# HO unification from object language to meta language

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#### **ABSTRACT**

Specifying and implementing a logic from scratch requires significant effort. Logical Frameworks and Higher Order Logic Programming Languages provide dedicated, high-level Meta Languages (ML) to facilitate this task in two key ways: 1) variable binding and substitution are simplified when ML binders represent object logic ones; 2) proof construction, and even proof search, is greatly simplified by leveraging the unification procedure provided by the ML. Notable examples of ML are Elf [13], Twelf [14],  $\lambda$ Prolog [10] and Isabelle [20] which have been utilized to implement various formal systems such as First Order Logic [5], Set Theory [12], Higher Order Logic [11], and even the Calculus of Constuctions [4].

The object logic we are interested in is Coq's [18] Dependent Type Theory (DTT), for which we aim to implement a unification procedure  $\simeq_o$  using the ML Elpi [3], a dialect of  $\lambda$ Prolog. Elpi's equational theory comprises  $\eta\beta$  equivalence and comes equipped with a higher order unification procedure  $\simeq_\lambda$  restricted to the pattern fragment [9]. We want  $\simeq_o$  to be as powerful as  $\simeq_\lambda$  but on the object logic DTT. Elpi also comes with an encoding for DTT that works well for meta-programming [17, 16, 7, 6]. Unfortunately this encoding, which we refer to as  $\mathcal{F}_o$ , "underuses"  $\simeq_\lambda$  by restricting it to first-order unification problems only. To address this issue, we propose a better-behaved encoding,  $\mathcal{H}_o$ , demonstrate how to map unification problems in  $\mathcal{F}_o$  to related problems in  $\mathcal{H}_o$ , and illustrate how to map back the unifiers found by  $\simeq_\lambda$ , effectively implementing  $\simeq_o$  on top of  $\simeq_\lambda$  for the encoding  $\mathcal{F}_o$ .

We apply this technique to the implementation of a type-class [19] solver for Coq [18]. Type-class solvers are proof search procedures based on unification that back-chain designated lemmas, providing essential automation to widely used Coq libraries such as Stdpp/Iris [8] and TLC [1]. These two libraries constitute our test bed.

#### **KEYWORDS**

Logic Programming, Meta-Programming, Higher-Order Unification, Proof Automation

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#### 1 INTRODUCTION

Specifying and implementing a logic from scratch requires significant effort. Logical Frameworks and Higher Order Logic Programming Languages provide dedicated, high-level Meta Languages (ML) to facilitate this task in two key ways: 1) variable binding and substitution are simplified when ML binders represent object logic ones; 2) proof construction, and even proof search, is greatly simplified by leveraging the unification procedure provided by the ML. Notable examples of ML are Elf [13], Twelf [14],  $\lambda$ Prolog [10] and Isabelle [20] which have been utilized to implement various formal systems such as First Order Logic [5], Set Theory [12], Higher Order Logic [11], and even the Calculus of Constuctions [4].

The object logic we are interested in is Coq's [18] Dependent Type Theory (DTT), and we want to code a type-class [19] solver for Coq [18] using the Coq-Elpi [17] meta programming framework. Type-class solvers are unification based proof search procedures that combine a set of designated lemmas in order to providing essential automation to widely used Coq libraries.

As the running example we take the Decide type class, from the Stdpp [8] library. The class identifies predicates equipped with a decision procedure. The following three designated lemmas (called Instances in the type-class jargon) state that: 1) the type fin n, of natural numbers smaller than n is finite; 2) the predicate nfact n nf, linking a natural number n to its prime factors nf, is decidable; 3) the universal closure of a predicate has a decision procedure if the predicate has and if its domain is finite.

```
Instance fin_fin n : Finite (fin n). (* r1 *)
Instance nfact_dec n nf : Decision (nfact n nf). (* r2 *)
Instance forall_dec A P : Finite A \rightarrow (* r3 *)
\forall x:A, \ Decision \ (P \ x) \rightarrow Decision \ (\forall x:A, \ P \ x).
```

Under this context of instances a type-class solver is able to prove the following statement automatically by back-chaining.

```
Check \_: Decision (forall y: fin 7, nfact y 3). (g)
```

The encoding of DTT provided by Elpi, that we will discuss at length later in section ?? and ??, is an Higher Order Abstract Syntax (HOAS) datatype tm featuring (among others) the following constructors:

Following standard  $\lambda$ Prolog [10] the concrete syntax to abstract, at the meta level, an expression e over a variable x is «x\ e», and square brackets denote a list of terms separated by comma. As an example we show the encoding of the Coq term « $\forall$ y:t, nfact y 3»:

```
all (con"t") y\ app[con"nfact", y, con"3"]
```

We now illustrate the encoding of the three instances above as higher-order logic-programming rules: capital letters denote rule parameters; :- separates the rule's head from the premises; pi w\ p introduces a fresh nominal constant w for the premise p.

finite (app[con"fin", N]). (r1)
decision (app [con"nfact", N, NF]). (r2)
decision (all A x\ app[P, x]) :- finite A, (r3)
pi w\ decision (app[P, w]).

Unfortunately this translation of rule (r3) uses the predicate P as a first order term: for the meta language its type is tm. If we try to backchain the rule (r3) on the encoding of the goal (g) given below

```
decision (all (app[con"fin", con"7"]) y\
  app[con"nfact", y, con"3"]).
```

we obtain an unsolvable unification problem (p): the two lists of terms have different lengths!

```
app[con"nfact", y, con"3"] = app[P, y] (p)
```

In this paper we study a more sophisticated encoding of Coq terms allowing us to rephrase the problematic rule (r3) as follows:

```
decision (all A x\ Pm x) :- decomp Pm P A, finite A, (r3a) pi x\ decision (app[P, x]).
```

Since Pm is an higher-order unification variable of type tm -> tm, with x in its scope, the unification problem (p') admits one solution:

After unifying the head of rule (r3a) with the goal, Elpi runs the premise «decomp Pm A P» that is in charge of bringing the assignment for Pm back to the domain tm of Coq terms:

```
P = lam A a\ app[con"nfact", a, con"3"]
```

This simple example is sufficient to show that the encoding we seek is not trivial and does not only concern the head of rules, but the entire sequence of unification problems that constitute the execution of a logic program. In fact the solution for P above generates a (Coq)  $\beta$ -redex in the second premise (the predicate under the pi w\):

```
decision (app[lam A (a\ app[con"nfact", a, con"3"]), w])
```

In turn this redex prevents the rule (r2) to backchain properly since the following unification problem has no solution:

```
app[lam A (a\ app[con"nfact", a, con"3"]), x] =
app[con"nfact", N, NF]
```

The root cause of the problems we sketched in the running example is that the unification procedure  $\simeq_{\lambda}$  of the meta language is not aware of the equational theory of the object logic, even if both theories include  $\eta\beta$ -conversion and admit most general unifiers for unification problems in the pattern fragment  $\mathcal{L}_{\lambda}$  [9].

Contributions. In this paper we discuss alternative encodings of Coq in Elpi (Section ??), then we identify a minimal language  $\mathcal{F}_0$  in which the problems sketched here can be fully described. We then detail an encoding comp from  $\mathcal{F}_0$  to  $\mathcal{H}_0$  (the language of the meta language) and a decoding decomp to relate the unifiers bla

bla.. TODO citare Teyjus. The code discussed in the paper can be accessed at the URL: https://github.com/FissoreD/paper-ho.

#### 2 PROBLEM STATEMENT

The equational theory of Coq's Dependent Type Theory is very rich. In addition to the usual  $\eta\beta$ -equivalence for functions, terms (hence types) are compared up to proposition unfolding and fixpoint unrolling. Still, for efficiency and predictability reasons, most form of automatic proof search employ a unification procedure that captures a simpler one, just  $\eta\beta$ , and that solves higher-order problems restricted to the pattern fragment  $\mathcal{L}_{\lambda}$  [9]. We call this unification procedure  $\simeq_o$ .

The equational theory of the meta language Elpi is strikingly similar, since it it comprises  $\eta\beta$  (for the meta language functions), and the unification procedure  $\simeq_{\lambda}$  solves higher-order problems in  $\mathcal{L}_{\lambda}$ .

In spite of the similarity the link between  $\simeq_{\lambda}$  and  $\simeq_{o}$  is not trivial, since the abstraction and application term constructors the two unification procedures deal with are different. For example

One could ignore this similarity, and "just" describe the object language unification procedure in the meta language, that is crafting a unif predicate to be used as follows in rule (r3):

```
decision X :- unif X (all A x\ app[P, x]), finite A,
  pi x\ decision (app[P, x]).
```

This choice would underuse the logic programming engine provided by the metalanguage since by removing any datum from the head of rules indexing degenerates. Moreover the unification procedure built in the meta language is likely to be faster than one implemented in it, especially if the meta language is interpreted as Elpi is.

To state precisely the problem we solve we need a  $\mathcal{F}_o$  representation of DTT terms and a  $\mathcal{H}_o$  one. We call  $=_o$  the equality over ground terms in  $\mathcal{F}_o$ ,  $=_\lambda$  the equality over ground terms in  $\mathcal{H}_o$ ,  $\simeq_o$  the unification procedure we want to implement and  $\simeq_\lambda$  the one provided by the meta language. TODO extend  $=_o$  and  $=_\lambda$  with reflexivity on uvars.

We write  $t_1 \simeq_{\lambda} t_2 \mapsto \sigma$  when  $t_1$  and  $t_2$  unify with substitution  $\sigma$ ; we write  $\sigma t$  for the application of the substitution to t, and  $\sigma X = \{\sigma t | t \in X\}$  when X is a set; we write  $\sigma \subseteq \sigma'$  when  $\sigma$  is more general than  $\sigma'$ . We assume that the unification of our meta language is correct:

$$t_i \in \mathcal{L}_{\lambda} \Rightarrow t_1 \simeq_{\lambda} t_2 \mapsto \rho \Rightarrow \rho t_1 =_{\lambda} \rho t_2$$
 (1)

$$t_i \in \mathcal{L}_{\lambda} \Rightarrow \rho t_1 =_{\lambda} \rho t_2 \Rightarrow \exists \rho', t_1 \simeq_{\lambda} t_2 \mapsto \rho' \wedge \rho' \subseteq \rho$$
 (2)

We illustrate a compilation  $\langle s \rangle \mapsto (t, m, l)$  that maps a term s in  $\mathcal{F}_0$  to a term t in  $\mathcal{H}_0$ , a variable mapping m and list of links l. The variable map connects unification variables in  $\mathcal{H}_0$  with variables in  $\mathcal{F}_0$  and is used to "decompile" the assignment,  $\langle \sigma, m, l \rangle^{-1} \mapsto \rho$ . Links represent problematic sub-terms which are linked to the

 $fix_{300}^{299}$ 

unification variable that stands in their place in the compiled term. These links are checked for or progress XXX improve....

We represent a logic program run in  $\mathcal{F}_0$  as a list  $steps\ p$  of length  $\mathcal{N}$ . Each step is a unification problem between terms  $\mathbb{P}_{p_l}$  and  $\mathbb{P}_{p_r}$  taken from the set of all terms  $\mathbb{P}$ . The composition of these steps starting from the empty substitution  $\rho_0$  produces the final substitution  $\rho_{\mathcal{N}}$ . The initial here  $\rho_0$  is the empty substitution

$$\begin{split} \text{fstep}(\mathbb{P},p,\rho) &\mapsto \rho^{\prime\prime} \stackrel{def}{=\!\!\!=} \rho \mathbb{P}_{p_l} \simeq_o \rho \mathbb{P}_{p_r} \mapsto \rho^{\prime} \wedge \rho^{\prime\prime} = \rho \cup \rho^{\prime} \\ \text{frun}(\mathbb{P},\mathcal{N}) &\mapsto \rho_{\mathcal{N}} \stackrel{def}{=\!\!\!=} \bigwedge_{p=1}^{\mathcal{N}} \text{fstep}(\mathbb{P},p,\rho_{p-1}) \mapsto \rho_p \end{split}$$

We simulate each run in  $\mathcal{F}_o$  with a run in  $\mathcal{H}_o$  as follows. Note that  $\sigma_0$  is the empty substitution.

$$\begin{split} \operatorname{hstep}(\mathbb{T}, p, \sigma, \mathbb{L}) &\mapsto (\sigma'', \mathbb{L}') \stackrel{def}{=} \\ \sigma \mathbb{T}_{p_{l}} &\simeq_{\lambda} \sigma \mathbb{T}_{p_{r}} \mapsto \sigma' \wedge \operatorname{progress}(\mathbb{L}, \sigma \cup \sigma') \mapsto (\mathbb{L}', \sigma'') \\ \operatorname{hrun}(\mathbb{P}, \mathcal{N}) &\mapsto \rho_{\mathcal{N}} \stackrel{def}{=} \\ &\mathbb{T} \times \mathbb{M} \times \mathbb{L}_{0} = \{(t_{j}, m_{j}, l_{j}) | s_{j} \in \mathbb{P}, \langle s_{j} \rangle \mapsto (t_{j}, m_{j}, l_{j})\} \\ &\wedge_{p=1}^{\mathcal{N}} \operatorname{hstep}(\mathbb{T}, p, \sigma_{p-1}, \mathbb{L}_{p-1}) \mapsto (\sigma_{p}, \mathbb{L}_{p}) \\ &\langle \sigma_{\mathcal{N}}, \mathbb{M}, \mathbb{L}_{\mathcal{N}} \rangle^{-1} \mapsto \rho_{\mathcal{N}} \end{split}$$

Here hstep is made of two sub-steps: a call to  $\simeq_{\lambda}$  (on the compiled terms) and a call to progress on the set of links. We claim the following:

Proposition 2.1 (Simulation).  $\forall \mathbb{P}, \forall \mathcal{N}$ ,

$$\operatorname{frun}(\mathbb{P}, \mathcal{N}) \mapsto \rho_{\mathcal{N}} \Leftrightarrow \operatorname{hrun}(\mathbb{P}, \mathcal{N}) \mapsto \rho_{\mathcal{N}}$$

That is, the two executions give the same result. Moreover:

Proposition 2.2 (Simulation fidelity). In the context of hrun, if  $\mathbb{T} \subseteq \mathcal{L}_{\lambda}$  we have that  $\forall p \in 1...N$ ,

$$fstep(\mathbb{P}, p, \rho_{p-1}) \mapsto \rho_p \Leftrightarrow hstep(\mathbb{T}, p, \sigma_{p-1}, \mathbb{L}) \mapsto (\sigma_p, \underline{\hspace{0.5cm}})$$

In particular this property guarantees that a *failure* in the  $\mathcal{F}_0$  run is matched by a failure in  $\mathcal{H}_0$  at the same step. We consider this property very important from a practical point of view since it guarantees that the execution traces are strongly related and in turn this enables a user to debug a logic program in  $\mathcal{F}_0$  by looking at its execution trace in  $\mathcal{H}_0$ .

XXX permuting hrun does not change the final result if check dooes not fail eagerly

XXX if we want to apply heuristics, we can apply them in decomp to avoid committing to a non MGU too early

We can define  $s_1 \simeq_o s_2$  by specializing the code of hrun to  $\mathbb{P} = \{s_1, s_2\}$  as follows:

$$s_{1} \simeq_{o} s_{2} \mapsto \rho \stackrel{def}{=}$$

$$\langle s_{1} \rangle \mapsto (t_{1}, m_{1}, l_{1}) \wedge \langle s_{2} \rangle \mapsto (t_{2}, m_{2}, l_{2})$$

$$t_{1} \simeq_{\lambda} t_{2} \mapsto \sigma' \wedge \operatorname{progress}(\{l_{1}, l_{2}\}, \sigma') \mapsto (L, \sigma'') \wedge$$

$$\langle \sigma'', \{m_{1}, m_{2}\}, L \rangle^{-1} \mapsto \rho$$

Proposition 2.3 (Properties of  $\simeq_0$ ).

$$s_{i} \in \mathcal{L}_{\lambda} \Rightarrow s_{1} \simeq_{o} s_{2} \mapsto \rho \Rightarrow \rho s_{1} =_{o} \rho s_{2}(correct)$$
(3)  
$$s_{i} \in \mathcal{L}_{\lambda} \Rightarrow \rho s_{1} =_{o} \rho s_{2} \Rightarrow \exists \rho', s_{1} \simeq_{o} s_{2} \mapsto \rho' \wedge \rho' \subseteq \rho(complete)$$
(4)

$$\rho s_1 =_o \rho s_2 \Rightarrow \rho' \subseteq \rho \Rightarrow \rho' s_i \in \mathcal{L}_{\lambda} \Rightarrow \rho' s_1 \simeq_o \rho' s_2 \tag{5}$$

Properties (*correct*) and (*complete*) state, respectively, that in  $\mathcal{L}_{\lambda}$  the implementation of  $\simeq_{o}$  is correct, complete and returns the most general unifier.

Property 2.1 states that  $\simeq_o$ , hence our compilation scheme, is resilient to unification problems outside  $\mathcal{L}_{\lambda}$  solved by a third party. We believe this property is of practical interest since we want the user to be able to add heuristics via hand written rules to the ones obtained by our compilation scheme. A Typical example is the following problem (q) that is outside  $\mathcal{L}_{\lambda}$ :

app [F, con"a"] = app[con"f", con"a", con"a"] 
$$(q)$$
  
F = lam x\ app[con"f",x,x]  $(h)$ 

Instead of rejecting it our scheme accepts it and guarantees that if (h) is given (after the compilation part of the scheme, as a run time hint) then ...

#### 2.1 The intuition in a nutshell

A term s is compiled in a term t where every "problematic" sub term p is replaced by a fresh unification variable h and an accessory link that represent a suspended unification problem  $h \simeq_{\lambda} p$ . As a result  $\simeq_{\lambda}$  is "well behaved" on t, that is it does not contradict  $=_{0}$  as it would otherwise do on "problematic" terms. We now define "problematic" and "well behaved" more formally.

*Definition 2.4* (
$$\Diamond \eta$$
).  $\Diamond \eta = \{t \mid \exists \rho, \rho t \text{ is an eta expansion}\}$ 

An example of term t in  $\Diamond \eta$  is  $\lambda x.\lambda y.F$  y x since the substitution  $\rho = \{F \mapsto \lambda a.\lambda b.fba\}$  makes  $\rho t = \lambda x.\lambda y.fxy$  that is the eta long form of f. This term is problematic since its rigid part, the  $\lambda$ -abstractions, cannot justify a unification failure against, say, a constant.

Definition 2.5 
$$(\overline{\mathcal{L}_{\lambda}})$$
.  $\overline{\mathcal{L}_{\lambda}} = \{Xt_1 \dots t_n \mid Xt_1 \dots t_n \notin \mathcal{L}_{\lambda}\}.$ 

An example of t in  $\overline{\mathcal{L}_{\lambda}}$  is Fa for a constant a. Note however tha an oracle could provide an assignment  $\rho = \{F \mapsto \lambda x.x\}$  that makes the resulting term fall back in  $\mathcal{L}_{\lambda}$ .

Definition 2.6 (Subterms  $\mathcal{P}(t)$ ). The set of sub terms of t is the largest set

subtermt that can be obtained by the following rules.

$$t \in \mathcal{P}(t)$$
  

$$t = ft_1 \dots t_n \Rightarrow \mathcal{P}(t_i) \subseteq \mathcal{P}(t) \land f \in \mathcal{P}(t)$$
  

$$t = \lambda x.t' \Rightarrow \mathcal{P}(t') \subseteq \mathcal{P}(t)$$

We write  $\mathcal{P}(X) = \bigcup_{t \in X} \mathcal{P}(t)$  when X is a set of terms.

Definition 2.7 (Well behaved set). Given a set of terms  $X \subseteq \mathcal{H}_0$ ,

$$W(X) \Leftrightarrow \forall t \in \mathcal{P}(X), t \notin (\overline{\mathcal{L}_{\lambda}} \cup \Diamond \eta)$$

Proposition 2.8 (*W*-preservation).  $\forall \mathbb{T}, \forall \mathbb{L}, \forall p, \forall \sigma, \forall \sigma'$ 

$$\begin{split} \mathcal{W}(\sigma\mathbb{T}) \wedge \sigma\mathbb{T}_{p_l} &\simeq_{\lambda} \sigma\mathbb{T}_{p_r} \mapsto \sigma' \Rightarrow \mathcal{W}(\sigma'\mathbb{T}) \\ \mathcal{W}(\sigma\mathbb{T}) \wedge \operatorname{progress}(\mathbb{L}, \sigma) \mapsto (\_, \sigma') \Rightarrow \mathcal{W}(\sigma'\mathbb{T}) \end{split}$$

<sup>&</sup>lt;sup>1</sup>If the same rule is used multiple time in a run we just consider as many copies as needed of the terms composing the rules, with fresh unification variables each time

is new

used?

A less formal way to state 2.8 is that hstep and progress never "commit" an unneeded  $\lambda$ -abstraction in  $\sigma$  (a  $\lambda$  that could be erased by an  $\eta$ -contraction), nor put in  $\sigma$  a flexible application outside  $\mathcal{L}_{\lambda}$  (an application node that could be erased by a  $\beta$ -reduction).

Note that proposition 2.8 does not hold for  $\simeq_o$  as a whole since decompilation can introduce (actually restore) terms in  $\Diamond \eta$  or  $\overline{\mathcal{L}_\lambda}$  that were move out of the way (put in  $\mathbb L$ ) during compilation.

# 3 ALTERNATIVE ENCODINGS AND RELATED WORK

Paper [2] introduces semi-shallow.

Our encoding of DTT may look "semi shallow" since we use the meta-language lambda abstraction but not its application (for the terms of type tm). A fully shallow encoding unfortunately does not fit our use case, although it would make the running example work:

```
finite (fin N). decision (nfact N NF). decision (all A x\ P x) :- finite A, pi x\ decision (P x).
```

There are two reasons for dismissing this encoding. The first one is that in DTT it is not always possible to adopt it since the type system of the meta language is too weak to accommodate terms with a variable arity, like the following example:

```
Fixpoint arr T n := if n is S m then T -> arr T m else T. Definition sum n : arr nat n := ...  
Check sum 2 7 8 : nat.  
Check sum 3 7 8 9 : nat.
```

The second reason is the encoding for Coq is used for meta programming the system, hence it must accommodate the manipulation of terms that are now know in advance (not even defined in Coq) without using introspection primitives such as Prologs's functor and arg.

In the literature we could find a few related encoding of DTT. TODO In [4] is related and make the discrepancy between the types of ML and DTT visible. In this case one needs 4 application nodes. Moreover the objective is an encoding of terms, proofs, not proof search. Also note the conv predicate, akin to the unif we rule out.

TODO This other paper [15] should also be cited.

None of the encodings above provide a solution to our problem.

# 4 PRELIMINARIES: $\mathcal{F}_o$ AND $\mathcal{H}_o$

In order to reason about unification we provide a description of the  $\mathcal{F}_0$  and  $\mathcal{H}_0$  languages where unification variables are first class terms, i.e. they have a concrete syntax. We keep these languages minimal, for example, we omit the all quantifier of DTT we used in the example in Section 1 together with the type notation of terms carried by the lam constructor.

```
kind fm type.
type fapp list fm -> fm.
type flam (fm -> fm) -> fm.
type fcon string -> fm.
type fuva addr -> fm.
type fuva addr -> fm.
type uva addr -> list tm -> tm.
type type fuva addr -> fm.
```

Figure 1: The  $\mathcal{F}_0$  and  $\mathcal{H}_0$  languages

Unification variables (fuva term constructor) in  $\mathcal{F}_0$  have no explicit scope: the arguments of an higher order variable are given via

the fapp constructor. For example the term  $P \times is$  represented as fapp[fuva N, x], where N is a memory address and x is a bound variable.

In  $\mathcal{H}_o$  the representation of P x is instead uva N [x], since unification variables come equipped with an explicit scope. We say that the unification variable occurrence uva N L is in  $\mathcal{L}_\lambda$  if and only if L is made of distinct names. The predicate to test this condition is called pattern-fragment:

```
type pattern-fragment list A -> o.
```

Natural numbers represent the memory addresses that identify unification variables in both languages. The memory and its associated operations are described below:

```
kind addr type.
type addr nat -> addr.
typeabbrev (mem A) (list (option A)).

type set? addr -> mem A -> A -> o.
type unset? addr -> mem A -> o.
type assign addr -> mem A -> A -> mem A -> o.
type new mem A -> addr -> mem A -> o.
```

If a memory cell is none, then the corresponding unification variable is not set. assign sets an unset cell to the given value, while new finds the first unused address and sets it to none.

Since in  $\mathcal{H}_o$  unification variables have a scope, their solution needs to be abstracted over it to enable the instantiation of a single solution to different scopes. This is obtained via the inctx container, and in particular via its abs binding constructor. On the contrary a solution to a  $\mathcal{F}_0$  variable is a plain term.

We call fsubst the memory of  $\mathcal{F}_0$ , while we call subst the one of  $\mathcal{H}_0$ . Both have the invariant that they are not cyclic, TODO: explain.

```
kind arity type.
type arity nat -> arity.

kind fvariable type.
type fv addr -> fvariable.

kind hvariable type.
type hv addr -> arity -> hvariable.

kind mapping type.
type mapping fvariable -> hvariable -> mapping.
typeabbrev mmap (list mapping).
```

Invariant 1 (Unification variable Arity). Each variable A in  $\mathcal{H}_o$  has a (unique) arity N and each occurrence (uva A L) is such that (len L N) holds

The compiler establishes a mapping between variables of the two languages. In order to preserve invariant 1 we store the arity of

each hvariable in the mapping and we reuse an existing mapping only if the arity matches.

TODO: add ref to section 7

When a single fvariable occurs multiple times with different numbers of arguments the compiler generates multiple mappings for it, on a first approximation, and then makes the mapping bijective by introducing link- $\eta$ ; this detail is discussed in section 6.

As we mentioned in section 2.1 the compiler replaces terms in  $\diamond \eta$  and  $\overline{\mathcal{L}_{\lambda}}$  with fresh variables linked to the problematic terms. Each class of problematic terms has a dedicated link.

```
kind baselink type.
type link-eta tm -> tm -> baselink.
type link-beta tm -> tm -> baselink.
typeabbrev link (inctx baselink).
typeabbrev links (list link).
```

The right hand side of a link, the problematic term, can occur under binders. To accommodate this situation the compiler wraps baselink using the inctx container (see,  $\cdot \vdash \cdot$ ).

Invariant 2 (Link left hand side of a suspended link is a variable.

New links are suspended by construction. If the left hand side variable is assigned during a step, then the link is considered for progress and possibly eliminated. This is discussed in section 6 and ??.

#### 4.1 Notational conventions

When we write  $\mathcal{H}_o$  terms outside code blocks we follow the usual  $\lambda$ -calculus notation, reserving f, g, a, b for constants, x, y, z for bound variables and X, Y, Z, F, G, H for unification variables. However we need to distinguish between the "application" of a unification variable to its scope and the application of a term to a list of arguments. We write the scope of unification variables in subscript while we use juxtaposition for regular application. Here a few examples:

```
 \begin{array}{lll} f \cdot a & & \operatorname{app[con \ "f", \ con \ "a"]} \\ \lambda x.\lambda y.F_{xy} & \operatorname{lam \ x\backslash \ lam \ y\backslash \ uva \ F \ [x, \ y]} \\ \lambda x.F_{x} \cdot a & \operatorname{lam \ x\backslash \ app[uva \ F \ [x], \ con \ "a"]} \\ \lambda x.F_{x} \cdot x & \operatorname{lam \ x\backslash \ app[uva \ F \ [x], \ x]}  \end{array}
```

When variables x and y can occur in term t we shall write  $t_{xy}$  to stress this fact.

```
We write \sigma = \{ A_{xy} \mapsto y \} for the assignment abs x\abs y\y and \sigma = \{ A \mapsto \lambda x.\lambda y.y \} for lam x\lam y\y .
```

When detailing examples we write links as equations between two terms under a context. The equality sign is subscripted with kind of baselink. For example  $x \vdash A_x =_{\beta} F_x$  a corresponds to:

```
abs x\ val (link-beta (uva A [x]) (app[uva F [x],con "a"]))
```

When it is clear from the context we shall use the same syntax for  $\mathcal{F}_0$  terms (although we never subscripts unification variables).

## 4.2 Equational theory and Unification

In order to express properties ?? we need to equip  $\mathcal{F}_o$  and  $\mathcal{H}_o$  with term equality, substitution application and unification.

Term equality:  $=_0 vs. =_{\lambda}$ . We extend the equational theory over ground terms to the full languages by adding the reflexivity of unification variables (a variable is equal to itself).

The first four rules are common to both equalities and just define the usual congruence over terms, and since we use an HOAS encoding they also capture  $\alpha$ -equivalence. In addition to that  $=_0$  has rules for  $\eta$  and  $\beta$ -equivalence.

```
type (=_{o}) fm -> fm -> o.
                                                                       (=_o)
fcon X =_o fcon X.
fapp A =_o fapp B :- forall2 (=_o) A B.
flam F =_o flam G := pi x \setminus x =_o x \Rightarrow F x =_o G x.
fuva N =_{o} fuva N.
flam F =_{\alpha} T :=
                                                                       (\eta_l)
  pi x beta T [x] (T' x), x =_o x \Rightarrow F x =_o T' x.
T =_{\alpha} flam F :=
                                                                       (\eta_r)
  pi x \land beta T [x] (T' x), x =_o x \Rightarrow T' x =_o F x.
fapp [flam X | L] =_o T :- beta (flam X) L R, R =_o T. (\beta_l)
T =_o fapp [flam X | L] :- beta (flam X) L R, T =_o R. (\beta_r)
type (=_{\lambda}) tm -> tm -> o.
con C =_{\lambda} fcon C.
app A =_{\lambda} fapp B :- forall2 (=_{\lambda}) A B.
lam F =_{\lambda} flam G :- pi x \ x =_{\lambda} x \Rightarrow F x =_{\lambda} G x.
uva N A =_{\lambda} fuva N B :- forall2 (=_{\lambda}) A B.
```

The main point in showing these equality tests is to remark how weaker  $=_{\lambda}$  is, and to identify the four rules that need special treatment in the implementation of  $\simeq_{o}$ .

For reference, (beta T A R) reduces away lam nodes in head position in T whenever the list A provides a corresponding argument.

```
type beta fm -> list fm -> fm -> o.
beta A [] A.
beta (flam Bo) [H | L] R :- napp (Bo H) F, beta F L R.
beta (fapp A) L (fapp X) :- append A L X.
beta (fuva N) L (fapp [fuva N | L]).
beta (fcon H) L (fapp [fcon H | L]).
beta N L (fapp [N | L]) :- name N.

type napp fm -> fm -> o.
napp (fcon C) (fcon C).
napp (fuva A) (fuva A).
napp (flam F) (flam G) :- pi x\ napp (F x) (G x).
napp (fapp [fapp L1 | L2]) T :- !,
  append L1 L2 L3, napp (fapp L3) T.
napp (fapp L) (fapp L1) :- map napp L L1.
napp N N :- name N.
```

The name predicate holds only on nominal constants (i.e. bound variables).<sup>2</sup> The choice of using n-ary application, rather than binary, is to make it easy to access the application's head. The price we pay is that substituting an application in the head of an application should be amended by "flattening" fapp nodes, that is the job of

 $<sup>^2</sup>$  Elpi provides it as a builtin, but one could implement it by systematically loading the hypothetical rule <code>name x</code> every time a nominal constant is postulated via <code>pi x</code>\

napp. <sup>3</sup> Finally note that the cut operator is inessential, it could be removed at the cost of a verbose test on the head of L in the second rule about fapp: L's head can be fcon, flam or a name.

Substitution application:  $\rho s$  and  $\sigma t$ . Applying the substitution corresponds to dereferencing a term with respect to the memory. To ease the comparison we split  $\mathcal{F}_0$  dereferencing into a fder step and a napp one. The former step replaces references to memory cells that are set with their values, and has a corresponding operation in  $\mathcal{H}_0$ , namely deref. On the contrary napp has no corresponding operation in  $\mathcal{H}_0$ . The reasons for this asymmetry is that an fapp node with a flexible head is always mapped to a uva (as per section 5 and section 8), preventing nested applications to materialize.

```
type fder fsubst -> fm -> o.
fder _ (fcon C) (fcon C).
fder S (fapp A) (fapp B) :- map (fder S) A B.
fder S (flam F) (flam G) :-
   pi x\ fder S x x => fder S (F x) (G x).
fder S (fuva N) R :- set? N S T, fder S T R.
fder S (fuva N) (fuva N) :- unset? N S.

type fderef fsubst -> fm -> o. (ρs)
fderef S T T2 :- fder S T T1, napp T1 T2.
```

Applying the substitution in  $\mathcal{H}_o$  is very similar, with the caveat that assignments have to be moved to the current scope, i.e. renaming the abs-bound variables with the names in the scope of the unification variable occurrence.

Note that move strongly relies on invariant 1: the length of the arguments of all occurrences of a unification variable and the number of abstractions in its assignment have to match. In turn this grants that move never fails.

```
type move assignment \rightarrow list tm \rightarrow tm \rightarrow o. move (abs Bo) [H|L] R :- move (Bo H) L R. move (val A) [] A.
```

*Term unification:*  $\simeq_0 vs. \simeq_{\lambda}$ . In this paper we assume to have an implementation of  $\simeq_{\lambda}$  that satisfies properties 1 and 2. Although we provide an implementation in the appendix (that we used for testing purposes) we only describe its signature here. Elpi is expected to provide this brick, as well as any other implementation of  $\lambda$ Prolog.

```
type (\simeq_{\lambda}) tm -> tm -> subst -> o.
```

The only detail worth discussing is the fact that the procedure updates a substitution, rather than just crafting one as presented in section 2. The reason is that the algorithm folds over a term, updating a substitution while it traverses it.

# 5 BASIC SIMULATION OF $\mathcal{F}_o$ IN $\mathcal{H}_o$

In this section we describe a basic compilation scheme that we refine later, in the following sections. This scheme is sufficient to implement an  $\simeq_0$  that respects  $\beta$ -conversion for terms in  $\mathcal{L}_{\lambda}$ . The extension to  $\eta\beta$ -conversion is described in Section 6 and the support for terms outside  $\mathcal{L}_{\lambda}$  in Section 8.

# 5.1 Compilation

The main task of the compiler is to recognize  $\mathcal{F}_0$  variables standing for functions and map them to higher order variables in  $\mathcal{H}_0$ . In order to bring back the substitution from  $\mathcal{H}_0$  to  $\mathcal{F}_0$  the compiler builds a "memory map" connecting the kind of variables using routine (*malloc*).

The signature of the comp predicate below allows for the generation of links (suspended unification problems) that play no role in this section but play a major role in section 6 and section 8. With respect to section 2 the signature also allows for updates to the substitution. The code below uses that possibility in order to allocate space for the variables, i.e. sets their memory address to none (a details not worth mentioning in the previous discussion).

```
type comp fm -> tm -> mmap -> mmap -> links -> links -> subst -> o.  
comp (fcon C) (con C) M M L L S S.  
comp (flam F) (lam F1) M1 M2 L1 L2 S1 S2 :-  
    comp-lam F F1 M1 M2 L1 L2 S1 S2.  
comp (fuva A) (uva B []) M1 M2 L L S1 S2 :-  
    m-alloc (fv A) (hv B (arity z)) M1 M2 S1 S2.  
comp (fapp [fuva A|Ag]) (uva B Ag1) M1 M2 L L S1 S2 :-  
    pattern-fragment Ag, !,  
    fold6 comp Ag Ag1 M1 M1 L L S1 S1,  
    len Ag Arity,  
    m-alloc (fv A) (hv B (arity Arity)) M1 M2 S1 S2.  
comp (fapp A) (app A1) M1 M2 L1 L2 S1 S2 :-  
    fold6 comp A A1 M1 M2 L1 L2 S1 S2.
```

This preliminary version of comp recognizes  $\mathcal{F}_0$  variables applied to a (possibly empty) duplicate free list of names. Note that compiling Ag cannot create new mappings nor links, since Ag is made of bound variables and the hypothetical rule loaded by comp-lam (see below) grants this property.

```
type comp-lam (fm -> fm) -> (tm -> tm) ->
    mmap -> mmap -> links -> links -> subst -> o.
comp-lam F G M1 M2 L1 L3 S1 S2 :-
    pi x y\ (pi M L S\ comp x y M M L L S S) =>
        comp (F x) (G y) M1 M2 L1 (L2 y) S1 S2,
    close-links L2 L3.
```

In the code above the syntax  $pi \times y \setminus ...$  is syntactic sugar for iterated pi abstraction, as in  $pi \times pi y \setminus ...$ 

The auxiliary function close-links tests if the bound variable  $\nu$  really occurs in the link. If it is the case the link is wrapped into an additional abs node binding  $\nu$ . In this way links generated deep inside the compiled terms can be moved outside their original context of binders.

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<sup>&</sup>lt;sup>3</sup>Note that napp is an artefact of formalization of  $\mathcal{F}_o$  we do in this presentation and, as we explain later, no equivalent of napp is needed in  $\mathcal{H}_o$ .

```
type close-links (tm -> links) -> links -> o.
close-links (v\[X |L v]) [X|R] :- !, close-links L R.
close-links (v\[X v|L v]) [abs X|R] :- close-links L R.
close-links (_\[]) [].
```

Note that we could remove the first rule, whose solve purpose is to make links more readable by pruning unused context entries.

#### 5.2 Execution

A step in  $\mathcal{H}_o$  consists in unifying two terms and reconsidering all links for progress. If any of the two tasks fail we say that the entire step fails, and it is at this granularity that we can relate steps in the two languages.

```
type hstep tm -> tm -> links -> links -> subst -> o. hstep T1 T2 L1 L2 S1 S3 :-  (T1 \simeq_{\lambda} T2) \ S1 \ S2, \\ progress L1 L2 S2 S3.
```

Note that he infix notation ((A  $\simeq_{\lambda}$  B) C D) is syntactic sugar for (( $\simeq_{\lambda}$ ) A B C D).

Reconsidering links is a fixpoint, since the progress of a link can update the substitution and in turn enable another link to progress.

```
type progress links -> links -> subst -> o.
progress L L2 S1 S3 :-
  progress1 L L1 S1 S2,
  occur-check-links L1,
  if (L = L1, S1 = S2)
     (L2 = L1, S3 = S1)
     (progress L1 L2 S2 S3).
```

In the base compilation scheme progress1 is the identity on both the links and the substitution, so the fixpoint trivially terminates. Sections 6 and 8 add rules to progress1 and justify why the don't hinder termination. For brevity we omit the code that applies the substitution S1 to all terms in  $\mathbb{L}$ .

Since compilation moves problematic terms out of the sigh of  $\simeq_{\lambda}$ , that procedure can only perform a partial occur check. For example the unification problem  $X \simeq_{\lambda} f Y$  cannot generate a cyclic substitution alone, but should be disallowed if a  $\mathbb{L}$  contains a link like  $\vdash Y =_{\eta} \lambda z. X_z$ : We don't know yet if Y will feature a lambda in head position, but we surely know it contains X, hence f Y and that fails the occur check. The procedure occur-check-links is in charge of ensuring that each link does not represent a (suspended) unification problem doomed to fail because of occur check. This check is needed in order to guarantee proposition 2.2 (simulation fidelity).

# 5.3 Substitution decompilation

Decompiling the substitution requires to first force the progress of links and then allocating new unassigned variables in the substitution for  $\mathcal{F}_0$  and finally decompiling all assignments. Note that invariant 2 and the occur check allows us to update the subst.

```
type decompile mmap -> links -> subst ->
fsubst -> fsubst -> o.
decompile M1 L S F1 F3 :-
commit-links L S S1,
```

```
complete-mapping S1 S1 M1 M2 F1 F2,
decompm M2 M2 S1 F2 F3.
```

TODO: What is commit-links and complete-mapping?, maybe complete-mapping can be hidden in the code rendering? Decompiling an assignment requires to turn abstractions into lambdas. For aestetic purposes we also eta-contract the result (not needed since  $\mathcal{F}_0$  equality can do that)

```
type decompm mmap -> mmap -> subst -> fsubst -> o.
decompm _ [] _ F F.
decompm M [mapping (fv V) (hv H _) | MS] S F1 F3 :- set? H S A,
    deref-assmt S A A1,
    abs->lam A1 T, decomp M T T1,
    eta-contract T1 T2,
    assign V F1 T2 F2,
    decompm M MS S F2 F3.
decompm M [mapping _ (hv H _) | MS] S F1 F2 :- unset? H S,
    decompm M MS S F1 F2.
```

Finally decompiling a term is trivial, now that we have an extended mapping containing all unassigned variables  $\simeq_{\lambda}$  may have introduced.

```
type decomp mmap -> tm -> fm -> o.
decomp _ (con C) (fcon C).
decomp M (app A) (fapp B) :- map (decomp M) A B.
decomp M (lam F) (flam G) :-
   pi x y\ (pi M\ decomp M x y) => decomp M (F x) (G y).
decomp M (uva Hv Ag) R :-
   mem M (mapping (fv Fv) (hv Hv _)),
   map (decomp M) Ag Bg,
   beta (fuva Fv) Bg R.
```

Note that we use beta to build fapp nodes when needed (if Ag is empty no fapp node should appear).

Invariant 3. TODO: dire che il mapping è bijective

# 5.4 Definition of $\simeq_0$ and its properties

```
type (\simeq_o) fm -> fm -> fsubst -> o.

(A \simeq_o B) F :-

comp A A' [] M1 [] [] [] S1,

comp B B' M1 M2 [] [] S1 S2,

hstep A' B' [] [] S2 S3,

decompm M2 M2 S3 [] F.
```

The code given so far applies to terms in  $\beta\eta$ -normal form where unification variables in  $\mathcal{F}_o$  can occur non linearly but always with the same number of arguments, and where their arguments are distinct names (as per  $\mathcal{L}_{\lambda}$ ).

```
Lemma 5.1 (Compilation round trip). If comp s t [] m [] _ [] _ then decomp m t s
```

PROOF SKETCH. trivial, since the terms are beta normal beta just builds an app.  $\Box$ 

Lemma 5.2. Properties (correct) and (complete) hold for the implementation of  $\simeq_0$  above

PROOF SKETCH. In this setting  $=_{\lambda}$  is as strong as  $=_{0}$  on ground terms. What we have to show is that whenever two different  $\mathcal{F}_{0}$  terms

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can be made equal by a substitution  $\rho$  (plus the  $\beta_l$  and  $\beta_r$  if needed) we can find this  $\rho$  by finding a  $\sigma$  via  $\simeq_{\lambda}$  on the corresponding  $\mathcal{H}_o$  terms and by decompiling it. If we look at the  $\mathcal{F}_o$  terms, the are two interesting cases:

- fuva  $X \simeq_{\sigma} s$ . In this case after comp we have  $Y \simeq_{\lambda} t$  that succeeds with  $\sigma = \{Y \mapsto t\}$  and  $\sigma$  is decompiled to  $\rho = \{Y \mapsto s\}$ .
- fapp[fuva X|L]  $\simeq_o$  s. In this case we have  $Y_{\vec{x}} \simeq_\lambda t$  that succeeds with  $\sigma = \{\vec{y} \vdash Y \mapsto t[\vec{x}/\vec{y}]\}$  that in turn is decompiled to  $\rho = \{Y \mapsto \lambda \vec{y}.s[\vec{x}/\vec{y}]\}$ . Thanks to  $\beta_l \ (\lambda \vec{y}.s[\vec{x}/\vec{y}]) \ \vec{x} =_o s$ .

Since the mapping is a bijection occur check in  $\mathcal{H}_o$  corresponds to occur check in  $\mathcal{F}_o$ .

LEMMA 5.3. Properties simulation (2.1) and fidelity (2.2) hold

PROOF SKETCH. Since progress1 is trivial fstep and hstep are the same, that is in this context where input terms are  $\beta\eta$ -normal and we disregard  $\eta$ -equivalence  $\simeq_{\lambda}$  is equivalent to  $\simeq_{0}$ .

## 5.5 Limitations of by this basic scheme

The basic compilation scheme is not about to deal wit the following problem:

$$\mathbb{P} = \{ \lambda xy.X \cdot y \cdot x \simeq_{o} \lambda xy.x \quad \lambda x.f \cdot (X \cdot x) \cdot x \simeq_{o} Y \}$$

Note that here X is used with different arities, moreover in the second problem the left hand side happens to be an eta expansion (of  $f(\lambda y.y)$ ) only after we discover (at run time) that  $X = \lambda x \lambda y.y$  (i.e. that X discards the x argument). Both problems are addressed in the next two sections.

#### **6** HANDLING OF $\Diamond \eta$

 $\eta$ -reduction is an equivalence relation where a term of the form  $\lambda x.t \cdot x$  can be converted to t any time x does not occur as a free variable in t. We call t the  $\eta$ -contraction of  $\lambda x.t \cdot x$ .

Following the compilation scheme of section 5 the unification problem  $\mathbb P$  is compiled as follows:

$$\begin{split} \mathbb{P} &= \{ \ \lambda x. X \cdot x \simeq_o f \ \} \\ \mathbb{T} &= \{ \ \lambda x. A_x \simeq_\lambda f \ \} \\ \mathbb{M} &= \{ \ X \mapsto A^1 \ \} \end{split}$$

While  $\lambda x. X\cdot x\simeq_o f$  does admit the solution  $\rho=\{X\mapsto f\}$ , the corresponding problem in  $\mathbb T$  does not: lam x\ uva A [x] and con"f" start with different, rigid, term constructors hence  $\simeq_\lambda$  fails.

In order to guarantee proposition 2.1 we detect lambdas that can disappear by eta contraction (section 6.1) and we modify the term compiler by putting fresh unification variables in their place: the problematic term is moved from from  $\mathbb{T}$  to  $\mathbb{L}$  (section 6.2). The compilation of the problem  $\mathbb{P}$  above is refined to:

$$\begin{split} \mathbb{P} &= \left\{ \begin{array}{l} \lambda x.X \cdot x \simeq_o f \end{array} \right\} \\ \mathbb{T} &= \left\{ \begin{array}{l} A \simeq_\lambda f \end{array} \right\} \\ \mathbb{M} &= \left\{ \begin{array}{l} X \mapsto B^1 \end{array} \right\} \\ \mathbb{L} &= \left\{ \begin{array}{l} + A =_\eta \lambda x.B_x \end{array} \right\} \end{split}$$

As per invariant 2 the term on the left is a variable, and its right counterpart is the term in  $\Diamond \eta$ , and that term has the following property:

Invariant 4 (link- $\eta$  rhs). The rhs of any link- $\eta$  has the shape  $\lambda x.t$  and t is not a lambda.

link- $\eta$  are kept in the link store  $\mathbb L$  during execution and activated when some conditions hold on lhs or rhs. Link activation is implemented by extending the progress1 predicate (defined in section 5.2).

#### **6.1** Detection of $\Diamond \eta$

When compiling a term t we need to determine if any subterm  $s \in \mathcal{P}(t)$  that is of the form  $\lambda x.r$ , where x occurs in r, can be a  $\eta$ -expansion, i.e. if there exists a substitution  $\rho$  such that  $\rho(\lambda x.r) =_{o} s$ . The detection of lambda abstractions that can "disappear" is not as trivial as it may seems, here a few examples:

$$\begin{array}{lll} \lambda x.f\cdot (A\cdot x) & \in \Diamond \eta & \rho = \{\,A \mapsto \lambda x.x\,\,\} \\ \lambda x.f\cdot (A\cdot x)\cdot x & \in \Diamond \eta & \rho = \{\,A \mapsto \lambda x.a\,\,\} \\ \lambda x.f\cdot x\cdot (A\cdot x) & \notin \Diamond \eta \\ \lambda x.\lambda y.f\cdot (A\cdot x)\cdot (B\cdot y\cdot x) & \in \Diamond \eta & \rho = \{\,A \mapsto \lambda x.x,\,\,B \mapsto \lambda y.\lambda x.y\,\,\} \end{array}$$

The first two examples are easy, and show how a unification variable can expose or erase a variable and turn the resulting term in an  $\eta$ -expansion or not.

The third example shows that when a variable occurs outside the scope of a unification variable it cannot be erased and can hence prevent a term from being an  $\eta$ -expansion.

The last example shows the recursive nature of the check we need to implement. The term starts with a spine of two lambdas hence the whole term is in  $\Diamond \eta$  iff the inner term  $\lambda y.f\cdot (A\cdot x)\cdot (B\cdot y\cdot x)$  is in  $\Diamond \eta$  itself. If it is, it could  $\eta$ -contract to  $f\cdot (A\cdot x)$  making  $\lambda x.f\cdot (A\cdot x)$  a potential  $\eta$ -expansion.

We can now define more formally how  $\diamond \eta$  terms are detected together with its auxiliary functions:

*Definition 6.1* (may-contract-to). A term s *may-contract-to* a name x if there exists a substitution  $\rho$  such that  $\rho s =_0 x$ .

Lemma 6.2. A  $\beta$ -normal term  $s = \lambda x_1 \dots x_n$ .t may-contract-to x only if one of the following three conditions holds:

- (1) n = 0 and t = x;
- (2) t is the application of x to a list of terms l and each  $l_i$  may-contract-to  $x_i$  (e.g.  $\lambda x_1 \dots x_n \dots x_1 \dots x_n = 0$  x);
- (3) t is a unification variable with scope W, and for any  $v \in \{x, x_1 \dots x_n\}$ , there exists a  $w_i \in W$ , such that  $w_i$  may-contract-to v (if n = 0 this is equivalent to  $x \in W$ ).

PROOF SKETCH. Since our terms are in  $\beta$ -normal form there is only one rule that can play a role (namely  $\eta_l$ ), hence if the term s is not exactly x (case 1) it can only be an  $\eta$ -expansion of it, or a unification variable that can be assigned to it, or a combination of both. If s begins with a lambda, then the lambda can only disappear by  $\eta$  contraction. In that case the term t under the spine of binders for  $x_1 \dots x_n$  can either be x itself applied to terms that can may-contract-to these variables (case 2), or a unification variable that can be assigned to that application (case 3).

Note that this condition does not require the term to be in  $\mathcal{L}_{\lambda}$ .

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Definition 6.3 (occurs-rigidly). A name x occurs-rigidly in a  $\beta$ -normal term t, if  $\forall \rho, x \in \mathcal{P}(\rho t)$ 

In other words x occurs-rigidly in t if it occurs in t outside of the scope of unification variables since an instantiation is allowed to discard x from the scope of the unification variable. Note that  $\eta$ -contraction cannot make x disappear, since the variables being erased by  $\eta$ -contraction are locally bound inside t.

We can now derive the implementation for  $\Diamond \eta$  detection:

*Definition 6.4* (maybe-eta). Given a *β*-normal term  $s = \lambda x_1 \dots x_n . t$ , *maybe-eta s* holds if any of the following holds:

- (1) t is a constant or variable applied to arguments  $l_1 \dots l_m$  such that  $m \ge n$  and for every i such that  $1 \le i \le m n$  the term  $l_i$  may-contract-to  $x_i$ , and no  $x_i$  occurs-rigidly in  $l_1 \dots l_{m-n-1}$ ;
- (2) t is a unification variable with scope W and for each  $x_i$  there exists a  $w_i \in W$  such that  $w_i$  may-contract-to  $x_i$ .

Lemma 6.5 ( $\Diamond \eta$  detection). If t is a  $\beta$ -normal term and maybeeta t holds, then  $t \in \Diamond \eta$ .

Proof sketch. Follows from definition 6.3 and lemma 6.2 □

Remark that the converse of lemma 6.5 does not hold: there exists a term t satisfying the criteria (1) of definition 6.4 that is not in  $\Diamond \eta$ , i.e. there exists no substitution  $\rho$  such that  $\rho t$  is an  $\eta$ -expansion. A simple counter example is  $\lambda x.f\cdot (Ax)\cdot (Ax)$  since x does not occurrigidly in the first argument of f, and the second argument of f may-contract-to x. In other words  $A\cdot x$  may either use or discard x, but our analysis does not take into account that the same term cannot have two contrasting behaviors.

As we will see in the rest of this section this is not a problem since it does not break proposition 2.1 nor proposition 2.2.

#### 6.2 Compilation

The following rule is inserted just before rule  $(c_{\lambda})$  from the code in section 5

```
comp (flam F) (uva A Scope) M1 M2 L1 L3 S1 S3 :-
maybe-eta (flam F) [], !,
   alloc S1 A S2,
   comp-lam F F1 M1 M2 L1 L2 S2 S3,
   get-scope (lam F1) Scope,
   L3 = [val (link-eta (uva A Scope) (lam F1)) | L2].
```

The rule triggers when the input term flam F is in  $\Diamond \eta$ . It compiles it to lam F1 but puts the fresh variable A in its place. The variable sees all the names free in lam F1. The critical part of this rule is the creation of the link- $\eta$ , which relates the variable A with lam F1. This link clearly validates invariant 2.

COROLLARY 6.6. The rhs of any link- $\eta$  has exactly one lambda abstraction, hence the rule above respects invariant 4.

PROOF SKETCH. By contradiction, suppose that the rule above triggered and that the rhs of the link is  $\lambda x.\lambda y.t_{xy}$ . If  $maybe-eta\,\lambda y.t_{xy}$  holds the recursive call to comp (made by comp-lam) must have put a fresh variable in its place, so this case is impossible. Otherwise, if  $maybe-eta\,\lambda y.t_{xy}$  does not hold, also  $maybe-eta\,\lambda x.\lambda y.t_{xy}$  does not hold, contradicting the assumption that the rule triggered.  $\Box$ 

TODO: W preservation proposition 2.8

## 6.3 Progress

link- $\eta$  are meant to delay the unification of "problematic" terms until we know for sure if the head lambda has to be  $\eta$ -contracted or not.

Definition 6.7 (progress-η-left). A link  $\Gamma \vdash X =_{\eta} T$  is removed from  $\mathbb L$  when X becomes rigid. There are two cases:

- (1) if X = a or X = y or  $X = f \cdot a_1 \dots a_n$  we unify the  $\eta$ -expansion of the X with T, that is we run  $\lambda x. X. x \simeq_{\lambda} T$  (under the context  $\Gamma$ )
- (2) if  $X = \lambda x.t$  we run  $X \simeq_{\lambda} T$ .

Definition 6.8 (progress- $\eta$ -right). A link  $\Gamma \vdash X =_{\eta} T$  is removed from  $\mathbb{L}$  when *maybe-eta* T does not hold (anymore) and by  $\eta$ -contracting T to T' (if possible, else T' = T) and executing  $X \simeq_{\lambda} T'$  (under the context Γ).

There is a third case in which a link is removed from  $\mathbb{L}$ , namely when the lhs is assigned to another variable that is the lhs of another link- $\eta$ .

Definition 6.9 (progress- $\eta$ -deduplicate). A link  $\Gamma \vdash X_{\vec{s}} =_{\eta} T$  is removed from  $\mathbb{L}$  when another link  $\Delta \vdash X_{\vec{r}} =_{\eta} T'$  is in  $\mathbb{L}$ . By invariant 1 the length of  $\vec{s}$  and  $\vec{r}$  is the same hence we can move the term T' from  $\Delta$  to  $\Gamma$  by renaming its bound variables, i.e.  $T'' = T'[\vec{r}/\vec{s}]$ . We then run  $T \simeq_{\lambda} T''$  (under the context  $\Gamma$ ).

TODO: prove proposition 2.8: we never commit a  $\Diamond \eta$  term in  $\sigma$  since we run  $\simeq_{\lambda}$  only when we know that the terms are no more  $\Diamond \eta$ , and when lhs is no more a variable or rhs is no more a  $\Diamond \eta$ , the link is removed from  $\mathbb{L}$ .

LEMMA 6.10. progress terminates.

Proof sketch. Rules definitions 6.7 and 6.8 and definition 6.9 remove one link from  $\mathbb{L}$ , hence they cannot be applied indefinitely. Moreover each rule only relies on terminating operations such as  $\simeq_{\lambda}$ ,  $\eta$ -contraction,  $\eta$ -expansion, relocation (a recursive copy of a finite term).

Example of progress-η-left. The example at the beginning of section 6, once  $\sigma = \{A \mapsto f\}$ , triggers this rule since the link becomes  $\vdash f =_{\eta} \lambda x.B_X$  and the lhs is a constant. In turn the rule runs  $\lambda x.f \cdot x \simeq_{\lambda} \lambda x.B_X$ , resulting in  $\sigma = \{A \mapsto f; B_X \mapsto f\}$ . Decompilation the generates  $\rho = \{X \mapsto f\}$ , since X is mapped to B and f is the  $\eta$ -contracted version of  $\lambda x.f \cdot x$ .

*Example of* progress- $\eta$ -right. A second example, showing the activation of a link when the rhs is no more a  $\Diamond \eta$ , is given in section 7, since we need to work with variables used with different arities. This example represent the run of the unification problems proposed at section 5.5

*Example of* progress- $\eta$ -deduplicate. A very basic example of link- $\eta$  deduplication, is given below:

```
\begin{split} \mathbb{P} &= \left\{ \begin{array}{l} \lambda x.(X\cdot x) \simeq_o \lambda x.(Y\cdot x) \right. \right\} \\ \mathbb{T} &= \left\{ \begin{array}{l} A \simeq_\lambda C \\ \end{array} \right. \\ \mathbb{M} &= \left\{ \begin{array}{l} X \mapsto B^1 \quad Y \mapsto D^1 \end{array} \right. \right\} \\ \mathbb{L} &= \left\{ \begin{array}{l} + A =_\eta \lambda x.B_X \\ \end{array} \right. + C =_\eta \lambda x.D_X \left. \right. \right\} \end{split}
```

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The result of  $A \simeq_{\lambda} C$  is that the two link- $\eta$  share the same lhs. By unifying the two rhs we get  $\sigma = \{A \mapsto C, B \mapsto D \}$ . In turn, given the map  $\mathbb{M}$ , this second assignment is decompiled to  $\rho = \{X \mapsto Y\}$  as expected.

TODO: we can have  $\lambda x.F_x$  in the substitution if we know that F does not reduce to Tx where x is not free in T.

#### 7 ENFORCING INVARIANT 1

We report here the problem given in section 5.5 where X is used with two different arities and the output of the compilation does not respect invariant 3 (merging the two mappings for s would break invariant 1). In this section we explain how to replace the duplicate mapping with some link- $\eta$  in order to restore the invariants.

$$\begin{split} \mathbb{P} &= \left\{ \begin{array}{ll} \lambda x.\lambda y.(X\cdot y\cdot x) &\simeq_o \lambda x.\lambda y.x & \lambda x.(f\cdot (X\cdot x)\cdot x) \simeq_o Y \right. \right\} \\ \mathbb{T} &= \left\{ \begin{array}{ll} A &\simeq_\lambda \lambda x.\lambda y.x & D \simeq_\lambda F \right. \\ \mathbb{M} &= \left\{ \begin{array}{ll} X \mapsto E^1 & Y \mapsto F^0 & X \mapsto C^2 \right. \right\} \\ \mathbb{L} &= \left\{ \begin{array}{ll} + D &=_\eta \lambda x.(f\cdot E_x \cdot x) & \mapsto A =_\eta \lambda x.B_x \\ x \mapsto B_x &=_\eta \lambda y.C_{yx} \end{array} \right. \right\} \end{split}$$

We see that the maybe-eta as identified  $\lambda xy.X\cdot y\cdot x$  and  $\lambda x.f\cdot (X\cdot x)\cdot x$  and the compiler has replaced them with A and D respectively. However, the mapping  $\mathbb M$  breaks invariant 3: the  $\mathcal F_o$  variable X is mapped to two different  $\mathcal H_o$  variables. To address this problem we adjust the compiler's output with a map-deduplication procedure.

Definition 7.1 (align-arity). Given two mappings  $m_1: X \mapsto A^m$  and  $m_2: X \mapsto C^n$  where m < n and d = n - m, align-arity  $m_1 m_2$  generates the following d links, one for each i such that  $0 \le i < d$ ,

$$x_0 \cdots x_{m+i} \vdash B^i_{x_0 \cdots x_{m+i}} =_{\eta} \lambda x_{m+i+1}.B^{i+1}_{x_0 \cdots x_{m+i+1}}$$

where  $B^i$  is a fresh variable of arity m+i, and  $B^0=A$  as well as  $B^d=C$ .

The intuition is that we  $\eta$ -expand the occurrence of the variable with lower arity to match the higher arity. Since each link- $\eta$  can add exactly one lambda, we need as many links as the difference between the two arities.

Definition 7.2 (map-deduplication). For all mappings  $m_1, m_2 \in \mathbb{M}$  such that  $m_1: X \mapsto A^m$  and  $m_2: X \mapsto C^n$  and m < n we remove  $m_1$  from  $\mathbb{M}$  and add to  $\mathbb{L}$  the result of align-arity  $m_1$   $m_2$ .

If we look back the example give at the beginning of this section, we can deduplicate  $X \mapsto E^1, X \mapsto C^2$  by removing the first mapping and adding the auxiliary link- $\eta$ :  $x \vdash E_x =_{\eta} \lambda y.C_{xy}$ . After deduplication the compiler output is as follows:

$$\begin{split} \mathbb{P} &= \left\{ \begin{array}{ll} \lambda x.\lambda y.(X\cdot y\cdot x) \; \simeq_o \; \lambda x.\lambda y.x & \lambda x.(f\cdot (X\cdot x)\cdot x) \; \simeq_o \; Y \; \right\} \\ \mathbb{T} &= \left\{ \begin{array}{ll} A \; \simeq_\lambda \; \lambda x.\lambda y.x & D \; \simeq_\lambda \; F \; \right\} \\ \mathbb{M} &= \left\{ \begin{array}{ll} Y \mapsto F^0 \quad X \mapsto C^2 \; \right\} \\ \mathbb{L} &= \left\{ \begin{array}{ll} E_X \vdash \lambda y.C_{Xy} =_x & \vdash D =_\eta \; \lambda x.(f\cdot E_X \cdot x) \\ \vdash A =_\eta \; \lambda x.B_X & x \vdash B_X =_\eta \; \lambda y.C_{yx} \end{array} \right\} \end{split}$$

TODO: dire che preserviamo l'invariante che tutte le variable sono fully-applied

# 8 HANDLING OF $\overline{\mathcal{L}_{\lambda}}$

Until now, we have only dealt we unification of terms in  $\mathcal{L}_{\lambda}$ . How-l'esaguzione er, we want the unification relation to be more robust so that (in it can work with terms in  $\overline{\mathcal{L}_{\lambda}}$ . Unification in  $\overline{\mathcal{L}_{\lambda}}$  is in general a comp

non-decidable procedure, e.g.  $X \cdot a \simeq_0 a$  is a unification problem in  $\overline{\mathcal{L}_{\lambda}}$ , since we have Xa has the shape  $Xt_1 \dots t_n$  where X is a unification variable and  $t_1 \dots t_n$  is not a list of distinct names (in our example, a is a constant, hence not a name). We also point out that this unification problem admits two different substitutions:  $\rho_1 = \{X \mapsto \lambda x.x\}$  and  $\rho_2 = \{X \mapsto \lambda_- a\}$ .

It is the case, however, given a list of unification n problems  $\mathbb{P}$ , if  $\mathbb{P}_i$  is in  $\overline{\mathcal{L}_{\lambda}}$ , it is possible that the resolution of the problems  $\bigwedge_{j=0}^{i-1} \mathbb{P}_j$  gives a partial substitution  $\rho$ , such that  $\rho \mathbb{P}_i$  falls again in f.

$$\begin{split} \mathbb{P} &= \{ \ X \simeq_o \ \lambda x. Y \quad (X \cdot a) \simeq_o \ a \ \} \\ \mathbb{T} &= \{ \ A \simeq_\lambda \ \lambda x. B \quad (A \cdot a) \simeq_\lambda \ a \ \} \\ \mathbb{M} &= \{ \ Y \mapsto B^0 \quad X \mapsto A^0 \ \} \end{split}$$

In the example above, we see that  $\mathbb{P}_1$  instantiates X so that  $\mathbb{P}_2$ , can be solved in  $\mathcal{L}_{\lambda}$ . On the other hand, even though  $\simeq_o$  is able to solve  $\mathbb{P}$ ,  $\simeq_{\lambda}$  can't solve the compiled problems  $\mathbb{T}$ . In fact, the resolution of  $\mathbb{T}_1$  gives the substitution  $\sigma = \{A \mapsto \lambda x.B\}$ , but the dereferencing of  $\mathbb{T}_2$  gives the not unifable problem  $(\lambda x.B)$   $a \simeq_{\lambda} a$ .

In order to encompass this unification, we capture terms t in  $\mathcal{L}_{\lambda}$  and replace them with fresh variables v. As per  $\overline{\mathcal{L}_{\lambda}}$ , the variables v and the terms t are linked through a link- $\beta$ .

link- $\beta$  guarantee invariant 2 and the term on the rhs has the following property:

INVARIANT 5 (link- $\beta$  rhs). The rhs of any link- $\beta$  has the shape  $Xt_1 \ldots t_n$  such that X is a flexible variable and  $t_1 \ldots t_n$  is not in  $\mathcal{L}_{\lambda}$ .

link- $\beta$  are put in  $\mathbb L$  and activated when rhs falls in  $\mathcal L_\lambda$ .

COROLLARY 8.1. If the lhs of a link- $\beta$  is instantiated to a rigid term and its rhs counterpart is still in  $\overline{\mathcal{L}_{\lambda}}$ , the current unification problem is not in  $\mathcal{L}_{\lambda}$  and the unification fails.

PROOF SKETCH. Given  $X \cdot t_1 \dots t_n \cong_{\lambda} t$  where t is a rigid term and  $t_1 \dots t_n$  is not in  $\mathcal{L}_{\lambda}$ . By construction,  $X \cdot t_1 \dots t_n$  is replaced with a variable V, and the  $\liminf -\beta \vdash V =_{\beta} X \cdot t_1 \dots t_n$  is created. The unification instantiates V to t, making the lhs of the link a rigid term, while rhs is still in  $\overline{\mathcal{L}_{\lambda}}$ . The original problem is in fact outside  $\mathcal{L}_{\lambda}$  and unification fails.

# 8.1 Compilation

Detection of  $\overline{\mathcal{L}}_{\lambda}$  is quite simple to implement in the compiler, it is sufficient to

Dire che maybebeta is simple to detect e che maybe eta funziona bene and su termini che non sono il llambda

COROLLARY 8.2. Right hand side is  $X_{scope}t_1 \dots t_n$  and  $t_1$  is in scope or  $t_1$  is not a name

```
comp (fapp [fuva A|Ag]) (uva C Scope) M1 M3 L1 L3 S1 S4 :- !,
  pattern-fragment-prefix Ag Pf Extra,
  fold6 comp Pf    Scope1 M1 M1 L1 L1 S1 S1,
  fold6 comp Extra Extra1 M1 M2 L1 L2 S1 S2,
  len Pf Arity,
  m-alloc (fv A) (hv B (arity Arity)) M2 M3 S2 S3,
  Beta = app [uva B Scope1 | Extra1],
  get-scope Beta Scope,
  alloc S3 C S4,
  L3 = [@val-link-beta (uva C Scope) Beta | L2].
```

A term is  $\overline{\mathcal{L}_{\lambda}}$  if it has the shape fapp[fuva A|Ag] and distinct Ag does not hold. In that case, Ag is split in two sublist Pf and Extra such that former is the longest prefix of Ag such that distinct Pf holds. Extra is the list such that append Pf Extra Ag. Next important step is to compile recursively the terms of these lists and allocate a memory adress B from the substitution in order to map the  $\mathcal{F}_0$  variable fuva A to the  $\mathcal{H}_0$  variable uva B. The link- $\beta$  to return in the end is given by the term Beta = app[uva B Scope1 | Extra1] constituting the rhs, and a fresh variable C having in scope all the free variables occurring in Beta (this is lhs). We point out that the rhs is intentionally built as an uva where Extra1 are not in scope, since by invariant, we want all the variables appearing in  $\mathcal{H}_0$  to be in  $\mathcal{L}_{\lambda}$ .

## 8.2 Progress

Once created, there exist two main situations waking up a suspended link- $\beta$ . The former is strictly connected to the definition of  $\beta$ -redex and occurs when the head of rhs is materialized by the oracle (see proposition 2.1). In this case rhs is safely  $\beta$ -reduced to a new term t' and the result can be unified with lhs. In this scenario the link- $\beta$  has accomplished its goal and can be removed from  $\mathbb{L}$ .

The second circumstance making the link- $\beta$  to progress is the instantiation of the variables in the Extra1 making the corresponding arguments to reduce to names. In this case, we want to take the list Scope1 and append to it the largest prefix of Extra1 in a new variable Scope2 such that Scope2 remains in  $\mathcal{L}_{\lambda}$ ; we call Extra2 the suffix of Extra1 such that the concatenation of Scope1 and Extra1 is the same as the concatenation of Scope2 and Extra2. Finally, two cases should be considered: 1) Extra2 is the empty list, lhs and rhs can be unified: we have two terms in  $\mathcal{L}_{\lambda}$ ; otherwise 2) the link- $\beta$  in question is replaced with a refined version where the rhs is app[uva C Scope2 | Extra2] and a new link- $\eta$  is added between the lhs and the new-added variable C.

An example justifying this second link manipulation is given by the following unification problem:

```
f = flam x \land fapp[F, fapp[A, x]].
```

The compilation of these terms produces the new unification problem: f = X0

We obtain the mappings  $F \mapsto \mathbf{F}^0, A \mapsto \mathbf{A}^1$  and the links:

$$c0 \vdash X3_{c0} =_{\beta} X2 X1_{c0} \tag{6}$$

$$+X0 =_n \lambda c 0.X3_{c0} \tag{7}$$

where the first link is a link- $\eta$  between the variable X0, representing the right side of the unification problem (it is a  $\Diamond \eta$ ) and X3; and a link- $\beta$  between the variable X3 and the subterm  $\lambda x.X1_x$  a (it is a  $\overline{\mathcal{L}_{\lambda}}$ ). The substitution tells that  $x \vdash X1_x = x$ .

We can now represent the hrun execution from this configuration which will, at first, dereference all the links, and then try to solve them. The only link being modified is the second one, which is set to  $x \vdash X3 =_{\beta} X2xa$ . The rhs of the link has now a variable which is partially in the PF, we can therefore remove the original link- $\beta$  and replace it with the following couple on links:

$$\vdash$$
 X1 = $\eta$ = x\ `X4 x'  
x  $\vdash$  X3 x = $\beta$ = x\ `X4 x' a

By these links we say that X1 is now  $\eta$ -linked to a fresh variable X4 with arity one. This new variable is used in the new link- $\beta$  where the name x is in its scope. This allows

## 8.3 Tricky examples

```
triple ok (@lam x\ @app[@f, @app[@X, x]]) @Y,
triple ok @X (@lam x\ x),
triple ok @Y @f

% @okl 22 [
%    triple ok (@lam x\ @lam y\ @app[@Y, y, x]) @X,
%    triple ok (@lam x\ @f) @X,
```

## 9 FIRST ORDER APPROXIMATION

**TODO:** Coq can solve this: f 1 2 = X 2, by setting X to f 1 **TODO:** We can re-use part of the algo for  $\beta$  given before

#### 10 UNIF ENCODING IN REAL LIFE

TODO: Il ML presentato qui è esattamente elpi TODO: Il OL presentato qui è esattamente coq TODO: Come implementatiamo tutto ciò nel solver

#### 11 RESULTS: STDPP AND TLC

TODO: How may rule are we solving?
TODO: Can we do some perf test

#### 12 CONCLUSION

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# **APPENDIX** This appendix contains the entire code described in this paper. The code can also be accessed at the URL: https://github.com/FissoreD/ Note that (a infix b) c d de-sugars to (infix) a b c d. Explain builtin name (can be implemented by loading name after each pi) 13 THE MEMORY kind addr type. type addr nat -> addr. typeabbrev (mem A) (list (option A)). type set? addr -> mem A -> A -> o.

```
unset? Addr Mem :- not (set? Addr Mem _).
type assign-aux nat -> mem A -> A -> mem A -> o.
assign-aux z (none :: L) Y (some Y :: L).
```

set? (addr A) Mem Val :- get A Mem Val.

type unset? addr -> mem A -> o.

type assign addr -> mem A -> A -> mem A -> o. assign (addr A) Mem1 Val Mem2 :- assign-aux A Mem1 Val Mem2.

assign-aux (s N) (X :: L) Y (X :: L1) :- assign-aux N L Y L1.

```
get z (some Y :: _) Y.
get (s N) (_ :: L) X :- get N L X.
```

type alloc-aux nat -> mem A -> mem A -> o.

type get nat -> mem A -> A -> o.

alloc-aux z [] [none] :- !. alloc-aux z L L. alloc-aux (s N) [] [none | M] :- alloc-aux N [] M. alloc-aux (s N) [X | L] [X | M] :- alloc-aux N L M.

type alloc addr -> mem A -> mem A -> o. alloc (addr A as Ad) Mem1 Mem2 :- unset? Ad Mem1, alloc-aux A Mem1 Mem2.

type new-aux mem A -> nat -> mem A -> o. new-aux [] z [none]. new-aux [A | As] (s N) [A | Bs] :- new-aux As N Bs.

type new mem A -> addr -> mem A -> o. new Mem1 (addr Ad) Mem2 :- new-aux Mem1 Ad Mem2.

# 14 THE OBJECT LANGUAGE

```
kind fm type.
type fapp list fm -> fm.
type flam (fm -> fm) -> fm.
type fcon string -> fm.
type fuva addr -> fm.
typeabbrev fsubst (mem fm).
```

```
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type fder fsubst -> fm -> o.
                                                                       1452
fder _ (fcon C) (fcon C).
                                                                       1453
fder S (fapp A) (fapp B) :- map (fder S) A B.
fder S (flam F) (flam G) :-
                                                                       1455
  pi x \land fder S x x \Rightarrow fder S (F x) (G x).
                                                                       1456
fder S (fuva N) R :- set? N S T, fder S T R.
                                                                       1457
fder S (fuva N) (fuva N) :- unset? N S.
                                                                       1458
                                                                       1459
type fderef fsubst -> fm -> o.
                                                            (\rho s)
                                                                       1460
fderef S T T2: - fder S T T1, napp T1 T2.
                                                                       1463
type (=_o) fm -> fm -> o.
                                                            (=_o)
                                                                       1464
fcon X =_{o} fcon X.
                                                                       1465
fapp A =_o fapp B := forall2 (=_o) A B.
                                                                       1466
flam F =_o flam G := pi x \setminus x =_o x \Rightarrow F x =_o G x.
                                                                       1467
fuva N =_{0} fuva N.
                                                                       1468
flam F =_{\alpha} T :=
                                                                       1469
                                                            (\eta_l)
  pi x \land beta T [x] (T' x), x =_o x \Rightarrow F x =_o T' x.
                                                                       1470
T =_{o} flam F :=
                                                            (\eta_r)
                                                                       1471
  pi x \ beta T [x] (T' x), x =_o x \Rightarrow T' x =_o F x.
                                                                       1472
fapp [flam X | L] = _{o} T :- beta (flam X) L R, R = _{o} T. (\beta_{l})
                                                                       1473
T =_{o} fapp [flam X | L] := beta (flam X) L R, <math>T =_{o} R. (\beta_r)
                                                                       1474
type extend-subst fm -> fsubst -> fsubst -> o.
                                                                       1476
extend-subst (fuva N) S S' :- mem.alloc N S S'.
                                                                       1477
extend-subst (flam F) S S' :-
                                                                       1478
  pi x \ (pi S\extend-subst x S S) => extend-subst (F x) S S'.
extend-subst (fcon _) S S.
extend-subst (fapp L) S S1 :- fold extend-subst L S S1.
type beta fm -> list fm -> fm -> o.
                                                                       1483
beta A [] A.
                                                                       1484
beta (flam Bo) [H | L] R :- napp (Bo H) F, beta F L R.
                                                                       1485
beta (fapp A) L (fapp X) :- append A L X.
                                                                       1486
beta (fuva N) L (fapp [fuva N | L]).
                                                                       1487
beta (fcon H) L (fapp [fcon H | L]).
beta N L (fapp [N | L]) :- name N.
                                                                       1490
type napp fm -> fm -> o.
                                                                       1491
napp (fcon C) (fcon C).
                                                                       1492
napp (fuva A) (fuva A).
                                                                       1493
napp (flam F) (flam G) :- pi \times pi \times (G \times).
                                                                       1494
napp (fapp [fapp L1 |L2]) T :- !,
                                                                       1495
  append L1 L2 L3, napp (fapp L3) T.
                                                                       1496
napp (fapp L) (fapp L1) :- map napp L L1.
                                                                       1497
napp N N :- name N.
                                                                       1498
                                                                       1499
type mk-app fm \rightarrow list <math>fm \rightarrow fm \rightarrow o.
mk-app T L S :- beta T L S.
type eta-contract fm -> fm -> o.
                                                                       1503
eta-contract (fcon X) (fcon X).
                                                                       1504
eta-contract (fapp L) (fapp L1) :- map eta-contract L L1.
                                                                       1505
eta-contract (flam F) T := eta-contract-aux [] (flam F) T.
                                                                       1506
eta-contract (flam F) (flam F1) :-
                                                                       1507
```

```
pi x \le eta-contract x x \Rightarrow eta-contract (F x) (F1 x).
1509
                                                                                                                                                        1567
         eta-contract (fuva X) (fuva X).
                                                                                   type prune-same-variable addr -> list tm -> list tm ->
1510
                                                                                                                                                        1568
1511
         eta-contract X X :- name X.
                                                                                                               list tm -> assignment -> o.
                                                                                                                                                        1569
                                                                                   prune-same-variable N [] [] ACC (val (uva N Args)) :-
1513
         type eta-contract-aux list fm -> fm -> o.
                                                                                     rev ACC Args.
         eta-contract-aux L (flam F) T :-
                                                                                   prune-same-variable N [XIXS] [XIYS] ACC (abs F) :-
                                                                                                                                                        1572
1514
           pi x\ eta-contract-aux [x|L] (F x) T. % also checks H Prefix does poitx3eprune-same-variable N XS YS [x|ACC] (F x).
                                                                                                                                                        1573
1515
         eta-contract-aux L (fapp [H|Args]) T :-
                                                                                   prune-same-variable N [_|XS] [_|YS] ACC (abs F) :-
                                                                                                                                                        1574
1516
1517
           rev L LRev, append Prefix LRev Args,
                                                                                     pi x\ prune-same-variable N XS YS ACC (F x).
                                                                                                                                                        1575
1518
            if (Prefix = []) (T = H) (T = fapp [H|Prefix]).
                                                                                                                                                        1576
                                                                                   type permute list nat -> list tm -> list tm -> o.
                                                                                                                                                        1577
                                                                                   permute [] _ [].
       15 THE META LANGUAGE
                                                                                   permute [P|PS] Args [T|TS] :-
1521
                                                                                                                                                        1579
         kind inctx type -> type.
                                                                     ( · ⊦ · )
                                                                                     nth P Args T,
1522
                                                                                                                                                        1580
1523
         type abs (tm -> inctx A) -> inctx A.
                                                                                     permute PS Args TS.
                                                                                                                                                        1581
1524
         type val A -> inctx A.
                                                                                                                                                        1582
         typeabbrev assignment (inctx tm).
                                                                                   type build-perm-assign addr -> list tm -> list bool ->
1525
                                                                                                                                                        1583
1526
         typeabbrev subst (mem assignment).
                                                                                                        list nat -> assignment -> o.
1527
                                                                                   build-perm-assign N ArgsR [] Perm (val (uva N PermutedArgs)) :-1585
         kind tm type.
                                                                                     rev ArgsR Args, permute Perm Args PermutedArgs.
1528
                                                                                                                                                        1586
         type app list tm -> tm.
                                                                                   build-perm-assign N Acc [tt|L] Perm (abs T) :-
1529
                                                                                                                                                        1587
1530
          type lam (tm -> tm) -> tm.
                                                                                     pi x\ build-perm-assign N [x|Acc] L Perm (T x).
                                                                                                                                                        1588
1531
          type con string -> tm.
                                                                                   build-perm-assign N Acc [ff|L] Perm (abs T) :-
                                                                                                                                                        1589
         type uva addr -> list tm -> tm.
1532
                                                                                     pi x\ build-perm-assign N Acc L Perm (T x).
         type (\simeq_{\lambda}) tm -> tm -> subst -> subst -> o.
                                                                                   type keep list A -> A -> bool -> o.
1534
                                                                                  keep L A tt :- mem L A, !.
1535
         (con C \simeq_{\lambda} con C) S S.
                                                                                                                                                        1593
1536
          (app L1 \simeq_{\lambda} app L2) S S1 :- fold2 (\simeq_{\lambda}) L1 L2 S S1.
                                                                                  keep _ _ ff.
                                                                                                                                                        1594
1537
          (lam F1 \simeq_{\lambda} lam F2) S S1 :-
                                                                                                                                                        1595
           pi x\ (pi S\ (x \simeq_{\lambda} x) S S) => (F1 x \simeq_{\lambda} F2 x) S S1.
                                                                                   type prune-diff-variables addr -> list tm -> list tm ->
1538
                                                                                                                                                        1596
                                                                                                               assignment -> assignment -> o.
1539
          (uva N Args \simeq_{\lambda} T) S S1 :-
           set? N S F,!, move F Args T1, (T1 \simeq_{\lambda} T) S S1.
                                                                                  prune-diff-variables N Args1 Args2 Ass1 Ass2 :-
1540
         (T \simeq_{\lambda} uva \ N \ Args) \ S \ S1 :-
                                                                                                                                                        1599
1541
                                                                                     map (keep Args2) Args1 Bits1,
           set? N S F,!, move F Args T1, (T \simeq_{\lambda} T1) S S1.
                                                                                     map (keep Args1) Args2 Bits2,
                                                                                                                                                        1600
1542
1543
          (uva M A1 \simeq_{\lambda} uva N A2) S1 S2 :- !,
                                                                                     filter Args1 (mem Args2) ToKeep1,
                                                                                                                                                        1601
1544
           pattern-fragment A1, pattern-fragment A2,
                                                                                     filter Args2 (mem Args1) ToKeep2,
                                                                                                                                                        1602
            prune! M A1 N A2 S1 S2.
                                                                                     map (index ToKeep1) ToKeep1 IdPerm,
          (uva N Args \simeq_{\lambda} T) S S1 :- not_occ N S T, pattern-fragment Args,
                                                                                     map (index ToKeep1) ToKeep2 Perm21,
           bind T Args T1, assign N S T1 S1.
                                                                                     build-perm-assign N [] Bits1 IdPerm Ass1,
         (T \simeq_{\lambda} \text{ uva N Args}) \text{ S S1 :- not_occ N S T, pattern-fragment Args,}
                                                                                     build-perm-assign N [] Bits2 Perm21 Ass2.
                                                                                                                                                        1606
1548
1549
           bind T Args T1, assign N S T1 S1.
                                                                                                                                                        1607
1550
                                                                                   type beta tm -> list tm -> tm -> o.
                                                                                                                                                        1608
          type prune! addr -> list tm -> addr ->
                                                                                   beta A [] A.
                                                                                                                                                        1609
1551
                      list tm -> subst -> o.
                                                                                   beta (lam Bo) [H | L] R :- beta (Bo H) L R.
1552
                                                                                                                                                        1610
1553
         /* no pruning needed */
                                                                                  beta (app A) L (app X) :- append A L X.
                                                                                                                                                        1611
         prune! N A N A S S :- !.
1554
                                                                                  beta (con H) L (app [con H | L]).
                                                                                                                                                        1612
1555
         prune! M A N A S1 S2 :- !, bind (uva M A) A Ass,
                                                                                  beta X L (app[X|L]) := name X.
                                                                                                                                                        1613
1556
           assign N S1 Ass S2.
                                                                                                                                                        1614
1557
          /* prune different arguments */
                                                                                   /* occur check for N before crossing a functor */
                                                                                                                                                        1615
         prune! N A1 N A2 S1 S3 :- !,
                                                                                   type not_occ addr -> subst -> tm -> o.
                                                                                                                                                        1616
           new S1 W S2, prune-same-variable W A1 A2 [] Ass,
                                                                                   not_occ N S (uva M Args) :- set? M S F,
                                                                                                                                                        1617
           assign N S2 Ass S3.
1560
                                                                                     move F Args T, not_occ N S T.
         /* prune to the intersection of scopes */
                                                                                  not_occ N S (uva M Args) :- unset? M S, not (M = N),
                                                                                                                                                        1619
1561
         prune! N A1 M A2 S1 S4 :- !,
1562
                                                                                     forall1 (not_occ_aux N S) Args.
                                                                                                                                                        1620
1563
           new S1 W S2, prune-diff-variables W A1 A2 Ass1 Ass2,
                                                                                  not_occ _ _ (con _).
                                                                                                                                                        1621
           assign N S2 Ass1 S3,
                                                                                   not_occ N S (app L) :- not_occ_aux N S (app L).
                                                                                                                                                        1622
1564
            assign M S3 Ass2 S4.
1565
                                                                                   /* Note: lam is a functor for the meta language! */
                                                                                                                                                        1623
1566
                                                                                                                                                        1624
                                                                            14
```

```
1625
         not\_occ\ N\ S\ (lam\ L)\ :-\ pi\ x\ not\_occ\_aux\ N\ S\ (L\ x).
                                                                                                                                                     1683
1626
         not_occ _ _ X :- name X.
                                                                                 kind mapping type.
                                                                                                                                                     1684
1627
         /* finding N is ok */
                                                                                 type mapping fvariable -> hvariable -> mapping.
                                                                                                                                                     1685
         not_occ N _ (uva N _).
                                                                                 typeabbrev mmap (list mapping).
1629
                                                                                                                                                     1687
         /* occur check for X after crossing a functor */
1630
                                                                                 typeabbrev scope (list tm).
                                                                                                                                                     1688
         type not_occ_aux addr -> subst -> tm -> o.
                                                                                 typeabbrev inctx ho.inctx.
1631
                                                                                                                                                     1689
         not\_occ\_aux N S (uva M \_) := unset? M S, not (N = M).
                                                                                 kind baselink type.
1632
                                                                                                                                                     1690
1633
         not_occ_aux N S (uva M Args) :- set? M S F,
                                                                                 type link-eta tm -> tm -> baselink.
                                                                                                                                                     1691
           move F Args T, not_occ_aux N S T.
                                                                                 type link-beta tm -> tm -> baselink.
                                                                                                                                                     1692
         not_occ_aux N S (app L) :- forall1 (not_occ_aux N S) L.
                                                                                 typeabbrev link (inctx baselink).
                                                                                                                                                     1693
         not_occ_aux N S (lam F) :- pi x\ not_occ_aux N S (F x).
                                                                                 typeabbrev links (list link).
         not_occ_aux _ _ (con _).
1637
                                                                                                                                                     1695
         not_occ_aux _ _ X :- name X.
                                                                                 macro @val-link-eta T1 T2 :- ho.val (link-eta T1 T2).
1638
                                                                                                                                                     1696
1639
         /* finding N is ko, hence no rule */
                                                                                 macro @val-link-beta T1 T2 :- ho.val (link-beta T1 T2).
                                                                                                                                                     1697
                                                                                                                                                     1698
1640
         /* copy T T' vails if T contains a free variable, i.e. it
1641
                                                                                                                                                     1699
1642
            performs scope checking for bind */
                                                                                                                                                     1700
1643
         type copy tm -> tm -> o.
                                                                                 type occurs-rigidly fm -> fm -> o.
                                                                                                                                                     1701
1644
         copy (con C)
                        (con C).
                                                                                 occurs-rigidly N N.
                                                                                                                                                     1702
                        (app L') :- map copy L L'.
                                                                                 occurs-rigidly _ (fapp [fuva _|_]) :- !, fail.
1645
         copy (app L)
                                                                                                                                                     1703
1646
         copy (lam T)
                        (lam T') :- pi x copy x x \Rightarrow copy (T x) (T' x).
                                                                                 occurs-rigidly N (fapp L) :- exists (occurs-rigidly N) L.
                                                                                                                                                     1704
1647
         copy (uva A L) (uva A L') :- map copy L L'.
                                                                                 occurs-rigidly N (flam B) :- pi x\ occurs-rigidly N (B x).
                                                                                                                                                     1705
         type bind tm -> list tm -> assignment -> o.
                                                                                 type reducible-to list fm -> fm -> o.
         bind T [] (val T') :- copy T T'.
                                                                                 reducible-to _ N N :- !.
                                                                                                                                                     1708
                                                                                 reducible-to L N (fapp[fuva _|Args]) :- !,
         bind T [X | TL] (abs T') :- pi x \cdot copy X x \Rightarrow bind T TL (T' x).
                                                                                                                                                     1709
1651
1652
                                                                                   forall1 (x\ exists (reducible-to [] x) Args) [N|L].
                                                                                                                                                     1710
1653
         type deref subst -> tm -> tm -> o.
                                                                  (\sigma t)
                                                                                 reducible-to L N (flam B) :- !,
                                                                                                                                                     1711
         deref _ (con C) (con C).
                                                                                   pi x\ reducible-to [x | L] N (B x).
1654
                                                                                                                                                     1712
1655
         deref S (app A) (app B) :- map (deref S) A B.
                                                                                 reducible-to L N (fapp [N|Args]) :-
                                                                                                                                                     1713
         deref S (lam F) (lam G) :-
                                                                                   last-n {len L} Args R,
                                                                                                                                                     1714
1656
           pi x \cdot deref S x x \Rightarrow deref S (F x) (G x).
                                                                                   forall2 (reducible-to []) R {rev L}.
                                                                                                                                                     1715
1657
         deref S (uva N L) R :- set? N S A,
                                                                                                                                                     1716
1658
1659
           move A L T, deref S T R.
                                                                                 type maybe-eta fm -> list fm -> o.
                                                                                                                                         (\Diamond \eta)
                                                                                                                                                     1717
         deref S (uva N A) (uva N B) :- unset? N S,
                                                                                 maybe-eta (fapp[fuva _|Args]) L :- !,
                                                                                                                                                     1718
           map (deref S) A B.
                                                                                   forall1 (x\ exists (reducible-to [] x) Args) L, !.
                                                                                                                                                     1719
                                                                                 maybe-eta (flam B) L := !, pi \times maybe-eta (B \times) [x \mid L].
         type move assignment -> list tm -> tm -> o.
                                                                                 maybe-eta (fapp [fcon _|Args]) L :-
1663
         move (abs Bo) [H|L] R :- move (Bo H) L R.
                                                                                   split-last-n {len L} Args First Last,
                                                                                                                                                     1722
1664
1665
         move (val A) [] A.
                                                                                   none (x\ exists (y\ occurs-rigidly x y) First) L,
                                                                                                                                                     1723
1666
                                                                                   forall2 (reducible-to []) {rev L} Last.
                                                                                                                                                     1724
1667
                                                                                                                                                     1725
         type deref-assmt subst -> assignment -> o.
1668
                                                                                                                                                     1726
         deref-assmt S (abs T) (abs R) :- pi \times deref-assmt S (T x) (R x). type locally-bound tm -> o.
                                                                                                                                                     1727
1669
1670
         deref-assmt S (val T) (val R) :- deref S T R.
                                                                                 type get-scope-aux tm -> list tm -> o.
                                                                                                                                                     1728
1671
                                                                                 get-scope-aux (con _) [].
                                                                                                                                                     1729
1672
                                                                                 get-scope-aux (uva _ L) L1 :-
                                                                                                                                                     1730
       16 THE COMPILER
1673
                                                                                   forall2 get-scope-aux L R,
                                                                                                                                                     1731
         kind arity type.
1674
                                                                                   flatten R L1.
                                                                                                                                                     1732
1675
         type arity nat -> arity.
                                                                                 get-scope-aux (lam B) L1 :-
                                                                                                                                                     1733
                                                                                   pi x \setminus locally-bound x \Rightarrow get-scope-aux (B x) L1.
                                                                                                                                                     1734
         kind fyariable type.
                                                                                 get-scope-aux (app L) L1 :-
                                                                                                                                                     1735
1677
         type fv addr -> fvariable.
1678
                                                                                   forall2 get-scope-aux L R,
                                                                                                                                                     1736
1679
                                                                                   flatten R L1.
                                                                                                                                                     1737
         kind hvariable type.
                                                                                 get-scope-aux X [X] :- name X, not (locally-bound X).
                                                                                                                                                     1738
1680
         type hv addr -> arity -> hvariable.
1681
                                                                                 get-scope-aux X [] :- name X, (locally-bound X).
                                                                                                                                                     1739
1682
                                                                                                                                                     1740
                                                                          15
```

```
1741
         type names1 list tm -> o.
1742
1743
         names1 L :-
1744
           names L1.
1745
           new_int N.
           if (1 is N mod 2) (L1 = L) (rev L1 L).
1746
1747
         type get-scope tm -> list tm -> o.
1748
1749
         get-scope T Scope :-
           get-scope-aux T ScopeDuplicata,
           undup ScopeDuplicata Scope.
         type rigid fm -> o.
         rigid X :- not (X = fuva _).
1753
1754
1755
         type comp-lam (fm \rightarrow fm) \rightarrow (tm \rightarrow tm) \rightarrow
1756
           mmap -> mmap -> links -> links -> subst -> o.
         comp-lam F G M1 M2 L1 L3 S1 S2 :-
1757
1758
           pi x y\ (pi M L S\ comp x y M M L L S S) =>
1759
             comp (F x) (G y) M1 M2 L1 (L2 y) S1 S2.
           close-links L2 L3.
1760
1761
1762
         type close-links (tm -> links) -> links -> o.
         close-links (v\[X\] | L v\]) [X\[R\] :- !, close-links L R.
         close-links (v\setminus[X\ v\mid L\ v]) [abs X|R] :- close-links L R.
1765
         close-links (_\[]) [].
         type comp fm -> tm -> mmap -> mmap -> links -> links ->
1766
1767
           subst -> subst -> o.
1768
         comp (fcon C) (con C) M M L L S S.
1769
         comp (flam F) (uva A Scope) M1 M2 L1 L3 S1 S3 :-
           maybe-eta (flam F) [], !,
1770
             alloc S1 A S2.
1772
             comp-lam F F1 M1 M2 L1 L2 S2 S3,
1773
             get-scope (lam F1) Scope,
             L3 = [val (link-eta (uva A Scope) (lam F1)) | L2].
1774
1775
         comp (flam F) (lam F1) M1 M2 L1 L2 S1 S2 :-
                                                                     (c_{\lambda})
1776
           comp-lam F F1 M1 M2 L1 L2 S1 S2.
         comp (fuva A) (uva B []) M1 M2 L L S1 S2 :-
           m-alloc (fv A) (hv B (arity z)) M1 M2 S1 S2.
         comp (fapp [fuva A|Ag]) (uva B Ag1) M1 M2 L L S1 S2 :-
           pattern-fragment Ag, !,
1780
             fold6 comp Ag Ag1 M1 M1 L L S1 S1,
1781
1782
             len Ag Arity,
             m-alloc (fv A) (hv B (arity Arity)) M1 M2 S1 S2.
1783
1784
         comp (fapp [fuva A|Ag]) (uva C Scope) M1 M3 L1 L3 S1 S4 :- !,
1785
           pattern-fragment-prefix Ag Pf Extra,
           fold6 comp Pf
                            Scope1 M1 M1 L1 L1 S1 S1,
1786
1787
           fold6 comp Extra Extra1 M1 M2 L1 L2 S1 S2,
1788
           len Pf Arity,
1789
           m-alloc (fv A) (hv B (arity Arity)) M2 M3 S2 S3,
           Beta = app [uva B Scope1 | Extra1],
           get-scope Beta Scope,
           alloc S3 C S4,
           L3 = [@val-link-beta (uva C Scope) Beta | L2].
1793
1794
         comp (fapp A) (app A1) M1 M2 L1 L2 S1 S2 :-
1795
           fold6 comp A A1 M1 M2 L1 L2 S1 S2.
1796
         type alloc mem A -> addr -> mem A -> o.
1797
1798
                                                                          16
```

```
alloc S N S1 :- mem new S N S1.
                                                                  1799
                                                                  1800
type compile-terms-diagnostic
                                                                  1801
 triple diagnostic fm fm ->
 triple diagnostic tm tm ->
                                                                  1803
 mmap -> mmap ->
                                                                  1804
 links -> links ->
                                                                  1805
 subst -> subst -> o.
                                                                  1806
compile-terms-diagnostic (triple D FO1 FO2) (triple D HO1 HO2) MMD7M3 L1
  comp F01 H01 M1 M2 L1 L2 S1 S2,
  comp F02 H02 M2 M3 L2 L3 S2 S3.
type compile-terms
                                                                  1811
 list (triple diagnostic fm fm) ->
                                                                  1812
 list (triple diagnostic tm tm) ->
                                                                  1813
 mmap -> links -> subst -> o.
                                                                  1814
compile-terms T H M L S :-
                                                                  1815
  fold6 compile-terms-diagnostic T H [] M_ [] L_ [] S_,
                                                                  1816
  print-compil-result T H L_ M_,
                                                                  1817
 deduplicate-map M_ M S_ S L_ L.
                                                                  1818
                                                                  1819
type make-eta-link-aux nat -> addr -> addr ->
                                                                  1820
 list tm -> links -> subst -> subst -> o.
                                                                  1821
make-eta-link-aux z Ad1 Ad2 Scope1 L H1 H1 :-
                                                                  1822
 rev Scope1 Scope, eta-expand (uva Ad2 Scope) T1,
 L = [val (link-eta (uva Ad1 Scope) T1)].
                                                                  1824
make-eta-link-aux (s N) Ad1 Ad2 Scope1 L H1 H3 :-
                                                                  1825
 rev Scope1 Scope, alloc H1 Ad H2,
                                                                  1826
 eta-expand (uva Ad Scope) T2,
                                                                  1827
  (pi x\ make-eta-link-aux N Ad Ad2 [x|Scope1] (L1 x) H2 H3),
 close-links L1 L2.
 L = [val (link-eta (uva Ad1 Scope) T2) | L2].
                                                                  1830
                                                                  1831
type make-eta-link nat -> nat -> addr -> addr ->
                                                                  1832
        list tm -> links -> subst -> o.
                                                                  1833
make-eta-link (s N) z Ad1 Ad2 Vars L H H1 :-
                                                                  1834
 make-eta-link-aux N Ad2 Ad1 Vars L H H1.
                                                                  1835
make-eta-link z (s N) Ad1 Ad2 Vars L H H1 :-
  make-eta-link-aux N Ad1 Ad2 Vars L H H1.
make-eta-link (s N) (s M) Ad1 Ad2 Vars Links H H1 :-
                                                                  1838
  (pi x\ make-eta-link N M Ad1 Ad2 [x|Vars] (L x) H H1),
                                                                  1839
  close-links L Links.
                                                                  1840
                                                                  1841
type deduplicate-map mmap -> mmap ->
                                                                  1842
    subst -> subst -> links -> links -> o.
deduplicate-map [] [] H H L L.
                                                                  1844
deduplicate-map [(mapping (fv 0) (hv M (arity LenM)) as X1) | Maps | Maps | Maps |
  take-list Map1 (mapping (fv 0) (hv M' (arity LenM'))) _, !, 1846
  std.assert! (not (LenM = LenM')) "Deduplicate map, there is althog"
  print "arity-fix links:" {ppmapping X1} "~!~" {ppmapping (mapping (fv
  make-eta-link LenM LenM' M M' [] New H1 H2,
 print "new eta link" {pplinks New},
 append New L1 L2,
                                                                  1851
                                                                  1852
  deduplicate-map Map1 Map2 H2 H3 L2 L3.
deduplicate-map [A|As] [A|Bs] H1 H2 L1 L2 :-
                                                                  1853
  deduplicate-map As Bs H1 H2 L1 L2, !.
                                                                  1854
                                                                  1855
                                                                  1856
```

```
1857
         deduplicate-map [A|_] _ H _ _ _ :-
                                                                                ((ho.uva V Scope) == 1 T1) S1 S2.
                                                                                                                                                1915
1858
           halt "deduplicating mapping error" {ppmapping A} {ho.ppsubst H}.
                                                                                                                                                1916
1859
                                                                              progress-beta-link (ho.uva _ _ as T) (ho.app[ho.uva Ad1 Scope1 19121] as
                                                                                append Scope1 L1 Scope1L,
      17 THE PROGRESS FUNCTION
1861
                                                                                pattern-fragment-prefix Scope1L Scope2 L2,
         macro @one :- s z.
                                                                                not (Scope1 = Scope2). !.
                                                                                                                                                1920
1862
                                                                                mem.new S1 Ad2 S2,
                                                                                                                                                1921
1863
         type contract-rigid list ho.tm -> ho.tm -> ho.tm -> o.
                                                                                len Scope1 Scope1Len,
                                                                                                                                                1922
1864
1865
         contract-rigid L (ho.lam F) T :-
                                                                                len Scope2 Scope2Len,
                                                                                                                                                1923
           pi x\ contract-rigid [x|L] (F x) T. % also checks H Prefix does notmakee-exta-link Scope1Len Scope2Len Ad1 Ad2 [] LinkEta S2 S3,
         contract-rigid L (ho.app [H|Args]) T :-
                                                                                if (L2 = []) (NewLinks = LinkEta, T2 = ho.uva Ad2 Scope2)
           rev L LRev, append Prefix LRev Args,
                                                                                  (T2 = ho.app [ho.uva Ad2 Scope2 | L2],
           if (Prefix = []) (T = H) (T = ho.app [H|Prefix]).
                                                                                  NewLinks = [@val-link-beta T T2 | LinkEtal).
                                                                                                                                                1927
1869
1870
                                                                                                                                                1928
1871
         type progress-eta-link ho.tm -> ho.tm -> ho.tm -> ho.subst -> ho.subst -> limmogressobeta-link T1 (ho.app[ho.uva _ _ | _] as T2) _ _ _ :-
1872
         progress-eta-link (ho.app _ as T) (ho.lam x\ _ as T1) H H1 [] :- !, not (T1 = ho.uva _ _), !, fail.
           ({eta-expand T @one} == 1 T1) H H1.
1873
1874
         progress-eta-link (ho.con _ as T) (ho.lam x\ _ as T1) H H1 [] :- !progress-beta-link (ho.uva _ _ as T) (ho.app[ho.uva _ _ | _] as 192) S1 .
1875
           ({eta-expand T @one} == 1 T1) H H1.
                                                                                occur-check-err T T2 S1, !, fail.
         progress-eta-link (ho.lam _ as T) T1 H H1 [] :- !,
                                                                                                                                                1934
1876
           (T == 1 T1) H H1.
                                                                              progress-beta-link T1 (ho.app[ho.uva _ _ | _] as T2) H H [@val-l%nk-beta
1877
1878
         progress-eta-link (ho.uva _ _ as X) T H H1 [] :-
1879
           contract-rigid [] T T1, !, (X ==1 T1) H H1.
                                                                              progress-beta-link T1 (ho.app [Hd | T1]) S1 S2 B :-
         progress-eta-link (ho.uva Ad _ as T1) T2 H H [@val-link-eta T1 T2] :ho!beta Hd T1 T3,
                                                                                                                                                1938
1881
           if (ho.not_occ Ad H T2) true fail.
                                                                                progress-beta-link-aux T1 T3 S1 S2 B.
         type is-in-pf ho.tm -> o.
                                                                              type solve-link-abs link -> links -> ho.subst -> ho.subst -> o.1941
1883
1884
         is-in-pf (ho.app [ho.uva _ _ | _]) :- !, fail.
                                                                              solve-link-abs (ho.abs X) R H H1 :-
1885
         is-in-pf (ho.lam B) :- !, pi x\ is-in-pf (B x).
                                                                                pi x\ ho.copy x x \Rightarrow (pi S\ ho.deref S x x) \Rightarrow
                                                                                                                                                1943
                                                                                  solve-link-abs (X x) (R' x) H H1,
                                                                                                                                                1944
1886
         is-in-pf (ho.con _).
         is-in-pf (ho.app L) :- forall1 is-in-pf L.
1887
                                                                                close-links R' R.
                                                                                                                                                1945
         is-in-pf N :- name N.
                                                                                                                                                1946
1888
         is-in-pf (ho.uva _ L) :- pattern-fragment L.
                                                                              solve-link-abs (@val-link-eta A B) NewLinks S S1 :- !,
                                                                                                                                                1947
1889
                                                                                progress-eta-link A B S S1 NewLinks.
                                                                                                                                                1948
1890
1891
         type arity ho.tm -> nat -> o.
                                                                                                                                                1949
1892
         arity (ho.con _) z.
                                                                              solve-link-abs (@val-link-beta A B) NewLinks S S1 :- !,
                                                                                                                                                1950
                                                                                progress-beta-link A B S S1 NewLinks.
         arity (ho.app L) A :- len L A.
         type occur-check-err ho.tm -> ho.tm -> ho.subst -> o.
                                                                              type take-link link -> links -> link -> links -> o.
1895
         occur-check-err (ho.con _) _ _ :- !.
                                                                              take-link A [B|XS] B XS :- link-abs-same-lhs A B, !.
                                                                                                                                                1954
1896
                                                                              take-link A [L|XS] B [L|YS] :- take-link A XS B YS.
1897
         occur-check-err (ho.app _) _ _ :- !.
                                                                                                                                                1955
1898
         occur-check-err (ho.lam _) _ _ :- !.
                                                                                                                                                1956
         occur-check-err (ho.uva Ad _) T S :-
                                                                              type link-abs-same-lhs link -> link -> o.
                                                                                                                                                1957
1899
                                                                              link-abs-same-lhs (ho.abs F) B :-
1900
           not (ho.not_occ Ad S T).
                                                                                                                                                1958
1901
                                                                                pi x\ link-abs-same-lhs (F x) B.
         type progress-beta-link-aux ho.tm -> ho.tm ->
                                                                              link-abs-same-lhs A (ho.abs G) :-
                                                                                                                                                1960
1902
                 ho.subst -> ho.subst -> links -> o.
                                                                                pi x\ link-abs-same-lhs A (G x).
1903
1904
         progress-beta-link-aux T1 T2 S1 S2 [] :- is-in-pf T2, !,
                                                                              link-abs-same-lhs (@val-link-eta (ho.uva N _) _) (@val-link-eta106ho.uva
1905
           (T1 == 1 T2) S1 S2.
         progress-beta-link-aux T1 T2 S S [@val-link-beta T1 T2] :- !.
                                                                              type same-link-eta link -> link -> ho.subst -> ho.subst -> o. 1964
                                                                              same-link-eta (ho.abs F) B H H1 :- !, pi x\ same-link-eta (F x)185H H1.
         type progress-beta-link ho.tm -> ho.tm -> ho.subst ->
                                                                              same-link-eta A (ho.abs G) H H1 :- !, pi x\ same-link-eta A (G ★)6H H1.
1908
               ho.subst -> links -> o.
                                                                              same-link-eta (@val-link-eta (ho.uva N S1) A)
                                                                                                                                                1967
1909
         progress-beta-link T (ho.app[ho.uva V Scope | L] as T2) S S2 [@val-link-beta T T2(Pval-link-eta (ho.uva N S2) B) H H1:-
1910
                                                                                                                                                1968
1911
           arity T Arity, len L ArgsNb, ArgsNb >n Arity, !,
                                                                                std.map2 S1 S2 (x\y\r\ r = ho.copy x y) Perm,
                                                                                                                                                1969
1912
           minus ArgsNb Arity Diff, mem.new S V1 S1,
                                                                                Perm => ho.copy A A',
                                                                                                                                                1970
                                                                                (A' == 1 B) H H1.
1913
           eta-expand (ho.uva V1 Scope) Diff T1,
                                                                                                                                                1971
1914
                                                                                                                                                1972
                                                                        17
```

```
1973
                                                                                    add-new-map H T L L1 S S1.
                                                                                                                                                       2031
         type progress1 links -> links -> ho.subst -> ho.subst -> o.
1974
                                                                                    add-new-map-aux H Ts L1 L2 S1 S2.
                                                                                                                                                       2032
1975
         progress1 [] [] X X.
                                                                                                                                                       2033
         progress1 [A|L1] [A|L3] S S2 :- take-link A L1 B L2, !,
                                                                                  type add-new-map ho.subst -> ho.tm -> map ->
1976
                                                                                                                                                       2034
1977
           same-link-eta A B S S1,
                                                                                      map -> fo.fsubst -> fo.fsubst -> o.
           progress1 L2 L3 S1 S2.
                                                                                  add-new-map _ (ho.uva N _) Map [] F1 F1 :-
                                                                                                                                                       2036
1978
         progress1 [L0|L1] L3 S S2 :- deref-link S L0 L,
                                                                                    mem Map (mapping _ (hv N _)), !.
                                                                                                                                                       2037
1979
           solve-link-abs L R S S1, !,
                                                                                  add-new-map H (ho.uva N L) Map [Map1 | MapL] F1 F3 :-
                                                                                                                                                       2038
1980
1981
           progress1 L1 L2 S1 S2, append R L2 L3.
                                                                                    mem.new F1 M F2,
                                                                                                                                                       2039
                                                                                    len L Arity, Map1 = mapping (fv M) (hv N (arity Arity)),
                                                                                                                                                       2040
                                                                                    add-new-map H (ho.app L) [Map1 | Map] MapL F2 F3.
                                                                                                                                                       2041
       18 THE DECOMPILER
                                                                                  add-new-map H (ho.lam B) Map NewMap F1 F2 :-
                                                                                                                                                       2042
                                                                                    pi x\ add-new-map H (B x) Map NewMap F1 F2.
         type abs->lam ho.assignment -> ho.tm -> o.
                                                                                                                                                       2043
1985
         abs->lam (ho.abs T) (ho.lam R) :- !, pi x\ abs->lam (T x) (R x). add-new-map H (ho.app L) Map NewMap F1 F3 :-
                                                                                                                                                       2044
1986
1987
         abs->lam (ho.val A) A.
                                                                                    add-new-map-aux H L Map NewMap F1 F3.
                                                                                                                                                       2045
1988
                                                                                  add-new-map _ (ho.con _) _ [] F F :- !.
                                                                                                                                                       2046
         type commit-links-aux link -> ho.subst -> ho.subst -> o.
                                                                                  add-new-map \_ N \_ [] F F :- name N.
1989
                                                                                                                                                       2047
         commit-links-aux (@val-link-eta T1 T2) H1 H2 :-
                                                                                                                                                       2048
           ho.deref H1 T1 T1', ho.deref H1 T2 T2',
1991
                                                                                  type complete-mapping-under-ass ho.subst -> ho.assignment ->
                                                                                                                                                       2049
           (T1' == 1 T2') H1 H2.
                                                                                    map -> map -> fo.fsubst -> fo.fsubst -> o.
1992
                                                                                                                                                       2050
         commit-links-aux (@val-link-beta T1 T2) H1 H2 :-
                                                                                  complete-mapping-under-ass H (ho.val Val) Map1 Map2 F1 F2 :-
1993
                                                                                                                                                       2051
1994
           ho.deref H1 T1 T1', ho.deref H1 T2 T2',
                                                                                    add-new-map H Val Map1 Map2 F1 F2.
                                                                                                                                                       2052
                                                                                  complete-mapping-under-ass H (ho.abs Abs) Map1 Map2 F1 F2 :-
1995
           (T1' == 1 T2') H1 H2.
                                                                                                                                                       2053
         commit-links-aux (ho.abs B) H H1 :-
                                                                                    pi x\ complete-mapping-under-ass H (Abs x) Map1 Map2 F1 F2.
           pi x\ commit-links-aux (B x) H H1.
                                                                                  type complete-mapping ho.subst -> ho.subst ->
                                                                                                                                                       2056
                                                                                    map -> map -> fo.fsubst -> fo.fsubst -> o.
         type commit-links links -> links -> ho.subst -> ho.subst -> o.
                                                                                                                                                       2057
1999
2000
         commit-links [] [] H H.
                                                                                  complete-mapping _ [] L L F F.
                                                                                                                                                       2058
2001
         commit-links [Abs | Links] L H H2 :-
                                                                                  complete-mapping H [none | Tl] L1 L2 F1 F2 :-
                                                                                                                                                       2059
                                                                                    complete-mapping H Tl L1 L2 F1 F2.
           commit-links-aux Abs H H1, !, commit-links Links L H1 H2.
2002
                                                                                                                                                       2060
                                                                                  complete-mapping H [some T0 | T1] L1 L3 F1 F3 :-
2003
         type decompl-subst map -> map -> ho.subst ->
                                                                                    ho.deref-assmt H T0 T,
                                                                                                                                                       2062
2004
           fo.fsubst -> fo.fsubst -> o.
                                                                                    complete-mapping-under-ass H T L1 L2 F1 F2,
                                                                                                                                                       2063
2005
         append L1 L2 LAll,
                                                                                                                                                       2064
2006
2007
         decompl-subst _ [] _ F F.
                                                                                    complete-mapping H Tl LAll L3 F2 F3.
                                                                                                                                                       2065
         decompl-subst Map [mapping (fv V0) (hv VM _)[T1] H F F2 :-
                                                                                                                                                       2066
                                                                                  type decompile map -> links -> ho.subst ->
           mem.set? VM H T, !,
                                                                                                                                                       2067
           ho.deref-assmt H T TTT,
                                                                                    fo.fsubst -> fo.fsubst -> o.
           abs->lam TTT T', tm->fm Map T' T1,
                                                                                  decompile Map1 L HO FO FO2 :-
2011
           fo.eta-contract T1 T2, mem.assign V0 F T2 F1,
                                                                                    commit-links L L1_ HO HO1, !,
                                                                                                                                                       2070
2012
           decompl-subst Map Tl H F1 F2.
                                                                                    complete-mapping HO1 HO1 Map1 Map2 FO FO1,
2013
                                                                                                                                                       2071
2014
         decompl-subst Map [mapping _ (hv VM _)|T1] H F F2 :-
                                                                                    decompl-subst Map2 Map2 H01 F01 F02.
                                                                                                                                                       2072
           mem.unset? VM H, decompl-subst Map T1 H F F2.
                                                                                                                                                       2073
2015
2016
                                                                                                                                                       2074
                                                                               19 AUXILIARY FUNCTIONS
2017
         type tm\rightarrow fm map \rightarrow ho.tm \rightarrow fo.fm \rightarrow o.
                                                                                                                                                       2075
                                                                                  type fold4 (A \rightarrow A1 \rightarrow B \rightarrow B \rightarrow C \rightarrow C \rightarrow o) \rightarrow list A \rightarrow
         tm->fm (ho.con C) (fo.fcon C).
                                                                                                                                                       2076
2018
                                                                                    list A1 \rightarrow B \rightarrow B \rightarrow C \rightarrow C \rightarrow o.
         tm->fm L (ho.lam B1) (fo.flam B2) :-
                                                                                                                                                       2077
2019
                                                                                  fold4 _ [] [] A A B B.
2020
           pi \times y \setminus tm \rightarrow fm \ x \ y \Rightarrow tm \rightarrow fm \ L \ (B1 \ x) \ (B2 \ y).
                                                                                                                                                       2078
                                                                                  fold4 F [X|XS] [Y|YS] A A1 B B1 :- F X Y A A0 B B0,
2021
         tm\rightarrow fm L (ho.app L1) T := map (tm\rightarrow fm L) L1 [Hd|T1],
                                                                                                                                                       2079
                                                                                    fold4 F XS YS A0 A1 B0 B1.
           fo.mk-app Hd Tl T.
                                                                                                                                                       2080
         tm->fm L (ho.uva VM TL) T :- mem L (mapping (fv VO) (hv VM _)),
                                                                                  type len list A -> nat -> o.
           map (tm->fm L) TL T1, fo.mk-app (fo.fuva V0) T1 T.
2024
                                                                                  len [] z.
                                                                                                                                                       2083
2025
                                                                                  len [\_|L] (s X) :- len L X.
2026
         type add-new-map-aux ho.subst -> list ho.tm -> map ->
                                                                                                                                                       2084
2027
                map -> fo.fsubst -> fo.fsubst -> o.
                                                                                                                                                       2085
         add-new-map-aux _ [] _ [] S S.
2028
                                                                                                                                                       2086
         add-new-map-aux H [T|Ts] L L2 S S2 :-
2029
                                                                                                                                                       2087
                                                                                                                                                       2088
2030
                                                                           18
```