

# Fine Grained Analysis of Algorithms and Data Structures

(Análisis fino para algoritmos y estructuras de datos)

Jérémy Barbay

`jeremy@barbay.cl`

[2016-09-26 Mon]–[2016-09-30 Fri]@Quito

## Abstract

The adaptive analysis of algorithms remedy to the pessimism of the standard worst-case analysis of algorithms by considering other parameters than the input size, in order to better capture the difficulty of the input instance. Developed since the 70's, such an analysis technique has become even more relevant nowadays as the size of data explodes, and with it the gap between the worst case performance and the typical one. We give an introduction of this technique by revisiting classical problems through this analysis, discuss the relations between this analysis technique with output sensitivity and parameterized complexity, and present some preliminary work on problems for which such an analysis seems promising, such as Searching in sorted arrays, Sorting, computing the Convex Hull, computing Optimal Prefix Free Codes (Huffman), etc...

After taking this course, the student should be able to

- ▶ mention some **examples of fine grained analysis** of some computational complexity;
- ▶ **identify the potential** of a fine grained analysis in a problem; and
- ▶ **perform a fine grained analysis** of the complexity of some simple problems.

# Outline

Fine Grained  
Analysis

Jérémy Barbay

## A Finer Analysis

Hanoi Tower

## A Finer Analysis

Hanoi Tower

## Computational Geometry

Instance Optimal Convex Hull

Other Examples in Computational Geometry

Tareas

## Computational Geometry

Instance Optimal Convex  
Hull

Other Examples in  
Computational Geometry  
Tareas

## Searching

## Searching

## Input Order: Sorting

Input Order:  
Sorting

## Other Problems and Wrapup

Other Problems  
and Wrapup

## A Finer Analysis

Hanoi Tower

## A Finer Analysis

Hanoi Tower

## Computational Geometry

Instance Optimal Convex Hull

Other Examples in Computational Geometry

Tareas

## Computational Geometry

Instance Optimal Convex  
Hull

Other Examples in  
Computational Geometry  
Tareas

## Searching

## Searching

## Input Order: Sorting

Input Order:  
Sorting

## Other Problems and Wrapup

Other Problems  
and Wrapup



# Outline

Fine Grained  
Analysis

Jérémy Barbay

A Finer Analysis

Hanoi Tower

A Finer Analysis

Hanoi Tower

Computational Geometry

Instance Optimal Convex Hull

Other Examples in Computational Geometry

Tareas

Computational  
Geometry

Instance Optimal Convex  
Hull

Other Examples in  
Computational Geometry  
Tareas

Searching

Searching

Input Order: Sorting

Input Order:  
Sorting

Other Problems and Wrapup

Other Problems  
and Wrapup

1. ☐ Repaso de Covertura Convexa

- ▶ Graham's scan  $O(n \lg n)$  [Graham, 1972]
- ▶ Gift Wrapping  $O(nh)$
- ▶ Chan  $O(n \lg h)$  [Chan, 1996]

2. ☐ Kirkpatrick and Seidel  
[Kirkpatrick and Seidel, 1986]

- ▶ Median  $O(n)$
- ▶ Dominating Edge  $O(n)$
- ▶ Convex Hull  $O(n \lg h)$

3. ☐ Afshani et al [Afshani et al., 2009]

- ▶ Certificate
- ▶ Lower Bound
- ▶ Upper Bound

A Finer Analysis

Hanoi Tower

Computational  
GeometryInstance Optimal Convex  
HullOther Examples in  
Computational Geometry  
Tareas

Searching

Input Order:  
SortingOther Problems  
and Wrapup



A Finer Analysis

Hanoi Tower

Computational  
Geometry

Instance Optimal Convex  
Hull

Other Examples in  
Computational Geometry

Tareas

Searching

Input Order:  
Sorting

Other Problems  
and Wrapup

1. ☐ Dominating Set -> Instance Optimal DS
2. ☐ MCS Trees -> MCS Splay Trees
3. ☐ Optimal Boxes -> Adaptive Optimal Boxes

## A Finer Analysis

Hanoi Tower

Computational  
GeometryInstance Optimal Convex  
HullOther Examples in  
Computational Geometry

Tareas

## Searching

Input Order:  
SortingOther Problems  
and Wrapup

1. ☐ Analyze disk pile problem in the worst case for  $n$  and  $\min_i n_i$  fixed.
2. ☐ Analyze disk pile problem in the worst case for  $n$  and  $\max_i n_i$  fixed.
3. ☐ Analyze variant of hanoi tower where the disk is removed and inserted in the middle [Barbay, 2016b]

# Outline

Fine Grained  
Analysis

Jérémy Barbay

## A Finer Analysis

Hanoi Tower

## A Finer Analysis

Hanoi Tower

## Computational Geometry

Instance Optimal Convex Hull

Other Examples in Computational Geometry

Tareas

## Computational Geometry

Instance Optimal Convex  
Hull

Other Examples in  
Computational Geometry  
Tareas

## Searching

## Searching

Input Order:  
Sorting

Input Order: Sorting

Other Problems  
and Wrapup

Other Problems and Wrapup

A Finer Analysis

Hanoi Tower

Computational  
Geometry

Instance Optimal Convex  
Hull

Other Examples in  
Computational Geometry  
Tareas

Searching

Input Order:  
Sorting

Other Problems  
and Wrapup

1. Binary Search -> Doubling Search
2. Binary Encoding -> Gamma Coding
3. (2,4) Search Trees -> Finger Search Tree
4. Splay Arboles
5. Sorted Merge -> Adaptive Sorted Merge
6. Sorted Intersection -> Adaptive Insertion

# Outline

Fine Grained  
Analysis

Jérémy Barbay

## A Finer Analysis

Hanoi Tower

## A Finer Analysis

Hanoi Tower

## Computational Geometry

Instance Optimal Convex Hull

Other Examples in Computational Geometry

Tareas

## Computational Geometry

Instance Optimal Convex  
Hull

Other Examples in  
Computational Geometry  
Tareas

## Searching

## Searching

## Input Order: Sorting

## Input Order: Sorting

## Other Problems and Wrapup

## Other Problems and Wrapup

A Finer Analysis

Hanoi Tower

Computational  
Geometry

Instance Optimal Convex  
Hull

Other Examples in  
Computational Geometry  
Tareas

Searching

Input Order:  
Sorting

Other Problems  
and Wrapup

1. Insertion Sort -> Local Insertion Sort
2. Heap -> Adaptive Priority queues
3. Heap sort -> Smooth Sort
4. Sorted Merge -> Adaptive Sorted Merge
5. Merge Sort -> Adaptive MergeSort

# Outline

Fine Grained  
Analysis

Jérémy Barbay

## A Finer Analysis

Hanoi Tower

## A Finer Analysis

Hanoi Tower

## Computational Geometry

Instance Optimal Convex Hull

Other Examples in Computational Geometry

Tareas

## Computational Geometry

Instance Optimal Convex  
Hull

Other Examples in  
Computational Geometry  
Tareas

## Searching

## Searching

## Input Order: Sorting

Input Order:  
Sorting

## Other Problems and Wrapup

Other Problems  
and Wrapup

## 1. Synergy Sorting [Barbay et al., 2016]

- ▶ Input Order

Local Runs

Global Pivot Positions

Input Structure Repetitions

- ▶ taking optimally advantage of both at the same time

## 2. Optimal Prefix Free Codes (Huffman's algorithm)

- ▶  $O(n \lg \alpha) \subset O(n \lg n)$  [Barbay, 2016a]
- ▶ OPEN PROBLEM: bounded length Prefix Free Codes
- ▶ OPEN PROBLEM: order restricted optimal prefix free codes (Hu Tucker)

## 3. Edit Distance

- ▶ Swap Insert [Barbay and Pérez-Lantero, 2015]
- ▶ OPEN PROBLEM: other Edit distances



# Similar work by the same Author



Afshani, P., Barbay, J., and Chan, T. M. (2009).

Instance-optimal geometric algorithms.

In *Proceedings of the Annual IEEE Symposium on Foundations of Computer Science (FOCS)*, pages 129–138. IEEE Computer Society.



Barbay, J. (2013).

From time to space: Fast algorithms that yield small and fast data structures.

In Brodnik, A., López-Ortiz, A., Raman, V., and Viola, A., editors, *Space-Efficient Data Structures, Streams, and Algorithms*, volume 8066 of *Lecture Notes in Computer Science (LNCS)*, pages 97–111. Springer.



Barbay, J. (2016a).

Optimal prefix free codes with partial sorting.

In Grossi, R. and Lewenstein, M., editors, *Proceedings of the Annual Symposium on Combinatorial Pattern Matching (CPM)*, volume 54 of *LIPIcs*, pages 29:1–29:13. Schloss Dagstuhl - Leibniz-Zentrum fuer Informatik.



Barbay, J. (2016b).

Selenite Towers Move Faster Than Hanoi Towers, But Still Require Exponential Time.

In Demaine, E. D. and Grandoni, F., editors, *Proceedings of the International Conference on Fun with Algorithms (FUN)*, volume 49 of *Leibniz International Proceedings in Informatics (LIPIcs)*, pages 5:1–5:20, Dagstuhl, Germany. Schloss Dagstuhl–Leibniz-Zentrum fuer Informatik.



Barbay, J., Aleardi, L. C., He, M., and Munro, J. I. (2012).

Succinct representation of labeled graphs.

*Algorithmica (ALGO)*, 62(1-2):224–257.



Barbay, J., Chan, T. M., Navarro, G., and Pérez-Lantero, P. (2013a).

Maximum-weight planar boxes in  $o(n^2)$  time (and better).

In *Proceedings of the Annual Canadian Conference on Computational Geometry (CCCG)*. Carleton University, Ottawa, Canada.



Barbay, J., Claude, F., Gagie, T., Navarro, G., and Nekrich, Y. (2014).

Efficient fully-compressed sequence representations.