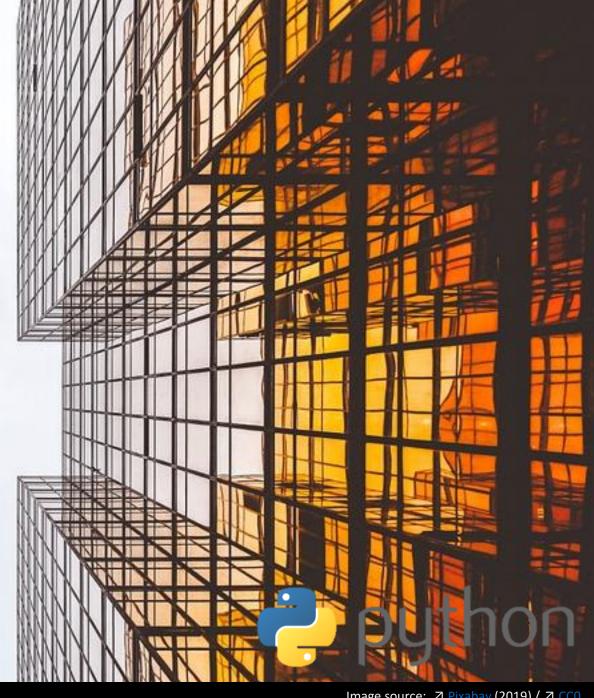
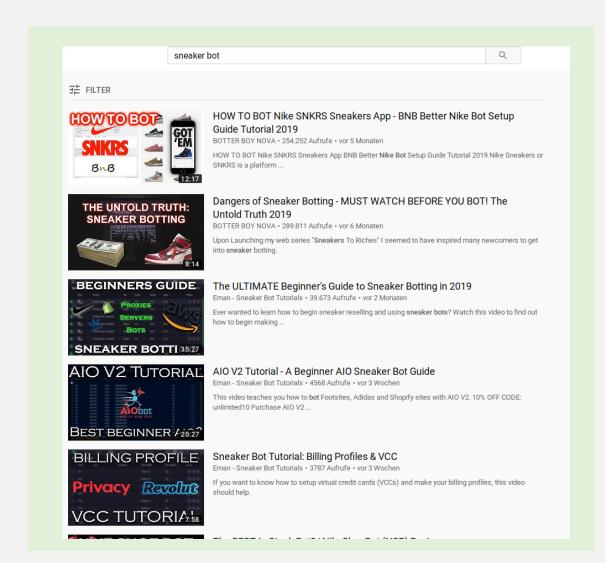
## Artificial Intelligence Algorithms and Applications with Python Chapter 2 Dr. Dominik Jung dominik.jung42@gmail.com



#### Business Case: Stop Automated Nike Shopping Agents





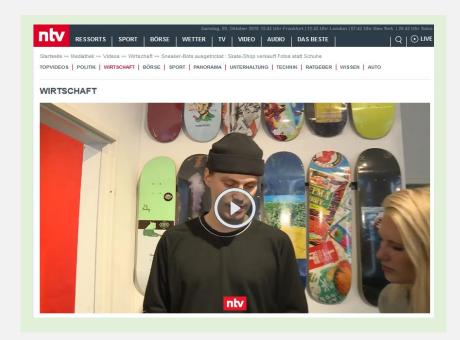
#### Business Case: Stop Automated Nike Shopping Agents

#### 01 | Executive Summary

Limited sneakers can be resold profitably. Professional resellers use AI-based agents that can make automated purchases. Today, there are many AI-based agents and web-crawler that are able to detect and buy limited sneakers or other limited offers in web-shops, while normal customers often go away empty-handed. Furthermore, these kind of information systems produce a lot of web traffic (especially if they are very bad designed), and most shop-owners do not want them. A sneaker shop in Frankfurt has now outwitted the software. With a simple but ingenious idea.

#### 02 | Solution

- Most simple AI agents use simple decision rules for decision-making.
- Hence, they lack of "true" intelligence and can be fooled easily by targeting on the decision-rules of such systems



#### 03 | References

 https://www.n-tv.de/mediathek/videos/wirtschaft/Skate-Shop-verkauft-Fotos-statt-Schuhe-article21229466.html

**Take-Aways** 

- Shopping agents can be used to automate human tasks (find cheap offers)
- However, they can not replace humans if it gets difficult

#### Outline

- 2 Problem-Solving Agents
- 2.1 Intelligent Agents
- 2.2 Solving Problems by Searching
- 2.3 Beyond Classical Search
- 2.4 Adversarial Search and Game Theory
- 2.5 Constraint Satisfaction Problems

#### ► What we will learn:

- We define the concept of rational agents (≈ intelligent agents)
- Characteristics of artificial agents (perfect or otherwise), the diversity of environments, and the resulting menagerie of agent types
- We discuss how AI problems can be modelled as search-problems, and how they can be solved by searching

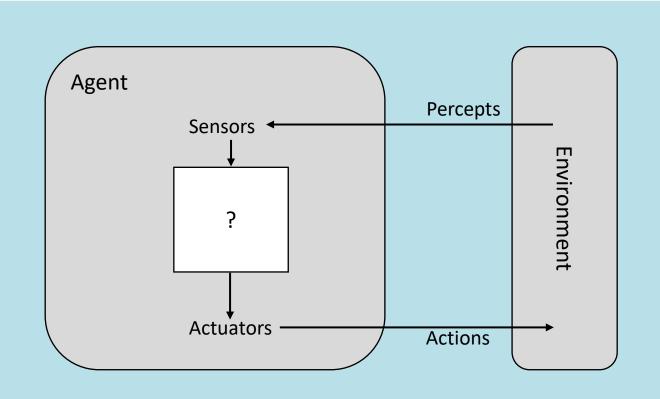


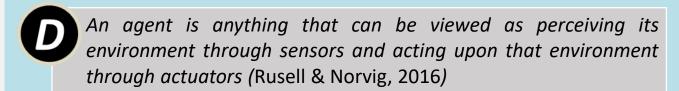
Image source: 

☐ Pixabay (2019) / ☐ CCO

- **▶** Duration:
  - 225 min
- ► Relevant for Exam:
  - 2.1 2.5

## 2.1 "Intelligent" Agents (Russel, Norvig)









Adapted from Rusell, S., & Norvig, P. (2016) | Image source: 7 Pixabay (2019) / 7 CCO

#### 2.1 NASA Perseverance Rover

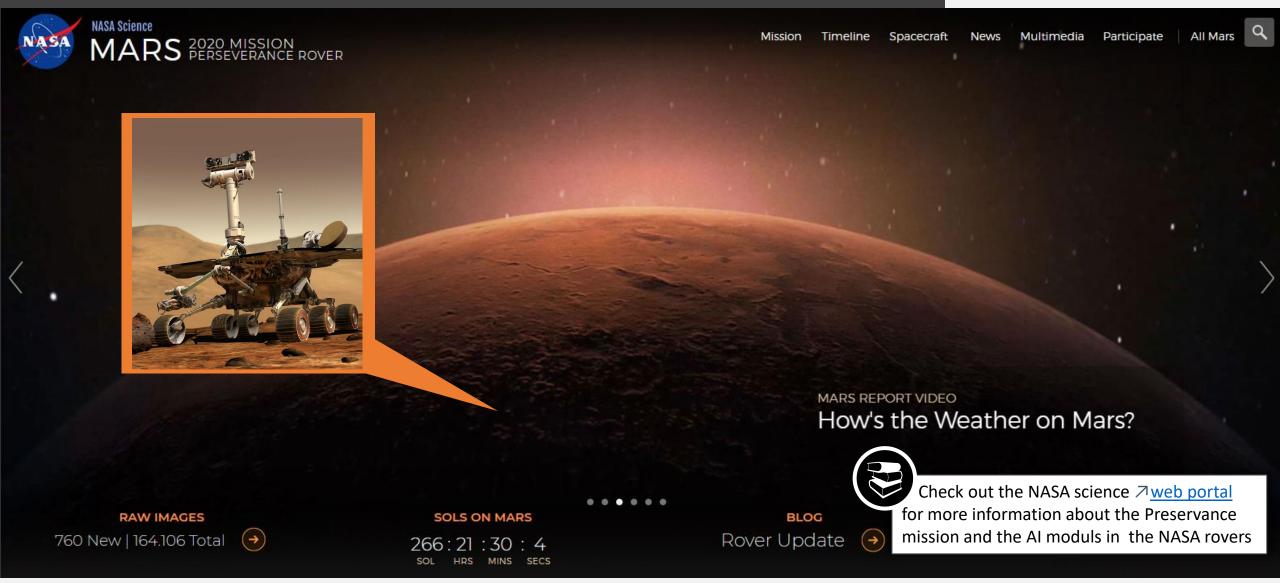


Image source: NASA (2022) <a> <a href="https://mars.nasa.gov/mars2020">https://mars.nasa.gov/mars2020</a>; <a> <a href="https://mars.nasa.gov/mars2020">Pixabay</a> (2019) / <a href="https://mars.nasa.gov/mars2020">△ <a href="https://mars.nasa.gov/mars2020">ECO</a>

## 2.1 Agent in this Lecture

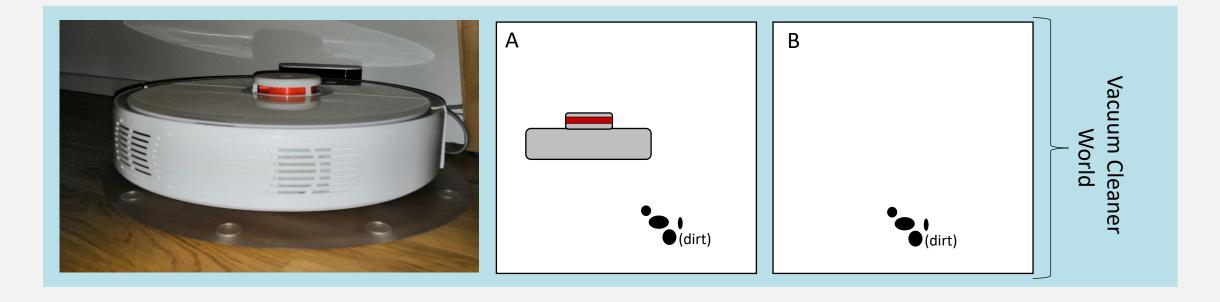
- Examples of agents
  - A web shopping program
  - An automated factory module
  - A traffic control system
  - NASA's Perseverance Rover
- Focus in this lecture: **Software agents** that gathers information about an environment and takes actions based on that



Image source: <a> Pixabay</a> (2019) / <a> <a> CCO</a>

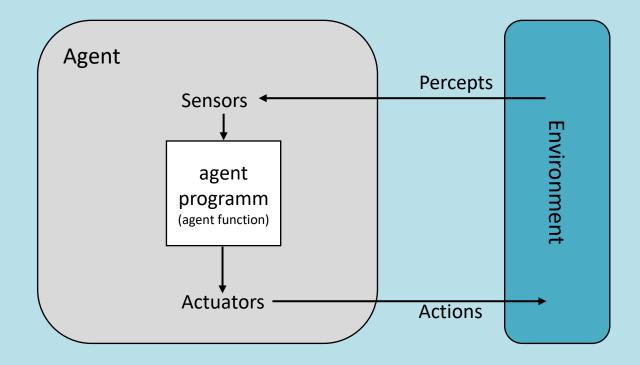
# How do you design an intelligent agent?

#### 2.1 Example: Vacuum Cleaner World - Tabulation



- We use a very simple example: the vacuum-cleaner world with just two squares (A and B)
- The vacuum cleaner agent perceives which square it is and whether there is dirt or not
- It can move (left or right), suck up the dirt or do nothing.
- One simple function is: If the current square is dirty, then suck

## 2.1 Step 1 – Specifying the Task Environment



How does the task and the environment in which the task should be solved look like?

## 2.1 Specifying the Task Environment

Agent Type	Performance Measure	Environment	Actuators	Sensors
Taxi driver	Safe, fast, legal, comfortable trip, maximize profits	Roads, other traffic, pedestrians, customers	Steering, accelerator, brake, signal, horn, display	Cameras, sonar, speedometer, GPS, odometer, accelerometer, engine sensors, keyboard

- Norvig and Russel propose to characterize the task environment based on the four characteristics: Performance, Environment, Actuators and Sensors (PEAS)
- In designing an agent, the first step must always be to specify the task environment as fully as possible.

## 2.1 Further Properties of Task Environments

- Fully observable or partially observable
- Single agent or multiagent
- Deterministic or stochastic
- Episodic or sequential
- Static or dynamic
- Discrete or continuous
- Known or unknown

Task Environment	Observable	Agents	Deterministic	Episodic	Static	Discrete
Crossword puzzle						
Chess with a clock						
Poker						
Backgammon						
Taxi driving						
Medical diagnostics						
Image Analysis						
Part-Picking Robot						
Refinery Controller						
Interactive English Tutor						

## 2.1 Environment Types in Reality

#### The simplest environment is

- Fully observavle
- Deterministic
- Episodic
- Static
- Discrete
- Single-agent

#### Most real situations are

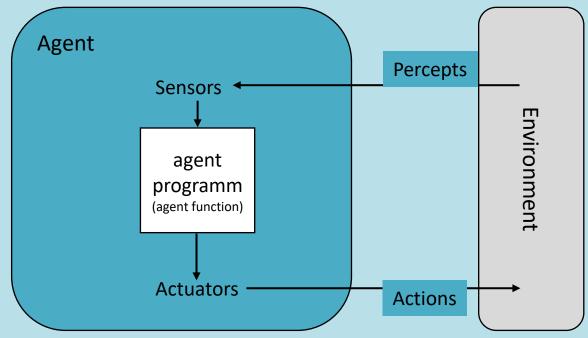
- Partially observable
- Stochastic
- Sequential
- Dynamic
- Continous
- Multi-agent

#### 2.1 How to Get Task Environments in Development?

 We will use simulators that provide different environments to test our agents (see lectorials)

The simulator takes one or more agents as input, provides each agent with the correct perceptions, accepts the agent's actions, and updates the environment based on the actions and possibly other influences

## 2.1 Step 2 – Specifying the Agent



Agent = architecture + program

How should the agent act, what would be "intelligent behaviour"?

## 2.1 What Makes Agents Intelligent?

[A, Clean]  [A, Dirty]  [B, Clean]  [B, Dirty]  [A, Clean], [A, Clean]  [A Clean], [A Dirty]  Suck  Suck  Right  Suck	Percept Sequence	Action
	[A, Clean] [A, Dirty] [B, Clean] [B, Dirty] [A, Clean], [A, Clean] [A, Clean], [A, Dirty] [A, Clean], [A, Clean], [A, Clean]	Right Suck Left Suck Right Suck Right



Adapted from Rusell, S., & Norvig, P. (2016)

- An agent's behavior is described by the agent function that maps any given percept sequence to an action.
- We could imagine this as a table matching each possible percepts and actions (remember chinese room argument?)
- Internally, the agent function is implemented by an agent program

## 2.1 Good Behaviour: Conceptionalizing Rationality in Al

For a vacuum cleaner: What does it mean to do the right thing?

- What is rational, at any given time, depends on four things:
  - The performance measure that defines the criterion of success.
  - The agent's prior knowledge of the environment.
  - The actions that the agent can perform.
  - The agent's percept sequence to date



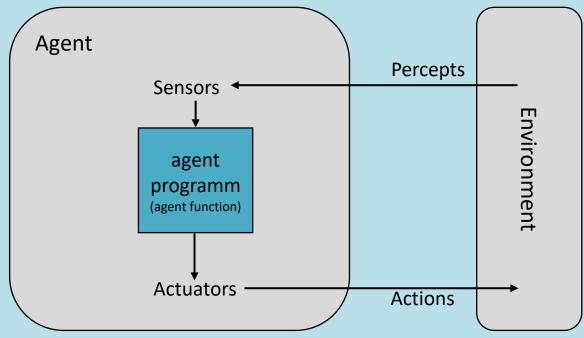
#### **Rational Agent**

For each possible percept sequence, a rational agent should select an action that is expected to maximize its performance measure, given the evidence provided by the percept sequence and whatever built-in knowledge the agent has (Rusell & Norvig, 2016, p.37)

## 2.1 Omniscience, Learning, and Autonomy

- We need to be careful to distinguish between rationality and omniscience
- Rationality maximizes expected performance, while perfection maximizes actual performance
- Norvig & Russels' definition of rationality does not require omniscience, because the rational choice depends only on the percept sequence to date
- Their definition requires a rational agent not only to gather information but also to **learn** as much as possible from what it perceives.

## 2.1 Step 3 — Specifying the Agents Behaviour



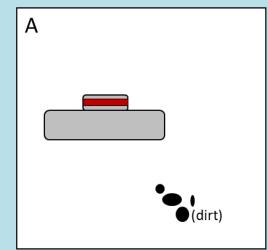
Agent = architecture + program

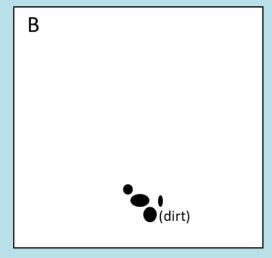
How can we implement the "intelligent" behaviour?

## 2.1 Structure of Agents (Architecture)

- The job of AI specialists is to design an agent program that implements the agent function/the mapping from percepts to actions
- This program runs on some sort of computing device with physical sensors and actuators (architecture)
- Agent = architecture + program







## 2.1 Structure of Agents (Architecture)

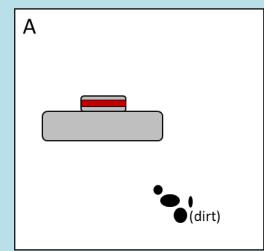
#### **Algorithm:** Reflex-Vacuum Agent

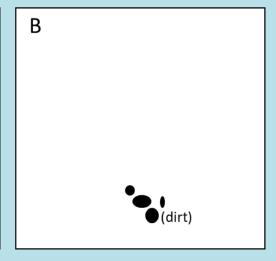
```
if status = dirty then
  return suck
end
```

else if location = A then
 return right
end

else if location = B then
 return left
end







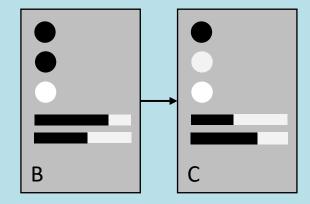
#### 2.1 Components of Agents

#### Atomic representation



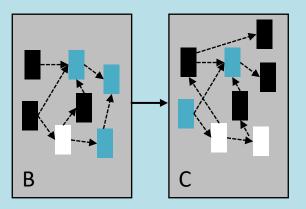
 a state (such as B or C) is a black box with no internal structure

#### Factored representation



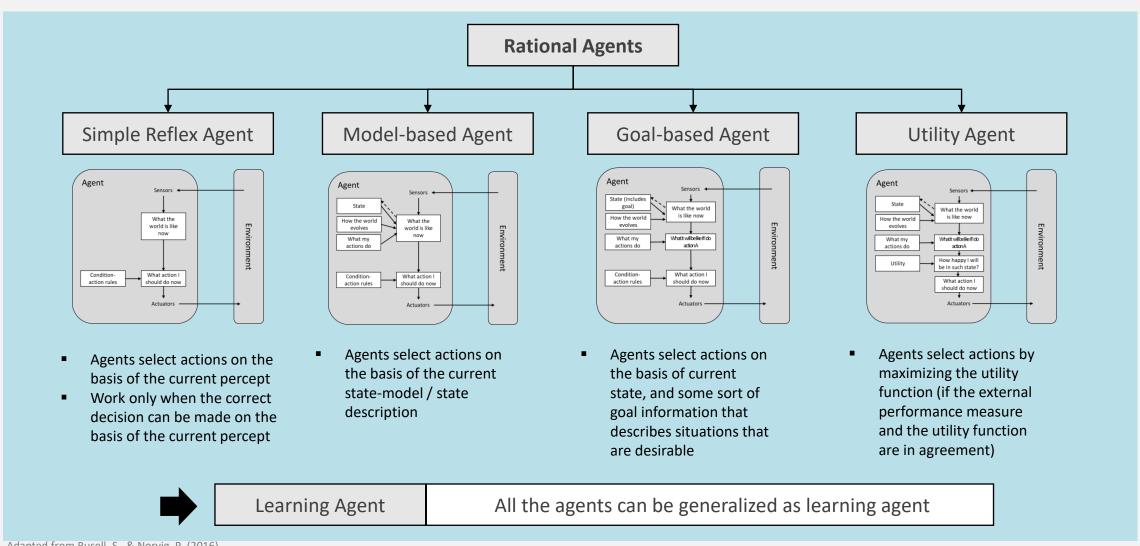
 a state consists of a vector of attribute values; values can be Boolean, realvalued, or one of a fixed set of symbol

#### Structured representation



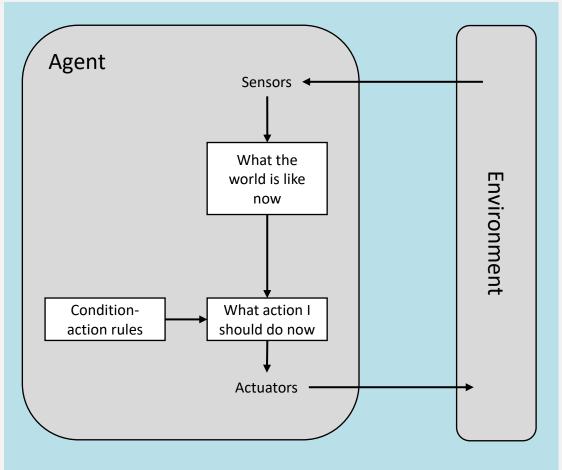
 a state includes objects, each of which may have attributes of its own as well as relationships to other objects.

## 2.1 Subtypes of Agents (Russell & Norvig, 2016)



#### 2.1 Simple Reflex Agent

▶ Select actions on the basis of the current percept, ignoring the rest of the percept history



#### **Algorithm:** Simple Reflex Agent

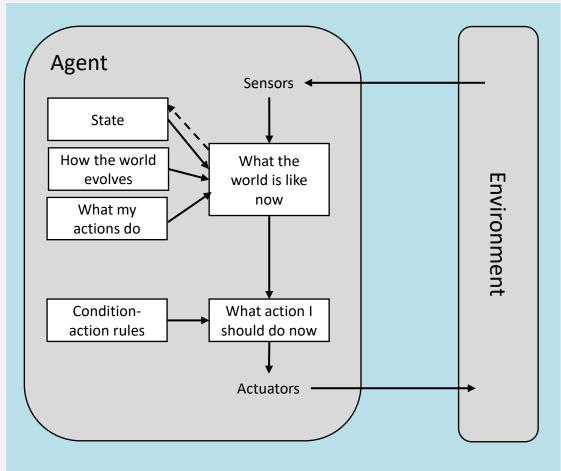
*persistent:* rules, a set of condition–action rules

 $state \leftarrow INTERPRET-INPUT(percept)$   $rule \leftarrow RULE-MATCH(state, rules)$   $action \leftarrow rule.ACTION$ 

return action

## 2.1 Model-based Reflex Agent

#### ► Keep track of the part of the world it can't see now



#### Algorithm: Model-based Reflex Agent

#### persistent:

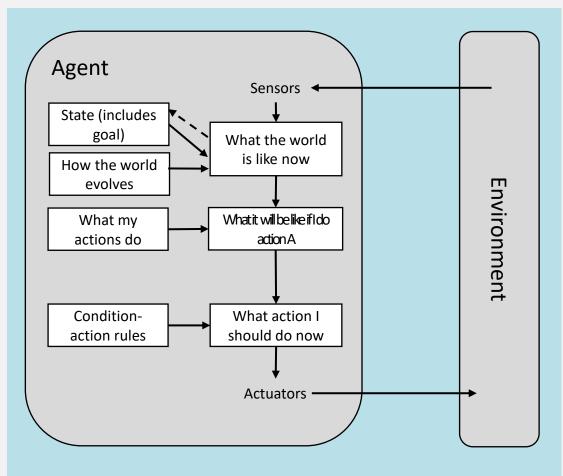
state, the agent's current conception of the world state model, a description of how the next state depends on current state and action rules, a set of condition—action rules action, the most recent action, initially

 $state \leftarrow UPDATE-STATE(state, action, percept, model)$   $rule \leftarrow RULE-MATCH(state, rules)$  $action \leftarrow rule.ACTION$ 

return action

## 2.1 Goal-based Agents

▶ Besides state description the agent uses goal information in its decision process



#### Algorithm: Goal-based Agent

#### persistent:

state, the agent's current conception of the world state model, a description of how the next state depends on current state and action rules. a set of condition—action rules

rules, a set of condition—action rules action, the most recent action, initially goal, desired result of the agents behaviour

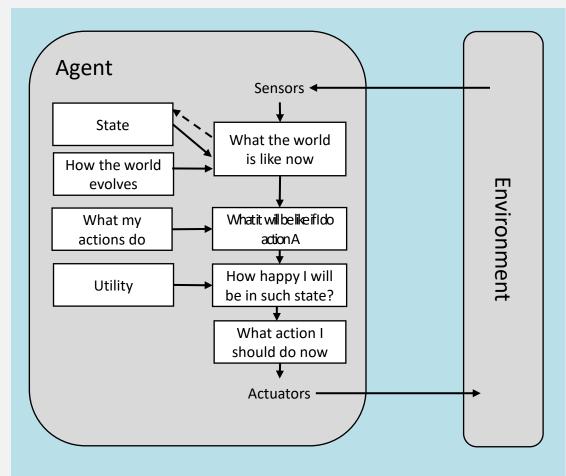
state ← UPDATE-STATE(state, action, percept, model, goal)

 $rule \leftarrow RULE\text{-}MATCH(state, rules)$  $action \leftarrow rule\text{.}ACTION$ 

return action

## 2.1 Utility-based Agent

#### Utility function to describe desired behavior



#### Algorithm: Goal-based Agent

#### persistent:

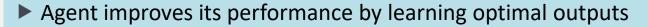
state, the agent's current conception of the world state model, a description of how the next state depends on current state and action rules, a set of condition—action rules

action, the most recent action, initially Utility function, performance measure

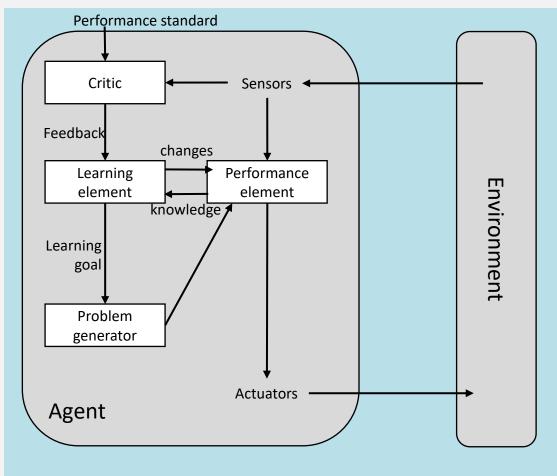
 $state \leftarrow UPDATE\text{-}STATE(state, action, percept, model, utility function)}$   $rule \leftarrow RULE\text{-}MATCH(state, rules)$   $action \leftarrow rule\text{-}ACTION$ 

return action

#### 2.1 Conclusion: The Learning Agent







- Turing proposed four conceptual components: Learning element, performance element, critic and problem generator
- The design of the learning element depends very much on the design of the performance element
- The critic tells the learning element how well the agent is doing with respect to a fixed performance standard

#### 2.1 Classroom Task

## Your turn!

#### Task

#### Please explain:

- What is the difference between an agents program and function?
- Could you model a hand-held calculator as an agent that chooses the action of displaying "3" when given the percept sequence "1 + 2 ="? Is this correct based on the definitions of Russel & Norvig?

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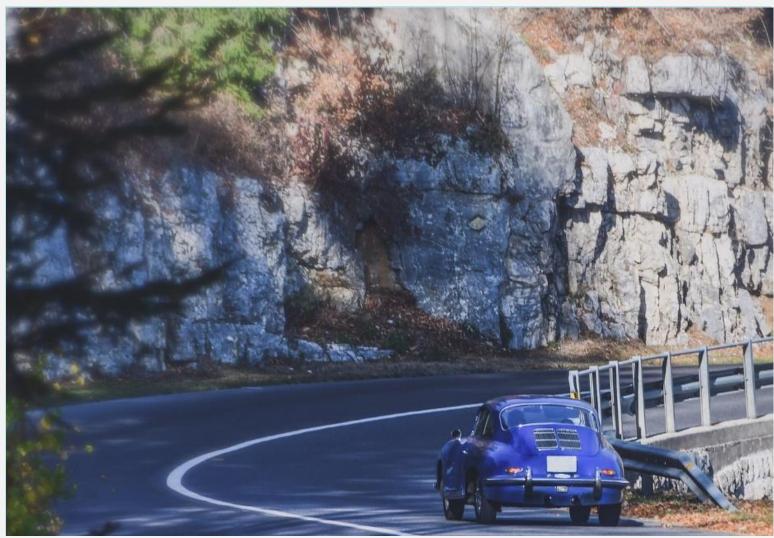


Image source: 

☐ Pixabay (2019) / ☐ CCO

- **▶** Duration:
  - 225 min
- ► Relevant for Exam:
  - 2.1 2.5

## 2.2 Why We Need Goals: Example Roadtrip Planning



- Example: USNationalpark roadtripwith your new Porsche
- Next step: How to formulate AI Problems and solve them



Adapted from Rusell, S., & Norvig, P. (2016) | Image source: ↗ Pixabay (2019) / ↗ CCO

## 2.2 Why We Need Goals: Example Roadtrip Planning



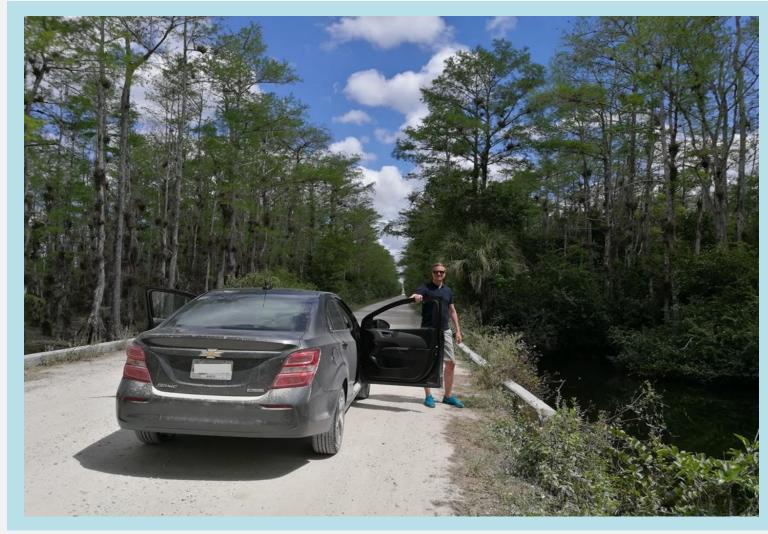
Our problem can be defined formally by different aspects like:

- Initial state or start
- Driving costs
- Visit Top 5 parks
- Music playlist
- Possible driving routes, allowed streets
- What each action does
- List with all parks to check if we got them all

→ Simplify Goal: Be in Shenandoah

Image sources:  $\nearrow$  US NationalParks (2015) by Mwierschkec  $\nearrow$  CC BY-SA 4.0;  $\nearrow$  View from Skyline Drive (2019) by Steevven1  $\nearrow$  CC BY-SA 4.0;  $\nearrow$  Schluchten des Grand Canyon (2006) by Tenji  $\nearrow$  CC BY-SA 3.0 And yes I am working on a roadtrip playlist, you can check it out here ( $\nearrow$  Spotify), feel free to give any suggestions

#### 2.2 Well-defined Problems and Solutions

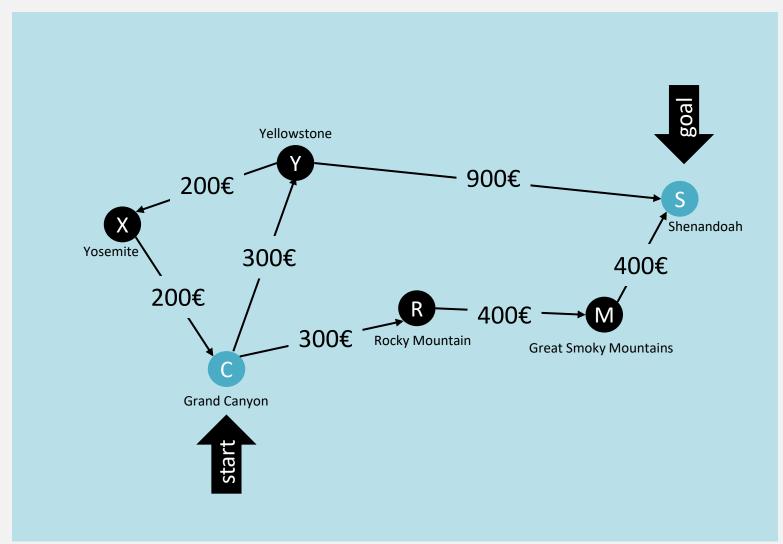


Adapted from Rusell, S., & Norvig, P. (2016)

Let us now try to model our problem in a way a computer can solve it:

- In a first step, we want to build an agent that finds the optimal route from start to end (routefinding problem)
- Our second step is to have an agent that finds the optimal route for all/Top 5 mainland US parks (touring-problem)

## 2.2 Model the Roadtrip as an Al problem



Goal: Be in Shenandoah

#### Other aspects:

- Initial state or start: Grand Canyon
- Consider Driving costs
- Visit Top 5 parks

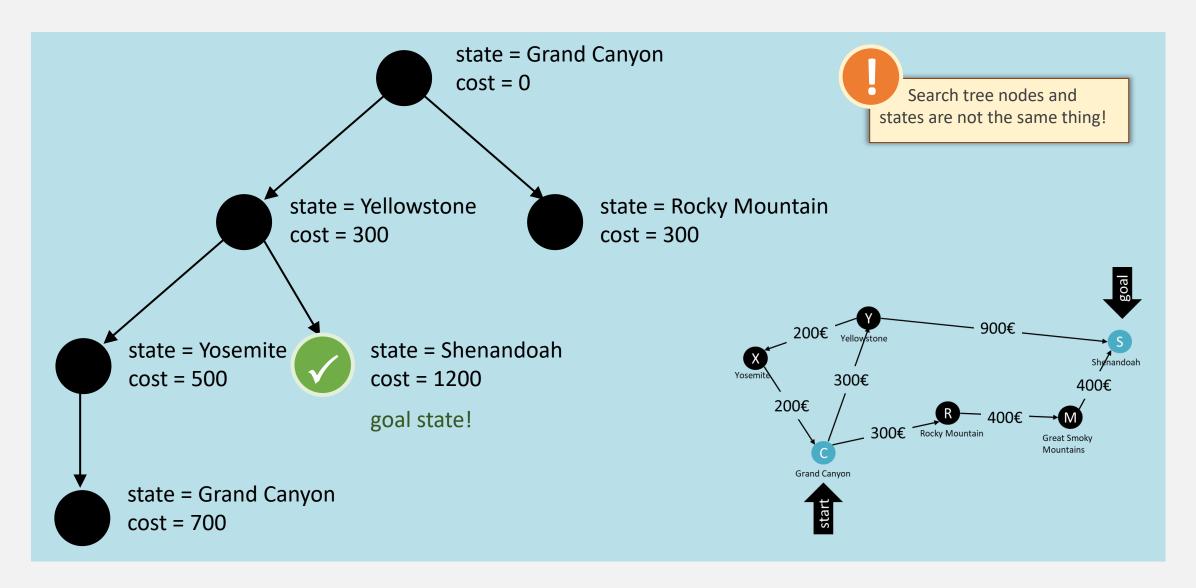
Image source 7 US NationalParks (2015) by Mwierschkec 7 CC BY-SA 4.0

#### 2.2 Possible Solution: Model Roadtrip-Problem as Search

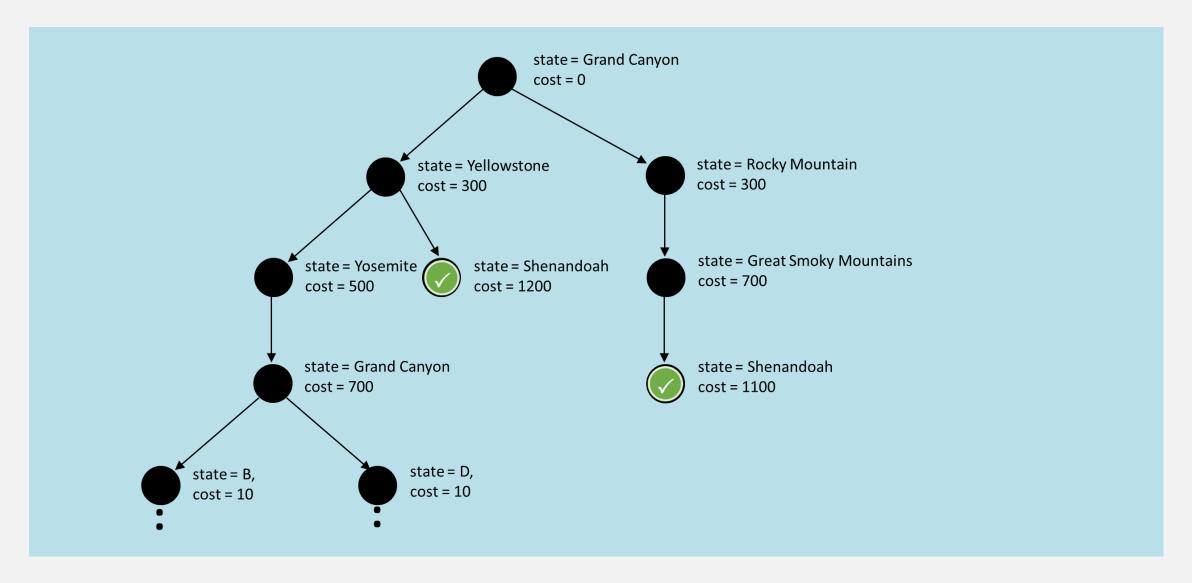
- Model problems as search problems
- Our agent does not hav to execute all actions in real life while searching for solution
- We want to find a sequence of actions that will lead us to a desired state
  - We want to minimize number of actions
  - We want to minimize total cost of actions (more general speaking)



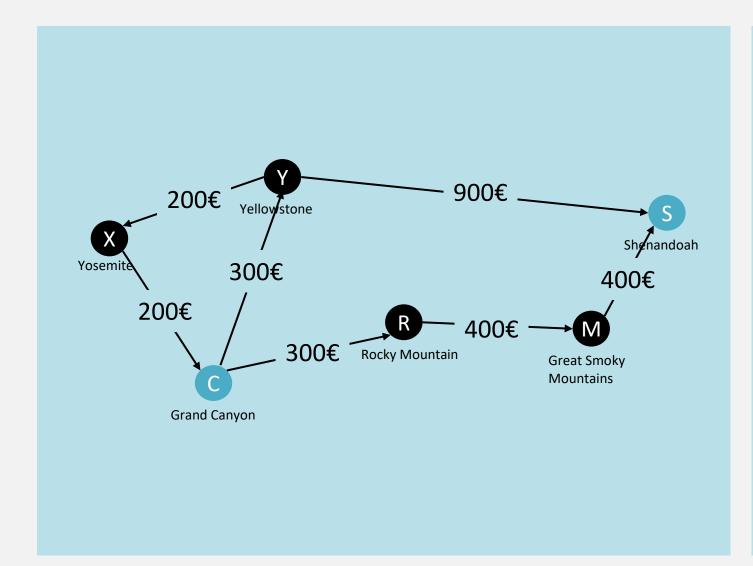
## 2.2 Model Problem as Search-tree ► Step 1



# 2.2 Model Problem as Search-tree ► Step 2



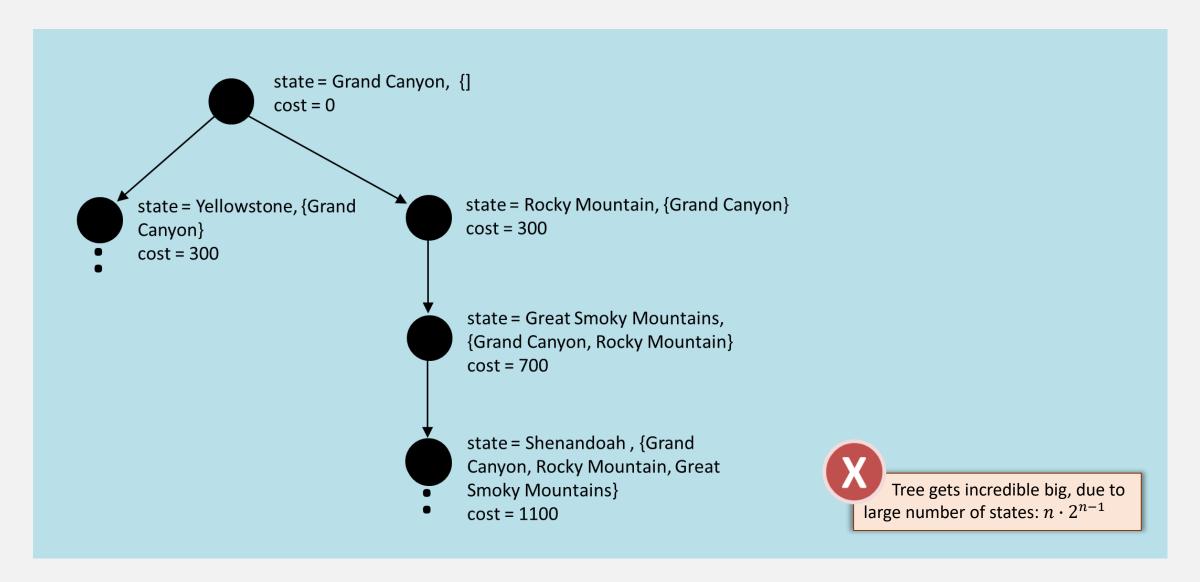
### 2.2 From Rout-Finding to Touring-Problems: Visit TOP 5 Nationalparks



**Goal**: Visit all vertices on the graph

- As before, the actions correspond to trips between adjacent parks.
- The state space is quite different: Each state must include not just the current location but also the set of parks the agent has visited.
- Problem: large number of states

# 2.2 Full Search Tree



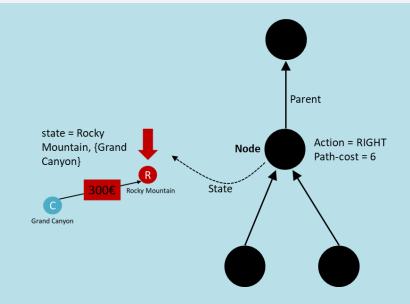
### 2.2 Summary Key Concepts in Search

#### Set of **states** that we can be in

- Including an initial state
- and goal states (equivalently, a goal test)

For every state, a set of **actions** that we can take

- Each action results in a new state
- Typically defined by successor function



**Transition model**: Given a state, produces all states that can be reached from it

**Cost function** that determines the cost of each action (or path = sequence of actions)

**Solution**: path from initial state to a goal state (optimal solution: solution with minimal cost)

### 2.2 Problem-Solving Agents

- We can formalize this concepts into a general concept of a simple problem-solving agent
- For that purpose, we say that AI problems should be defined formally by five components:
  - initial state
  - description of actions
  - what each action does (transition model)
  - goal test
  - path cost function

#### **Algorithm:** Simple Problem-Solving Agent

#### persistent:

```
seq, an action sequence, initially empty state, a description of the current world state goal, a goal, initially null problem, a problem formulation
```

```
state \leftarrow UPDATE\text{-}STATE(state, percept)

If seq is empty then
goal \leftarrow FORMULATE\text{-}GOAL(state)
problem \leftarrow FORMULATE\text{-}PROBLEM(state, goal)
seq \leftarrow SEARCH(problem)
If seq = failure \ then \ return \ null \ action
action \leftarrow FIRST(seq)
seq \leftarrow REST(seq)
```

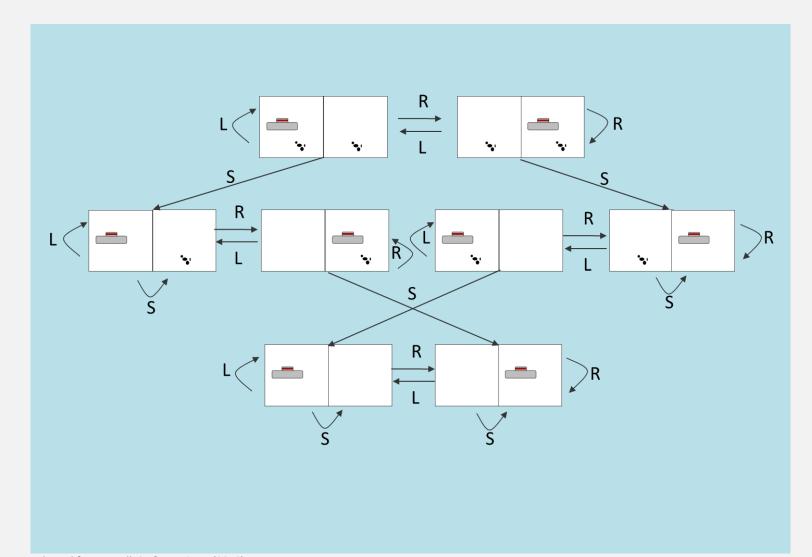
return action

operators

# 2.2 What We Did so Far - Formulating Problems for Al

- In the preceding section we proposed a simple formulation of the problem of planning an US nationalpark trip
- This formulation seems reasonable, but it is still an abstract mathematical simplification of a much more complex problem
- Many considerations are not considered in our state descriptions because they are irrelevant to the problem of finding a route.
- The process of removing detail from a representation is called abstraction.
- Toy vs. real-world problem

# 2.2 Example: Vacuum-Cleaner



- States: The state is determined by both the agent location and the dirt locations.
- Initial state: Any state can be designated as the initial state.
- **Actions**: *Left, Right,* and *Suck*.
- Transition model: The actions have their expected effects, except that moving *Left* in the leftmost square, moving *Right* in the rightmost square, and *Suck*ing in a clean square have no effect.
- Goal test: This checks whether all the squares are clean.
- Path cost: Each step costs 1, so the path cost is the number of steps in the path.

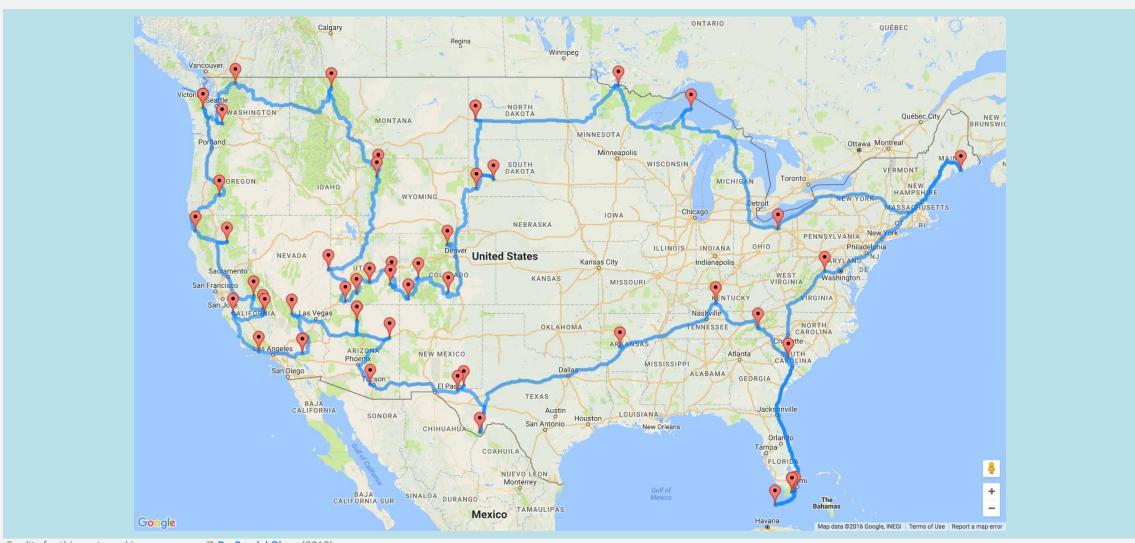
Adapted from Rusell, S., & Norvig, P. (2016);

### 2.2 Other Popular Real-world Problems

- We tried to find
  - the optimal route from start to end (route-finding problem)
  - An optimal route for to visit all TOP 5 US parks (touring-problem)

The traveling salesperson problem (TSP) is a touring problem in which each city must be visited exactly once. The aim is to find the shortest tour.

# 2.2 Traveling Salesperson Problem of all 47 Mainland US Nationalparks



Credits for this route and Image source: 7 Dr. Randal Olson (2019)

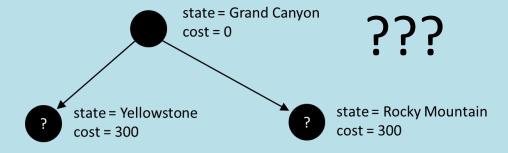
# 2.2 Other Popular Real-world Problems

The traveling salesperson problem (TSP) is a touring problem in which each city must be visited exactly once. The aim is to find the shortest tour.

- A VLSI layout problem requires positioning millions of components and connections on a chip to minimize area, minimize circuit delays, minimize stray capacitances, and maximize manufacturing yield
- **Protein design**: in which the goal is to find a sequence of amino acids that will fold into a three-dimensional protein with the right properties to cure some disease.

### 2.2 Generic Search Algorithm

- Recap: We will consider the problem of designing goal-based agents in observable, deterministic, discrete, known environments
- Key question in search: Which of the generated nodes do we expand next?



#### **Algorithm: Tree Search**

initialize the frontier using the initial state of problem

#### loop do

**if** the frontier is empty **then return** failure choose a leaf node and remove it from the frontier

if the node contains a goal state then return the corresponding solution

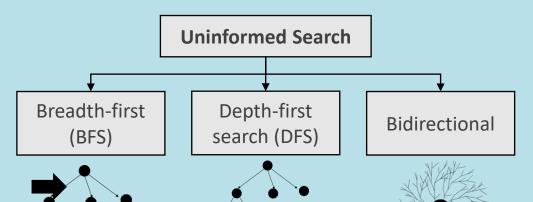
expand the chosen node, adding the resulting nodes to the frontier

#### 2.2 Overview: Fundamental Search Algorithms



#### **Uninformed Search**

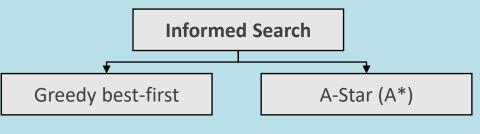
Strategies have no additional information about states beyond that provided in the problem definition

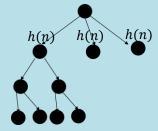


- Root node is expanded first, then all the successors of the root node are expanded next, then their successors, and so on.
- Always expands the deepest node in the current frontier of the search tree.
- Always expands the deepest node in the current frontier of the search tree.

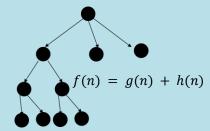
#### **Informed Search**

Uses problem-specific knowledge beyond the definition of the problem itself—can find solutions more efficiently than can an uninformed strategy.





Expand the node that is closest to the goal, on the grounds that this is likely to lead to a solution quickly.



Cluster observations into (distinct/different) groups

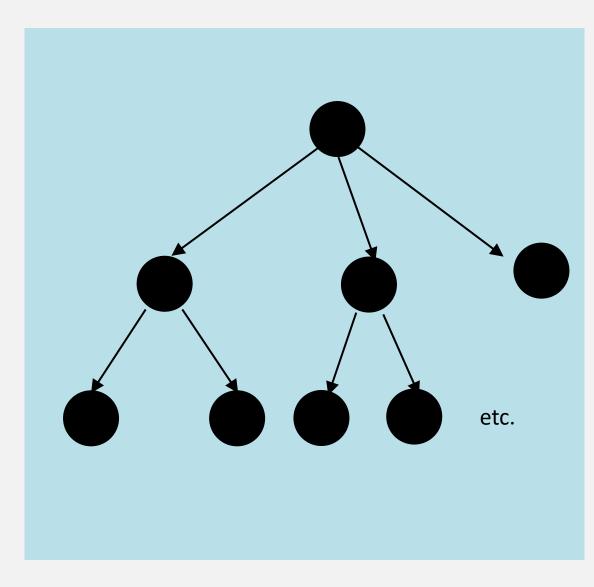
#### 2.2 Uninformed Search

Given a state, we only know whether it is a goal state or not

 Cannot say one non-goal state looks better than another non-goal state

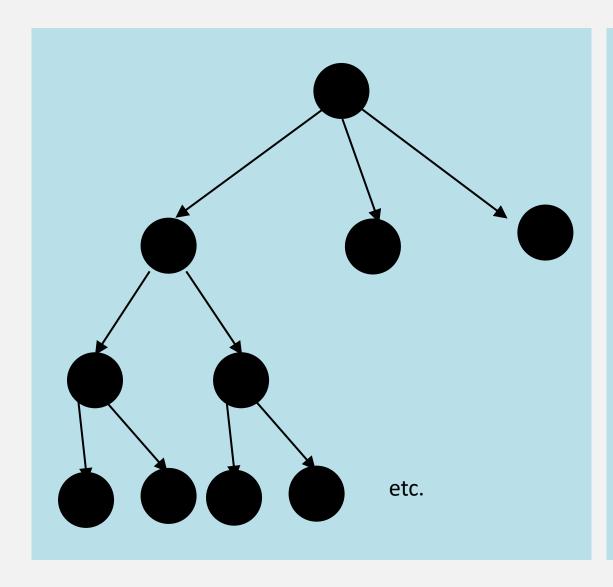
- Can only traverse state space blindly in hope of somehow hitting a goal state at some point
  - Also called blind search
  - Blind does **not** imply unsystematic!

#### 2.2 Breadth-first Search



- Nodes are expanded in the same order in which they are generated
- Fringe can be maintained as a First-In-First-Out (FIFO) queue
- BFS is complete: if a solution exists, one will be found
- BFS finds a shallowest solution
- Not necessarily an optimal solution
- If every node has b successors (the branching factor), first solution is at depth d, then fringe size will be at least bd at some point
- This much space (and time) required !!!

#### 2.2 Depth-first Search



- Always expand node at the deepest level of the tree, e.g. one of the most recently generated nodes
- When hit a dead-end, backtrack to last choice
- Fringe can be maintained as a Last-In-First-Out (LIFO) queue (aka. a stack)

### 2.2 Expansion: Combining Properties of BFS and DFS

- Limited depth DFS: just like DFS, except never go deeper than some depth d
- Iterative deepening DFS:
  - Call limited depth DFS with depth 0;
  - If unsuccessful, call with depth 1;
  - If unsuccessful, call with depth 2;
  - etc.
- Complete, finds shallowest solution
- Space requirements of DFS
- May seem wasteful timewise because replicating effort
- Really not that wasteful because almost all effort at deepest level
- $db + (d-1)b^2 + (d-2)b^3 + ... + 1bd$  is  $O(b^d)$  for b > 1

# 2.2 Let's Start Thinking About Cost

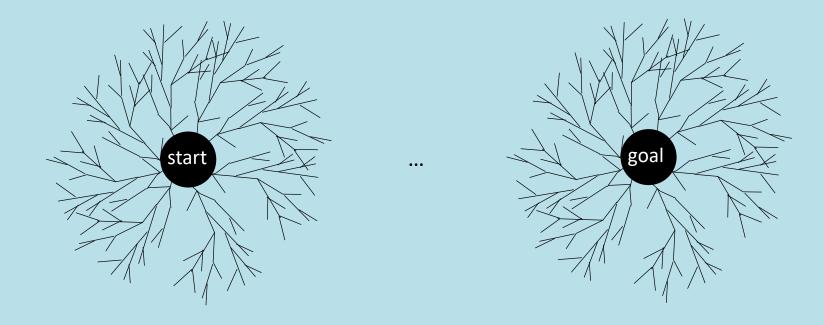
■ Path costs: a function that assigns a cost to path, typically by summing the costs of the individual operators in the path. We want to minimize the cost.

 Search costs: The computational time and space (memory) required to find the solution

There is a trade-off between path costs and search cost, in real-world-problems we can not build full search trees, we have to find best solution in the time available

#### 2.2 Bidirectional Search

• Even better: search from both the start and the goal, in parallel!



If the shallowest solution has depth d and branching factor is b on both sides, requires only  $O(b^{d/2})$  nodes to be explored!

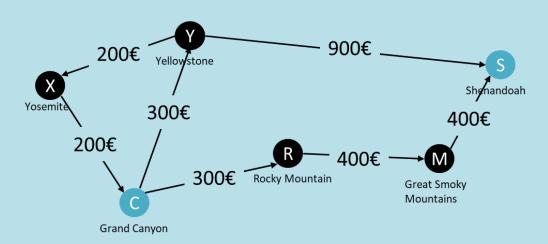
#### 2.2 Informed Search

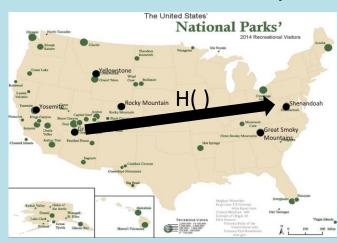
So far, have assumed that no non-goal state looks better than another

- Use knowledge to build search trees:
  - Even without knowing the road structure, some locations seem closer to the goal than others
  - Some states of a problem seem closer to the goal than others
- Makes sense to expand closer-seeming nodes first

### 2.2 Idea: Use an Criterion to Identify which Node to Expand First

- Heuristic function h(n) gives an estimate of the distance from n to the goal (with h(n)=0 for goal nodes)
- E.g. straight-line distance for traveling problem (less costs for fuel etc.)



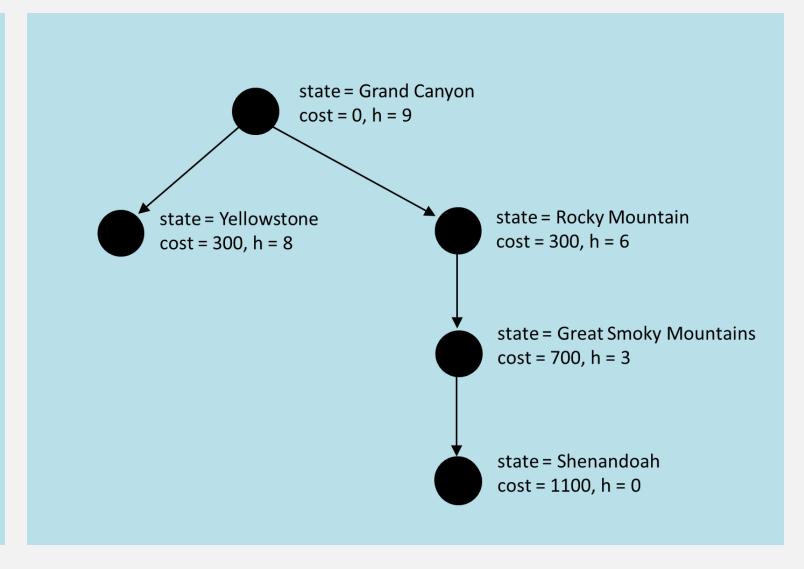


- We assume: h(C) = 9, h(Y) = 8, h(X) = 9, h(R) = 6, h(M) = 3, h(S) = 0
- Can use heuristic to decide which nodes to expand first

### 2.2 Greedy Best-First Search

 Greedy best-first search: expand nodes with lowest h values first

Can find fast an optimal solution



# 2.2 A\* search: Minimizing the Total Estimated Solution Cost

Evaluate nodes by combining g(n), the cost to reach the node, and h(n), the cost to get from the node to the goal:

$$f(n) = g(n) + h(n)$$

Since g(n) gives the path cost from the start node to node n, and h(n) is the estimated cost of the cheapest path from n to the goal, we have:

f(n) = estimated cost of the cheapest solution through n

# 2.2 A\* Search and Admissibility

- A heuristic is admissible if it never overestimates the distance to the goal
- If n is the optimal solution reachable from n', then  $g(n) \ge g(n') + h(n')$
- Straight-line distance is admissible: can't hope for anything better than a straight road to the goal
- Admissible heuristic means that A\* is always optimistic

# 2.2 Optimality of A\*

■ If the heuristic is admissible, A\* is optimal (in the sense that it will never return a suboptimal solution)

#### ■ Proof:

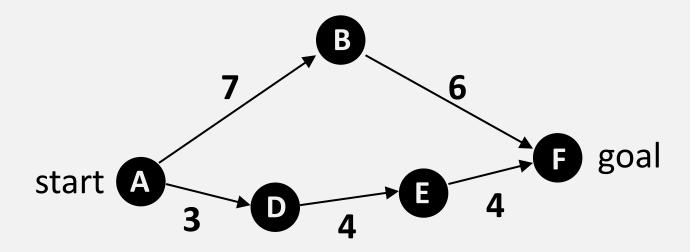
- Suppose a suboptimal solution node n with solution value C > C\* is about to be expanded (where C\* is optimal)
- Let n\* be an optimal solution node (perhaps not yet discovered)
- There must be some node n' that is currently in the fringe and on the path to n\*
- We have  $g(n) = C > C *= g(n *) \ge g(n') + h(n')$
- But then, n' should be expanded first (contradiction)

#### 2.2 Classroom Task

# Your turn!

Task

Given the following route map with the following distance heuristics h(A) = 9, h(B) = 5, h(D) = 6, h(E) = 3, h(F) = 0. Try to solve this map with the greedy algorithm and discuss the results with your neighbors!



#### Outline

- 2 Problem-Solving Agents
- 2.1 Intelligent Agents
- 2.2 Solving Problems by Searching
- 2.3 Beyond Classical Search
- 2.4 Adversarial Search and Game Theory
- 2.5 Constraint Satisfaction Problems

#### ► What we will learn:

- We define the concept of rational agents (≈ intelligent agents)
- Characteristics of artificial agents (perfect or otherwise), the diversity of environments, and the resulting menagerie of agent types
- We discuss how AI problems can be modelled as search-problems, and how they can be solved by searching



Image source: 

☐ Pixabay (2019) / ☐ CCO

- **▶** Duration:
  - 225 min
- ► Relevant for Exam:
  - 2.1 2.5

### 2.3 Beyond Classical Search

- Previous chapter: Path to goal is solution to problem
- But sometimes...
  - The start state may not be specified
  - The path to the goal doesn't matter
- In such cases, we can use local search algorithms that keep a single "current" state and gradually try to improve it

# 2.3 The State Space "Landscape"

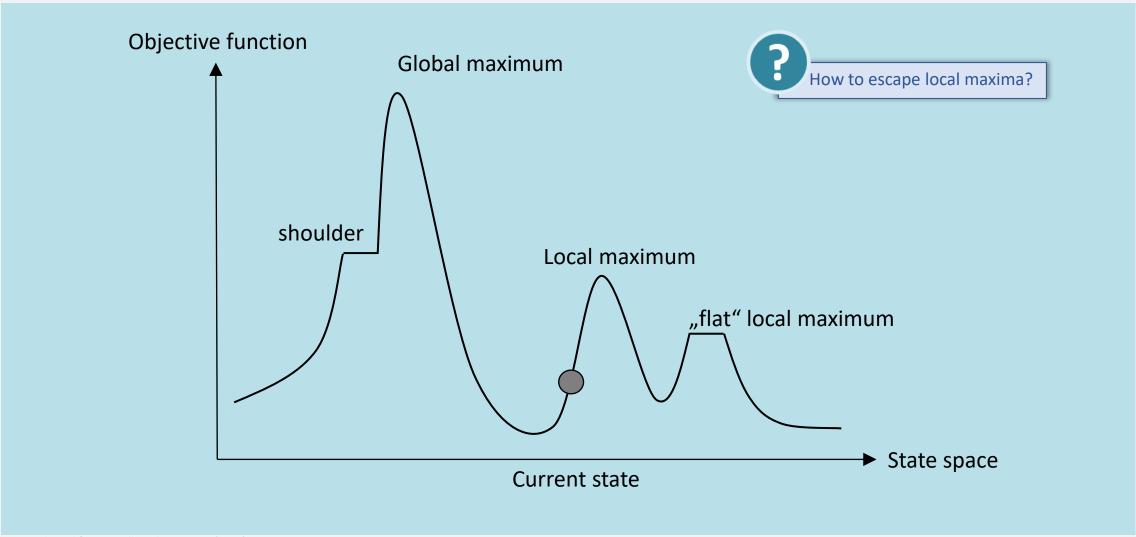


Image adapted from Rusell, S., & Norvig, P. (2016);

# 2.3 How to Escape Local Maxima: Trivial Algorithms

- Random Sampling
  - Generate a state randomly

- Random Walk
  - Randomly pick a neighbor of the current state

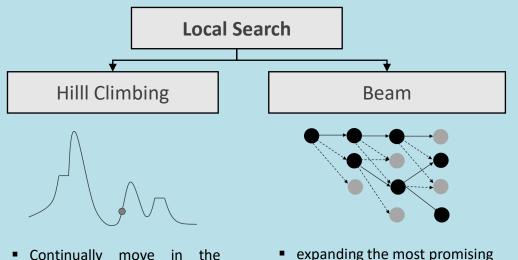
Both algorithms asymptotically complete

# 2.2 Overview: Beyond Classical Search



#### Local Search

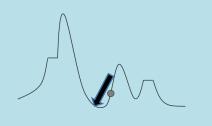
Local search algorithms are such algorithms, which use a single current node (rather than multiple paths) and generally move only to neighbors of that node



node in a limited set

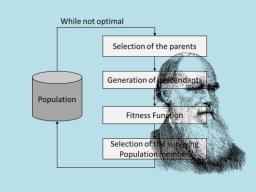
Continually move in the direction of increasing value

#### Simulated Anhealing



 Escape local maxima by allowing some "bad" moves but gradually decrease their frequency

#### **Genetic Algorithms**



 Always expands the deepest node in the current frontier of the search tree.

Adapted from Rusell, S., & Norvig, P. (2016) | | Image source: 7 Pixabay (2019) / 7 CCO

### 2.3 Local Search Algorithms

- If the path to the goal does not matter → simplify algorithms and ignore paths
- Local search algorithms are such algorithms, which use a single current node (rather than multiple paths) and generally move only to neighbors of that node
- Typically, the paths followed by the search are not retained. Although local search algorithms are not systematic, they have two key advantages:
  - they use very little memory usually a constant amount
  - they can often find reasonable solutions in large or infinite (continuous) state spaces for which systematic algorithms are unsuitable

#### 2.3 Hill-Climbing Search

#### **Algorithm: Hill Climbing**

 $current \leftarrow MAKE-NODE(problem.INITIAL-STATE)$ 

#### While STOP != TRUE

 $neighbor \leftarrow a \ highest-valued \ successor \ of \ current$ 

**If**  $neighbor.value \leq current.VALUE$ 

**Then return** current. STATE current  $\leftarrow$  neighbor

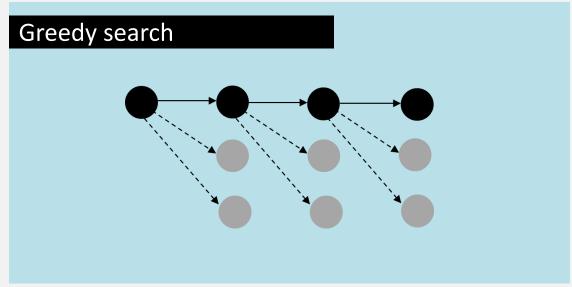


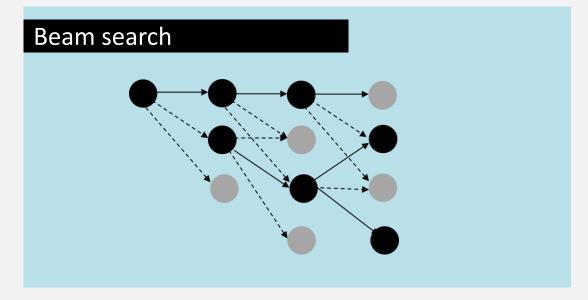
- Idea: simply a loop that continually moves in the direction of increasing value—that is, uphill
- The algorithm does not maintain a search tree, so the data structure for the current node need only record the state and the value of the objective function.
- Hill climbing does not look ahead beyond the immediate neighbors of the current state

#### 2.3 Local Beam Search

Start with k randomly generated states

- Is this the same as running k greedy searches in parallel?
- At each iteration, all the successors of all *k* states are generated
- If any one is a goal state, stop; else select the *k* best successors from the complete list and repeat





Adapted from Rusell, S., & Norvig, P. (2016);

### 2.3 Simulated Annealing Search

#### **Algorithm: Simulated Annealing**

*Initialize current to starting state* 

For i = 1 to  $\infty$ 

If T(i) = 0 return current

**Let** next = random successor of current

**Let**  $\Delta$  = value(next) – value(current)

**If**  $\Delta > 0$  **then let** current = next

**Else** let current = next with probability  $exp(\Delta/T(i))$ 

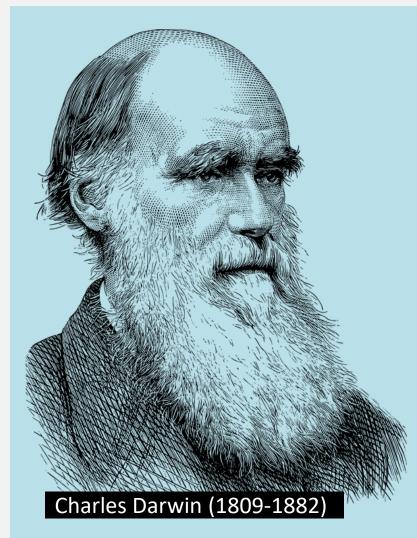


- Idea: Escape local maxima by allowing some "bad" moves but gradually decrease their frequency
- Probability of taking downhill move decreases with number of iterations, steepness of downhill move
- Controlled by annealing schedule
- Inspired by tempering of glass, metal

### 2.3 Conclusion: Simulated Annealing Search

- We can mathematically prove that a slow decrease in temperature will find a global optimum with probability approaching one
- However:
  - This usually takes impractically long
  - The more downhill steps you need to escape a local optimum, the less likely you are to make all of them in a row
- State-of-the-Art: General family of Markov Chain Monte Carlo (MCMC) algorithms for exploring complicated state spaces

### 2.3 Genetic/Evolutionary Algorithms



Biological evolutionary model according to Darwin:

Selection = driving force of evolution

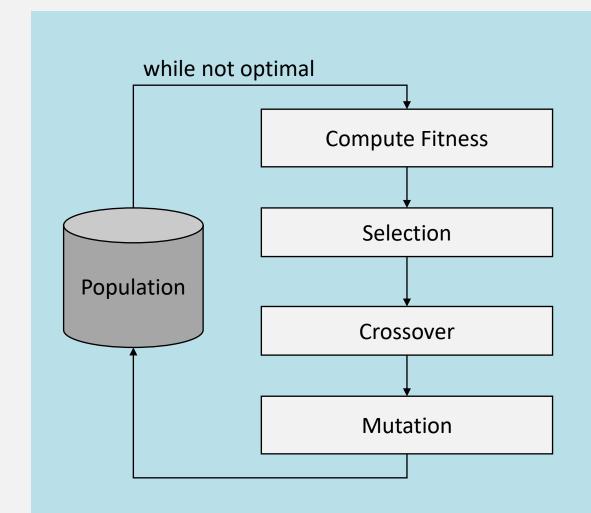
- Transfer to Computer Science: Evolution as optimization of complex, artificial systems
- Build machines that adapt to an defined working environment

Adapted from Rusell, S., & Norvig, P. (2016) | | Image source: ↗ Pixabay (2019) / ↗ CCO

## 2.3 Wording in Evolutionary Algorithms

	Individual	Possible solution, hypothesis, or configuration
	Population and generation	Set of solutions or hypothesis
•	Generation of descendants	Generation of new hypotheses. Methods: recombination (Cross-over) and mutation
•	Changed successor, child offspring	New hypothesis or configuration
•	Fitness function	Hypothesis quality, criterion to be optimized
•	Selection of the best	Selection of the hypotheses that the create the best problem solution

## 2.3 Basic Algorithm



#### **Algorithm: Genetic Algorithm**

Inputs: population, fitness\_func

new\_population <- empty set</pre>

#### Repeat

For i = 1 to SIZE(population) do

 $X \leftarrow RANDOM\text{-}SELECTION(population, fitness\_func)$ 

 $Y \leftarrow RANDOM\text{-}SELECTION(population, fitness\_func)$ 

Child  $\leftarrow$  REPRODUCE(x,y)

**If(random prob) then** child  $\leftarrow$  MUTATE(child)

Add child to new\_population

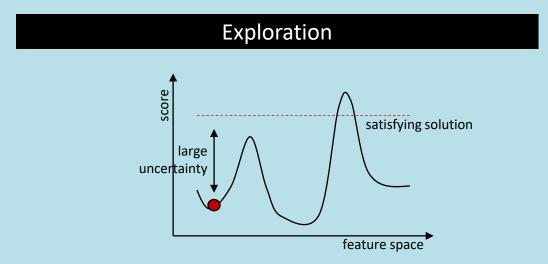
 $Population \leftarrow new\_population$ 

**Until** some individuals optimal or time elapse

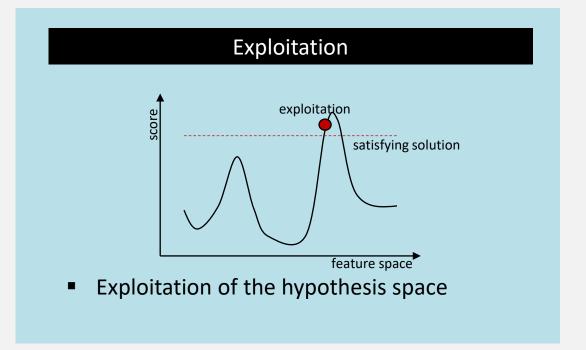
**Return** the best individuals in pop, according fitness\_func

<sup>\*</sup>Reproduce returns an new individual

### 2.3 Generation of descendants



Exploring the local space, local optimization



- The stronger and more random changes are, the lower is the probability of producing better offsprings
- With local improvement methods, the risk of local minima is given
- level of exploration must be in accordance with the current fitness of the generation can be selected (e.g.: initially high then falling)

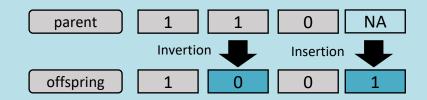
### 2.3 Mutation

#### Mutation

The offspring is descended from one parent, with mutation of single gene

#### Mutation:

- All bits of a sequence are independently inverted with a certain probability
- For a certain (or random) number of bits the indices are selected randomly
- Remove a partial sequence and insert it at another Place
- Inverted insertion of the partial sequence

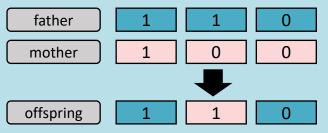


### Crossover / Recombination

Mix properties of two or more parents

#### Crossover:

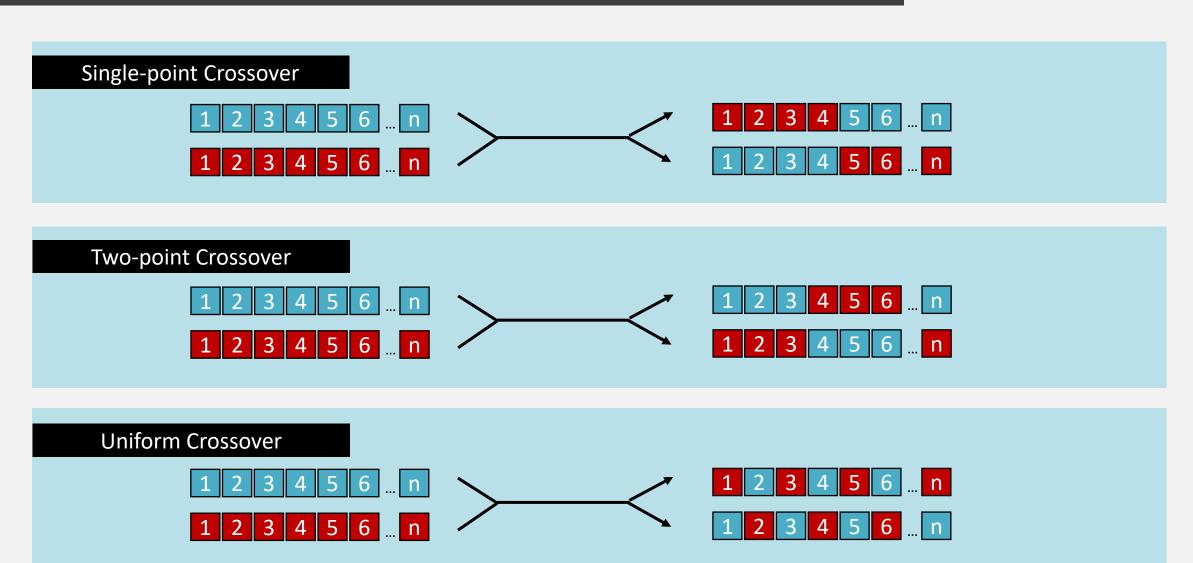
Discrete recombination



Intermediate recombination (continuous representation)

$$parent_1 := x \text{ and } parent_2 := y,$$
  
  $offspring_i := (x_i + y_i)/2$ 

## 2.3 Crossover/Recombination



### 2.3 Selection

### Two different types of selection:

- of the parents for respective production of offspring (Mating)
- of the population in each iteration

#### **Problems:**

- Genetic drift: Individuals reproduce more than others by chance
- Crowding, outlier problem: "fit" individuals and similar offspring dominate the Population
- → Development of individuals is slowed down
- → Diversity of the population is restricted

#### Solution:

- Different population models and selection methods
- Optimize population size

## 2.3 Population Models

- Island model (local)
   The evolution runs largely separately, only sometimes individuals exchanged
- Neighborhood model (near surroundings)
   Descendants may only be produced by individuals who are have the best fitness in their neighborhood
- A simple set (global)
   The best in the world are developing rapidly, others Lines of development are suppressed

## 2.3 Population Members

### **Population size:**

- Should it remain constant? (μ)
- How many newly produced offspring? (λ)
- How many parents should be used? (ρ)
- How are they determined?

#### **Member selection:**

stochastically selected  $\Rightarrow$  the best  $\mu$  individuals

- $-(\mu, \lambda)$  Strategy: Selection refers only to the Offspring (better exploration)
- $(\mu + \lambda)$  Strategy:

Selection also involves parents (the Best are considered, search for Elites → Exploitation, cheap with good calculable fitness functions)

## 2.3 Substitute Population Members

### **Substitution rule for members:**

- Descendants replace all parents (Generation mode)
- Offspring replaced a part of the parents
- Offspring replaced parents that are most similar to them
- Geographical Replacement
- Best individual survives (Elitist Mode)

#### Rule of thumb:

The best quarter of the population should be three quarters of the descendants

### 2.3 Selection Methods - Fitness Based Selection

Fitness Based Selection: 
$$P(X) \approx \frac{f(x)}{\sum_{x' \in Pop.} f(x')}$$
 exactly  $P(X) = \frac{\lambda}{\mu} \cdot \frac{f(x)}{\sum_{x' \in Pop} f(x')}$ 

P(x): Probability of selection of individual x

λ: Number of descendants

μ : Population size

f: Fitness – Function

- depending on the value of the fitness function
- e.g. during Evolution only minor changes in f(x) and thus in P(x)

## 2.3 Selection Methods - Ranking Based Selection

Ranking Based Selection : 
$$P(x) \approx \frac{g(r(x))}{\sum_{x' \in Pop.} g(r(x'))}$$
 with

P(x): Probability of selection of individual x

r(x): Ranking of x in the current population according to fitness -Function

g: function increasing monotonically with the quality of the rank greater than 0

• Exponential: 
$$g(x) = a^{-x}$$

• Hyperbolic: 
$$g(x) = x^{-a}$$

• Exponential: 
$$g(x) = a^{-x}$$
  
• Hyperbolic:  $g(x) = x^{-a}$   
• the best k:  $g(x) = \begin{cases} 1/_k, & x \le 0 \\ 0, & else \end{cases}$ 

- less dependent on the amount of fitness
- better adaptation of exploration / exploitation

### 2.3 Selection Method – Tournament Selection

### **Tournament Selection (tournament)**

- select n (=2) individuals for each individual to be created
- reward (increase rating) of it, according to the fitness the best individual
- select individuals with highest rating
- little dependent on the amount of fitness

## Choosing the selection method

often application-specific

## 2.3 Use Genetic Algorithms to Solve our Travelling Salesman Problem



### Find a path:

- start in Yosemite
- each national park is visited exactly once
- the travelled distance is minimal

Image sources: 

✓ US NationalParks (2015) by Mwierschkec 

✓ CC BY-SA 4.0

## 2.3 Use Genetic Algorithms to Solve our Travelling Salesman Problem I







### Find a path:

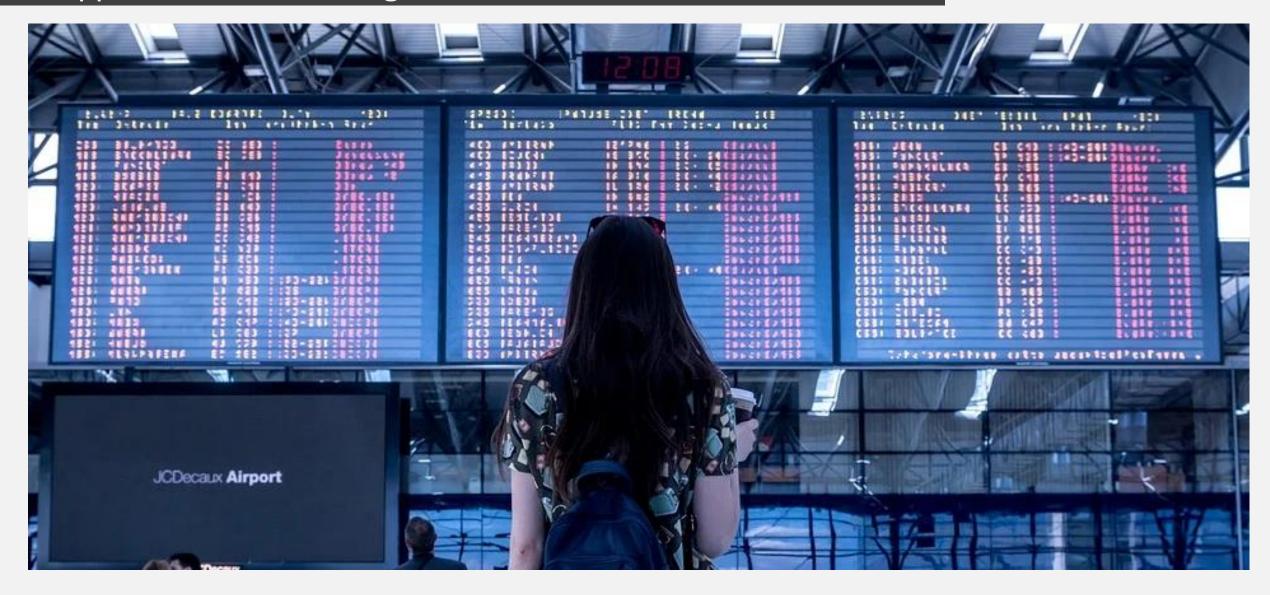
- each national park is visited exactly once
- the travelled distance is minimal

[YOS ROC GRE GRA SHE]

Inversion

[YOS ROC GRE SHE GRA]

## 2.3 Application of Genetic Algorithms



## 2.3 Classroom task

# Your turn!

Task

#### Please explain

• What is the difference between the steps mutation and crossover in the context of genetic algorithms?

### Exercises

#### **Workbook Exercises**

■ Please read the chapters 2-4 from Rusell, S. & Norvig, P. (2016). Work through the exercises of the related chapters. Start with the exercises related to algorithms we discussed during lecture.

#### **Coding Exercises**

Coding exercises start after lecture 3

### References

#### Literature

1. Rusell, S., & Norvig, P. (2016). Artificial Intelligence: A Modern Approach. Global Edition.

#### **News articles**

1. NTV (2019): Sneaker-Bots ausgetrickst - Skate-Shop verkauft Fotos statt Schuhe. Online available at: https://www.ntv.de/mediathek/videos/wirtschaft/Skate-Shop-verkauft-Fotos-statt-Schuhe-article21229466.html

#### **Images**

All images that were not marked other ways are made by myself, or licensed  $\nearrow \underline{CCO}$  from  $\nearrow \underline{Pixabay}$ .

#### **Further reading**

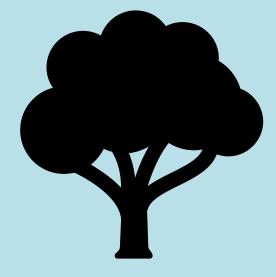
■ If you are also interested in visiting all US national parks, I can recommend to take a look at the blog article from *University* of Penn data scientist Dr. Randal Olson (<a href="http://www.randalolson.com">http://www.randalolson.com</a>). He created a roadtrip of the optimal way to visit all 47\* U.S. National Parks in the mainland United States. Take a look at his Python projects (<a href="https://www.randalolson.com">Github</a>).

\*before Gateway Arch in 2018 became a national park

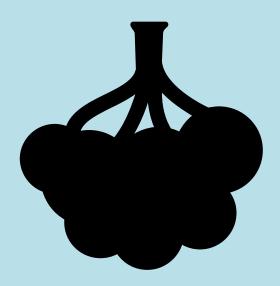
## Glossary

**Agent** An agent is anything that can be viewed as perceiving its environment through sensors and acting upon that environment through actuators (Rusell & Norvig, 2016) **Agent program** *Implements the agents function (Rusell & Norvig, 2016, p.59)* **Performance measure** Evaluates the behavior of the agent in an environment. A rational agent acts so as to maximize the expected value of the performance measure, given the percept sequence it has seen so far (Rusell & Norvig, 2016, p.59) **Rationality/Rational** For each possible percept sequence, a rational agent should select an action that is expected to **agent** maximize its performance measure, given the evidence provided by the percept sequence and whatever built-in knowledge the agent has (Rusell & Norvig, 2016, p.37) Path costs A function that assigns a cost to path, typically by summing the costs of the individual operators in the path **Search costs** The computational time and space (memory) required to find the solution **Task environment** External environment of an agent including the performance measure, the external environment, the actuators, and the sensors (Rusell & Norvig, 2016, p.59)

### Trees



How normal people see trees



How computer scientists see trees