

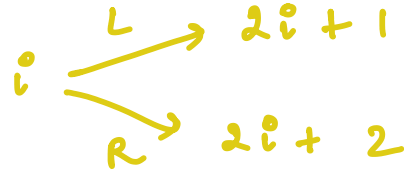
# Heap Notes 2

Friday, 24 January 2020 4:10 PM

## Heap

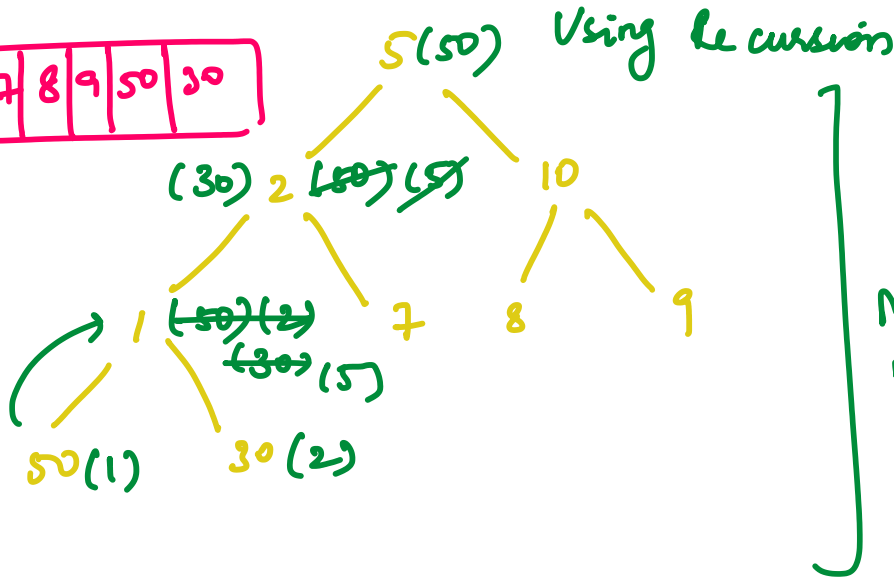
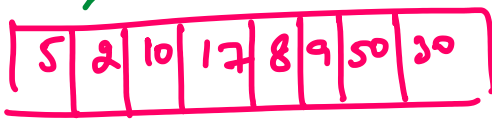
- Add
- delete min
- delete any ele
- Build → Insertion → Down heapify

Max Element → Root node



\* leaf nodes are already max heap

BUILD

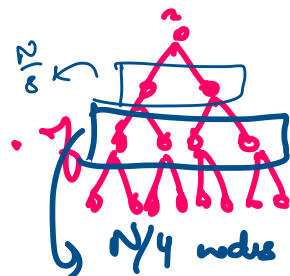


→ If you know that both subtrees are heap, then you can go top-down. (otherwise bottom-up)

→ Here we are going in bottom-up fashion.

Time Complexity of building heap →  $O(n)$

For a complete BST, total no. of leaf nodes  $\frac{n}{2}$ .



$$S = 2^{h-1} \times 0 + 2^{h-2} \times 1 + 2^{h-3} \times 2 + \dots$$

$$① \quad S = 2^{h-1} \left( \frac{1}{2} + \frac{2}{2^2} + \frac{3}{2^3} + \dots + \frac{h}{2^{h-1}} \right) \xrightarrow{\text{AQP}}$$

$$② \quad -2S = 2^{h-1} \left( 1 + \frac{2}{2} + \frac{3}{2^2} + \dots + \frac{h}{2^{h-2}} \right)$$

$$2S - S = 2^{h-1} \left( 1 + \left( \frac{2}{2} - \frac{1}{2} \right) + \left( \frac{3}{2^2} - \frac{2}{2^2} \right) + \dots + \frac{h}{2^{h-1}} \right)$$

$$S = 2^{h-1} \left( \underbrace{1 + \frac{1}{2} + \frac{1}{2^2} + \dots + \frac{1}{2^{h-2}}}_{\text{GP}} + \frac{h}{2^{h-1}} \right)$$

$$S = 2^{h-1} \left( \frac{1 \times (1 - (1/2)^{h-1})}{1 - 1/2} + \frac{h}{2^{h-1}} \right)$$

$$S = 2^{h-1} \left( \frac{(2^{h-1} - 1)/2^{h-1}}{1 - 1/2} + \frac{h}{2^{h-1}} \right)$$

$$S = 2^{h-1} \left( \frac{2^{h-1} - 1}{2^{h-2}} + \frac{h}{2^{h-1}} \right)$$

$$S = \cancel{2^{h-1}} \times \frac{1}{\cancel{2^{h-1}}} \left[ (2^{h-1} - 1) \times 2 + h \right]$$

$$S = 2^h - 2 + h$$

$$h = \log_2 w$$

$$S = 2^{\log_2 n} - 2 + \log_2 w$$

$$S = n - 2 + \log_2 w$$

$$\boxed{O(n)}$$

\*

$$\boxed{TC = O(n)}$$

Down heapify at each level

Q Now can the rope can be solved easily??

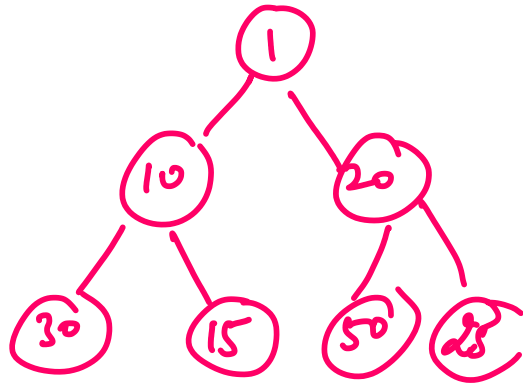
→ Use Min heap

DELETE

Q How to extract a value from Min heap?

→ 

1	10	20	30	15	50	25
---	----	----	----	----	----	----



→ We are storing the elements in array.

→ We need first element.

→ TC → Remove first element and shift all the other elements  $O(n)$

→ But the  $2i+1$  &  $2i+2$  property will be distorted.

---

→ Which is the element which can be removed from the array in  $O(1)$  time??

→ Using this fact to remove the first element

→ swap the first & last element.

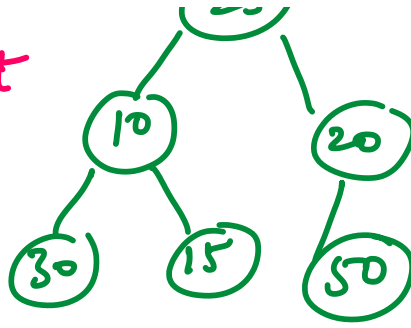
(1 & 25) ↓

25	10	20	30	15	50	1
----	----	----	----	----	----	---

→ Now shift the last pointer and remove 1

(25)

→ At this point, left subtree is a heap because we haven't touched any of its elements but the right subtree is not.



Now do heapify again.  
→ TC →  $O(\log n)$

We always do level order traversal.

I call the minheapify func<sup>n</sup> with the assumption that left subtree and right subtree are already min heap.

```

Minheapify (i) {
    small;
    l = 2i + 1;
    r = 2i + 2;
  
```

```

  if ( r < size && h[r] < h[i] )
    small = r;
  
```

```

  if ( l < size && h[l] < h[small] )
    small = l;
  
```

```

  if ( small != i ) {
  
```

```

    swap ( h[i], h[small] );
    minheapify ( small );
  
```

```

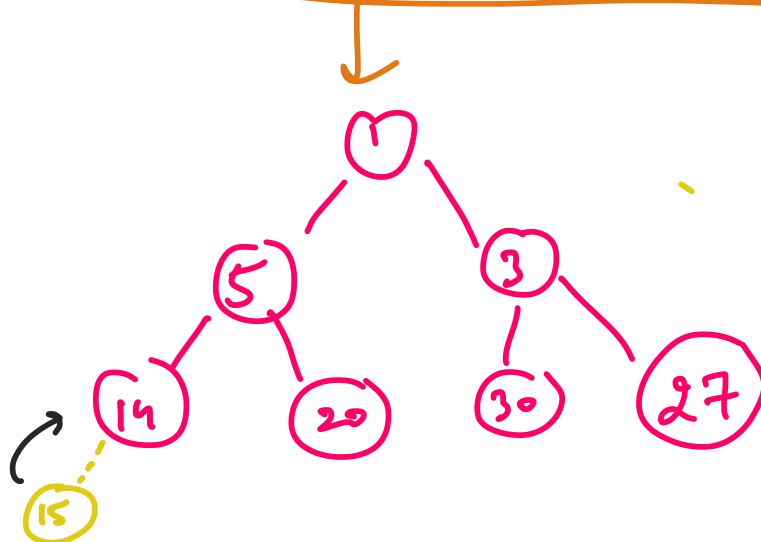
}
  
```

## Functions for Heap

- ① Heapify
- ② getmin
- ③ Insert an element for heap.

ADD

Insert  
15



→ How to insert in  $O(1)$ ?  
Insert at last.  
Call heapify again

\* To find the last element of heap,  
maintain a size variable

TC  $\rightarrow O(\log n)$  for inserting a element  
for  $i \rightarrow \lfloor i/2 \rfloor$  (parent element)

Ques Given 1, 23, 12, 9, 30, 2, 50  
Find  $k^{\text{th}}$  largest, Here  $k = 3$   
ans = 23

D. In array  $\rightarrow$  find the  $k^{\text{th}}$  largest

Brute force  $\rightarrow$  sort the array  
 $\rightarrow O(n \log n)$

Optimized  $\rightarrow$  Build Max heap

$\rightarrow$  Delete  $k-1$  elements  
 $\rightarrow$  Print  $k^{\text{th}}$  element

For Building Max heap  $\rightarrow O(n)$   
For extracting  $k$  elements  $\rightarrow O(k \log n)$

TC  $\rightarrow O(n) + O(k \log n)$

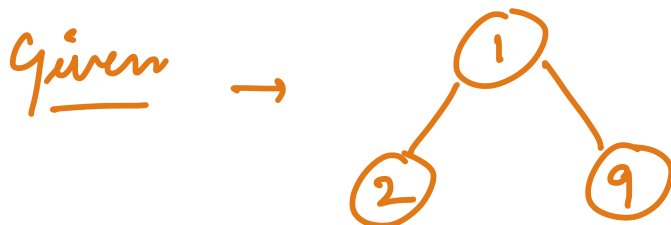
$\downarrow$  If  $k < n$

This approach is kind of sorting  
only

Let's consider  $23, 30, 50$   $k \text{ numbers}$

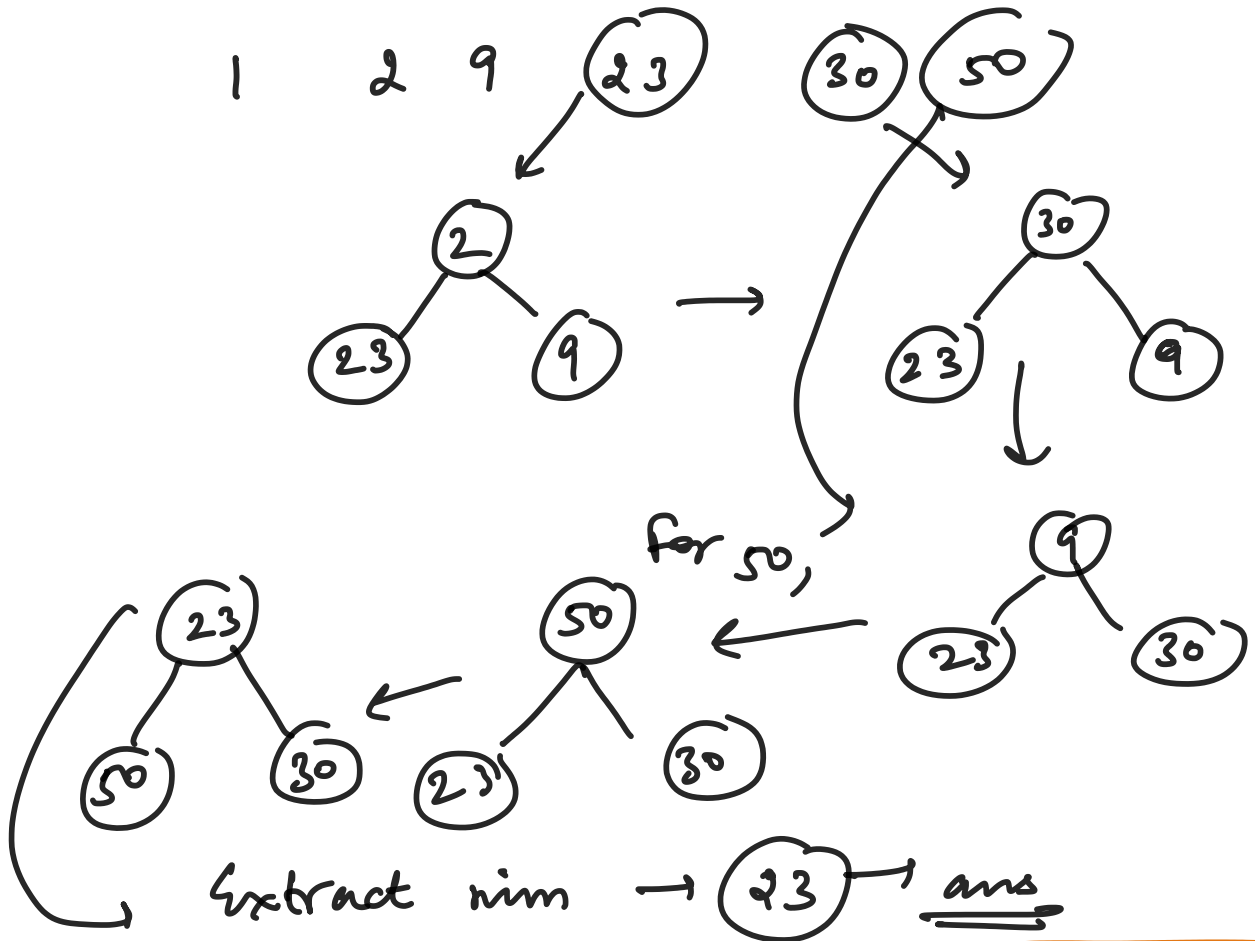
Out of these  $23$  is the smallest  
no.  
 $\rightarrow$  Build a min heap of size  $k(3)$ .

Since  $23$  is the minimum, we will eventually find it at the top of the heap.



I want  $23$  to be the minimum element of the heap. So, for any

element 'less than' 23 'should be  
popped out.



Time Complexity  $\rightarrow O(k)$  [for building heap] +  $O(\underline{n-k} \log \underline{k})$

Q2

## Merge k sorted Array

 $k = 3$ 
$$n = 4$$
$$arr = \{ \{1, 3, 5, 7\}, \\ \{2, 4, 6, 8\}, \\ \{0, 9, 10, 11\}, \}$$

Output  $\rightarrow$  0 1 2 3 4 5 6 7 8

1

9 10 11

Brute force  $\rightarrow$  ① Create output array  
size  $n \times k$

② Sort the array  
TC  $\rightarrow O(\underline{n}k \log \underline{n}k)$

Another efficient sol<sup>n</sup>:  $(nk \log k)$

Google  
Q3

A : 1, 7, 11  
B : 2, 4, 6

Let  $k=3$

Return minimum  $k$  sum pair  
(a, b)

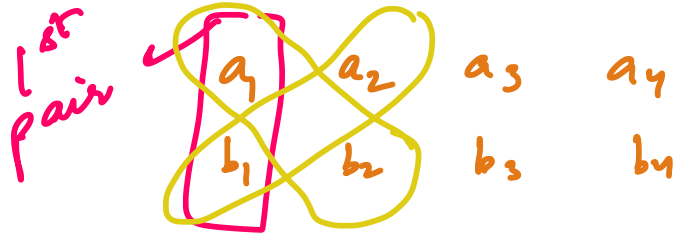
Here ans  $\rightarrow (1, 2) (1, 4) (1, 6)$

$(\underline{a}, \underline{b}) = (\underline{b}, \underline{a})$

I  
Using 2 pointers  $\rightarrow$  wont work

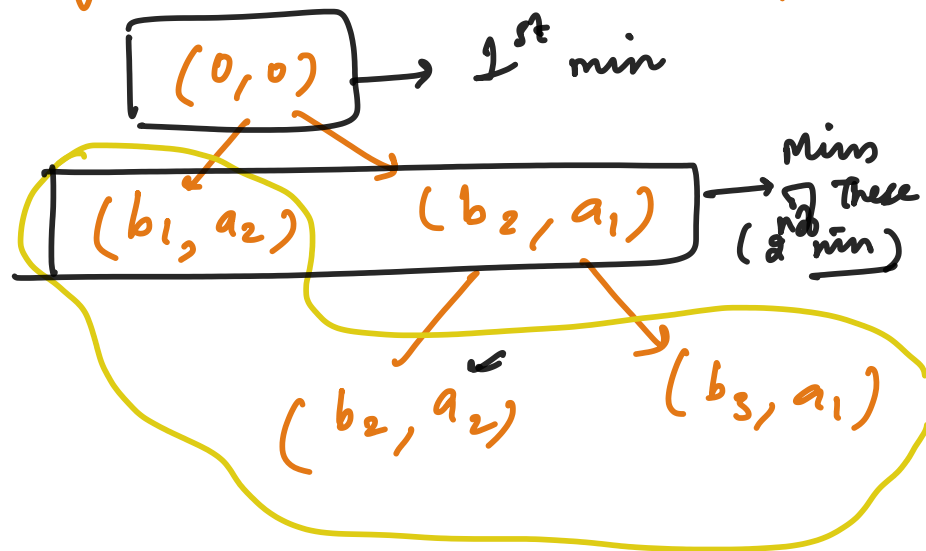


Observation: If  $k=1$   
 $(1, 2)$   $(0, 0)$   $\xrightarrow{A, B}$  demands



Possibilities for second pair  $\rightarrow (b_1, a_2)$   
 $\rightarrow (a_1, b_2)$

let say  $(b_2, a_1)$  was second pair then



3 contenders for third minimum

Dry run:

$(1, 2) \rightarrow$  put in heap

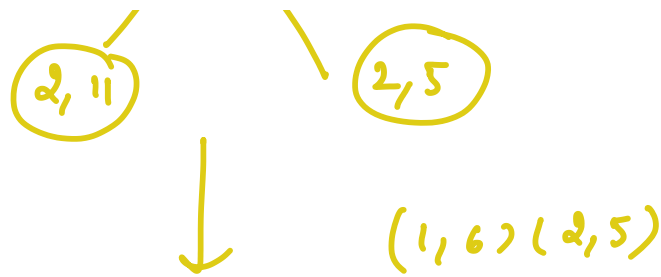
Then

$(2, 2) \rightarrow$  extract

$(1, 5)$

$\rightarrow (1, 5) \rightarrow$  extract

$(1, 2)$   
 $(2, 2)$   
 $(1, 5)$



For every pair  $(i, j)$  push

$(i, j+1)$  &  $(i+1, j)$

- Don't push when any index exceeds size of the array.
- Also keep track of what all pairs are already in the heap.  
(can use a hashmap to keep track of what all pairs are already present in heap)

\* After selecting the first choice, your concern is only for some elements and not all of the pairs.

Time Complexity :

Depends on maximum size of heap at any point of time.  
ie,  $k$ .

For building a heap  $\rightarrow O(k)$   
Since, we are doing  $k$  operations  $\rightarrow O(k \log k)$



$O(K)$  /  $O(K \log K)$

Space Complexity:  $O(K)$

\* Be comfortable with libraries.  
priority queues →