

# SyntenyFinder: A Synteny Blocks Generation and Genome Comparison Tool

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We present an algorithm for finding synteny blocks in genomes. The algorithm is based on colored de Bruijn graphs and graph simplifications. Our method is suitable for finding synteny blocks in genomes that contain regions of highly conserved DNA, i.e. genomes that are evolutionary close to each other. With some modifications the algorithm can be applied in more complicated cases.

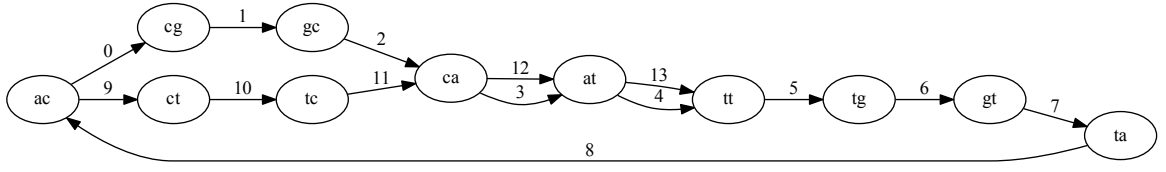
## 1. Introduction

Recent advances in high throughput sequencing and genome assembling technologies result in appearance of high number of completely sequenced genomes, ranging from bacteria to mammalian [1]. It raises a lot of interesting biological question. For example, it is not obvious how DNA sequence leads to observable phenotype. Comparative genomics addresses this question using the fact that common features of two organisms are often encoded by DNA regions that are conserved within the species [2].

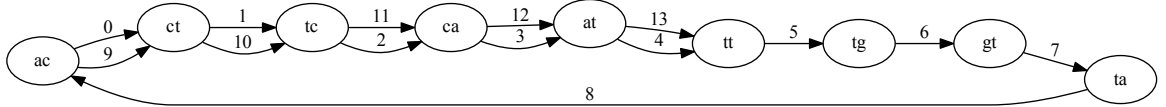
Another question is evolution. It is known that genome rearrangements play important role in adaptation in adaptation of bacteria for different environments [3]. And the more exciting is study of mammalian evolution [4].

In order to perform rearrangement analysis, the genomes must be decomposed into conservative segments, called synteny blocks. Currently existing tools for solving this problem, like DRIMM-Synteny [6], require the genomes to be presented as sequences of enumerated local alignments, or *anchors*.

De Bruijn graphs are extensively used in bioinformatics for genome assembly [7, 8]. In this work we address problem of finding synteny blocks from nucleotide sequences. We propose new algorithm for this task based on colored de Bruijn graph.



(a) De Bruijn graph built from string "acgcattgtactcatt" and  $k = 2$ . Non-branching paths correspond to multiple copies of the same substrings.



(b) Same de Bruijn graph after simplification. Replacing "acGca" by "acTca" we obtain long non-branching path that corresponds to the syntenic block.

Figure 1: Illustration of de Bruijn graphs and graph simplification

## 2. Problem definition

Suppose that we are given a set  $S = \{S_1, S_2, \dots, S_n\}$  of chromosomes, and each chromosome is represented as a string over alphabet  $\{A, C, G, T\}$ . The task of finding syntenic blocks is to find a set of so called conserved regions  $C = \{C_1, C_2, \dots, C_n\}$ , where each conserved region  $C_i$  is a set of substrings of chromosomes from  $S$ . Such regions are supposed to cover most of the genome for closely related species. All substrings forming a conserved region  $C_i$  must be similar to each other according to some criterion of similarity. Note that problem of finding syntenic blocks in a set of chromosomes is equivalent to a problem of finding syntenic blocks in one superchromosome obtained from concatenating all chromosomes from the set – we can just separate chromosomes by special characters.

At this moment there is no generally accepted formal criterion of similarity exist, so the problem of finding syntenic blocks is ill-defined. In our work we introduce new criterion of similarity based on de Bruijn graphs and graph simplifications.

## 3. General idea

As previously mentioned, our method is based on de Bruijn graph. Given a fixed value  $k$  and a string  $S$  we can build de Bruijn graph  $G$  from the string as follows. Let's denote by  $k$ -prefix of a string  $S$  first  $k$  characters of  $S$ , and by  $k$ -suffix last  $k$  characters of  $S$ . For each unique substring of length  $k$  (called  $k$ -mer) found in  $S$ , we add a vertex to  $G$  and mark it with corresponding  $k$ -mer. For each  $(k + 1)$ -mer  $w$  found in  $S$  we add

edge that connects vertex corresponding to  $k$  prefix of  $w$  with vertex corresponding to  $k$  suffix of  $w$  and label the edge with position of first character of  $w$  (multiedges with different labels are allowed).

In this graph we consider only paths that have consecutive labels on edges. It is easy to see that with such restriction every path in  $G$  corresponds to a substring in  $S$ . Example of such de Bruijn graph built from the string  $S = "acgcattgtactcatt"$  and  $k = 2$  is depicted on Figure 1a.

Note that two copies of substring "catt" form a non-branching path consisting of edges with multiplicity 2 in this graph. Single mismatch in substrings "acGca" and "acTca" form so-called "bulge", unoriented cycle generated by two valid paths with coinciding ends. If we replace one branch of the bulge by another (replace "acGca" by "acTca" for example), we will obtain a long non-branching path (Figure 1b).

This heuristic forms basis of our method – conserved regions in different parts of the genome contain conserved basepairs, but such regions are disrupted by indels and mismatches. These differences form bulges in the graph that make it difficult to infer structure of the syntenic blocks. We remove bulges having size less than some predefined constant and thus obtain non-branching paths corresponding to the conserved regions. The process of removing bulges from the graph is called *simplification*. We sustain one-to-one correspondence between the graph and the string – when we change something in the graph, then we change appropriate characters in the string.

Conserved regions can be located on opposite strands of DNA. To handle this, we use *colored* de Bruijn graphs [8]. Given a string  $S$ , for each  $(k + 1)$ -mer found in  $S$  we add corresponding edge to the graph and color it *blue*, for each  $(k + 1)$ -mer found in reverse-complementary counterpart of  $S$  we add corresponding edge to the graph and color it *red*. In this graph, non-branching paths with different colors represent syntenic blocks located on opposite strands of DNA.

Complete pipeline is following:

- 1) Concatenate input chromosomes into one superchromosome
- 2) Build de Bruijn graph from the superchromosome
- 3) Simplify the graph
- 4) Output syntenic blocks as non-branching path in the graph

Our algorithm depends on two parameters:  $k$  and  $\delta$  (minimum allowed size of a bulge). It is reasonable to use as high  $k$  as possible ( $k > 50$ ) to keep graph structure simple and avoid connecting regions that are actually not homologous. So, our method requires that conserved regions in input genomes contain exact shared  $k$ -mers. This is not a problem in genomes that are very close to each other (like different strains of a bacteria), but it can create difficulties in genomes that had separated a long time ago.

This issue can be solved, for example, by finding a set of all local alignments in the genomes and substituting one subsequence in each found alignment by another. In results section we will demonstrate on a practical half-synthetic example that with such modifications our method is able to handle complicated cases. So at this point our method is directly applicable to only evolutionary close genomes and in near future we plan to extend it to wider range of use.

## 4. Detailed description

In this section we formally describe our algorithm for finding syntenic blocks. We are given two numbers  $k$  and  $\delta$  and a set  $S = \{S_1, S_2, \dots, S_n\}$  of chromosomes, where each chromosome  $S_i = (s_{i,1}, s_{i,2}, \dots, s_{i,m_i})$  is a string over alphabet  $\Sigma = \{A, C, G, T\}$ . Let's denote by  $X_1 \uplus X_2$  concatenation of strings  $X_1$  and  $X_2$ . We denote by  $X[i, j]$  a substring of a string  $X$  that starts at  $i$  and ends at  $j$ ,  $X[i, j] = (x_i, x_{i+1}, \dots, x_j)$ .  $Rev(X)$  means reverse-complementary counterpart of a string  $X$ .

First step of the algorithm is to obtain superchromosome  $\hat{S} = S_1 \uplus S_2 \uplus \dots \uplus S_n$ . Concatenated strings are interleaved by special characters that indicate ends of the chromosomes, but we omit these technical details. Then we build a linked list of pairs  $L = ((\hat{s}_1, 1), (\hat{s}_2, 2), \dots, (\hat{s}_m, m))$  and  $l_i$  is a pointer to  $i$ -th item in the list,  $Next(l_i) = l_{i+1}$  is next element after  $l_i$ . Let's denote by  $l_i[1]$  first element of pair of  $i$ -th item of the list ( $l_i[2]$  is second element). First element of a pair represents character of the sequence, and second element represents its original position in string  $\hat{S}$ .

Colored de Bruijn graph is graph  $G = (V, E)$  where  $V = \Sigma^k$ . Set of outgoing edges from vertex  $v$  is denoted  $Out(v)$ . We define three functions:

- 1)  $Pos : E \rightarrow \{l_1, l_2, \dots, l_m\}$
- 2)  $Color : E \rightarrow \{Blue, Red\}$
- 3)  $Spell : E \rightarrow \Sigma^{k+1}$

For each  $i \in \{1, 2, \dots, n - k\}$  we add two oriented edges to the graph:

- 1)  $e^+ = (\hat{S}[i, i + k - 1], \hat{S}[i + 1, i + k])$ , where:  
 $Color(e^+) = Blue$ ,  $Pos(e^+) = l_i$ ,  $Spell(e^+) = \hat{S}[i, i + k]$
- 2)  $e^- = (Rev(\hat{S}[i, i + k - 1]), Rev(\hat{S}[i + 1, i + k]))$ , where:  
 $Color(e^-) = Red$ ,  $Pos(e^-) = l_{i+k-1}$ ,  $Spell(e^-) = Rev(\hat{S}[i, i + k])$

A *valid* path in  $G$  is a sequence of edges  $P = (e_1, e_2, \dots, e_n)$  iff  $Pos(e_{i+1}) = Next(Pos(e_i))$  and  $Color(e_{i+1}) = Color(e_i)$ . Let's denote by  $Start(P)$  first vertex of the path  $P$  and by  $End(P)$  the last vertex of  $P$ .

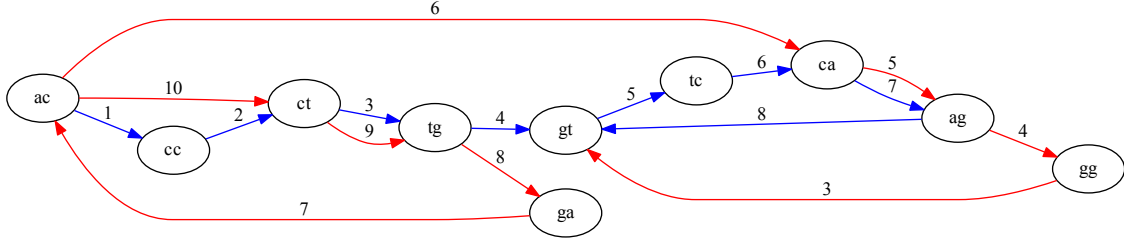


Figure 2: Colored de Bruijn graph for string  $S = "acctgtcagt"$

A pair of valid paths  $B = \{b_1, b_2\}$  is called a *bulge*, iff following holds:

- 1)  $Start(b_1) = Start(b_2) \wedge End(b_1) = End(b_2)$
- 2)  $b_1$  and  $b_2$  have no common vertices except  $Start(b_1)$  and  $End(b_1)$
- 3) There are no edges  $e_1 \in b_1, e_2 \in b_2$  such that  $Spell(e_1) = Spell(e_2)$
- 4) Their sets of positions do not intersect (I'll define it a bit later)

A bulge  $B = \{b_1, b_2\}$  is called *bad* iff  $|b_1| < \delta \wedge |b_2| < \delta$  where  $\delta$  is a parameter.

A vertex  $v$  is called *bifurcation* iff there are at least two outgoing (ingoing) edges  $e_1, e_2$  incident  $v$  such that  $Spell(e_1) \neq Spell(e_2)$ . A set of paths  $P_{nb} = \{P_1, P_2, \dots, P_n\}$  is said to form a *non-branching path* iff  $|P_1| = |P_2| = \dots = |P_n|$  and  $Spell(e_{i,k}) = Spell(e_{j,k})$ , where  $e_{i,j}$  denote  $j$ -th edge in the  $i$ -th path, i.e. all paths spell the same substring.

Let's illustrate above definitions on a simple example. Colored de Bruijn graph built from string  $\hat{S} = "acctgtcagt"$  is depicted on figure 2. Here  $Rev(\hat{S}) = "actgacaggt"$ . Edges' labels denote indices of elements of list  $L$ . Vertices " $ac$ ", " $ct$ ", " $tg$ " are bifurcations, while " $cc$ ", " $tc$ ", " $ga$ " are not. Two paths (" $ac$ ", " $ct$ ") and (" $ac$ ", " $cc$ "), (" $cc$ ", " $ct$ ") form a bulge. Two multiedges (" $ct$ ", " $tg$ ") form a non-branching path.

Pseudocode of our algorithm for syntenic blocks finding is below. Actually, it lacks some important details, so I will augment it later.

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*SyntenyFinder*( $S = \{S_1, S_2, \dots, S_n\}, k, \delta$ )

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1:  $\hat{S} = S_1 \uplus S_2 \uplus \dots \uplus S_n$ 
2:  $L = ((\hat{s}_1, 1), (\hat{s}_2, 2), \dots, (\hat{s}_m, m))$ 
3:  $G = \text{BuildDeBruijn}(L, k)$ 
4:  $run = True$ 
5: while  $run == True$  do
6:    $run = False$ 
7:   for  $v \in V(G)$  do
8:     for  $e_1, e_2 \in Out(v)$  do
9:       if  $\text{FormBadBulge}(e_1, e_2, \delta)$  then
10:         $run = True$ 
11:         $\text{ReplaceBranch}(e_1, e_2)$ 
12:      end if
13:    end for
14:  end for
15: end while
16:  $\text{OutputNonBranchingPaths}(G)$ 

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## 5. Experimental results

Results

## 6. Conclusion

Conclusion

## References

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