

Continuum Mechanics

Tunnel Strength

Filippo Gatti, Ph.D.

`filippo.gatti@centralesupelec.fr`

Lab.MSSMat UMR CNRS 8579

CentraleSupélec

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Algebra Recap

Tensor/Vector product

- Scalar product :

$$\underline{a}, \underline{b} \in \mathbb{R}^a \quad \langle \underline{a}, \underline{b} \rangle = \sum_{m=1}^a a_m b_m$$

- Tensors and tensor product :

$$\underline{\underline{A}} \in \mathbb{R}^a \rightarrow \mathbb{R}^b \quad \underline{\underline{A}} = \underline{a} \otimes \underline{b}, \underline{a} \in \mathbb{R}^a, \underline{b} \in \mathbb{R}^b$$

- Tensor application to vector :

$$\underline{\underline{A}} = \underline{a} \otimes \underline{b} \in \mathbb{R}^a \rightarrow \mathbb{R}^b, \underline{c} \in \mathbb{R}^b \quad \underline{\underline{A}}.\underline{c} = \underline{a} \langle \underline{b}, \underline{c} \rangle$$

- Tensor/Tensor product :

$$\underline{\underline{A}} = \underline{a} \otimes \underline{b} \in \mathbb{R}^a \rightarrow \mathbb{R}^b, \underline{\underline{B}} = \underline{c} \otimes \underline{d} \in \mathbb{R}^b \otimes \mathbb{R}^c \quad \underline{\underline{A}}.\underline{\underline{B}} = \underline{a} \otimes \underline{d} \langle \underline{b}, \underline{c} \rangle$$

Some algebra (cont'd)

Tensor/Vector product

- Vector gradient :

$$\underline{a} \in \mathbb{R}^a \quad \underline{\underline{\mathbb{D}_x \underline{a}}} = \sum_{m=1}^a \frac{\partial \underline{a}}{\partial x_m} \otimes \underline{e}_m$$

- Vector divergence :

$$\underline{a} \in \mathbb{R}^a \quad \text{div}_x (\underline{a}) = \text{Tr} \left(\underline{\underline{\mathbb{D}_x \underline{a}}} \right) = \langle \underline{\nabla}_x, \underline{a} \rangle = \sum_{m=1}^a \frac{\partial a_m}{\partial x_m}$$

- Tensor divergence :

$$\underline{\underline{A}} \in \mathbb{R}^a \rightarrow \mathbb{R}^b \quad \text{div}_x (\underline{\underline{A}}) = \sum_{m=1}^b \frac{\partial \underline{A}}{\partial x_m} \cdot \underline{e}_m$$

Some algebra (cont'd)

Tensor/Vector product in cylindrical coordinates

- Vector gradient :

$$\underline{a} \in \mathbb{R}^3 \quad \underline{\underline{\mathbb{D}_x a}} = \frac{\partial \underline{a}}{\partial r} \otimes \underline{i}_r(\theta) + \frac{\partial \underline{a}}{\partial \theta} \otimes \frac{\underline{i}_\theta}{r}(\theta) + \frac{\partial \underline{a}}{\partial z} \otimes \underline{i}_z$$

- Vector divergence :

$$\underline{a} \in \mathbb{R}^3 \quad \text{div}_x(\underline{a}) = \text{Tr} \left(\underline{\underline{\mathbb{D}_x a}} \right) = \langle \underline{\nabla}_x, \underline{a} \rangle = \left\langle \frac{\partial \underline{a}}{\partial r}, \underline{i}_r \right\rangle + \left\langle \frac{\partial \underline{a}}{\partial \theta}, \frac{\underline{i}_\theta}{r} \right\rangle + \left\langle \frac{\partial \underline{a}}{\partial z}, \underline{i}_z \right\rangle$$

- Tensor divergence :

$$\underline{\underline{A}} \in \mathbb{R}^3 \rightarrow \mathbb{R}^3 \quad \text{div}_x(\underline{\underline{A}}) = \frac{\partial \underline{\underline{A}}}{\partial r} \cdot \underline{i}_r(\theta) + \frac{\partial \underline{\underline{A}}}{\partial \theta} \cdot \frac{\underline{i}_\theta}{r} + \frac{\partial \underline{\underline{A}}}{\partial z} \cdot \underline{i}_z$$

Linear Elasticity

Elasticity theory

$$\underline{\underline{\sigma}}(\underline{\mathbf{x}}; t) = \mathcal{F}\left(\underline{\underline{\varepsilon}}^{el}(\underline{\mathbf{x}}; t)\right)$$

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- Linearity $\rightarrow g\left(\alpha \underline{\underline{\varepsilon}}_1^{el} + \beta \underline{\underline{\varepsilon}}_2^{el}\right) = \alpha \mathcal{F}\left(\underline{\underline{\varepsilon}}_1^{el}\right) + \beta \mathcal{F}\left(\underline{\underline{\varepsilon}}_2^{el}\right) :$

- Stiffness :

$$\underline{\underline{\sigma}}(\underline{\mathbf{x}}; t) = \mathbf{D}^{el}(\underline{\mathbf{x}}) : \underline{\underline{\varepsilon}}^{el}(\underline{\mathbf{x}}; t) \quad (1)$$

- Compliance :

$$\underline{\underline{\varepsilon}}^{el} = \mathbf{C}^{el}(\underline{\mathbf{x}}) : \underline{\underline{\sigma}}(\underline{\mathbf{x}}; t) \quad (2)$$

$\mathbf{A} : 4^{th}$ -order tensor (real symmetric)

$$\mathbf{A} = \underline{\underline{\mathbf{A}}}_l \otimes \underline{\underline{\mathbf{A}}}_r, \quad \mathbf{A} : \underline{\underline{\mathbf{B}}} = \underline{\underline{\mathbf{A}}}_l Tr\left(\underline{\underline{\mathbf{A}}}_r^T \underline{\underline{\mathbf{B}}}\right)$$

$$\underline{\underline{\sigma}}(\underline{\mathbf{x}}; t) = \mathcal{F}\left(\underline{\underline{\varepsilon}}^{el}(\underline{\mathbf{x}}; t)\right)$$

- Isotropic $\{\mathbf{I}, \underline{\underline{\mathbf{I}}} \otimes \underline{\underline{\mathbf{I}}}\}$:
 - Stiffness :

$$\underline{\underline{\sigma}}(\underline{\mathbf{x}}; t) = \lambda(\underline{\mathbf{x}}) \text{Tr}\left(\underline{\underline{\varepsilon}}^{el}(\underline{\mathbf{x}}; t)\right) \underline{\underline{\mathbf{I}}} + 2\mu(\underline{\mathbf{x}}) \underline{\underline{\varepsilon}}^{el}(\underline{\mathbf{x}}; t) \quad (3)$$

$$\mathbf{D}^{el}(\underline{\mathbf{x}}) = \lambda(\underline{\mathbf{x}}) \underline{\underline{\mathbf{I}}} \otimes \underline{\underline{\mathbf{I}}} + 2\mu(\underline{\mathbf{x}}) \mathbf{I} \quad (4)$$

- Compliance :

$$\underline{\underline{\varepsilon}}^{el}(\underline{\mathbf{x}}; t) = \frac{1 + \nu(\underline{\mathbf{x}})}{E(\underline{\mathbf{x}})} \text{Tr}\left(\underline{\underline{\sigma}}(\underline{\mathbf{x}}; t)\right) \underline{\underline{\mathbf{I}}} - \frac{\nu(\underline{\mathbf{x}})}{E(\underline{\mathbf{x}})} \underline{\underline{\sigma}}(\underline{\mathbf{x}}; t) \quad (5)$$

$$\mathbf{C}^{el}(\underline{\mathbf{x}}) = \frac{1 + \nu(\underline{\mathbf{x}})}{E(\underline{\mathbf{x}})} \underline{\underline{\mathbf{I}}} \otimes \underline{\underline{\mathbf{I}}} - \frac{\nu(\underline{\mathbf{x}})}{E(\underline{\mathbf{x}})} \mathbf{I} \quad (6)$$

$$\mathbf{I} = \sum_{i=1}^3 \underline{\mathbf{e}}_i \otimes \underline{\mathbf{e}}_i \otimes \underline{\mathbf{e}}_i \otimes \underline{\mathbf{e}}_i$$

$$\underline{\underline{\sigma}}(\underline{\mathbf{x}}; t) = \mathcal{F}\left(\underline{\underline{\varepsilon}}^{el}(\underline{\mathbf{x}}; t)\right)$$

- Spherical and deviatoric decomposition :
 - Spherical component (pression) σ_m :

$$\sigma_m(\underline{\mathbf{x}}; t) = \frac{Tr(\underline{\underline{\sigma}})}{3} = \frac{(3\lambda(\underline{\mathbf{x}}) + 2\mu(\underline{\mathbf{x}}))}{3} Tr(\underline{\underline{\varepsilon}}^{el}(\underline{\mathbf{x}}; t)) = K(\underline{\mathbf{x}}) \varepsilon_{vol}^{el}(\underline{\mathbf{x}}; t) \quad (7)$$

- Deviatoric component $\underline{\underline{s}}^\sigma$:

$$\underline{\underline{s}}^\sigma(\underline{\mathbf{x}}; t) = \underline{\underline{\sigma}} - \sigma_m \underline{\underline{\mathbf{I}}} = 2\mu(\underline{\mathbf{x}}) \underline{\underline{\varepsilon}}^{el} - \frac{2}{3}\mu(\underline{\mathbf{x}}) \varepsilon_{vol}^{el}(\underline{\mathbf{x}}; t) = 2\mu(\underline{\mathbf{x}}) \underline{\underline{e}}^{el}(\underline{\mathbf{x}}; t) \quad (8)$$

Direct relationship spherical/deviatoric

ε_{vol}^{el} : volumetric strain

$\underline{\underline{e}}^{el}$: deviatoric strains

$\bar{e} = \sqrt{\frac{4}{3} J_2(\underline{\underline{\varepsilon}})} : \text{equivalent deviatoric strain}$

$$\underline{\underline{\sigma}}(\underline{x}; t) = \mathcal{F}\left(\underline{\underline{\varepsilon}}^{el}(\underline{x}; t)\right)$$

- Homogeneity :

$$\mathbf{D}^{el}(\underline{x}) = \mathbf{D}^{el}, \quad \mathbf{C}^{el}(\underline{x}) = \mathbf{C}^{el} \quad (9)$$

- (λ, μ) : Lamé parameters (stiffness)
- (E, ν) : Young's modulus and Poisson's coefficient (compliance)
- $E > 0, \mu > 0, \quad 3\lambda + 2\mu > 0, \quad -1 < \nu < 0.5$

$$\lambda = \frac{\nu E}{(1 + \nu)(1 - 2\nu)}, \quad \mu = \frac{E}{2(1 + \nu)} \quad (10)$$

Elasticity theory

$$\underline{\underline{\sigma}}(\underline{\mathbf{x}}; t) = \mathcal{F}(\underline{\underline{\varepsilon}}^{el}(\underline{\mathbf{x}}; t))$$

$$\mathbf{D}^{el} = \frac{E}{(1+\nu)} \begin{bmatrix} \frac{1-\nu}{(1-2\nu)} & \frac{\nu}{1-2\nu} & \frac{\nu}{1-2\nu} & 0 & 0 & 0 \\ \frac{\nu}{1-2\nu} & \frac{1-\nu}{(1-2\nu)} & \frac{\nu}{1-2\nu} & 0 & 0 & 0 \\ \frac{\nu}{1-2\nu} & \frac{\nu}{1-2\nu} & \frac{1-\nu}{(1-2\nu)} & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\mathbf{C}^{el} = \frac{1}{E} \begin{bmatrix} 1 & -\nu & -\nu & 0 & 0 & 0 \\ -\nu & 1 & -\nu & 0 & 0 & 0 \\ -\nu & -\nu & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2(1+\nu) & 0 & 0 \\ 0 & 0 & 0 & 0 & 2(1+\nu) & 0 \\ 0 & 0 & 0 & 0 & 0 & 2(1+\nu) \end{bmatrix}$$

Figure – Elastic tensors with (E, ν) .

4.2 Tunnel Strength

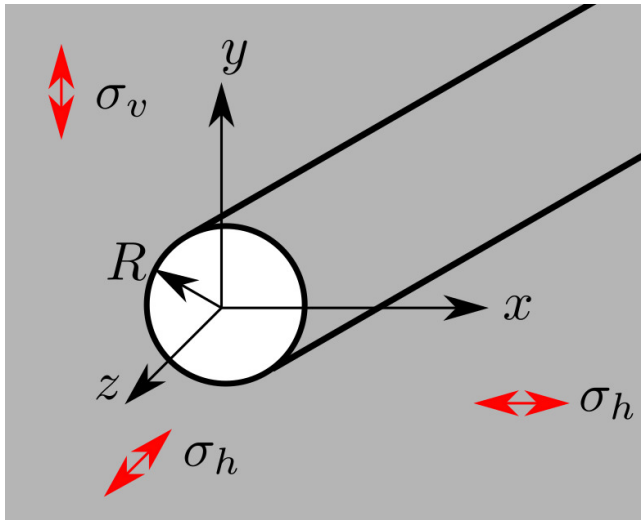


Figure – Set up [Credits : G. Puel]

Stress description

- $\underline{x} = x\underline{i}_x + y\underline{i}_y + z\underline{i}_z = r\underline{i}_r + z\underline{i}_z, \quad (r, \theta, z) \in \Omega_t =]0, R[\times]0, 2\pi[\times]0, L[$
- $x = r \cos \theta, y = r \sin \theta, \quad r = \sqrt{x^2 + y^2}$
- Initial stress

$$\underline{\underline{\sigma}}_0 = \sigma_h \underline{\underline{I}} + (\sigma_v - \sigma_h) \underline{i}_y \otimes \underline{i}_y, \quad \sigma_v \leq \sigma_h < 0$$

- $\underline{f}_v = 0, \underline{a} = 0$

Part I : Initial Stress

Question 1 : principal stresses $\sigma_I \geq \sigma_{II} \geq \sigma_{III}$?

$$\sigma_I = \sigma_{II} = \sigma_{xx} = \sigma_{zz} = \sigma_h, \sigma_{III} = \sigma_v$$

Question 2 : \underline{n} that maximizes $\|\underline{\tau}_\Sigma\|$?

- $\underline{\tau}_\Sigma = \underline{\underline{\sigma}} \cdot \underline{n} - \sigma_{nn} \underline{n} = \sigma_h \underline{n} + (\sigma_v - \sigma_h) n_y \underline{i}_y - \left(\sigma_h + (\sigma_v - \sigma_h) n_y^2 \right) \underline{n} = (\sigma_v - \sigma_h) n_y \left(\underline{i}_y - n_y \underline{n} \right)$
- $\|\underline{\tau}_\Sigma\| = |\sigma_v - \sigma_h| \cdot |n_y| \cdot \sqrt{1 - n_y^2} \quad (n_y \in [0, 1])$
- $\tau_{\max} = \|\underline{\tau}_\Sigma\| \Big|_{n_y = \pm \frac{\sqrt{2}}{2}} = \frac{|\sigma_v - \sigma_h|}{2}$ Mohr's circle with $\alpha = \arccos n_y = \pm \frac{\pi}{4}$

Question 3 : failure criteria?

- Splitting : $\max_{\|\underline{n}\|=1} \sigma_{nn} = \sigma_I = \sigma_h \leq 0 \leq \sigma_R$, with $\sigma_R \geq 0$
- Maximum shear (Tresca) : $\max_{\|\underline{n}\|=1} \|\underline{\tau}_\Sigma\| = \frac{|\sigma_v - \sigma_h|}{2} \leq \tau_R$

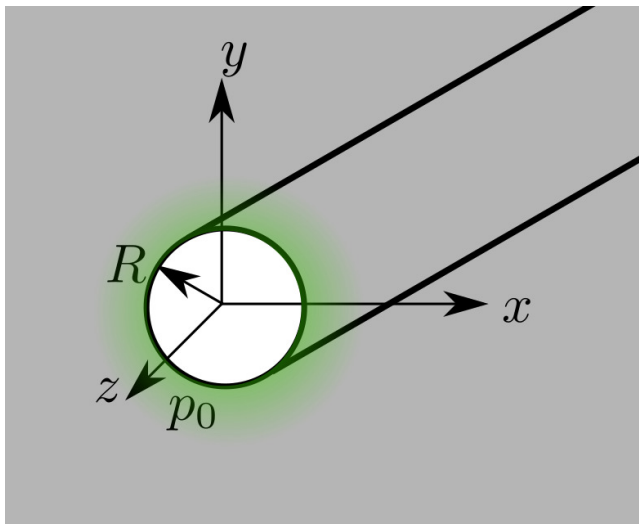


Figure – Set up [Credits : G. Puel]

Part II : Auxiliary Problem

Auxiliary problem $r > R$

- $\underline{u}(r, \theta) = \alpha \frac{R^2}{r} \underline{i}_r(\theta), r > R$
- $\underline{f}_S = -p_0 \underline{n}, r = R$
- $\underline{f}_v = 0, \underline{a} = 0$

Question 4/5/6/7 : verify auxiliary problem

4 Strain tensor : $\underline{\underline{\varepsilon}} = \underline{\underline{D}}_{\underline{x}}^S \underline{u} = \frac{\partial \underline{u}}{\partial r} \otimes \underline{i}_r + \frac{\partial \underline{u}}{\partial \theta} \otimes \frac{\underline{i}_\theta}{r} = \alpha \left(\frac{R}{r}\right)^2 \left(\underline{i}_\theta \otimes \underline{i}_\theta - \underline{i}_r \otimes \underline{i}_r\right)$

5 Stress tensor (linear elastic homogenous isotropic) :

$$\underline{\underline{\sigma}} = \lambda Tr(\underline{\underline{\varepsilon}}) \underline{\underline{I}} + 2\mu \underline{\underline{\varepsilon}} = 0 + 2\mu \alpha \left(\frac{R}{r}\right)^2 \left(\underline{i}_\theta \otimes \underline{i}_\theta - \underline{i}_r \otimes \underline{i}_r\right)$$

6 $div_x(\underline{\underline{\sigma}}) = \frac{\partial \underline{\underline{\sigma}}}{\partial r} \cdot \underline{i}_r + \frac{\partial \underline{\underline{\sigma}}}{\partial \theta} \cdot \frac{\underline{i}_\theta}{r} + \frac{\partial \underline{\underline{\sigma}}}{\partial z} \cdot \underline{i}_z = 4\mu \alpha \frac{R^2}{r^3} \underline{i}_r - 4\mu \alpha \frac{R^2}{r^3} \underline{i}_r + 0 = 0$

7 $\underline{\underline{\sigma}} \cdot \underline{n}|_{r=R} = -\underline{\underline{\sigma}}|_{r=R} \cdot \underline{i}_r = 2\mu \alpha \underline{i}_r = \underline{f}_S = -p_0 \underline{n} = p_0 \underline{i}_r \Rightarrow \alpha = \frac{p_0}{2\mu}$

Part III - Failure criteria (isotropic)

Isotropic stress state

- $\sigma_v = \sigma_h = -\rho g H < 0 \quad \underline{\underline{\sigma}}_0 = \sigma_v \underline{\underline{I}}, \underline{\underline{\sigma}}_t = \underline{\underline{\sigma}}_0 + \underline{\underline{\sigma}}$

Question 8/9/10/11 : failure criteria?

$$8 \quad \text{div}_x \left(\underline{\underline{\sigma}}_t \right) = \text{div}_x \left(\underline{\underline{\sigma}}_0 \right) + \text{div}_x \left(\underline{\underline{\sigma}} \right) = 0$$

$$\underline{\underline{\sigma}}_t \cdot \underline{\underline{n}}|_{r=R} = -\underline{\underline{\sigma}}_t \cdot \underline{\underline{i}}_r = -\sigma_v \underline{\underline{i}}_r + p_0 \underline{\underline{i}}_r = 0 \rightarrow p_0 = \sigma_v < 0$$

$$9 \quad \underline{\underline{\sigma}}_t = \sigma_v \underline{\underline{I}} + \sigma_v \left(\frac{R}{r} \right)^2 \left(\underline{\underline{i}}_\theta \otimes \underline{\underline{i}}_\theta - \underline{\underline{i}}_r \otimes \underline{\underline{i}}_r \right) \text{ (diagonal)} \rightarrow \sigma_I =$$

$$\sigma_v \left(1 - \left(\frac{R}{r} \right)^2 \right); \sigma_{III} = \sigma_v \left(1 + \left(\frac{R}{r} \right)^2 \right), \tau_{\max} = \frac{\sigma_I - \sigma_{III}}{2} = -\sigma_v \leq \tau_c$$

10 In plane $(\underline{\underline{i}}_r, \underline{\underline{i}}_\theta)$, tangent to tunnel's cross section, fractures at $\alpha = \pm \frac{\pi}{4}$

$$11 \quad \sigma_I < 0 = \sigma_R \quad \tau_{\max} = -\sigma_v \leq \tau_c \rightarrow H \leq \frac{\tau_c}{\rho g}$$

$$\underline{\underline{u}}_t = \underline{\underline{u}}_0 + \underline{\underline{u}} = 0 + \frac{\rho g H}{2\mu} \frac{R^2}{r} \underline{\underline{i}}_r \rightarrow u_{\max} = \left\langle \underline{\underline{u}}_t, \underline{\underline{i}}_y \right\rangle|_{r=R} = \frac{\rho g H R}{2\mu} \leq \frac{\tau_c R}{2\mu}$$