Discussion Questions (Generic Decomposition and AEAD).

- (a) We saw how to generically construct a secure AE scheme from an (IND-CPA secure) symmetric encryption scheme and a (SUF-CMA secure) MAC scheme. Can we also do the inverse, construct secure symmetric encryption and MAC schemes from a secure AE scheme?
- (b) Is the associated data (AD) value included in the AEAD ciphertext or does it need to be sent along with the ciphertext?

Suggested focus. Attempt these problems

before class: Problem 1, Problem 2 part (a). in class: Problem 2 part (b) and (c).

Suggested reading. Reading the following sections in the Boneh-Shoup book [1] might help with the problems on this exercise sheet: Sections 9.1 (Authenticated encryption: definitions) and 9.4.1. (Encrypt-then-MAC)

Problem 1 (Formalizing integrity notions). Formalize the two integrity notions for plaintext (INT-PTXT) and ciphertext (INT-CTXT) integrity by completing the following code-based games (cf. lecture 18–21, slides 10–15).

Game $\mathbf{INT}\text{-}\mathbf{PTXT}(\mathcal{A}, \operatorname{SE})$ $\boxed{\mathbf{INT}\text{-}\mathbf{CTXT}(\mathcal{A}, \operatorname{SE})}$:	Oracle $enc(m)$:
1 K ←s KGen()	6
$_{2}$ $S_{P},S_{C}\leftarrow\emptyset$	$7 S_P \leftarrow \dots$
₃ win ← false	$8 \ S_C \leftarrow \dots$
$_4~{\cal A}^{\sf enc,try}()$	9 Return c
5 Return win	Oracle $try(c)$:
	10
	11 $a \leftarrow (m \neq \bot) \land \ldots$
	12 $a \leftarrow (m \neq \bot) \land \ldots$
	If $a = true \ then \ win \leftarrow true$
	14 Return a

We require that any adversary \mathcal{A} playing in game **INT-PTXT** or **INT-CTXT** makes exactly *one* query to its try oracle. We define the advantange of an adversary \mathcal{A} in the games as:

$$\mathbf{Adv}_{\mathrm{SE}}^{\mathrm{INT-PTXT/CTXT}}(\mathcal{A}) = \Pr\left[\,\mathrm{Game}\,\,\mathbf{INT-PTXT/CTXT}(\mathcal{A},\mathrm{SE}) \Rightarrow \mathsf{true}\,\right].$$

Problem 2 (Authenticated encryption security of Encrypt-then-MAC). Let $SE_0 = (KGen_0, Enc_0, Dec_0)$ be a symmetric encryption scheme. Let $M = (KGen_M, Tag, Vfy)$ be a MAC scheme defined for message space $\mathcal{M}_M = \{0,1\}^*$ and having fixed tag length t. Let SE = (KGen, Enc, Dec) be the symmetric encryption scheme resulting from the *Encrypt-then-MAC* (EtM) composition of SE_0 and M.

(a) Complete the details of the code for SE.

Algorithm KGen	Algorithm $\operatorname{Enc}(K,m)$	Algorithm $Dec(K, c)$
$K_0 \leftarrow s \ \mathrm{KGen}_0()$	$(K_0,K_{\mathrm{M}}) \leftarrow K$	$(K_0,K_{\mathrm{M}}) \leftarrow K$
$K_{\mathrm{M}} \leftarrow \$ \mathrm{KGen}_{\mathrm{M}}()$		
$K \leftarrow (K_0, K_\mathrm{M})$		
Return K		

- (b) Assume that SE₀ is IND-CPA secure and M is SUF-CMA secure. Provide a formal proof that SE is a secure authenticated encryption scheme by showing that SE is
 - IND-CPA secure and
 - INT-CTXT secure.

In each case reduce the security of SE to that of either SE₀ or M.

(c) Now assume that the symmetric encryption scheme SE_0 and the message authentication scheme M both use the same key generation algorithm $KGen_0 = KGen_M$ (and hence share the same key space). It might be tempting to use this fact in order to simplify the *Encrypt-then-MAC* composition, reusing the *same* key for both SE_0 and M components. Formally, let us call this scheme $SE^* = (KGen^*, Enc^*, Dec^*)$ where $Enc^* = Enc$ and $Dec^* = Dec$ (from part (a)) and key generation is defined as

We will now see that that SE* is not guaranteed to be IND-CPA or INT-CTXT secure, even if SE₀ is IND-CPA secure and M is SUF-CMA secure. ((Re)using a shared key for multiple cryptographic primitives generally risks introducing security vulnerabilities.)

Let E: $\{0,1\}^k \times \{0,1\}^n \to \{0,1\}^n$ be a block cipher. Let SE₀ be the CBC mode based on E, defined *only* for *n*-bit long messages (hence only having one complete block, not requiring any padding). Then the scheme SE₀ is defined for plaintext space $\mathcal{M}_0 = \{0,1\}^n$ and ciphertext space $\mathcal{C}_0 = \{0,1\}^{2n}$. Let M be the basic CBC-MAC defined for $\mathcal{M}_M = \{0,1\}^{2n}$. Let SE* be the proposed single-key version of *Encrypt-then-MAC* composition, based on schemes SE₀ and M as described above.

- 1) Build an adversary $\mathcal{A}_{\mathsf{ind-cpa}}$ against the IND-CPA security of SE*. Your adversary should make at most two queries to its LoR oracle, and achieve $\mathbf{Adv}_{\mathrm{SE}^*}^{\mathrm{IND-CPA}}(\mathcal{A}_{\mathsf{ind-cpa}}) = 1$.
- 2) Build an adversary $\mathcal{A}_{\mathsf{int-ctxt}}$ against the INT-CTXT security of SE*. Your adversary should make at most one query to its Enc oracle, and achieve $\mathbf{Adv}_{\mathsf{SE}^*}^{\mathsf{INT-CTXT}}(\mathcal{A}_{\mathsf{int-ctxt}}) \geq 1 2^{-n}$.

Note that under reasonable assumptions about E, SE₀ can provide IND-CPA security and M can provide SUF-CMA security. However, SE* is not secure regardless of the choice for E. **Hint:** Draw a picture of algorithm Enc*, expanding algorithms Enc₀ and Tag to use the CBC-based primitives.

Acknowledgements. This exercise sheet is in part inspired by (and adapted from) the book "A Graduate Course in Applied Cryptography" by Dan Boneh and Victor Shoup.

References

[1] D. Boneh and V. Shoup. A Graduate Course in Applied Cryptography. Online, version 0.6 edition, Jan. 2023.