

# Artificial Intelligence

## Algorithms and Applications with Python

### Chapter 2



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## 2 Problem-Solving Agents

### 2.1 Intelligent Agents

### 2.2 Solving Problems by Searching

### 2.3 Beyond Classical Search

### 2.4 Adversarial Search and Game Theory (*forthcoming*)

### 2.5 Constraint Satisfaction Problems (*forthcoming*)

#### ► What we will learn:

- We define the concept of rational agents ( $\approx$  intelligent agents)
- Characteristics of artificial agents (perfect or otherwise), the diversity of environments, and the resulting menagerie of agent types
- We discuss how AI problems can be modelled as search-problems, and how they can be solved by searching

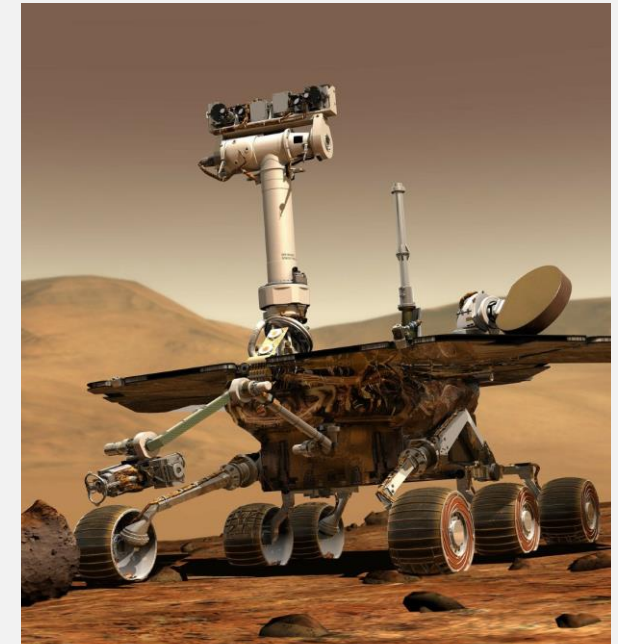


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#### ► Duration:


- 120 min

#### ► Relevant for Exam:

- 2.1 – 2.3




# Business Case: Stop Automated Nike Shopping Agents



**HOW TO BOT**  
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Upon Launching my web series "Sneakers To Riches" I seemed to have inspired many newcomers to get into sneaker botting.



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**The ULTIMATE Beginner's Guide to Sneaker Botting in 2019**  
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If you want to know how to setup virtual credit cards (VCCs) and make your billing profiles, this video should help.



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Samstag, 05. Oktober 2019 13:42 Uhr Frankfurt | 12:42 Uhr London | 07:42 Uhr New York | 20:42 Uhr Tokio

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Startseite >> Mediathek >> Videos >> Wirtschaft >> Sneaker-Bots ausgetrickst : Skate-Shop verkauft Fotos statt Schuhe

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### WIRTSCHAFT



28.08.2019 10:43 Uhr – 01:27 min



**Sneaker-Bots ausgetrickst**  
**Skate-Shop verkauft Fotos statt Schuhe**

Limitierte Sneaker lassen sich gewinnbringend weiterverkaufen. Professionelle Reseller verwenden Bots, die automatisiert Einkäufe tätigen können. Normale Kunden gehen oft leer aus. Ein Sneaker-Shop in Frankfurt hat die Software nun ausgetrickst. Mit einer simplen aber genialen Idee.

# Business Case: Stop Automated Nike Shopping Agents

## 01 | Executive Summary

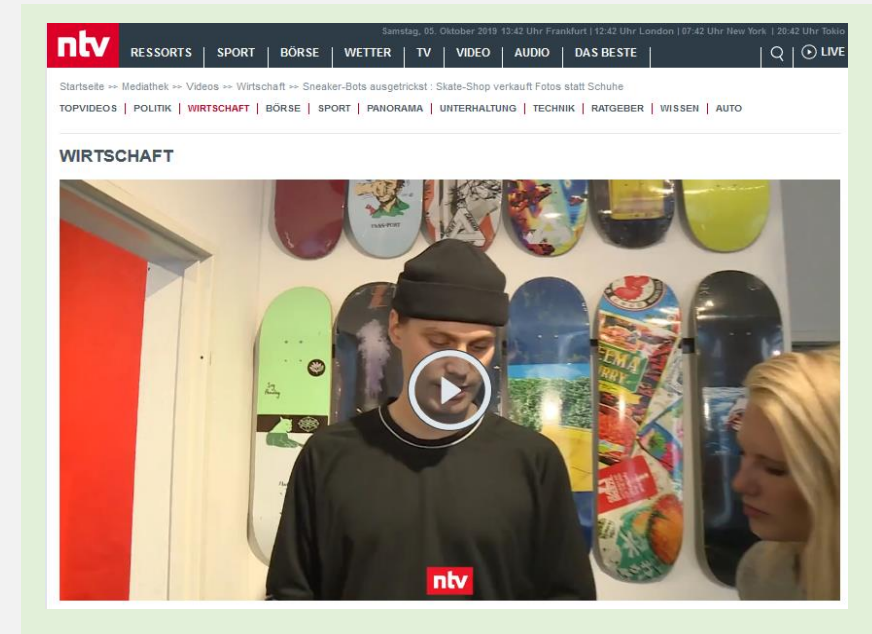
*Limited sneakers can be resold profitably. Professional resellers use AI-based agents that can make automated purchases. Today, there are many AI-based agents and web-crawler that are able to detect and buy limited sneakers or other limited offers in web-shops, while normal customers often go away empty-handed. Furthermore, these kind of information systems produce a lot of web traffic (especially if they are very bad designed), and most shop-owners do not want them. A sneaker shop in Frankfurt has now outwitted the software. With a simple but ingenious idea.*

## 02 | Solution

- Most simple AI agents use simple decision rules for decision-making.
- Hence, they lack of “true” intelligence and can be fooled easily by targeting on the decision-rules of such systems

## Take-Aways

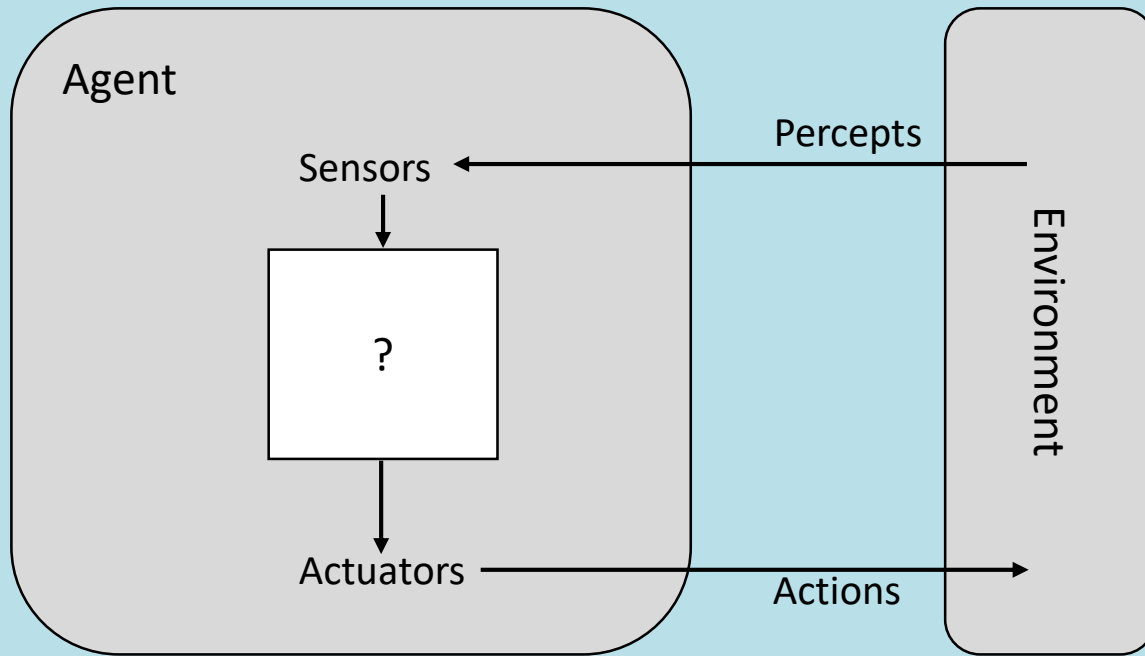
- AI-based agents can be used to automate human tasks (find cheap offers)
- However, they can not replace humans if it gets difficult



## 03 | References

- <https://www.n-tv.de/mediathek/videos/wirtschaft/Skate-Shop-verkauft-Fotos-statt-Schuhe-article21229466.html>

## 2.1 „Intelligent“ Agents (Russel, Norvig)



Robotic Agent



Software Agent



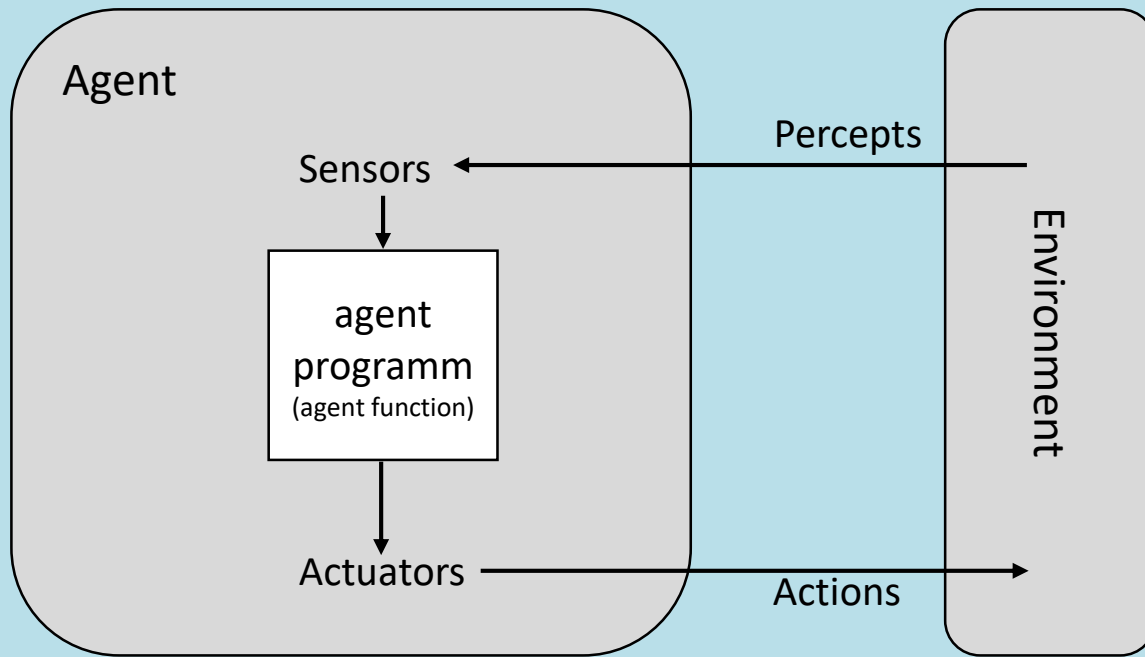
**D** An agent is anything that can be viewed as perceiving its environment through sensors and acting upon that environment through actuators (Russell & Norvig, 2016)

Adapted from Russell, S., & Norvig, P. (2016) | Image source: ↗ [Pixabay](#) (2019) / ↗ [CCO](#)





## 2.1 What Makes Agents Intelligent?



**D** *An agent is anything that can be viewed as perceiving its environment through sensors and acting upon that environment through actuators (Russell & Norvig, 2016)*

- An agent's behavior is described by the agent function that maps any given percept sequence to an action.
- We could imagine this as a table matching each possible percepts and actions (remember chinese room argument?)
- Internally, the agent function is implemented by an agent program

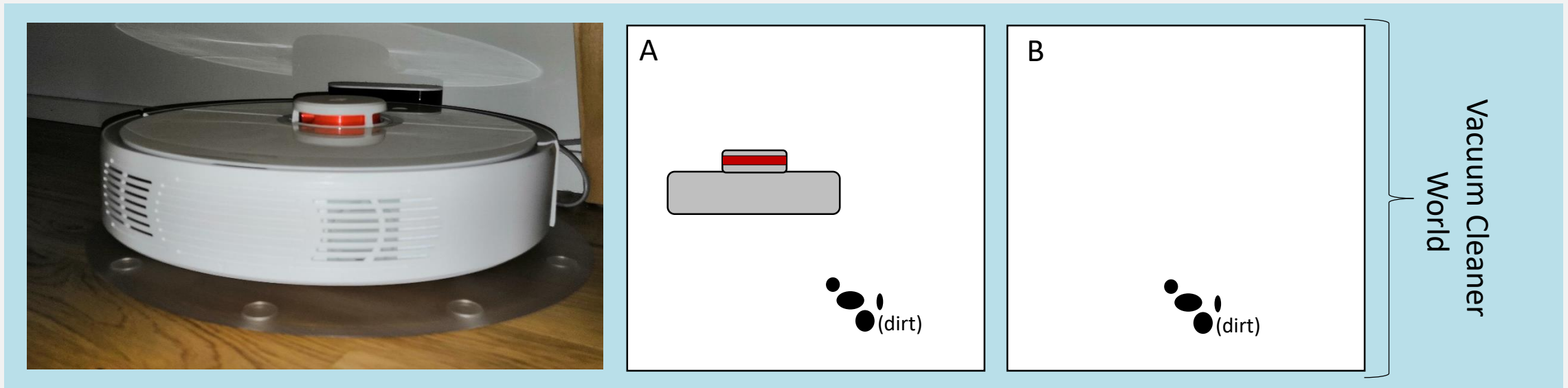
Adapted from Russell, S., & Norvig, P. (2016) | Image source: ↗ [Pixabay](#) (2019) / ↗ [CC0](#)



# How do you design an intelligent agent?



## 2.1 Example: Vacuum Cleaner World - Tabulation



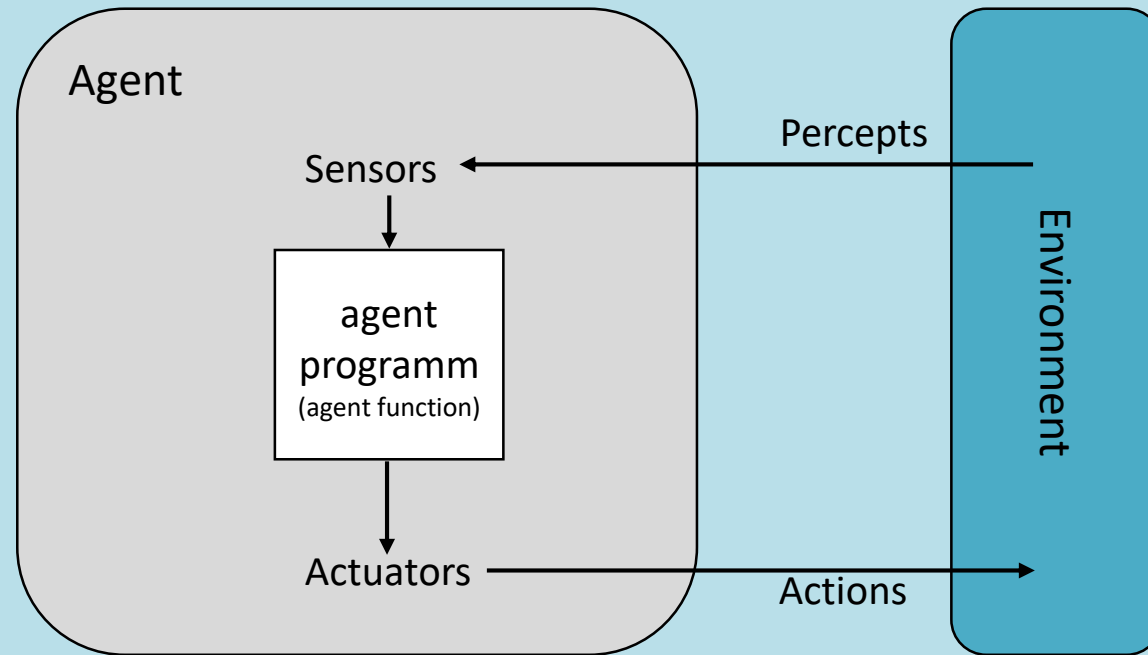
- We use a very simple example: the vacuum-cleaner world with just two squares (A and B)
- The vacuum cleaner agent perceives which square it is and whether there is dirt or not
- It can move (left or right), suck up the dirt or do nothing.
- One simple function is: If the current square is dirty, then suck

Adapted from Russell, S., & Norvig, P. (2016) | Image sources: Dominik Jung (2019)





## 2.1 Step 1 – Specifying the task environment



- How does the task and the environment in which the task should be solved look like?

Adapted from Rusell, S., & Norvig, P. (2016) | Image source: ↗ [Pixabay](#) (2019) / ↗ [CCO](#)



## 2.1 Specifying the task environment

Agent Type	Performance Measure	Environment	Actuators	Sensors
Taxi driver	Safe, fast, legal, comfortable trip, maximize profits	Roads, other traffic, pedestrians, customers	Steering, accelerator, brake, signal, horn, display	Cameras, sonar, speedometer, GPS, odometer, accelerometer, engine sensors, keyboard

- Norvig and Russel propose to characterize the task environment based on the four characteristics: Performance, Environment, Actuators and Sensors (PEAS)
- In designing an agent, the first step must always be to specify the task environment as fully as possible.

Table and example adapted from Russel, S., & Norvig, P. (2016)



## 2.1 Further Properties of Task Environments

- Fully observable or partially observable
- Single agent or multiagent
- Deterministic or stochastic
- Episodic or sequential
- Static or dynamic
- Discrete or continuous
- Known or unknown

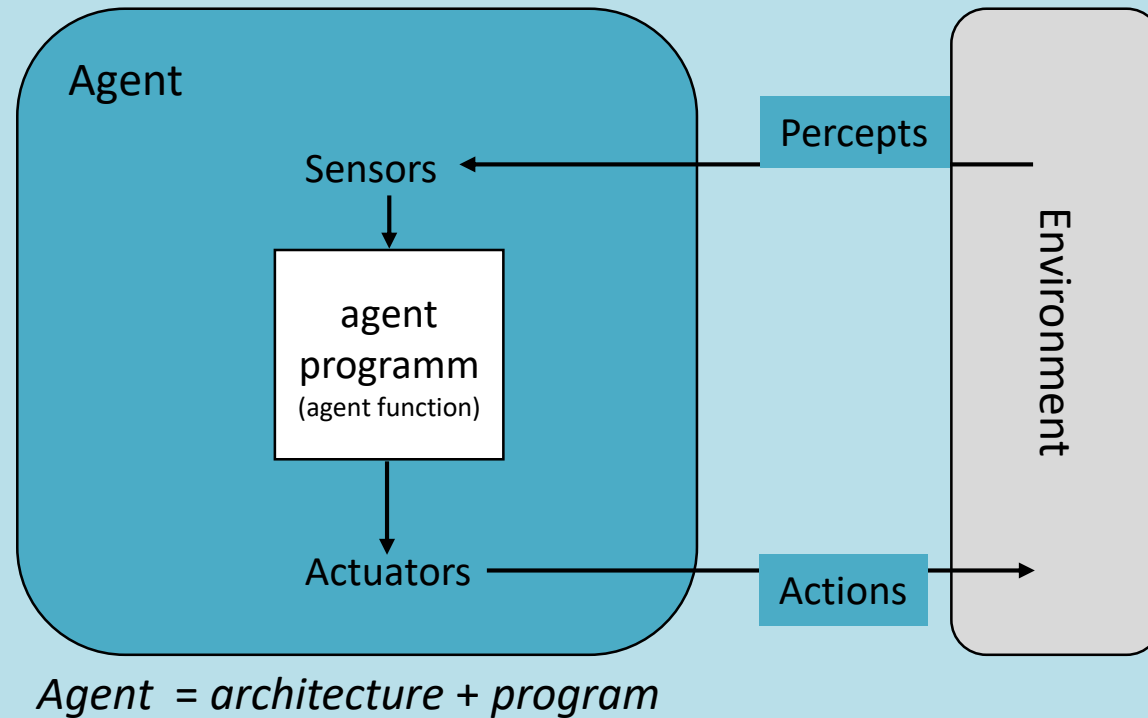
Task Environment	Observable	Agents	Deterministic	Episodic	Static	Discrete
Crossword puzzle	Fully	Single	Deterministic	Sequential	Static	Discrete
Chess with a clock	Fully	Multi	Deterministic	Sequential	Semi	Discrete
Poker	Partially	Multi	Stochastic	Sequential	Static	Discrete
Backgammon	Fully	Multi	Stochastic	Sequential	Static	Discrete
Taxi driving	Partially	Multi	Stochastic	Sequential	Dynamic	Continuous
Medical diagnostics	Partially	Single	Stochastic	Sequential	Dynamic	Continuous
Image Analysis	Fully	Single	Deterministic	Episodic	Semi	Continuous
Part-Picking Robot	Partially	Single	Stochastic	Episodic	Dynamic	Continuous
Refinery Controller	Partially	Single	Stochastic	Sequential	Dynamic	Continuous
Interactive English Tutor	Partially	Multi	Stochastic	Sequential	Dynamic	Discrete

Adapted from Russell, S., & Norvig, P. (2016)





## 2.1 Step 2 – Specifying the agent



- How should the agent act, what would be „intelligent behaviour“?



## 2.1 Example: Vacuum Cleaner World



Percept Sequence	Action
[A, Clean]	Right
[A, Dirty]	Suck
[B, Clean]	Left
[B, Dirty]	Suck
[A, Clean], [A, Clean]	Right
[A, Clean], [A, Dirty]	Suck
...	...
[A, Clean], [A, Clean], [A, Clean]	Right
[A, Clean], [A, Clean], [A, Dirty]	Suck



What is the right way to fill out the table?

Adapted from Russell, S., & Norvig, P. (2016) | Image sources: Dominik Jung (2019)



## 2.1 Good Behaviour: Conceptualizing Rationality in AI

- For a vacuum cleaner: What does it mean to do the right thing?
- What is rational at any given time depends on four things:
  - The performance measure that defines the criterion of success.
  - The agent's prior knowledge of the environment.
  - The actions that the agent can perform.
  - The agent's percept sequence to date



### **Rational Agent**

*For each possible percept sequence, a rational agent should select an action that is expected to maximize its performance measure, given the evidence provided by the percept sequence and whatever built-in knowledge the agent has (Russell & Norvig, 2016, p.37)*

Adapted from Russell, S., & Norvig, P. (2016)





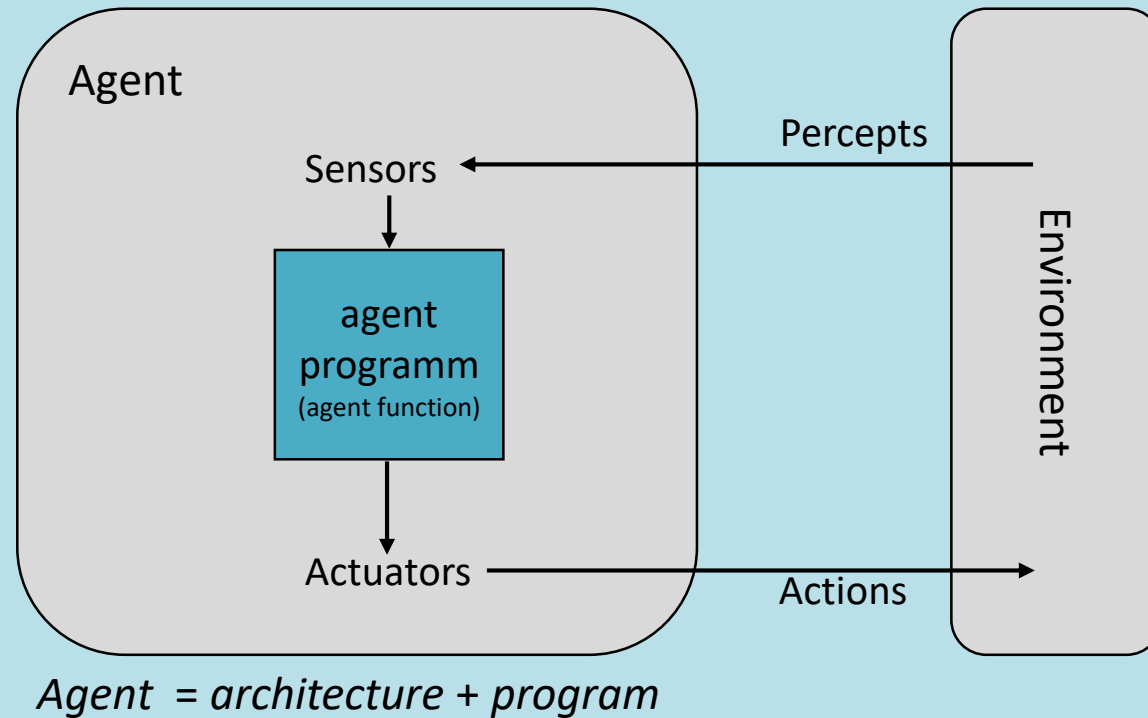
## 2.1 Omniscience, learning, and autonomy

- We need to be careful to distinguish between rationality and omniscience
- Rationality maximizes **expected** performance, while perfection maximizes **actual** performance
- Norvig & Russels' definition of rationality does not require omniscience, because the rational choice depends only on the percept sequence **to date**
- Their definition requires a rational agent not only to gather information but also to **learn** as much as possible from what it perceives.

Adapted from Russell, S., & Norvig, P. (2016)



## 2.1 Step 3 – Specifying the agents behaviour

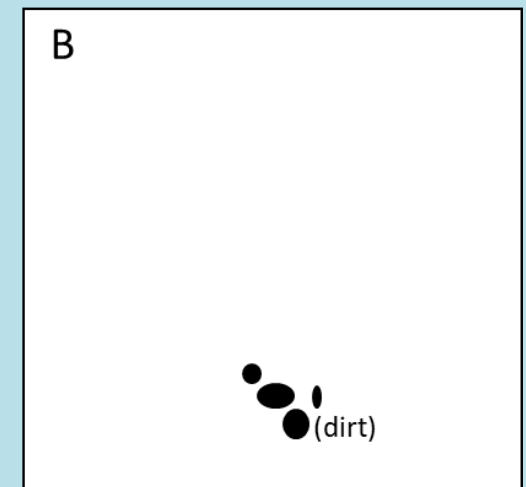
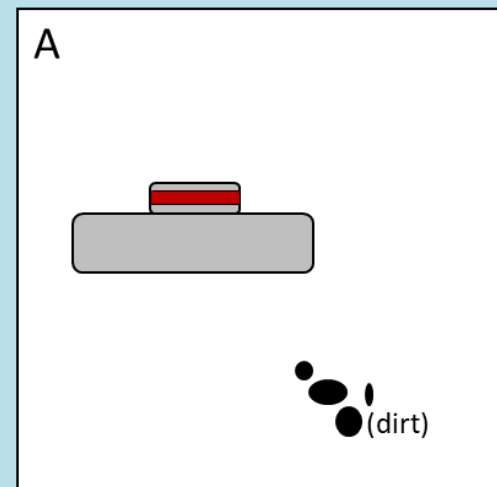


- How can we implement the „intelligent“ behaviour?



## 2.1 Structure of Agents (Architecture)

- The job of AI Specialists is to design an agent program that implements the agent function/the mapping from percepts to actions
- This program runs on some sort of computing device with physical sensors and actuators (architecture)
- *Agent = architecture + program*



Adapted from Russell, S., & Norvig, P. (2016)





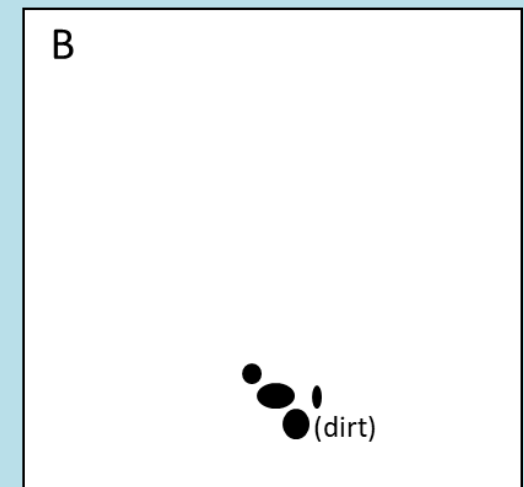
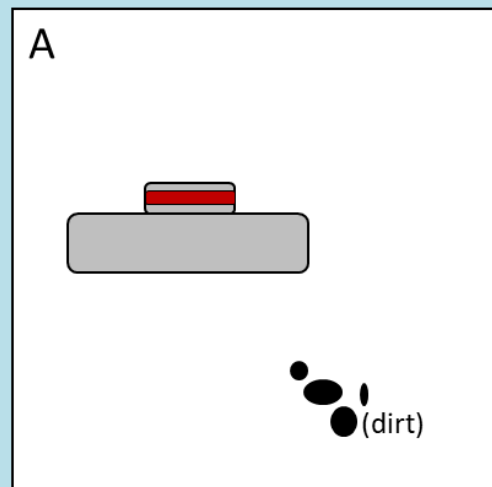
## 2.1 Structure of Agents (Architecture)

### Algorithm: Reflex-Vacuum Agent

```
if status = dirty then  
    return suck  
end
```

```
else if location = A then  
    return right  
end
```

```
else if location = B then  
    return left  
end
```



Adapted from Russell, S., & Norvig, P. (2016)



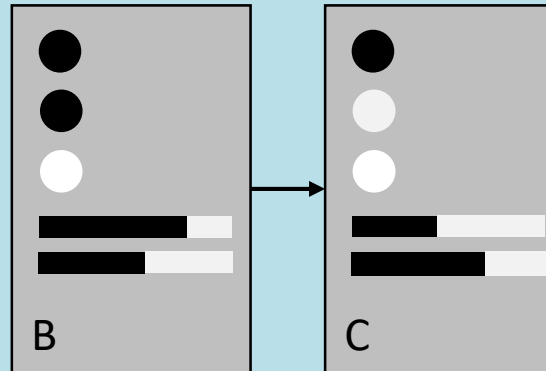
## 2.1 Components of Agents

### Atomic representation



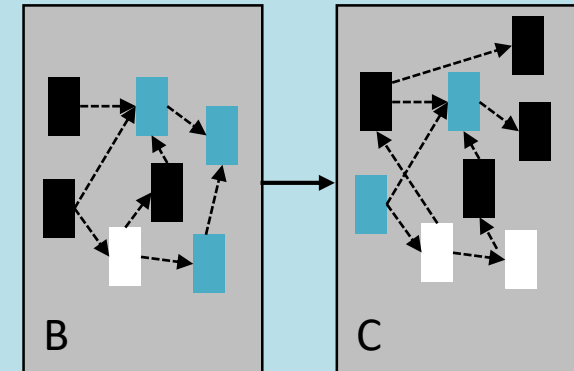
- a state (such as B or C) is a black box with no internal structure

### Factored representation



- a state consists of a vector of attribute values; values can be Boolean, realvalued, or one of a fixed set of symbol

### Structured representation

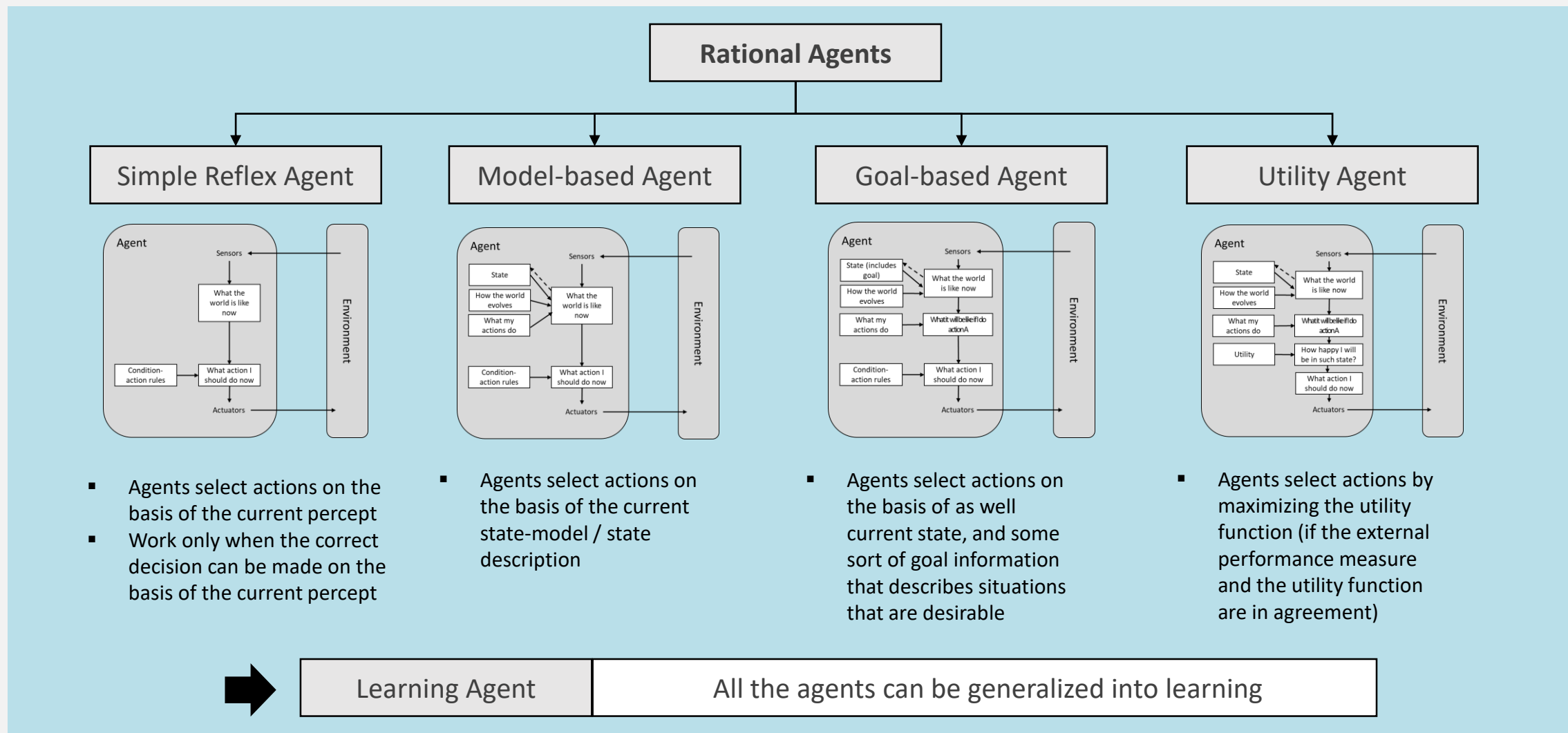


- a state includes objects, each of which may have attributes of its own as well as relationships to other objects.

Adapted from Russell, S., & Norvig, P. (2016)



## 2.1 Subtypes of Agents (Russell & Norvig, 2016)



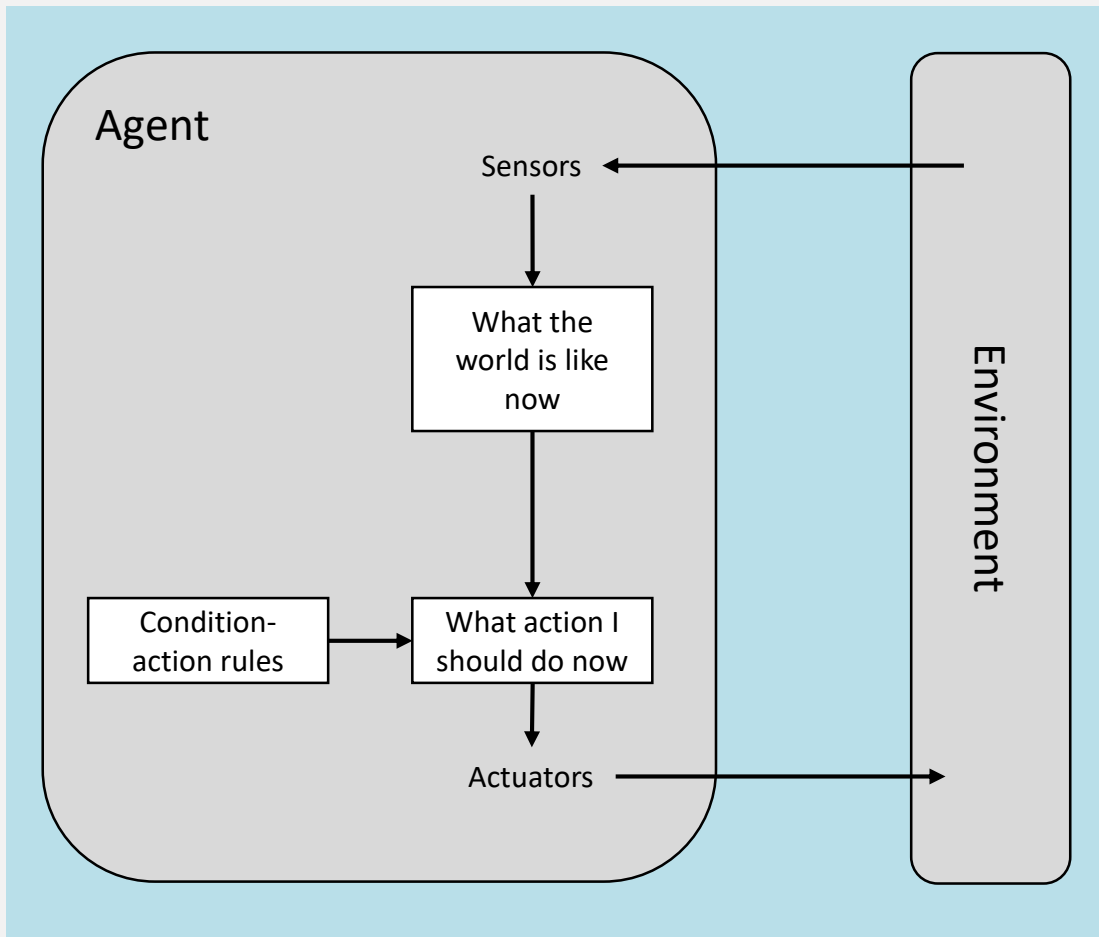
Adapted from Russell, S., & Norvig, P. (2016)





## 2.1 Simple Reflex Agent

- ▶ Select actions on the basis of the current percept, ignoring the rest of the percept history



### Algorithm: Simple Reflex Agent

***persistent:** rules*, a set of condition–action rules

$state \leftarrow INTERPRET-INPUT(percept)$

$rule \leftarrow RULE-MATCH(state, rules)$

$action \leftarrow rule.ACTION$

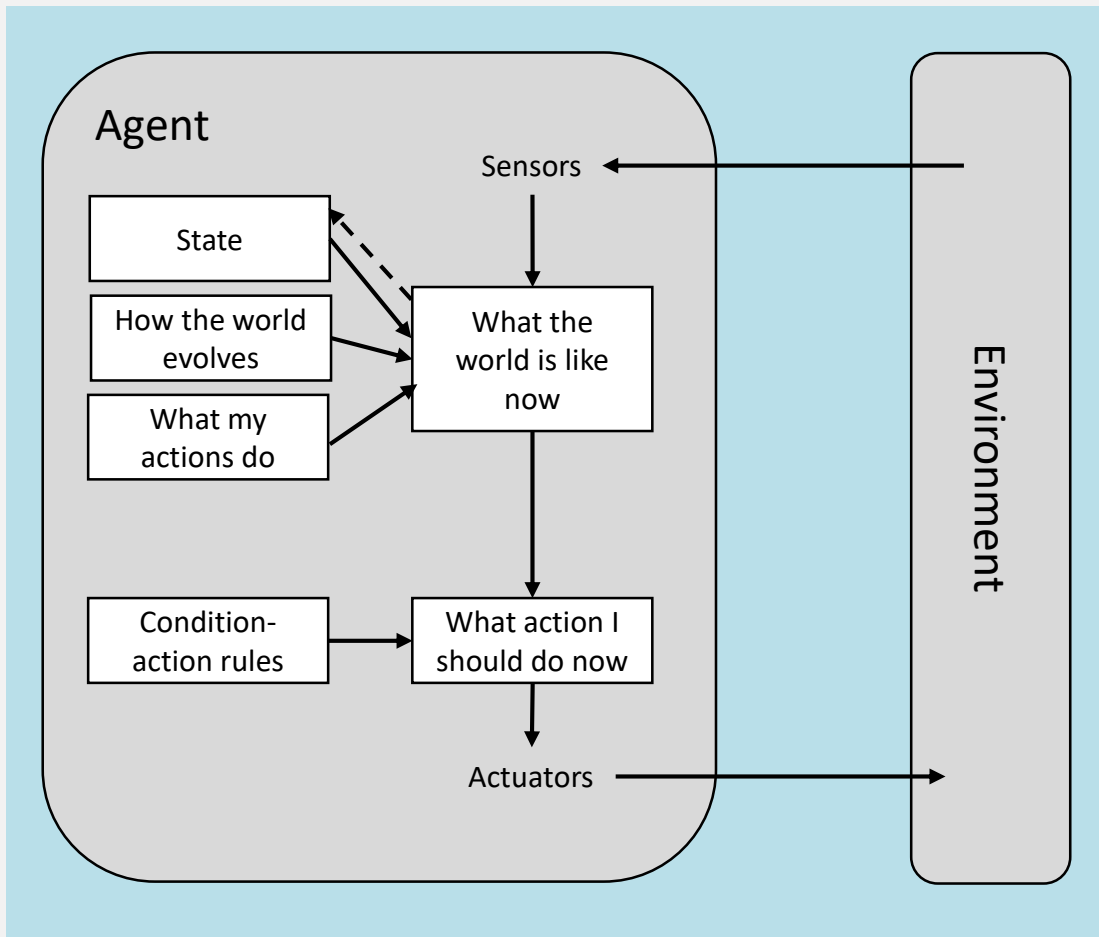
***return** action*

Adapted from Russell, S., & Norvig, P. (2016)



## 2.1 Model-based Reflex Agent

- Keep track of the part of the world it can't see now



Adapted from Russell, S., & Norvig, P. (2016)

### Algorithm: Model-based Reflex Agent

***persistent:***

*state*, the agent's current conception of the world state  
*model*, a description of how the next state depends on current state and action

*rules*, a set of condition–action rules

*action*, the most recent action, initially

$state \leftarrow \text{UPDATE-STATE}(state, action, percept, model)$

$rule \leftarrow \text{RULE-MATCH}(state, rules)$

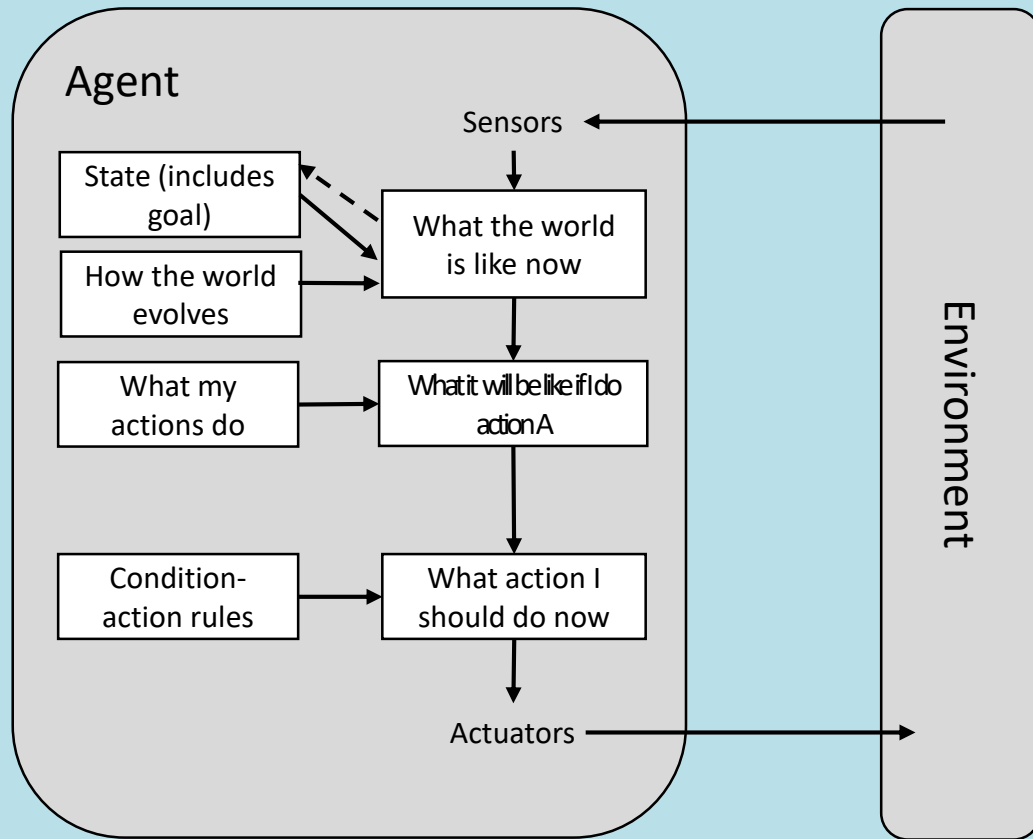
$action \leftarrow rule.ACTION$

***return action***



## 2.1 Goal-based Agents

- Besides state description the agent uses goal information in its decision process



Adapted from Russell, S., & Norvig, P. (2016)

### Algorithm: Goal-based Agent

***persistent:***

*state*, the agent's current conception of the world state  
*model*, a description of how the next state depends on current state and action

*rules*, a set of condition–action rules

*action*, the most recent action, initially

*goal*, desired result of the agents behaviour

$state \leftarrow UPDATE-STATE(state, action, percept, model, goal)$

$rule \leftarrow RULE-MATCH(state, rules)$

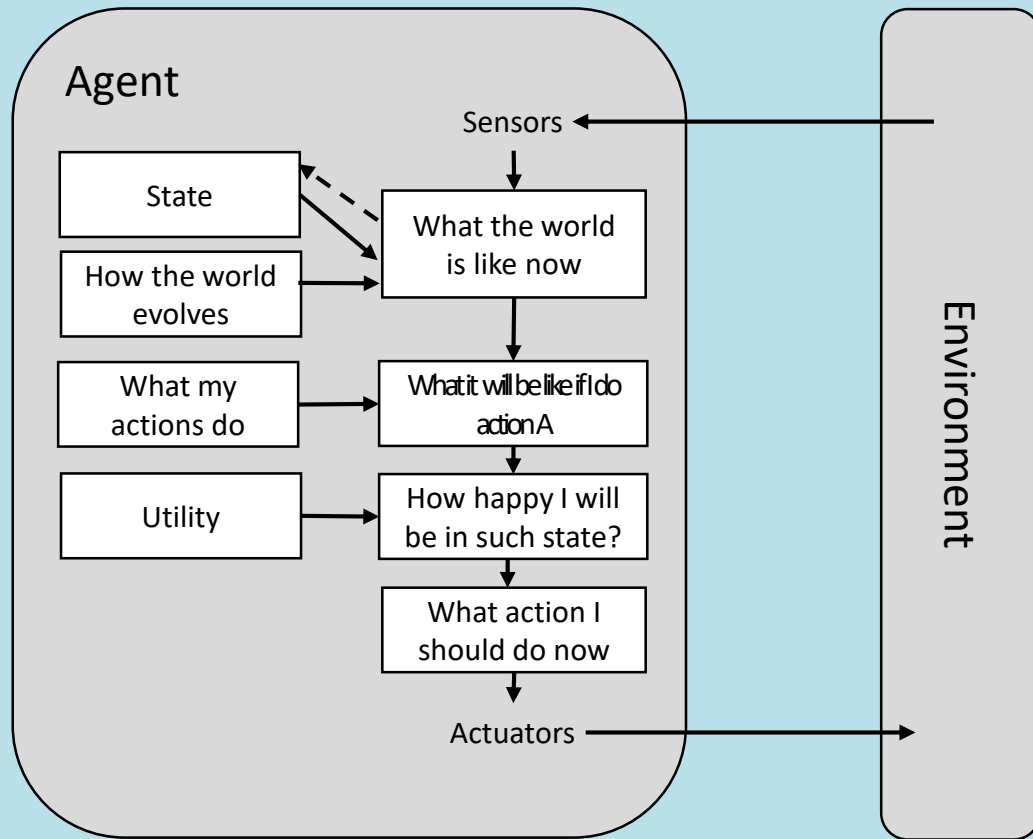
$action \leftarrow rule.ACTION$

***return action***



## 2.1 Utility-based Agent

### ► Utility function to describe desired behavior



### Algorithm: Goal-based Agent

***persistent:***

*state*, the agent's current conception of the world state  
*model*, a description of how the next state depends on current state and action

*rules*, a set of condition–action rules

*action*, the most recent action, initially

*Utility function*, performance measure

$state \leftarrow \text{UPDATE-STATE}(state, action, percept, model, utility\ function)$

$rule \leftarrow \text{RULE-MATCH}(state, rules)$

$action \leftarrow rule.ACTION$

***return action***

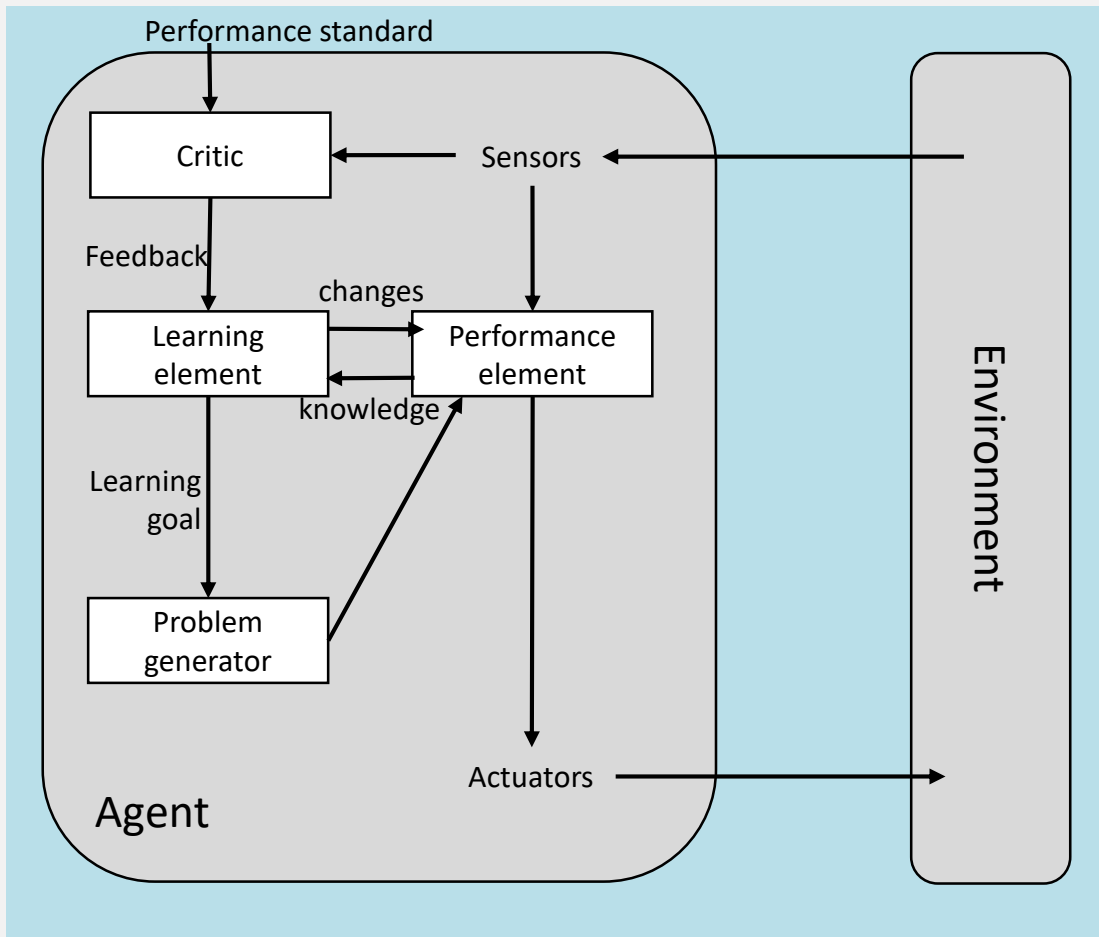
Adapted from Russell, S., & Norvig, P. (2016)





## 2.1 Conclusion: The Learning Agent

- ▶ Agent improves its performance by learning optimal outputs



- A learning agent can be divided into four conceptual components: Learning element, performance element, critic, and problem generator
- The design of the learning element depends very much on the design of the performance element.
- The critic tells the learning element how well the agent is doing with respect to a fixed performance standard

Adapted from Russell, S., & Norvig, P. (2016)



# Your turn!

### Task

Please explain

- What is the difference between an agents program and function?
- Is a hand-held calculator an agent that chooses the action of displaying “3” when given the percept sequence “1 + 2 =” ?



## 2 Problem-Solving Agents

### 2.1 Intelligent Agents

### 2.2 Solving Problems by Searching

### 2.3 Beyond Classical Search

### 2.4 Adversarial Search and Game Theory (*forthcoming*)

### 2.5 Constraint Satisfaction Problems (*forthcoming*)

#### ► What we will learn:

- We define the concept of rational agents ( $\approx$  intelligent agents)
- Characteristics of artificial agents (perfect or otherwise), the diversity of environments, and the resulting menagerie of agent types
- We discuss how AI problems can be modelled as search-problems, and how they can be solved by searching

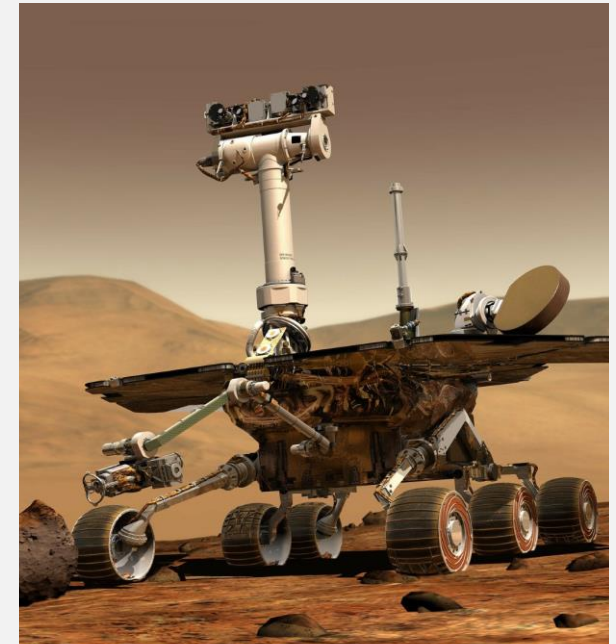


Image source: ↗ [Pixabay](#) (2019) / ↗ [CC0](#)

#### ► Duration:

- 120 min

#### ► Relevant for Exam:

- 2.1 – 2.3



## 2.2 Why we need Goals: Example Roadtrip Planning



Adapted from Rusell, S., & Norvig, P. (2016) | Image source: ↗ [Pixabay](#) (2019) / ↗ [CCO](#)

- **Example:** US Nationalpark roadtrip with your new Porsche
- Next step: How to formulate AI Problems and solve them





## 2.2 Why we need Goals: Example Roadtrip Planning



Our problem can be defined formally by different aspects like:

- Initial state or start
- Driving costs
- Visit Top 5 parks
- Music playlist
- Possible driving routes, allowed streets
- What each action does
- List with all parks to check if we got them all

→ **Simplify Goal:** Be in Shenandoah

Image sources: ↗ [US NationalParks](#) (2015) by Mwierschke ↗ [CC BY-SA 4.0](#); ↗ [View from Skyline Drive](#) (2019) by Steevven1 ↗ [CC BY-SA 4.0](#); ↗ [Schluchten des Grand Canyon](#) (2006) by Tenji ↗ [CC BY-SA 3.0](#)  
And yes I am working on a roadtrip playlist, you can check it out here (↗ [Spotify](#)), feel free to give any suggestions



## 2.2 Well-defined Problems and Solutions



Let us now try to model our problem in a way an computer can solve it:

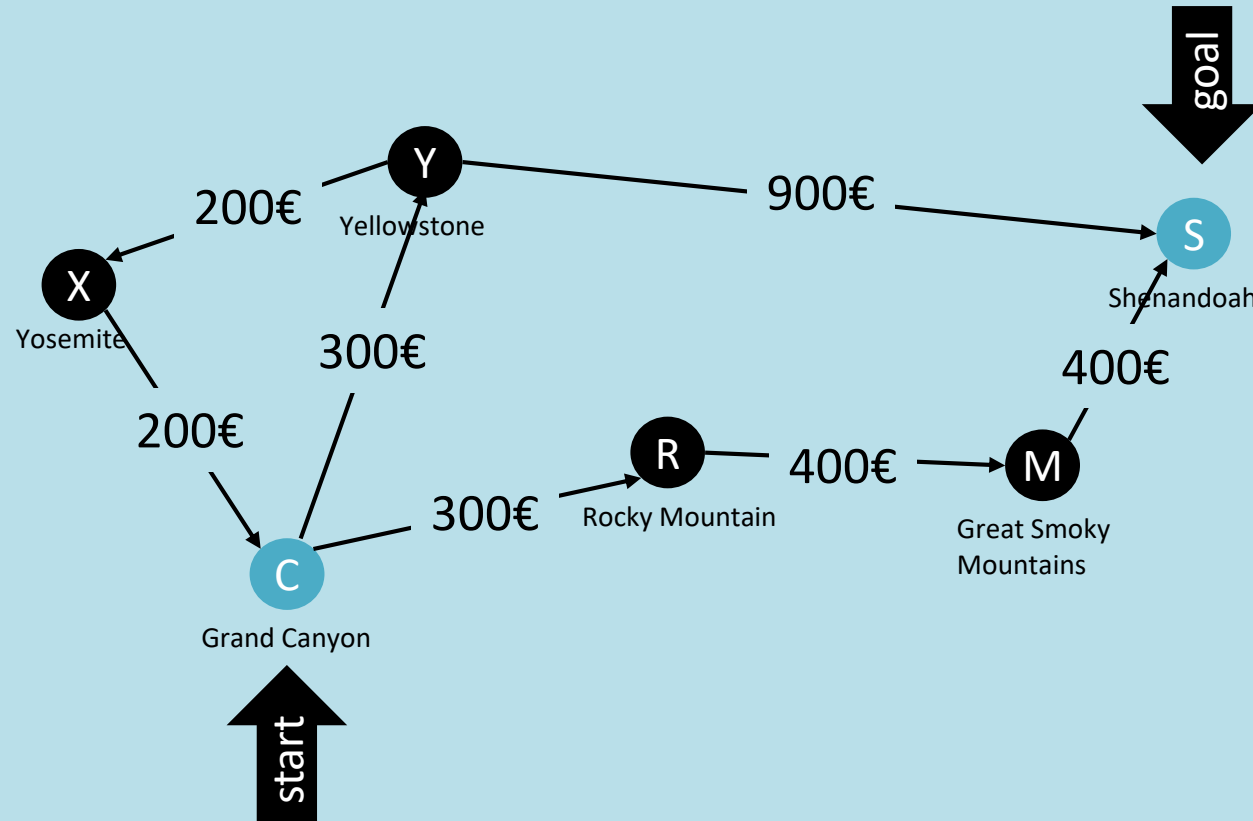
- In a **first step**, we want to build an agent that finds the **optimal route from start to end** (route-finding problem)
- Our **second step** is to have an agent that finds the **optimal route for all Top 5 mainland US parks** (touring-problem)

Adapted from Russell, S., & Norvig, P. (2016) | Image source: ↗ [Pixabay](#) (2019) / ↗ [CCO](#)





## 2.2 Model the Roadtrip as an AI problem



**Goal:** Be in Shenandoah

**Other aspects:**

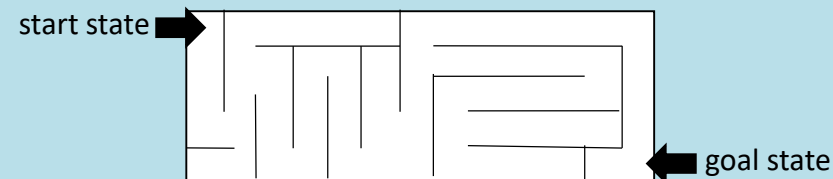
- Initial state or start: Grand Canyon
- Consider Driving costs
- Visit Top 5 parks

Image source ↗ [US NationalParks](#) (2015) by Mwierschke ↗ [CC BY-SA 4.0](#)

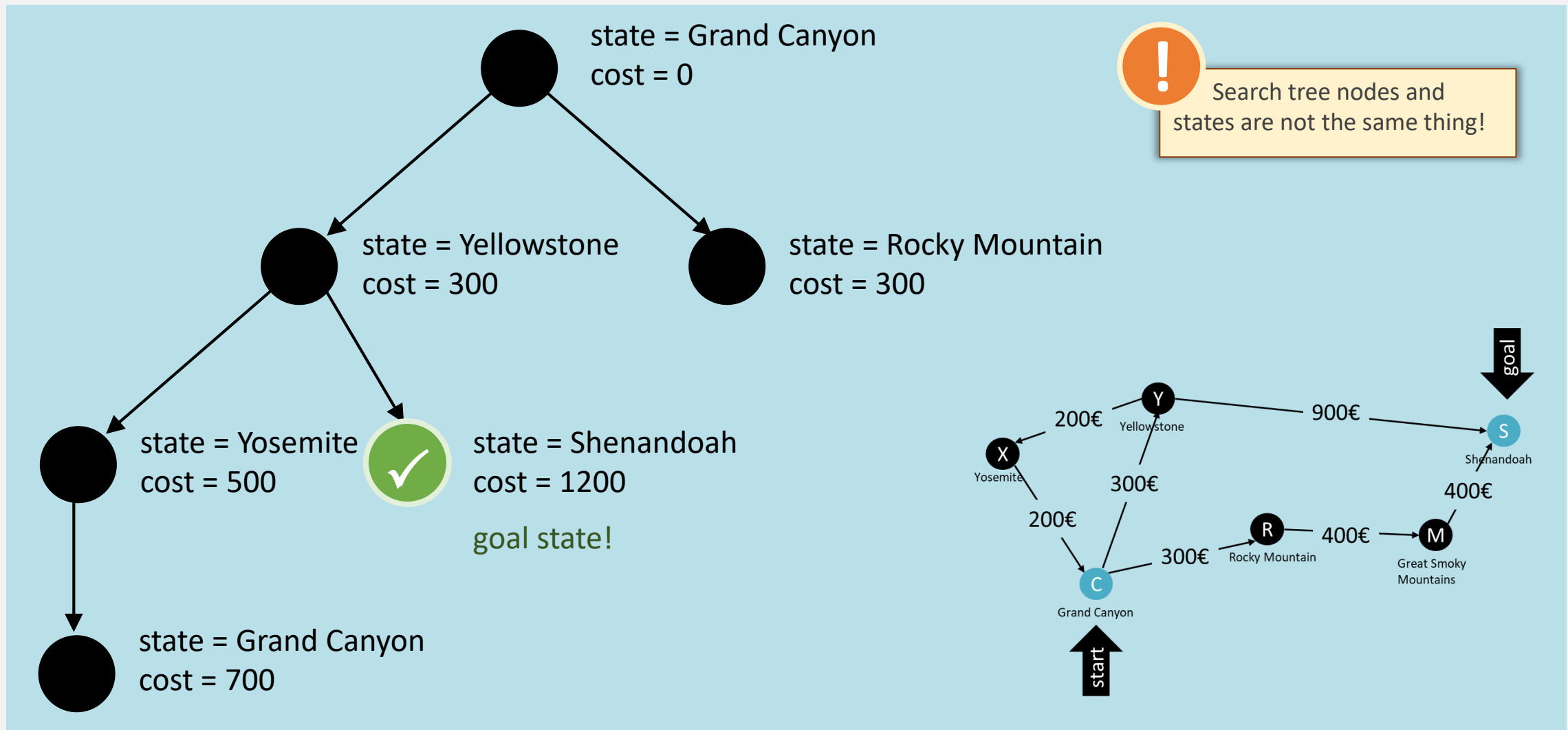


## 2.2 Possible Solution: Model Roadtrip-Problem as Search

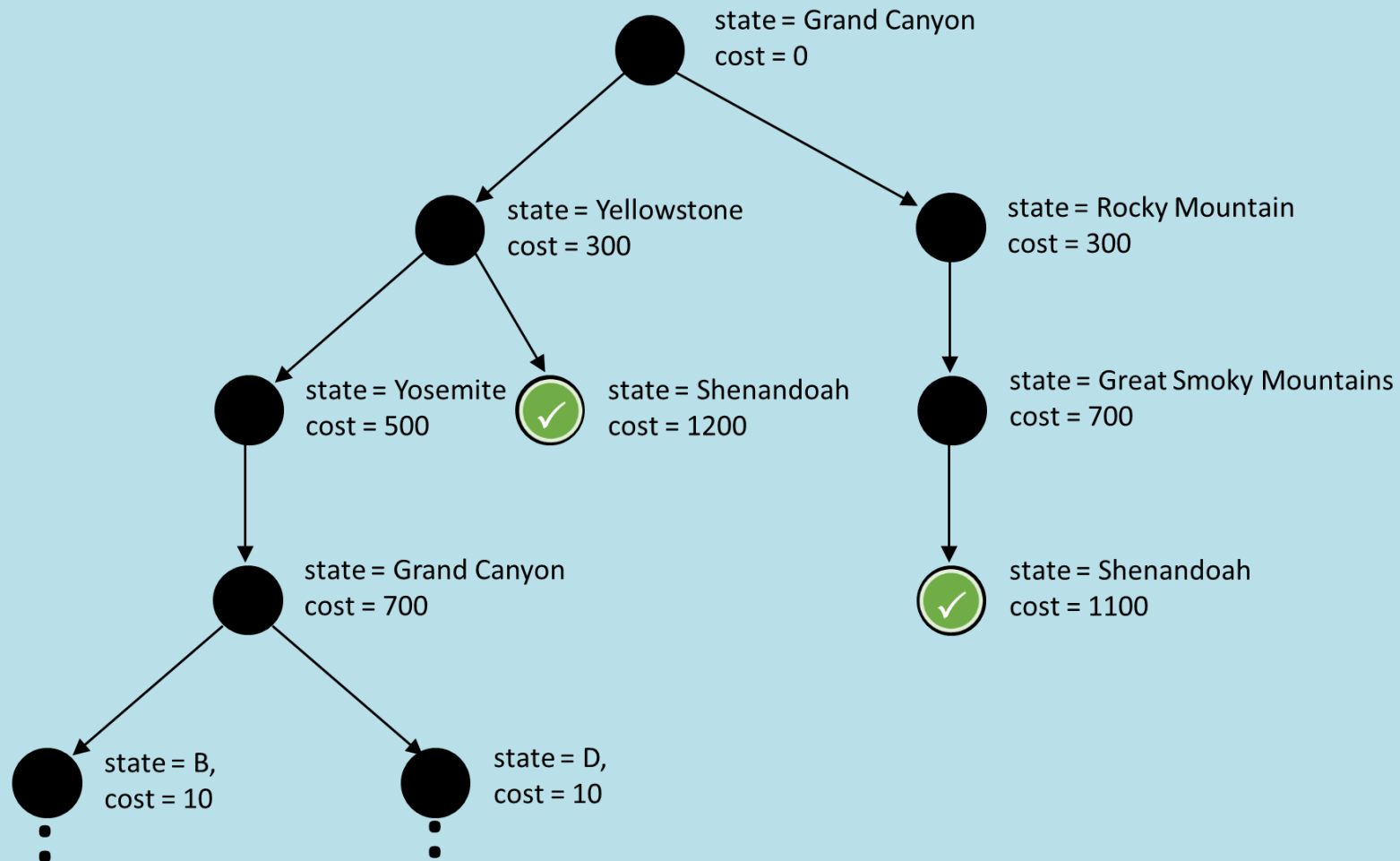
- Model problems as search problems
- Our agent has not to execute all actions in real life while searching for solution
- We want to find a sequence of actions that will lead us to a desired state
  - We want to minimize number of actions
  - We want to minimize total cost of actions (more general speaking)



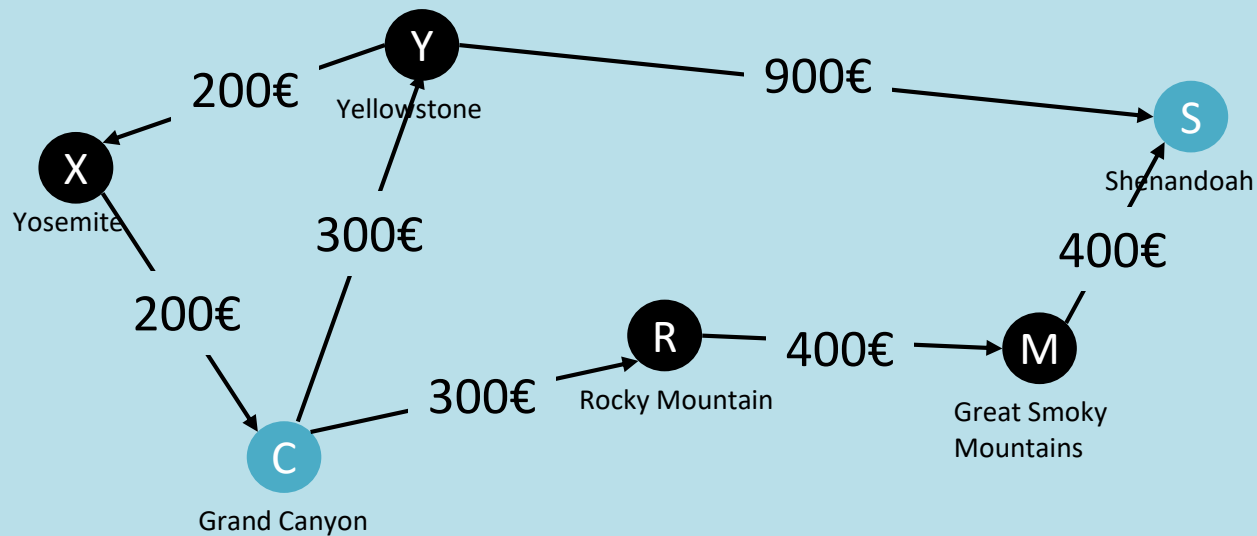
## 2.2 Model Problem as a Search-tree ▶ Step 1



## 2.2 Model Problem as a Search-tree ▶ Step 2



## 2.2 From Rout-Finding to Touring-Problems: Visit TOP 5 Nationalparks

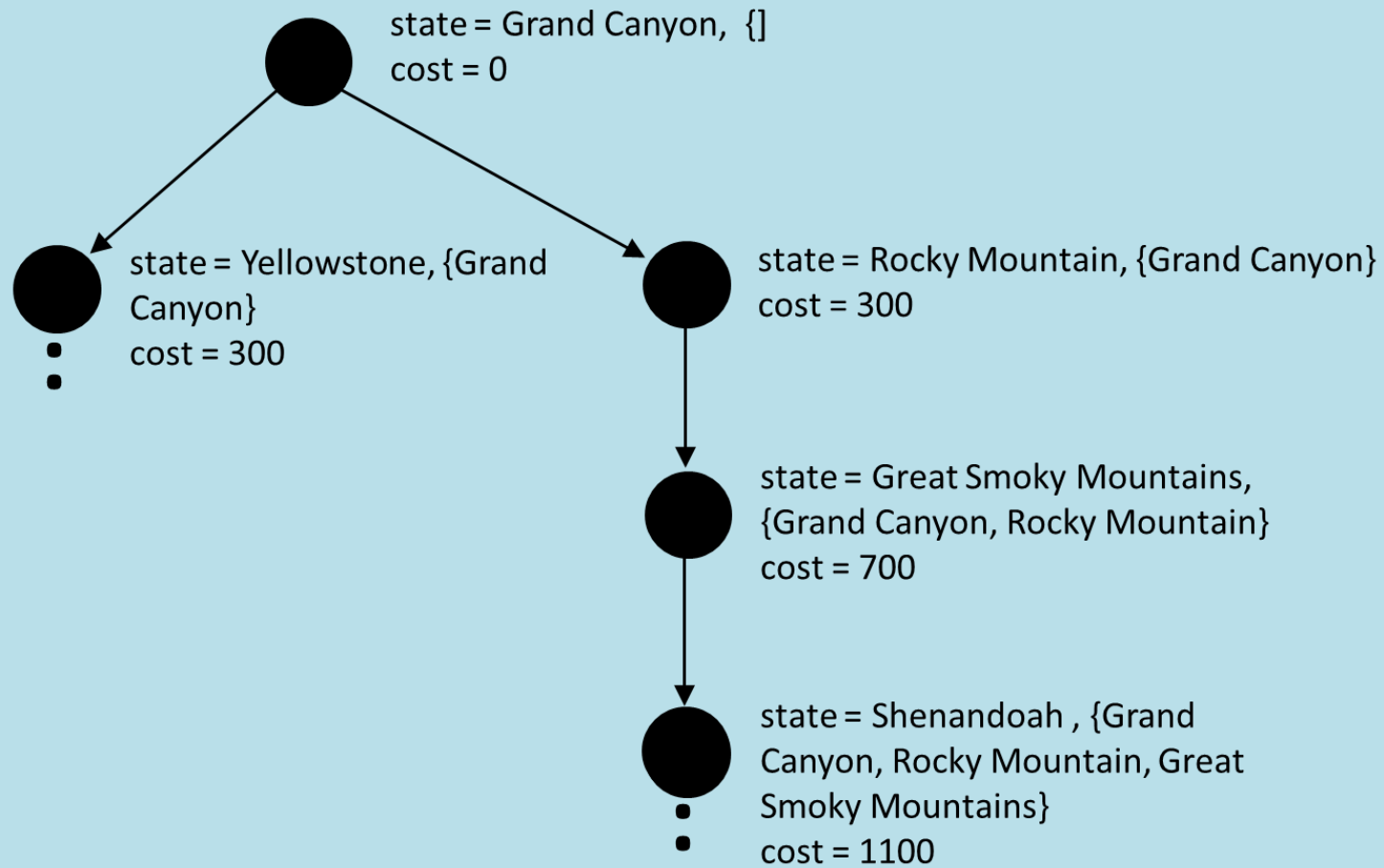


**Goal:** Visit all vertices on the graph

- As with before, the actions correspond to trips between adjacent parks.
- The state space is quite different: Each state must include not just the current location but also the set of cities the agent has visited.
- **Problem:** large number of states



## 2.2 Full search tree



Tree gets incredible big, due to large number of states:  $n * 2^{n-1}$





## 2.2 Formulating Problems

- In the preceding section we proposed a simple formulation of the problem of planning an US roadtrip
- This formulation seems reasonable, but it is still an abstract mathematical simplification of a much more complex problem
- Many considerations are left out of our state descriptions because they are irrelevant to the problem of finding a route.
- The process of removing detail from a representation is called abstraction.
- Toy vs. real-world problem

Adapted from Russell, S., & Norvig, P. (2016) | And yes I am working on a roadtrip playlist, you can check it out here ([↗ Spotify](#)), feel free to give any suggestions



## 2.2 Summary Key Concepts in Search

Set of **states** that we can be in

- Including an initial state
- and goal states (equivalently, a goal test)

For every state, a set of **actions** that we can take

- Each action results in a new state
- Typically defined by successor function

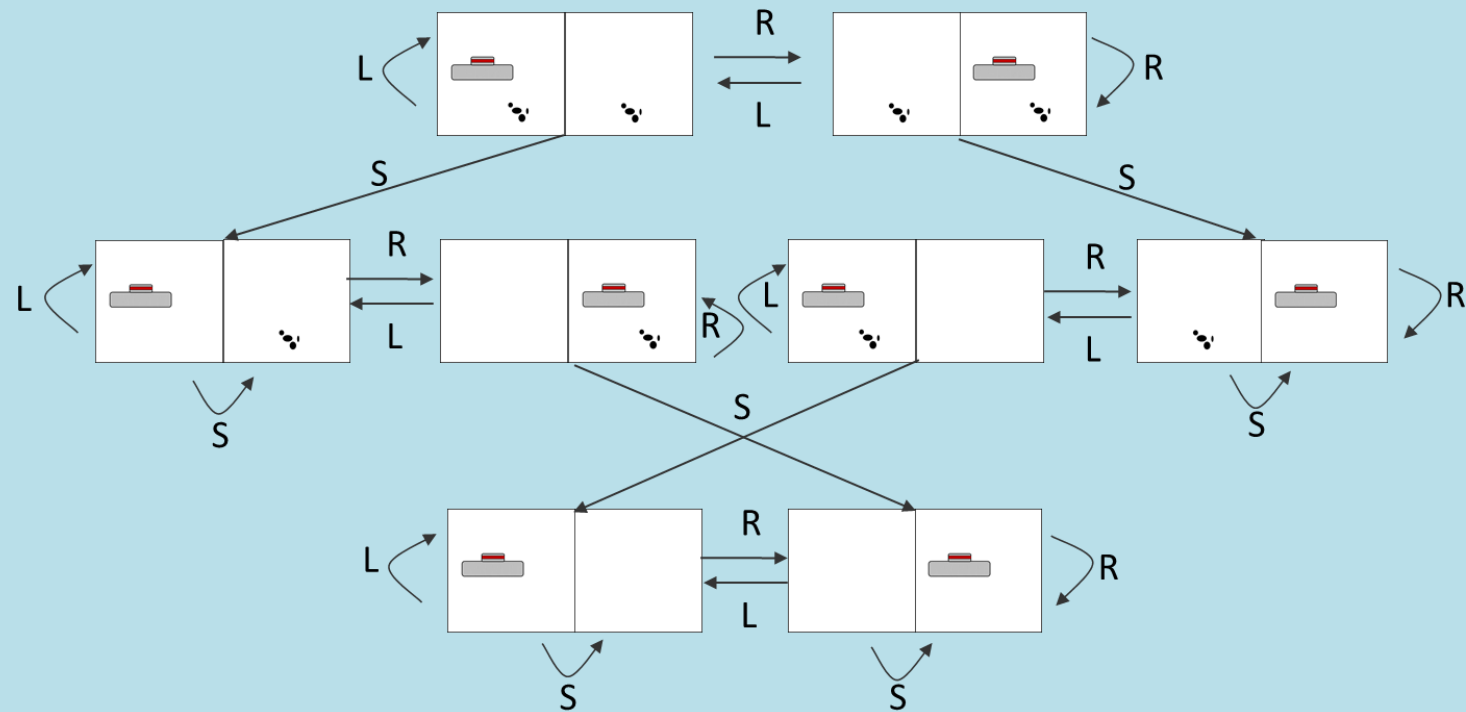
**Transition model:** Given a state, produces all states that can be reached from it

**Cost function** that determines the cost of each action (or path = sequence of actions)

**Solution:** path from initial state to a goal state (optimal solution: solution with minimal cost)



## 2.2 Example: Vacuum-Cleaner



- **States:** The state is determined by both the agent location and the dirt locations.
- **Initial state:** Any state can be designated as the initial state.
- **Actions:** *Left*, *Right*, and *Suck*.
- **Transition model:** The actions have their expected effects, except that moving *Left* in the leftmost square, moving *Right* in the rightmost square, and *Sucking* in a clean square have no effect.
- **Goal test:** This checks whether all the squares are clean.
- **Path cost:** Each step costs 1, so the path cost is the number of steps in the path.

Adapted from Russell, S., & Norvig, P. (2016);



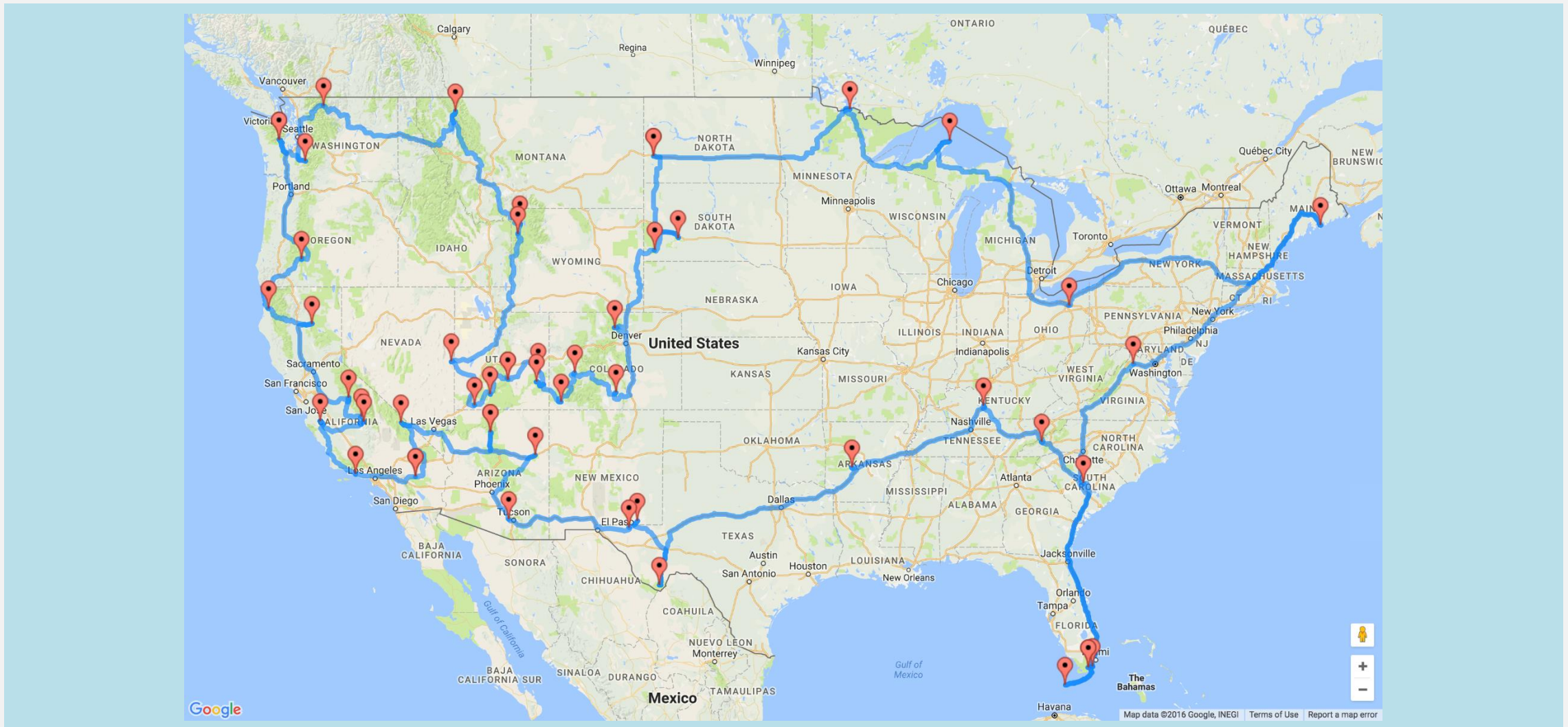
## 2.2 Other Popular Real-world Problems

- We tried to find
  - the **optimal route from start to end** (route-finding problem)
  - **An optimal route for to visit all TOP 5 US parks** (touring-problem)
- The **traveling salesperson problem** (TSP) is a touring problem in which each city must be visited exactly once. The aim is to find the *shortest* tour.

Adapted from Rusell, S., & Norvig, P. (2016);



## 2.2 Traveling Salesperson Problem of all 47 Mainland US Nationalparks



Credits for this route and Image source: ↗ [Dr. Randal Olson](#) (2019)



## 2.2 Other Popular Real-world Problems

- The **traveling salesperson problem** (TSP) is a touring problem in which each city must be visited exactly once. The aim is to find the *shortest* tour.
- A **VLSI layout** problem requires positioning millions of components and connections on a chip to minimize area, minimize circuit delays, minimize stray capacitances, and maximize manufacturing yield
- **Protein design**: in which the goal is to find a sequence of amino acids that will fold into a three-dimensional protein with the right properties to cure some disease.

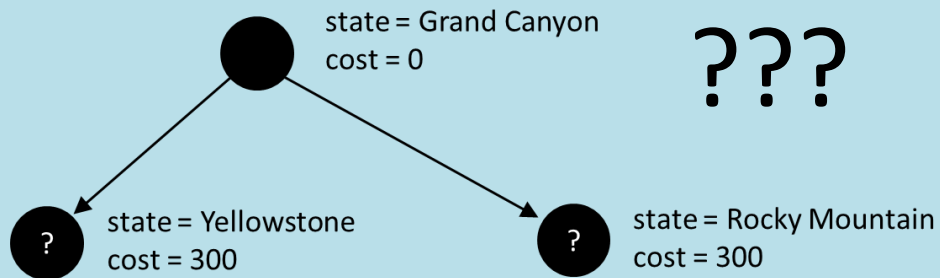
Adapted from Rusell, S., & Norvig, P. (2016);





## 2.2 Generic Search Algorithm

- Recap: We will consider the problem of designing **goal-based** agents in **observable, deterministic, discrete, known** environments
- Key question in search:** Which of the generated nodes do we expand next?



### Algorithm: Tree Search

*initialize the frontier using the initial state of problem*

#### loop do

**if** the frontier is empty **then return** failure  
choose a leaf node and remove it from the frontier

**if** the node contains a goal state **then return** the corresponding solution

expand the chosen node, adding the resulting nodes to the frontier

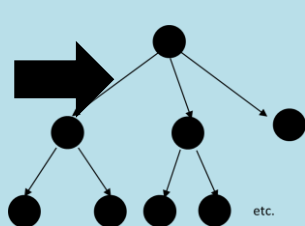
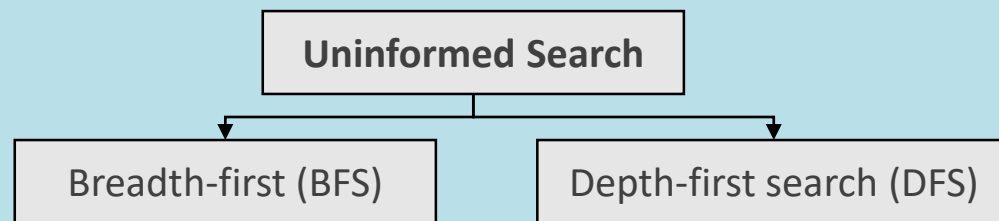
Adapted from Russell, S., & Norvig, P. (2016)



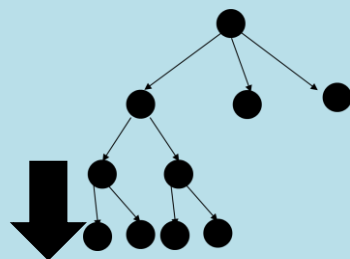
## 2.2 Overview: Fundamental Search Algorithms

### Uninformed Search

Strategies have no additional information about states beyond that provided in the problem definition



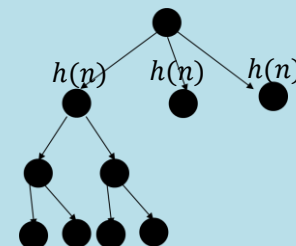
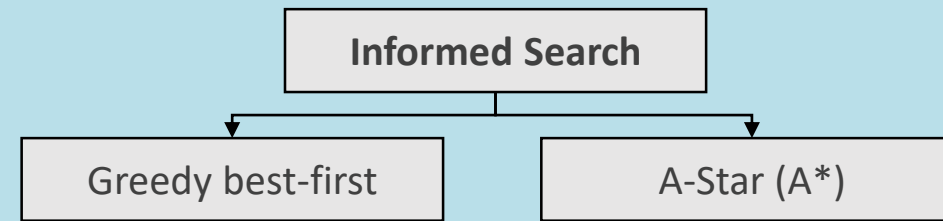
- Root node is expanded first, then all the successors of the root node are expanded next, then their successors, and so on.



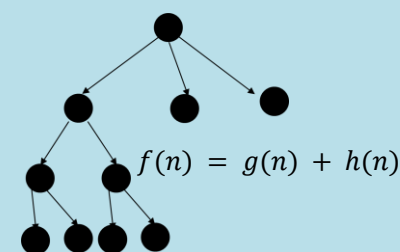
- Always expands the deepest node in the current frontier of the search tree.

### Informed Search

Uses problem-specific knowledge beyond the definition of the problem itself—can find solutions more efficiently than can an uninformed strategy.



- Expand the node that is closest to the goal, on the grounds that this is likely to lead to a solution quickly.



- Cluster observations into (distinct/different) groups



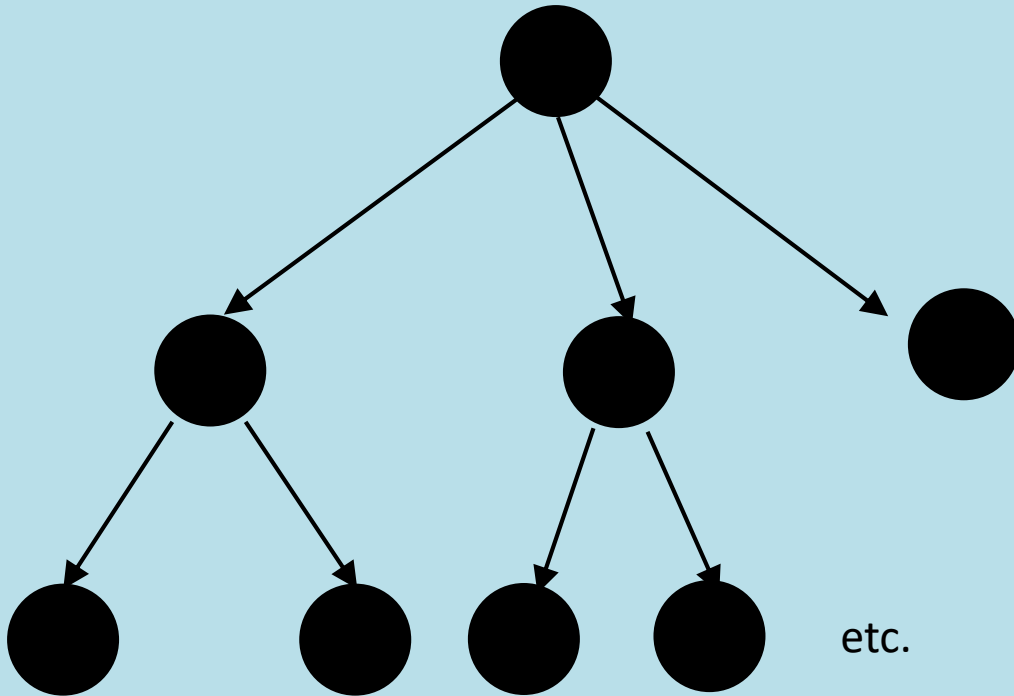
## 2.2 Uninformed Search

- Given a state, we only know whether it is a goal state or not
- Cannot say one non-goal state looks better than another non-goal state
- Can only traverse state space blindly in hope of somehow hitting a goal state at some point
  - Also called blind search
  - Blind does **not** imply unsystematic!

Adapted from Russell, S., & Norvig, P. (2016);



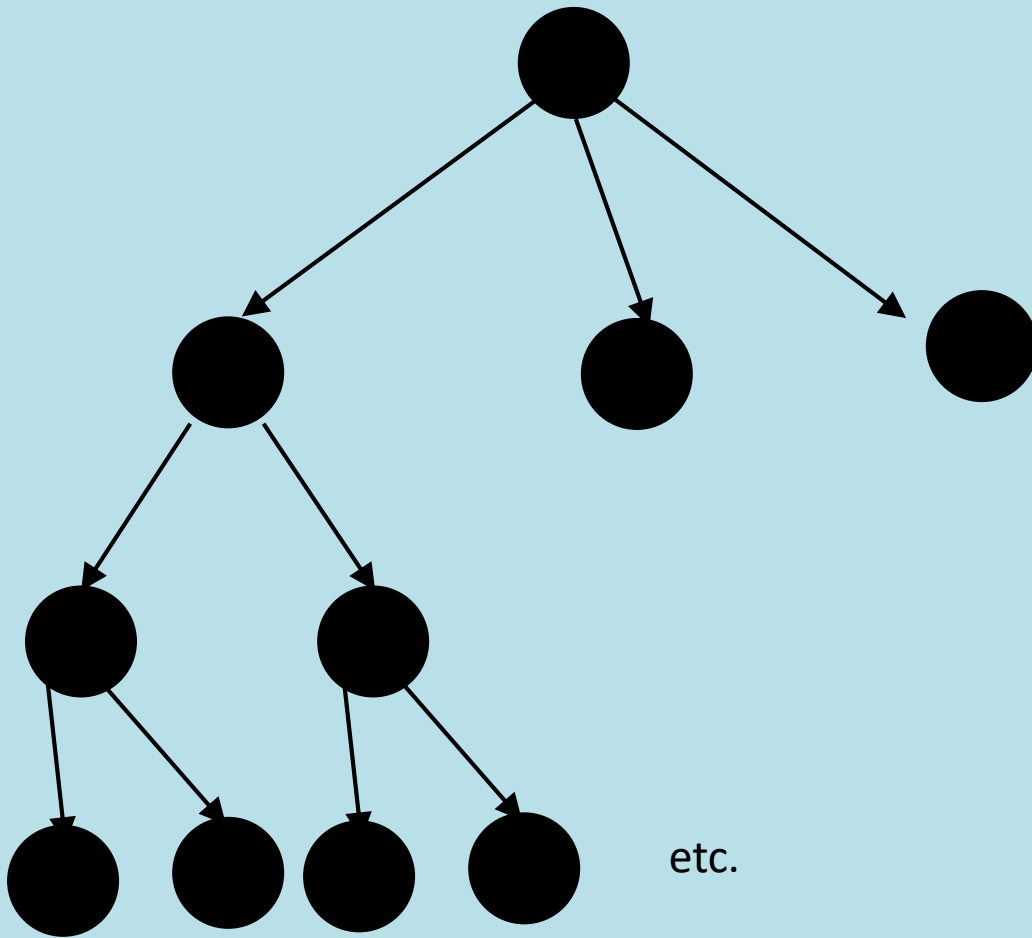
## 2.2 Breadth-first search



- Nodes are expanded in the same order in which they are generated
- Fringe can be maintained as a First-In-First-Out (FIFO) queue
- BFS is complete: if a solution exists, one will be found
- BFS finds a shallowest solution
- Not necessarily an optimal solution
- If every node has  $b$  successors (the branching factor), first solution is at depth  $d$ , then fringe size will be at least  $b^d$  at some point
- This much space (and time) required !!!



## 2.2 Depth-first search



- Always expand node at the deepest level of the tree, e.g. one of the most recently generated nodes
- When hit a dead-end, backtrack to last choice
- Fringe can be maintained as a Last-In-First-Out (LIFO) queue (aka. a stack)



## 2.2 Let's start thinking about cost

- **Path costs:** a function that assigns a cost to path, typically by summing the costs of the individual operators in the path. We want to minimize the cost.
- **Search costs:** The computational time and space (memory) required to find the solution
- There is a trade-off between path costs and search cost, in real-world-problems we can not build full search trees, we have to find best solution in the time available



## 2.2 Bidirectional search

- Even better: search from both the start and the goal, in parallel!

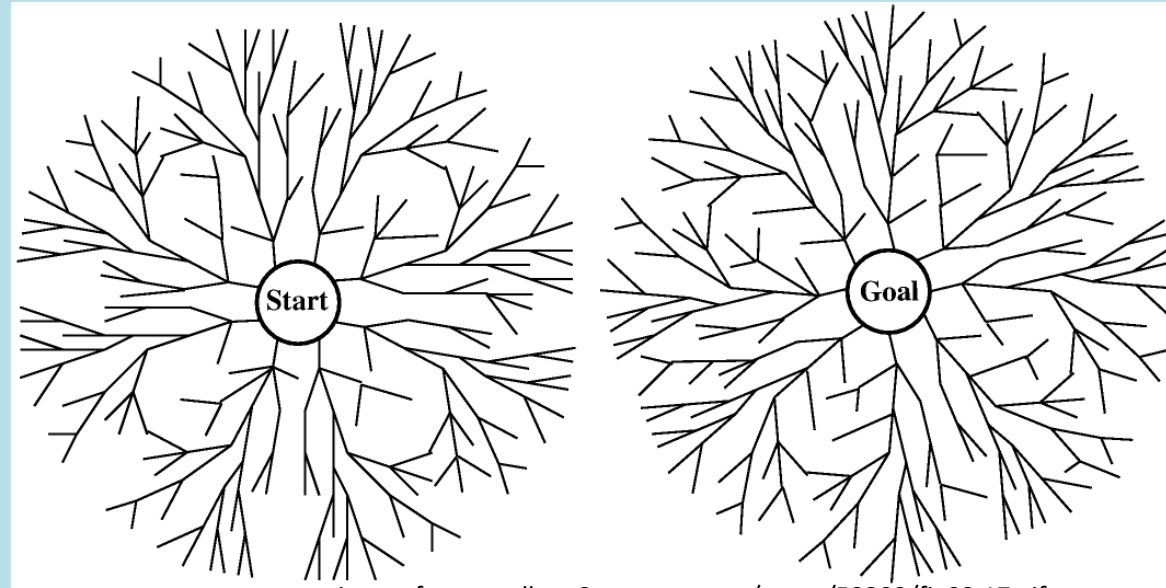


image from [cs-alb-pc3.massey.ac.nz/notes/59302/fig03.17.gif](http://cs-alb-pc3.massey.ac.nz/notes/59302/fig03.17.gif)

If the shallowest solution has depth  $d$  and branching factor is  $b$  on both sides, requires only  $O(b^{d/2})$  nodes to be explored!





## 2.2 Informed search

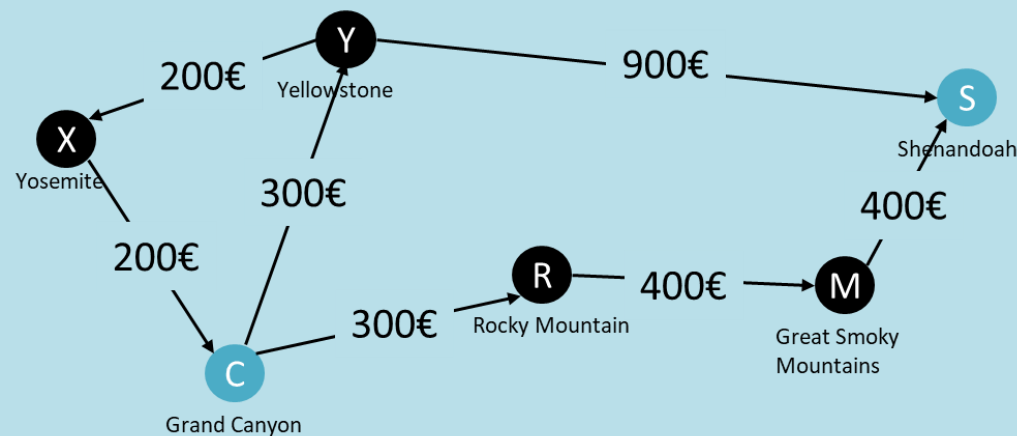
- So far, have assumed that no non-goal state looks better than another
- Use knowledge to build search trees:
  - Even without knowing the road structure, some locations seem closer to the goal than others
  - Some states of a problem seem closer to the goal than others
- Makes sense to expand closer-seeming nodes first

Adapted from Rusell, S., & Norvig, P. (2016);



## 2.2 Idea: Use an Criterion to identify which node to expand first

- Heuristic function  $h(n)$  gives an estimate of the distance from  $n$  to the goal (with  $h(n)=0$  for goal nodes)
- E.g. straight-line distance for traveling problem (less costs for fuel etc.)

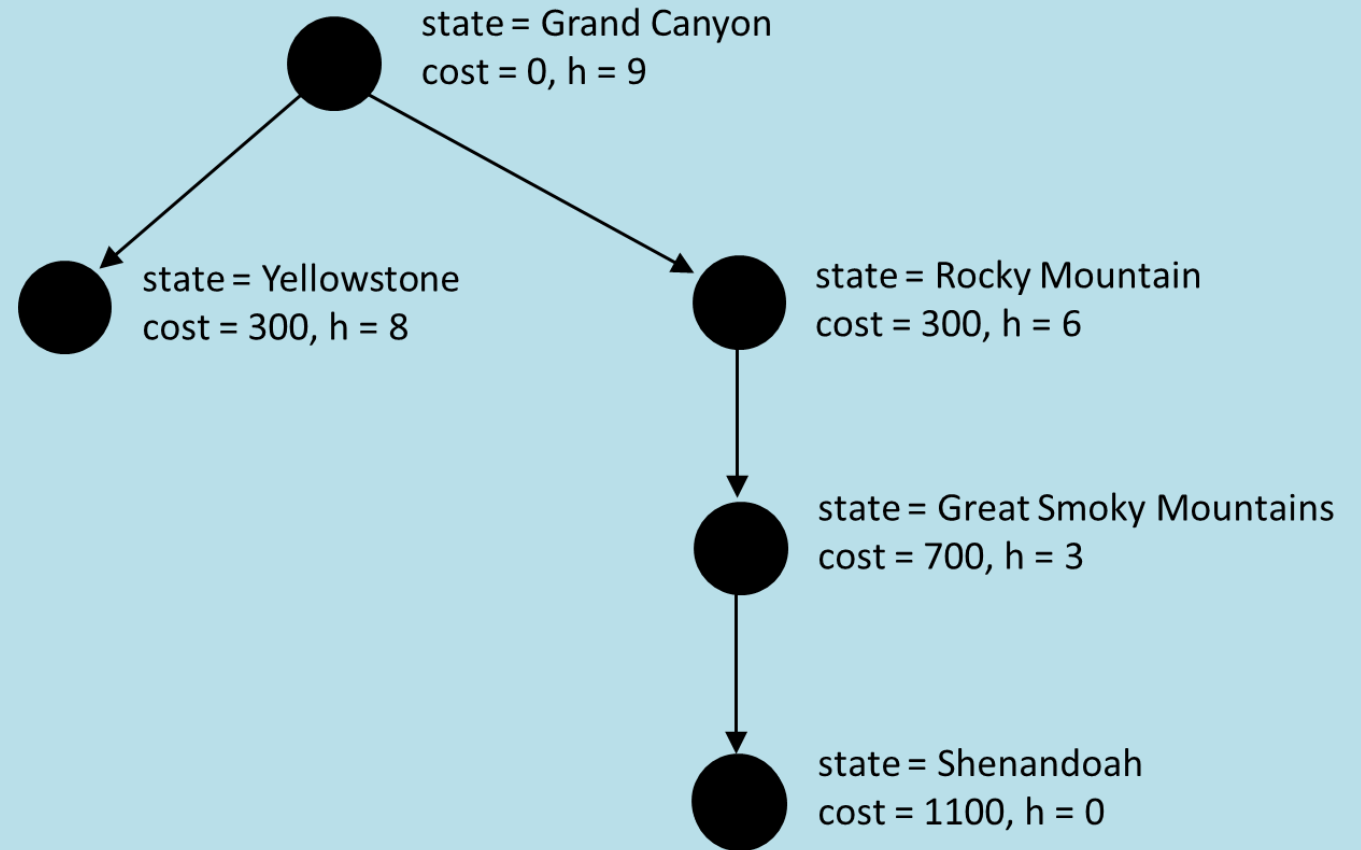


- We assume:  $h(C) = 9$ ,  $h(Y) = 8$ ,  $h(X) = 9$ ,  $h(R) = 6$ ,  $h(M) = 3$ ,  $h(S) = 0$
- Can use heuristic to decide which nodes to expand first



## 2.2 Greedy best-first search

- Greedy best-first search: expand nodes with lowest  $h$  values first
- Can find fast an optimal solution



## 2.2 A\* search: Minimizing the total estimated solution cost

- Evaluate nodes by combining  $g(n)$ , the cost to reach the node, and  $h(n)$ , the cost to get from the node to the goal:

$$f(n) = g(n) + h(n)$$

- Since  $g(n)$  gives the path cost from the start node to node  $n$ , and  $h(n)$  is the estimated cost of the cheapest path from  $n$  to the goal, we have:

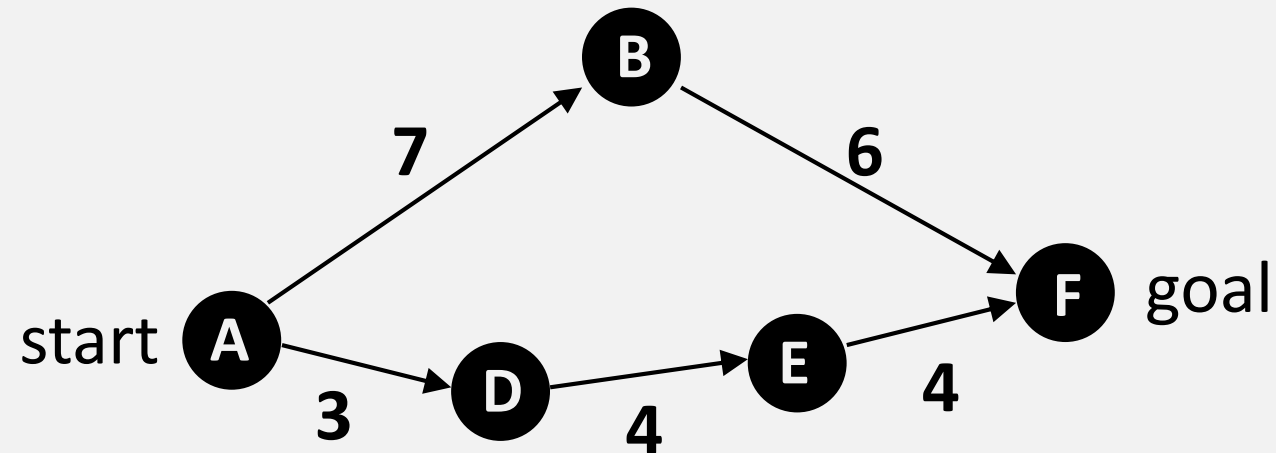
$$f(n) = \text{estimated cost of the cheapest solution through } n$$



# Your turn!

### Task

Given the following route map with the following distance heuristics  $h(A) = 9$ ,  $h(B) = 5$ ,  $h(D) = 6$ ,  $h(E) = 3$ ,  $h(F) = 0$ . Try to solve this map with the greedy algorithm and discuss the results with your neighbors!



## 2 Problem-Solving Agents

### 2.1 Intelligent Agents

### 2.2 Solving Problems by Searching

### 2.3 Beyond Classical Search

### 2.4 Adversarial Search and Game Theory (*forthcoming*)

### 2.5 Constraint Satisfaction Problems (*forthcoming*)

#### ► What we will learn:

- We define the concept of rational agents ( $\approx$  intelligent agents)
- Characteristics of artificial agents (perfect or otherwise), the diversity of environments, and the resulting menagerie of agent types
- We discuss how AI problems can be modelled as search-problems, and how they can be solved by searching

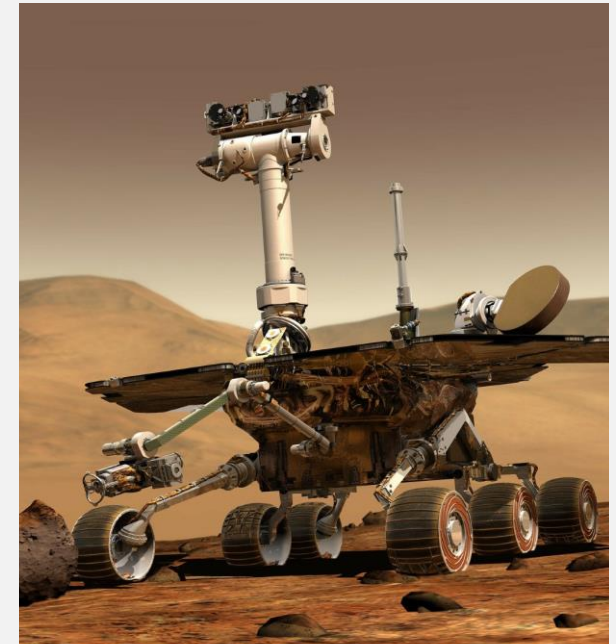


Image source: [Pixabay](#) (2019) / [CC0](#)

#### ► Duration:

- 120 min

#### ► Relevant for Exam:

- 2.1 – 2.3



## 2.3 Beyond Classical Search

- **Previous chapter:** Path to goal is solution to problem
- But sometimes...
  - The start state may not be specified
  - The path to the goal doesn't matter
- In such cases, we can use local search algorithms that keep a single “current” state and gradually try to improve it



## 2.3 The state space “landscape”

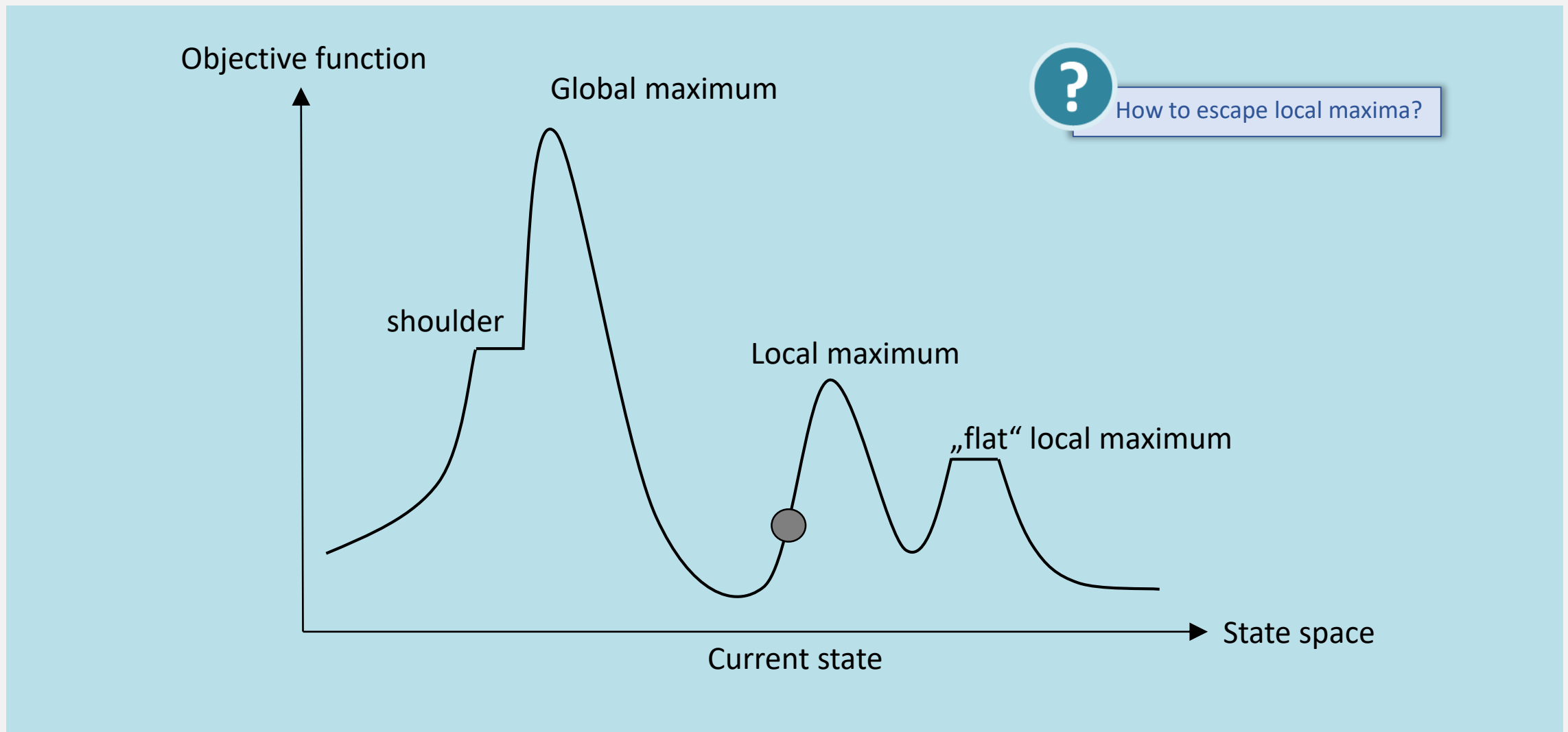


Image adapted from Russell, S., & Norvig, P. (2016);





## 2.3 How to Escape Local Maxima: Trivial Algorithms

- Random Sampling
  - Generate a state randomly
- Random Walk
  - Randomly pick a neighbor of the current state
- Both algorithms asymptotically complete



## 2.3 Local Search Algorithms

- If the path to the goal does not matter → simplify algorithms and ignore paths
- **Local search** algorithms are such algorithms, they use a single **current node** (rather than multiple paths) and generally move only to neighbors of that node
- Typically, the paths followed by the search are not retained. Although local search algorithms are not systematic, they have two key advantages:
  - ⊕ they use very little memory - usually a constant amount
  - ⊕ they can often find reasonable solutions in large or infinite (continuous) state spaces for which systematic algorithms are unsuitable



## 2.3 Hill-climbing Search

### Algorithm: Hill Climbing

*current*  $\leftarrow$  MAKE-NODE(*problem*.INITIAL-STATE)

**While** *STOP*  $\neq$  **TRUE**

*neighbor*  $\leftarrow$  a highest-valued successor of *current*

**If** *neighbor*.value  $\leq$  *current*.VALUE

**Then return** *current*. STATE

*current*  $\leftarrow$  *neighbor*

- **Idea:** simply a loop that continually moves in the direction of increasing value—that is, uphill
- The algorithm does not maintain a search tree, so the data structure for the current node need only record the state and the value of the objective function.
- Hill climbing does not look ahead beyond the immediate neighbors of the current state

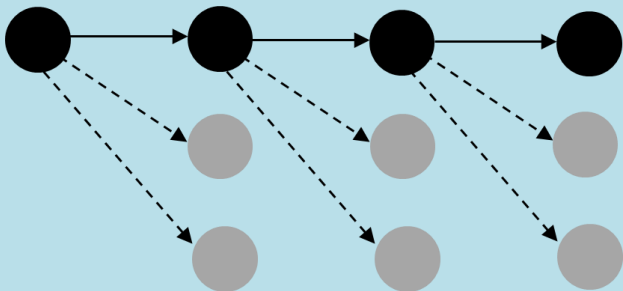
Adapted from Russell, S., & Norvig, P. (2016)



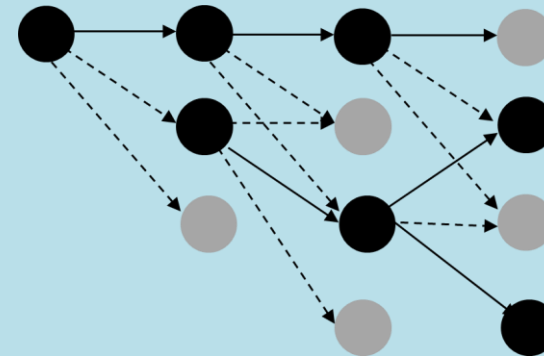
## 2.3 Local Beam Search

- Start with  $k$  randomly generated states
- At each iteration, all the successors of all  $k$  states are generated
- If any one is a goal state, stop; else select the  $k$  best successors from the complete list and repeat

### Greedy search



### Beam search



Adapted from Russell, S., & Norvig, P. (2016);



## 2.3 Simulated Annealing Search

### Algorithm: Simulated Annealing

*Initialize current to starting state*

**For**  $i = 1$  **to**  $\infty$

**If**  $T(i) = 0$  **return** current

**Let** next = random successor of current

**Let**  $\Delta = \text{value}(\text{next}) - \text{value}(\text{current})$

**If**  $\Delta > 0$  **then let** current = next

**Else let** current = next with probability  $\exp(\Delta/T(i))$

- **Idea:** Escape local maxima by allowing some "bad" moves but gradually decrease their frequency
- Probability of taking downhill move decreases with number of iterations, steepness of downhill move
- Controlled by annealing schedule
- Inspired by tempering of glass, metal

Adapted from Russell, S., & Norvig, P. (2016)



## 2.3 Conclusion: Simulated Annealing Search

- We can mathematically prove that a slow temperature decrease will find a global optimum with probability approaching one
- However:
  - This usually takes impractically long
  - The more downhill steps you need to escape a local optimum, the less likely you are to make all of them in a row
- State-of-the-Art: General family of Markov Chain Monte Carlo (MCMC) algorithms for exploring complicated state spaces

Adapted from Russell, S., & Norvig, P. (2016);



## 2.3 Genetic/Evolutionary Algorithms



Charles Darwin (1809-1882)

- Biological evolutionary model according to Darwin:  
Selection = driving force of evolution
- Transfer to Computer Science: Evolution as optimization of complex, artificial systems
- Build machines that adapt to an defined working environment

Adapted from Russell, S., & Norvig, P. (2016) | | Image source: ↗ [Pixabay](#) (2019) / ↗ [CC0](#)

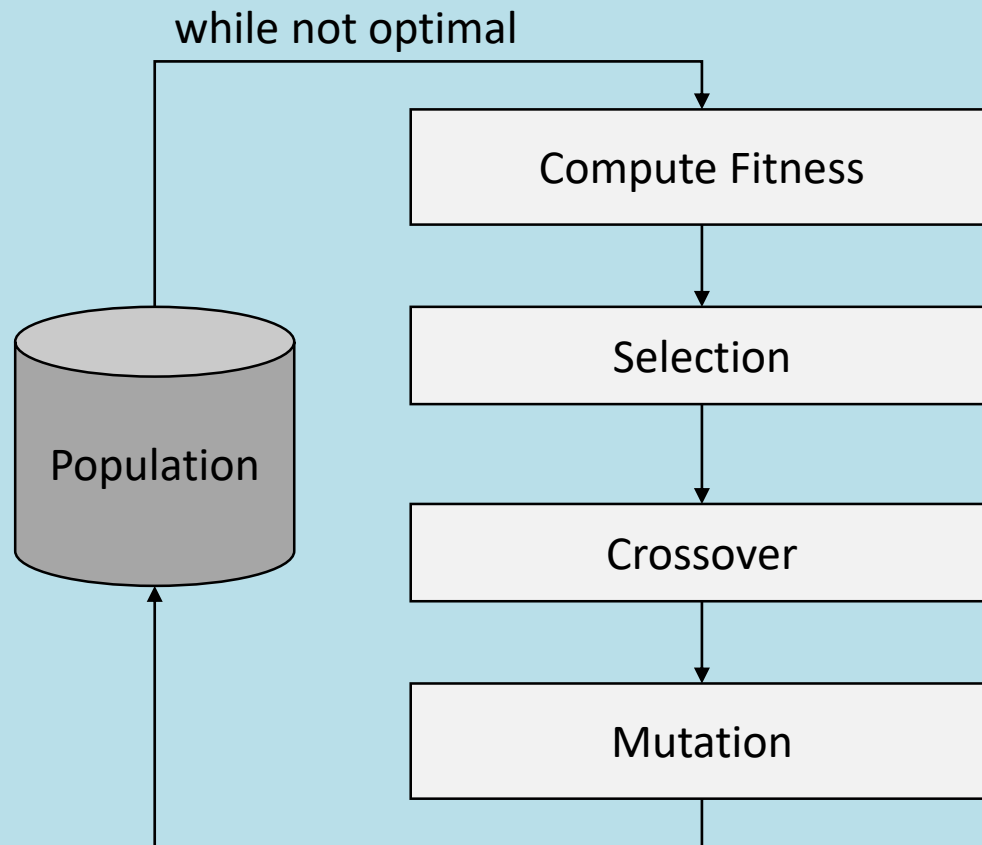


## 2.3 Wording in Evolutionary Algorithms

- Individual Possible solution, hypothesis, or configuration
- Population and generation Set of solutions or hypothesis
- Generation of descendants Generation of new hypotheses. Methods: recombination (Cross-over) and mutation
- Changed successor, child offspring New hypothesis or configuration
- Fitness function Hypothesis quality, criterion to be optimized
- Selection of the best Selection of the hypotheses that the create the best problem solution

Adapted from Rusell, S., & Norvig, P. (2016);

## 2.3 Basic Algorithm



### Algorithm: Genetic Algorithm

*Inputs: population, fitness\_func*

*new\_population <- empty set*

**Repeat**

**For**  $i = 1$  **to**  $\text{SIZE}(\text{population})$  **do**

$X \leftarrow \text{RANDOM-SELECTION}(\text{population}, \text{fitness\_func})$

$Y \leftarrow \text{RANDOM-SELECTION}(\text{population}, \text{fitness\_func})$

$\text{Child} \leftarrow \text{REPRODUCE}(x, y)$

**If** (*random prob*) **then**  $\text{child} \leftarrow \text{MUTATE}(\text{child})$

**Add child to new\_population**

$\text{Population} \leftarrow \text{new\_population}$

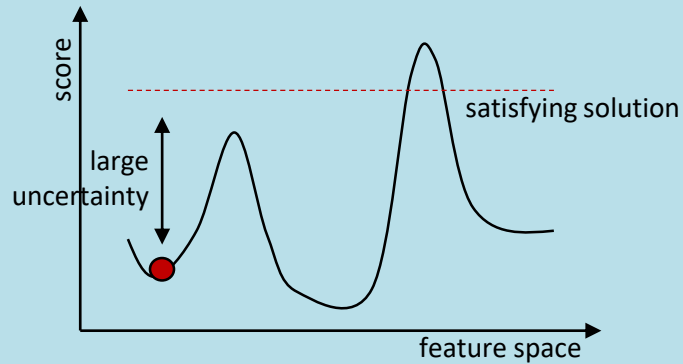
**Until** *some individuals optimal or time elapse*

**Return** *the best individuals in pop, according fitness\_func*

\*Reproduce returns an new individual

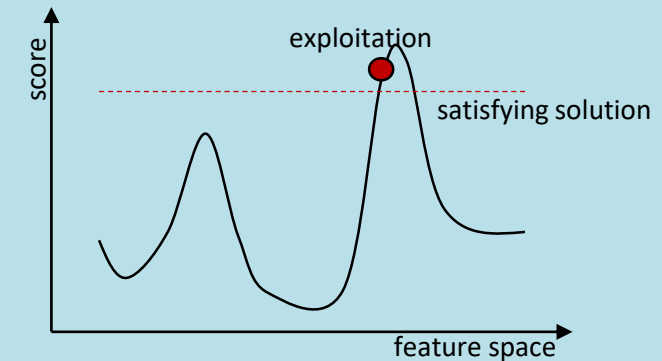
## 2.3 Generation of descendants

### Exploration



- Exploring the local space, local optimization

### Exploitation



- Exploitation of the hypothesis space

- The stronger and more random changes are, the lower the Probability to produce better offsprings
- With local improvement methods, the risk of local Minima given
- level of exploration must be in accordance with the current fitness of the generation can be selected (e.g.: initially high then falling)

Adapted from Russell, S., & Norvig, P. (2016);

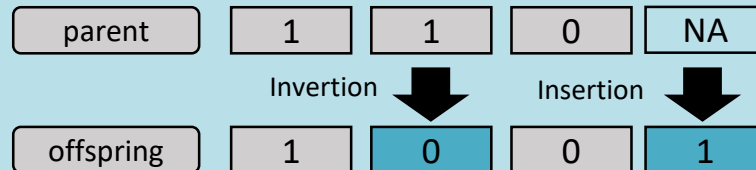
## 2.3 Mutation

### Mutation

The offspring is descended from one parent, with mutation of single gene

#### Mutation:

- All bits of a sequence are independently inverted with a certain probability
- For a certain (or random) number of bits the indices are selected randomly
- Remove a partial sequence and insert it at another Place
- Inverted insertion of the partial sequence

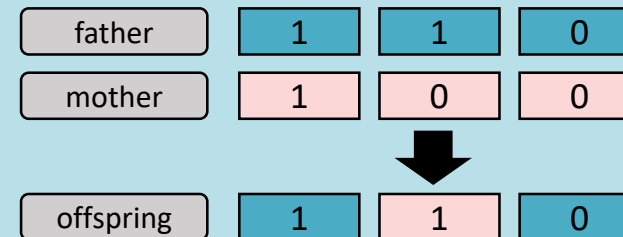


### Crossover / Recombination

Mix properties of two or more parents

#### Crossover:

- Discrete recombination



- Intermediate recombination (continuous representation)

$$\begin{aligned} \text{parent}_1 &:= x \text{ and } \text{parent}_2 := y, \\ \text{offspring}_i &:= (x_i + y_i) / 2 \end{aligned}$$

## 2.3 Crossover/Recombination

### Single-point Crossover



### Two-point Crossover



### Uniform Crossover



## 2.3 Selection

2 types of selection:

- of the parents for respective production of offspring (Mating)
- of the population in each iteration

Problems:

- Genetic drift: Individuals reproduce more than others by chance
- Crowding, outlier problem: "fit" individuals and similar offspring dominate the Population

→ Development of individuals is slowed down

→ Diversity of the population is restricted

Solution:

- Different population models and selection methods
- Optimize population size

## 2.3 Population models

- Island model (local)  
The evolution runs largely separately, only sometimes individuals exchanged
- Neighborhood model (near surroundings)  
Descendants may only be produced by individuals who have the best fitness in their neighborhood
- A simple set (global)  
The best in the world are developing rapidly, others Lines of development are suppressed



## 2.3 Population members

### Population size:

- Should it remain constant? ( $\mu$ )
- How many newly produced offspring? ( $\lambda$ )
- How many parents should be used? ( $\rho$ )
- How are they determined?

### Member selection:

stochastically selected  $\Rightarrow$  the best  $\mu$  individuals

- ( $\mu, \lambda$ ) Strategy: Selection refers only to the Offspring (better exploration)
- ( $\mu + \lambda$ ) Strategy:

Selection also involves parents ( the Best are considered, search for Elites  $\rightarrow$  Exploitation, cheap with good calculable fitness functions)

# Your turn!

### Task

Please explain

- What is the difference between the steps mutation and crossover in the context of genetic algorithms?



## Workbook Exercises

- Please read the chapters 2-4 from Russell, S., & Norvig, P. (2016). Work through the exercises of the related chapters. You can focus on the algorithms we discussed during lecture.

## Coding Exercises

- *Coding exercises start after lecture 3*



# References

## Literature

1. Rusell, S., & Norvig, P. (2016). *Artificial Intelligence: A Modern Approach*. Global Edition.

## News articles

1. NTV (2019): Sneaker-Bots ausgetrickst - Skate-Shop verkauft Fotos statt Schuhe. Online available at: <https://www.ntv.de/mediathek/videos/wirtschaft/Skate-Shop-verkauft-Fotos-statt-Schuhe-article21229466.html>

## Images

*All images that were not marked other ways are made by myself, or licensed ↗ [CC0](#) from ↗ [Pixabay](#).*

## Further reading

- If you are also interested in visiting all US national parks, I can recommend to take a look at the blog article from *University of Penn data scientist Dr. Randal Olson* (↗ <http://www.randalolson.com>). He created a roadtrip of the optimal way to visit all 47 U.S. National Parks in the mainland United States. Take a look at his Python Project (↗ [Github](#)).



<b>Agent</b>	<i>An agent is anything that can be viewed as perceiving its environment through sensors and acting upon that environment through actuators (Russell &amp; Norvig, 2016)</i>
<b>Agent program</b>	<i>Implements the agents function (Russell &amp; Norvig, 2016, p.59)</i>
<b>Performance measure</b>	<i>Evaluates the behavior of the agent in an environment. A rational agent acts so as to maximize the expected value of the performance measure, given the percept sequence it has seen so far (Russell &amp; Norvig, 2016, p.59)</i>
<b>Rationality/Rational Agent</b>	<i>For each possible percept sequence, a rational agent should select an action that is expected to maximize its performance measure, given the evidence provided by the percept sequence and whatever built-in knowledge the agent has (Russell &amp; Norvig, 2016, p.37)</i>
<b>Task environment</b>	<i>External environment of an agent including the performance measure, the external environment, the actuators, and the sensors (Russell &amp; Norvig, 2016, p.59)</i>

