

Primer on Semiconductors

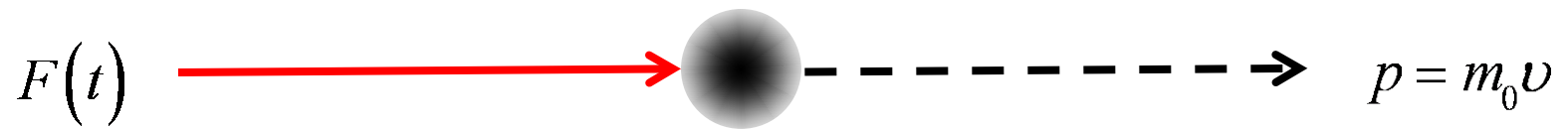
Unit 2: Quantum Mechanics

Lecture 2.6: Unit 2 Recap

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Classical particles: Newton's Laws



$$F = m_0 a = m_0 \frac{d^2 x}{dt^2} = m_0 \frac{dv}{dt} \qquad F = \frac{dp}{dt}$$

Equations of motion:

$$p(t) = p(0) + \int_0^t F(t') dt' \qquad v(t) = v(0) + \frac{1}{m_0} \int_0^t F(t') dt' \qquad x(t) = x(0) + \int_0^t v(t') dt'$$

Quantum particles: Wave equation

$$-\frac{\hbar}{i} \frac{\partial}{\partial t} \Psi(x, t) = -\frac{\hbar^2}{2m_0} \frac{\partial^2}{\partial x^2} \Psi(x, t) + U(x) \Psi(x, t)$$

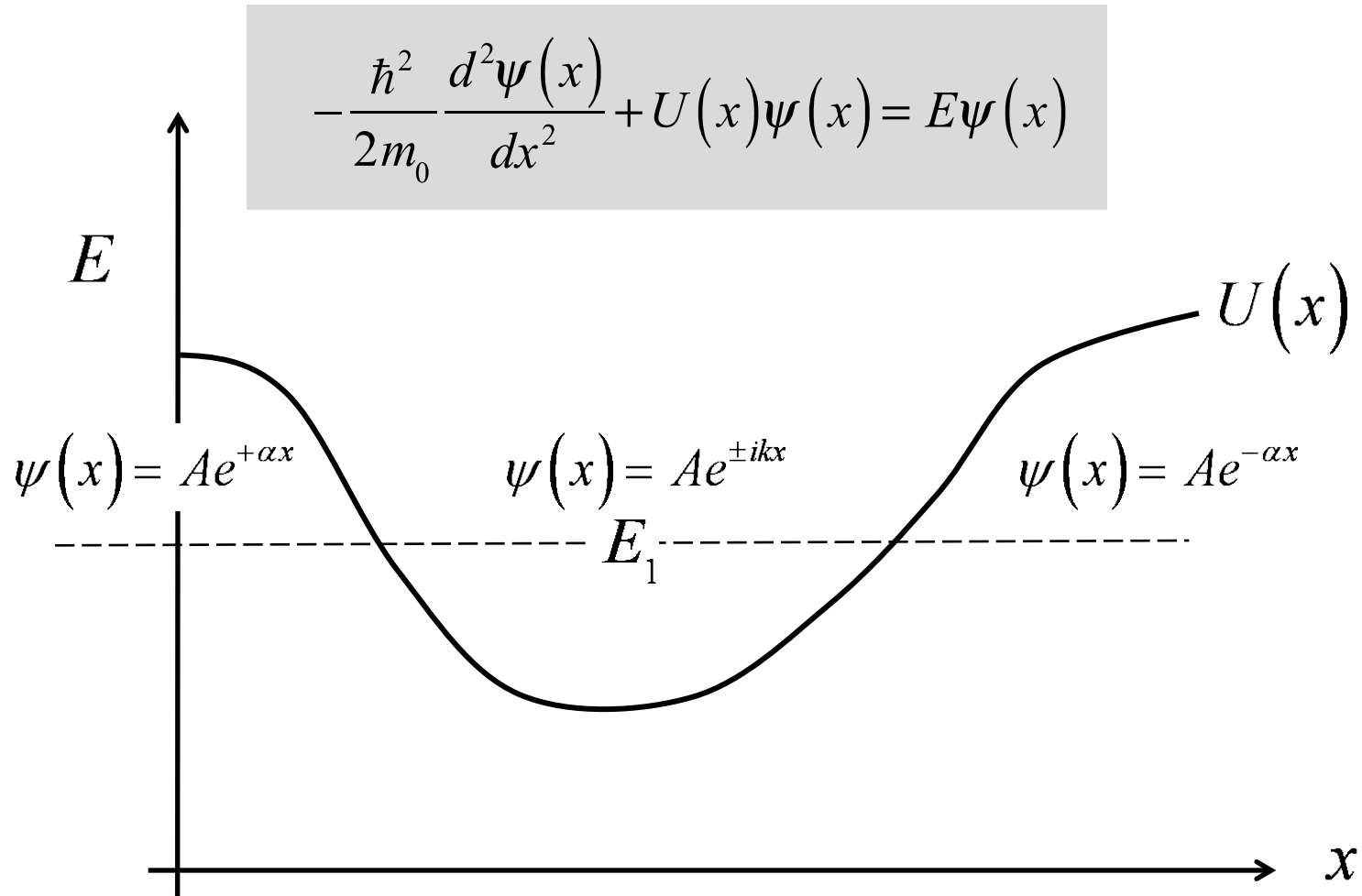
$$\Psi(x, t) = \psi(x) \phi(t)$$

$$-\frac{\hbar^2}{2m_0} \frac{d^2 \psi(x)}{dx^2} + U(x) \psi(x) = E \psi(x)$$

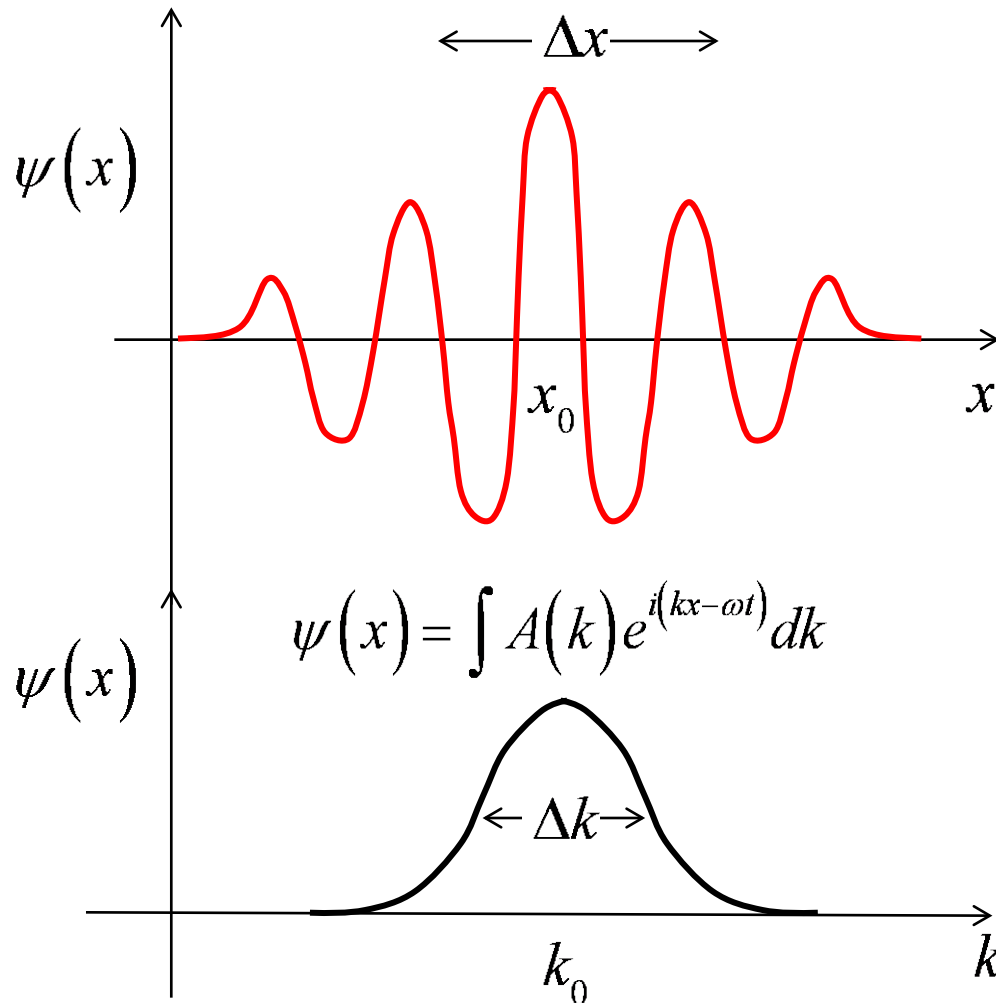
$$\Psi(x, t) = \psi(x) \phi(t) = \psi(x) e^{-i\omega t} \quad \omega = E/\hbar$$

$$P(x, t) dx = \Psi^*(x, t) \Psi(x, t) dx$$

Solutions of the wave equation



Wave packets describe particles



Particle: $x = x_0$

Momentum: $p = \hbar k_0$

Velocity: $v_g = \left. \frac{1}{\hbar} \frac{dE}{dk} \right|_{k=k_0}$

$$\Delta p \Delta x \geq \hbar/2$$

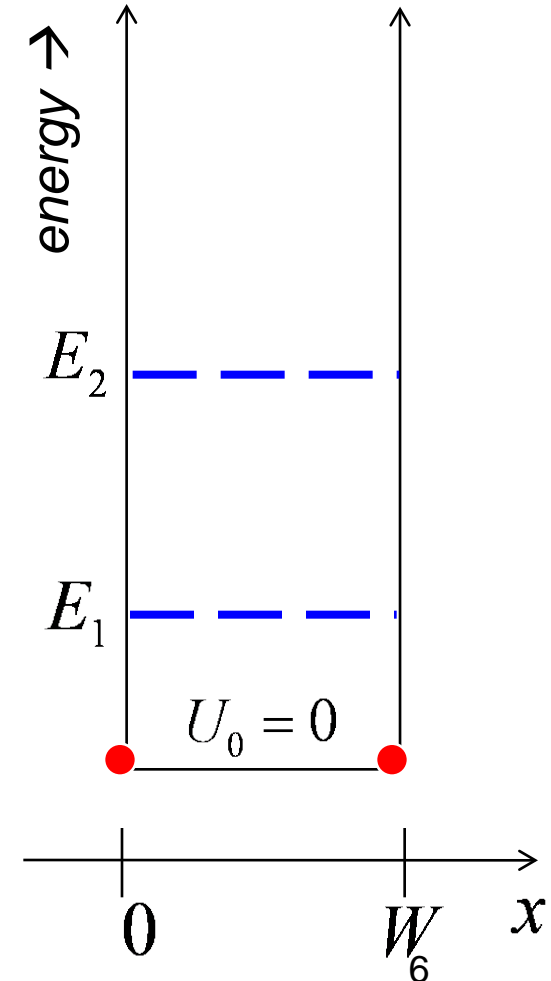
$$\Delta E \Delta t \geq \hbar/2$$

1D quantum well summary

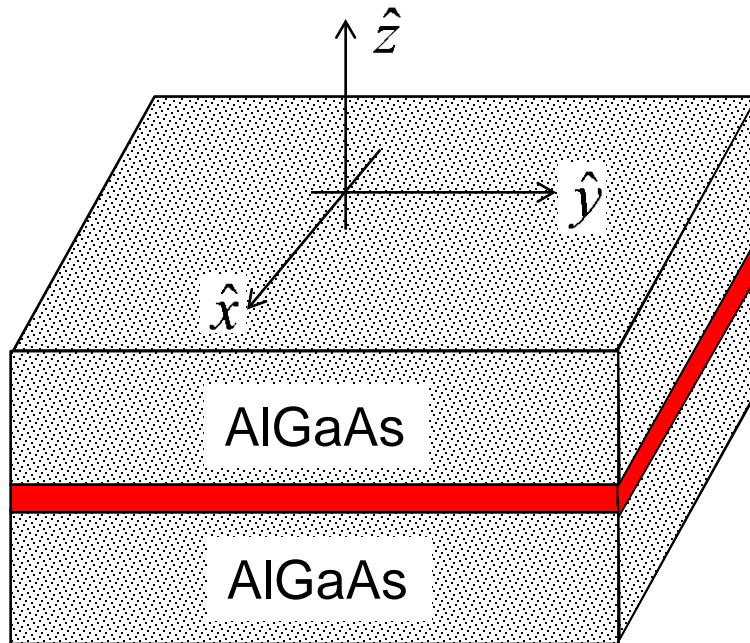
$$\psi(x) = A \sin k_j x \quad k_j = \frac{\pi}{W} j \quad j = 1, 2, 3 \dots$$

$$k^2 = \frac{2mE}{\hbar^2} \quad E_j = \frac{\hbar^2 k_j^2}{2m} = \frac{\hbar^2 j^2 \pi^2}{2mW^2}$$

- Confined electrons have quantized energies.
- Tighter confinement (smaller W leads to higher energies.
- Lighter masses leads to higher energies.



Quantum confinement with heterostructures



GaAs

Electrons are confined in the z-direction, but free to move in the x-y plane.

$$\psi(\vec{r}) \sim e^{i\vec{k} \cdot \vec{r}} \rightarrow \sin(k_z z) \times e^{ik_x x} \times e^{ik_y y}$$

“GaAs quantum well”

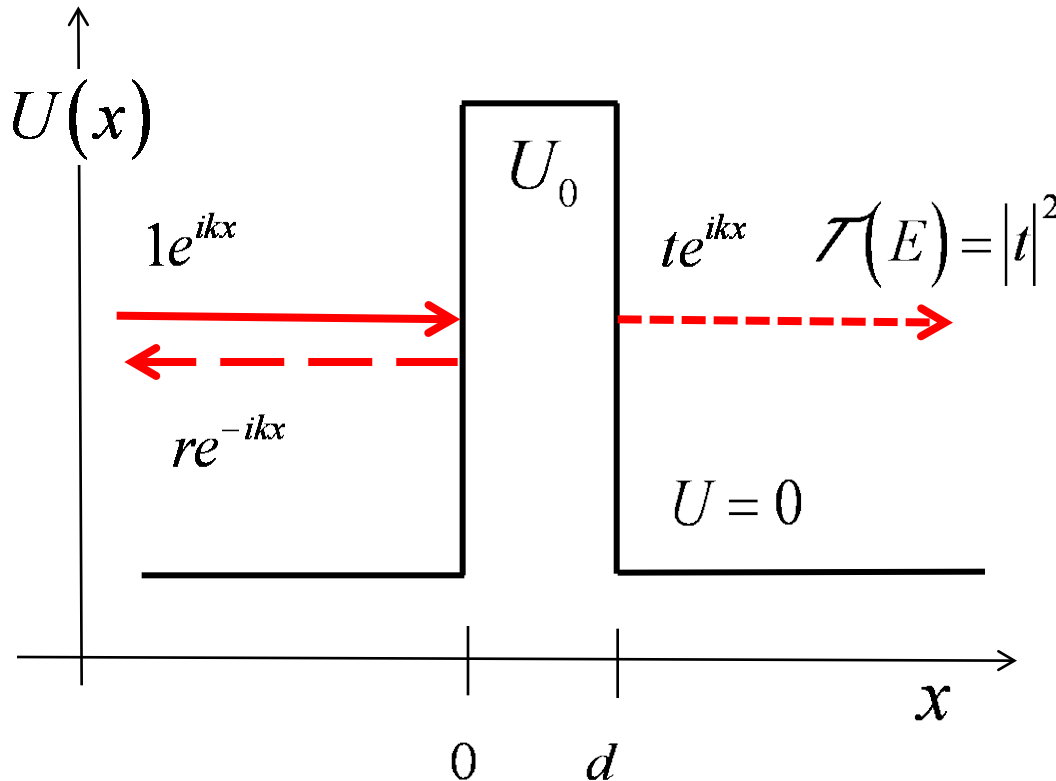
$$k_{zj} = j \frac{\pi}{W}$$

$$E_j = \frac{\hbar^2 j^2 \pi^2}{2mW^2}$$

“subbands” $E = E_j + \frac{\hbar^2 k_{\parallel}^2}{2m}$

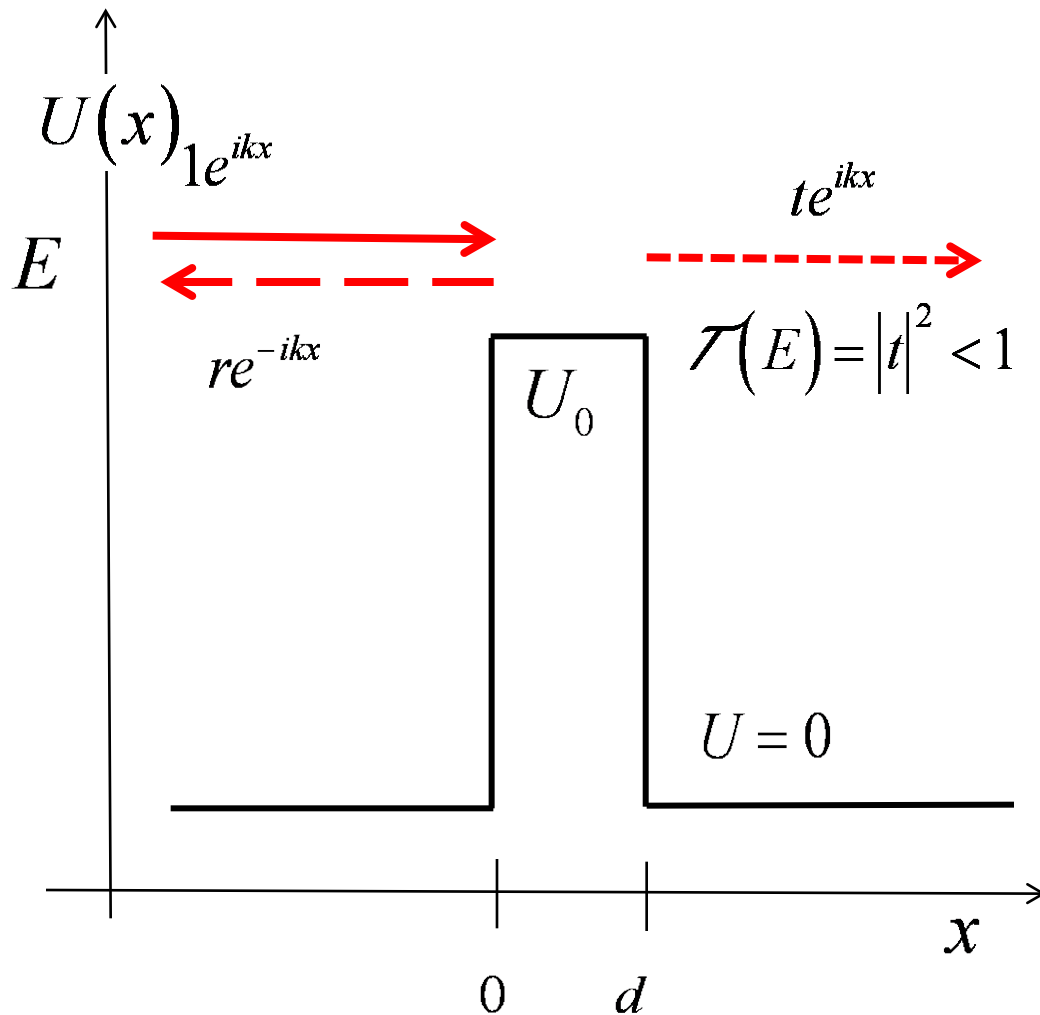
Quantum tunneling

$$\mathcal{T}(E) \approx \exp\left(-2d\sqrt{2m(U_0 - E)/\hbar^2}\right)$$



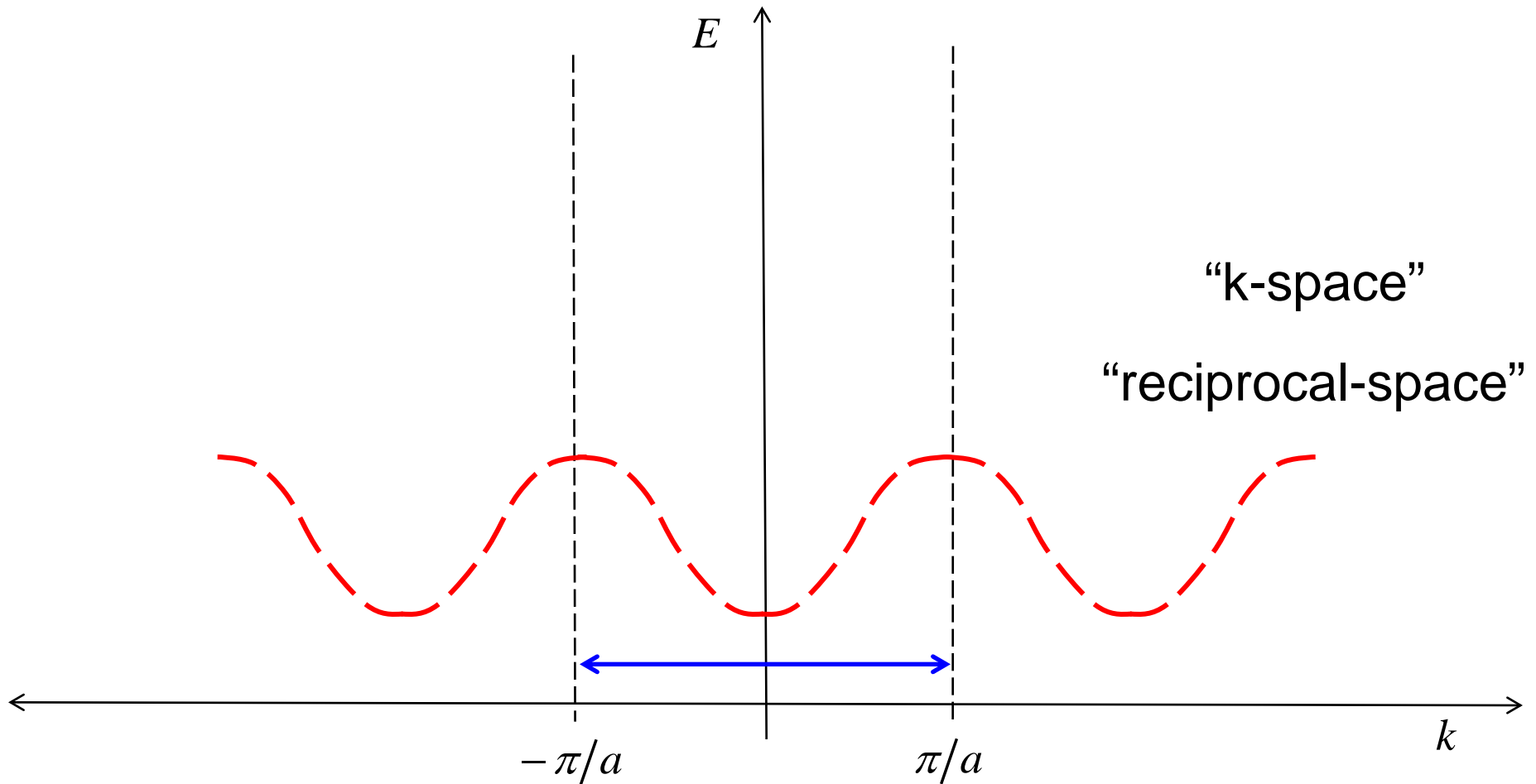
- 1) Tunneling decreases exponentially with increasing barrier thickness.
- 2) Tunneling decreases exponentially with increasing barrier height.
- 3) Tunneling decreases exponentially with increasing mass.

Quantum reflection



The potential must change slowly (on the scale of the electron's wavelength) to treat the electron as a classical particle.

Solutions are periodic in k-space



Brillouin zone

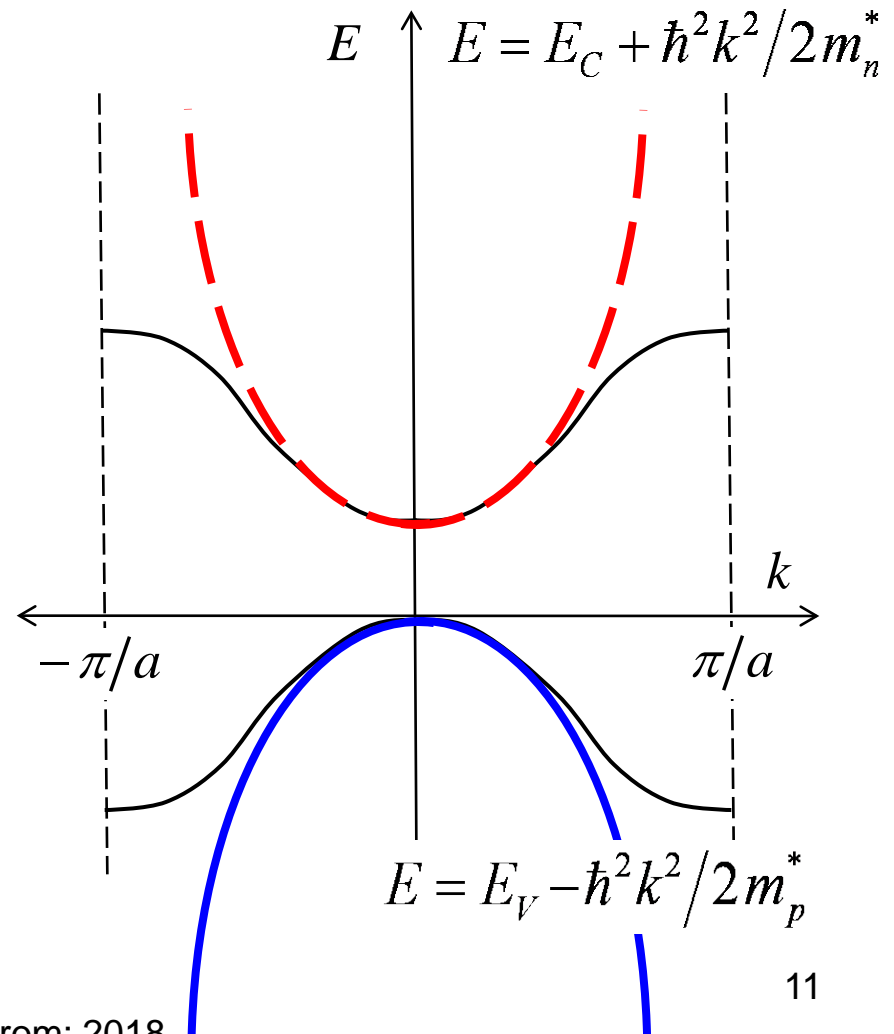
Reduced zone and effective mass

Near a band minimum or maximum, $E(k)$ is a parabola.

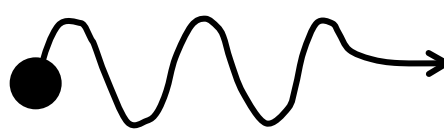
$$E \approx E_C + \hbar^2 k^2 / 2m_n^*$$

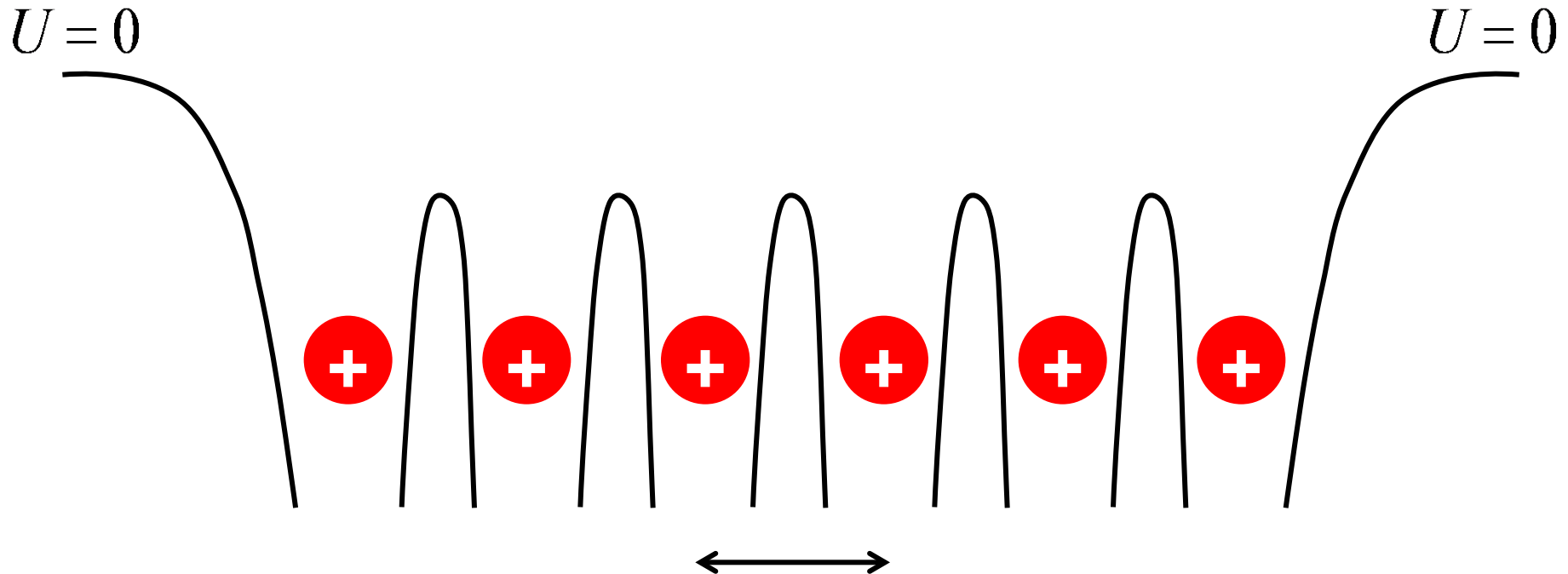
The curvature of the parabola is the **effective mass**.

$$v_g(k) = \frac{1}{\hbar} \frac{dE(k)}{dk} = \frac{\hbar k}{m_n^*}$$



Mobile electrons in crystals


$$E(k) = \hbar^2 k^2 / 2m^* \quad p = \hbar k$$
$$v_g = (1/\hbar) dE/dk = \hbar k / m^* \quad F = dp/dt$$



Summary

- 1) The crystal potential varies rapidly on an atomic scale. It determines the effective mass.
- 2) If the applied potential varies rapidly on the scale of the electron's wavelength, then we must solve a wave equation (e.g. semiconductor quantum wells)

$$-\frac{\hbar^2}{2m^*} \frac{d^2\psi(x)}{dx^2} + U(x)\psi(x) = E\psi(x)$$

- 3) If the applied potential varies slowly on the scale of the electron's wavelength, then we can treat electrons as classical particles with an effective mass.

$$E(k) = \hbar^2 k^2 / 2m^* \quad p = \hbar k \quad v_g = \hbar k / m^* \quad F = dp/dt$$

Vocabulary

- | | |
|--------------------------|------------------------------|
| 1) Black body radiation | 13) Brillouin zone |
| 2) Photoelectric effect | 14) Crystal momentum |
| 3) De Broglie wavelength | 15) Band structure |
| 4) Wave equation | 16) Effective mass |
| 5) Phase velocity | 17) Kane bands |
| 6) Group velocity | 18) Spherical energy bands |
| 7) Wavevector | 19) Ellipsoidal energy bands |
| 8) Uncertainty relations | 20) Density of states (DOS) |
| 9) Tunneling | 21) Valley degeneracy |
| 10) Quantum reflection | 22) DOS effective mass |
| 11) Crystal potential | |
| 12) Bloch wave | |