# Groups, Rings, and Modules

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# 1 Review of IA Groups

#### 1.1 Definitions

We'll start with some simple definitions covered in IA Groups

**Definition.** A group is a *triple*,  $(G, \circ, e)$  consisting of a set G, a binary operation  $\circ$ :  $G \times G \to G$  and an identity element  $e \in G$  where we have the following three properties,

- $\forall a, b, c \in G, (a \circ b) \circ c = a \circ (b \circ c)$
- $\forall a \in G, a \circ e = e \circ a = a$
- $\forall a \in G, \exists a^{-1} \in G, a \circ a^{-1} = a^{-1} \circ a = e$

We say that the *order* of the group  $(G, \circ, e)$  is the size of the set G

**Proposition.** Inverses are unique.

*Proof.* Basic algebraic manipulation, covered in Part IA Groups.

**Definition.** If G is a group, then a subset  $H \subseteq G$  is a subgroup if the following hold,

- $e \in H$
- If  $a, b \in H$  then  $a \circ b \in H$
- $(H, \circ, e)$  forms a group.

Now we'll give simple test for a subset being a subgroup

**Lemma.** A non-empty subset, H, of a group G is a subgroup if and only if  $\forall h_1, h_2 \in H$  we have that  $h_1h_2^{-1} \in H$ 

Proof. Again covered in Part IA Groups

**Definition.** A group G is abelian if  $\forall g_1, g_2 \in G$  we have that  $g_1g_2 = g_2g_1$ 

Let's look at some examples of groups.

- The integers under addition,  $(\mathbb{Z}, +)$
- The integers modulo n under addition  $(\mathbb{Z}_n, +_n)$
- The rational numbers under addition  $(\mathbb{Q}, +)$
- The set of all bijections from  $\{1, \dots, n\}$  to itself with the operation given by functional composition,  $S_n$
- The set of all bijections from a set X to itself under functional composition is a group  $\operatorname{Sym}(X)$
- The dihedral group,  $D_{2n}$  the set of symmetries of the regular n-gon
- The general linear group over  $\mathbb{R}$ ,  $\mathrm{GL}(n,\mathbb{R})$ , is the set of functions from  $\mathbb{R} \to \mathbb{R}$  which are linear and invertiable. Or we can think of the group as the set of  $n \times n$  invertiable matrices under matrix multiplication. We can view this group as a subgroup of  $\mathrm{Sym}(\mathbb{R}^n)$

- The subgroup of  $S_n$  which are even permutations, so can be written as a product of evenly many transpositions,  $A_n$
- The subgroup of  $D_{2n}$  which are only the rotation symmetries which is denoted by  $C_n$
- The subgroup of  $GL(n,\mathbb{R})$  of matrices which have determinate 1 which is  $SL(n,\mathbb{R})$
- The Klein four-group, which is  $K_4 = C_2 \times C_2$ , the symmetries of the non-square rectangle
- The quaternions,  $Q_8$  with the elements  $\{\pm 1, \pm i, \pm j, \pm k\}$  with multiplication defined with  $ij = k, ji = -k, i^2 = j^2 = k^2 = -1$

#### 1.2 Cosets

**Definition.** Let G be a group and  $g \in G$ . Let H be a subgroup of G. The *left coset*, written as gH is the set  $\{gh : h \in H\}$ 

Some observations we can make are,

- Since  $e \in H$  we have that  $g \in gH$ . So every element is in some coset
- The cosets partition, so if  $gH \cap g'H \neq \emptyset$  then gH = g'H
- The function,  $f: H \to gH$  defined by f(h) = gh is a bijection, so all cosets are the same size

**Theorem.** (Lagrange's Theorem) If G is a finite group, then for a subgroup H of G, |G| = |H||G:H|, where |G:H| is the number of left cosets of H in G

*Proof.* Obvious from the observations we've just made.

**Definition.** Let G be a group, and take some element  $g \in G$ . We define the *order* of g as the smallest positive integer n, such that  $g^n = e$ . If no such n exists, we say the order of g is infinite. We denote the order by  $\operatorname{ord}(g)$ .

**Proposition.** Let G be a group and  $g \in G$ . Then ord(g) divides |G|

Proof. Let  $g \in G$ . Consider the subset,  $H = \{e, g, g^2, \dots, g^{n-1}\}$  where n is the order of g. We claim H is a subgroup.  $e \in H$  so H is non-empty. Observe that  $g^r g^{-s} = g^{r-s} \in H$  so we have that  $H \leq G$ . Elements are distinct since if  $g_i = g_j, i \neq j, 0 \leq i < j < n$  then gj - i = e which contradicts the minimality of n since  $0 \leq j - i \leq n$ . We have that |H| = n, so by Lagrange, |H| divides |G|.

#### 1.3 Normal subgroups

When does gH = g'H? Then  $g \in g'H$ , so we have that  $g'^{-1}g \in H$ . The converse also holds.

**Lemma.** For a group G with  $g, g' \in G$  and subgroup H we have that gH = g'H if and only if  ${g'}^{-1}g \in H$ 

*Proof.* In Part IA Groups

Let  $G/H = \{gH : g \in G\}$  be the set of left cosets. This partitions G. Does G/H have a natural group structure?

We propose the formula that  $g_1H \cdot g_2H = (g_1g_2) \cdot H$  for a group law on G/H.

We need to check well definedness of this proposed formula.

Case 1: Suppose that  $g_2H = g_2'H$ . Then  $g_2' = g_2h$  for some  $h \in H$ .  $(g_1H) \cdot (g_2'H) = g_1g_2'H$  by the proposed formula. By the previous relation this is  $g_1g_2hH = g_1g_2H$ .

Case 2: Suppose that  $g_1H = g'_1H$  we have that  $g'_1 = g_1h$  for some  $h \in H$ . We need  $g_1g_2H = \underbrace{g_1h}_{g'_1}g_2H$ . Equivalently we need that  $(g_1g_2)^{-1}g_1hg_2 \in H$ . Or equivalently still,

 $g_2^{-1}hg_2 \in H$  for all  $g_2$  and h. This the definition of normality.

**Definition.** (Normality) A subgroup  $H \leq G$  is normal if  $\forall g \in G, h \in H$ , we have that  $ghg^{-1} \in H$ 

If  $H \leq G$  is normal we write that  $H \triangleleft G$ .

**Definition.** (Quotient) Let  $H \triangleleft G$ . The quotient group is the set  $(G/H, \cdot, e = eH)$  where  $\cdot : G/H \times G/H \to G/H$  by  $(g_1H, g_2H) \to (g_1g_2)H$ .

**Definition.** (Homomorphism) Let G and H be groups. A homomorphism is a function  $f: G \to H$  such that for all  $g_1, g_2 \in G$  we have that  $f(g_1g_2) = f(g_1)f(g_2)$ 

This is a very constrained condition. For example  $f(e_G) = e_H$  always. To see this, observe  $e_G = e_G e_G$ , so we have that  $f(e_G) = f(e_G) f(e_G)$  so  $f(e_G) = e_H$  by multiplying by  $f(e_G)^{-1}$ .

**Lemma.** If  $f: G \to H$  is a homomorphism. Then  $f(g^{-1}) = f(g)^{-1}$ 

*Proof.* Calculate  $f(gg^{-1})$  in two ways. In the first way  $f(gg^{-1}) = f(e) = e$ , in the second way  $f(gg^{-1}) = f(g)f(g^{-1})$ . Equating gives that  $f(g^{-1}) = f(g)^{-1}$ .

**Definition.** Let  $f: G \to H$  be a homomorphism. The *kernal* of f is  $\ker f = \{g \in G: f(g) = e\}$ . The *image* of f is  $\operatorname{im} f = \{h \in H: h = f(g) \text{ for some } g \in G\}$ .

**Proposition.** Let  $f: G \to H$  be a homomorphism. Then  $\ker f \triangleleft G$  and  $\operatorname{im} f \leq H$ .

Proof. First let's proof that ker f is a subgroup by the subgroup test. Observe by the lemma that  $e \in \ker f$ . If  $x, y \in \ker f$ , then  $f(xy^{-1}) = f(x)f(y)^{-1} = e \implies xy^{-1} \in \ker f$ . For normality, let  $x \in G$  and  $g \in \ker f$ . Calculate  $f(xgx^{-1}) = f(x)f(g)f(x)^{-1}$ . But f(g) = e. So we just get the identity. Hence we have that  $xgx^{-1} \in \ker f$ . So  $\ker f \triangleleft G$ . To check that the im  $f \leq H$ , take  $a, b \in \operatorname{im} f$ , say that a = f(x), b = f(y). Then  $ab^{-1} = f(x)$  is a subgroup test. Observe by the lemma that f(xy) = f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma that f(x) = f(x) is a subgroup test. Observe by the lemma test f(x) = f(x) is a subgroup test. Observe by the lemma test f(x) = f(x) is a subgroup test. Observe by the lemma test f(x) = f(x) is a subgroup test. Observe by the lemma test f(x) = f(x) is a subgroup test f(x) = f(x) is a subgroup test. Observe by the lemma test f(x) = f(x) is a subgroup test. Observe by t

 $f(x)f(y)^{-1} = f(xy^{-1})$ . But  $xy^{-1} \in G$  so  $f(xy^{-1}) \in \operatorname{im} f$ . Also  $e \in \operatorname{im} f$ , so we have that  $\operatorname{im} f \leq H$ .

**Definition.** (Isomorphism) A homomorphism  $f: G \to H$  is an *isomorphism* if it is a bijection. Two groups are called *isomorphic* if there exists an isomorphism between them.

**Theorem.** (First isomorphism theorem) Let  $f: G \to H$  be a homomorphism. Then  $\ker f$  is normal, and the function  $\varphi: G/\ker f \to \operatorname{im} f$ , by  $\varphi(g \ker f) = f(g)$ , is a well-defined, isomorphism of groups.

Proof. Already shown  $\ker f \triangleleft G$ . Consider whenever  $\varphi$  is well-defined. Suppose that  $g \ker f = g' \ker f$ . Need to check  $\varphi(g \ker f) = \varphi(g' \ker f)$ . We know that  $gg'^{-1} \in \ker f$ , so  $f(g'g^{-1}) = e \iff f(g') = f(g)$ . To see that  $\varphi$  is a homomorphism:  $\varphi(g \ker fg' \ker f) = \varphi(gg' \ker f) = f(gg') = f(g)f(g') = \varphi(g \ker f)\varphi(g' \ker f)$ . So  $\varphi$  is a homomorphism.

Finally let's check  $\varphi$  is bijective. First for surjectivity, let  $h \in \operatorname{im} f$ , then h = f(g) for some  $g \in G$ . So we have that  $h = \varphi(g \ker f)$ .

Now for injectivity,  $\varphi(g \ker f) = \varphi(g' \ker f) \implies f(g) = f(g') \implies g'g^{-1} \in \ker f$ . Hence the cosets are the same by the coset equality criterion, so we have that  $g \ker f = g' \ker f$ , hence we have injectivity, so  $\varphi$  is an isomorphism.

For an example of this theorem, consider the groups  $(\mathbb{C},+)$  and  $(\mathbb{C}^*,\times)$  related by the homomorphism,  $\varphi(z)=e^z$ . The kernal of exp is exactly,  $2\pi i\mathbb{Z} \leq \mathbb{C}$ , so the first isomorphism theorem gives that  $\frac{\mathbb{C}}{2\pi i\mathbb{Z}} \cong \mathbb{C}^*$ . (Try to visualise this!)

**Theorem.** (Second isomorphism theorem) Let  $H \leq G$  and  $K \triangleleft G$ . Then  $HK = \{hk : h \in H, k \in K\}$  is a subgroup of G, the set  $H \cap K$  is normal in H, and  $\frac{HK}{K} \cong \frac{H}{H \cap K}$ .

*Proof.* We take the statements in turn. First we can see that HK is a subgroup. Clearly it contains the identity, and take some  $x,y\in HK$ , x=hk,y=h'k'. We will show that  $yx^{-1}\in HK$ . Observe that  $yx^{-1}=h'k'k^{-1}h^{-1}=h'(h^{-1}h)(k'k^{-1})h^{-1}=(h'h^{-1})h\underbrace{(k'k^{-1})}_{k''}h^{-1}$ . But

we have that  $hk''h^{-1} \in K$  by the normality of K, hence  $yx^{-1} \in HK$ . So we have that  $HK \leq G$ .

Now we prove that  $H \cap K \triangleleft G$ . Consider the homomorphism,  $\varphi : H \to G/K$ , defined as  $\varphi(h) = hK$ . This is a well defined homomorphism for the same reason that the group structure G/K is well-defined. The kernal of  $\varphi$ , is  $\ker \varphi = \{h : hK = K\} = \{h : h \in K\} = H \cap K \triangleleft G$ .

Now finally we're left to prove the isomorphism. Now apply the first isomorphism theorem to  $\varphi$ . This tells us that  $\frac{H}{\ker \varphi} = \frac{H}{H \cap K} \cong \operatorname{im} \varphi$ . The image of the  $\varphi$  is exactly those coests of K in G that can be represented as hK which is exactly  $\frac{HK}{K}$ .

**Theorem.** (Correspondence theorem). Consider a group G with  $K \triangleleft G$ , with the homomorphism  $p: G \to G/K$ , by p(g) = gK. Then there is a bijection between the subgroups of G which contain K and the subgroups of G/K.

*Proof.* For some subgroup L, we have  $K \triangleleft L \leq G$ , and we map L to L/K, so we have that  $L/K \leq G/K$ . In the reverse direction, for a subgroup  $A \leq G/K$ , we map it to  $\{g \in G : gK \in A\}$ .

We can think of this as taking  $L \to p(L)$  and  $p^{-1}(A) \leftarrow A$ .

Now we will state some facts without proof. (Although the proofs are fairly straightforward).

- This is a bijection.
- This correspondence maps normal subgroups to normal subgroups.

**Theorem.** (Third isomorphism theorem) Let K, L be normal subgroups of G with  $K \leq L \leq G$ . Then we have that  $\frac{G/K}{L/K} \cong \frac{G}{L}$ .

*Proof.* Define a map  $\varphi: G/K \to G/L$ , by  $\varphi(gK) = gL$ . First we'll show that  $\varphi$  is a well-defined homomorphism, then we'll calculate the image and kernal, and finally apply the first isomorphism theorem. To see well-definedness, if gK = g'K, then  $g'g^{-1} \in K \subseteq L$ , so g'L = gL, so  $\varphi$  is well-defined. Obviously a homomorphism.

The kernal of  $\varphi$  is  $\ker \varphi = \{gK : gL = L\} = \{gK : g \in L\} = L/K$ .  $\varphi$  is clearly surjective, so we conclude by the first isomorphism theorem that  $\frac{G/K}{L/K} \cong \frac{G}{L}$ .

**Definition.** (Simple groups) A group G is called *simple* if the only normal subgroups are G itself and  $\{e\}$ .

**Proposition.** Let G be an abelian group. Then G is simple if and only if  $G \cong C_p$ , for p prime.

Proof. If  $G \cong C_p$ , then any  $g \in G, g \neq e$  is a generator of G by Lagrange. Conversely if G is simple and abelian, then take some non-identity,  $g \in G$ , then  $\{g^n : n \in \mathbb{Z}\}$  is a subgroup, and because G is abelian, this subgroup is normal. Since  $g \neq e$ , we must have G is cyclic, generated by g. Now if G is infinitely cyclic, then  $G \cong \mathbb{Z}$ , which is not simple since  $2\mathbb{Z} \triangleleft \mathbb{Z}$ , so we can't have this. Therefore  $G \cong C_m$  for some  $m \in \mathbb{Z}_{>0}$ . Say g divides g, then the subgroup of g generated by  $g^{\frac{m}{q}}$  is a normal subgroup, so we must have that g is an ormal subgroup, so we must have that g is an ormal subgroup.

**Theorem.** (Composition series) Let G be a finite group. Then there exists subgroups such that,  $G=H_1 \triangleright H_2 \triangleright H_3 \triangleright \cdots \triangleright H_n=\{e\}$ , such that  $\frac{H_i}{H_{i+1}}$  is simple.

*Proof.* If G is simple then take  $H_2 = \{e\}$  and we're done. Otherwise, let  $H_2$  be a proper normal subgroup of maximal order in G. We claim that  $G/H_2$  is simple. To see this, suppose not and consider  $\varphi: G \to G/H_2$ . By non-simplicity and correspondence between normal

subgroups, we find a proper normal in  $G/H_2$  and therefore a proper normal  $K \triangleleft G$ . This leads to a contradiction as K contains  $H_2$  non-trivally, so we contradict maximality, so  $G/H_2$  is simple. Now we continue by replacing G with  $H_2$  and iterate the process. Either we get that  $H_2$  simple and we're done again, or we get find a proper normal subgroup  $H_3 \triangleleft H_2$  of maximal order. This process must terminate, since G is finite and the order is strictly decreasing in each step.

We know from Part IA groups that  $A_5$  is simple. We see a series like this for  $S_5$ , namely,  $S_5 \triangleright A_5 \triangleright \{e\}$ .

#### 1.4 Groups actions and permutations

**Definition.** Let X be a set. Let  $\operatorname{Sym}(x)$  denote the symmetric group of X and  $S_n = \operatorname{Sym}([n])$  where we have that  $[n] = \{1, 2, \dots, n\}$ .

Reminders from IA Groups:

- We can write any  $\sigma \in S_n$  as a product of disjoint cycles.
- If  $\sigma \in S_n$  we can write  $\sigma$  as a product of transpositions. The number of transpositions needed to write  $\sigma$  is well-defined modulo 2. This is called the sign of the transposition, denoted by sgn, where sgn:  $S_n \to \{\pm 1\}$ .
- sgn is a homomorphism between the groups where  $\{\pm 1\}$  is given the unique group structure. When  $n \geq 3$ , the homomorphism is surjective.

**Definition.** (Alternating group) The alternating group  $A_n$  is the kernal of sgn.

A homomorphism  $\varphi: G \to \operatorname{Sym}(X)$  is called a permutation representation of G.

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Definition. (Group action) An action of G on a set X is a function \tau: G \times X \to X sending (g,x) \to \tau(g,x) \in X such that \tau(e,x) = x, \forall x \in X, and \tau(g_1,\tau(g_2,x)) = \tau(g_1g_2,x), \forall g_1g_2 \in G, \forall x \in X.
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How are actions and permutation representations related?

For some homomorphism,  $\varphi: G \to \operatorname{Sym}(X)$  we map the homomorphism to  $a(\varphi): G \times X \to X$ , where  $(g, x) \to \varphi(g)(x)$ .

**Proposition.** The funtion a above is a bijection from the set of homomorphism from  $G \to \text{Sym}(X)$  to the set of actions from G on X.

*Proof.* We'll construct an inverse of a. Given a group action  $*: G \times X \to X$ . Define  $\varphi(*): G \to \operatorname{Sym}(X)$  defined by sending  $g \to \varphi(*)(g)$ , where  $\varphi(*)(g)(x) = g * x$ . We aim to show that  $\varphi(*)(g): X \to X$  is a permutation. We have an inverse  $\varphi(*)(g^{-1})$ , and to see that it is a homomorphism  $\varphi(*)(g_1)\varphi(*)(g_2)(x) = g_1*(g_2*x) = (g_1g_2)*x = \varphi(*)(g_1g_2)(x)$ . This is true for all x, so the construction is a group homomorphism.

Notation: Given a group action G acting on X given by  $\varphi: G \to \operatorname{Sym}(X)$ , denote

 $G^X = \operatorname{im}(\varphi)$ , and  $G_X = \ker(\varphi)$ . By the first isomorphism theorem we have that  $G_X \triangleleft G$  and  $G/G_X \cong G^X$ .

For an example, consider the unit cube. Let G be the symmetric group it. Now let X be the set of (body) diagonals of the cube. Any element of G sends a diagonal to another diagonal, we get an action  $G \to (X) \cong S_4$ . The kernal  $G_X = \ker(\varphi) = \{$ , send each vertex to its opposite $\}$ . Easy exercise to check that any diagonal can be sent to any other diagonal, so  $G^X = \operatorname{im}(\varphi) = \operatorname{Sym}(X)$ . So by the first isomorphism theorem, we have that  $S_4 \cong G^X \cong G/G_X \implies \frac{|G|}{2} = 4! \implies |G| = 48$ .

For the next example let's look at a group acting on itself. Let G act on itself by  $G \times G \to G$ , sending  $(g, g_1) \to gg_1$ . This gives a homomorphism  $G \to \text{Sym}(G)$  (easy to check that  $\varphi$  is injective since the kernal is trival). By the first isomorphism theorem we get that every group is isomorphism to a subgroup of a symmetric group (Cayley's theorem).

Now let  $H \leq G$  and let X = G/H, let G act on X by  $g * g_1H = gg_1H$ . We get  $\varphi G \to \operatorname{Sym}(X)$ . Consider  $G_X = \ker \varphi$ . If  $g \in G_X$ , then  $gg_1H = g_1H, \forall g_1 \in G$ , so  $g_1^{-1}gg_1H = H \implies G_X \subseteq \bigcap_{g_1 \in G} g_1Hg_1^{-1}$ . This argument is completely reversible, so if  $g \in \bigcap_{g_1} g_1Hg_1^{-1}$ , then for each  $g_1 \in G$ , we have  $g_1^{-1}gg_1 \in H$ , so  $g \in G_X \implies G_X = \bigcap_{g_1 \in G} g_1Hg_1^{-1}$ . Since  $G_X$  is a kernal and is a subset of H, we've got a way of making H smaller and making it normal. This is the largest normal subgroup contained in H.

**Theorem.** Let G be finite and  $H \leq G$  of index n. There exists a normal subgroup of G,  $K \triangleleft G$ , with  $K \leq H$ , such that G/K is isomorphic to a subgroup of  $S_n$ . Thus, |G/K| divides n!, and  $|G/K| \geq n$ .

*Proof.* Consider G acting on G/H in the previous example. So the kernal of  $\varphi: G \to \operatorname{Sym}(G/H)$  is normal, denote it by K. We've shown it is contained by H. First isomorphism theorem gives that  $G/K \cong \operatorname{im}(\varphi) \leq Sym(X) \cong S_n$ . Give that |G/K| divides n! by Lagrange. Since that  $K \leq H$ , we have that  $|G/K| \geq |G/H| \Longrightarrow |G/K| \geq n$ .

**Corollary.** Let G be non-abelian and simple. Let  $H \leq G$  be a proper subgroup of index n > 1. Then G is isomorphism to a subgroup  $A_n$ . Moreover,  $n \geq 5$ , i.e. no subgroup of index less than 5.

Proof. Action of G on the set X=G/H gives a homomorphism  $\varphi:G\to \operatorname{Sym}(X)\cong S_n$ . Since the kernal is normal, since G is simple it is either G or  $\{e\}$ . Since H is a proper subgroup, for some  $g\in G$ ,  $gH\ne H$ , so we must have that  $\ker\varphi=\{e\}$ . So  $G\cong \operatorname{im}\varphi\le S_n$ . Now we want to show that  $\operatorname{im}\varphi\le A_n$ . To see this observe that  $A_n\triangleleft S_n$ . Consider  $A_n\cap\operatorname{im}\varphi\le \operatorname{im}\varphi$ . By the second isomorphism theorem,  $\operatorname{im}\varphi\cap A_n\triangleleft\operatorname{im}\varphi\Longrightarrow \operatorname{im}\varphi\cap A_n=\{e\}$  or  $\operatorname{im}\varphi$  itself. By the rest of the second isomorphism theorem, if  $\operatorname{im}\varphi\cap A_n=\{e\}\Longrightarrow \operatorname{im}\varphi\cong \operatorname{im}\varphi\cap A_n=\{e\}$  if  $\operatorname{im}\varphi\cap A_n=\{e\}$  if  $\operatorname{im}\varphi\cap$ 

**Definition.** (Orbits and stabiliser) Let G act on some set X. Then, the *orbit* of  $x \in X$  is  $G \cdot x = \operatorname{orb} x = \{gx : g \in G\} \subseteq X$ . And the *stabiliser* of  $x \in X$  is  $G_x = \operatorname{stab}_G(x) = \{g \in G : gx = x\} \leq G$ .

**Theorem.** (Orbit-stabiliser) For a group G acting on a set X. For all  $x \in X$ , there is a bijection  $G \cdot x \to G/G_x$  given by  $g \cdot x \to gG_x$ . In particular, if G is finite, then  $|G| = |G \cdot x| |G_x|, \forall x \in X$ .

*Proof.* In the IA Groups course.

#### 1.5 Conjugacy, centralisers, and normalisers

Let G be a group. The conjugation action of G acting on itself by  $G \times G \to G$ , is  $(g,h) \to ghg^{-1}$ . This is equivilent to a homomorphism  $G \to \operatorname{Sym}(G)$ .

Fix  $g \in G$ . Then the permutation  $G \to G$  given by  $h \to ghg^{-1}$  is also a homomorphism.

**Definition.** (Automorphism) Let G be a group. A permutation  $G \to G$  that is also a homomorphism is called an automorphism of G. The set of all automorphisms of G,  $\operatorname{Aut}(G) = \{f: G \to G: f \text{ is a automorphism}\} \subseteq \operatorname{Sym}(G)$ , is a subgroup, called the automorphism group of G.

**Definition.** (Conjugacy classes and centralisers) Fix  $g \in G$ . The *conjugacy class* of g is the set  $\operatorname{ccl}_G(g) = \{hgh^{-1} : h \in G\}$ , i.e it is the orbit under the conjugation action. The *centraliser* of  $g \in G$  is  $C_G(g) = \{h \in G : hgh^{-1} = g\}$ , i.e the stabiliser of g under the action.

**Definition.** (Centre) The *centre* of G is  $Z(G) = \{z \in G : hzh^{-1} = z \forall h \in G\}$ , i.e. it is the kernal of the conjugation action and the intersection of the centralisers.

Corollary. Let G be a finite group. Then  $|\operatorname{ccl}_G(x)| = |G:C_G(x)| = \frac{|G|}{|c_G(x)|}$ .

*Proof.* Apply orbit-stabiliser to the conjugation action.

**Definition.** (Normaliser) Let  $H \leq G$ . The normaliser of H in G is  $N_G(H) = \{g \in G : gHg^{-1} = H\}$ 

We can see clearly that  $H \subseteq N_G(H)$  so  $N_G(H)$  is non-empty and we also have that  $N_G(H) \leq G$ .

In fact we have that  $N_G(H)$  is the largest subgroup containing H in which H is normal.

# 1.6 Simplicity of $A_n$ for $n \ge 5$

Recall from Part IA groups that a conjugacy class in  $S_n$  consists of the set of all elements with a fixed cycle type.

**Theorem.** Let  $n \geq 5$ . Then  $A_n$  is simple.

*Proof.* We will prove the statement via these three claims:

- $-A_n$  is generated by 3-cycles
- If  $H \triangleleft A_n$  that contains a 3-cycle then it contains all the 3-cycles
- Any non-trival  $H \triangleleft A_n$  contains a 3-cycle.

First we prove the first claim. Let  $g \in A_n$ , when viewed in  $S_n$  it is the product of evenly many transposition. Consider a product of two transpositions:

- $-(ab)(ab) = e \in A_n$
- $-(ab)(bc) = (abc) \in A_n$
- $(ab)(cd) = (acb)(acd) \in A_n.$

In each case we can write all products of transpositions as a product of 3-cycles, hence we can write all elements in  $A_n$  as a product of 3-cycles.

Now for the second claim, any two 3-cycles in  $A_n$  are conjugate when viewed in  $S_n$ . Let  $\delta, \delta'$  be 3-cycles and write  $\delta' = \sigma \delta \sigma^{-1}$ , where  $\sigma \in S_n$ . If  $\sigma$  is even, we're done since it's in  $A_n$ . If  $\sigma$  is odd, observe since  $n \geq 5$ , there exists a transposition  $\tau$  disjoint from  $\delta$ , now  $\delta' = \sigma(\tau \tau^{-1})\delta\sigma^{-1} = (\sigma \tau)\delta(\sigma \tau)^{-1}$ . Since  $\sigma \tau$  is even, we're done.

Finally for the last claim take some  $H \triangleleft A_n$  not trival. We break into cases

- (a) If H contains an element on the form  $\sigma = (12 \cdots r)\tau$  where  $\tau$  is disjoint from  $1, \ldots, r$ , and  $r \geq 4$ . Then let  $\delta = (123)$ . Now consider  $\delta \sigma \delta^{-1} \in H$  (by normality). But then  $\sigma^{-1}\delta^{-1}\sigma\delta \in H$  as well. As  $\tau$  misses 1, 2, 3 and commutes with  $(12 \cdots r)$  we expand this:  $\sigma^{-1}\delta^{-1}\sigma\delta = (r \cdots 21)(132)(123 \cdots r)(123) = (23r)$  so we find a 3-cycle.
- (b) Suppose H contains  $\sigma = (123)(456)\tau$  (or any relabeling of such).  $\tau$  is disjoint from  $1, \dots, 6$ . Take  $\delta = (124)$  and calculate the conjugation  $\sigma^{-1}\delta^{-1}\sigma\delta = (124236)$  which is a 5-cycle so we're done by the first case.
- (c) Suppose that H contains  $\sigma$  of the form  $\sigma = (123)\tau$  where  $\tau$  is a product of disjoint transpositions. Note if  $\tau$  contains anything longer than a transposition, we can just apply case (a) or (b). Then  $\sigma^2 = (123)^2$  which is a 3-cycle since the transpositions cancel.
- (d) Suppose that H contains  $\sigma = (12)(34)\tau$ , where  $\tau$  is a product of transpositions. Let  $\delta = (123)$ , consider  $\mu = \sigma^{-1}\delta^{-1}\sigma\delta = (14)(23)$ . Let  $\nu = (152)\mu(125) = (13)(45)$ . But observe that  $\mu\nu \in H$ , but this is a 5-cycle, so we're done by case (a).

Up to relabeling, we're covered all the cases. Hence any normal subgroup of  $A_5$  must be trivial or  $A_5$  itself, so  $A_5$  is normal.

#### 1.7 Finite p-groups

**Definition.** (Finite p-groups) For p prime, a finite p-group is a group of order  $p^n$ ,  $n \in \mathbb{N}$ .

**Theorem.** Let G be a finite p-group. Then Z(G) is non-trival.

*Proof.* Consider G acting on itself by conjugation. The centre of G is the union of orbits of size 1. The orbits partition G, so

$$|G| = p^n = |Z(G)| + \sum$$
 sizes of conjugacy classes of size  $> 1$ 

We know that the sizes of the non-trivial conjugacy classes always divide  $p^n$ . So all the terms of size larger than one are divisible by p. Hence we have that p divides |Z(G)|. So since  $p \geq 2$ , the centre is non-trivial.

**Theorem.** A group of size  $p^2$  must be abelian.

*Proof.* Follows from an independently interesting technical result:

**Lemma.** If G is any group and  $\frac{G}{Z(G)}$  is cyclic, then G is abelian.

Proof. Let xZ(G) generate  $\frac{G}{Z(G)}$ . Every coset of the form  $x^mZ(G), m \in Z$ . Since any  $g \in G$  lies in some coset of Z(G), we can write  $g = x^mz$ , for some  $z \in Z(G)$ . Now for some  $g' \in G$ ,  $g' = x^nz'$ , so  $gg' = x^mzx^nz' = x^{n+m}zz' = x^nz'x^mz = g'g$ , so the group is abelian.

Our proof of the theorem follows since Z(G) is non-trivial, so it either has size  $p^2$  or p. If it has size  $p^2$ , the group is abelian so we're done. If it has size p, the G/Z(G) also has size p, so it's cyclic, hence it's abelian, so by the lemma we have that G is abelian.  $\square$ 

**Theorem.** Let G be a group of size  $p^n$ . Then for any  $0 \ge k \ge n$ , G has a subgroup of size  $p^k$ .

Proof. (Inductive proof) The base case n=1 is clear because the group must be cyclic. Now suppose that n>1, if k=0, we take  $\{e\}$ , so we're done, so assume that  $k\geq 1$ . Note that Z(G) is non-trivial, let  $x\in Z(G)$  with  $x\neq e$ . The order of x is a power of p. By raising x to some power we can find an element with order p in Z(G). Replacing x with this element we can assume  $\operatorname{ord}(x)=p$ . The subgroup generated by x is normal of size p because x is central of order p. Now  $\frac{G}{\langle x\rangle}$  is a group of order  $p^{n-1}$  so inductive hypothesis allies. Let  $L\leq \frac{G}{\langle x\rangle}$  of size  $p^{k-1}$ . But by the subgroup correspondence result, we can find some  $K\leq G$  containing  $\langle x\rangle$  such that  $\frac{K}{\langle x\rangle}=L$ . So K has size  $p^k$ , so we're done.  $\square$ 

## 1.8 Finite abelian groups

**Theorem.** (Classification of finite abelian groups) Let G be a finite abelian group. There exists positive integers  $d_1, \dots, d_r$  such that:

$$G \cong C_{d_1} \times C_{d_2} \times \cdots \times C_{d_r}$$

Moreover, we can choose  $d_i$  such that  $d_{i+1} \mid d_i$  in which case this is unique.

*Proof.* To come later...

Abelian groups of order 8 are exactly  $C_8$ ,  $C_4 \times C_2$ ,  $C_2 \times C_2 \times C_2$ .

**Lemma.** (Chinese remainder theorem) If n and m are coprime, then  $C_n \times C_m \cong C_{nm}$ 

Proof. Consider  $C_n \times C_m$ . Suffices to produce an element of order nm. Let  $g \in C_n$  and  $h \in C_m$  be generators of order n and m respectively. Consider (g,h). Say its order is  $k \Longrightarrow (g,h)^k = (e,e)$ . So n,m both divide k, and since n,m are coprime we have that nm divides k and by Lagrange we have that k divides nm, so we're done.

#### 1.9 Sylow Theorems

**Definition.** (Sylow *p*-subgroup) Let G be a finite group of order  $p^a m$ , where  $p \nmid m$ , p is a prime. Then a  $Sylow\ p$ -subgroup of G is a subgroup of size  $p^a$ .

**Theorem.** (Sylow theorems) For a finite group G of order  $p^a m$ , where  $p \nmid m, p$  is prime:

- The set  $\operatorname{Syl}_n(G) = \{ P \leq G \mid P \text{ is a Sylow p-subgroup of } G \}$  is non-empty.
- Any  $H, H' \in \text{Syl}_p(G)$  are conjugate, namely  $H = gH'g^{-1}$ , for some  $g \in G$ .
- If  $n_p = |\operatorname{Syl}_p(G)|$  then  $n_p \equiv 1 \mod p$  and  $n_p$  divides |G|, so  $n_p \mid m$

Before we prove the statement, let's see why this theorem is useful.

**Lemma.** If  $Syl_p(G) = \{P\}$ , then P is normal in G.

*Proof.* For any  $g \in G$ , the subgroup  $gPg^{-1}$  is isomorphic (as a group) to P. So  $gPg^{-1}$  is in  $\mathrm{Syl}_p(G) \implies gPg^{-1} = P$ , which proves the claim.

**Corollary.** Let G be a non-abelian simple group, and  $p \mid |G|$ , p prime. Then |G| divides  $\frac{n_p!}{2}$  and  $n_p \geq 5$ .

Let G act by conjugation on  $\operatorname{Syl}_p(G)$  which gives a homomorphism  $\varphi:G\to\operatorname{Sym}(\operatorname{Syl}_p(G))\cong S_{n_p}$ . By simplicity,  $\ker\varphi=G$  or  $\{e\}$ . If  $\ker\varphi=G$ , then  $gPg^{-1}=P$  for all  $g\in G$  and all  $P\in\operatorname{Syl}_p(G)$ . So P is normal. Thus P is either  $\{e\}$  or G. Well P is Sylow-p so it can't be  $\{e\}$ , so P=G. So G would be a p-group. But from earlier, the centre of G is non-trivial proper since G is non-abelian, but the centre is always normal, so this contradicts simplicity, hence  $\ker\varphi=\{e\}$ . So we have that  $\varphi$  is an injective homomorphism  $G\to S_{n_p}$ , so by the first isomorphism theorem,  $G\cong \operatorname{im}\varphi$ . We'll show that  $\varphi$  lands in  $A_{n_p}$ . Consider the composition  $G\to S_{n_p}\to\{\pm 1\}$ . If this composition is surjective, then  $\ker(\operatorname{sgn}\circ\varphi)$  is index 5, but G simple so not possible. So  $\operatorname{im}\varphi\subseteq\ker(\operatorname{sgn})=A_{n_p}$ , so we're done by Lagrange. For the final statement we show all non-abelian subgroups of  $A_2,A_3,A_4$  are not simple which finishes the statement which is just grunt work, and I pinky promise it's true, so we're done.

Let's see a sample application. Let have G has size  $11 \times 12$ . If G is simple then there are exactly 12 Sylow 11-subgroups. Consider the number  $n_{11}$ . We know from the Sylow theorems that  $n_{11} \equiv 1 \mod 11$  and  $n_{11} \mid 12$ . So  $n_{11} = 12$  since G is simple. Similarly  $n_3 \equiv 1 \mod 3$  and  $n_3 \mid 44$ . So either  $n_3 = 4$  or 22. The corollary says that G divides  $\frac{n_3!}{2}$ , so  $n_3$  can't be 4, so  $n_3 = 22$ . But this is a lot of elements. And 2 Sylow 11-subgroups interset only at the identity which leads to too many elements, so none of this even works, which seems confusing, but actually just means that G can't exist, hence all groups of order 132 are non-simple.

Finally we now prove the Sylow theorems.

Proof. Let G be a group of order  $n=p^am$ , with  $p\nmid m$ , p prime. Define the set  $\Omega=\{X\subseteq G: |X|=p^a.$  Let G act on  $\Omega$  by multiplying all elements of  $\Omega$  on the left by  $g\in G$  (we can see this obeys the axioms of the group action after some quick inspection. We have  $|\Omega|=\binom{n}{p^a}\equiv m\neq 0\mod p$ . The proof of this can be seen by expanding out the binomial coefficient, but we'll assume it here. Suppose we have some  $U\in \Omega$ , then let  $H\leq G$  stabilise U. Then  $|H|\mid |U|$ . We can prove this by seeing that hU=U for all  $h\in H$ . In other words for each  $u\in U$  the coset Hu is contained in U. Every  $u\in U$  lies in some coset of H, so the cosets partition U, so  $|H|\mid |U|$ . We know that  $|\Omega|\neq 0\mod p$ . Since orbits partition, we know that

$$|\Omega| = |O_1| + |O_2| + \cdots + |O_r|$$
,  $O_i$  are the orbits

So there exists an orbit  $\Theta$  whose size is prime to p. Let  $T \in \Theta$ . By orbit-stabiliser,  $|G| = |\Theta| |\operatorname{stab}(T)|$ . So  $p^a m = |\Theta| |\operatorname{stab}(T)|$ . By our previous lemma,  $|\operatorname{stab} T| | p^a$ , so we're done because there are no factors of p in  $\Theta$ , so we've prove the first part of the theorem.

Now for the second part, we actually show something stronger, that is, if  $Q \leq G$  is a subgroup of size  $p^b$ , where  $0 \leq b \leq a$ , then there exists  $g \in G$  and  $P \in \operatorname{Syl}_p(G)$ , such that  $gQg^{-1} \leq P$ . To prove this, let Q act on G/P by left coset multiplication. Note that the size of G/P does not divide by p. Orbits have size dividing  $p^b$ , so each orbit has size 1 or a power of p. But  $p \nmid |G/P|$ , so there exists a size 1 orbit. In other words, there exists some coset gP such that  $\forall q \in Q$ , qgP = gP, so rearranging gives that  $gQQ^{-1} \leq P$ . So our second statement follows taking b = a.

For the final theorem, we need to show that  $n_p \mid |G|$ , and  $n_p \equiv 1 \mod p$ . For the first statement, consider G acting on  $\mathrm{Syl}_p(G)$  by conjugation. By the second theorem, we know that there is one orbit of size  $n_p$ , so the statement follows instantly from orbit-stabiliser. For

the second statement, let  $P \in \operatorname{Syl}_p(G)$ . Consider P acting on  $\operatorname{Syl}_p(G)$  by conjugation. By orbit-stabiliser, all the orbits have size 1 or p. Since  $\{P\}$  is a size 1 orbit, to prove the statement is suffices to show that  $\{P\}$  is the only size 1 orbit. Say  $\{Q\}$  is another size 1 orbit. So  $\forall h \in P$ , we have  $hQh^{-1} = Q$ . This means that  $N_G(Q)$  contains P. Now observe if  $p^a$  is the largest power of p dividing |G|, we know that it's the largest power of p dividing  $|N_G(Q)|$ . But Q is normal in  $N_G(Q)$  by definition, and  $Q, P \in \operatorname{Syl}_p(N_G(Q)) \implies P = Q$ , since normality  $\iff$  uniqueness for Sylow subgroups. So we've prove all the Sylow theorems and we're done.

# 2 Rings

#### 2.1 Definitions and examples

**Definition.** (Rings) A ring is a quintuple  $(R, +, \circ, 0_R, 1_R)$ , where R is a set with  $0_R, 1_R \in R$ , and  $+: R \times R \to R$ , and  $\circ: R \times R \to R$ , called addition and multiplication are functions satisfying the following:

- $-(R,+,0_R)$  is an abelian group.
- $-\circ$  is associative, so  $a\circ(b\circ c)=(a\circ b)\circ c.$
- $-1_R \circ a = a \circ 1_R = a.$
- We have distributivity, so  $r_1 \circ (r_2 + r_3) = (r_1 \circ r_2) + (r_1 \circ r_3)$  and  $(r_1 + r_2) \circ r_3 = (r_1 \circ r_3) + (r_2 \circ r_3)$ .

Usually we just say "Let R by a ring..." with everything implicit. The symbol (-r) denotes the additive inverse of r.

In IB Groups, Rings and Modules, rings will always be commutative, so  $r_1 \circ r_2 = r_2 \circ r_1$  for all  $r_1, r_2 \in R$ .

**Definition.** (Subring) A subring of a ring R, is a subset  $S \subseteq R$ , such that  $0_R, 1_R \in S$ , S is closed under both multiplication and addition of the ring, and  $(S, +, \circ, 0_R, 1_R)$  is a ring.

We notate this as  $S \leq R$ .

For examples we have  $\mathbb{Z} \leq \mathbb{Q} \leq \mathbb{R} \leq \mathbb{C}$  which are all rings under usual multiplication and addition. Along a similar line, we also have the Gaussian integers,  $\mathbb{Z}[i] = \{a + ib : a, b \in \mathbb{Z}\}$  with multiplication and addition induced by  $\mathbb{C}$ .

Another example is  $\mathbb{Z}/n\mathbb{Z}$  which forms a ring under addition and multiplication modulo n. In  $\mathbb{Z}/6$  we have  $2,3\in\mathbb{Z}/6$  such that  $2\circ 3=0\mod 6$  which is perfectly allowed.

**Definition.** (Units) An element  $u \in R$ , is called a *unit* if there exists some  $v \in R$ , such that  $uv = 1_R \in R$ .

This notion does *not* interact well with subrings, as we can take a unit in a subring without taking it's inverse, making it no longer a unit. For example 2 is a unit  $\mathbb{Q}$ , but not in  $\mathbb{Z}$ .

Discussion. Does  $0_R$  behave like it should? We would like  $0 \circ R = 0_R$  for all  $r \in R$ . In R we have that  $0_R + 0_R = 0_R$ , now multiplying by  $r \in R$ , so  $r \circ 0_R + r \circ 0_R = r \circ 0_R$ , hence cancelling a  $r \circ 0_R$  on both sides gives that  $r \circ 0_R = 0_R$ .

In particular this implies that if  $1_R = 0_R$  then for any  $r \in R$ ,  $r = r \circ 1_R = r \circ 0_R = 0_R$  so for all  $r \in R$ ,  $r = 0_R$ , so R must be the zero ring,  $\{0_R\}$ .

**Definition.** (Polynomial) Let R be a ring. Then a *polynomial* in x with coefficients in R in an expression:

$$f(x) = a_0 + a_1 x + \dots + a_n x^n$$

and  $x^i$  are formal symbols. We will identify f(x) with  $f(x) + 0x^{n+1}$  as the same. The largest i such that  $a_i \neq 0$  is called the degree of the polynomial. A polynomial f(x) is monic of degree n if  $a_n = 1$  and it is of degree n.

**Definition.** (Polynomial ring) The polynomial ring R[X] is given by:

$$R[X] = \{f(X) : \text{ f is a polynomial in } X \text{ with coefficients in } R\}$$

 $+, \circ$  are the usual operations,  $0_{R[X]} = 0_R$  and  $1_{R[X]} = 1_R$ .

**Definition.** (Ring of formal power series) The *ring of formal power series* is a ring in X with coefficients in R is:

$$R[[X]] = \left\{ \sum_{n=0}^{\infty} r_i X^i : a_i \in R, \forall i \ge 0, i \in \mathbb{Z} \right\}$$

with the standard  $+, \circ$  of R.

For an example consider  $(1-x) \in R[X]$ . Is it a unit? No! If g(x)(1-x) = 1, then if  $g(x) = a_0 + a_1x + \cdots + a_nx^n$ ,  $a_n \neq 0$ , then  $(1-x)g(x) = a_0 + (a_1 - a_0)x + \cdots + (a_n - a_{n-1}x^n - a_nx^{n+1})$  which cannot be 1 since the highest power term has a non-zero coefficient.

However (1-x) is a unit in  $R[[X]]!(1-x)(1+x+x^2+\cdots)=1\in R[[X]].$ 

**Definition.** (Laurent polynomials) If R is a ring then a Laurent polynomial with coefficients in R is:

$$R[X, X^{-1}] = \left\{ \sum_{i \in \mathbb{Z}} a_i X^i : a_i \in R, \forall i \in \mathbb{Z} \right\}$$

Where  $a_i$  is non-zero for at most finitely many i and with standard multiplication and addition.

If R is a ring, and X is a set the set of R-valued functions, namely,  $\{f: X \to R\}$  is a ring with "pointwise" addition and multiplication as given by the ring R. (So (f+g)(x) = f(x) + g(x))

## 2.2 Homomorphisms, ideals, and quotients

**Definition.** (Ring homomorphism) Let R and S be rings. A function  $f: R \to S$  is a ring homomorphism if for all  $r_1, r_2 \in R$ :

- $f(r_1 + r_2) = f(r_1) + f(f_2)$
- $f(0_R) = 0_S$
- $f(r_1 r_2) = f(r_1) f(r_2)$
- $f(1_R) = 1_S.$

These first two conditions are the conditions for f to be a group homomorphism with the addition operation. Note that the second condition is not required and it follows from the first condition. But non-symmetrically the fourth condition is not implied by the third condition.

**Definition.** (Isomorphism) An isomorphism  $f: R \to S$  is a bijective ring homomorphism. The inverse function is also a ring homomorphism.

**Definition.** (Kernal) The *kernal* of a ring homomorphism  $f: R \to S$  is the set  $\ker f = \{r \in R : f(r) = 0_S\}$ .

**Definition.** (Image) The *image* of a ring homomorphism  $f: R \to S$  is im  $f = \{s \in S : s = f(r) \text{ for some } r \in R\}$ .

**Lemma.** A homomorphism  $f: R \to S$  is injective if and only if ker  $f = \{0\}$ .

*Proof.* Follows from the corresponding fact about groups.

**Definition.** (Ideal) A subset  $I \subseteq R$  is an *ideal*, written as  $I \triangleleft R$ , if I is a subgroup and if  $a \in I$  and  $b \in R$ , then  $ab \in I$ .

Keep in mind that an ideal is usually not a subring, since if  $1_R \in I$  then I = R.

**Lemma.** If  $f: R \to S$  is a ring homomorphism then  $\ker f \triangleleft R$ .

*Proof.* Since f is also a group homomorphism, then  $\ker f$  is a subgroup. If  $a \in \ker f$  and  $b \in R$  then f(ab) = f(a)(b) = 0, so  $ab \in \ker f$ .

Now we'll look at some examples.

If  $\mathbb{Z}$  is the ring of integers then  $n\mathbb{Z}$  are ideals for all  $n \in \mathbb{N} \cup \{0\}$ . In fact, every ideal of  $\mathbb{Z}$  has this form. To see this  $I \neq \{0\}$  is an ideal. Let  $n \in \mathbb{Z}$  be the smallest postive element of I. We claim that  $I = n\mathbb{Z}$ . Let  $m \in I$ . We claim that it's divisible by n. Apply the Euclidean algorithm so m = qn + r where  $0 \le r < n$ . But  $qn \in I$  by the absorbing property so  $r \in I$  since I is a subgroup which contradicts minimality unless r = 0.

**Definition.** Let  $A \subseteq R$ . The ideal generated by A is

$$(A) = \left\{ \sum_{a \in A} r_a a, \quad r_a \in R, \quad \text{all but finitely many } r_a \text{ are } 0 \right\}$$

**Definition.** (Principle) An ideal  $I \triangleleft R$  is *principle* if there exists  $r \in R$  such that (r) = I.

For another example let  $\mathbb{R}[X]$  be the polynomial ring in one variable over  $\mathbb{R}$ . The subset  $\{f \in \mathbb{R}[X] : \text{constant term is } 0\}$ , is an ideal. It is actually principle, generated by (X).

**Definition.** (Quotient) Let  $I \triangleleft R$  be an ideal. Then the quotient ring R/I is the set of cosets r+I with  $0_R/I = 0_R+I$  and  $1_R/I = 1_R+I$ , and operations  $(r_1+I)+(r_2+I) = (r_1+r_2)+I$  and  $(r_1+I)(r_2+I) = r_1r_2+I$ .

**Proposition.** The quotient ring is a ring. The function  $f: R \to R/I$  sending r to r+I is a ring homomorphism.

*Proof.* Obviously an abelian group. Multiplication is well-defined. To see this suppose  $r_1+I=r'_1+I$  and  $r_2+I=r'_2+I$ . Then  $r_1-r'_1=a_1\in I$ , and  $r_2-r'_2=a_2\in I$ , so  $r'_1r'_2=(r_1+a_1)(r_2+a_2)=r_1r_2+r_1a_2+r_2a_1+a_1a_2$ . By the absorbing property the last three terms are contained in I, so  $r_1r_2+I=r'_1r'_2+I$ . The rest is straightforward.  $\square$ 

For another example, we have  $n\mathbb{Z} \triangleleft \mathbb{Z}$ . The quotient  $\mathbb{Z}/n\mathbb{Z}$  is the usual ring of integers modulo n.

Take  $(X) \triangleleft \mathbb{C}[X]$ . The elements of  $\mathbb{C}[X]/(X)$  are represented by:

$$a_0 + a_1 X + \dots + a_n X^n + (X)$$
, but  $\sum_{i=1}^n a_i X^i \in (X)$ 

so each coset is represented equivalently by  $a_0 + (X)$ , so we have that  $\mathbb{C}[X]/(X) \cong \mathbb{C}$ .

Similarly  $(X^2) \triangleleft \mathbb{C}[X]$ , the ring  $\mathbb{C}[X]/(X^2)$  consists of elements represented by linear polynomials  $a_0 + a_1 X + (X)$  with the following multiplication given by  $(a_0 + a_1 X)(b_0 + b_1 X) = a_0 b_0 + (a_1 b_0 + a_0 b_1) X$ .

This ring is quite weird. For example if we take  $X \in \mathbb{C}[X]/(X^2)$ . Then  $0 \neq X$  but  $X^2 = 0$ . We say that X is nilpotent.

**Proposition.** (Euclidean algorithm for polynomials in X) Let K be a field and  $f, g \in K[X]$ . Then there exists polynomials  $r, q \in K[X]$  such that f = gq + r with  $\deg(r) < \deg(g)$ .

*Proof.* Let n be the degree of f. So  $f = \sum_{i=0}^{n} a_i X^i$  with  $a_i \in K, a_n \neq 0$ . Similarly  $g = \sum_{i=0}^{m} b_i X^i$  with  $b_i \in K$  and  $b_m \neq 0$ .

If n < m set q = 0 and r = f so we're finished.

If instead  $n \ge m$ , proceed by induction on the degree. Let  $f_1 = f - a_n b_m^{-1} X^{n-m} g$ . Observe that  $\deg(f_1) < n$ . If n = m then  $\deg(f_1) < n = m$ . So write  $f = (a_b^{-1} {}_m X^{n-m}) g + f_1$ , so we're done. Otherwise if n > m, then because  $\deg(f_1) < n$ , by induction we cab wrute write  $f_1 = gq_1 + r_1$  where  $\deg(r_1) < \deg(g) = m$ . Then  $f = (a_n b_m^{-1}) X^{n-m} g + q_1 g + r_1 = (a_n b_m^{-1} X^{n-m} + q_1) g + r_1$ 

Corollary. If K is a field then K[X] every ideal is principle.

*Proof.* Identical to the case of  $\mathbb{Z}$  using the proposition.

This proof fails for  $\mathbb{Z}[X]$  (since  $\mathbb{Z}$  is not a field) and for K[X,Y].

**Theorem.** (First isomorphism theorem) Let  $\varphi: R \to S$  be a ring homomorphism. Then the function  $f: R/\ker \varphi \to \operatorname{im} \varphi \leq S$  sending  $r + \ker \varphi \to \varphi(r)$  is well-defined and an isomorphism of rings.

*Proof.* Well-definedness, bijective, additive homomorphism property all follow from the group statement. We check multiplicativity.  $f((f + \ker \varphi)(t + \ker \varphi)) = f(rt + \ker \varphi) = \varphi(rt) = \varphi(r)\varphi(t) = f(r + \ker \varphi)(f + t + \ker \varphi)$  since  $\varphi$  is a ring homomorphism.

For an example consider the homomorphism  $\varphi : \mathbb{R}[X] \to \mathbb{C}$ , sending f(X) to f(i). Clearly this is a surjective ring homomorphism since  $a+bX \to a+bi$  under  $\varphi$ . The kernal is exactly real polynomials f(X) such that f(i)=0 i.e. i is a root. But since f has real coefficients that means that  $(X+i)(X-i) \mid f(X)$  i.e.  $(X^2+1) \mid f(X)$ . So in fact ker  $\varphi = (X^2+1)$ , the ideal generated by  $X^2+1$ . Now applying the first isomorphism theorem  $\frac{\mathbb{R}[X]}{(X^2+1)} \cong \mathbb{C}$ .

**Theorem.** (Second isomorphism theorem) Let  $R \leq S$  and  $J \triangleleft S$ . Then  $J \cap R \triangleleft R$  and  $\frac{R+J}{J} = \{r+J : r \in R\} \leq \frac{S}{J}$ . Furthermore,

$$\frac{R}{R \cap J} \cong \frac{R+J}{J}.$$

*Proof.* Define a function  $\varphi: R \to S/J$  by  $r \to r+J$ . The kernal is  $\{r: r+J=0\} = \{r \in J\} = R \cap J$ . The image im  $\varphi = \{r+J: r \in R\} = \frac{R+J}{J}$ , so apply the first isomorphism theorem to conclude.

Again similar to groups we have a correspondence result.

**Theorem.** (Correspondence theorem) If  $I \triangleleft R$  is an ideal there is a bijection between subrings of R/I and subrings of R which contain I. This is given by sending  $L \le R/I \to \{r \in R : r+I \in L\}$  and conversely  $I \triangleleft S \le R \to S/I \le R/I$ 

*Proof.* Same as from groups.

Similar for ideals there is a bijection between ideals in R/I and ideals in R that contain I.

**Theorem.** (Third isomorphism theorem) Let  $I \triangleleft R$  and  $J \triangleleft R$  with  $I \subseteq J$ . Then  $\frac{J}{I} \triangleleft \frac{R}{I}$  and we have that,

$$\frac{R/I}{J/I} \cong R/J.$$

*Proof.* Define a function  $\varphi: R/I \to R/J$  sending r+I to r+J. Well-definedness follows from the same argument as from groups. Easy verification to see it is a ring homomorphism. The kernal is  $\ker \varphi = \{r+I: r+J=J\}$ , i.e. that  $\ker \varphi = J/I$ . So apply the first isomorphism theorem to get the result.

Claim. Let R be any ring. There is a unique ring homomorphism

$$i: \mathbb{Z} \to R$$

The kernal of i, ker i is an ideal  $n\mathbb{Z} \triangleleft Z$ . The number |n| is called the characteristic of R. The rings  $\mathbb{Z}, \mathbb{R}, \mathbb{C}, \mathbb{C}[X]$  all have characteristic 0.  $\mathbb{Z}/k\mathbb{Z}$  has characteristic k.

#### 2.3 Integral domains

In the ring  $\mathbb{Z}/6$  we have that  $2 \cdot 3 = 0$ . In an integral domain this will not happen.

**Definition.** (Integral domain) A nonzero ring R is an integral domain if  $\forall a, b \in R$ , if ab = 0 then a = 0 or b = 0.

An element that violates this is called a zero divisor, i.e. a zero divisor is a non-zero element  $a \in R$  such that  $\exists b \in R, b \neq 0$  where ab = 0.

All fields are integral domains, since if  $ab = 0, b \neq 0$  then  $a(bb^{-1}) = 0b^{-1} = 0$  so a = 0. Any subring of an integral domain is an integral domain. To list a set of examples we have  $\mathbb{Z}, \mathbb{Z}[i], \mathbb{Q}, \mathbb{C}, \mathbb{R}[X], \mathbb{Z}[X]$ , etc. For a set of non-examples we have  $\mathbb{Z}/6, \mathbb{Z}/pq, \mathbb{C}[X]/(X^2)$  etc.

**Lemma.** Let R be a finite integral domain. Then R is a field.

Proof. Let  $a \in R$  be non-zero. Consider the function  $\mu_a : R \to R$  sending  $r \to ar$ . It's easy to verify that  $\mu_a$  is an (additive) group homomorphism for all a non-zero. Since R is an integral domain,  $\ker \mu_a$  is trivial so the map is injective. So since R is finite,  $\mu_a$  is also surjective. In particular 1 = ab for some  $b \in R$  hence this is an inverse of a, so R is a field.

**Definition.** Let R be an integral domain. A *text of fractions* for R is a field F such that:

- $-R \leq F$  is a subring,
- every  $x \in F$  can be written as  $ab^{-1}$ , where  $a, b \in R$ , where  $b^{-1}$  is the multiplictive inverse to b in F.

 $\mathbb{Q}$  is a field of fractions for  $\mathbb{Z}$ .

**Theorem.** Every integral domain has a field of fractions.

Proof. Define a set  $S=\{(a,b)\in R\times R: b\neq 0\}$ . Place an equivalence relation  $\sim$ , defined as  $(a,b)\sim (c,d)\iff ad=bc$  on S. We can check this is an equivalence relation, the only non-trivial axiom to check is transitivity. Suppose that  $(a,b)\sim (c,d)$  and  $(c,d)\sim (e,f)$ . So we have that ad=bc and cf=de. We wish to deduce that af=be. Multiple the first equality by f and the second by b. So we get that adf=bcf and bcf=bed. Rearranging we get d(af-be)=0 since d is non-zero and R is an integral domain we know that af=be. So  $\sim$  is an equivalence relation. Now define  $F=\frac{S}{c}$  with notation  $\frac{a}{b}=[(a,b)]_{\sim}$ . Now we turn F into a ring. Take the operations to be  $\frac{a}{b}+\frac{c}{d}=\frac{ad+bc}{bd}$  and  $\frac{a}{b}\frac{c}{d}=\frac{ac}{bd}$ . Some elementary operations show that these operations are well-defined and makes F into a ring. To see that F is a field, if  $\frac{a}{b}\neq 0_F$  i.e.  $\frac{a}{b}\neq \frac{0}{1}\implies a\cdot 1\neq b\cdot 0=0$ , so  $a\neq 0$ . now  $\frac{b}{a}\in F$  and  $\frac{b}{a}\frac{a}{b}=1_F$ , so F is a field.

We now construct an injective homomorphism  $R \to F$  by  $r \to \frac{r}{1}$ . Straightforward to check that this is a ring homomorphism. The kernal is  $\{r \in R : \frac{r}{1} = 0 \text{ in } F\} = (0)$ . By the first isomorphism theorem R is isomorphic to the image of  $R \to F$ , in other words  $R \le F$ . Finally since  $\frac{a}{b} \in F$  is  $\frac{a}{b} = \frac{a}{1} \cdot \frac{1}{b} \implies \frac{a}{1} (\frac{b}{1})^{-1} = ab^{-1}$ 

Sometimes we write FF(R) for a field of fractions of R.

**Proposition.** Let R be a ring. Then R is a field if and only if the only ideals in R are (0) and R.

*Proof.* If R is a field and  $I \triangleleft R$  is non-zero then I contains a unit u. Since 1 = uv we have that  $1 \in I$ . But for any  $r \in R$ , we have  $1 \cdot r = r \in I$ , so I = R.

Conversely suppose that (0) and R are the only ideals of R. Take  $r \in R$  non-zero. We know that (r) = R since r is non-zero. Since  $1 \in (r)$  we know that  $r \cdot b = 1$  for some  $b \in R$  so r is a unit hence R is a field.

**Definition.** (Maximal ideal) An ideal  $I \triangleleft R$  is called *maximal* if it is not R itself and if for any  $J \triangleleft R$  with  $I \subseteq J \subseteq R$ , either J = I or J = R.

**Proposition.** An ideal  $I \triangleleft R$  is maximal if and only if R/I is a field.

*Proof.* R/I is a field if and only if the ideals are R/I and (0). Now apply the ideal correspondence theorem.

**Definition.** (Prime ideal) An ideal  $I \triangleleft R$  is *prime* if whenever  $ab \in I$  either a or b lies in I.

An ideal  $n\mathbb{Z} \triangleleft \mathbb{Z}$  is a prime ideal if and only if n is a prime number (or zero). We can see this since if n=p is prime, and  $ab \in p\mathbb{Z}$  then ab is a multiple of p so either a or b must be a multiple of p hence in  $p\mathbb{Z}$ . Conversely if n is not prime and wlog positive (zero case is trivial) we know that  $n=m_1m_2, 1 < m_1, m_2 < n$ . Then  $m_1, m_2 \notin n\mathbb{Z}$  but  $m_1m_2 \in n\mathbb{Z}$  so the ideal is not a prime ideal.

Interestingly  $p\mathbb{Z} \triangleleft \mathbb{Z}$  for p non-zero prime, then  $\mathbb{Z}/p\mathbb{Z}$  is a field so  $p\mathbb{Z}$  is maximal.

**Proposition.** An ideal  $I \triangleleft R$  is prime if and only if R/I is an integral domain.

*Proof.* If  $I \triangleleft R$  is prime, then let (a+I) and  $(b+I) \in R/I$ . Suppose  $(a+I) \cdot (b+I) = (ab+I) = 0 + I$  (recall 0+I is the zero element in R/I). This means that  $ab \in I$  but I is prime so a or  $b \in I$ , so a+I or b+I is 0.

Conversely if R/I is an integral domain, consider  $ab \in I$ . Then ab + I = 0. So either a + I or b + I is zero so a or b lies in I. So I is a prime ideal.

Corollary. If R is a prime and  $I \triangleleft R$  is maximal, then I is prime.

*Proof.* Since  $I \triangleleft R$  is maximal then R/I is a field. Hence R/I is an integral domain so I is prime by the proposition.

Every nonzero ring R has a maximal ideal and therefore a prime ideal (proof is very set theoretic, equivalent to the axiom of choice through Zorn's lemma)

#### 2.4 Factorisation in integral domains

From now on we let R be a general integral domain

**Definition.** (Division) Let  $a, b \in R$  we say that a *divides* b, written as  $a \mid b$  if there exists some  $c \in R$  such that b = ac. Equivalently we have that  $(b) \subseteq (a)$ .

**Definition.** (Associates) We say that a and b in R are associates if a = bc for  $c \in R$  a unit. Equivalent to (a) = (b) and also equivalent to that  $a \mid b$  and  $b \mid a$ .

In  $\mathbb{Z}$  for example, we want to factorise up to units, i.e  $6 = 2 \times 3 = (-2) \times (-3)$ . But as 2 and -2 are associates we declare some amount of uniqueness.

**Definition.** (Irreducible) An element  $a \in R$  is called *irreducible* if  $a \neq 0$ , a is not a unit, and if a = xy then either x or y is a unit.

In the special case of  $\mathbb{Z}$  irreducible and prime are the same thing. But this is NOT always the case.

**Definition.** (Prime element) We say that an element  $p \in R$  is *prime* if  $p \neq 0$ , not a unit and if  $p \mid xy$ , then either  $p \mid x$  or  $p \mid y$ .

**Proposition.** Let  $r \in R$ . Then  $r \neq 0$  is prime if and only if (r) is a prime ideal.

*Proof.* Suppose that (r) is a prime ideal. Then it is proper by definition, so r is not a unit. Suppose that  $r \mid xy$ , so  $xy \in (r)$  so by primality either x or y lies in (r) so  $r \mid x$  or  $r \mid y$ . Conversely let  $r \in R$  be a prime. Suppose  $xy \in (r)$  then  $r \mid xy$  so  $r \mid x$  or  $r \mid y$  so  $x \in (r)$  or  $y \in (r)$ 

Again irreducible and prime are not the same thing. However...

#### **Proposition.** Let $r \in R$ be prime. Then r is irreducible.

*Proof.* Let  $r \in R$  be a prime and suppose can write r as r = xy. Since  $r = 1_R r$  we have that  $r \mid xy$  so either  $r \mid x$  or  $r \mid y$ . Assume by symmetry that  $r \mid x$ . This means that x = rz for  $\in R$ . So r = xy = rzy. So since we're in an integral domain and  $r \neq 0$  we have that zy = 1 hence y is a unit

Now let's look at an example.

Let  $R=\mathbb{Z}[\sqrt{-5}]\leq \mathbb{C}$ , i.e. elements of the form  $a+b\sqrt{-5}$  for  $a,b\in\mathbb{Z}$ . Observe that R is an integral domain since it is a subring of a field. Let's discuss the units. We define a "norm",  $N:R\to\mathbb{Z}_{\geq 0}$  sending  $a+b\sqrt{-5}\to a^2+5b^2$ . This is a function and importantly it is multiplicative, so N(ab)=N(a)N(b). Notice that all units have norm 1, since if 1=uv, then N(1)=N(u)N(v)=1, so we must have that N(u)=N(v)=1. This implies the units are  $\pm 1$ .

#### Claim. $2 \in R$ is an irreducible element

*Proof.* If 2 = ab then N(2) = 4 = N(a)N(b). But no element in R has norm of 2. Therefore either a or b has norm 1, which means either a or b is a unit.

A similar calculation shows that  $3, 1 \pm \sqrt{-5}$  are all also irreducible. But are they prime? Observe that  $6 = (1 + \sqrt{-5})(1 - \sqrt{-5}) = 2 \times 3$ 

#### Claim. 2 does not divide $1 \pm \sqrt{-5}$

*Proof.* If it did then  $N(2) \mid N(1 \pm \sqrt{-5})$  but N(2) = 4 and  $N(1 \pm \sqrt{-5}) = 6$  but  $4 \nmid 6$  so 2 is no longer a prime in  $\mathbb{Z}[\sqrt{-5}]$ .

In this same example, we see unique factorisation of 6 no longer holds.

**Definition.** An integral domain R is called a *Euclidean domain* if there exists a Euclidean function  $\varphi: R \setminus \{0\} \to \mathbb{Z}_{>0}$  such that:

- $-\varphi(ab) \ge \varphi(b)$  for all  $a, b \ne 0$ .
- If  $a, b \in R$  with  $b \neq 0$ , then there exists  $q, r \in R$  such that a = bq + r and either r = 0 or  $\varphi(r) < \varphi(b)$ .

This definition is just saying we can run the Euclidean algorithm (or some equivalent form of it) on the ring.

We've already seen  $\mathbb{Z}$  is an integral domain where  $\varphi(x) = |x|$ . Also seen, that if we take K a field, then K[X] is a Euclidean domain with a Euclidean function given by the degree of the polynomial.

Now take  $R=\mathbb{Z}[i]\leq \mathbb{C}$  (Gaussian integers). This is a Euclidean domain with Euclidean function  $\varphi(z)=|z|^2$ 

#### Claim. $\varphi$ is a Euclidean function of R

*Proof.* The first requirement is obvious. For the second requirement, consider  $a,b \in \mathbb{Z}[i]$ , with  $b \neq 0$ . Consider the ratio  $\frac{a}{b} \in \mathbb{C}$ . There is a point  $q \in \mathbb{Z}[i]$  that has distance at most 1 from  $\frac{a}{b}$ . So we have that  $\left|\frac{a}{b}-q\right| < 1$ . Then write  $\frac{a}{b}=q+c$  where |c|<1. Then we have that a=bq+bc, now set r=bc. We know that  $r=a-bq \in R$ . And finally  $\varphi(r)=\varphi(b)\varphi(c)<\varphi(b)$