

Resolution: brief summary

Full first-order version:

$$\frac{\ell_1 \vee \cdots \vee \ell_k, \quad m_1 \vee \cdots \vee m_n}{(\ell_1 \vee \cdots \vee \ell_{i-1} \vee \ell_{i+1} \vee \cdots \vee \ell_k \vee m_1 \vee \cdots \vee m_{j-1} \vee m_{j+1} \vee \cdots \vee m_n)\theta}$$

where $\text{UNIFY}(\ell_i, \neg m_j) = \theta$.

For example, $\frac{\neg Rich(x) \vee Unhappy(x)}{Unhappy(Ken)} \quad \frac{Rich(Ken)}{Rich(Ken)}$ with $\theta = \{x/Ken\}$

Apply resolution steps to $CNF(KB \wedge \neg \alpha)$; complete for FOL

$$(1) \neg P(w) \vee Q(w)$$

$$(2) \neg Q(y) \vee S(y)$$

$$\neg P(w) \vee S(w)$$

$\swarrow \{y/w\} \quad \searrow$

+ standardize variables
apart

$$(3)$$

Note: before the resolution, the set of clauses is composed of clauses (1) and (2)

after the resolution, the resolvent is added to the set of clauses — it is then composed of clauses (1), (2), and (3) all with different variable names

1. $P(a, x, f(g(y)))$ Premise
 2. $\neg P(z, f(z), f(w)) \vee Q(w, z)$ Premise
 3. $\neg Q(g(u), u)$ Negation of what is to be proved
 4. $Q(g(y_1), a)$
 5. \square
- $\{ z/a, x/f(a), w/g(y) \}$
+ standardize apart variables
 $\{ y_1/a, u/a \}$
- Prove $Q(g(u), u)$

Some further preliminaries

$$\forall x \alpha \equiv \neg \exists x \neg \alpha$$

$$\exists x \alpha \equiv \neg \forall x \neg \alpha$$

So, when you move a \neg across a quantifier,
flip the quantifier and negate the formula
that it scopes (eg $\neg \exists x \alpha \Rightarrow \forall x \neg \alpha$)

Conversion to CNF

Everyone who loves all animals is loved by someone:

$$\forall x (\forall y (\text{Animal}(y) \Rightarrow \text{Loves}(x, y)) \Rightarrow [\exists y \text{Loves}(y, x)])$$

1. Eliminate biconditionals and implications

$$\forall x (\neg \forall y (\neg \text{Animal}(y) \vee \text{Loves}(x, y)) \vee [\exists y \text{Loves}(y, x)])$$

2. Move \neg inwards: $\neg \forall x, p \equiv \exists x \neg p$, $\neg \exists x, p \equiv \forall x \neg p$:

$$\forall x (\exists y (\neg (\neg \text{Animal}(y) \vee \text{Loves}(x, y))) \vee [\exists y \text{Loves}(y, x)])$$

$$\forall x (\exists y \neg \neg \text{Animal}(y) \wedge \neg \text{Loves}(x, y)) \vee [\exists y \text{Loves}(y, x)]$$

$$\forall x (\exists y \text{Animal}(y) \wedge \neg \text{Loves}(x, y)) \vee [\exists y \text{Loves}(y, x)]$$

3. Standardize variables: each quantifier should use a different one

$$\forall x (\exists y (\text{Animal}(y) \wedge \neg \text{Loves}(x, y)) \vee [\exists z \text{Loves}(z, x)])$$

Conversion to CNF contd.

4. Skolemize: a more general form of existential instantiation.
Each existential variable is replaced by a **Skolem function** of the enclosing universally quantified variables:

$$\forall x \left(\text{Animal}(F(x)) \wedge \neg \text{Loves}(x, F(x)) \right) \vee \text{Loves}(G(x), x)$$

5. Drop universal quantifiers:

$$[\text{Animal}(F(x)) \wedge \neg \text{Loves}(x, F(x))] \vee \text{Loves}(G(x), x)$$

6. Distribute \wedge over \vee :

$$[\text{Animal}(F(x)) \vee \text{Loves}(G(x), x)] \wedge [\neg \text{Loves}(x, F(x)) \vee \text{Loves}(G(x), x)]$$

7. Break into clauses and Rename variables:

$$[\text{Animal}(F(x)) \vee \text{Loves}(G(x), x)] \quad [\neg \text{Loves}(x_1, F(x_1)) \vee \text{Loves}(G(x_1), x_1)]$$

Resolution proof — Did curiosity kill the cat?

- ① Jack owns a dog.
- ② Every dog owner is an animal lover.
- ③ No animal lover kills an animal.
- ④ Either Jack or Curiosity killed the cat,
 who is named Tuna.
- ⑤ Cats are animals.

① $\exists x (\text{Dog}(x) \wedge \text{Owns}(\text{Jack}, x))$

② $\forall x ((\exists y \text{Dog}(y) \wedge \text{Owns}(x, y)) \rightarrow \text{AnimalLover}(x))$

③ $\forall x (\text{AnimalLover}(x) \rightarrow \forall y (\text{Animal}(y) \rightarrow \neg \text{Kills}(x, y)))$

④ $\text{Cat}(\text{Tuna})$

⑤ $\text{Kills}(\text{Jack}, \text{Tuna}) \vee \text{Kills}(\text{Curiosity}, \text{Tuna})$

⑥ $\forall x (\text{Cat}(x) \rightarrow \text{Animal}(x))$

CNF of ①

Dog (Rover) \wedge Owns (Jack, Rover)

clause

CNF of ④

Cat (Tuna)

clause

CNF of ⑤

Kill (Jack, Tuna) \vee Kill (Curiosity, Tuna)

clause

CNF of ⑥

$$\underbrace{\neg \text{Cat}(x) \vee \text{Animal}(x)}_{\text{clause}}$$

CNF of ③

$$\forall x (\neg \text{AnimalLover}(x) \vee \forall y (\neg \text{Animal}(y) \vee \neg \text{Kills}(x, y)))$$
$$(\neg \text{AnimalLover}(x) \vee \neg \text{Animal}(y) \vee \neg \text{Kills}(x, y))$$
$$\underbrace{(\neg \text{AnimalLover}(x) \vee \neg \text{Animal}(y) \vee \neg \text{Kills}(x, y))}_{\text{clause}}$$

CNF of ②

$$\forall x (\neg \exists y (\text{Dog}(y) \wedge \text{Owns}(x, y)) \vee \text{AnimalLover}(x))$$

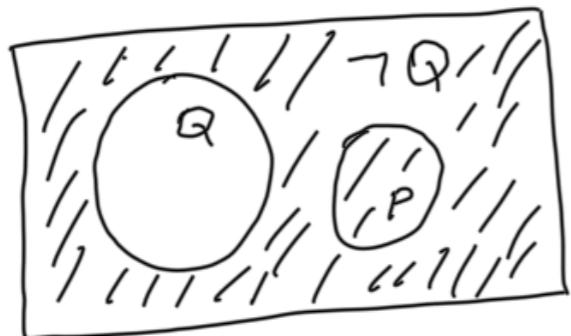
$$\forall x (\forall y \neg (\text{Dog}(y) \wedge \text{Owns}(x, y)) \vee \text{AnimalLover}(x))$$

$$\forall x (\forall y (\neg \text{Dog}(y) \vee \neg \text{Owns}(x, y)) \vee \text{AnimalLover}(x))$$

$$\neg \text{Dog}(y) \vee \neg \text{Owns}(x, y) \vee \text{AnimalLover}(x)$$

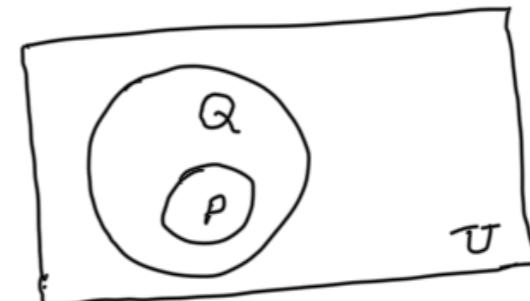
clause

No Ps are Qs



All Ps are Qs

$$\forall x (P(x) \rightarrow Q(x))$$



All Ps are not Qs

$$\forall x (P(x) \rightarrow \neg Q(x))$$

To obtain the correct representation for the other statement, cannot simply put \neg in front of this statement
(remember the discussion at the beginning of FOL)

$$\forall x(P(x) \rightarrow Q(x))$$

$$\neg \forall x (\neg P(x) \vee Q(x))$$

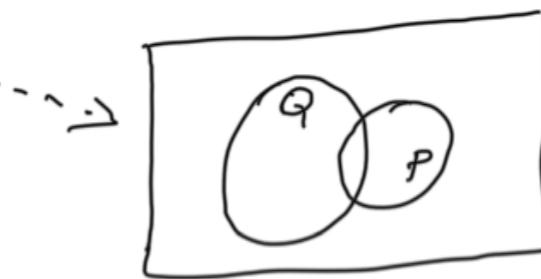
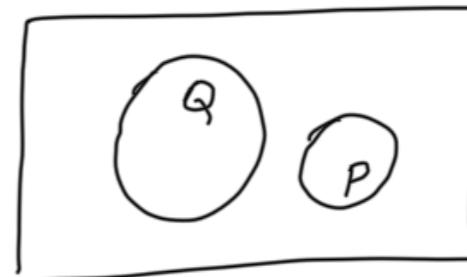
↑
negate
the previous
sentence

$$\forall x \equiv \neg \exists x \neg$$

$$\exists x \neg (\neg P(x) \vee Q(x))$$

$$\exists x (P(x) \wedge \neg Q(x))$$

No Ps are Qs



Some Ps are Qs and some Ps are not Qs
(Not all Ps are Qs)

Prenex Normal Form

quantifiers (matrix)

matrix is
quantifier-free

To convert to PNF:

biconditionals must be converted to implications

standardize the variables apart

move negations inwards (to become literal negations)

now, going from inside out, move quantifiers to
the front of the sentence using the following
rules

Prenex rules

$$1) (Q\phi) * \psi \equiv Q(\phi * \psi)$$

where Q can be \forall or \exists and $*$ can be \wedge or \vee

2) \rightarrow when moving quantifier from antecedent: flip the quantifiers
when moving quantifier from consequent: no change

Example : $(P(a) \vee \exists x Q(x)) \rightarrow \forall z R(z)$ \leftarrow variables are standardized apart

$$(\exists x (P(a) \vee Q(x))) \rightarrow \forall z R(z) \quad (1)$$
$$\forall x ((P(a) \vee Q(x)) \rightarrow \forall z R(z)) \quad (2)$$
$$\forall x \forall z ((P(a) \vee Q(x)) \rightarrow R(z)) \quad (3)$$

$$\forall x (\text{Animal Lover}(x) \rightarrow \forall y (\text{Animal}(y) \rightarrow \neg \text{Kills}(x, y)))$$

└ consequent

$$\forall x \forall y (\text{Animal Lover}(x) \rightarrow (\text{Animal}(y) \rightarrow \neg \text{Kills}(x, y)))$$

$$\begin{aligned} & P \rightarrow (Q \rightarrow R) \\ & \equiv (P \wedge Q) \rightarrow R \\ & \forall x \forall y ((\text{Animal Lover}(x) \wedge \text{Animal}(y)) \rightarrow \neg \text{Kills}(x, y)) \end{aligned}$$

Prove $\text{Kills}(\text{Curiosity}, \text{Tuna})$

1. $\text{Dog}(\text{Rover})$
 2. $\text{Owns}(\text{Jack}, \text{Rover})$
 3. $\text{Cat}(\text{Tuna})$
 4. $\text{Kills}(\text{Jack}, \text{Tuna}) \vee \text{Kills}(\text{Curiosity}, \text{Tuna})$
 5. $\neg \text{Dog}(y) \vee \neg \text{Owns}(x, y) \vee \text{Animal Lover}(x)$
 6. $\neg \text{Cat}(z) \vee \text{Animal}(z)$
 7. $\neg \text{Animal Lover}(x') \vee \neg \text{Animal}(y') \vee \neg \text{Kills}(x', y')$
 8. $\neg \text{Kills}(\text{Curiosity}, \text{Tuna})$
-
9. $\text{Kills}(\text{Jack}, \text{Tuna})$
 10. $\neg \text{Owns}(x'', \text{Rover}) \vee \text{Animal Lover}(x'')$
 11. $\text{Animal Lover}(\text{Jack})$
 12. $\text{Animal}(\text{Tuna})$
 13. $\neg \text{Animal}(y'') \vee \neg \text{Kills}(\text{Jack}, y'')$
 14. $\neg \text{Kills}(\text{Jack}, \text{Tuna})$
 15. \square

$\frac{4, 8, \{\}}{1, 5, \{y/\text{Rover}\}}$
 $\frac{2, 10, \{x''/\text{Jack}\}}{3, 6, \{z/\text{Tuna}\}}$
 $\frac{7, 11, \{x'/\text{Jack}\}}{12, 13, \{y''/\text{Tuna}\}}$
 $\frac{9, 14, \{\}}{\quad}$

1. $L(f(x_2, y_2), g(z_2)) \vee \neg L(y_2, z_2)$
2. $\neg L(f(x_3, f(c, f(d, a))), w_3)$
3. $L(a, b)$
4. $L(f(x_4, a), g(b))$ 1,3, $\{y_2/a, z_2/b\}$ + standardize apart variables
5. $L(f(x_5, f(x_6, a))), g(g(b)))$ 1,4, $\{y_2/f(x_4, a), z_2/g(b)\}$ + standardize apart variables
6. $L(f(x_9, f(x_7, f(x_8, a)), g(g(g(b)))))$ 1,5, $\{y_2/f(x_5, f(x_6, a)), z_2/g(g(b))\}$ + standardize apart variables
7. \square 2,6, $\{x_9/x_3, x_7/c, x_8/d, w_3/g(g(g(b)))\}$
- Prove $\neg L(a, b)$
~~~~~  
4

- |                                            |                                                                                                                |
|--------------------------------------------|----------------------------------------------------------------------------------------------------------------|
| 1. $L(a, b)$                               | $\left. \begin{array}{l} \\ \\ \\ \\ \\ \end{array} \right\}$ to begin,<br>variables are<br>standardized apart |
| 2. $L(f(x, y), g(z)) \vee \neg L(y, z)$    |                                                                                                                |
| 3. $\neg L(f(x_2), f(c, f(d, a))), \omega$ |                                                                                                                |
| 4. $L(f(x, a), g(b))$                      |                                                                                                                |
| 5. $L(f(x, f(x, a)), g(g(b)))$             |                                                                                                                |
| 6. $L(f(x, f(x, f(x, a))), g(g(g(b))))$    |                                                                                                                |
- 1,2,  $\{y/a, z/b\}$   
 2,4,  $\{y/f(x,a), z/g(b)\}$   
 2,5,  $\{y/f(x,f(x,a)), z/g(g(b))\}$

Now we have the shape of the arguments  
 to allow the resolution of 3 and 6.

$$L(f(\underset{x}{\uparrow}, f(\underset{x}{\uparrow}, f(\underset{x}{\uparrow}, a))), g(g(g(b)))) \quad \neg L(\underset{x_2}{\uparrow}, f(\underset{c}{\uparrow}, f(\underset{d}{\uparrow}, a))), \omega$$

but now  $x$  has to unify with  $x_2, c$ , and  $d$       Impossible!

## Restricted Language

Definite clauses in the FOL setting

→ called Datalog

$$h \leftarrow a_1 \wedge a_2 \wedge \dots \wedge a_n$$

each of  $h$  and  $a_i$  are  
first order atoms

if  $n=0$  these are called facts and it has an empty body

if  $n > 0$  these are called rules

## Restricted language (continued)

quantification is not used in the Datalog language  
but all variables are implicitly universally quantified  
in the scope of the clause

i.e.,  $P(x) \leftarrow Q(x, y)$

is to be interpreted as

$$\forall x \forall y (P(x) \leftarrow Q(x, y))$$

# Bottom-up proof procedure

$KB \vdash g$  if there is  $g'$  added to  $C$  in this procedure where  $g = g'\theta$ :

$C := \{\}$ ;

**repeat**

**select** clause “ $h \leftarrow b_1 \wedge \dots \wedge b_m$ ” in  $KB$  such that  
there is a substitution  $\theta$  such that  
for all  $i$ , there exists  $b'_i \in C$  where  $b_i\theta = b'_i\theta$  and  
there is no  $h' \in C$  such that  $h'$  is more general than  $h\theta$

$C := C \cup \{h\theta\}$

**until** no more clauses can be selected.

## Example

\* remember in this and the slides to the end of this lecture, predicates and constants are in lower case and variables are in uppercase

$\text{live}(Y) \leftarrow \text{connected\_to}(Y, Z) \wedge \text{live}(Z).$   $\text{live}(\text{outside}).$

$\text{connected\_to}(w_6, w_5).$   $\text{connected\_to}(w_5, \text{outside}).$

For bottom up proof procedure we want to know everything that is provable from these 4 definite clauses.

# Example

$\text{live}(Y) \leftarrow \text{connected\_to}(Y, Z) \wedge \text{live}(Z).$   $\text{live}(\text{outside}).$

(1)  $\text{connected\_to}(w_6, w_5).$   $\text{connected\_to}(w_5, \text{outside}).$  (+)

(2)  $C = \{\text{live}(\text{outside}),$   $\text{connected\_to}(w_6, w_5),$   $\text{connected\_to}(w_5, \text{outside})\}$

(3)  $\text{live}(w_5),$   $\text{live}(w_6)\}$

(4)  $\theta = \{z/\text{outside}, Y/w_5\}$

(5)  $\theta = \{z/w_5, Y/w_6\}$

# Top-down Proof procedure

- A **generalized answer clause** is of the form

$$\text{yes}(t_1, \dots, t_k) \leftarrow a_1 \wedge a_2 \wedge \dots \wedge a_m,$$

where  $t_1, \dots, t_k$  are terms and  $a_1, \dots, a_m$  are atoms.

- The **SLD resolution** of this generalized answer clause on  $a_i$  with the clause

$$a \leftarrow b_1 \wedge \dots \wedge b_p,$$

where  $a_i$  and  $a$  have most general unifier  $\theta$ , is

$$\begin{aligned} & (\text{yes}(t_1, \dots, t_k) \leftarrow \\ & a_1 \wedge \dots \wedge a_{i-1} \wedge b_1 \wedge \dots \wedge b_p \wedge a_{i+1} \wedge \dots \wedge a_m) \theta. \end{aligned}$$

# Top-down Proof Procedure

To solve query  $?B$  with variables  $V_1, \dots, V_k$ :

Set  $ac$  to generalized answer clause  $\text{yes}(V_1, \dots, V_k) \leftarrow B$

**while**  $ac$  is not an answer **do**

    Suppose  $ac$  is  $\text{yes}(t_1, \dots, t_k) \leftarrow a_1 \wedge a_2 \wedge \dots \wedge a_m$

**select** atom  $a_i$  in the body of  $ac$

**choose** clause  $a \leftarrow b_1 \wedge \dots \wedge b_p$  in  $KB$

    Rename all variables in  $a \leftarrow b_1 \wedge \dots \wedge b_p$

    Let  $\theta$  be the most general unifier of  $a_i$  and  $a$ .

        Fail if they don't unify

    Set  $ac$  to  $(\text{yes}(t_1, \dots, t_k) \leftarrow a_1 \wedge \dots \wedge a_{i-1} \wedge b_1 \wedge \dots \wedge b_p \wedge a_{i+1} \wedge \dots \wedge a_m) \theta$

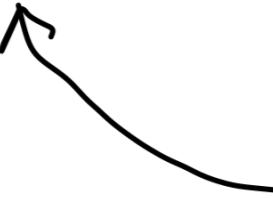
**end while.**

Answer is  $V_1 = t_1, \dots, V_k = t_k$

where  $ac$  is  $\text{yes}(t_1, \dots, t_k) \leftarrow$

# Example

$live(Y) \leftarrow connected\_to(Y, Z) \wedge live(Z).$   $live(outside).$   
 $connected\_to(w_6, w_5).$   $connected\_to(w_5, outside).$   
?  $live(A).$

this is the query (i.e., the answer clause)  
it has a variable, so the query  
is asking "what is live?"

$live(Y) \leftarrow connected\_to(Y, Z) \wedge live(Z). \quad live(outside).$

$connected\_to(w_6, w_5). \quad connected\_to(w_5, outside).$

? $live(A).$

$yes(A) \leftarrow live(A).$

$yes(A) \leftarrow connected\_to(A, Z_1) \wedge live(Z_1).$

$yes(w_6) \leftarrow live(w_5).$

$yes(w_6) \leftarrow connected\_to(w_5, Z_2) \wedge live(Z_2).$

$yes(w_6) \leftarrow live(outside).$

$yes(w_6) \leftarrow .$

$$A = w_6$$

*Rename Y and Z*

$$\theta = \{Y_1/A\}$$

$$\theta = \{A/w_6\}$$

*Rename Y and Z*

$$\theta = \{Z_2/outside\}$$

$$\theta = \{Y_2/w_5\}$$

Could have also chosen:

$$\text{yes}(A) \leftarrow \text{live}(A)$$

$$\text{yes}(\text{outside}) \leftarrow$$

$$A = \text{outside}$$

$$\Theta = \{A/\text{outside}\}$$

Could have also chosen:

$$\text{yes}(A) \leftarrow \text{live}(A)$$

$$\text{yes}(A) \leftarrow \text{connected\_to}(A, z_1) \wedge \text{live}(z_1)$$

$$\text{yes}(w_5) \leftarrow \text{live}(\text{outside})$$

$$\text{yes}(w_5) \leftarrow$$

Rename  $y$  and  $z$

$$\Theta = \{y_1/A\}$$

$$\Theta = \{A/w_5, z_1/\text{outside}\}$$

$$A = w_5$$