

# Lecture 8:

# Deep Learning on Point Cloud

Instructor: Hao Su

Feb 1, 2018

slides credits: Justin Solomon, Chengcheng Tang

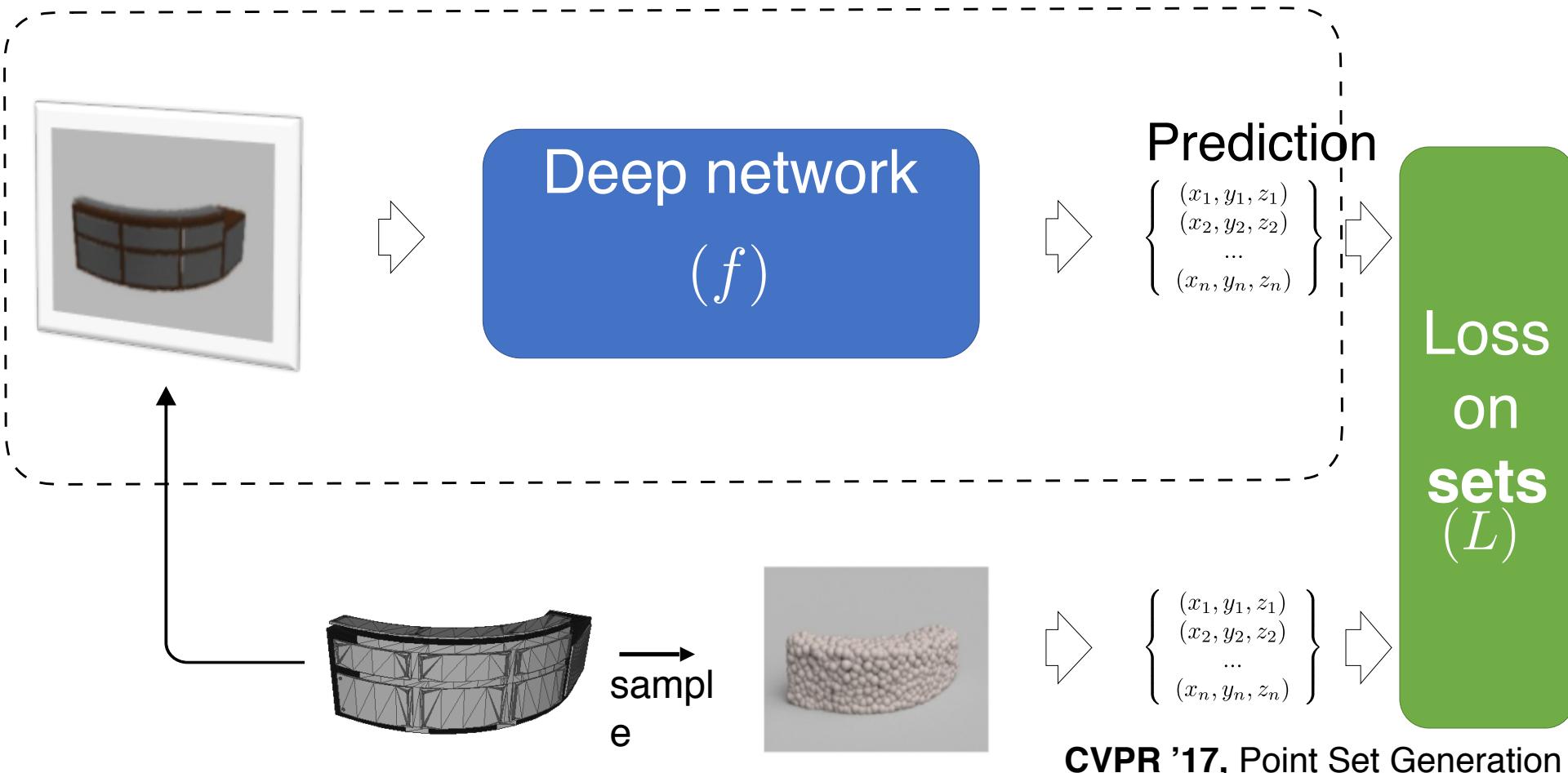
# Agenda

- Supervised Point Set Generation (cont)
- Multidimensional Scaling
- Parametric Shape Space for Homotopic Manifolds

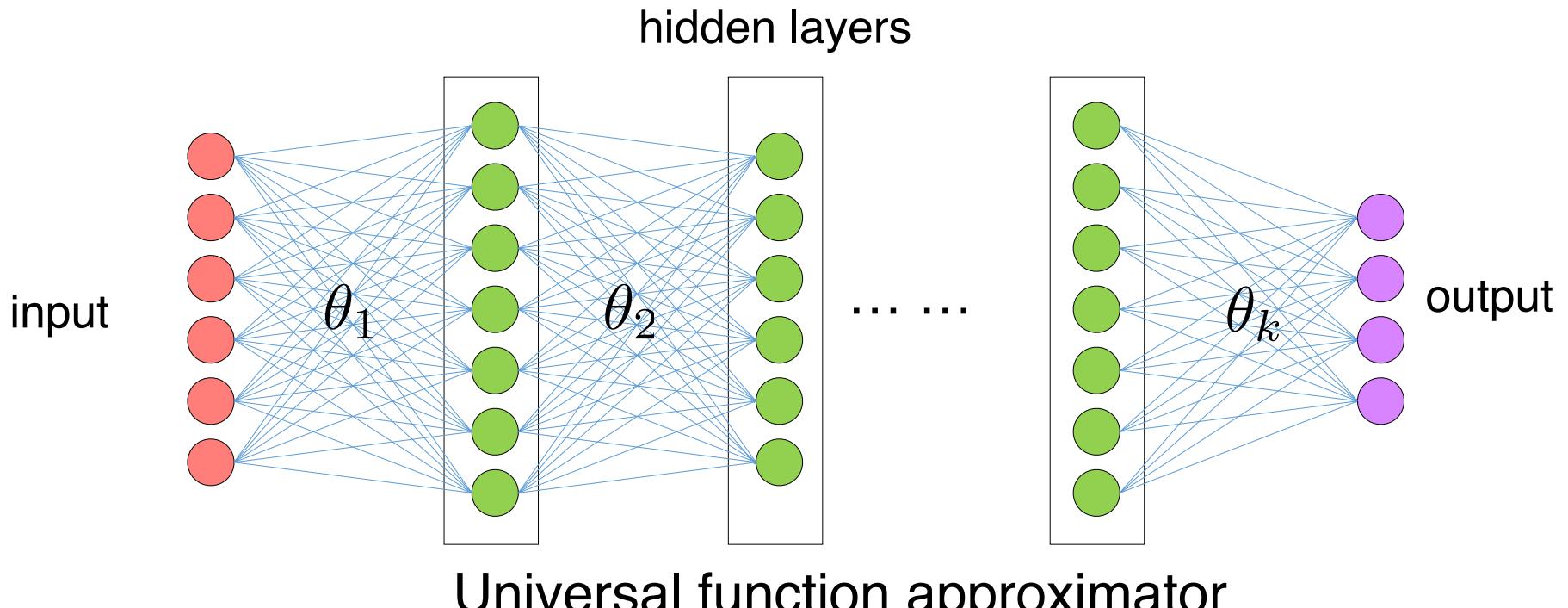
# Agenda

- **Supervised Point Set Generation (cont)**
- Multidimensional Scaling
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# Pipeline



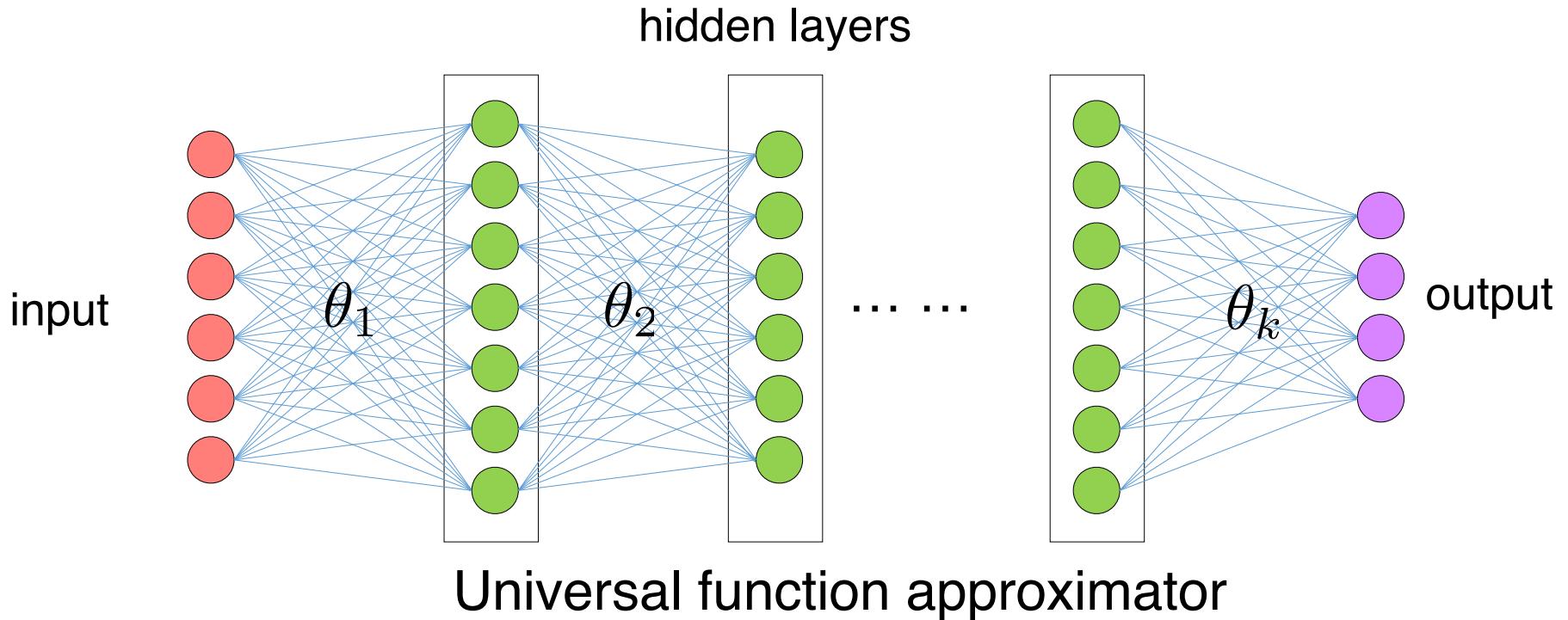
# Deep neural network



- A cascade of layers

CVPR '17, Point Set Generation

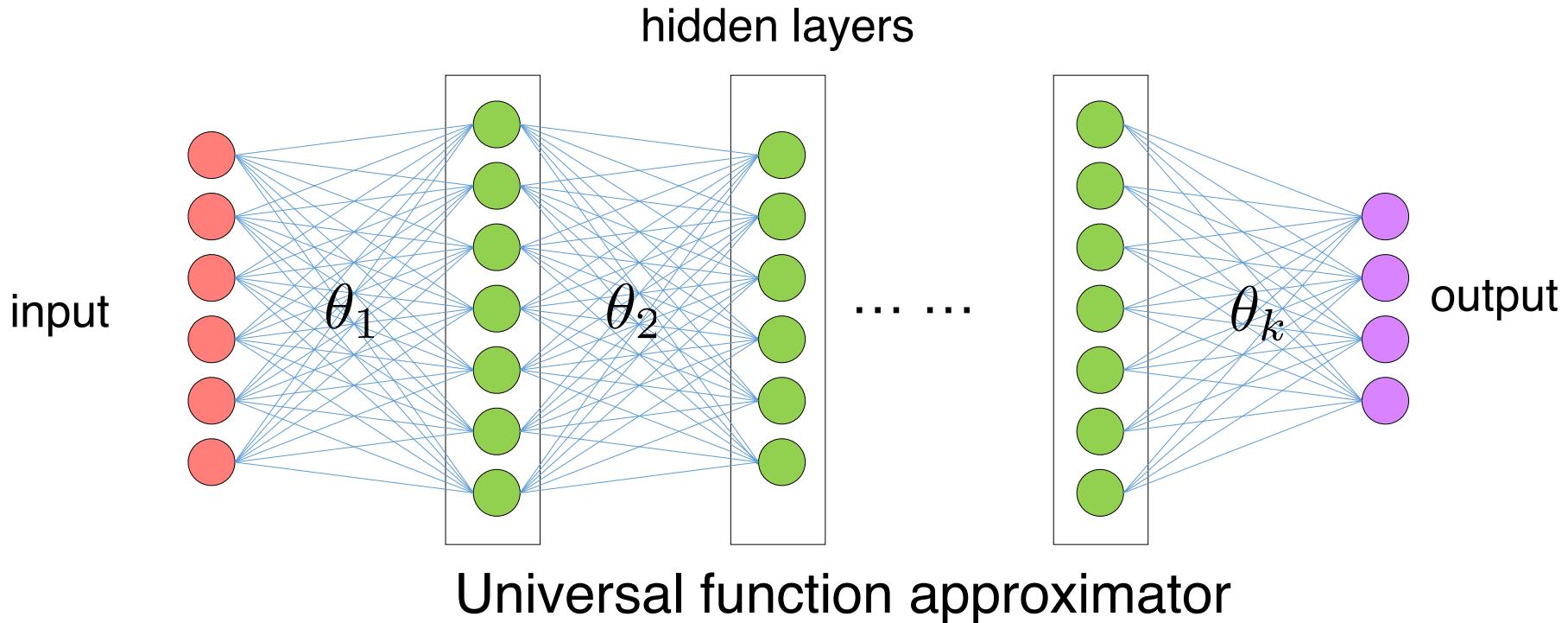
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- A cascade of layers
- Each layer conducts a simple transformation (parameterized)

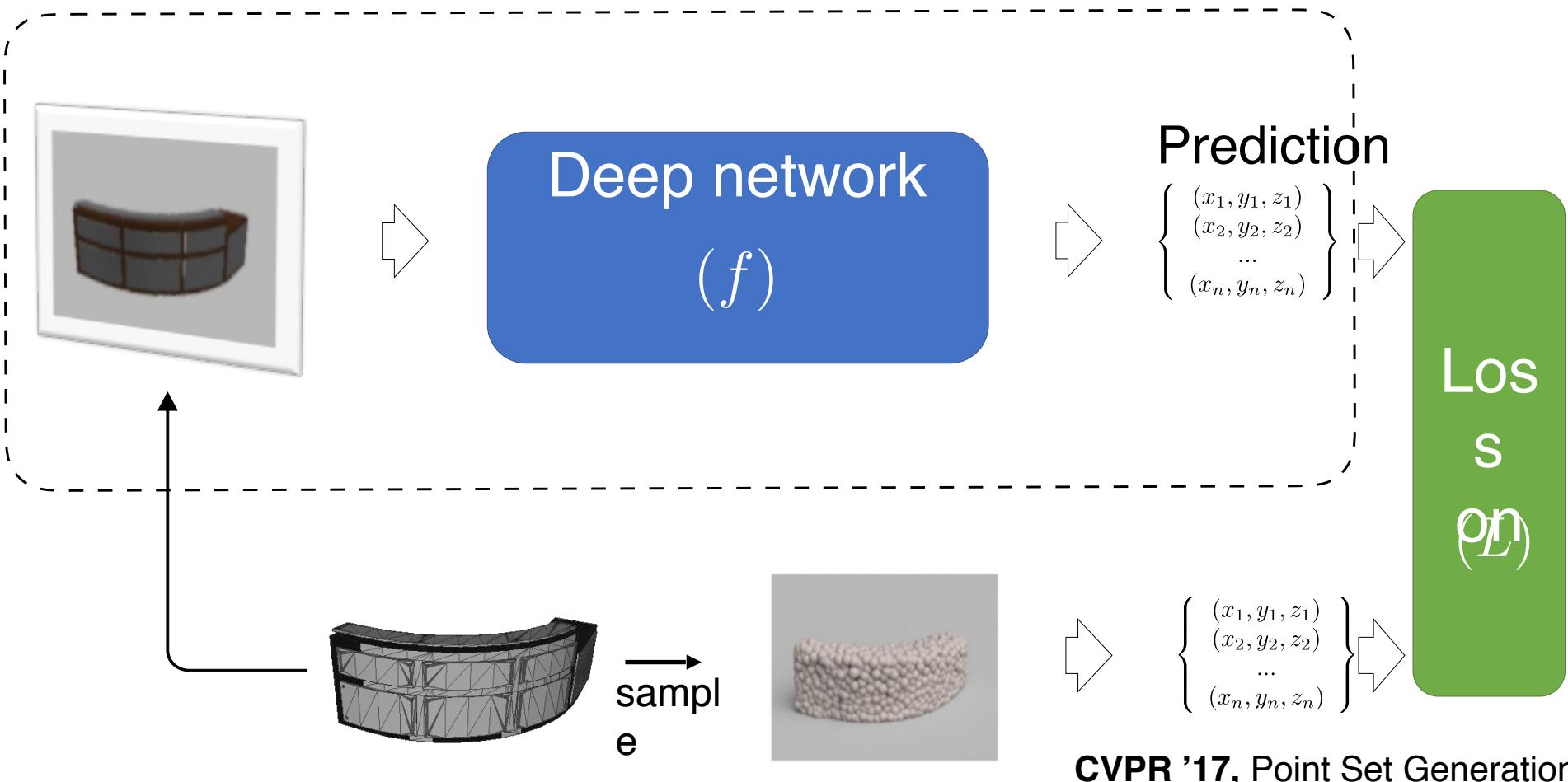
CVPR '17, Point Set Generation

# Deep neural network

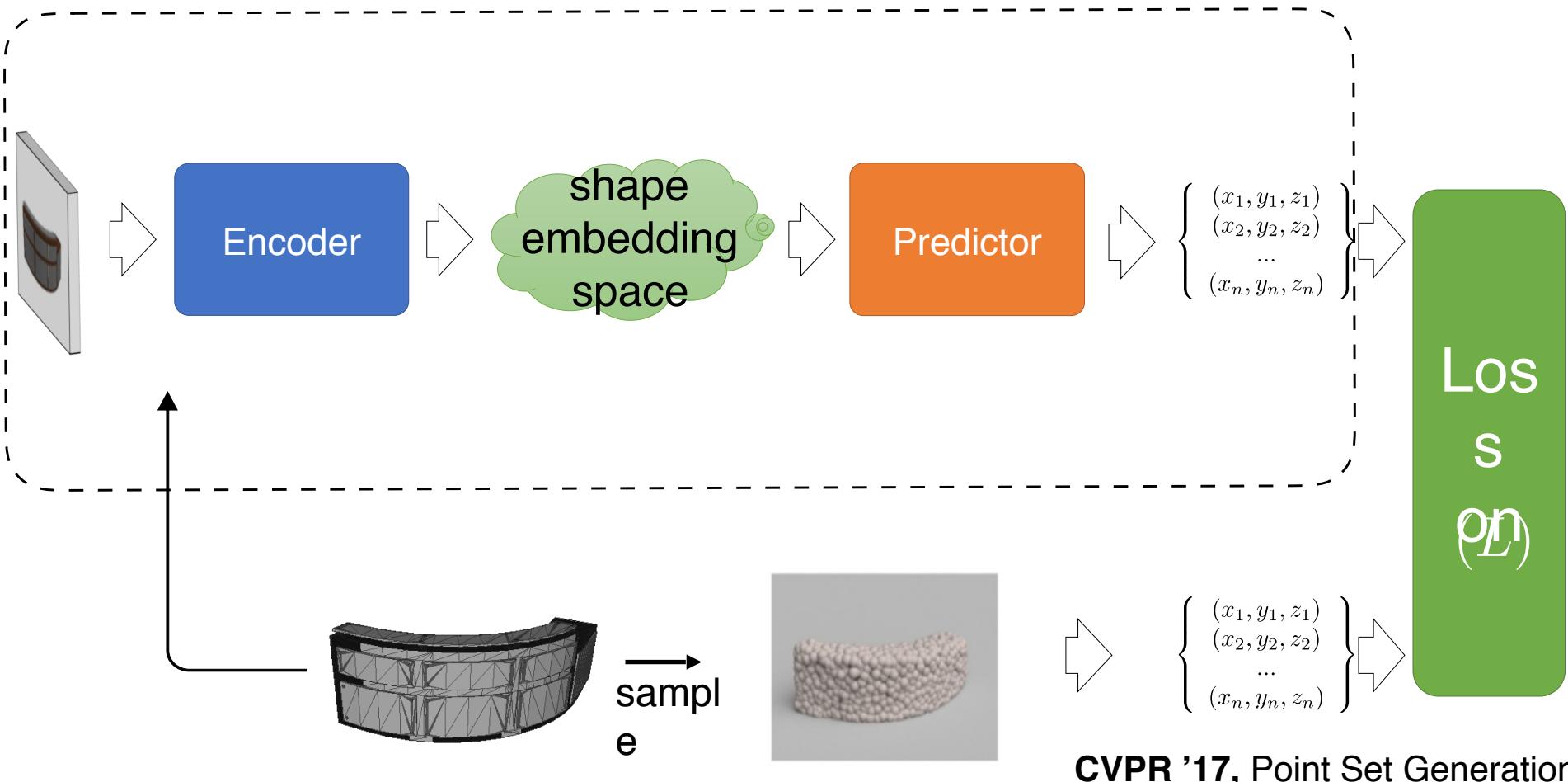


- A cascade of layers
- Each layer conducts a simple transformation (parameterized)
- Millions of parameters, has to be fitted by many data

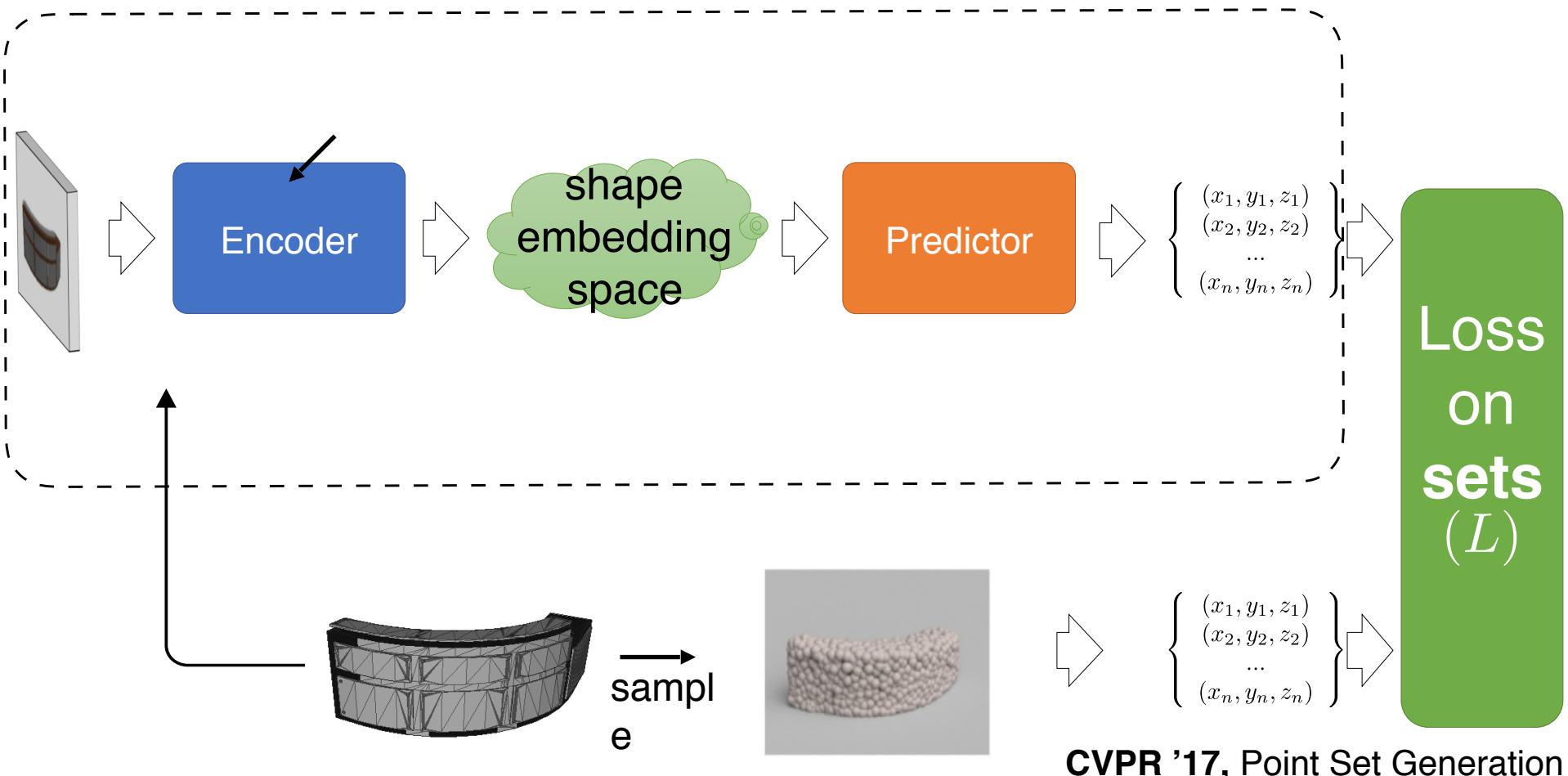
# Pipeline



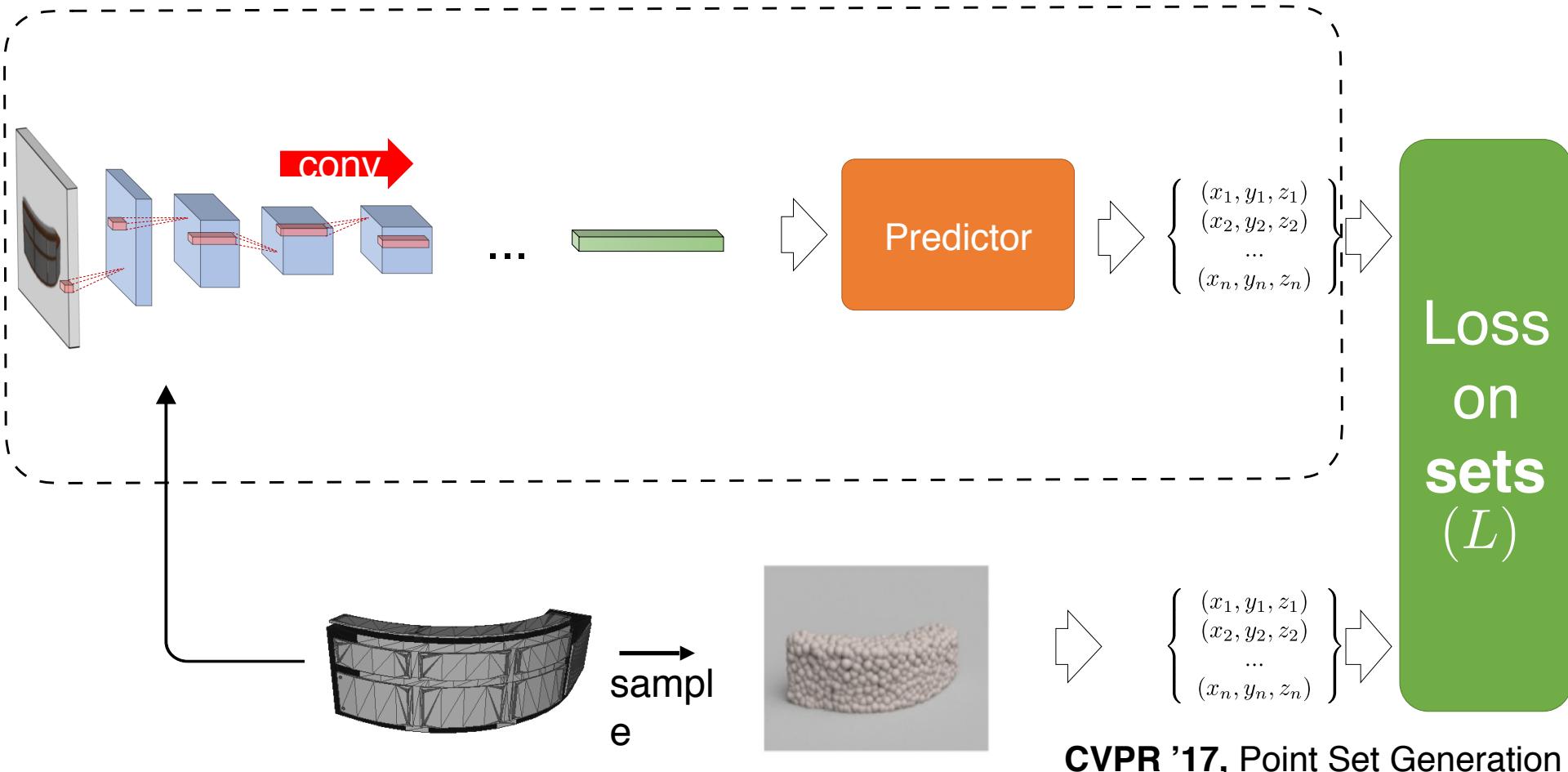
# Pipeline



# Pipeline

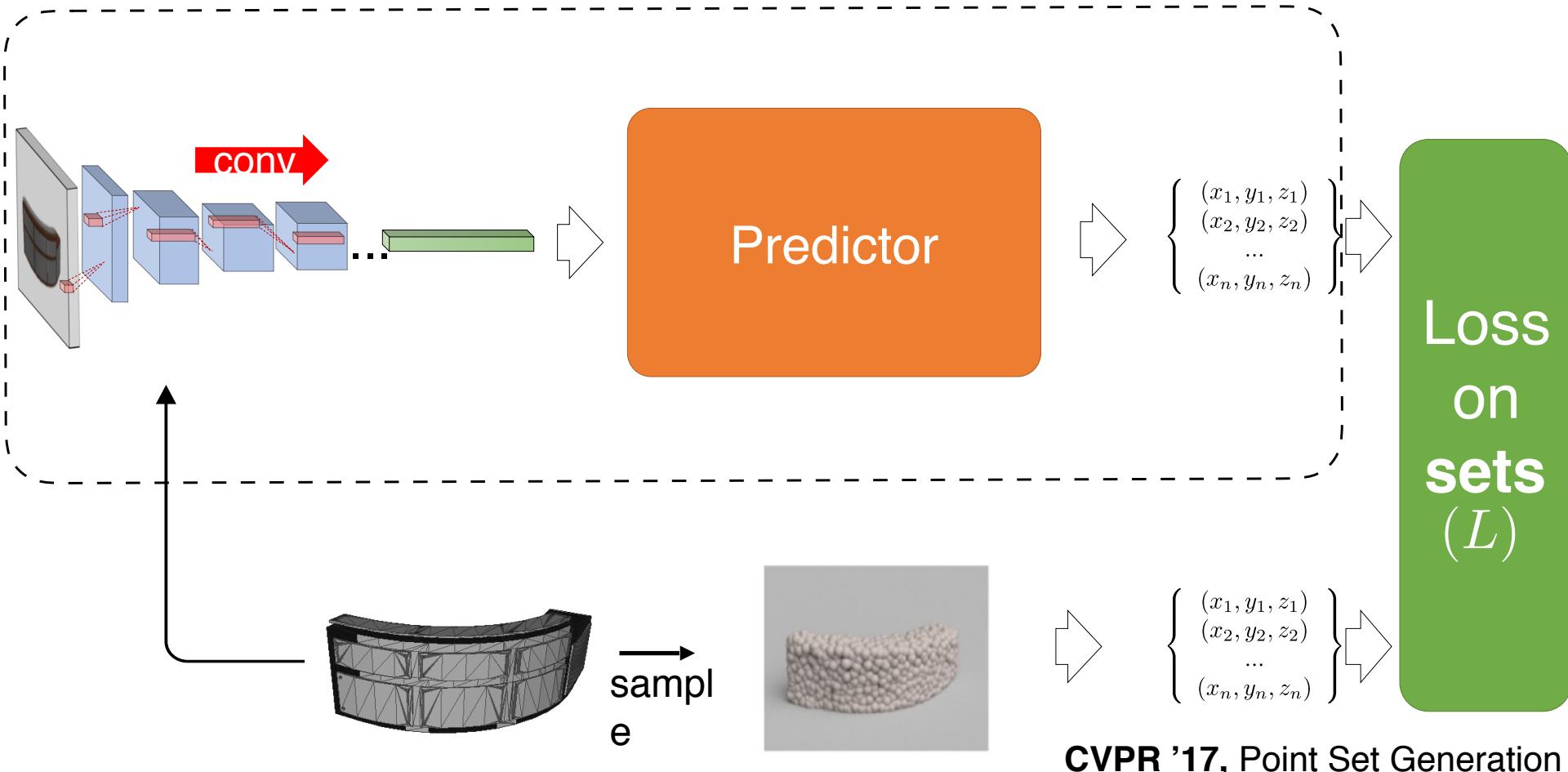


# Pipeline



CVPR '17, Point Set Generation

# Pipeline



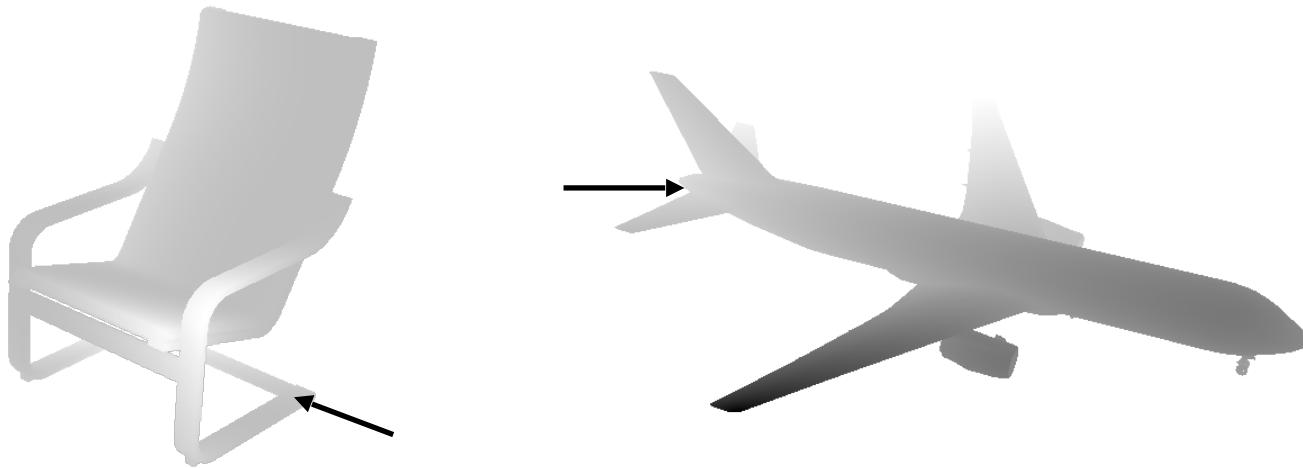
# Natural statistics of geometry



- Many local structures are common
  - e.g., planar patches, cylindrical patches
  - **strong local correlation** among point coordinates

CVPR '17, Point Set Generation

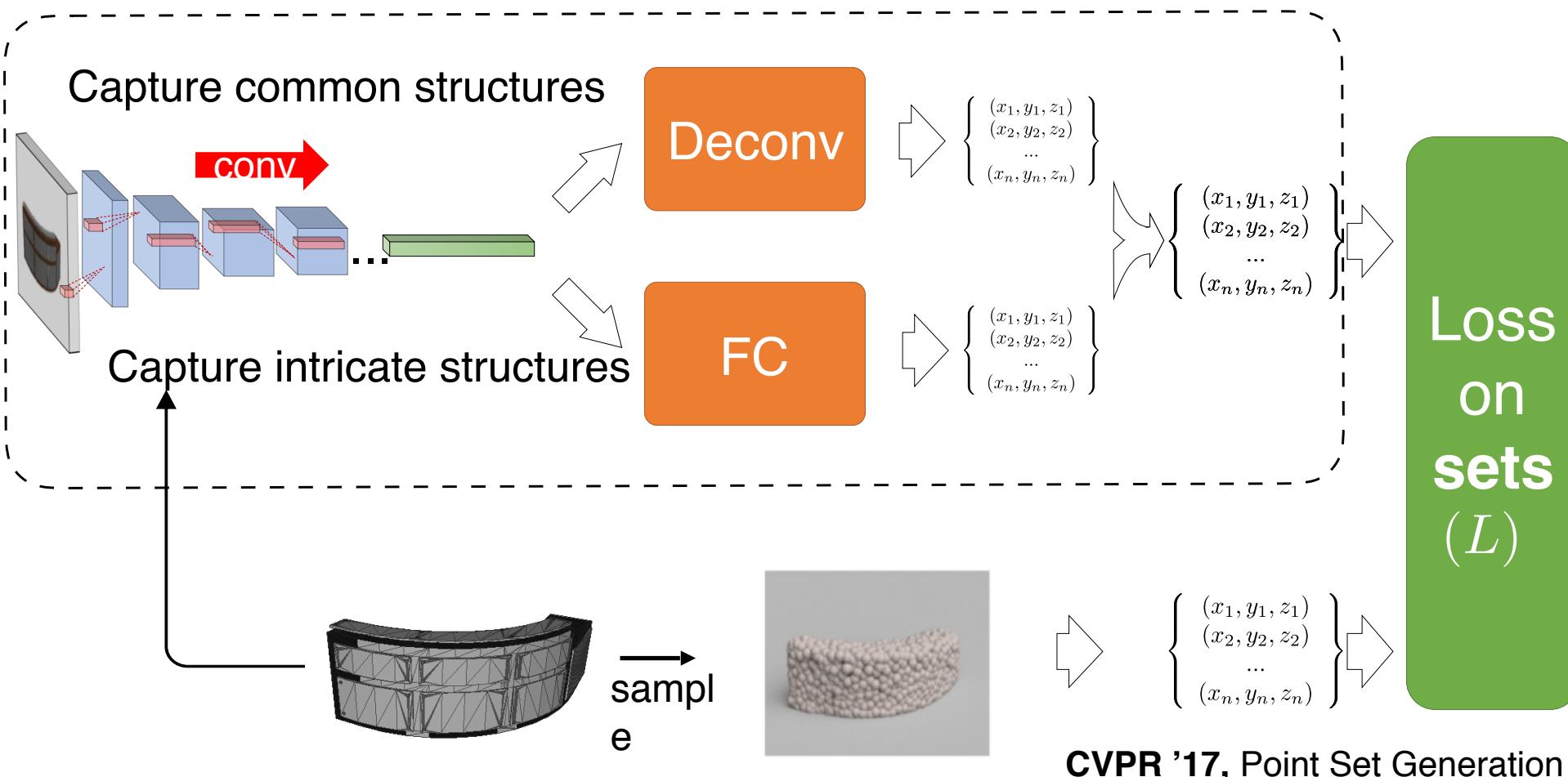
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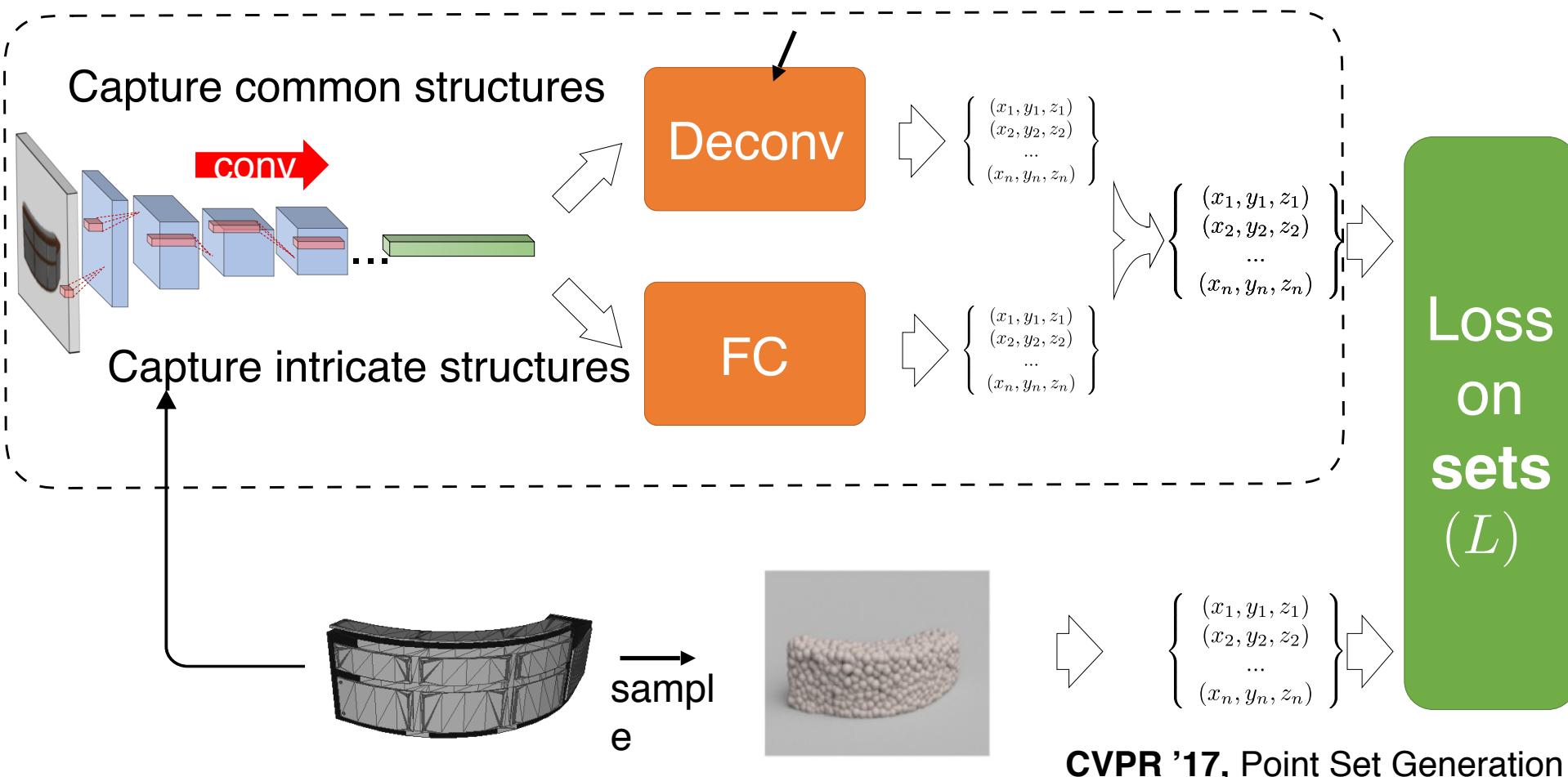
- Many local structures are common
  - e.g., planar patches, cylindrical patches
  - **strong local correlation** among point coordinates
- Also some intricate structures
  - points have **high local variation**

CVPR '17, Point Set Generation

# Pipeline

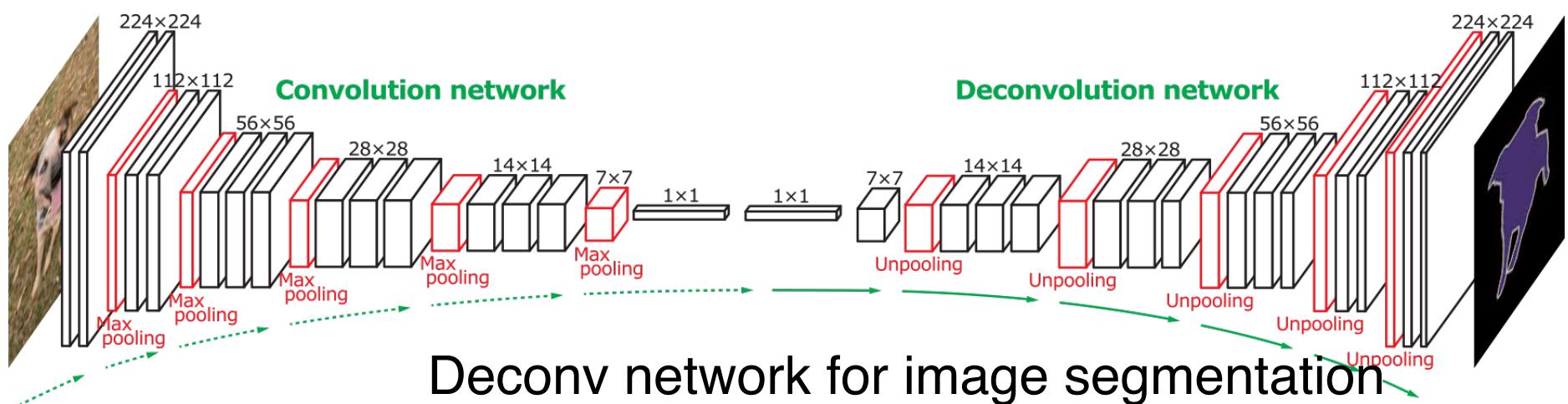


# Pipeline



# Review: deconv network

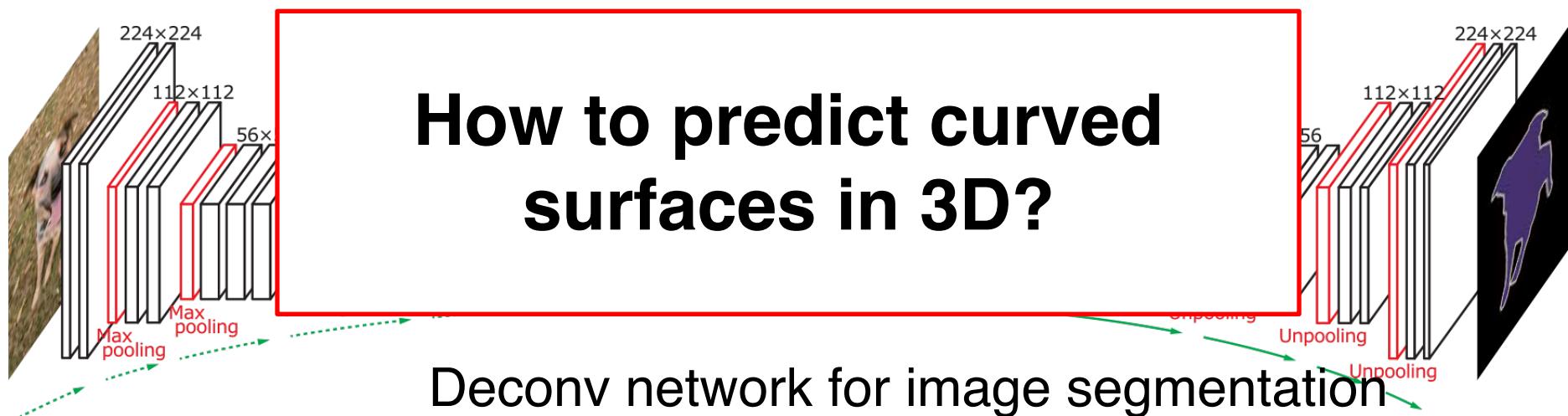
- Output  $D$  arrays, e.g., 2D segmentation map
- **Common local patterns** are **learned from data**
- Predict  $n$  **locally correlated** data well
- Weight sharing reduces the number of params



Credit: FCNN, Long

# Review: deconv network

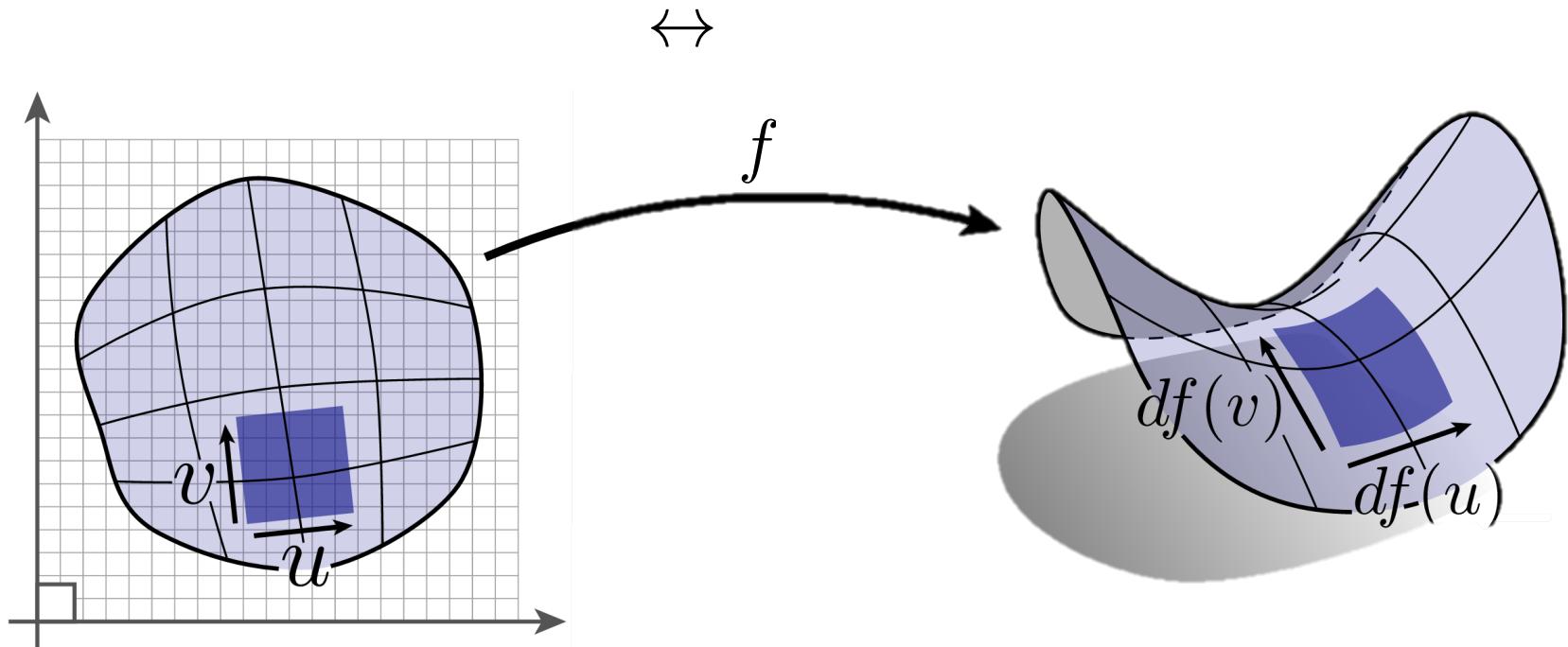
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# Prediction of curved 2D surfaces in 3D

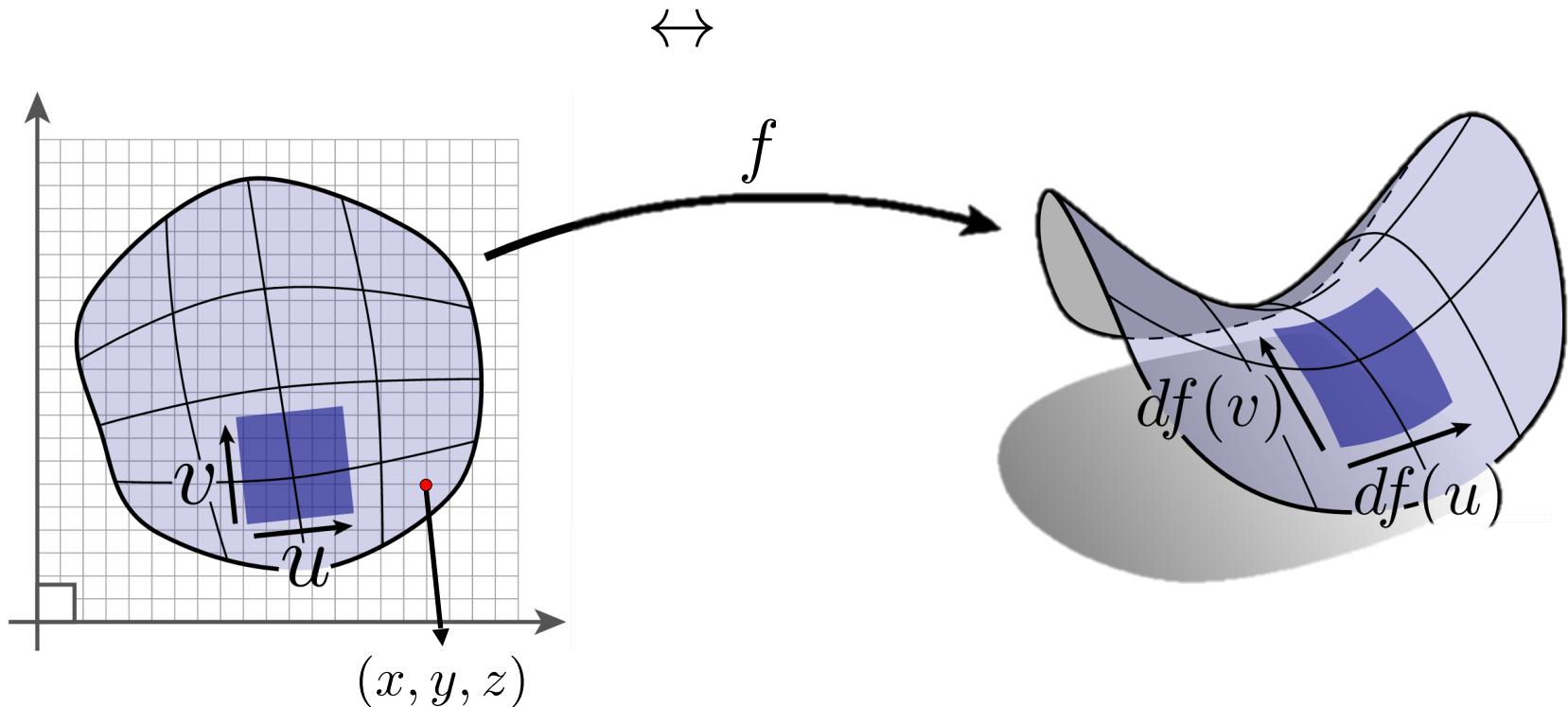
- Surface parametrization (2D → 3D mapping)



*Credit: Discrete Differential Geometry,*

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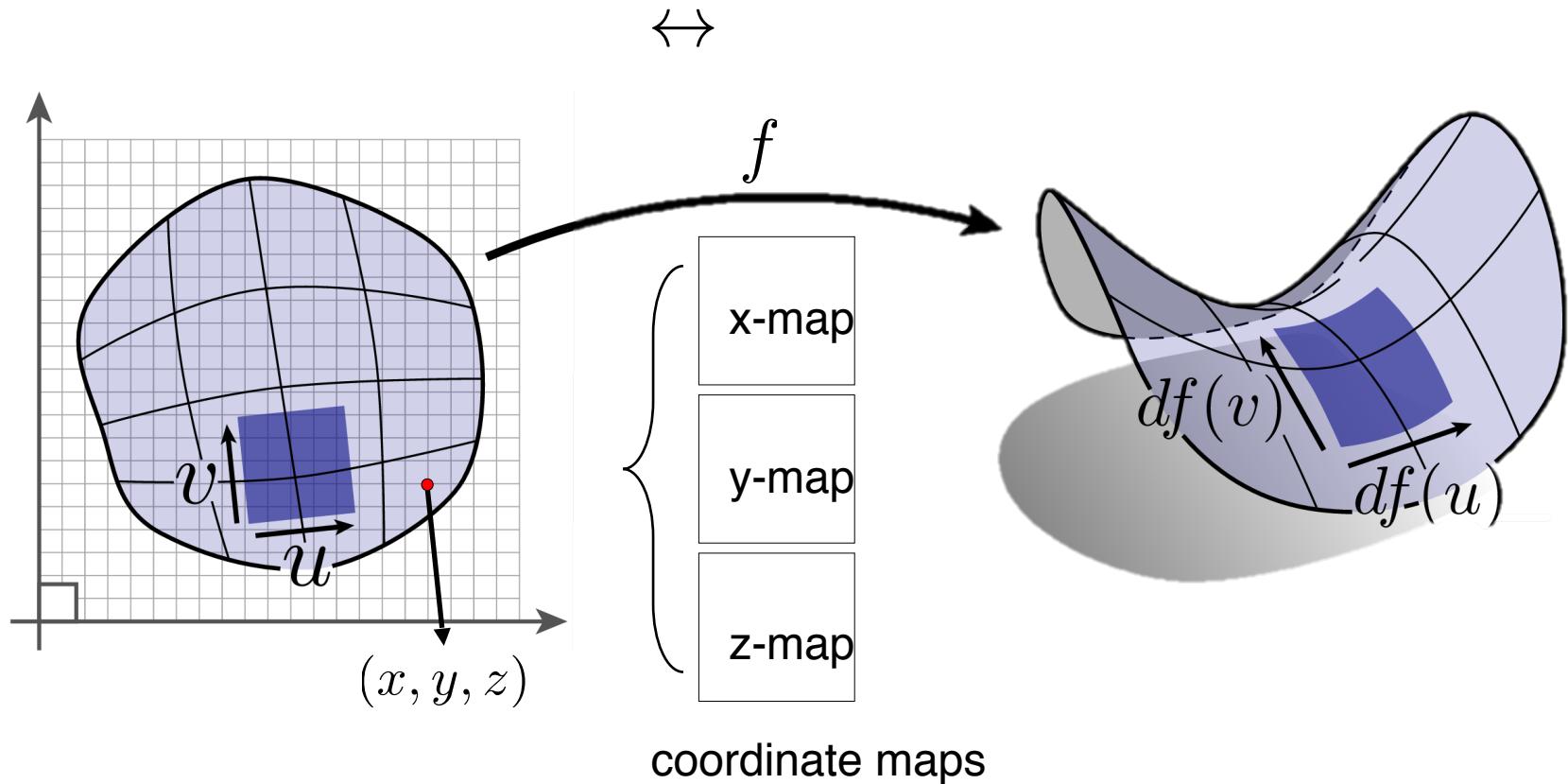
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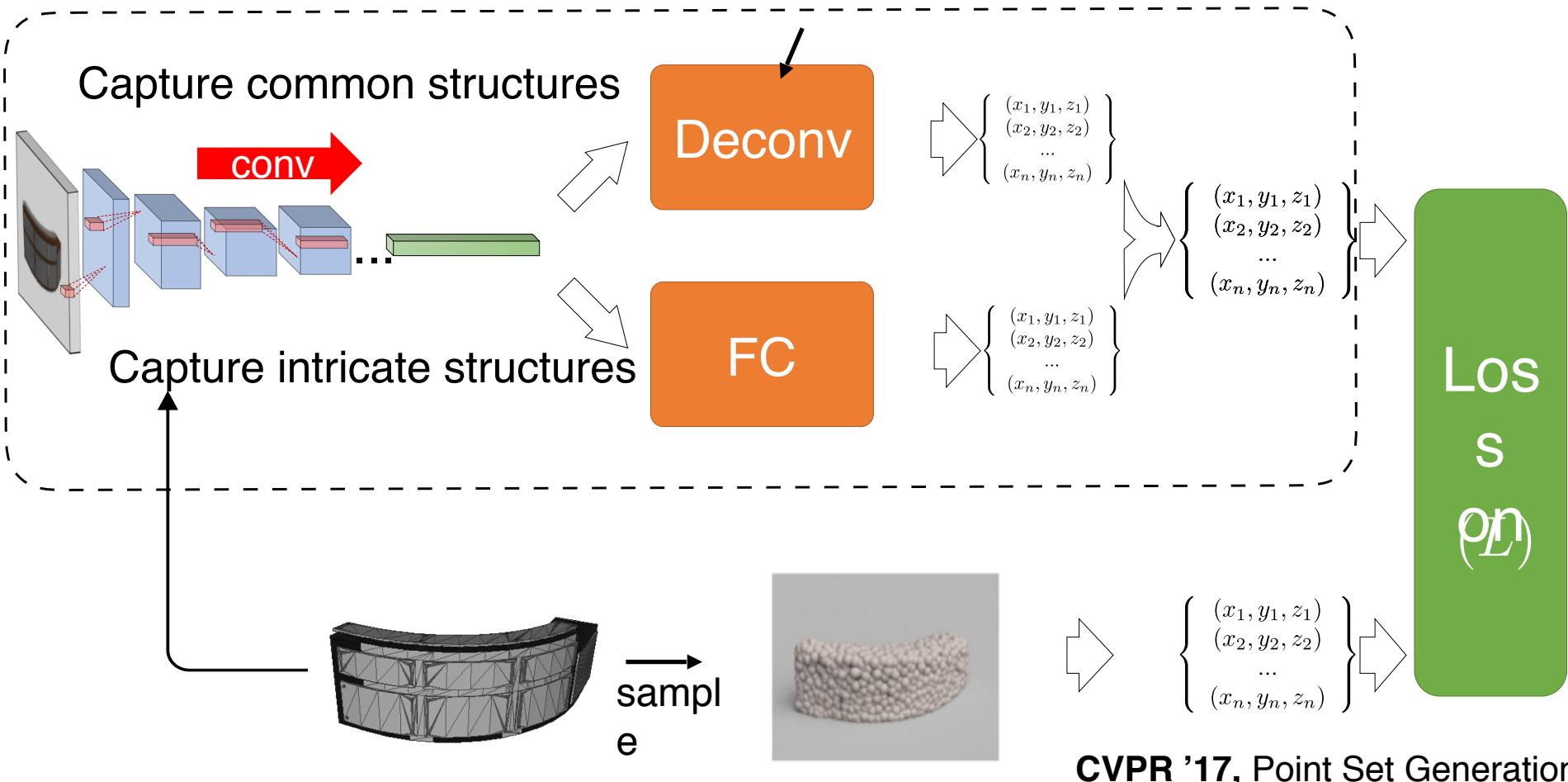
# Prediction of curved 2D surfaces in 3D

- Surface parametrization (2D-3D mapping)

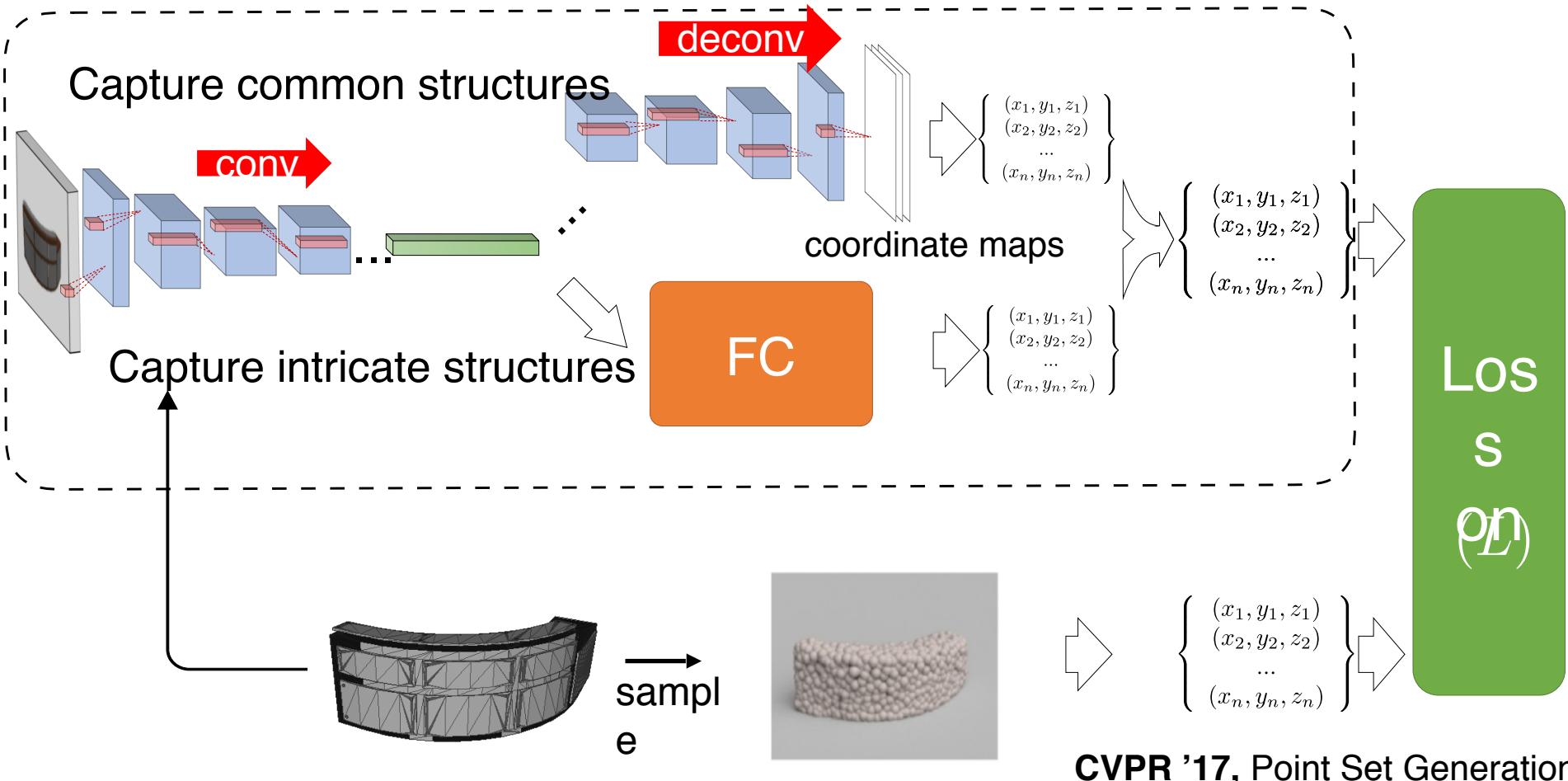


*Credit: Discrete Differential Geometry, Crane et al.*

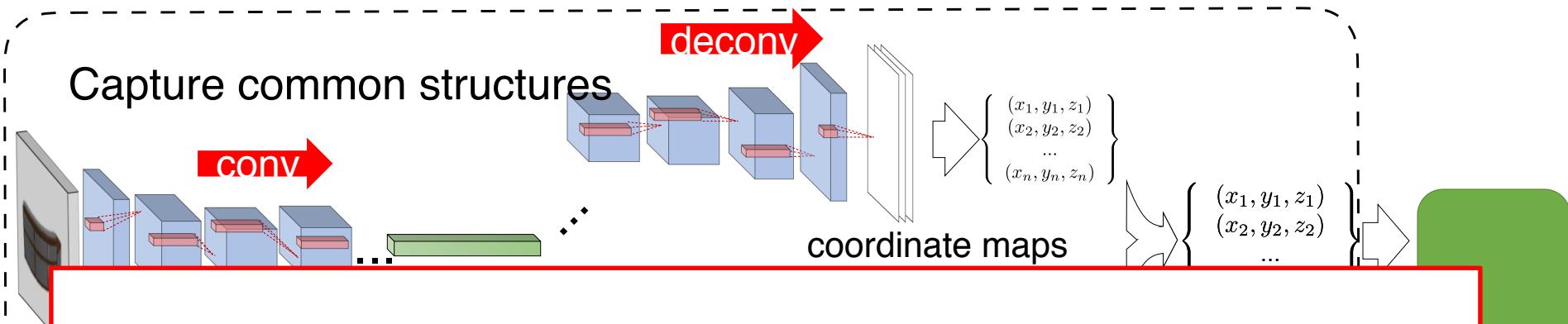
# Parametrization prediction by deconv network



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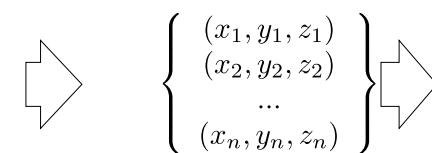
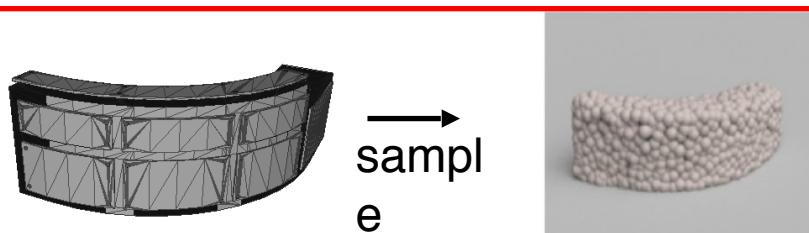


# Parametrization prediction by deconv network



Note that

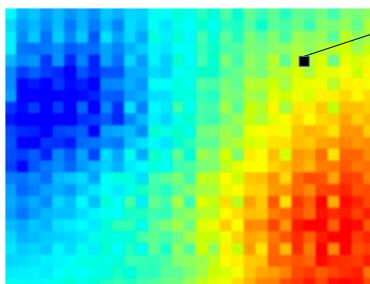
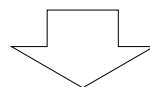
- The parametrization (2D/3D mapping) is learned from data
- i.e., obtains a network and data friendly parametrization



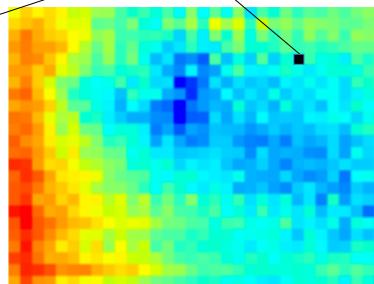
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# Visualization of the learned parameterization

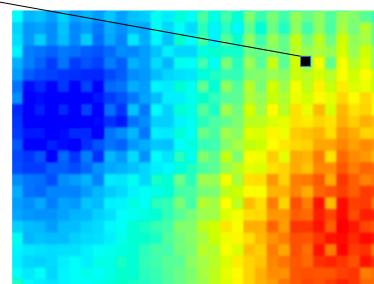
- Surface parametrization (2D 3D mapping)



map of x coord



map of y coord



map of z coord

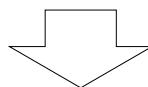
Observation:

- Learns a **smooth** parametrization
- Because deconv net tends to predict data with local correlation

$$(x_k, y_k, z_k)$$

# Visualization of the learned parameterization

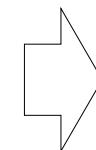
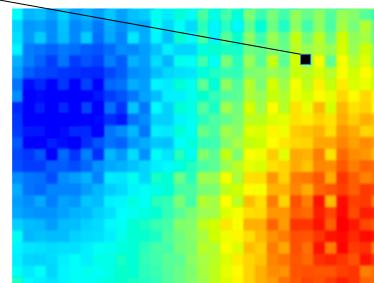
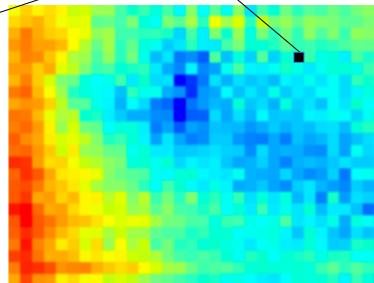
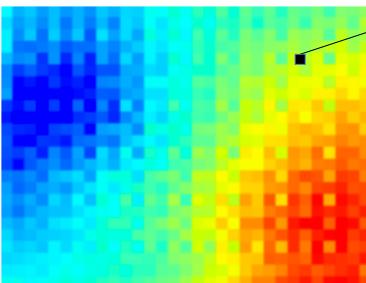
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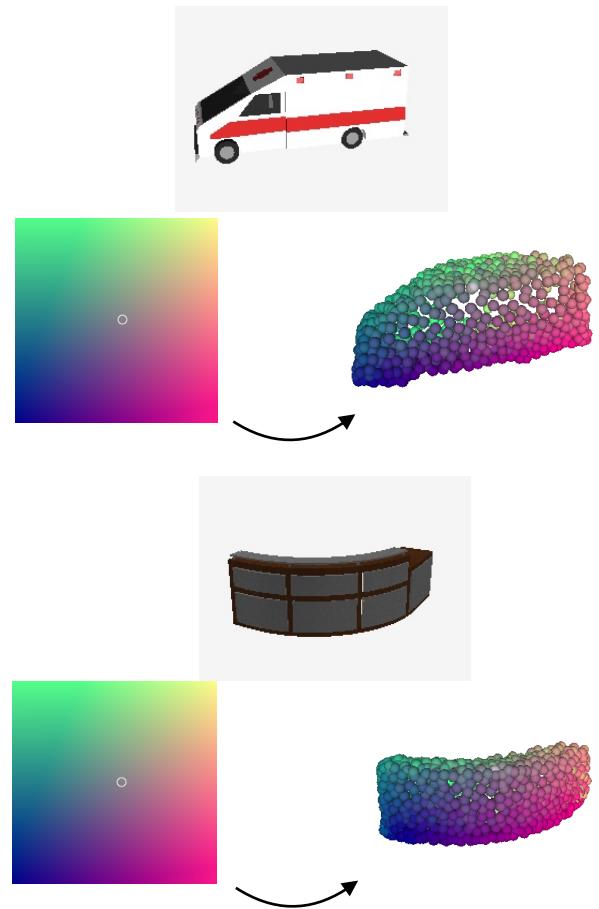
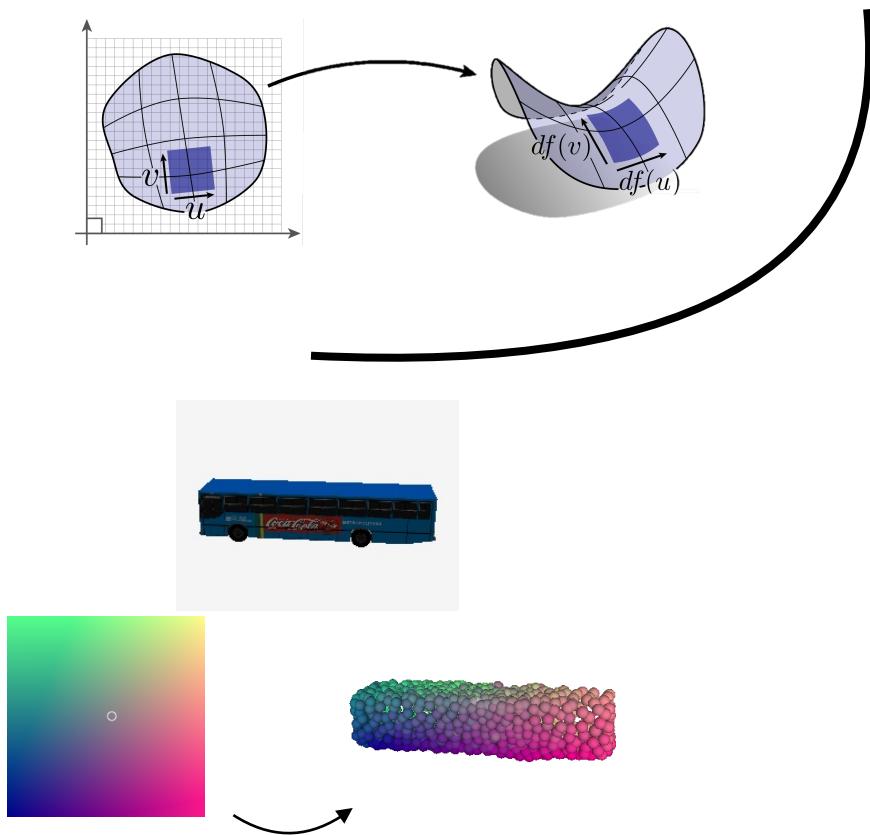
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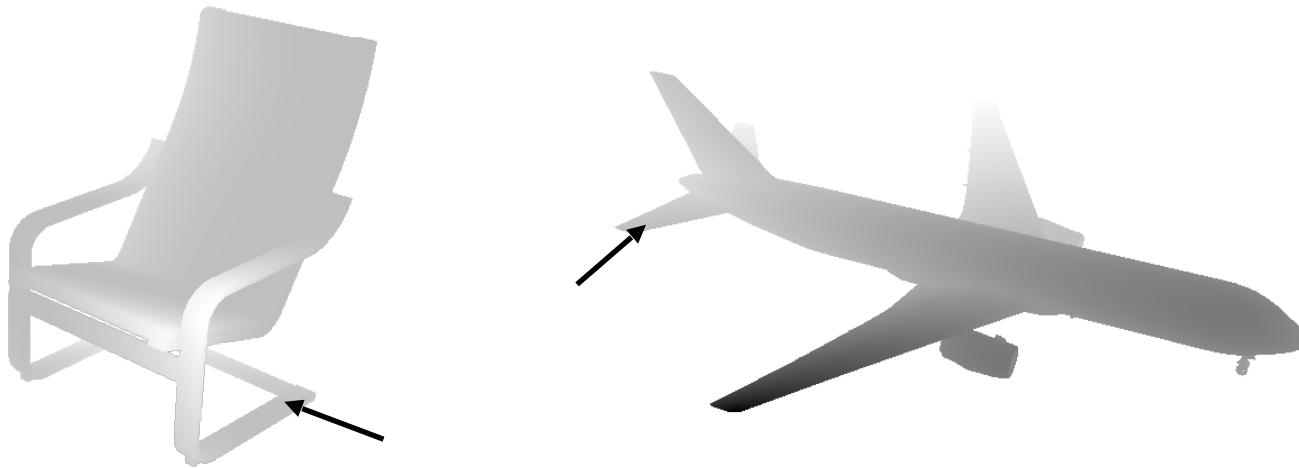
$$(x_k, y_k, z_k)$$



map of x coord map of y coord map of z coord



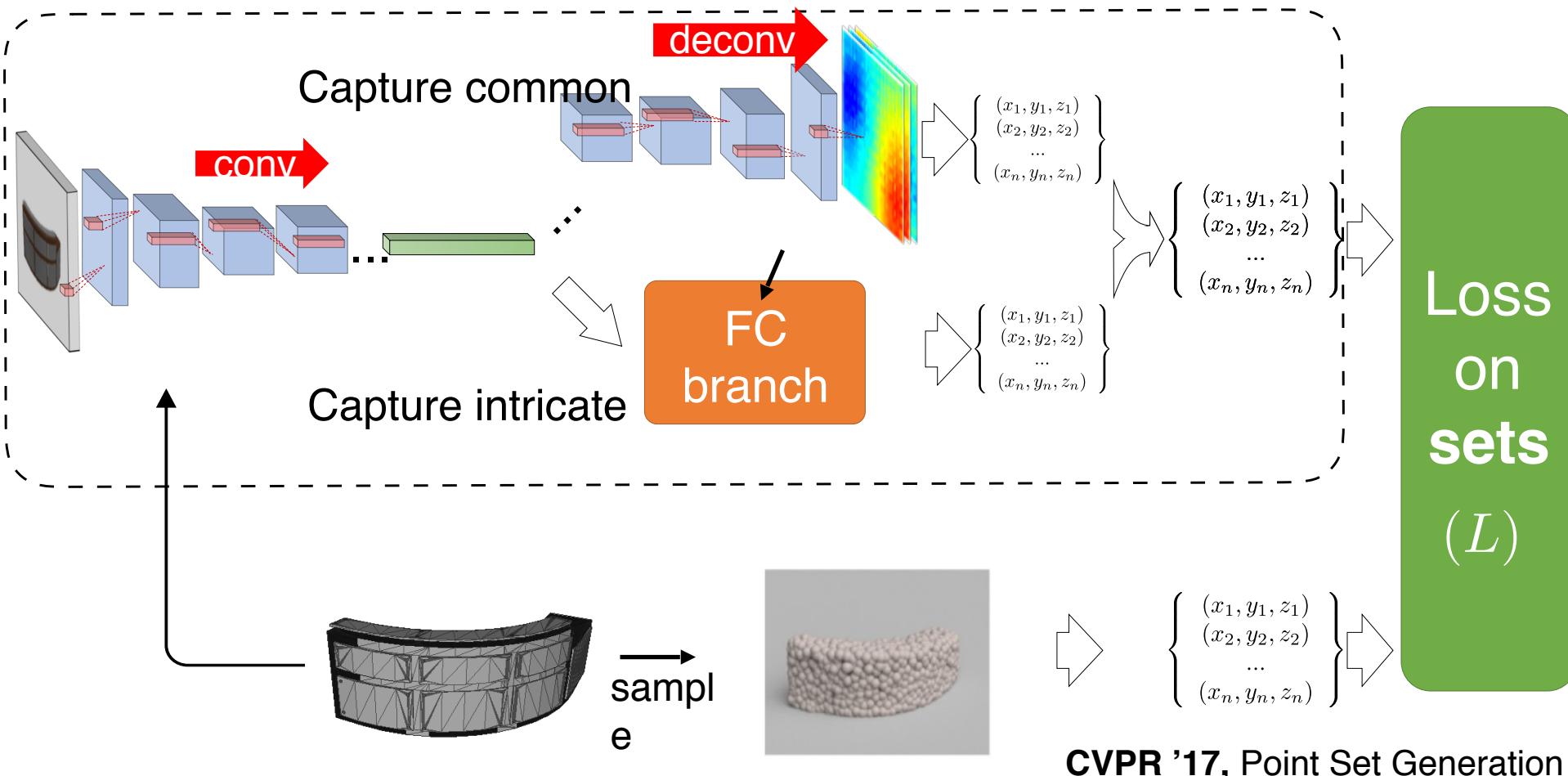
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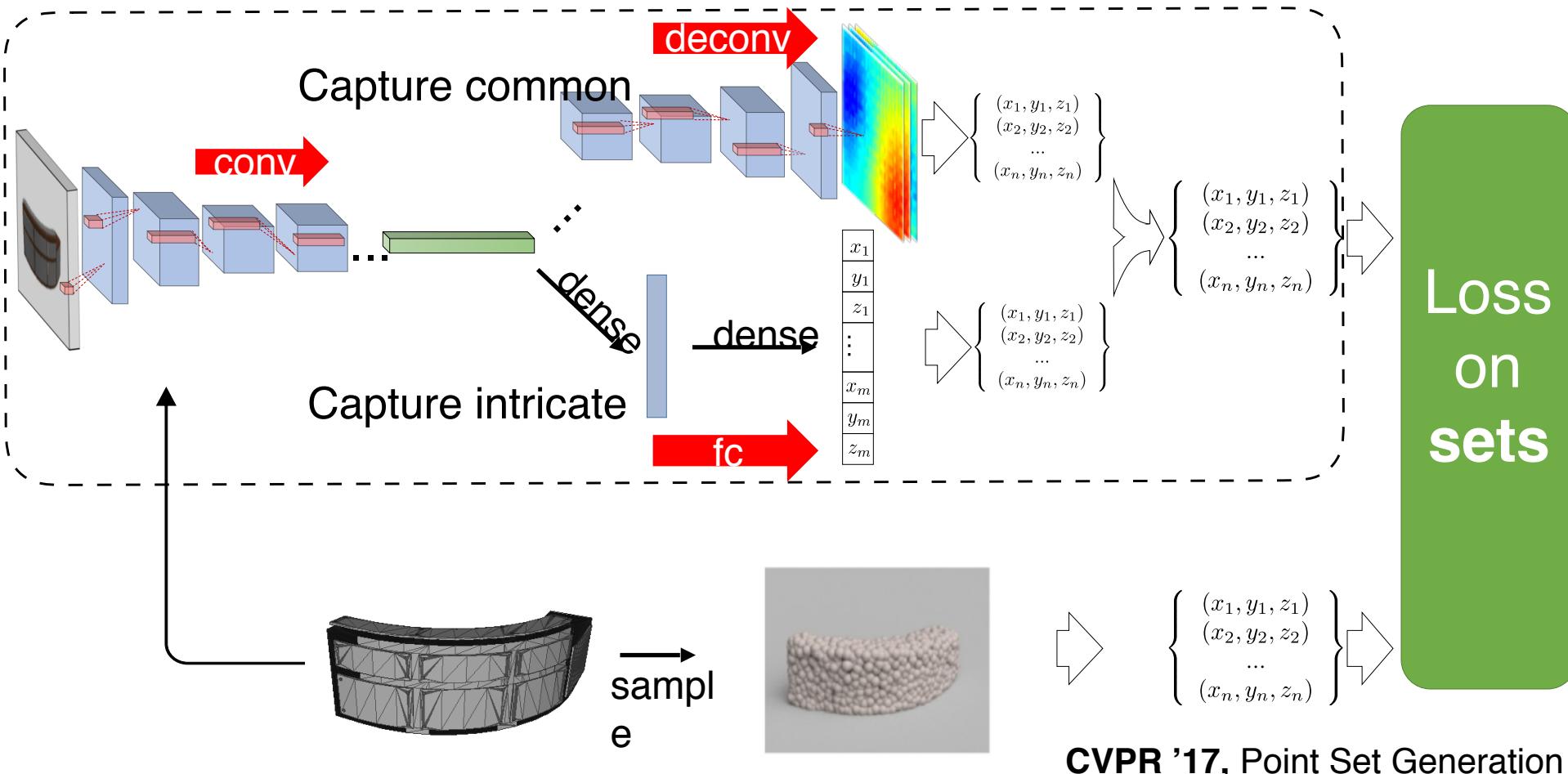
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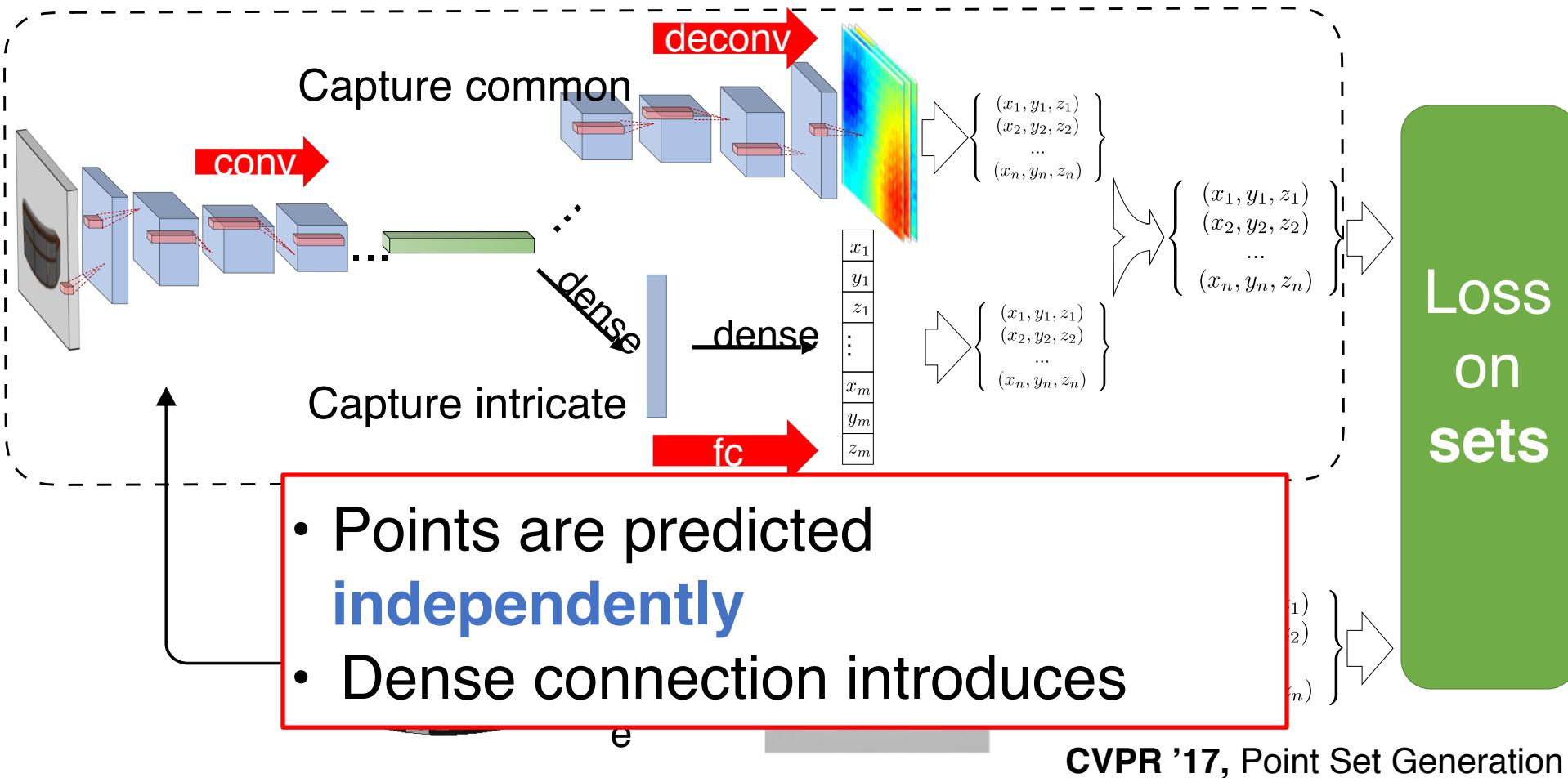
# Pipeline



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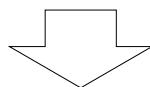


# Pipeline



# Visualization of the effect of FC branch

- Surface parametrization (2D → 3D mapping)



Observation:

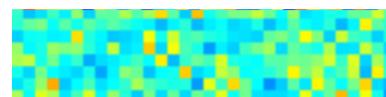
- The arrangement of predicted points are uncorrelated



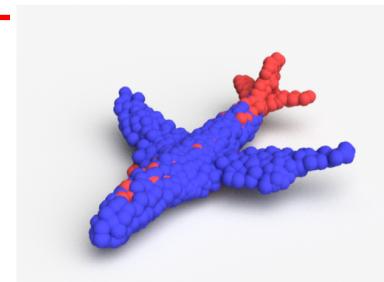
x-coord



y-coord



z-coord

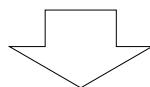


red

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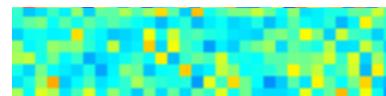
- The arrangement of predicted points are uncorrelated
- Located at **fine** structures



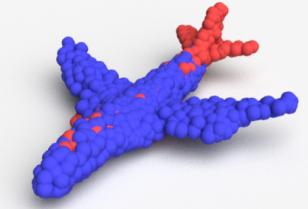
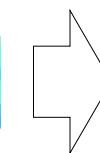
x-coord



y-coord



z-coord



red

CVPR '17, Point Set Generation

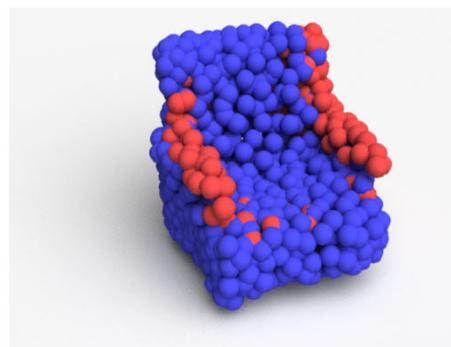
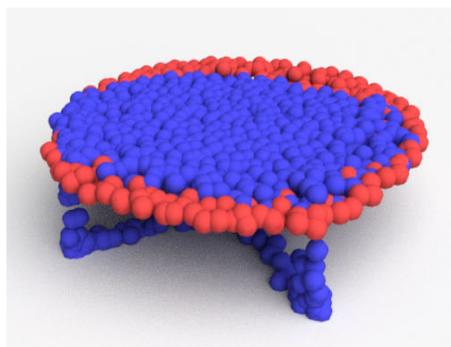
# Q: Which color corresponds to the deconv branch? FC branch?



CVPR '17, Point Set Generation

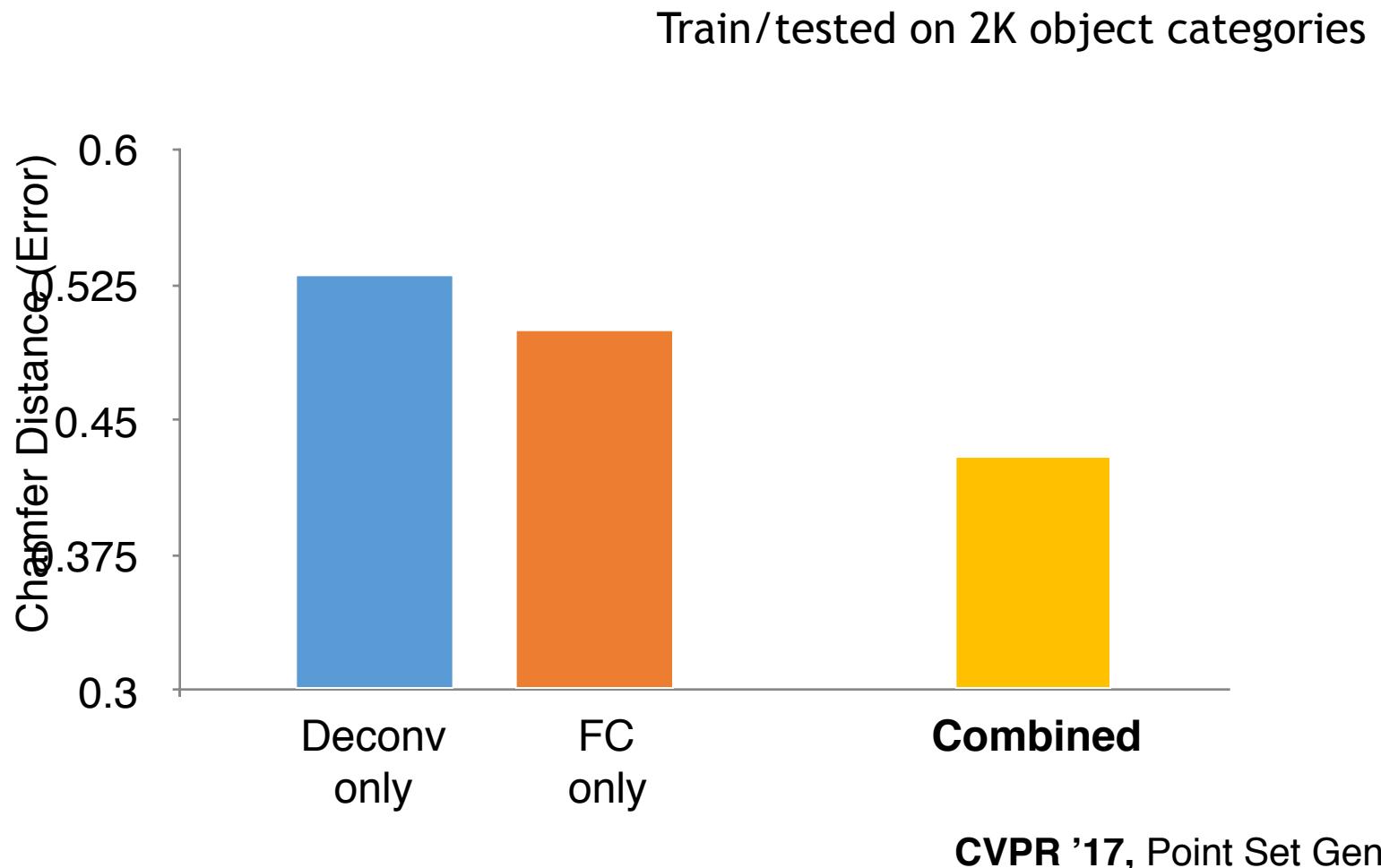
# Q: Which color corresponds to the deconv branch? FC branch?

**blue**: deconv branch – **large, smooth** structures  
**red**: FC branch – **intricate** structures

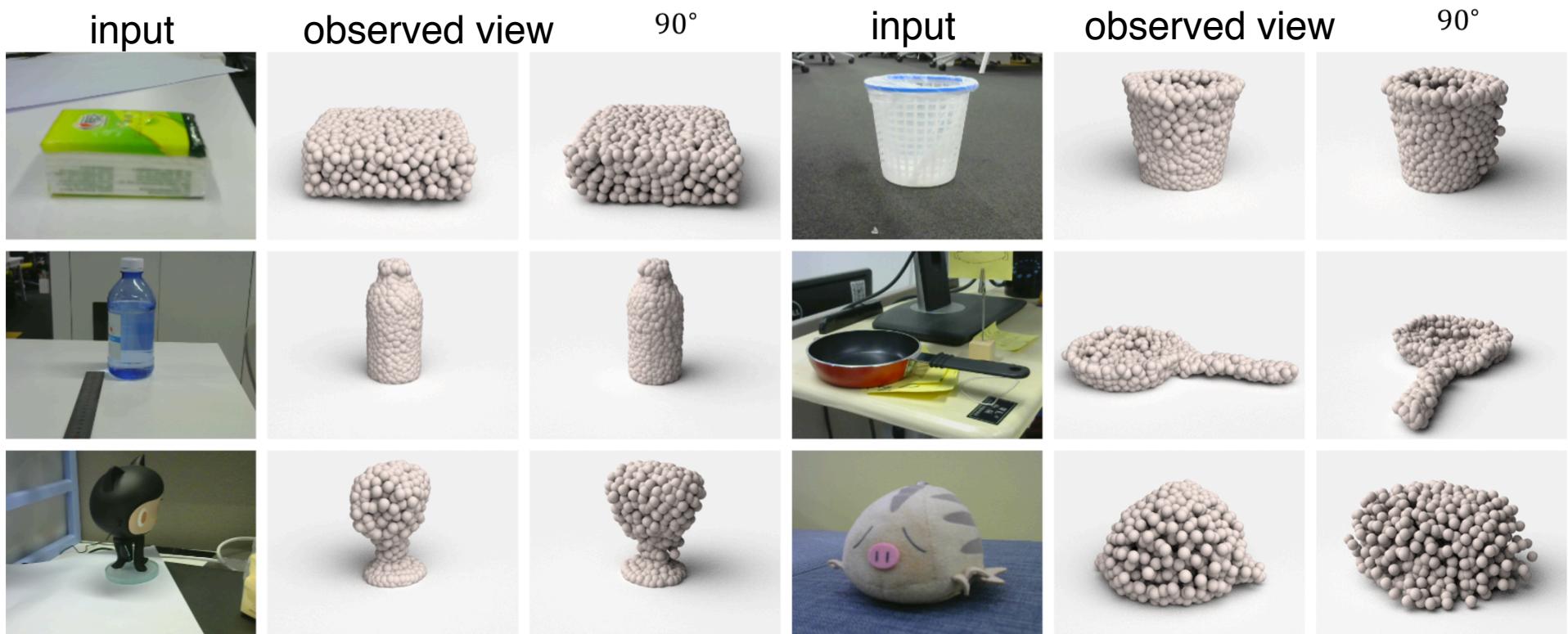


CVPR '17, Point Set Generation

# Effect of combining two branches

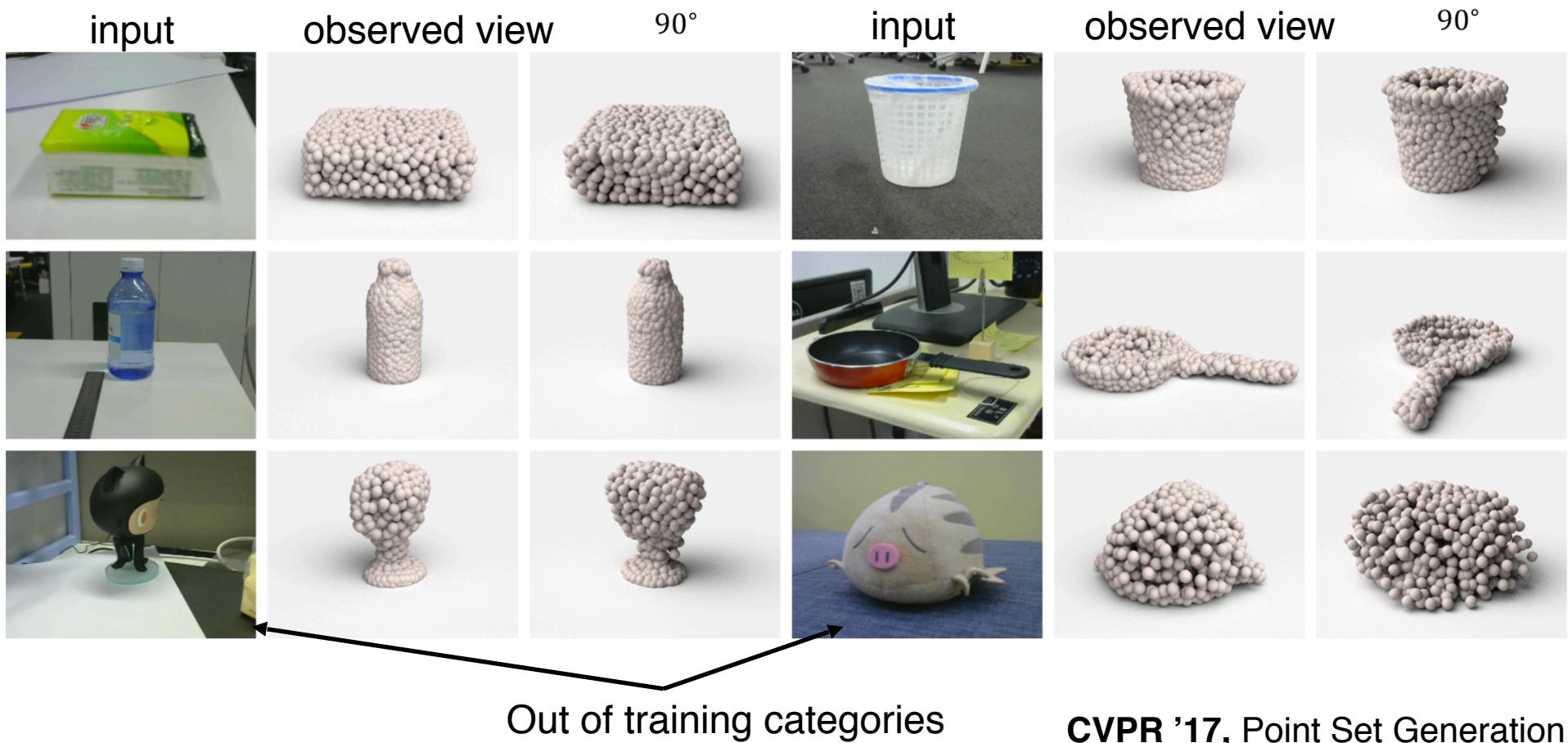


# Real-world results



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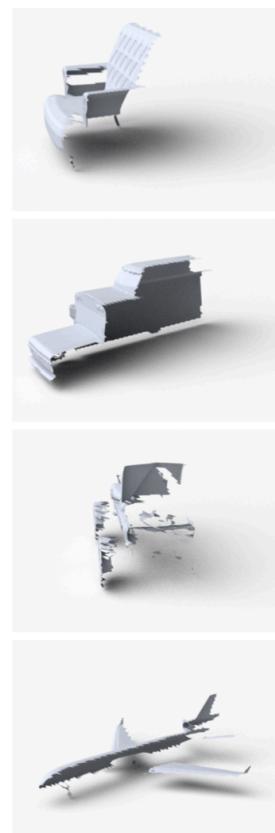
# Generalization to unseen categories



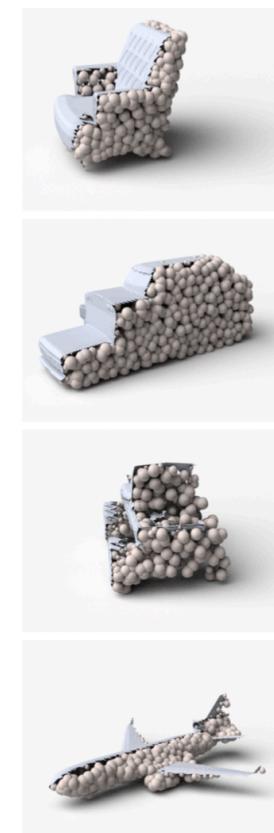
# Extension: shape completion for RGBD data



RGBD map (input)



90° view of input



output: completed point cloud  
**CVPR '17**, Point Set Generation

# Open problems

A better metric that takes the best of Chamfer and EMD?

How to add further structure constraints?

How to extend the pipeline to scene level?

How generalizable the method is?

In principle, what is the generalizability of a geometry estimator?  
To what extend is 3D perception ability innate or learned?

# Agenda

- Supervised Point Set Generation (cont)
- **Multidimensional Scaling**
- Parametric Shape Space for Homotopic Manifolds

# Embedding / Sketching

- **Definition:** an embedding is a map  $f:M \rightarrow H$  of a metric  $(M, d_M)$  into a host metric  $(H, \rho_H)$  such that for any  $x, y \in M$ :

$$d_M(x, y) \leq t \rho_H(f(x), f(y)) \leq D * d_M(x, y)$$

where  $D$  is the distortion (approximation) of the embedding  $f$ .

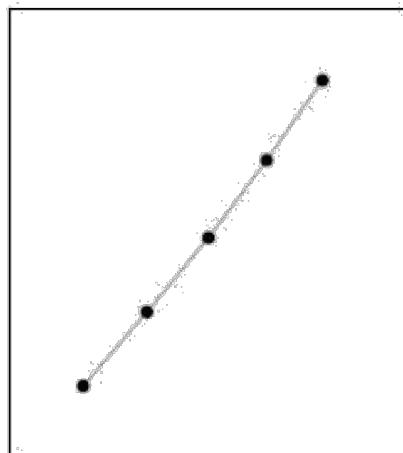
- Embeddings can be randomized:  $\rho_H(f(x), f(y)) \approx d_M(x, y)$  with  $1-\delta$  probability
- Types of embeddings:
  - From a norm ( $\ell_1$ ) into another norm ( $\ell_\infty$ )
  - From norm to the same norm but of *lower dimension* (dimension reduction)
  - From non-norms (Earth-Mover Distance, edit distance) into a norm
  - From given finite metric (shortest path on a planar graph) into a norm

[slide credit: Alexandr Andoni]

# Distances and Dimensionality

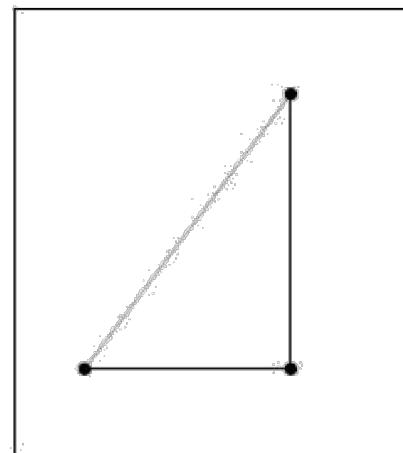
- How do distances/dissimilarities determine dimensionality?

$$D = \begin{bmatrix} 0 & 1 & 2 & 3 & 4 \\ 1 & 0 & 1 & 2 & 3 \\ 2 & 1 & 0 & 1 & 2 \\ 3 & 2 & 1 & 0 & 1 \\ 4 & 3 & 2 & 1 & 0 \end{bmatrix}$$



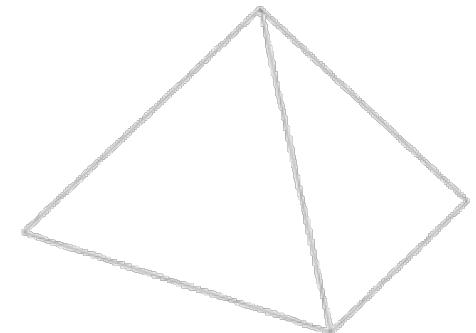
k=1

$$D = \begin{bmatrix} 0 & 3 & 4 \\ 3 & 0 & 5 \\ 4 & 5 & 0 \end{bmatrix}$$



Lecture 8 k=2

$$D = \begin{bmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{bmatrix}$$



k=3

# Results for general metric space to $\ell_\infty$

**Theorem 2.7.** *Every metric space embeds isometrically into  $\ell_\infty$ .*

*Proof.* We will prove this lemma only for finite metric spaces. Consider a metric space  $(X, d)$ , where  $X = (x_1, \dots, x_n)$ . It suffices to find a function  $f : X \rightarrow \mathbb{R}_n$  such that  $(X, d)$  embeds isometrically into  $(\mathbb{R}_n, \|\cdot\|)$ . For  $x_i \in X$  we define

$$f(x_i) = (d(x_1, x_i), d(x_2, x_i), \dots, d(x_n, x_i))$$

Clearly it suffices to show for every  $x_i, x_j \in X$  that  $\|f(x_i) - f(x_j)\|_\infty = d(x_i, x_j)$ . First we note that since  $d$  is a metric, it respects the  $\triangle$ -inequality, thus  $d(x_i, x_k) - d(x_j, x_k) \leq d(x_i, x_j)$  for  $k = 1, \dots, n$ . It follows that

$$\max_k |d(x_i, x_k) - d(x_j, x_k)| \leq d(x_i, x_j),$$

or in other words

$$\|f(x_i) - f(x_j)\|_\infty \leq d(x_i, x_j). \quad (1)$$

On the other hand, the  $j$ -th coordinate of the vector  $f(x_i) - f(x_j)$  is  $d(x_j, x_i) - d(x_j, x_k) = d(x_i, x_k)$ . Therefore the maximum coordinate of  $f(x_i) - f(x_j)$  is at least  $d(x_i, x_j)$  or in other words

$$\|f(x_i) - f(x_j)\|_\infty \geq d(x_i, x_j). \quad (2)$$

The lemma follows then from (1) and (2). □

# Example results for planar EMD embedding

- ▶ Consider EMD on grid  $[\Delta] \times [\Delta]$ , and sets of size  $s$
- ▶ **Theorem [Cha02, IT03]:** Can embed EMD over  $[\Delta]^2$  into  $\ell_1$  with distortion  $O(\log \Delta)$ . Time to embed a set of  $s$  points:  $O(s \log \Delta)$ .

More: [Sketching and Embedding are Equivalent for Norms](#)

# Results for Euc. space (dimension reduction)

## Johnson–Lindenstrauss Flattening Lemma

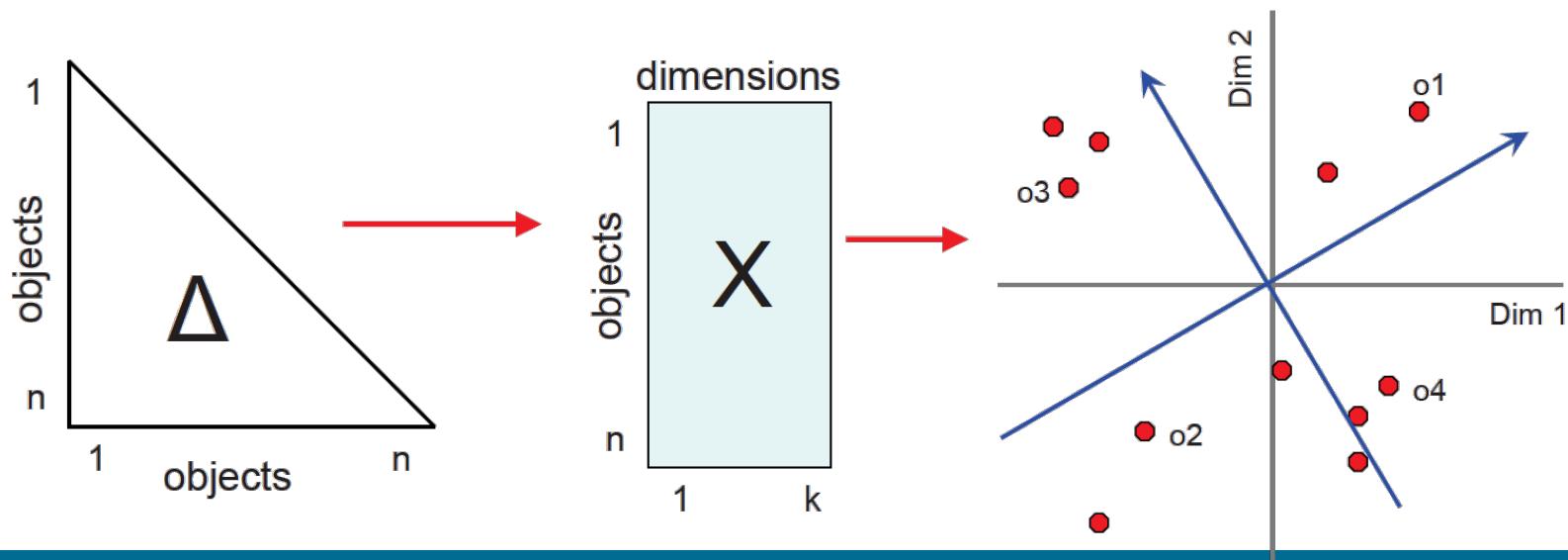
Given  $0 < \varepsilon < 1$ , a set  $X$  of  $m$  points in  $\mathbb{R}^N$ , and a number  $n > 8 \ln(m)/\varepsilon^2$ , there is a linear map  $f : \mathbb{R}^N \rightarrow \mathbb{R}^n$  such that

$$(1 - \varepsilon)\|u - v\|^2 \leq \|f(u) - f(v)\|^2 \leq (1 + \varepsilon)\|u - v\|^2$$

for all  $u, v \in X$ .

# Multidimensional Scaling (MDS)

- A “distance preserving” embedding of the data into a Euclidean space
  - Sometimes distances are observed directly (e.g., similarity ratings)
  - Sometimes they can be calculated from a data table (e.g., Euclidean distances, correlations)



# Formally ...

- Given a (symmetric) matrix of pairwise “dis-similarities” between  $n$  objects / data sets

$$M = \left( \delta_{ij} \right)_{n \times n}$$

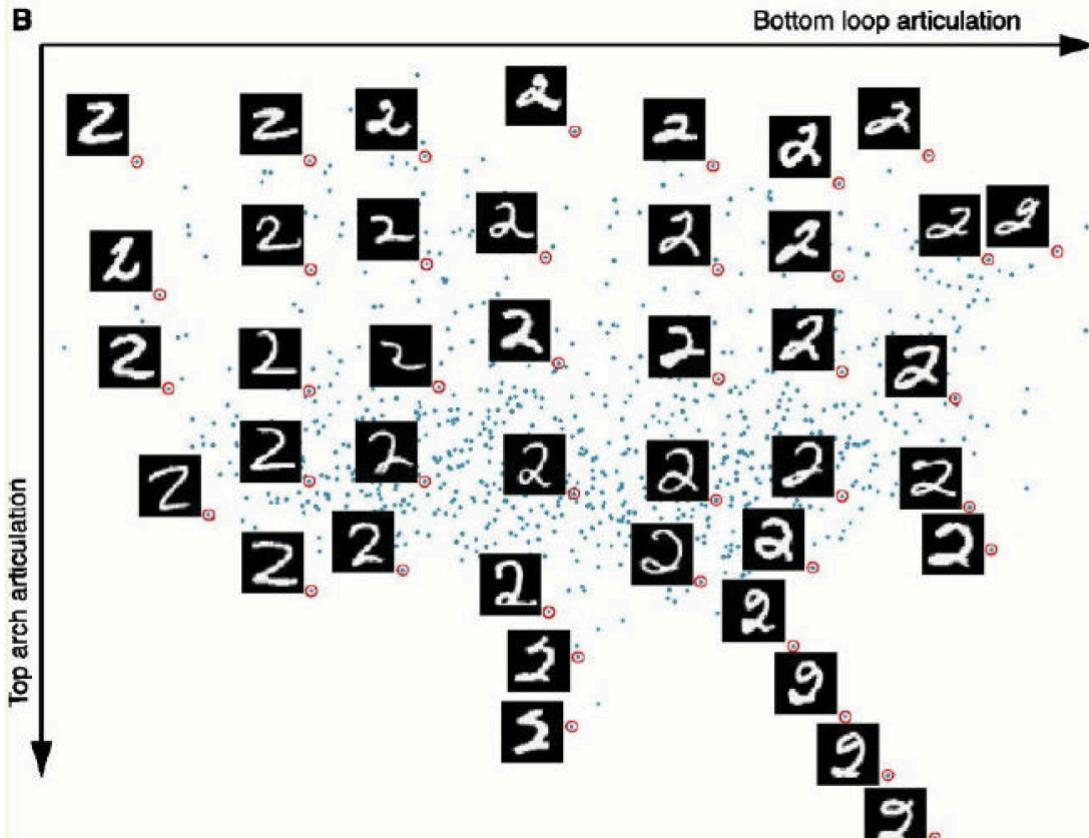
No need to satisfy the triangle inequality

- Find  $n$  points in low-dimensional space  $R^d$ , so that their distance matrix is as close as possible to  $M$
- Low  $d$  (=2,3) allows us to visualize the data directly

# MDS Has Many Uses

- Psychology (perception, cognition)
- Political science (voting behavior, court decisions)
- Sociology (social network analysis)
- Archeology (artifact similarity)
- Biology/Chemistry (molecular structure, species analysis)
- Document retrieval & classification
- Graph layout
- Pattern recognition
- Dimension reduction
- ...

# Example: Pattern Recognition



MDS of judged similarity of handwritten "2"s

Goal: determine features important in pattern recognition

# Classic Metric MDS

- Sometimes we can model our data as points in a high-dimensional Euclidean space – and we are looking for an embedding to a lower-dimensional space that preserves (absolute or relative) distances (in the high-d space) as much as possible.
- In this case the problem has a clean geometric solution.

# Classic Metric MDS

- To go from dimension  $D$  down to dimension  $d$
- Given data  $X \in R^{D \times n}$

$$X = \begin{pmatrix} | & | & & | \\ \mathbf{x}_1 & \mathbf{x}_2 & \dots & \mathbf{x}_n \\ | & | & & | \end{pmatrix} \quad \text{and} \quad M = \left( \text{dist}^2(\mathbf{x}_i, \mathbf{x}_j) \right)_{n \times n}$$

- We look for  $X'$ ,

$$X' = \begin{pmatrix} | & & | \\ \mathbf{x}_1' & \dots & \mathbf{x}_n' \\ | & & | \end{pmatrix} \in R^{d \times n}$$

- We can assume the  $\mathbf{x}_i'$  are centered

# Classic Metric MDS

- So that we minimize  $\| M' - M \|$  (related to the *stress* of the system)
- where  $M' = \left( \text{dist}^2(x_i', x_j') \right) = \left( \|x_i' - x_j'\|^2 \right) \in R^{n \times n}$
- $M'$  is the Euclidean distances matrix for points  $x_i'$ .

# The Math Details

- Ideally we want  $M' = \left( \| \mathbf{x}_i' - \mathbf{x}_j' \|^2 \right) = M$
- $\left( \langle \mathbf{x}_i' - \mathbf{x}_j', \mathbf{x}_i' - \mathbf{x}_j' \rangle \right) = M$
- $\left( \| \mathbf{x}_i' \|^2 + \| \mathbf{x}_j' \|^2 - 2 \langle \mathbf{x}_i', \mathbf{x}_j' \rangle \right) = M$

$$\begin{pmatrix} \| \mathbf{x}_1' \| & \| \mathbf{x}_1' \| & \dots & \| \mathbf{x}_1' \| \\ \| \mathbf{x}_2' \| & \| \mathbf{x}_2' \| & \dots & \| \mathbf{x}_2' \| \\ \vdots & & & \\ \| \mathbf{x}_n' \| & \| \mathbf{x}_n' \| & \dots & \| \mathbf{x}_n' \| \end{pmatrix}$$

$$\begin{pmatrix} \| \mathbf{x}_1' \| & \| \mathbf{x}_2' \| & \dots & \| \mathbf{x}_n' \| \\ \| \mathbf{x}_1' \| & \| \mathbf{x}_2' \| & \dots & \| \mathbf{x}_n' \| \\ \vdots & \vdots & \dots & \vdots \\ \| \mathbf{x}_1' \| & \| \mathbf{x}_2' \| & \dots & \| \mathbf{x}_n' \| \end{pmatrix}$$

$$\begin{pmatrix} -\mathbf{x}_1' & - \\ \vdots & \\ -\mathbf{x}_n' & - \end{pmatrix} \begin{pmatrix} | & & | \\ \mathbf{x}_1' & \dots & \mathbf{x}_n' \\ | & & | \end{pmatrix}$$

want to get rid of these

$$X'^T \quad X'$$

# The Magic Matrix J

$$J = \begin{pmatrix} \frac{n-1}{n} & -\frac{1}{n} & \cdots & -\frac{1}{n} \\ -\frac{1}{n} & \frac{n-1}{n} & -\frac{1}{n} & -\frac{1}{n} \\ \vdots & \ddots & \ddots & \vdots \\ -\frac{1}{n} & \cdots & -\frac{1}{n} & \frac{n-1}{n} \end{pmatrix}_{n \times n} = I - \frac{1}{n} \begin{pmatrix} 1 & 1 & \cdots & 1 \\ 1 & 1 & 1 & 1 \\ \vdots & \ddots & \ddots & \vdots \\ 1 & \cdots & 1 & 1 \end{pmatrix} = I - \frac{1}{n} K$$

$$(a \quad a \quad \cdots \quad a) \cdot J = 0$$

$$J \cdot \begin{pmatrix} b \\ b \\ \vdots \\ b \end{pmatrix} = 0$$

# So We Get to The Gram Matrix

Cleaning the system:

$$\times J \begin{pmatrix} \|\mathbf{x}_1'\| & \|\mathbf{x}_1'\| & \dots & \|\mathbf{x}_1'\| \\ \|\mathbf{x}_2'\| & \|\mathbf{x}_2'\| & \dots & \|\mathbf{x}_2'\| \\ \vdots & & & \\ \|\mathbf{x}_n'\| & \|\mathbf{x}_n'\| & \dots & \|\mathbf{x}_n'\| \end{pmatrix} + \begin{pmatrix} \|\mathbf{x}_1'\| & \|\mathbf{x}_2'\| & & \|\mathbf{x}_n'\| \\ \|\mathbf{x}_1'\| & \|\mathbf{x}_2'\| & \dots & \|\mathbf{x}_n'\| \\ \vdots & \vdots & \dots & \vdots \\ \|\mathbf{x}_1'\| & \|\mathbf{x}_2'\| & & \|\mathbf{x}_n'\| \end{pmatrix} - 2X'^T X' = M \quad \times J$$

$$-2X'^T X' = JMJ$$

$$X'^T X' = -\frac{1}{2} JMJ =: B$$

Note that  $X'K = KX'^T = 0$ ,  
as  $X'$  is centered

$$X'^T X' = B$$

So from the distance matrix we can get the Gram (inner product) matrix.

# And Finally the Spectral Hammer

We will use the spectral decomposition of  $B$ :

$$X'^T X' = B = \begin{pmatrix} | & | & & | \\ \mathbf{v}_1 & \cdots & \mathbf{v}_n \\ | & & | \end{pmatrix} \begin{pmatrix} \lambda_1 & & & \\ & \ddots & & \\ & & \lambda_n & \\ & & & \ddots \end{pmatrix} \begin{pmatrix} | & | & & | \\ \mathbf{v}_1 & \cdots & \mathbf{v}_n \\ | & & | \end{pmatrix}^T$$

$$X'^T X' = \begin{pmatrix} | & & | & \vdots & | \\ | & \cdots & | & \vdots & | \\ \mathbf{v}_1 & \cdots & \mathbf{v}_d & \vdots & \mathbf{v}_n \\ | & & | & \vdots & | \\ | & & | & \vdots & | \end{pmatrix} \begin{pmatrix} \sqrt{\lambda_1} & & & & \\ & \ddots & & & \\ & & \sqrt{\lambda_d} & & \\ & & & \ddots & \\ & & & & \sqrt{\lambda_n} \end{pmatrix} \begin{pmatrix} \sqrt{\lambda_1} & & & & \\ & \ddots & & & \\ & & \sqrt{\lambda_d} & & \\ & & & \ddots & \\ & & & & \sqrt{\lambda_n} \end{pmatrix}^T \begin{pmatrix} | & & | & \vdots & | \\ | & \cdots & | & \vdots & | \\ \mathbf{v}_1 & \cdots & \mathbf{v}_d & \vdots & \mathbf{v}_n \\ | & & | & \vdots & | \\ | & & | & \vdots & | \end{pmatrix}$$

$n \times d$

$X'^T$

$X'$

# So We Get the $X'$

So we find  $X'$  by throwing away the last  $n-d$  eigenvalues

$$X' = \begin{pmatrix} \sqrt{\lambda_1} \mathbf{v}_1 \\ \dots & \dots & \dots \\ \sqrt{\lambda_d} \mathbf{v}_d \end{pmatrix}_{d \times n}$$

For this  $X'$ :  $X' = \arg \min_{X'} \|X'^T X' - B\|_{L^2}$

$$\|A\|_{L^2} = \sqrt{\sum_{i,j} A_{ij}^2}$$

This choice minimizes the inner product (and distance) loss

# More General Metric MDS

- In general, we minimize directly the square loss on distances

$$\text{stress} = \mathcal{L}(\hat{d}_{ij}) = \left( \sum_{i < j} (\hat{d}_{ij} - f(d_{ij}))^2 / \sum d_{ij}^2 \right)^{\frac{1}{2}}$$

- Sammon mapping

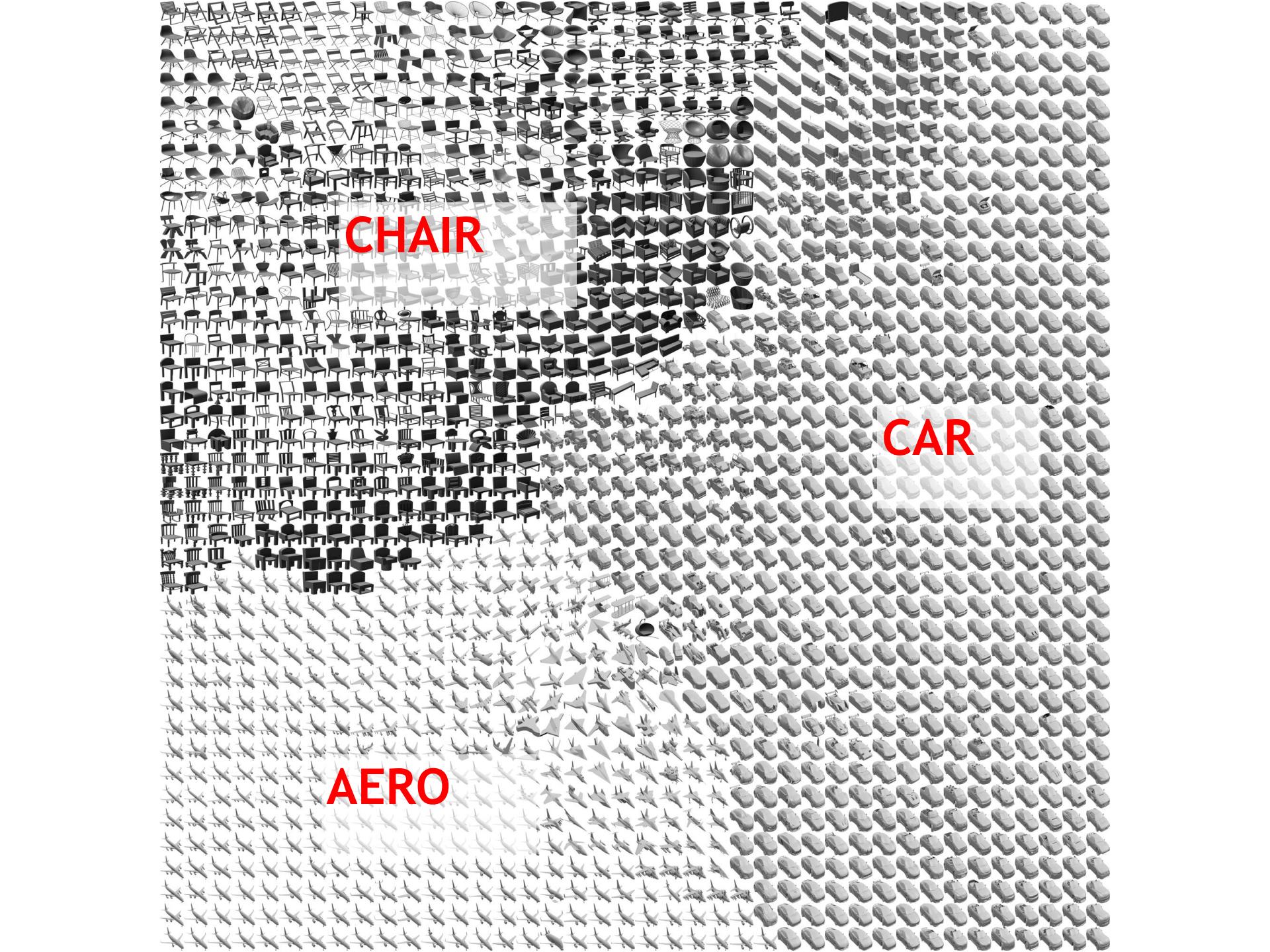
$$\text{Sammon's stress}(\hat{d}_{ij}) = \frac{1}{\sum_{\ell < k} d_{\ell k}} \sum_{i < j} \frac{(\hat{d}_{ij} - d_{ij})^2}{d_{ij}}$$

- This weighting system normalizes the squared-errors in pairwise distances by using the distance in the original space. As a result, Sammon mapping preserves the small  $d_{ij}$ , giving them a greater degree of importance in the fitting procedure than for larger values of  $d_{ij}$

Generally solved by gradient descent

# Agenda

- Supervised Point Set Generation (cont)
- Multidimensional Scaling
- **Parametric Shape Space for Homotopic Shapes**



CHAIR

CAR

AERO

# Every point in the shape space is a “valid shape”?



[Wu et al, Learning a Probabilistic Latent Space of Object Shapes via 3D Generative-Adversarial Modeling]

# Homotopy

For continuous functions  $f$  and  $g$  from a topological space  $X$  to a topological space  $Y$ :

- $f$  and  $g$  are homotopic iff there exists a continuous function  $H : X \times [0,1] \rightarrow Y$ , such that

$$H(x,0) = f(x) \text{ and } H(x,1) = g(x).$$



# Homotopy

Intuition: To construct the family of deformable shapes (face, body, etc.)

