Higher-Order unification for free!

Reusing the meta-language unification for the object language

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ABSTRACT

Specifying and implementing a proof system from scratch requires significant effort. Logical Frameworks and Higher Order Logic Programming Languages provide dedicated, high-level Meta Languages (ML) to facilitate this task in two key ways: 1) variable binding and substitution are simplified when ML binders represent object logic ones; 2) proof construction, and even proof search, is greatly simplified by leveraging the unification procedure provided by the ML. Notable examples of ML are Elf [13], Twelf [15], λ Prolog [9] and Isabelle [21] which have been utilized to implement various formal systems such as First Order Logic [3], Set Theory [12], Higher Order Logic [11], and even the Calculus of Constructions [2].

The object logic we are interested in is Coq's [19] Calculus of Inductive Constructions (CIC), for which we aim to implement a unification procedure \simeq_o using the ML Elpi [1], a dialect of λ Prolog. Elpi's equational theory comprises $\eta\beta$ equivalence and comes equipped with a higher order unification procedure \simeq_λ restricted to the pattern fragment [8]. We want \simeq_o to be as powerful as \simeq_λ but on the object logic CIC. Elpi also comes with an encoding for CIC that works well for meta-programming [18, 17, 6, 4]. Unfortunately this encoding, which we refer to as \mathcal{F}_o , "underuses" \simeq_λ by restricting it to first-order unification problems only. To address this issue, we propose a better-behaved encoding, \mathcal{H}_o , demonstrate how to map unification problems in \mathcal{F}_o to related problems in \mathcal{H}_o , and illustrate how to map back the unifiers found by \simeq_λ , effectively implementing \simeq_o on top of \simeq_λ for the encoding \mathcal{F}_o .

KEYWORDS

 ${\bf Logic\ Programming,\ Meta-Programming,\ Higher-Order\ Unification,\ Proof\ Automation}$

ACM Reference Format:

1 INTRODUCTION

Meta languages such as Elf [13], Twelf [15], λ Prolog [9] and Isabelle [21] have been utilized to specify various logics [3, 11, 12,

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2]. The use of these meta languages facilitates this task in two key ways. The first and most well know one is that variable binding and substitution come for free. The second one is that these meta languages come equipped with some form of unification, a cornerstone of proof construction and proof search.

The object logic we are interested in is Coq's [19] Calculus of Inductive Constructions (CIC) and we want to implement a form of proof search known as type-class [20, 16] resolution. Type-class solvers are unification based proof search procedures reminiscent of Prolog that back-chain lemmas taken from a designated database of "type class instances". Given this analogy with Logic Programming we want to leverage the Elpi [18] meta programming language, a dialect of λ Prolog, already used to extend Coq in various ways [18, 17, 6, 4]. In this paper we focus on one aspect of this work, precisely how to reuse the higher order unification procedure of the meta language in order to simulate a higher order logic program for the object language.

We take as an example the Decision and Finite type classes from the Stdpp [7] library. The class Decision identifies predicates equipped with a decision procedure, while Finite the types whose inhabitants can be enumerated in a (finite) list. The following three designated type-class instances state that: 1) the type of natural numbers smaller than n, called fin n, is finite; 2) the predicate nfact n nf, relating a natural number n to the number of its prime factors nf, is decidable; 3) the universal closure of a predicate has a decision procedure if its domain is finite and if the predicate is decidable.

```
Instance fin_fin: \foralln, Finite (fin n). (* r1 *)
Instance nfact_dec: \foralln nf, Decision (nfact n nf). (* r2 *)
Instance forall_dec: \forallA P, Finite A \rightarrow (* r3 *)
\forallX:A, Decision (P x) \rightarrow Decision (\forallX:A, P x).
```

Given this database a type-class solver is expected to prove the following statement automatically:

Decision (
$$\forall x$$
: fin 7, nfact x 3) (* g *)

The proof found by the solver back-chains on rule 3 (the only rule about the \forall quantifier), and then solves the premises with rules 1 and 2 respectively. Note that rule 3 features a second order parameter P that stands for a function of type A \rightarrow Prop (a predicate over A). The solver has to infer a value for P by unifying the conclusion of rule 3 with the goal, and in particular it has to solve the unification problem P x = nfact x 3. This higher order problem falls in the so called pattern-fragment \mathcal{L}_{λ} [8] and admits a unique solution σ that assigns the term λx .nfact x 3 to P.

In order to implement such a search in Elpi we shall describe the encoding of CIC terms and then the encoding of instances as rules (a.k.a. clauses). Elpi comes equipped with an Higher Order

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Abstract Syntax (HOAS [14]) datatype of CIC terms, called tm, that features (among others) the following constructors:

Following λ Prolog [9]'s standard syntax, the meta level binding of a variable x in an expression e is written «x\ e», and square brackets delimit a list of terms separated by comma. For example the term « \forall y:t, nfact y 3» is encoded as follows:

```
all (con"t") y\ app [con"nfact", y, con"3"]
```

encoding of the goal (q) given below

We now illustrate the encoding of the three instances above as higher-order logic-programming rules: capital letters denote rule parameters; :- separates the rule's head from the premises and pi w\ p introduces a fresh nominal constant w for the premise p.

```
finite (app [con"fin", N]). (r1)
```

decision (all A
$$\times$$
 app [P, \times]) :- finite A, (r3) pi \times decision (app [P, \times]).

Unfortunately this intuitive encoding of rule (r3) does not work, since it uses the predicate P as a first order term: for the meta language its type is tm. If we try to back-chain the rule (r3) on the

```
decision (all (app [con"fin", con"7"]) y\ app [con"nfact", y, con"3"]). (g)
```

we obtain an unsolvable unification problem (p): the two lists of terms have different lengths!

```
app [con"nfact", y, con"3"] = app [P, y] (p)
```

In this paper we study a more sophisticated encoding of CIC terms and rules that, on a first approximation, would reshape (r3) as follows:

```
decision (all A \times Pm \times) :- link Pm P A, finite A, (r3') pi \times decision (app [P, \times]).
```

Since Pm is an higher-order unification variable of type tm -> tm, with x in its scope, the unification problem (p') admits one solution:

app [con"nfact", y, con"3"] = Pm y
$$(p')$$

$$Pm = x \land app [con"nfact", x, con"3"]$$
 (\rho)

Once the head of rule (r3') unifies with the goal (g) the premise «link Pm A P» brings the assignment (ρ) back to the domain tm of Coq terms, obtaining the expected solution σ :

```
P = lam A x\ app [con"nfact", x, con"3"]
```

This simple example is sufficient to show that the encoding we seek is not trivial and does not only concern the head of rules, but the entire sequence of unification problems that constitute the execution of a logic program. In fact the solution for P above generates a (Coq) β -redex in the second premise (the predicate under the Pi w\). We show below the premise before and after the instantiation of P:

In turn this redex prevents the rule (r2) to backchain properly since the following unification problem has no solution:

The root cause of the problems we sketched in this example is a subtle mismatch between the equational theories of the meta language and the object language, that in turns makes the unification procedures of the meta language weak.

The equational theory of the meta language Elpi encompasses $\eta\beta$ -equivalence and its unification procedure can solve higher order problems in the pattern fragment. Although the equational theory of CIC is much richer, for efficiency and predictability reasons automatic proof search procedure typically employ a unification procedure that only captures a $\eta\beta$ -equivalence and only operates in \mathcal{L}_{λ} . The similarity is striking, but one needs some care in order to simulate a logic program in CIC using the unification of Elpi.

Contributions. In this paper we identify a minimal language \mathcal{F}_0 in which the problems sketched in the introduction can be formally described. We detail an encoding of a logic program on \mathcal{F}_0 to a strongly related logic program in \mathcal{H}_0 (the language of the meta language) and we show that the unification procedure of the meta language \simeq_{λ} can be effectively used to simulate a unification procedure \simeq_0 for the object language that features $\eta\beta$ -conversion in the pattern-fragment.

section 2 formally states the problem and gives the intuition behind our solution. section 9 discusses alternative term encodings and related works. section 3.1 introduces the languages \mathcal{F}_0 and \mathcal{H}_0 , section 3 describes a basic simulation of higher order logic programs. sections 5 and 6 completes its equational theory with support for η -conversion. section 7 deals with the practical necessity of "tolerating" terms outside of the pattern-fragment and discusses how heuristic can be applied. Finally section 8 discusses the implementation in Elpi.

The λ Prolog code discussed in the paper can be accessed at the URL: https://github.com/FissoreD/paper-ho.

2 PROBLEM STATEMENT AND SOLUTION

Even if we encountered the problem working on CIC we devise a minimal setting to ease its study. In this setting we have a \mathcal{F}_0 language (for first order) with a rich(er) equational theory and a \mathcal{H}_0 meta language with a simpler one, and we reuse the unification procedure of \mathcal{H}_0 in order to implement one for \mathcal{F}_0 .

2.1 Preliminaries: \mathcal{F}_o and \mathcal{H}_o

In order to reason about unification we provide a description of the \mathcal{F}_o and \mathcal{H}_o languages where unification variables are first class terms, i.e. they have a concrete syntax as per fig. 1. Unification variance.

```
kind fm type.
type fapp list fm -> fm.
type flam (fm -> fm) -> fm.
type fcon string -> fm.
type fuva addr -> fm.
type fuva addr -> fm.
kind tm type.
type app list tm -> tm.
type lam (tm -> tm) -> tm.
type con string -> tm.
type uva addr -> list tm -> tm.
```

Figure 1: The \mathcal{F}_o and \mathcal{H}_o languages

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ables in \mathcal{F}_0 (fuva term constructor) have no explicit scope: the arguments of an higher order variable are given via the fapp constructor. For example the term «P x» is represented as «fapp [fuva N, x]», where N is the memory address of P and x is a bound variable. In \mathcal{H}_0 the representation of «P x» is instead «uva N [x]», since unification variables are higher order and come equipped with an explicit scope.

Notational conventions. When we write \mathcal{H}_0 terms outside code blocks we follow the usual λ -calculus notation, reserving f, g, a, b for constants, x, y, z for bound variables and X, Y, Z, F, G, H for unification variables. However we need to distinguish between the

Notational conventions. When we write \mathcal{H}_0 terms outside code blocks we follow the usual λ -calculus notation, reserving f, g, a, b for constants, x, y, z for bound variables and X, Y, Z, F, G, H for unification variables. However we need to distinguish between the "application" of a unification variable to its scope and the application of a term to a list of arguments. We write the scope of unification variables in subscript while we use juxtaposition for regular application. Here a few examples:

```
f \cdot a app [con "f", con "a"] \lambda x.\lambda y.F_{xy} lam x\ lam y\ uva F [x, y] \lambda x.F_{x} \cdot a lam x\ app [uva F [x], con "a"] \lambda x.F_{x} \cdot x lam x\ app [uva F [x], x]
```

When it is clear from the context we shall use the same syntax for \mathcal{F}_0 terms (although we never subscripts unification variables).

We use $s, s_1, ...$ for terms in \mathcal{F}_o and $t, t_1 ...$ for terms in \mathcal{H}_o .

2.2 Equational theories an unification

In order to specify unification we need to define the equational theory and substitution (unification-variable assignment).

2.2.1 *Term equality:* $=_0$ *and* $=_\lambda$. For both languages we extend the equational theory over ground terms to the full language by adding the reflexivity of unification variables (a variable is equal to itself).

The first four rules are common to both equalities and define the usual congruence over terms. Since we use an HOAS encoding they also capture α -equivalence. In addition to that $=_0$ has rules for η and β -equivalence.

```
type (=_o) fm \rightarrow fm \rightarrow o.
                                                                     (=_o)
fcon X =_{o} fcon X.
fapp A =_o fapp B :- forall2 (=_o) A B.
flam F =_o flam G := pi x \setminus x =_o x => F x =_o G x.
fuva N =_{o} fuva N.
flam F =_o T :=
                                                                     (\eta_l)
  pi x beta T [x] (T' x), x =_o x \Rightarrow F x =_o T' x.
T =_{o} flam F :=
                                                                     (\eta_r)
  pi x \land beta T [x] (T' x), x =_o x \Rightarrow T' x =_o F x.
fapp [flam X | L] = _{o} T :- beta (flam X) L R, R = _{o} T. (\beta_{l})
T =_o fapp [flam X | L] :- beta (flam X) L R, T =_o R. (\beta_r)
type (=_{\lambda}) tm -> tm -> o.
con C =_{\lambda} fcon C.
app A =_{\lambda} fapp B :- forall2 (=_{\lambda}) A B.
```

The main point in showing these equality tests is to remark how weaker $=_{\lambda}$ is, and to identify the four rules that need special treatment in the implementation of \simeq_{o} . For brevity we omit the code of

 $lam \ F =_{\lambda} \ flam \ G :- \ pi \ x \setminus x =_{\lambda} x \Rightarrow F \ x =_{\lambda} G \ x.$

uva N A = $_{\lambda}$ fuva N B :- forall2 (= $_{\lambda}$) A B.

beta: it is sufficient to know that "beta F L R" computes in R the weak head normal form of "app[F|L]".

Substitution: ρ s and σt . We write $\sigma = \{X \mapsto t\}$ for the substitution that assigns the term t to the variable X. We write σt for the application of the substitution to a term t, and $\sigma X = \{\sigma t \mid t \in X\}$ when X is a set of terms. We write $\sigma \subseteq \sigma'$ when σ is more general than σ' . The domain of a substitution is the set of unification variables for which it provides an assignment. We write $\sigma \cup \sigma'$ to denote the concatenation of two substitutions whose domains are disjoint. We shall use ρ for \mathcal{F}_0 substitutions, and σ for the \mathcal{H}_0 ones. For brevity, in this section we consider the substitution for \mathcal{F}_0 and \mathcal{H}_0 identical. We defer to section 3.1 a more precise description pointing out theirs differences.

Term unification: $\simeq_o vs. \simeq_\lambda$. Although we provide an implementation of the meta-language unification \simeq_λ in the supplementary material (that we used for testing purposes) we only describe its signature here.

type
$$(\simeq_{\lambda})$$
 tm -> tm -> subst -> subst -> o.

We write $\underline{\sigma t_1} \simeq_{\lambda} \underline{\sigma t_2} \mapsto \underline{\sigma'}$ when $\underline{\sigma t_1}$ and $\underline{\sigma t_2}$ unify with substitution $\underline{\sigma'}$. We write $t_1 \simeq_{\lambda} t_2 \mapsto \underline{\sigma}$ when the initial substitution is empty. Note that if $\underline{\sigma t_1} \simeq_{\lambda} \underline{\sigma t_2} \mapsto \underline{\sigma'}$ then the domains of $\underline{\sigma}$ and $\underline{\sigma'}$ are disjoint.

The meta language of choice is expected to provide an implementation of \simeq_{λ} that satisfies the following properties:

$$\{t_1, t_2\} \subseteq \mathcal{L}_{\lambda} \Rightarrow t_1 \simeq_{\lambda} t_2 \mapsto \rho \Rightarrow \rho t_1 =_{\lambda} \rho t_2$$
 (1)

$$\{t_1, t_2\} \subseteq \mathcal{L}_{\lambda} \Rightarrow \rho t_1 =_{\lambda} \rho t_2 \Rightarrow \exists \rho', t_1 \simeq_{\lambda} t_2 \mapsto \rho' \wedge \rho' \subseteq \rho \quad (2)$$

Even if we provide an implementation of the object-language unification \simeq_0 in section 3.7, our real goal is the simulation of an entire logic program.

2.3 The problem: logic-program Simulation

We represent a logic program run in \mathcal{F}_0 as a list steps of length \mathcal{N} . At each step p we unify two terms \mathbb{P}_{p_l} and \mathbb{P}_{p_r} taken from the set of all terms \mathbb{P} . The composition of these steps starting from the empty substitution ρ_0 produces the final substitution $\rho_{\mathcal{N}}$, that is the result of the logic-program execution.

$$\begin{split} \operatorname{fstep}(\mathbb{P}, p, \rho) &\mapsto \rho^{\prime\prime} \stackrel{def}{==} \rho \mathbb{P}_{p_l} \simeq_o \rho \mathbb{P}_{p_r} \mapsto \rho^{\prime} \wedge \rho^{\prime\prime} = \rho \cup \rho^{\prime} \\ \operatorname{frun}(\mathbb{P}, \mathcal{N}) &\mapsto \rho_{\mathcal{N}} \stackrel{def}{==} \bigwedge_{n=1}^{\mathcal{N}} \operatorname{fstep}(\mathbb{P}, p, \rho_{p-1}) \mapsto \rho_p \end{split}$$

In order to simulate a \mathcal{F}_0 logic program in \mathcal{H}_0 we compile each \mathcal{F}_0 term in \mathbb{P} into a \mathcal{H}_0 term t. We write this translation $\langle s \rangle \mapsto (t, m, l)$. The implementation of the compiler is detailed in sections 3, 5 and 7, here we just point out that it additionally produce a variable mapping m and list of links l. The variable map connects unification variables in \mathcal{H}_0 to variables in \mathcal{F}_0 and is used to "decompile" the assignment, $\langle \sigma, m, l \rangle^{-1} \mapsto \rho$. Links are an accessory piece of information whose description is deferred to section 2.4.

We simulate each run in \mathcal{F}_o with a run in \mathcal{H}_o as follows.

$$\begin{split} \mathsf{hstep}(\mathbb{T}, p, \sigma, \mathbb{L}) &\mapsto (\sigma'', \mathbb{L}') \stackrel{\mathit{def}}{=\!\!\!=\!\!\!=} \\ \sigma \mathbb{T}_{p_l} &\simeq_{\lambda} \sigma \mathbb{T}_{p_r} \mapsto \sigma' \land \mathsf{progress}(\mathbb{L}, \sigma \cup \sigma') \mapsto (\mathbb{L}', \sigma'') \end{split}$$

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¹If the same rule is used multiple times in a run we just consider as many copies as needed of the terms composing the rules, with fresh unification variables each time.

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$$\operatorname{hrun}(\mathbb{P}, \mathcal{N}) \mapsto \rho_{\mathcal{N}} \stackrel{def}{=}$$

$$\mathbb{T} \times \mathbb{M} \times \mathbb{L}_{0} = \{(t, m, l) | s \in \mathbb{P}, \langle s \rangle \mapsto (t, m, l) \}$$

$$\wedge_{p=1}^{\mathcal{N}} \operatorname{hstep}(\mathbb{T}, p, \sigma_{p-1}, \mathbb{L}_{p-1}) \mapsto (\sigma_{p}, \mathbb{L}_{p})$$

$$\langle \sigma_{\mathcal{N}}, \mathbb{M}, \mathbb{L}_{\mathcal{N}} \rangle^{-1} \mapsto \rho_{\mathcal{N}}$$

By analogy with \mathbb{P} , we write \mathbb{T}_{p_l} and \mathbb{T}_{p_r} for the two \mathcal{H}_o terms being unified at step p, and we write \mathbb{T}_p for the set $\{\mathbb{T}_{p_l}, \mathbb{T}_{p_r}\}$. hstep is made of two sub-steps: a call to the meta language unification and a check for progress on the set of links, that intuitively will compensate for the weaker equational theory honoured by \simeq_{λ} . hrun compiles all terms in \mathbb{P} , then executes each step and finally decompiles the solution. We claim:

Proposition 2.1 (Simulation).
$$\forall \mathbb{P}, \forall \mathcal{N}, if \ \mathbb{P} \subseteq \mathcal{L}_{\lambda}$$
 frun $(\mathbb{P}, \mathcal{N}) \mapsto \rho_{\mathcal{N}} \Leftrightarrow \operatorname{hrun}(\mathbb{P}, \mathcal{N}) \mapsto \rho_{\mathcal{N}}$

That is, the two executions give the same result. Moreover:

Proposition 2.2 (Simulation fidelity). In the context of hrun, if $\mathbb{P} \subseteq \mathcal{L}_{\lambda}$ we have that $\forall p \in 1 \dots N$,

$$fstep(\mathbb{P}, p, \rho_{p-1}) \mapsto \rho_p \Leftrightarrow hstep(\mathbb{T}, p, \sigma_{p-1}, \mathbb{L}_{p-1}) \mapsto (\sigma_p, \mathbb{L}_p)$$

In particular this property guarantees that a *failure* in the \mathcal{F}_0 run is matched by a failure in \mathcal{H}_0 at the same step. We consider this property very important from a practical point of view since it guarantees that the execution traces are strongly related and in turn this enables a user to debug a logic program in \mathcal{F}_0 by looking at its execution trace in \mathcal{H}_0 .

We also claim that hrun handles terms outside \mathcal{L}_{λ} in the following sense:

PROPOSITION 2.3 (FIDELITY RECOVERY). In the context of hrun, if $\rho_{p-1}\mathbb{P}_p \in \mathcal{L}_{\lambda}$ (even if $\mathbb{P}_p \notin \mathcal{L}_{\lambda}$) then

$$\mathsf{fstep}(\mathbb{P},p,\rho_{p-1}) \mapsto \rho_p \Leftrightarrow \mathsf{hstep}(\mathbb{T},p,\sigma_{p-1},\mathbb{L}_{p-1}) \mapsto (\sigma_p,\mathbb{L}_p)$$

In other words if the two terms involved in a step re-enter \mathcal{L}_{λ} , then hstep and fstep are again related, even if $\mathbb{P} \not\subseteq \mathcal{L}_{\lambda}$ and hence proposition 2.2 does not apply.

This property has a practical relevance since in many logic programming implementations, including Elpi, the order in which unification problems are tackled does matter. The simplest example is the sequence $F \simeq \lambda x.a$ and $F \cdot a \simeq a$: the second problem is not in \mathcal{L}_{λ} and has two unifiers, namely $\sigma_1 = \{F \mapsto \lambda x.x\}$ and $\sigma_2 = \{F \mapsto \lambda x.a\}$. The first problem picks σ_2 making the second problem re-enter \mathcal{L}_{λ} .

2.4 The solution (in a nutshell)

A term s is compiled to a term t where every "problematic" sub term p is replaced by a fresh unification variable h with an accessory link that represents a suspended unification problem $h \simeq_{\lambda} p$. As a result \simeq_{λ} is "well behaved" on t, in the sense that it does not contradict $=_{o}$ as it would otherwise do on the "problematic" sub-terms. We now define "problematic" and "well behaved" more formally.

Definition 2.4 ($\Diamond \beta_0$). $\Diamond \beta_0$ is the set of terms of the form $X \cdot x_1 \dots x_n$ such that $x_1 \dots x_n$ are distinct names (of bound variables).

An example of term $\Diamond \beta_0$ is the application $F \cdot x$. This term is problematic since the application node of its syntax tree cannot be used to justify a unification failure, i.e. by properly instantiating F the

term head constructor may become a λ , or a constant or stay an application.

Definition 2.5 ($\Diamond \eta$). $\Diamond \eta$ is the set of terms *s* such that $\exists \rho, \rho s$ is an eta expansion.

An example of term s in $\Diamond \eta$ is $\lambda x.\lambda y.F \cdot y \cdot x$ since the substitution $\rho = \{F \mapsto \lambda a.\lambda b.f \cdot b \cdot a\}$ makes $\rho s = \lambda x.\lambda y.f \cdot x \cdot y$ that is the eta long form of f. This term is problematic since its leading λ abstraction cannot justify a unification failure against a constant f.

Definition 2.6 ($\diamondsuit \mathcal{L}_{\lambda}$). $\diamondsuit \mathcal{L}_{\lambda}$ is the set of terms of the form $X \cdot t_1 \dots t_n$ such that $t_1 \dots t_n$ are not distinct names.

These terms are problematic for the very same reason terms in $\diamond \beta_0$ are, but cannot be handled directly by the unification of the meta language, that is only required to handle terms in \mathcal{L}_{λ} . Still, there exists a substitution ρ such that $\rho s \in \mathcal{L}_{\lambda}$.

We write $\mathcal{P}(t)$ the set of sub-terms of t, and we write $\mathcal{P}(X) = \bigcup_{t \in X} \mathcal{P}(t)$ when X is a set of terms.

Definition 2.7 (Well behaved set). Given a set of terms $X \subseteq \mathcal{H}_0$,

$$W(X) \Leftrightarrow \forall t \in \mathcal{P}(X), t \notin (\Diamond \beta_0 \cup \Diamond \eta \cup \Diamond \mathcal{L}_{\lambda})$$

We claim our compiler validates the following property:

Proposition 2.8 (W-enforcing). Given two terms s_1 and s_2 , if $\exists \rho, \rho s_1 =_{\rho} \rho s_2$, then

$$\langle s_i \rangle \mapsto (t_i, m_i, l_i) \text{ for } i \in \{1, 2\} \Rightarrow t_1 \simeq_{\lambda} t_2 \mapsto \sigma$$

Note that the property holds for any substitution, it could be given by an oracle and not necessarily a most general one, and for any terms, in particular terms in $\Diamond \beta_0 \cup \Diamond \eta \cup \Diamond \mathcal{L}_{\lambda}$.

Proposition 2.9 (*W*-preservation). $\forall \mathbb{T}, \forall \mathbb{L}, \forall p, \forall \sigma, \forall \sigma'$

$$\begin{split} \mathcal{W}(\sigma\mathbb{T}) \wedge \sigma\mathbb{T}_{p_l} &\simeq_{\lambda} \sigma\mathbb{T}_{p_r} \mapsto \sigma' \Rightarrow \mathcal{W}(\sigma'\mathbb{T}) \\ \mathcal{W}(\sigma\mathbb{T}) \wedge \operatorname{progress}(\mathbb{L}, \sigma) \mapsto (_, \sigma') \Rightarrow \mathcal{W}(\sigma'\mathbb{T}) \end{split}$$

Proposition 2.9 is key to prove propositions 2.1 and 2.2: informally it says that the problematic terms moved on the side by the compiler are not put back by hstep, hence \simeq_{λ} can continue to operate properly. In sections 3, 5 and 7 we describe how the compiler recognizes terms in $\diamond \beta_0$, $\diamond \eta$ and $\diamond \mathcal{L}_{\lambda}$ and how progress takes care of them preserving \mathcal{W} and granting propositions 2.1 to 2.3.

3 BASIC COMPILATION AND SIMULATION

3.1 Memory map (M) and substitution (ρ and σ)

Unification variables are identified by a natural number that represents a memory addresses. The memory and its associated operations are described below:

```
kind addr type.
type addr nat -> addr.
typeabbrev (mem A) (list (option A)).

type set? addr -> mem A -> A -> o.
type unset? addr -> mem A -> o.
type assign addr -> mem A -> A -> mem A -> o.
type new mem A -> addr -> mem A -> o.
```

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If a memory cell is none, then the corresponding unification variable is not set. assign sets an unset cell to the given value, while new finds the first unused address and sets it to none.

Since each occurrence of a \mathcal{H}_o unification variables has a scope, its assignment needs to be abstracted over it to enable the instantiation of the same assignment to different scopes. This is expressed by the inctx container, and in particular its abs binding constructor. On the contrary a solution to a \mathcal{F}_0 variable is a plain term.

We call fsubst the memory of \mathcal{F}_0 , while we call subst the one of \mathcal{H}_0 .

The compiler establishes a mapping between variables of the two languages.

```
kind fvariable type.
type fv addr -> fvariable.

kind arity type.
type arity nat -> arity.
kind hvariable type.
type hv addr -> arity -> hvariable.

kind mapping type.
type (<->) fvariable -> hvariable -> mapping.
typeabbrev mmap (list mapping).
```

Each hvariable is stored in the mapping together with its arity so that the code of (*malloc*) below can preserve:

Invariant 1 (Unification-variable arity). Each variable A in \mathcal{H}_o has a (unique) arity N and each occurrence (uva A L) is such that L has length N.

When a single fvariable occurs multiple times with different numbers of arguments the compiler generates multiple mappings for it, on a first approximation, and then makes the mapping bijective by introducing η -link; this detail is discussed in section 6.

Applying the substitution corresponds to dereferencing a term with respect to the memory. It is worth looking at the code fpr \mathcal{H}_o to remark how assignments are moved to the current scope, i.e. renaming the abs-bound variables with the names in the scope of the unification variable occurrence.

```
move A L T, deref S T R.
deref S (uva N A) (uva N B) :- unset? N S,
  map (deref S) A B.
```

Note that move strongly relies on invariant 1: the length of the arguments of all occurrences of a unification are the same. Hence they have the same type in the meta-level and the number of abs nodes in the assignment matches that length. In turn this grants that move never fails.

```
type move assignment -> list tm -> tm -> o. move (abs Bo) [H|L] R :- move (Bo H) L R. move (val A) [] A.
```

We write $\sigma = \{A_{xy} \mapsto y\}$ for the assignment «abs x\abs y\y » and $\sigma = \{A \mapsto \lambda x. \lambda y. y\}$ for «lam x\lam y\y ».

3.2 Links (\mathbb{L})

As we mentioned in section 2.4 the compiler replaces terms in $\Diamond \eta$, $\Diamond \beta_0$ and $\Diamond \mathcal{L}_{\lambda}$ with fresh variables linked to the problematic terms. Terms in $\Diamond \beta_0$ do not need a link since \mathcal{H}_o variables faithfully represent the problematic term thanks to their scope.

```
kind baselink type.
type link-eta tm -> tm -> baselink.
type link-beta tm -> tm -> baselink.
typeabbrev link (inctx baselink).
typeabbrev links (list link).
```

The right hand side of a link, the problematic term, can occur under binders. To accommodate this situation the compiler wraps baselink using the inctx container (see, $\cdot \vdash \cdot$).

Invariant 2 (Link left hand side). The left hand side of a suspended link is a variable.

New links are suspended by construction. If the left hand side variable is assigned during a step, then the link is considered for progress and possibly eliminated. This is discussed in section 5 and section 7.

When detailing examples we write links as equations between two terms under a context. The equality sign is subscripted with kind of baselink. For example $x \vdash A_x =_{\beta} F_x$ a corresponds to:

```
abs x\ val (link-beta (uva A [x]) (app[uva F [x],con "a"]))
```

3.3 Notational conventions

When variables x and y can occur in term t we shall write t_{xy} to stress this fact.

3.4 Compilation

E:manca beta normal in entrata

The main task of the compiler is to recognize \mathcal{F}_0 variables standing for functions and map them to higher order variables in \mathcal{H}_0 . In order to bring back the substitution from \mathcal{H}_0 to \mathcal{F}_0 the compiler builds a "memory map" connecting the the kind of variables using routine (*malloc*).

The signature of the comp predicate below allows for the generation of links (suspended unification problems) that play no role in this section but play a major role in section 5 and section 7. With

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notation for

problem compila-

respect to section 2 the signature also allows for updates to the substitution.

The code above uses that possibility in order to allocate space for the variables, i.e. sets their memory address to none (a details not worth mentioning in the previous sections).

```
type comp-lam (fm -> fm) -> (tm -> tm) ->
    mmap -> mmap -> links -> links -> subst -> o.
comp-lam F G M1 M2 L1 L3 S1 S2 :-
    pi x y\ (pi M L S\ comp x y M M L L S S) =>
        comp (F x) (G y) M1 M2 L1 (L2 y) S1 S2,
    close-links L2 L3.
```

In the code above the syntax $pi \times y \setminus ...$ is syntactic sugar for iterated pi abstraction, as in $pi \times pi y \setminus ...$

The auxiliary function close-links tests if the bound variable ν really occurs in the link. If it is the case the link is wrapped into an additional abs node binding ν . In this way links generated deep inside the compiled terms can be moved outside their original context of binders.

```
type close-links (tm -> links) -> links -> o. close-links (v\[X |L v]) [X|R] :- !, close-links L R. close-links (v\[X v|L v]) [abs X|R] :- close-links L R. close-links (\[ \] [].
```

Note that we could remove the first rule, whose solve purpose is to make links more readable by pruning unused context entries.

3.4.1 Compilation of terms in $\diamond \beta_0$. The following rule is inserted just before rule $(c_{\textcircled{@}})$.

```
comp (fapp [fuva A|Ag]) (uva B Ag1) M1 M2 L L S1 S2 :-
pattern-fragment Ag, !,
  fold6 comp Ag Ag1 M1 M1 L L S1 S1,
  len Ag Arity,
  m-alloc (fv A) (hv B (arity Arity)) M1 M2 S1 S2.
```

Note that compiling Ag cannot create new mappings nor links, since Ag is made of bound variables and the hypothetical rule loaded by comp-lam (see below) grants this property. Also note that this rule generates no links.

The only detail worth discussing is the fact that the procedure updates a substitution, rather than just crafting one as presented in section 2. The reason is that the algorithm folds over a term, updating a substitution while it traverses it.

E:explain better

3.5 Execution

A step in \mathcal{H}_0 consists in unifying two terms and reconsidering all links for progress. If any of the two tasks fail we say that the entire

step fails, and it is at this granularity that we can relate steps in the two languages.

```
type hstep tm -> tm -> links -> links -> subst -> o. hstep T1 T2 L1 L2 S1 S3 :-  (T1 \simeq_{\lambda} T2) \ S1 \ S2,  progress L1 L2 S2 S3.
```

Note that he infix notation ((A \simeq_{λ} B) C D) is syntactic sugar for ((\simeq_{λ}) A B C D).

Reconsidering links is a fixpoint, since the progress of a link can update the substitution and in turn enable another link to progress.

```
type progress links -> links -> subst -> o.
progress L L2 S1 S3 :-
    progress1 L L1 S1 S2,
    occur-check-links L1,
    if (L = L1, S1 = S2)
        (L2 = L1, S3 = S1)
        (progress L1 L2 S2 S3).
```

In the base compilation scheme progress1 is the identity on both the links and the substitution, so the fixpoint trivially terminates. Sections 5 and 7 add rules to progress1 and justify why the don't hinder termination. For brevity we omit the code that applies the substitution S1 to all terms in \mathbb{L} .

Since compilation moves problematic terms out of the sigh of \simeq_{λ} , that procedure can only perform a partial occur check. For example the unification problem $X \simeq_{\lambda} f Y$ cannot generate a cyclic substitution alone, but should be disallowed if a \mathbb{L} contains a link like $\vdash Y =_{\eta} \lambda z. X_z$: We don't know yet if Y will feature a lambda in head position, but we surely know it contains X, hence f Y and that fails the occur check. The procedure occur-check-links is in charge of ensuring that each link does not represent a (suspended) unification problem doomed to fail because of occur check. This check is needed in order to guarantee proposition 2.2 (simulation fidelity).

3.6 Substitution decompilation

Decompiling the substitution requires to first force the progress of links and then allocating new unassigned variables in the substitution for \mathcal{F}_0 and finally decompiling all assignments. Note that invariant 2 and the occur check allows us to update the subst.

```
type decompile mmap -> links -> subst ->
  fsubst -> fsubst -> o.
decompile M1 L S F1 F3 :-
  commit-links L S S1,
  complete-mapping S1 S1 M1 M2 F1 F2,
  decompm M2 M2 S1 F2 F3.
```

Invariant 3. TODO: dire che il mapping è bijective

3.7 Definition of \simeq_o and its properties

```
type (\simeq_o) fm -> fm -> fsubst -> o.

(A \simeq_o B) F :-

comp A A' [] M1 [] [] S1,

comp B B' M1 M2 [] [] S1 S2,

hstep A' B' [] [] S2 S3,

decompm M2 M2 S3 [] F.
```

commit links + complete-map + abs

lam

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The code given so far applies to terms in $\beta\eta$ -normal form where unification variables in \mathcal{F}_o can occur non linearly but always with the same number of arguments, and where their arguments are distinct names (as per \mathcal{L}_{λ}).

Lemma 3.1 (Compilation round trip). If comp S \top [] M [] _ [] _ then decomp M T S

PROOF SKETCH. trivial, since the terms are beta normal beta just D:Refornbulidtls?an app.

> LEMMA 3.2. Properties (1) and (2) hold for the implementation of \simeq_o above

> PROOF SKETCH. In this setting $=_{\lambda}$ is as strong as $=_{o}$ on ground terms. What we have to show is that whenever two different \mathcal{F}_0 terms can be made equal by a substitution ρ (plus the β_I and β_r if needed) we can find this ρ by finding a σ via \simeq_{λ} on the corresponding \mathcal{H}_0 terms and by decompiling it. If we look at the \mathcal{F}_0 terms, the are two interesting cases:

- fuva $X \simeq_o s$. In this case after comp we have $Y \simeq_{\lambda} t$ that succeeds with $\sigma = \{Y \mapsto t\}$ and σ is decompiled to $\rho =$
- fapp[fuva X|L] \simeq_o s. In this case we have $Y_{\vec{x}} \simeq_{\lambda} t$ that succeeds with $\sigma = \{\vec{y} \vdash Y \mapsto t[\vec{x}/\vec{y}]\}$ that in turn is decompiled to $\rho = \{Y \mapsto \lambda \vec{y}.s[\vec{x}/\vec{y}]\}$. Thanks to $\beta_l(\lambda \vec{y}.s[\vec{x}/\vec{y}])\vec{x} =_o$

Since the mapping is a bijection occur check in \mathcal{H}_o corresponds to occur check in \mathcal{F}_0 .

LEMMA 3.3. Proposition 2.1 (SIMULATION) and proposition 2.2 (SIM-ULATION FIDELITY) hold

PROOF SKETCH. Since progress1 is trivial fstep and hstep are the same, that is in this context where input terms are $\beta\eta$ -normal and we disregard η -equivalence \simeq_{λ} is equivalent to \simeq_{o} .

4 HANDLING OF $\Diamond \beta_0$

4.1 Detection

4.2 Compilation and decompilation

4.3 Progress

5 HANDLING OF $\Diamond \eta$

 η -reduction is an equivalence relation where a term of the form $\lambda x.t \cdot x$ can be converted to t any time x does not occur as a free variable in t. We call t the η -contraction of $\lambda x.t x$.

Following the compilation scheme of section 3.4 the unification problem \mathbb{P} is compiled as follows:

$$\begin{split} \mathbb{P} &= \{ \ \lambda x. X \cdot x \simeq_o f \ \} \\ \mathbb{T} &= \{ \ \lambda x. A_x \simeq_\lambda f \ \} \\ \mathbb{M} &= \{ \ X \mapsto A^1 \ \} \end{split}$$

While $\lambda x. X. x \simeq_o f$ does admit the solution $\rho = \{X \mapsto f\}$, the corresponding problem in T does not: lam x\ uva A [x] and con"f" start with different, rigid, term constructors hence \simeq_{λ} fails.

In order to guarantee proposition 2.1 we detect lambdas that can disappear by eta contraction (section 5.1) and we modify the compiled terms by putting fresh unification variables in their place: the problematic term is moved from $\mathbb T$ to $\mathbb L$ (section 5.2). The compilation of the problem \mathbb{P} above is refined to:

$$\mathbb{P} = \{ \lambda x. X \cdot x \simeq_o f \}$$

$$\mathbb{T} = \{ A \simeq_{\lambda} f \}$$

$$\mathbb{M} = \{ X \mapsto B^1 \}$$

$$\mathbb{L} = \{ + A =_n \lambda x. B_X \}$$

As per invariant 2 the term on the left is a variable, and its right counterpart is the term in $\Diamond \eta$. That term has the following property:

Invariant 4 (η -link rhs). The rhs of any η -link has the shape $\lambda x.t$ and t is not a lambda.

 η -link are kept in the link store $\mathbb L$ during execution and activated when some conditions hold on lhs or rhs. Link activation is implemented by extending the progress1 predicate (defined in section 3.5).

5.1 Detection of $\Diamond \eta$

When compiling a term t we need to determine if any subterm $s \in \mathcal{P}(t)$ that is of the form $\lambda x.r$, where x occurs in r, can be a η expansion, i.e. if there exists a substitution ρ such that $\rho(\lambda x.r) =_o s$. The detection of lambda abstractions that can "disappear" is not as trivial as it may seems, here a few examples:

$$\begin{array}{lll} \lambda x.f\cdot (A\cdot x) & \in \Diamond \eta & \rho = \{\,A \mapsto \lambda x.x\,\} \\ \lambda x.f\cdot (A\cdot x)\cdot x & \in \Diamond \eta & \rho = \{\,A \mapsto \lambda x.a\,\} \\ \lambda x.f\cdot x\cdot (A\cdot x) & \notin \Diamond \eta \\ \lambda x.\lambda y.f\cdot (A\cdot x)\cdot (B\cdot y\cdot x) & \in \Diamond \eta & \rho = \{\,A \mapsto \lambda x.x,\, B \mapsto \lambda y.\lambda x.y\,\} \end{array}$$

The first two examples are easy, and show how a unification variable can expose or erase a variable in their scope and turn the resulting term in an η -expansion or not.

The third example shows that when a variable occurs outside the scope of a unification variable it cannot be erased and can hence prevent a term from being an η -expansion.

The last example shows the recursive nature of the check we need to implement. The term starts with a spine of two lambdas hence the whole term is in $\Diamond \eta$ iff the inner term $\lambda y.f \cdot (A \cdot x) \cdot (B \cdot y \cdot x)$ is in $\Diamond \eta$ itself. If it is, it could η -contract to f(A|x) making $\lambda x.f(A|x)$ a potential η -expansion.

We can now define more formally how $\Diamond \eta$ terms are detected together with its auxiliary functions:

Definition 5.1 (may-contract-to). A β-normal term s *may-contract*to a name x if there exists a substitution ρ such that $\rho s =_{0} x$.

LEMMA 5.2. *A* β-normal term $s = \lambda x_1 \dots x_n . t$ may-contract-to xonly if one of the following three conditions holds:

- (1) n = 0 and t = x;
- (2) t is the application of x to a list of terms l and each l_i maycontract-to x_i (e.g. $\lambda x_1 \dots x_n . x \cdot x_1 \dots x_n =_o x$);
- (3) t is a unification variable with scope W, and for any $v \in$ $\{x, x_1 \dots x_n\}$, there exists a $w_i \in W$, such that w_i maycontract-to v (if n = 0 this is equivalent to $x \in W$).

Proof sketch. Since our terms are in β -normal form there is only one rule that can play a role (namely η_l), hence if the term s is not exactly x (case 1) it can only be an η -expansion of x, or a unification variable that can be assigned to x, or a combination of

both. If s begins with a lambda, then the lambda can only disappear by η contraction. In that case the term t is under the spine of binders $x_1 \dots x_n$, t can either be x applied to terms that can may-contract-to these variables (case 2), or a unification variable that can be assigned to that application (case 3).

In other words x occurs-rigidly in t if it occurs in t outside of the scope of a unification variable X, otherwise an instantiation of X can make x disappears from t. Moreover, note that η -contracting t cannot make x disappear, since x is not a locally bound variable inside t.

We can now derive the implementation for $\Diamond \eta$ detection:

Definition 5.4 (maybe-eta). Given a β -normal term $s = \lambda x_1 \dots x_n . t$, *maybe-eta s* holds if any of the following holds:

- (1) t is a constant or a name applied to the arguments $l_1 \dots l_m$ such that $m \ge n$ and for every i such that $m n < i \le m$ the term l_i may-contract-to x_i , and no x_i occurs-rigidly in $l_1 \dots l_{m-n}$;
- (2) t is a unification variable with scope W and for each x_i there exists a $w_j \in W$ such that w_j may-contract-to x_i .

LEMMA 5.5 ($\Diamond \eta$ DETECTION). If t is a β -normal term and maybeeta t holds, then $t \in \Diamond \eta$.

PROOF SKETCH. Follows from definition 5.3 and lemma 5.2

Remark that the converse of lemma 5.5 does not hold: there exists a term t satisfying the criteria (1) of definition 5.4 that is not in $\Diamond \eta$, i.e. there exists no substitution ρ such that ρt is an η -expansion. A simple counter example is $\lambda x.f\cdot (A\cdot x)\cdot (A\cdot x)$ since x does not occurrigidly in the first argument of f, and the second argument of f may-contract-to x. In other words A x may either use or discard x, but our analysis does not take into account that the same term cannot have two contrasting behaviors.

As we will see in the rest of this section this is not a problem since it does not break proposition 2.1 nor proposition 2.2.

5.2 Compilation and decompilation

Compilation. The following rule is inserted just before rule (c_{λ}) from the code in section 3.4.

```
comp (flam F) (uva A Scope) M1 M2 L1 L3 S1 S3 :-
maybe-eta (flam F) [], !,
  alloc S1 A S2,
  comp-lam F F1 M1 M2 L1 L2 S2 S3,
  get-scope (lam F1) Scope,
  L3 = [val (link-eta (uva A Scope) (lam F1)) | L2].
```

The rule triggers when the input term flam F is in $\Diamond \eta$. It compiles flam F to lam F1 but puts the fresh variable A in its place. This variable sees all the names free in lam F1. The critical part of this rule is the creation of the η -link, which relates the variable A with lam F1. This link clearly validates invariant 2.

COROLLARY 5.6. The rhs of any η -link has exactly one lambda abstraction, hence the rule above respects invariant 4.

PROOF SKETCH. By contradiction, suppose that the rule above is triggered and that the rhs of the link is $\lambda x.\lambda y.t_{xy}$. If $maybe-eta\,\lambda y.t_{xy}$ holds the recursive call to comp (made by comp-lam) must have put a fresh variable in its place, so this case is impossible. Otherwise, if $maybe-eta\,\lambda y.t_{xy}$ does not hold, also $maybe-eta\,\lambda y.t_{xy}$ does not hold, contradicting the assumption that the rule triggered. \Box

Decompilation. Decompilation of the remaining η -link (i.e. the η -link that have been activated) is performed by iterating over them and unifying lhs and rhs. Note that this unification never fails, since lhs is a flexible term not appearing in any other η -link (by definition 5.9).

5.3 Progress

 η -link are meant to delay the unification of "problematic" terms until we know for sure if the term has to be η -contracted or not.

Definition 5.7 (progress- η -left). A link $\Gamma \vdash X =_{\eta} T$ is removed from \mathbb{L} when X becomes rigid. Let $y \in \Gamma$, there are two cases:

- (1) if X = a or X = y or $X = f \cdot a_1 \dots a_n$ we unify the η -expansion of X with T, that is we run $\lambda x.X \cdot x \simeq_{\lambda} T$
- (2) if $X = \lambda x.t$ we run $X \simeq_{\lambda} T$.

Definition 5.8 (progress- η -right). A link $\Gamma \vdash X =_{\eta} T$ is removed from \mathbb{L} when either 1) maybe-eta T does not hold (anymore) or 2) by η -contracting T to T', T' is a term not starting with the lam constructor. In the first case, X is unified with T and in the second one, X is unified with T' (under the context Γ).

There is a third case in which a link is removed from \mathbb{L} , namely when the lhs is assigned to a variable that is the lhs of another η -link.

Definition 5.9 (progress- η -deduplicate). A link $\Gamma \vdash X_{\vec{s}} =_{\eta} T$ is removed from $\mathbb L$ when another link $\Delta \vdash X_{\vec{r}} =_{\eta} T'$ is in $\mathbb L$. By invariant 1 the length of \vec{s} and \vec{r} is the same hence we can move the term T' from Δ to Γ by renaming its bound variables, i.e. $T'' = T'[\vec{r}/\vec{s}]$. We then run $T \simeq_{\lambda} T''$ (under the context Γ).

Lemma 5.10. Let $\lambda x.t$ the rhs of a η -link, then Wt.

PROOF SKETCH. By construction, every "problematic" term in \mathcal{F}_0 is replaced with a variable in the corresponding \mathcal{H}_0 term. Therefore, t is \mathcal{W} .

Lemma 5.11. Given a η -link l, the unification done by progress- η -left is between terms in W

PROOF SKETCH. Let σ be the substitution, which is $\mathcal{W}(\sigma)$ (by proposition 2.9). lhs $\in \sigma$, therefore $\mathcal{W}(\text{lhs})$. By progress- η -left, if 1) lhs is a name, a constant or an application, then, λx .lhs x is unified with rhs. By invariant 4 and lemma 5.10, rhs = $\lambda x.t$ and $\mathcal{W}(t)$. Otherwise, 2) lhs has 1am as functor. In both cases, unification is performed between terms in \mathcal{W} .

LEMMA 5.12. Given a η -link l, the unification done by progress- η -right is between terms in W.

PROOF SKETCH. Ihs is variable, and, by definition 5.8, rhs is either no more a $\Diamond \eta$, i.e. rhs is not a η -expansion and, so, $\mathcal{W}(\text{rhs})$, otherwise, rhs can reduce to a term which cannot be a η -expansion,

and, so, $\mathcal{W}(\mathsf{rhs})$. In both cases, the unification between rhs and lhs is done between terms that are in \mathcal{W} .

LEMMA 5.13. Given a η -link l, the unification done by progression η -deduplicate is between terms in W.

PROOF. The unification is done between the rhs of two η -1i biare Both rhs has the shape $\lambda x.t$, and by lemma 5.10, W(t). Therefore the unification is done between well-behaved terms.

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Lemma 5.14. The introduction of η -link guarantees proposition 2.9 (W-preservation)

PROOF SKETCH. By lemmas 5.11 to 5.13, every unification performed by the activation of a η -link is done between terms in \mathcal{W} , therefore, the substitution remains \mathcal{W} .

LEMMA 5.15. progress terminates.

Proof sketch. Rules definitions 5.7 and 5.8 and definition 5.9 remove one link from \mathbb{L} , hence they cannot be applied indefinitely. Moreover each rule only relies on terminating operations such as \simeq_{λ} , η -contraction, η -expansion, relocation (a recursive copy of a finite term).

Lemma 5.16 (Fidelity with η -link). The introduction of η -link guarantees proposition 2.2 (Simulation fidelity)

PROOF SKETCH. *progress-\eta-left* and *progress-\eta-deduplicate* activate a η -link when, in the original unification problem, a $\Diamond \eta$ term is unified with respectively a well-behaved term or another $\Diamond \eta$ term. In both cases, the links trigger a unification which succeeds iff the same unification in \mathcal{F}_0 succeeds, guaranteeing proposition 2.2. *progress-\eta-right* never fails, in fact, this progression refines a variable to a rigid term and plays no role in proposition 2.2.

Example of progress-η-left. The example at the beginning of section 5, once $\sigma = \{A \mapsto f\}$, triggers progress-η-left since the link becomes $\vdash f =_{\eta} \lambda x.B_X$ and the lhs is a constant. In turn the rule runs $\lambda x.f : x \simeq_{\lambda} \lambda x.B_X$, resulting in $\sigma = \{A \mapsto f : B_X \mapsto f\}$. Decompilation the generates $\rho = \{X \mapsto f\}$, since X is mapped to B and f is the η-contracted version of $\lambda x.f \cdot x$.

Example of progress- η -deduplicate. A very basic example of η -link deduplication, is given below:

$$\begin{split} \mathbb{P} &= \left\{ \begin{array}{l} \lambda x.(X \cdot x) \simeq_{o} \lambda x.(Y \cdot x) \end{array} \right\} \\ \mathbb{T} &= \left\{ \begin{array}{l} A \simeq_{\lambda} C \\ \end{array} \right\} \\ \mathbb{M} &= \left\{ \begin{array}{l} X \mapsto B^{1} & Y \mapsto D^{1} \end{array} \right\} \\ \mathbb{L} &= \left\{ \begin{array}{l} + A =_{\eta} \lambda x.B_{x} & \vdash C =_{\eta} \lambda x.D_{x} \end{array} \right\} \end{split}$$

The result of $A \simeq_{\lambda} C$ is that the two η -link share the same lhs. By unifying the two rhs we get $\sigma = \{A \mapsto C, B \mapsto D \}$. In turn, given the map \mathbb{M} , this second assignment is decompiled to $\rho = \{X \mapsto Y\}$ as expected.

We delay at the end of next section an example of η -link progression due to $progress-\eta$ -right

6 ENFORCING INV.: Unification-variable arity

We report here the problem given in ?? where X is used with two different arities and the output of the compilation does not respect Oinvariant 3. Moreover, merging the two mappings for s would break invariant 1 (Unification-variable arity). In this section we explain how to replace the duplicate mapping with η -link in order to restore the invariants.

$$\begin{split} \mathbb{P} &= \left\{ \begin{array}{ll} \lambda x.\lambda y.(X\cdot y\cdot x) &\simeq_o \lambda x.\lambda y.x & \lambda x.(f\cdot (X\cdot x)\cdot x) \simeq_o Y \right. \\ \mathbb{T} &= \left\{ \begin{array}{ll} A \simeq_\lambda \lambda x.\lambda y.x & D \simeq_\lambda F \right. \\ \mathbb{M} &= \left\{ \begin{array}{ll} X \mapsto E^1 & Y \mapsto F^0 & X \mapsto C^2 \right. \\ \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. \\ \left. \times F \right. \\ \left. \times F \right. & \left. \times F \right. \\ \left.$$

We see that the maybe-eta as identified $\lambda xy.X\cdot y\cdot x$ and $\lambda x.f\cdot (X\cdot x)\cdot x$ and the compiler has replaced them with A and D respectively. However, the mapping $\mathbb M$ breaks invariant 3: the $\mathcal F_o$ variable X is mapped to two different $\mathcal H_o$ variables. To address this problem we adjust the compiler's output with a map-deduplication procedure.

Definition 6.1 (align-arity). Given two mappings $m_1 : X \mapsto A^m$ and $m_2 : X \mapsto C^n$ where m < n and d = n - m, align-arity $m_1 m_2$ generates the following d links, one for each i such that $0 \le i < d$,

$$x_0 \dots x_{m+i} \vdash B^i_{x_0 \dots x_{m+i}} =_{\eta} \lambda x_{m+i+1} B^{i+1}_{x_0 \dots x_{m+i+1}}$$

where B^i is a fresh variable of arity m + i, and $B^0 = A$ as well as $B^d = C$.

The intuition is that we η -expand the occurrence of the variable with lower arity to match the higher arity. Since each η -link can add exactly one lambda, we need as many links as the difference between the two arities.

Definition 6.2 (map-deduplication). For all mappings $m_1, m_2 \in \mathbb{M}$ such that $m_1: X \mapsto A^m$ and $m_2: X \mapsto C^n$ and m < n we remove m_1 from \mathbb{M} and add to \mathbb{L} the result of align-arity m_1 m_2 .

If we look back the example give at the beginning of this section, we can deduplicate $X \mapsto E^1, X \mapsto C^2$ by removing the first mapping and adding the auxiliary η -link: $x \vdash E_x =_{\eta} \lambda y.C_{xy}$. After deduplication the compiler output is as follows:

$$\begin{split} \mathbb{P} &= \left\{ \begin{array}{l} \lambda x.\lambda y.(X \cdot y \cdot x) \simeq_{o} \lambda x.\lambda y.x & \lambda x.(f \cdot (X \cdot x) \cdot x) \simeq_{o} Y \right. \\ \mathbb{T} &= \left\{ \begin{array}{l} A \simeq_{\lambda} \lambda x.\lambda y.x & D \simeq_{\lambda} F \right. \\ \mathbb{M} &= \left\{ \begin{array}{l} Y \mapsto F^{0} & X \mapsto C^{2} \right. \\ \mathbb{L} &= \left\{ \begin{array}{l} x \vdash E_{x} =_{\eta} \lambda y.C_{xy} & \vdash D =_{\eta} \lambda x.(f \cdot E_{x} \cdot x) \\ \vdash A &=_{\eta} \lambda x.B_{x} & x \vdash B_{x} =_{\eta} \lambda y.C_{yx} \end{array} \right. \end{split}$$

In this example, \mathbb{T}_1 assigns A which triggers \mathbb{L}_3 and then \mathbb{L}_4 by $progress-\eta-left$. C_{yx} is therefore assigned to x (the second variable of its scope). We can finally see the $progress-\eta-right$ of \mathbb{L}_1 : its rhs is now $\lambda y.y$ (the term C_{xy} reduces to y). Since it is no more in $\Diamond \eta$, $\lambda y.y$ is unified with E_x . After the execution of the remaining hstep, we obtain the following \mathcal{F}_0 substitution $\rho = \{X := \lambda x.\lambda y.y, Y := (f \cdot \lambda x.x)\}$.

7 HANDLING OF $\diamondsuit \mathcal{L}_{\lambda}$

In general, unification between $\diamond \mathcal{L}_{\lambda}$ terms admits more then one solution and committing one of them in the substitution does not guarantee property (2). For instance, X $a \simeq_o a$ admits two different

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substitutions: $\rho_1 = \{X \mapsto \lambda x.x\}$ and $\rho_2 = \{X \mapsto \lambda_{-}.a\}$. Prefer one over the other may break future unifications.

Given a list of unification problems, $\mathbb{P}_1 \dots \mathbb{P}_n$ with \mathbb{P}_n in $\Diamond \mathcal{L}_{\lambda}$, it is often the case that the resolution of $\bigwedge_{i=0}^{n-1} \mathbb{P}_i$ gives a partial substitution ρ , such that $\rho \mathbb{P}_n$ falls again in \mathcal{L}_{λ} .

$$\begin{split} \mathbb{P} &= \{ \ X \simeq_o \ \lambda x. Y \quad (X \cdot a) \simeq_o \ a \ \} \\ \mathbb{T} &= \{ \ A \simeq_\lambda \ \lambda x. B \quad (A \cdot a) \simeq_\lambda \ a \ \} \\ \mathbb{M} &= \{ \ Y \mapsto B^0 \quad X \mapsto A^0 \ \} \end{split}$$

In the example above, we see that \mathbb{P}_1 instantiates X so that \mathbb{P}_2 can be solved in \mathcal{L}_{λ} . On the other hand, we see that, \simeq_{λ} can't solve the compiled problems \mathbb{T} . In fact, the resolution of \mathbb{T}_1 gives the substitution $\sigma = \{A \mapsto \lambda x.B\}$, but the dereferencing of \mathbb{T}_2 gives the non-unifiable problem $(\lambda x.B)$ $a \neq_{\lambda} a$.

To address this unification problem, term compilation must recognize and replace $\diamond \mathcal{L}_{\lambda}$ terms with fresh variables. This replacement produces links that we call β -link.

 β -link respects invariant 2 and the term on the rhs has the following property:

INVARIANT 5 (β -link rhs). The rhs of any β -link has the shape $X_{s_1...s_n}$ $t_1...t_m$ such that X is a unification variable with scope $s_1 ldots s_n^2$ and $t_1 ldots t_m$ is a list of terms. This is equivalent to app[uva X S | L], where $S = s_1 \dots s_n$ and $L = t_1 \dots t_m$.

7.1 Compilation and decompilation

Detection of $\diamond \mathcal{L}_{\lambda}$ is quite simple to implement in the compiler, since it is sufficient to detect applications with flexible head and argument that are not in \mathcal{L}_{λ} . The following rule for $\Diamond \mathcal{L}_{\lambda}$ compilation is inserted just before rule $(c_{@})$.

```
comp (fapp [fuva A[Ag]) (uva B Scope) M1 M3 L1 L3 S1 S4 :- !,
 pattern-fragment-prefix Ag Pf Extra,
 len Pf Arity,
 alloc S1 B S2,
 m-alloc (fv A) (hv C (arity Arity)) M1 M2 S2 S3,
 fold6 comp Pf
                Pf1 M2 M2 L1 L1 S3 S3,
 fold6 comp Extra Extra1 M2 M3 L1 L2 S3 S4,
 Beta = app [uva C Pf1 | Extra1],
 get-scope Beta Scope,
 L3 = [val (link-beta (uva B Scope) Beta) | L2].
```

The list Ag is split into the list Pf and Extra such that append Pf Extra Agunified and a l_2 is removed from \mathbb{L} . and Pf is the largest prefix of Ag such that Pf is in \mathcal{L}_{λ} . The rhs of the β -link is the application of a fresh variable C having in scope all the free variables appearing in the compiled version of Pf and Extra. The variable B, returned has the compiled term, is a fresh variable having in scope all the free variables occurring in Pf1 and Extra1. Note that this construction enforce invariant 5.

Corollary 7.1. Let $X_{s_1...s_n}$ $t_1...t_m$ be the rhs of a β -link, then m > 0.

PROOF SKETCH. Assume we have a β -link, by contradiction, if m = 0, then the original \mathcal{F}_0 term has the shape fapp[fuva M | Ag] where Ag is a list of distinct names (i.e. the list Extra is empty). This case is however captured by rule (c_{λ}) (from section 3.4) and no β -link is produced which contradicts our initial assumption. \Box

COROLLARY 7.2. Let $X_{s_1...s_n}$ $t_1...t_m$ be the rhs of a β -link, then t_1 either appears in $s_1 \dots s_n$ or it is not a name.

Proof sketch. By construction, the lists $s_1 \dots s_n$ and $t_1 \dots t_m$ are built by splitting the list Ag from the original term fapp [fuva A[Ag]. $s_1 \dots s_n$ is the longest prefix of the compiled terms in Ag which is in \mathcal{L}_{λ} . Therefore, by definition of \mathcal{L}_{λ} , t_1 must appear in $s_1 \dots s_n$, otherwise $s_1 \dots s_n$ is not the longest prefix in \mathcal{L}_{λ} , or it is a term with a constructor of tm as functor.

E:Dire che maybe eta fa il detect anche su termini che non sono il llambda, oppure dirlo in section of maybeeta + dare un esempio?

Decompilation. During progress, as claimed in invariant 5, the decompilation can only have β -link with not instantiated lhs. In this case, lhs is unified with rhs.

D:not really sure of this, we can have $F a = \lambda x.Gx$. In this case when do we fail: for sure in decompile. But to respect fidelity, we should fail immediately: we have a β -link and a η -link with same lhs

7.2 Progress

The activation of a β -link is performed when its rhs falls under \mathcal{L}_{λ} under a given substitution.

Definition 7.3 (progress-beta- \mathcal{L}_{λ}). Given a substitution σ and a β -link $\Gamma \vdash T =_{\beta} X_{s_1...s_n} t_1...t_m$ such that σt_1 is a name, say t, and $t \notin s_1 \dots s_n$. If m = 0, then the β -link is removed and lhs is unified with $X_{s_1...s_n}$. If m > 0, then the β -link is replaced by a refined version $\Gamma \vdash T =_{\beta} Y_{s_1...s_n,t} t_2...t_m$ with reduced list of arguments and Y being a fresh variable. Moreover, the new link $\Gamma \vdash X_{s_1...s_n} =_{\eta} \lambda x. Y_{s_1...s_n,x}$ is added to \mathbb{L} .

Definition 7.4 (progress-beta-rigid-rhs). A link $\Gamma \vdash X =_{\beta} X_{s_1...s_n} t_1$ is removed from \mathbb{L} if $X_{s_1...s_n}$ is instantiated to a term t and the β reduced term t' obtained from the application of t to $l_1 \dots l_m$ is in \mathcal{L}_{λ} . Moreover, *X* is unified to *t*.

Definition 7.5 (progress-beta-dedup). Given a β -link l_1 and second link $l_2 \in \mathbb{L}$, such that they share the same lhs. The two rhs are

Definition 7.6 (progress-rigid-lhs). Given a β -link with rigid lhs, the unification fails.

LEMMA 7.7. progress terminates

PROOF SKETCH. Let l a β -link in the store \mathbb{L} . If l is activated by *progress-beta-rigid*-rhs, then it disappears from $\mathbb L$ and progress terminates. Otherwise, the rhs of l is made by a variable applied to *m* arguments. At each activation of *progress-beta-L*_{λ}, *l* is replaced by a new β -link l^1 having m-1 arguments. At the m^{th} iteration, the β -link l^m has no more arguments and is removed from \mathbb{L} . Note that at the m^{th} iteration, m new η -link have been added to \mathbb{L} , however, by lemma 5.15, the algorithm terminates. Finally progressbeta-dedup (resp. progress-rigid-lhs) also guarantees termination since it removes a link from \mathbb{L} (resp. immediately fails).

²with $s_1 \dots s_n$ that are distinct names

finish

D:da finire

E:funziona. per essere più precisi io parlerei di ordine lessicografico (tipico ordine ben fondato usato per dimostrare terminazione). NI nostro caso è la tripla (argomenti extra dei beta, numero di beta, numero di eta).

Lemma 7.8 (Fidelity with β -link). The introduction of β -link guarantees proposition 2.2 (SIMULATION FIDELITY)

PROOF SKETCH. Let $\mathbb T$ a unification problem and σ a substitution such that $\mathbb T\in \diamondsuit\mathcal L_\lambda$. If $\sigma\mathbb T$ is in $\mathcal L_\lambda$, then by definitions 7.3 and 7.4, the β -link associated to the subterm of $\mathbb T$ have been solved and removed. The unification is done between terms in $\mathcal L_\lambda$ and by lemma 5.16 fidelity is guaranteed. If $\sigma\mathbb T$ is in $\diamondsuit\mathcal L_\lambda$, then, by definition 7.6, the unification fails, as per the corresponding unification in $\mathcal T_0$.

Example of progress-beta- \mathcal{L}_{λ} . Consider the β -link below:

$$\begin{split} \mathbb{P} &= \left\{ \begin{array}{l} X \simeq_o \ \lambda x.x & \lambda x.(Y \cdot (X \cdot x)) \simeq_o f \end{array} \right\} \\ \mathbb{T} &= \left\{ \begin{array}{l} A \simeq_\lambda \ \lambda x.x & B \simeq_\lambda f \end{array} \right\} \\ \mathbb{M} &= \left\{ \begin{array}{l} Y \mapsto D^0 & X \mapsto A^0 \end{array} \right\} \\ \mathbb{L} &= \left\{ \begin{array}{l} + A =_\eta \ \lambda x.E_x & \vdash B =_\eta \ \lambda x.C_x \\ x \vdash C_x =_\beta (D \cdot E_x) \end{array} \right\} \end{split}$$

Initially the β -link rhs is a variable D applied to the E_X . The first unification problem results in $\sigma = \{A \mapsto \lambda x.x\}$. In turn this instantiation triggers \mathbb{L}_1 by $progress-\eta$ -left and E_X is assigned to x. Under this substitution the β -link becomes $x \vdash C_X =_{\beta} (D \cdot x)$, and by $progress-beta-\mathcal{L}_{\lambda}$ it is replaced with the link: $\vdash E =_{\eta} \lambda x.D_X$, while C_X is unified with D_X . The second unification problem assigns f to B, that in turn activates the second η -link (f is assigned to C), and then all the remaining links are solved. The final \mathcal{H}_0 substitution is $\sigma = \{A \mapsto \lambda x.x, B \mapsto f, C_X \mapsto (f \cdot x), D \mapsto f, E_X \mapsto x, F_X \mapsto C_X\}$ and is decompiled into $\rho = \{X \mapsto \lambda x.x, Y \mapsto f\}$.

Example of progress-beta-rigid-rhs. We can take the example provided in section 7. The problem is compiled into:

$$\begin{split} \mathbb{P} &= \{ \ X \simeq_o \ \lambda x.Y \quad (X \cdot a) \simeq_o \ a \ \} \\ \mathbb{T} &= \{ \ A \simeq_\lambda \ \lambda x.B \qquad C \simeq_\lambda \ a \ \} \\ \mathbb{M} &= \{ \ Y \mapsto B^0 \quad X \mapsto A^0 \ \} \\ \mathbb{L} &= \{ \ \vdash C =_\beta \ (A \cdot a) \ \} \end{split}$$

The first unification problems is solved by the substitution $\sigma = \{A \mapsto \lambda x.B\}$. The β -link becomes $\vdash C =_{\beta} ((\lambda x.B) \ a)$ whose rhs can be β -reduced to B. B is in \mathcal{L}_{λ} and is unified with C. The resolution of the second unification problem gives the final substitution $\sigma = \{A \mapsto \lambda x.B, B \mapsto C, C \mapsto a\}$ which is decompiled into $\rho = \{X \mapsto \lambda x.a, Y \mapsto a\}$.

7.3 Relaxing definition 7.6 (*PROGRESS-RIGID-*lhs)

Working with terms in \mathcal{L}_{λ} is sometime too restrictive. There exists systems such as λProlog [10], Abella [5], which delay the resolution of $\Diamond \mathcal{L}_{\lambda}$ unification problems if the substitution is not able to put them in \mathcal{L}_{λ} .

$$\mathbb{P} = \{ (X \ a) \simeq_{o} a \quad X \simeq_{o} \lambda x.Y \}$$

In the example above, \mathbb{P}_1 is in $\diamond \mathcal{L}_{\lambda}$ and the object language cannot solve it, and, by proposition 2.2, the meta language neither.

However, we can be more permissive, and relax \ref{lambda} . This modification is quite simple to manage: we are introducing a new $\diamondsuit \mathcal{L}_{\lambda}$ progress rule, say $progress-beta-\diamondsuit \mathcal{L}_{\lambda}$, by which, if lhs is rigid and rhs is flexible, the considered β -link is kept in the store and no progression is $done^3$. $progress-beta-\diamondsuit \mathcal{L}_{\lambda}$ makes occur-check-links partial, since the check is possible only on links with a variable on the lhs. This means that we can have two links $\vdash X =_{\beta} Y \cdot a$ and $\vdash f \cdot X =_{\beta} Y \cdot a$ where the occur check does not throw an error. Note however, that the decompilation of the two links will force the unification of X to $Y \cdot a$ and then the unification of $f \cdot (Y \cdot a)$ to $Y \cdot a$, which fails by the occur check of \cong_{λ} .

A second strategy to deal with problem that are in $\diamond \mathcal{L}_\lambda$ is to make some approximation. This is the case for example of the unification algorithm of Coq used in its type class solver [16]. The approximation consists in forcing a choice (among the others) when the unification problem is in $\diamond \mathcal{L}_\lambda$. For instance, in X a b = Y b, the last argument of the two terms is the same, therefore Y is assigned to Xa. Note that this is of course an approximation, since $\sigma = \{X \mapsto \lambda x.Y, Y \mapsto _\}$ is another valid substitution for the original problem. This approximation can be easily introduced in our unification procedure, by adding new custom β -link progress rules.

Decompilation of β -link is possible by extending commit-link with new heuristics.

8 ACTUAL IMPLEMENTATION IN ELPI

In this paper we show a minimized example. The full code is there. But we also have to code things in Coq-Elpi.

The main difference between the presentation in the previous sections and the actual implementation for Coq is that the main loop hrun is replaced by the one of Prolog that chains calls to the unification procedure. In order implement the store of links we resort to Elpi's CLP engine and use constraints (suspended goals) to represent links, and constraint handling rules to implement progress operations involving more than one link.

about the progress of 1 link:

Remark how the invariant about uvar arity makes this easy, since LX1 and LX2 have the same length. Also note that N1 only contains the names of the first link (while relocate runs in the disjoint union) and Elpi ensures that T2' can live in N1.

³This new rule trivially guarantees the termination of progress

sucks cite isabelle TC, that are baked

9 OTHER ENCODINGS AND RELATED WORK

One could ignore the similarity between \simeq_o and \simeq_λ and "just" describe the object language unification procedure in the meta language by crafting a unif routine and using it as follows in rule (r3):

```
decision X := unif X (all A x \ app [P, x]), finite A, pi x decision (app [P, x]).
```

This choice would underuse the logic programming engine provided by the meta language since, by removing any datum from the head of rules, indexing degenerates. Moreover the unification procedure unif programmed in the meta language is likely to be an order of magnitude slower than one that is built-in.

Another possibility is to avoid having the application and abstraction nodes in the syntax tree, and use the ones of meta language, as in the following:

```
finite (fin N). decision (nfact N NF). decision (all A \times P \times):- finite A, pi \times decision (P \times).
```

There are two reasons for dismissing this encoding. The first one is that in CIC it is not always possible to adopt it since the type system of the meta language is too weak to accommodate for the one of the object language. In CIC the lambda abstraction has to carry a type in order to make type checking decidable. Moreover CIC allows for functions with a variable arity, like the following example:

```
Fixpoint arr T n := if n is S m then T -> arr T m else T. Definition sum n : arr nat n := .... Check sum 2 7 8 : nat. Check sum 3 7 8 9 : nat.
```

The type system of the $\lambda Prolog$ is too stringent to accept this terms. The second reason is that the CIC encoding provided by Elpi is used for meta programming (extending) the Coq system, hence it must accommodate the manipulation of terms that are now know in advance (not even defined in Coq) without using introspection primitives such as Prologs's functor and arg. In this sense constants have to live in an open world, like the string data type used in the examples so far.

In the literature we could find related encoding of the Calculus of Constructions [2]. The goal of that work was to exhibit a logic program performing proof checking in CC and hence relate the proof system of intuitionistic higher-order logic (that animates λ Prolog programs) with the Calculus of Constructions. The encoding is hence tailored toward a different goal, and utilizes three relations to represent the equational theory of CC. Section 6 contains a discussion about the use of the unification procedure of the meta language in presence of non ground goals, but the authors do not aim at exploiting it to the degree we want.

10 CONCLUSION

Benefits: less work, reuse efficient ho unif (3x faster), indexing, Future: tabling and static analysis (reuse for ML again).

Very little is Coq specific. Applies to all OL that are not a subsystem of HOL, or for ML that are used for meta programming.

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typeabbrev fsubst (mem fm).

1565

1566

APPENDIX 1509 1567 type fder fsubst -> fm -> o. 1510 1568 This appendix contains the entire code described in this paper. The 1511 fder _ (fcon C) (fcon C). 1569 code can also be accessed at the URL: https://github.com/FissoreD/ fder S (fapp A) (fapp B) :- map (fder S) A B. 1512 1513 fder S (flam F) (flam G) :-1571 Note that (a infix b) c d de-sugars to (infix) a b c d. 1514 $pi x \land fder S x x \Rightarrow fder S (F x) (G x).$ 1572 Explain builtin name (can be implemented by loading name after fder S (fuva N) R :- set? N S T, fder S T R. 1515 1573 each pi) fder S (fuva N) (fuva N) :- unset? N S. 1516 1574 1517 1575 11 THE MEMORY 1518 type fderef fsubst -> fm -> o. (ρs) 1576 kind addr type. fderef S T T2: - fder S T T1, napp T1 T2. 1519 1577 type addr nat -> addr. 1520 typeabbrev (mem A) (list (option A)). 1579 1521 type $(=_o)$ fm -> fm -> o. 1522 $(=_o)$ 1580 type set? addr -> mem A -> A -> o. 1523 fcon $X =_{o} f$ con X. 1581 set? (addr A) Mem Val :- get A Mem Val. 1524 fapp $A =_{o} fapp B := forall2 (=_{o}) A B$. 1582 flam $F =_o$ flam $G := pi x \setminus x =_o x \Rightarrow F x =_o G x.$ 1525 1583 type unset? addr -> mem A -> o. 1526 fuva $N =_{0}$ fuva N. 1584 unset? Addr Mem :- not (set? Addr Mem _). flam $F =_{\alpha} T :=$ 1527 1585 (η_l) $pi x \land beta T [x] (T' x), x =_o x \Rightarrow F x =_o T' x.$ 1528 1586 type assign-aux nat -> mem A -> A -> mem A -> o. $T =_{o} flam F :=$ 1529 (η_r) 1587 assign-aux z (none :: L) Y (some Y :: L). $pi x \ beta T [x] (T' x), x =_o x \Rightarrow T' x =_o F x.$ 1530 1588 assign-aux (s N) (X :: L) Y (X :: L1) :- assign-aux N L Y L1. 1531 fapp [flam X | L] = $_{o}$ T :- beta (flam X) L R, R = $_{o}$ T. (β_{l}) 1589 $T =_{o} fapp [flam X | L] := beta (flam X) L R, <math>T =_{o} R. (\beta_{r})$ type assign addr -> mem A -> A -> mem A -> o. assign (addr A) Mem1 Val Mem2 :- assign-aux A Mem1 Val Mem2. type extend-subst fm -> fsubst -> fsubst -> o. 1534 extend-subst (fuva N) S S' :- mem.alloc N S S'. 1535 1593 type get nat -> mem A -> A -> o. 1536 extend-subst (flam F) S S' :-1594 get z (some Y :: _) Y. 1537 $pi x \ (pi S\extend-subst x S S) => extend-subst (F x) S S'.$ get (s N) (_ :: L) X :- get N L X. 1538 extend-subst (fcon _) S S. extend-subst (fapp L) S S1 :- fold extend-subst L S S1. 1539 type alloc-aux nat -> mem A -> mem A -> o. 1540 alloc-aux z [] [none] :- !. type beta fm -> list fm -> fm -> o. 1599 1541 alloc-aux z L L. beta A [] A. 1600 1542 alloc-aux (s N) [] [none | M] :- alloc-aux N [] M. 1543 beta (flam Bo) [H | L] R :- napp (Bo H) F, beta F L R. 1601 alloc-aux (s N) [X | L] [X | M] :- alloc-aux N L M. 1544 beta (fapp A) L (fapp X) :- append A L X. 1602 beta (fuva N) L (fapp [fuva N | L]). type alloc addr -> mem A -> mem A -> o. beta (fcon H) L (fapp [fcon H | L]). alloc (addr A as Ad) Mem1 Mem2 :- unset? Ad Mem1, beta N L (fapp [N | L]) :- name N. 1605 alloc-aux A Mem1 Mem2. 1548 1606 type napp fm -> fm -> o. 1549 1607 type new-aux mem A -> nat -> mem A -> o. 1550 napp (fcon C) (fcon C). 1608 new-aux [] z [none]. 1551 napp (fuva A) (fuva A). 1609 new-aux [A | As] (s N) [A | Bs] :- new-aux As N Bs. 1552 1553 napp (fapp [fapp L1 |L2]) T :- !, 1611 type new mem A -> addr -> mem A -> o. 1554 append L1 L2 L3, napp (fapp L3) T. 1612 new Mem1 (addr Ad) Mem2 :- new-aux Mem1 Ad Mem2. 1555 napp (fapp L) (fapp L1) :- map napp L L1. 1613 1556 napp N N :- name N. 1614 1557 1615 12 THE OBJECT LANGUAGE 1558 type beta-reduce fm -> fm -> o. kind fm type. beta-reduce (uvar _ _) _ :- halt "Passed uvar to beta-reduce". type fapp list fm -> fm. beta-reduce A A :- name A. 1560 type flam (fm -> fm) -> fm. beta-reduce (fcon A) (fcon A). 1619 1561 beta-reduce (fuva A) (fuva A). 1562 type fcon string -> fm. 1620 1563 type fuva addr -> fm. beta-reduce (flam A) (flam B) :-1621 pi x\ beta-reduce (A x) (B x). 1564 1622

beta-reduce (fapp [flam B | L]) T2 :- !,

1623

```
1625
           beta (flam B) L T1, beta-reduce T1 T2.
                                                                                  prune! N A N A S S :- !.
                                                                                                                                                        1683
1626
         beta-reduce (fapp L) (fapp L1) :-
                                                                                  prune! M A N A S1 S2 :- !, bind (uva M A) A Ass,
                                                                                                                                                        1684
1627
           map beta-reduce L L1.
                                                                                     assign N S1 Ass S2.
                                                                                                                                                        1685
                                                                                  /* prune different arguments */
         type mk-app fm -> list fm -> fm -> o.
                                                                                  prune! N A1 N A2 S1 S3 :- !,
1629
         mk-app T L S :- beta T L S.
                                                                                     new S1 W S2, prune-same-variable W A1 A2 [] Ass,
1630
                                                                                                                                                        1688
1631
                                                                                     assign N S2 Ass S3.
                                                                                                                                                        1689
         type eta-contract fm -> fm -> o.
                                                                                  /* prune to the intersection of scopes */
1632
                                                                                                                                                        1690
1633
         eta-contract (fcon X) (fcon X).
                                                                                  prune! N A1 M A2 S1 S4 :- !,
                                                                                                                                                        1691
         eta-contract (fapp L) (fapp L1) :- map eta-contract L L1.
                                                                                     new S1 W S2, prune-diff-variables W A1 A2 Ass1 Ass2,
                                                                                                                                                        1692
         eta-contract (flam F) T :- eta-contract-aux [] (flam F) T.
                                                                                     assign N S2 Ass1 S3,
         eta-contract (flam F) (flam F1) :-
                                                                                     assign M S3 Ass2 S4.
           pi x \le eta-contract x x \implies eta-contract (F x) (F1 x).
1637
                                                                                                                                                        1695
         eta-contract (fuva X) (fuva X).
                                                                                  type prune-same-variable addr -> list tm -> list tm ->
1638
                                                                                                                                                        1696
1639
         eta-contract X X :- name X.
                                                                                                              list tm -> assignment -> o.
                                                                                                                                                        1697
                                                                                  prune-same-variable N [] [] ACC (val (uva N Args)) :-
                                                                                                                                                        1698
1640
         type eta-contract-aux list fm -> fm -> o.
1641
                                                                                     rev ACC Args.
                                                                                                                                                        1699
1642
         eta-contract-aux L (flam F) T :-
                                                                                  prune-same-variable N [X|XS] [X|YS] ACC (abs F) :-
                                                                                                                                                        1700
           pi x\ eta-contract-aux [x]L] (F x) T. % also checks H Prefix does muit x\empressee-variable N XS YS [x]ACC] (F x).
                                                                                                                                                        1701
1643
         eta-contract-aux L (fapp [H|Args]) T :-
                                                                                  prune-same-variable N [_|XS] [_|YS] ACC (abs F) :-
                                                                                                                                                        1702
1644
           rev L LRev, append Prefix LRev Args,
1645
                                                                                     pi x\ prune-same-variable N XS YS ACC (F x).
                                                                                                                                                        1703
1646
           if (Prefix = []) (T = H) (T = fapp [H|Prefix]).
                                                                                                                                                        1704
1647
                                                                                  type permute list nat -> list tm -> list tm -> o.
                                                                                                                                                        1705
1648
                                                                                  permute [] _ [].
       13 THE META LANGUAGE
1649
                                                                                  permute [PIPS] Args [TITS] :-
         kind inctx type -> type.
                                                                     (⋅ ⊦ ⋅)
                                                                                                                                                        1708
1650
                                                                                     nth P Args T,
         type abs (tm -> inctx A) -> inctx A.
                                                                                     permute PS Args TS.
1651
                                                                                                                                                        1709
1652
         type val A -> inctx A.
                                                                                                                                                        1710
1653
         typeabbrev assignment (inctx tm).
                                                                                  type build-perm-assign addr -> list tm -> list bool ->
                                                                                                                                                        1711
         typeabbrev subst (mem assignment).
                                                                                                        list nat -> assignment -> o.
1654
                                                                                                                                                        1712
1655
                                                                                  build-perm-assign N ArgsR [] Perm (val (uva N PermutedArgs)) :-1713
         kind tm type.
                                                                                     rev ArgsR Args, permute Perm Args PermutedArgs.
1656
         type app list tm -> tm.
                                                                                  build-perm-assign N Acc [tt|L] Perm (abs T) :-
                                                                                                                                                        1715
1657
         type lam (tm \rightarrow tm) \rightarrow tm.
                                                                                     pi x\ build-perm-assign N [x|Acc] L Perm (T x).
                                                                                                                                                        1716
1658
1659
         type con string -> tm.
                                                                                  build-perm-assign N Acc [ff|L] Perm (abs T) :-
                                                                                                                                                        1717
         type uva addr -> list tm -> tm.
                                                                                     pi x\ build-perm-assign N Acc L Perm (T x).
                                                                                                                                                        1718
                                                                                                                                                        1719
         type (\simeq_{\lambda}) tm -> tm -> subst -> o.
                                                                                  type keep list A -> A -> bool -> o.
                                                                                                                                                        1720
                                                                                  keep L A tt :- mem L A, !.
                                                                                                                                                        1721
1663
         (con C \simeq_{\lambda} con C) S S.
         (app L1 \simeq_{\lambda} app L2) S S1 :- fold2 (\simeq_{\lambda}) L1 L2 S S1.
                                                                                  keep _ _ ff.
                                                                                                                                                        1722
1664
         (lam F1 \simeq_{\lambda} lam F2) S S1 :-
1665
                                                                                                                                                        1723
1666
           pi x\ (pi S\ (x \simeq_{\lambda} x) S S) => (F1 x \simeq_{\lambda} F2 x) S S1.
                                                                                  type prune-diff-variables addr -> list tm -> list tm ->
                                                                                                                                                        1724
         (uva N Args \simeq_{\lambda} T) S S1 :-
                                                                                                               assignment -> assignment -> o.
1667
                                                                                                                                                        1725
           set? N S F,!, move F Args T1, (T1 \simeq_{\lambda} T) S S1.
                                                                                  prune-diff-variables N Args1 Args2 Ass1 Ass2 :-
1668
                                                                                                                                                        1726
         (T \simeq_{\lambda} uva N Args) S S1 :-
                                                                                     map (keep Args2) Args1 Bits1,
                                                                                                                                                        1727
1669
           set? N S F,!, move F Args T1, (T \simeq_{\lambda} T1) S S1.
                                                                                     map (keep Args1) Args2 Bits2,
                                                                                                                                                        1728
1670
         (uva M A1 \simeq_{\lambda} uva N A2) S1 S2 :- !,
                                                                                     filter Args1 (mem Args2) ToKeep1,
                                                                                                                                                        1729
1671
1672
           pattern-fragment A1, pattern-fragment A2,
                                                                                     filter Args2 (mem Args1) ToKeep2,
                                                                                                                                                        1730
1673
           prune! M A1 N A2 S1 S2.
                                                                                     map (index ToKeep1) ToKeep1 IdPerm,
                                                                                                                                                        1731
1674
         (uva N Args \simeq_{\lambda} T) S S1 :- not_occ N S T, pattern-fragment Args,
                                                                                     map (index ToKeep1) ToKeep2 Perm21,
                                                                                                                                                        1732
           bind T Args T1, assign N S T1 S1.
                                                                                     build-perm-assign N [] Bits1 IdPerm Ass1,
                                                                                                                                                        1733
1676
         (T \simeq_{\lambda} uva N Args) S S1 :- not_occ N S T, pattern-fragment Args,
                                                                                     build-perm-assign N [] Bits2 Perm21 Ass2.
                                                                                                                                                        1734
           bind T Args T1, assign N S T1 S1.
                                                                                                                                                        1735
1677
1678
                                                                                  type beta tm -> list tm -> tm -> o.
                                                                                                                                                        1736
1679
         type prune! addr -> list tm -> addr ->
                                                                                  beta A [] A :- !.
                                                                                                                                                        1737
                      list tm -> subst -> subst -> o.
                                                                                  beta (lam Bo) [H | L] R :- beta (Bo H) L R1, beta-aux R1 R.
1680
                                                                                                                                                        1738
1681
         /* no pruning needed */
                                                                                  beta (app A) L (app X) :- append A L X.
                                                                                                                                                        1739
1682
                                                                                                                                                        1740
                                                                            15
```

```
1741
         beta (con H) L (app [con H | L]).
                                                                                                                                                  1799
1742
         beta X L (app[X|L]) :- name X.
                                                                                                                                                  1800
1743
                                                                               type deref-assmt subst -> assignment -> o.
                                                                                                                                                  1801
         type beta-aux tm -> tm -> o.
                                                                               deref-assmt S (abs T) (abs R) :- pi x\ deref-assmt S (T x) (R x)802
1744
1745
         beta-aux (app [HD|TL]) R :- !, beta HD TL R.
                                                                               deref-assmt S (val T) (val R) :- deref S T R.
         beta-aux A A.
1746
                                                                                                                                                  1804
1747
                                                                                                                                                  1805
                                                                             14 THE COMPILER
         /* occur check for N before crossing a functor */
1748
                                                                                                                                                  1806
1749
         type not_occ addr -> subst -> tm -> o.
                                                                               kind fvariable type.
                                                                                                                                                  1807
         not_occ N S (uva M Args) :- set? M S F,
                                                                               type fv addr -> fvariable.
                                                                                                                                                  1808
           move F Args T, not_occ N S T.
         not_occ N S (uva M Args) :- unset? M S, not (M = N),
                                                                               kind arity type.
           forall1 (not_occ_aux N S) Args.
                                                                               type arity nat -> arity.
1753
                                                                                                                                                  1811
                                                                               kind hvariable type.
1754
         not_occ _ _ (con _).
                                                                                                                                                  1812
1755
         not_occ N S (app L) :- not_occ_aux N S (app L).
                                                                               type hv addr -> arity -> hvariable.
                                                                                                                                                  1813
         /* Note: lam is a functor for the meta language! */
                                                                                                                                                  1814
1756
         not_occ N S (lam L) :- pi x\ not_occ_aux N S (L x).
1757
                                                                               kind mapping type.
                                                                                                                                                  1815
         not_occ _ _ X :- name X.
                                                                               type (<->) fvariable -> hvariable -> mapping.
                                                                                                                                                  1816
         /* finding N is ok */
                                                                               typeabbrev mmap (list mapping).
                                                                                                                                                  1817
1759
         not_occ N _ (uva N _).
1760
                                                                                                                                                  1818
                                                                               typeabbrev scope (list tm).
1761
                                                                                                                                                  1819
1762
         /* occur check for X after crossing a functor */
                                                                               typeabbrev inctx ho.inctx.
                                                                                                                                                  1820
         type not_occ_aux addr -> subst -> tm -> o.
                                                                               kind baselink type.
                                                                                                                                                  1821
         not_occ_aux N S (uva M _) :- unset? M S, not (N = M).
                                                                               type link-eta tm -> tm -> baselink.
                                                                                                                                                  1822
1765
         not_occ_aux N S (uva M Args) :- set? M S F,
                                                                               type link-beta tm -> tm -> baselink.
                                                                               typeabbrev link (inctx baselink).
1766
           move F Args T, not_occ_aux N S T.
         not_occ_aux N S (app L) :- forall1 (not_occ_aux N S) L.
                                                                               typeabbrev links (list link).
1767
                                                                                                                                                  1825
1768
         not_occ_aux N S (lam F) :- pi x\ not_occ_aux N S (F x).
                                                                                                                                                  1826
1769
         not_occ_aux _ _ (con _).
                                                                               macro @val-link-eta T1 T2 :- ho.val (link-eta T1 T2).
                                                                                                                                                  1827
         not_occ_aux _ _ X :- name X.
                                                                               macro @val-link-beta T1 T2 :- ho.val (link-beta T1 T2).
1770
1771
         /* finding N is ko, hence no rule */
1772
                                                                                                                                                  1830
                                                                               type get-lhs link -> tm -> o.
1773
         /* copy T T' vails if T contains a free variable, i.e. it
                                                                                                                                                  1831
            performs scope checking for bind */
                                                                               get-lhs (val (link-beta A _)) A.
                                                                                                                                                  1832
1774
1775
         type copy tm \rightarrow tm \rightarrow o.
                                                                               get-lhs (val (link-eta A _)) A.
                                                                                                                                                  1833
1776
         copy (con C) (con C).
                                                                                                                                                  1834
                                                                               type get-rhs link -> tm -> o.
         copy (app L)
                        (app L') :- map copy L L'.
                                                                                                                                                  1835
         copy (lam T)
                        (lam T') := pi x copy x x => copy (T x) (T' x).
                                                                               get-rhs (val (link-beta _ A)) A.
         copy (uva A L) (uva A L') :- map copy L L'.
                                                                               get-rhs (val (link-eta _ A)) A.
                                                                                                                                                  1838
1780
         type bind tm -> list tm -> assignment -> o.
1781
                                                                                                                                                  1839
1782
         bind T [] (val T') :- copy T T'.
                                                                               type occurs-rigidly fm -> fm -> o.
                                                                                                                                                  1840
         bind T [X | TL] (abs T') :- pi x \land copy X x \Rightarrow bind T TL (T' x).
1783
                                                                               occurs-rigidly N N.
                                                                                                                                                  1841
1784
                                                                               occurs-rigidly _ (fapp [fuva _|_]) :- !, fail.
                                                                                                                                                  1842
1785
         type deref subst -> tm -> tm -> o.
                                                                               occurs-rigidly N (fapp L) :- exists (occurs-rigidly N) L.
                                                                                                                                                  1843
                                                                 (\sigma t)
         deref _ (con C) (con C).
                                                                               occurs-rigidly N (flam B) :- pi x\ occurs-rigidly N (B x).
                                                                                                                                                  1844
1786
1787
         deref S (app A) (app B) :- map (deref S) A B.
                                                                                                                                                  1845
1788
         deref S (lam F) (lam G) :-
                                                                               type reducible-to list fm -> fm -> o.
                                                                                                                                                  1846
1789
           pi x \cdot deref S x x \Rightarrow deref S (F x) (G x).
                                                                               reducible-to _ N N :- !.
                                                                                                                                                  1847
         deref S (uva N L) R :- set? N S A,
                                                                               reducible-to L N (fapp[fuva _|Args]) :- !,
                                                                                                                                                  1848
           move A L T, deref S T R.
                                                                                 forall1 (x\ exists (reducible-to [] x) Args) [N|L].
1792
         deref S (uva N A) (uva N B) :- unset? N S,
                                                                               reducible-to L N (flam B) :- !,
           map (deref S) A B.
                                                                                 pi x\ reducible-to [x | L] N (B x).
                                                                                                                                                  1851
1793
1794
                                                                               reducible-to L N (fapp [N|Args]) :-
                                                                                                                                                  1852
1795
         type move assignment -> list tm -> tm -> o.
                                                                                 last-n {len L} Args R,
                                                                                                                                                  1853
         move (abs Bo) [H|L] R :- move (Bo H) L R.
                                                                                 forall2 (reducible-to []) R {rev L}.
                                                                                                                                                  1854
1796
1797
         move (val A) [] A.
                                                                                                                                                  1855
                                                                                                                                                  1856
                                                                         16
```

```
(\Diamond \eta)
1857
         type maybe-eta fm -> list fm -> o.
                                                                                  comp (flam F) (lam F1) M1 M2 L1 L2 S1 S2 :-
                                                                                                                                              (c_{\lambda})
                                                                                                                                                      1915
         maybe-eta (fapp[fuva _|Args]) L :- !,
                                                                                    comp-lam F F1 M1 M2 L1 L2 S1 S2.
1858
                                                                                                                                                      1916
1859
           forall1 (x\ exists (reducible-to [] x) Args) L, !.
                                                                                  comp (fuva A) (uva B []) M1 M2 L L S1 S2 :-
                                                                                                                                                      1917
         maybe-eta (flam B) L := !, pi \times maybe-eta (B \times) [x \mid L].
                                                                                    m-alloc (fv A) (hv B (arity z)) M1 M2 S1 S2.
                                                                                                                                                      1918
1861
         maybe-eta (fapp [T[Args]) L :- (name T; T = fcon _),
                                                                                  comp (fapp [fuva A|Ag]) (uva B Ag1) M1 M2 L L S1 S2 :-
           split-last-n {len L} Args First Last,
                                                                                                                                                      1920
1862
                                                                                    pattern-fragment Ag, !,
           none (x\ exists (y\ occurs-rigidly x y) First) L,
                                                                                      fold6 comp Ag Ag1 M1 M1 L L S1 S1,
                                                                                                                                                      1921
1863
           forall2 (reducible-to []) {rev L} Last.
                                                                                                                                                      1922
1864
                                                                                      len Ag Arity.
1865
                                                                                      m-alloc (fv A) (hv B (arity Arity)) M1 M2 S1 S2.
                                                                                                                                                      1923
                                                                                  comp (fapp [fuva A[Ag]) (uva B Scope) M1 M3 L1 L3 S1 S4 :- !,
         type locally-bound tm -> o.
                                                                                    pattern-fragment-prefix Ag Pf Extra,
         type get-scope-aux tm -> list tm -> o.
                                                                                    len Pf Arity.
         get-scope-aux (con _) [].
                                                                                    alloc S1 B S2.
                                                                                                                                                      1927
1869
         get-scope-aux (uva _ L) L1 :-
                                                                                    m-alloc (fv A) (hv C (arity Arity)) M1 M2 S2 S3,
1870
                                                                                                                                                      1928
1871
           forall2 get-scope-aux L R,
                                                                                    fold6 comp Pf
                                                                                                    Pf1 M2 M2 L1 L1 S3 S3,
                                                                                                                                                      1929
1872
           flatten R L1.
                                                                                    fold6 comp Extra Extra1 M2 M3 L1 L2 S3 S4,
                                                                                                                                                      1930
         get-scope-aux (lam B) L1 :-
                                                                                    Beta = app [uva C Pf1 | Extra1],
1873
                                                                                                                                                      1931
1874
           pi x \setminus locally-bound x \Rightarrow get-scope-aux (B x) L1.
                                                                                    get-scope Beta Scope,
                                                                                                                                                      1932
1875
         get-scope-aux (app L) L1 :-
                                                                                    L3 = [val (link-beta (uva B Scope) Beta) | L2].
                                                                                                                                                      1933
           forall2 get-scope-aux L R,
                                                                                  comp (fapp A) (app A1) M1 M2 L1 L2 S1 S2 :-
                                                                                                                                                      1934
1876
                                                                                                                                              (c_{@})
           flatten R L1.
                                                                                    fold6 comp A A1 M1 M2 L1 L2 S1 S2.
                                                                                                                                                      1935
1877
1878
         get-scope-aux X [X] :- name X, not (locally-bound X).
                                                                                                                                                      1936
1879
         get-scope-aux X [] :- name X, (locally-bound X).
                                                                                  type alloc mem A -> addr -> mem A -> o.
                                                                                                                                                      1937
                                                                                  alloc S N S1 :- mem.new S N S1.
                                                                                                                                                      1938
         type names1 list tm -> o.
         names1 L :-
                                                                                  type compile-terms-diagnostic
                                                                                    triple diagnostic fm fm ->
                                                                                                                                                      1941
1883
           names L1.
1884
           new_int N,
                                                                                    triple diagnostic tm tm ->
                                                                                                                                                      1942
1885
           if (1 is N mod 2) (L1 = L) (rev L1 L).
                                                                                    mmap -> mmap ->
                                                                                                                                                      1943
                                                                                   links -> links ->
1886
                                                                                                                                                      1944
1887
         type get-scope tm -> list tm -> o.
                                                                                    subst -> subst -> o.
         get-scope T Scope :-
                                                                                 compile-terms-diagnostic (triple D F01 F02) (triple D H01 H02) MM6M3 L1
1888
           get-scope-aux T ScopeDuplicata,
                                                                                    fo.beta-reduce FO1 FO1'.
1889
                                                                                                                                                      1947
           undup ScopeDuplicata Scope.
                                                                                    fo.beta-reduce FO2 FO2'.
                                                                                                                                                      1948
1890
                                                                                    comp F01' H01 M1 M2 L1 L2 S1 S2,
1891
         type rigid fm -> o.
                                                                                                                                                      1949
1892
         rigid X := not (X = fuva_).
                                                                                    comp F02' H02 M2 M3 L2 L3 S2 S3.
                                                                                                                                                      1950
                                                                                                                                                      1951
         type comp-lam (fm \rightarrow fm) \rightarrow (tm \rightarrow tm) \rightarrow
                                                                                  type compile-terms
                                                                                                                                                      1952
           mmap -> mmap -> links -> links -> subst -> o.
                                                                                    list (triple diagnostic fm fm) ->
         comp-lam F G M1 M2 L1 L3 S1 S2 :-
                                                                                    list (triple diagnostic tm tm) ->
                                                                                                                                                      1954
1896
           pi x y\ (pi M L S\ comp x y M M L L S S) =>
                                                                                    mmap -> links -> subst -> o.
1897
                                                                                                                                                      1955
1898
             comp (F x) (G y) M1 M2 L1 (L2 y) S1 S2,
                                                                                  compile-terms T H M L S :-
                                                                                                                                                      1956
                                                                                    fold6 compile-terms-diagnostic T H [] M_{-} [] L_{-} [] S_{-},
           close-links L2 L3.
                                                                                                                                                      1957
1899
                                                                                    print-compil-result T H L_ M_,
1900
                                                                                                                                                      1958
1901
         type close-links (tm -> links) -> links -> o.
                                                                                    deduplicate-map M_ M S_ S L_ L.
                                                                                                                                                      1959
         close-links (v\setminus[X \mid L \mid v]) [X|R] :- !, close-links L R.
                                                                                                                                                      1960
1902
         close-links (v\setminus[X\ v\mid L\ v]) [abs X|R] :- close-links L R.
                                                                                  type make-eta-link-aux nat -> addr -> addr ->
                                                                                                                                                      1961
1903
1904
         close-links (_\[]) [].
                                                                                    list tm -> links -> subst -> o.
                                                                                                                                                      1962
1905
         type comp fm \rightarrow tm \rightarrow mmap \rightarrow links \rightarrow links \rightarrow
                                                                                  make-eta-link-aux z Ad1 Ad2 Scope1 L H1 H1 :-
                                                                                                                                                      1963
                                                                                    rev Scope1 Scope, eta-expand (uva Ad2 Scope) T1,
           subst -> subst -> o.
         comp (fcon C) (con C) M M L L S S.
                                                                                    L = [val (link-eta (uva Ad1 Scope) T1)].
         comp (flam F) (uva A Scope) M1 M2 L1 L3 S1 S3 :-
                                                                                 make-eta-link-aux (s N) Ad1 Ad2 Scope1 L H1 H3 :-
                                                                                                                                                      1966
1908
           maybe-eta (flam F) [], !,
                                                                                    rev Scope1 Scope, alloc H1 Ad H2,
                                                                                                                                                      1967
1909
1910
             alloc S1 A S2,
                                                                                    eta-expand (uva Ad Scope) T2,
                                                                                                                                                      1968
1911
             comp-lam F F1 M1 M2 L1 L2 S2 S3,
                                                                                    (pi x\ make-eta-link-aux N Ad Ad2 [x|Scope1] (L1 x) H2 H3),
                                                                                                                                                      1969
             get-scope (lam F1) Scope,
                                                                                                                                                      1970
1912
                                                                                    close-links L1 L2,
             L3 = [val (link-eta (uva A Scope) (lam F1)) | L2].
                                                                                    L = [val (link-eta (uva Ad1 Scope) T2) | L2].
1913
                                                                                                                                                      1971
1914
                                                                                                                                                      1972
                                                                           17
```

```
1973
                                                                                                                                               2031
                                                                              type arity ho.tm -> nat -> o.
1974
         type make-eta-link nat -> nat -> addr -> addr ->
                                                                                                                                               2032
1975
                 list tm -> links -> subst -> o.
                                                                              arity (ho.con _) z.
                                                                                                                                               2033
         make-eta-link (s N) z Ad1 Ad2 Vars L H H1 :-
1976
                                                                              arity (ho.app L) A :- len L A.
1977
          make-eta-link-aux N Ad2 Ad1 Vars L H H1.
         make-eta-link z (s N) Ad1 Ad2 Vars L H H1 :-
                                                                              type occur-check-err ho.tm -> ho.tm -> ho.subst -> o.
1978
                                                                                                                                               2036
          make-eta-link-aux N Ad1 Ad2 Vars L H H1.
1979
                                                                              occur-check-err (ho.con _) _ _ :- !.
                                                                                                                                               2037
         make-eta-link (s N) (s M) Ad1 Ad2 Vars Links H H1 :-
                                                                              occur-check-err (ho.app _{-}) _{-} :- !.
                                                                                                                                               2038
1980
1981
           (pi x\ make-eta-link N M Ad1 Ad2 [x|Vars] (L x) H H1),
                                                                              occur-check-err (ho.lam _) _ _ :- !.
                                                                                                                                               2039
           close-links L Links.
                                                                              occur-check-err (ho.uva Ad _) T S :-
                                                                                                                                               2040
                                                                                not (ho.not_occ Ad S T).
                                                                                                                                               2041
         type deduplicate-map mmap -> mmap ->
1984
             subst -> subst -> links -> links -> o.
                                                                              type progress-beta-link-aux ho.tm -> ho.tm ->
1985
                                                                                                                                               2043
         deduplicate-map [] [] H H L L.
                                                                                      ho.subst -> ho.subst -> links -> o.
1986
                                                                                                                                               2044
1987
         deduplicate-map [((fv 0 <-> hv M (arity LenM)) as X1) | Map1] Map2pHbgHbgHbeSs-bbeBa:-link-aux T1 T2 S1 S2 [] :- is-in-pf T2, !,
                                                                                                                                               2045
1988
           take-list Map1 ((fv 0 <-> hv M' (arity LenM'))) _, !,
                                                                                (T1 == 1 T2) S1 S2.
                                                                                                                                               2046
           std.assert! (not (LenM = LenM')) "Deduplicate map, there is a bugprpgress-beta-link-aux T1 T2 S S [@val-link-beta T1 T2] :-!.
1989
                                                                                                                                               2047
          print "arity-fix links:" {ppmapping X1} "~!~" {ppmapping ((fv 0 <-> hv M' (arity LenM')))},
          make-eta-link LenM LenM' M M' [] New H1 H2.
                                                                              type progress-beta-link ho.tm -> ho.tm -> ho.subst ->
1991
          print "new eta link" {pplinks New},
                                                                                    ho.subst -> links -> o.
1992
                                                                                                                                               2050
          append New L1 L2.
                                                                              progress-beta-link T (ho.app[ho.uva V Scope | L] as T2) S S2 [@val-link-
1993
1994
           deduplicate-map Map1 Map2 H2 H3 L2 L3.
                                                                                arity T Arity, len L ArgsNb, ArgsNb >n Arity, !,
1995
         deduplicate-map [A|As] [A|Bs] H1 H2 L1 L2 :-
                                                                                minus ArgsNb Arity Diff, mem.new S V1 S1,
           deduplicate-map As Bs H1 H2 L1 L2, !.
                                                                                eta-expand (ho.uva V1 Scope) Diff T1,
                                                                                                                                               2054
         deduplicate-map [A|_] \_ H \_ \_ :-
                                                                                ((ho.uva V Scope) == 1 T1) S1 S2.
           halt "deduplicating mapping error" {ppmapping A} {ho.ppsubst H}.
1999
                                                                              progress-beta-link (ho.uva _ _ as T) (ho.app[ho.uva Ad1 Scope1 405L1] as
2000
                                                                                append Scope1 L1 Scope1L,
                                                                                                                                               2058
      15 THE PROGRESS FUNCTION
2001
                                                                                pattern-fragment-prefix Scope1L Scope2 L2,
                                                                                                                                               2059
         macro @one :- s z.
                                                                                not (Scope1 = Scope2), !,
2002
                                                                                                                                               2060
2003
                                                                                mem.new S1 Ad2 S2,
                                                                                len Scope1 Scope1Len,
2004
         type contract-rigid list ho.tm -> ho.tm -> ho.tm -> o.
2005
         contract-rigid L (ho.lam F) T :-
                                                                               len Scope2 Scope2Len,
                                                                                                                                               2063
          pi x\ contract-rigid [x|L] (F x) T. % also checks H Prefix does not makee eta-link Scope1Len Scope2Len Ad1 Ad2 [] LinkEta S2 S3,
2006
2007
         contract-rigid L (ho.app [H|Args]) T :-
                                                                               if (L2 = []) (NewLinks = LinkEta, T2 = ho.uva Ad2 Scope2)
           rev L LRev, append Prefix LRev Args,
                                                                                  (T2 = ho.app [ho.uva Ad2 Scope2 | L2],
                                                                                 NewLinks = [@val-link-beta T T2 | LinkEta]).
           if (Prefix = []) (T = H) (T = ho.app [H|Prefix]).
2010
         type progress-eta-link ho.tm -> ho.tm -> ho.subst -> limmogressobeta-link T1 (ho.app[ho.uva _ _ | _] as T2) _ _ _ :-
2011
         progress-eta-link (ho.app _{\rm as} T) (ho.lam x\ _{\rm as} T1) H H1 [] :- !, not (T1 = ho.uva _{\rm as} ), !, fail.
2012
2013
          (\{eta-expand T @one\} == 1 T1) H H1.
2014
         progress-eta-link (ho.con _ as T) (ho.lam x\ _ as T1) H H1 [] :- !progress-beta-link (ho.uva _ _ as T) (ho.app[ho.uva _ _ | _] as202) S1 .
           (\{eta-expand T @one\} == 1 T1) H H1.
                                                                                occur-check-err T T2 S1, !, fail.
2015
                                                                                                                                               2073
2016
         progress-eta-link (ho.lam _ as T) T1 H H1 [] :- !,
           (T == 1 T1) H H1.
2017
                                                                              progress-beta-link T1 (ho.app[ho.uva _ _ | _] as T2) H H [@val-limk-beta
2018
         progress-eta-link (ho.uva _ _ as X) T H H1 [] :-
           contract-rigid [] T T1, !, (X ==1 T1) H H1.
                                                                              progress-beta-link T1 (ho.app [Hd | Tl]) S1 S2 B :-
                                                                                                                                               2077
2019
2020
         progress-eta-link (ho.uva Ad _ as T1) T2 H H [@val-link-eta T1 T2] :ho!peta Hd T1 T3,
                                                                                                                                               2078
2021
          if (ho.not_occ Ad H T2) true fail.
                                                                                progress-beta-link-aux T1 T3 S1 S2 B.
                                                                                                                                               2079
         type is-in-pf ho.tm -> o.
                                                                              type solve-link-abs link -> links -> ho.subst -> ho.subst -> o.2081
                                                                              solve-link-abs (ho.abs X) R H H1 :-
2024
         is-in-pf (ho.app [ho.uva _ _ | _]) :- !, fail.
         is-in-pf (ho.lam B) :- !, pi x\ is-in-pf (B x).
                                                                                pi x\ ho.copy x x \Rightarrow (pi S\ ho.deref S x x) \Rightarrow
                                                                                                                                               2083
2025
2026
         is-in-pf (ho.con _).
                                                                                  solve-link-abs (X x) (R' x) H H1,
                                                                                                                                               2084
2027
         is-in-pf (ho.app L) :- forall1 is-in-pf L.
                                                                                close-links R' R.
                                                                                                                                               2085
                                                                                                                                               2086
2028
         is-in-pf N :- name N.
         is-in-pf (ho.uva _ L) :- pattern-fragment L.
                                                                              solve-link-abs (@val-link-eta A B) NewLinks S S1 :- !,
                                                                                                                                               2087
2030
                                                                       18
```

```
2089
           progress-eta-link A B S S1 NewLinks.
                                                                               decompl-subst _{-} [A|_] _{-} _{-} :- fail.
                                                                                                                                                  2147
                                                                               decompl-subst _ [] _ F F.
2090
                                                                                                                                                  2148
2091
         solve-link-abs (@val-link-beta A B) NewLinks S S1 :- !,
                                                                               decompl-subst Map [mapping (fv V0) (hv VM _)[T1] H F F2 :-
                                                                                                                                                  2149
           progress-beta-link A B S S1 NewLinks.
                                                                                 mem.set? VM H T, !,
2093
                                                                                 ho.deref-assmt H T TTT,
                                                                                                                                                  2151
         type take-link link -> links -> link -> links -> o.
                                                                                 abs->lam TTT T'. tm->fm Map T' T1.
                                                                                                                                                  2152
2094
         take-link A [B|XS] B XS :- link-abs-same-lhs A B, !.
                                                                                 fo.eta-contract T1 T2, mem.assign V0 F T2 F1,
                                                                                                                                                  2153
2095
         take-link A [L|XS] B [L|YS] :- take-link A XS B YS.
                                                                                 decompl-subst Map Tl H F1 F2.
                                                                                                                                                  2154
2096
2097
                                                                               decompl-subst Map [mapping _ (hv VM _)|T1] H F F2 :-
                                                                                                                                                  2155
         type link-abs-same-lhs link -> link -> o.
                                                                                 mem.unset? VM H, decompl-subst Map T1 H F F2.
                                                                                                                                                  2156
         link-abs-same-lhs (ho.abs F) B :-
                                                                                                                                                  2157
           pi x\ link-abs-same-lhs (F x) B.
                                                                               type tm\rightarrow fm map \rightarrow ho.tm \rightarrow fo.fm \rightarrow o.
         link-abs-same-lhs A (ho.abs G) :-
                                                                               tm->fm _ (ho.con C) (fo.fcon C).
                                                                                                                                                  2159
2101
                                                                               tm->fm L (ho.lam B1) (fo.flam B2) :-
           pi x\ link-abs-same-lhs A (G x).
2102
                                                                                                                                                  2160
2103
         link-abs-same-lhs (@val-link-eta (ho.uva N _) _) (@val-link-eta (ho.uva x y \) tm}->fm _ x y => tm->fm L (B1 x) (B2 y).
                                                                                                                                                  2161
2104
                                                                               tm->fm L (ho.app L1) T :- map (tm->fm L) L1 [Hd|T1],
                                                                                                                                                  2162
         type same-link-eta link -> link -> ho.subst -> ho.subst -> o.
2105
                                                                                 fo.mk-app Hd Tl T.
2106
         same-link-eta (ho.abs F) B H H1 :- !, pi x\ same-link-eta (F x) B Htm+>fm L (ho.uva VM TL) T :- mem L (mapping (fv VO) (hv VM _)),2164
         same-link-eta A (ho.abs G) H H1 :- !, pi x\ same-link-eta A (G x) H Mmap (tm->fm L) TL T1, fo.mk-app (fo.fuva VO) T1 T.
2107
         same-link-eta (@val-link-eta (ho.uva N S1) A)
2108
                                                                                                                                                  2166
                        (@val-link-eta (ho.uva N S2) B) H H1 :-
                                                                               type add-new-map-aux ho.subst -> list ho.tm -> map ->
                                                                                                                                                  2167
2109
2110
           std.map2 S1 S2 (x\y\r\ r = ho.copy x y) Perm,
                                                                                     map -> fo.fsubst -> fo.fsubst -> o.
                                                                                                                                                  2168
2111
           Perm => ho.copy A A',
                                                                               add-new-map-aux _ [] _ [] S S.
                                                                                                                                                  2169
           (A' == 1 B) H H1.
2112
                                                                               add-new-map-aux H [T|Ts] L L2 S S2 :-
                                                                                                                                                  2170
2113
                                                                                 add-new-map H T L L1 S S1,
                                                                                                                                                  2171
2114
         type progress1 links -> links -> ho.subst -> ho.subst -> o.
                                                                                 add-new-map-aux H Ts L1 L2 S1 S2.
                                                                                                                                                  2172
         progress1 [] [] X X.
                                                                                                                                                  2173
2115
2116
         progress1 [A|L1] [A|L3] S S2 :- take-link A L1 B L2, !,
                                                                               type add-new-map ho.subst -> ho.tm -> map ->
                                                                                                                                                 2174
2117
           same-link-eta A B S S1,
                                                                                   map -> fo.fsubst -> fo.fsubst -> o.
                                                                                                                                                 2175
           progress1 L2 L3 S1 S2.
                                                                               add-new-map _ (ho.uva N _) Map [] F1 F1 :-
2118
                                                                                                                                                  2176
         progress1 [L0|L1] L3 S S2 :- deref-link S L0 L,
2119
                                                                                 mem Map (mapping _ (hv N _)), !.
                                                                               add-new-map H (ho.uva N L) Map [Map1 | MapL] F1 F3 :-
                                                                                                                                                  2178
2120
           solve-link-abs L R S S1. !.
2121
           progress1 L1 L2 S1 S2, append R L2 L3.
                                                                                 mem.new F1 M F2.
                                                                                                                                                  2179
2122
                                                                                 len L Arity, Map1 = mapping (fv M) (hv N (arity Arity)),
                                                                                                                                                  2180
2123
                                                                                 add-new-map H (ho.app L) [Map1 | Map] MapL F2 F3.
                                                                                                                                                  2181
       16 THE DECOMPILER
2124
                                                                               add-new-map H (ho.lam B) Map NewMap F1 F2 :-
                                                                                                                                                  2182
                                                                                 pi x\ add-new-map H (B x) Map NewMap F1 F2.
2125
         type abs->lam ho.assignment -> ho.tm -> o.
                                                                                                                                                  2183
2126
         abs->lam (ho.abs T) (ho.lam R) :- !, pi x\ abs->lam (T x) (R x). add-new-map H (ho.app L) Map NewMap F1 F3 :-
2127
         abs->lam (ho.val A) A.
                                                                                 add-new-map-aux H L Map NewMap F1 F3.
                                                                               add-new-map _ (ho.con _) _ [] F F :- !.
                                                                                                                                                  2186
2128
         type commit-links-aux link -> ho.subst -> ho.subst -> o.
2129
                                                                               add-new-map _ N _ [] F F :- name N.
                                                                                                                                                  2187
2130
         commit-links-aux (@val-link-eta T1 T2) H1 H2 :-
                                                                                                                                                  2188
           ho.deref H1 T1 T1', ho.deref H1 T2 T2',
                                                                               type complete-mapping-under-ass ho.subst -> ho.assignment ->
2131
                                                                                                                                                  2189
           (T1' == 1 T2') H1 H2.
2132
                                                                                 map -> map -> fo.fsubst -> fo.fsubst -> o.
2133
         commit-links-aux (@val-link-beta T1 T2) H1 H2 :-
                                                                               complete-mapping-under-ass H (ho.val Val) Map1 Map2 F1 F2 :-
2134
           ho.deref H1 T1 T1', ho.deref H1 T2 T2',
                                                                                 add-new-map H Val Map1 Map2 F1 F2.
                                                                                                                                                  2192
2135
           (T1' == 1 T2') H1 H2.
                                                                               complete-mapping-under-ass H (ho.abs Abs) Map1 Map2 F1 F2 :-
                                                                                                                                                 2193
2136
         commit-links-aux (ho.abs B) H H1 :-
                                                                                 pi x\ complete-mapping-under-ass H (Abs x) Map1 Map2 F1 F2.
                                                                                                                                                 2194
2137
           pi x\ commit-links-aux (B x) H H1.
                                                                                                                                                  2195
                                                                               type complete-mapping ho.subst -> ho.subst ->
                                                                                                                                                  2196
         type commit-links links -> links -> ho.subst -> ho.subst -> o.
                                                                                 map -> map -> fo.fsubst -> fo.fsubst -> o.
                                                                                                                                                  2197
         commit-links [] [] H H.
                                                                               complete-mapping _ [] L L F F.
         commit-links [Abs | Links] L H H2 :-
                                                                               complete-mapping H [none | Tl] L1 L2 F1 F2 :-
2141
                                                                                                                                                  2199
2142
           commit-links-aux Abs H H1, !, commit-links Links L H1 H2.
                                                                                 complete-mapping H Tl L1 L2 F1 F2.
                                                                                                                                                  2200
2143
                                                                               complete-mapping H [some T0 | T1] L1 L3 F1 F3 :-
                                                                                                                                                  2201
         type decompl-subst map -> map -> ho.subst ->
                                                                                 ho.deref-assmt H T0 T,
2144
                                                                                                                                                  2202
           fo.fsubst -> fo.fsubst -> o.
                                                                                 complete-mapping-under-ass H T L1 L2 F1 F2,
2145
                                                                                                                                                  2203
2146
                                                                                                                                                  2204
                                                                        19
```

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Conference'17, July 2017, Washington, DC, USA
2205
             append L1 L2 LAll,
             complete-mapping H Tl LAll L3 F2 F3.
2206
2207
           type decompile map -> links -> ho.subst ->
2209
             fo.fsubst -> fo.fsubst -> o.
2210
          decompile Map1 L HO FO FO2 :-
2211
             commit-links L L1_ HO HO1, !,
2212
             complete-mapping HO1 HO1 Map1 Map2 FO FO1,
2213
             decompl-subst Map2 Map2 H01 F01 F02.
2214
2215
        17 AUXILIARY FUNCTIONS
2216
          type fold4 (A \rightarrow A1 \rightarrow B \rightarrow B \rightarrow C \rightarrow C \rightarrow o) \rightarrow list A \rightarrow
2217
             list A1 \rightarrow B \rightarrow B \rightarrow C \rightarrow C \rightarrow o.
2218
           fold4 _ [] [] A A B B.
2219
           fold4 F [X|XS] [Y|YS] A A1 B B1 :- F X Y A A0 B B0,
2220
             fold4 F XS YS A0 A1 B0 B1.
2221
          type len list A -> nat -> o.
2223
          len [] z.
2224
          len [_|L] (s X) :- len L X.
2225
2226
2231
2232
2233
2234
2237
2238
2239
```