

Source of Wealth Declaration

1. What is your gross annual income (EUR)?

- ☐ < 50,000
☐ 50,000 – 200,000
☐ 200,000 – 500,000
☐ > 500,000

1.1 What are the main economic activities that generate your total net worth?

1.2 Kindly provide adequate supporting documentation.

2. Are you self-employed? Yes ☐ No ☐

2.1 If the answer to the above is “Yes”, kindly provide more details about your business below (including business name, description of business activities and country of operations):

2.2 If the answer to the above is “No”, kindly provide the details of your current employer below:

i. Company Name: _____

ii. Registered Address, Correspondence Address (if different), Website:

iii. Nature of company business: _____

iv. Position held within the company: _____

v. Number of years with the company: _____

3. What was your previous employment / business in the past 5 years?

4. Are you a director or a shareholder in a company? Yes ☐ No ☐

4.1. If the answer to the above is "Yes", kindly state the name of the company(ies) in which you are a director or a shareholder and the percentage shareholding (if applicable):

Company Name	Position	Percentage Shareholding

Upon signing this document, I verify the following:

1. The submitted data is correct;
2. I am aware of the consequences of submitting incorrect data;
3. My portfolio has been acquired legally;
4. The investment assets origin is not concerned with money laundering or terrorism financing;
5. I have never been, at any time, adjudged bankrupt in any part of the world;
6. I have never been, at any time, convicted of any offence (other than: (a) an offence committed when under the age of eighteen (18) years unless the same was committed within the last ten (10) years, or (b) a minor offence in connection with the use or ownership of a motor vehicle) by any court, whether civil or military. (If yes, please provide full details of the court, its jurisdiction, the offence, the penalty imposed and the date of the conviction in an enclosed letter.);
7. I have never been associated with a body corporate, partnership, firm or unincorporated institution which has been wound up or dissolved either by an order of any Court, or because it was adjudged bankrupt, or made any compromise or arrangement with its creditors, or ceased trading in circumstances where its creditors did not receive or have not yet received full settlement of their claims, either while associated with it or within five (5) years after I had ceased to be associated with it. (If yes, please provide full details in an enclosed letter.);
8. I have never been associated with a body corporate, partnership, firm or unincorporated institution which is or has been the subject of an investigation by a governmental, professional or other regulatory or statutory body. (If yes, please provide full details in an enclosed letter.); and
9. I signed this document in good faith, am capable of sound judgement, and am in compliance with good business practices.

The information entered in this document is strictly confidential and will not be revealed by BaGuk Finance OÜ to any third person with the exception of the persons and authorities provided with the right of access to such information pursuant to law or any other legislation.

Customer's full name: _____

Customer's signature: _____

Date: _____

Examples of Documentary Evidence to be collected with the Source of Wealth/Source of Funds

Cryptocurrency

- A receipt from the service you acquired the cryptocurrency from (for example, an exchange) and a screenshot from your wallet that shows the transaction from that service to you.

Sale of securities or other investment

- Investment / savings certificates, contract notes or statements;
- Written confirmation from the relevant investment company on letterheaded paper;
- Bank statement showing receipt of funds from investment company name; or
- Signed letter detailing funds from a warranted accountant on letter headed paper.

Sale of property

- Signed letter from a lawyer or notary on letter headed paper; or
- Contract of sale.

Maturing Investments or policy claim

- Letter from previous investment company on letter headed paper notifying proceeds of claim;
- Chargeable Event Certificate; or
- Closing statement.

Individual owns policy/company pays premium

- A copy of trading details or an annual report from the company's website (if applicable);
 - Hard copy of the latest annual report; or
 - Copy of the company's certificate of incorporation (or equivalent);
- AND
- Policy statement; or
 - Bank statement showing credit.

Dividends or profits from private company

- Dividend contract note;
 - Letter showing dividend details signed by a warranted accountant on letter headed paper;
 - Set of company accounts showing dividend details; or
 - Bank statement clearly showing receipt of funds and the name of the company paying dividend
- AND
- A document providing proof of shareholding such as a copy of the Memorandum and Articles of the company, a certificate of incumbency or a dated print out of company registry search.

Company sale

- Signed letter from a lawyer/warranted accountant on letter headed paper;
- Copy of contract of sale and bank statement showing credit to account consequent to sale; or
- Copies of media coverage (where applicable) as supporting evidence.

Inheritance

- A copy of the will that must include the value of the estate; or
- A lawyer's or notary's letter on letter headed paper or a letter from the trustees of an estate that includes the type of asset and respective value.

Maturity or redemption of a shareholder's loan

- Loan agreement; or
- Recent loan statements.

Gift

- Document (e.g. from the donor) showing who gave the gift, the relationship between the donor and the donee and a reason as to why the donation was made, together with the verification of identity of the donor, and information about the source of the donor's wealth.

Lottery / betting / casino win

- Letter from relevant organisation (lottery headquarters/betting shop/casino);
- A certificate of winnings issued by the relevant company or casino;
- In the case of lottery winning, a bank statement showing funds deposited by lottery company; or
- Copies of media coverage (if applicable) as supporting evidence.

Compensation payment

- A letter/ court order from a compensating body clearly showing the amount of compensation; or
- Lawyer's letter on letter headed paper clearly establishing the amount.

Savings and investment

- Bank statement/s demonstrating deposits/gifted monies; or
- Documentation evidencing an inward transfer from portfolio (if the customer has an investment portfolio).

Insurance claims

- A letter from the insurance provider on letter headed paper.

Divorce or separation settlement

- A copy of the court order or judicial separation agreement and verification that funds have originated from the account of the former spouse.

Employment

- An original or certified copy of a recent pay slip;
- Written confirmation of annual salary / bonus amounts signed by employer;
- Bank statement clearly showing receipt of most recent regular salary payments from the named employer; or
- Tax declaration document if self-employed.

Retirement income

- Pension statement;
- Letter from a warranted accountant / annuity provider on letter headed paper; or
- Bank statement showing receipt of latest pension income and the name of the annuity provider (if applicable).

Other monies

- Appropriate supporting documentation; or
- Signed letter detailing funds from warranted accountant/lawyer/entity licensed to provide investment services on letter headed paper.

The above list of examples is provided for guidance purposes only, and each case will be considered on its own merits