# Deep Learning of High-Order Interactions for Protein Interface **Prediction**

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### **ABSTRACT**

Protein interactions are important in a broad range of biological processes. Traditionally, computational methods have been developed to automatically predict protein interface from hand-crafted features. Recent approaches employ deep neural networks and predict the interaction of each amino acid pair independently. However, these methods do not incorporate the important sequential information from amino acid chains and the high-order pairwise interactions. Intuitively, the prediction of an amino acid pair should depend on both their features and the information of other amino acid pairs. In this work, we propose to formulate the protein interface prediction as a 2D dense prediction problem. In addition, we propose a novel deep model to incorporate the sequential information and high-order pairwise interactions to perform interface predictions. We represent proteins as graphs and employ graph neural networks to learn node features. Then we propose the sequential modeling method to incorporate the sequential information and reorder the feature matrix. Next, we incorporate high-order pairwise interactions to generate a 3D tensor containing different pairwise interactions. Finally, we employ convolutional neural networks to perform 2D dense predictions. Experimental results on multiple benchmarks demonstrate that our proposed method can consistently improve the protein interface prediction performance.

### CCS CONCEPTS

• Applied computing → Bioinformatics; Computational biology; • Computing methodologies  $\rightarrow$  Neural networks.

# **KEYWORDS**

protein interface prediction, graphs neural networks, structural information, sequential information, high-order pairwise interactions

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### INTRODUCTION

Protein interactions play an important role in biological processes. Interacted proteins form complicated protein networks known as protein complexes, which can perform a vast range of biological functions [43]. Protein interactions occur via interfaces, bonds of amino acids from different proteins. Locating protein interfaces requires to identify all amino acid pairs, which is an important yet challenging problem [17, 25]. Experimental identification is expensive and time-consuming [11]. Computational methods [2, 5, 21, 31, 35, 40] have been proposed to automatically predict protein interfaces. These methods focus on constructing handcrafted features from different domains, then applying conventional machine learning approaches for interface prediction.

Deep learning methods have shown great success on grid-like data such as texts [22?], images [23, 29, 36], and non-grid data such as graphs [13, 19, 42]. Following the success, recent studies [12, 37] propose to apply deep learning methods to learn features for amino acids and perform interaction predictions. Existing work [37] folds proteins into 4D grid-like data and employ 3D Convolutional Neural Networks (CNNs) for feature learning. This is followed by dense layers to determine whether the two amino acids interact with each other. However, the topological structure information is ignored by representing proteins as grid-like data. Such information is important to decide the inherent properties of amino acids and proteins. In addition, recent work [12] represents proteins as graphs, where nodes are amino acids and edges are affinities between nodes. Then it applies Graph Neural Networks (GNNs) to learn node features. For any amino acid pair, the node features are concatenated and a classifier is built based on these features. However, the original sequential information from amino acid chains is ignored when converting from proteins to graphs. In addition, existing studies predict each amino acid pair separately such that only the information from the input amino acid pair is considered. Due to the complex structure of proteins, the prediction of a amino acid pair may also depend on other amino acids. Such relations in known as

the high-order pairwise interactions while none of existing work explicitly incorporate them.

To overcome these limitations, we propose a novel framework to solve the protein interface prediction problem. Instead of identifying each amino acid pair independently, we formulate it as a 2D dense prediction problem, which predicts all possible pairs simultaneously. In addition, we propose a novel deep learning model to solve it. Similar to existing work [12], we also represent proteins as graphs and employ GNNs to aggregate neighborhood information and learn node features. To incorporate the sequential information of amino acid chains, we propose the sequential modeling to reorder the features and preserve original sequential information. Such a step also enables the use of convolution operations in the latter stage. Next, we construct the high-order pairwise feature interactions based on node features, resulting in a 3D tensor. For each location, it stores the feature interaction of the corresponding amino acid pair. Note that the sequential information is also preserved in this tensor. Then 2D CNNs are employed to extract high-level pairwise interaction patterns and make predictions for all pairs as a 2D dense prediction task. Furthermore, to address the data imbalance problem, we not only incorporate cross-protein amino acid pairs for training, but also involve in-protein amino acid pairs. We evaluate our methods on the three cross-protein docking and binding affinity benchmarks [15, 16, 39]. Experimental results show that our methods consistently outperform all state-of-the-art methods and achieve over 3% performance improvement. The results demonstrate the effectiveness of our proposed sequential modeling and high-order pairwise interaction method that incorporate both sequential information and high-order pairwise interaction patterns. Overall, our major contributions are summarized as follows:

- We propose a novel formulation for the protein interface prediction problem. We consider it as a 2D dense prediction problem, which is a structured prediction problem and predicts all amino acid pair simultaneously.
- We propose a novel deep learning method, which captures structural information from protein graphs, sequential information from original amino acid chains, and high-order pairwise interaction information between different amino acid pairs.
- We obtain the new state-of-the-art performance on three protein docking and binding affinity benchmarks. Experimental results show the effectiveness of our proposed sequential modeling and high-order pairwise interaction method.

### 2 RELATED WORK

Protein interface prediction has been studied intensively. To predict protein interfaces between protein complexes, two categories of methods have been proposed, those are, partner-independent prediction and partner-specific prediction [1]. The former is to predict whether there is an interaction between an amino acid in the given protein with any other protein [8, 20, 43]. The latter is to predict if there is an interaction between any two amino acids from two different proteins [25, 31]. Partner-specific prediction has been demonstrated to achieve better performance due to the use of interaction information in protein complexes [1, 2]. In this

work, we focus on the partner-specific prediction that we predict interactions between any two amino acids from different proteins.

There exist several families of techniques for partner-specific prediction. Template-based methods predict interfaces in a query complex by computing interface and structure similarities to a given template complex [38]. One limitation is that the prediction can be performed only when there exit template complexes. Docking methods [27, 32] typically predict several possible protein complexes from the given proteins, then use ranking criteria to decide the most native one. The interface is then identified after deciding the specific complex structure. Docking methods have shown similar performance with the template-based methods. Another big family is the machine learning-based methods. These methods focus on constructing features then use machine learning techniques for classification. The features are sourced from different domains. The used machine learning techniques include SVM [5] and random forests [34], etc.

The latest developed methods [12, 37] emphasize the representations of proteins and amino acids and have achieved the best reported performance. In the work [37], an amino acid is represented as 4D grid-like data. The first 3 dimensions are the spatial coordinates of all atoms in the amino acid and the last one indicates the types of atoms. Then 3D CNNs are employed for amino acid feature learning and dense layers are to predict if the two amino acids interact or not. The work [12] represents proteins as graphs and use GNNs to aggregate structural information, followed by the dense layers for binary classification. However, using dense layers to classify each amino acid pair independently neglects the important high-order pairwise interactions that the prediction of one amino acid pairs may depend on the information from other amino acid pairs. In addition, the former fails to consider topological structure information by representing proteins as grid-like data, whereas the latter does not incorporate sequential information by representing proteins as graphs which are order-invariant.

Most methods for protein interface prediction are evaluated on the family of Docking Benchmark (DB) datasets, which contain DB2 [24], DB3 [15], DB4 [16] and DB5 [39]. The first three are all the subsets of DB5, which is the largest and most recent benchmark dataset for interface prediction. It also contains the most labeled examples for the problem. There are 230 protein complexes in total, and the the number of labeled interacted amino acid pairs is 20875. All the proteins carry structural and sequential information. Currently, DB5 is the most popular dataset for protein interface prediction, like the ImageNet [30] in the computer vision domain. Before DB5 was generated, DB4 and DB3 were intensively used to evaluate different methods.

To overcome limitations in existing works, we propose an endto-end framework that incorporates structural and sequential information and high-order pairwise interaction patterns for protein interface prediction. We conduct experiments on three Docking Benchmark datasets to demonstrate the effectiveness of our proposed methods.

### 3 METHODS

The structural and sequential information are both important to determine the properties of proteins. However, existing work [12]

represents proteins as graphs which can only capture structural information but neglect the sequential information of the original amino acid chains. In addition, existing methods [12, 31, 37] classify each amino acid pair separately, through which only the information of input amino acid pair is considered. However, the prediction of an input amino acid pair may also depend on the information of other amino acid pairs. Such relations are known as high-order pairwise interactions, and none of existing work explicitly considers them. To incorporate all of structural information, sequential information, and high-order pairwise interactions, we propose a novel formulation for the protein interface prediction problem and a novel deep learning method to solve it.

## 3.1 Problem Formulation

A protein complex is composed of two proteins, known as the ligand protein and the receptor protein. Suppose the ligand protein has  $n_l$  amino acids and the receptor protein has  $n_r$  amino acids, there are  $n_l \times n_r$  possible amino acids pairs. Protein interface prediction problem aims at predicting if there exists an interaction within each amino acid pair. Following the existing work [12], we represent proteins as graphs, where nodes represent amino acids and edges indicate affinities between amino acids. Formally, we define the feature matrix of the ligand protein as  $\hat{H}^l = [\hat{\mathbf{h}}_1^l, \hat{\mathbf{h}}_2^l, \cdots, \hat{\mathbf{h}}_{n_l}^l] \in \mathbb{R}^{d \times n_l}$  and the feature matrix of the receptor protein as  $\hat{H}^r = [\hat{\mathbf{h}}_1^r, \hat{\mathbf{h}}_2^r, \cdots, \hat{\mathbf{h}}_{n_r}^r] \in \mathbb{R}^{d \times n_r}$  where d denotes that each node has a d-dimensional feature vector.

The existing work [12] formulates it as a binary classification problem that predicts the interaction between each node pair separately. Specifically, for the the *i*-th node in  $\hat{H}^l$  and the *j*-th node in  $\hat{H}^r$ , it concatenates the corresponding feature vectors  $\hat{\mathbf{h}}^l_i$  and  $\hat{\mathbf{h}}^r_j$ , then uses dense layers as a binary classifier to determine whether the two nodes interact with each other. However, such a formulation ignores high-order pairwise interactions that the interaction prediction of an amino acid pair may also depend on the information of other amino acid pairs. In addition, converting amino acids to graphs loses the sequential information of the original amino acid chains.

To address these issues, we incorporate the sequential information and formulate the protein interface prediction as a 2D dense prediction problem. First, given the node feature matrices  $\hat{H}^l$  and  $\hat{H}^r$ , we propose the sequential modeling (SM) to restore the sequential information, which results in the order-preserved node feature matrix  $H^l = [\mathbf{h}_1^l, \mathbf{h}_2^l, \cdots, \mathbf{h}_{n_l}^l] \in \mathbb{R}^{d \times n_l}$  for the ligand protein and  $H^r = [\mathbf{h}_1^r, \mathbf{h}_2^r, \cdots, \mathbf{h}_{n_r}^r] \in \mathbb{R}^{d \times n_r}$  for the receptor protein. Next, we propose the high-order pairwise interaction (HOPI) to generate a 3D tensor  $Q \in \mathbb{R}^{n_l \times n_r \times c}$  where each  $Q_{ij} \in \mathbb{R}^c$  denotes the feature combination of the i-th node in  $H^l$  and the j-th node in  $H^r$ . Finally, based on the tensor Q, the protein interface prediction problem predicts a 2D matrix  $O \in \{0,1\}^{n_l \times n_r}$ . Each element of O can be either 0 or 1. For location  $O_{i,j}$ , 1 means there exists an interaction between i-th amino acid of the ligand protein and j-th amino acid of the receptor protein, while 0 indicates there is no interaction. Since the predictions *O* is generated based on the whole tensor *Q*, both sequential information and high-order pairwise interactions are incorporated.

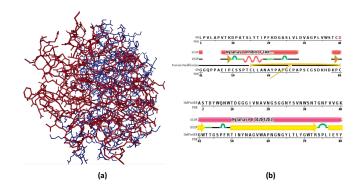


Figure 1: Structure view and sequence view of the protein complex 2B42. The protein complex contains two proteins, know as the ligand protein and the receptor protein. (a) is the structure view, where the red one denotes the ligand protein and the blue one denotes the receptor protein. (b) shows the first 80 amino acids in the amino acid sequence chains of the ligand protein and the receptor protein at the top and bottom, respectively. The figures are sourced from the Protein Data Bank website https://www.rcsb.org/ and [9, 28].

# 3.2 Sequential Modeling

Both structural and sequential information are important for studying properties of protein complexes. As shown in Figure 1, representing proteins as graphs can well-convey the structural information. It is a popular way since we can employ graph neural networks to pass, transform and aggregate structural information across graphs [41]. However, the sequential information from the original amino acid chains is lost when converting proteins to graphs because of order-invariant property of graphs. Such a sequential structure is the primary structure of a protein, which is unique to other proteins and defines important functions of the protein.

To overcome this limitation, we propose the sequential modeling to preserve the sequential information of the original input amino acid chains for a given protein. Formally, given an input protein with m amino acids, we first record the original sequential order set  $I=(1,2,\cdots,m)$ . Then we formulate it as graphs and map each node in the graph with the index in I. Next, we employ graph neural networks to learn node features, denoted as  $\hat{H}=[\hat{\mathbf{h}}_1,\hat{\mathbf{h}}_2,\cdots,\hat{\mathbf{h}}_m]\in\mathbb{R}^{d\times m}$ , where d is the dimension of a node feature vector. Then we reorder the feature matrix  $\hat{H}$  based on the order set I as

$$H = \operatorname{reorder}(\hat{H}, I) \in \mathbb{R}^{d \times m}$$
. (1)

Then the node feature vectors in the new feature matrix H has a consistent order with the original amino acid sequential order. In this way, feature matrix H successfully captures both structural information from the protein graph and the sequential information from the amino acid chains. We believe such a reordering operation helps capture complex inherent relationships among amino acids, thereby resulting in more accurate interface prediction.

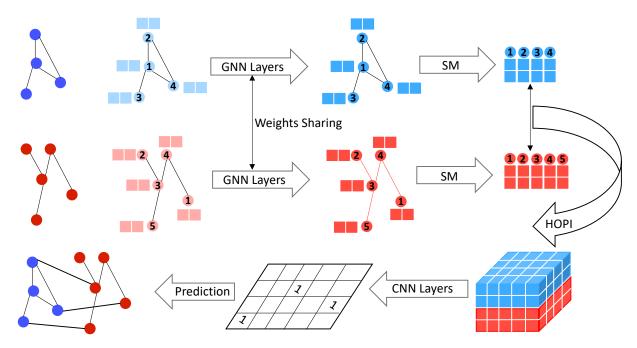


Figure 2: The overall architecture of our proposed methods. Given a ligand protein and a receptor protein, the task is to predict the interface between them. The two proteins are represented as graphs, where nodes represent amino acids and edges indicate affinities between nodes. Here we use the example that one graph contains 4 nodes and the other contains 5, and each node has 2 features. GNN layers are used to aggregate structural information and the weighs are shared by the two graphs. After that, sequential modeling (SM) is used to restore the sequential information from the original amino acid chains, resulting in two node feature matrices with the dimensions of  $2 \times 4$  and  $2 \times 5$ , respectively. Then the high-order pairwise interaction (HOPI) is performed on these two matrices to build pairwise interactions for any two amino acids from different proteins. Concatenation is used to build interactions between two amino acids. The achieved 3D tensor is with the dimension of  $4 \times 5 \times 4$  and stores in-protein structural and sequential information and cross-protein pairwise interactions. Then 2D CNN layers are used for dense prediction, which produces the output feature map with the dimension of  $4 \times 5$ . The pixel value of each pixel on the output is either 1 or 0 to indicate an interaction for the corresponding amino acid pair.

# 3.3 High-Order Pairwise Interactions

Protein interface prediction aims at determining interactions between two amino acids from different proteins. The protein structure is the 3D arrangements in amino acid chains and always folds into specific spatial conformations to enable biological functions [26]. It is possible that any two amino acids from different proteins can interact with each other. Existing methods [12, 31, 37] predict the interaction for each amino acid pair separately. One amino acid is picked from the ligand protein graph and the other is from the receptor protein graph. The features of the two amino acids are concatenated and passed to dense layers for binary classification. However, high-order context for amino acid pairs are ignored, which can help extract the important high-level interaction patterns. Hence, we propose the high-order pairwise interactions to learn complex interaction patterns for interface prediction.

Suppose we have the sequence-preserved node feature matrix  $H^l = [\mathbf{h}_1^l, \mathbf{h}_2^l, \cdots, \mathbf{h}_{n_l}^l] \in \mathbb{R}^{d \times n_l}$  for the ligand protein and  $H^r = [\mathbf{h}_1^r, \mathbf{h}_2^r, \cdots, \mathbf{h}_{n_r}^r] \in \mathbb{R}^{d \times n_r}$  for the receptor protein. We compute a third-order tensor  $Q \in \mathbb{R}^{n_l \times n_r \times c}$ . Each  $Q_{ij} \in \mathbb{R}^c$  in Q is the

transformation of  $\mathbf{h}_i^l$  and  $\mathbf{h}_j^r$ . It can be computed by either summation of  $\mathbf{h}_i^l$  and  $\mathbf{h}_j^r$  or the concatenation of  $\mathbf{h}_i^l$  and  $\mathbf{h}_j^r$ . The proposed HOPI allows the tensor Q to store structural information, sequential information, and inherent high-order pairwise interactions information. Then we employ convolutional neural networks (CNNs) to perform 2D dense predictions based on the tensor Q. Stacking several CNN layers extracts high-level pairwise interaction patterns from a region containing a subsequence from the ligand protein, a subsequence from the receptor protein, and inherent high-order pairwise interactions from the two subsequences. Finally, the output  $O \in \{0,1\}^{n_l \times n_r}$  indicates the interactions between any possible amino acid pairs. Note that the prediction of  $O_{i,j}$  depends not only on  $Q_{ij}$  but also on all feature interactions within its receptive field.

The overall architecture is illustrated in Figure 2. Given a ligand protein and a receptor protein, GNNs are used to pass, transform and aggregate the structural information in protein graphs. All GNN layers are shared by the two proteins. Then the proposed sequential modeling performs reordering to preserves the sequential information of the original amino acid chains for both proteins. Next, the proposed high-order pairwise interaction method produce a 3D tensor containing feature interactions for all amino acid pairs.

Finally, the tensor is passed to 2D CNNs for 2D dense prediction. The output map contains interaction predictions for all amino acid pairs. Note that any modern CNN architecture such as ResNet [14], UNet [29] or DeepLab [6] can be flexibly integrated into our framework to perform dense prediction and the whole system can be trained end-to-end.

# 3.4 Graph Neural Networks

We employ graph neural networks to aggregate structural information. Suppose a node  $\mathbf{h}_i$  in the protein graph has n nodes in its neighborhood. The neighboring node feature matrix is  $H_i \in \mathbb{R}^{d^N \times n}$ , and the neighboring edge feature matrix is  $E_i \in \mathbb{R}^{d^E \times n}$ , where  $d^N$  is the dimension of node feature vectors and  $d^E$  is the dimension of edge feature vectors. We first aggregate both node and edge features from neighborhood as

$$Z_i = \tanh(W^N H_i + W^E E_i) \in \mathbb{R}^{d^N \times n}, \tag{2}$$

where  $W^N \in \mathbb{R}^{d^N \times d^N}$ ,  $W^E \in \mathbb{R}^{d^N \times d^E}$ , and  $tanh(\cdot)$  is an element-wise operation.  $W^N$  and  $W^E$  are used to perform linear transformation on the neighboring node features and edge features, respectively. The node feature matrix  $H_i$  and edge feature matrix  $E_i$  can be treated as a set of node vectors  $[\mathbf{h}_{i1}, \cdots, \mathbf{h}_{ij}, \cdots, \mathbf{h}_{in}]$  and a set of edge vectors  $[\mathbf{e}_{i1}, \cdots, \mathbf{e}_{ij}, \cdots, \mathbf{e}_{in}]$ , respectively. Note that node vectors in  $H_i$  and edge vectors in  $E_i$  are in a consistent order. An edge  $\mathbf{e}_{ij}$  links the center node  $\mathbf{h}_i$  to the corresponding node  $\mathbf{h}_{ij}$ . The achieved matrix  $Z_i$  aggregates information from both nodes and edges within the neighborhood. Each vector  $\mathbf{z}_{ij} \in \mathbb{R}^{d^N}$  contains information from the node  $\mathbf{h}_{ij}$  and the edge  $\mathbf{e}_{ij}$ .

We use two methods to transform neighborhood information to the center node. The first one is to simply perform average on all neighboring vectors  $\mathbf{z}_{ij}$ ,  $j=1,\cdots,n$ , namely neighborhood average (NeiA). Another approach is neighborhood weighted average (NeiWA), which essentially assigns relatively larger weights to these important vectors while smaller weights to the ones that are less important, then performs the weighted average on the neighboring nodes and edges. We introduce the two approaches below.

3.4.1 Neighborhood Average. For a node  $\mathbf{h}_i$  in the protein graph, the output of a GNN layer with NeiA is computed as

$$\hat{\mathbf{h}}_i = \mathbf{h}_i + \frac{1}{n} Z_i \mathbf{1}_n \in \mathbb{R}^{d^N}, \tag{3}$$

where  $\mathbf{1}_n \in \mathbb{R}^n$  denotes a vector of all ones of dimension n. Essentially, we perform average across all the vectors in  $Z_i$ , and the final output is obtained by adding it with the residual identity map of the input.

3.4.2 Neighborhood Weighted Average. It is natural to consider that not all entries in  $Z_i$  contribute equally when aggregating neighboring information. We want to grant larger weights to these important node and edges for the center node. Formally, for a given node  $\mathbf{h}_i$ , the node-wise forward propagation of a GNN layer with NeiWA is computed as

$$\mathbf{a} = \operatorname{softmax}(Z_i^T \mathbf{q}) \in \mathbb{R}^n, \tag{4}$$

$$\hat{\mathbf{h}}_i = \mathbf{h}_i + \frac{1}{n} Z_i \mathbf{a} \in \mathbb{R}^{d^N}, \tag{5}$$

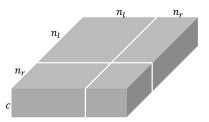


Figure 3: An illustration of incorporating in-protein pairwise information to the tensor Q.  $n_l$  denotes the number of nodes in the ligand protein and  $n_r$  denotes the number of nodes in the receptor protein. c is the number of channels. The  $n_l \times n_l$  patch stores in-protein structural and sequential information and in-protein pairwise interactions in the ligand protein. The  $n_r \times n_r$  patch is similar for the receptor protein. Two  $n_l \times n_r$  patches store in-protein structural and sequential information and cross-protein pairwise interactions.

where  $\mathbf{q} \in \mathbb{R}^{d^N}$  is a trainable vector which is trained during the whole training process, and softmax $(\cdot)$  is an element-wise softmax operation. Basically, projection from each vector in  $Z_i$  to the trainable vector  $\mathbf{q}$  is performed to compute the weight vector  $\mathbf{a}$ , in which each entry is the importance score for the corresponding vector in  $Z_i$ . After this, the weighted average is performed on  $Z_i$  to aggregate information from more informative nodes and edges to the center node. The final output is achieved by adding back the input node features.

# 3.5 Incorporating In-Protein Pairwise Interactions

Protein interface prediction is to determine whether there are interactions between amino acids from two different proteins. Essentially, it investigates cross-protein pairwise interactions. The interactions can be partly determined by features and inherent properties of amino acids in both proteins. We name an interacted amino acid pair as a positive sample and a non-interacted pair as a negative sample. Generally, the number of positive samples is significant less than that of the negative samples in a protein complex. Hence, it causes the data unbalance problem. To address this issue, we propose to incorporate in-protein interaction information to increase the number of positive examples, and hence improve the predictions of cross-protein interactions.

Specifically, we propose to use HOPI to capture both in-protein and cross-protein pairwise interactions. Given the sequence-reserved node feature matrix  $H^l \in \mathbb{R}^{d \times n_l}$  for the ligand protein and  $H^r \in \mathbb{R}^{d \times n_r}$  for the receptor protein, the achieved tensor Q is expanded to the size of  $(n_l + n_r) \times (n_l + n_r) \times c$ . An illustration of the tensor Q is provided in Figure 3. Either of the two regions  $n_l \times n_l$  and  $n_r \times n_r$  contains in-protein structural and sequential information, and inprotein pairwise interactions. The two regions  $n_l \times n_r$  are same as those introduced in Section 3.3, which contain cross-protein interactions. 2D CNNs are performed such that in-protein structural and sequential information, in-protein pairwise interactions, and

cross-protein pairwise interactions are all captured for interface prediction.

# 3.6 Training Strategies

We define a training sample as a pair of amino acids and is labeled by their interaction. All samples in a protein complex can be treated as a sub-epoch. During one iteration, the network is trained on a part of samples in a protein complex. In this way, two subgraphs on the ligand and the receptor graphs are updated when aggregating neighboring structural information using GNNs. A small patch is updated on the tensor  $\boldsymbol{Q}$  when performing dense prediction using CNNs. A sub-epoch is finished when all training samples in one graph complex are used. And an epoch is finished when all training samples in all graph complexes in the dataset are passed to the network.

When considering the cross-protein pairwise interactions only, a common situation is that the number of positive samples is much less than that of the negative samples in a protein complex. Downsampling on the negative samples is usually required to reach a reasonable predefined positive-negative (PN) ratio, which allows the training of the network. However, all negative samples are kept in the prediction phase. One way for data augmentation is to incorporate in-protein pairwise interactions. By doing this, some inprotein positive samples could be added in the training process. This is expected to compensate the small PN ratio in the cross-protein pairwise interactions.

## 4 EXPERIMENTAL STUDIES

### 4.1 Datasets

We use three datasets to evaluate our proposed methods. They all come from the popular Docking Benchmarks, which include several protein-protein docking and binding affinity benchmarks. The first one is generated from Docking Benchmarks version 5 (DB5) [12, 39]. It is the largest and the most recent dataset which contains complicated protein structures for protein interface prediction. The dataset was originally split into training, validation and test sets [12, 31, 37]. The training set contains 140 complexes, the validation set contains 35, and the test set contains 55 complexes. Each complex is a pair of ligand and receptor proteins. A data sample is a pair of amino acids from different proteins and their interaction. The total number of positive samples in the dataset is 20857, which is much less than the number of negative samples. The PN ratio is around 1:1000. The Docking Benchmark version 4 (DB4) [16] and Docking Benchmark version 3 (DB3) [15] are two subsets of the DB5. The DB4 contains 175 complexes and 16004 positive samples, and the DB3 contains 127 complexes and 12335 positive samples in total.

All dataset are mined from the Protein Data Back [4]. Each protein has original sequential information from amino acid chains. The numbers of amino acid in protein sequences ranges from tens to thousands. The protein structures are obtained from X-ray crystallography or biological mutagenesis experiments [1]. In protein graphs, nodes are amino acid and edges are affinities between nodes. both nodes and edges contain features from protein structures and sequences.

We use the same node features as in [1, 12]. The node features are computed based on different properties of amino acid. The

residue depth is defined as the minimal distance for an amino acid to the protein's surface. It's normalized in the range from 0 to 1 and has been demonstrated to carry valuable information for amino acid interactions. The amino acid composition defines the count of a specific amino acid in the direction and opposite direction of the side chain for the amino acid of interest. The threshold along two directions is the minimal atomic distance of 8A. The amino acid composition varies dramatically among amino acids, which is vital to determine the properties of an amino acid. The protrusion index for an amino acid is a collection of statistics of protrusion values for all atoms along its side chain. These features deliver important inherent structural information and properties for the amino acid of interest. They are combined and concatenated together in a consistent order, which results in the total number of node features to be 76. We use the same edge features as in the work [12]. Each edge feature vector contains 2 features. One is the normalized distance between two amino acids and the other is the angle between two normal vectors for the two amino acid planes.

Recently a larger dataset Database of Interacting Protein Structures (DIPS) is created by [37]. An amino acid is represented as 4D grid-like data, which contains spatial information at the atom level and types of all atoms in the amino acid. However, the structural information is not considered in the dataset, thus the proteins can not be represented as graphs.

### 4.2 Baselines

The baseline methods could be grouped into three categories, these are, the state-of-the-art conventional machine learning method BIPSPI [31], the CNN-based method SASNet[37] and the GNN-based methods DCNN [3], NGF [10], DTNN [33] and NEA [12]. Particularly, the GNN-based baselines use different graph neural architectures for node feature learning, but use the same dense layers as binary classifiers to predict the interaction for each pair of amino acid separately.

**BIPSPI** is the abbreviation for xgBoost Interface Prediction of Specific-Partner Interactions. The method combines both structure and sequence features and uses Extreme Gradient Boosting [7] with a novel scoring function for protein interface prediction.

**SASNet** is the Siamese Atomic Surfacelet Network, which uses only spatial coordinates and types of all atoms in amino acids and voxelizes all amino acids into a 4D-grid manner. The first three dimensions deliver the spatial information of an amino acid and the last dimension is the one-hot representation of types for all atoms in the amino acid. The paired two amino acid representations are then passed to 3D CNN with weights sharing, followed by concatenation operation and dense layers for binary classification to decide whether the two amino acids interact with each other.

**DCNNs** is diffusion-convolutional neural networks for graph-structured data applying diffusion-convolution operators k times (k-hops) for node feature learning. A diffusion-convolution operator scans a diffusion process for each node. For a node of interest, k diffusion-convolution operators gather information from all nodes that each of those can connect to the node of interest through k steps. Then several dense layers are used as a binary classifier to predict the interactions of two nodes.

NGF is the commonly used graph convolutional networks, which

first aggregates node information in neighborhood by multiplying the adjacency matrix to the node feature matrix, and then performs linear transformations on node features, followed by a nonlinear function for node feature learning.

**DTNN** is deep tensor neural networks, which aggregates both node and edge information in neighborhood. Linear transformations are applied to node features and edge features separately. After that, element-wise multiplication on the corresponding node features and edge features is performed to achieve the final feature vector for the node of interest. Intuitively, edges serve as gates to help control information from the corresponding nodes.

**NEA** is Node and Edge Average, the state-of-the-art GNN-based method on the used DB5 dataset. Similar as **DTNN**, it performs aggregation and linear transformation on both nodes and edge features in neighborhood. Then node and edge features are averaged and summed together, followed by a residual connection and nonlinear activation to generate node features.

# 4.3 Experimental Setup

We use the same data splitting as in all the baseline methods [12, 31, 37] for the DB5 datasets. For the DB4 and DB3 datasets, we first randomly split each dataset with a ratio of 6:2:2 for the training, validation and test samples, then fix the splitting in all experiments. We first only consider the cross-protein pairwise interactions for training. Similar to the work [12], we keep all the positive examples and perform down-sampling on the negative samples, resulting in the PN ratio of 1:10 during the training phase. We maintain the original PN ratio in the validation and test phases.

For three GNN-based baselines, different numbers of GNN layers are designed and explored in [12]. We also conduct experiments using the same numbers of GNN layers for fair comparisons. Our proposed GNN layer has two variants, neighborhood average and neighborhood weighted average. We conduct experiments on both for clear comparisons. For high-order pairwise interaction, we perform concatenation on the feature vectors of two nodes from different proteins. Several residual blocks are employed for dense prediction. A residual block contains two 2D convolutional layers, the first of which is followed by ReLU as the activation function. The number of intermediate channels of the first convolutional layer is set as a hyperparameter. The identity map of the input is summed to the output of the second convolutional layer, followed by ReLU to generate the final output.

We use the grid search to tune hyperparameters. The search space for all hyperparameters is provided in Table 1. Adam Optimizer [18] is employed for training and ReLU is used as the activation function. Each experimental setting is conducted to run 10 times with different random seeds. All hyperparameters are tuned based on the validation set. Optimal hyperparameters are tuned on one run and used across all the 10 runs.

As positive examples and negative examples are not balanced, we use Receiver operating characteristic (ROC) curve for evaluation. Specifically, we calculate Area Under the ROC Curve (AUC) based on the ROC curve for each complex. Then median AUC (MedAUC) for all the complexes in the test sets is used to evaluate the performance of different models. The used MedAUC can grantee very

Table 1: The search space for hyperparameters.

Hyperparameters	Search Space	
# of the Res. Blocks	3, 4, 5	
# of Intermediate Channels	128, 192, 256	
Learning Rate	e-1, 1e-2, 5e-3, 1e-3	
Batch Size	32, 64, 128	
# of Epochs	50, 80, 100	
Weight Decay	1e-3, 1e-4, 1e-5	
Dropout	0.3, 0.5, 0.8	

Table 2: Comparison among different models in terms of MedAUC. All the GNN-based methods apply one GNN layer for fair and convenient comparison. For the DB5 dataset, results for all the baselines are directly reported from the papers [12, 37]. The best performance is in bold.

Method	DB5	DB4	DB3
BIPSPI	0.878 (0.003)	0.882 (0.004)	0.891 (0.016)
SASNet	0.876 (0.037)	0.866 (0.025)	0.862 (0.011)
DCNN	0.828 (0.018)	0.843 (0.022)	0.858 (0.015)
NGF	0.865 (0.007)	0.879 (0.017)	0.867 (0.016)
DTNN	0.867 (0.007)	0.868 (0.013)	0.883 (0.008)
NEA	0.876 (0.005)	0.884 (0.009)	0.881 (0.014)
NeiA+HOPI	0.902 (0.012)	0.916 (0.014)	0.910 (0.009)
NeiWA+HOPI	0.908 (0.019)	0.921 (0.018)	0.913 (0.013))

large or very small proteins will not have dramatic effects on the performance on the whole dataset.

# 4.4 Results

4.4.1 Performance Study. We apply both variants of our GNN layers with the same sequential modeling and high-order pairwise interaction methods, denoted as NeiA+HOPI and NeiWA+HOPI, respectively. In this section, we compare our approaches with several baselines in terms of MedAUC on the three datasets. We fix the number of GNN layers for all GNN-based methods to be 1 for convenient comparisons, and the results are reported in Table 2. Note that for all baseline approaches on the DB5 dataset, we report the results taken from papers [12, 37]. As the results for DB4 and DB3 are not reported in the related papers, we use the same data splitting and run experiments for all methods. All experiments run 10 times with random seeds. The average and the standard deviation of testing MedAUCs across the 10 runs are reported.

We can observe that our proposed approaches outperform all the baselines significantly. Specifically, the performance of our NeiA+HOPI is 2.6%, 3.2%, 2.9% higher than the previous best GNN-based method NEA method over three datasets. Surprisingly, our proposed NeiWA+HOPI outperforms NEA by a larger margin of 3.2%, 3.7% and 3.2% on the three datasets, respectively. The NeiA+HOPI and NeiWA+HOPI also exhibit considerable improvement compared with the conventional machine learning method BIPSPI and CNN-based method SASNet. Note that the main difference of the NeiA+HOPI compared with NEA is the use of our proposed SM and HOPI methods. We preserve the original sequential information in

Table 3: Comparison among the GNN-based methods in terms of MedAUC on the DB5 dataset. The number of GNN layers varies from 1 to 4. For all baseline methods, We report the results taken from the paper [12]. The best performance is in bold.

Method	Number of GNN Layers			
Method	1	2	3	4
NGF	0.865 (0.007)	0.871 (0.013)	0.873 (0.017)	0.869 (0.017)
DTNN	0.867 (0.007)	0.880 (0.007)	0.882 (0.008)	0.873 (0.012)
Node and Edge Average	0.876 (0.005)	0.898 (0.005)	0.895 (0.006)	0.889 (0.007)
NeiA+HOPI	0.902 (0.012)	0.919 (0.015)	0.921 (0.009)	0.915 (0.009)
NeiWA+HOPI	0.908 (0.019)	0.930 (0.016)	0.924 (0.011)	0.914 (0.013)

proteins and use CNNs to capture the high-level pairwise interaction patterns. The superior performance of the NeiA+HOPI demonstrates the effectiveness of our proposed SM and HOPI methods. Different from the GNN-based methods, SASNet uses 3D convolution layers for feature extraction and then applies dense layers for binary classification. It leverages 3D spatial information of amino acids at the atom level but ignores the structural information. Our methods explicitly consider the structural and sequential information and high-order pairwise interactions, thereby leading to much better performance for protein interface prediction.

The four GNN-based methods use the same dense layers for binary classification but differ in graph neural architectures. Compared with NGF and DTNN, NEA incorporates additional edge information from neighborhood. DTNN performs element-wise multiplication but NEA performs summation over a node feature matrix and the corresponding edge feature matrix. Our methods make use of the information from edges by adding it to node features for powerful node representations. Basically, NEA computes the feature vector for the node of interest by averaging nodes and edges from its neighborhood. The assumption here is that all nodes and edges contribute equally to the center node. The NeiWA+HOPI selects more important nodes and edges by assigning larger weights to them, resulting in a slight improvement in performance compared with the NeiA+HOPI.

Table 4: Performance of incorporating in-protein pairwise interactions on the DB5 dataset. The original NeiA+HOPI and NeiWA+HOPI methods without in-protein pairwise interactions serve as baselines. 'w/o' denotes 'without' and 'w' denotes 'with'.

Method	Ratio	MedAUC
NeiA+HOPI w/o in-protein	1:10	0.902 (0.012)
	1:7	0.911 (0.017)
NeiA+HOPI w in-protein	1:5	0.910 (0.017)
	1:3	0.901 (0.014)
	1:1	0.896 (0.013)
NeiWA+HOPI w/o in-protein	1:10	0.908 (0.019)
NeiWA+HOPI w in-protein	1:7	0.915 (0.021)
	1:5	0.913 (0.017)
	1:3	0.910 (0.018)
	1:1	0.898 (0.013)

4.4.2 Comparison with GNN-based Methods. One GNN layer can incorporate 1-hop information from neighborhood to node features. Stacking k GCN layers is capable of enlarging receptive fields by aggregating k-hops information. It's suggested that applying several GCNs layers can improve the interface prediction for some graph neural architectures [12]. To explore such properties in our models and provide fair comparisons, we apply different numbers of GNN layers and conduct experiments on the DB5 dataset. The results are reported in Table 3. We can observe from the table that our methods achieve the best performance despite the number of GNN layers. This again demonstrates the effectiveness of our proposed SM and HOPI methods. Note that the other three GNN-based methods give better results when the number of GNN layers increases to 2 and 3, but start to harm the performance when it reaches 4. Consistent observations are shown in our models. Apparently, the model capacity of graph neural architectures can reach the upper bound but the proposed SM and HOPI help extract the sequential information and explore the inherent high-order pairwise interactions for accurate interface prediction.

4.4.3 Affect of In-Protein Pairwise Interactions. As the number of positive examples is relatively small in cross-protein amino acid pairs, we conduct experiments on the DB5 dataset and add some positive in-protein pairs in the training process. We keep the number of positive cross-protein pairs unchanged. For each complex, we randomly select the same number of positive examples in the ligand protein and the receptor protein. The final PN ration is set to be 1:7, 1:5, 1:3 and 1:1, respectively. The experimental results are shown in Table 4. We can observe from the results that the performance increases when adding positive in-protein examples and making the PN ratios to be 1:7 and 1:5. When more positive in-protein examples are added for training and the PN ratio reaches 1:1, the performance starts to decrease and becomes worse than that without in-protein pairs. This indicates that the inherent properties of amino acids may affect the interactions between them. These inprotein interactions are beneficial to the prediction of cross-protein interactions. However, when the in-protein interactions become dominant through adding too much positive in-protein examples, the prediction of cross-protein interactions is somehow interfered and harmed.

### 5 CONCLUSION

We study protein interface prediction. The latest state-of-the-art method represents proteins as graphs, but fails to consider sequential information from amino acid chains. We propose a novel model to incorporate both structural and sequential information, and highorder pairwise interactions for accurate interface prediction. We generate a 3D tensor to store these information. The output is adapted to a 2D map containing interactions for all amino acid pairs. The task becomes a 2D dense prediction task, where 2D convolutional neural networks are employed to learn high-level interaction patterns. We evaluate our methods over different datasets. The experimental results demonstrate the effectiveness of our proposed approach.

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