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| **NASDAQ Trade Engine**  Scalability  Order Matching,  Price Discovery,  High-Frequency Trading (HFT) Sup  Order Types  Trade Reporting  Market Surveillance  Risk Management  Failover and Redundancy  Regulatory Compliance | **NASDAQ Trade Engine**  Scalability  Order Matching,  Price Discovery,  High-Frequency Trading (HFT) Sup  Order Types  Trade Reporting  Market Surveillance  Risk Management  Failover and Redundancy  Regulatory Compliance | **NASDAQ Trade Engine**  Scalability  Order Matching,  Price Discovery,  High-Frequency Trading (HFT) Sup  Order Types  Trade Reporting  Market Surveillance  Risk Management  Failover and Redundancy  Regulatory Compliance |
| **CDBL**  Securities Depository  Dematerialization  Settlement Services  Depository Participant (DP) Services  Corporate Actions  Investor Services  Risk Management  Market Development  Regulatory Compliance  Data Management | **CDBL**  Securities Depository  Dematerialization  Settlement Services  Depository Participant (DP) Services  Corporate Actions  Investor Services  Risk Management  Market Development  Regulatory Compliance  Data Management | **CDBL**  Securities Depository  Dematerialization  Settlement Services  Depository Participant (DP) Services  Corporate Actions  Investor Services  Risk Management  Market Development  Regulatory Compliance  Data Management |
| **Direct Account**  Self-Directed Investing  Control and Flexibility  Online Trading  Costs and Fees  Research and Education  Risk Management  Regulatory Compliance | **Direct Account**  Self-Directed Investing  Control and Flexibility  Online Trading  Costs and Fees  Research and Education  Risk Management  Regulatory Compliance | **Direct Account**  Self-Directed Investing  Control and Flexibility  Online Trading  Costs and Fees  Research and Education  Risk Management  Regulatory Compliance |
| **Marginal Account**  Borrowing Power  Leverage  Initial Margin Requirement  Maintenance Margin Requirement  Margin Interest  Margin Calls  Risk Management | **Marginal Account**  Borrowing Power  Leverage  Initial Margin Requirement  Maintenance Margin Requirement  Margin Interest  Margin Calls  Risk Management | **Marginal Account**  Borrowing Power  Leverage  Initial Margin Requirement  Maintenance Margin Requirement  Margin Interest  Margin Calls  Risk Management |
| **Portfolio Statement**  Diversification  Asset Allocation  Risk Management  Portfolio Management  Performance Tracking  Long-Term Goals  Professional Management  Individual Investor Portfolios | **Portfolio Statement**  Diversification  Asset Allocation  Risk Management  Portfolio Management  Performance Tracking  Long-Term Goals  Professional Management  Individual Investor Portfolios | **Portfolio Statement**  Diversification  Asset Allocation  Risk Management  Portfolio Management  Performance Tracking  Long-Term Goals  Professional Management  Individual Investor Portfolios |
| **Purchase power**  **Here's how purchase power works in the capital market**  Funds Available  Margin Accounts  Leverage  Risk Management  Market Conditions  Regulatory Constraints  Investment Objectives | **Purchase power**  **Here's how purchase power works in the capital market**  Funds Available  Margin Accounts  Leverage  Risk Management  Market Conditions  Regulatory Constraints  Investment Objectives | **Purchase power**  **Here's how purchase power works in the capital market**  Funds Available  Margin Accounts  Leverage  Risk Management  Market Conditions  Regulatory Constraints  Investment Objectives |
| **Realized Loss** |  |  |
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