

# Applied Cryptography

## Spring Semester 2023

### Lectures 14, 15, 16 and 17

Kenny Paterson (@kennyog)

Applied Cryptography Group

<https://appliedcrypto.ethz.ch/>

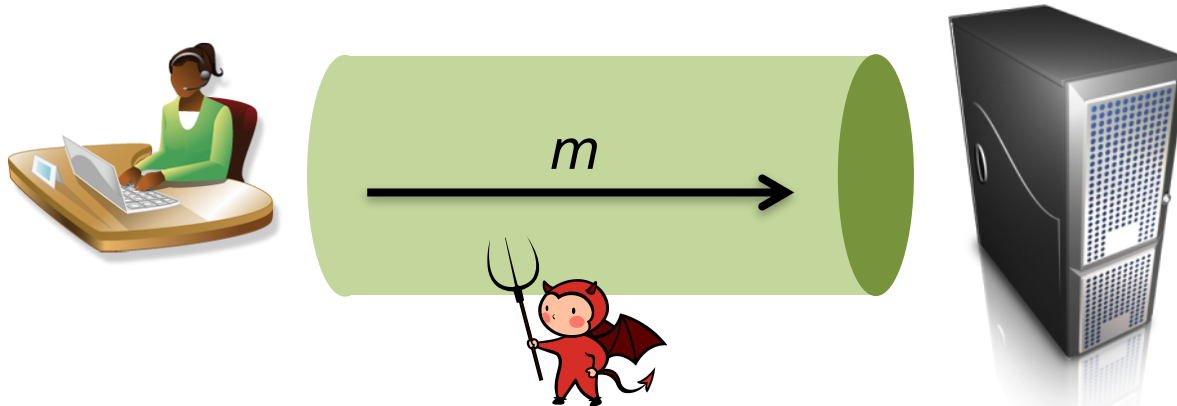
## Overview of lectures 14, 15, 16, 17

- Introducing Message Authentication Codes (MACs)
- Security definition for MACs
- Constructions for MACs:
  - MACs from PRFs
  - Domain extension for a MAC using CR hash functions
  - HMAC
  - (CBC-MAC and CMAC)
  - MACs from universal hashing

Introducing MACs

# Introducing Message Authentication Codes (MACs)

- Setting: assume Alice wants to send a message  $m$  to Bob
- Adversary controls the network link and wants Bob to accept a modified message  $m'$ .
- So Alice and Bob need a cryptographic primitive that detects message modifications.
- The primitive should provide **integrity** and **data origin authentication** of messages: guarantees that messages have not been tampered with in transit and that they originate from the expected sender
- In other words, no attacker should be able to **forge** messages.



# Defining MACs

## Definition:

A **MAC scheme** with **key length**  $k$  and **tag length**  $t$  consists of three efficient algorithms:

$$\text{KGen}: \{\} \rightarrow \{0,1\}^k$$

$$\text{Tag}: \{0,1\}^k \times \{0,1\}^* \rightarrow \{0,1\}^t$$

$$\text{Vfy}: \{0,1\}^k \times \{0,1\}^* \times \{0,1\}^t \rightarrow \{0,1\}$$

For **correctness**, we require that for all  $K$  and all  $m$ :

if  $\tau \leftarrow \text{Tag}(K, m)$ , then  $\text{Vfy}(K, m, \tau) = 1$ .

# Notes on MAC definition

- Keys  $K$  output by KGen are usually uniformly random  $k$ -bit strings.
- Typically,  $t$ , the tag length is small – perhaps 96 or 128 bits.
- We often write  $\text{Tag}_K(m)$  for  $\text{Tag}(K, m)$  and  $\text{Vfy}_K(m, \tau)$  for  $\text{Vfy}(K, m, \tau)$ .
- Both Tag and Vfy can be randomised, but typically are deterministic.
- Correctness is a basic functionality requirement and **not** a security requirement.
- Boneh-Shoup refers to a **signing** algorithm **S** instead of a tagging algorithm Tag, and uses **V** for verification instead of Vfy.

# Deterministic MACs

- When Tag is deterministic, we can always define a Vfy algorithm as follows:

Given input  $(K, m, \tau)$ , Vfy runs Tag on input  $(K, m)$  to obtain  $\tau'$ ; output 1 if  $\tau' = \tau$ , and 0 otherwise.

- Such schemes have **unique tags**: given  $(K, m)$ , there is a unique  $\tau$  such that  $\text{Vfy}(K, m, \tau)$  outputs 1, namely  $\tau = \text{Tag}(K, m)$ .

# Security of MACs



# Security for MACs

## MAC unforgeability (informal):

It should be hard for an adversary who does not know the key  $K$  to **forge** a valid tag on a message, that is, to compute a pair  $(m', \tau')$  for which  $\text{Vfy}(K, m', \tau') = 1$ .

- Even if the adversary has seen many message/tag pairs  $(m, \tau)$  computed using the Tag algorithm with the **same** key  $K$ .
- Even if the adversary gets to **choose** all the messages  $m$  – chosen message. attack with **tag oracle**.
- **Extended definition**: And even if the adversary can learn whether its attempts to forge MAC/tag pairs are correct or not – **verify oracle**.

# Security for MACs

- The security definition means that the MAC tag  $\tau$  must depend on every bit of the message  $m$ .

**Insecure example:**  $\text{Tag}(K, m) = H(m_0 m_1 \dots m_{k-1} \oplus K)$

- The security definition also means that it must be hard to recover  $K$  given some pairs  $(m, \tau)$  (otherwise attacker can recover  $K$ , and then forgery is trivial).

**Insecure example:**  $\text{Tag}(K, m) = H(m) \oplus K$  where  $H$  is a hash function with output size  $k$  bits.

- We need to be careful about *length extension* attacks.

**Insecure example:**  $\text{Tag}(K, m) = H(K \parallel m)$  where  $H$  is a Merkle-Damgård hash function.

Insecure because, given  $\text{Tag}(K, m) = H(K \parallel m)$ , we can compute

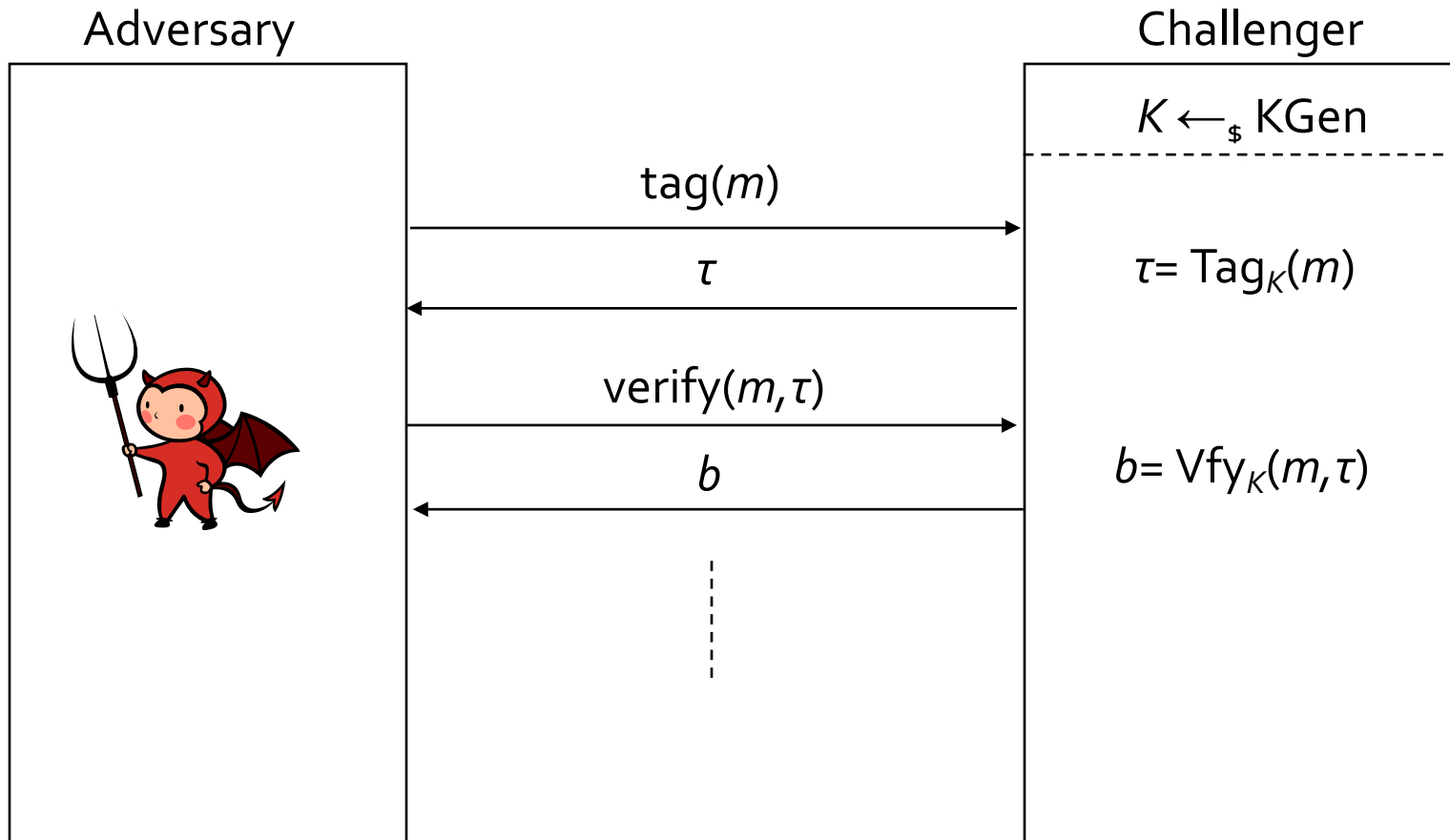
$$H(\text{pad}(K \parallel m) \parallel m'')$$

for any string  $m''$  by exploiting length extension.

# Formalising Security for MACs

- Security of a MAC scheme ( $KGen$ ,  $Tag$ ,  $Vfy$ ) is formalised as a game between an adversary and a challenger.
- The challenger begins by running  $KGen$  to obtain a key  $K$ .
- The adversary has access to a **tag oracle** and a **verify oracle**.
  - The **tag oracle** accepts messages as input and returns corresponding tag values:  $\tau \leftarrow Tag_K(m)$ .
  - Using the tag oracle, the adversary can obtain MAC tags for messages of its choice: a **chosen message attack**.
  - The **verify oracle** accepts pairs  $(m, \tau)$  and returns  $Vfy(K, m, \tau)$ .
  - Using the verify oracle, the adversary can test whether a given message/tag pair is valid or not.
- After accessing the tag and verify oracles as many times as it likes the adversary stops, and its success is determined.

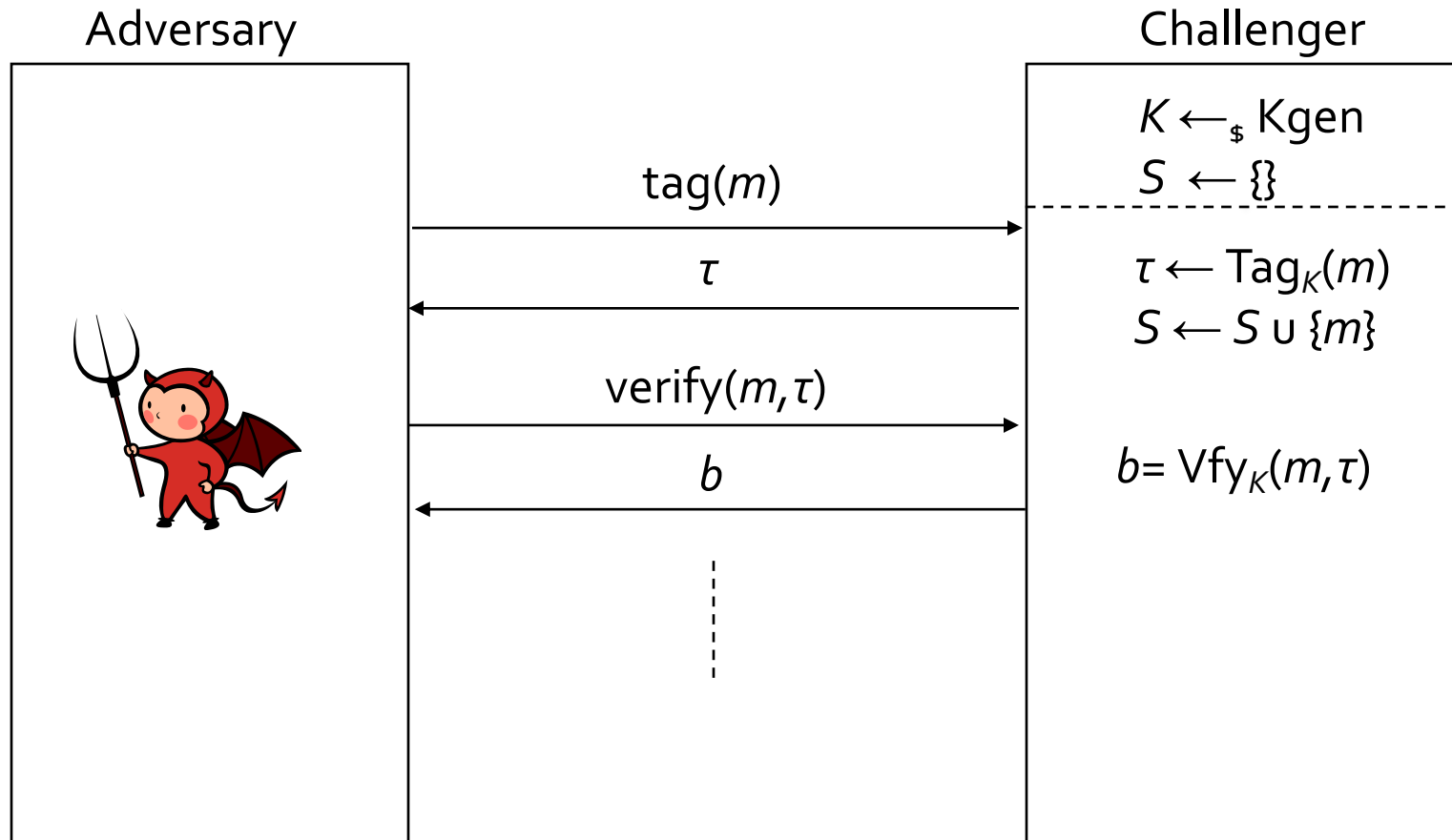
# MAC security game in a picture



# Determining when the MAC adversary wins

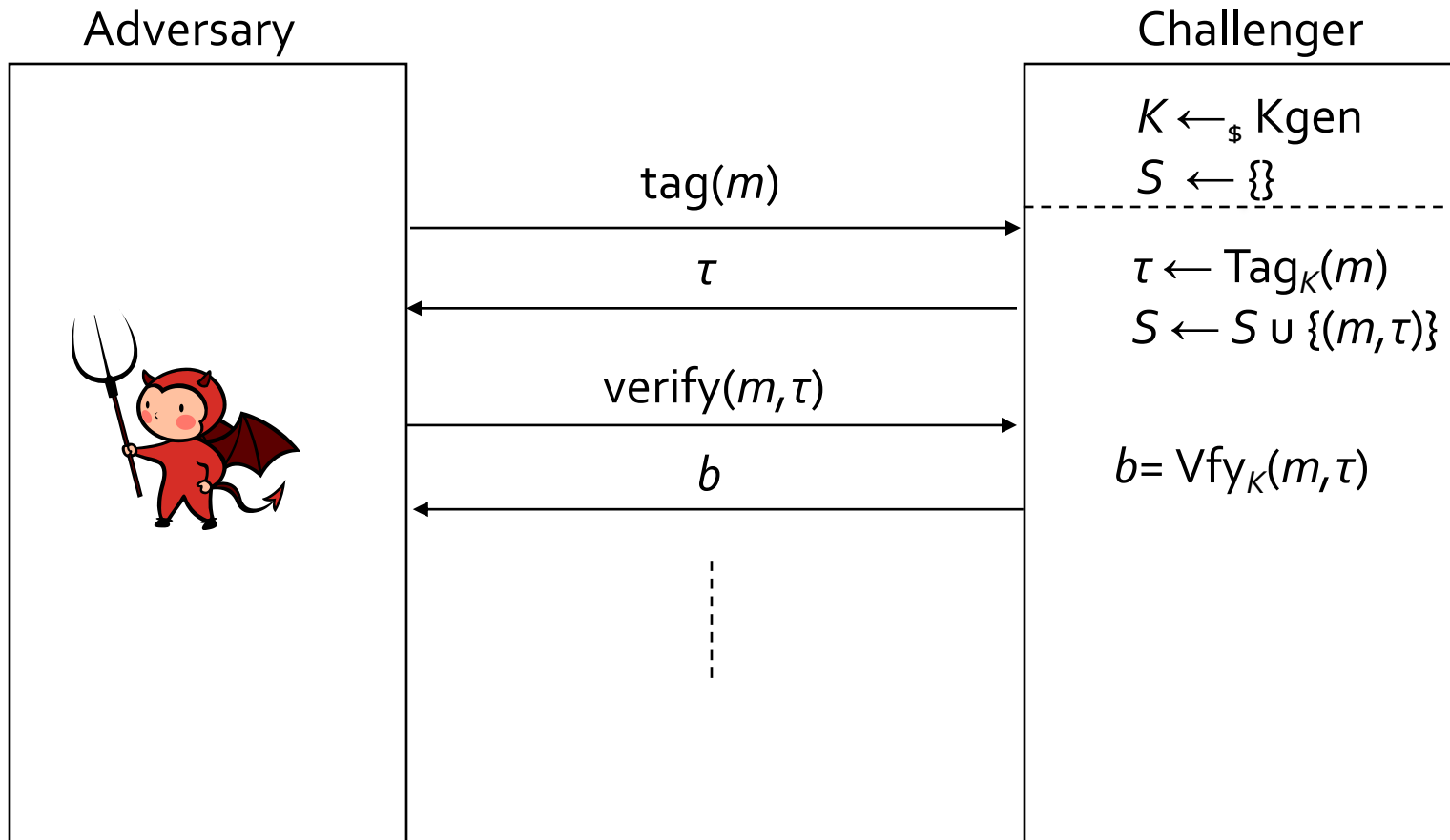
- There are two flavours of unforgeability for MACs: **weak** unforgeability and **strong** unforgeability.
- In **weak** unforgeability, the adversary wins if  $\text{verify}(m^*, \tau^*) = 1$  for **some** query  $(m^*, \tau^*)$  made to the verify oracle **and no query**  $\text{tag}(m^*)$  **was made**.
- In **strong** unforgeability, the adversary wins if  $\text{verify}(m^*, \tau^*) = 1$  for **some** query  $(m^*, \tau^*)$  made to the verify oracle **and no query**  $\text{tag}(m^*)$  **with response**  $\tau^*$  **was made**.
  - So in the strong case, some pair  $(m^*, \tau^*)$  in a verify oracle query is **distinct** from all the pairs  $(m, \tau)$  arising in tag oracle queries – but  $m^*$  could be repeated from a tag oracle query.
  - Such a “repeated  $m^*$ ” would **not** be a win for a weak adversary.
  - So it is potentially **easier** for the adversary to win in the **strong** version.
  - Hence achieving strong unforgeability is harder than achieving weak unforgeability.
  - So strong unforgeability is a **stronger** security notion than weak unforgeability!

# WUF-CMA security game in a picture



A wins if it makes a query  $\text{verify}(m^*, \tau^*)$  with output  $b=1$  for some  $m^* \notin S$ .

# SUF-CMA security game in a picture



A wins if it makes a query  $\text{verify}(m^*, \tau^*)$  with output  $b=1$  for some  $(m^*, \tau^*) \notin S$ .

# Formalising Security for MACs

- We say that a MAC scheme  $(\text{KGen}, \text{Tag}, \text{Vfy})$  is **(W/S)UF-CMA secure (weakly/strongly unforgeable under chosen message attack)** if there is no **efficient** adversary that wins the relevant game with a **significant probability**.
- More formally, we say that a MAC scheme is  $(q_t, q_v, t, \epsilon)$ -WUF-CMA secure if no adversary making  $q_t$  queries to its tag oracle,  $q_v$  queries to its verification oracle, and running in time at most  $t$ , has success probability greater than  $\epsilon$  in the weak unforgeability game.
- Similarly for  $(q_t, q_v, t, \epsilon)$ -SUF-CMA security.
- WUF-CMA is also called EUF-CMA (“existential unforgeability”) or sometimes just UF-CMA.



# WUF-CMA vs SUF-CMA security

- A successful WUF-CMA adversary also breaks SUF-CMA security.
- Hence SUF-CMA security of a MAC scheme implies its WUF-CMA security.
- However, there exist (deterministic) MAC schemes that are WUF-CMA secure but **not** SUF-CMA secure.
- So WUF-CMA security does not imply SUF-CMA security.

**Example:** suppose MAC scheme  $(\text{KGen}, \text{Tag}, \text{Vfy})$  is WUF-CMA secure; define a new scheme  $(\text{KGen}, \text{Tag}', \text{Vfy}')$  with  $(t+1)$ -bit tags as follows:

$\text{Tag}'(K, m)$ : compute  $\tau = \text{Tag}(K, m)$ ; output  $0 \parallel \tau$ .

$\text{Vfy}'(K, m, \tau')$ : parse  $\tau' = b \parallel \tau$  where  $b$  is a bit; output  $\text{Vfy}(K, m, \tau)$ .

**Claim:**  $(\text{KGen}, \text{Tag}', \text{Vfy}')$  is WUF-CMA secure but not SUF-CMA secure.

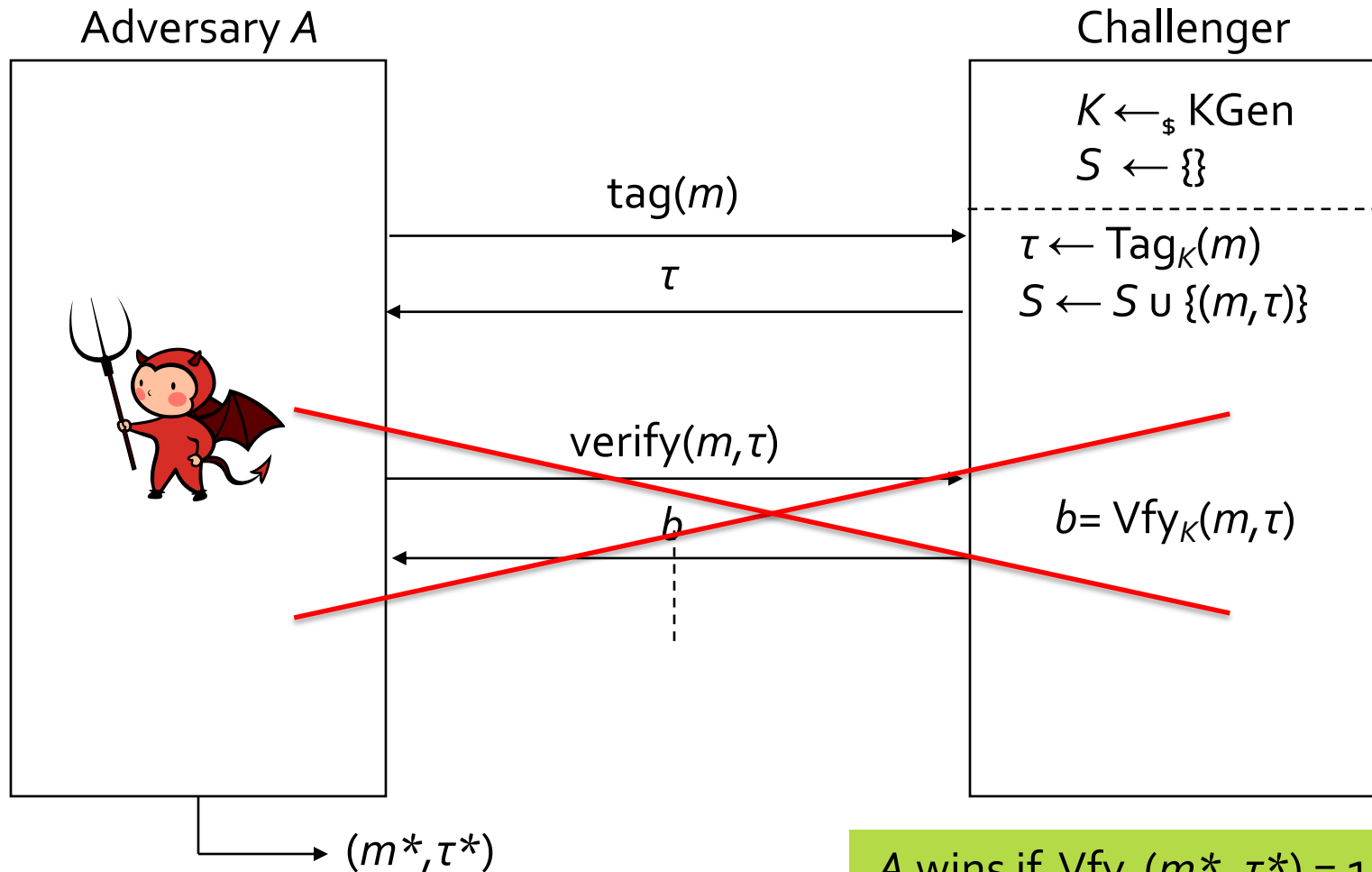
# WUF-CMA vs SUF-CMA security

- WUF-CMA and SUF-CMA security are **equivalent** when Tag is deterministic and Vfy is built using Tag (as per slide 7).
- This is because, for any  $m$  and  $K$ , there is precisely one value  $\tau$  for which  $\text{Vfy}(K, m, \tau) = 1$ , so the extra wins that a SUF-CMA adversary might have beyond those of a WUF-CMA adversary cannot arise.
- Proof: DIY!

# Generic Attacks on MACs

- An adversary can always guess the  $k$ -bit key  $K$ : success prob.  $2^{-k}$ .
- An adversary can use a few pairs  $(m, \tau)$  obtained from its tag oracle to enable an exhaustive search for the key.
- An adversary that makes  $q_v$  queries  $\text{verify}(m, \tau)$  for random values of  $\tau$  will win with probability at least  $q_v \cdot 2^{-t}$ .
- Tags and keys need to be long enough to withstand these **generic attacks**, and  $q_v$  needs to be limited to keep  $q_v \cdot 2^{-t}$  to a desired level.
  - In typical applications, for the adversary to make a verify query in practice, it needs to interact with some party, sending pairs  $(m, \tau)$ .
  - That is, attacks involving verify queries are inherently **online** and receiver can then limit the number of invalid MAC tags it accepts.
  - Often  $q_v$  can be kept quite small; e.g.  $q_v = 1$  for TLS.
  - In other cases, e.g. QUIC or DTLS, many forgery attempts are possible and  $q_v$  has to be carefully limited.

# Alternative SUF-CMA security model: no verification queries



A wins if  $\text{Vfy}_K(m^*, \tau^*) = 1$  and  $(m^*, \tau^*) \notin S$ .

# Removing verify queries from the model

## Theorem:

Let  $MAC = (KGen, Tag, Vfy)$  be a MAC scheme.

For any  $(q_t, q_v, t, \epsilon)$ -SUF-CMA adversary  $A$  against  $MAC$ , there is a  $(q_t, t', \epsilon/q_v)$ -no-verify-SUF-CMA adversary  $B$  against  $MAC$ , with  $t' \approx t$ .

## Proof:

See extra slides

## Impact:

We can work in a simplified security model with only tag queries.

**NB1:** this result is not true for WUF-CMA: there are (artificial) MAC schemes which are WUF-CMA-secure if  $q_t=1$  but where there exists an efficient WUF-CMA adversary with advantage 1 if  $q_t > 1$ !

**NB2:** the result *is* still true for WUF-CMA security when Tag is deterministic and Vfy is built using Tag (as per slide 7).

See <https://eprint.iacr.org/2004/309.pdf> for all the details!

# Attacks that MACs do not prevent

## Caution!!!

- Secure MACs do provide integrity and data origin authentication.
- MACs **cannot detect message deletion, replay, or reordering attacks**.
  - Additional mechanisms (e.g. sequence numbers) are needed in conjunction with MACs to provide these services.
- If the same MAC key  $K$  is used in both communication directions, then MACs **cannot prevent reflection attacks**.
  - Here, a pair  $(m, \tau)$  created by A and sent to B is sent *back* to A by the adversary.
  - A accepts  $(m, \tau)$  as having come from B, when in fact it was generated by A!
  - Can prevent such attacks using directional indicators encoded in messages, or using *key separation* (different keys for different purposes).
- MACs **do not provide any kind of confidentiality guarantees**.
  - Need to use them in careful combination with encryption schemes to get both integrity and confidentiality.

MACs from PRFs

# MACs from PRFs

## Construction:

Let  $F: \{0,1\}^k \times \mathcal{X} \rightarrow \{0,1\}^n$  be a function.

We build a **MAC scheme**  $\text{MAC}(F)$  from  $F$  as follows:

KGen:  $K \leftarrow_{\$} \{0,1\}^k$

Tag( $K, m$ ):  $\tau \leftarrow F(K, m)$

Vfy( $K, m, \tau$ ):  $\tau' \leftarrow F(K, m)$ ; if  $\tau' = \tau$  return 1; else return 0.

- This MAC scheme can handle input messages from set  $\mathcal{X}$ , the input domain of  $F$  (typically some set of bit-strings).
- Tag length = output length of  $F$  (denoted  $n$  here).
- Deterministic Vfy built using “standard method”, so the scheme has unique MAC tags.



# MACs from PRFs

## Theorem:

Let  $F: \{0,1\}^k \times \mathcal{X} \rightarrow \{0,1\}^n$  be a function. Consider the MAC scheme  $\text{MAC}(F)$  built from  $F$  as on the previous slide.

For any  $(q_t, t, \varepsilon)$ -SUF-CMA adversary  $A$  against  $\text{MAC}(F)$ , there exists an adversary  $B$  against PRF security of  $F$  that runs in time  $t' \approx t$ , makes  $q_t + 1$  oracle queries, and has advantage at least  $\varepsilon - (1/2^n)$ .

Informally:  $\text{MAC}(F)$  is SUF-CMA secure under the assumption that  $F$  is a PRF.

NB1: we use the “no verify oracle” version of SUF-CMA security.

NB2: because the scheme uses the “standard” verify algorithm (as per slide 7), it actually suffices to prove “no-verify-oracle-WUF-CMA security”.

# MACs from PRFs

## Proof:

We proceed via a sequence of two games.

**G<sub>0</sub>:** The no-verify-WUF-CMA security game:  $A$ 's  $\text{tag}(\cdot)$  queries are answered using  $F(K, \cdot)$  for a random choice of key  $K$ .

**Event  $W_0$ :**  $A$  outputs  $m^*$  (distinct from tag queries  $m_1, \dots, m_{q_t}$ ) and  $\tau^*$  such that  $\tau^* = F(K, m^*)$ , i.e.  $A$  breaks SUF-CMA security.

**G<sub>1</sub>:** The no-verify-WUF-CMA security game, but  $A$ 's tag queries are answered using a random function  $f$ .

**Event  $W_1$ :**  $A$  outputs  $m^*$  (distinct from tag queries  $m_1, \dots, m_{q_t}$ ) and  $\tau^*$  such that  $\tau^* = f(m^*)$ .

We will show two things:

1. The difference in probabilities of events  $W_i$  in games  $G_i$  can be bounded by the advantage of a related PRF adversary  $B$ .
2.  $\Pr[W_1]$  is small, exactly  $1/2^n$ .

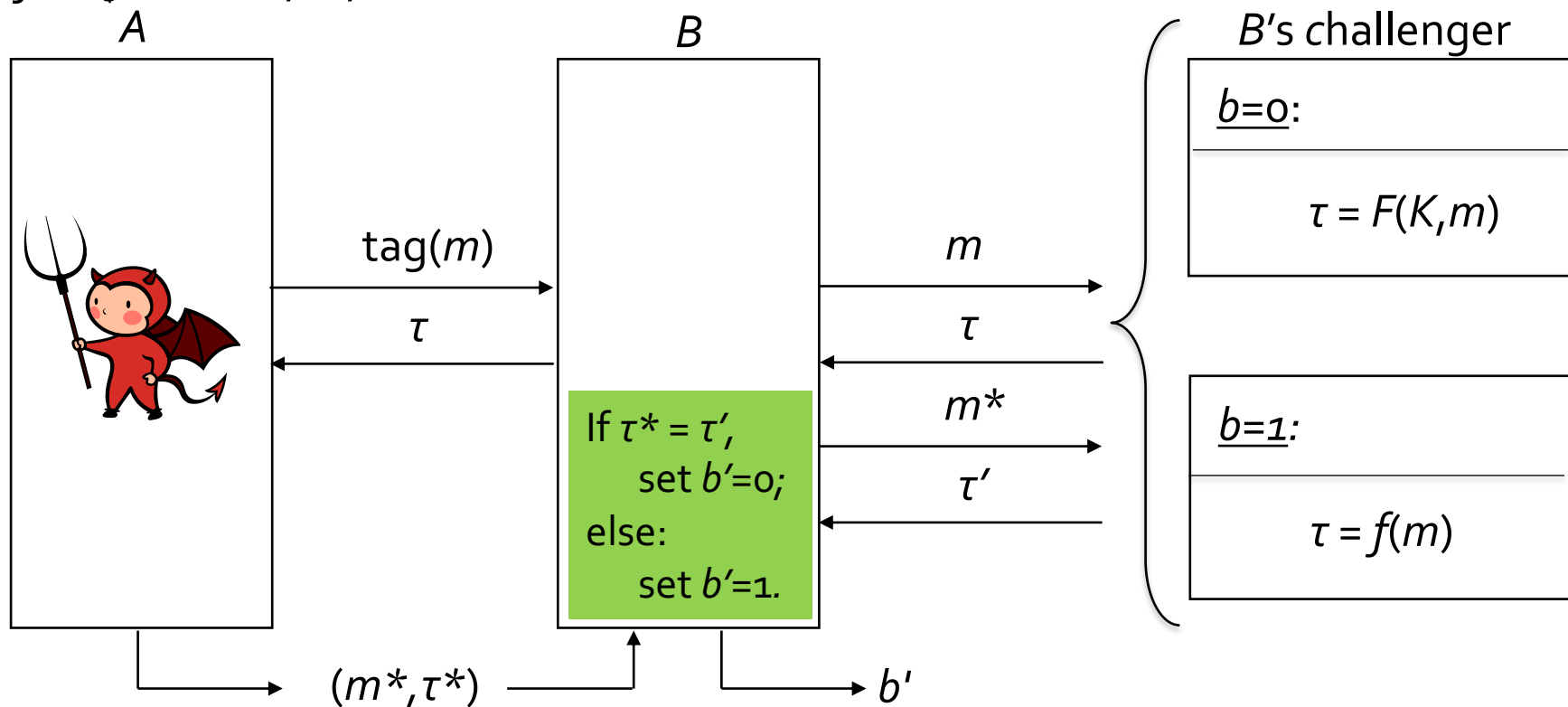
# $G_0$ and $G_1$ , and adversary $B$

Setup:

$$b \leftarrow_{\$} \{0,1\}$$

$$K \leftarrow_{\$} \{0,1\}^k$$

$$f \leftarrow_{\$} \text{Funcs}[\mathcal{X}, \{0,1\}^n]$$



# MACs from PRFs

- $B$  is a PRF adversary making  $q_t+1$  queries, running in same time as  $A$ .
- When  $b=0$ ,  $A$  plays in  $G_0$ : the no-verify-WUF-CMA security game.
- When  $b=1$ ,  $A$  plays in  $G_1$ : the no-verify-WUF-CMA security game but where tag queries are handled using outputs of random function  $f$ .

**Claim 1:**  $|\Pr[W_0] - \Pr[W_1]| = \text{Adv}_F^{\text{PRF}}(B)$ .

**Claim 2:**  $\Pr[W_1] = 1/2^n$ .

**Combining the claims:**

$$\begin{aligned}\text{Adv}_{\text{MAC}(F)}^{\text{WUF-CMA}}(A) &= \Pr[W_0] = |(\Pr[W_0] - \Pr[W_1]) + \Pr[W_1]| \\ &\leq |\Pr[W_0] - \Pr[W_1]| + \Pr[W_1] \\ &= \text{Adv}_F^{\text{PRF}}(B) + 1/2^n\end{aligned}$$

# MACs from PRFs

**Claim 1:**  $|\Pr[W_0] - \Pr[W_1]| = \text{Adv}_F^{\text{PRF}}(B)$ .

**Proof:**

$$\begin{aligned}\text{Adv}_F^{\text{PRF}}(B) &= |\Pr[b'=0 \mid b=0] - \Pr[b'=0 \mid b=1]| \\ &= |\Pr[\tau^* = \tau' \mid A \text{ plays in } G_0] - \Pr[\tau^* = \tau' \mid A \text{ plays in } G_1]| \\ &= |\Pr[\tau^* = F(K, m^*) \mid A \text{ plays in } G_0] - \Pr[\tau^* = f(m^*) \mid A \text{ plays in } G_1]| \\ &= |\Pr[W_0] - \Pr[W_1]| \end{aligned}$$

# MACs from PRFs

**Claim 2:**  $\Pr[W_1] = 1/2^n$ .

**Proof:**

Recall the definition of event  $W_1$ :

" $A$  outputs  $m^*$  (distinct from tag queries  $m_1, \dots, m_{qt}$ ) and  $\tau^*$  such that  $\tau^* = f(m^*)$ ."

For  $W_1$  to occur,  $A$  must output the value of  $f$  on a fresh input  $m^*$ , having seen the value of  $f$  on chosen inputs  $m_1, \dots, m_{qt}$ .

But  $f$  is a random function, so the value of  $f$  at  $m^*$  is uniformly random and independent from its value on all other inputs.

Hence  $\Pr[W_1] = 1/2^n$  (since  $f$  has  $n$ -bit outputs).

MAC domain extension from CR hashing

# MAC domain extension from CR hashing

- Previous construction gives us a MAC scheme on message input domain  $\mathcal{X}$ , the input space of the PRF  $F$ .
- For example, if  $F$  is instantiated with a block cipher, then  $\mathcal{X}$  will be  $\{0,1\}^n$  where  $n$  is the block size in bits, and also now the tag size.
- This is restrictive – our original definition of MACs says  $\mathcal{X} = \{0,1\}^*$ !
- **Domain extension:** build a new MAC with a larger input domain  $\mathcal{X}'$  from a MAC with a fixed input domain  $\mathcal{X}$ .
- We do this by introducing a collision resistant hash function  $H$  mapping  $\mathcal{X}'$  to  $\mathcal{X}$ .



# MAC domain extension from CR hashing

## Theorem:

Let  $MAC = (KGen, Tag, Vfy)$  be a MAC scheme for message input space  $\mathcal{X}$  with tag-length  $t$  and key-length  $k$ .

Let  $H: \mathcal{X}' \rightarrow \mathcal{X}$  be a hash function.

Define a new MAC scheme  $HtMAC = (KGen, Tag', Vfy')$  for message input space  $\mathcal{X}'$  by:

**Tag'(K,m):**     output  $Tag(K, H(m))$

**Vfy'(K,m, $\tau$ ):**     output  $Vfy(K, H(m), \tau)$ .

If  $MAC$  is SUF-CMA secure and  $H$  is collision-resistant, then  $HtMAC$  is SUF-CMA secure (and has message input space  $\mathcal{X}'$ ).

# MAC domain extension from CR hashing

**Proof (of domain extension theorem):**

Let  $A$  be a  $(q_t, t, \epsilon)$ -SUF-CMA adversary against  $HtMAC$ .

We construct from  $A$  a SUF-CMA adversary  $B$  against  $MAC$  and a CR adversary  $C$  against  $H$  such that:

$$\text{Adv}_{HtMAC}^{\text{SUF-CMA}}(A) \leq \text{Adv}_{MAC}^{\text{SUF-CMA}}(B) + \text{Adv}_H^{CR}(C)$$

Moreover, both  $B$  and  $C$  run in time (roughly)  $t$ , and  $B$  makes  $q_t$  queries to its  $\text{tag}(\cdot)$  oracle.

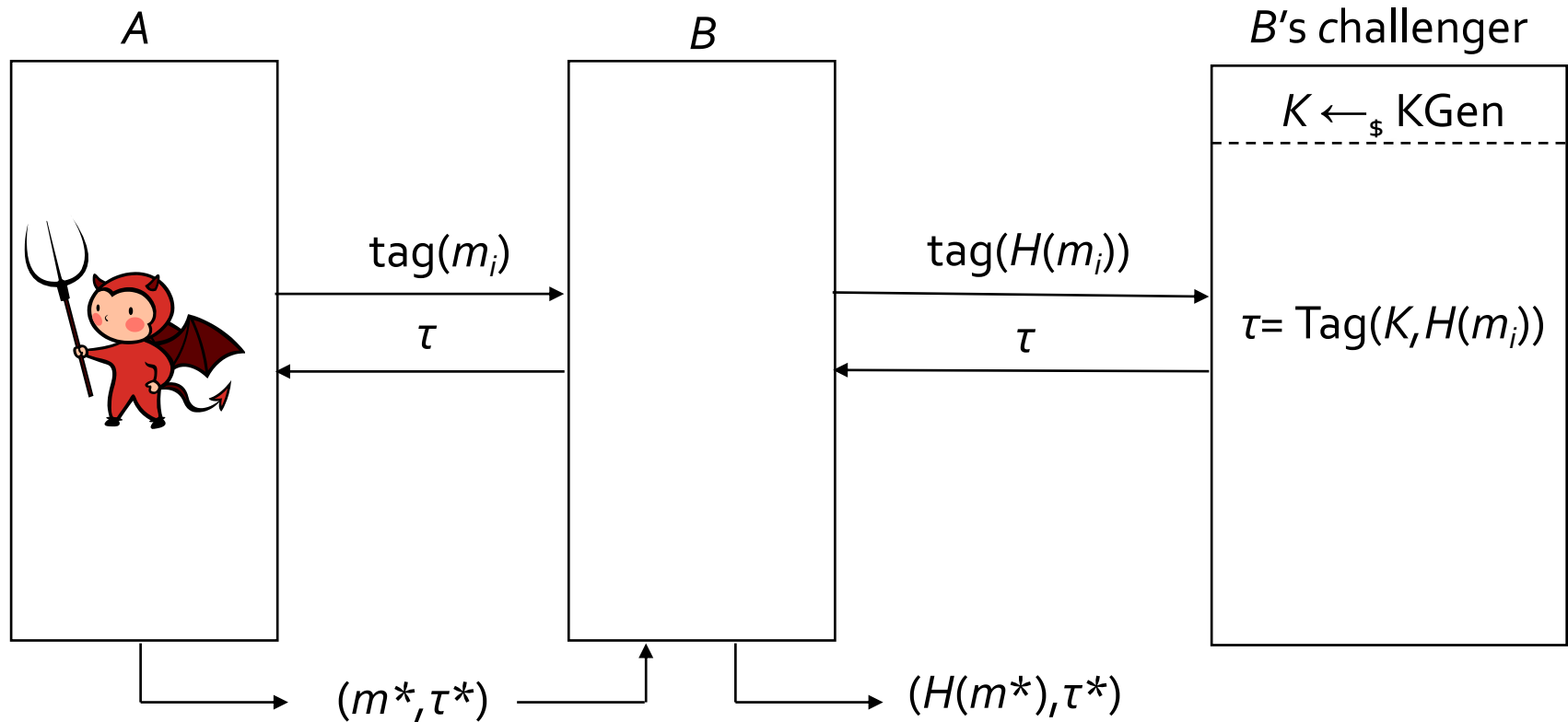
NB: as usual we work with the “no-verify-oracle” version of SUF-CMA security.

# MAC domain extension from CR hashing

## Proof (of domain extension theorem, ctd):

- Let  $X$  be the event that adversary  $A$  wins the SUF-CMA security game against  $HtMAC$ ; let  $A$ 's output (forgery) be  $(m^*, \tau^*)$ .
- Let  $Y$  denote the event that  $H(m^*) = H(m_i)$  for some  $m_i$  queried by  $A$  to its tag oracle, with  $m^* \neq m_i$ .
- Let  $Z$  denote the event that  $A$  wins the SUF-CMA security game against  $HtMAC$  but event  $Y$  does not occur, i.e.  $Z = X \wedge \neg Y$ .
- We have:
$$\begin{aligned}\text{Adv}_{HtMAC}^{SUF-CMA}(A) &= \Pr[X] = \Pr[X \wedge \neg Y] + \Pr[X \wedge Y] \\ &\leq \Pr[Z] + \Pr[Y]\end{aligned}$$
- We now construct  $B$ , a SUF-CMA adversary against  $MAC$  with advantage *at least*  $\Pr[Z]$ ; and  $C$ , a CR adversary for  $H$  with advantage exactly  $\Pr[Y]$ .

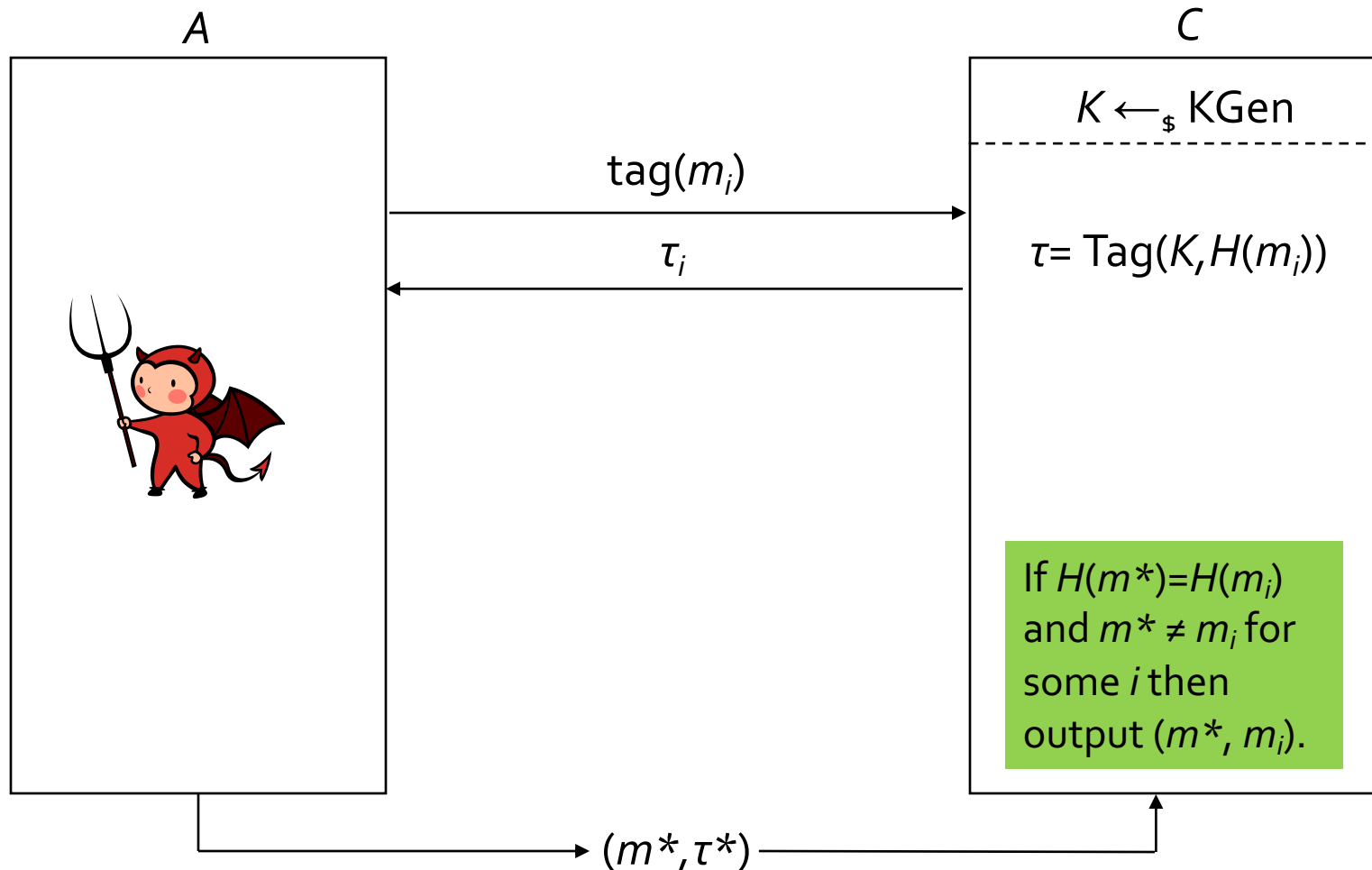
# Construction of $B$



**Key observations:** suppose  $Z = X \wedge \neg Y$  occurs.

- Event  $X$  implies  $A$ 's output  $(m^*, \tau^*)$  is a valid forgery for **HtMAC**.
- Event  $\neg Y$  implies  $H(m^*)$  distinct from  $H(m_i)$  for all  $i$ .
- In combination,  $B$ 's output  $(H(m^*), \tau^*)$  is then a valid forgery for **MAC**.
- So  $B$  breaks **MAC** in the SUF-CMA sense whenever  $Z$  occurs.

# Construction of $C$



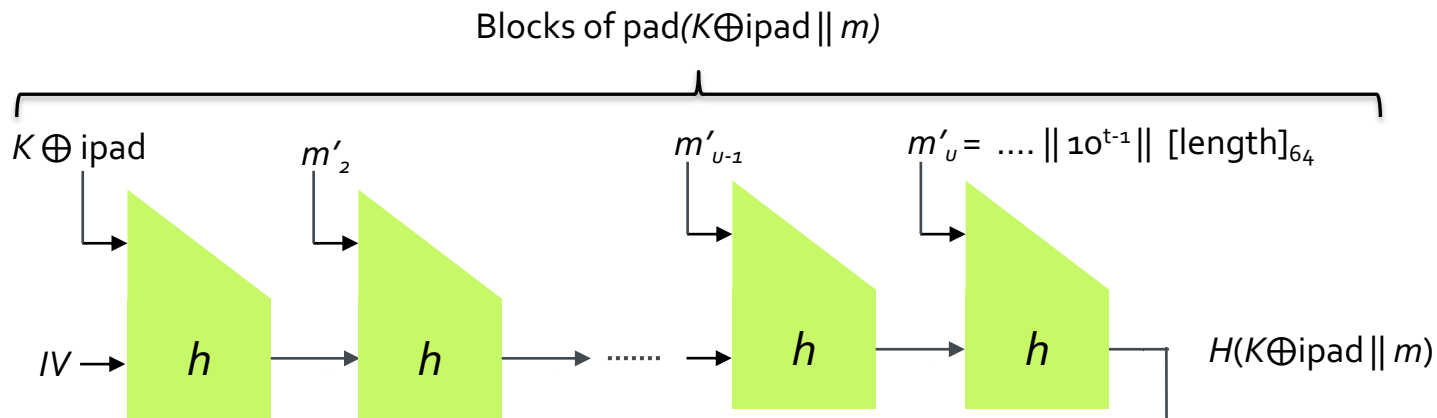
HMAC

# HMAC

- MD-based hash functions like SHA-256 are very fast in software.
- Can we build a MAC from such a hash function  $H$ ?
- Have seen Hash-then-MAC approach, relies on CR of  $H$ , vulnerable to **offline** collision-finding attacks.
- Can we do better?
- We want to turn an unkeyed primitive (SHA-256, say) into a keyed primitive (a MAC) whilst relying on a weaker assumption than CR.
- Prepending the key: length extension attacks.
- Appending the key: vulnerable to *offline* collision attacks on  $H$ .
- HMAC uses a two-key nest to build a PRF  $F_{\text{nest}}$ :

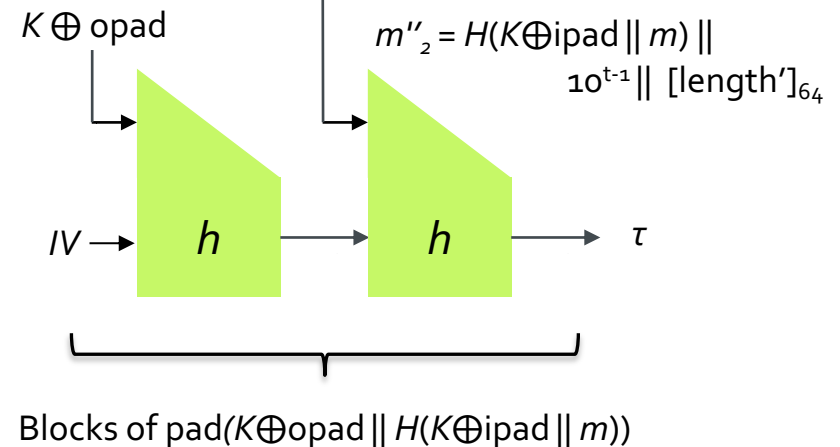
$$F_{\text{nest}}((K_1, K_2), M) := H(K_2 \parallel H(K_1 \parallel M)).$$

# HMAC



ipad: =  $\text{0x36} \dots \text{0x36}$   
 opad: =  $\text{0x5C} \dots \text{0x5C}$

- NB1:** key  $K$  is extended to exactly  $k$  bits by  $\text{0x00}$  padding before XOR with ipad/opad.
- NB2:**  $k$  is assumed large enough compared to  $n$  that hash output  $H(K \oplus \text{ipad} \parallel m)$  + padding + length field fits in a single block.
- NB3:** length encoding fixed to  $64 \ll k$  bits.





# HMAC – Security

- HMAC uses a two-key nest to build a PRF  $F_{\text{nest}}$ :

$$F_{\text{nest}}((K_1, K_2), M) := H(K_2 \parallel H(K_1 \parallel M)).$$

- But keys are derived from a single key  $K$  using XOR masks.
- We cannot hope to prove security under a standard assumption on  $H$  or compression function  $h$ .
- The related NMAC construction, in which independent keys  $K_1, K_2$  are used can be analysed more easily, but the analysis is still non-trivial, and we omit it here.
  - Details of NMAC in Boneh-Shoup, Section 6.5.1.2 and relation between NMAC, two-key nest, and HMAC in Boneh-Shoup, Sections 8.7.1 and 8.7.2.
  - We need  $h$ , the compression function, to be a **dual-PRF**: a PRF when either input (“message” and “chaining variable”) is regarded as the key and the other input is regarded as the message.
  - Section 8.7.3 of Boneh-Shoup gives a proof of this in the ideal cipher model when  $h$  is constructed using Davies-Meyer.
- **Main take-away: under some plausible assumptions, we can assume HMAC is a PRF, and therefore a SUF-CMA MAC.**

# HMAC – Usage

- HMAC is specified in RFC 2104, see: <https://tools.ietf.org/html/rfc2104>.
- HMAC is very widely deployed, especially in IETF protocols like SSL/TLS, IPsec.
- Typically instantiated with MD5 (!), SHA-1, and SHA-256, sometimes with truncation of final output.
- e.g. implicit part of the single “mandatory to implement” cipher suite TLS\_AES\_128\_GCM\_SHA256 in TLS 1.3.
- HMAC is gradually being supplanted by faster designs based on universal hashing (seen next section) – e.g. GMAC in GCM, Poly1305 in ChaCha20Poly1305.
- HMAC will still be widely used in key-derivation applications (exploiting PRF-ness rather than SUF-CMA security), e.g. in HKDF.

# HMAC – The IUF interface

- Implementations in crypto libraries typically provide HMAC via an “IUF” API.
- The computation is first **initialised (I)** – this processes  $K \oplus \text{ipad}$  via compression function  $h$  to make first internal chaining value.
- Then the computation is **updated (U)** as many times as are needed – each call to U can absorb new message bytes via calls to the compression function  $h$ .
- When the complete message has been processed, a **finalisation (F)** step is performed – this processes any last message bytes, completes the inner hash computation, and then does outer hash computation.
- Note that no compression function calls will be made until enough bytes have been received for a compression function call to be **needed** (or until finalisation is called).
- The IUF interface supports *streaming* applications.
- This design opens up some interesting side-channel opportunities, see for example:

*Martin R. Albrecht, Kenneth G. Paterson:*

***Lucky Microseconds: A Timing Attack on Amazon's s2n Implementation of TLS.*** EUROCRYPT (1) 2016: 622-643.

<https://eprint.iacr.org/2015/1129>

MACs from universal hashing

# Introducing nonce-based cryptography

## Definition (Nonce)

A **nonce** is a **number-used-once**.

## Notes:

- “Used once” means *within the context of some randomly sampled symmetric key*.
- Specific constructions of cryptosystems that lie ahead make use of nonces: MAC schemes and Symmetric Encryption (SE) scheme.
- Nonces do not have to be kept secret and do not need to be unpredictable.
- They replace the need for randomness in cryptographic primitives.
- Nonces **should in theory** be easier for developers to manage than randomness.

# Introducing nonce-based cryptography

## Definition (Nonce)

A **nonce** is a **number-used-once**.

## Notes:

- Nonces may be transmitted along with MAC tags, ciphertexts, etc, but nonces can also be *implicit* if they can be inferred from context.
- Nonces are often implemented using counters – but this brings the need for state management
- We could also use random values for nonces (but then birthday bounds apply, and randomness failures may lead to nonce reuse).
- Typically, no security guarantees if any nonce value is used more than once (with the same key).
- This should not happen, but it does sometimes, due to implementation errors or “cryptographic misuse”.

# Nonce-based MACs

## Definition (Nonce-based MAC)

A nonce-based MAC scheme with key length  $k$ , nonce space  $\mathcal{N}$ , and tag length  $t$  consists of a triple of efficient algorithms (KGen, Tag, Vfy) such that:

$$\text{KGen}: \{\} \rightarrow \{0, 1\}^k,$$

$$\text{Tag}: \{0, 1\}^k \times \mathcal{N} \times \{0, 1\}^* \rightarrow \{0, 1\}^t,$$

$$\text{Vfy}: \{0, 1\}^k \times \mathcal{N} \times \{0, 1\}^* \times \{0, 1\}^t \rightarrow \{0, 1\}$$

## Correctness:

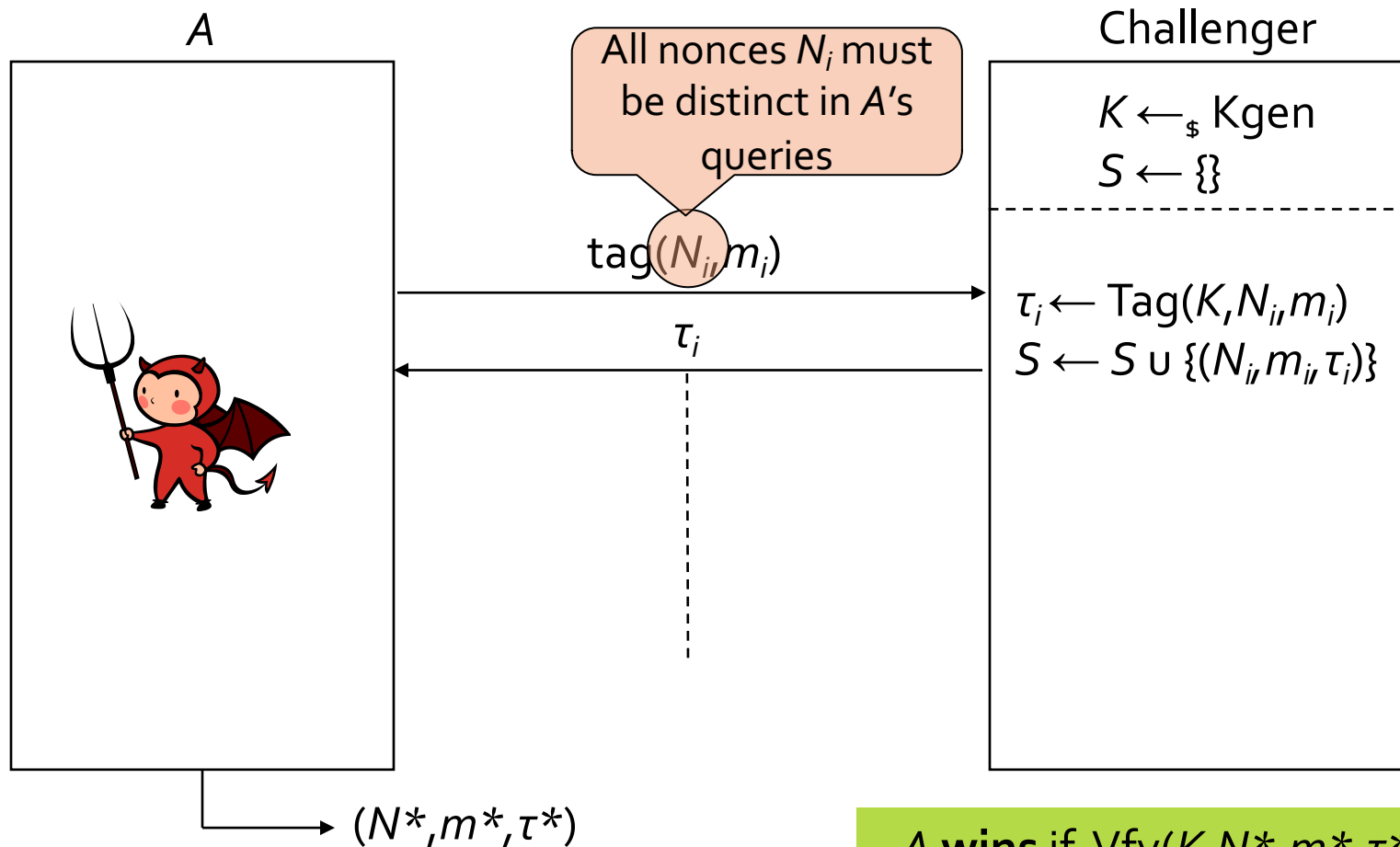
For all  $K \in \{0, 1\}^k$ ,  $N \in \mathcal{N}$ ,  $m \in \{0, 1\}^*$ , if

$$\tau \leftarrow \text{Tag}(K, N, m),$$

then

$$1 \leftarrow \text{Vrf}(K, N, m, \tau).$$

# Security for nonce-based MACs



**A wins** if  $\text{Vfy}(K, N^*, m^*, \tau^*) = 1$   
and  $(N^*, m^*, \tau^*) \notin S$



# Nonce-based MACs

## Definition (Nonce-based MAC security)

- We write  $\text{Adv}_{\text{NMAC}}^{\text{SUF-CMA}}(A)$  for the winning probability of adversary  $A$  in the preceding game against some nonce-based scheme  $\text{NMAC} = (\text{KGen}, \text{Tag}, \text{Vfy})$ .
- We say that a nonce-based MAC scheme is  $(q_t, t, \epsilon)$ -SUF-CMA secure if no adversary making  $q_t$  queries to its tag oracle and running in time at most  $t$  has success probability greater than  $\epsilon$  in the unforgeability game.
- We can also consider a version of the game with a verify oracle( $\cdot$ ).
- Note that we give  $A$  **complete control over nonces and messages** in queries  $\text{tag}(N_i, m_i)$ , but require nonces to be distinct.
- In reality, some entity is running the Tag algorithm.
- This entity chooses the nonces and must enforce distinctness.

# Keyed hash functions and universal hashing

- Our objective is to build very fast nonce-based MACs with good security bounds.
- The introduction of nonces will enable the use of lightweight components and better bounds than otherwise possible.

## Definition (Keyed hash function)

A keyed hash function  $H$  is a deterministic algorithm that takes two inputs, a key  $K$  and a message  $m$ ; its output  $t := H(K, m)$  is called a **digest**. As usual, there are associated spaces: the keyspace  $\mathcal{K}$ , the message space  $\mathcal{M}$  and the digest space  $\mathcal{T}$ .

# Universal hashing

## Definition (Universal hash function security game)

For a keyed hash function  $H$  with key space  $\mathcal{K}$ , message space  $\mathcal{M}$  and digest space  $\mathcal{T}$ , and a given adversary  $A$ , the game runs as follows:

1. The challenger sets  $K \leftarrow_{\$} \mathcal{K}$ .
2.  $A$  outputs two **distinct** messages  $m_0, m_1 \in \mathcal{M}$ .

Finally,  $A$  **wins** if  $H(K, m_0) = H(K, m_1)$ .

We define  $A$ 's advantage, denoted  $\text{Adv}_H^{\text{UHF}}(A)$  as the probability that  $A$  wins the above game.

We say that  $H$  is an  **$\epsilon$ -bounded universal hash function**, or  **$\epsilon$ -UHF**, if  $\text{Adv}_H^{\text{UHF}}(A) \leq \epsilon$  for all adversaries  $A$  (even computationally unbounded ones).

# Universal hashing – alternative security definition

If  $H$  is a keyed hash function with keyspace  $\mathcal{K}$ , message space  $\mathcal{M}$  and digest space  $\mathcal{T}$ , then  $H$  is said to be an  $\varepsilon$ -UHF if the following holds:

For every pair of distinct messages  $m_o, m_1 \in \mathcal{M}$  we have:

$$\Pr[H(K, m_o) = H(K, m_1)] \leq \varepsilon,$$

where the probability is over the random choice of  $K \in \mathcal{K}$ .

This definition is equivalent to the previous one, and we will use it from now on.

## **Equivalence:**

$A$  is unbounded but has no information about  $K$ , so an optimal  $A$  outputs a pair of messages maximising the collision probability over random choice of  $K$ .

# Universal hashing from polynomials

Let  $\mathcal{F}$  be a finite field (e.g. integers mod  $p$  or  $\text{GF}(2^n)$ ).

Set  $\mathcal{K} = \mathcal{T} = \mathcal{F}$ ,  $\mathcal{M} = (\mathcal{F})^{\leq L}$  (i.e. messages are vectors of length at most  $L$  over  $\mathcal{F}$ ).

Define a hash function  $H_{\text{poly}}$  as follows:

$$H_{\text{poly}}(K, (a_1, \dots, a_v)) := K^v + a_1 K^{v-1} + a_2 K^{v-2} + \dots + a_{v-1} K + a_v \in \mathcal{F}$$

- Note that  $H_{\text{poly}}(K, (a_1, \dots, a_v))$  is just equal to  $a(K)$ , where  $a(X)$  is a degree  $v$  polynomial derived from  $(a_1, \dots, a_v)$ , evaluated over  $\mathcal{F}$ .
- Fast evaluation of  $H$  is possible using finite field operations and Horner's rule.

# Universal hashing from polynomials

## Theorem:

$H_{\text{poly}}$  is an  $\varepsilon$ -UHF for  $\varepsilon = L / |\mathcal{F}|$ .

## Proof:

- Consider any two **distinct** inputs  $(a_1, \dots, a_u), (b_1, \dots, b_v)$  of lengths  $u, v$ , respectively.
- Recall that:  $H_{\text{poly}}(K, (a_1, \dots, a_u)) = a(K)$ ;  $H_{\text{poly}}(K, (b_1, \dots, b_v)) = b(K)$
- Since the inputs are distinct, the polynomials  $a, b$  are **distinct** and so  $a - b$  is a **non-zero** polynomial of degree at most  $L$ . (The leading term  $K^v$  takes care of message vectors beginning with “zero” components.)
- Hence  $a - b$  has at most  $L$  roots over  $\mathcal{F}$ .
- So, for random  $K$ , we have:  $\Pr[(a - b)(K) = 0] \leq L / |\mathcal{F}|$ .
- So:  $\Pr[a(K) = b(K)] \leq L / |\mathcal{F}|$ .
- Hence:  $\Pr[H_{\text{poly}}(K, (a_1, \dots, a_u)) = H_{\text{poly}}(K, (b_1, \dots, b_v))] \leq L / |\mathcal{F}|$ .

# Building a PRF from a PRF + universal hashing

## Construction (UHFtPRF) composition):

Suppose  $H$  is an  $\varepsilon$ -UHF with keyspace  $\mathcal{K}$ , message space  $\mathcal{M}$  and digest space  $\mathcal{T}$ , and  $F$  is a secure PRF with keyspace  $\mathcal{K}'$ , message space  $\mathcal{T}$  and output space  $\mathcal{X}$ . Define a function  $F'$  by:

$$F'((K_1, K_2), m) := F(K_2, H(K_1, m)).$$

## Theorem:

$F'$  is a secure PRF, for keyspace  $\mathcal{K} \times \mathcal{K}'$ , message space  $\mathcal{M}$  and output space  $\mathcal{X}$ .

In particular, suppose  $A$  is a PRF adversary against  $F'$  making at most  $q$  queries. Then there exist a PRF adversary  $B$  against  $F$  (also making  $q$  queries) such that:

$$\text{Adv}_{F'}^{\text{PRF}}(A) \leq \text{Adv}_F^{\text{PRF}}(B) + (q^2/2) \cdot \varepsilon.$$

# Difference unpredictable hashing and XOR universal hashing

## Definition (difference unpredictable hash function security game)

For a keyed hash function  $H$  with key space  $\mathcal{K}$ , message space  $\mathcal{M}$  and digest space  $\mathcal{T}$  equipped with a group operation “+” (and inverse “−”), and a given adversary  $A$ , the attack game runs as follows:

1. The challenger picks a random  $K \leftarrow_{\$} \mathcal{K}$ .
2.  $A$  outputs two distinct messages  $m_o, m_1 \in \mathcal{M}$  and a value  $\delta \in \mathcal{T}$ .

Finally,  $A$  wins if  $H(K, m_o) - H(K, m_1) = \delta$ .

We define  $A$ 's advantage, denoted  $\text{Adv}_H^{\text{DUHF}}(A)$  as the probability that  $A$  wins the above game.

We say that  $H$  is an  $\varepsilon$ -bounded difference unpredictable hash function, or  $\varepsilon$ -DUHF, if  $\text{Adv}_H^{\text{DUHF}}(A) \leq \varepsilon$  for all adversaries  $A$  (inc. comp. unbounded ones).



# Difference unpredictable hashing and XOR universal hashing

Typical group operations:

- $\mathcal{T} = \mathbb{Z}_N$ , the integers mod  $N$ , and “+” being addition mod  $N$ .
- $\mathcal{T} = \{0,1\}^n$  for some bit-length  $n$ , and “+” replaced with XOR.

In the latter case, we usually refer to  $\epsilon$ -XOR-universality instead of  $\epsilon$ -bounded difference unpredictability.

Construction: ( $H_{\text{xpoly}}$ )

Let  $\mathcal{F}$  be a finite field. Set  $\mathcal{K} = \mathcal{T} = \mathcal{F}$ ,  $\mathcal{M} = (\mathcal{F})^{\leq L}$  (so messages are vectors of length at most  $L$  over  $\mathcal{F}$  and group operation is finite field addition).

Define a hash function  $H_{\text{xpoly}}$  as follows:

$$\begin{aligned} H_{\text{xpoly}}(K, (a_1, \dots, a_v)) &:= K^{v+1} + a_1 K^v + a_2 K^{v-1} + \dots + a_{v-1} K^2 + a_v K \in \mathcal{F} \\ &= K \cdot H_{\text{poly}}(K, (a_1, \dots, a_v)). \end{aligned}$$

# Difference unpredictable hashing from polynomials

## Theorem:

$H_{\text{xpoly}}$  is an  $\varepsilon$ -DUHF for  $\varepsilon = (L + 1) / |\mathcal{F}|$ .

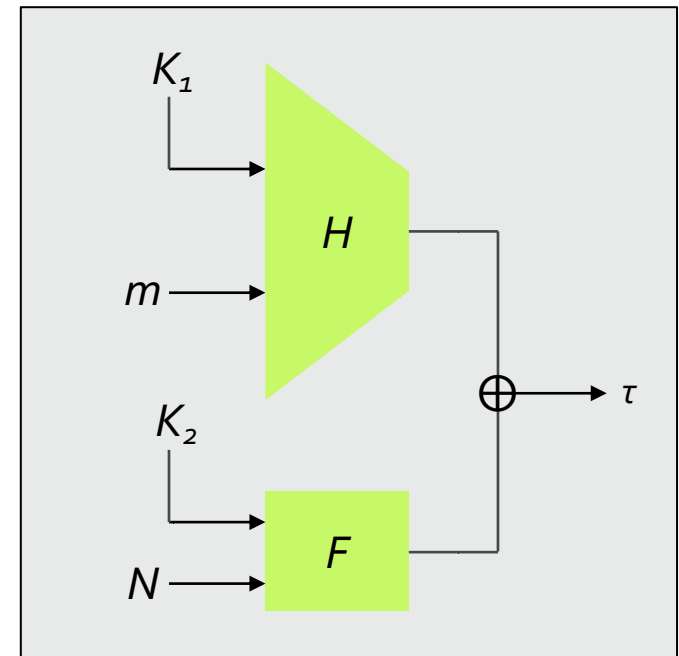
(Hence for large  $|\mathcal{F}|$  and reasonable  $L$ , we can make  $\varepsilon$  small.)

## Proof:

- Consider any two distinct inputs  $(a_1, \dots, a_u)$ ,  $(b_1, \dots, b_v)$  of lengths  $u$ ,  $v$ , respectively; let  $\delta \in \mathcal{F}$  be arbitrary.
- Now we consider the polynomial  $X(a(X) - b(X)) - \delta$ .
- It's easy to see that this polynomial is non-zero, has degree at most  $L + 1$ , and hence has at most  $L + 1$  roots.
- Hence, for random  $K$ ,  $\Pr[K(a(K) - b(K)) - \delta = 0] \leq (L + 1) / |\mathcal{F}|$ .
- The rest of the proof is similar to that for  $H_{\text{poly}}$  and uses the equivalent probabilistic formulation of DUHF security.

# Carter-Wegman MACs

- The Carter-Wegman MAC construction combines an  $\varepsilon$ -DUHF  $H$  and a PRF  $F$  to build the Tag algorithm.
- Nonce  $N$  is input to PRF and produces a pseudorandom value  $F(K_2, N)$ .
- Hash output  $H(K_1, m)$  is combined with  $F(K_2, N)$  using the group operation “+” to create MAC tag  $\tau$ .
- So  $F(K_2, N)$  is being used as an encryption mask to “hide” output from weak  $H$ .
- This assumes outputs from  $F$  can also be interpreted as group elements.
- NB Carter-Wegman is usually presented as a randomised scheme, in which case nonce forms part of tag and tag length is really  $|N| + |\tau|$  (cf. shorter tags in UHFtPRF scheme).



Carter-Wegman Tag algorithm

# Carter-Wegman MACs

## Construction of $CW-MAC(F,H)$ :

Let  $H$  be an  $\varepsilon$ -DUHF with outputs in  $\mathcal{T}_H$ ; let  $F$  be a PRF on  $\{0,1\}^n$  with outputs also in  $\mathcal{T}_H$ ; assume  $(\mathcal{T}_H, +)$  is a group. Define the scheme  $CW-MAC(F,H)$  as follows:

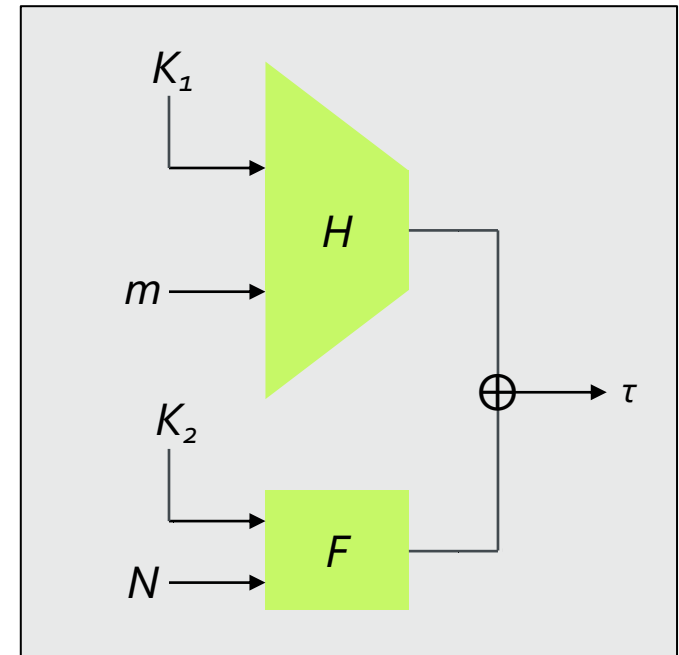
KGen:  $(K_1, K_2) \leftarrow_{\$} \mathcal{K}_H \times \mathcal{K}_F$ .

Tag: on input  $(K_1, K_2)$ , nonce  $N \in \{0,1\}^n$ , message  $m \in \mathcal{M}_H$ , output:

$$\tau = H(K_1, m) + F(K_2, N).$$

Vfy: on input  $(K_1, K_2)$ , nonce  $N \in \{0,1\}^n$ , message  $m \in \mathcal{M}_H$ , and tag value  $\tau$ :

1. Set  $\tau' = \text{Tag}((K_1, K_2), N, m)$
2. Return 1 if  $\tau' = \tau$ ; 0 otherwise.



Carter-Wegman Tag algorithm

# Security of Carter-Wegman MACs

## Theorem:

Let  $H$  be an  $\varepsilon$ -DUHF with outputs in  $\mathcal{T}_H$ ; let  $F$  be a PRF on  $\{0,1\}^n$  with outputs also in  $\mathcal{T}_H$ ; assume  $(\mathcal{T}_H, +)$  is a group. Then the scheme  $CW-MAC(F, H)$  is SUF-CMA secure.

More precisely, for any SUF-CMA adversary  $A$  against  $CW-MAC(F, H)$  making  $q_t$  tag queries, there exist a PRF adversary  $B$  against  $F$  such that:

$$\text{Adv}_{CW-MAC(F, H)}^{\text{SUF-CMA}}(A) \leq \text{Adv}_F^{\text{PRF}}(B) + \varepsilon + 1/|\mathcal{T}_H|.$$

Moreover,  $B$  runs in time roughly the same as  $A$  and makes  $q_t$  queries to its oracle.

# Security of Carter-Wegman MACs

## Proof Sketch:

We use two games.

In  $G_0$ , an adversary  $A$  interacts with the real scheme implemented using  $F_{K2}$ .

In  $G_1$ , we replace all of the outputs of  $F_{K2}$  with outputs of a random function  $f$ .

A standard analysis shows that the  $A$ 's winning probability in the two games differs by at most  $\text{Adv}_F^{\text{PRF}}(B)$ , for some PRF adversary  $B$  that runs in the same time as  $A$ , which makes  $q_t$  queries, and whose advantage is the same as  $A$ 's.

The rest of the analysis is done with  $A$  running in  $G_1$ ; we just need to bound

$$\Pr[A \text{ wins in } G_1].$$

# Security of Carter-Wegman MACs

## Proof Sketch (ctd):

By assumption, all nonces  $N$  in  $A$ 's queries are distinct. There are two disjoint ways  $A$  can win in  $G_1$ :

1. Event  $E_1$ :  $A$  wins and outputs a triple  $(N^*, m^*, \tau^*)$  in which  $N^*$  is **new** ( $N$  not used in any of  $A$ 's tag queries).
2. Event  $E_2$ :  $A$  wins and outputs a triple  $(N^*, m^*, \tau^*)$  in which  $N^* = N$  with  $N$  repeated from some previous tag query.

These events are disjoint, and so:

$$\Pr[A \text{ wins in } G_1] = \Pr[E_1] + \Pr[E_2].$$

# Security of Carter-Wegman MACs

## Proof Sketch (ctd – case 1):

For  $A$  to win with output  $(N^*, m^*, \tau^*)$  in  $G_1$ , we must have:

$$\tau^* = H(K_1, m^*) + f(N^*).$$

Rearranging, we must have:

$$f(N^*) = \tau^* - H(K_1, m^*) \quad (*)$$

in the relevant group  $(\mathcal{T}_H, +)$ .

- In case of event  $E_1$ ,  $N^*$  is new.
- But then  $f(N^*)$  is uniformly random in  $\mathcal{T}_H$  and independent from all the other outputs of  $f$  seen by  $A$ .
- So when  $A$  produces its output, it has no information on the value  $f(N^*)$ .
- Hence equation  $(*)$  holds with probability  $1 / |\mathcal{T}_H|$ .
- So  $\Pr[E_1] = 1 / |\mathcal{T}_H|$ .



# Security of Carter-Wegman MACs

## Proof Sketch (ctd – case 2):

**2. Event  $E_2$ :  $A$  outputs a triple  $(N^*, m^*, \tau^*)$  in which  $N^* = N$  with  $N$  repeated from some previous tag query.**

Then we have the equations:

$$\tau^* = H(K_1, m^*) + f(N)$$

$$\tau = H(K_1, m) + f(N)$$

- Subtracting (in the group), we get:

$$\tau^* - \tau = H(K_1, m^*) - H(K_1, m)$$

- From  $A$ 's output, we can then build an adversary  $C$  that breaks DUHF-security of  $H$  with output  $(m^*, m, \tau^* - \tau)$ .
- But the success probability of *any* such adversary is bounded by  $\varepsilon$ .
- Hence  $\Pr[E_2] \leq \varepsilon$ .

# Instantiations of Carter-Wegman MACs

- Most natural:
  - Instantiate  $F$  (the PRF) with AES, apply PRP-PRF switching lemma.
  - Instantiate  $H$  with  $H_{\text{xpoly}}$  over  $\mathcal{F} = \text{GF}(2^{128})$  and some maximum message length  $L$ .
  - This is *close* to the GMAC algorithm that appears in AES-GCM (NIST SP 800-38D).
  - Main difference is that GMAC maps bits to fields elements and uses a message length encoding field instead of the " $K^{v+1}$ " term.
  - Special instructions to support GMAC on Intel and AMD chips:  
[https://en.wikipedia.org/wiki/CLMUL\\_instruction\\_set](https://en.wikipedia.org/wiki/CLMUL_instruction_set)
- Bernstein's Poly1305-AES:
  - Uses AES to instantiate  $F$ .
  - Builds on polynomial hashing, with several tweaks for efficiency.
  - Uses  $\mathcal{F} = \text{GF}(p)$  with  $p = 2^{130} - 5$ . This choice enables fast modular reduction.
  - Also used (with different PRF) in ChaCha20-Poly1305 Authenticated Encryption.

Extra slides

Removing verification queries from the  
SUF-CMA model for MAC security

# Removing verify queries from the model

## Theorem:

Let  $MAC = (KGen, Tag, Vfy)$  be a MAC scheme.

For any  $(q_t, q_v, t, \epsilon)$ -SUF-CMA adversary  $A$  against  $MAC$ , there is a  $(q_t, t', \epsilon/q_v)$ -no-verify-SUF-CMA adversary  $B_2$  against  $MAC$ , where  $t' \approx t$ .

## Proof:

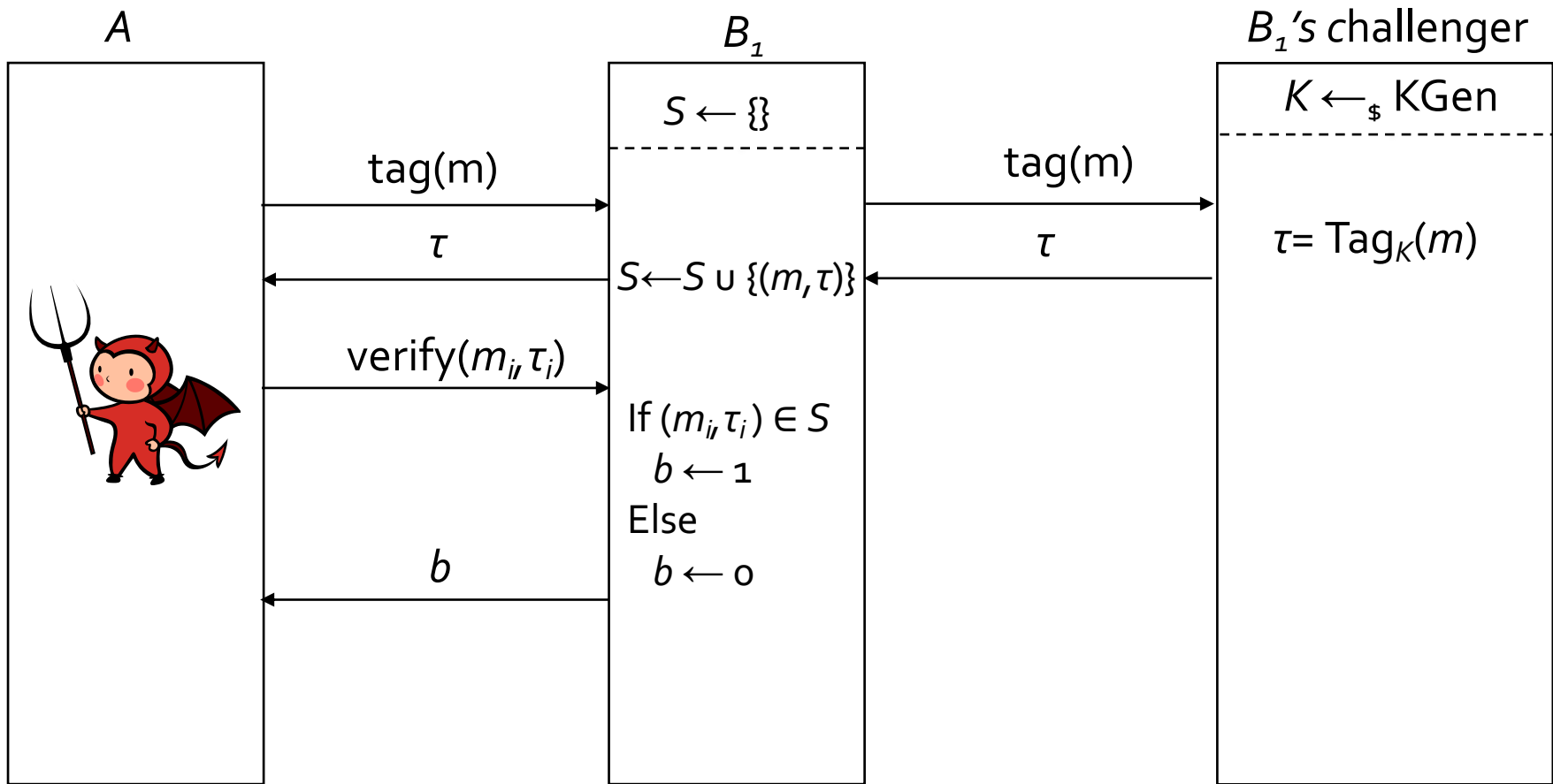
We will use a sequence of 3 games:

$G_0$ : the original SUF-CMA security game with verify queries.

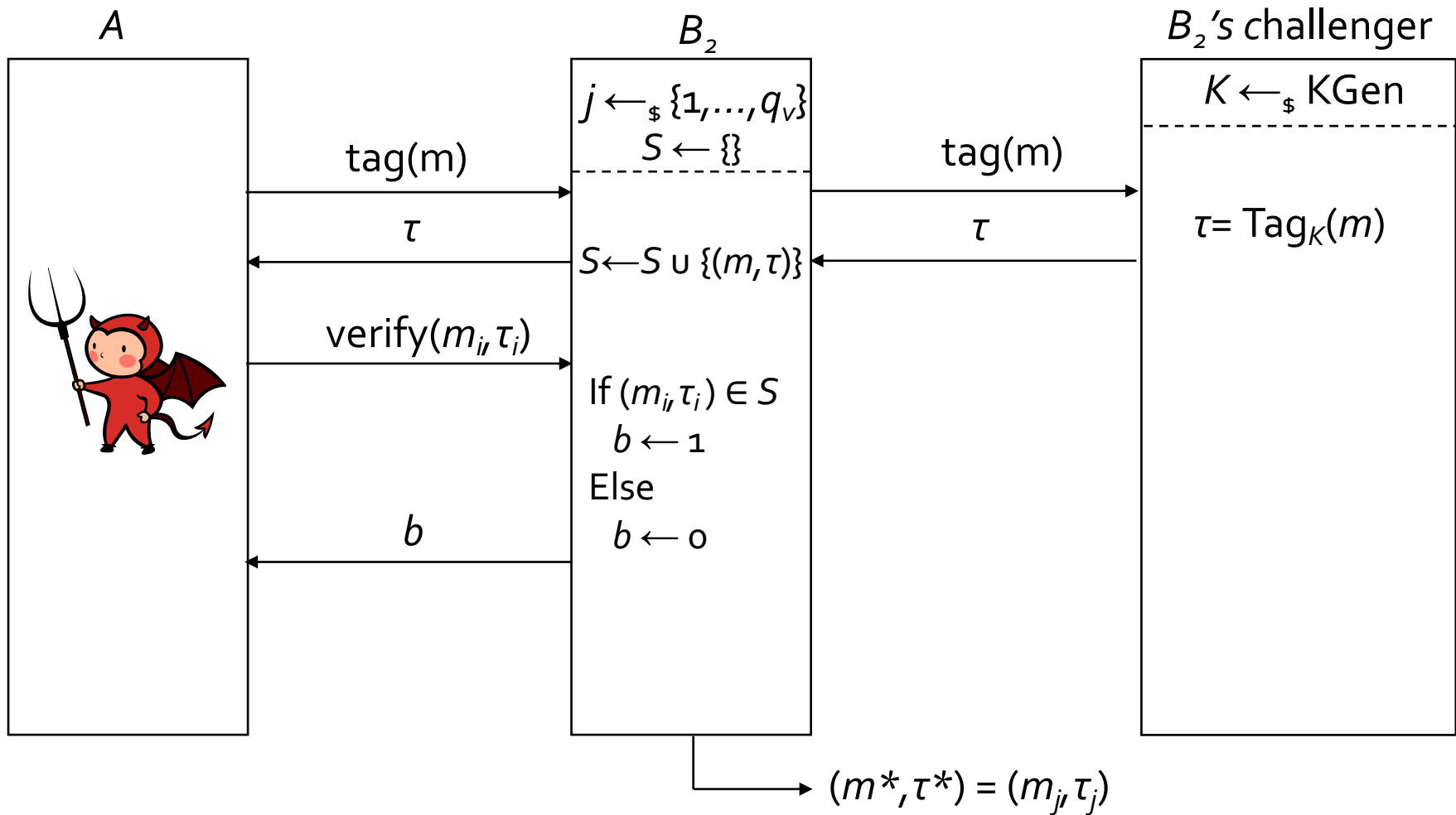
$G_1$ : as  $G_0$ , but  $B_1$ , a no-verify adversary against  $MAC$ , relays all  $A$ 's tag queries to its challenger and responds with "o" (invalid) to all of  $A$ 's **new** verify queries.

$G_2$ : as  $G_1$ , but  $B_2$ , a no-verify adversary against  $MAC$ , relays all  $A$ 's tag queries to its challenger, responds with "o" to all  $A$ 's **new** verify queries, and outputs a **random** selection of  $A$ 's verify queries  $(m^*, \tau^*)$  as its forgery.

$G_1$



$G_2$



# Removing verify queries from the model

$G_o$ : the original SUF-CMA security game with verify queries.

$G_1$ : as  $G_o$ , but  $B$  relays all  $A$ 's tag queries to its challenger and responds with "o" (invalid) to all of  $A$ 's new verify queries.

- Let  $W_o$  denote the event that in  $G_o$ ,  $\text{Vfy}(K, m_i, \tau_i) = 1$  and  $(m_i, \tau_i) \notin S$  for *at least one* value of  $i$  in  $A$ 's  $\text{verify}(\cdot)$  queries (so  $\Pr[W_o]$  is  $A$ 's success probability in  $G_o$ ).
- Let  $W_1$  denote the same event in  $G_1$ .
- Let  $i^*$  denote the first such value of  $i$  in  $G_1$  (set  $i^* = q_v + 1$  if  $W_1$  does not arise).
- $i^*$  is a random variable (with unknown distribution).
- Games  $G_o$  and  $G_1$  proceed identically up until event  $W_o$  (or  $W_1$ ) occurs at query  $i^*$  and so:

$$\Pr[W_1] = \Pr[W_o].$$

- This is despite  $A$  in  $G_1$  not necessarily receiving the correct verify oracle response for new queries, and despite that the games may "diverge" after  $W_o$  (or  $W_1$ ) occurs.



# Removing verify queries from the model

$G_1$ : as  $G_0$ , but  $B_1$  relays all  $A$ 's tag queries to its challenger and responds with "o" (invalid) to all of  $A$ 's new verify queries.

$G_2$ : as  $G_1$ , but  $B_2$  relays all  $A$ 's tag queries to its challenger, responds with "o" to all  $A$ 's new verify queries, **and outputs a random selection of  $A$ 's verify queries  $(m_j, \tau_j)$  as its forgery.**

- Note that  $G_1$  and  $G_2$  are identical except for the output of  $B_1 / B_2$ .
- Let  $W_2$  denote the event that in  $G_2$ , we have  $\text{Vfy}(K, m_j, \tau_j) = 1$  and  $(m_j, \tau_j) \notin S$  for the random choice of  $j$  made by  $B_2$ .
- Note that  $W_2$  occurs in  $G_2$  if and only if  $B_2$  is successful, i.e.  $\Pr[W_2]$  is  $B_2$ 's winning probability in the no-verify-oracle version of the SUF-CMA game.
- Note also that if  $W_1$  occurs in  $G_2$  and  $j=i^*$  then  $B_2$  is also successful.
- Hence:

$$\Pr[W_2] \geq \Pr[W_1 \wedge (j=i^*)] \geq \Pr[j=i^* \mid W_1] \cdot \Pr[W_1].$$

# Removing verify queries from the model

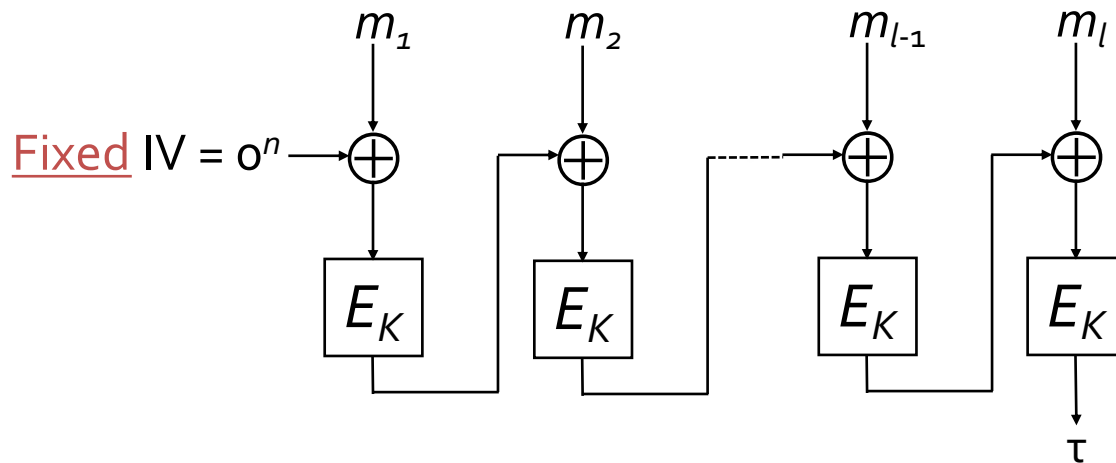
- But  $\Pr[j=i^* \mid W_1] = 1/q_v$  (no matter the distribution on  $i^*$ ).
- This is because  $j$  was chosen uniformly at random from  $\{1, \dots, q_v\}$  (independent of everything else in the game) and if  $W_1$  occurs then we know that  $1 \leq i^* \leq q_v$ .
- We deduce that:

$$\Pr[W_2] \geq \Pr[j=i^* \mid W_1] \cdot \Pr[W_1] = \Pr[W_1] / q_v = \Pr[W_o] / q_v.$$

- Recall that  $\Pr[W_2]$  is  $B_2$ 's winning probability in the no-verify-oracle version of the SUF-CMA game.
- This construction of  $B_2$  and the above bound on its winning probability completes the proof.
- NB proof can be simplified a bit by assuming that  $A$  avoids “useless” verification queries.

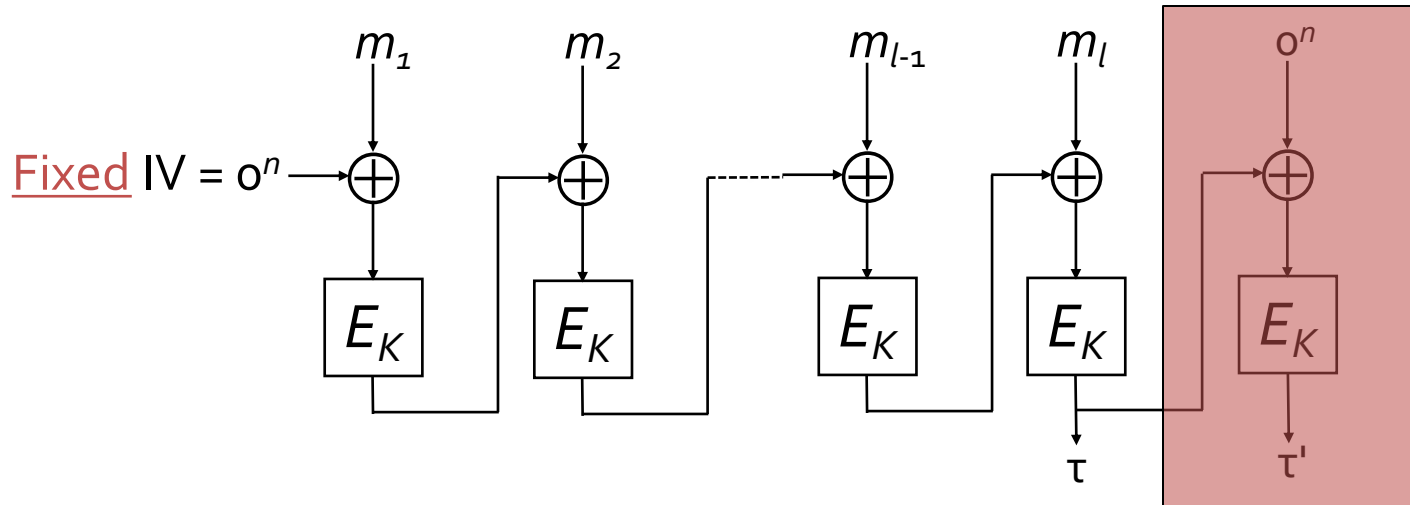
CBC-MAC and CMAC

# Basic CBC-MAC



- Let  $E$  be an  $n$ -bit block cipher with key  $K$ .
- Split message  $m$  into  $n$ -bit chunks  $m_1, \dots, m_l$  (may require padding).
- Compute  $\tau = \text{Tag}(K, m)$  from blocks  $m_1, \dots, m_l$  as in the picture.
- Vfy: standard algorithm (rerun Tag on  $(K, m)$  and compare to received tag  $\tau$ ).
- Plausible security based on pseudorandomness of CBC mode.
- Padding pitfalls: avoid zero-bit-padding (why?)

# CBC-MAC length extension attack



- If  $\tau = \text{Tag}(K, m)$ , then  $\text{Tag}(K, m \parallel 0^n) = \text{Tag}(K, \tau)$  !
- SUF-CMA attack: obtain  $\tau = \text{Tag}(K, m)$  using one tag oracle call, and then  $\tau' = \text{Tag}(K, \tau)$  using a second call.
- Can then output as a MAC forgery the pair  $(m \parallel 0^n, \tau')$ , breaking SUF-CMA security.
- Various other length-extension attacks are possible, see exercises.

# CBC-MAC

- However, CBC-MAC is provably SUF-CMA-secure if all messages  $m$  have the same length.
- We can also resolve length-related security issues using various tweaks:
  - Prefix-free encodings: for example, prepend the message length to the message before CBC-MAC.
    - Inconvenient for streaming applications: need to know message length before commencing tag computation.
  - Output truncation: shorten  $\tau$  to prevent “chaining” attacks.
  - Last block encryption: encrypt the last output block of the CBC chain under a separate key.
  - ISO 8731-1 and ISO/IEC 9797: combine OZ-padding + last block encryption + truncation.
  - CBC-MAC is quite widely used in financial industry.
  - Security proofs in Boneh-Shoup; we omit details.

# CMAC

- CMAC is a NIST standard for using AES or triple DES in CBC mode to build a MAC  
(<https://csrc.nist.gov/publications/detail/sp/800-38b/final>).
- Uses a variant of ECBC (CBC-MAC with last block encryption).
- Avoids addition of full block of padding for block-aligned data by using a two-key approach.
- Significantly more “fiddly” than other CBC-MAC variants we’ve looked at.
- Unclear if the additional complexity is worthwhile – greater likelihood of implementation errors, bugs in security proofs.
- Details follow.

# CMAC – Subkey generation

**Prerequisites:** block cipher  $E$  with block size  $n$ ; key  $K$ .

**Output:** subkeys  $K_1, K_2$ .

**Steps:**

1. Let  $L = E_K(0^n)$ .
2. If  $\text{MSB}_1(L) = 0$ , then  $K_1 = L \ll 1$ ; Else  $K_1 = (L \ll 1) \oplus R_n$ .
3. If  $\text{MSB}_1(K_1) = 0$ , then  $K_2 = K_1 \ll 1$ ; Else  $K_2 = (K_1 \ll 1) \oplus R_n$ .
4. Return  $K_1, K_2$ .

Here, for  $n = 128$ ,  $R_{128} = 0^{120}10000111$ .

NB Steps 2 and 3 correspond to multiplication of  $L$  (interpreted as a finite field element) by field elements  $X$  and  $X^2$  in  $\text{GF}(2^n)$ .



# CMAC – Padding

**Input:**  $m \in \{0,1\}^*$  and subkeys  $(K_1, K_2)$ .

**Output:** block-aligned message  $a = a_1 \parallel \dots \parallel a_v$ .

**Steps:**

1. If  $|m|$  is not a positive multiple of  $n$  then  $u \leftarrow |m| \bmod n$ .
  2. Partition  $m$  into a sequence of bit strings  $m_1, \dots, m_v$  so that  $m = m_1 \parallel \dots \parallel m_v$  and  $m_1, \dots, m_{v-1}$  are  $n$ -bit strings.
  3. If  $|m|$  is a positive multiple of  $n$  then  
    output  $m_1 \parallel \dots \parallel m_{v-1} \parallel (m_v \oplus K_1)$ ;  
    else  
    output  $m_1 \parallel \dots \parallel m_{v-1} \parallel ((m_v \parallel 10^{n-u-1}) \oplus K_2)$ .
- Here, we use  $K_1$  and  $K_2$  to “pad” the last blocks in different ways, according to whether the message was block-aligned or not.
  - This is a trick to avoid adding an extra block of padding in the block-aligned case.
  - $K_1$  and  $K_2$  being unknown to adversary makes task of finding colliding messages hard.

# CMAC – Tag algorithm

**Input:** key  $K$  for block cipher  $E$ , and message  $m \in \{0,1\}^*$ .

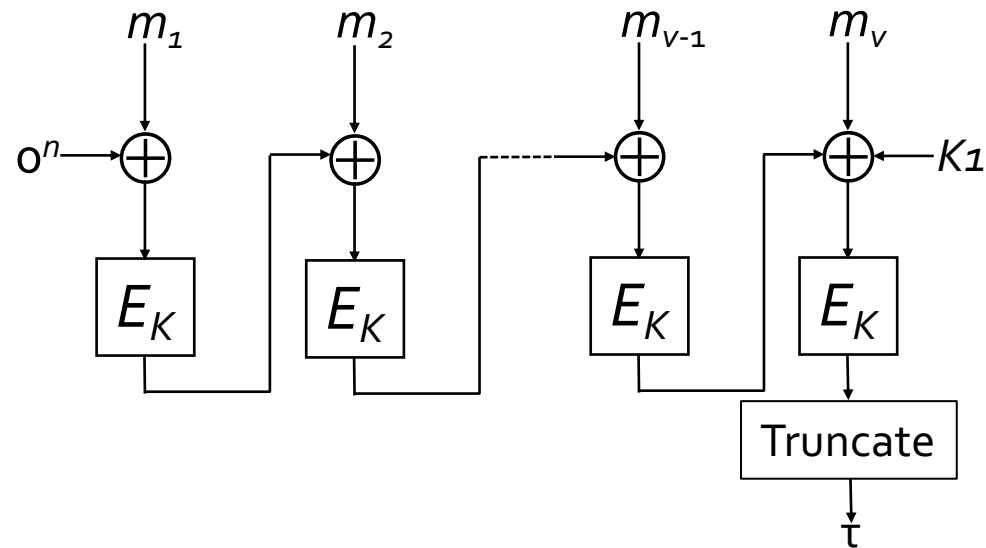
**Output:** a CMAC tag value  $\tau$ .

**Steps:**

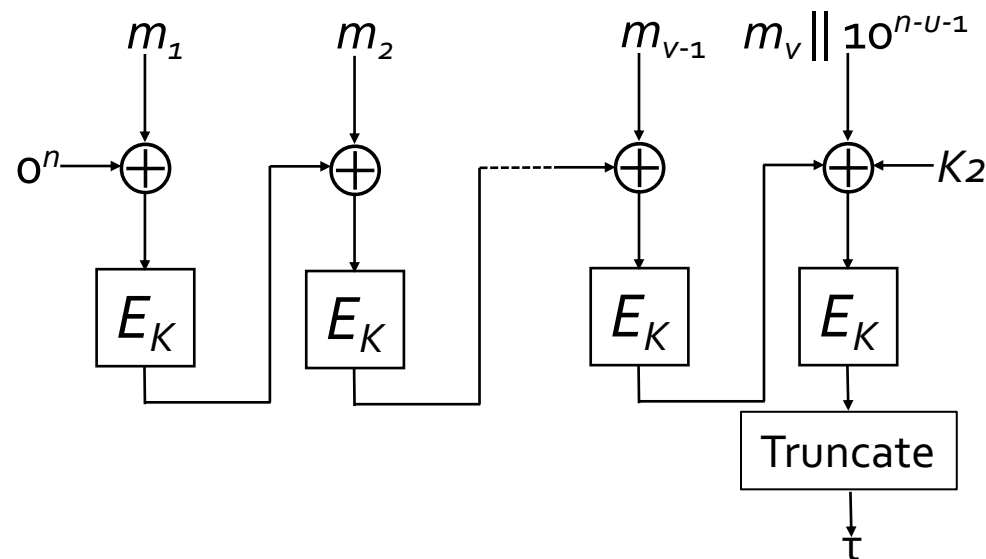
1. Generate subkeys  $K_1, K_2$ .
2. Perform CMAC padding on input  $m$  to produce block-aligned message  $a = a_1 \parallel \dots \parallel a_v$ .
3. Run standard CBC-MAC with key  $K$  and block cipher  $E$  on message  $a$ :  
     $w = 0^n$ ;  
    for  $i = 1$  to  $v$  do:  
         $w = E(K, w \oplus a_i)$
4. Output  $\tau = w[0, \dots, t-1]$     //  $t$  most significant bits of  $w$ .

# CMAC in a picture

Data  
block-aligned



Data not  
block-aligned



# CMAC – Implementation and security

- Cost of subkey generation can be amortised over many uses of Tag.
- Then cost is roughly one block cipher call per  $n$  bits under a fixed key – fast if AES-NI instructions available.
- Supports streaming-based computation.
- Security analysis under assumption that  $K, K_1, K_2$  are independent, random keys is not too difficult: use idea that XORs with  $K_1, K_2$  during padding create randomised, prefix-free encodings.
- Full analysis with actual  $K_1, K_2$  more complex: see *T. Iwata and K. Kurosawa, OMAC: One-key CBC MAC, FSE 2003*.
- NIST standard recommends using  $t \geq 64$ .