

Smart IoT Service Builder Platform

Filipe Cruz

**A dissertation submitted in partial fulfillment of
the requirements for the degree of Master of Science,
Specialisation Area of Software Engineering**

Supervisor: Dr. Nuno Silva

Evaluation Committee:

President:

TODO, Professor, DEI/ISEP

Members:

TODO, Professor, DEI/ISEP

TODO, Professor, DEI/ISEP

TODO, Professor, DEI/ISEP

Porto, August 29, 2022

Dedictory

TODO

Abstract

Today there are more smart devices than people. According to **statista-number-devices** the number of devices worldwide is forecast to almost triple from 8.74 billion in 2020 to more than 25.4 billion devices in 2030.

The Internet of Things (IoT) is the connection of millions of smart devices and sensors connected to the Internet. These connected devices and sensors collect and share data for use and evaluation by many organizations. Some examples of intelligent connected sensors are: GPS asset tracking, parking spots, refrigerator thermostats, soil condition and many others. The limit of different objects that could become intelligent sensors is limited only by our imagination. But this devices are mostly useless without a platform to analyse, store and present the aggregated data.

Recently, several platforms have emerged to address this need and help companies/governments to increase efficiency, cut on operational costs and improve safety. Sadly, most of this platforms are tailor made for the devices that the company offers. This dissertation presents a platform and its development that assembles multiple services related to IoT into a single application. All the services provided by this platform attempt to be sensor-neutral and are to be exhibited under the same unified application.

Keywords: Internet of Things, Stream Processing, Big Data, Configurability, Real Time Systems

Resumo

Atualmente, existem mais sensores inteligentes do que pessoas. De acordo com **statista-number-devices**, o número de sensores em todo o mundo deve quase triplicar de 8,74 bilhões em 2020 para mais de 25,4 bilhões em 2030.

O conceito de IoT está relacionado com a interação entre milhões de dispositivos inteligentes através da Internet. Estes dispositivos e sensores conectados recolhem e disponibilizam dados para uso e avaliação por parte de muitas organizações. Alguns exemplos de sensores inteligentes e seus usos são: dispositivos GPS para rastreamento de activos, monitorização de vagas de estacionamento, termostatos em arcas frigoríficas, condição do solo e muitos outros. O número de diferentes objectos que podem vir-se a tornar sensores inteligentes é limitado apenas pela nossa imaginação. Mas estes dispositivos são praticamente inúteis sem uma plataforma para analisar, armazenar e apresentar os dados por eles agregados.

Recentemente, várias plataformas surgiram para responder a essa necessidade e ajudar empresas/governos a aumentar a sua eficiência, reduzir custos operacionais e melhorar a segurança dos espaços e negócios. Infelizmente, a maioria dessas plataformas é feita à medida para os dispositivos que a empresa em questão oferece. Esta tese apresenta uma plataforma que permite a criação e agregação de vários serviços relacionados com IoT num ambiente único. Todos os serviços fornecidos por esta plataforma procuram ser agnósticos em relação aos dispositivos inteligentes suportados.

Acknowledgement

TODO

Contents

List of Figures	xv
List of Tables	xvii
List of Algorithms	xix
List of Source Code	xxi
List of Symbols	xxiii
1 Introduction	1
1.1 Problem	1
1.2 Context	1
1.3 Approach	1
1.4 Objectives	1
1.5 Achieved Results	1
1.6 Document Structure	1
2 State of the Art	3
2.1 Internet of Things	3
2.1.1 Brief Description	3
2.1.2 Practical Applications	3
2.1.3 Enterprise Challenges	3
2.1.4 Renowned Solutions	3
2.2 Big Data	3
2.2.1 Brief Description	3
2.2.2 Challenges	3
2.3 Synopsis	3
3 Analysis	5
3.1 Business Analysis	5
3.1.1 Fleet Management	5
3.1.2 Smart Irrigation	5
3.1.3 Fire Outbreak Surveillance	5
3.2 Technical Analysis	5
3.2.1 Data Aggregation	5
3.2.2 Data Filtering	5
3.2.3 Data Storage	5
3.2.4 Data Transformation	5
3.2.5 Data Analysis	5
3.2.6 Data Presentation	5

3.2.7	Trigger Warning System	5
3.2.8	User Authentication/Authorization	5
3.3	Synopsis	5
4	Requirements Elicitation	7
4.1	Functional Requirements	7
4.2	Non Functional Requirements	7
4.3	Synopsis	7
5	Design	9
5.1	System Scopes	9
5.1.1	Configuration Scope	10
5.1.2	Data Flow Scope	10
5.1.3	Service Scope	11
5.1.4	Synopsis	11
5.2	Domain	11
5.2.1	Taxonomy	11
5.2.2	Shared Model	12
5.2.3	Bounded Contexts	21
5.2.4	Synopsis	33
5.3	Architectural Design	33
5.3.1	C4 Level 1 - Context	34
5.3.2	C4 Level 2 - Containers	36
5.3.3	C4 Level 3 - Components	57
5.4	Architectural Alternatives Discussed	68
5.4.1	Backend Segregation	69
5.4.2	Frontend Segregation	71
5.4.3	User Authorization/Authentication	71
5.4.4	Data Flow Pipeline	76
5.4.5	Internal Communication	77
5.5	Synopsis	81
6	Implementation	83
6.1	Technical Decisions	83
6.1.1	Backend Technologies Usage throughout the Solution	84
6.1.2	Frontend Technologies Usage thought the Solution	85
6.1.3	Expose a GraphQL API On Backend Services	86
6.1.4	Usage of RabbitMQ to support Internal Communication	87
6.1.5	Usage of Protocol Buffers in Internal Communication	88
6.1.6	Database Usage throughout the Solution	88
6.1.7	Rules Script Engine	91
6.1.8	Data Decoders Script Engine	92
6.1.9	Containerization of services via Docker	92
6.1.10	Orchestration of services via Docker Compose	93
6.1.11	Usage of Nginx as a web server and reverse proxy	93
6.1.12	Usage of Git as a version control system of the project	93
6.1.13	Usage of Github Issues to track issues, bugs and new features	94
6.1.14	Usage of Github Actions for CI/CD	96
6.1.15	Usage of Maven Repository to host Open-Source Code	98

6.2	Technical Description	99
6.2.1	Description of Sensae Console UI	99
6.2.2	Description of Sensae Console API	99
6.2.3	Description of Sensae Console Data Ingestion Endpoint	99
6.2.4	Description of Sensae Console Rule Engine	99
6.2.5	Description of Sensae Console Data Decoders	99
6.2.6	Description of Configuration Files	99
6.3	Testing	99
6.3.1	Unit Tests	99
6.3.2	Integration Tests	99
6.3.3	Functional Tests	99
6.3.4	End-to-End Tests	99
6.3.5	Architectural Tests	99
6.3.6	Performance Tests	99
6.4	Synopsis	99
7	Evaluation	101
7.1	Approach	101
7.2	Subjective Critique Evaluation - Configuration View	101
7.3	Subjective Critique Evaluation - Operation View	101
7.4	Synopsis	101
8	Conclusion	103
8.1	Achievements	103
8.2	Unfulfilled Results	103
8.3	Future Work	103
8.4	Synopsis	103
	Bibliography	105
A	Appendix Title Here	109

List of Figures

5.1	System Scopes	9
5.2	Shared Model	13
5.3	Message Envelop Model	17
5.4	Routing Model	18
5.5	Data Processor Context Model	23
5.6	Data Decoder Context Model	24
5.7	Device Management Context Model	25
5.8	Identity Management Context Model	26
5.9	Domain Structure	27
5.10	High-Level View of a Information Flow Processing (IFP) System	28
5.11	Rule Management Context Model	28
5.12	Notification Management Context Model	29
5.13	Smart Irrigation Context Model - Irrigation Zone	30
5.14	Smart Irrigation Context Model - Device	31
5.15	Smart Irrigation Context Model - Reading	32
5.16	Fleet Management Context Model	33
5.17	Context Level - Logical View Diagram	35
5.18	Context Level - Development View Diagram	35
5.19	Context Level - Physical View Diagram	36
5.20	Container Level - Configuration Scope - Logical View Diagram	38
5.21	Container Level - Data Flow Scope - Logical View Diagram	39
5.22	Container Level - Service Scope - Logical View Diagram	41
5.23	Container Level - System/Container Initialization - Process View Diagram	42
5.24	Container Level - System/Container Initialization - Part 2 - Process View Diagram	43
5.25	Container Level - Data Flow - Diagram	44
5.26	Container Level - Data Decoder Operation part 1 - Process View Diagram	45
5.27	Container Level - Data Decoder Operation Part 2 - Process View Diagram	46
5.28	Container Level - Consult Data Processors - Process View Diagram	47
5.29	Container Level - Edit Device Information - Process View Diagram	48
5.30	Container Level - User Authentication - Process View Diagram	49
5.31	Container Level - User Authorization - Process View Diagram	50
5.32	Container Level - Consult Device Live Location via Fleet Management - Process View Diagram	51
5.33	Container Level - Receive notification via Notification Management - Process View Diagram	52
5.34	Container Level - Valve Activation Process via Smart Irrigation - Process View Diagram	53
5.35	Container Level - Frontend Services - Development View Diagram	54
5.36	Container Level - Backend Services - Development View Diagram	55
5.37	Container Level - Database Services - Development View Diagram	56

5.38	Container Level - Physical View Diagram	57
5.39	Component Level - Data Decoder Frontend - Logical View Diagram	59
5.40	Component Level - Device Management Backend - Logical View Diagram .	60
5.41	Component Level - Device Ownership Backend - Logical View Diagram . .	62
5.42	Component Level - Process Data Unit in Device Management Flow Backend - Process View Diagram	64
5.43	Component Level - Deploy Draft Rule Scenarios in Rule Management Back- end - Process View Diagram	65
5.44	Component Level - Data Decoder Frontend - Development View Diagram .	66
5.45	Component Level - Device Management Backend - Development View Diagram	67
5.46	Component Level - Device Ownership Backend - Development View Diagram	68
5.47	Monoliths and Microservices	70
5.48	User Authorization/Authentication - Internal Authorization Server Alterna- tive - Sequence Diagram	72
5.49	User Authorization/Authentication - External Authorization Server Alterna- tive - Sequence Diagram	73
5.50	User Authorization/Authentication - External Authorization Server with In- ternal Permissions Server Alternative - Sequence Diagram	75
5.51	Internal Communication - First Option - Logical View Diagram	78
5.52	Internal Communication - Second Option - Logical View Diagram	79
5.53	Internal Communication - Third Option - Logical View Diagram	79
5.54	Internal Communication - Fourth Option - Logical View Diagram	80
5.55	Internal Communication - Fifth Option - Logical View Diagram	81
6.1	Advanced Messaging Queue Protocol (AMQP) 0.9.1 Protocol Concepts . .	87
6.2	Branching Model	94
6.3	Future Branching Model	94
6.4	Github Issues	95
6.5	Github Issues Project Board	96

List of Tables

5.1	Comparison of Operations in Data Flow and Configuration Scopes	10
5.2	Measure Data Types	16
5.3	Routing Types	20
5.4	Components responsibilities	61
6.1	Comparison of Angular with React	85
6.2	Technologies Comparison - Reverse Proxy Web Server	93

List of Algorithms

List of Source Code

5.1	Inbound Information Example	23
6.1	Configuration File for <i>iot-core</i> Continuous Delivery	96
6.2	Configuration File for Sensae Console Continuous Integration	97
6.3	Backend services test script	97

List of Symbols

a	distance	m
P	power	W (Js^{-1})
ω	angular frequency	rad

Chapter 1

Introduction

1.1 Problem

1.2 Context

1.3 Approach

1.4 Objectives

1.5 Achieved Results

1.6 Document Structure

Chapter 2

State of the Art

2.1 Internet of Things

2.1.1 Brief Description

2.1.2 Practical Applications

2.1.3 Enterprise Challenges

2.1.4 Renowned Solutions

2.2 Big Data

2.2.1 Brief Description

2.2.2 Challenges

2.3 Synopsis

Chapter 3

Analysis

3.1 Business Analysis

3.1.1 Fleet Management

3.1.2 Smart Irrigation

3.1.3 Fire Outbreak Surveillance

3.2 Technical Analysis

3.2.1 Data Aggregation

3.2.2 Data Filtering

3.2.3 Data Storage

3.2.4 Data Transformation

3.2.5 Data Analysis

3.2.6 Data Presentation

3.2.7 Trigger Warning System

3.2.8 User Authentication/Authorization

3.3 Synopsis

Chapter 4

Requirements Elicitation

4.1 Functional Requirements

4.2 Non Functional Requirements

4.3 Synopsis

Chapter 5

Design

This section goal is to describe the overall system design to the reader. First the various system scopes will be introduced, followed by a section regarding the domain model. After this the system's architectural design will be presented and major decisions/alternatives discussed. At last, a synopsis of this chapter can be read.

5.1 System Scopes

The system designed can be divided in three main scopes as disclosed in the Figure 5.1.

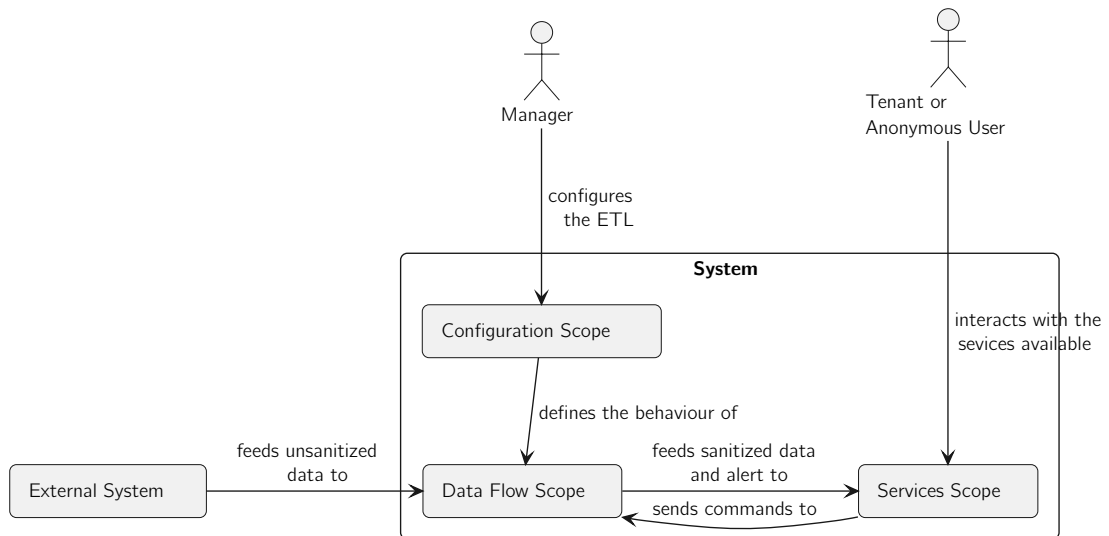


Figure 5.1: System Scopes

The **Configuration Scope** adheres to the configuration and visualization of internal processes/contexts. This processes, such as: (i) data decoders, (ii) data mappers (iii) device inventory, (iv) warning rules definition and (v) device ownership, are related to the **Data Flow Scope**. It is also possible to manage users' access and permissions in this scope.

The **Data Flow Scope** behaves according to what is defined in the **Configuration Scope** and acts as a pipeline where raw device data goes though various stages till it is sanitized and ready to be supplied to the **Services Scope**. The **Data Flow Scope** is where internal processes occur, such as: (i) data transformation, (ii) data enrichment, (iii) data validation, (iv) data ownership clarification and (v) warnings dispatching.

The **Services Scope** is comprised of services that present and act according to the sanitized data that was supplied to them. This services applicability range from (i) smart irrigation, (ii) fleet management, (iii) fire detention, (iv) physical security access monitoring, (v) air quality monitoring and anything else deemed interesting.

5.1.1 Configuration Scope

The **Configuration Scope** is responsible for managing the following contexts:

- **Data Processor:** manages simple data mappers;
- **Data Decoder:** manages scripts to transform data;
- **Device Management:** manages device information such as name, metadata, static data and other notions;
- **Identity Management:** manages device ownership and users permissions;
- **Rule Management:** manages scripts that consume device data and produce alerts.

Each context allows an authorized user to manage its resources, e.g. the data processor context manages the creation, deletion and renovation of data mappers.

This operations require various verifications, alter the system internal state and are therefore prolonged operations.

5.1.2 Data Flow Scope

The **Data Flow Scope** is responsible for processing incoming data according to what is defined in the **Configuration Scope**. Both scopes share the same contexts, apart from the data validation and data store contexts (only present in this scope).

The data validation context preforms basic data filtering based on static rules, e.g. battery percentage reported has to be in between 0 and 100.

The data store context persists data captured in a defined and static state.

This scope applies changes to the device data that flows though the system. This changes are stateless and don't change the overall state of the internal system state.

This scope was decoupled from the **Configuration Scope** even though they both work with the same contexts. The decision was taken based on the pretext that despite the similarities in context the operation/business processes of this two scopes were conflicting.

The **Configuration Scope** requires scarce but heavy computations that alter the internal system state while the **Data Flow Scope** requires plentiful but light computations that don't alter the internal system state as summarized in the Table 5.1.

Comparison of Operations	Configuration Scope	Data Flow Scope
Alter internal system state	yes	no
Alter sensor data	no	yes
Required computation power/time	high	low
Frequency of usage	low	high

Table 5.1: Comparison of Operations in Data Flow and Configuration Scopes

Due to this discrepancy it's expected for each scope to have different requirements regarding horizontal scaling. With the addition of more devices to the platform, and subsequently higher ingress volume, **Data Flow Scope** will need to scale. Since the **Configuration Scope** is intended mostly for the manager of the platform, a small user pool, the need to scale is smaller.

5.1.3 Service Scope

The **Service Scope** is responsible for presenting Internet of Things (IoT) business cases to end users. This scope is comprised of services that consume and publish data to **Data Flow Scope**. Currently, as a Minimum Value Product (MVP) the following business cases implemented are:

- **Fleet Management**: basic service to monitor a fleet of cars regarding their location;
- **Smart Irrigation**: service to automate and monitor the irrigation of zones based on sensor readings;
- **Notification Management**: service to view and manage the delivery of triggered alerts.

Each service is bounded to what type of data receives and sends back to the **Data Flow Scope** as detailed in Sections 5.2.1 and 5.2.2.

5.1.4 Synopsis

This section introduces the system as three separated scopes each with different needs and responsibilities. Despite this they all have a common domain model. The Section 5.2 addresses this shared domain and each context peculiarity.

5.2 Domain

This system's domain model will be discussed here. The idea behind this section is to introduced core business concepts to the reader and explain how they map to the contexts present in the system. To represent this ideas the Unified Modeling Language (UML) notation is used.

This section is split into four pieces: (i) concepts, (ii) shared model, (iii) bounded contexts and (iv) synopsis.

5.2.1 Taxonomy

In order for the reader to better understand how the system functions some concepts need to be better classified and explained:

- **Device**: A device is a "Thing" that can collect data and submit it to **Sensae Console** via an external system though **Uplinks**. A device can, optionally, receive **Downlinks**;
- **Controller**: A controller is a **Device** that controls and aggregates data from various **Devices**;
- **Records/Metadata**: Records, or Metadata are labels associated to a **Device** that help an organization to classify and add some context to the owned **Devices**;

- **Downlink:** A downlink is a term commonly used in radio communications to denote the transmission from the network to the end user. In this case the network is the **Sensae Console** and the end user is a **Device**;
- **Uplink:** An uplink is the opposite of a **Downlink**, it's the transmission from a **Device** to the **Sensae Console**;
- **Data Unit:** A device data or measure is the collected data that is submitted via an **Uplink** to the **Sensae Console**. This data should be, at least, enriched with an unique identifier of the **Uplink** and **Device** that sent it;
- **Device Command:** A device command is an abstraction on top of a **Downlink** intended to order a **Device** to execute a specific action. As an example, one could send a command to open or close a valve that is incorporated into a **Controller**;
- **Decoder:** A decoder is a function that translates a **Data Unit** into something that **Sensae Console** understands;
- **Domain:** A domain represents a department in a organization. An organization is composed of several domains structured in a tree like format;
- **Tenant:** A tenant is a user that belongs to one or more **Domains**;
- **Alert/Warning:** A report about a detected condition based on the gather **Data Unit**;
- **Topic:** A Topic is a subcategory of the type of contents that are traded between the various containers in the system.

Currently the **Topics** that flow in the system are:

- **Data:** This topic references the **Data Unit** concept and is intended to be consumed by the **Service Scope**;
- **Command:** This topic references the **Device Command** concept and is intended to be used mainly by the **Service Scope**;
- **Alert:** This topic references the **Alert/Warning** concept and is intended to be consumed mainly by the **Service Scope**;
- **Internal:** This topic references the internal state maintained in the **Configuration Scope** and **Data Flow Scope**.

This concepts are referenced across the document.

5.2.2 Shared Model

The shared model is comprised of concepts that transverse the entire **Sensae Console** business model. Therefore, it is built as a separated project, *iot-core*, that can be imported in each micro service.

The intent behind this Shared Model is to alleviate one of the issues related to distributed systems - heterogeneity in data formats (Nadiminti, De Assunção, and Buyya 2006) - and to provide a simple Software Development Kit (SDK) for third-parties to develop new services that interact with the **Sensae Console**.

It is comprised of three big components: (i) data model, (ii) message envelop model, and (iii) routing model.

Data Model

The data model represents the **Data Unit** that **Sensae Console** is currently capable of understanding. The following diagram, Figure 5.2, introduces a high level specification of it.

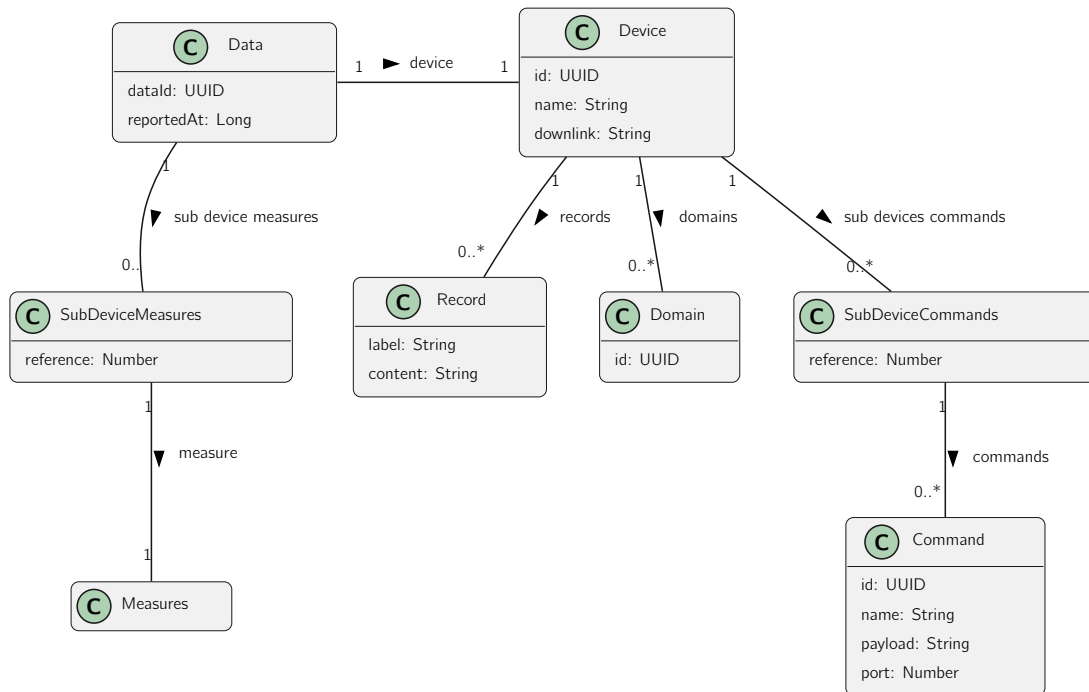


Figure 5.2: Shared Model

As a brief description:

- **Data Unit** is represented in the diagram as *Data* and is the entry point to the shared model;
- The *reportedAt* field represents the unix timestamp when the **Data Unit** was captured, in milliseconds;
- The *Device* concept represents the **Device** that sent the *Data*, and therefore the *Data*;
- The *Record* concept represents an entry of **Records/Metadata**;
- The *Domain* concept references the **Domain** that owns the *Device*;
- The *SubDeviceMeasures* concept introduces an approach to handle **Controllers** by mapping readings captured by a sub device to a *reference* that can later be resolved;
- The *SubDeviceCommands* concept introduces an approach to handle **Controllers** by mapping commands tailored for a sub device to a *reference* that can later be resolved;
- The *Measures* concept contains various common data types related to IoT.

As explained, *Measures* contains various data types. Currently the supported types are presented in the Table 5.2.

Data Type <i>Property</i>	<i>Sub Property</i>	Description	Unit
GPS	<i>latitude</i>	Point reference in the Geographic Coordinate System	degrees
	<i>longitude</i>	Value between -90 and 90 measured in	degrees
	<i>altitude</i>	Value between -180 and 180 measured in Value determined according to the mean sea level	meters
Motion		Status related to the motion of a device	
<i>motion</i>	<i>value</i>	Value can be "ACTIVE", "INACTIVE" or "UNKNOWN"	n.a.
Velocity		How fast a device is moving	
<i>velocity</i>	<i>kilometerPerHour</i>	Value measured in	km/h
Temperature		Temperature measured by a device	
<i>temperature</i>	<i>celsius</i>	Value measured in	celsius
AQI		Air Quality Index according to the U.S. AQI	
<i>aqi</i>	<i>value</i>	Value measured in	AQI
Air Humidity		Concentration of water vapour present in the air	
<i>airHumidity</i>	<i>gramsPerCubicMeter</i>	Value measured in	g/m3
	<i>relativePercentage</i>	Value measured in	%
Air Pressure		Pressure within the atmosphere of Earth	
<i>airPressure</i>	<i>hectoPascal</i>	Value measured in	hPa
Battery		Battery of the device	
	<i>volts</i>	Value measured in	volts
	<i>percentage</i>	Value measured in	%
<i>battery</i>	<i>maxVolts</i>	Minimum volts the battery needs for the device to work	volts
	<i>minVolts</i>	Maximum volts the battery can hold	volts
Soil Moisture		Amount of water, including water vapor, in an unsaturated soil	
<i>soilMoisture</i>	<i>relativePercentage</i>	Value measured in	%
Illuminance		Illuminance level - luminous flux per unit area	
<i>illumiance</i>	<i>lux</i>	Value measured in	lux
Trigger		Type related to something with an on / off or open / close state	
<i>trigger</i>	<i>value</i>	Value true or false	boolean

Table 5.2 continued from previous page

Data Type Property	Sub Property	Description	Unit
C02		Atmospheric Carbon Dioxide concentration	
co2	ppm	Value measured in	ppm
CO		Atmospheric Carbon Oxide concentration	
co	ppm	Value measured in	ppm
VOC		Volatile Organic Compounds concentration measured by a device	
voc	ppm	Value measured in	ppm
NH3		Atmospheric Ammonia concentration	
nh3	ppm	Value measured in	ppm
O3		Atmospheric Ozone concentration measured by a device	
o3	ppm	Value measured in	ppm
NO2		Atmospheric Nitrogen dioxide concentration	
no2	ppm	Value measured in	ppm
PM2.5		Particulate Matter in the air (size up to 2.5 micrometers)	
pm2_5	microGramsPerCubicMeter	Value measured in	μg/m3
PM10		Particulate Matter in the air (size up to 10 micrometers)	
pm10	microGramsPerCubicMeter	Value measured in	μg/m3
Water Pressure		Water Pressure measured in pipes by a device	
waterPressure	bar	Value measured in	bar
pH		Scale used to specify how acidic or basic a water-based solution is	
ph	value	Value between 0 and 14 measured in	pH
Occupation		Occupation percentage measured inside a vessel	
occupation	percentage	Value measured in	%
Soil Conductivity		Substances ability to conduct an electrical current in the soil	
soilConductivity	microSiemensPerCentimeter	Value measured in	μS/cm
Distance		Distance measured from the device to a surface	
	millimeters	Value measured in	mm
distance	maxMillimeters	Maximum distance the sensor can be to a given surface	mm
	minMillimeters	Minimum distance the sensor can be to a given surface	mm

Table 5.2 continued from previous page

Data Type <i>Property</i>	<i>Sub Property</i>	Description	Unit
------------------------------	---------------------	-------------	------

Table 5.2: Measure Data Types

The current shared model schema can be found in *****TODO*****.

Message Envelop Model

The message envelop model refers to how, coupled with the routing model in Section 5.2.2, information can easily transverse the system. The message envelop is used when a message is expected to flow though the system and is therefore used in all **Topics** but the **Internal** one.

The diagram present in Figure 5.3 details this model.

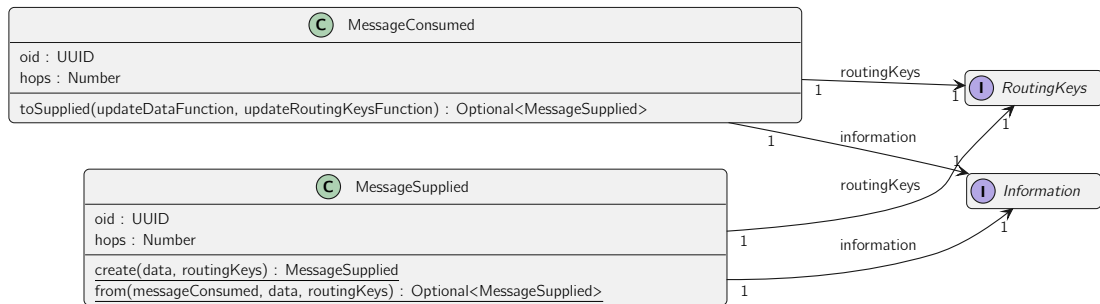


Figure 5.3: Message Envelop Model

As a brief description:

- A *MessageSupplied* is created in a container and supplied to start the flow of information in the system;
- A *MessageConsumed* is consumed by a container and can then be transformed into a *MessageSupplied* if needed;
- *Information* represents the content of the message;
- *RoutingKeys* represents the model referenced in Section 5.2.2;

This concept is mainly used to ensure that information flowing in the system is not reprocessed, by verifying the unique id - *oid*, and is drooped if it enters a routing loop by verifying that the *hops* have not reached a maximum value.

Routing Model

The routing model refers to how information can be routed through the system based on various parameters. The initial and current idea is based on the *pub/sub* pattern (*****TODO****), containers subscribe to information in a **Topic** with specific *RoutingKeys* and publish information with *RoutingKeys*.

The diagram present in Figure 5.4 details this model.

Topic	Routing Key	Description
Common		
<i>Protocol Version Options</i>		Routing Keys that belong to every Topic
<i>Container Type Options</i>		Version of the used <i>iot-core</i> package
<i>Ownership Options</i>		Type of the Container that published the message
<i>Topic Type Options</i>		Does the message contains the Domains that own it ¹
		Topic used to publish the message
Internal		
		Routing Keys that belong to the Internal Topic
<i>Operation Type Options</i>		Intent of the message, e.g. unknown context found
<i>Context Type Options</i>		Type of content in the message, e.g. device information
Data		
		Routing Keys that belong to the Data Topic
<i>Info Type Options</i>		How data is shaped: (i) ENCODED, (ii) DECODED and (iii) PROCESSED
<i>Device Type Options</i>		Type of device, e.g. LGT-92 or EM300-TH
<i>Channel Options</i>		Name of channel where data flows, e.g. <i>smartIrrigation</i> or <i>default</i>
<i>Data Legitimacy Options</i>		Is the data legitimate: (i) UNKNOWN, (ii) CORRECT, (iii) INCORRECT and (iv) UNDETERMINED
<i>Records Options</i>		Does the data contains Records/Metadata ¹
<i>Air Humidity Data Options</i>		Does the data contains information about Air Humidity ¹²
<i>Air Pressure Data Options</i>		Does the data contains information about Air Pressure ¹²
<i>Air Quality Data Options</i>		Does the data contains information about Air Quality ¹²
<i>Battery Data Options</i>		Does the data contains information about the device Battery ¹²
<i>CO2 Data Options</i>		Does the data contains information about CO2 levels ¹²
<i>CO Data Options</i>		Does the data contains information about CO levels ¹²
<i>Distance Data Options</i>		Does the data contains information about distances to a surface ¹²
<i>GPS Data Options</i>		Does the data contains information about the device GPS coordinates ¹²
<i>Illuminance Data Options</i>		Does the data contains information about illuminance in the environment ¹²
<i>Motion Data Options</i>		Does the data contains information about the device motion ¹²
<i>NH3 Data Options</i>		Does the data contains information about NH3 levels ¹²
<i>NO2 Data Options</i>		Does the data contains information about NO2 levels ¹²
<i>O3 Data Options</i>		Does the data contains information about O3 levels ¹²
<i>Occupation Data Options</i>		Does the data contains information about occupation levels ¹²

Table 5.3 continued from previous page

Topic	Routing Key	Description
	<i>pH Data Options</i>	Does the data contains information about ph level ¹²
	<i>PM2.5 Data Options</i>	Does the data contains information about pm 2.5 concentration ¹²
	<i>PM10 Data Options</i>	Does the data contains information about pm 10 concentration ¹²
	<i>Soil Conductivity Data Options</i>	Does the data contains information about the soil conductivity ¹²
	<i>Soil Moisture Data Options</i>	Does the data contains information about the soil moisture ¹²
	<i>Temperature Data Options</i>	Does the data contains information about the temperature ¹²
	<i>Trigger Data Options</i>	Does the data contains information about something that works as a switch ¹²
	<i>Velocity Data Options</i>	Does the data contains information about the device velocity ¹²
	<i>VOC Data Options</i>	Does the data contains information about VOC concentration ¹²
	<i>Water Pressure Data Options</i>	Does the data contains information about water pressure ¹²
Command		Routing Keys that belong to the Command Topic
	<i>Command Type Options</i>	Type of command, e.g. Open Valve
Alert		Routing Keys that belong to the Alert Topic
	<i>Alert Category Options</i>	Category of the alert published, e.g. Fire Detention
	<i>Alert Subcategory Options</i>	Category of the alert published, e.g. Humidity With High Rate Of Change
	<i>Alert Severity Options</i>	Severity of the alert published, from <i>Information</i> level to <i>Critical</i> level

Table 5.3: Routing Types

¹has three possible values: (i) UNDETERMINED, (ii) WITH, (iii) WITHOUT
²related to the explored Data Types

The routing key *OperationType* from the **Internal** topic can have the following values:

- **Sync**: message contains the current state of the related *ContextType*, used to populate a container's state;
- **Info**: message contains information about an entry of the related *ContextType*, e.g. entry X in context Y was removed;
- **Unknown**: message contains entry of the related *ContextType* that the container that published the message can't identify;
- **Init**: message to notify that a container has initiated and needs the current state of the related *ContextType* to be ready;
- **Ping**: message to notify that an entry of the related *ContextType* was used, e.g. entry X in context Y was just used.

The *ContextType*, used to identity what piece of the state is referenced can have the following values: (i) *Data Processor*, (ii) *Data Decoder*, (iii) *Device Information*, (iv) *Device Identity*, (v) *Tenant Identity*, (vi) *Addressee Configuration* and (vii) *Rule Management*.

Routing keys help to strengthen the boundaries that a container is expected to have. As an example, a Service in the **Service Scope** related to Waste Management would subscribe to the *Data Topic* with the following *Routing Keys*:

- *Info Type Options*: PROCESSED;
- *Channel Options*: 'wasteManagement';
- *Data Legitimacy Options*: CORRECT;
- *GPS Data Options*: WITH;
- *Occupation Data Options*: WITH;
- *Records Options*: WITH;
- *Ownership Options*: WITH;

And would, for example, subscribe to the *Alert Topic* with the following *Routing Keys*:

- *Alert Category Options*: 'wasteManagement';
- *Alert SubCategory Options*: 'garbageFull';
- *Ownership Options*: WITH;

As expected, the structure and semantics of the information subscribed to are known upfront with the help of the package *iot-core*.

5.2.3 Bounded Contexts

The **Bounded Context** concept, defined by Evans 2014, refers to an unified model - with well-defined boundaries and internally consistent - that is a single piece in a larger system composed by various bounded contexts.

The **Sensae Console** is composed by the following bounded contexts:

- In **Configuration/Data Flow Scopes**:

- Data Processor;
 - Data Decoder;
 - Device Management;
 - Identity Management;
 - Rule Management.
- In **Service Scope**:
 - Smart Irrigation;
 - Fleet Management;
 - Notification Management;

Each of this contexts will be briefly addressed in the following sections.

Data Processor

The **Data Processor** context refers to simple data mappers that translate inbound information to **Data Units**, discussed in Section 5.2.2.

The received information must be *decoded*, meaning that the inbound information simply has a different structure than **Data Unit**.

The diagram in Figure 5.5 displays the noteworthy concepts in this context.

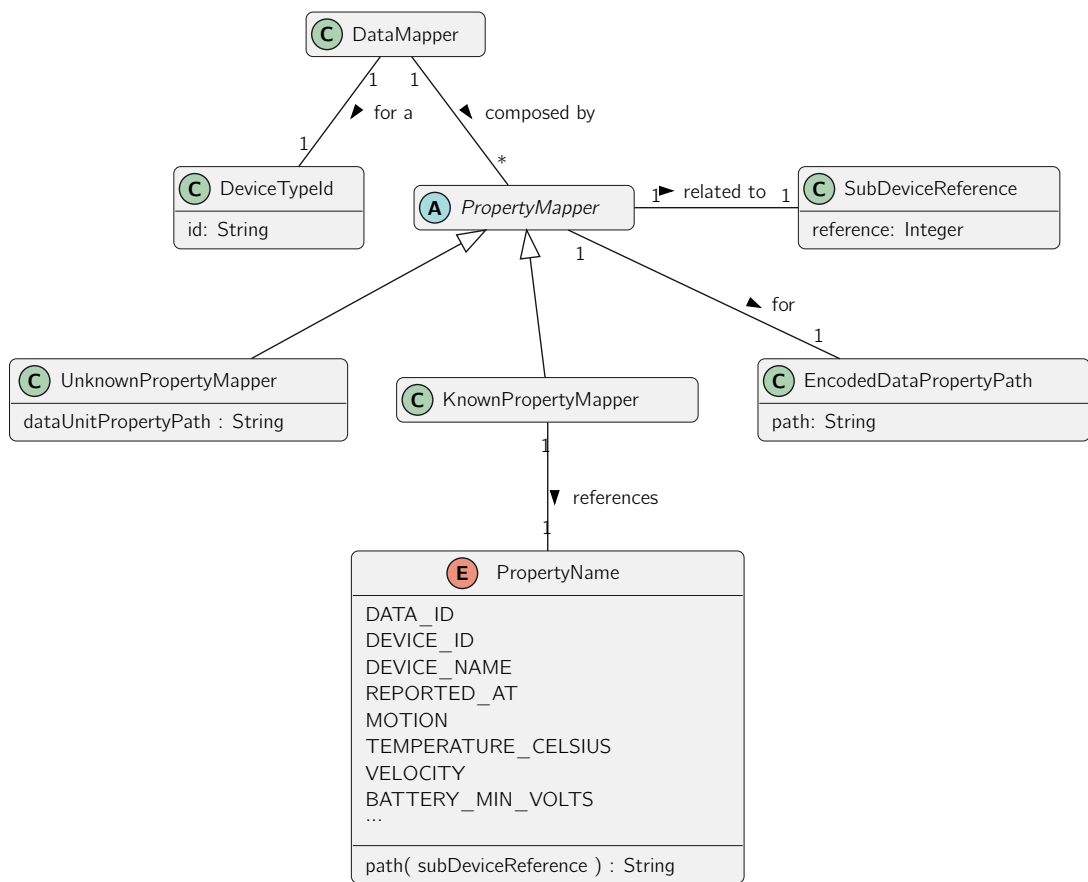


Figure 5.5: Data Processor Context Model

As a brief description:

- **DataMember**, the root entity in this context is identified by a **DeviceTypeId** and has various instructions to map properties from the inbound information to a **Data Unit** properties;
- **DeviceTypeId** corresponds to the **Routing Key Device Type Options** mentioned in Table 5.3;
- **SubDeviceReference** represents a number that will be used later to reference a sub device when dealing with **Controllers**. For simple **Devices** the used and default value is 0;
- **PropertyName** has much more properties that haven't been presented for brevity.

As an example, one could define an inbound information as a JSON document with the structure in the example 5.1.

To map the *temperature* value to the **TEMPERATURE_CELSIUS** property of a **Data Unit** the **EncodedDataPropertyPath** would be *decoded.data[0].temperature*.

```

1 {
2   "uuid": "de1a9d15-c018-4547-8453-87111cb4f81b",
3   "id": "d81e6e69-1955-48a1-a1dd-4c812c15ebac",
4   "time": 1657646955748,
5   "decoded": {

```

```

6      "data": [
7          {
8              "temperature": 18,
9          }
10     ]
11 }
12 }

```

Listing 5.1: Inbound Information Example

This process is simple since it expects the inbound information to be predisposed, but when working with IoT Devices, to optimize the bandwidth used, it is common to send information encoded. The following section presents an alternative to this process.

Data Decoder

The **Data Decoder** context refers to a more complex data mapper that translates inbound information to **Data Units**, discussed in Section 5.2.2. It was created to deal with the limitations mentioned in Section 5.2.3.

The received information is usually *encoded*, meaning that the inbound information is received as it was sent by the **Device**, commonly as a *Base64* encoded string that needs to be processed so that information can be extracted.

The diagram in Figure 5.6 displays the noteworthy concepts in this context.

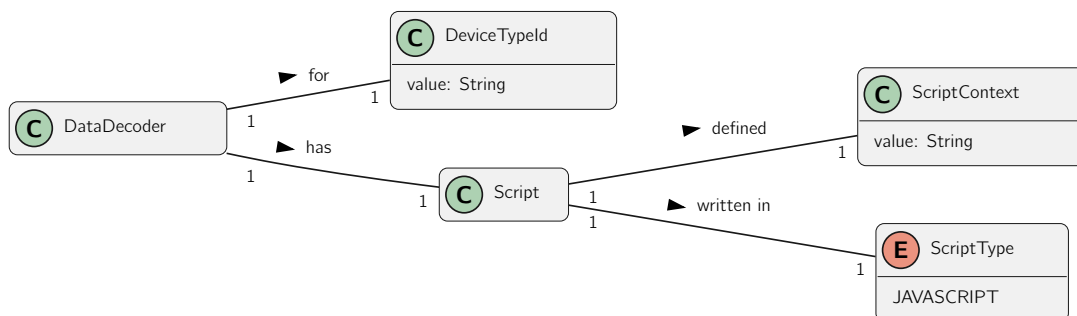


Figure 5.6: Data Decoder Context Model

As a brief description:

- **DataDecoder**, the root entity in this context is identified by a **DeviceTypeld** and has a **Script**;
- Currently a **Script** can only be written in *JavaScript* but in the future more languages like *Python* or *Groovy* can be added;
- The **ScriptContent** contains the code that will run for each inbound information that matches the **DeviceTypeld**.

This process requires some programming language knowledge but is much more flexible than the **Data Processor** operation.

- A **Device** is uniquely identified by a **DeviceId**, has a **DeviceName** and may have a **DeviceDownlink**;
- A **DeviceCommand** defines how to send a **Downlink** with a specific action;
- A **DeviceStaticData** helps to define data such as the device location;
- A **DeviceRecord** enriches the device information with anything deemed important. This can also help to group devices by projects, type of utility and others;
- A **SubDevice** references another **Device** by its **DeviceId**. This, coupled with the concepts **SubDeviceMeasures** and **SubDeviceCommands** presented in Figure 5.2 help to split a **Controller's Data Unit** into various **Data Unit**, one for each referenced **SubDevice**.

Identity Management

The **Identity Management** is concerned with identifying **Tenants**, defining their permissions and what **Devices** they own. To simplify this a forth concept is introduced: **Domain**.

The diagram in Figure 5.8 displays the noteworthy concepts in this context.

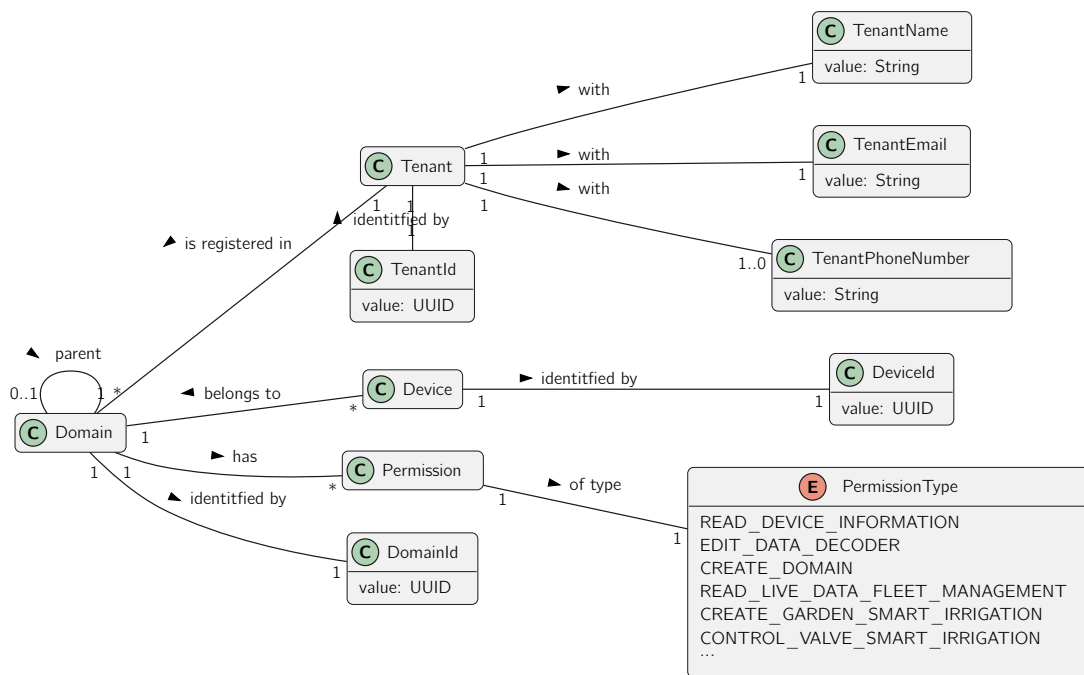


Figure 5.8: Identity Management Context Model

As a brief description:

- A **Domain** is uniquely identified by a **DomainId** and can have a parent **Domain**;
- There's a root **Domain**, the only one doesn't have a parent and has all available permissions;
- A **Tenant** has a **TenantName** and **TenantEmail**, unique **TenantId** and can have a **TenantPhoneNumber**;

- A **Device** is uniquely identified by a **DeviceId**;
- The **PermissionType** has much more types that haven't been presented for brevity.

A **Domain** represents a department in a hierarchical organization. An organization is composed by several domains in a tree like structure as presented in Figure 5.9.

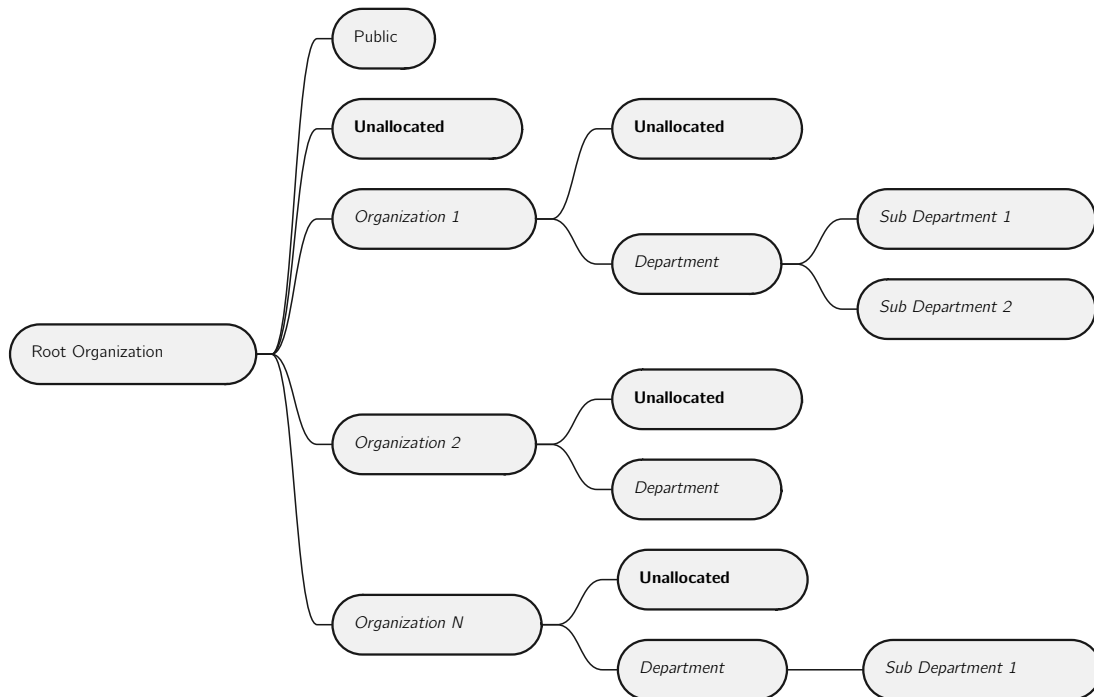


Figure 5.9: Domain Structure

Coupled with the figure above, there are other constraints:

- A domain owns all devices in it and in his subdomains;
- A domain can only inherit his parent domain permissions;
- A tenant has all the domain permissions that he is registered in;
- A tenant can only see the devices that the domains he is registered in has access to;
- All *Unallocated* domains have no permissions or devices and contain only tenants that are waiting to be assigned to a department or organization;
- The *Public* domain can be accessed by any tenant, including those who are not authenticated in the system;

Rule Management

The **Rule Management** context refers to rule scenarios.

The purpose of this context is to provide a high-level language that can analyze a stream of **Data Units** and output **Alerts** base on them. This systems are usually categorized as Information Flow Processing (IFP) Systems, according to Cugola and Margara 2012.

The following diagram, Figure 5.10, represents this systems.

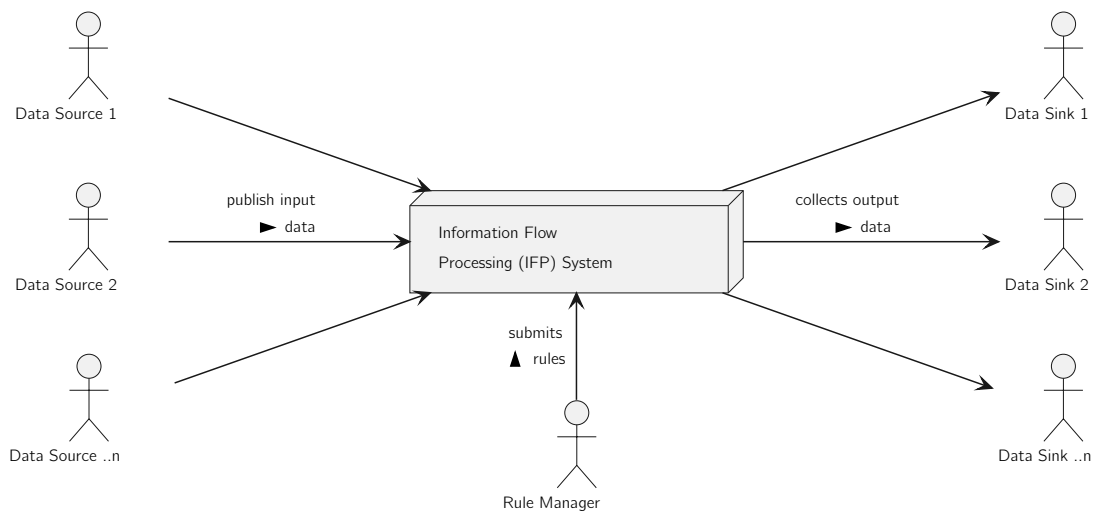


Figure 5.10: High-Level View of a IFP System

In this specific solution, the input data are **Data Units** and the output data are the **Alerts**. This context is concerned about how *rules* are defined, the diagram in Figure 5.11 displays the noteworthy concepts.

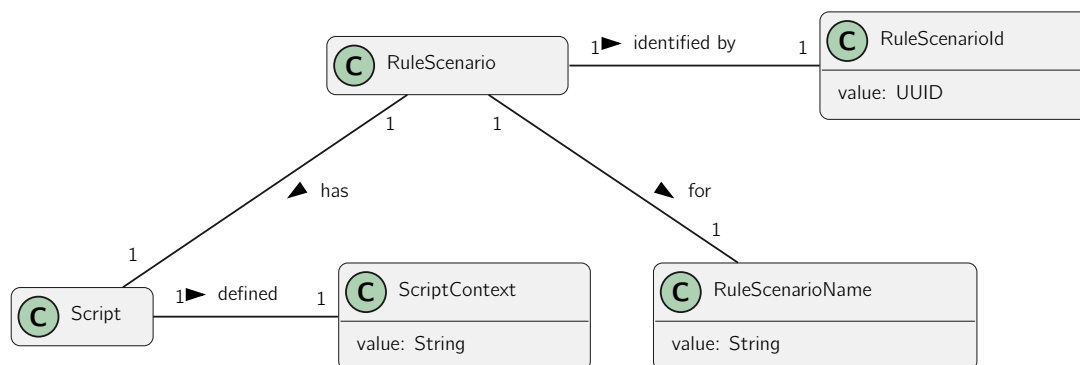


Figure 5.11: Rule Management Context Model

Notification Management

The **Notification Management** context refers to notifications and how/what types an addressee wants to receive. There are two main concepts in this context, a notification and an addressee.

The diagram in Figure 5.12 displays the noteworthy concepts in this context.

The diagram in Figure 5.13 displays the noteworthy concepts related to irrigation zones.

An irrigation zone is an area intended to function as an isolated environment that may or may not have valves or sensors.

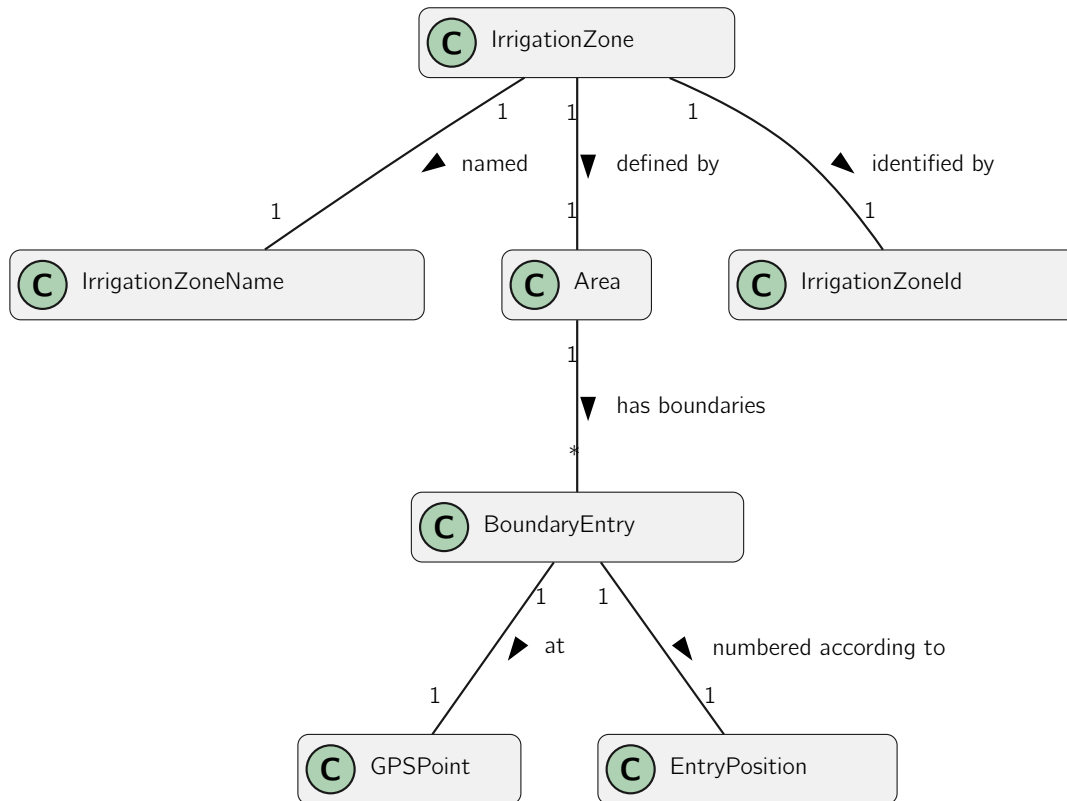


Figure 5.13: Smart Irrigation Context Model - Irrigation Zone

A sensor or valve belongs to an irrigation zone if it is inside the zone's **Area**.

As presented in the following diagram, Figure 5.14, a sensor/valve can be represents by a **Device**.

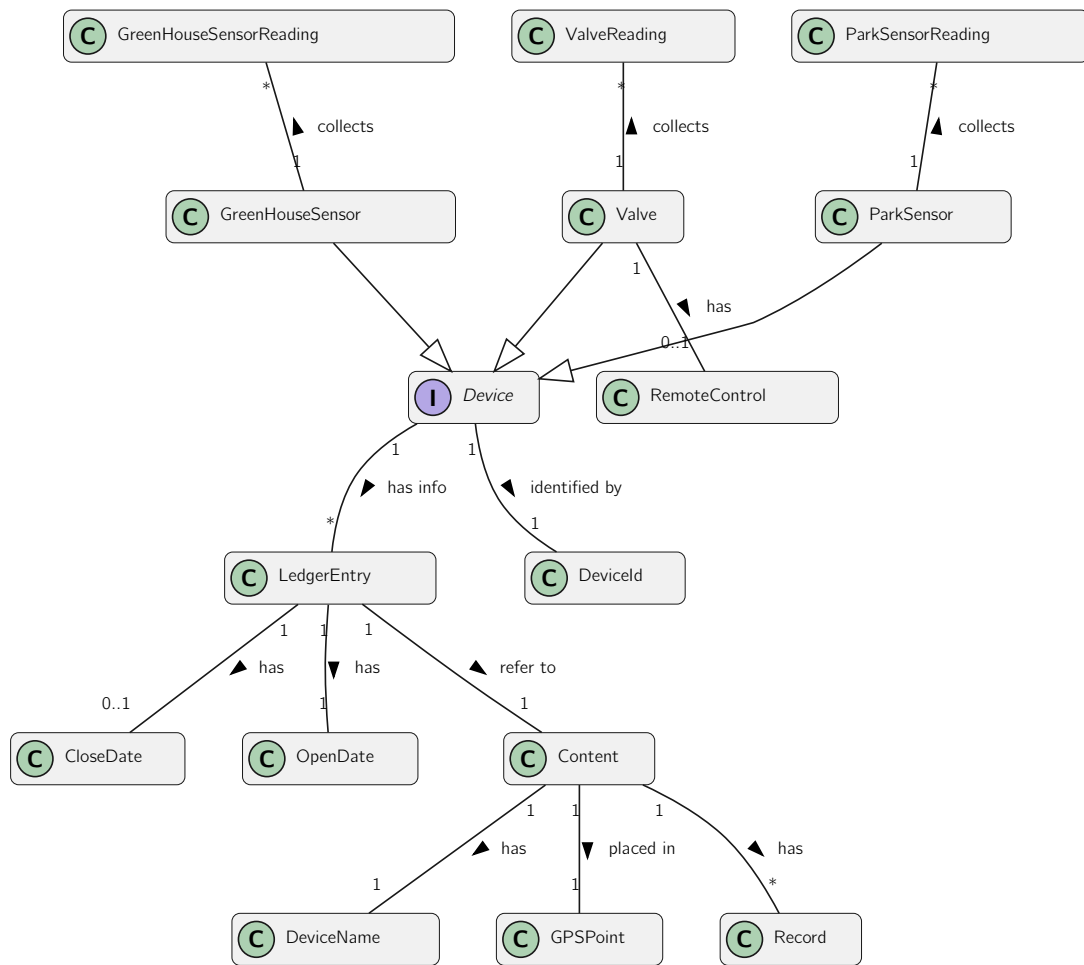


Figure 5.14: Smart Irrigation Context Model - Device

As a brief description:

- A **Valve** can be controlled remotely if two types of **Commands** are sent with the device **Data Unit**: *OpenValve* and *CloseValve*;
- A **Device** is identified by its **DeviceId**;
- Each **Device** stores an history of all its changes such as name, location or metadata in **Content**, the same **LedgerEntry** is used as long as this values don't change;
- There are three types of **Device**: (i) Green House Sensor, (ii) Park Sensor, (iii) Valve. Each of this types collect different measures discussed in Figure5.15.

As mentioned above each type of device collects different readings. The following diagram, Figure5.15, details this readings.

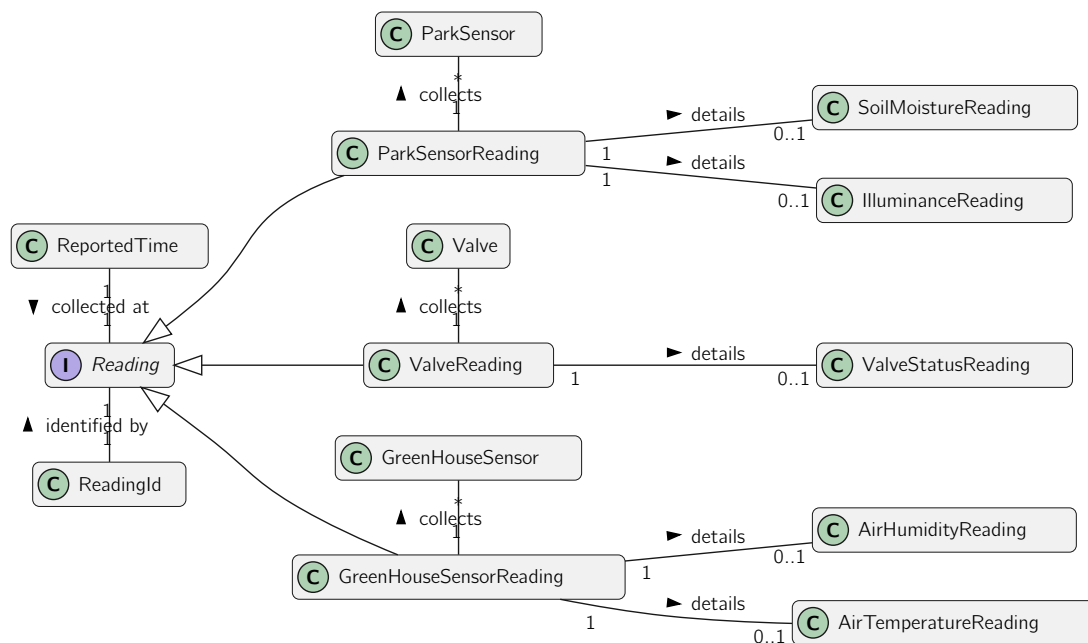


Figure 5.15: Smart Irrigation Context Model - Reading

As a brief description:

- A **Reading** is always identified by its **ReadingId** and is associated to the instant that it was captured by the **Device - ReportedTime**;
- A **ParkSensorReading** measures soil moisture and illuminance;
- A **Valve** indicates if it is open or closed;
- A **GreenHouseSensor** measures air humidity and air temperature.

The concepts in this last diagram are different from the concepts in the other two diagram since readings data is suppose to be immutable and ample as opposed to devices and irrigation zones where information should be mutable but with a negligible size compared with readings.

Fleet Management

The **Fleet Management** context simply refers to the past and current location of assets.

The diagram in Figure 5.16 displays the noteworthy concepts related to this context.

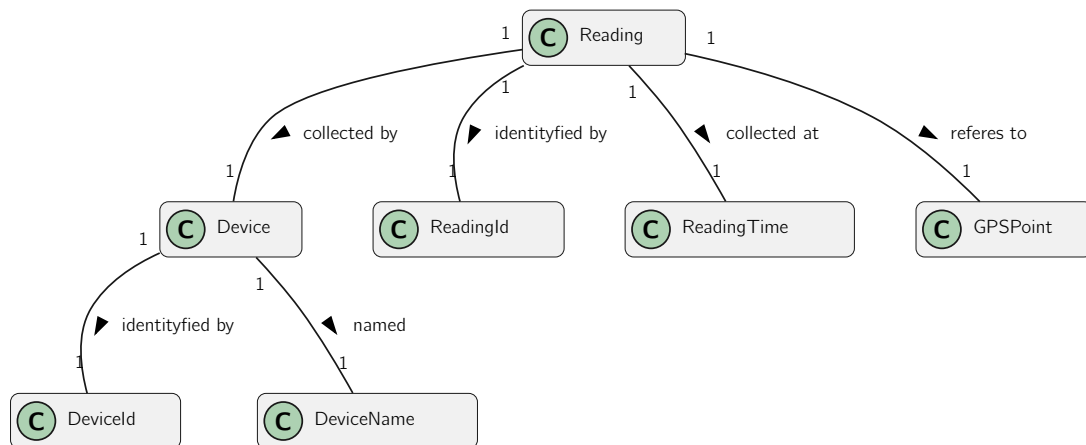


Figure 5.16: Fleet Management Context Model

This was the first *Service* built as an MVP, it was intended to be straightforward. The model references Global Positioning System (GPS) readings and what device collected them.

5.2.4 Synopsis

In this section the various domains that **Sensae Console** incorporates are described. This domains share some concepts such as **Device** but it isn't clear how they interact with each other. In the next section - Architectural Design - it will be addressed how this domains are connected and cooperate.

5.3 Architectural Design

In order to describe the system in detail at the architectural level, an approach based on the combination of two models, C4 (Brown 2018b) and 4+1 will be followed.

The 4+1 View Model (By and Jiang 1995), proposes the description of the system through complementary views thus allowing to separately analyze the requirements of various software stakeholders, such as users, system administrators, project managers, architects, and programmers.

The five views are thus defined as follows:

- **Logical view:** relative to the aspects of the software aimed at responding to business challenges;
- **Process view:** relative to the process flow or interactions within the system;
- **Development view:** relative to the organization of the software in its development environment;
- **Physical view:** relative to the mapping of the various components of the software in hardware, i.e. where the software is executed;
- **Scenario view:** related to the association of business processes with actors capable of triggering them.

The C4 Model (Brown 2018b, Brown 2018a) advocates describing software through four levels of abstraction: (i) system, (ii) container, (iii) component, (iv) code. Each level adopts

a finer granularity than the level that precedes it, thus giving access to more details of a smaller portion of the system. These levels can be likened to maps, e.g. the system view corresponds to the globe, the container corresponds to the map of each continent, the component view corresponds to the map of each of each country, and the code view to the map of roads and neighborhoods in each city.

Different levels allow you to tell different stories to different audiences.

The levels are defined as follows:

- **Level 1:** Description (context) of the system as a whole;
- **Level 2:** Description of system containers;
- **Level 3:** Description of components of the containers;
- **Level 4:** Description of the code or smaller parts of the components.

These two models can be said to expand along distinct axes, with the C4 Model presenting the system with different levels of detail and the 4+1 View Model presents the system from different perspectives. By combining the two models it becomes possible to represent the system from several perspectives, each with various levels of detail. To visually model/represent the ideas designed and alternatives considered, the UML was used.

In the following sections only combinations of perspectives and level deemed relevant for the design of the solution are presented.

The C4 level 4, code, will not be exhibited.

5.3.1 C4 Level 1 - Context

The context level aims at introducing the system as a whole. The external systems and users that communicate/interact with the system, **Sensae Console**, are demonstrated. Throughout this section the relevant C4 views of level 1 (context level) are presented.

Context Level - Logical View

The logical view of the system is introduced here, complete but not detailed, in order to answer the use cases and requirements discussed in *****TODO*****. This takes into account the interactions of the platform with external systems and its interaction with the various actors of the system (Figure 5.17).

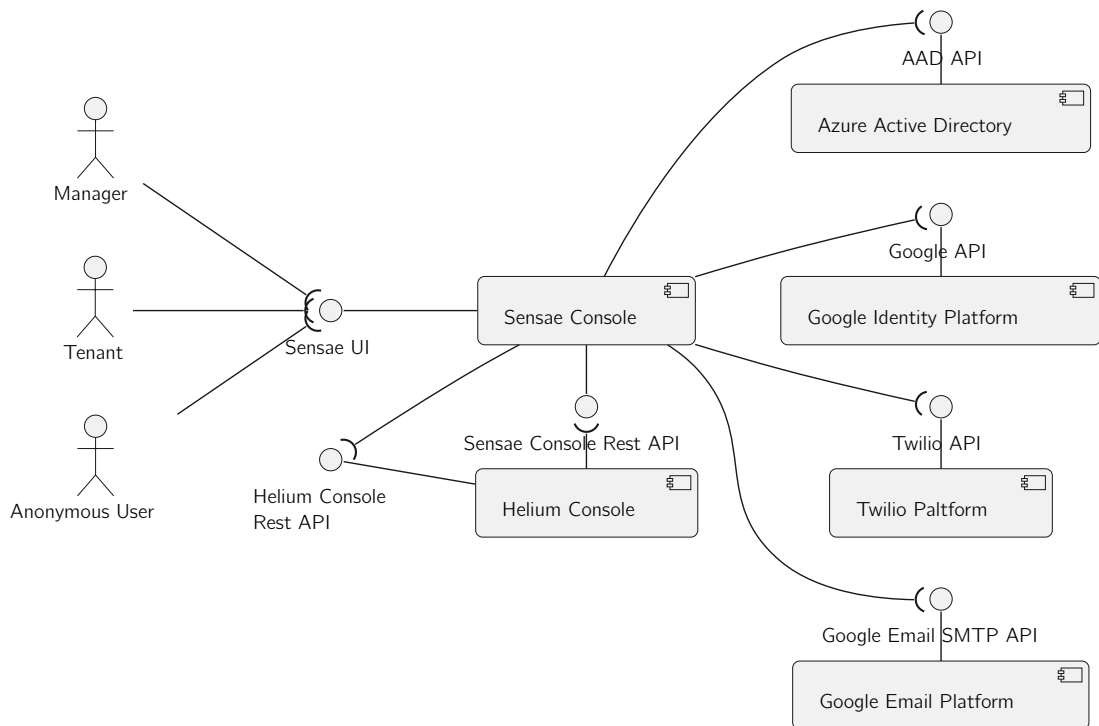


Figure 5.17: Context Level - Logical View Diagram

The external systems and its functions are as follows:

- **Helium Console:** Device data hub;
- **Azure Active Directory:** User authentication/identity;
- **Google Identity Platform:** User authentication/identity;
- **Twilio Platform:** SMS delivery;
- **Google Email Platform:** Email delivery.

The reason behind the use of external authentication/identity services is described in the Section 5.4.3.

Context Level - Development View

Next is the development view (Figure 5.18), intended to familiarize the reader with how the software is organized.

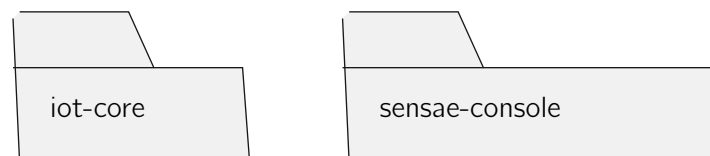


Figure 5.18: Context Level - Development View Diagram

The package *iot-core* contains the shared model discussed in the Section 5.2.2, and defines what type of information backend containers can subscribe to or publish (discussed in Section 5.2.1).

The package *sensae-console* contains software of the various containers needed to run the **Sensae Console**. As expected *iot-core* is a core dependency for the *sensae-console* backend containers.

Context Level - Physical View

Next is the physical view (Figure 5.19), intended to familiarize the reader with the environment where the solution runs.

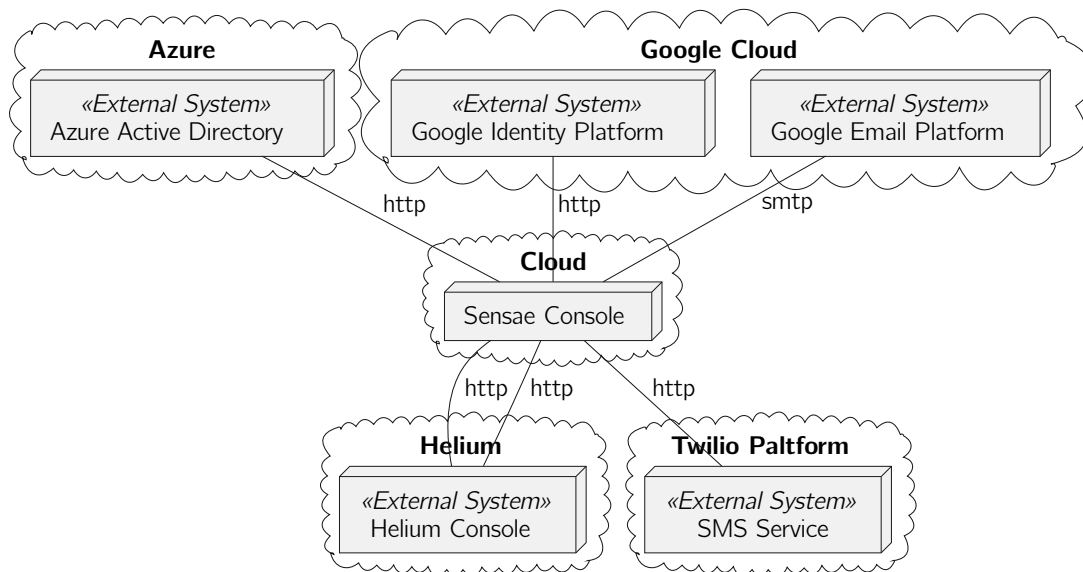


Figure 5.19: Context Level - Physical View Diagram

Context Level - Synopsis

The context level introduces the reader to the bigger picture of **Sensae Console**, but it contains little to no information about how the system functions internally, the Section 5.3.2 will dive into this subject.

The process view was not represented since at this level the interactions between the system, actors and external systems, are too abstract to be relevant for the reader.

5.3.2 C4 Level 2 - Containers

The C4 level 2 introduces the reader to the various containers that compose the system. In this section all relevant views will be presented according to the alternative in use or idealized for the system. In the Section 5.4 other alternatives are discussed.

The Physical View will not be represented since the fundamental idea behind the idealized deployment of **Sensae Console** is already described in the Section Context Level - Physical View.

Container Level - Logical View

The description of this level of abstraction begins with a logical view of the containers that compose the system. Alternatives were also analyzed taking into account several requirements namely (i) configurability, (ii) maintainability, (iii) extensibility (iv) development cost and (v) scalability.

In order to support the functional requirements identified (*****TODO*****), and knowing that **Sensae Console** will serve multiple users with different levels of access to the managed information, the various business concepts were segregated from the user interaction. The business management also had to be separated from the data pipeline, knowing that **Sensae Console** will process a high level of device data.

Considering the need to persist and provide the information collected, the system integrates databases, which are not developed, but only configured and operated - using a Database Management System (DBMS).

The system also uses one (or more) message brokers, IBM 2020a, that will be configured but not developed.

In order to ease the analysis of the system the following diagrams will be divided by scopes, mentioned in 5.1. In the Appendix TODO a complete logical view is provided.

The logical view of the **Configuration Scope** is represented in Figure 5.20. This scope is composed by the processes discussed in 5.1.1. Each process is composed by a three tier architecture, as per IBM 2020b:

- **Presentation Tier:** the user interface and communication tier of the application where the user interacts with the system;
- **Application Tier:** the business tier of the application where information from the **Presentation Tier** is processed and sent to the **Data Tier**;
- **Data Tier:** the infrastructure tier of the application where data is stored and requested as needed.

This scope was also divided into micro services - Newman 2021 - '*small, autonomous services that work together*'. Each bounded context/business process - (i) Data Processor, (ii) Data Decoder, (iii) Device Management, (iv) Identity Management, (v) Rule Management - is mapped to the three tier architecture mention before.

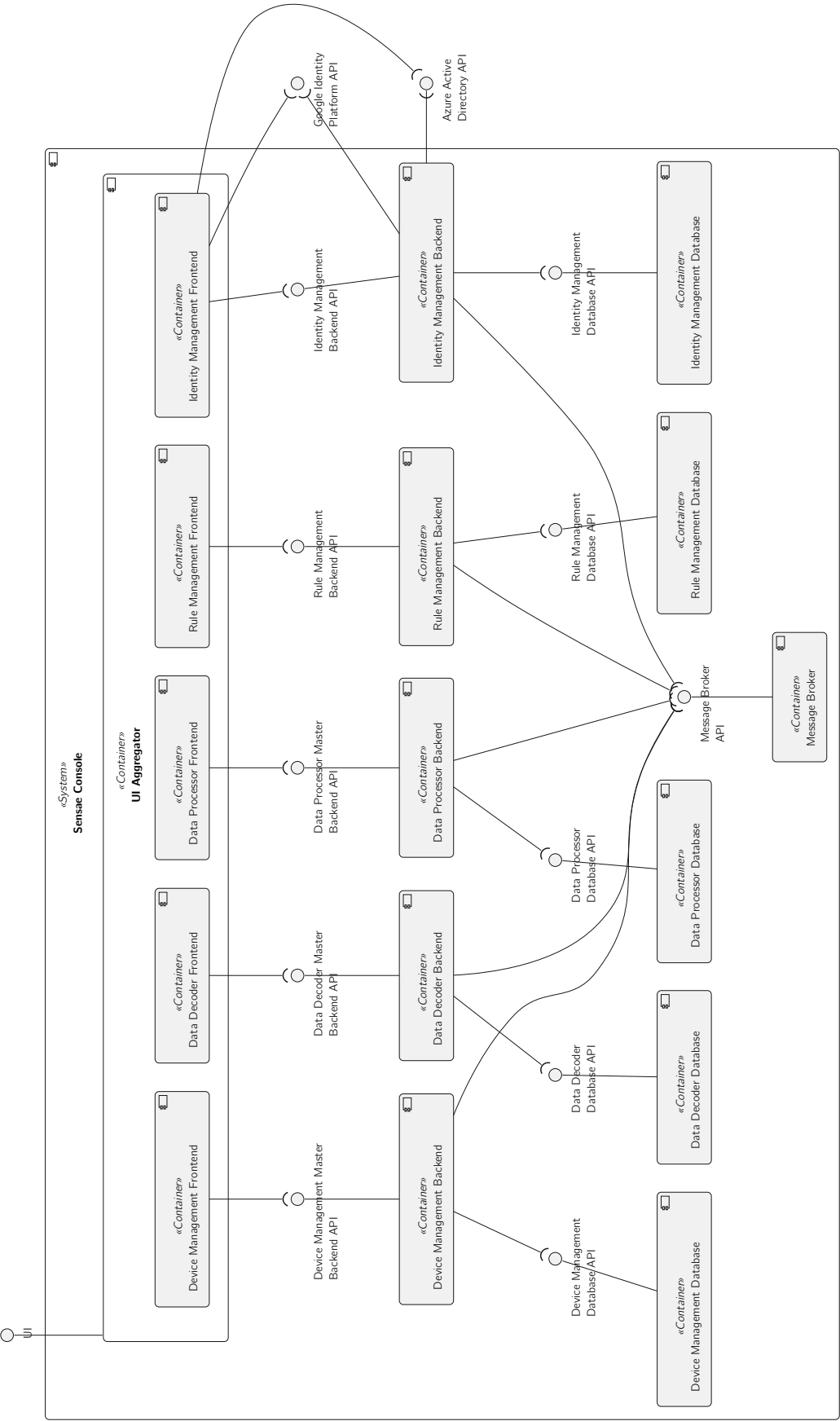


Figure 5.20: Container Level - Configuration Scope - Logical View Diagram

As a brief description:

- Frontend containers correspond to the **Presentation Tier** and are provided to the user through **UI Aggregator**;
- Backend containers correspond to the **Application Tier** and communication with each other through **Message Broker**;
- Database containers correspond to the **Data Tier**.

Next, the logical view of the **Data Flow** is represented in Figure 5.21. This scope is composed by the processes discussed in 5.1.2. In parallel with the **Configuration Scope** this scope is also divided into multiple micro services in order for them to better scale once needed. This scope is also built based on a *Reactive architecture* as described in Jonas Bonér and Thompson 2014 and Jansen 2020.

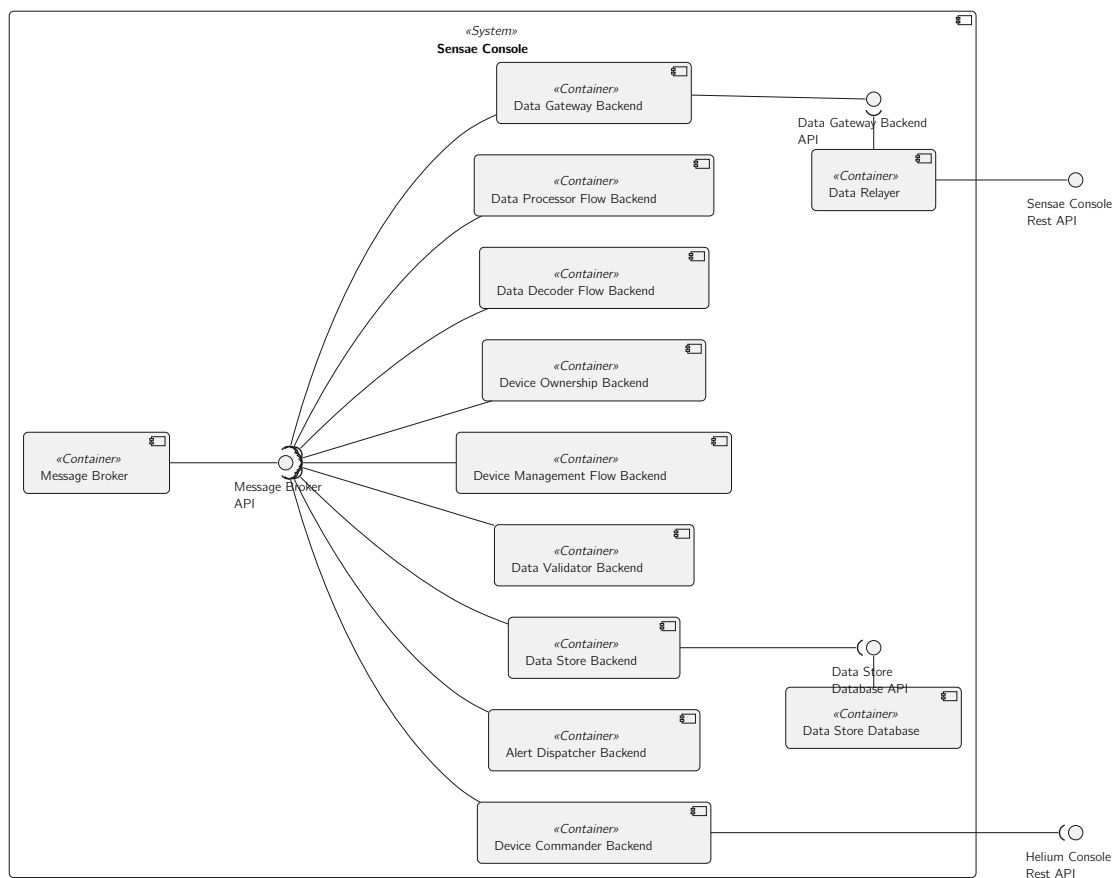


Figure 5.21: Container Level - Data Flow Scope - Logical View Diagram

Most containers presented here collect specific information from a single backend in the **Configuration Scope** through the *Internal Topic*:

- **Data Processor Flow Backend**: Collects information related to the **Data Processor** context - published by **Data Processor Backend** - and noting else;
- **Data Decoder Flow Backend**: Collects information related to the **Data Decoder** context - published by **Data Decoder Backend** - and noting else;

- **Device Ownership Backend:** Collects information related to the **Identity Management** context (more specifically device ownership) - published by **Identity Management Backend** - and noting else;
- **Device Management Flow Backend:** Collects information related to the **Device Management** context - published by **Device Management Backend** - and noting else;
- **Alert Dispatcher Backend:** Collects information related to the **Rule Management** context - published by **Rule Management Backend** - and noting else;
- **Device Command Backend:** Collects information related to the **Device Management** context - published by **Device Management Backend** - and noting else;
- The remaining containers don't subscribe to any type of information from the **Configuration Scope**.

Finally the **Service Scope** is represented in Figure 5.22. This scope is composed by the processes discussed in 5.1.3.

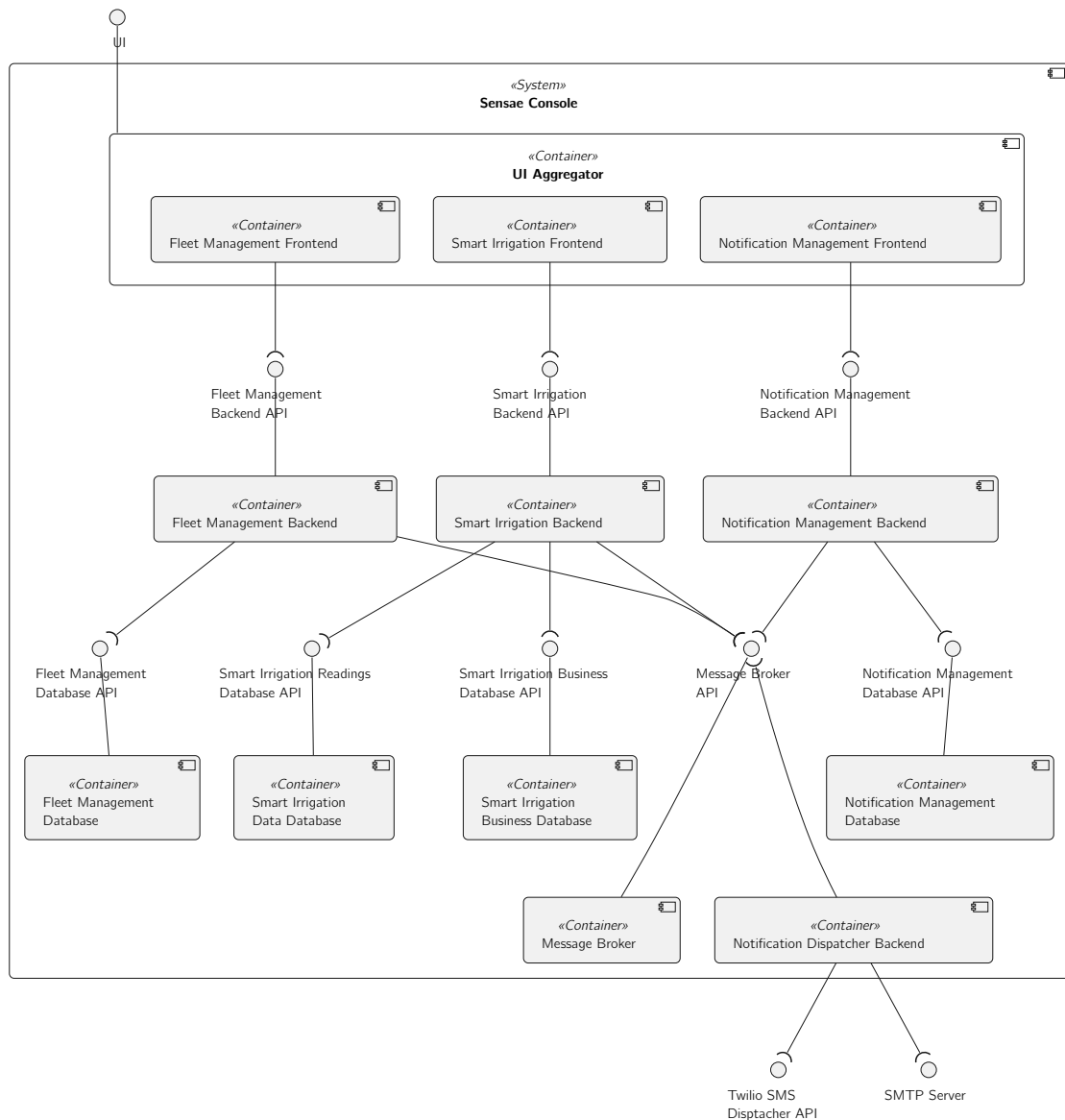


Figure 5.22: Container Level - Service Scope - Logical View Diagram

Once again the ideas behind this scope architecture are the same discussed in the **Configuration Scope** apart from two particular points:

- **Smart Irrigation Data Database/Business Database:** As explained in the domain presented in 5.2.3, since there are two distinct types of information to store and manage it was decided to use different technologies for each type;
- **Notification Management/Dispatcher Backend:** It was also decided to split the delivery of notifications (by email and SMS) from the management of them.

Lastly, as we can see some containers are present in more than one scope, these containers, and their responsibilities are:

- **Message Broker:** Container responsible for routing messages/events sent by backend containers. This communication is explored in the section, Container Level - Process View;

- **UI Aggregator:** Container responsible for aggregating all frontends in a single User Interface (UI).

In the following section the internal communication of the system is clarified.

Container Level - Process View

In this section several use cases (according to *****TODO*****) are presented through sequence diagrams, in order to introduce the reader to the interactions that occur between the various containers of the **Sensae Console**.

The routing keys used for communication between backend containers can be extrapolated from the model described in the Section 5.2.2.

This section is composed by five sets of important functionalities to discuss at this level of abstraction: (i) system/container initialization (ii) data pipeline operation, (iii) data pipeline configuration, (iv) user authentication/authorization, (v) service usage.

The system/container initialization, presented in Figure 5.23, refers to the interval of time since a container is launched till it is ready to process requests or events.

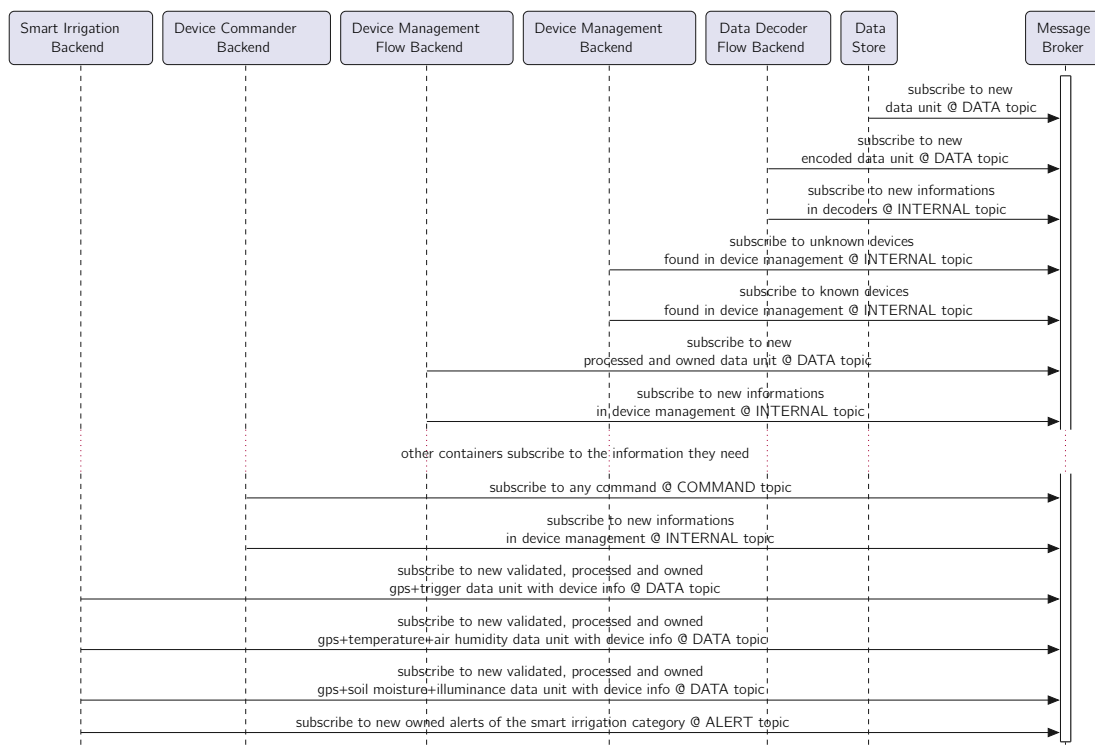


Figure 5.23: Container Level - System/Container Initialization - Process View Diagram

Not all containers are displayed in this diagram for brevity reasons. The system relies heavily in the Pub/Sub (Reselman 2021) pattern to communicate internally via a message broker. In this scenarios the first step in a container lifecycle is to subscribe to the information that it needs as presented in the diagram above.

Certain containers need the entire state related to their *ContextType* to function. So, after subscribing to the needed information, they notify the system that they have entered an *init*

state for a specific context. This triggers the creation of new events to help that container to reach a *ready state*. An example of this interaction is presented in the following diagram, Figure 5.24, note that this only occurs in the Internal Topic.

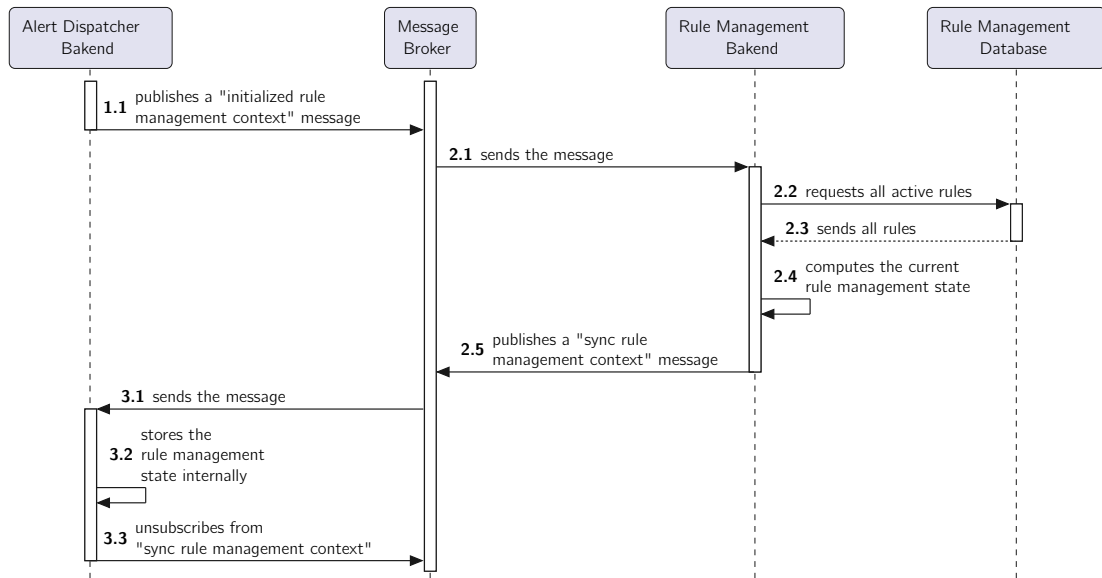


Figure 5.24: Container Level - System/Container Initialization - Part 2 - Process View Diagram

Apart from the Alert Dispatcher Backend all containers in the **Data Flow Scope** benefit from a stateless process and can function with just a portion of a single *ContextType* state or no state at all.

To dive into this some common data pipeline operations, related to the Data Flow Scope, are presented next. This operations are intended to behave in a *reactive* manner (Jonas Bonér and Thompson 2014) and are therefore non-blocking. The idea behind the Data Flow Scope is analog to a data pipeline. This scope operates mostly on Data Units, transforming, filtering and enriching this data.

The following diagram in Figure 5.25 presents a high level view of the flow that a Data Unit takes through the system in the Data topic. This diagram does not account for what happens to invalid Data Units and the interactions with the message broker are hidden for brevity reasons even tho it is used by all containers but the Data Relayer to publish messages.

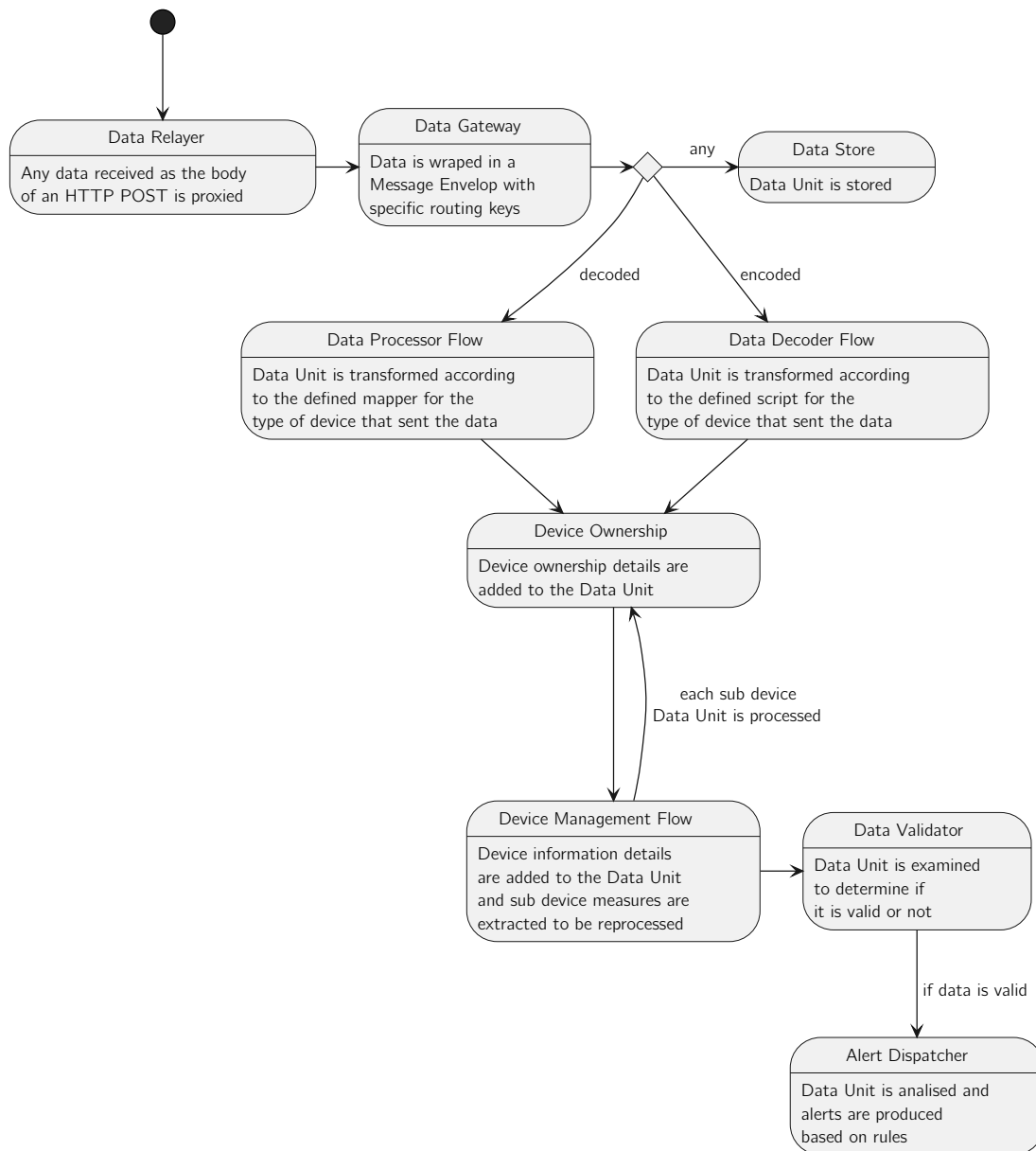


Figure 5.25: Container Level - Data Flow - Diagram

Most of these containers have just a portion of their context state and may be unable to perform the needed operation on some Data Units. The following diagrams, Figure 5.26 and Figure 5.27, address how state is managed in Data Decoder Flow Backend and most **Data Flow Scope** containers.

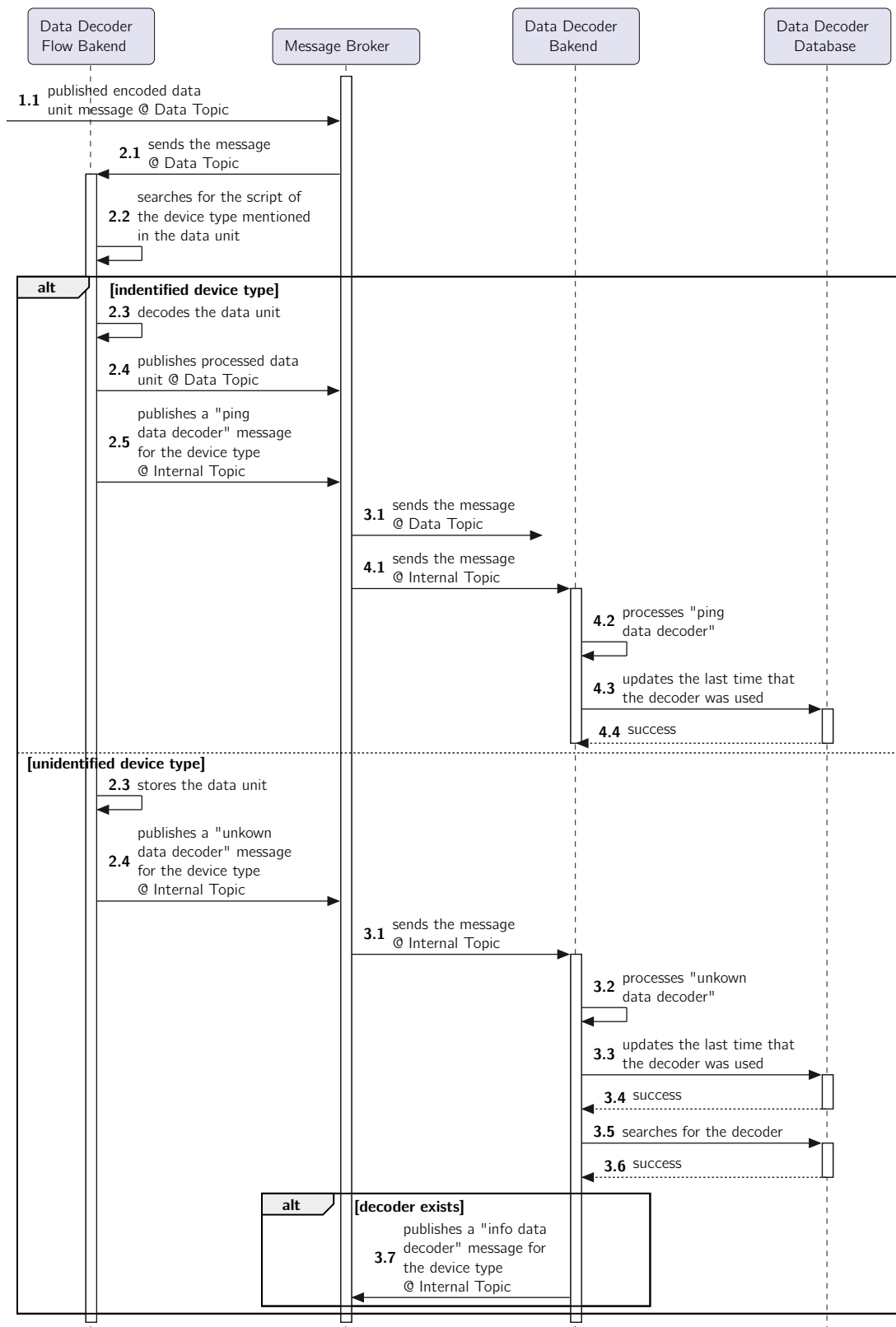


Figure 5.26: Container Level - Data Decoder Operation Part 1 - Process View Diagram

As we can see the Data Decoder Flow Backend, upon receiving a Data Unit, can preform

two operations depending on the script being available or not: decode the Data Unit and notify that the script was used or store the Data Unit and notify that a script for an unknown device type is needed.

The next diagram demonstrates what happens when a decoder is published via the *OperationType* Info.

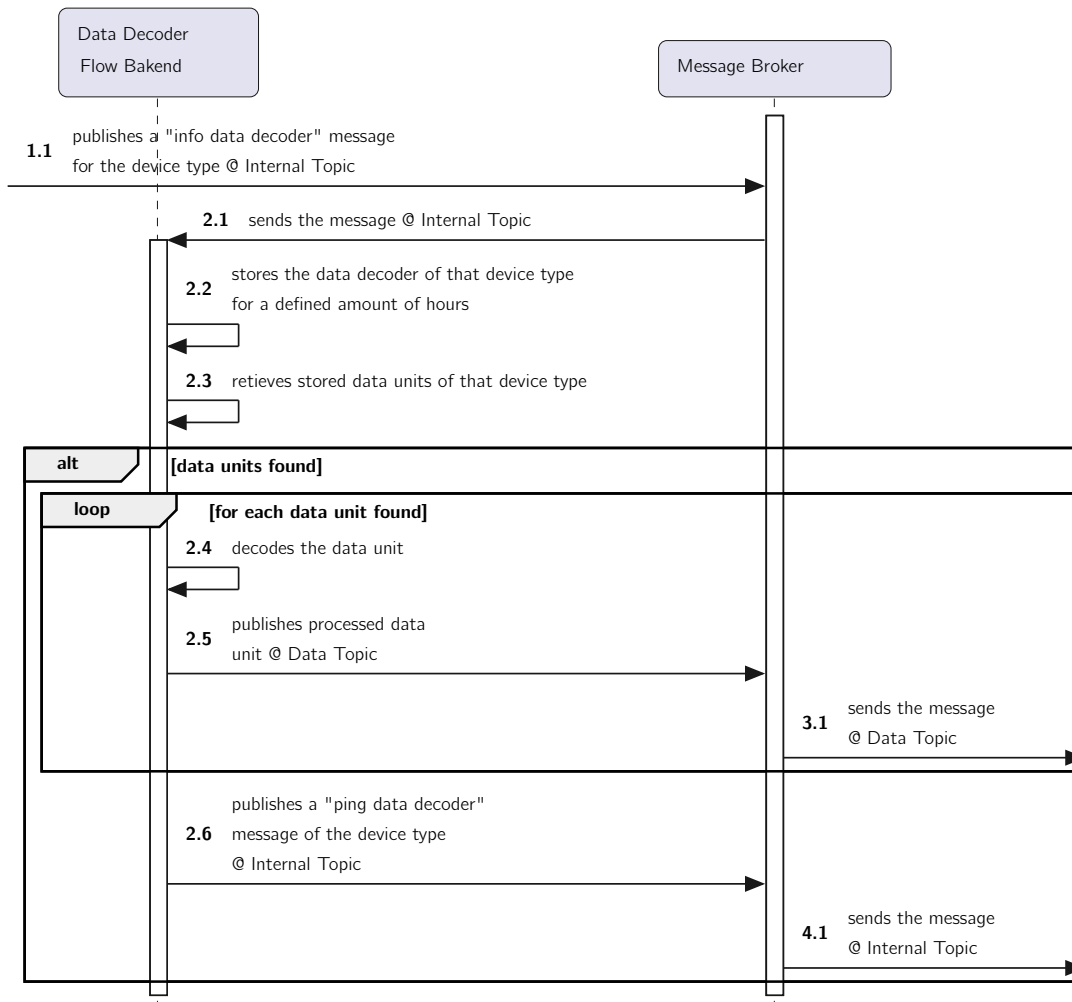


Figure 5.27: Container Level - Data Decoder Operation Part 2 - Process View Diagram

As we can see Data Decoder Flow Backend, upon receiving an info regarding a data decoder, searches for unhandled Data Units and processes them. To minimize the memory in use, a data decoder has to be continually used in order for it to remain in cache. As seen in step 2.2, if *X* hours pass since the last time a decoder was used it is evicted from the container internal state.

The operations described here for the Data Decoder Flow Backend are replicated in the following contexts/containers:

- **Data Processor Context:** Data Processor Flow Backend;
- **Device Management Context:** Device Management Flow Backend and Device Commander Backend;

- **Identity Management Context:** Device Ownership.

As described before, containers that belong to the **Data Flow Scope** are configured according to what is defined in the **Configuration Scope**.

The next diagrams, in Figure 5.28 and Figure 5.29 present some of the common operations that happen in that scope.

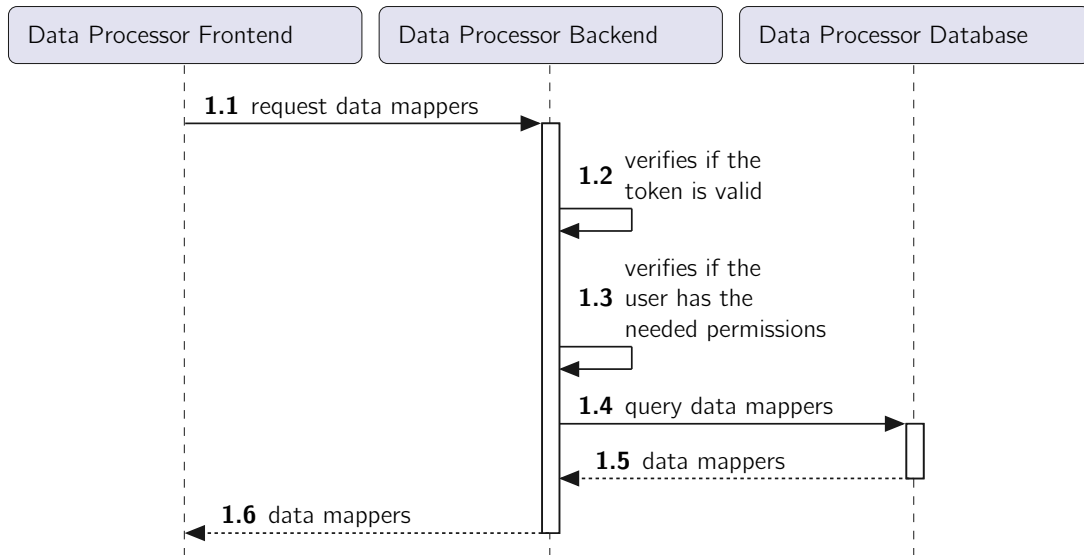


Figure 5.28: Container Level - Consult Data Processors - Process View Diagram

The diagram presented above represents a simple consult of data mappers, as we can see, only the Data Processor Context in the Configuration Scope is invoked. When a change to the state is made in any Context of the Configuration Scope events are published. The next diagram, Figure 5.29 displays an example of this occurrence.

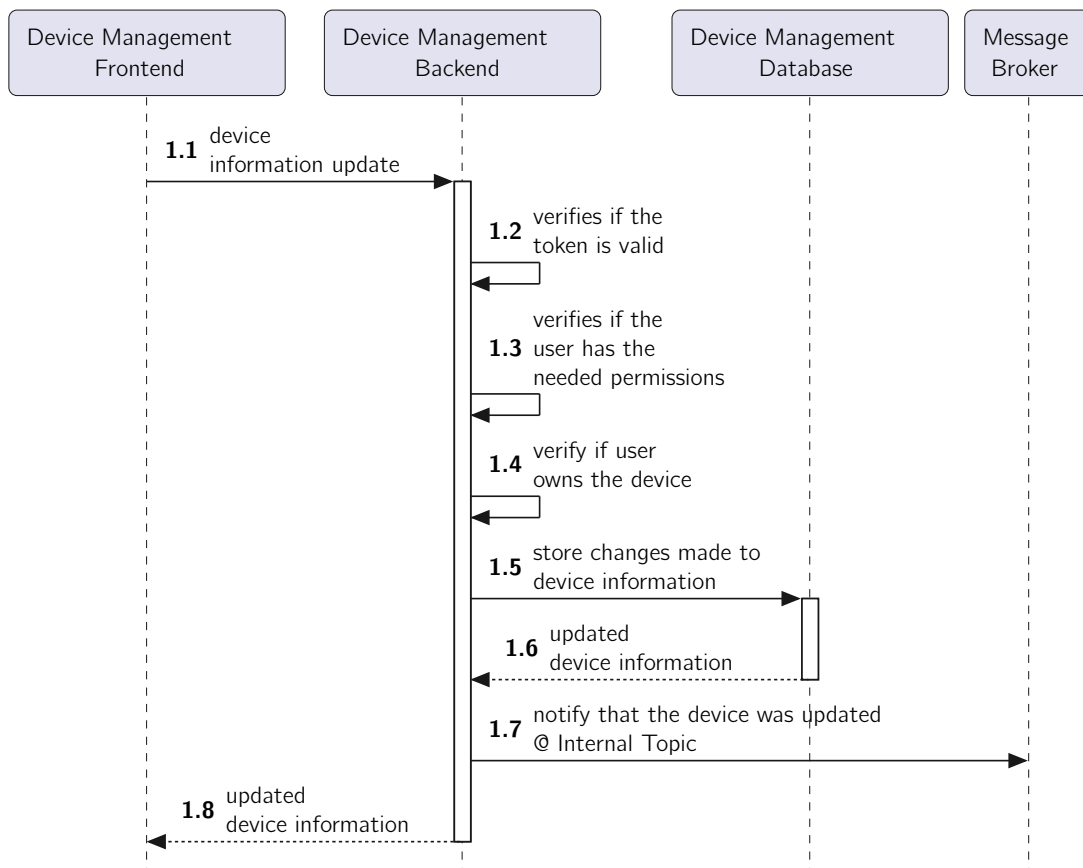


Figure 5.29: Container Level - Edit Device Information - Process View Diagram

In this use case a device information is changed. Since this operation changes the internal state of the device management context an event is published in the Internal Topic.

As an example this specific event, according to the Section 5.2.2, uses the following *Routing Keys*:

- **Protocol Version:** the version of *iot-core* currently in use by Device Management Backend;
- **Container Type:** Device Management Backend;
- **Topic Type:** Internal;
- **Operation Type:** Info;
- **Context Type:** Device Management;

There are three containers that subscribe to this specific type of event:

- **Device Management Flow Backend:** so that the Data Units of the device changed are enriched with the latest information;
- **Device Command Backend:** so that commands for this device are treated according to the latest information;

- **Identity Management Backend:** so that information related to the device changed is presented according to the latest update. This container maintains local copies of all devices names to present to the user without needing to request Device Management for that information every time.

The step **1.3** in the last two diagrams references user permissions but there is no mention of how this permissions are associated to the user. In the next diagrams - Figure 5.30 and Figure 5.31 - authentication and authorization in the **Sensae Console** are addressed, other approaches are discussed in the User Authorization/Authentication Section.

The system verifies the identity of a user based on the authentication performed by an external Customer Identity and Access Management (CIAM) solution using OpenID Connect 1.0, OpenID 2014, an identity layer on top of the OAuth 2.0 protocol. According to D. Hardt 2012 OAuth2.0 "enables a third-party application to obtain limited access to an HTTP service". In this situation the Frontend of **Sensae Console** is the third-party application and the HTTP service is any of the **Sensae Console** backend services.

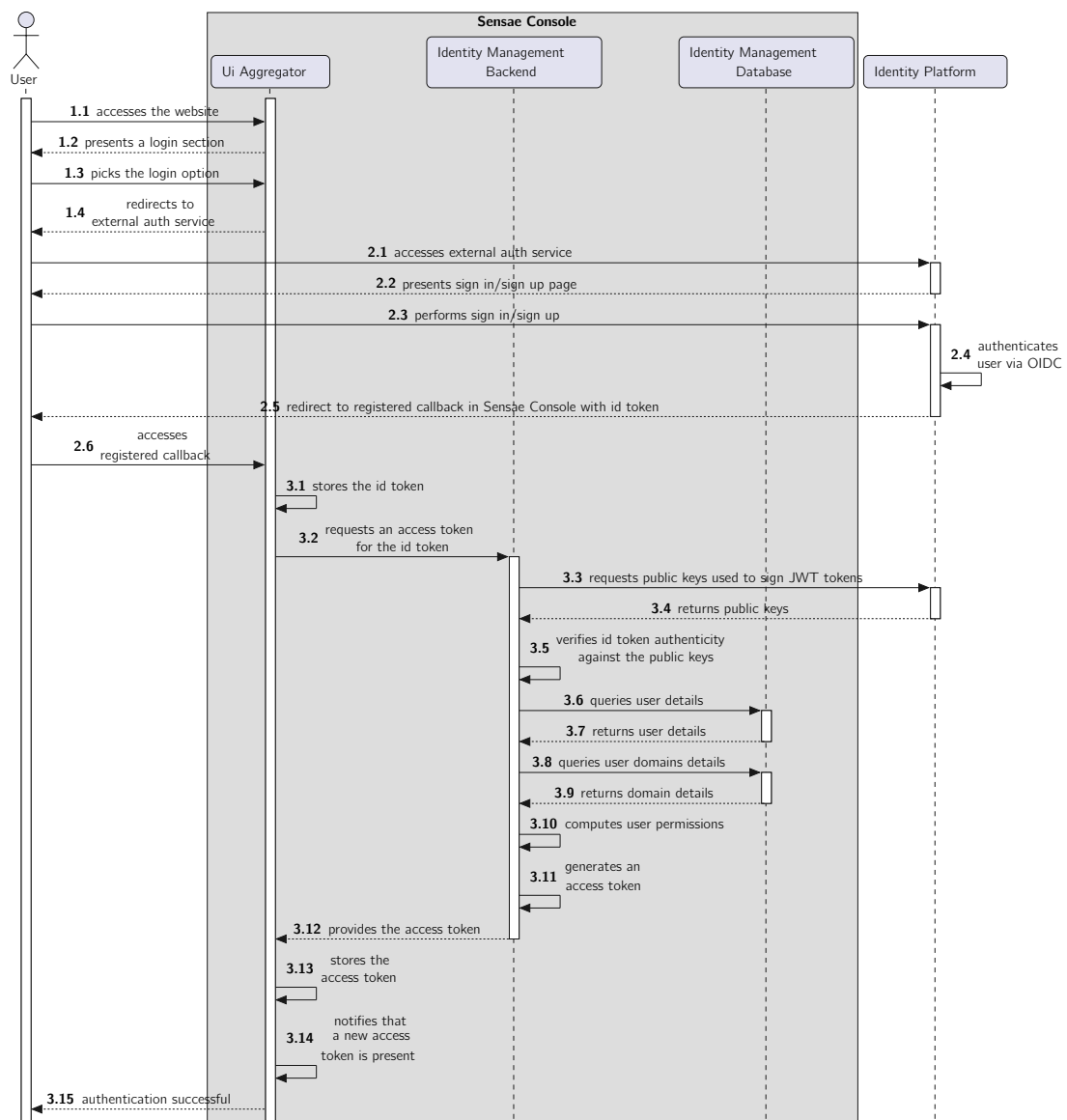


Figure 5.30: Container Level - User Authentication - Process View Diagram

This diagram illustrates how a user can authenticate against **Sensae Console**. The user identity and credentials validation are assured by an external identity platform such as *Google Identity Platform* or *Azure Active Directory (Azure AD)*. Once an *id token* is provided to **Sensae Console** it can use it to verify the user identity against the local registry. To ensure that the *id token* is valid, Identity Management Backend checks if it was signed by the platform that supposedly issued it (step **3.3** and **3.5**). After validating the *id token* it searches for the needed information to create an *access token* and then provides it. The *access token* can then be used for a limited time to access any protected HTTP resource of **Sensae Console** as demonstrated in Figure 5.31.

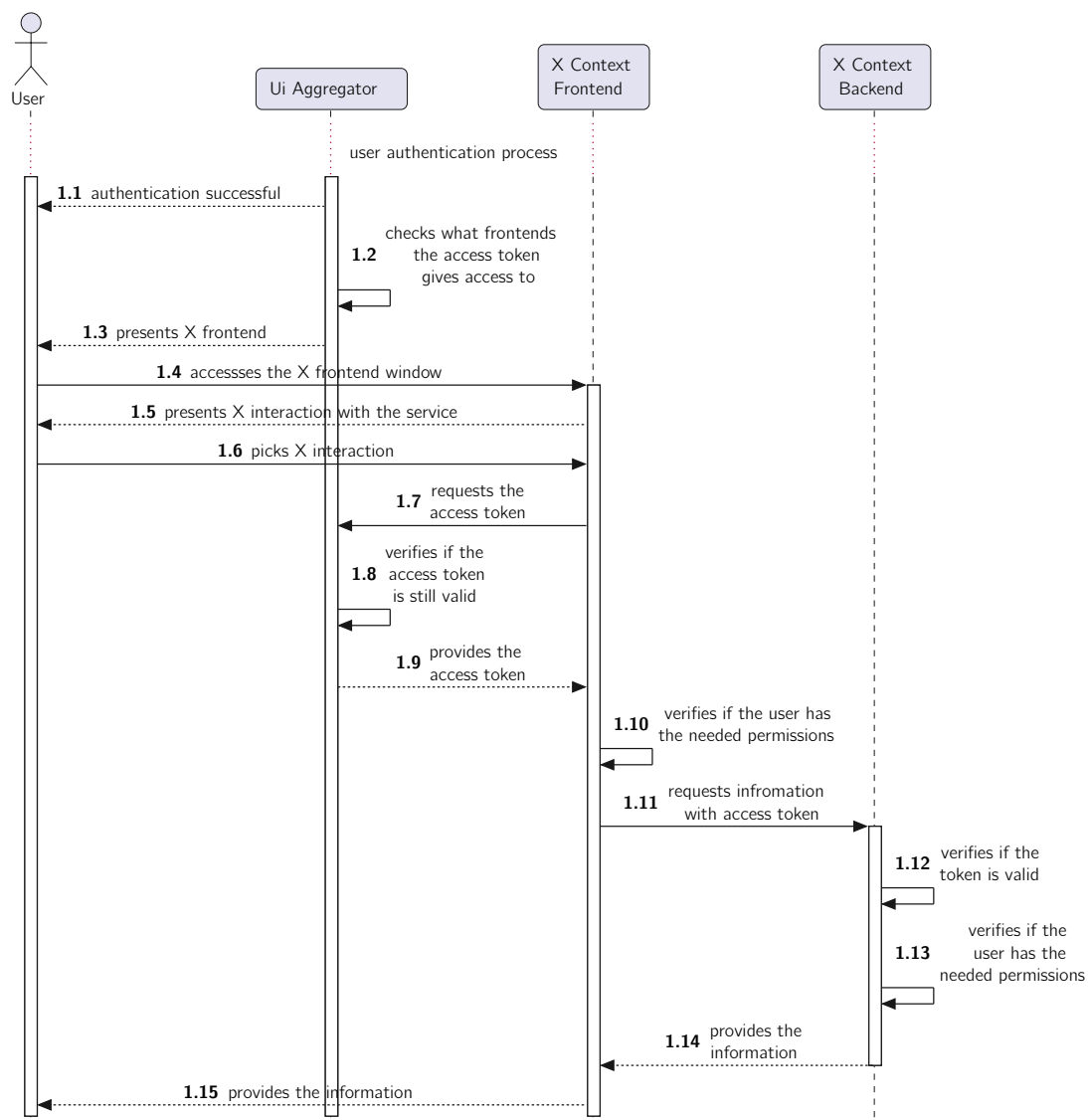


Figure 5.31: Container Level - User Authorization - Process View Diagram

In this diagram the expected behavior for any pair of frontend and backend containers in **Configuration Scope** and **Service Scope** is presented. Each frontend displays only the actions and information that the user permissions allow. The user permissions are once again verified in the backend to secure the system against malicious accesses. Other alternatives related to authentication and authorization are presented in the Section 5.4.3.

Finally some operations performed in the **Service Scope** are presented starting with how a user can see the current location of a device via the Fleet Management Service (Figure 5.32). Authentication details will be omitted for brevity reasons.

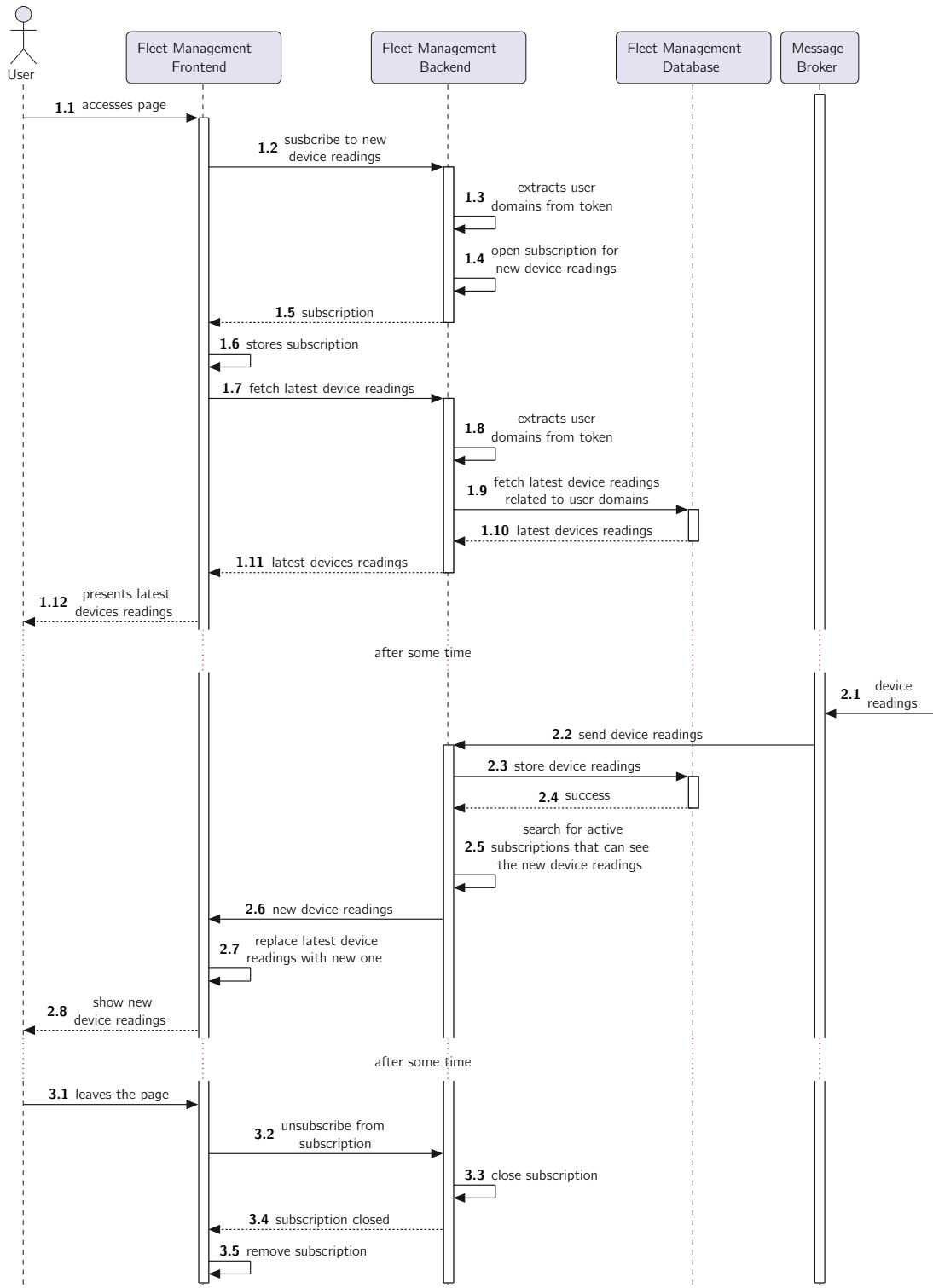


Figure 5.32: Container Level - Consult Device Live Location via Fleet Management - Process View Diagram

In order to provide live information to the user **Service Scope** services rely on *WebSockets*. A bidirectional channel is created between the frontend and backend so that data can be sent directly from the backend to the frontend as we can see in the step **2.6**. First the frontend must subscribe to new information with a valid *access token* - steps **1.2** to **1.6** - then this channel is maintained till the user leaves the page. Once the user leaves the page the subscription is closed in the frontend and subsequently in the backend - steps **3.2** to **3.5**.

The next diagram in Figure 5.33 describes how a user receives notifications via several different delivery channels. For brevity reasons the subscription process is omitted.

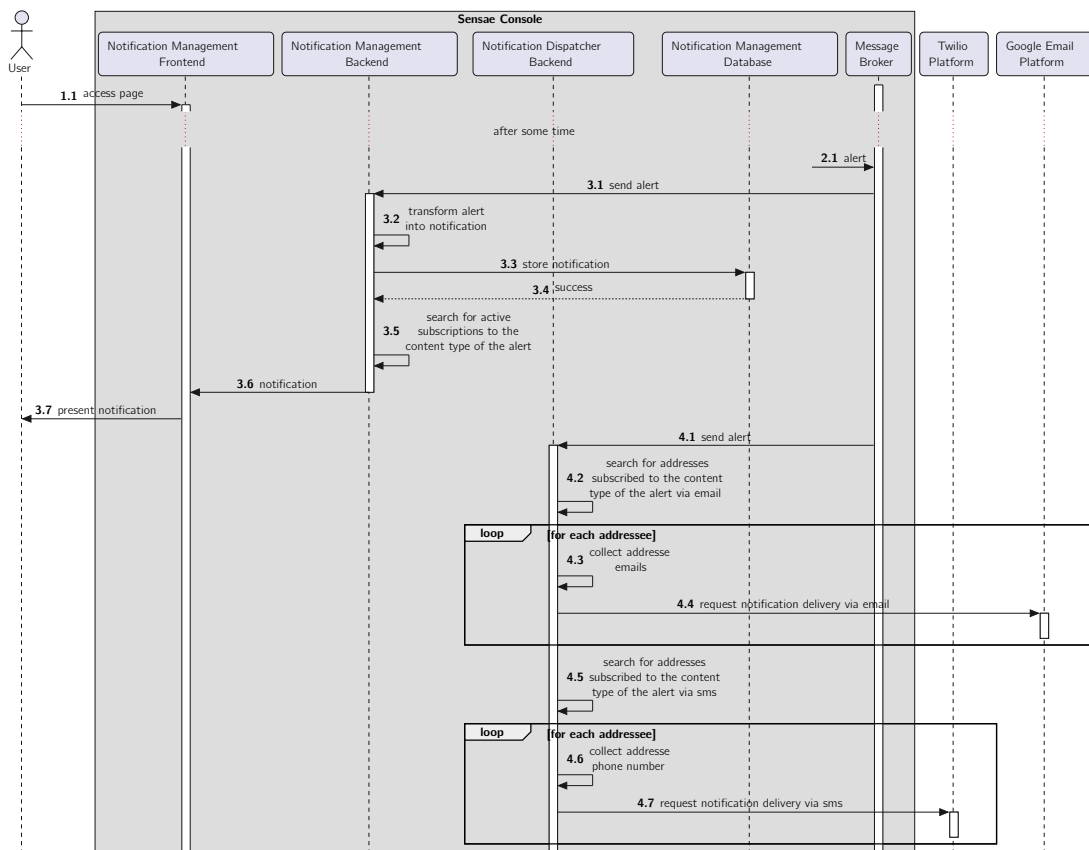


Figure 5.33: Container Level - Receive notification via Notification Management - Process View Diagram

As a brief description this diagram describes what happens when an alert is dispatched inside **Sensae Console**. An alert is created in Alert Dispatcher Backend, flows through Device Ownership Backend to be enriched with the domains that own it and is then collected by, at least, Notification Management Backend and Notification Dispatcher Backend. Notification Management Backend delivers alerts in the form of UI notifications - step **3.5** and **3.6** - and stores this alert as a notification for later use - step **3.3**. Notification Dispatcher Backend delivers alerts in the form of Emails - step **4.4** - and SMS - step **4.7**.

Certain types of alerts are also collected by Smart Irrigation Backend to automatically control conditions inside an irrigation zone. In the next diagram, Figure 5.34, this process is presented.

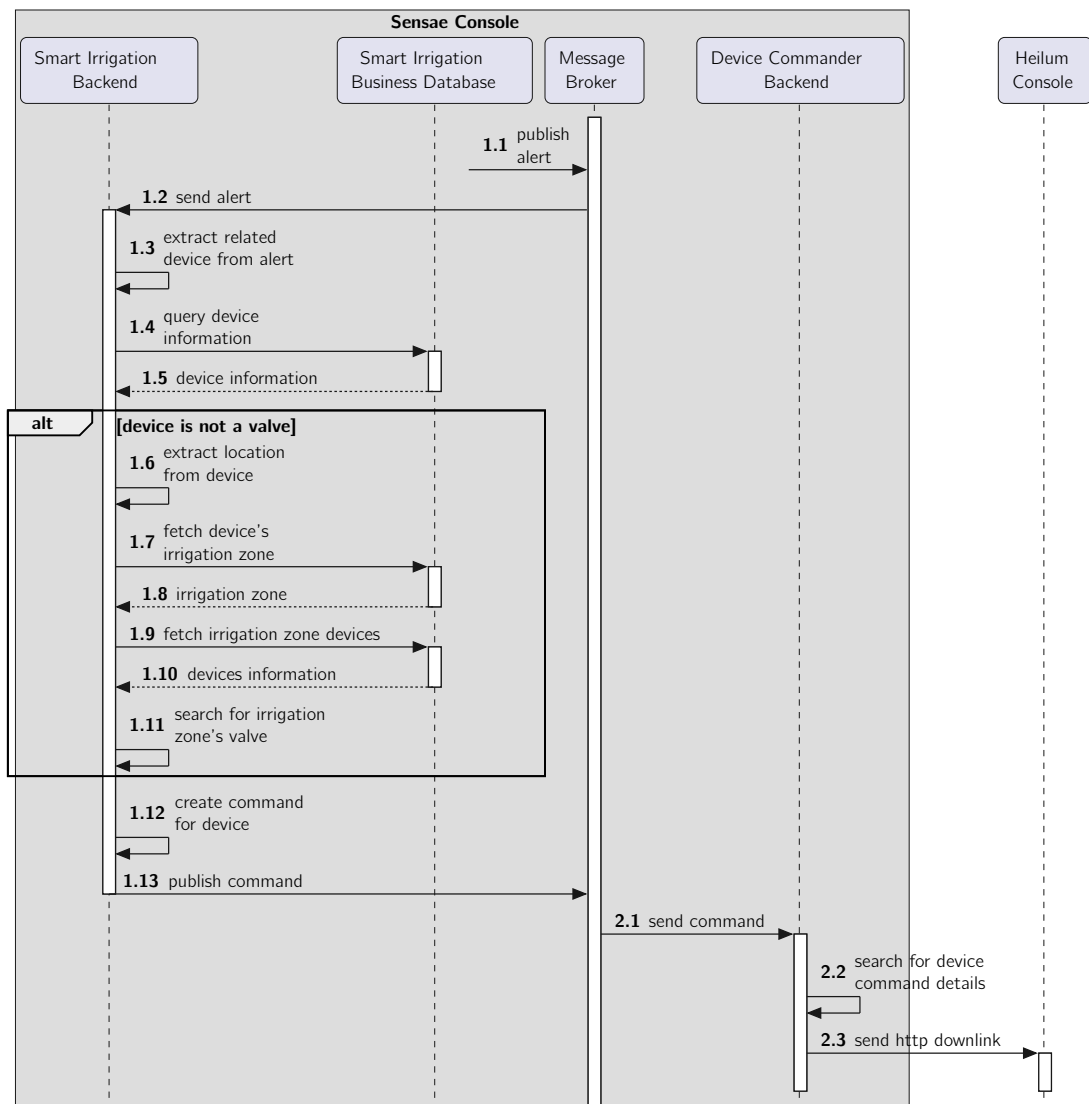


Figure 5.34: Container Level - Valve Activation Process via Smart Irrigation
- Process View Diagram

The alerts created in **Sensae Console** are captured by containers in the **Service Scope** so that they can act based on the alert warnings.

The Smart Irrigation Backend subscribes to three types of *Sub Category* alerts all with the same *Category* - *Smart Irrigation*:

- **Damped Environment:** a valve needs to be closed;
- **Dry Environment:** a valve needs to be open;
- **Valve Open For Lengthy Period:** a valve needs to be close.

Container Level - Development View

Each container mentioned in the Section 5.3.2 is developed inside the same package, *sensae-console*. The following diagrams presents how containers are mapped to packages.

Frontend services are organized according to the diagram in Figure 5.35.

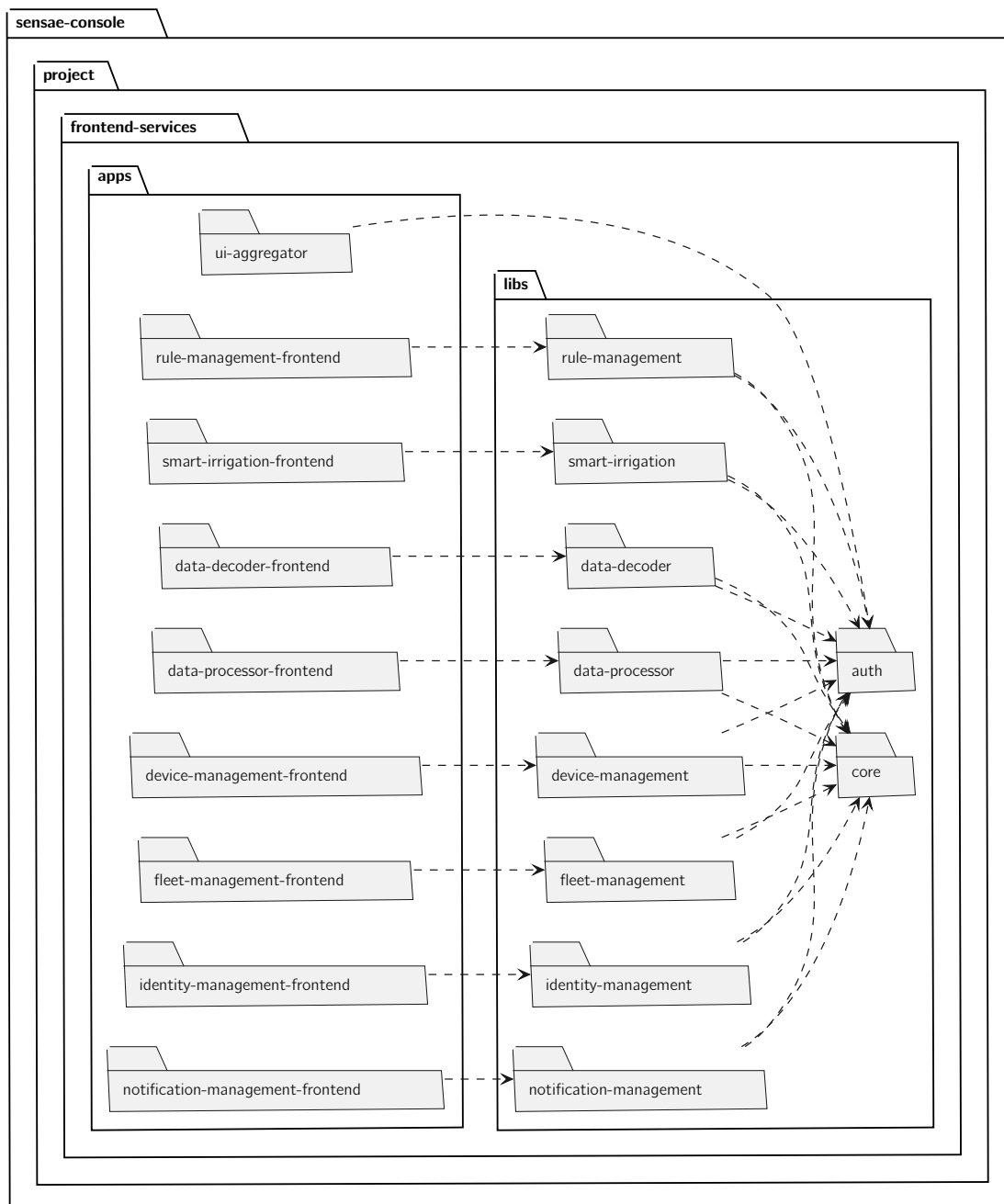


Figure 5.35: Container Level - Frontend Services - Development View Diagram

Each frontend service is divided between the *apps* package and *libs* package. Each *app* depends on the corresponding *lib*. Every *lib* depends on the *core* and *auth* packages. The UI Aggregator depends only on the *textitauth* package.

Backend services are organized according to the diagram in Figure 5.36.

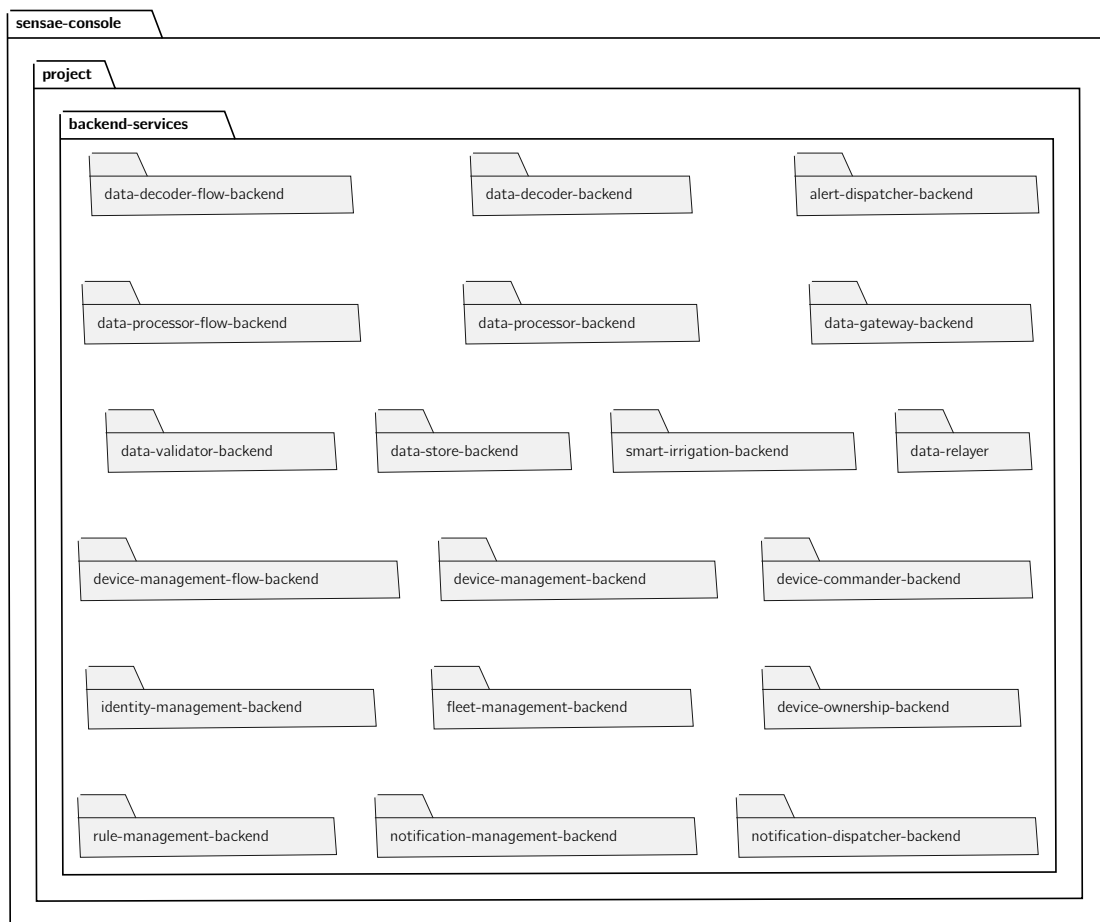


Figure 5.36: Container Level - Backend Services - Development View Diagram

Each backend service software lives inside its own package. All containers have been developed besides the *Data Relayer* that was only configured.

Database services are organized according to the diagram in Figure 5.36.

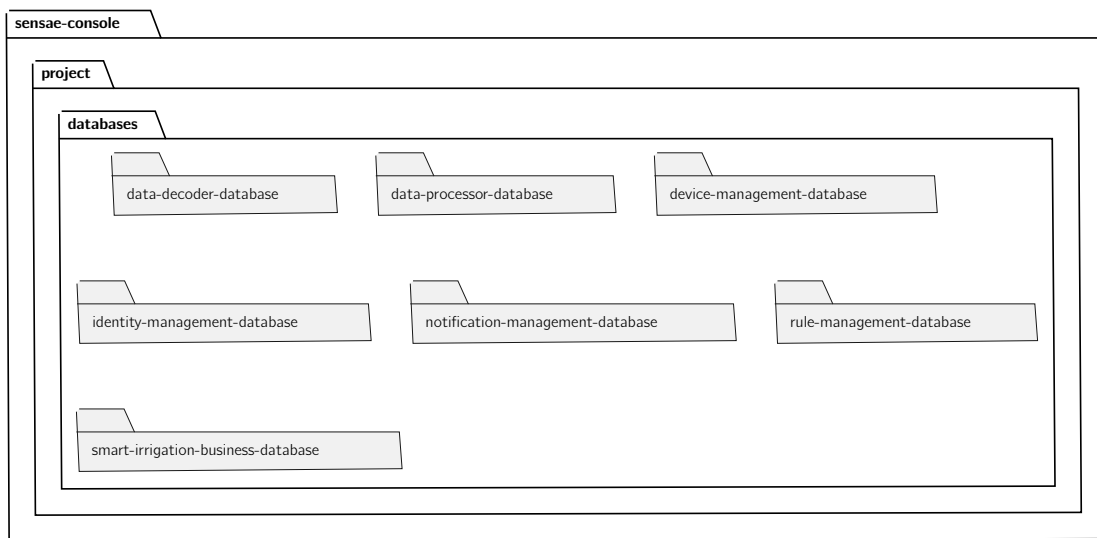


Figure 5.37: Container Level - Database Services - Development View Diagram

No database service has been developed, only configured. The Fleet Management Database and Smart Irrigation Data Database needed no configuration and as such aren't associated with any package. The Message Broker also has no package in the project since it didn't need any configuration and wasn't developed.

Container Level - Physical View

Next is the physical view (Figure 5.38), intended to familiarize the reader with the idealized production environment. Each container that composes the system is containerized via *Docker* so that orchestration software like *Docker Compose*, *Docker Swarm*, *Kubernetes* and *OpenShift* can be used to ease the operation phase.

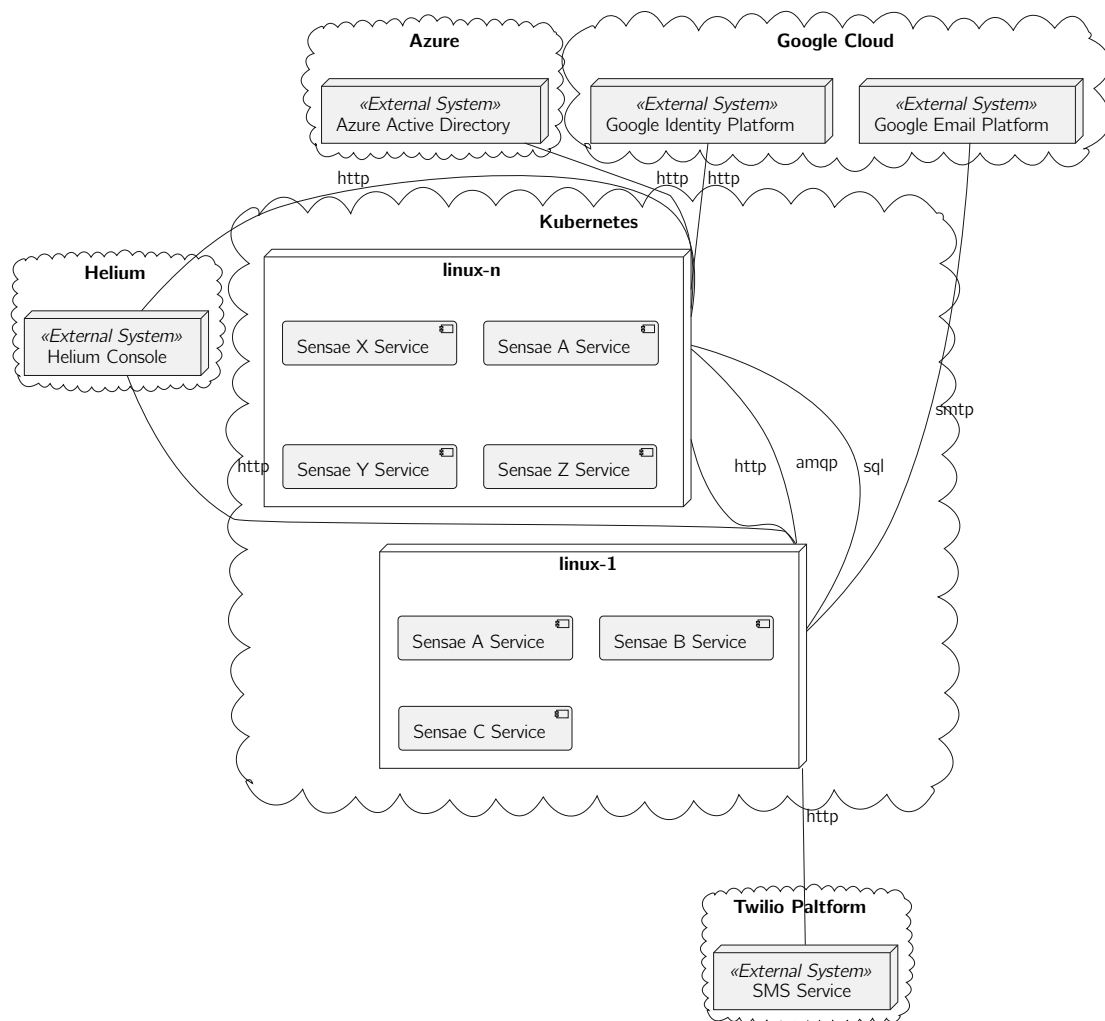


Figure 5.38: Container Level - Physical View Diagram

Even though the diagram above represents a *Kubernetes* cluster, the production environment is orchestrated using *Docker Compose* running in a single node/server. This decision was taken after acknowledging that currently there is no need to scale the solution, a single node has been capable of handling all throughput.

Container Level - Synopsis

The container level introduces the reader to the internals of **Sensae Console**. Each container is introduced and the interactions between them are explored. In the following section, Section 5.3.3, the developed containers are presented with a granularity of level 3 (in the C4 model).

5.3.3 C4 Level 3 - Components

The component level describes the internals of a specific container. A container is made up of a number of components, each with well-defined responsibilities. In the following diagrams the dependencies between the various components will also be presented.

Most developed containers share the same architecture and will therefore be addressed as groups of containers.

The physical view will not be presented since all relevant details have been addressed above.

Components Level - Logical View

The architectures used in the various developed containers can be condensate into 3 types with minor variations:

- **Frontend Architecture:** used on all frontend containers;
- **Management Backend Architecture:** used on most service scope backend containers and all configuration scope backends;
- **Data Flow Architecture:** used on most containers related to the Data Flow scope.

Starting with the Frontend Architecture used, it was decided to maintain two distinct domains, Model and DTOS, in order to meet the Single Responsibility Principle (SRP) (high cohesion) and to lower the coupling between the information displayed in the UI and the data sent/received by the container. This segmentation led to the addition of the Mapper component, which has the responsibility of converting the data (DTOS component) into information (Model component) and vice-versa. The Auth component indicates what backend resources the user has access to and the Utils component has several methods commonly used to process backend requests, this two components are reused in all frontend containers.

As an example the logical view of the Data Decoder Frontend is presented in Figure 5.39.

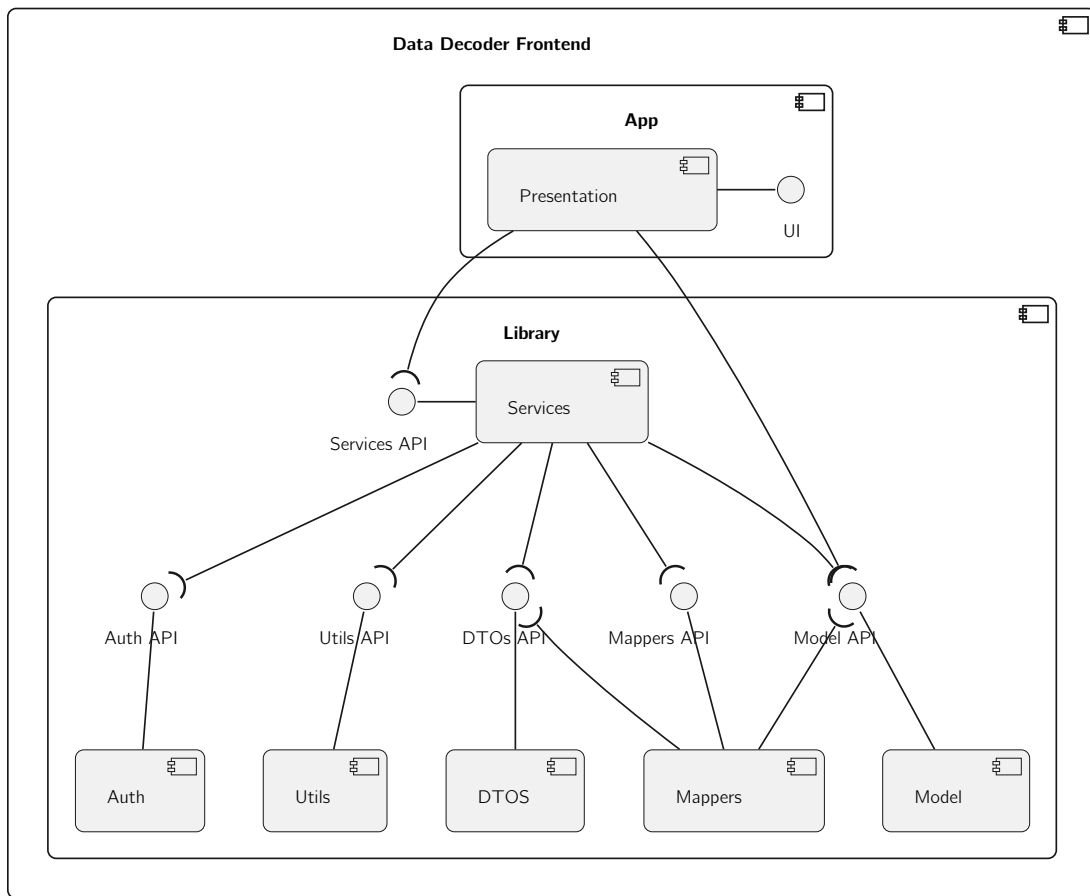


Figure 5.39: Component Level - Data Decoder Frontend - Logical View Diagram

This architecture is used on the containers: (i) Device Management Frontend, (ii) Data Decoder Frontend, (iii) Data Processor Frontend, (iv) Notification Management Frontend, (v) Identity Management Frontend, (vi) Rule Management Frontend, (vii) Fleet Management Frontend and (viii) Smart Irrigation Frontend. The UI Aggregator has a simpler architecture than the other frontend containers, it is comprised by a Presentation component that depends on the Auth component to handle user authentication and authorization.

Next, the Management Backend Architecture is discussed. It is based on the Onion Architecture, an architecture pattern that "emphasizes separation of concerns throughout the system" and "leads to more maintainable applications" (Palermo 2008).

As an example the logical view of the Device Management Backend is presented in Figure 5.40.

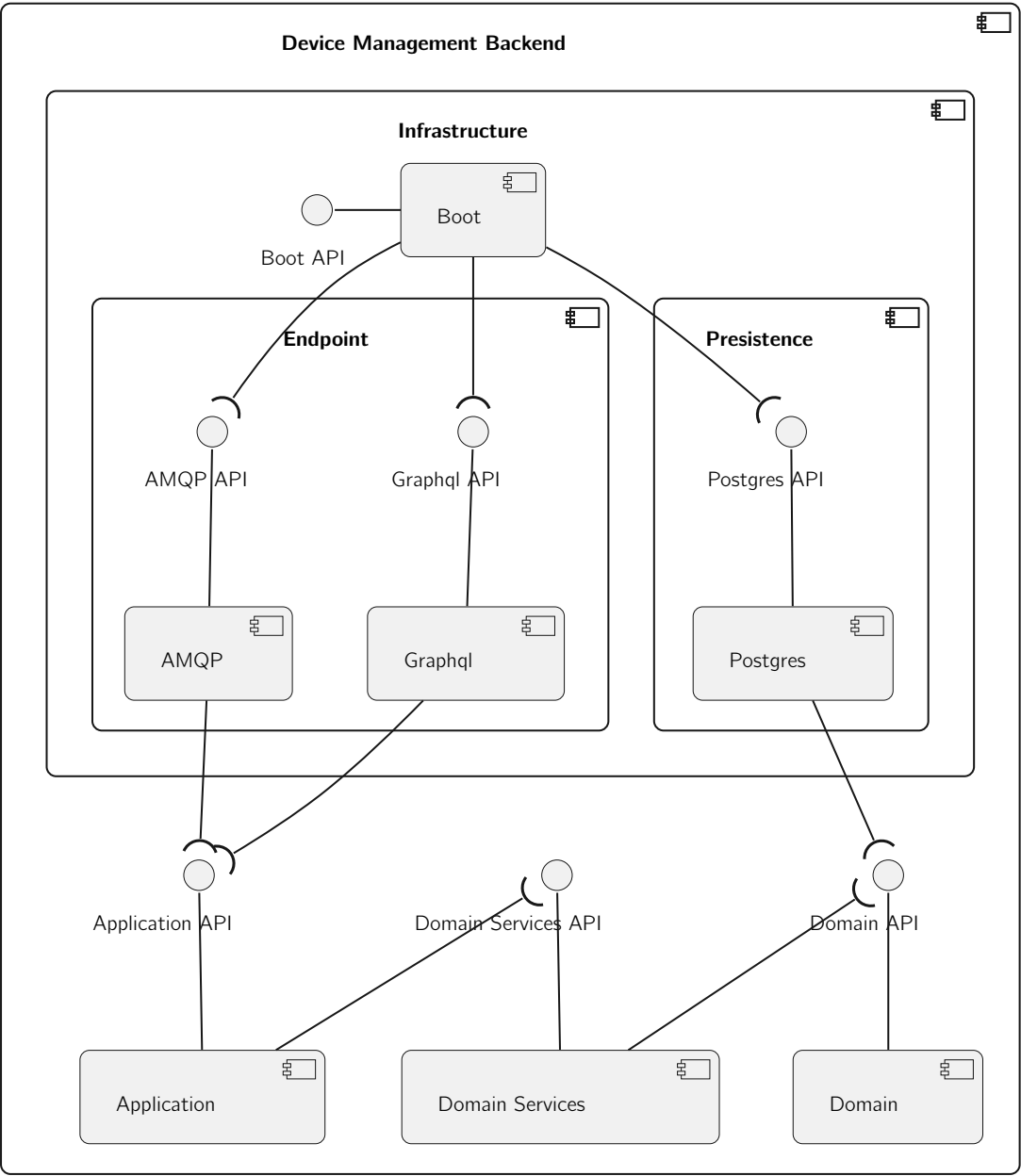


Figure 5.40: Component Level - Device Management Backend - Logical View Diagram

This architecture is used on the containers: (i) Device Management Backend, (ii) Data Decoder Backend, (iii) Data Processor Backend, (iv) Notification Management Backend, (v) Identity Management Backend, (vi) Rule Management Backend and (vii) Fleet Management Backend. The Smart Irrigation Backend has an additional component - QuestDB - inside the Persistence component with the same dependencies as the Postgres component.

The following table, Table 5.4, discusses each component responsibilities.

Component	Responsibilities
Infrastructure	- Enclose components that manage the Input/Output operations required by the container;
Boot	- Manage the start up of the container; - Construct the components' pieces according to the defined dependencies; - Manage the configuration of the container;
Endpoint	- Enclose components that are used by external containers to interact with the container;
AMQP	- Define how to consume and publish events in the Message Broker; - Delegate the handling of events received to specific Application processes;
GraphQL	- Define the interface to be consumed by the frontend; - Delegate external requests made to specific Application processes;
Persistence	- Enclose components that interface with containers responsible for persisting data;
Postgres	- Interact with a database to persist and query data;
Application	- Represent the application processes; - Ensure the propagation of events related to the process in question, requiring this responsibility to AMQP; - Ensure the execution of the process in question, requiring this responsibility to Domain Services; - Enforce user authorization;
Domain Services	- Represent business processes; - Interact with the Domain; - Ensure the persistence of the data in question, requiring this responsibility to the Persistence;
Domain	- Represent de business rules and concepts; - Manage the system information;

Table 5.4: Components responsibilities

Finally the architecture used in containers related to the Data Flow Scope is presented. It is based on a simplified version of the Onion Architecture since the intrinsic processes of this containers are much simpler.

As an example the logical view of the Device Ownership Backend is presented in Figure 5.41.

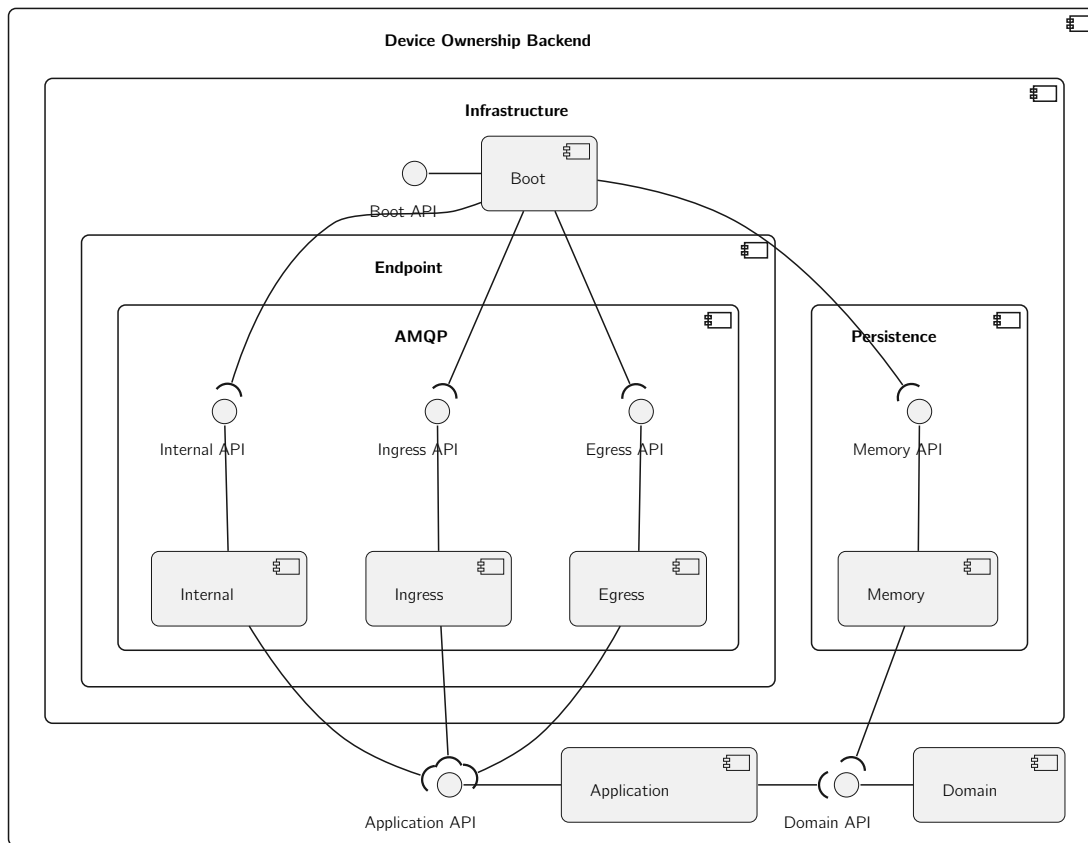


Figure 5.41: Component Level - Device Ownership Backend - Logical View Diagram

This architecture is used on the containers: (i) Device Management Flow Backend, (ii) Data Decoder Flow Backend, (iii) Data Processor Flow Backend, (iv) Device Ownership Backend. The responsibilities of the components inside AMQP are:

- Internal: responsible for communicating with the system via internal topic;
- Ingress: responsible for consuming events/messages coming from data, alert or command topics;
- Egress: responsible for publishing events/messages to the data or alert topics.

The Memory component is responsible for caching unhandled data units and other information relevant for each context. This component is not present in Data Validator Backend and Alert Dispatcher Backend since they don't need to store context information to function.

The Data Gateway, Device Commander and Data Store backend containers have architectures that derive from this one and can be consulted in Appendix *****TODO*****.

Components Level - Process View

In this section some internal process deemed relevant are presented through sequence diagrams in order to familiarize the reader with the interactions that occur between components inside a container.

The internal processes that will be evaluated are:

- Process Data Unit in Device Management Flow Backend;
- Deploy Draft Rule Scenarios in Rule Management Backend;

These processes have been chosen in order to introduce the reader to specific operations not yet explored in this chapter.

The first process to explore is meant to clarify how a Data Unit sent by a Controller is processed inside the Device Management Flow Backend. As explained in the Device Management Section, Data Units sent by a Controller are partitioned into various Data Units. The following diagram, Figure 5.42, details this process.

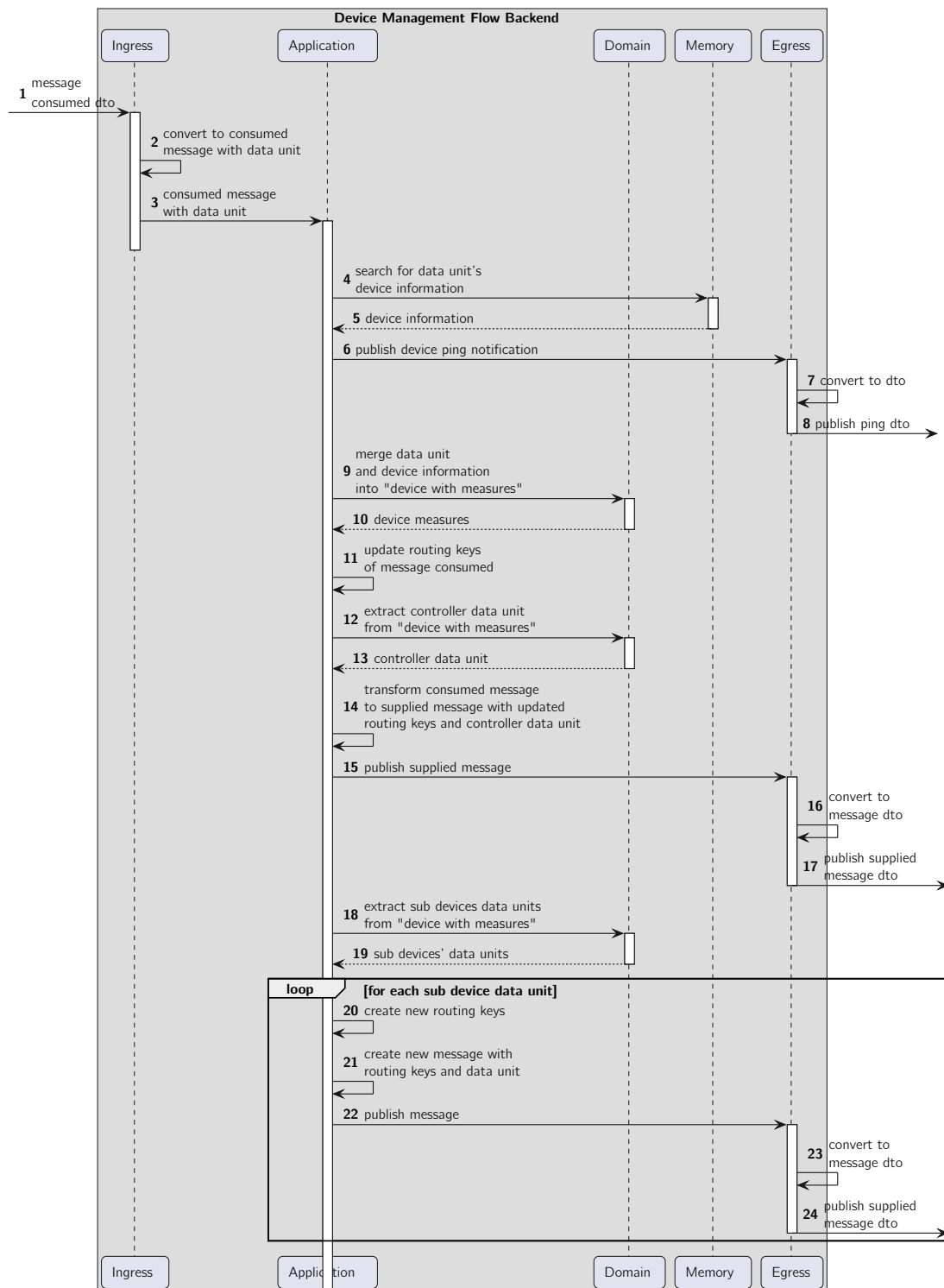


Figure 5.42: Component Level - Process Data Unit in Device Management Flow Backend - Process View Diagram

As presented in the diagram:

- As soon as the message dto arrives it is mapped to the *iot-core* data unit model - step 2 - this model is used inside every Data Flow container. Before publishing the data

unit it is mapped to the dto once again - step **16** and **23**. This conversion happens with any other event published and consumed in the system;

- If the device information is found a *ping* notification for that device is sent - steps **6** to **8**, otherwise an *unknown* notification would be sent and the container would store the data unit;
- Each sub device of the controller a new data unit with that device measures is published in the system - steps **20** to **24**;

Next, the process of deploying draft rule scenarios is clarified. Draft scenarios exist since adding, removing or changing a rule scenario in Alert Dispatcher Backend requires the entire data set to be removed. This procedure can lead to alerts not being dispatched. The next diagram, Figure 5.43, tackles this concern.

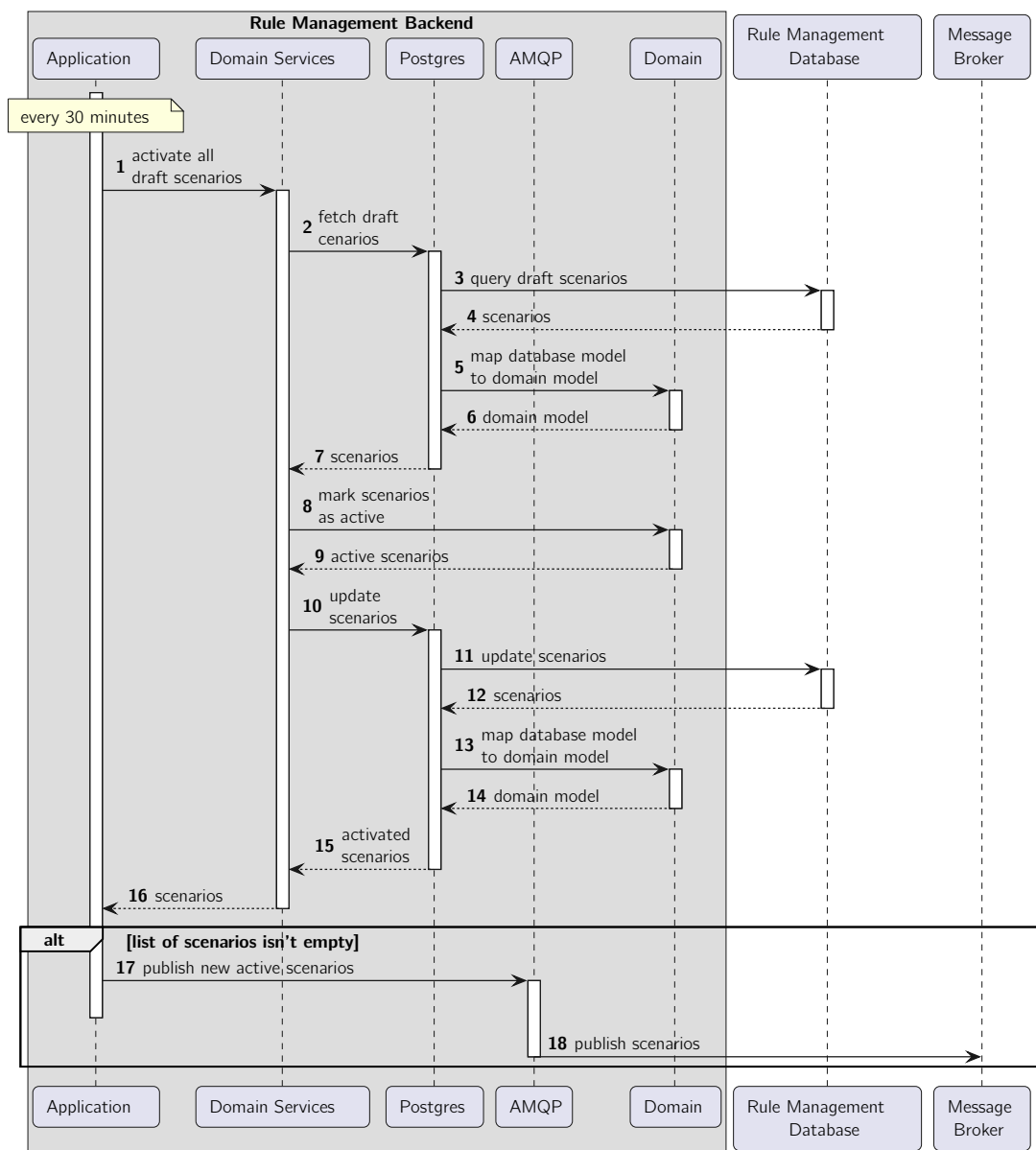


Figure 5.43: Component Level - Deploy Draft Rule Scenarios in Rule Management Backend - Process View Diagram

As seen in the diagram, to mitigate the number of lost alarms, new rule scenarios are published at best every 30 minutes - step **1** - and only if any change was made - step **17** and **18**.

Components Level - Development View

The development view of each container can also be condensate in the same 3 distinct types presented in the Section Components Level - Logical View.

The next diagrams, Figure 5.44, Figure 5.45 and Figure 5.46 describe this view at the components level.

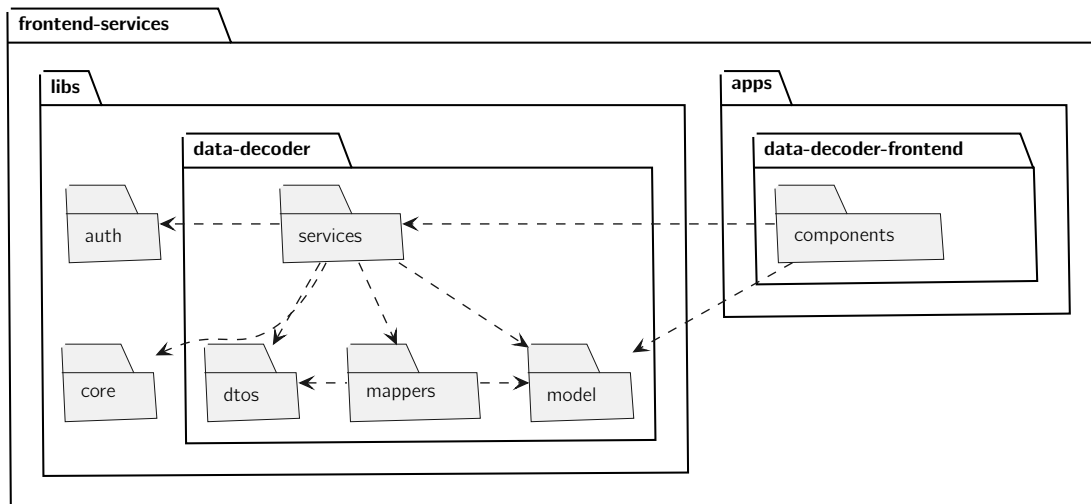


Figure 5.44: Component Level - Data Decoder Frontend - Development View Diagram

The packages presented correspond to the components described in the logical view (Figure 5.39). Since the names given in both views are different, the following list maps the logical view into the implementation view:

- *components* package corresponds to the *Presentation* component;
- *auth* package corresponds to the *Auth* component;
- *core* package corresponds to the *Utils* component;
- *dtos* package corresponds to the *DTOS* component;
- *mappers* package corresponds to the *Mappers* component;
- *model* package corresponds to the *Model* component;
- *services* package corresponds to the *Services* component.

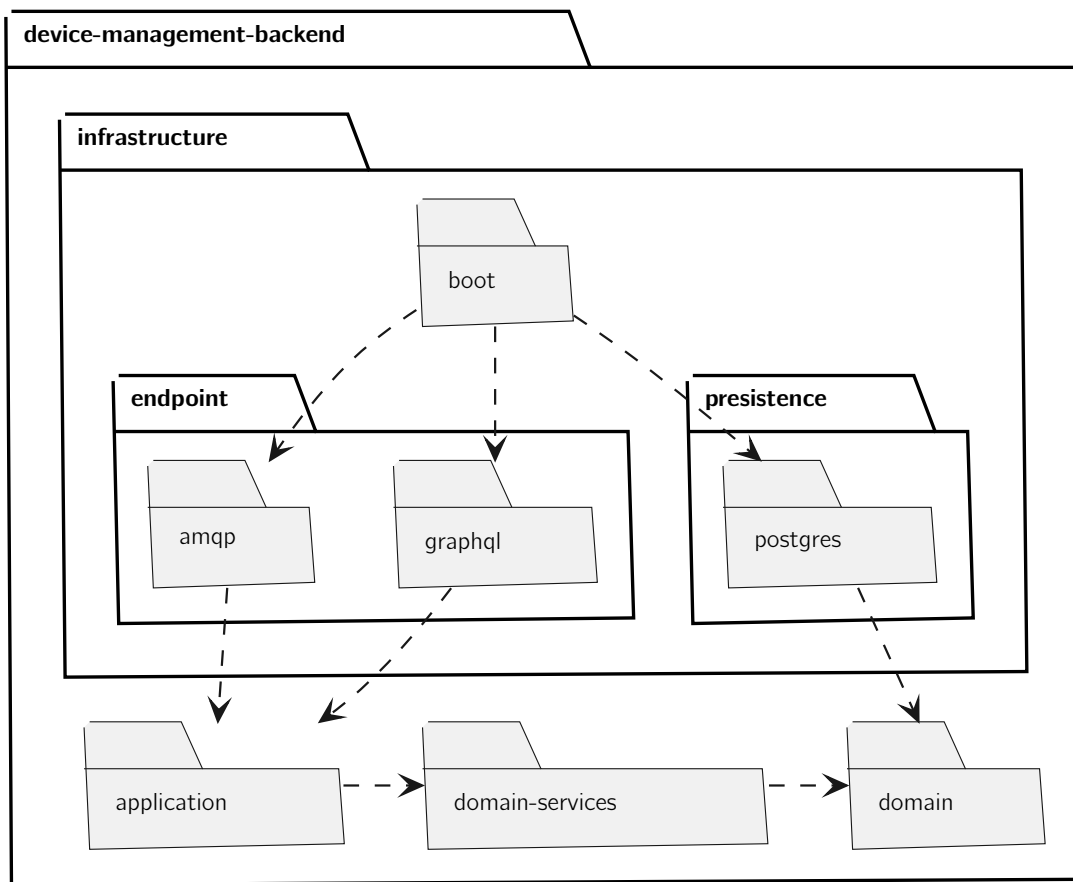


Figure 5.45: Component Level - Device Management Backend - Development View Diagram

The packages presented correspond to the components described in the logical view (Figure 5.40). The names given in both views differ only on the case used.

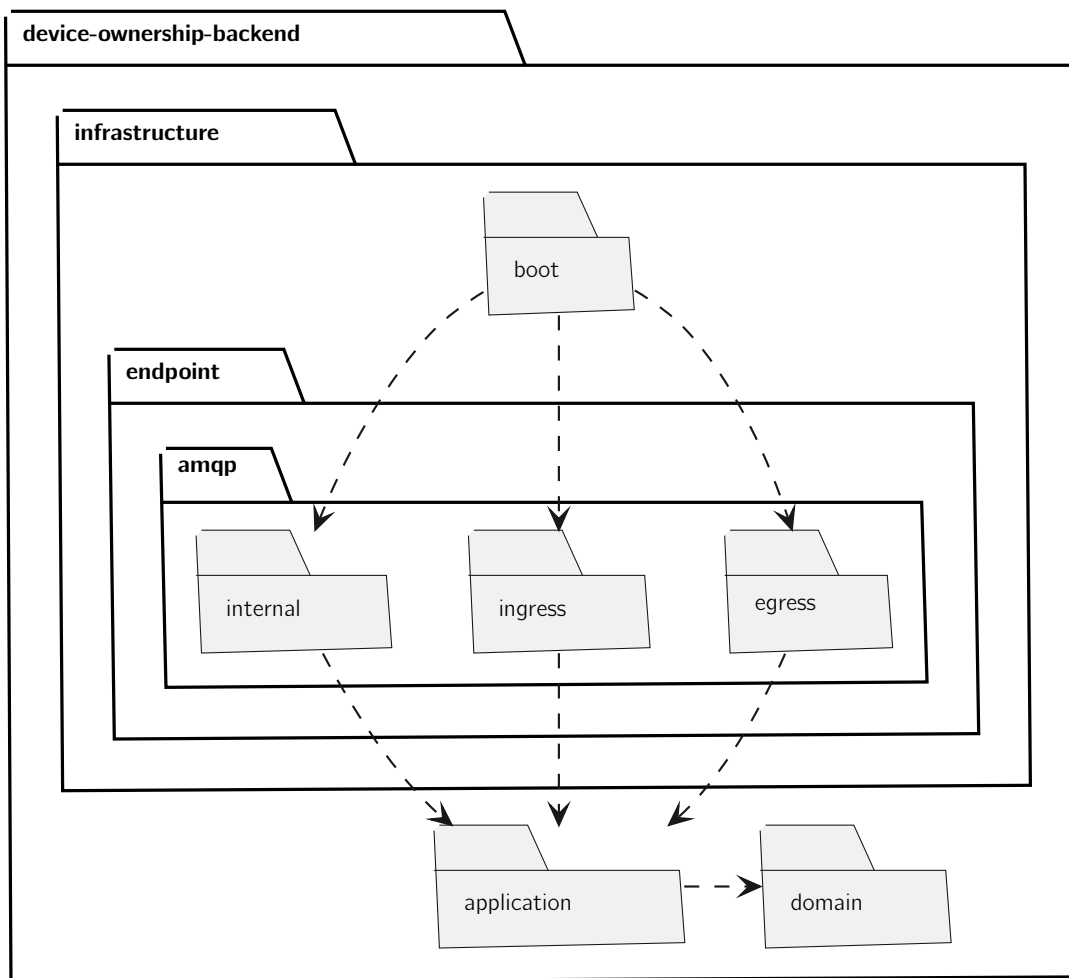


Figure 5.46: Component Level - Device Ownership Backend - Development View Diagram

The packages presented correspond to the components described in the logical view (Figure 5.41). The names given in both views differ only on the case used.

Components Level - Synopsis

This section presented the architecture used in the developed containers, how software is organized and how some internal process are executed inside this containers. In the following section alternatives to what was designed and developed are discussed.

5.4 Architectural Alternatives Discussed

This section tackles important alternatives that were proposed and discussed during the design and development of the solution but were discarded in detriment for the approaches presented in the Architectural Design.

5.4.1 Backend Segregation

There are three main architectural approaches to this topic: Monolithic Backend - Richardson 2021b -, Service Oriented Architecture (SOA) - IBM 2021c - or Microservices - Fowler and Lewis 2014. The first question regarding what to choose is whether to split or not split the system in multiple units of work: Monolith vs the other two approaches.

If the decision is to split the system then an important question must be asked: how should one split the system? The system architecture depends on the answer given: a SOA emphasizes the reuse of the system functionalities, IBM 2021c, while Micro Services emphasis the decoupling of the various system components - Richardson 2021a - and can therefore introduce some functionality duplication as opposed to SOA - Powell 2021.

But to pick one of this architectures the most important question to ask is: Why do i need architecture X? To answer this a set of the concerns deemed more important, with regards to this solution requirements, are discussed:

- Time To Market: a MVP should be available and ready to use as soon as possible;
- Extensibility of the solution: it should be easy to extend the solution with new IoT Services;
- Operation Cost: the solution has to be efficient to lower the infrastructure costs, tied to the system performance;
- System performance: the solution has to be capable of processing a high volumes of data efficiently, tied to the system performance;

The first concern, Time to Market, weights heavily in favor of the Monolith approach when developing a MVP, Harris n.d. This approach is simpler to develop, deploy and has less cognitive overhead when compared to the other two approaches.

Regarding the extensibility of the solution, a Monolith is inherently rigid and hard to extend as the business evolves. This problem is inflated by the fact that the business model envisioned relies heavily on the creation of several distinct IoT services. On the other hand the SOA and Microservices architecture are preferred since they are open for extension - Jacobs and Casey 2022.

The last two concerns are related to the scalability of the solution. A Monolithic Backend can be scaled up by increasing the resources - RAM, CPU, GPU and Disk Capacity - of the physical server where the solution is deployed, this is commonly referred as Vertical Scaling. A SOA or Micro Service Backend Architecture can be scaled up by increasing the number of physical servers where the solution is deployed, this is commonly referred as Horizontal Scaling. One can also deploy various independent instances of the same solution and each instance would be assigned to a set of customers. This option is crucial and always possible once the business grows and starts to assist various customers.

The following picture, Figure 5.47, summarizes how each architecture scales, the SOA behaves similarly to the microservices architecture presented.

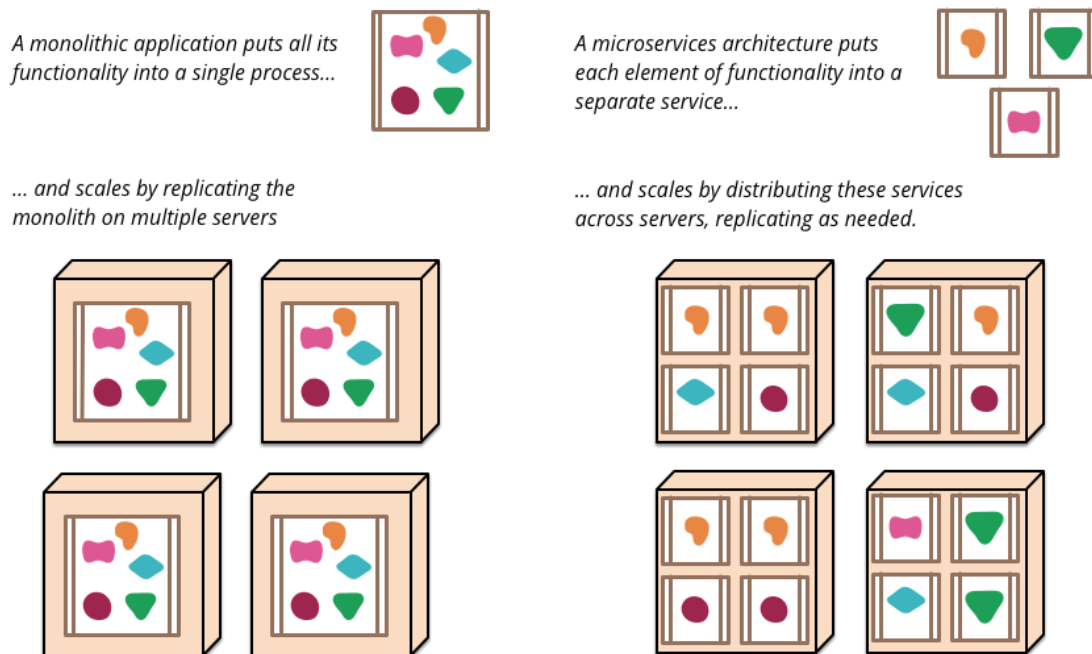


Figure 5.47: Monoliths and Microservices by Fowler and Lewis 2014

The final decision was to follow an architecture based on Microservices even tho this decision had several oversights:

- Development Team size: microservices are commonly adopted by big companies where each team of developers is responsible for a subset of microservices. This lowers the friction between teams when developing and deploying the solution and is seen as a big reason to move to a microservice architecture. For this solution, a single developer is responsible for everything;
- Time to Market: microservices need to interact with each other though the network, this added demand takes time to design and develop when compared to a monolith solution where communication is done via code;
- A solution shouldn't start with a microservice architecture: a solution should migrate to microservices when it becomes too complex and hard to maintain, IBM 2021a.

The decision made was based on the following assumptions and perceptions:

- There are well defined boundaries between the various business processes that the project needs to support;
- There is a need to scale the solution early on the road due to high volumes of IoT data to process and store;
- There are a high number of completely independent IoT services to develop;
- There are different types of costumers with diverse requirements regarding the deployment and development of the solution.

SOA was discarded since it focus on business functionality reuse instead of functional requirements segregation. With SOA each service is responsible only for one non functional requirement such as: auditing, security, logging, data storage, data presentation, business

process logic and others. All these services usually communicate via Enterprise Service Bus (ESB). A new functional requirement or business process requires every type of service to be modified. Microservices on the other hand are separated by functional requirements and each service is responsible for storing data, presenting data, logging and everything else deemed necessary. Microservices are more easily extended when/if needed compared with SOA since the focus is on loose coupling services and not highly reusable services.

5.4.2 Frontend Segregation

This section tackles the need for segregating the frontend into various independent frontends - Microfrontends, Geers 2017 - or to develop a single Frontend to answer the identified requirements.

The requirements discussed in *****TODO***** enhance the need to develop a product that can be fully extensible and yet close for modifications, strictly following the Open/Close Principle (OCP). This need arises so that costumer entities can easily create new IoT services without the need to alter any close source code that is produced internally.

The Microfrontends Architecture when applied to this project has the same oversights, assumptions and perceptions that inadvertently lead to the decision taken in the Backend Segregation Section. As such the decision was to drop the design and development of a single frontend in favor of a Microfrontends Architecture.

Ultimately this decision, coupled with the Backend Segregation decision made, enforces a business model that follows OCP and simplifies the adoption of this solution by third parties.

5.4.3 User Authorization/Authentication

User Authorization and Authentication is an important aspect of the solution. During the requirements elicitation, mentioned in *****TODO*****, it was clear that several different levels of access had to be given to Tenants, this levels of access also had to be managed by someone. As such, users had to be authenticated in the system and all accesses had to be authorized.

Four approaches were considered:

- Internal Authorization Server;
- External Authorization Server;
- External Authorization Server with Internal Permissions Server;
- External Authorization Server with Internal OAuth2 Server;

The fourth option was the approach taken.

Internal Authorization Server

By creating an Internal Authorization Server we could have a normal, private and controlled user authentication/authorization flow in the environment. Both user credentials and permissions would be managed internally.

The following diagram, Figure 5.48, presents the normal environment flow for this alternative.

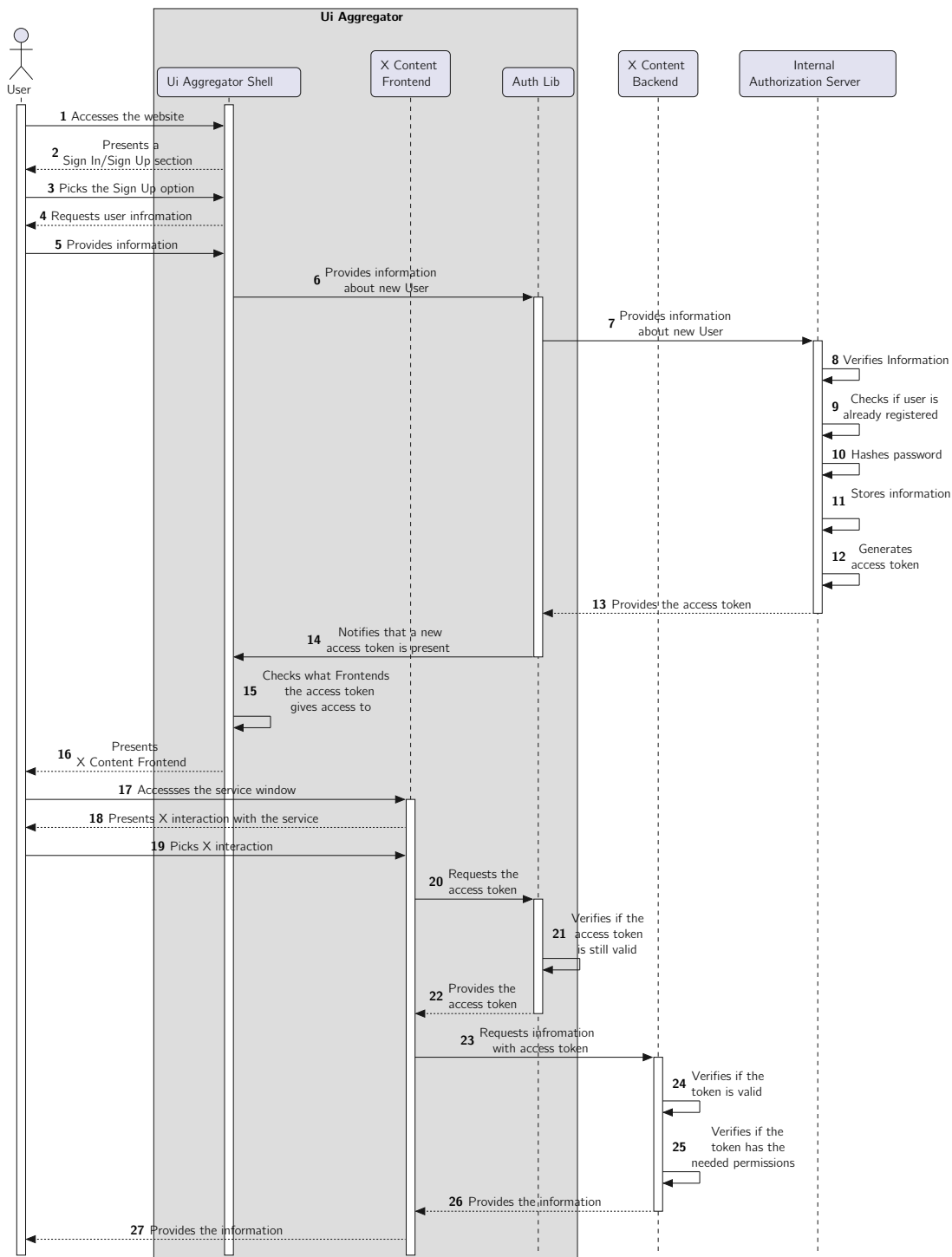


Figure 5.48: User Authorization/Authentication - Internal Authorization Server Alternative - Sequence Diagram

This alternative introduces the need to internally secure user credentials and other sensitive information from data breaches. It would also require each user to register in sensae with a new account credentials. For this reasons this alternative was discarded.

External Authorization Server

By using an external Authorization Server there would be no need to store user credentials or permissions. These services are commonly identified as CIAM solutions.

The following diagram, Figure 5.49, presents the normal environment flow for this alternative.

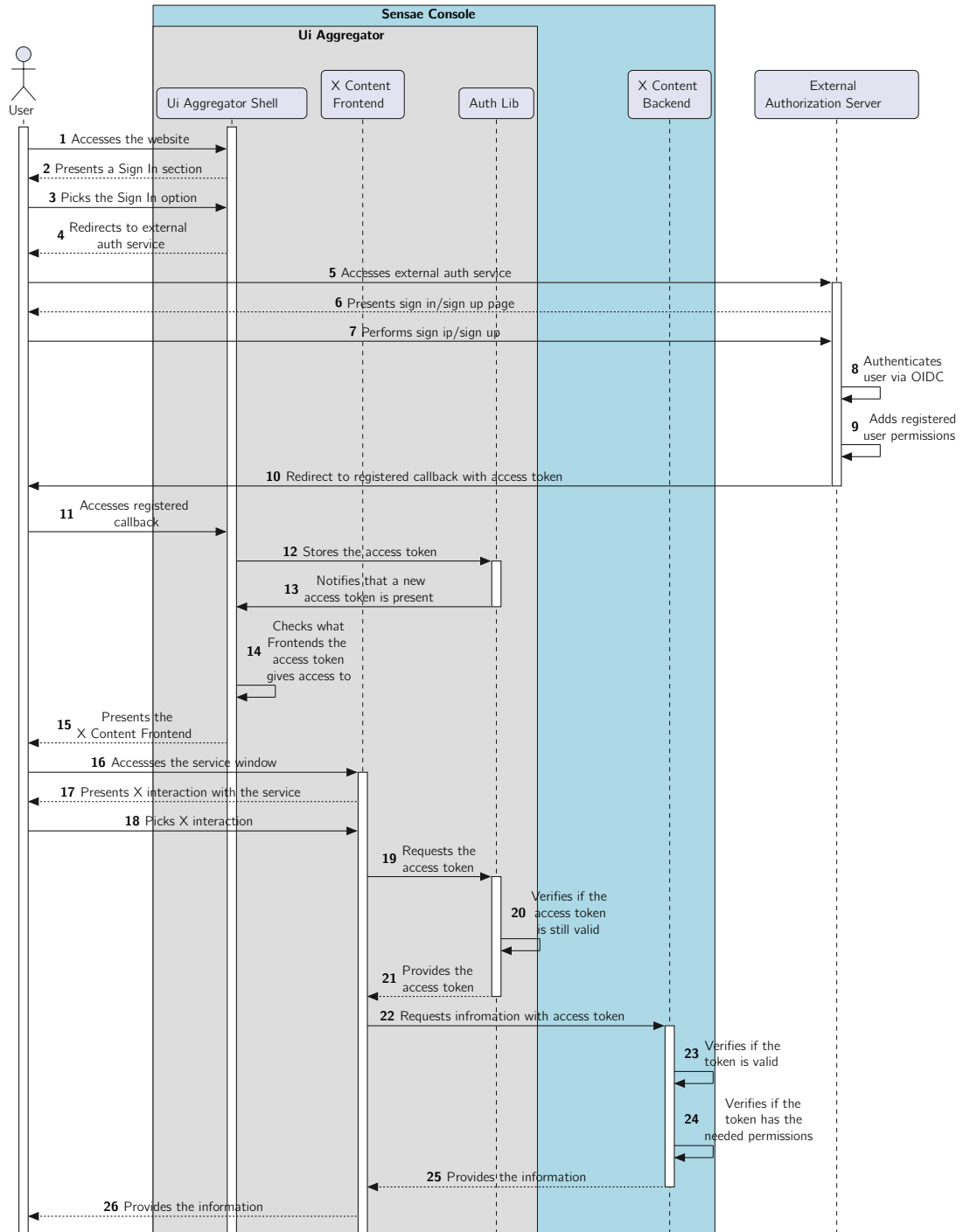


Figure 5.49: User Authorization/Authentication - External Authorization Server Alternative - Sequence Diagram

This approach would create a strong dependency to the CIAM solution used since all user permissions and credentials would have to be managed by the CIAM solution. Some of these services are: (i) Auth0 Auth0 2022, (ii) Google Google n.d., (iii) Okta Okta 2022, (iv) Amazon Cognito Amazon 2022 and (v) Azure Active Directory B2C Azure 2022.

The platform Auth0 was tested and it is capable of registering user roles and permissions according to the requirements.

As stated before, the dependency created would force the environment to always be coupled to the chosen CIAM solution. For this reason this alternative was discarded.

External Authorization Server with Internal Permissions Server

By using an external Authorization Server there would be no need to store user credentials, the user permissions would then be managed internally via a *Permissions Server*.

The following diagram, Figure 5.50, presents the normal environment flow for this alternative.

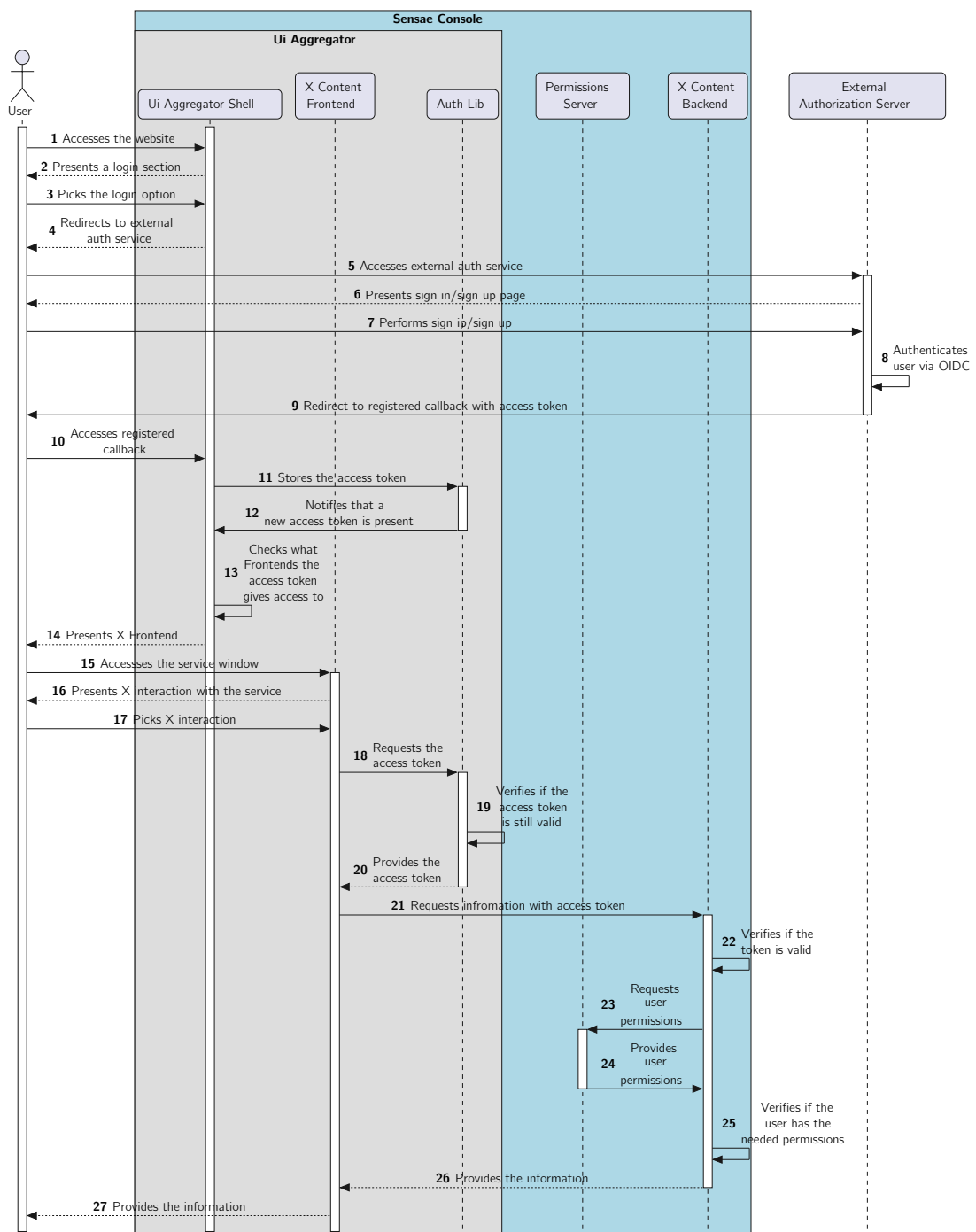


Figure 5.50: User Authorization/Authentication - External Authorization Server with Internal Permissions Server Alternative - Sequence Diagram

This approach would create a dependency to the CIAM solution used and presented in the second alternative.

This dependency is less severe compared with the second alternative since all permissions would be managed internally. This approach would require any backend to query the *Permissions Server* for user permissions so that it could verify if the user was authorized to preform the requested action or not. This would therefore linger down the performance of

the system since each action would have to be verified according to the *Permissions Server* information.

External Authorization Server with Internal Oauth2 Server

By using an external Authorization Server there would be no need to store user credentials. An internal Oauth2 Server would remove the direct dependency to the *Permissions Server* presented in the third alternative.

This alternative is introduced in Figure 5.30 and Figure 5.31 where the Internal Oauth2 Server is the Identity Management Backend.

This approach would create a dependency to the CIAM solution used and presented in the second alternative. This dependency is less severe compared with the second alternative since all user permissions would be managed internally. This approach would require the system to create and refresh *access tokens* based on the *id token* received by the external CIAM solution. Contrary to the third alternative it would not create excessive pressure in a specific container.

This approach also allows the system to easily integrate with more than one CIAM solution while managing user permissions in a single place. The CIAM solutions that **Sensae Console** is integrated with are:

- Google Identity Platform: for common individuals that want to use the system, since almost everyone has a google account;
- Azure Active Directory: for companies and organizations since most use Office 365 services internally.

Due to the reasons presented above, this was the adopted approach.

5.4.4 Data Flow Pipeline

This section debates how the various Data Flow Containers should communicate with each other.

Synchronous communication, such as HTTP requests, was promptly discarded since there is no need for each Container to acknowledge the outcome of the Data Unit that it sent and this type of communication would linger the performance of the Data Flow Scope by creating chained requests, an anti pattern when using a Microservice Architecture, Nish Anil and Veloso 2022b.

According to Nish Anil and Veloso 2022a, there are two kinds of asynchronous messaging communication: single receiver message-based communication, and multiple receivers message-based communication. It is common to use both of this types in the same solution depending on the requirements. This type of communication is usually composed by the following participants:

- Broker: responsible for establishing a communication channel between Receivers and Publishers;
- Publishers: responsible for sending messages;
- Receivers: responsible for consuming messages;

Looking at the Figure 5.25 it appears that a simple *single receiver message-based communication* would be sufficient but this approach isn't as flexible as other options. By following a *multiple receivers message-based communication* additional receivers can be added in the future without the need to modify the sender service. As an example, the Data Store container can be modified to consume any type of Data Unit without changing the containers that produce them.

The final issue to discuss is whether Receivers should pull messages from the Broker (via pulling) or the Broker should push messages to Receivers, this topic is discussed in *Kafka Design: The Consumer*, mentioned as Push vs Pull. Pushing messages to Receivers can overwhelm a receiver when its rate of consumption falls below the rate of production. The Pull approach offers Receivers the option to consume messages at the rate that they are capable of but can be wasteful in systems where messages are not abundant, Klishin 2022. The operations performed in each Data Flow container are meant to be fast and simply, and as such overwhelming a receiver was not taken into consideration. The Push approach was preferred since it theoretically enables faster reactions to new message compared to the Pull approach.

As such, it was decided that the Data Flow Pipeline would work based on the publish/-subscribe pattern on top of asynchronous messaging communication. Messages would be published to a broker and then routed to consumers.

5.4.5 Internal Communication

This section tackles how the Data Flow Scope should be kept up to date on the configurations made in the Configuration Scope. Five alternatives have been discussed:

- **First option:** Data Flow Containers directly access the Database related to their Context;
- **Second option:** Data Flow Containers request information to their context's Configuration Scope Container via synchronous calls;
- **Third option:** Data Flow Containers are feed updates to their context configurations via asynchronous calls and store this information;
- **Fourth option:** A shared, in memory, database is kept, Configuration Scope writes to it and Data Flow Scope queries information from it;
- **Fifth option:** An append-only log is used to store configuration logs, the Configuration Scope writes to it and the Data Flow Scope can always read from it.

The third option was the approach taken.

First Option

This option ensures that the Data Flow Containers are kept updated by giving them direct access to the source of truth, the database. The logical view diagram in Figure 5.51 describes how this option functions.

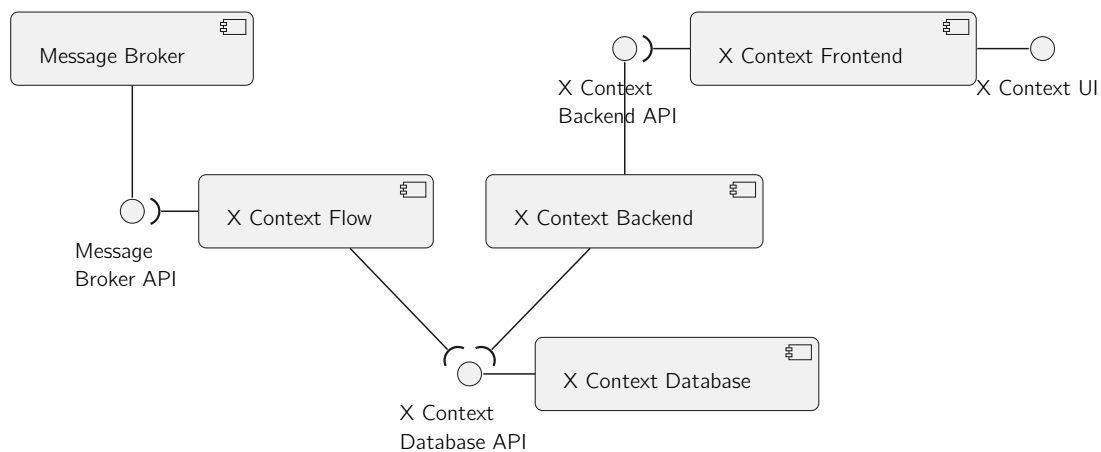


Figure 5.51: Internal Communication - First Option - Logical View Diagram

This approach ensures that the Message Broker is only used to transport Data Units, Alerts and Commands, alleviating it from an heavy responsibility. That responsibility is assigned to the *X Context Flow* Container and the *X Context Database* Container. This approach has several drawbacks such as:

- The *X Context Flow* Container has full access to superfluous configuration details related to that context configuration;
- The same database access has to be developed and maintained in two separated containers;
- All database accesses are blocking calls by nature that would slow down the process;
- Data Flow containers can't reliably cache information collected since there is no way to know when the corresponding information was updated. Meaning that every time a new message arrives the database has to be queried.

Due to this drawbacks this option was eventually dropped.

Second Option

This option ensures that the Data Flow Containers are kept updated querying information from a Representational State Transfer (REST) Application Programming Interface (API) provided by the Configuration Containers. The logical view diagram in Figure 5.52 describes how this option functions.

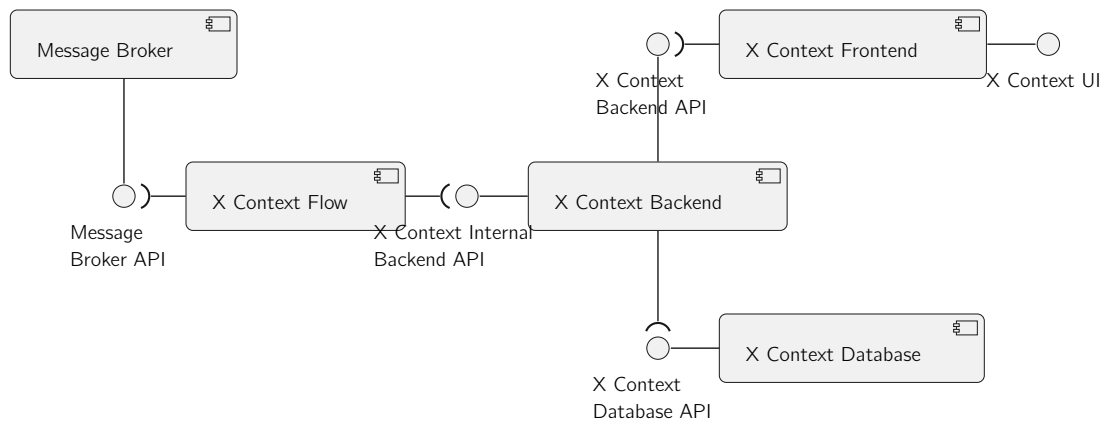


Figure 5.52: Internal Communication - Second Option - Logical View Diagram

This approach doesn't suffer from all drawbacks stated for the first option but still requires a blocking call to the *X Context Backend* Container every time a new message arrives to the *X Context Flow* Container.

It's an improvement of the first option but still has some serious drawbacks and therefore it was also abandoned.

Third Option

This option ensures that the Data Flow Containers are kept updated by allowing them to subscribe to changes made in their context's configuration. The logical view diagram in Figure 5.53 describes how this option functions.

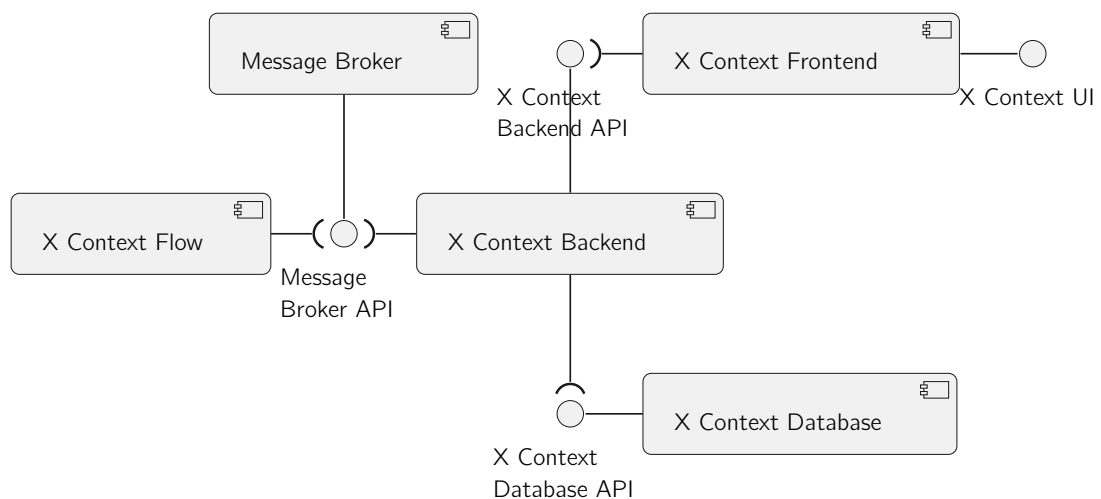


Figure 5.53: Internal Communication - Third Option - Logical View Diagram

The major improvement of this approach when compared with the options above is that, since *X Context Flow* Container subscribes to configuration updates, it can reliably keep a cache with just the needed information (and not the entire context configuration). This works since *X Context Flow* Containers can discard updates related to information that they currently don't use. Once the container needs that information, it can send an event requesting what

it needs and that information arrives later as a normal update to the configuration. All *X Context Flow* external interactions also rely on asynchronous communication, ensuring a more robust performance.

The main drawback to this option is that the *Message Broker* becomes responsible for yet another communication topic inside the environment.

Despite this drawback this is the option currently in use. The following options purpose alternatives to tackle this drawbacks.

Fourth Option

This option ensures that the Data Flow Containers are kept updated by allowing them to query information from an *Internal State Database*. This approach differs from the first option since the *Internal State Database* is supposed to be a fast in memory database with only the needed information for Data Flow Containers to process Data Units, Alerts and Commands. The logical view diagram in Figure 5.54 describes how this option functions.

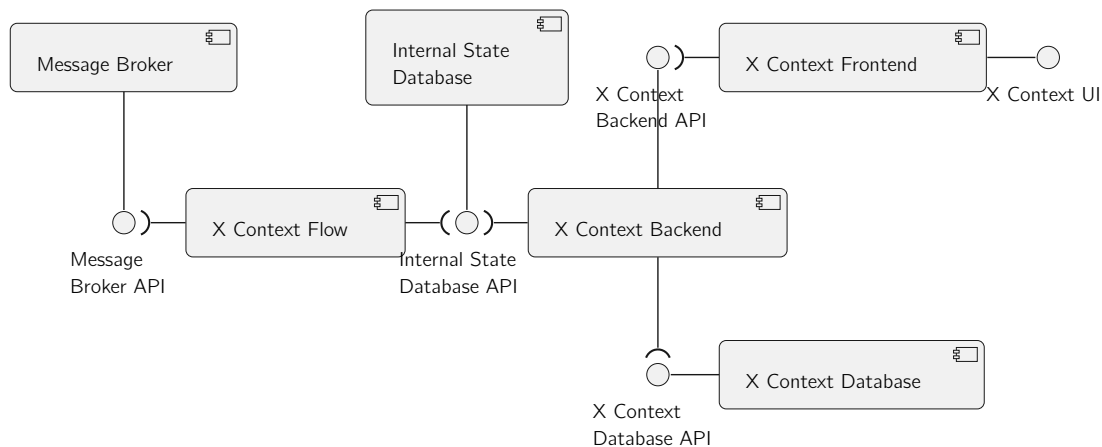


Figure 5.54: Internal Communication - Fourth Option - Logical View Diagram

This approach would remove the responsibility from the *Message Broker* to maintaining the internal state updated in the Data Flow Scope. The *Internal State Database* would in turn store information that *X Context Flow* could query.

The main drawbacks of this approach are the same stated in the second option, even though they can be mitigated by leveraging technologies that tackle distributed caching problems.

Fifth Option

This option ensures that the Data Flow Containers are kept updated by allowing them to subscribe to changes made in their context's configuration. This option diverges from the third option since the event store would persist all updates to contexts configurations. The logical view diagram in Figure 5.55 describes how this option functions.

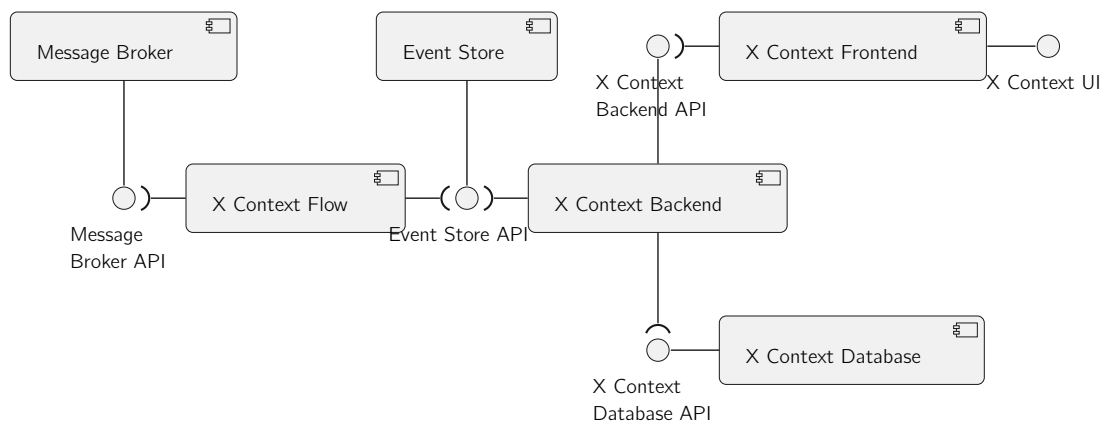


Figure 5.55: Internal Communication - Fifth Option - Logical View Diagram

The *X Context Flow* Container would use event sourcing to reach the current state of its context configuration on start up and then cache this state internally. New events would then be sent automatically via subscription to keep the state up-to-date.

The main drawback of this approach is that the container can't keep just the needed portion of configurations without recreating the entire state though event sourcing.

5.5 Synopsis

This chapter presented to the reader the design of **Sensae Console**, topics such as the domain, the architectural design and alternatives have been discussed here. To complement the description of the system, the next chapter introduces how, following the design proposed, this solution was implemented.

Chapter 6

Implementation

This chapter addresses the implementation of the design detailed before. First, the technical decisions will be presented, followed by a technical view of the software developed. The next section explains how the software was tested by displaying some code examples. Finally, a brief synopsis closes this chapter.

6.1 Technical Decisions

This section describes and justifies the decisions taken while developing **Sensae Console**. As a green field project, **Sensae Console**, lacks constraints imposed by prior work, as such, all decisions have been taken during the thesis time span.

The following list unveils the most relevant technical decisions for **Sensae Console**:

- Backend Technologies Usage throughout the Solution;
- Frontend Technologies Usage throughout the Solution;
- Expose a GraphQL API On Backend Services;
- Usage of RabbitMQ to support Internal Communication;
- Usage of Protocol Buffers in Internal Communication
- Database Usage throughout the Solution;
- Rules Script Engine;
- Data Decoders Script Engine;
- Containerization of services via Docker;
- Orchestration of services via Docker Compose;
- Usage of Nginx as a web server and reverse proxy;
- Usage of Git as a version control system of the project;
- Usage of Github Issues to track issues, bugs and new features;
- Usage of Github Actions for CI/CD;
- Usage of Maven Repository to host Open-Source Code;

6.1.1 Backend Technologies Usage throughout the Solution

The backend development is divided into three main areas:

- *iot-core* package;
- Data Flow Scope backend containers;
- Service and Configuration Scope backend containers (named General Backend Services);

In the following sub sections a brief description and justification of the technologies used is presented.

Programing Language Used

As described in the development view of Section 5.3.1, a package named *iot-core*, an idealized SDK for **Sensae Console**, was developed to define the information that flows inside the system. Since this project is still in the early stages, the *iot-core* package was only developed in *Java*.

In the future more programing languages may be supported though new SDKs. The *Rust* programing language is the next candidate due to its low memory footprint, fast startup times and expressive syntax.

The reasons that lead to the development of the first SDK in *Java* are:

- It's the Programing language that the author is most familiarized with;
- Is widely used in industry for backend service development;
- Vast and robust support for virtually any technology used for backend development: database access, synchronous and asynchronous communication protocols, streaming platforms, embedded caches, rule engines and script engines.

The development of *iot-core* in *Java* lead to the development of all backend services also in *Java*.

General Backend Services

The services that this section encompasses can be seen as more robust and heavy due to their associated requirements.

As such, the framework used to develop them was *Spring Boot*, due to its vast documentation and big community. This framework comes with several modules that help to easily create stand-alone, production-grade applications. The author also had previously worked with this framework.

The main drawbacks of this framework are the slow start up time and high memory consumption, since these are not ideal for the microservices/cloud world.

Data Flow Scope Backend Services

As discussed in Section 5.1.2, the services that this section encompasses can be seen as more lightweight than the ones described above due to their associated requirements.

Since these containers process inbound device data, they have a bigger need to automatically scale. Since they need to react faster to throughput changes, their start up times must be small.

As such, the framework used to develop them was *Quarkus*. This framework has first-class support for *GraalVM*.

According to Oracle 2022b, GraalVM is a “high-performance JDK designed to accelerate the execution of applications written in Java and other JVM languages while also providing runtimes for JavaScript, Python, and a number of other popular languages. GraalVM offers two ways to run Java applications: on the HotSpot JVM with Graal just-in-time (JIT) compiler or as an ahead-of-time (AOT) compiled native executable. GraalVM’s polyglot capabilities make it possible to mix multiple programming languages in a single application while eliminating foreign language call costs.”

These features, coupled with the fact that the *Quarkus* architecture follows the *The Reactive Manifesto*, are appealing when compared with *Spring Boot* that only has experimental support for *GraalVM*, via *Spring Native*.

6.1.2 Frontend Technologies Usage throughout the Solution

Even though a micro frontend architecture empowers the selection of different technologies depending on the requirements of the solution and team affinity with the stack, the Frontend Containers were developed using the same technological stack. At the time of writing there was only one developer involved, this diminished the cognitive load needed to work on the solution while still allowing future collaborators to use different frontend frameworks.

Programming Language and Framework Used

The author had previous contact with the following frameworks: (i) *Angular*, (ii) *React*, and therefore no other tool was discussed when choosing the one to use in the solution.

The programming language used was *Typescript* since it is a strongly typed language and therefore leads to more robust and predictable code. Static typing helps to avoid various bugs that arise when using *Javascript*. Before transpiling *Typescript* code to *Javascript*, it is analyzed to detect bugs related to type errors.

As for the framework/library used, the following table, Table 6.1, describes the reason that led the author to choose Angular over React.

Framework/Library	Angular	React
Separation of User Interface and Business Logic	enforced	flexible
Language Requirements	typescript	javascript or typescript
Familiarity with the tool	high	medium
UI Component Libraries with wide community support	material	ant design, material ui, react bootstrap, semantic ui react

Table 6.1: Comparison of Angular with React

Both tools have a wide support from the community and excellent documentation. For the author, Angular outclasses React in this project since it enforces the use of good design principles via the first and second entry described in the table above.

Technologies used to create a Micro Frontend Architecture

Module Federation was the tool used to seemly connect the various Frontend. No other tool was considered or researched since *Angular* already relies on *Webpack 5* to bundle the application and therefore it's effortless to use this tool. *Module Federation* allows programs to reference other programs parts that are not known at compile time. In addition, the micro frontends can share libraries with each other, so that the individual bundles do not contain any duplicates.

6.1.3 Expose a GraphQL API On Backend Services

The API discussed in this section refers to the interfaces exposed to the outside world by backend containers of the Configuration and Service Scopes and isn't related to the internal communication or device data ingestion interface exposed by the Data Relay Container.

The two approaches considered were: (i) *Rest API* and (ii) *GraphQL*.

According to Facebook 2022b, "GraphQL provides a complete and understandable description of the data in your API, gives clients the power to ask for exactly what they need and nothing more, makes it easier to evolve APIs over time, and enables powerful developer tools."

According to IBM 2021b, "REST APIs provide a flexible, lightweight way to integrate applications, and have emerged as the most common method for connecting components in microservices architectures."

These two approaches have vast differences but they both try to answer the same question: How should one expose internal data to the outside world?

Eizinger 2017, compares these two approaches under five criteria: (i) operation reusability, (ii) discoverability, (iii) component responsibility, (iv) simplicity, (v) performance, (vi) interaction visibility and (vii) customizability.

GraphQL was the chosen approach mainly due to better operation reusability: "The flexibility in the definition of the exactly returned data allows clients to tailor it for their specific needs, thereby achieving highly reusable data retrieval operations." and interaction visibility: "With GraphQL featuring a declarative language, intermediaries capable of understanding the GraphQL grammar can at least partly reason about the communication between a client and a GraphQL server."

Eizinger 2017, when discussing the complexity of each approaches also highlights that "GraphQL makes fetching data in various ways really simple for the client."

The idea behind the highly decoupled architecture of this solution derives from the need to provide knowledgeable customers with the tools to easily design and incorporate their solutions in **Sensae Console**. The usage of *GraphQL* further complements this idea by providing an API that is simple to understand and consume.

6.1.4 Usage of RabbitMQ to support Internal Communication

As discussed in Section 5.4.5 and 5.4.4, the technology ultimately chosen for internal communication was *RabbitMQ*. This message broker was chosen in detriment of others since the author had previously worked with the technology.

As discussed in the article, *AMQP 0-9-1 Model Explained*, the Advanced Messaging Queue Protocol (AMQP) 0.9.1 protocol defines four main concepts: (i) publisher, (ii) exchange, (iii) queue, (iv) consumer. The following diagram, Figure 6.1 explains how this concepts interact.

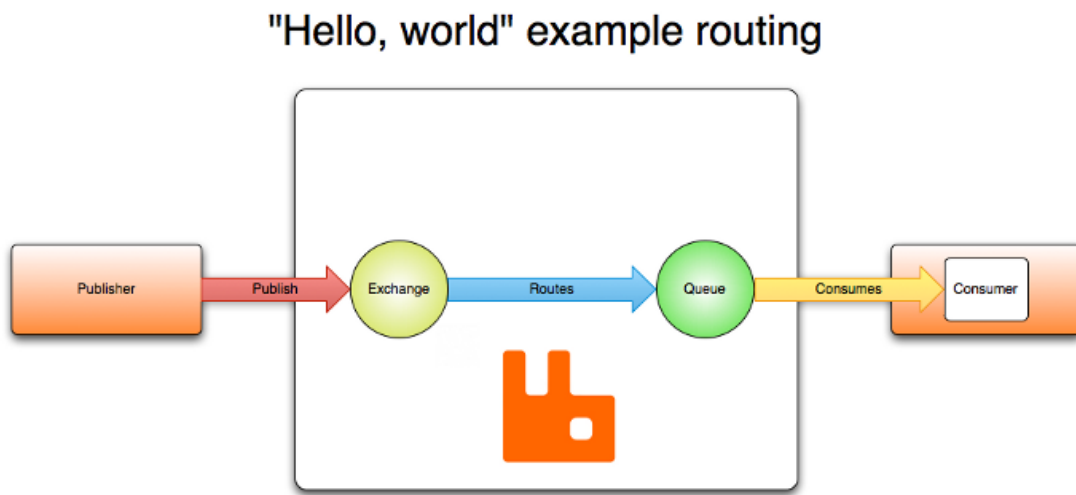


Figure 6.1: AMQP 0.9.1 Protocol Concepts by VMWare 2022a

As discussed in *AMQP 0-9-1 Model Explained*, there are four types of exchanges:

- Direct Exchange: ideal for the unicast routing of messages;
- Fanout Exchange: ideal for the broadcast routing of messages;
- Topic Exchange: ideal for the multicast routing of messages, queues subscribe to specific routing keys;
- Header Exchange: ideal for more flexible unicast routing of messages, queues subscribe to specific message headers;

The exchange that better fits the defined requirements is the Topic Exchange.

When working with this protocol and type of exchange, some drawbacks were found:

When dealing with Topic Exchanges a Consumer can only subscribe to one specific routing key or all at once - via *** - this makes it complex to create routing keys with dynamic values. As an example, let's look at the *Channel* routing key defined in Table 5.3 of Section 5.2.2. This key defines the single destination of a data unit. For a data unit to have various dynamic service destinations there would be a need to either:

- Ensure that every single service subscribes to all relevant combinations of *channels* possible, deemed impractical;

- Duplicate data units, where each copy would be assigned a different channel, deemed inefficient;

To tackle this issue, another Message Broker, such as *Pulsar*, with its own protocol, can be used in the future. This Message Broker answers the drawback describe above by allowing Consumers to subscribe to multiple topics (equivalent to RabbitMQ' routing keys) on the basis of a regular expression (regex), as stated in *Pulsar - Multi-topic subscriptions*.

The other drawback found is that, according to the *Advanced Message Queuing Protocol Specification, Version 0-9-1* the routing keys have a max size of 255 bytes. As described in Table 5.3 of Section 5.2.2, the system currently supports various keys and more keys are expected to be added in the future, meaning that this cap may one day be reached. This limitation lead to the encoding of routing keys in a single character when possible.

6.1.5 Usage of Protocol Buffers in Internal Communication

This section refers to how messages that flow in the system (via Message Broker) are serialized and deserialized. The common formats used to send structured data across systems are JavaScript Object Notation (JSON) and Extensible Markup Language (XML). This formats, when compared with *Protocol Buffers* or "Thrift", sacrifice size and de/serialization performance for human readability as stated in Sumaray and Makki 2012.

As mentioned before, **Sensae Console** aims to provide a good developer experience for external costumers that want to expand the solution according to their needs. Due to this, the final decision weighted heavily on formats that were self-documented, e.g. defined by a strict *data schema*, such as *Protocol Buffers* and "Thrift".

This two technologies, *Protocol Buffers* and "Thrift", have similar goals and approaches to the problem they try to solve. They both rely on code generation based on a schema of the data structure. The tools related to this formats officially support various languages such as *Java*, *C++*, *C#*, *Python*, *Go* and others.

By leveraging this features, creating a basic SDK in a new programing language is trivial since serialization, deserialization and data structure is already taken care by the code generation tool.

Protocol Buffers are a "language-neutral, platform-neutral, extensible mechanism for serializing structured data".

"Thrift"s "primary goal is to enable efficient and reliable communication across programming languages by abstracting the portions of each language that tend to require the most customization into a common library that is implemented in each language."

Ultimately *Protocol Buffers* were chosen due to better documentation and community support.

6.1.6 Database Usage throughout the Solution

This section refers to how information is stored across the system.

A DBMS is a general-purpose software system that facilitates the processes of defining, constructing, manipulating, and sharing data - *Fundamentals of Database Systems*. DBMSs can be categorized according to several criteria, such as the data model, number of users or

number of sites. This section focus on the data model, these are some of the data model types, according to Elmasri et al. 2000:

- The **relational data model** represents a database as a collection of tables, where each table can be stored as a separate file;
- The **document-based data model** is based on JSON (Java Script Object Notation) and stores the data as documents, which somewhat resemble complex objects;
- The **column-based data model** stores the columns of rows clustered on disk pages for fast access and allow multiple versions of the data;
- The **graph-based data model** stores objects as graph nodes and relationships among objects as directed graph edges;
- The **key-value data model** associates a unique key with each value (which can be a record or object) and provides very fast access to a value given its key.

The requirements gathered unveil the need to use three different database' data models throughout the system: (i) relational, (ii) document-based and (iii) column-based data models. The following sections answer why these data models were needed and what technologies were chosen for each of them. A final section unveils an optional solution that was considered but ultimately not pursued.

Relational Database Usage

This data model has a wide variety of usage in the industry. Some of the technologies that follow this data model are: (i) *MySQL*, (ii) *PostgreSQL* and (iii) *MariaDB*.

It is intended for strictly structured data with well defined interrelations. This type of data can be found on most Bounded Contexts described in Section 5.2.3 such as Data Processor, Data Decoder, Device Management, Identity Management, Rule Management and the Irrigation Zone/Device concepts of the Smart Irrigation Context.

As such, this data model was adopted for the **Device Management Database**, **Data Decoder Database**, **Data Processor Database**, **Rule Management Database**, **Identity Management Database**, **Smart Irrigation Business Database** and **Notification Management Database** containers.

The author had previous contact with all the cited DBMS, the decision to use *PostgreSQL* was taken based on the fact that, contrary to the other options, *PostgreSQL* supports a vast number of Data Types such as JSON, Arrays, Universally unique identifier (UUID), and Ranges. *PostgreSQL*'s data model is an extension of the relation data model, named object-relational data model - Elmasri et al. 2000. This data model supports various concepts such as objects, classes and inheritance and therefore can lead to entity models more expressive and close to the business ideas.

Document-based Database Usage

This data model rose from the increasing need to store and analyze unstructured data as stated by Miloslavskaya and Tolstoy 2016. Citing Elmasri et al. 2000, a "major difference between document-based systems versus object and object-relational systems (...) is that there is no requirement to specify a schema".

This type of requirements and data resembles the Data Store context described in Section 5.1.2 and Figures 5.21 and 5.25. This context, intended to mimic a Data Lake¹, stores any type of data for future use.

As such, this data model was adopted for the **Data Store Database** container.

The only technology considered, and therefore adopted, was *MongoDB* due to its vast community, excellent documentation and large number of libraries that ease the database management operations. *MongoDB* also supports replication and sharding according to Elmasri et al. 2000, this features is useful once a single node isn't capable of withstanding all data collected while providing fast access to it.

Column-based Database Usage

This data model is used in applications that require large amounts of data storage, and is commonly named *data warehouses*. According to Dehdouh et al. 2015, a data warehouse is “designed according to a dimensional modelling which has for objective to observe facts through measures, also called indicators, according to the dimensions that represent the analysis axes”. Citing Han et al. 2011, this databases “can maintain high-performance of data analysis and business intelligence processing”.

This features fit the requirements related to storing and reading vast amounts of device measures. As such, it was adopted for the **Fleet Management Database** and **Smart Irrigation Data Database** containers.

The author had no previous contact with this type of data model. Some of the technologies related to this concept are: (i) *HBase*, (ii) *CassandraDB*, (iii) *InfluxDB*, (iv) *QuestDB*.

According to George 2011 *HBase* is a “distributed, persistent, strictly consistent storage system with near-optimal write and excellent read performance”. This database uses Hadoop Distributed File System (HDFS) as its file system, and so, it is built on top of Hadoop. *HBase* does not support a structured query language like Structured Query Language (SQL), “even though it's comprised of a set of standard tables with rows and columns, much like a traditional database” (IBM 2020c).

CassandraDB is a distributed storage system for managing very large amounts of structured data spread out across many commodity servers, while providing highly available service with no single point of failure (Lakshman and Malik 2010). It was developed internally by Facebook and then later open-sourced to the Apache Foundation. It doesn't support SQL.

According to Naqvi, Yfantidou, and Zimányi 2017, *InfluxDB* is an “open-source schemaless Time Series Database (TSDB) with optional closed-sourced components developed by InfluxData. It is written in Go programming language and it is optimized to handle time series data.” It provides an SQL-like query language and also defines a new protocol for fast data ingestion (InfluxDB 2022b).

QuestDB is a relational column-oriented database designed for time series and event data and entitles it self as the “fastest open source time series database” (questdb.io 2022). According to benchmarks (Ilyushchenko 2021) preformed using the Time Series Benchmark Suite (TSBS), Winslow 2021, *QuestDB* ranks as the fastest option in the market. It has out-of-the-box support for SQL Postgres wire protocol, (thus integrating with Java Database

¹Massively scalable storage repository that holds a vast amount of raw data in its native format («as is») until it is needed, by Miloslavskaya and Tolstoy 2016

Connectivity (JDBC)), can be easily deployed using a single Docker Image, and also supports the InfluxDB Line Protocol (ILP).

The type of business this solution is tackling revolves around the capture and analysis of device readings, IoT. So the notion of time has to be treated as a first class citizen. The measurements that constitute a time series are ordered on a timeline, which reveals information about underlying patterns.

As stated by Naqvi, Yfantidou, and Zimányi 2017, TSDB “can be used to efficiently store sensors and devices’ data” since, “such technologies are generating large amount of data which is usually time-stamped”.

With this requirements in hand, a column-based data model isn’t enough. The technology adopted should also natively support time series to ease data analysis. As such, the *HBase* and *CassandraDB* options were discarded.

Between the two missing options, the author picked *QuestDB* due to better support for SQL though JDBC. During the research of this two technologies no major downside was found for *QuestDB* when compared to *InfluxDB*.

Graph-based Database Usage

Even tho this data model was ultimately not used, the author deemed relevant to mention it.

As stated in the bounded context’s section of Identity Management, the domains follow a hierarchical structure that can resemble a graph. This context in particular would benefit from a graph-based database, but this option was not pursued since the author had no previous contact with this family of technologies. Instead *PostgreSQL* was used.

PostgreSQL can represent logical hierarchical structures and concepts using the array data type as the *path* from the root domain to the current domain.

Queries that revolve around graph concepts such as: select parent node, select child nodes, move nodes to a new parent and others, can be preformed efficiently using array operators such as `&&`, `||` and `@>`².

6.1.7 Rules Script Engine

This section refers to the bounded context of **Rule Management**. As mentioned before, the purpose of this context is to provide a high-level language that can analyze a stream of Data Units and output alerts base on them. The technology adopted was *Drools*.

Drools is an open-source rule engine widely used in the industry. The features that stud out from other rule engines were:

- Supports for sliding windows of time;
- Is also a Complex Event Processing (CEP) System;
- Integrates with the *iot-core* package since it is also written in *Java*;

²taken from PostgreSQL Documentation: *Array Functions and Operators & Array Functions and Operators*

- Can be used as a standalone application or an embedded component of another application;
- Has an expressive, yet complex, syntax to write rules;
- Can dynamically load rules at runtime.

The Section 6.2.4 details how one can write rule scenarios.

6.1.8 Data Decoders Script Engine

This section refers to the bounded context of **Data Decoder**. As mentioned before, this context purpose is to translate inbound Data Units into a format and semantics that the system can understand. The technology adopted was *Javascript*.

Javascript is a high level language with an enormous community and is widely used in the industry. Another big reason behind this decision is that a lot of companies producing IoT devices provide open-source decoders written in *Javascript*, such as Milesight ³, Sensational-Systems, Helium, ⁴ and ⁵. This makes it easy and straightforward to integrate new decoders in **Sensae Console**.

The Section 6.2.5 details how one can write decoders.

6.1.9 Containerization of services via Docker

This section describes how the final product is packaged using *Docker*.

As stated in *Docker overview*, Docker acts as an intermediary layer between the application to be deployed and the operating system where it will be deployed, ensuring that the developed software has the same behavior regardless of the system. The dependencies of the solution do not have to be present in the system, it is only necessary to install the Docker tool in the Operating System (OS).

This tool thus makes it possible to lower the coupling between the OS and the software to be deployed.

With regards for this solution, each container defined in Section 5.3.2 is mapped into a docker container. A container is often compared to a virtual machine running on a hypervisor or OS, but it has a much lower resource consumption, since only the application is run and not not all the processes inherent to an OS. Containers execute calls directly to the kernel running on the physical machine and can be seen, unlike virtual machines with their own kernel, as a normal process.

The system is thus represented as a collection of containers that communicate with each other and the outside through standard protocols such as HTTP or AMQP.

The production environment can thus be quickly replicated on another machine in case of a failure disaster or a overwhelming number of interaction with the server.

³github.com/Milesight-IoT/SensorDecoders

⁴github.com/helium/console-decoders

⁵github.com/SensationalSystems

6.1.10 Orchestration of services via Docker Compose

This section describes how the final product is orchestrated using Docker Compose.

As stated in the article *Overview of Docker Compose*, “Compose is a tool for defining and running multi-container Docker applications”.

Since there is no need to automatically scale the solution it was decided to use a docker compose in production inserted of tools like Kubernetes.

The solution’s orchestration is defined in a *YAML* file and then started with a single command. To improve security, only the needed container ports are exposed. To ensure data integrity throughout service disruptions, persistence data is mapped to folder in the OS. To ensure an easy management of the environment, configurations are kept in the OS and fetched by each container once they start.

6.1.11 Usage of Nginx as a web server and reverse proxy

To serve the frontend pages and redirect requests made to backend containers, the following technologies were analyzed:

- *Nginx*;
- *Apache HTTP Server Project*;
- *Lighttpd*;

All of them support the necessary requirements, but some factors lead the author to pick Nginx over the others, the following table, Table 6.2, describes this criteria.

Criteria/Technology	Nginx	Apache HTTP Server	Lighttpd
Resource Consumption	low	high	medium
Community Size	high	very high	medium
Familiarity with the tool	high	low	low

Table 6.2: Technologies Comparison - Reverse Proxy Web Server

6.1.12 Usage of Git as a version control system of the project

Git is a Version Control System (VCS). What differentiates it from other systems such as *Mercurial* and *Bitkeeper* is its branching model. It is currently also the most widely used.

Github was the platform used to host the developed code. It offers private repositories with no additional costs. This platform also has other tools such as *Github Issues* and *Github Actions* that ease a developer’s workflow.

A VCS is indispensable in software development, this system allows developers to store the history of changes made to the code in an organized manner and simplifies the management of the software by the development team. This system was chosen over others because of the author was experienced with this software.

The development of the entire solution was made in two separated repositories, one for *iot-core* and another for **Sensae Console**.

The *iot-core* repository had a simple branching model consisting only of a master branch.

There was an extensive use of the branching feature in the repository of **Sensae Console**, following the model shown in Figure 6.2. The author settled for the following: a master branch that matches the deployed version, a development branch where the various features are introduced until a new version is published on the master branch, several branches dedicated to fixing bugs (hotfix) and another several branches that introduce new features and improvements (feature x).

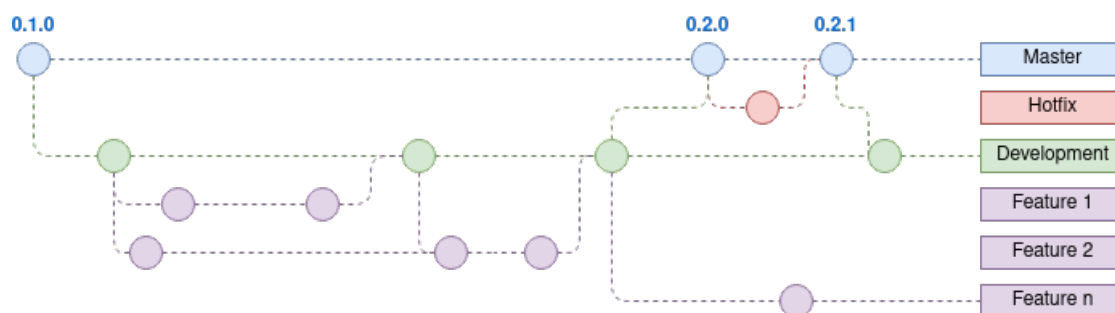


Figure 6.2: Branching Model

This model was adopted since the project was in an initial phase of development, in the future, a branching model with multiple releases, as detailed in Figure 6.3, is preferred. With this model one can release only the altered containers and not the entire system.

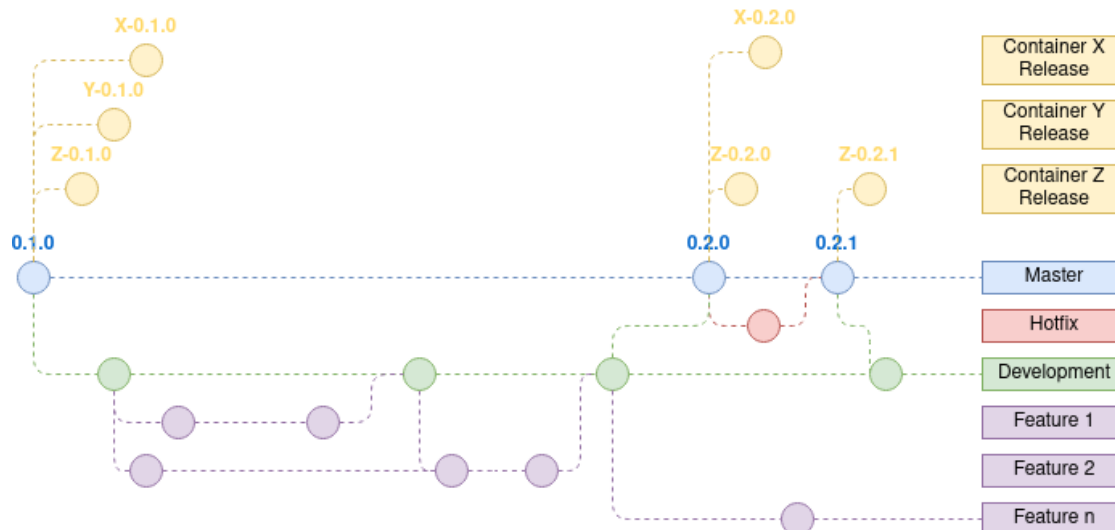


Figure 6.3: Future Branching Model

This is useful when using CI/CD pipelines to compile, package and deploy the various containers of the solution. If no changes have been made to X Container there is no need to redo all the work previously done with it.

6.1.13 Usage of Github Issues to track issues, bugs and new features

As described before, the code is hosted in *Github*. One of the services that this platform offers is *Github Issues*. This tool helps to track and document the development process

alongside with the code.

This tool can be separated into two main views. A view is concerned about what issues, features and bugs are active in the project, Figure 6.4, and the other is concerned with the current state of each issue, feature and bug, Figure 6.5.

<input type="checkbox"/>	<input checked="" type="radio"/> 10 Open	<input checked="" type="radio"/> 67 Closed	Author	Label	Projects	Milestones	Assignee	Sort
<input type="checkbox"/>	<input checked="" type="radio"/>			Upgrade all project dependencies ahead of 0.10.0 version release	enhancement			
			#140 opened on 28 Jun by FilipeMCruz	0.10.0				
<input type="checkbox"/>	<input checked="" type="radio"/>			Update docs for upcoming version release 0.10.0	enhancement			
			#139 opened on 28 Jun by FilipeMCruz	0.10.0				
<input type="checkbox"/>	<input checked="" type="radio"/>			Downlinks are not working	bug	container: backend		
			#86 opened on 10 May by FilipeMCruz	0.10.0				
<input type="checkbox"/>	<input checked="" type="radio"/>			Ensure smaller screens, e.g. 11", are supported	container: frontend	enhancement		
			#78 opened on 9 May by FilipeMCruz	1.X.X				
<input type="checkbox"/>	<input checked="" type="radio"/>			Microfrontends aren't creating their own apollo client and depend on ui-aggregator	container: frontend	enhancement		
			#38 opened on 25 Mar by FilipeMCruz	1.X.X	service: fleet	tool: decoder	tool: device	tool: iam
					tool: transformation			
<input type="checkbox"/>	<input checked="" type="radio"/>			Metrics	breakthrough			
			#20 opened on 6 Feb by MeijeSibbel	8 tasks	1.X.X			
<input type="checkbox"/>	<input checked="" type="radio"/>			Fleet management UI	enhancement	service: fleet		
			#19 opened on 6 Feb by MeijeSibbel	1.X.X				
<input type="checkbox"/>	<input checked="" type="radio"/>			Sensor Provisioning Tool	Discussion			
			#18 opened on 6 Feb by MeijeSibbel					
<input type="checkbox"/>	<input checked="" type="radio"/>			Reports	breakthrough			
			#16 opened on 4 Feb by MeijeSibbel	1.X.X				
<input type="checkbox"/>	<input checked="" type="radio"/>			Sensors	Discussion			
			#14 opened on 22 Jan by MeijeSibbel					

Figure 6.4: Github Issues

Each issue has a list of tags that represent its scope and a defined milestone. With this tool, the team members can also discuss issues in depth.

The issues presented in this page are then tracked in the *project* page - Figure 6.5. The author decided to divided the issues into 4 criteria:

- **To Do:** Issues that have been discussed and are to be completed in the near future;
- **In Progress:** Issues that are currently under development and have an assigned feature branches;
- **Done:** Issues that have been completed and have been integrated in the *master* branch;
- **Future:** Issues that have been purposed but have no clear deadline.

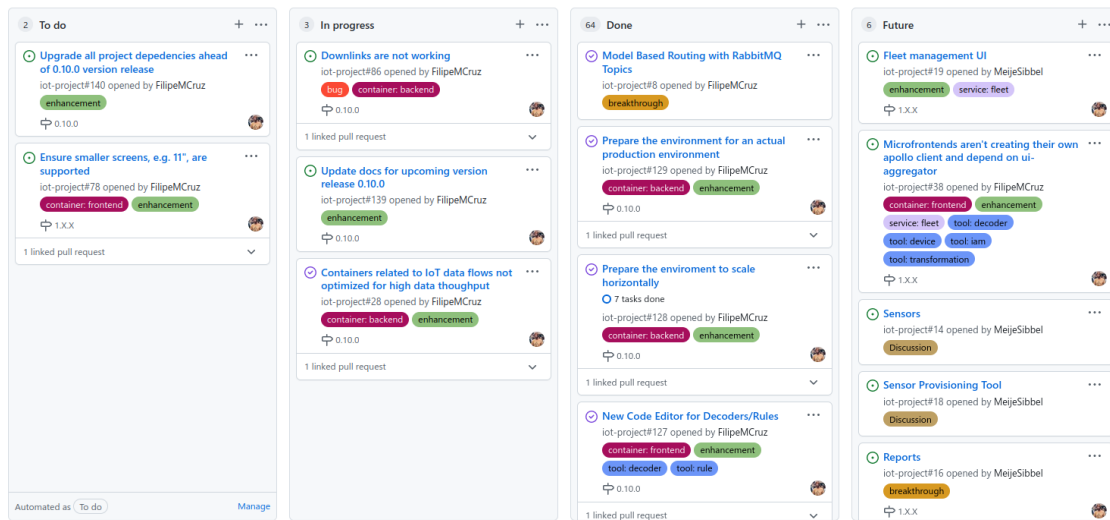


Figure 6.5: Github Issues Project Board

This view helps to define a simple project roadmap and track the overall state of issues, bugs and features in the project.

6.1.14 Usage of Github Actions for CI/CD

Since the code is hosted in *Github*, it was decided to leverage the CI/CD features of the platform. *Github Actions* purpose is to automate software workflows via CI/CD.

According to RedHat 2022, the term CI/CD represents a method to delivering applications to clients by introducing automation into the development states. It is divided into three concepts:

- **Continuous Integration:** new versions of the project are regularly submitted, tested and merged into the current project;
- **Continuous Delivery:** new versions of the project are automatically archived in a repository where they can then be deployed to a production environment;
- **Continuous Deployment:** new versions of the project are automatically deployed to a production environment.

The *iot-core* package is archived in a repository so that it can then be integrated in the backend containers of **Sensae Console**, and possibly in other projects. To do so, the team uses *Github Actions*. This tool's behavior is defined in a YAML file, presented in the Code Sample 6.1.

```

1 name: IoT Core – Continuous Delivery to maven central
2 on:
3   push:
4     tags:
5       - '**'
6       - '*'
7 jobs:
8   build:
9     runs-on: ubuntu-latest
10    steps:
11      - uses: actions/checkout@v2

```



```

12     - name: Set up Maven Central Repository
13       uses: actions/setup-java@v1
14       with:
15         java-version: 17
16         server-id: ossrh
17         server-username: MAVEN_USERNAME
18         server-password: MAVEN_PASSWORD
19         gpg-private-key: ${ secrets.MAVEN_GPG_PRIVATE_KEY }}
20         gpg-passphrase: MAVEN_GPG_PASSPHRASE
21     - name: Deploy with Maven
22       run: mvn -B clean deploy -Pci-cd
23     env:
24       MAVEN_USERNAME: ${ secrets.OSSRH_USERNAME }}
25       MAVEN_PASSWORD: ${ secrets.OSSRH_TOKEN }}
26       MAVEN_GPG_PASSPHRASE: ${ secrets.MAVEN_GPG_PASSPHRASE }}

```

Listing 6.1: Configuration File for *iot-core* Continuous Delivery

As we can see in lines **2** to **6**, this action is triggered every time a new git tag is pushed to the repository. This action then proceeds to download and setup java and maven - lines **12** to **20**. Finally it runs a maven command to deploy the new version to the artifact repository - lines **21** to **26**.

The **Sensae Console** has an action to deal with Continuous Integration - Code Sample 6.2, where changes made to the software are tested.

```

1 name: Sensae Console - Continuous Integration - Test changes
2 on:
3   push:
4     branches:
5       - master
6       - dev
7 jobs:
8   build:
9     runs-on: ubuntu-latest
10    steps:
11      - uses: actions/checkout@v3
12      - name: Set up JDK 17
13        uses: actions/setup-java@v3
14        with:
15          java-version: "17"
16          distribution: "adopt"
17      - name: Test with Maven
18        run: ./project/script/run-backend-tests.sh

```

Listing 6.2: Configuration File for **Sensae Console** Continuous Integration

As we can see in lines **2** to **6**, this action is triggered every time a new commit is push to the *dev* and *master* branches. This action then proceeds to download and setup java and maven - lines **10** to **16**. Finally it runs a script that tests the backend services - line **18**.

The mentioned script has the following structure - Code Sample 6.3.

```

1 #!/usr/bin/sh
2
3 ROOT_DIR=$(git rev-parse --show-toplevel)
4
5 cd "$ROOT_DIR"/project/backend-services || exit
6

```

```

7 rm --f -- ../test-examples/backend-test-pass.log
8 rm --f -- ../test-examples/backend-test-fail.log
9
10 ls -l data-relayer | xargs -l % sh -c 'cd % && mvn test && \
11     echo % >> ../../test-examples/backend-test-pass.log || \
12     echo % >> ../../test-examples/backend-test-fail.log'
13
14 test ! -f ../../test-examples/backend-test-fail.log

```

Listing 6.3: Backend services test script

This script runs the command *mvn test* for all backend containers and stores the results of each container in a file - lines **13** to **15**. In the end, the script checks if any container didn't pass the tests - line **15** - and exists correspondingly.

6.1.15 Usage of Maven Repository to host Open-Source Code

As stated in the previous section *iot-core* is delivered to an artifact repository. Since the intent of this package is to be used by any one interested on integrating his/her tool with **Sensae Console**, the artifact repository has to be publicly available.

The Maven Central repository was the chosen one, since the *maven* and *gradle* tools use it, by default, to fetch dependencies.

According to the article *Why Do We Have Requirements?* by Sonatype 2022, to publish an artifact to maven central, a couple of additions have to be made in the *pom.xml* of the project namely: (i) Supply Javadoc and Sources, (ii) Provide Files Checksums, (iii) Sign Files with GPG/PGP, (iv) Sufficient Metadata, (v) Correct Coordinates, (vi) Project Name, Description and URL, (vii) License Information, (viii) Developer Information, (viii) SCM Information.

In the References***TODO*** Appendix the full *pom.xml* is presented.

6.2 Technical Description

6.2.1 Description of Sensae Console UI

6.2.2 Description of Sensae Console API

6.2.3 Description of Sensae Console Data Ingestion Endpoint

6.2.4 Description of Sensae Console Rule Engine

6.2.5 Description of Sensae Console Data Decoders

6.2.6 Description of Configuration Files

6.3 Testing

6.3.1 Unit Tests

6.3.2 Integration Tests

6.3.3 Functional Tests

6.3.4 End-to-End Tests

6.3.5 Architectural Tests

6.3.6 Performance Tests

6.4 Synopsis

Chapter 7

Evaluation

7.1 Approach

7.2 Subjective Critique Evaluation - Configuration View

7.3 Subjective Critique Evaluation - Operation View

7.4 Synopsis

Chapter 8

Conclusion

8.1 Achievements

8.2 Unfulfilled Results

8.3 Future Work

8.4 Synopsis

Bibliography

- Amazon (2022). *Amazon Cognito*. url: <https://aws.amazon.com/cognito/>.
- Andy Clement Sébastien Deleuze, Filip Hanik (2022). *Spring Native*. url: <https://docs.spring.io/spring-native/docs/current/reference/htmlsingle/>.
- Apache (2022). *Apache HTTP Server Project*. url: <https://httpd.apache.org/>.
- Auth0 (2022). *Auth0 Customer Identity*. url: <https://auth0.com/b2c-customer-identity-management>.
- Azure (2022). *Azure Active Directory (Azure AD)*. url: <https://azure.microsoft.com/en-us/services/active-directory/>.
- Bitkeeper (2022). *Bitkeeper*. url: <https://www.bitkeeper.org/>.
- Brown, Simon (June 2018a). *The C4 Model for Software Architecture*. [Online; accessed 30. Jun. 2022]. url: <https://www.infoq.com/articles/C4-architecture-model/>.
- (2018b). *The C4 model for visualising software architecture*. [Online; accessed 30. Jun. 2022]. url: <https://c4model.com>.
- By, Slides and Jack ZhenMing Jiang (Nov. 1995). “Architectural Blueprints–The “4+ 1” View Model of Software Architecture”. In: [Online; accessed 30. Jun. 2022].
- Cugola, Gianpaolo and Alessandro Margara (2012). “Processing flows of information: From data stream to complex event processing”. In: *ACM Computing Surveys (CSUR)* 44.3, pp. 1–62.
- D. Hardt, Ed. (2012). *The OAuth 2.0 Authorization Framework*. url: <https://datatracker.ietf.org/doc/html/rfc6749>.
- Dehdouh, Khaled et al. (2015). “Using the column oriented NoSQL model for implementing big data warehouses”. In: *Proceedings of the International Conference on Parallel and Distributed Processing Techniques and Applications (PDPTA)*. The Steering Committee of The World Congress in Computer Science, Computer ..., p. 469.
- Docker (2022a). *Docker*. url: <https://www.docker.com/>.
- (2022b). *Docker overview*. url: <https://docs.docker.com/get-started/overview/>.
- (2022c). *Overview of Docker Compose*. url: <https://docs.docker.com/compose/>.
- Drools (2022). *Drools*. url: <https://www.drools.org/>.
- Eizinger, Thomas (2017). “API design in distributed systems: a comparison between GraphQL and REST”. In: *University of Applied Sciences Technikum Wien-Degree Program Software Engineering*.
- Elmasri, R et al. (2000). *Fundamentals of Database Systems*. Springer.
- Evans, E. (2014). *Domain-Driven Design Reference: Definitions and Pattern Summaries*. Dog Ear Publishing. isbn: 9781457501197. url: <https://books.google.pt/books?id=ccRsBgAAQBAJ>.
- Facebook (2022a). *CassandraDB*. url: <https://cassandra.apache.org/>.
- (2022b). *GraphQL*. url: <https://graphql.org/>.
- (2022c). *React*. url: <https://reactjs.org/>.
- Fowler, Martin and James Lewis (2014). *Microservices*. url: <https://www.martinfowler.com/articles/microservices.html>.
- Geers, Michael (2017). *Microfrontends*. url: <https://micro-frontends.org/>.

- George, Lars (2011). *HBase: the definitive guide: random access to your planet-size data*. "O'Reilly Media, Inc."
- Git (2022). *Git*. url: <https://git-scm.com/>.
- Google (2022a). *Angular*. url: <https://angular.io/>.
- (2022b). *Protocol Buffers*. url: <https://developers.google.com/protocol-buffers/>.
- (n.d.). *Google Identity Platform*. url: <https://cloud.google.com/identity-platform/>.
- Han, Jing et al. (2011). "Survey on NoSQL database". In: *2011 6th international conference on pervasive computing and applications*. IEEE, pp. 363–366.
- Harris, Chandler (n.d.). *Microservices vs. monolithic architecture*. url: <https://www.atlassian.com/microservices/microservices-architecture/microservices-vs-monolith>.
- Hat, Red (2022). *Quarkus*. url: <https://quarkus.io/>.
- HBase (2022). *HBase*. url: <https://hbase.apache.org/>.
- IBM (Jan. 2020a). *What are Message Brokers?* url: <https://www.ibm.com/cloud/learn/message-brokers>.
- (Jan. 2020b). *What are Message Brokers?* url: <https://www.ibm.com/cloud/learn/three-tier-architecture>.
- (2020c). *What is HBase?* Accessed: February 22, 2022.
- (Mar. 2021a). *Microservices*. url: <https://www.ibm.com/cloud/learn/microservices#toc-anti-patte-uScI1WAE>.
- (2021b). *Rest API*. url: <https://www.ibm.com/cloud/learn/rest-apis>.
- (Apr. 2021c). *SOA (Service-Oriented Architecture)*. url: <https://www.ibm.com/cloud/learn/soa>.
- Ilyushchenko, Vlad (2021). *How we achieved write speeds of 1.4 million rows per second*. Accessed: February 24, 2022.
- InfluxDB (2022a). *InfluxDB*. url: <https://www.influxdata.com/>.
- (2022b). *InfluxDB line protocol tutorial*. url: https://docs.influxdata.com/influxdb/v1.8/write_protocols/line_protocol_tutorial/.
- Jacobs, Mike and Craig Casey (2022). *What are Microservices?* url: <https://docs.microsoft.com/en-us/devops/deliver/what-are-microservices>.
- Jansen, Grace (Apr. 2020). *Getting started with Reactive Systems*. url: <https://developer.ibm.com/articles/reactive-systems-getting-started/>.
- Jonas Bonér Dave Farley, Roland Kuhn and Martin Thompson (Sept. 2014). *The Reactive Manifesto*. url: <https://www.reactivemanifesto.org/pdf/the-reactive-manifesto-2.0.pdf>.
- Kafka (2022). *Kafka Design: The Consumer*. url: <https://kafka.apache.org/documentation/#theconsumer>.
- Klishin, Michael (2022). *Fetching Individual Messages ("Pull API")*. url: <https://www.rabbitmq.com/consumers.html>.
- Lakshman, Avinash and Prashant Malik (2010). "Cassandra: a decentralized structured storage system". In: *ACM SIGOPS Operating Systems Review* 44.2, pp. 35–40.
- Lighttpd (2022). *Lighttpd*. url: <https://www.lighttpd.net/>.
- MariaDB (2022). *MariaDB*. url: <https://mariadb.org/>.
- Mercurial (2022). *Mercurial*. url: <https://www.mercurial-scm.org/>.
- Microsoft (2022a). *Github*. url: <https://www.github.com/>.
- (2022b). *Typescript*. url: <https://www.typescriptlang.org/>.
- Miloslavskaya, Natalia and Alexander Tolstoy (2016). "Big data, fast data and data lake concepts". In: *Procedia Computer Science* 88, pp. 300–305.
- MongoDB (2022). *MongoDB*. url: <https://www.mongodb.com/>.

- Mozilla (2022). *Javascript*. url: <https://developer.mozilla.org/en-US/docs/Web/JavaScript>.
- MySQL (2022). *MySQL*. url: <https://www.mysql.com/>.
- Nadiminti, Krishna, Marcos Dias De Assunção, and Rajkumar Buyya (2006). "Distributed systems and recent innovations: Challenges and benefits". In: *InfoNet Magazine* 16.3, pp. 1–5.
- Naqvi, Syeda Noor Zehra, Sofia Yfantidou, and Esteban Zimányi (2017). "Time series databases and influxdb". In: *Studienarbeit, Université Libre de Bruxelles* 12.
- Newman, S. (2021). *Building Microservices*. O'Reilly Media. isbn: 9781492033974. url: <https://books.google.pt/books?id=ZvM5EAAAQBAJ>.
- Nginx (2022). *Nginx*. url: <https://nginx.org/en/>.
- Nish Anil, Tarun Jain and Miguel Veloso (2022a). *Asynchronous message-based communication*. url: <https://docs.microsoft.com/en-us/dotnet/architecture/microservices/architect-microservice-container-applications/asynchronous-message-based-communication>.
- (2022b). *Communication in a microservice architecture*. url: <https://docs.microsoft.com/en-us/dotnet/architecture/microservices/architect-microservice-container-applications/communication-in-microservice-architecture>.
- Okta (2022). *Okta Customer Identity*. url: <https://www.okta.com/solutions/secure-ciam/>.
- OpenID (2014). *OpenID Connect*. url: <https://openid.net/connect/>.
- Oracle (2022a). *GraalVM*. url: <https://www.graalvm.org/>.
- (2022b). *Introduction to GraalVM*. url: <https://www.graalvm.org/22.2/docs/introduction/>.
- Palermo, Jeffrey (2008). *The Onion Architecture*. url: <https://jeffreypalermo.com/2008/07/the-onion-architecture-part-1/>.
- PostgreSQL (2022a). *Array Functions and Operators*. url: <https://www.postgresql.org/docs/current/arrays.html>.
- (2022b). *Array Functions and Operators*. url: <https://www.postgresql.org/docs/current/functions-array.html>.
- (2022c). *PostgreSQL*. url: <https://www.postgresql.org/>.
- Powell, Ron (Oct. 2021). *SOA vs microservices: going beyond the monolith*. url: <https://circleci.com/blog/soa-vs-microservices/>.
- Preston-Werner, Tom (June 2011). *Semantic Versioning 2.0.0*. [Online; accessed 30. Jun. 2022]. url: <https://semver.org/>.
- Pulsar, Apache (2022a). *Pulsar*. url: <https://pulsar.apache.org/docs/2.6.0/pulsar-2.0>.
- (2022b). *Pulsar - Multi-topic subscriptions*. url: <https://pulsar.apache.org/docs/2.6.0/concepts-messaging#multi-topic-subscriptions>.
- questdb.io (2022). *QuestDB*. url: <https://questdb.io>.
- RedHat (2022). *What is CI/CD?* url: <https://www.redhat.com/en/topics/devops/what-is-ci-cd>.
- Reselman, Bob (Mar. 2021). *The pros and cons of the Pub-Sub architecture pattern*. url: <https://www.redhat.com/architect/pub-sub-pros-and-cons>.
- Richardson, Chris (2021a). *Pattern: Microservice Architecture*. url: <https://microservices.io/patterns/microservices.html>.
- (2021b). *Pattern: Monolithic Architecture*. url: <https://microservices.io/patterns/monolithic.html>.

- Sanjay Aiyagari, Matthew Arrott (2008). *Advanced Message Queuing Protocol Specification, Version 0-9-1*. url: <https://www.rabbitmq.com/resources/specs/amqp0-9-1.pdf>.
- Slee, Mark, Aditya Agarwal, and Marc Kwiatkowski (2007). "Thrift". In: *Facebook white paper* 5.8, p. 127.
- Sonatype (2022). *Why Do We Have Requirements?* url: <https://central.sonatype.org/publish/requirements/>.
- Sumaray, Audie and S Kami Makki (2012). "A comparison of data serialization formats for optimal efficiency on a mobile platform". In: *Proceedings of the 6th international conference on ubiquitous information management and communication*, pp. 1–6.
- VMWare (2022a). *AMQP 0-9-1 Model Explained*. url: <https://www.rabbitmq.com/tutorials/amqp-concepts.html>.
- (2022b). *RabbitMQ*. url: <https://www.rabbitmq.com/>.
- (2022c). *Spring Boot*. url: <https://spring.io/projects/spring-boot>.
- Webpack (2022). *Module Federation*. url: <https://webpack.js.org/concepts/module-federation/>.
- Winslow, Robert (2021). *Time Series Benchmark Suite (TSBS)*. Accessed: February 24, 2022.

Appendix A

Appendix Title Here

Write your Appendix content here.