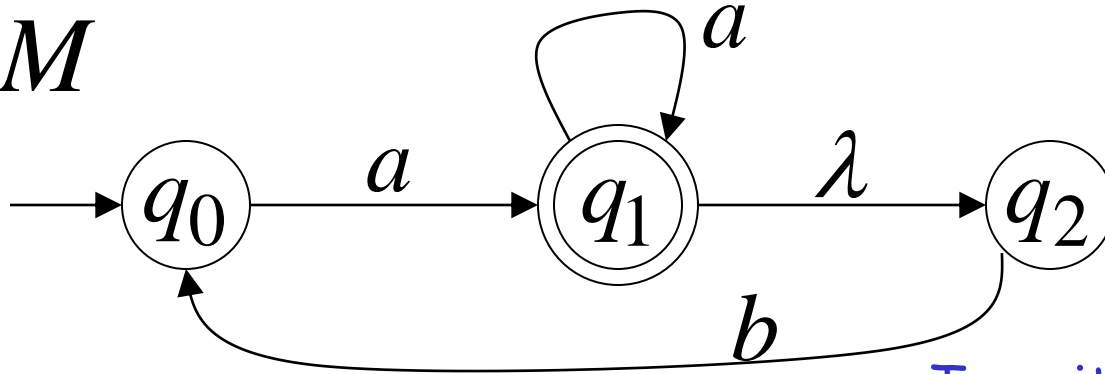
	a	b
$q_0$	$\{q_1, q_2\}$	$\emptyset$
$q_1$	$\{q_1, q_2\}$	$\{q_0\}$
$q_2$	$\emptyset$	$\{q_0\}$

DFA  $M'$



# Convert NFA to DFA

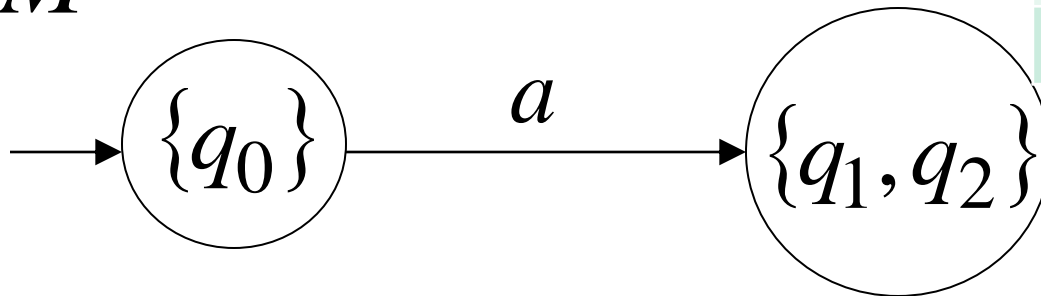
NFA  $M$



Transition table for NFA  $M$

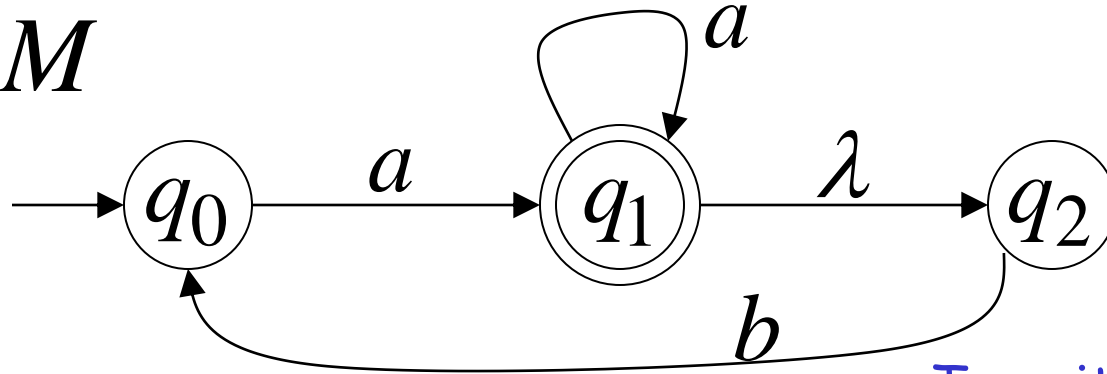
	<b>a</b>	<b>b</b>
$q_0$	$\{q_1, q_2\}$	$\emptyset$
$q_1$	$\{q_1, q_2\}$	$\{q_0\}$
$q_2$	$\emptyset$	$\{q_0\}$

DFA  $M'$



# Convert NFA to DFA

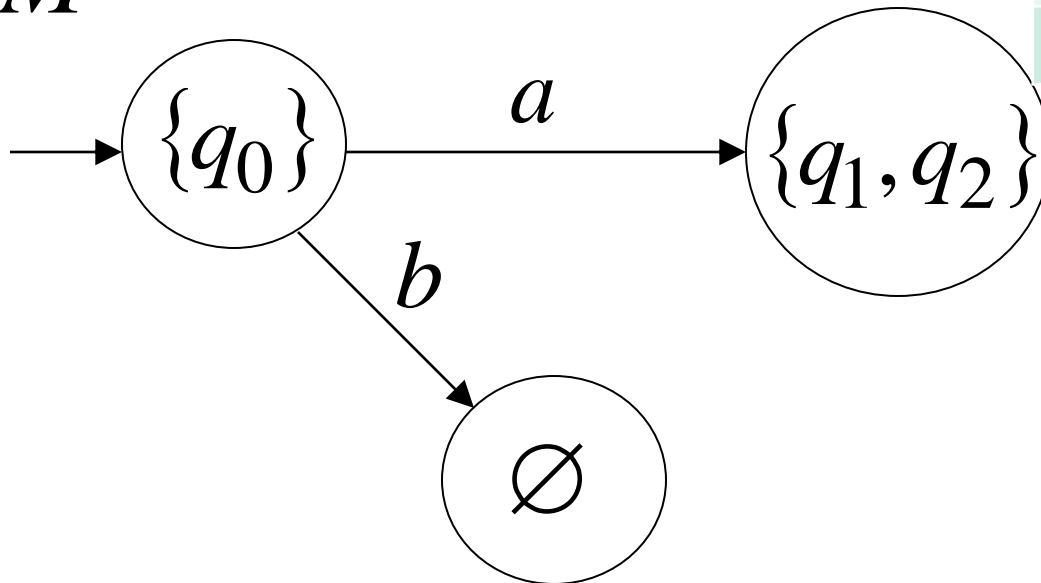
NFA  $M$



Transition table for NFA  $M$

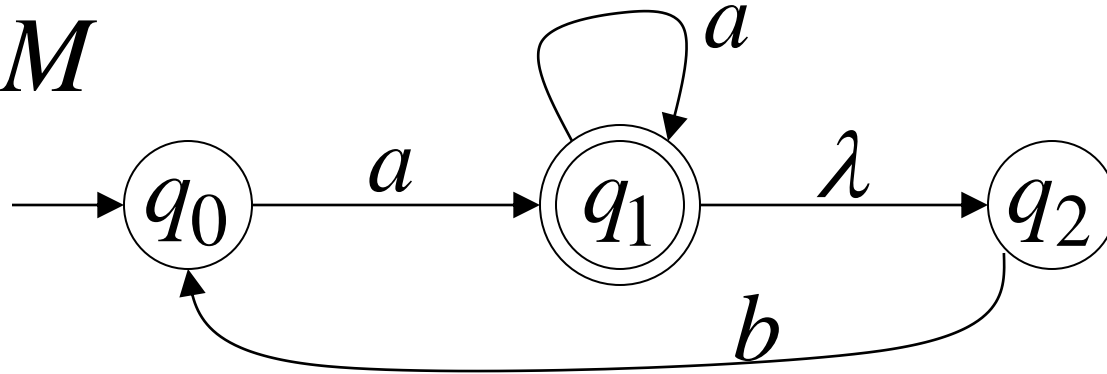
	a	b
$q_0$	$\{q_1, q_2\}$	$\emptyset$
$q_1$	$\{q_1, q_2\}$	$\{q_0\}$
$q_2$	$\emptyset$	$\{q_0\}$

DFA  $M'$

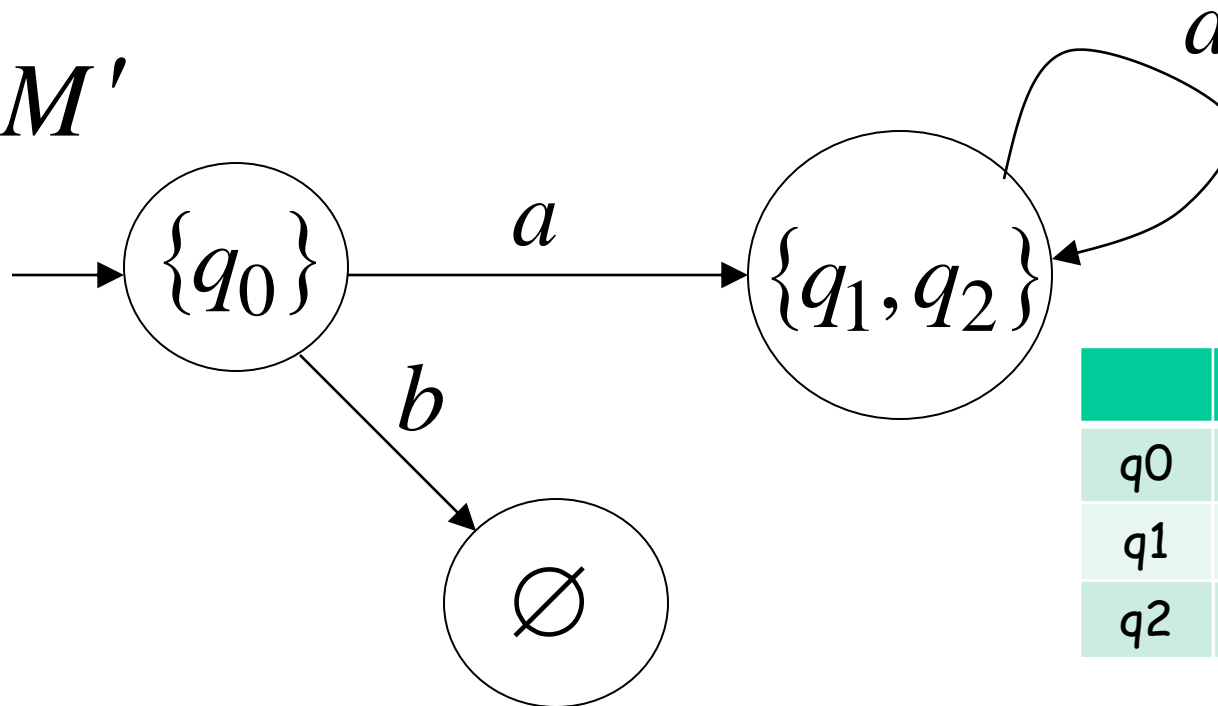


# Convert NFA to DFA

NFA  $M$



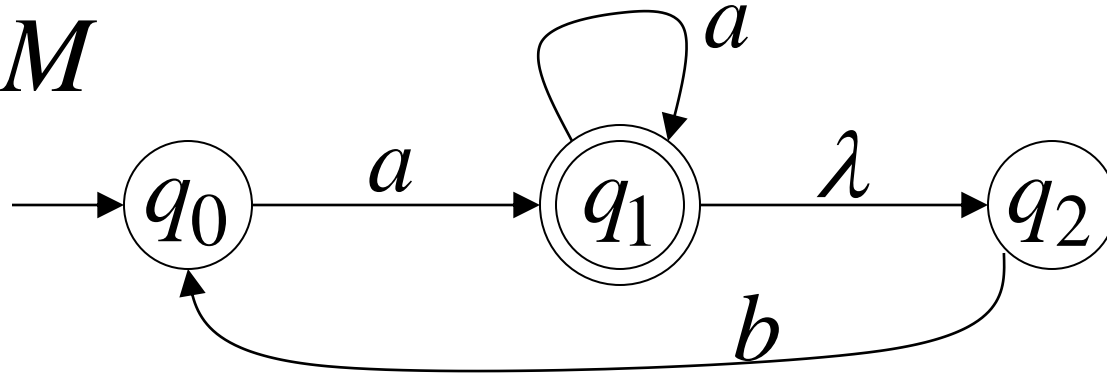
DFA  $M'$



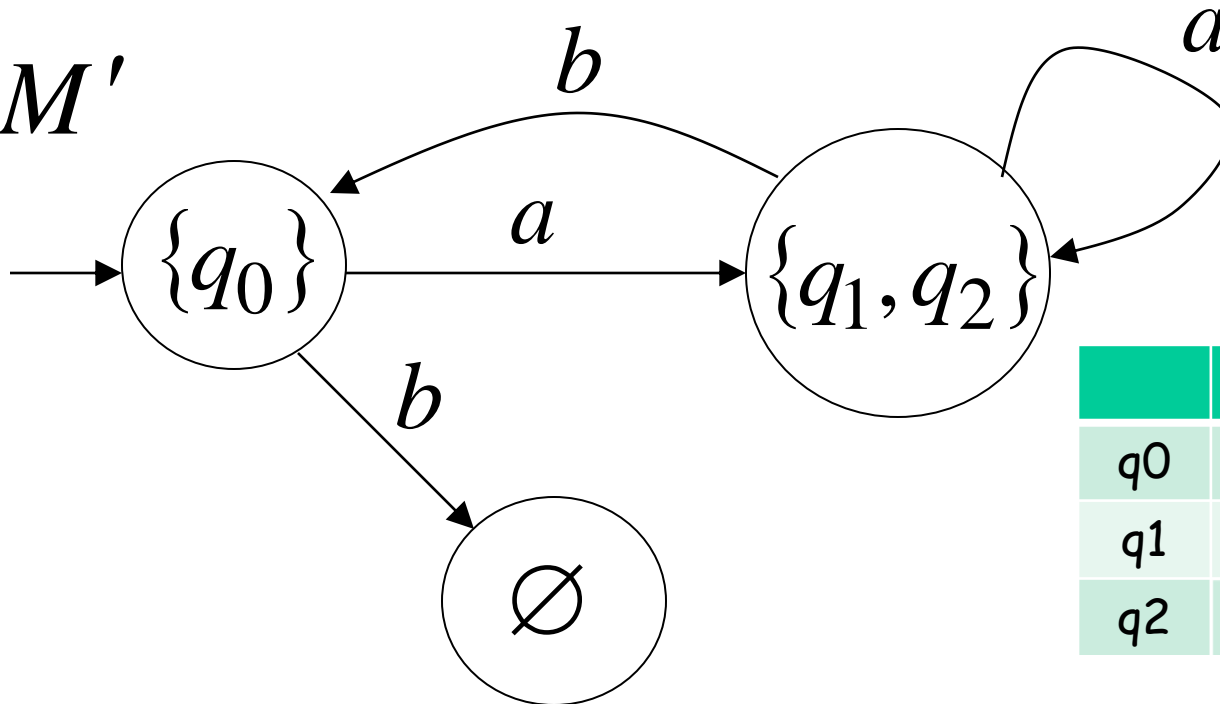
	a	b
$q_0$	$\{q_1, q_2\}$	$\emptyset$
$q_1$	$\{q_1, q_2\}$	$\{q_0\}$
$q_2$	$\emptyset$	$\{q_0\}$

# Convert NFA to DFA

NFA  $M$



DFA  $M'$

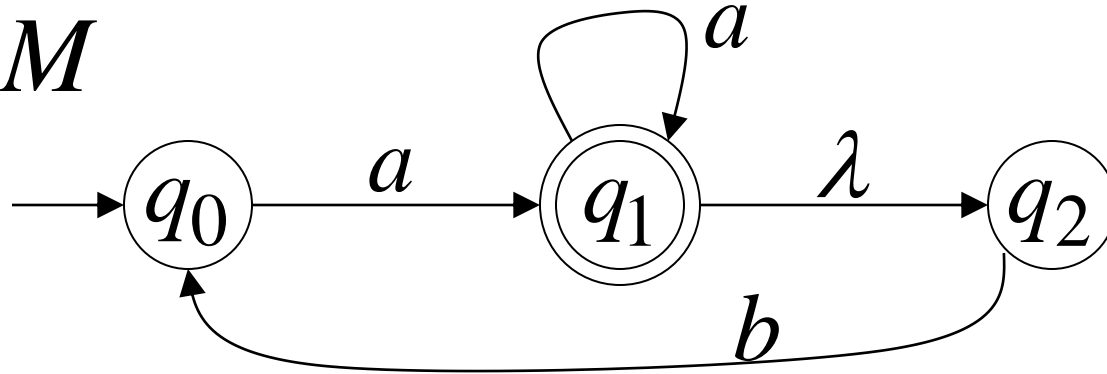


	a	b
$q_0$	$\{q_1, q_2\}$	$\emptyset$
$q_1$	$\{q_1, q_2\}$	$\{q_0\}$
$q_2$	$\emptyset$	$\{q_0\}$

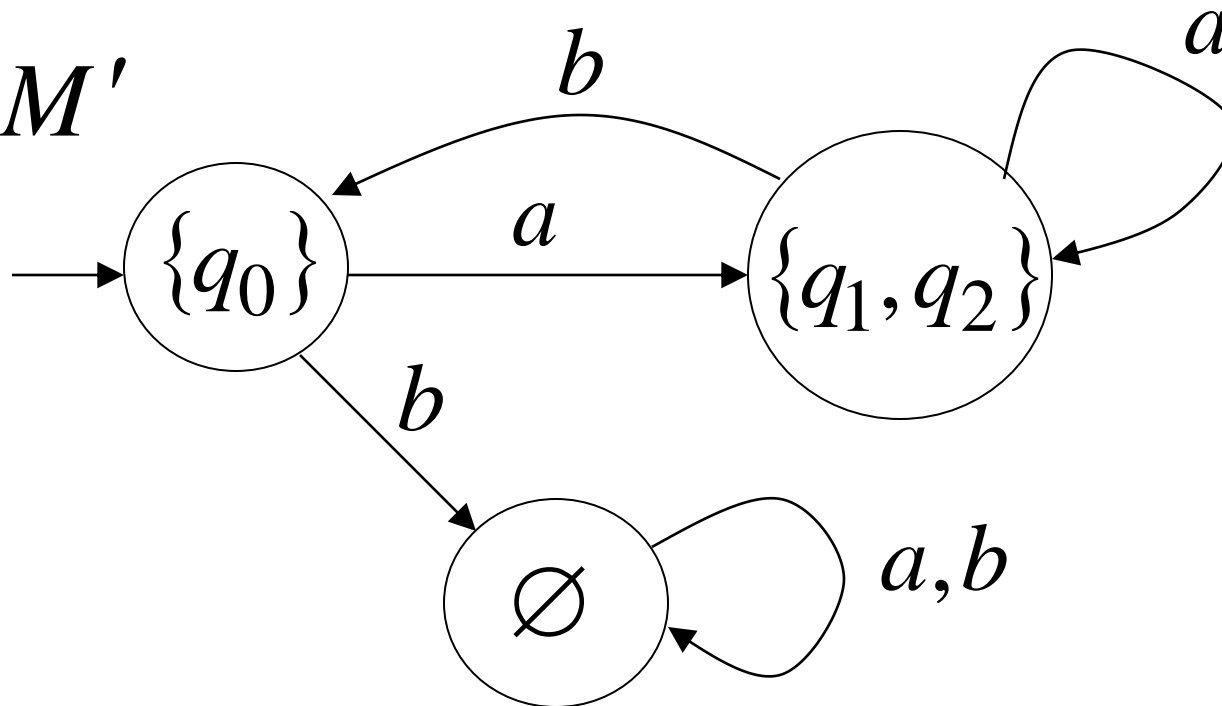


# Convert NFA to DFA

**NFA**  $M$

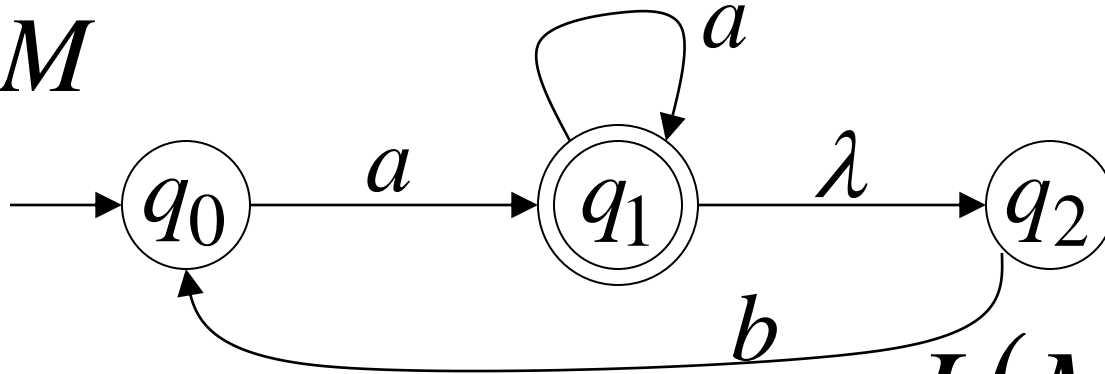


**DFA**  $M'$



# Convert NFA to DFA

**NFA**  $M$



$$L(M) = L(M')$$

**DFA**  $M'$

