

Higher-Order unification for free

Reusing the meta-language unification for the object language

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ÉCOLE UNIVERSITAIRE DE RECHERCHE
SYSTÈMES NUMÉRIQUES
POUR L'HUMAIN



Metaprogramming for type-class resolution

- Our goal:
 - ▶ Type-class solver for Coq in Elpi
- Our problem:
 - ▶ The Elpi's unification algorithm differs from Coq's one
- Our contribution:
 - ▶ Reusing the meta-language unification for the object language

A type-class problem in Coq

```
Instance forall_dec:  $\forall A$  P, Finite A  $\rightarrow$  (* r3 *)  
  ( $\forall x:A$ , Decision (P x))  $\rightarrow$  Decision ( $\forall x:A$ , P x).
```

```
Goal Decision ( $\forall x$ : fin 7, nfact x 3). (* g *)
```

A type-class problem in Coq

Instance forall_dec: $\forall A\ P, \text{Finite } A \rightarrow$ (* r3 *)
 $(\forall x:A, \text{Decision } (P\ x)) \rightarrow \text{Decision } (\forall x:A, P\ x).$

Goal $\text{Decision } (\forall x: \text{fin } 7, \text{nfact } x\ 3).$ (* g *)

- Back-chain to forall_dec with
- $\{A \mapsto \text{fin } 7; P \mapsto \lambda x. (\text{nfact } x\ 3)\}$

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Goal $\text{Decision } (\forall x: \text{fin } 7, \text{nfact } x\ 3).$ (* g *)

- $\{A \mapsto \text{fin } 7; P \mapsto \lambda x. (\text{nfact } x\ 3)\}$

- subgoals:

$\text{Finite } (\text{fin } 7)$ and $(\forall x:A, \text{Decision } ((\lambda x. (\text{nfact } x\ 3))\ x))$

Coq terms in elpi

Coq	Elpi
$f \cdot a$	<code>app["f", "a"]</code>
$\lambda x. \lambda y. F \cdot x \cdot y$	<code>lam (x\ lam (y\ app[F, x, y]))</code>
$\lambda x. F \cdot x \cdot a$	<code>lam (x\ app[F, x, "a"])</code>

Note on unification:

- In coq: $\lambda x. F \cdot x$ unifies with $\lambda x. f \ x \ 3$
- In elpi:
 - “`lam (x\app [F, x])`” can’t unify with “`lam (x\app ["f", x, 3])`”
 - But, “`lam (x\G x)`” unifies with “`lam (x\app ["f", x, 3])`”

The above type-class problem in elpi

Instance forall_dec: $\forall A\ P, \text{Finite } A \rightarrow$ (* r3 *)
 $(\forall x:A, \text{Decision } (P\ x)) \rightarrow \text{Decision } (\forall x:A, P\ x).$

Goal Decision $(\forall x: \text{fin } 7, \text{nfact } x\ 3).$ (* g *)

↓

The above type-class problem in elpi

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Instance forall_dec:  $\forall A\ P, \text{Finite } A \rightarrow$  (* r3 *)  
  ( $\forall x:A, \text{Decision } (P\ x) \rightarrow \text{Decision } (\forall x:A, P\ x)$ ).
```

```
Goal Decision ( $\forall x: \text{fin } 7, \text{nfact } x\ 3$ ). (* g *)
```

↓

```
decision (all A (x\ app [P, x])) :- finite A, % r3  
  pi w\ decision (app [P, w]).
```

```
?- decision (all (app ["fin", "7"]) % g  
  (x\ app ["nfact", x, "3"])).
```

Solving the goal in elpi

```
decision (all A (x\ app [P, x] )) :- finite A,           % r3
  pi w\ decision (app [P, w]).
```

```
?- decision (all (app ["fin", "7"])                      % g
  (x\ app ["nfact", x, "3"] )).
```

The idea

```
decision (all A (x\ P' x)) :-                               % r3
  link P' (fun (x\ app[P, x])),
  finite A,
  pi w\ decision (P' x).

?- decision (all (app ["fin", "7"])                          % g
                (x\ app ["nfact", x, "3"])).
```

Compilation and simulation

What we propose

① Compilation:

- ▶ Recognize *problematic subterms* p_1, \dots, p_n
- ▶ Replace p_i with fresh unification variables X_i
- ▶ Link p_i with X_i

A link is a suspended unification problem

② Runtime:

- ▶ Unify p_i and X_i only when some conditions hold
- ▶ Decompile remaining links

Some notations

- \mathbb{P} : the unification problems in the object language (ol)
 - \mathbb{Q} : the unification problems in the meta-language (ml)
 - \mathbb{L}, \mathbb{M} : the link store, the map store
 - A link in \mathbb{L} is like $X =_{\odot} t$
-

- $\text{run}_o(\mathbb{P}, n) \mapsto \rho$: the run of n unif pb in the ol
- $\text{run}_m(\mathbb{P}, n) \mapsto \rho'$: the run of n unif pb in the ml
- $\text{step}_o(\mathbb{P}, i, \rho_{i-1}) \mapsto \rho_i$: the execution of the i^{th} unif pb in ol
- $\text{step}_m(\mathbb{Q}, i, \sigma_{i-1}, \mathbb{L}_{i-1}) \mapsto (\sigma_i, \mathbb{L}_i)$: the exec of the i^{th} unif pb in ml

Proven properties

Run Equivalence $\forall \mathbb{P}, \forall n$, if $\mathbb{P} \subseteq \mathcal{L}$

$$\text{run}_o(\mathbb{P}, n) \mapsto \rho \wedge \text{run}_m(\mathbb{P}, n) \mapsto \rho' \Rightarrow \forall s \in \mathbb{P}, \rho s =_o \rho' s$$

Simulation fidelity In the context of run_o and run_m ,
if $\mathbb{P} \subseteq \mathcal{L}$ we have that $\forall p \in 1 \dots n$,

$$\text{step}_o(\mathbb{P}, p, \rho_{p-1}) \mapsto \rho_p \Leftrightarrow \text{step}_m(\mathbb{Q}, p, \sigma_{p-1}, \mathbb{L}_{p-1}) \mapsto (\sigma_p, \mathbb{L}_p)$$

Compilation round trip If $\langle s \rangle \mapsto (t, m, l)$ and $l \in \mathbb{L}$ and $m \in \mathbb{M}$ and
 $\sigma = \{A \mapsto t\}$ and $X \mapsto A \in \mathbb{M}$ then

$$\langle \sigma, \mathbb{M}, \mathbb{L} \rangle^{-1} \mapsto \rho \wedge \rho X =_o \rho s$$

Problematic subterms recognition: $\diamond\beta$

- $X \cdot x$ becomes $A \ x$ with mapping $X \mapsto A$
- For example, $\lambda y. X \cdot y = \lambda y. f \cdot y \cdot a$
- Is compiled into: $\text{fun } (w \setminus A \ w) = \text{fun } (w \setminus f \ w \ a)$
- Unification gives: $\{A \mapsto (w \setminus f \ w \ a)\}$
- Decompilation of A gives $\{X \mapsto \lambda y. f \cdot y \cdot a\}$

Problematic subterms recognition: $\diamond\eta$

- $\lambda x.s \in \diamond\eta$, if $\exists \rho, \rho(\lambda x.s)$ is an η -redex
- Detection of $\diamond\eta$ terms is not trivial:
 - $\lambda x.f.(A\ x) \in \diamond\eta \quad \rho = \{ A \mapsto \lambda x.x \}$
 - $\lambda x.f.(A\ x) \cdot x \in \diamond\eta \quad \rho = \{ A \mapsto \lambda x.a \}$
 - $\lambda x.f \cdot x.(A\ x) \notin \diamond\eta$
 - $\lambda x.\lambda y.f.(A\ x).(B\ y\ x) \in \diamond\eta \quad \rho = \{ A \mapsto \lambda x.x ; B \mapsto \lambda y.\lambda x.y \}$
- Need of some primitives like `may-contract-to` and `occurs-rigidly`

Problematic subterms recognition: $\diamond\eta$ link resumption

- Several conditions: like lhs is assigned to a rigid term, two η -link with same lhs, the rhs becomes outside $\diamond\eta \dots$
- These conditions guarantee the prefixed properties !
- An example:

$$\begin{aligned}\mathbb{P} &= \{ f \simeq_o \lambda x.(f.(X.x)) \} \\ \mathbb{Q} &= \{ \text{"f"} \simeq_m A \} \\ \mathbb{M} &= \{ X \mapsto B \} \\ \mathbb{L} &= \{ \vdash A =_\eta \text{fun } (x \backslash \text{app}[f, B x]) \} \end{aligned}$$

- After unification of A with "f" , the lhs of the link becomes rigid and $\text{fun } (x \backslash \text{app}[f, B x])$ is unified with $\text{fun } (x \backslash \text{app}[f, x])$
- That is $\{B \mapsto x \backslash x\}$
- Decompilation will assign $\lambda x.x$ to X

Problematic subterms recognition: $\diamond \mathcal{L}$

- We have a term not in \mathcal{L}
- Example:

$$\begin{aligned}\mathbb{P} &= \{ X \simeq_o \lambda x.a & (X.a) \simeq_o a \} \\ \mathbb{Q} &= \{ A \simeq_m \text{fun } (x \backslash "a") & B \simeq_m "a" \} \\ \mathbb{M} &= \{ X \mapsto A \} \\ \mathbb{L} &= \{ \vdash B =_{\mathcal{L}} A \text{ "a"} \}\end{aligned}$$

- After unification of A with $\lambda x.a$, the rhs of the link is in \mathcal{L} , the link is triggered and B is unified to a
- Decompilation will assign $\lambda x.a$ to A

Going further: the Constraint Handling Rules

- Elpi has a CHR for goal suspension and resumption
 - This fits well our notion of link: a suspended unification problem
-

This can easily introduce new unification behaviors

- We can for example mimic the unification of the ol
 - Add heuristic for HO unification outside the pattern fragment
-

```
% By def, R is not in the pattern fragment  
link-llam L R :- not (var L), unif-heuristic L R.
```

Conclusion

- Takes advantage of the unification capabilities of the meta language at the price of handling problematic sub-terms on the side.
- It is worth mentioning that we replace terms with variables only when it is strictly needed, leaving the rest of the term structure intact and hence *indexable*.
- Our approach is flexible enough to accommodate different strategies and *heuristics* to handle terms outside the pattern fragment