# **Higher-Order unification for free!**

Reusing the meta-language unification for the object language

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#### **ABSTRACT**

Specifying and implementing a proof system from scratch requires significant effort. Logical Frameworks and Higher Order Logic Programming Languages provide dedicated, high-level Meta Languages (ML) to facilitate this task in two key ways: 1) variable binding and substitution are for free when ML binders represent object logic ones; 2) proof construction, and even proof search, is greatly simplified by leveraging the unification procedure provided by the ML. Notable examples of ML are Elf [14], Twelf [16],  $\lambda$ Prolog [9] and Isabelle [22] which have been utilized to implement various formal systems such as First Order Logic [4], Set Theory [13], Higher Order Logic [12], and even the Calculus of Constructions [3].

The object logic we are interested in is Coq's [20] Calculus of Inductive Constructions (CIC), for which we want to implement a higher-order unification-based proof search procedure using the ML Elpi [2], a dialect of  $\lambda$ Prolog. Elpi's equational theory comprises  $\eta\beta$  equivalence and comes equipped with a higher-order unification procedure  $\simeq_{\lambda}$  restricted to the pattern fragment [8]. Elpi comes with an encoding of CIC that works well for meta-programming [19, 18, 6, 5] but restricts  $\simeq_{\lambda}$  to roughly first-order unification problems only. We call this basic encoding  $\mathcal{F}_{0}$ .

In this paper we propose a better-behaved encoding  $\mathcal{H}_0$ , and show how to map unification problems in  $\mathcal{F}_0$  to related problems in  $\mathcal{H}_0$ . As a result we obtain  $\simeq_0$ , a higher-order unification procedure for  $\mathcal{F}_0$  that honours  $\eta\beta$ -equivalence (for CIC functions), solves problems in the pattern fragment and allows for the use of heuristics to deal with problems outside the pattern fragment. Moreover, since  $\simeq_0$  delegates most of the work to  $\simeq_\lambda$ , it can be used to efficiently simulate a logic program in  $\mathcal{F}_0$  by taking advantage of unification-related optimizations of the ML, such as clause indexing.

### **KEYWORDS**

 ${\bf Logic\ Programming,\ Meta-Programming,\ Higher-Order\ Unification,\ Proof\ Automation}$ 

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### 1 INTRODUCTION

Meta languages such as Elf [14], Twelf [16],  $\lambda$ Prolog [9] and Isabelle [22] have been utilized to specify various logics [4, 12, 13, 3]. The use of these meta languages facilitates this task in two key ways. The first and most well know one is that variable binding and substitution come for free. The second one is that these meta languages come equipped with some form of unification, a cornerstone of proof construction and proof search.

The object logic we are interested in is Coq's [20] Calculus of Inductive Constructions (CIC) and we want to implement a form of proof search known as type-class [21, 17] resolution. Type-class solvers are unification based proof search procedures reminiscent of Prolog that back-chain lemmas taken from a database of "type-class instances". Given this analogy with Logic Programming we want to leverage the Elpi [19] meta programming language, a dialect of  $\lambda$ Prolog, already used to extend Coq in various ways [19, 18, 6, 5]. In this paper we focus on one aspect of this work, precisely how to reuse the higher-order unification procedure of the meta language in order to simulate a higher-order logic program for the object language.

We take as an example the Decision and Finite type classes from the Stdpp [7] library. The class Decision identifies predicates equipped with a decision procedure, while Finite the types whose inhabitants can be enumerated in a (finite) list. The following three type-class instances state that: 1) the type of natural numbers smaller than n, called fin n, is finite; 2) the predicate nfact n nf, relating a natural number n to the number of its prime factors nf, is decidable; 3) the universal closure of a predicate has a decision procedure if its domain is finite and if the predicate is decidable.

```
Instance fin_fin: \foralln, Finite (fin n). (* r1 *)
Instance nfact_dec: \foralln nf, Decision (nfact n nf). (* r2 *)
Instance forall_dec: \forallA P, Finite A \rightarrow (* r3 *)
\forallX:A, Decision (P x) \rightarrow Decision (\forallX:A, P x).
```

Given this database a type-class solver is expected to prove the following statement automatically:

```
Decision (\forall x: fin 7, nfact x 3) (* g *)
```

The proof found by the solver back-chains on rule 3 (the only rule about the  $\forall$  quantifier), and then solves the premises with rules 1 and 2 respectively. Note that rule 3 features a second order parameter P that stands for a function of type A  $\rightarrow$  Prop (a predicate over A). The solver has to infer a value for P by unifying the conclusion of rule 3 with the goal, and in particular it has to solve the unification problem P x = nfact x 3. This higher order problem falls in the so called pattern-fragment  $\mathcal{L}_{\lambda}$  [8] and admits a unique solution  $\rho$  that assigns the term  $\lambda$ x.nfact x 3 to P.

In order to implement such a search in Elpi we shall describe the encoding of CIC terms and then the encoding of instances as

rules. Elpi comes equipped with an Higher Order Abstract Syntax (HOAS [15]) datatype of CIC terms, called tm, that features (among others) the following constructors:

Following the standard syntax of  $\lambda Prolog$  [9] the meta level binding of a variable x in an expression e is written «x\ e», while square brackets delimit a list of terms separated by comma. For example the term « $\forall y:t$ , nfact y 3» is encoded as follows:

```
all (con"t") y\ app [con"nfact", y, con"3"]
```

We now illustrate the encoding of the three instances above as higher-order logic-programming rules: capital letters denote rule parameters; :- separates the rule's head from the premises and pi w\ p introduces a fresh nominal constant w for the premise p.

```
finite (app [con"fin", N]). (r1)
```

decision (all A 
$$\times$$
 app [P,  $\times$ ]) :- finite A, (r3) pi  $\times$  decision (app [P,  $\times$ ]).

Unfortunately this intuitive encoding of rule (r3) does not work since it uses the predicate P as a first order term: for the meta language its type is tm. If we try to back-chain the rule (r3) on the encoding of the goal (g) given below

```
decision (all (app [con"fin", con"7"]) x\
    app [con"nfact", x, con"3"]).
```

we obtain an unsolvable unification problem (p): the two lists of terms have different lengths!

```
app [con"nfact", x, con"3"] = app [P, x] (p)
```

In this paper we study a more sophisticated encoding of CIC terms and rules that, on a first approximation, would reshape (r3) as follows:

```
decision (all A \times Pm \times) :- link Pm P A, finite A, (r3') pi \times decision (app [P, \times]).
```

Since Pm is an higher-order unification variable of type tm -> tm, with x in its scope, the unification problem (p') admits one solution:

app [con"nfact", x, con"3"] = 
$$Pm x$$
  $(p')$ 

$$Pm = x \setminus app [con"nfact", x, con"3"]$$
 (\sigma)

Once the head of rule (r3') unifies with the goal (g) the premise «link Pm A P» brings the assignment  $(\sigma)$  back to the domain tm of Coq terms, obtaining the expected solution  $\rho$ :

```
P = lam A x\ app [con"nfact", x, con"3"]
```

This simple example is sufficient to show that the encoding we seek is not trivial and does not only concern the head of rules, but the entire sequence of unification problems that constitute the execution of a logic program. In fact the solution for P above generates a (Coq)  $\beta$ -redex in the second premise (the predicate under the Pi w\).

In turn this redex prevents the rule (r2) to backchain properly since the following unification problem has no solution:

The root cause of the problems we sketched in this example is a subtle mismatch between the equational theories of the meta language and the object language, that in turns makes the unification procedures of the meta language weak. The equational theory of the meta language Elpi encompasses  $\eta\beta$ -equivalence and its unification procedure can solve higher-order problems in the pattern fragment. Although the equational theory of CIC is much richer, for efficiency and predictability reasons automatic proof search procedure typically employ a unification procedure that only captures a  $\eta\beta$ -equivalence and only operates in  $\mathcal{L}_{\lambda}$ . The similarity is striking, but one needs some care in order to simulate a logic program in CIC using the unification of Elpi.

Contributions. In this paper we identify a minimal language  $\mathcal{F}_0$  in which the problems sketched in the introduction can be formally described. We detail an encoding of a logic program in  $\mathcal{F}_0$  to a strongly related logic program in  $\mathcal{H}_0$  (the language of the metalanguage) and we show that the higher-order unification procedure of the meta language  $\simeq_\lambda$  can be efficiently used to simulate a higher-order unification procedure  $\simeq_0$  for the object language that features  $\eta\beta$ -conversion. We show how  $\simeq_0$  can be extended with heuristics to deal with problems outside the pattern fragment.

Section 2 formally states the problem and gives the intuition behind our solution; section 3 sets up a basic simulation of first-order logic programs, section 4 and section 5 extend it to higher-order logic programs in the pattern fragment while section 7 goes beyond the pattern fragment. Section 8 discusses the implementation in Elpi. The  $\lambda$ Prolog code discussed in the paper can be accessed at the address https://github.com/FissoreD/ho-unif-for-free.

#### 2 PROBLEM STATEMENT AND SOLUTION

Even if we encountered the problem working on CIC we devise a minimal setting to ease its study. In this setting we have a  $\mathcal{F}_0$  language (for first order) with a richer equational theory and a  $\mathcal{H}_0$  meta language with a simpler one.

### 2.1 Preliminaries: $\mathcal{F}_o$ and $\mathcal{H}_o$

In order to reason about unification we provide a description of the  $\mathcal{F}_0$  and  $\mathcal{H}_0$  languages where unification variables are first class terms, i.e. they have a concrete syntax as per fig. 1. Unification variables in  $\mathcal{F}_0$  (fuva term constructor) have no explicit scope: the arguments of an higher order variable are given via the fapp constructor. For example the term «P x» is represented as «fapp [fuva N, x]», where N is the memory address of P and x is a bound variable. In  $\mathcal{H}_0$  the representation of «P x» is instead «uva N [x]», since unification variables are higher order and come equipped with an explicit scope.

```
kind fm type.
type fapp list fm -> fm.
type flam (fm -> fm) -> fm.
type fcon string -> fm.
type fuva addr -> fm.
type fuva addr -> fm.
kind tm type.
type app list tm -> tm.
type lam (tm -> tm) -> tm.
type con string -> tm.
type uva addr -> list tm -> tm.
```

Figure 1: The  $\mathcal{F}_0$  and  $\mathcal{H}_0$  languages

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Notational conventions. When we write  $\mathcal{H}_0$  terms outside code blocks we follow the usual  $\lambda$ -calculus notation, reserving f,g,a,b for constants, x,y,z for bound variables and X,Y,Z,F,G,H for unification variables. However we need to distinguish between the "application" of a unification variable to its scope and the application of a term to a list of arguments. We write the scope of unification variables in subscript while we use juxtaposition for regular application. Here a few examples:

```
f \cdot a app [con "f", con "a"] \lambda x.\lambda y.F_{xy} \qquad \text{lam x} \land \text{lam y} \land \text{uva F [x, y]} \lambda x.F_{x} \cdot a \qquad \text{lam x} \land \text{app [uva F [x], con "a"]} \lambda x.F_{x} \cdot x \qquad \text{lam x} \land \text{app [uva F [x], x]}
```

When it is clear from the context we shall use the same syntax for  $\mathcal{F}_0$  terms (although we never subscripts unification variables).

We use s,  $s_1$ , ... for terms in  $\mathcal{F}_0$  and t,  $t_1$  ... for terms in  $\mathcal{H}_0$ .

# 2.2 Equational theories an unification

In order to specify unification we need to define the equational theory and substitution (unification-variable assignment).

2.2.1 Term equality:  $=_0$  and  $=_{\lambda}$ . For both languages we extend the equational theory over ground terms to the full language by adding the reflexivity of unification variables (a variable is equal to itself).

The first four rules are common to both equalities and define the usual congruence over terms. Since we use an HOAS encoding they also capture  $\alpha$ -equivalence. In addition to that = $_0$  has rules for  $\eta$  and  $\beta$ -equivalence.

```
type (=_o) fm -> o.
                                                                        (=_o)
fcon X =_{o} fcon X.
fapp A =_{\alpha} fapp B := forall2 (=_{\alpha}) A B.
flam F =_o flam G := pi x \setminus x =_o x => F x =_o G x.
fuva N =_{o} fuva N.
flam F =_o T :=
                                                                        (\eta_l)
  pi x beta T [x] (T' x), x =_o x \Rightarrow F x =_o T' x.
T =_o flam F :=
                                                                        (\eta_r)
  pi x\ beta T [x] (T' x), x =_{\alpha} x \Rightarrow T' x =_{\alpha} F x.
fapp [flam X | L] = _{o} T :- beta (flam X) L R, R = _{o} T. (\beta_{l})
T =_o fapp [flam X | L] :- beta (flam X) L R, T =_o R. (\beta_r)
type (=_{\lambda}) tm -> tm -> o.
con C =_{\lambda} fcon C.
app A =_{\lambda} fapp B :- forall2 (=_{\lambda}) A B.
lam F =_{\lambda} flam G :- \mathbf{pi} x\ x =_{\lambda} x => F x =_{\lambda} G x.
uva N A =_{\lambda} fuva N B :- forall2 (=_{\lambda}) A B.
```

The main point in showing these equality tests is to remark how weaker  $=_{\lambda}$  is, and to identify the four rules that need special treatment in the implementation of  $\simeq_{o}$ . For brevity we omit the code of beta: it is sufficient to know that "beta F L R" computes in R the weak head normal form of "app[F|L]".

*Substitution:*  $\rho s$  and  $\sigma t$ . We write  $\sigma = \{X \mapsto t\}$  for the substitution that assigns the term t to the variable X. We write  $\sigma t$  for the application of the substitution to a term t, and  $\sigma X = \{\sigma t \mid t \in X\}$  when X is a set of terms. We write  $\sigma \subseteq \sigma'$  when  $\sigma$  is more general than  $\sigma'$ . We shall use  $\rho$  for  $\mathcal{F}_0$  substitutions, and  $\sigma$  for the  $\mathcal{H}_0$  ones. For brevity, in this section we consider the substitution for  $\mathcal{F}_0$  and

 $\mathcal{H}_0$  identical. We defer to section 3.1 a more precise description pointing out theirs differences.

*Term unification:*  $\simeq_o vs. \simeq_\lambda$ . Although we provide an implementation of the meta-language unification  $\simeq_\lambda$  in the supplementary material (that we used for testing purposes) we only describe its signature here.

type 
$$(\simeq_{\lambda})$$
 tm -> tm -> subst -> o.

We write  $\sigma t_1 \simeq_{\lambda} \sigma t_2 \mapsto \sigma'$  when  $\sigma t_1$  and  $\sigma t_2$  unify with substitution  $\sigma'$ . Note that  $\sigma'$  is a refined (i.e. extended) version of  $\sigma$ . We write  $t_1 \simeq_{\lambda} t_2 \mapsto \sigma'$  when the initial substitution  $\sigma$  is empty. We write  $\mathcal{L}_{\lambda}$  as the set of terms that are in the pattern-fragment, i.e. every higher-order variable is applied to a list of distinct names.

The meta language of choice is expected to provide an implementation of  $\simeq_{\lambda}$  that satisfies the following properties:

$$\{t_1, t_2\} \subseteq \mathcal{L}_{\lambda} \Rightarrow t_1 \simeq_{\lambda} t_2 \mapsto \rho \Rightarrow \rho t_1 =_{\lambda} \rho t_2$$
 (1)

$$\{t_1, t_2\} \subseteq \mathcal{L}_{\lambda} \Rightarrow \rho t_1 =_{\lambda} \rho t_2 \Rightarrow \exists \rho', t_1 \simeq_{\lambda} t_2 \mapsto \rho' \wedge \rho' \subseteq \rho \quad (2)$$

Even if we provide an implementation of the object-language unification  $\simeq_0$  in section 3.7, our real goal is the simulation of an entire logic program.

### 2.3 The problem: logic-program simulation

We represent a logic program run in  $\mathcal{F}_0$  as a list steps of length  $\mathcal{N}$ .  $\mathbb{P}$  is a list of pair of terms, each pair correspond to a unification problem. At each step p we unify two terms  $\mathbb{P}_{p_l}$  and  $\mathbb{P}_{p_r}$  (the small l and r represent respectively the left and right elements extracted from  $P_p$ ).  $^1$  The composition of these steps starting from the empty substitution  $\rho_0$  produces the final substitution  $\rho_N$ , that is the result of the logic-program execution.

$$fstep(\mathbb{P}, p, \rho) \mapsto \rho'' \stackrel{def}{=} \rho \mathbb{P}_{p_l} \simeq_o \rho \mathbb{P}_{p_r} \mapsto \rho' \wedge \rho'' = \rho \cup \rho'$$
$$frun(\mathbb{P}, \mathcal{N}) \mapsto \rho_{\mathcal{N}} \stackrel{def}{=} \bigwedge_{p=1}^{\mathcal{N}} fstep(\mathbb{P}, p, \rho_{p-1}) \mapsto \rho_p$$

In order to simulate a  $\mathcal{F}_o$  logic program in  $\mathcal{H}_o$  we compile each  $\mathcal{F}_o$  term in  $\mathbb{P}$  into a  $\mathcal{H}_o$  term t. We write this translation  $\langle s \rangle \mapsto (t, m, l)$ . The implementation of the compiler is detailed in sections 3, 5 and 7, here we just point out that it additionally produce a variable mapping m and list of links l. The variable map connects unification variables in  $\mathcal{H}_o$  to variables in  $\mathcal{F}_o$  and is used to "decompile" the assignment,  $\langle \sigma, m, l \rangle^{-1} \mapsto \rho$ . Links are an accessory piece of information whose description is deferred to section 2.4.

We simulate each run in  $\mathcal{F}_o$  with a run in  $\mathcal{H}_o$  as follows.

 $hstep(\mathbb{T}, p, \sigma, \mathbb{L}) \mapsto (\sigma'', \mathbb{L}') \stackrel{def}{=}$ 

$$\sigma \mathbb{T}_{p_{l}} \simeq_{\lambda} \sigma \mathbb{T}_{p_{r}} \mapsto \sigma' \wedge \operatorname{progress}(\mathbb{L}, \sigma \cup \sigma') \mapsto (\mathbb{L}', \sigma'')$$

$$\operatorname{hrun}(\mathbb{P}, \mathcal{N}) \mapsto \rho_{\mathcal{N}} \stackrel{def}{=}$$

$$\mathbb{T} \times \mathbb{M} \times \mathbb{L}_{0} = \{(t, m, l) | s \in \mathbb{P}, \langle s \rangle \mapsto (t, m, l)\}$$

$$\wedge_{p=1}^{\mathcal{N}} \operatorname{hstep}(\mathbb{T}, p, \sigma_{p-1}, \mathbb{L}_{p-1}) \mapsto (\sigma_{p}, \mathbb{L}_{p})$$

$$\langle \sigma_{\mathcal{N}}, \mathbb{M}, \mathbb{L}_{\mathcal{N}} \rangle^{-1} \mapsto \rho_{\mathcal{N}}$$

By analogy with  $\mathbb{P}$ , we write  $\mathbb{T}_{p_l}$  and  $\mathbb{T}_{p_r}$  for the two  $\mathcal{H}_o$  terms being unified at step p, and we write  $\mathbb{T}_p$  for the set  $\{\mathbb{T}_{p_l}, \mathbb{T}_{p_r}\}$ .

<sup>&</sup>lt;sup>1</sup>If the same rule is used multiple times in a run we just consider as many copies as needed of the terms composing the rules, with fresh unification variables each time.

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hstep is made of two sub-steps: a call to the meta language unification and a check for progress on the set of links, that intuitively will compensate for the weaker equational theory honoured by  $\simeq_{\lambda}$ . hrun compiles all terms in  $\mathbb P$ , then executes each step and finally decompiles the solution. We claim:

Proposition 2.1 (Simulation).  $\forall \mathbb{P}, \forall \mathcal{N}, if \mathbb{P} \subseteq \mathcal{L}_{\lambda}$ 

$$\operatorname{frun}(\mathbb{P}, \mathcal{N}) \mapsto \rho_{\mathcal{N}} \Leftrightarrow \operatorname{hrun}(\mathbb{P}, \mathcal{N}) \mapsto \rho_{\mathcal{N}}$$

That is, the two executions give the same result. Moreover:

Proposition 2.2 (Simulation fidelity). In the context of hrun, if  $\mathbb{P} \subseteq \mathcal{L}_{\lambda}$  we have that  $\forall p \in 1 \dots \mathcal{N}$ ,

$$\mathsf{fstep}(\mathbb{P},p,\rho_{p-1}) \mapsto \rho_p \Leftrightarrow \mathsf{hstep}(\mathbb{T},p,\sigma_{p-1},\mathbb{L}_{p-1}) \mapsto (\sigma_p,\mathbb{L}_p)$$

In particular this property guarantees that a *failure* in the  $\mathcal{F}_0$  run is matched by a failure in  $\mathcal{H}_0$  at the same step. We consider this property very important from a practical point of view since it guarantees that the execution traces are strongly related and in turn this enables a user to debug a logic program in  $\mathcal{F}_0$  by looking at its execution trace in  $\mathcal{H}_0$ .

We also claim that hrun handles terms outside  $\mathcal{L}_{\lambda}$  in the following sense:

Proposition 2.3 (Fidelity recovery). In the context of hrun, if  $\rho_{p-1}\mathbb{P}_p \in \mathcal{L}_{\lambda}$  (even if  $\mathbb{P}_p \notin \mathcal{L}_{\lambda}$ ) then

$$fstep(\mathbb{P}, p, \rho_{p-1}) \mapsto \rho_p \Leftrightarrow hstep(\mathbb{T}, p, \sigma_{p-1}, \mathbb{L}_{p-1}) \mapsto (\sigma_p, \mathbb{L}_p)$$

In other words if the two terms involved in a step re-enter  $\mathcal{L}_{\lambda}$ , then hstep and fstep are again related, even if  $\mathbb{P} \not\subseteq \mathcal{L}_{\lambda}$  and hence proposition 2.2 does not apply.

This property has a practical relevance since in many logic programming implementations, including Elpi, the order in which unification problems are tackled does matter. The simplest example is the sequence  $F \simeq \lambda x.a$  and  $F \cdot a \simeq a$ : the second problem is not in  $\mathcal{L}_{\lambda}$  and has two unifiers, namely  $\sigma_1 = \{F \mapsto \lambda x.x\}$  and  $\sigma_2 = \{F \mapsto \lambda x.a\}$ . The first problem picks  $\sigma_2$  making the second problem re-enter  $\mathcal{L}_{\lambda}$ .

#### 2.4 The solution (in a nutshell)

A term s is compiled to a term t where every "problematic" sub term p is replaced by a fresh unification variable h with an accessory link that represents a suspended unification problem  $h \simeq_{\lambda} p$ . As a result  $\simeq_{\lambda}$  is "well behaved" on t, in the sense that it does not contradict  $=_{o}$  as it would otherwise do on the "problematic" sub-terms.

We now define "problematic" and "well behaved" more formally and we pick the  $\diamond$  symbol since it stands for "possibly" in modal logic and all problematic terms are characterized by some "uncertainty".

*Definition 2.4* ( $\Diamond \beta_0$ ).  $\Diamond \beta_0$  is the set of terms of the form  $X : x_1 \dots x_n$  such that  $x_1 \dots x_n$  are distinct names (of bound variables).

An example of term  $\diamond \beta_0$  is the application F-x. This term is problematic since the application node of its syntax tree cannot be used to justify a unification failure, i.e. by properly instantiating F the term head constructor may become a  $\lambda$ , or a constant or stay an application.

*Definition 2.5* ( $\Diamond \eta$ ).  $\Diamond \eta$  is the set of terms s such that  $\exists \rho, \rho s$  is an eta expansion.

An example of term s in  $\Diamond \eta$  is  $\lambda x.\lambda y.F.y.x$  since the substitution  $\rho = \{F \mapsto \lambda a.\lambda b.f.b.a\}$  makes  $\rho s = \lambda x.\lambda y.f.x.y$  that is the eta long form of f. This term is problematic since its leading  $\lambda$  abstraction cannot justify a unification failure against a constant f.

*Definition 2.6* ( $\Diamond \mathcal{L}_{\lambda}$ ).  $\Diamond \mathcal{L}_{\lambda}$  is the set of terms of the form  $X \cdot t_1 \dots t_n$  such that  $t_1 \dots t_n$  are not distinct names.

These terms are problematic for the very same reason terms in  $\Diamond \beta_0$  are, but cannot be handled directly by the unification of the meta language, that is only required to handle terms in  $\mathcal{L}_{\lambda}$ . Still, there exists a substitution  $\rho$  such that  $\rho s \in \mathcal{L}_{\lambda}$ .

We write  $\mathcal{P}(t)$  the set of sub-terms of t, and we write  $\mathcal{P}(X) = \bigcup_{t \in X} \mathcal{P}(t)$  when X is a set of terms.

Definition 2.7 (Well behaved set). Given a set of terms  $X \subseteq \mathcal{H}_o$ ,

$$\mathcal{W}(X) \Leftrightarrow \forall t \in \mathcal{P}(X), t \notin (\Diamond \beta_0 \cup \Diamond \eta \cup \Diamond \mathcal{L}_{\lambda})$$

We write W(t) as a short for  $W(\{t\})$ . We claim our compiler validates the following property:

Proposition 2.8 (*W*-enforcing). Given two terms  $s_1$  and  $s_2$ , if  $\exists \rho, \rho s_1 =_{\varrho} \rho s_2$ , then

$$\langle s_i \rangle \mapsto (t_i, m_i, l_i) \text{ for } i \in \{1, 2\} \Rightarrow t_1 \simeq_{\lambda} t_2 \mapsto \sigma$$

Note that the property holds for any substitution, it could be given by an oracle and not necessarily a most general one, and for any terms, in particular terms in  $\Diamond \beta_0 \cup \Diamond \eta \cup \Diamond \mathcal{L}_{\lambda}$ .

Proposition 2.9 (*W*-preservation).  $\forall \mathbb{T}, \forall \mathbb{L}, \forall p, \forall \sigma, \forall \sigma'$ 

$$\begin{split} \mathcal{W}(\sigma\mathbb{T}) \wedge \sigma\mathbb{T}_{p_l} &\simeq_{\lambda} \sigma\mathbb{T}_{p_r} \mapsto \sigma' \Rightarrow \mathcal{W}(\sigma'\mathbb{T}) \\ \mathcal{W}(\sigma\mathbb{T}) \wedge \operatorname{progress}(\mathbb{L}, \sigma) \mapsto (\_, \sigma') \Rightarrow \mathcal{W}(\sigma'\mathbb{T}) \end{split}$$

Proposition 2.9 is key to prove propositions 2.1 and 2.2: informally it says that the problematic terms moved on the side by the compiler are not put back by hstep, hence  $\simeq_{\lambda}$  can continue to operate properly. In sections 3, 5 and 7 we describe how the compiler recognizes terms in  $\diamond \beta_0$ ,  $\diamond \eta$  and  $\diamond \mathcal{L}_{\lambda}$  and how progress takes care of them preserving  $\mathcal{W}$  and granting propositions 2.1 to 2.3.

### 3 BASIC COMPILATION AND SIMULATION

### 3.1 Memory map (M) and substitution ( $\rho$ and $\sigma$ )

Unification variables are identified by a natural number that represents a memory addresses. The memory and its associated operations are described below:

```
kind addr type.
type addr nat -> addr.
typeabbrev (mem A) (list (option A)).

type set? addr -> mem A -> A -> o.
type unset? addr -> mem A -> o.
type assign addr -> mem A -> A -> mem A -> o.
type new mem A -> addr -> mem A -> o.
```

If a memory cell is none, then the corresponding unification variable is not set. assign sets an unset cell to the given value, while new finds the first unused address and sets it to none.

Since each occurrence of a  $\mathcal{H}_o$  unification variables has a scope, its assignment needs to be abstracted over it to enable the instantiation of the same assignment to different scopes. This is expressed

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by the inctx container, and in particular its abs binding constructor. On the contrary a solution to a  $\mathcal{F}_0$  variable is a plain term.

```
typeabbrev fsubst (mem fm).
kind inctx type -> type.
                                                        (⋅ ⊦ ⋅)
type abs (tm -> inctx A) -> inctx A.
type val A -> inctx A.
typeabbrev assignment (inctx tm).
typeabbrev subst (mem assignment).
```

We call fsubst the memory of  $\mathcal{F}_0$ , while we call subst the one of

The compiler establishes a mapping between variables of the two languages.

```
kind fvariable type.
type fv addr -> fvariable.
kind arity type.
type arity nat -> arity.
kind hvariable type.
type hv addr -> arity -> hvariable.
kind mapping type.
type (<->) fvariable -> hvariable -> mapping.
typeabbrev mmap (list mapping).
```

Each hvariable is stored in the mapping together with its arity so that the code of (*malloc*) below can preserve:

Invariant 1 (Unification-variable arity). Each variable A in  $\mathcal{H}_0$  has a (unique) arity N and each occurrence (uva A L) is such that L has length N.

```
type m-alloc fvariable -> hvariable -> mmap -> mmap ->
 subst -> subst -> o.
                                                  (malloc)
m-alloc Fv Hv M M S S :- mem M (Fv <-> Hv), !.
m-alloc Fv Hv M [Fv <-> Hv M] S S1 :- Hv = hv N _, new S N S1.
```

When a single fvariable occurs multiple times with different numbers of arguments the compiler generates multiple mappings for it, on a first approximation, and then makes the mapping bijective by introducing  $\eta$ -link; this detail is discussed in section 6.

Applying the substitution corresponds to dereferencing a term with respect to the memory. It is worth looking at the code fpr  $\mathcal{H}_o$ to remark how assignments are moved to the current scope, i.e. renaming the abs-bound variables with the names in the scope of the unification variable occurrence.

```
type deref subst -> tm -> tm -> o.
                                                         (\sigma t)
deref _ (con C) (con C).
deref S (app A) (app B) :- map (deref S) A B.
deref S (lam F) (lam G) :-
 pi x \cdot deref S x x \Rightarrow deref S (F x) (G x).
deref S (uva N L) R :- set? N S A,
 move A L T, deref S T R.
deref S (uva N A) (uva N B) :- unset? N S,
 map (deref S) A B.
```

Note that move strongly relies on invariant 1: the length of the arguments of all occurrences of a unification are the same. Hence they have the same type in the meta-level and the number of abs nodes in the assignment matches that length. In turn this grants that move never fails.

```
type move assignment -> list tm -> tm -> o.
move (abs Bo) [H|L] R :- move (Bo H) L R.
move (val A) [] A.
```

We write  $\sigma = \{ A_{xy} \mapsto y \}$  for the assignment «abs x\abs y\y » and  $\sigma = \{ A \mapsto \lambda x. \lambda y. y \}$  for «lam x\lam y\y ».

#### 3.2 Links ( $\mathbb{L}$ )

As we mentioned in section 2.4 the compiler replaces terms in  $\Diamond \eta$ ,  $\Diamond \beta_0$  and  $\Diamond \mathcal{L}_{\lambda}$  with fresh variables linked to the problematic terms. Terms in  $\Diamond \beta_0$  do not need a link since  $\mathcal{H}_o$  variables faithfully represent the problematic term thanks to their scope.

```
kind baselink type.
type link-eta tm -> tm -> baselink.
type link-llam tm -> tm -> baselink.
typeabbrev link (inctx baselink).
typeabbrev links (list link).
```

The right hand side of a link, the problematic term, can occur under binders. To accommodate this situation the compiler wraps baselink using the inctx container (see rule  $\cdot \vdash \cdot$ ).

Invariant 2 (Link left hand side of a suspended link is a variable.

New links are suspended by construction. If the left hand side variable is assigned during a step, then the link is considered for progress and possibly eliminated. This is discussed in section 5 and section 7.

When detailing examples we write links as equations between two terms under a context. The equality sign is subscripted with kind of baselink. For example  $x \vdash A_x = \mathcal{L}_{\lambda} F_x$  a corresponds to:

```
abs x\ val (link-llam (uva A [x]) (app[uva F [x],con "a"]))
```

#### Notational conventions

In sections 4 to 7, we use the following schema to represent the compilation of the list of  $\mathcal{F}_o$  problems  $\mathbb{P}$  into the  $\mathcal{H}_o$  problems  $\mathbb{T}$ .  $\mathbb{M}$ and  $\mathbb{L}$  are respectively the mapping and the link store.

We index each sub-problem, sub-mapping, sub-link with its position in the image starting from 1 and counting from left to right, top to bottom. For example,  $\mathbb{T}_2$  corresponds to the  $\mathcal{H}_o$  problem  $t_3 \simeq_{\lambda} t_4$ . The compiled version of each  $\mathbb{P}_i$  is represented by  $\mathbb{T}_i$ .

Moreover, to indicate the scope of a  $\mathcal{H}_0$  variable, we use that scope as subscript of the considered variable. For example,  $X_{xy}$  is the variable X having in scope x and y.

### 3.4 Compilation

## E:manca beta normal in entrata

The main task of the compiler is to recognize  $\mathcal{F}_o$  variables standing for functions and map them to higher order variables in  $\mathcal{H}_o$ .

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In order to bring back the substitution from  $\mathcal{H}_o$  to  $\mathcal{F}_o$  the compiler builds a "memory map" connecting the the kind of variables using routine (*malloc*).

The signature of the comp predicate below allows for the generation of links (suspended unification problems) that play no role in this section but play a major role in section 5 and section 7. With respect to section 2 the signature also allows for updates to the substitution.

```
type comp fm -> tm -> mmap -> mmap -> links -> links ->
  subst \rightarrow subst \rightarrow o.
comp (fcon C) (con C) M M L L S S.
comp (flam F) (lam F1) M1 M2 L1 L2 S1 S2 :-
                                                            (c_{\lambda})
  comp-lam F F1 M1 M2 L1 L2 S1 S2.
comp (fuva A) (uva B []) M1 M2 L L S1 S2 :-
  m-alloc (fv A) (hv B (arity z)) M1 M2 S1 S2.
comp (fapp A) (app A1) M1 M2 L1 L2 S1 S2 :-
                                                            (c_{@})
  fold6 comp A A1 M1 M2 L1 L2 S1 S2.
```

The code above uses that possibility in order to allocate space for the variables, i.e. sets their memory address to none (a details not worth mentioning in the previous sections). explain

```
type comp-lam (fm -> fm) -> (tm -> tm) ->
 mmap -> mmap -> links -> links -> subst -> o.
comp-lam F G M1 M2 L1 L3 S1 S2 :-
 pi x y\ (pi M L S\ comp x y M M L L S S) =>
   comp (F x) (G y) M1 M2 L1 (L2 y) S1 S2,
 close-links L2 L3.
```

In the code above the syntax  $pi \times y \setminus ...$  is syntactic sugar for iterated pi abstraction, as in pi x\ pi y\...

The auxiliary function close-links tests if the bound variable v really occurs in the link. If it is the case the link is wrapped into an additional abs node binding v. In this way links generated deep inside the compiled terms can be moved outside their original context of binders.

```
type close-links (tm -> links) -> links -> o.
close-links (v | [X | L | v]) [X|R] :- !, close-links L R.
close-links (v\[X\ v\]L\ v\]) [abs X[R] :- close-links L R.
close-links (_\[]) [].
```

Note that we could remove the first rule, whose solve purpose is to make links more readable by pruning unused context entries.

# 3.5 Execution

A step in  $\mathcal{H}_o$  consists in unifying two terms and reconsidering all links for progress. If any of the two tasks fail we say that the entire step fails, and it is at this granularity that we can relate steps in the two languages.

```
type hstep tm -> tm -> links -> links -> subst -> o.
hstep T1 T2 L1 L2 S1 S3 :-
  (T1 \simeq_{\lambda} T2) S1 S2,
  progress L1 L2 S2 S3.
```

Note that the infix notation ((A  $\simeq_{\lambda}$  B) C D) is syntactic sugar for  $((\simeq_{\lambda}) A B C D).$ 

Reconsidering links is a fixpoint, since the progress of a link can update the substitution and in turn enable another link to progress.

```
type progress links -> links -> subst -> o.
progress L L2 S1 S3 :-
  progress1 L L1 S1 S2,
  occur-check-links L1,
  if (L = L1, S1 = S2)
    (L2 = L1, S3 = S1)
    (progress L1 L2 S2 S3).
```

3.5.1 Progress. In the base compilation scheme progress1 is the identity on both the links and the substitution, so the fixpoint trivially terminates. Sections 5 and 7 add rules to progress1 and justify why the don't hinder termination. For brevity we omit the code that applies the substitution S1 to all terms in  $\mathbb{L}$ .

3.5.2 Occur check. Since compilation moves problematic terms out of the sigh of  $\simeq_{\lambda}$ , that procedure can only perform a partial occur check. For example the unification problem  $X \simeq_{\lambda} f Y$  cannot generate a cyclic substitution alone, but should be disallowed if a  $\mathbb{L}$  contains a link like  $\vdash Y =_{\eta} \lambda z. X_z$ : We don't know yet if Y will feature a lambda in head position, but we surely know it contains X, hence f Y and that fails the occur check. The procedure occur-check-links is in charge of ensuring that each link does not represent a (suspended) unification problem doomed to fail because of occur check. This check is needed in order to guarantee proposition 2.2 (SIMULATION FIDELITY).

# 3.6 Substitution decompilation

Decompiling the substitution involves three steps.

First and foremost problematic terms stored in  $\mathbb L$  have to be moved back into the game. Since links are of the form uvar = term (invariant 2 (LINK LEFT HAND SIDE)) and are duplicate free (see dedup-beta dedup-eta), one can turn a link X = t into an assignment  $X \mapsto t$ . This can in general be achieved by unifying X with t. The case where t is not in  $\mathcal{L}_{\lambda}$  (link beta/llam) is discussed in section xx.

The second step amounts at allocating new variables in the memory of  $\mathcal{F}_o$ . In particular some unif problems such as Fxy = Fxzrequires to allocate a variable G so that the assignment  $F_{ab} \mapsto G_a$ can be used to perform required pruning.

The last step amounts at decompiling each assignment. Decompiling a term is trivial. An assignment has an abs node, as in move, can be eliminated by replacing the bound variable by the actual term in scope. In order to do this, one needs the M to be a bijection. This is the job of section 6.

dire che però si passa per una subst in cui ste abs le cambio in lam. Nel codice Coq ci scrivevamo il tipo nella arity, e quindi sappiamo fare i lambda bene, senza perdita di informazione. Qui i lam non hanno info, facile. Ma in generale bisogna spiegare come ci si salva. Ci dormo su: o non generiamo la subst ma solo il primo termine (la query iniziale) istanziato (funziona sempre, la prova è quella sopra) oppure bisogna siegare tutto sto casino e serve un po' di spazio.

### 3.7 Definition of $\simeq_0$ and its properties

```
type (\simeq_o) fm -> fm -> fsubst -> o.
(A \simeq_o B) F :-
  comp A A' [] M1 [] [] [] S1,
```

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```
comp B B' M1 M2 [] [] S1 S2,
hstep A' B' [] [] S2 S3,
decompm M2 M2 S3 [] F.
```

The code given so far still makes no use of the higher order nature of the ML unif language, indeed the scope of unif variables generated by the compiler is always empty, so  $\simeq_{\lambda}$  is first order.

Still, if  $\mathbb{P}$  is already W, we can set up a proof that will also work when comp enforces W and hstep preserves it, and when terms in  $\mathcal{L}_{\lambda}$  are mapped to ho variables with a scope.

LEMMA 3.1 (COMPILATION ROUND TRIP). If comp S T [] M [] \_ [] \_

PROOF SKETCH. trivial if the mapping is a bijection and the terms are beta normal. some discussion about commit maybellam to be

LEMMA 3.2. Properties (1) and (2) hold for the implementation of  $\simeq_0$  above

PROOF SKETCH. In this setting  $=_{\lambda}$  is as strong as  $=_{o}$  on ground terms. What we have to show is that whenever two different  $\mathcal{F}_0$ terms can be made equal by a substitution  $\rho$  (plus the  $\beta_1$  and  $\beta_r$  if needed) we can find this  $\rho$  by finding a  $\sigma$  via  $\simeq_{\lambda}$  on the corresponding  $\mathcal{H}_0$  terms and by decompiling it. If we look at the  $\mathcal{F}_0$  terms is only one interesting cases:

• fuva  $X \simeq_{o} s$ . In this case after comp we have  $Y \simeq_{\lambda} t$  that succeeds with  $\sigma = \{Y \mapsto t\}$  and  $\sigma$  is decompiled to  $\rho =$ 

Since the mapping is a bijection occur check in  $\mathcal{H}_0$  corresponds to occur check in  $\mathcal{F}_0$ .

THEOREM 3.3 (FIDELITY IN W). Proposition 2.1 (SIMULATION) and proposition 2.2 (Simulation fidelity) hold

Proof sketch. Since progress1 is trivial fstep and hstep are the same, that is in this context where input terms are  $\beta\eta$ -normal and all is W,  $\simeq_{\lambda}$  is equivalent to  $\simeq_{o}$ .

### 4 HANDLING OF $\Diamond \beta_0$

A first problem we encounter when making unification between terms that are well behaved is the need to treat higher-order vari-

$$\begin{split} \mathbb{P} &= \{ \ \lambda x. (f \cdot (X \cdot x) \cdot a) \ \simeq_o \ \lambda x. (f \cdot x \cdot a) \ \} \\ \mathbb{T} &= \{ \ \lambda x. (f \cdot (A \cdot x) \cdot a) \ \simeq_\lambda \ \lambda x. (f \cdot x \cdot a) \ \} \\ \mathbb{M} &= \{ \ X \mapsto A^0 \ \} \end{split}$$

In the example above, we can note that the very basilar compilation given in the previous section is not able to make the  $\mathcal{H}_0$ unification problem succeeds. The unification of  $T_1$  fails while trying to unifying  $A \times x$  and x. This is due to the fact that  $A \times x$  (equivalent to app[uva A [], x]) is represented as the application of the variable A to the name x. In order to exploit the higher-order unification algorithm of the meta language, we need to compile the  $\mathcal{F}_0$  term X x into the  $\mathcal{H}_o$  term  $A_x$ .

# 4.1 Compilation and decompilation

In order to address this problem, we add the following rule before rule  $(c_{@})$ .

```
comp (fapp [fuva A|Ag]) (uva B Ag1) M1 M2 L L S1 S2 :-
  pattern-fragment Ag, !,
    fold6 comp Ag Ag1 M1 M1 L L S1 S1,
    len Ag Arity,
    m-alloc (fv A) (hv B (arity Arity)) M1 M2 S1 S2.
```

Note that compiling Ag cannot create new mappings nor links, since Ag is made of bound variables and the hypothetical rule loaded by comp-lam (see below) grants this property. Also note that this rule generates no links.

The only detail worth discussing is the fact that the procedure updates a substitution, rather than just crafting one as presented in section 2. The reason is that the algorithm folds over a term, updating a substitution while it traverses it.

### E:explain better

*Decompilation.* Since no link is created by the compilation of  $\Diamond \beta_0$ terms, no modification should be done to the commit-link predicate.

*Progress.* Similarly to decompilation, since no link is produced, no modification to the progress predicate is needed.

LEMMA 4.1. Properties (1) and (2) hold for the implementation of  $\simeq_0$  in section 3.7

PROOF SKETCH. If we look at the  $\mathcal{F}_0$  terms, the is one more case interesting cases:

• fapp[fuva X|L]  $\simeq_o$  s. In this case we have  $Y_{\vec{x}} \simeq_{\lambda} t$  that succeeds with  $\sigma = \{Y_{\vec{y}} \mapsto t[\vec{x}/\vec{y}]\}$  that in turn is decompiled to  $\rho = \{Y \mapsto \lambda \vec{y}.s[\vec{x}/\vec{y}]\}$ . Thanks to  $\beta_l(\lambda \vec{y}.s[\vec{x}/\vec{y}]) \vec{x} =_o s$ .

Lemma 4.2 (*W*-enforcement). Even if  $\mathbb{P} \cap \Diamond \beta_0 \neq \emptyset$ ,  $\mathbb{T} \cup \Diamond \beta_0 = \emptyset$ 

PROOF SKETCH. problematic terms are mapped to uva by comp, the problematic fapp node is gone.

Theorem 4.3 (Fidelity in  $\Diamond \beta_0$ ). Proposition 2.1 (Simulation) and proposition 2.2 (SIMULATION FIDELITY) hold

PROOF SKETCH. thanks to lemma 4.2 it is the same as in section 3, even if now we really need  $\simeq_{\lambda}$  to deal with  $\mathcal{L}_{\lambda}$ , while before a FO unif would have done. 

## 5 HANDLING OF $\Diamond \eta$

 $\eta$ -reduction is an equivalence relation where a term of the form  $\lambda x.t \cdot x$  can be converted to t any time x does not occur as a free variable in *t*. We call *t* the  $\eta$ -contraction of  $\lambda x.t.x$ .

Following the compilation scheme of section 3.4 the unification problem  $\mathbb{P}$  is compiled as follows:

$$\begin{split} \mathbb{P} &= \left\{ \begin{array}{l} \lambda x. X \cdot x \simeq_o f \end{array} \right\} \\ \mathbb{T} &= \left\{ \begin{array}{l} \lambda x. A_x \simeq_\lambda f \end{array} \right\} \\ \mathbb{M} &= \left\{ \begin{array}{l} X \mapsto A^1 \end{array} \right\} \end{split}$$

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While  $\lambda x.X.x \simeq_o f$  does admit the solution  $\rho = \{X \mapsto f\}$ , the corresponding problem in  $\mathbb T$  does not: lam x\ uva A [x] and con"f" start with different, rigid, term constructors hence  $\simeq_\lambda$  fails.

In order to guarantee proposition 2.1 we detect lambdas that can disappear by eta contraction (section 5.1) and we modify the compiled terms by putting fresh unification variables in their place: the problematic term is moved from  $\mathbb T$  to  $\mathbb L$  (section 5.2). The compilation of the problem  $\mathbb P$  above is refined to:

$$\begin{split} \mathbb{P} &= \{ \ \lambda x. X \cdot x \simeq_0 \ f \ \} \\ \mathbb{T} &= \{ \qquad A \simeq_\lambda f \ \} \\ \mathbb{M} &= \{ \ X \mapsto B^1 \ \} \\ \mathbb{L} &= \{ \ \vdash A =_\eta \ \lambda x. B_x \ \} \end{split}$$

As per invariant 2 the term on the left is a variable, and its right counterpart is the term in  $\Diamond \eta$ . That term has the following property:

Invariant 3 ( $\eta$ -link rhs). The rhs of any  $\eta$ -link has the shape  $\lambda x.t$  and t is not a lambda.

 $\eta$ -link are kept in the link store  $\mathbb L$  during execution and activated when some conditions hold on lhs or rhs. Link activation is implemented by extending the progress1 predicate (defined in section 3.5).

### 5.1 Detection of $\Diamond \eta$

When compiling a term t we need to determine if any subterm  $s \in \mathcal{P}(t)$  that is of the form  $\lambda x.r$ , where x occurs in r, can be a  $\eta$ -expansion, i.e. if there exists a substitution  $\rho$  such that  $\rho(\lambda x.r) =_{o} s$ . The detection of lambda abstractions that can "disappear" is not as trivial as it may seems, here a few examples:

```
\begin{array}{lll} \lambda x.f\cdot (A\cdot x) & \in \Diamond \eta & \rho = \{\ A \mapsto \lambda x.x\ \} \\ \lambda x.f\cdot (A\cdot x)\cdot x & \in \Diamond \eta & \rho = \{\ A \mapsto \lambda x.a\ \} \\ \lambda x.f\cdot x\cdot (A\cdot x) & \notin \Diamond \eta \\ \lambda x.\lambda y.f\cdot (A\cdot x)\cdot (B\cdot y\cdot x) & \in \Diamond \eta & \rho = \{\ A \mapsto \lambda x.x,\ B \mapsto \lambda y.\lambda x.y\ \} \end{array}
```

The first two examples are easy, and show how a unification variable can expose or erase a variable in their scope and turn the resulting term in an  $\eta$ -expansion or not.

The third example shows that when a variable occurs outside the scope of a unification variable it cannot be erased and can hence prevent a term from being an  $\eta$ -expansion.

The last example shows the recursive nature of the check we need to implement. The term starts with a spine of two lambdas hence the whole term is in  $\Diamond \eta$  iff the inner term  $\lambda y.f\cdot (A\cdot x)\cdot (B\cdot y\cdot x)$  is in  $\Diamond \eta$  itself. If it is, it could  $\eta$ -contract to  $f\cdot (A\cdot x)$  making  $\lambda x.f\cdot (A\cdot x)$  a potential  $\eta$ -expansion.

We can now define more formally how  $\Diamond \eta$  terms are detected together with its auxiliary functions:

*Definition 5.1* (may-contract-to). A  $\beta$ -normal term s may-contract-to a name x if there exists a substitution  $\rho$  such that  $\rho s =_0 x$ .

Lemma 5.2. A  $\beta$ -normal term  $s = \lambda x_1 \dots x_n$ .t may-contract-to x only if one of the following three conditions holds:

- (1) n = 0 and t = x;
- (2) t is the application of x to a list of terms l and each  $l_i$  may-contract-to  $x_i$  (e.g.  $\lambda x_1 \dots x_n x_1 \dots x_n = 0$  x);

(3) t is a unification variable with scope W, and for any  $v \in \{x, x_1 \dots x_n\}$ , there exists a  $w_i \in W$ , such that  $w_i$  may-contract-to v (if n = 0 this is equivalent to  $x \in W$ ).

PROOF SKETCH. Since our terms are in  $\beta$ -normal form there is only one rule that can play a role (namely  $\eta_I$ ), hence if the term s is not exactly x (case 1) it can only be an  $\eta$ -expansion of x, or a unification variable that can be assigned to x, or a combination of both. If s begins with a lambda, then the lambda can only disappear by  $\eta$  contraction. In that case the term t is under the spine of binders  $x_1 \dots x_n$ , t can either be x applied to terms that can may-contract-to these variables (case 2), or a unification variable that can be assigned to that application (case 3).

Definition 5.3 (occurs-rigidly). A name x occurs-rigidly in a  $\beta$ -normal term t, if  $\forall \rho, x \in \mathcal{P}(\rho t)$ 

In other words x occurs-rigidly in t if it occurs in t outside of the scope of a unification variable X, otherwise an instantiation of X can make x disappears from t. Moreover, note that  $\eta$ -contracting t cannot make x disappear, since x is not a locally bound variable inside t.

We can now derive the implementation for  $\Diamond \eta$  detection:

*Definition 5.4* (maybe-eta). Given a  $\beta$ -normal term  $s = \lambda x_1 \dots x_n . t$ , *maybe-eta s* holds if any of the following holds:

- (1) t is a constant or a name applied to the arguments  $l_1 \dots l_m$  such that  $m \ge n$  and for every i such that  $m n < i \le m$  the term  $l_i$  may-contract-to  $x_i$ , and no  $x_i$  occurs-rigidly in  $l_1 \dots l_{m-n}$ ;
- (2) t is a unification variable with scope W and for each  $x_i$  there exists a  $w_j \in W$  such that  $w_j$  may-contract-to  $x_i$ .

Lemma 5.5 ( $\Diamond \eta$  detection). If t is a  $\beta$ -normal term and maybeeta t holds, then  $t \in \Diamond \eta$ .

PROOF SKETCH. Follows from definition 5.3 and lemma 5.2 □

Remark that the converse of lemma 5.5 does not hold: there exists a term t satisfying the criteria (1) of definition 5.4 that is not in  $\Diamond \eta$ , i.e. there exists no substitution  $\rho$  such that  $\rho t$  is an  $\eta$ -expansion. A simple counter example is  $\lambda x.f\cdot (A\cdot x)\cdot (A\cdot x)$  since x does not occurrigidly in the first argument of f, and the second argument of f may-contract-to x. In other words  $A\cdot x$  may either use or discard x, but our analysis does not take into account that the same term cannot have two contrasting behaviors.

As we will see in the rest of this section this is not a problem since it does not break proposition 2.1 nor proposition 2.2.

### 5.2 Compilation and decompilation

Compilation. The following rule is inserted just before rule  $(c_{\lambda})$  from the code in section 3.4.

```
comp (flam F) (uva A Scope) M1 M2 L1 L3 S1 S3 :-
maybe-eta (flam F) [], !,
  alloc S1 A S2,
  comp-lam F F1 M1 M2 L1 L2 S2 S3,
  get-scope (lam F1) Scope,
  L3 = [val (link-eta (uva A Scope) (lam F1)) | L2].
```

this

W-

enforcing'

The rule triggers when the input term flam F is in  $\Diamond \eta$ . It compiles flam F to lam F1 but puts the fresh variable A in its place. This variable sees all the names free in lam F1. The critical part of this rule is the creation of the  $\eta$ -link, which relates the variable A with lam F1. This link clearly validates invariant 2.

COROLLARY 5.6. The rhs of any  $\eta$ -link has exactly one lambda abstraction, hence the rule above respects invariant 3.

PROOF SKETCH. By contradiction, suppose that the rule above is triggered and that the rhs of the link is  $\lambda x.\lambda y.t_{xy}$ . If  $maybe-eta\,\lambda y.t_{xy}$  holds the recursive call to comp (made by comp-lam) must have put a fresh variable in its place, so this case is impossible. Otherwise, if  $maybe-eta\,\lambda y.t_{xy}$  does not hold, also  $maybe-eta\,\lambda y.t_{xy}$  does not hold, contradicting the assumption that the rule triggered.  $\Box$ 

Decompilation. Decompilation of the remaining  $\eta$ -link (i.e. the  $\eta$ -link that have been activated) is performed by iterating over them and unifying lhs and rhs. Note that this unification never fails, since lhs is a flexible term not appearing in any other  $\eta$ -link (by definition 5.9).

### 5.3 Progress

 $\eta$ -link are meant to delay the unification of "problematic" terms until we know for sure if the term has to be  $\eta$ -contracted or not.

Definition 5.7 (progress- $\eta$ -left). A link  $\Gamma \vdash X =_{\eta} T$  is removed from  $\mathbb{L}$  when X becomes rigid. Let  $y \in \Gamma$ , there are two cases:

- (1) if X = a or X = y or  $X = f \cdot a_1 \dots a_n$  we unify the  $\eta$ -expansion of X with T, that is we run  $\lambda x.X \cdot x \simeq_{\lambda} T$
- (2) if  $X = \lambda x.t$  we run  $X \simeq_{\lambda} T$ .

Definition 5.8 (progress- $\eta$ -right). A link  $\Gamma \vdash X =_{\eta} T$  is removed from  $\mathbb{L}$  when either 1) maybe-eta T does not hold (anymore) or 2) by  $\eta$ -contracting T to T', T' is a term not starting with the lam constructor. In the first case, X is unified with T and in the second one, X is unified with T' (under the context  $\Gamma$ ).

There is a third case in which a link is removed from  $\mathbb{L}$ , namely when the lhs is assigned to a variable that is the lhs of another  $\eta$ -link.

Definition 5.9 (progress- $\eta$ -deduplicate). A link  $\Gamma \vdash X_{\vec{s}} =_{\eta} T$  is removed from  $\mathbb L$  when another link  $\Delta \vdash X_{\vec{r}} =_{\eta} T'$  is in  $\mathbb L$ . By invariant 1 the length of  $\vec{s}$  and  $\vec{r}$  is the same hence we can move the term T' from  $\Delta$  to  $\Gamma$  by renaming its bound variables, i.e.  $T'' = T'[\vec{r}/\vec{s}]$ . We then run  $T \simeq_{\lambda} T''$  (under the context  $\Gamma$ ).

LEMMA 5.10. Let  $\lambda x.t$  the rhs of a  $\eta$ -link, then W(t).

PROOF SKETCH. By construction, every "problematic" term in  $\mathcal{F}_0$  is replaced with a variable in the corresponding  $\mathcal{H}_o$  term. Therefore, t is  $\mathcal{W}$ .

Lemma 5.11. Given a  $\eta$ -link l, the unification done by progress- $\eta$ -left is between terms in  $\mathcal W$ 

PROOF SKETCH. Let  $\sigma$  be the substitution, which is  $\mathcal{W}(\sigma)$  (by proposition 2.9). lhs  $\in \sigma$ , therefore  $\mathcal{W}(\text{lhs})$ . By *progress-\eta-left*, if 1) lhs is a name, a constant or an application, then,  $\lambda x$ .lhs x is unified with rhs. By invariant 3 and lemma 5.10, rhs =  $\lambda x.t$  and  $\mathcal{W}(t)$ .

Otherwise, 2) lhs has lam as functor. In both cases, unification is performed between terms in W.

LEMMA 5.12. Given a  $\eta$ -link l, the unification done by progress- $\eta$ -right is between terms in W.

PROOF SKETCH. Ihs is variable, and, by definition 5.8, rhs is either no more a  $\Diamond \eta$ , i.e. rhs is not a  $\eta$ -expansion and, so,  $\mathcal{W}(\text{rhs})$ , otherwise, rhs can reduce to a term which cannot be a  $\eta$ -expansion, and, so,  $\mathcal{W}(\text{rhs})$ . In both cases, the unification between rhs and lhs is done between terms that are in  $\mathcal{W}$ .

Lemma 5.13. Given a  $\eta$ -link l, the unification done by progress- $\eta$ -deduplicate is between terms in W.

PROOF. The unification is done between the rhs of two  $\eta$ -link. Both rhs has the shape  $\lambda x.t$ , and by lemma 5.10,  $\mathcal{W}(t)$ . Therefore, the unification is done between well-behaved terms.

Lemma 5.14. The introduction of  $\eta$ -link guarantees proposition 2.9 (W-preservation)

PROOF SKETCH. By lemmas 5.11 to 5.13, every unification performed by the activation of a  $\eta$ -link is done between terms in  $\mathcal{W}$ , therefore, the substitution remains  $\mathcal{W}$ .

LEMMA 5.15. progress terminates.

PROOF SKETCH. Rules definitions 5.7 and 5.8 and definition 5.9 remove one link from  $\mathbb{L}$ , hence they cannot be applied indefinitely. Moreover each rule only relies on terminating operations such as  $\simeq_{\lambda}$ ,  $\eta$ -contraction,  $\eta$ -expansion, relocation (a recursive copy of a finite term).

Theorem 5.16 (Fidelity in  $\Diamond \eta$ ). Given a list of unification problems  $\mathbb{P}$ , such that  $\forall t, t \in \mathcal{P}(\mathbb{P}) \land t \notin \Diamond \mathcal{L}_{\lambda}$ , the introduction of  $\eta$ -link guarantees proposition 2.2 (SIMULATION FIDELITY). <sup>2</sup>

PROOF SKETCH. *progress-\eta-left* and *progress-\eta-deduplicate* activate a  $\eta$ -link when, in the original unification problem, a  $\Diamond \eta$  term is unified with respectively a well-behaved term or another  $\Diamond \eta$  term. In both cases, the links trigger a unification which succeeds iff the same unification in  $\mathcal{F}_0$  succeeds, guaranteeing proposition 2.2. *progress-\eta-right* never fails, in fact, this progression refines a variable to a rigid term and plays no role in proposition 2.2.

Example of progress-η-left. The example at the beginning of section 5, once  $\sigma = \{A \mapsto f\}$ , triggers progress-η-left since the link becomes  $\vdash f =_{\eta} \lambda x.B_x$  and the lhs is a constant. In turn the rule runs  $\lambda x.f \ x \simeq_{\lambda} \lambda x.B_x$ , resulting in  $\sigma = \{A \mapsto f ; B_x \mapsto f\}$ . Decompilation the generates  $\rho = \{X \mapsto f\}$ , since X is mapped to B and f is the η-contracted version of  $\lambda x.f \cdot x$ .

 $<sup>^2\</sup>mathrm{We}$  also suppose that any higher-order variable is always applied with the same number of arguments. This problem is addressed in section 6

*Example of* progress- $\eta$ -deduplicate. A very basic example of  $\eta$ -link deduplication, is given below:

$$\begin{split} \mathbb{P} &= \{ \ \lambda x.(X\cdot x) \simeq_o \ \lambda x.(Y\cdot x) \ \} \\ \mathbb{T} &= \{ \qquad A \simeq_\lambda C \qquad \} \\ \mathbb{M} &= \{ \ X \mapsto B^1 \quad Y \mapsto D^1 \ \} \\ \mathbb{L} &= \{ \ \mapsto A =_\eta \ \lambda x.B_X \quad \vdash C =_\eta \ \lambda x.D_X \ \} \end{split}$$

The result of  $A \simeq_{\lambda} C$  is that the two  $\eta$ -link share the same lhs. By unifying the two rhs we get  $\sigma = \{A \mapsto C, B \mapsto D \}$ . In turn, given the map  $\mathbb{M}$ , this second assignment is decompiled to  $\rho = \{X \mapsto Y\}$  as expected.

We delay at the end of next section an example of  $\eta$ -link progression due to  $progress-\eta$ -right

### MAKING M A BIJECTION

In section 3.1, we introduced the definition of "memory map" ( $\mathbb{M}$ ). This memory allows to decompile the  $\mathcal{H}_0$  terms back to the object language. It is the case that, while solving unification problems, a same unification variable X is used multiple times with different arities.

$$\begin{array}{lll} \mathbb{P} = \left\{ \begin{array}{lll} \lambda x.\lambda y.(X\cdot y\cdot x) & \simeq_{o} & \lambda x.\lambda y.x & \lambda x.(f\cdot (X\cdot x)\cdot x) & \simeq_{o} & Y \end{array} \right\} \\ \mathbb{T} = \left\{ \begin{array}{lll} A & \simeq_{\lambda} & \lambda x.\lambda y.x & D & \simeq_{\lambda} & F \end{array} \right\} \\ \mathbb{M} = \left\{ \begin{array}{lll} X \mapsto E^{1} & Y \mapsto F^{0} & X \mapsto C^{2} \end{array} \right\} \\ \mathbb{L} = \left\{ \begin{array}{lll} & \mapsto D & =_{\eta} & \lambda x.(f\cdot E_{X}\cdot x) & \mapsto A & =_{\eta} & \lambda x.B_{X} \\ x \mapsto B_{x} & =_{\eta} & \lambda y.C_{yx} \end{array} \right\} \end{array}$$

In the unification problems  $\mathbb{P}$  above, we see that X is used with arity 2 in  $\mathbb{P}_1$  and with arity 1 in  $\mathbb{P}_2$ . By invariant 1 (Unification-variable arity), we are not allowed to use a same  $\mathcal{H}_o$  variable to represent the two occurrences of X. If we execute hrun, we remark that the unification fails. There is in fact a major problem: hstep is not conscious of the connection between the variables C and E (both corresponding to X), since no link in  $\mathbb{L}$  puts C and E in relation and decompilation does not work properly if a  $\mathcal{F}_o$  variable is mapped to two distinct  $\mathcal{H}_o$  variables. The two main drawbacks connected to this situation are firstly the lost of proposition 2.2 (SIMULATION FIDELITY) and secondly, if we want to guarantee at least proposition 2.1 (SIMULATION), we should overcomplicate the decompilation phase. In order to ease the second drawback, we pose the following property:

PROPOSITION 6.1 ( $\mathbb{M}$  IS A BIJECTION). Given a list of unification problems  $\mathbb{P}$ , then the memory map  $\mathbb{M}$  compiled from  $\mathbb{P}$  is a bijection relating the  $\mathcal{F}_0$  and the  $\mathcal{H}_0$  variables.

We finally adjust the compiler's output with a  ${\tt map-deduplication}$  procedure.

Definition 6.2 (align-arity). Given two mappings  $m_1: X \mapsto A^m$  and  $m_2: X \mapsto C^n$  where m < n and d = n - m, align-arity  $m_1 m_2$  generates the following d links, one for each i such that  $0 \le i < d$ ,

$$x_0 \dots x_{m+i} \vdash B^i_{x_0 \dots x_{m+i}} =_{\eta} \lambda x_{m+i+1} . B^{i+1}_{x_0 \dots x_{m+i+1}}$$

where  $B^i$  is a fresh variable of arity m+i, and  $B^0=A$  as well as  $B^d=C$ .

The intuition is that we  $\eta$ -expand the occurrence of the variable with lower arity to match the higher arity. Since each  $\eta$ -link can add exactly one lambda, we need as many links as the difference between the two arities.

Definition 6.3 (map-deduplication). For all mappings  $m_1, m_2 \in \mathbb{M}$  such that  $m_1 : X \mapsto A^m$  and  $m_2 : X \mapsto C^n$  and m < n we remove  $m_1$  from  $\mathbb{M}$  and add to  $\mathbb{L}$  the result of align-arity  $m_1$   $m_2$ .

Theorem 6.4 (Fidelity with MAP-DEDUPLICATION). Given a list of unification problems  $\mathbb{P}$ , such that  $\forall t, t \in \mathcal{P}(\mathbb{P}) \Rightarrow W(t) \lor t \in \Diamond \eta$ , if  $\mathbb{P}$  contains two same  $\mathcal{F}_0$  variables with different arities, then map-deduplication guarantees proposition 2.2 (SIMULATION FIDELITY)

PROOF SKETCH. By the definition of *map-deduplication*, any two same  $\mathcal{F}_0$  variables  $X_1, X_2$  with different arities are related with  $\eta$ -link. If one of the two variables is instantiated, the corresponding  $\eta$ -link is triggered instantiating the related variable. This allows to make unification fail if  $X_1$  and  $X_2$  are unified with different terms. Finally, since  $\mathbb P$  contains only terms that are either  $\mathcal W$  or  $\Diamond \eta$ , by theorem 5.16, we can conclude the proof.

If we look back the example give at the beginning of this section, we can deduplicate  $X \mapsto E^1, X \mapsto C^2$  by removing the first mapping and adding the auxiliary  $\eta$ -link:  $x \vdash E_x =_{\eta} \lambda y.C_{xy}$ . After deduplication the compiler output is as follows:

$$\begin{split} \mathbb{P} &= \left\{ \begin{array}{l} \lambda x.\lambda y.(X\cdot y\cdot x) \simeq_o \ \lambda x.\lambda y.x & \lambda x.(f\cdot (X\cdot x)\cdot x) \simeq_o \ Y \ \right\} \\ \mathbb{T} &= \left\{ \begin{array}{l} A \simeq_\lambda \ \lambda x.\lambda y.x & D \simeq_\lambda \ F \ \right\} \\ \mathbb{M} &= \left\{ \begin{array}{l} Y \mapsto F^0 \quad X \mapsto C^2 \ \right\} \\ \mathbb{L} &= \left\{ \begin{array}{l} x \vdash E_X =_\eta \ \lambda y.C_{XY} & \vdash D =_\eta \ \lambda x.(f \cdot E_X \cdot x) \\ \vdash A =_\eta \ \lambda x.B_X & x \vdash B_X =_\eta \ \lambda y.C_{yX} \end{array} \right\} \end{split}$$

In this example,  $\mathbb{T}_1$  assigns A which triggers  $\mathbb{L}_3$  and then  $\mathbb{L}_4$  by  $progress-\eta-left$ .  $C_{yx}$  is therefore assigned to x (the second variable of its scope). We can finally see the  $progress-\eta-right$  of  $\mathbb{L}_1$ : its rhs is now  $\lambda y.y$  (the term  $C_{xy}$  reduces to y). Since it is no more in  $\Diamond \eta$ ,  $\lambda y.y$  is unified with  $E_x$ . After the execution of the remaining hstep, we obtain the following  $\mathcal{F}_0$  substitution  $\rho = \{X := \lambda x.\lambda y.y, Y := (f \cdot \lambda x.x)\}$ .

#### 7 HANDLING OF $\diamondsuit \mathcal{L}_{\lambda}$

In this section we suppose the unification of the object language between two terms  $t_1$  and  $t_2$  to fail each time at least one of the between  $t_1$  or  $t_2$  is outside  $\mathcal{L}_{\lambda}$ . This means for instance that  $X \neq_0 Y \cdot Z$  and  $X \cdot Y \neq_0 X \cdot Y$ .

In general, unification between  $\diamondsuit \mathcal{L}_{\lambda}$  terms admits more then one solution and committing one of them in the substitution does not guarantee property (2). For instance, X  $a \simeq_o a$  admits two different substitutions:  $\rho_1 = \{X \mapsto \lambda x.x\}$  and  $\rho_2 = \{X \mapsto \lambda_a.a\}$ . Prefer one over the other may break future unifications.

Given a list of unification problems,  $\mathbb{P}_1 \dots \mathbb{P}_n$  with  $\mathbb{P}_n$  in  $\diamondsuit \mathcal{L}_{\lambda}$ , it is often the case that the resolution of  $\bigwedge_{i=0}^{n-1} \mathbb{P}_i$  gives a partial substitution  $\rho$ , such that  $\rho \mathbb{P}_n$  falls again in  $\mathcal{L}_{\lambda}$ .

$$\mathbb{P} = \{ \ X \simeq_o \ \lambda x.a \qquad (X \cdot a) \simeq_o \ a \ \}$$
 
$$\mathbb{T} = \{ \ A \simeq_\lambda \ \lambda x.a \qquad (A \cdot a) \simeq_\lambda \ a \ \}$$
 
$$\mathbb{M} = \{ \ X \mapsto A^0 \ \}$$

In the example above, we see that  $\mathbb{P}_1$  instantiates X so that  $\mathbb{P}_2$  can be solved in  $\mathcal{L}_{\lambda}$ . On the other hand, we see that,  $\simeq_{\lambda}$  can't solve the compiled problems  $\mathbb{T}$ . In fact, the resolution of  $\mathbb{T}_1$  gives the substitution  $\sigma = \{A \mapsto \lambda x.a\}$ , but the dereferencing of  $\mathbb{T}_2$  gives the non-unifiable problem  $(\lambda x.a)$   $a \neq_{\lambda} a$ .

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To address this unification problem, term compilation must recognize and replace  $\diamond \mathcal{L}_{\lambda}$  terms with fresh variables. This replacement produces links that we call  $\mathcal{L}_{\lambda}$ -link.

 $\mathcal{L}_{\lambda}$ -link respects invariant 2 and the term on the rhs has the following property:

Invariant 4 ( $\mathcal{L}_{\lambda}$ -link rhs). The rhs of any  $\mathcal{L}_{\lambda}$ -link has the shape  $X_{s_1...s_n}$   $t_1 \dots t_m$  such that X is a unification variable with scope  $s_1 ldots s_n^3$  and  $t_1 ldots t_m$  is a list of terms. This is equivalent to app[uva X S | L], where  $S = s_1 \dots s_n$  and  $L = t_1 \dots t_m$ .

# 7.1 Compilation and decompilation

Detection of  $\diamond \mathcal{L}_{\lambda}$  is quite simple to implement in the compiler, since it is sufficient to detect applications with flexible head and argument that are not in  $\mathcal{L}_{\lambda}$ . The following rule for  $\Diamond \mathcal{L}_{\lambda}$  compilation is inserted just before rule  $(c_{@})$ .

```
comp (fapp [fuva A|Ag]) (uva B Scope) M1 M3 L1 L3 S1 S4 :- !,
 pattern-fragment-prefix Ag Pf Extra,
 len Pf Arity,
 alloc S1 B S2,
 m-alloc (fv A) (hv C (arity Arity)) M1 M2 S2 S3,
 fold6 comp Pf
                 Pf1
                         M2 M2 L1 L1 S3 S3,
 fold6 comp Extra Extra1 M2 M3 L1 L2 S3 S4,
 Beta = app [uva C Pf1 | Extra1],
 get-scope Beta Scope,
 L3 = [val (link-llam (uva B Scope) Beta) | L2].
```

The list Ag is split into the list Pf and Extra such that append Pf Extra A and Pf is the largest prefix of Ag such that Pf is in  $\mathcal{L}_{\lambda}$ . The rhs of the  $\mathcal{L}_{\lambda}$ -link is the application of a fresh variable C having in scope all the free variables appearing in the compiled version of Pf and Extra. The variable B, returned has the compiled term, is a fresh variable having in scope all the free variables occurring in Pf1 and Extra1. Note that this construction enforce invariant 4.

Corollary 7.1. Let  $X_{s_1...s_n}$   $t_1...t_m$  be the rhs of a  $\mathcal{L}_{\lambda}$ -link,

PROOF SKETCH. Assume we have a  $\mathcal{L}_{\lambda}$ -link, by contradiction, if m = 0, then the original  $\mathcal{F}_0$  term has the shape fapp[fuva M | Ag] where Ag is a list of distinct names (i.e. the list Extra is empty). This case is however captured by rule  $(c_{\lambda})$  (from section 3.4) and no  $\mathcal{L}_{\lambda}$ -link is produced which contradicts our initial assumption.  $\square$ 

Corollary 7.2. Let  $X_{s_1...s_n}$   $t_1...t_m$  be the rhs of a  $\mathcal{L}_{\lambda}$ -link, then  $t_1$  either appears in  $s_1 ldots s_n$  or it is not a name.

PROOF SKETCH. By construction, the lists  $s_1 \dots s_n$  and  $t_1 \dots t_m$ are built by splitting the list Ag from the original term fapp [fuva A|Ag].  $s_1 \dots s_n$  is the longest prefix of the compiled terms in Ag which is in  $\mathcal{L}_{\lambda}$ . Therefore, by definition of  $\mathcal{L}_{\lambda}$ ,  $t_1$  must appear in  $s_1 \dots s_n$ , otherwise  $s_1 \dots s_n$  is not the longest prefix in  $\mathcal{L}_{\lambda}$ , or it is a term with a constructor of tm as functor.

*Decompilation.* A failure is thrown if any  $\mathcal{L}_{\lambda}$ -link remains in  ${\mathbb L}$  at the begin of decompilation, i.e. all  ${\mathcal L}_\lambda$ –link should be solved before decompilation.

### 7.2 Progress

Given a  $\mathcal{L}_{\lambda}$ -link l of the form  $\Gamma \vdash T = \mathcal{L}_{\lambda} X_{s_1...s_n} \cdot t_1 \ldots t_m$ , we provide 4 different activation rules:

*Definition 7.3* (progress- $\mathcal{L}_{\lambda}$ -refine). Given a substitution  $\sigma$ , where  $\sigma t_1$  is a name, say t, and  $t \notin s_1 \dots s_n$ . If m = 0, then l is removed and lhs is unified with  $X_{s_1...s_n}$ . If m > 0, then l is replaced by a refined version  $\Gamma \vdash T = \mathcal{L}_{\lambda} Y_{s_1...s_n,t} t_2...t_m$  with reduced list of arguments and Y being a fresh variable. Moreover, the new link  $\Gamma \vdash X_{s_1...s_n} =_{\eta} \lambda x. Y_{s_1...s_n,x}$  is added to  $\mathbb{L}$ .

*Definition 7.4* (progress- $\mathcal{L}_{\lambda}$ -rhs). l is removed from  $\mathbb{L}$  if  $X_{s_1...s_n}$  is instantiated to a term t and the  $\beta$ -reduced term t' obtained from the application of t to  $l_1 \dots l_m$  is in  $\mathcal{L}_{\lambda}$ . Moreover, X is unified with

*Definition 7.5* (progress- $\mathcal{L}_{\lambda}$ -fail). If it exists a link  $l' \in \mathbb{L}$  with same lhs as l, or the lhs of l become rigid, then unification fail.

Lemma 7.6. progress terminates

Proof sketch. Let l a  $\mathcal{L}_{\lambda}$ -link in the store  $\mathbb{L}$ . If l is activated by *progress-L* $_{\lambda}$ -rhs, then it disappears from  $\mathbb L$  and progress terminates. Otherwise, the rhs of l is made by a variable applied to marguments. At each activation of progress- $\mathcal{L}_{\lambda}$ -refine, l is replaced by a new  $\mathcal{L}_{\lambda}$ -link  $l^1$  having m-1 arguments. At the  $m^{th}$  iteration, the  $\mathcal{L}_{\lambda}$ -link  $l^m$  has no more arguments and is removed from  $\mathbb{L}$ . Note that at the  $m^{th}$  iteration, m new  $\eta$ -link have been added to  $\mathbb{L}$ , however, by lemma 5.15, the algorithm terminates. Fignally progress- $\mathcal{L}_{\lambda}$ -fail also guarantees termination since it makes progress immediately fails.

E:funziona. per essere più precisi io parlerei di ordine lessicografico (tipico ordine ben fondato usato per dimostrare terminazione). Nl nostro caso è la tripla (argomenti extra dei beta, numero di beta, numero di eta).

Theorem 7.7 (Fidelity with  $\mathcal{L}_{\lambda}$ -link). The introduction of  $\mathcal{L}_{\lambda}$ -link guarantees proposition 2.2 (Simulation fidelity)

Proof sketch. Let  $\mathbb T$  a unification problem and  $\sigma$  a substitution such that  $\mathbb{T} \in \Diamond \mathcal{L}_{\lambda}$ . If  $\sigma \mathbb{T}$  is in  $\mathcal{L}_{\lambda}$ , then by definitions 7.3 and 7.4, the  $\mathcal{L}_{\lambda}$ -link associated to the subterm of  $\mathbb{T}$  have been solved and removed. The unification is done between terms in  $\mathcal{L}_{\lambda}$  and by theorem 5.16 fidelity is guaranteed. If  $\sigma \mathbb{T}$  is in  $\diamondsuit \mathcal{L}_{\lambda}$ , then, by ??, the unification fails, as per the corresponding unification in  $\mathcal{F}_o$ .  $\Box$ 

*Example of* progress- $\mathcal{L}_{\lambda}$ -refine. Consider the  $\mathcal{L}_{\lambda}$ -link below:

$$\begin{split} \mathbb{P} &= \left\{ \begin{array}{l} X \simeq_o \ \lambda x.x & \lambda x.(Y \cdot (X \cdot x)) \simeq_o f \end{array} \right\} \\ \mathbb{T} &= \left\{ \begin{array}{l} A \simeq_\lambda \ \lambda x.x & B \simeq_\lambda f \end{array} \right\} \\ \mathbb{M} &= \left\{ \begin{array}{l} Y \mapsto D^0 & X \mapsto A^0 \end{array} \right\} \\ \mathbb{L} &= \left\{ \begin{array}{l} + A =_\eta \ \lambda x.E_X & \vdash B =_\eta \ \lambda x.C_X \\ x \vdash C_X =_\beta \ (D \cdot E_X) \end{array} \right\} \end{split}$$

Initially the  $\mathcal{L}_{\lambda}$ -link rhs is a variable D applied to the  $E_x$ . The first unification problem results in  $\sigma = \{A \mapsto \lambda x.x\}$ . In turn this instantiation triggers  $\mathbb{L}_1$  by *progress-\eta-left* and  $E_x$  is assigned to x. Under this substitution the  $\mathcal{L}_{\lambda}$ -link becomes  $x \vdash C_x = \mathcal{L}_{\lambda}$  (D-x), and by *progress-L*<sub> $\lambda$ </sub>-refine it is replaced with the link:  $\vdash E =_{\eta} \lambda x.D_x$ , while  $C_x$  is unified with  $D_x$ . The second unification problem assigns

<sup>&</sup>lt;sup>3</sup>with  $s_1 \dots s_n$  that are distinct names

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f to B, that in turn activates the second  $\eta$ -link (f is assigned to C), and then all the remaining links are solved. The final  $\mathcal{H}_0$ substitution is  $\sigma = \{A \mapsto \lambda x. x, B \mapsto f, C_x \mapsto (f \cdot x), D \mapsto f, E_x \mapsto f \in A \}$  $x, F_x \mapsto C_x$  and is decompiled into  $\rho = \{X \mapsto \lambda x.x, Y \mapsto f\}$ . *Example of* progress- $\mathcal{L}_{\lambda}$ -rhs. We can take the example provided

in section 7. The problem is compiled into:

$$\mathbb{P} = \{ X \simeq_o \lambda x. Y \quad (X \cdot a) \simeq_o a \}$$

$$\mathbb{T} = \{ A \simeq_\lambda \lambda x. B \quad C \simeq_\lambda a \}$$

$$\mathbb{M} = \{ Y \mapsto B^0 \quad X \mapsto A^0 \}$$

$$\mathbb{L} = \{ \vdash C =_\beta (A \cdot a) \}$$

The first unification problems is solved by the substitution  $\sigma$  =  $\{A \mapsto \lambda x.B\}$ . The  $\mathcal{L}_{\lambda}$ -link becomes  $\vdash C = \mathcal{L}_{\lambda} \ ((\lambda x.B) \cdot a)$  whose rhs can be β-reduced to B. B is in  $\mathcal{L}_λ$  and is unified with C. The resolution of the second unification problem gives the final substitution  $\sigma = \{A \mapsto \lambda x.B, B \mapsto C, C \mapsto a\}$  which is decompiled into  $\rho = \{X \mapsto \lambda x.a, Y \mapsto a\}.$ 

# 7.3 Relaxing definition 7.5 (*PROGRESS-L* $_{\lambda}$ -FAIL)

Working with terms in  $\mathcal{L}_{\lambda}$  is sometime too restrictive [1]. There exists systems such as Teyjus [10] and  $\lambda$ Prolog [11] which delay the resolution of  $\diamond \mathcal{L}_{\lambda}$  unification problems if the substitution is not able to put them in  $\mathcal{L}_{\lambda}$ .

In this section we want to show how we can adapt the unification of the object language in the meta language by simply adding (or removing) rules to the progress predicate.

$$\mathbb{P} = \{ (X \cdot a) \simeq_{o} a \quad X \simeq_{o} \lambda x.a \}$$

In the example above,  $\mathbb{P}_1$  is in  $\diamondsuit \mathcal{L}_{\lambda}$ . If the object language delays the first unification problem waiting X to be be instantiated in a future unification, we can relax definition 7.5. Instead of failing because the lhs of the considered  $\mathcal{L}_{\lambda}$ -link l becomes rigid, we keep it in L until the head of its rhs also become rigid. In this case, since lhs and rhs have rigid heads, they can be unified just before removing l from  $\mathbb{L}$ . We can note that this rule trivially guarantees proposition 2.2 (Simulation fidelity). On the other hand, the occur check becomes partial: there exists  $\mathcal{L}_{\lambda}$ -link with a non-flexible lhs.

A second strategy to deal with problem that are in  $\diamond \mathcal{L}_{\lambda}$  is to make approximations. This is the case for example of the unification algorithm of Coq used in its type class solver [17]. The approximation consists in forcing a choice (among the others) when the unification problem is outside  $\mathcal{L}_{\lambda}$ . For instance, in  $X \circ b = Y \circ b$ , the last argument of the two terms is the same, therefore *Y* is assigned to Xa. Note that this is of course an approximation, since  $\sigma = \{X \mapsto \lambda x.Y, Y \mapsto \}$  is another valid substitution for the original problem. We stress the fact that, again, our unification procedure in the meta language can be accommodated for this new behavior: given a  $\mathcal{L}_{\lambda}$ -link, if lhs is not in  $\mathcal{L}_{\lambda}$ , then progress can try to align the rightmost arguments and unify the resulting heads.

Note that delaying unification outside  $\mathcal{L}_{\lambda}$  can leave  $\mathcal{L}_{\lambda}$ -link during the decompilation phase. Therefore, new rules to commit-links should be added accordingly.

cita teyjus (1) era 2nd order HO (huet's algorithm), teyjus 2 è llam ma sospende i disagreement pairs fuori da llam

#### **ACTUAL IMPLEMENTATION IN ELPI**

In this paper we show a minimized example. The full code is there. But we also have to code things in Coq-Elpi.

The main difference between the presentation in the previous sections and the actual implementation for Coq is that the main loop hrun is replaced by the one of Prolog that chains calls to the unification procedure. In order implement the store of links we resort to Elpi's CLP engine and use constraints (suspended goals) to represent links, and constraint handling rules to implement progress operations involving more than one link.

about the progress of 1 link:

```
link-eta L R :- suspend-condition L R Holes, !,
  declare_constraint (link-eta L R) Holes.
link-eta L R :-
  progress. \% e.g. L = R.
about the progress of 2 links:
constraint link-eta {
  rule (N1 ▶ G1 ?- link-eta (uvar X LX1) T1) % match
    / (N2 ⊳ G2 ?- link-eta (uvar X LX2) T2) % remove
    (relocate LX1 LX2 T2 T2')
                                             % condition
   <=> (N1 ▶ G1 ?- T1 = T2').
                                             % new goal
}
```

Remark how the invariant about uvar arity makes this easy, since LX1 and LX2 have the same length. Also note that N1 only contains the names of the first link (while relocate runs in the disjoint union) and Elpi ensures that T2' can live in N1.

### OTHER ENCODINGS AND RELATED WORK

One could ignore the similarity between  $\simeq_o$  and  $\simeq_\lambda$  and "just" describe the object language unification procedure in the meta language by crafting a unif routine and using it as follows in rule (r3):

```
decision X := unif X (all A x \land app [P, x]), finite A,
  pi x\ decision (app [P, x]).
```

This choice would underuse the logic programming engine provided by the meta language since, by removing any datum from the head of rules, indexing degenerates. Moreover the unification procedure unif programmed in the meta language is likely to be an order of magnitude slower than one that is built-in.

Another possibility is to avoid having the application and abstraction nodes in the syntax tree, and use the ones of meta language, as in the following:

```
finite (fin N).
decision (nfact N NF).
decision (all A \times \ P \times) :- finite A, pi \times \ decision (P \times \ ).
```

There are two reasons for dismissing this encoding. The first one is that in CIC it is not always possible to adopt it since the type system of the meta language is too weak to accommodate for the one of the object language. In CIC the lambda abstraction has to carry a type in order to make type checking decidable. Moreover CIC allows for functions with a variable arity, like the following example:

```
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```

```
Fixpoint arr T n := if n is S m then T \rightarrow arr T m else T. Definition sum n := arr arr n = arr n = arr Check sum 2 n = arr n = arr n = arr Check sum 3 n = arr n = a
```

The type system of the  $\lambda Prolog$  is too stringent to accept this terms. The second reason is that the CIC encoding provided by Elpi is used for meta programming (extending) the Coq system, hence it must accommodate the manipulation of terms that are now know in advance (not even defined in Coq) without using introspection primitives such as Prologs's functor and arg. In this sense constants have to live in an open world, like the string data type used in the examples so far.

In the literature we could find related encoding of the Calculus of Constructions [3]. The goal of that work was to exhibit a logic program performing proof checking in CC and hence relate the proof system of intuitionistic higher-order logic (that animates  $\lambda Prolog$  programs) with the Calculus of Constructions. The encoding is hence tailored toward a different goal, and utilizes three relations to represent the equational theory of CC. Section 6 contains a discussion about the use of the unification procedure of the meta language in presence of non ground goals, but the authors do not aim at exploiting it to the degree we want.

### 10 CONCLUSION

In this paper we show how to lift the meta language higher-order unification procedure to the object language. The resulting unification honours  $\eta\beta$ -equivalence for

Benefits: less work, reuse efficient ho unif (3x faster), indexing, Future: tabling and static analysis (reuse for ML again).

Very little is Coq specific. Applies to all OL that are not a subsystem of HOL, or for ML that are used for meta programming.

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#### **APPENDIX**

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This appendix contains the entire code described in this paper. The code can also be accessed at the URL: https://github.com/FissoreD/paper-ho

Note that (a infix b) c d de-sugars to (infix) a b c d. Explain builtin name (can be implemented by loading name after each pi)

### 11 THE MEMORY

```
kind addr type.
type addr nat -> addr.
typeabbrev (mem A) (list (option A)).
type set? addr -> mem A -> A -> o.
set? (addr A) Mem Val :- get A Mem Val.
type unset? addr -> mem A -> o.
unset? Addr Mem :- not (set? Addr Mem _).
type assign-aux nat -> mem A -> A -> mem A -> o.
assign-aux z (none :: L) Y (some Y :: L).
assign-aux (s N) (X :: L) Y (X :: L1) :- assign-aux N L Y L1.
type assign addr -> mem A -> A -> mem A -> o.
assign (addr A) Mem1 Val Mem2 :- assign-aux A Mem1 Val Mem2.
type get nat -> mem A -> A -> o.
get z (some Y :: _) Y.
get (s N) (_ :: L) X :- get N L X.
type alloc-aux nat -> mem A -> mem A -> o.
alloc-aux z [] [none] :- !.
alloc-aux z L L.
alloc-aux (s N) [] [none | M] :- alloc-aux N [] M.
alloc-aux (s N) [X | L] [X | M] :- alloc-aux N L M.
type alloc addr -> mem A -> mem A -> o.
alloc (addr A as Ad) Mem1 Mem2 :- unset? Ad Mem1,
 alloc-aux A Mem1 Mem2.
type new-aux mem A -> nat -> mem A -> o.
new-aux [] z [none].
new-aux [A | As] (s N) [A | Bs] :- new-aux As N Bs.
type new mem A -> addr -> mem A -> o.
new Mem1 (addr Ad) Mem2 :- new-aux Mem1 Ad Mem2.
```

# 12 THE OBJECT LANGUAGE

```
kind fm type.
type fapp list fm -> fm.
type flam (fm -> fm) -> fm.
type fcon string -> fm.
type fuva addr -> fm.

typeabbrev fsubst (mem fm).
```

```
1683
type fder fsubst -> fm -> o.
                                                                     1684
fder _ (fcon C) (fcon C).
                                                                     1685
fder S (fapp A) (fapp B) :- map (fder S) A B.
fder S (flam F) (flam G) :-
  pi x \land fder S x x \Rightarrow fder S (F x) (G x).
                                                                     1688
fder S (fuva N) R :- set? N S T, fder S T R.
                                                                     1689
fder S (fuva N) (fuva N) :- unset? N S.
                                                                     1690
                                                                     1691
type fderef fsubst -> fm -> o.
                                                          (\rho s)
                                                                     1692
fderef S T T2: - fder S T T1, napp T1 T2.
                                                                     1695
type (=_o) fm -> fm -> o.
                                                          (=_o)
                                                                     1696
fcon X =_{o} fcon X.
                                                                     1697
fapp A =_{o} fapp B := forall2 (=_{o}) A B.
                                                                     1698
flam F =_o flam G := pi x \setminus x =_o x \Rightarrow F x =_o G x.
                                                                     1699
fuva N =_{0} fuva N.
                                                                     1700
flam F =_{\alpha} T :=
                                                                     1701
                                                          (\eta_l)
  pi x \land beta T [x] (T' x), x =_o x \Rightarrow F x =_o T' x.
                                                                     1702
T =_{o} flam F :=
                                                          (\eta_r)
                                                                     1703
  pi x \ beta T [x] (T' x), x =_o x \Rightarrow T' x =_o F x.
                                                                     1704
fapp [flam X | L] =_{o} T :- beta (flam X) L R, R =_{o} T. (\beta_{l})
                                                                     1705
T =_{o} fapp [flam X | L] := beta (flam X) L R, <math>T =_{o} R. (\beta_{r})
type extend-subst fm -> fsubst -> fsubst -> o.
                                                                     1708
extend-subst (fuva N) S S' :- mem.alloc N S S'.
                                                                     1709
extend-subst (flam F) S S' :-
                                                                     1710
  pi x \ (pi S\extend-subst x S S) => extend-subst (F x) S S'.
extend-subst (fcon _) S S.
extend-subst (fapp L) S S1 :- fold extend-subst L S S1.
                                                                     1714
type beta fm -> list fm -> fm -> o.
                                                                     1715
beta A [] A.
                                                                     1716
beta (flam Bo) [H | L] R :- napp (Bo H) F, beta F L R.
                                                                     1717
beta (fapp A) L (fapp X) :- append A L X.
                                                                     1718
beta (fuva N) L (fapp [fuva N | L]).
                                                                     1719
beta (fcon H) L (fapp [fcon H | L]).
beta N L (fapp [N | L]) :- name N.
                                                                     1721
                                                                     1722
type napp fm -> fm -> o.
                                                                     1723
napp (fcon C) (fcon C).
                                                                     1724
napp (fuva A) (fuva A).
                                                                     1725
napp (fapp [fapp L1 |L2]) T :- !,
                                                                     1727
  append L1 L2 L3, napp (fapp L3) T.
                                                                     1728
napp (fapp L) (fapp L1) :- map napp L L1.
                                                                     1729
napp N N :- name N.
                                                                     1730
                                                                     1731
type beta-reduce fm -> fm -> o.
beta-reduce (uvar _ _) _ :- halt "Passed uvar to beta-reduce".
beta-reduce A A :- name A.
beta-reduce (fcon A) (fcon A).
                                                                     1735
beta-reduce (fuva A) (fuva A).
                                                                     1736
beta-reduce (flam A) (flam B) :-
                                                                     1737
  pi x\ beta-reduce (A x) (B x).
                                                                     1738
beta-reduce (fapp [flam B | L]) T2 :- !,
                                                                     1739
                                                                     1740
```

```
1741
           beta (flam B) L T1, beta-reduce T1 T2.
                                                                                  prune! N A N A S S :- !.
                                                                                                                                                        1799
1742
         beta-reduce (fapp L) (fapp L1) :-
                                                                                  prune! M A N A S1 S2 :- !, bind (uva M A) A Ass,
                                                                                                                                                        1800
1743
           map beta-reduce L L1.
                                                                                     assign N S1 Ass S2.
                                                                                                                                                        1801
                                                                                   /* prune different arguments */
1744
                                                                                                                                                        1802
1745
         type mk-app fm -> list fm -> fm -> o.
                                                                                  prune! N A1 N A2 S1 S3 :- !,
                                                                                                                                                        1803
         mk-app T L S :- beta T L S.
                                                                                     new S1 W S2, prune-same-variable W A1 A2 [] Ass,
1746
                                                                                                                                                        1804
                                                                                     assign N S2 Ass S3.
1747
                                                                                                                                                        1805
         type eta-contract fm -> fm -> o.
                                                                                   /* prune to the intersection of scopes */
1748
                                                                                                                                                        1806
1749
         eta-contract (fcon X) (fcon X).
                                                                                   prune! N A1 M A2 S1 S4 :- !,
                                                                                                                                                        1807
         eta-contract (fapp L) (fapp L1) :- map eta-contract L L1.
                                                                                     new S1 W S2, prune-diff-variables W A1 A2 Ass1 Ass2,
                                                                                                                                                        1808
         eta-contract (flam F) T :- eta-contract-aux [] (flam F) T.
                                                                                     assign N S2 Ass1 S3,
         eta-contract (flam F) (flam F1) :-
                                                                                     assign M S3 Ass2 S4.
                                                                                                                                                        1810
           pi x \le eta-contract x x \implies eta-contract (F x) (F1 x).
1753
                                                                                                                                                        1811
         eta-contract (fuva X) (fuva X).
                                                                                   type prune-same-variable addr -> list tm -> list tm ->
                                                                                                                                                        1812
1754
1755
         eta-contract X X :- name X.
                                                                                                               list tm -> assignment -> o.
                                                                                                                                                        1813
1756
                                                                                   prune-same-variable N [] [] ACC (val (uva N Args)) :-
                                                                                                                                                        1814
         type eta-contract-aux list fm -> fm -> o.
1757
                                                                                     rev ACC Args.
                                                                                                                                                        1815
1758
         eta-contract-aux L (flam F) T :-
                                                                                   prune-same-variable N [X|XS] [X|YS] ACC (abs F) :-
                                                                                                                                                        1816
1759
           pi x\ eta-contract-aux [x]L] (F x) T. % also checks H Prefix does muit x\empressee-variable N XS YS [x]ACC] (F x).
                                                                                                                                                        1817
         eta-contract-aux L (fapp [H|Args]) T :-
                                                                                   prune-same-variable N [_|XS] [_|YS] ACC (abs F) :-
1760
                                                                                                                                                        1818
           rev L LRev, append Prefix LRev Args,
                                                                                                                                                        1819
1761
                                                                                     pi x\ prune-same-variable N XS YS ACC (F x).
1762
            if (Prefix = []) (T = H) (T = fapp [H|Prefix]).
                                                                                                                                                        1820
1763
                                                                                   type permute list nat -> list tm -> list tm -> o.
                                                                                                                                                        1821
                                                                                   permute [] _ [].
                                                                                                                                                        1822
       13 THE META LANGUAGE
1765
                                                                                   permute [PIPS] Args [TITS] :-
         kind inctx type -> type.
                                                                     (⋅ ⊦ ⋅)
                                                                                                                                                        1824
1766
                                                                                     nth P Args T,
         type abs (tm -> inctx A) -> inctx A.
                                                                                     permute PS Args TS.
1767
                                                                                                                                                        1825
1768
         type val A -> inctx A.
                                                                                                                                                        1826
1769
         typeabbrev assignment (inctx tm).
                                                                                   type build-perm-assign addr -> list tm -> list bool ->
                                                                                                                                                        1827
         typeabbrev subst (mem assignment).
                                                                                                        list nat -> assignment -> o.
1770
                                                                                                                                                        1828
1771
                                                                                   build-perm-assign N ArgsR [] Perm (val (uva N PermutedArgs)) :-1829
1772
         kind tm type.
                                                                                    rev ArgsR Args, permute Perm Args PermutedArgs.
         type app list tm -> tm.
                                                                                  build-perm-assign N Acc [tt|L] Perm (abs T) :-
                                                                                                                                                        1831
1773
         type lam (tm \rightarrow tm) \rightarrow tm.
                                                                                     pi x\ build-perm-assign N [x|Acc] L Perm (T x).
                                                                                                                                                        1832
1774
1775
         type con string -> tm.
                                                                                   build-perm-assign N Acc [ff|L] Perm (abs T) :-
                                                                                                                                                        1833
1776
          type uva addr -> list tm -> tm.
                                                                                     pi x\ build-perm-assign N Acc L Perm (T x).
                                                                                                                                                        1834
                                                                                                                                                        1835
         type (\simeq_{\lambda}) tm -> tm -> subst -> o.
                                                                                   type keep list A -> A -> bool -> o.
                                                                                                                                                        1836
                                                                                   keep L A tt :- mem L A, !.
                                                                                                                                                        1837
          (con C \simeq_{\lambda} con C) S S.
          (app L1 \simeq_{\lambda} app L2) S S1 :- fold2 (\simeq_{\lambda}) L1 L2 S S1.
                                                                                  keep _ _ ff.
                                                                                                                                                        1838
1780
         (lam F1 \simeq_{\lambda} lam F2) S S1 :-
1781
                                                                                                                                                        1839
1782
           pi x\ (pi S\ (x \simeq_{\lambda} x) S S) => (F1 x \simeq_{\lambda} F2 x) S S1.
                                                                                  type prune-diff-variables addr -> list tm -> list tm ->
                                                                                                                                                        1840
          (uva N Args \simeq_{\lambda} T) S S1 :-
                                                                                                               assignment -> assignment -> o.
1783
                                                                                                                                                        1841
           set? N S F,!, move F Args T1, (T1 \simeq_{\lambda} T) S S1.
                                                                                  prune-diff-variables N Args1 Args2 Ass1 Ass2 :-
1784
                                                                                                                                                        1842
1785
         (T \simeq_{\lambda} uva N Args) S S1 :-
                                                                                     map (keep Args2) Args1 Bits1,
                                                                                                                                                        1843
1786
           set? N S F,!, move F Args T1, (T \simeq_{\lambda} T1) S S1.
                                                                                     map (keep Args1) Args2 Bits2,
                                                                                                                                                        1844
1787
          (uva M A1 \simeq_{\lambda} uva N A2) S1 S2 :- !,
                                                                                     filter Args1 (mem Args2) ToKeep1,
                                                                                                                                                        1845
1788
           pattern-fragment A1, pattern-fragment A2,
                                                                                     filter Args2 (mem Args1) ToKeep2,
                                                                                                                                                        1846
1789
           prune! M A1 N A2 S1 S2.
                                                                                     map (index ToKeep1) ToKeep1 IdPerm,
                                                                                                                                                        1847
          (uva N Args \simeq_{\lambda} T) S S1 :- not_occ N S T, pattern-fragment Args,
                                                                                     map (index ToKeep1) ToKeep2 Perm21,
                                                                                                                                                        1848
           bind T Args T1, assign N S T1 S1.
                                                                                     build-perm-assign N [] Bits1 IdPerm Ass1,
1792
          (T \simeq_{\lambda} uva N Args) S S1 :- not_occ N S T, pattern-fragment Args,
                                                                                     build-perm-assign N [] Bits2 Perm21 Ass2.
           bind T Args T1, assign N S T1 S1.
                                                                                                                                                        1851
1793
1794
                                                                                   type beta tm -> list tm -> tm -> o.
                                                                                                                                                        1852
1795
         type prune! addr -> list tm -> addr ->
                                                                                   beta A [] A :- !.
                                                                                                                                                        1853
                                                                                                                                                        1854
                      list tm -> subst -> subst -> o.
                                                                                   beta (lam Bo) [H | L] R :- beta (Bo H) L R1, beta-aux R1 R.
1796
1797
         /* no pruning needed */
                                                                                   beta (app A) L (app X) :- append A L X.
                                                                                                                                                        1855
1798
                                                                                                                                                        1856
                                                                            16
```

```
1857
         beta (con H) L (app [con H | L]).
                                                                                                                                                  1915
1858
         beta X L (app[X|L]) :- name X.
                                                                                                                                                  1916
1859
                                                                               type deref-assmt subst -> assignment -> o.
                                                                                                                                                  1917
         type beta-aux tm -> tm -> o.
                                                                               deref-assmt S (abs T) (abs R) :- pi x\ deref-assmt S (T x) (R x)918
1861
         beta-aux (app [HD|TL]) R :- !, beta HD TL R.
                                                                               deref-assmt S (val T) (val R) :- deref S T R.
         beta-aux A A.
1862
                                                                                                                                                  1920
                                                                                                                                                  1921
1863
                                                                             14 THE COMPILER
         /* occur check for N before crossing a functor */
1864
                                                                                                                                                  1922
1865
         type not_occ addr -> subst -> tm -> o.
                                                                               kind fvariable type.
                                                                                                                                                  1923
         not_occ N S (uva M Args) :- set? M S F,
                                                                               type fv addr -> fvariable.
                                                                                                                                                  1924
           move F Args T, not_occ N S T.
         not_occ N S (uva M Args) :- unset? M S, not (M = N),
                                                                               kind arity type.
           forall1 (not_occ_aux N S) Args.
                                                                               type arity nat -> arity.
                                                                                                                                                  1927
1869
                                                                               kind hvariable type.
1870
         not_occ _ _ (con _).
                                                                                                                                                  1928
1871
         not_occ N S (app L) :- not_occ_aux N S (app L).
                                                                               type hv addr -> arity -> hvariable.
                                                                                                                                                  1929
1872
         /* Note: lam is a functor for the meta language! */
                                                                                                                                                  1930
         not_occ N S (lam L) :- pi x\ not_occ_aux N S (L x).
1873
                                                                               kind mapping type.
                                                                                                                                                  1931
1874
         not_occ _ _ X :- name X.
                                                                               type (<->) fvariable -> hvariable -> mapping.
                                                                                                                                                  1932
         /* finding N is ok */
                                                                               typeabbrev mmap (list mapping).
                                                                                                                                                  1933
1875
         not_occ N _ (uva N _).
                                                                                                                                                  1934
1876
                                                                               typeabbrev scope (list tm).
                                                                                                                                                  1935
1877
1878
         /* occur check for X after crossing a functor */
                                                                               typeabbrev inctx ho.inctx.
                                                                                                                                                  1936
1879
         type not_occ_aux addr -> subst -> tm -> o.
                                                                               kind baselink type.
                                                                                                                                                  1937
         not_occ_aux N S (uva M _) :- unset? M S, not (N = M).
                                                                               type link-eta tm -> tm -> baselink.
                                                                                                                                                  1938
         not_occ_aux N S (uva M Args) :- set? M S F,
                                                                               type link-llam tm -> tm -> baselink.
                                                                               typeabbrev link (inctx baselink).
           move F Args T, not_occ_aux N S T.
         not_occ_aux N S (app L) :- forall1 (not_occ_aux N S) L.
                                                                               typeabbrev links (list link).
                                                                                                                                                  1941
1883
1884
         not_occ_aux N S (lam F) :- pi x\ not_occ_aux N S (F x).
                                                                                                                                                  1942
1885
         not_occ_aux _ _ (con _).
                                                                               macro @val-link-eta T1 T2 :- ho.val (link-eta T1 T2).
                                                                                                                                                  1943
         not_occ_aux _ _ X :- name X.
                                                                               macro @val-link-llam T1 T2 :- ho.val (link-llam T1 T2).
1886
                                                                                                                                                  1944
1887
         /* finding N is ko, hence no rule */
                                                                                                                                                  1946
1888
         /* copy T T' vails if T contains a free variable, i.e. it
                                                                               type get-lhs link -> tm -> o.
                                                                                                                                                  1947
1889
            performs scope checking for bind */
                                                                               get-lhs (val (link-llam A _)) A.
                                                                                                                                                  1948
1890
1891
         type copy tm \rightarrow tm \rightarrow o.
                                                                               get-lhs (val (link-eta A _)) A.
                                                                                                                                                  1949
         copy (con C)
                      (con C).
                                                                                                                                                  1950
                                                                               type get-rhs link -> tm -> o.
         copy (app L)
                        (app L') :- map copy L L'.
                                                                                                                                                  1951
         copy (lam T)
                        (lam T') := pi x copy x x => copy (T x) (T' x).
                                                                               get-rhs (val (link-llam _ A)) A.
         copy (uva A L) (uva A L') :- map copy L L'.
                                                                               get-rhs (val (link-eta _ A)) A.
                                                                                                                                                  1954
1896
         type bind tm -> list tm -> assignment -> o.
1897
                                                                                                                                                  1955
1898
         bind T [] (val T') :- copy T T'.
                                                                               type occurs-rigidly fm -> fm -> o.
                                                                                                                                                  1956
         bind T [X | TL] (abs T') :- pi x \land copy X x \Rightarrow bind T TL (T' x).
                                                                                                                                                  1957
1899
                                                                               occurs-rigidly N N.
                                                                               occurs-rigidly _ (fapp [fuva _|_]) :- !, fail.
                                                                                                                                                  1958
         type deref subst -> tm -> tm -> o.
                                                                               occurs-rigidly N (fapp L) :- exists (occurs-rigidly N) L.
1901
                                                                 (\sigma t)
         deref _ (con C) (con C).
                                                                               occurs-rigidly N (flam B) :- pi x\ occurs-rigidly N (B x).
                                                                                                                                                  1960
1902
         deref S (app A) (app B) :- map (deref S) A B.
                                                                                                                                                  1961
1903
1904
         deref S (lam F) (lam G) :-
                                                                               type reducible-to list fm -> fm -> o.
                                                                                                                                                  1962
1905
           pi x \cdot deref S x x \Rightarrow deref S (F x) (G x).
                                                                               reducible-to _ N N :- !.
                                                                                                                                                  1963
         deref S (uva N L) R :- set? N S A,
                                                                               reducible-to L N (fapp[fuva _|Args]) :- !,
           move A L T, deref S T R.
                                                                                 forall1 (x\ exists (reducible-to [] x) Args) [N|L].
         deref S (uva N A) (uva N B) :- unset? N S,
                                                                               reducible-to L N (flam B) :- !,
1908
           map (deref S) A B.
                                                                                 pi x\ reducible-to [x | L] N (B x).
                                                                                                                                                  1967
1909
1910
                                                                               reducible-to L N (fapp [N|Args]) :-
                                                                                                                                                  1968
1911
         type move assignment -> list tm -> tm -> o.
                                                                                 last-n {len L} Args R,
                                                                                                                                                  1969
         move (abs Bo) [H|L] R :- move (Bo H) L R.
                                                                                 forall2 (reducible-to []) R {rev L}.
                                                                                                                                                  1970
1912
1913
         move (val A) [] A.
                                                                                                                                                  1971
1914
                                                                         17
```

```
1973
         type maybe-eta fm -> list fm -> o.
                                                                    (\Diamond \eta)
1974
         maybe-eta (fapp[fuva _|Args]) L :- !,
1975
           forall1 (x\ exists (reducible-to [] x) Args) L, !.
         maybe-eta (flam B) L := !, pi \times maybe-eta (B \times) [x \mid L].
1977
         maybe-eta (fapp [T[Args]) L :- (name T; T = fcon _),
            split-last-n {len L} Args First Last,
1978
           none (x\ exists (y\ occurs-rigidly x y) First) L,
1979
            forall2 (reducible-to []) {rev L} Last.
1980
                                                                                        len Ag Arity.
1981
         type locally-bound tm -> o.
         type get-scope-aux tm -> list tm -> o.
                                                                                      len Pf Arity.
         get-scope-aux (con _) [].
                                                                                      alloc S1 B S2.
1985
         get-scope-aux (uva _ L) L1 :-
1986
1987
           forall2 get-scope-aux L R,
1988
           flatten R L1.
         get-scope-aux (lam B) L1 :-
1989
           pi x \setminus locally-bound x \Rightarrow get-scope-aux (B x) L1.
                                                                                      get-scope Beta Scope,
         get-scope-aux (app L) L1 :-
1991
           forall2 get-scope-aux L R,
1992
            flatten R L1.
1993
1994
         get-scope-aux X [X] :- name X, not (locally-bound X).
1995
         get-scope-aux X [] :- name X, (locally-bound X).
         type names1 list tm -> o.
         names1 L :-
1999
           names L1.
2000
           new_int N,
2001
           if (1 is N mod 2) (L1 = L) (rev L1 L).
                                                                                      mmap -> mmap ->
                                                                                     links -> links ->
2002
2003
         type get-scope tm -> list tm -> o.
                                                                                      subst -> subst -> o.
         get-scope T Scope :-
2004
           get-scope-aux T ScopeDuplicata,
2005
           undup ScopeDuplicata Scope.
2006
2007
          type rigid fm -> o.
         rigid X :- not (X = fuva _).
2010
         type comp-lam (fm \rightarrow fm) \rightarrow (tm \rightarrow tm) \rightarrow
                                                                                    type compile-terms
           mmap -> mmap -> links -> links -> subst -> subst -> o.
2011
         comp-lam F G M1 M2 L1 L3 S1 S2 :-
2012
           pi x y\ (pi M L S\ comp x y M M L L S S) =>
2013
2014
              comp (F x) (G y) M1 M2 L1 (L2 y) S1 S2,
            close-links L2 L3.
2015
2016
2017
         type close-links (tm -> links) -> links -> o.
         close-links (v\setminus[X \mid L \mid v]) [X|R] :- !, close-links L R.
2018
         close-links (v\setminus[X\ v\mid L\ v]) [abs X|R] :- close-links L R.
2019
2020
         close-links (_\[]) [].
2021
         type comp fm \rightarrow tm \rightarrow mmap \rightarrow links \rightarrow links \rightarrow
            subst -> subst -> o.
         comp (fcon C) (con C) M M L L S S.
         comp (flam F) (uva A Scope) M1 M2 L1 L3 S1 S3 :-
2024
           maybe-eta (flam F) [], !,
2025
2026
              alloc S1 A S2,
2027
              comp-lam F F1 M1 M2 L1 L2 S2 S3,
              get-scope (lam F1) Scope,
2028
                                                                                      close-links L1 L2,
              L3 = [val (link-eta (uva A Scope) (lam F1)) | L2].
                                                                                      L = [val (link-eta (uva Ad1 Scope) T2) | L2].
```

```
comp (flam F) (lam F1) M1 M2 L1 L2 S1 S2 :-
                                                          (c_{\lambda})
                                                                  2031
  comp-lam F F1 M1 M2 L1 L2 S1 S2.
                                                                  2032
comp (fuva A) (uva B []) M1 M2 L L S1 S2 :-
                                                                  2033
  m-alloc (fv A) (hv B (arity z)) M1 M2 S1 S2.
                                                                  2034
comp (fapp [fuva A|Ag]) (uva B Ag1) M1 M2 L L S1 S2 :-
                                                                  2036
  pattern-fragment Ag, !,
    fold6 comp Ag Ag1 M1 M1 L L S1 S1,
                                                                  2037
                                                                  2038
    m-alloc (fv A) (hv B (arity Arity)) M1 M2 S1 S2.
                                                                  2039
comp (fapp [fuva A[Ag]) (uva B Scope) M1 M3 L1 L3 S1 S4 :- !,
  pattern-fragment-prefix Ag Pf Extra,
                                                                  2043
  m-alloc (fv A) (hv C (arity Arity)) M1 M2 S2 S3,
                                                                  2044
  fold6 comp Pf Pf1 M2 M2 L1 L1 S3 S3,
                                                                  2045
  fold6 comp Extra Extra1 M2 M3 L1 L2 S3 S4,
                                                                  2046
  Beta = app [uva C Pf1 | Extra1],
                                                                  2047
                                                                  2048
  L3 = [val (link-llam (uva B Scope) Beta) | L2].
                                                                  2049
comp (fapp A) (app A1) M1 M2 L1 L2 S1 S2 :-
                                                                  2050
                                                          (c_{@})
  fold6 comp A A1 M1 M2 L1 L2 S1 S2.
                                                                  2051
                                                                  2052
type alloc mem A -> addr -> mem A -> o.
                                                                  2053
alloc S N S1 :- mem.new S N S1.
                                                                  2054
type compile-terms-diagnostic
                                                                  2056
  triple diagnostic fm fm ->
                                                                  2057
  triple diagnostic tm tm ->
                                                                  2058
                                                                  2059
                                                                  2060
compile-terms-diagnostic (triple D FO1 FO2) (triple D HO1 HO2) MM2M3 L1
  fo.beta-reduce F01 F01',
                                                                  2063
  fo.beta-reduce FO2 FO2'.
                                                                  2064
  comp F01' H01 M1 M2 L1 L2 S1 S2,
                                                                  2065
  comp F02' H02 M2 M3 L2 L3 S2 S3.
                                                                  2066
                                                                  2067
  list (triple diagnostic fm fm) ->
  list (triple diagnostic tm tm) ->
                                                                  2070
  mmap -> links -> subst -> o.
                                                                  2071
compile-terms T H M L S :-
                                                                  2072
  fold6 compile-terms-diagnostic T H [] M_ [] L_ [] S_,
                                                                  2073
  print-compil-result T H L_ M_,
                                                                  2074
  deduplicate-map M_ M S_ S L_ L.
                                                                  2075
                                                                  2076
type make-eta-link-aux nat -> addr -> addr ->
                                                                  2077
  list tm -> links -> subst -> o.
                                                                  2078
make-eta-link-aux z Ad1 Ad2 Scope1 L H1 H1 :-
                                                                  2079
  rev Scope1 Scope, eta-expand (uva Ad2 Scope) T1,
                                                                  2080
  L = [val (link-eta (uva Ad1 Scope) T1)].
make-eta-link-aux (s N) Ad1 Ad2 Scope1 L H1 H3 :-
  rev Scope1 Scope, alloc H1 Ad H2,
                                                                  2083
  eta-expand (uva Ad Scope) T2,
                                                                  2084
                                                                  2085
  (pi x\ make-eta-link-aux N Ad Ad2 [x|Scope1] (L1 x) H2 H3),
                                                                  2086
                                                                  2087
```

```
2089
                                                                                                                                               2147
2090
         type make-eta-link nat -> nat -> addr -> addr ->
                                                                              type arity ho.tm -> nat -> o.
                                                                                                                                               2148
2091
                 list tm -> links -> subst -> o.
                                                                              arity (ho.con _) z.
                                                                                                                                                2149
         make-eta-link (s N) z Ad1 Ad2 Vars L H H1 :-
                                                                              arity (ho.app L) A :- len L A.
                                                                                                                                                2150
2093
           make-eta-link-aux N Ad2 Ad1 Vars L H H1.
                                                                                                                                                2151
         make-eta-link z (s N) Ad1 Ad2 Vars L H H1 :-
                                                                              type occur-check-err ho.tm -> ho.tm -> ho.subst -> o.
                                                                                                                                                2152
2094
           make-eta-link-aux N Ad1 Ad2 Vars L H H1.
2095
                                                                              occur-check-err (ho.con _) _ _ :- !.
                                                                                                                                                2153
         make-eta-link (s N) (s M) Ad1 Ad2 Vars Links H H1 :-
                                                                              occur-check-err (ho.app \_) \_ :- !.
                                                                                                                                               2154
2096
2097
           (pi x\ make-eta-link N M Ad1 Ad2 [x|Vars] (L x) H H1),
                                                                              occur-check-err (ho.lam _) _ _ :- !.
                                                                                                                                                2155
           close-links L Links.
                                                                              occur-check-err (ho.uva Ad _) T S :-
                                                                                                                                                2156
                                                                                not (ho.not_occ Ad S T).
                                                                                                                                                2157
         type deduplicate-map mmap -> mmap ->
2100
             subst -> subst -> links -> links -> o.
                                                                              type progress-beta-link-aux ho.tm -> ho.tm ->
                                                                                                                                                2159
2101
         deduplicate-map [] [] H H L L.
                                                                                      ho.subst -> ho.subst -> links -> o.
2102
                                                                                                                                                2160
2103
         deduplicate-map [((fv 0 <-> hv M (arity LenM)) as X1) | Map1] Map2pHbgHbgHbeSs-bbeBa:-link-aux T1 T2 S1 S2 [] :- is-in-pf T2, !,
                                                                                                                                                2161
2104
           take-list Map1 ((fv 0 <-> hv M' (arity LenM'))) _, !,
                                                                                (T1 == 1 T2) S1 S2.
                                                                                                                                                2162
           std.assert! (not (LenM = LenM')) "Deduplicate map, there is a bugprpgress-beta-link-aux T1 T2 S S [@val-link-llam T1 T2] :-!.
2105
2106
           print "arity-fix links:" {ppmapping X1} "~!~" {ppmapping ((fv 0 <-> hv M' (arity LenM')))},
           make-eta-link LenM LenM' M M' [] New H1 H2.
                                                                              type progress-beta-link ho.tm -> ho.tm -> ho.subst ->
2107
           print "new eta link" {pplinks New},
                                                                                    ho.subst -> links -> o.
2108
                                                                                                                                                2166
           append New L1 L2.
                                                                              progress-beta-link T (ho.app[ho.uva V Scope | L] as T2) S S2 [@val-link-
2109
2110
           deduplicate-map Map1 Map2 H2 H3 L2 L3.
                                                                                arity T Arity, len L ArgsNb, ArgsNb >n Arity, !,
2111
         deduplicate-map [A|As] [A|Bs] H1 H2 L1 L2 :-
                                                                                minus ArgsNb Arity Diff, mem.new S V1 S1,
                                                                                                                                                2169
           deduplicate-map As Bs H1 H2 L1 L2, !.
                                                                                eta-expand (ho.uva V1 Scope) Diff T1,
                                                                                                                                                2170
         deduplicate-map [A|_] \_ H \_ \_ :-
2113
                                                                                ((ho.uva V Scope) == 1 T1) S1 S2.
                                                                                                                                                2171
           halt "deduplicating mapping error" {ppmapping A} {ho.ppsubst H}.
2114
                                                                              progress-beta-link (ho.uva _ _ as T) (ho.app[ho.uva Ad1 Scope1 41781] as
2115
2116
                                                                                append Scope1 L1 Scope1L,
                                                                                                                                                2174
       15 THE PROGRESS FUNCTION
2117
                                                                                pattern-fragment-prefix Scope1L Scope2 L2,
                                                                                                                                                2175
         macro @one :- s z.
                                                                                not (Scope1 = Scope2), !,
2118
                                                                                                                                               2176
2119
                                                                                mem.new S1 Ad2 S2,
         type contract-rigid list ho.tm -> ho.tm -> o.
                                                                                len Scope1 Scope1Len,
                                                                                                                                                2178
2120
                                                                                                                                                2179
2121
         contract-rigid L (ho.lam F) T :-
                                                                                len Scope2 Scope2Len,
           pi x\ contract-rigid [x|L] (F x) T. % also checks H Prefix does notmakee eta-link Scope1Len Scope2Len Ad1 Ad2 [] LinkEta S2 S3, 2180
2122
2123
         contract-rigid L (ho.app [H|Args]) T :-
                                                                               if (L2 = []) (NewLinks = LinkEta, T2 = ho.uva Ad2 Scope2)
                                                                                                                                               2181
           rev L LRev, append Prefix LRev Args,
2124
                                                                                  (T2 = ho.app [ho.uva Ad2 Scope2 | L2],
                                                                                                                                                2182
                                                                                 NewLinks = [@val-link-llam T T2 | LinkEta]).
           if (Prefix = []) (T = H) (T = ho.app [H|Prefix]).
                                                                                                                                                2183
2126
         type progress-eta-link ho.tm -> ho.tm -> ho.subst -> limmogressobeta-link T1 (ho.app[ho.uva _ _ | _] as T2) _ _ _ :-
2127
         progress-eta-link (ho.app _{\rm as} T) (ho.lam x\ _{\rm as} T1) H H1 [] :- !, not (T1 = ho.uva _{\rm as} ), !, fail.
2128
           (\{eta-expand T @one\} == 1 T1) H H1.
2129
                                                                                                                                               2187
2130
         progress-eta-link (ho.con _ as T) (ho.lam x\ _ as T1) H H1 [] :- !progress-beta-link (ho.uva _ _ as T) (ho.app[ho.uva _ _ | _] as2182) S1 .
           (\{eta-expand T @one\} == 1 T1) H H1.
                                                                                occur-check-err T T2 S1, !, fail.
2131
                                                                                                                                               2189
2132
         progress-eta-link (ho.lam _ as T) T1 H H1 [] :- !,
2133
           (T == 1 T1) H H1.
                                                                              progress-beta-link T1 (ho.app[ho.uva _ _ | _] as T2) H H [@val-limk-llar
2134
         progress-eta-link (ho.uva _ _ as X) T H H1 [] :-
                                                                                                                                                2192
2135
           contract-rigid [] T T1, !, (X ==1 T1) H H1.
                                                                              progress-beta-link T1 (ho.app [Hd | Tl]) S1 S2 B :-
                                                                                                                                               2193
2136
         progress-eta-link (ho.uva Ad _ as T1) T2 H H [@val-link-eta T1 T2] :ho!peta Hd T1 T3,
                                                                                                                                               2194
2137
           if (ho.not_occ Ad H T2) true fail.
                                                                                progress-beta-link-aux T1 T3 S1 S2 B.
                                                                                                                                                2195
2139
         type is-in-pf ho.tm -> o.
                                                                              type solve-link-abs link -> links -> ho.subst -> ho.subst -> o.2197
                                                                              solve-link-abs (ho.abs X) R H H1 :-
2140
         is-in-pf (ho.app [ho.uva _ _ | _]) :- !, fail.
         is-in-pf (ho.lam B) :- !, pi x\ is-in-pf (B x).
                                                                                pi x\ ho.copy x x \Rightarrow (pi S\ ho.deref S x x) \Rightarrow
                                                                                                                                               2199
2141
2142
         is-in-pf (ho.con _).
                                                                                  solve-link-abs (X x) (R' x) H H1,
                                                                                                                                               2200
2143
         is-in-pf (ho.app L) :- forall1 is-in-pf L.
                                                                                close-links R' R.
                                                                                                                                                2201
2144
         is-in-pf N :- name N.
                                                                                                                                                2202
         is-in-pf (ho.uva _ L) :- pattern-fragment L.
2145
                                                                              solve-link-abs (@val-link-eta A B) NewLinks S S1 :- !,
                                                                                                                                                2203
                                                                                                                                                2204
2146
                                                                       19
```

```
2205
           progress-eta-link A B S S1 NewLinks.
                                                                                                                                                2263
2206
                                                                              decompl-subst _ [] _ F F.
                                                                                                                                                2264
2207
         solve-link-abs (@val-link-llam A B) NewLinks S S1 :- !,
                                                                              decompl-subst Map [mapping (fv V0) (hv VM _)|T1] H F F2 :-
                                                                                                                                                2265
           progress-beta-link A B S S1 NewLinks.
                                                                                mem.set? VM H T, !,
2209
                                                                                ho.deref-assmt H T TTT,
         type take-link link -> links -> link -> links -> o.
                                                                                abs->lam TTT T'. tm->fm Map T' T1.
2210
                                                                                                                                                2268
         take-link A [B|XS] B XS :- link-abs-same-lhs A B, !.
                                                                                fo.eta-contract T1 T2, mem.assign V0 F T2 F1,
2211
                                                                                                                                                2269
         take-link A [L|XS] B [L|YS] :- take-link A XS B YS.
                                                                                decompl-subst Map Tl H F1 F2.
2212
                                                                                                                                                2270
2213
                                                                              decompl-subst Map [mapping _ (hv VM _)|T1] H F F2 :-
                                                                                                                                                2271
2214
         type link-abs-same-lhs link -> link -> o.
                                                                                mem.unset? VM H, decompl-subst Map T1 H F F2.
                                                                                                                                                2272
         link-abs-same-lhs (ho.abs F) B :-
                                                                                                                                                2273
           pi x\ link-abs-same-lhs (F x) B.
                                                                              type tm\rightarrow fm map \rightarrow ho.tm \rightarrow fo.fm \rightarrow o.
2216
         link-abs-same-lhs A (ho.abs G) :-
                                                                              tm->fm _ (ho.con C) (fo.fcon C).
                                                                                                                                                2275
2217
                                                                              tm->fm L (ho.lam B1) (fo.flam B2) :-
           pi x\ link-abs-same-lhs A (G x).
2218
                                                                                                                                                2276
2219
         link-abs-same-lhs (@val-link-eta (ho.uva N _) _) (@val-link-eta (ho.uva N y\) tm\>fm _ x y => tm->fm L (B1 x) (B2 y).
                                                                                                                                                2277
                                                                              tm->fm L (ho.app L1) T :- map (tm->fm L) L1 [Hd|T1],
                                                                                                                                                2278
2220
         type same-link-eta link -> link -> ho.subst -> ho.subst -> o.
2221
                                                                                fo.mk-app Hd Tl T.
         same-link-eta (ho.abs F) B H H1 :- !, pi x\ same-link-eta (F x) B Htm+>fm L (ho.uva VM TL) T :- mem L (mapping (fv VO) (hv VM _)),2280
         same-link-eta A (ho.abs G) H H1 :- !, pi x\ same-link-eta A (G x) H Mmap (tm->fm L) TL T1, fo.mk-app (fo.fuva VO) T1 T.
2223
         same-link-eta (@val-link-eta (ho.uva N S1) A)
2224
                                                                                                                                                2282
                       (@val-link-eta (ho.uva N S2) B) H H1 :-
                                                                              type add-new-map-aux ho.subst -> list ho.tm -> map ->
2225
                                                                                                                                                2283
2226
           std.map2 S1 S2 (x\y\r\ r = ho.copy x y) Perm,
                                                                                    map -> fo.fsubst -> fo.fsubst -> o.
                                                                                                                                                2284
           Perm => ho.copy A A',
                                                                              add-new-map-aux _ [] _ [] S S.
                                                                                                                                                2285
           (A' == 1 B) H H1.
                                                                              add-new-map-aux H [T|Ts] L L2 S S2 :-
                                                                                add-new-map H T L L1 S S1,
         type progress1 links -> links -> ho.subst -> ho.subst -> o.
                                                                                add-new-map-aux H Ts L1 L2 S1 S2.
         progress1 [] [] X X.
2231
                                                                                                                                                2289
2232
         progress1 [A|L1] [A|L3] S S2 :- take-link A L1 B L2, !,
                                                                              type add-new-map ho.subst -> ho.tm -> map ->
                                                                                                                                                2290
2233
           same-link-eta A B S S1,
                                                                                  map -> fo.fsubst -> fo.fsubst -> o.
                                                                                                                                                2291
           progress1 L2 L3 S1 S2.
                                                                              add-new-map _ (ho.uva N _) Map [] F1 F1 :-
2234
                                                                                                                                                2292
         progress1 [L0|L1] L3 S S2 :- deref-link S L0 L,
2235
                                                                                mem Map (mapping _ (hv N _)), !.
                                                                              add-new-map H (ho.uva N L) Map [Map1 | MapL] F1 F3 :-
                                                                                                                                                2294
           solve-link-abs L R S S1. !.
           progress1 L1 L2 S1 S2, append R L2 L3.
                                                                                mem.new F1 M F2.
2237
                                                                                                                                                2295
                                                                                len L Arity, Map1 = mapping (fv M) (hv N (arity Arity)),
2238
                                                                                                                                                2296
2239
                                                                                add-new-map H (ho.app L) [Map1 | Map] MapL F2 F3.
                                                                                                                                                2297
       16 THE DECOMPILER
2240
                                                                              add-new-map H (ho.lam B) Map NewMap F1 F2 :-
                                                                                                                                                2298
                                                                                pi x\ add-new-map H (B x) Map NewMap F1 F2.
2241
         type abs->lam ho.assignment -> ho.tm -> o.
2242
         abs->lam (ho.abs T) (ho.lam R) :- !, pi x\ abs->lam (T x) (R x). add-new-map H (ho.app L) Map NewMap F1 F3 :-
         abs->lam (ho.val A) A.
                                                                                add-new-map-aux H L Map NewMap F1 F3.
                                                                                                                                                2301
2243
                                                                              add-new-map _ (ho.con _) _ [] F F :- !.
2244
                                                                                                                                                2302
         type commit-links-aux link -> ho.subst -> ho.subst -> o.
2245
                                                                              add-new-map _ N _ [] F F :- name N.
                                                                                                                                                2303
2246
         commit-links-aux (@val-link-eta T1 T2) H1 H2 :-
                                                                                                                                                2304
           ho.deref H1 T1 T1', ho.deref H1 T2 T2',
                                                                              type complete-mapping-under-ass ho.subst -> ho.assignment ->
2247
                                                                                                                                                2305
           (T1' == 1 T2') H1 H2.
2248
                                                                                map -> map -> fo.fsubst -> fo.fsubst -> o.
2249
         commit-links-aux (@val-link-llam T1 T2) H1 H2 :-
                                                                              complete-mapping-under-ass H (ho.val Val) Map1 Map2 F1 F2 :-
           ho.deref H1 T1 T1', ho.deref H1 T2 T2',
                                                                                add-new-map H Val Map1 Map2 F1 F2.
                                                                                                                                                2308
2250
           (T1' == 1 T2') H1 H2.
                                                                              complete-mapping-under-ass H (ho.abs Abs) Map1 Map2 F1 F2 :-
                                                                                                                                                2309
2251
2252
         commit-links-aux (ho.abs B) H H1 :-
                                                                                pi x\ complete-mapping-under-ass H (Abs x) Map1 Map2 F1 F2.
                                                                                                                                               2310
2253
           pi x\ commit-links-aux (B x) H H1.
                                                                                                                                                2311
                                                                                                                                                2312
                                                                              type complete-mapping ho.subst -> ho.subst ->
         type commit-links links -> links -> ho.subst -> ho.subst -> o.
                                                                                map -> map -> fo.fsubst -> fo.fsubst -> o.
                                                                                                                                                2313
         commit-links [] [] H H.
                                                                              complete-mapping _ [] L L F F.
                                                                                                                                                2314
         commit-links [Abs | Links] L H H2 :-
                                                                              complete-mapping H [none | Tl] L1 L2 F1 F2 :-
                                                                                                                                                2315
2257
                                                                                complete-mapping H Tl L1 L2 F1 F2.
2258
           commit-links-aux Abs H H1, !, commit-links Links L H1 H2.
                                                                                                                                                2316
2259
                                                                              complete-mapping H [some T0 | T1] L1 L3 F1 F3 :-
                                                                                                                                                2317
         type decompl-subst map -> map -> ho.subst ->
                                                                                ho.deref-assmt H T0 T,
                                                                                                                                                2318
2260
           fo.fsubst -> fo.fsubst -> o.
                                                                                complete-mapping-under-ass H T L1 L2 F1 F2,
2261
                                                                                                                                                2319
2262
                                                                                                                                                2320
                                                                        20
```

```
2321
             append L1 L2 LAll,
             complete-mapping H Tl LAll L3 F2 F3.
2322
2323
2324
           type decompile map -> links -> ho.subst ->
2325
             fo.fsubst -> fo.fsubst -> o.
2326
           decompile Map1 L HO FO FO2 :-
2327
             commit-links L L1_ HO HO1, !,
2328
             complete-mapping HO1 HO1 Map1 Map2 FO FO1,
2329
             decompl-subst Map2 Map2 H01 F01 F02.
        17 AUXILIARY FUNCTIONS
           type fold4 (A \rightarrow A1 \rightarrow B \rightarrow B \rightarrow C \rightarrow C \rightarrow o) \rightarrow list A \rightarrow
2333
             list A1 \rightarrow B \rightarrow B \rightarrow C \rightarrow C \rightarrow o.
2334
           fold4 _ [] [] A A B B.
2335
           fold4 F [X|XS] [Y|YS] A A1 B B1 :- F X Y A A0 B B0,
2336
             fold4 F XS YS A0 A1 B0 B1.
2337
2338
           type len list A -> nat -> o.
2339
          len [] z.
2340
          len [_|L] (s X) :- len L X.
2341
2342
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