

# Chapter One

## Introduction to Data Structures and Algorithms

# Introduction

## A program

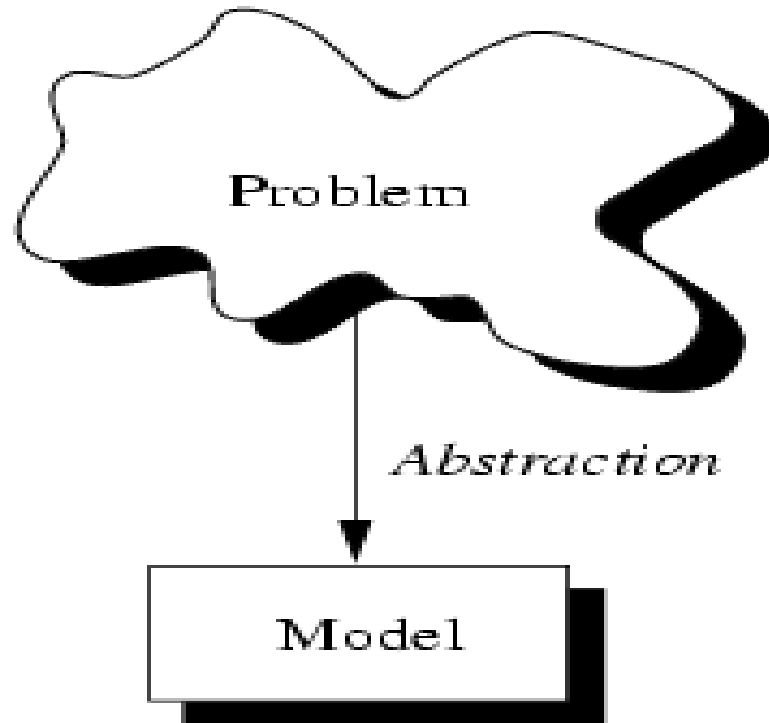
- A set of instruction which is written in to solve a problem.
- A solution to a problem actually consists of two things:
  - ✓ A way to organize the data
  - ✓ Sequence of steps to solve the problem
- The way data are organized in a computers memory is said to be **Data Structure**.
- The sequence of computational steps to solve a problem is said to be an **Algorithm**.
- Therefore, a *program* is **Data structures plus Algorithm**.

# Introduction...

Data structures are used to model the world or part of the world. How?

1. The value held by a data structure represents some specific characteristic of the world.
  2. The characteristic being modeled restricts the possible values held by a data structure and the operations to be performed on the data structure.
- The first step to solve the problem is obtaining ones own **abstract view**, or **model**, of the problem.
  - This process of modeling is called **abstraction**.

# Introduction...



- The model defines an abstract view to the problem.
- The model should only focus on problem related stuff

# Abstraction

- Is a process of classifying characteristics as **relevant** and **irrelevant** for the particular purpose at hand and ignoring the **irrelevant** ones.

Example: model students of A University.

- **Relevant:**

- Char Name[15];

- Char ID[11];

- Char Dept[20];

- int Age, year;

- **Non relevant**

- float hieght, weight;

# Abstraction...

- Using the model, a programmer tries to define the **properties** of the problem.
- These properties include
  - ✓ The **data** which are affected and
  - ✓ The **operations** that are involved in the problem
- An entity with the properties just described is called an **abstract data type (ADT)**.

# Abstract Data Types

- Consists of data to be stored and operations supported on them.
- Is a specification that describes a data set and the operation on that data.
- The ADT specifies:
  - ✓ What data is stored.
  - ✓ What operations can be done on the data.
- Does not specify how to store or how to implement the operation.
- Is independent of any programming language

# Abstract Data Types...

Example: ADT employees of an organization:

- ✓ This ADT stores employees with their relevant attributes and discarding irrelevant attributes.

Relevant:- Name, ID, Sex, Age, Salary, Dept, Address

Non Relevant :- weight, color, height

- ✓ This ADT supports hiring, firing, retiring, ... operations.



# Data Structure

- In Contrast a data structure is a language construct that the programmer has defined in order to implement an *abstract data type*.
- What is the purpose of data structures in programs?
  - Data structures are used to model a problem.

## Example:

```
struct Student_Record
{
    char name[20];
    char ID_NO[10];
    char Department[10];
    int age;
};
```

# Data Structure...

- Attributes of each variable:
  - **Name:** Textual label.
  - **Address:** Location in memory.
  - **Scope:** Visibility in statements of a program.
  - **Type:** Set of values that can be stored + set of operations that can be performed.
  - **Size:** The amount of storage required to represent the variable.
  - **Life time:** The time interval during execution of a program while the variable exists.

# Algorithm

- Is a concise specification of an operation for solving a problem.
- Is a well-defined computational procedure that takes some value or a set of values as input and produces some value or a set of values as output.

Inputs  $\longrightarrow$  Algorithm  $\longrightarrow$  Outputs

- An algorithm is a specification of a behavioral process. It consists of a finite set of instructions that govern behavior step-by-step.
- Is part of what constitutes a data structure

# Algorithm...

- Data structures model the static part of the world. They are unchanging while the world is changing.
- In order to model the dynamic part of the world we need to work with algorithms.
- Algorithms are the dynamic part of a program's world model.
- An **algorithm** transforms **data structures** from one state to another state.

# Algorithm...

- What is the purpose of algorithms in programs?
  - Take values as input.

Example: `cin >> age;`

- Change the values held by data structures.

Example: `age = age + 1;`

- Change the organization of the data structure:

Example:

Sort students by name

- Produce outputs:

Example: Display student's information

# Algorithm...

- The quality of a data structure is related to its ability to successfully model the characteristics of the world (problem).
- Similarly, the quality of an algorithm is related to its ability to successfully simulate the changes in the world.
- However, the quality of data structure and algorithms is determined by their ability to work together well.
- Generally speaking, correct data structures lead to simple and efficient algorithms.
- And correct algorithms lead to accurate and efficient data structures.

# Properties of Algorithms

## 1. Finiteness:

- Algorithm must complete after a finite number of steps.
- Algorithm should have a finite number of steps.

### Example of Finite Steps

```
int i=0;
while(i<10)
{
    cout<< i;
    i++;
}
```

### Example of Infinite Steps

```
while(true)
{
    cout<<"Hello";
}
```

## 2. Definiteness (Absence of ambiguity):

- Each step must be clearly defined, having one and only one interpretation.
- At each point in computation, one should be able to tell exactly what happens next.

## 3. Sequential:

- Each step must have a uniquely defined preceding and succeeding step.
- The first step (start step) and last step (halt step) must be clearly noted.



## 4. Feasibility:

- It must be possible to perform each instruction.
- Each instruction should have possibility to be executed.

```
a) for(int i=0; i<0; i++)  
    {  
        cout<< i; // there is no possibility that this  
    }           //statement to be executed.  
  
b)  if(5>7)  
    {  
        cout<<"hello"; // not executed.  
    }
```

## 5. Correctness:

- It must compute correct answer for all possible legal inputs.
- The output should be as expected and required and correct.

## 6. Language Independence:

- It must not depend on any one programming language.

## 7. Completeness:

- It must solve the problem completely.

## 8. Effectiveness:

- Doing the right thing. It should yield the correct result all the time for all of the possible cases.

## 9. Efficiency:

- It must solve with the least amount of computational resources such as time and space.
- Producing an output as per the requirement within the given **resources (constraints)**.

Example: Write a program that takes a number and displays the square of the number.

1) int x;	2) int x,y;
cin>>x;	cin>>x;
cout<<x*x;	y=x*x;
	cout<<y;

## Example:

Write a program that takes two numbers and displays the sum of the two.

Program a

```
cin >> a;  
cin >> b;  
sum = a + b;  
cout << sum;
```

Program b

```
cin >> a;  
cin >> b;  
a = a + b;  
cout << a;
```

Program c (the most efficient)

```
cin >> a;  
cin >> b;  
cout << a + b;
```

All are **effective** but with **different efficiencies**.

## 10. Input/output:

- There must be a specified number of input values, and one or more result values.
- Zero or more inputs and one or more outputs.

## 11. Precision:

- The result should always be the same if the algorithm is given identical input.

## 12. Simplicity:

- A good general rule is that each step should carry out one logical step.
  - What is simple to one processor may not be simple to another.

## 13. Levels of abstraction:

- Used to organize the ideas expressed in algorithms.
- Used to hide the details of a given activity and refer to just a name for those details.
- The simple (detailed) instructions are hidden inside modules.
- Well-designed algorithms are organized in terms of levels of abstraction.

# Algorithm Analysis

- Algorithm analysis refers to the process of determining how much **computing time** and **storage** that algorithms will require.
- In other words, it's a process of predicting the **resource requirement** of algorithms in a given environment.
- In order to solve a problem, there are many possible algorithms.
- One has to be able to choose the best algorithm for the problem at hand using some scientific method.

- To classify some data structures and algorithms as good:
  - we need precise ways of analyzing them in terms of resource requirement.

The main resources are:

- Running Time
- Memory Usage
- Communication Bandwidth

**Note:** *Running time* is the most important since computational time is the most precious resource in most problem domains.



There are *two* approaches to measure the efficiency of algorithms: Empirical and Theoretical

## 1. Empirical

- based on the total running time of the program.
- Uses actual system clock time.

### Example:

t1 = initial time before the program starts

```
for(int i=0; i<=10; i++)
```

```
    cout<<i;
```

t2 = final time after the execution of the program is finished

Running time taken by the above algorithm or

Total Time = t2-t1;

- It is difficult to determine efficiency of algorithms using this approach, because clock-time can vary based on many factors.

For example:

a) **Processor speed of the computer**

1.78GHz

10s

2.12GHz

<10s

b) **Current processor load**

- Only the work 10s
- With printing 15s
- With printing & browsing the internet >15s

### c) Specific data for a particular run of the program

- Input size, Input properties

t1

```
for(int i=0; i<=n; i++)
```

```
    cout<<i;
```

t2

```
T=t2-t1;
```

For  $n=100$ ,  $T \geq 0.5s$

$n=1000$ ,  $T > 0.5s$

### d) Operating System

- Multitasking Vs Single tasking
- Internal structure

## 2. Theoretical

- Determining the quantity of resources required using mathematical concept.
- Analyze an algorithm according to the **number of basic operations (time units)** required, rather than according to an absolute amount of time involved.

We use theoretical approach to determine the efficiency of algorithm because:

- The number of operation will not vary under different conditions.
- It helps us to have a meaningful measure that permits comparison of algorithms independent of operating platform.
- It helps to determine the **complexity of algorithm**.

# Complexity Analysis

Complexity Analysis is the systematic study of the cost of computation, measured either in:

- Time units
- Operations performed, or
- The amount of storage space required.

Two important ways to characterize the effectiveness of an algorithm are its:

Space Complexity and  
Time Complexity.

## Time Complexity:

- Determine the approximate amount of time (number of operations) required to solve a problem of size  $n$ .
  - The limiting behavior of time complexity as size increases is called the **Asymptotic Time Complexity**.

## Space Complexity:

- Determine the approximate memory required to solve a problem of size  $n$ .
  - The limiting behavior of space complexity as size increases is called the **Asymptotic Space Complexity**.

- **Asymptotic Complexity** of an algorithm determines the size of problems that can be solved by the algorithm.
- Factors affecting the running time of a program:
  - CPU type (80286, 80386, 80486, Pentium I---IV)
  - Memory used, Computer used, Programming Language - C (fastest), C++ (faster), Java (fast)  
C is relatively faster than Java, because C is relatively nearer to Machine language. So, Java takes relatively larger amount of time for interpreting or translation to machine code.
  - Algorithm used and Input size

**Note:** Important factors for this course are

Input size and Algorithm used.

Complexity analysis involves two distinct phases:

- **Algorithm Analysis:** Analysis of the algorithm or data structure to produce a function  $T(n)$  that describes the algorithm in terms of the operations performed in order to measure the complexity of the algorithm.

Example: Suppose we have hardware capable of executing  $10^6$  instructions per second. How long would it take to execute an algorithm whose complexity function is  $T(n) = 2n^2$  on an input size of  $n = 10^8$ ?



Solution:  $T(n) = 2n^2 = 2(10^8)^2 = 2 * 10^{16}$

Running time =  $T(10^8)/10^6 = 2 * 10^{16}/10^6 = 2 * 10^{10}$   
seconds.

**Order of Magnitude Analysis:** Analysis of the function  $T(n)$  to determine the general complexity category to which it belongs.

- There is no generally accepted set of rules for algorithm analysis.
- However, an exact count of operations is commonly used.
- To count the number of operations we can use the following Analysis Rule.

## Analysis Rules:

1. Assume an arbitrary time unit.
2. Execution of one of the following operations takes time 1 unit:
  - Assignment Operation, Example: `i=0;`
  - Single Input/Output Operation  
Example: `cin>>a;`  
`cout<<"hello";`
  - Single Boolean Operations, Example: `i>=10`
  - Single Arithmetic Operations, Example: `a+b;`
  - Function Return, Example: `return sum;`
3. Running time of a selection statement (if, switch) is the time for the condition evaluation plus the maximum of the running times for the individual clauses in the selection.

Example:    `int x;`  
                 `int sum=0;`  
                 `if(a>b)`  
                 `{`  
                     `sum= a+b;`  
                     `cout<<sum;`  
                 `}`  
                 `else`  
                 `{`  
                     `cout<<b;`  
                 `}`

$$T(n) = 1 + 1 + \max(3, 1) = 5$$

## 4. Loop statements:

- The running time for the statements inside the loop \* number of iterations + time for setup(1) + time for checking (number of iteration + 1) + time for update (number of iteration)
- The total running time of statements inside a group of nested loops is the running time of the statements \* the product of the sizes of all the loops.
- For nested loops, analyze inside out.
- Always assume that the loop executes the maximum number of iterations possible. (Why?)
  - Because we are interested in the worst case complexity.

## 5. Function call:

1 for setup + the time for any parameter calculations + the time required for the execution of the function body.

### Examples:

1)

```
int k=0,n;  
cout<<"Enter an integer";  
cin>>n  
for(int i=0;i<n; i++)  
    k++;
```

$$T(n) = 3 + 1 + n + 1 + n + n = 3n + 5$$

```

2)  int i=0;
    while(i<n)
    {
        cout<<i;
        i++;
    }
    int j=1;
    while(j<=10)
    {
        cout<<j;
        j++;
    }

```

$$\begin{aligned}
 T(n) &= 1+n+1+n+n+1+1+2(10) \\
 &= 3n+34
 \end{aligned}$$

3)

```
int k=0;
```

```
for(int i=1 ; i<=n; i++)
```

```
    for( int j=1; j<=n; j++)
```

```
        k++;
```

$$T(n) = 1 + 1 + (n+1) + n + n(1 + (n+1) + n + n)$$

$$= 2n + 3 + n(3n + 2)$$

$$= 2n + 3 + 3n^2 + 2n$$

$$= 3n^2 + 4n + 3$$

4). `int sum=0;`

`for(i=1;i<=n;i++)`

`sum=sum+i;`

$$\begin{aligned}T(n) &= 1 + 1 + (n+1) + n + (1+1)n \\ &= 3 + 4n = O(n)\end{aligned}$$

5). `int counter(){`

`int a=0;`

`cout<<"Enter a number";`

`cin>>n;`

`for(i=0;i<n;i++)`

`a=a+1;`

`return 0; }`

$$\begin{aligned}T(n) &= 1 + 1 + 1 + (1 + n + 1 + n) + 2n + 1 \\ &= 4n + 6 = O(n)\end{aligned}$$



```

6). void func( ){
    int x=0; int i=0; int j=1;
    cout<<"Enter a number";
    cin>>n;
    while(i<n){
        i=i+1;
    }
    while(j<n){
        j=j+1;
    }
}

```

$$\begin{aligned}
 T(n) &= 1+1+1+1+1+n+1+2n+n+2(n-1) \\
 &= 6+4n+2n-2 \\
 &= 4+6n = O(n)
 \end{aligned}$$

```

7). int sum(int n){
    int s=0;
    for(int i=1;i<=n;i++)
        s=s+(i*i*i*i);
    return s;
}

```

$$\begin{aligned}
 T(n) &= 1 + (1 + n + 1 + n + 5n) + 1 \\
 &= 7n + 4 = O(n)
 \end{aligned}$$

```

8). int sum=0;
    for(i=0;i<n;i++)
        for(j=0;j<n;j++)
            sum++;

```

$$\begin{aligned}
 T(n) &= 1 + 1 + (n+1) + n + n * (1 + (n+1) + n + n) \\
 &= 3 + 2n + n^2 + 2n + 2n^2 \\
 &= 3 + 2n + 3n^2 + 2n \\
 &= 3n^2 + 4n + 3 = O(n^2)
 \end{aligned}$$

# Formal Approach to Analysis

- In the above examples we have seen that analyzing Loop statements is so complex.
- It can be simplified by using some formal approach in which case we can ignore **initializations, loop controls, and updates.**

## Simple Loops: Formally

- **For loop** can be translated to a summation.
- The index and bounds of the summation are the same as the index and bounds of the **for loop.**

- Suppose we count the number of additions that are done. There is 1 addition per iteration of the loop, hence  $n$  additions in total.

```
for (int i = 1; i <= N; i++) {  
    sum = sum+i;  
}
```

$$\sum_{i=1}^N 1 = N$$

## Nested Loops: Formally

- Nested for loops translate into multiple summations, one for each **For** loop.

```
for (int i = 1; i <= N; i++) {  
    for (int j = 1; j <= M; j++) {  
        sum = sum+i+j;  
    }  
}
```

$$\sum_{i=1}^N \sum_{j=1}^M 2 = \sum_{i=1}^N 2M = 2MN$$

## Consecutive Statements: Formally

- Add the running times of the separate blocks of your code.

```
for (int i = 1; i <= N; i++) {  
    sum = sum+i;  
}  
for (int i = 1; i <= N; i++) {  
    for (int j = 1; j <= N; j++) {  
        sum = sum+i+j;  
    }  
}
```

$$\left[ \sum_{i=1}^N 1 \right] + \left[ \sum_{i=1}^N \sum_{j=1}^N 2 \right] = N + 2N^2$$

## Conditionals: (Formally take maximum)

### Example:

```
if (test == 1) {  
    for (int i = 1; i <= N; i++) {  
        sum = sum+i;  
    }  
} else for (int i = 1; i <= N; i++) {  
    for (int j = 1; j <= N; j++) {  
        sum = sum+i+j;  
    }  
}
```

$$\max \left( \sum_{i=1}^N 1, \sum_{i=1}^N \sum_{j=1}^N 2 \right) =$$
$$\max(N, 2N^2) = 2N^2$$

**Recursive:** Formally

Usually difficult to analyze.

Example: Factorial

```
long factorial(int n){  
    if(n<=1)  
        return 1;  
    else  
        return n*factorial(n-1);  
}
```

$T(n) = 1 + T(n-1) = 2 + T(n-2) = 3 + T(n-3) = \dots$   
 $= n-1$  (counting the number of multiplication)



# Categories of Algorithm Analysis

- Algorithms may be examined under different situations to correctly determine their efficiency for accurate comparison.

## Best Case Analysis:

- Assumes the input data are arranged in the most advantageous order for the algorithm.
- Takes the smallest possible set of inputs.
- Causes execution of the fewest number of statements.

Computes the **lower bound** of  $T(n)$ , where  $T(n)$  is the complexity function.

## Examples:

### For sorting algorithm

- If the list is already sorted (data are arranged in the required order).

### For searching algorithm

- If the desired item is located at first accessed position.

## Worst Case Analysis:

- Assumes the input data are arranged in the most disadvantageous order for the algorithm.
- Takes the worst possible set of inputs.
- Causes execution of the largest number of statements(operations).
- Computes the upper bound of  $T(n)$  where  $T(n)$  is the complexity function.

### Example:

While sorting, if the list is in opposite order.

While searching, if the desired item is located at the last position or is missing.

## Worst Case Analysis:

Worst case analysis is the most common analysis because:

- It provides the upper bound for all input (even for bad ones).
- Average case analysis is often difficult to determine and define.
- If situations are in their best case, no need to develop algorithms because data arrangements are in the best situation.
- Best case analysis can not be used to estimate complexity.
- We are interested in the worst case time since it provides a bound for all input-this is called the “Big-Oh” estimate.

## Average Case Analysis:

- Determine the average of the running time overall permutation of input data.
- Takes an average set of inputs.
- It also assumes random input size.
- It causes average number of executions.
- Computes the **optimal bound** of  $T(n)$  where  $T(n)$  is the complexity function.
- Sometimes average cases are as bad as worst cases and as good as best cases.

## Examples:

For sorting algorithms

- While sorting, considering any arrangement (order of input data).

For searching algorithms

- While searching, if the desired item is located at any location or is missing.

The study of algorithms includes:

- How to Design algorithms (Describing algorithms)
- How to Analyze algorithms (In terms of time and memory space)
- How to validate algorithms (for any input)
- How to express algorithms (Using programming language)
- How to test a program (debugging and maintaining)

But, in this course more focus will be given to Design and Analysis of algorithms.

# Order of Magnitude

- Refers to the rate at which the storage or time grows as a function of problem size.
- It is expressed in terms of its relationship to some known functions.
- This type of analysis is called **Asymptotic analysis**.

# Asymptotic Notations

- Asymptotic Analysis is concerned with how the running time of an algorithm increases with the size of the input **in the limit**, as the size of the input increases **without bound**!
- Asymptotic Analysis makes use of  $O$  (Big-Oh) ,  $\Omega$  (Big-Omega),  $\theta$  (Theta),  $o$  (little-o),  $\omega$  (little-omega) - notations in performance analysis and characterizing the complexity of an algorithm.
- Note: The complexity of an algorithm is a numerical function of the size of the problem (instance or input size).

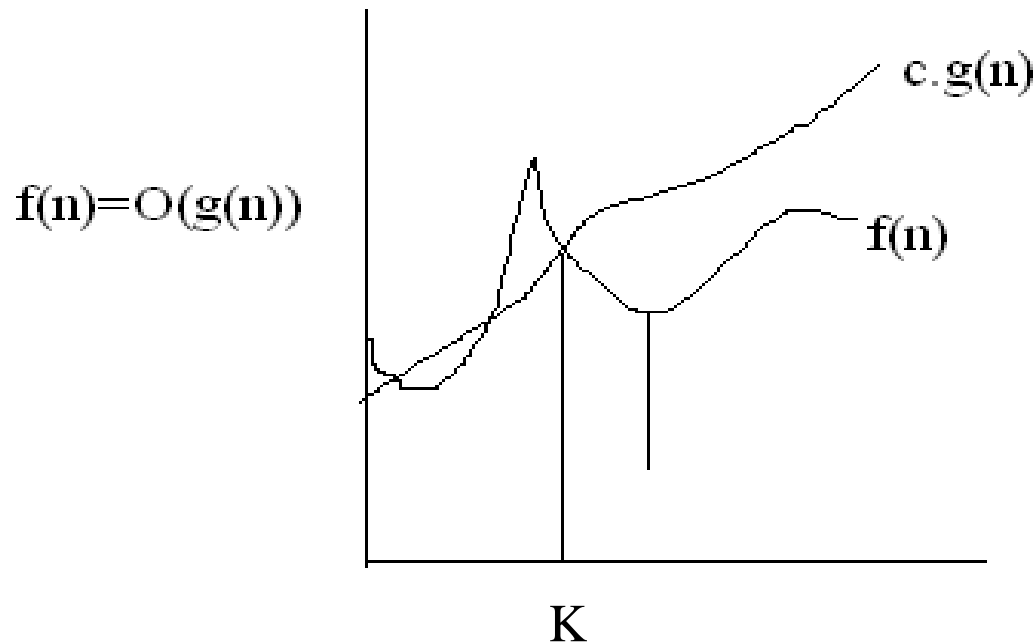


# Types of Asymptotic Notations

## 1. Big-Oh Notation

Definition: We say  $f(n) = O(g(n))$ , if there are positive constants  $k$  and  $c$ , such that to the right of  $k$ , the value of  $f(n)$  always lies on or below  $c.g(n)$ .

- As  $n$  increases  $f(n)$  grows no faster than  $g(n)$ .
- It's only concerned with what happens for very large values of  $n$ .
- Describes the worst case analysis.
- Gives an upper bound for a function to within a constant factor.



- O-Notations are used to represent the amount of time an algorithm takes on the worst possible set of inputs, “Worst-Case”

## Question-1

$f(n)=10n+5$  and  $g(n)=n$ .

Show that  $f(n)$  is  $O(g(n))$ . To show that  $f(n)$  is  $O(g(n))$ , we must show that there exist constants  $c$  and  $k$  such that  $f(n) \leq c.g(n)$  for all  $n \geq k$ .

$$10n+5 \leq c.n \rightarrow \text{for all } n \geq k$$

let  $c=15$ , then show that  $10n+5 \leq 15n$

$$5 \leq 5n \text{ or } 1 \leq n$$

So,  $f(n)=10n+5 \leq 15.g(n)$  for all  $n \geq 1$

( $c=15$ ,  $k=1$ ), there exist two constants that satisfy the above constraints.

## Question-2

$$f(n) = 3n^2 + 4n + 1.$$

Show that  $f(n) = O(n^2)$ .

$$3n^2 \leq 3n^2 \text{ for all } n \geq 1$$

$$4n \leq 4n^2 \text{ for all } n \geq 1 \text{ and}$$

$$1 \leq n^2 \text{ for all } n \geq 1$$

$$3n^2 + 4n + 1 \leq 3n^2 + 4n^2 + n^2 \text{ for all } n \geq 1$$

$$\leq 8n^2 \text{ for all } n \geq 1$$

So, we have shown that  $f(n) \leq 8n^2$  for all  $n \geq 1$ .

Therefore,  $f(n)$  is  $O(n^2)$ , ( $c=8$ ,  $k=1$ ), there exist two constants that satisfy the constraints.

## 2. Big-Omega ( $\Omega$ )-Notation (Lower bound)

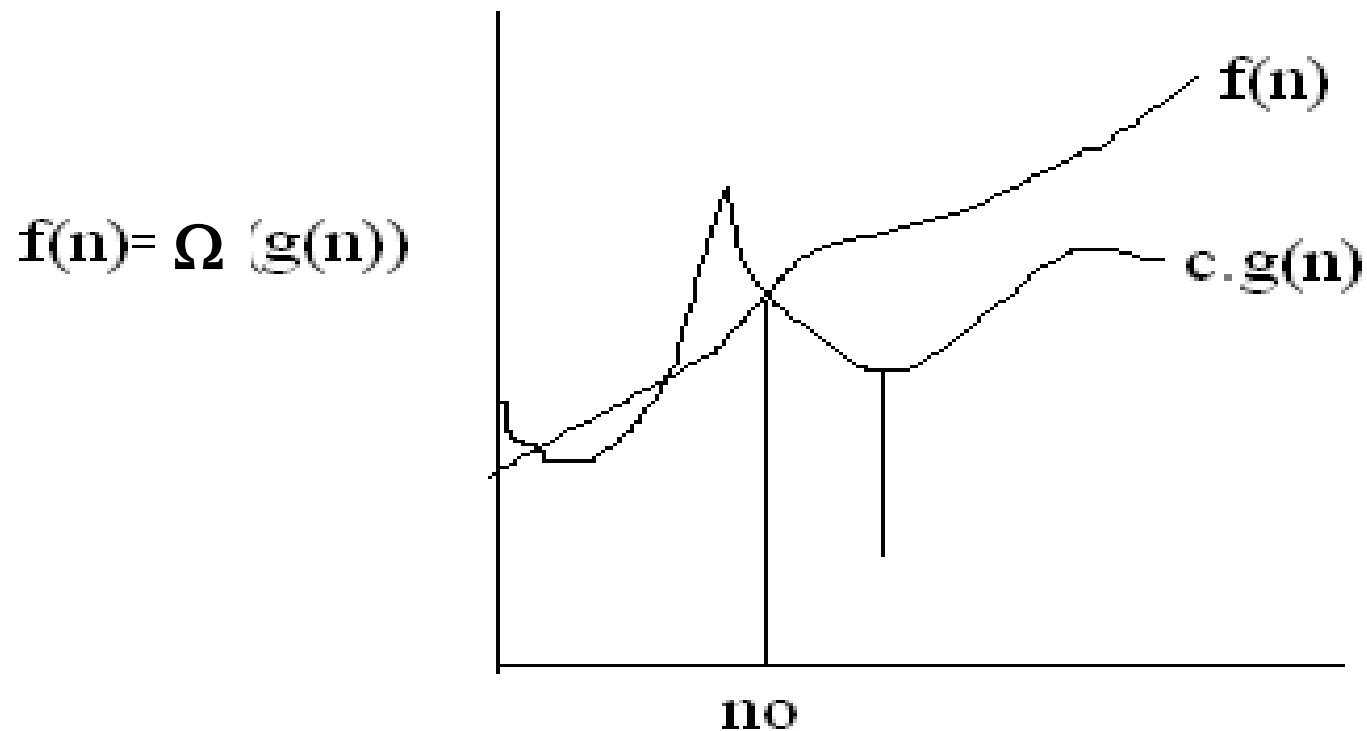
- Definition: We write  $f(n) = \Omega(g(n))$  if there are positive constants  $k$  and  $c$  such that to the right of  $k$  the value of  $f(n)$  always lies on or above  $c \cdot g(n)$ .
- As  $n$  increases  $f(n)$  grows no slower than  $g(n)$ .
- Describes the best case analysis.
- Used to represent the amount of time the algorithm takes on the smallest possible set of inputs-“Best case”.

### Example:

Find  $g(n)$  such that  $f(n) = \Omega(g(n))$  for  $f(n) = 3n + 5$ ,  $g(n) = \sqrt{n}$ ,  $c = 1$ ,  $k = 1$ .

$$f(n) = 3n + 5 = \Omega(\sqrt{n})$$

## Big-Omega ( $\Omega$ )-Notation (Lower bound)



### 3. Theta Notation ( $\theta$ -Notation) (Optimal bound)

- Definition: We say  $f(n) = \theta(g(n))$  if there exist positive constants  $n_0$ ,  $c1$  and  $c2$  such that to the right of  $n_0$ , the value of  $f(n)$  always lies between  $c1.g(n)$  and  $c2.g(n)$  inclusive, i.e.,  $c2.g(n) \leq f(n) \leq c1.g(n)$ , for all  $n \geq n_0$ .
- As  $n$  increases  $f(n)$  grows as fast as  $g(n)$ .
- Describes the average case analysis.
- To represent the amount of time the algorithm takes on an average set of inputs- “Average case”.

Example: Find  $g(n)$  such that  $f(n) = \Theta(g(n))$  for

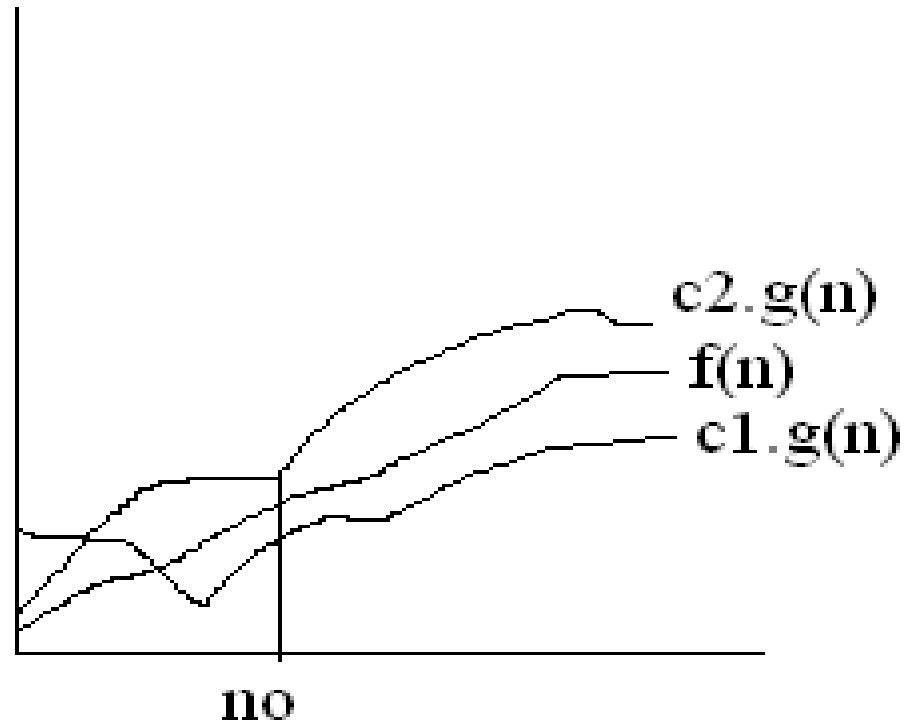
$$f(n) = 2n^2 + 3$$

$$\rightarrow n^2 \leq 2n^2 \leq 3n^2 \rightarrow c1=1, c2=3 \text{ and } n_0=1$$

$$\rightarrow f(n) = \Theta(g(n)).$$

## Theta Notation ( $\theta$ -Notation) (Optimal bound)

$$f(n) = \theta(g(n))$$





## 4. Little-oh (small-oh) Notation

- Definition: We say  $f(n)=o(g(n))$ , if there are positive constants  $n_0$  and  $c$  such that to the right of  $n_0$ , the value of  $f(n)$  lies below  $c.g(n)$ .
- As  $n$  increases,  $g(n)$  grows strictly faster than  $f(n)$ .
- Describes the worst case analysis.
- Denotes an upper bound that is not asymptotically tight.
- Big O-Notation denotes an upper bound that may or may not be asymptotically tight.

### Example:

Find  $g(n)$  such that  $f(n) = o(g(n))$  for  $f(n) = n^2$   
 $n^2 < 2n^2$ , for all  $n > 1$ ,  $\rightarrow k=1, c=2, g(n)=n^2$   
 $n^2 < n^3$ ,  $g(n) = n^3$ ,  $f(n)=o(n^3)$   
 $n^2 < n^4$ ,  $g(n) = n^4$ ,  $f(n)=o(n^4)$

## 5. Little-Omega ( $\omega$ ) notation

- Definition: We write  $f(n)=\omega(g(n))$ , if there are positive constants  $n_0$  and  $c$  such that to the right of  $n_0$ , the value of  $f(n)$  always lies above  $c.g(n)$ .
- As  $n$  increases  $f(n)$  grows strictly faster than  $g(n)$ .
- Describes the best case analysis.
- Denotes a lower bound that is not asymptotically tight.
- Big  $\Omega$ -Notation denotes a lower bound that may or may not be asymptotically tight.

Example: Find  $g(n)$  such that  $f(n)=\omega(g(n))$  for  
 $f(n)=n^2+3$

$g(n)=n$ , Since  $n^2 > n$ ,  $c=1$ ,  $k=2$ .

$g(n)=\sqrt{n}$ , Since  $n^2 > \sqrt{n}$ ,  $c=1$ ,  $k=2$ , can also be solution.

# Rules to estimate Big Oh of a given function

- Pick the highest order.
- Ignore the coefficient.

## Example:

1.  $T(n) = 3n + 5 \rightarrow O(n)$

2.  $T(n) = 3n^2 + 4n + 2 \rightarrow O(n^2)$

Some known functions encountered when analyzing algorithms. (Complexity category for Big-Oh).

See next slide →

## Rule 1:

If  $T1(n) = O(f(n))$  and  $T2(n) = O(g(n))$ , then

a)  $T1(n) + T2(n) = \max(O(f(n)), O(g(n)))$ ,

b)  $T1(n) * T2(n) = O(f(n) * g(n))$

## Rule 2:

If  $T(n)$  is a polynomial of degree  $k$ , then  $T(n) = \theta(n^k)$ .

## Rule 3:

$\log_k n = O(n)$  for any constant  $k$ . This tells us that logarithms grow very slowly.

- We can always determine the relative growth rates of two functions  $f(n)$  and  $g(n)$  by computing  $\lim_{n \rightarrow \infty} f(n)/g(n)$ .
- The limit can have four possible values.

- The limit is 0: This means that  $f(n) = o(g(n))$ .
- The limit is  $c \neq 0$ : This means that  $f(n) = \theta(g(n))$ .
- The limit is infinity: This means that  $g(n) = O(f(n))$ .
- The limit oscillates: This means that there is no relation between  $f(n)$  and  $g(n)$ .

### Example:

- $n^3$  grows faster than  $n^2$ , so we can say that  $n^2 = O(n^3)$  or  $n^3 = \Omega(n^2)$ .
- $f(n) = n^2$  and  $g(n) = 2n^2$  grow at the same rate, so both  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$  are true.
- If  $f(n) = 2n^2$ ,  $f(n) = O(n^4)$ ,  $f(n) = O(n^3)$ , and  $f(n) = O(n^2)$  are all correct, but the last option is the best answer.

$T(n)$	Complexity Category functions $F(n)$	Big-O
$c, c \text{ is constant}$	$1$	$C = O(1)$
$10\log n + 5$	$\log n$	$T(n) = O(\log n)$
$\sqrt{n} + 2$	$\sqrt{n}$	$T(n) = O(\sqrt{n})$
$5n + 3$	$n$	$T(n) = O(n)$
$3n\log n + 5n + 2$	$n\log n$	$T(n) = O(n\log n)$
$10n^2 + n\log n + 1$	$n^2$	$T(n) = O(n^2)$
$5n^3 + 2n^2 + 5$	$n^3$	$T(n) = O(n^3)$
$2^n + n^5 + n + 1$	$2^n$	$T(n) = O(2^n)$
$7n! + 2^n + n^2 + 1$	$n!$	$T(n) = O(n!)$
$8n^n + 2^n + n^2 + 3$	$n^n$	$T(n) = O(n^n)$

Complexity category	Big-Oh	Example
Constant	$T(n)=O(1)$ -constant growth	Determining if a number is even or odd.
Logarithmic	$T(n)=O(\log n)$	Finding an item in a sorted array with a binary search. $10\log n+5$
Linear	$T(n)=O(n)$	Finding an item in an unsorted list, adding two n-digit numbers. $5/3n+10$
<u>Loglinear</u>	$T(n)=O(n\log n)$	Merge sort, heap sort $3n\log n+5n+2$
Quadratic	$T(n)=O(n^2)$	Adding two <u><math>n \times n</math></u> matrices, shell sort, insertion sort, multiplying two n-digit numbers by a simple algorithm. $11/7 n^2+2n+5$
Cubic	$T(n)=O(n^3)$	Multiplying two <u><math>n \times n</math></u> matrices by simple algorithm. $3n^3+4n^2+5n+7$
Exponential	$T(n)=O(c^n)$ , $c>1$	$2^n+n^2+n+1$
Factorial	<u><math>T(n)=O(n!)</math></u>	$7n!+n^2+1$
Double exponential	$T(n)=O(c_1^{c_2^n})$ , $c_1$ and $c_2>1$	$2^{2n}+2^n+n^2+1$
<u><math>n^n</math></u>	$T(n)=O(n^n)$	$8n^n+2^n+n^2+3$

- ➔ Arrangement of common functions by growth rate.
- ➔ List of typical growth rates.

Function	Name
$c$	Constant
$\log N$	Logarithmic
$\log^2 N$	Log-squared
$N$	Linear
$N \log N$	Log-Linear
$N^2$	Quadratic
$N^3$	Cubic
$2^N$	Exponential



- The order of the body statements of a given algorithm is very important in determining Big-Oh of the algorithm.

Example: Find Big-Oh of the following algorithm.

1. for( int i=1;i<=n; i++)

    sum=sum + i;

$T(n)=2*n=2n=O(n).$

2. for(int i=1; i<=n; i++)

    for(int j=1; j<=n; j++)

        k++;

$T(n)=1*n*n=n^2 = O(n^2).$



