## HO unification from object language to meta language

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#### **ABSTRACT**

Specifying and implementing a logic from scratch requires significant effort. Logical Frameworks and Higher Order Logic Programming Languages provide dedicated, high-level Meta Languages (ML) to facilitate this task in two key ways: 1) variable binding and substitution are simplified when ML binders represent object logic ones; 2) proof construction, and even proof search, is greatly simplified by leveraging the unification procedure provided by the ML. Notable examples of ML are Elf [13], Twelf [14],  $\lambda$ Prolog [10] and Isabelle [20] which have been utilized to implement various formal systems such as First Order Logic [5], Set Theory [12], Higher Order Logic [11], and even the Calculus of Constuctions [4].

The object logic we are interested in is Coq's [18] Dependent Type Theory (DTT), for which we aim to implement a unification procedure  $\simeq_o$  using the ML Elpi [3], a dialect of  $\lambda$ Prolog. Elpi's equational theory comprises  $\eta\beta$  equivalence and comes equipped with a higher order unification procedure  $\simeq_\lambda$  restricted to the pattern fragment [9]. We want  $\simeq_o$  to be as powerful as  $\simeq_\lambda$  but on the object logic DTT. Elpi also comes with an encoding for DTT that works well for meta-programming [17, 16, 7, 6]. Unfortunately this encoding, which we refer to as  $\mathcal{F}_o$ , "underuses"  $\simeq_\lambda$  by restricting it to first-order unification problems only. To address this issue, we propose a better-behaved encoding,  $\mathcal{H}_o$ , demonstrate how to map unification problems in  $\mathcal{F}_o$  to related problems in  $\mathcal{H}_o$ , and illustrate how to map back the unifiers found by  $\simeq_\lambda$ , effectively implementing  $\simeq_o$  on top of  $\simeq_\lambda$  for the encoding  $\mathcal{F}_o$ .

We apply this technique to the implementation of a type-class [19] solver for Coq [18]. Type-class solvers are proof search procedures based on unification that back-chain designated lemmas, providing essential automation to widely used Coq libraries such as Stdpp/Iris [8] and TLC [1]. These two libraries constitute our test bed.

#### **KEYWORDS**

Logic Programming, Meta-Programming, Higher-Order Unification, Proof Automation

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#### 1 INTRODUCTION

Specifying and implementing a logic from scratch requires significant effort. Logical Frameworks and Higher Order Logic Programming Languages provide dedicated, high-level Meta Languages (ML) to facilitate this task in two key ways: 1) variable binding and substitution are simplified when ML binders represent object logic ones; 2) proof construction, and even proof search, is greatly simplified by leveraging the unification procedure provided by the ML. Notable examples of ML are Elf [13], Twelf [14],  $\lambda$ Prolog [10] and Isabelle [20] which have been utilized to implement various formal systems such as First Order Logic [5], Set Theory [12], Higher Order Logic [11], and even the Calculus of Constuctions [4].

The object logic we are interested in is Coq's [18] Dependent Type Theory (DTT), and we want to code a type-class [19] solver for Coq [18] using the Coq-Elpi [17] meta programming framework. Type-class solvers are unification based proof search procedures that combine a set of designated lemmas in order to providing essential automation to widely used Coq libraries.

As the running example we take the Decide type class, from the Stdpp [8] library. The class identifies predicates equipped with a decision procedure. The following three designated lemmas (called Instances in the type-class jargon) state that: 1) the type fin n, of natural numbers smaller than n is finite; 2) the predicate nfact n nf, linking a natural number n to its prime factors nf, is decidable; 3) the universal closure of a predicate has a decision procedure if the predicate has and if its domain is finite.

```
Instance fin_fin n : Finite (fin n). (* r1 *)
Instance nfact_dec n nf : Decision (nfact n nf). (* r2 *)
Instance forall_dec A P : Finite A \rightarrow (* r3 *)
\forall x:A, \ Decision \ (P \ x) \rightarrow Decision \ (\forall x:A, \ P \ x).
```

Under this context of instances a type-class solver is able to prove the following statement automatically by back-chaining.

```
Check _ : Decision (forall y: fin 7, nfact y 3). (g)
```

The encoding of DTT provided by Elpi, that we will discuss at length later in section ?? and ??, is an Higher Order Abstract Syntax (HOAS) datatype tm featuring (among others) the following constructors:

Following standard  $\lambda$ Prolog [10] the concrete syntax to abstract, at the meta level, an expression e over a variable x is «x\ e», and square brackets denote a list of terms separated by comma. As an example we show the encoding of the Coq term « $\forall$ y:t, nfact y 3»:

```
all (con"t") y\ app[con"nfact", y, con"3"]
```

We now illustrate the encoding of the three instances above as higher-order logic-programming rules: capital letters denote rule parameters; :- separates the rule's head from the premises; pi w\ p introduces a fresh nominal constant w for the premise p.

finite (app[con"fin", N]). (r1)
decision (app [con"nfact", N, NF]). (r2)
decision (all A x\ app[P, x]) :- finite A, (r3)
pi w\ decision (app[P, w]).

Unfortunately this translation of rule (r3) uses the predicate P as a first order term: for the meta language its type is tm. If we try to backchain the rule (r3) on the encoding of the goal (g) given below

```
decision (all (app[con"fin", con"7"]) y\
  app[con"nfact", y, con"3"]).
```

we obtain an unsolvable unification problem (p): the two lists of terms have different lengths!

```
app[con"nfact", y, con"3"] = app[P, y] (p)
```

In this paper we study a more sophisticated encoding of Coq terms allowing us to rephrase the problematic rule (r3) as follows:

```
decision (all A x\ Pm x) :- decomp Pm P A, finite A, (r3a) pi x\ decision (app[P, x]).
```

Since Pm is an higher-order unification variable of type tm -> tm, with x in its scope, the unification problem (p') admits one solution:

After unifying the head of rule (r3a) with the goal, Elpi runs the premise «decomp Pm A P» that is in charge of bringing the assignment for Pm back to the domain tm of Coq terms:

```
P = lam A a\ app[con"nfact", a, con"3"]
```

This simple example is sufficient to show that the encoding we seek is not trivial and does not only concern the head of rules, but the entire sequence of unification problems that constitute the execution of a logic program. In fact the solution for P above generates a (Coq)  $\beta$ -redex in the second premise (the predicate under the pi w\):

```
decision (app[lam A (a\ app[con"nfact", a, con"3"]), w])
```

In turn this redex prevents the rule (r2) to backchain properly since the following unification problem has no solution:

```
app[lam A (a\ app[con"nfact", a, con"3"]), x] =
app[con"nfact", N, NF]
```

The root cause of the problems we sketched in the running example is that the unification procedure  $\simeq_{\lambda}$  of the meta language is not aware of the equational theory of the object logic, even if both theories include  $\eta\beta$ -conversion and admit most general unifiers for unification problems in the pattern fragment  $\mathcal{L}_{\lambda}$  [9].

Contributions. In this paper we discuss alternative encodings of Coq in Elpi (Section ??), then we identify a minimal language  $\mathcal{F}_0$  in which the problems sketched here can be fully described. We then detail an encoding comp from  $\mathcal{F}_0$  to  $\mathcal{H}_0$  (the language of the meta language) and a decoding decomp to relate the unifiers bla

bla.. TODO citare Teyjus. The code discussed in the paper can be accessed at the URL: https://github.com/FissoreD/paper-ho.

#### 2 PROBLEM STATEMENT

The equational theory of Coq's Dependent Type Theory is very rich. In addition to the usual  $\eta\beta$ -equivalence for functions, terms (hence types) are compared up to proposition unfolding and fixpoint unrolling. Still, for efficiency and predictability reasons, most form of automatic proof search employ a unification procedure that captures a simpler one, just  $\eta\beta$ , and that solves higher-order problems restricted to the pattern fragment  $\mathcal{L}_{\lambda}$  [9]. We call this unification procedure  $\simeq_o$ .

The equational theory of the meta language Elpi is strikingly similar, since it it comprises  $\eta\beta$  (for the meta language functions), and the unification procedure  $\simeq_{\lambda}$  solves higher-order problems in  $\mathcal{L}_{\lambda}$ .

In spite of the similarity the link between  $\simeq_{\lambda}$  and  $\simeq_{o}$  is not trivial, since the abstraction and application term constructors the two unification procedures deal with are different. For example

One could ignore this similarity, and "just" describe the object language unification procedure in the meta language, that is crafting a unif predicate to be used as follows in rule (r3):

```
decision X :- unif X (all A x\ app[P, x]), finite A,
  pi x\ decision (app[P, x]).
```

This choice would underuse the logic programming engine provided by the metalanguage since by removing any datum from the head of rules indexing degenerates. Moreover the unification procedure built in the meta language is likely to be faster than one implemented in it, especially if the meta language is interpreted as Elpi is.

To state precisely the problem we solve we need a  $\mathcal{F}_o$  representation of DTT terms and a  $\mathcal{H}_o$  one. We call  $=_o$  the equality over ground terms in  $\mathcal{F}_o$ ,  $=_\lambda$  the equality over ground terms in  $\mathcal{H}_o$ ,  $\simeq_o$  the unification procedure we want to implement and  $\simeq_\lambda$  the one provided by the meta language. TODO extend  $=_o$  and  $=_\lambda$  with reflexivity on uvars.

We write  $t_1 \simeq_{\lambda} t_2 \mapsto \sigma$  when  $t_1$  and  $t_2$  unify with substitution  $\sigma$ ; we write  $\sigma t$  for the application of the substitution to t, and  $\sigma X = \{\sigma t | t \in X\}$  when X is a set; we write  $\sigma \subseteq \sigma'$  when  $\sigma$  is more general than  $\sigma'$ . We assume that the unification of our meta language is correct:

$$t_i \in \mathcal{L}_{\lambda} \Rightarrow t_1 \simeq_{\lambda} t_2 \mapsto \rho \Rightarrow \rho t_1 =_{\lambda} \rho t_2$$
 (1)

$$t_i \in \mathcal{L}_{\lambda} \Rightarrow \rho t_1 =_{\lambda} \rho t_2 \Rightarrow \exists \rho', t_1 \simeq_{\lambda} t_2 \mapsto \rho' \wedge \rho' \subseteq \rho$$
 (2)

We illustrate a compilation  $\langle s \rangle \mapsto (t, m, l)$  that maps a term s in  $\mathcal{F}_0$  to a term t in  $\mathcal{H}_0$ , a variable mapping m and list of links l. The variable map connects unification variables in  $\mathcal{H}_0$  with variables in  $\mathcal{F}_0$  and is used to "decompile" the assignment,  $\langle \sigma, m, l \rangle^{-1} \mapsto \rho$ . Links represent problematic sub-terms which are linked to the

 $fix_{300}^{299}$ 

unification variable that stands in their place in the compiled term. These links are checked for or progress XXX improve....

We represent a logic program run in  $\mathcal{F}_o$  as a list  $steps\ p$  of length  $\mathcal{N}$ . Each step is a unification problem between terms  $\mathbb{S}_{p_l}$  and  $\mathbb{S}_{p_r}$  taken from the set of all terms  $\mathbb{S}$ . The composition of these steps starting from the empty substitution  $\rho_0$  produces the final substitution  $\rho_{\mathcal{N}}$ . The initial here  $\rho_0$  is the empty substitution

$$\begin{split} \text{fstep}(\mathbb{S},p,\rho) &\mapsto \rho^{\prime\prime} \stackrel{def}{=\!\!\!=} \rho \mathbb{S}_{p_l} \simeq_o \rho \mathbb{S}_{p_r} \mapsto \rho^{\prime} \wedge \rho^{\prime\prime} = \rho \cup \rho^{\prime} \\ \text{frun}(\mathbb{S},\mathcal{N}) &\mapsto \rho_{\mathcal{N}} \stackrel{def}{=\!\!\!=} \bigwedge_{p=1}^{\mathcal{N}} \text{fstep}(\mathbb{S},p,\rho_{p-1}) \mapsto \rho_p \end{split}$$

We simulate each run in  $\mathcal{F}_0$  with a run in  $\mathcal{H}_0$  as follows. Note that  $\sigma_0$  is the empty substitution.

$$\begin{split} \operatorname{hstep}(\mathbb{T}, p, \sigma, \mathbb{L}) &\mapsto (\sigma'', \mathbb{L}') \stackrel{def}{=} \\ &\sigma \mathbb{T}_{p_l} \simeq_{\lambda} \sigma \mathbb{T}_{p_r} \mapsto \sigma' \wedge \operatorname{progress}(\mathbb{L}, \sigma \cup \sigma') \mapsto (\mathbb{L}', \sigma'') \\ \operatorname{hrun}(\mathbb{S}, \mathcal{N}) &\mapsto \rho_{\mathcal{N}} \stackrel{def}{=} \\ &\mathbb{T} \times \mathbb{M} \times \mathbb{L}_0 = \{(t_j, m_j, l_j) | s_j \in \mathbb{S}, \langle s_j \rangle \mapsto (t_j, m_j, l_j) \} \\ &\wedge_{p=1}^{\mathcal{N}} \operatorname{hstep}(\mathbb{T}, p, \sigma_{p-1}, \mathbb{L}_{p-1}) \mapsto (\sigma_p, \mathbb{L}_p) \\ &\langle \sigma_{\mathcal{N}}, \mathbb{M}, \mathbb{L}_{\mathcal{N}} \rangle^{-1} \mapsto \rho_{\mathcal{N}} \end{split}$$

Here hstep is made of two sub-steps: a call to  $\simeq_{\lambda}$  (on the compiled terms) and a call to progress on the set of links. We claim the following:

Proposition 2.1 (Simulation).  $\forall S, \forall N$ ,

$$\operatorname{frun}(\mathbb{S}, \mathcal{N}) \mapsto \rho_{\mathcal{N}} \Leftrightarrow \operatorname{hrun}(\mathbb{S}, \mathcal{N}) \mapsto \rho_{\mathcal{N}}$$

That is, the two executions give the same result. Moreover:

Proposition 2.2 (Simulation fidelity). In the context of hrun, if  $\mathbb{T} \subseteq \mathcal{L}_{\lambda}$  we have that  $\forall p \in 1...N$ ,

$$fstep(\mathbb{S}, p, \rho_{p-1}) \mapsto \rho_p \Leftrightarrow hstep(\mathbb{T}, p, \sigma_{p-1}, \mathbb{L}) \mapsto (\sigma_p, \underline{\hspace{1cm}})$$

In particular this property guarantees that a *failure* in the  $\mathcal{F}_o$  run is matched by a failure in  $\mathcal{H}_o$  at the same step. We consider this property very important from a practical point of view since it guarantees that the execution traces are strongly related and in turn this enables a user to debug a logic program in  $\mathcal{F}_o$  by looking at its execution trace in  $\mathcal{H}_o$ .

XXX permuting hrun does not change the final result if check dooes not fail eagerly

XXX if we want to apply heuristics, we can apply them in decomp to avoid committing to a non MGU too early

We can define  $s_1 \simeq_o s_2$  by specializing the code of hrun to  $\mathbb{S} = \{s_1, s_2\}$  as follows:

$$s_{1} \simeq_{o} s_{2} \mapsto \rho \stackrel{def}{=}$$

$$\langle s_{1} \rangle \mapsto (t_{1}, m_{1}, l_{1}) \land \langle s_{2} \rangle \mapsto (t_{2}, m_{2}, l_{2})$$

$$t_{1} \simeq_{\lambda} t_{2} \mapsto \sigma' \land \operatorname{progress}(\{l_{1}, l_{2}\}, \sigma') \mapsto (L, \sigma'') \land$$

$$\langle \sigma'', \{m_{1}, m_{2}\}, L \rangle^{-1} \mapsto \rho$$

Proposition 2.3 (Properties of  $\simeq_o$ ).

$$s_{i} \in \mathcal{L}_{\lambda} \Rightarrow s_{1} \simeq_{o} s_{2} \mapsto \rho \Rightarrow \rho s_{1} =_{o} \rho s_{2}(correct)$$

$$s_{i} \in \mathcal{L}_{\lambda} \Rightarrow \rho s_{1} =_{o} \rho s_{2} \Rightarrow \exists \rho', s_{1} \simeq_{o} s_{2} \mapsto \rho' \wedge \rho' \subseteq \rho(complete)$$

$$\tag{4}$$

$$\rho s_1 =_{\rho} \rho s_2 \Rightarrow \rho' \subseteq \rho \Rightarrow \rho' s_i \in \mathcal{L}_{\lambda} \Rightarrow \rho' s_1 \simeq_{\rho} \rho' s_2 \tag{5}$$

Properties (correct) and (complete) state, respectively, that in  $\mathcal{L}_{\lambda}$  the implementation of  $\simeq_{o}$  is correct, complete and returns the most general unifier.

Property 2.1 states that  $\simeq_o$ , hence our compilation scheme, is resilient to unification problems outside  $\mathcal{L}_{\lambda}$  solved by a third party. We believe this property is of practical interest since we want the user to be able to add heuristics via hand written rules to the ones obtained by our compilation scheme. A Typical example is the following problem (q) that is outside  $\mathcal{L}_{\lambda}$ :

app [F, con"a"] = app[con"f", con"a", con"a"] 
$$(q)$$
  
F = lam x\ app[con"f",x,x]  $(h)$ 

Instead of rejecting it our scheme accepts it and guarantees that if (h) is given (after the compilation part of the scheme, as a run time hint) then ...

#### 2.1 The intuition in a nutshell

A term s is compiled in a term t where every "problematic" sub term p is replaced by a fresh unification variable h and an accessory link that represent a suspended unification problem  $h \simeq_{\lambda} p$ . As a result  $\simeq_{\lambda}$  is "well behaved" on t, that is it does not contradict  $=_{0}$  as it would otherwise do on "problematic" terms. We now define "problematic" and "well behaved" more formally.

*Definition 2.4* (
$$\Diamond \eta$$
).  $\Diamond \eta = \{t \mid \exists \rho, \rho t \text{ is an eta expansion}\}$ 

An example of term t in  $\Diamond \eta$  is  $\lambda x.\lambda y.F$  y x since the substitution  $\rho = \{F \mapsto \lambda a.\lambda b.fba\}$  makes  $\rho t = \lambda x.\lambda y.fxy$  that is the eta long form of f. This term is problematic since its rigid part, the  $\lambda$ -abstractions, cannot justify a unification failure against, say, a constant.

Definition 2.5 
$$(\overline{\mathcal{L}_{\lambda}})$$
.  $\overline{\mathcal{L}_{\lambda}} = \{Xt_1 \dots t_n \mid Xt_1 \dots t_n \notin \mathcal{L}_{\lambda}\}.$ 

An example of t in  $\overline{\mathcal{L}_{\lambda}}$  is Fa for a constant a. Note however tha an oracle could provide an assignment  $\rho = \{F \mapsto \lambda x.x\}$  that makes the resulting term fall back in  $\mathcal{L}_{\lambda}$ .

Definition 2.6 (Subterms  $\mathcal{P}(t)$ ). The set of sub terms of t is the largest set

subtermt that can be obtained by the following rules.

$$t \in \mathcal{P}(t)$$
  

$$t = ft_1 \dots t_n \Rightarrow \mathcal{P}(t_i) \subseteq \mathcal{P}(t) \land f \in \mathcal{P}(t)$$
  

$$t = \lambda x.t' \Rightarrow \mathcal{P}(t') \subseteq \mathcal{P}(t)$$

We write  $\mathcal{P}(X) = \bigcup_{t \in X} \mathcal{P}(t)$  when X is a set of terms.

Definition 2.7 (Well behaved set). Given a set of terms  $X \subseteq \mathcal{H}_0$ ,

$$W(X) \Leftrightarrow \forall t \in \mathcal{P}(X), t \notin (\overline{\mathcal{L}_{\lambda}} \cup \Diamond \eta)$$

Proposition 2.8 (*W*-preservation).  $\forall \mathbb{T}, \forall \mathbb{L}, \forall p, \forall \sigma, \forall \sigma'$ 

$$\begin{split} \mathcal{W}(\sigma\mathbb{T}) \wedge \sigma\mathbb{T}_{p_l} &\simeq_{\lambda} \sigma\mathbb{T}_{p_r} \mapsto \sigma' \Rightarrow \mathcal{W}(\sigma'\mathbb{T}) \\ \mathcal{W}(\sigma\mathbb{T}) \wedge \operatorname{progress}(\mathbb{L}, \sigma) \mapsto (\_, \sigma') \Rightarrow \mathcal{W}(\sigma'\mathbb{T}) \end{split}$$

<sup>&</sup>lt;sup>1</sup>If the same rule is used multiple time in a run we just consider as many copies as needed of the terms composing the rules, with fresh unification variables each time

is new

used?

A less formal way to state 2.8 is that hstep and progress never "commit" an unneeded  $\lambda$ -abstraction in  $\sigma$  (a  $\lambda$  that could be erased by an  $\eta$ -contraction), nor put in  $\sigma$  a flexible application outside  $\mathcal{L}_{\lambda}$  (an application node that could be erased by a  $\beta$ -reduction).

Note that proposition 2.8 does not hold for  $\simeq_o$  as a whole since decompilation can introduce (actually restore) terms in  $\Diamond \eta$  or  $\overline{\mathcal{L}_\lambda}$  that were move out of the way (put in  $\mathbb L$ ) during compilation.

# 3 ALTERNATIVE ENCODINGS AND RELATED WORK

Paper [2] introduces semi-shallow.

Our encoding of DTT may look "semi shallow" since we use the meta-language lambda abstraction but not its application (for the terms of type tm). A fully shallow encoding unfortunately does not fit our use case, although it would make the running example work:

```
finite (fin N). decision (nfact N NF). decision (all A x\ P x) :- finite A, pi x\ decision (P x).
```

There are two reasons for dismissing this encoding. The first one is that in DTT it is not always possible to adopt it since the type system of the meta language is too weak to accommodate terms with a variable arity, like the following example:

```
Fixpoint arr T n := if n is S m then T -> arr T m else T. Definition sum n : arr nat n := ...  
Check sum 2 7 8 : nat.  
Check sum 3 7 8 9 : nat.
```

The second reason is the encoding for Coq is used for meta programming the system, hence it must accommodate the manipulation of terms that are now know in advance (not even defined in Coq) without using introspection primitives such as Prologs's functor and arg.

In the literature we could find a few related encoding of DTT. TODO In [4] is related and make the discrepancy between the types of ML and DTT visible. In this case one needs 4 application nodes. Moreover the objective is an encoding of terms, proofs, not proof search. Also note the conv predicate, akin to the unif we rule out.

TODO This other paper [15] should also be cited.

None of the encodings above provide a solution to our problem.

#### 4 PRELIMINARIES: $\mathcal{F}_o$ AND $\mathcal{H}_o$

In order to reason about unification we provide a description of the  $\mathcal{F}_0$  and  $\mathcal{H}_0$  languages where unification variables are first class terms, i.e. they have a concrete syntax. We keep these languages minimal, for example, we omit the all quantifier of DTT we used in the example in Section 1 together with the type notation of terms carried by the lam constructor.

```
kind fm type.
type fapp list fm -> fm.
type flam (fm -> fm) -> fm.
type fcon string -> fm.
type fuva addr -> fm.
type fuva addr -> fm.
type uva addr -> list tm -> tm.
type type fuva addr -> fm.
```

Figure 1: The  $\mathcal{F}_0$  and  $\mathcal{H}_0$  languages

Unification variables (fuva term constructor) in  $\mathcal{F}_0$  have no explicit scope: the arguments of an higher order variable are given via

the fapp constructor. For example the term  $P \times is$  represented as fapp[fuva N, x], where N is a memory address and x is a bound variable.

In  $\mathcal{H}_o$  the representation of P x is instead uva N [x], since unification variables come equipped with an explicit scope. We say that the unification variable occurrence uva N L is in  $\mathcal{L}_\lambda$  if and only if L is made of distinct names. The predicate to test this condition is called pattern-fragment:

```
type pattern-fragment list A -> o.
```

Natural numbers represent the memory addresses that identify unification variables in both languages. The memory and its associated operations are described below:

```
kind addr type.
type addr nat -> addr.
typeabbrev (mem A) (list (option A)).

type set? addr -> mem A -> A -> o.
type unset? addr -> mem A -> o.
type assign addr -> mem A -> A -> mem A -> o.
type new mem A -> addr -> mem A -> o.
```

If a memory cell is none, then the corresponding unification variable is not set. assign sets an unset cell to the given value, while new finds the first unused address and sets it to none.

Since in  $\mathcal{H}_o$  unification variables have a scope, their solution needs to be abstracted over it to enable the instantiation of a single solution to different scopes. This is obtained via the inctx container, and in particular via its abs binding constructor. On the contrary a solution to a  $\mathcal{F}_0$  variable is a plain term.

We call fsubst the memory of  $\mathcal{F}_0$ , while we call subst the one of  $\mathcal{H}_0$ . Both have the invariant that they are not cyclic, TODO: explain.

```
kind arity type.
type arity nat -> arity.

kind fvariable type.
type fv addr -> fvariable.

kind hvariable type.
type hv addr -> arity -> hvariable.

kind mapping type.
type mapping fvariable -> hvariable -> mapping.
typeabbrev mmap (list mapping).
```

Invariant 1 (Unification variable Arity). Each variable A in  $\mathcal{H}_o$  has a (unique) arity N and each occurrence (uva A L) is such that (len L N) holds

The compiler establishes a mapping between variables of the two languages. In order to preserve invariant 1 we store the arity of

each hvariable in the mapping and we reuse an existing mapping only if the arity matches.

TODO: add ref to section 7

When a single fvariable occurs multiple times with different numbers of arguments the compiler generates multiple mappings for it, on a first approximation, and then makes the mapping bijective by introducing link- $\eta$ ; this detail is discussed in section 6.

As we mentioned in section 2.1 the compiler replaces terms in  $\diamond \eta$  and  $\overline{\mathcal{L}_{\lambda}}$  with fresh variables linked to the problematic terms. Each class of problematic terms has a dedicated link.

```
kind baselink type.
type link-eta tm -> tm -> baselink.
type link-beta tm -> tm -> baselink.
typeabbrev link (inctx baselink).
typeabbrev links (list link).
```

The right hand side of a link, the problematic term, can occur under binders. To accommodate this situation the compiler wraps baselink using the inctx container (see,  $\cdot \vdash \cdot$ ).

Invariant 2 (Link left hand side of a suspended link is a variable.

New links are suspended by construction. If the left hand side variable is assigned during a step, then the link is considered for progress and possibly eliminated. This is discussed in section 6 and ??.

#### 4.1 Notational conventions

When we write  $\mathcal{H}_o$  terms outside code blocks we follow the usual  $\lambda$ -calculus notation, reserving f, g, a, b for constants, x, y, z for bound variables and X, Y, Z, F, G, H for unification variables. However we need to distinguish between the "application" of a unification variable to its scope and the application of a term to a list of arguments. We write the scope of unification variables in subscript while we use juxtaposition for regular application. Here a few examples:

```
 \begin{array}{lll} f \cdot a & & \operatorname{app[con \ "f", \ con \ "a"]} \\ \lambda x.\lambda y.F_{xy} & \operatorname{lam \ x\backslash \ lam \ y\backslash \ uva \ F \ [x, \ y]} \\ \lambda x.F_{x} \cdot a & \operatorname{lam \ x\backslash \ app[uva \ F \ [x], \ con \ "a"]} \\ \lambda x.F_{x} \cdot x & \operatorname{lam \ x\backslash \ app[uva \ F \ [x], \ x]}  \end{array}
```

When variables x and y can occur in term t we shall write  $t_{xy}$  to stress this fact.

```
We write \sigma = \{ A_{xy} \mapsto y \} for the assignment abs x\abs y\y and \sigma = \{ A \mapsto \lambda x.\lambda y.y \} for lam x\lam y\y .
```

When detailing examples we write links as equations between two terms under a context. The equality sign is subscripted with kind of baselink. For example  $x \vdash A_x =_{\beta} F_x$  a corresponds to:

```
abs x\ val (link-beta (uva A [x]) (app[uva F [x],con "a"]))
```

When it is clear from the context we shall use the same syntax for  $\mathcal{F}_0$  terms (although we never subscripts unification variables).

#### 4.2 Equational theory and Unification

In order to express properties ?? we need to equip  $\mathcal{F}_o$  and  $\mathcal{H}_o$  with term equality, substitution application and unification.

Term equality:  $=_0 vs. =_{\lambda}$ . We extend the equational theory over ground terms to the full languages by adding the reflexivity of unification variables (a variable is equal to itself).

The first four rules are common to both equalities and just define the usual congruence over terms, and since we use an HOAS encoding they also capture  $\alpha$ -equivalence. In addition to that  $=_0$  has rules for  $\eta$  and  $\beta$ -equivalence.

```
type (=_{o}) fm -> fm -> o.
                                                                      (=_o)
fcon X =_o fcon X.
fapp A =_o fapp B :- forall2 (=_o) A B.
flam F =_o flam G := pi x \setminus x =_o x \Rightarrow F x =_o G x.
fuva N =_{o} fuva N.
flam F =_{\alpha} T :=
                                                                      (\eta_l)
  pi x\ beta T [x] (T' x), x =_o x \Rightarrow F x =_o T' x.
T =_{\alpha} flam F :=
                                                                      (\eta_r)
  pi x \land beta T [x] (T' x), x =_o x \Rightarrow T' x =_o F x.
fapp [flam X | L] =_o T :- beta (flam X) L R, R =_o T. (\beta_l)
T =_o fapp [flam X | L] :- beta (flam X) L R, T =_o R. (\beta_r)
type (=_{\lambda}) tm -> tm -> o.
con C =_{\lambda} fcon C.
app A =_{\lambda} fapp B :- forall2 (=_{\lambda}) A B.
lam F =_{\lambda} flam G :- pi x\ x =_{\lambda} x => F x =_{\lambda} G x.
uva N A =_{\lambda} fuva N B :- forall2 (=_{\lambda}) A B.
```

The main point in showing these equality tests is to remark how weaker  $=_{\lambda}$  is, and to identify the four rules that need special treatment in the implementation of  $\simeq_{o}$ .

For reference, (beta T A R) reduces away lam nodes in head position in T whenever the list A provides a corresponding argument.

```
type beta fm -> list fm -> fm -> o.
beta A [] A.
beta (flam Bo) [H | L] R :- napp (Bo H) F, beta F L R.
beta (fapp A) L (fapp X) :- append A L X.
beta (fuva N) L (fapp [fuva N | L]).
beta (fcon H) L (fapp [fcon H | L]).
beta N L (fapp [N | L]) :- name N.

type napp fm -> fm -> o.
napp (fcon C) (fcon C).
napp (fuva A) (fuva A).
napp (flam F) (flam G) :- pi x\ napp (F x) (G x).
napp (fapp [fapp L1 | L2]) T :- !,
  append L1 L2 L3, napp (fapp L3) T.
napp (fapp L) (fapp L1) :- map napp L L1.
napp N N :- name N.
```

The name predicate holds only on nominal constants (i.e. bound variables).<sup>2</sup> The choice of using n-ary application, rather than binary, is to make it easy to access the application's head. The price we pay is that substituting an application in the head of an application should be amended by "flattening" fapp nodes, that is the job of

 $<sup>^2</sup>$  Elpi provides it as a builtin, but one could implement it by systematically loading the hypothetical rule <code>name x</code> every time a nominal constant is postulated via <code>pi x</code>\

napp. <sup>3</sup> Finally note that the cut operator is inessential, it could be removed at the cost of a verbose test on the head of L in the second rule about fapp: L's head can be fcon, flam or a name.

Substitution application:  $\rho s$  and  $\sigma t$ . Applying the substitution corresponds to dereferencing a term with respect to the memory. To ease the comparison we split  $\mathcal{F}_0$  dereferencing into a fder step and a napp one. The former step replaces references to memory cells that are set with their values, and has a corresponding operation in  $\mathcal{H}_0$ , namely deref. On the contrary napp has no corresponding operation in  $\mathcal{H}_0$ . The reasons for this asymmetry is that an fapp node with a flexible head is always mapped to a uva (as per section 5 and section 8), preventing nested applications to materialize.

```
type fder fsubst -> fm -> o.
fder _ (fcon C) (fcon C).
fder S (fapp A) (fapp B) :- map (fder S) A B.
fder S (flam F) (flam G) :-
   pi x\ fder S x x => fder S (F x) (G x).
fder S (fuva N) R :- set? N S T, fder S T R.
fder S (fuva N) (fuva N) :- unset? N S.

type fderef fsubst -> fm -> o. (ρs)
fderef S T T2 :- fder S T T1, napp T1 T2.
```

Applying the substitution in  $\mathcal{H}_o$  is very similar, with the caveat that assignments have to be moved to the current scope, i.e. renaming the abs-bound variables with the names in the scope of the unification variable occurrence.

Note that move strongly relies on invariant 1: the length of the arguments of all occurrences of a unification variable and the number of abstractions in its assignment have to match. In turn this grants that move never fails.

```
type move assignment \rightarrow list tm \rightarrow tm \rightarrow o. move (abs Bo) [H|L] R :- move (Bo H) L R. move (val A) [] A.
```

*Term unification:*  $\simeq_0 vs. \simeq_{\lambda}$ . In this paper we assume to have an implementation of  $\simeq_{\lambda}$  that satisfies properties 1 and 2. Although we provide an implementation in the appendix (that we used for testing purposes) we only describe its signature here. Elpi is expected to provide this brick, as well as any other implementation of  $\lambda$ Prolog.

```
type (\simeq_{\lambda}) tm -> tm -> subst -> o.
```

The only detail worth discussing is the fact that the procedure updates a substitution, rather than just crafting one as presented in section 2. The reason is that the algorithm folds over a term, updating a substitution while it traverses it.

#### 5 BASIC SIMULATION OF $\mathcal{F}_o$ IN $\mathcal{H}_o$

In this section we describe a basic compilation scheme that we refine later, in the following sections. This scheme is sufficient to implement an  $\simeq_0$  that respects  $\beta$ -conversion for terms in  $\mathcal{L}_{\lambda}$ . The extension to  $\eta\beta$ -conversion is described in Section 6 and the support for terms outside  $\mathcal{L}_{\lambda}$  in Section 8.

#### 5.1 Compilation

The main task of the compiler is to recognize  $\mathcal{F}_0$  variables standing for functions and map them to higher order variables in  $\mathcal{H}_0$ . In order to bring back the substitution from  $\mathcal{H}_0$  to  $\mathcal{F}_0$  the compiler builds a "memory map" connecting the kind of variables using routine (*malloc*).

The signature of the comp predicate below allows for the generation of links (suspended unification problems) that play no role in this section but play a major role in section 6 and section 8. With respect to section 2 the signature also allows for updates to the substitution. The code below uses that possibility in order to allocate space for the variables, i.e. sets their memory address to none (a details not worth mentioning in the previous discussion).

```
type comp fm -> tm -> mmap -> mmap -> links -> links -> subst -> o.  
comp (fcon C) (con C) M M L L S S.  
comp (flam F) (lam F1) M1 M2 L1 L2 S1 S2 :-  
    comp-lam F F1 M1 M2 L1 L2 S1 S2.  
comp (fuva A) (uva B []) M1 M2 L L S1 S2 :-  
    m-alloc (fv A) (hv B (arity z)) M1 M2 S1 S2.  
comp (fapp [fuva A|Ag]) (uva B Ag1) M1 M2 L L S1 S2 :-  
    pattern-fragment Ag, !,  
    fold6 comp Ag Ag1 M1 M1 L L S1 S1,  
    len Ag Arity,  
    m-alloc (fv A) (hv B (arity Arity)) M1 M2 S1 S2.  
comp (fapp A) (app A1) M1 M2 L1 L2 S1 S2 :-  
    fold6 comp A A1 M1 M2 L1 L2 S1 S2.
```

This preliminary version of comp recognizes  $\mathcal{F}_0$  variables applied to a (possibly empty) duplicate free list of names. Note that compiling Ag cannot create new mappings nor links, since Ag is made of bound variables and the hypothetical rule loaded by comp-lam (see below) grants this property.

```
type comp-lam (fm -> fm) -> (tm -> tm) ->
    mmap -> mmap -> links -> links -> subst -> o.
comp-lam F G M1 M2 L1 L3 S1 S2 :-
    pi x y\ (pi M L S\ comp x y M M L L S S) =>
        comp (F x) (G y) M1 M2 L1 (L2 y) S1 S2,
    close-links L2 L3.
```

In the code above the syntax  $pi \times y \setminus ...$  is syntactic sugar for iterated pi abstraction, as in  $pi \times pi y \setminus ...$ 

The auxiliary function close-links tests if the bound variable  $\nu$  really occurs in the link. If it is the case the link is wrapped into an additional abs node binding  $\nu$ . In this way links generated deep inside the compiled terms can be moved outside their original context of binders.

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<sup>&</sup>lt;sup>3</sup>Note that napp is an artefact of formalization of  $\mathcal{F}_o$  we do in this presentation and, as we explain later, no equivalent of napp is needed in  $\mathcal{H}_o$ .

```
type close-links (tm -> links) -> links -> o.
close-links (v\[X |L v]) [X|R] :- !, close-links L R.
close-links (v\[X v|L v]) [abs X|R] :- close-links L R.
close-links (_\[]) [].
```

Note that we could remove the first rule, whose solve purpose is to make links more readable by pruning unused context entries.

#### 5.2 Execution

 A step in  $\mathcal{H}_0$  consists in unifying two terms and reconsidering all links for progress. If any of the two tasks fail we say that the entire step fails, and it is at this granularity that we can relate steps in the two languages.

```
type hstep tm -> tm -> links -> links -> subst -> o. hstep T1 T2 L1 L2 S1 S3 :- (T1 \simeq_{\lambda} T2) S1 S2, progress L1 L2 S2 S3.
```

Note that he infix notation ((A  $\simeq_{\lambda}$  B) C D) is syntactic sugar for (( $\simeq_{\lambda}$ ) A B C D).

Reconsidering links is a fixpoint, since the progress of a link can update the substitution and in turn enable another link to progress.

```
type progress links -> links -> subst -> o.
progress L L2 S1 S3 :-
  progress1 L L1 S1 S2,
  occur-check-links L1,
  if (L = L1, S1 = S2)
    (L2 = L1, S3 = S1)
    (progress L1 L2 S2 S3).
```

In the base compilation scheme progress1 is the identity on both the links and the substitution, so the fixpoint trivially terminates. Sections 6 and 8 add rules to progress1 and justify why the don't hinder termination.

Since compilation moves problematic terms out of the sigh of  $\simeq_{\lambda}$ , that procedure can only perform a partial occur check. For example the unification problem  $X \simeq_{\lambda} f Y$  cannot generate a cyclic substitution alone, but should be disallowed if a  $\mathbb{L}$  contains a link like  $\vdash Y =_{\eta} \lambda z. X_z$ : We don't know yet if Y will feature a lambda in head position, but we surely know it contains X, hence f Y and that fails the occur check. The procedure occur-check-links is in charge of ensuring that each link does not represent a (suspended) unification problem doomed to fail because of occur check. This check is needed in order to guarantee proposition 2.2 (simulation fidelity).

#### 5.3 Substitution decompilation

Decompiling the substitution requires to first force the progress of links and then allocating new unassigned variables in the substitution for  $\mathcal{F}_0$  and finally decompiling all assignments. Note that invariant 2 and the occur check allows us to update the subst.

```
type decompile mmap -> links -> subst ->
  fsubst -> fsubst -> o.
decompile M1 L S F1 F3 :-
  commit-links L S S1,
  complete-mapping S1 S1 M1 M2 F1 F2,
  decompm M2 M2 S1 F2 F3.
```

TODO: What is commit-links and complete-mapping?, maybe complete-mapping can be hidden in the code rendering? Decompiling an assignment requires to turn abstractions into lambdas. For aestetic purposes we also eta-contract the result (not needed since  $\mathcal{F}_0$  equality can do that)

```
type decompm mmap -> mmap -> subst -> fsubst -> o.
decompm _ [] _ F F.
decompm M [mapping (fv V) (hv H _) | MS] S F1 F3 :- set? H S A,
  deref-assmt S A A1,
  abs->lam A1 T, decomp M T T1,
  eta-contract T1 T2,
  assign V F1 T2 F2,
  decompm M MS S F2 F3.
decompm M [mapping _ (hv H _) | MS] S F1 F2 :- unset? H S,
  decompm M MS S F1 F2.
```

Finally decompiling a term is trivial, now that we have an extended mapping containing all unassigned variables  $\simeq_{\lambda}$  may have introduced

Note that we use beta to build fapp nodes when needed (if Ag is empty no fapp node should appear).

Invariant 3. TODO: dire che il mapping è bijective

#### 5.4 Definition of $\simeq_o$ and its properties

```
type (\simeq_o) fm -> fm -> fsubst -> o.

(A \simeq_o B) F :-

comp A A' [] M1 [] [] [] S1,

comp B B' M1 M2 [] [] S1 S2,

hstep A' B' [] [] S2 S3,

decompm M2 M2 S3 [] F.
```

The code given so far applies to terms in  $\beta\eta$ -normal form where unification variables in  $\mathcal{F}_0$  can occur non linearly but always with the same number of arguments, and where their arguments are distinct names (as per  $\mathcal{L}_{\lambda}$ ).

```
Lemma 5.1 (Compilation round trip). If comp S T [] M [] _ [] _ then decomp M T S
```

PROOF SKETCH. trivial, since the terms are beta normal beta just builds an app.

Lemma 5.2. Properties (correct) and (complete) hold for the implementation of  $\simeq_0$  above

Proof sketch. In this setting  $=_{\lambda}$  is as strong as  $=_{o}$  on ground terms. What we have to show is that whenever two different  $\mathcal{F}_{0}$  terms can be made equal by a substitution  $\rho$  (plus the  $\beta_{l}$  and  $\beta_{r}$  if needed) we can find this  $\rho$  by finding a  $\sigma$  via  $\cong_{\lambda}$  on the corresponding  $\mathcal{H}_{0}$ 

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terms and by decompiling it. If we look at the  $\mathcal{F}_0$  terms, the are two interesting cases:

- fuva  $X \simeq_o S$ . In this case after comp we have  $Y \simeq_{\lambda} t$  that succeeds with  $\sigma = \{Y \mapsto t\}$  and  $\sigma$  is decompiled to  $\rho =$
- ullet fapp[fuva X|L]  $\simeq_o$  s. In this case we have  $Y_{\vec{\chi}} \simeq_{\lambda} t$  that succeeds with  $\sigma = \{\vec{y} \vdash Y \mapsto t[\vec{x}/\vec{y}]\}$  that in turn is decompiled to  $\rho = \{Y \mapsto \lambda \vec{y}.s[\vec{x}/\vec{y}]\}$ . Thanks to  $\beta_l(\lambda \vec{y}.s[\vec{x}/\vec{y}])\vec{x} =_o$

Since the mapping is a bijection occur check in  $\mathcal{H}_o$  corresponds to occur check in  $\mathcal{F}_0$ .

LEMMA 5.3. Properties simulation (2.1) and fidelity (2.2) hold

PROOF SKETCH. Since progress1 is trivial fstep and hstep are the same, that is in this context where input terms are  $\beta\eta$ -normal and we disregard  $\eta$ -equivalence  $\simeq_{\lambda}$  is equivalent to  $\simeq_{o}$ .

#### 5.5 Limitations of by this basic scheme

$$\lambda x y . F \cdot y \cdot x = \lambda x y . x \tag{6}$$

$$\lambda x. f \cdot (F \cdot x) \cdot x = G \tag{7}$$

Note that here F is used with different arities, moreover in the second problem the left hand side happens to be an eta expansion (of  $f(\lambda y.y)$ ) only after we discover (at run time) that  $F = \lambda x \lambda y.y$ (i.e. that F discards the x argument). Both problems are addressed in the next section.

#### 6 HANDLING OF $\Diamond \eta$

 $\eta$ -reduction is an equivalence relation where a term of the form  $\lambda x.t \cdot x$  can be converted to t any time x does not occur as a free variable in *t*. We call  $\lambda x.t.x$  the  $\eta$ -expansion of *t*.

$$\begin{split} \mathbb{P} &= \{ \ \lambda x. X \cdot x \simeq_o f \} \\ \mathbb{T} &= \{ \ \lambda x. A_x \simeq_o f \} \\ \mathbb{M} &= \{ \ X \mapsto A^1 \ \} \\ \mathbb{L} &= \{ \ \} \end{split}$$

Following the implementation of comp given in section 5, the unification problem  $\mathbb{P}_1$  given above, is compiled into the unification problem  $\mathbb{T}_1$ . We can note that all the terms appearing in  $\mathbb{P}_1$  are unifiable by  $\simeq_o$ , but, despite this,  $\simeq_{\lambda}$ , is not able to solve  $\mathbb{T}_1$ : its left and right hand sides have both different rigid head:  $\lambda x.A'_r$  corresponds to the  $\mathcal{H}_o$  term lam x\ app[con "f", x] and f corresponds to con"f". This failure is motivated by the fact that flam  $x \in A$ , xis a term belonging to  $\Diamond \eta$ .

In order to guarantee proposition 2.1, we need to modify the way terms are compiled. The goal is to recognize every  $\Diamond \eta$  subterm tand replace it with fresh  $\mathcal{H}_o$  variables v. This connection between the variable v and the subterm t is stored in what we call link- $\eta$ which is an object with the following type

type link-eta tm -> tm -> baselink

where, as sketched in section 4, the term on the left hand side (lhs) is linked with its left counterpart (rhs).

link- $\eta$  are added in the link store ( $\mathbb{L}$ ) and activated when special conditions are satisfied on lhs or rhs. These link activations are managed by extending the progress1 predicate (see section 5.2). We

claim that  $link-\eta$  progression does not contradict invariant 2 and we add the following invariant:

INVARIANT 4 (link- $\eta$  rhs). The rhs of any link- $\eta$  in  $\mathbb{L}$  has the shape  $\lambda x.t_x$  where  $t_x$  is a  $\Diamond \eta$  term and x is free in t.

In the next three subsections we explain how we detect  $\Diamond \eta$  terms, how we compile them and how the generated link- $\eta$  are activated during the progress. Moreover, we provide justification for why invariants 2 and 4 remain true.

#### **6.1** Detection of $\Diamond \eta$

When compiling a term t we need to determine if any subterm  $s \in \mathcal{P}(t)$  that is of the form  $\lambda x.r$ , where x occurs in r, can be a  $\eta$ expansion, i.e. if there exists a substitution  $\rho$  such that  $\rho(\lambda x.r) = 0$  s. The detection of lambda abstractions that can "disappear" is not as trivial as it may seems, here a few examples:

$$\begin{array}{lll} \lambda x.f\cdot (A\cdot x) & \in \Diamond \eta & \rho = \{A \mapsto \lambda x.x \} \\ \lambda x.f\cdot (A\cdot x)\cdot x & \in \Diamond \eta & \rho = \{A \mapsto \lambda x.a \} \\ \lambda x.f\cdot x\cdot (A\cdot x) & \notin \Diamond \eta \\ \lambda x.\lambda y.f\cdot (A\cdot x)\cdot (B\cdot y\cdot x) & \in \Diamond \eta & \rho = \{A \mapsto \lambda x.x, B \mapsto \lambda y.\lambda x.y \} \end{array}$$

The first two examples are easy, and show how a unification variable can expose or erase a variable and turn the resulting term in an  $\eta$ -expansion or not.

The third example shows when a variable occurs outside the scope of a unification variable cannot be erased and hence prevent a term from being an  $\eta$ -expansion.

The last example shows the recursive nature of the check we need to implement. The term starts with a spine of two lambdas hence the whole term is in  $\Diamond \eta$  iff the inner term  $\lambda y.f(A \cdot x)(B \cdot y \cdot x)$  is in  $\Diamond \eta$ itself, that means it could  $\eta$ -contract to f(A x) making  $\lambda x. f(A x)$ a potential  $\eta$ -expansion.

We can now define more formally how  $\Diamond \eta$  terms are detected together with its auxiliary functions:

Definition 6.1 (may-contract-to). A term s may-contract-to a name *x* if there exists a substitution  $\rho$  such that  $\rho s =_{\rho} x$ .

Lemma 6.2. A  $\beta$ -normal term  $s = \lambda x_1 \dots x_n t$  may-contract-to xonly if one of the following three conditions holds:

- (1) n = 0 and t = x;
- (2) t is the application of x to a list of terms l and each  $l_i$  maycontract-to  $x_i$  (e.g.  $\lambda x_1 \dots x_n . x \cdot x_1 \dots x_n =_o x$ );
- (3) t is a unification variable with scope W, and for any  $v \in$  $\{x, x_1 \dots x_n\}$ , there exists a  $w_i \in W$ , such that  $w_i$  maycontract-to v (if n = 0 this is equivalent to  $x \in W$ ).

PROOF SKETCH. Since our terms are in  $\beta$ -normal form there is only one rule that can play a role (namely  $\eta_l$ ), hence if the term s is not exactly x (case 1) it can only be an  $\eta$ -expansion of it, or a unification variable that can be assigned to it, or a combination of both. If s begins with a lambda, then the lambda can only disappear by  $\eta$  contraction. In that case the term t under the spine of binders for  $x_1 ext{...} x_n$  can either be x itself applied to terms that can maycontract-to these variables (case 2), or a unification variable that can be assigned to that application (case 3).

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Note that this condition does not require the term to be in  $\mathcal{L}_{\lambda}$ .

Definition 6.3 (occurs-rigidly). A name x occurs-rigidly in a  $\beta$ normal term t, if  $\forall \rho, x \in \mathcal{P}(\rho t)$ 

In other words *x* occurs-rigidly in *t* if it occurs in *t* outside of the scope of unification variables since an instantiation is allowed to discard x from the scope of the unification variable. Note that  $\eta$ -contraction cannot make x disappear, since the variables being erased by  $\eta$ -contraction are locally bound inside t.

We can now derive the implementation for  $\Diamond \eta$  detection:

Lemma 6.4 ( $\Diamond \eta$  detection). A  $\beta$ -normal term  $\lambda x_1 \dots x_n . t \in \Diamond \eta$ (as per definition 2.4) if one of the following holds:

- (1) t is a constant or variable applied to arguments  $l_1 \dots l_m$  such that  $m \ge n$  and for every i such that  $1 \le i \le m-n$  the term  $l_i$ may-contract-to  $x_i$ , and no  $x_i$  occurs-rigidly in  $l_1 \dots l_{m-n-1}$ ;
- (2) t is a unification variable with scope W and for each  $x_i$  there exists  $a w_i \in W$  such that  $w_i$  may-contract-to  $x_i$ .

PROOF SKETCH. Follows from definition 6.3 and lemma 6.2

Remark that the converse of lemma 6.4 does not hold: there exists a term  $t \in \Diamond \eta$  such that for all substitution  $\rho$ ,  $\rho t$  is not an  $\eta$ -expansion. A simple counter example is  $\lambda x.f.(A.x).(A.x)$  which is in  $\Diamond \eta$  since x does not occur-rigidly in the first argument of f, and the second argument of f may-contract-to x. In other words  $A \cdot x$  may either use or discard x, but our analysis does not know that the same term cannot have two contrasting behaviors.

This is however not a problem in the sense that it does not break proposition 2.1. Terms in  $\Diamond \eta$  are compiled to unification variables and a link (see section 6.2): the link makes progress (see section 6.3) in the same step in which the variable is instantiated, and that compensates for this coarse analysis.

#### 6.2 Compilation

Thanks to the maybe-eta predicate, we can detect " $\eta$ -problematic" terms and, consequently replace them with fresh  $\mathcal{H}_0$  unification variables at compilation time. The code below illustrate how this relation is used to for term compilation.

```
comp (flam F) (uva A Scope) M1 M2 L1 L3 S1 S3 :-
 maybe-eta (flam F) [], !,
   alloc S1 A S2,
   comp-lam F F1 M1 M2 L1 L2 S2 S3,
   get-scope (lam F1) Scope,
   L3 = [val (link-eta (uva A Scope) (lam F1)) | L2].
```

This rule, to be inserted just before rule  $(c_{\lambda})$  from the code in section 5, verifies if the  $\mathcal{F}_0$  term t received in entry is a  $\Diamond \eta$ . Let  $\lambda t'$ be the compiled version of t, then the fresh variable A returned as the new  $\mathcal{H}_o$  term as in scope all the free names in t'. The critical part of this compilation is the creation of the link- $\eta$ , which links the variable A with t. This link creation enforce invariant 2 and invariant 4, since lhs is a trivially a variable and the rhs is a term tstarting with the lam constructor where x is free in t otherwise twould not have been detected as a  $\Diamond \eta$ .

Corollary 6.5. The rhs of any link- $\eta$  has exactly one lambda abstraction.

PROOF SKETCH. By contradiction, suppose that a link- $\eta$  l has tas rhs and  $t = \lambda x. \lambda y. t'_{xy}$ . Two cases are to be analyses: 1)  $\lambda y. t'_{xy}$  is a  $\Diamond \eta$ , then, by construction, rhs would have been replaced with a the  $\eta$ -expansion of fresh variable v, which is a contradiction, since  $\lambda y.t'_{xy} \neq \lambda x.v_x$ ; 2)  $\lambda y.t'_{xy}$  is not an a  $\Diamond \eta$ , then neither t is, which is a contradiction since rhs is always a  $\Diamond \eta$  by construction.

#### 6.3 Progress

 $link-\eta$  are meant to delay the unification of "problematic" terms. In the following, we call  $\mathbb{L}$  the list of suspended links.

In order to activate a link- $\eta$  l, we need to extend the progress1 predicate by adding new rules. After passing under all the abs constructors of l, there are two cases making a link- $\eta$  to progress, 1) lhs is instantiated to a rigid term 2) rhs is no more a  $\Diamond \eta$  or it is a term which can be reduced to a term with rigid head. If lhs is instantiated to a rigid term t, by proposition 2.8, we know that tdoes not contain any  $\Diamond \eta$ . Let t' the right hand side, if t is a constant or a function application, then, t', which by construction has lam as head, should be an  $\eta$ -expansion. We are therefore allowed to unify  $\lambda x.t \cdot x$  (the  $\eta$ -expanded version of t) with t'. Finally, if t is a term with lam as head, then it is not an  $\eta$ -expansion and therefore, t can be unified with t'.

The second way to activate a link- $\eta$  is when the rhs is no more a  $\Diamond \eta$  or rhs can be  $\eta$ -reduced to a term t with rigid head. In both cases, lhs is unified with t'.

Once a link- $\eta$  is activated, it can be removed from  $\mathbb{L}$ , otherwise, the link is kept for a further iteration of progress. Note that this link progression enforce proposition 2.8 and invariants 2 and 4: we never commit a term in the  $\mathcal{H}_o$  substitution, since we make unification only when we know that the terms are no more  $\Diamond \eta$ , and when lhs is no more a variable or rhs is no more a  $\Diamond \eta$ , the link is removed from  $\mathbb{L}$ .

$$\begin{split} \mathbb{P} &= \{ \lambda x. X \cdot x \simeq_o f \} \\ \mathbb{T} &= \{ A \simeq_\lambda f \} \\ \mathbb{M} &= \{ X \mapsto B^1 \} \\ \mathbb{L} &= \{ \vdash A =_\eta \lambda x. B_X \} \end{split}$$

$$\mathbb{P} &= \{ \lambda x. X \cdot x \simeq_o f \} \\ \mathbb{T} &= \{ A \simeq_o f \} \\ \mathbb{M} &= \{ X \mapsto B^1 \} \\ \mathbb{L} &= \{ \vdash A =_\eta \lambda x. B_X \} \end{split}$$

The example above shows the new compilation of the unification problem given at the beginning of section 6. This time, we see that the left hand side t of  $\mathbb{P}_1$  has been detected as a  $\Diamond \eta$  and replaced with the fresh variable A. Moreover,  $\mathbb{L}$  contains the link  $l_1$  connecting A with  $\lambda x.X'_x$ , the compiled version of t. After the resolution of  $\mathbb{T}_1$ , A is assigned to f. Therefore lhs of  $l_1$  is a term with con as constructor. This means that rhs of  $l_1$  is a  $\eta$ -expansion and therefore we can unify  $\lambda x. fx$  with rhs, which instantiate  $X'_x$  to  $f \cdot x$ .  $l_1$  is removed from  $\mathbb{L}$  which is now empty, progress terminate, and the decompilation, will instantiate the X variable to f (which is the  $\eta$ -contracted version of  $\lambda x. f \cdot x$ ).

A second example, showing the activation of a link when the rhs is no more a  $\Diamond \eta$ , is given in section 7, since we need to work with variables used with different arities. This example represent the run of the unification problems proposed at section 5.5

Another, way to progress link- $\eta$ , that we call link- $\eta$  deduplication, is when  $\mathbb L$  contains two link- $\eta$   $l_1$  and  $l_2$  with a lhs having the same variable address. Let the lhs of  $l_1$  be uva  $\mathbb U$   $\mathbb R$  and the lhs of  $l_2$  be uva  $\mathbb V$   $\mathbb S$ , then, by invariant 1,  $\mathbb R$  and  $\mathbb S$  have same scope. Let t be the term obtained by replacing all each name  $\mathbb S_i$  in the rhs of  $l_1$  with  $\mathbb R_i$ , t is unified with the rhs of  $l_2$  and one of the two links between  $l_1$  and  $l_2$  is removed from  $\mathbb L$ .

A very basic example of link- $\eta$  deduplication, is given below:

$$\begin{split} \mathbb{P} &= \{ \ \lambda x. X \cdot x \simeq_o \ \lambda x. X \cdot x \ \} \\ \mathbb{T} &= \{ \qquad E0 \simeq_o E2 \ \} \\ \mathbb{M} &= \{ \ X \mapsto A1^1 \ \} \\ \mathbb{L} &= \{ \ \vdash E2 =_n \ \lambda x. A1_x \ \vdash E0 =_n \ \lambda x. A1_x \ \} \end{split}$$

TODO: explain

LEMMA 6.6. For all list of links  $\bot$  and S, progress  $\bot$   $\_$  S  $\_$  terminates

PROOF SKETCH. The addition of rules for progres1 complicates the function progress. We can note, however, that they do not prevent the termination of progress. 1) If a link is activated it is removed from  $\mathbb L$  and the recursive call to progress will have a smaller list of links to recurse on. Moreover, link activation only runs terminating instructions (such as unification). 2) If a link is deduplicated, the termination of progress is still guaranteed since again we reduce  $\mathbb L$  and the instructions run by link deduplications are all terminating. 3) If a link is neither activated nor deduplicated, i.e. it remains suspended, then  $\mathbb L$  remains unchanged like the substitution; therefore, if (L = L1, S1 = S2) succeeds and progress terminates.

TODO: we can have  $\lambda x.F_x$  in the substitution if we know that F does not reduce to Tx where x is not free in T.

#### 7 ENFORCING INVARIANT 1

In section 5.5, we have given two unification problems to be run one after the other. In the following table we present the entry problem, its compiled version, with the corresponding list of mapping and links.

$$\begin{split} \mathbb{P} &= \{ \begin{array}{ll} \lambda x.\lambda y.X \ y.x \ \simeq_o \ \lambda x.\lambda y.x & \lambda x.f \ (X\,x) \ x \ \simeq_o \ Y \, \} \\ \mathbb{T} &= \{ \qquad A \ \simeq_\lambda \ \lambda x.\lambda y.x & D \ \simeq_\lambda \ F \, \} \\ \mathbb{M} &= \{ \begin{array}{ll} Y \mapsto F^0 \quad X \mapsto C^2 \, \} \\ \mathbb{L} &= \left\{ \begin{array}{ll} +A =_\eta \ \lambda x.B_x & x \mapsto B_x =_\eta \ \lambda y.C_{yx} \\ +D =_\eta \ \lambda x.(f \ E_x \ x) & y \mapsto E_y =_\eta \ \lambda w.C_{yw} \end{array} \right\} \\ \mathbb{P} &= \{ \begin{array}{ll} \lambda x.\lambda y.(X \ y.x) \simeq_o \ \lambda x.\lambda y.x & \lambda x.(f \ (X \ x) \ x) \simeq_o \ Y \, \} \\ \mathbb{T} &= \{ \qquad E0 \simeq_o \ \lambda x.\lambda y.x & E3 \simeq_o \ E5 \, \} \\ \mathbb{M} &= \{ \begin{array}{ll} Y \mapsto E5^0 \quad X \mapsto E4^1 \\ X \mapsto E2^2 \end{array} \right\} \\ \mathbb{L} &= \{ \begin{array}{ll} +E3 =_\eta \ \lambda x.(f \ E4_x \ x) & \mapsto E0 =_\eta \ \lambda x.E1_x \\ x \mapsto E1_x =_\eta \ \lambda y.E2_{yx} \end{array} \right\} \end{split}$$

We see that the maybe-eta as detected  $\lambda xy.F\cdot y\cdot x$  and  $\lambda x.f\cdot (F\cdot x)\cdot x$  and replaced them with respectively the  $\mathcal{H}_0$  vars X and Z. X is linked with  $\lambda x.Y_x$ , Y has arity 1 and is  $\eta$ -linked with  $\lambda y.H\cdot y\cdot x$  and Z is linked to the term  $\lambda x.f\cdot G_x$  x. However, the mapping returned by the compilation, does not breaks invariant 3: the  $\mathcal{F}_0$  variable F

is mapped to two different  $\mathcal{H}_o$  variables. To address this problem and enforce invariant 3, we clean the mapping with a second phase after the compilation. This phase is called map-deduplication.

Before formally defining this procedure, we need to define some auxiliary relations. Let  $\mathbb{M}$  be the list of mapping and  $\langle m_1, m_2 \rangle \in \mathbb{M}$  such that the arity of the  $\mathcal{H}_o$  variable in  $m_1$  is smaller than the one in  $m_2$ . Let X (resp. Y) the  $\mathcal{H}_o$  variable of  $m_1$  (resp.  $m_2$ ) and  $n = ar(m_1) - ar(m_2)$ . We also let  $A^i$  be a fresh  $\mathcal{H}_o$  variable. We define the make-eta-link relation taking two mappings  $\langle m_1, m_2 \rangle$  and returning the following list of link link- $\eta$ :  $\forall i \in [1..n]$ ,

$$\begin{cases} +X =_{\eta} \lambda x. A_{x}^{1} & if \ i = 1 \\ x_{1} \dots x_{i-1} + A_{x_{1} \dots x_{i-1}}^{i-1} =_{\eta} \lambda x_{i}. A_{x_{1} \dots x_{i}}^{i} & if \ 1 < i < n \\ x_{1} \dots x_{i-1} + A_{x_{1} \dots x_{i-1}}^{i-1} =_{\eta} \lambda x_{i}. Y_{x_{1} \dots x_{i}} & if \ i = n \end{cases}$$

More concretely, we are saying that for any two mappings, we build as many  $link-\eta$  as the difference of the arities between the two mappings. This links are constructed in such a way that the  $\mathcal{H}_o$  variable v with lowest arity is linked to a fresh variable eta-expended variable  $A^1$  having the scope of v. This variable  $A^1$  is then linked to a an  $\eta$ -expanded fresh variable  $A^2$  with same scope of  $A^1$  and so on. The last link is built between the  $A^{n-1}$  (where n is the difference of arities between the two mappings) and the  $\mathcal{H}_o$  variable u with higher arity in the two mappings being considered.

Definition 7.1 (map-deduplication). For all mappings  $\langle m_1, m_2 \rangle \in \mathbb{M}$ , sharing the same  $\mathcal{F}_0$  variable, the list of link- $\eta$  L is created thanks to make-eta-link $m_1$   $m_2$  L and is added to  $\mathbb{L}$ . Then  $m_1$  is removed from  $\mathbb{M}$ .

If we take back the example give at the beginning of this section, we can deduplicate  $F \mapsto G^1$ ,  $F \mapsto H^2$  by removing the first mapping and adding the auxiliary link- $\eta$ :  $x \vdash G_x =_{\eta} \lambda y.H_{xy}$ .

The complete problem to run for resolution is now:

$$\begin{split} \mathbb{P} &= \{ \begin{array}{cccc} \lambda x.\lambda y.(X\cdot y\cdot x) \simeq_o & \lambda x.\lambda y.x \\ \mathbb{T} &= \{ & E0 \simeq_o & \lambda x.\lambda y.x \\ \mathbb{M} &= \{ & Y \mapsto E5^0 & X \mapsto E2^2 \ \} \\ \mathbb{L} &= \{ \begin{array}{cccc} x \vdash E4_x =_\eta & \lambda y.E2_{xy} \\ \vdash & E0 =_\eta & \lambda x.E1_x \\ \end{array} \right. \begin{array}{c} \lambda x.(f\cdot (X\cdot x)\cdot x) \simeq_o & Y \ \} \\ E3 \simeq_o & E5 \ \} \\ \mathbb{L} &= \{ \begin{array}{cccc} x \vdash E4_x =_\eta & \lambda y.E2_{xy} \\ \vdash & E0 =_\eta & \lambda x.E1_x \\ \end{array} \right. \begin{array}{c} \lambda x.(f\cdot (X\cdot x)\cdot x) \simeq_o & Y \ \} \\ E3 \simeq_o & E5 \ \} \end{array}$$

After unification of the two terms, X is assigned to  $\lambda x.\lambda y.x$ . This assignment makes  $l_2$  to progress since the lhs is materialized and by unification, between X and  $\lambda x.Y_x$ ,  $Y_x$  is instantiate to  $\lambda y.x$ . Once  $Y_x$  is instantiated,  $l_1$  can progress, and set  $H_{Xy}$  to x. After all these progresses,  $l_1$  and  $l_2$  are remove from  $\mathbb L$  and the progress fixpoint terminates. Next, the second unification problem is run, and Z is set to  $f \cdot (\lambda x.x)$ . This unification wakes up  $l_3$  and since Z starts with the app node, the  $\eta$ -expanded version of Z is unified with  $\lambda x.f \cdot G_x \cdot x$  and  $G_x$  is set to x. As last step, the last link is progressed and the final  $\mathcal{H}_0$  substitution is  $\{X \mapsto \lambda x.\lambda y.x, Y_x \mapsto \lambda y.x, G_{yx} \mapsto y, Z \mapsto f \cdot \lambda x.x, H_x \mapsto \lambda y.y\}$ .

The decompilation phase is only charged, in this example to solve the mappings, since no suspended links remain. The only mapping in the list is  $F \mapsto H^2$ , which will assign the F variable in  $\mathcal{F}_0$  to  $\lambda xy.y$ 

TODO: dire che preserviamo l'invariante che tutte le variable sono fully-applied

nel 101

testo<sup>1,102</sup>

### 8 HANDLING OF $\overline{\mathcal{L}_{\lambda}}$

TODO: say that maybe-eta also work in not(llambda)

All the previous sections we have dealt with terms in  $\mathcal{L}_{\lambda}$ , however, it is often possible to work with terms in  $\overline{\mathcal{L}_{\lambda}}$  and wish to unify them. There are situtation, for example, where the oracle has given which  $\beta$ -reduction problems  $(\overline{\mathcal{L}_{\lambda}})$  appears any time we deal with a subterm  $t = Xt_1 \dots t_n$ , where X is flexible and the list  $[t_1 \dots t_n]$  in not in  $\mathcal{L}_{\lambda}$ . This unification problem is not solvable without loss of generality, since there is not a most general unifier. If we take back the example given in section 2.1, the unification Fa = a admits two solutions for  $F: \rho_1 = \{F \mapsto \lambda x.x\}$  and  $\rho_2 = \{F \mapsto \lambda_- a\}$ . Despite this, it is possible to work with  $\overline{\mathcal{L}_{\lambda}}$  if an oracle provides a substitution  $\rho$  such that  $\rho t$  falls again in the  $\mathcal{L}_{\lambda}$ .

On the other hand, the  $\simeq_{\lambda}$  is not designed to understand how the  $\beta$ -redexes work in the object language. Therefore, even if we know that F is assigned to  $\lambda x.x$ ,  $\simeq_{\lambda}$  is not able to unify Fa with a. On the other hand, the problem Fa = G is solvable by  $\simeq_{\lambda}$ , but the final result is that G is assigned to  $(\lambda x.x)a$  which breaks the invariant saying that the substitution of the meta language does not generate terms outide W (Property 2.8).

The solution to this problem is to modify the compiler such that any sub-term t considered as a potential  $\beta$ -redex is replaced with a hole h and a new dedicated link, called link- $\beta$ .

```
type link-beta tm -> tm -> link.
```

This link carries two terms, the former representing the variable h for the new created hole and the latter containing the subterm t. As for the link- $\eta$ , we will call h and t respectively the left hand side (lhs) and the right hand side (rhs) of the link- $\beta$ .

#### 8.1 Compilation

```
Detection of \overline{\mathcal{L}_{\lambda}}. TODO: ...
```

Compilation with link- $\beta$ . In order to build a link- $\beta$ , we need to adapt the compiler so that it can recognize these "problematic" subterms. The following code snippet illustrate such behavior, we suppose the rule to be added just after ??.

A term is  $\overline{\mathcal{L}_{\lambda}}$  if it has the shape fapp[fuva A|Ag] and distinct Ag does not hold. In that case, Ag is split in two sublist Pf and Extra such that former is the longest prefix of Ag such that distinct Pf holds. Extra is the list such that append Pf Extra Ag. Next important step is to compile recursively the terms of these lists and allocate a memory adress B from the substitution in order to map the  $\mathcal{F}_0$  variable fuva A to the  $\mathcal{H}_0$  variable uva B. The link- $\beta$  to return in the end is given by the term Beta = app[uva B Scope1 | Extra1] constituting the rhs, and a fresh variable C having in scope all the free variables

occurring in Beta (this is *lhs*). We point out that the *rhs* is intentionally built as an uva where Extra1 are not in scope, since by invariant, we want all the variables appearing in  $\mathcal{H}_0$  to be in  $\mathcal{L}_{\lambda}$ .

#### 8.2 Progress

Once created, there exist two main situations waking up a suspended  $link-\beta$ . The former is strictly connected to the definition of  $\beta$ -redex and occurs when the head of rhs is materialized by the oracle (see proposition 2.1). In this case rhs is safely  $\beta$ -reduced to a new term t' and the result can be unified with lhs. In this scenario the  $link-\beta$  has accomplished its goal and can be removed from  $\mathbb{L}$ .

The second circumstance making the link- $\beta$  to progress is the instantiation of the variables in the Extra1 making the corresponding arguments to reduce to names. In this case, we want to take the list Scope1 and append to it the largest prefix of Extra1 in a new variable Scope2 such that Scope2 remains in  $\mathcal{L}_{\lambda}$ ; we call Extra2 the suffix of Extra1 such that the concatenation of Scope1 and Extra1 is the same as the concatenation of Scope2 and Extra2. Finally, two cases should be considered: 1) Extra2 is the empty list, lhs and rhs can be unified: we have two terms in  $\mathcal{L}_{\lambda}$ ; otherwise 2) the link- $\beta$  in question is replaced with a refined version where the rhs is app[uva C Scope2 | Extra2] and a new link- $\eta$  is added between the lhs and the new-added variable C.

An example justifying this second link manipulation is given by the following unification problem:

```
f = flam x \setminus fapp[F, fapp[A, x]].
```

The compilation of these terms produces the new unification problem: f = X0

We obtain the mappings  $F \mapsto \mathbf{F}^0, A \mapsto \mathbf{A}^1$  and the links:

$$c0 \vdash X3_{c0} =_{\beta} X2 X1_{c0} \tag{8}$$

$$+X0 =_{\eta} \lambda c 0.X3_{c0} \tag{9}$$

where the first link is a link- $\eta$  between the variable X0, representing the right side of the unification problem (it is a  $\Diamond \eta$ ) and X3; and a link- $\beta$  between the variable X3 and the subterm  $\lambda x.X1_x$  a (it is a  $\overline{\mathcal{L}_{\lambda}}$ ). The substitution tells that  $x \vdash X1_x = x$ .

We can now represent the hrun execution from this configuration which will, at first, dereference all the links, and then try to solve them. The only link being modified is the second one, which is set to  $x \vdash X3 =_{\beta} X2xa$ . The *rhs* of the link has now a variable which is partially in the PF, we can therefore remove the original link- $\beta$  and replace it with the following couple on links:

```
\vdash X1 =\eta= x\ `X4 x'
x \vdash X3 x =\beta= x\ `X4 x' a
```

By these links we say that X1 is now  $\eta$ -linked to a fresh variable X4 with arity one. This new variable is used in the new link- $\beta$  where the name x is in its scope. This allows

#### 8.3 Tricky examples

```
triple ok (@lam x\ @app[@f, @app[@X, x]]) @Y,
triple ok @X (@lam x\ x),
triple ok @Y @f

% @okl 22 [
% triple ok (@lam x\ @lam y\ @app[@Y, y, x]) @X,
```

dir<sup>1</sup>c<sup>41</sup> che<sup>24</sup>si ada<sup>243</sup> bel<sup>264</sup> nell<sup>265</sup> ap<sup>1246</sup> pro<sup>247</sup>

ima<sup>248</sup> tion<sup>1249</sup> 

```
% triple ok (@lam x\ @f) @X, % ].
```

#### 9 FIRST ORDER APPROXIMATION

**TODO:** Coq can solve this: f 1 2 = X 2, by setting X to f 1 **TODO:** We can re-use part of the algo for  $\beta$  given before

#### 10 UNIF ENCODING IN REAL LIFE

TODO: Il ML presentato qui è esattamente elpi TODO: Il OL presentato qui è esattamente coq TODO: Come implementatiamo tutto ciò nel solver

#### 11 RESULTS: STDPP AND TLC

TODO: How may rule are we solving?
TODO: Can we do some perf test

#### 12 CONCLUSION

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#### **APPENDIX** 1509 1567 type fder fsubst -> fm -> o. 1510 1568 This appendix contains the entire code described in this paper. The 1511 fder \_ (fcon C) (fcon C). 1569 code can also be accessed at the URL: https://github.com/FissoreD/ fder S (fapp A) (fapp B) :- map (fder S) A B. 1512 1513 fder S (flam F) (flam G) :-1571 Note that (a infix b) c d de-sugars to (infix) a b c d. 1514 $pi x \land fder S x x \Rightarrow fder S (F x) (G x).$ 1572 Explain builtin name (can be implemented by loading name after fder S (fuva N) R :- set? N S T, fder S T R. 1515 1573 each pi) fder S (fuva N) (fuva N) :- unset? N S. 1516 1574 1517 1575 13 THE MEMORY 1518 type fderef fsubst -> fm -> o. $(\rho s)$ 1576 kind addr type. fderef S T T2: - fder S T T1, napp T1 T2. 1519 1577 type addr nat -> addr. 1520 typeabbrev (mem A) (list (option A)). 1579 1521 type $(=_o)$ fm -> fm -> o. 1522 $(=_o)$ 1580 type set? addr -> mem A -> A -> o. 1523 fcon $X =_{o} f$ con X. 1581 set? (addr A) Mem Val :- get A Mem Val. 1524 fapp $A =_{o} fapp B := forall2 (=_{o}) A B$ . 1582 flam $F =_o$ flam $G := pi x \setminus x =_o x \Rightarrow F x =_o G x.$ 1525 1583 type unset? addr -> mem A -> o. 1526 fuva $N =_{0}$ fuva N. 1584 unset? Addr Mem :- not (set? Addr Mem \_). flam $F =_{\alpha} T :=$ 1527 1585 $(\eta_l)$ $pi x \land beta T [x] (T' x), x =_o x \Rightarrow F x =_o T' x.$ 1528 1586 type assign-aux nat -> mem A -> A -> mem A -> o. $T =_{o} flam F :=$ 1529 $(\eta_r)$ 1587 assign-aux z (none :: L) Y (some Y :: L). $pi x \ beta T [x] (T' x), x =_o x \Rightarrow T' x =_o F x.$ 1530 1588 assign-aux (s N) (X :: L) Y (X :: L1) :- assign-aux N L Y L1. 1531 fapp [flam X | L] = $_{o}$ T :- beta (flam X) L R, R = $_{o}$ T. ( $\beta_{l}$ ) 1589 $T =_{o} fapp [flam X | L] := beta (flam X) L R, <math>T =_{o} R. (\beta_{r})$ type assign addr -> mem A -> A -> mem A -> o. assign (addr A) Mem1 Val Mem2 :- assign-aux A Mem1 Val Mem2. type extend-subst fm -> fsubst -> fsubst -> o. 1534 extend-subst (fuva N) S S' :- mem.alloc N S S'. 1535 1593 type get nat -> mem A -> A -> o. 1536 extend-subst (flam F) S S' :-1594 get z (some Y :: \_) Y. 1537 $pi x \ (pi S\extend-subst x S S) => extend-subst (F x) S S'.$ get (s N) (\_ :: L) X :- get N L X. 1538 extend-subst (fcon \_) S S. extend-subst (fapp L) S S1 :- fold extend-subst L S S1. 1539 type alloc-aux nat -> mem A -> mem A -> o. 1540 alloc-aux z [] [none] :- !. type beta fm -> list fm -> fm -> o. 1599 1541 alloc-aux z L L. beta A [] A. 1600 1542 alloc-aux (s N) [] [none | M] :- alloc-aux N [] M. 1543 beta (flam Bo) [H | L] R :- napp (Bo H) F, beta F L R. 1601 alloc-aux (s N) [X | L] [X | M] :- alloc-aux N L M. 1544 beta (fapp A) L (fapp X) :- append A L X. 1602 beta (fuva N) L (fapp [fuva N | L]). type alloc addr -> mem A -> mem A -> o. beta (fcon H) L (fapp [fcon H | L]). alloc (addr A as Ad) Mem1 Mem2 :- unset? Ad Mem1, beta N L (fapp [N | L]) :- name N. 1605 alloc-aux A Mem1 Mem2. 1548 1606 type napp fm -> fm -> o. 1549 1607 type new-aux mem A -> nat -> mem A -> o. 1550 napp (fcon C) (fcon C). 1608 new-aux [] z [none]. 1551 napp (fuva A) (fuva A). 1609 new-aux [A | As] (s N) [A | Bs] :- new-aux As N Bs. 1552 napp (flam F) (flam G) :- $pi \times pi \times (G \times)$ . 1553 napp (fapp [fapp L1 |L2]) T :- !, 1611 type new mem A $\rightarrow$ addr $\rightarrow$ mem A $\rightarrow$ o. 1554 append L1 L2 L3, napp (fapp L3) T. 1612 new Mem1 (addr Ad) Mem2 :- new-aux Mem1 Ad Mem2. 1555 napp (fapp L) (fapp L1) :- map napp L L1. 1613 1556 napp N N :- name N. 1614 1557 1615 14 THE OBJECT LANGUAGE type mk-app $fm \rightarrow list <math>fm \rightarrow fm \rightarrow o$ . 1558 1616 kind fm type. mk-app T L S :- beta T L S. type fapp list fm -> fm. 1618 1560 type flam (fm -> fm) -> fm. type eta-contract fm -> fm -> o. 1619 1561 1562 type fcon string -> fm. eta-contract (fcon X) (fcon X). 1620 1563 type fuva addr -> fm. eta-contract (fapp L) (fapp L1) :- map eta-contract L L1. 1621 eta-contract (flam F) T := eta-contract-aux [] (flam F) T. 1564 1622 typeabbrev fsubst (mem fm). eta-contract (flam F) (flam F1) :-1565 1623

```
1625
           pi x = eta-contract x x = eta-contract (F x) (F1 x).
                                                                                                                                                       1683
         eta-contract (fuva X) (fuva X).
                                                                                  type prune-same-variable addr -> list tm -> list tm ->
1626
                                                                                                                                                       1684
1627
         eta-contract X X :- name X.
                                                                                                              list tm -> assignment -> o.
                                                                                                                                                       1685
                                                                                  prune-same-variable N [] [] ACC (val (uva N Args)) :-
1629
         type eta-contract-aux list fm -> fm -> o.
                                                                                    rev ACC Args.
         eta-contract-aux L (flam F) T :-
                                                                                  prune-same-variable N [XIXS] [XIYS] ACC (abs F) :-
                                                                                                                                                       1688
1630
1631
           pi x\ eta-contract-aux [x|L] (F x) T. % also checks H Prefix does pritx exprune-same-variable N XS YS [x|ACC] (F x).
                                                                                                                                                       1689
         eta-contract-aux L (fapp [H|Args]) T :-
                                                                                  prune-same-variable N [_|XS] [_|YS] ACC (abs F) :-
1632
                                                                                                                                                       1690
1633
           rev L LRev, append Prefix LRev Args,
                                                                                    pi x\ prune-same-variable N XS YS ACC (F x).
                                                                                                                                                       1691
           if (Prefix = []) (T = H) (T = fapp [H|Prefix]).
                                                                                                                                                       1692
                                                                                  type permute list nat -> list tm -> list tm -> o.
                                                                                  permute [] _ [].
       15 THE META LANGUAGE
                                                                                  permute [P|PS] Args [T|TS] :-
1637
                                                                                                                                                       1695
         kind inctx type -> type.
                                                                     ( · ⊦ · )
                                                                                    nth P Args T,
1638
                                                                                                                                                       1696
1639
         type abs (tm -> inctx A) -> inctx A.
                                                                                    permute PS Args TS.
                                                                                                                                                       1697
1640
         type val A -> inctx A.
                                                                                                                                                       1698
         typeabbrev assignment (inctx tm).
                                                                                  type build-perm-assign addr -> list tm -> list bool ->
1641
                                                                                                                                                       1699
1642
         typeabbrev subst (mem assignment).
                                                                                                        list nat -> assignment -> o.
                                                                                  build-perm-assign N ArgsR [] Perm (val (uva N PermutedArgs)) :-1701
1643
         kind tm type.
                                                                                    rev ArgsR Args, permute Perm Args PermutedArgs.
1644
                                                                                                                                                       1702
         type app list tm -> tm.
                                                                                  build-perm-assign N Acc [tt|L] Perm (abs T) :-
1645
                                                                                                                                                       1703
1646
         type lam (tm -> tm) -> tm.
                                                                                    pi x\ build-perm-assign N [x|Acc] L Perm (T x).
                                                                                                                                                       1704
         type con string -> tm.
                                                                                  build-perm-assign N Acc [ff|L] Perm (abs T) :-
                                                                                                                                                       1705
         type uva addr -> list tm -> tm.
                                                                                    pi x\ build-perm-assign N Acc L Perm (T x).
         type (\simeq_{\lambda}) tm -> tm -> subst -> subst -> o.
                                                                                  type keep list A -> A -> bool -> o.
                                                                                                                                                       1708
1650
                                                                                  keep L A tt :- mem L A, !.
1651
         (con C \simeq_{\lambda} con C) S S.
                                                                                                                                                       1709
1652
         (app L1 \simeq_{\lambda} app L2) S S1 :- fold2 (\simeq_{\lambda}) L1 L2 S S1.
                                                                                  keep _ _ ff.
                                                                                                                                                       1710
1653
         (lam F1 \simeq_{\lambda} lam F2) S S1 :-
                                                                                                                                                       1711
           pi x\ (pi S\ (x \simeq_{\lambda} x) S S) => (F1 x \simeq_{\lambda} F2 x) S S1.
                                                                                  type prune-diff-variables addr -> list tm -> list tm ->
1654
                                                                                                                                                       1712
                                                                                                              assignment -> assignment -> o.
1655
         (uva N Args \simeq_{\lambda} T) S S1 :-
           set? N S F,!, move F Args T1, (T1 \simeq_{\lambda} T) S S1.
                                                                                  prune-diff-variables N Args1 Args2 Ass1 Ass2 :-
                                                                                                                                                       1714
1656
         (T \simeq_{\lambda} uva N Args) S S1 :-
                                                                                                                                                       1715
1657
                                                                                    map (keep Args2) Args1 Bits1,
           set? N S F,!, move F Args T1, (T \simeq_{\lambda} T1) S S1.
                                                                                    map (keep Args1) Args2 Bits2,
                                                                                                                                                       1716
1658
1659
         (uva M A1 \simeq_{\lambda} uva N A2) S1 S2 :- !,
                                                                                    filter Args1 (mem Args2) ToKeep1,
                                                                                                                                                       1717
           pattern-fragment A1, pattern-fragment A2,
                                                                                    filter Args2 (mem Args1) ToKeep2,
                                                                                                                                                       1718
           prune! M A1 N A2 S1 S2.
                                                                                    map (index ToKeep1) ToKeep1 IdPerm,
                                                                                                                                                       1719
         (uva N Args \simeq_{\lambda} T) S S1 :- not_occ N S T, pattern-fragment Args,
                                                                                    map (index ToKeep1) ToKeep2 Perm21,
           bind T Args T1, assign N S T1 S1.
                                                                                    build-perm-assign N [] Bits1 IdPerm Ass1,
                                                                                                                                                       1721
         (T \simeq_{\lambda} \text{ uva N Args}) \text{ S S1 :- not_occ N S T, pattern-fragment Args,}
                                                                                    build-perm-assign N [] Bits2 Perm21 Ass2.
                                                                                                                                                       1722
1664
1665
           bind T Args T1, assign N S T1 S1.
                                                                                                                                                       1723
1666
                                                                                  type beta tm -> list tm -> tm -> o.
                                                                                                                                                       1724
         type prune! addr -> list tm -> addr ->
                                                                                  beta A [] A.
1667
                                                                                                                                                       1725
                      list tm -> subst -> o.
                                                                                  beta (lam Bo) [H | L] R :- beta (Bo H) L R.
                                                                                                                                                       1726
         /* no pruning needed */
                                                                                  beta (app A) L (app X) :- append A L X.
                                                                                                                                                       1727
1669
         prune! N A N A S S :- !.
                                                                                  beta (con H) L (app [con H | L]).
                                                                                                                                                       1728
1670
         prune! M A N A S1 S2 :- !, bind (uva M A) A Ass,
                                                                                  beta X L (app[X|L]) := name X.
                                                                                                                                                       1729
1671
1672
           assign N S1 Ass S2.
                                                                                                                                                       1730
1673
         /* prune different arguments */
                                                                                  /* occur check for N before crossing a functor */
                                                                                                                                                       1731
                                                                                  type not_occ addr -> subst -> tm -> o.
         prune! N A1 N A2 S1 S3 :- !,
1674
                                                                                                                                                       1732
           new S1 W S2, prune-same-variable W A1 A2 [] Ass,
                                                                                  not_occ N S (uva M Args) :- set? M S F,
                                                                                                                                                       1733
           assign N S2 Ass S3.
                                                                                                                                                       1734
                                                                                    move F Args T, not_occ N S T.
         /* prune to the intersection of scopes */
                                                                                  not_occ N S (uva M Args) :- unset? M S, not (M = N),
                                                                                                                                                       1735
1677
         prune! N A1 M A2 S1 S4 :- !,
1678
                                                                                    forall1 (not_occ_aux N S) Args.
                                                                                                                                                       1736
1679
           new S1 W S2, prune-diff-variables W A1 A2 Ass1 Ass2,
                                                                                  not_occ _ _ (con _).
                                                                                                                                                       1737
                                                                                  not_occ N S (app L) :- not_occ_aux N S (app L).
           assign N S2 Ass1 S3,
1680
                                                                                                                                                       1738
           assign M S3 Ass2 S4.
1681
                                                                                  /* Note: lam is a functor for the meta language! */
                                                                                                                                                       1739
                                                                                                                                                       1740
                                                                           15
```

```
1741
         not\_occ\ N\ S\ (lam\ L)\ :-\ pi\ x\ not\_occ\_aux\ N\ S\ (L\ x).
                                                                                                                                                     1799
1742
         not_occ _ _ X :- name X.
                                                                                 kind mapping type.
                                                                                                                                                     1800
1743
         /* finding N is ok */
                                                                                 type mapping fvariable -> hvariable -> mapping.
                                                                                                                                                     1801
         not_occ N _ (uva N _).
                                                                                 typeabbrev mmap (list mapping).
1744
1745
                                                                                                                                                     1803
1746
         /* occur check for X after crossing a functor */
                                                                                 typeabbrev scope (list tm).
                                                                                                                                                     1804
         type not_occ_aux addr -> subst -> tm -> o.
                                                                                 typeabbrev inctx ho.inctx.
1747
                                                                                                                                                     1805
         not\_occ\_aux N S (uva M \_) := unset? M S, not (N = M).
                                                                                 kind baselink type.
1748
                                                                                                                                                     1806
1749
         not_occ_aux N S (uva M Args) :- set? M S F,
                                                                                 type link-eta tm -> tm -> baselink.
                                                                                                                                                     1807
           move F Args T, not_occ_aux N S T.
                                                                                 type link-beta tm -> tm -> baselink.
                                                                                                                                                     1808
         not_occ_aux N S (app L) :- forall1 (not_occ_aux N S) L.
                                                                                 typeabbrev link (inctx baselink).
         not_occ_aux N S (lam F) :- pi x\ not_occ_aux N S (F x).
                                                                                 typeabbrev links (list link).
         not_occ_aux _ _ (con _).
1753
                                                                                                                                                     1811
         not\_occ\_aux \_ \_ X := name X.
                                                                                 macro @val-link-eta T1 T2 :- ho.val (link-eta T1 T2).
                                                                                                                                                     1812
1754
1755
         /* finding N is ko, hence no rule */
                                                                                 macro @val-link-beta T1 T2 :- ho.val (link-beta T1 T2).
                                                                                                                                                     1813
1756
                                                                                                                                                     1814
         /* copy T T' vails if T contains a free variable, i.e. it
1757
                                                                                                                                                     1815
            performs scope checking for bind */
                                                                                                                                                     1816
1759
         type copy tm -> tm -> o.
                                                                                 type occurs-rigidly fm -> fm -> o.
                                                                                                                                                     1817
         copy (con C) (con C).
                                                                                 occurs-rigidly N N.
1760
                                                                                                                                                     1818
                        (app L') :- map copy L L'.
                                                                                 occurs-rigidly _ (fapp [fuva _|_]) :- !, fail.
                                                                                                                                                     1819
1761
         copy (app L)
1762
         copy (lam T) (lam T') :- pi x \cdot copy x x \Rightarrow copy (T x) (T' x).
                                                                                 occurs-rigidly N (fapp L) :- exists (occurs-rigidly N) L.
                                                                                                                                                     1820
1763
         copy (uva A L) (uva A L') :- map copy L L'.
                                                                                 occurs-rigidly N (flam B) :- pi x\ occurs-rigidly N (B x).
                                                                                                                                                     1821
                                                                                                                                                     1822
         type bind tm -> list tm -> assignment -> o.
                                                                                 type reducible-to list fm -> fm -> o.
                                                                                                                                                     1823
         bind T [] (val T') :- copy T T'.
                                                                                 reducible-to _ N N :- !.
                                                                                                                                                     1824
1766
                                                                                 reducible-to L N (fapp[fuva _|Args]) :- !,
         bind T [X | TL] (abs T') :- pi x \cdot copy X x \Rightarrow bind T TL (T' x).
                                                                                                                                                     1825
1767
1768
                                                                                   forall1 (x\ exists (reducible-to [] x) Args) [N|L].
                                                                                                                                                     1826
1769
         type deref subst -> tm -> tm -> o.
                                                                  (\sigma t)
                                                                                 reducible-to L N (flam B) :- !,
                                                                                                                                                     1827
         deref _ (con C) (con C).
                                                                                   pi x\ reducible-to [x | L] N (B x).
1770
                                                                                                                                                     1828
1771
         deref S (app A) (app B) :- map (deref S) A B.
                                                                                 reducible-to L N (fapp [N|Args]) :-
1772
         deref S (lam F) (lam G) :-
                                                                                   last-n {len L} Args R,
                                                                                                                                                     1830
1773
           pi x \cdot deref S x x \Rightarrow deref S (F x) (G x).
                                                                                   forall2 (reducible-to []) R {rev L}.
                                                                                                                                                     1831
         deref S (uva N L) R :- set? N S A,
                                                                                                                                                     1832
1774
1775
           move A L T, deref S T R.
                                                                                 type maybe-eta fm -> list fm -> o.
                                                                                                                                         (\Diamond \eta)
                                                                                                                                                     1833
1776
         deref S (uva N A) (uva N B) :- unset? N S,
                                                                                 maybe-eta (fapp[fuva _|Args]) L :- !,
                                                                                                                                                     1834
           map (deref S) A B.
                                                                                   forall1 (x\ exists (reducible-to [] x) Args) L, !.
                                                                                                                                                     1835
                                                                                 maybe-eta (flam B) L := !, pi \times maybe-eta (B \times) [x \mid L].
         type move assignment -> list tm -> tm -> o.
                                                                                 maybe-eta (fapp [fcon _|Args]) L :-
         move (abs Bo) [H|L] R :- move (Bo H) L R.
                                                                                   split-last-n {len L} Args First Last,
                                                                                                                                                     1838
1780
1781
         move (val A) [] A.
                                                                                   none (x\ exists (y\ occurs-rigidly x y) First) L,
                                                                                                                                                     1839
1782
                                                                                   forall2 (reducible-to []) {rev L} Last.
                                                                                                                                                     1840
                                                                                                                                                     1841
1783
         type deref-assmt subst -> assignment -> o.
1784
                                                                                                                                                     1842
1785
         deref-assmt S (abs T) (abs R) :- pi \times deref-assmt S (T x) (R x). type locally-bound tm -> o.
                                                                                                                                                     1843
1786
         deref-assmt S (val T) (val R) :- deref S T R.
                                                                                 type get-scope-aux tm -> list tm -> o.
                                                                                                                                                     1844
1787
                                                                                 get-scope-aux (con _) [].
                                                                                                                                                     1845
1788
                                                                                 get-scope-aux (uva _ L) L1 :-
                                                                                                                                                     1846
       16 THE COMPILER
1789
                                                                                   forall2 get-scope-aux L R,
                                                                                                                                                     1847
         kind arity type.
1790
                                                                                   flatten R L1.
                                                                                                                                                     1848
         type arity nat -> arity.
                                                                                 get-scope-aux (lam B) L1 :-
1792
                                                                                   pi x \setminus locally-bound x \Rightarrow get-scope-aux (B x) L1.
         kind fyariable type.
1793
                                                                                 get-scope-aux (app L) L1 :-
                                                                                                                                                     1851
         type fv addr -> fvariable.
1794
                                                                                   forall2 get-scope-aux L R,
                                                                                                                                                     1852
1795
                                                                                   flatten R L1.
                                                                                                                                                     1853
         kind hvariable type.
                                                                                 get-scope-aux X [X] :- name X, not (locally-bound X).
                                                                                                                                                     1854
1796
         type hv addr -> arity -> hvariable.
1797
                                                                                 get-scope-aux X [] :- name X, (locally-bound X).
                                                                                                                                                     1855
1798
                                                                                                                                                     1856
                                                                          16
```

```
1857
1858
         type names1 list tm -> o.
1859
         names1 | :-
           names L1.
1861
           new_int N.
           if (1 is N mod 2) (L1 = L) (rev L1 L).
1862
1863
         type get-scope tm -> list tm -> o.
1864
1865
         get-scope T Scope :-
           get-scope-aux T ScopeDuplicata,
           undup ScopeDuplicata Scope.
         type rigid fm -> o.
         rigid X :- not (X = fuva _).
1869
1870
1871
         type comp-lam (fm \rightarrow fm) \rightarrow (tm \rightarrow tm) \rightarrow
1872
           mmap -> mmap -> links -> links -> subst -> o.
         comp-lam F G M1 M2 L1 L3 S1 S2 :-
1873
1874
           pi x y\ (pi M L S\ comp x y M M L L S S) =>
             comp (F x) (G y) M1 M2 L1 (L2 y) S1 S2.
1875
           close-links L2 L3.
1876
1877
1878
         type close-links (tm -> links) -> links -> o.
1879
         close-links (v\[X\] | L v\]) [X\[R\] :- !, close-links L R.
         close-links (v\setminus[X\ v\mid L\ v]) [abs X|R] :- close-links L R.
         close-links (_\[]) [].
         type comp fm -> tm -> mmap -> links -> links ->
1883
           subst -> subst -> o.
1884
         comp (fcon C) (con C) M M L L S S.
1885
         comp (flam F) (uva A Scope) M1 M2 L1 L3 S1 S3 :-
           maybe-eta (flam F) [], !,
1886
             alloc S1 A S2.
             comp-lam F F1 M1 M2 L1 L2 S2 S3,
1888
             get-scope (lam F1) Scope,
1889
             L3 = [val (link-eta (uva A Scope) (lam F1)) | L2].
1890
1891
         comp (flam F) (lam F1) M1 M2 L1 L2 S1 S2 :-
                                                                    (c_{\lambda})
           comp-lam F F1 M1 M2 L1 L2 S1 S2.
         comp (fuva A) (uva B []) M1 M2 L L S1 S2 :-
           m-alloc (fv A) (hv B (arity z)) M1 M2 S1 S2.
         comp (fapp [fuva A|Ag]) (uva B Ag1) M1 M2 L L S1 S2 :-
           pattern-fragment Ag, !,
1896
             fold6 comp Ag Ag1 M1 M1 L L S1 S1,
1897
1898
             len Ag Arity,
             m-alloc (fv A) (hv B (arity Arity)) M1 M2 S1 S2.
1899
1900
         comp (fapp [fuva A|Ag]) (uva C Scope) M1 M3 L1 L3 S1 S4 :- !,
           pattern-fragment-prefix Ag Pf Extra,
1901
           fold6 comp Pf
                            Scope1 M1 M1 L1 L1 S1 S1,
1902
           fold6 comp Extra Extra1 M1 M2 L1 L2 S1 S2,
1903
1904
           len Pf Arity,
1905
           m-alloc (fv A) (hv B (arity Arity)) M2 M3 S2 S3,
           Beta = app [uva B Scope1 | Extra1],
           get-scope Beta Scope,
           alloc S3 C S4,
1908
           L3 = [@val-link-beta (uva C Scope) Beta | L2].
1909
1910
         comp (fapp A) (app A1) M1 M2 L1 L2 S1 S2 :-
1911
           fold6 comp A A1 M1 M2 L1 L2 S1 S2.
1912
         type alloc mem A -> addr -> mem A -> o.
1913
1914
                                                                          17
```

```
alloc S N S1 :- mem new S N S1.
                                                                                                                          1915
                                                                                                                          1916
type compile-terms-diagnostic
                                                                                                                          1917
   triple diagnostic fm fm ->
                                                                                                                          1918
   triple diagnostic tm tm ->
   mmap -> mmap ->
                                                                                                                          1920
  links -> links ->
                                                                                                                          1921
   subst -> subst -> o.
                                                                                                                          1922
compile-terms-diagnostic (triple D FO1 FO2) (triple D HO1 HO2) MP3M3 L1
    comp F01 H01 M1 M2 L1 L2 S1 S2,
    comp F02 H02 M2 M3 L2 L3 S2 S3.
                                                                                                                          1926
type compile-terms
                                                                                                                          1927
   list (triple diagnostic fm fm) ->
                                                                                                                          1928
   list (triple diagnostic tm tm) ->
                                                                                                                          1929
   mmap -> links -> subst -> o.
                                                                                                                          1930
compile-terms T H M L S :-
                                                                                                                          1931
    fold6 compile-terms-diagnostic T H [] M_ [] L_ [] S_,
    deduplicate-map M_ M S_ S L_ L.
                                                                                                                          1933
                                                                                                                          1934
type make-eta-link-aux nat -> addr -> addr ->
                                                                                                                          1935
   list tm -> links -> subst -> subst -> o.
                                                                                                                          1936
make-eta-link-aux z Ad1 Ad2 Scope1 L H1 H1 :-
                                                                                                                          1937
   rev Scope1 Scope, eta-expand (uva Ad2 Scope) T1,
                                                                                                                          1938
   L = [val (link-eta (uva Ad1 Scope) T1)].
make-eta-link-aux (s N) Ad1 Ad2 Scope1 L H1 H3 :-
                                                                                                                          1940
   rev Scope1 Scope, alloc H1 Ad H2,
                                                                                                                          1941
    eta-expand (uva Ad Scope) T2,
                                                                                                                          1942
    (pi x\ make-eta-link-aux N Ad Ad2 [x|Scope1] (L1 x) H2 H3),
                                                                                                                          1943
    close-links L1 L2,
   L = [val (link-eta (uva Ad1 Scope) T2) | L2].
                                                                                                                          1946
type make-eta-link nat -> nat -> addr -> addr ->
                                                                                                                          1947
               list tm -> links -> subst -> subst -> o.
                                                                                                                          1948
make-eta-link (s N) z Ad1 Ad2 Vars L H H1 :-
                                                                                                                          1949
                                                                                                                          1950
   make-eta-link-aux N Ad2 Ad1 Vars L H H1.
make-eta-link z (s N) Ad1 Ad2 Vars L H H1 :-
                                                                                                                          1951
    make-eta-link-aux N Ad1 Ad2 Vars L H H1.
make-eta-link (s N) (s M) Ad1 Ad2 Vars Links H H1 :-
    (pi x\ make-eta-link N M Ad1 Ad2 [x|Vars] (L x) H H1),
                                                                                                                          1954
    close-links L Links.
                                                                                                                          1955
                                                                                                                          1956
type deduplicate-map mmap -> mmap ->
                                                                                                                          1957
       subst -> subst -> links -> links -> o.
deduplicate-map [] [] H H L L.
deduplicate-map [(mapping (fv 0) (hv M (arity LenM)) as X1) | Mapvol Ma
    take-list Map1 (mapping (fv 0) (hv M' (arity LenM'))) _, !, 1961
    std.assert! (not (LenM = LenM')) "Deduplicate map, there is al‱g",
    print "arity-fix links:" {ppmapping X1} "~!~" {ppmapping (mapping (fv
    make-eta-link LenM LenM' M M' [] New H1 H2,
   print "new eta link" {pplinks New},
   append New L1 L2,
                                                                                                                          1966
    deduplicate-map Map1 Map2 H2 H3 L2 L3.
                                                                                                                          1967
deduplicate-map [A|As] [A|Bs] H1 H2 L1 L2 :-
                                                                                                                          1968
    deduplicate-map As Bs H1 H2 L1 L2, !.
                                                                                                                          1969
deduplicate-map [A|_] _ H _ _ _ :-
    halt "deduplicating mapping error" {ppmapping A} {ho.ppsubst ₩91
```

```
17 THE PROGRESS FUNCTION
1973
                                                                            append Scope1 L1 Scope1L,
                                                                                                                                         2031
1974
                                                                            pattern-fragment-prefix Scope1L Scope2 L2,
                                                                                                                                         2032
        macro @one :- s z.
1975
                                                                            not (Scope1 = Scope2), !,
                                                                                                                                         2033
                                                                            mem.new S1 Ad2 S2,
        type contract-rigid list ho.tm -> ho.tm -> o.
1977
                                                                            len Scope1 Scope1Len,
        contract-rigid L (ho.lam F) T :-
          1978
                                                                            make-eta-link Scope1Len Scope2Len Ad1 Ad2 [] LinkEta S2 S3,
1979
        contract-rigid L (ho.app [H|Args]) T :-
                                                                            if (L2 = []) (NewLinks = LinkEta, T2 = ho.uva Ad2 Scope2)
1980
          rev L LRev, append Prefix LRev Args,
1981
                                                                              (T2 = ho.app [ho.uva Ad2 Scope2 | L2],
                                                                                                                                         2039
          if (Prefix = []) (T = H) (T = ho.app [H|Prefix]).
                                                                              NewLinks = [@val-link-beta T T2 | LinkEta]).
                                                                                                                                         2040
        type progress-eta-link ho.tm -> ho.tm -> ho.subst -> ho.subst -> links -> o.
        progress-eta-link (ho.app _ as T) (ho.lam x\ _ as T1) H H1 [] :- !progress-beta-link T1 (ho.app[ho.uva _ _ | _] as T2) _ _ _ :-
1984
                                                                            not (T1 = ho.uva _ _), !, fail.
1985
          ({eta-expand T @one} == 1 T1) H H1.
1986
        progress-eta-link (ho.con \_ as T) (ho.lam x\ \_ as T1) H H1 [] :- !,
1987
                                                                          progress-beta-link (ho.uva _ _ as T) (ho.app[ho.uva _ _ | _] as2042) S1 _
          (\{eta-expand T @one\} == 1 T1) H H1.
1988
                                                                            occur-check-err T T2 S1, !, fail.
                                                                                                                                         2046
        progress-eta-link (ho.lam _ as T) T1 H H1 [] :- !,
1989
                                                                                                                                         2047
          (T == 1 T1) H H1.
1990
                                                                          progress-beta-link T1 (ho.app[ho.uva _ _ | _] as T2) H H [@val-link-beta
        progress-eta-link (ho.uva _ _ as X) T H H1 [] :-
1991
          contract-rigid [] T T1, !, (X ==1 T1) H H1.
        progress-eta-link (ho.uva Ad _ as T1) T2 H H [@val-link-eta T1 T2]progress-beta-link T1 (ho.app [Hd | T1]) S1 S2 B :-
                                                                                                                                         2050
1992
                                                                            ho.beta Hd Tl T3.
                                                                                                                                         2051
1993
          if (ho.not_occ Ad H T2) true fail.
1994
                                                                            progress-beta-link-aux T1 T3 S1 S2 B.
                                                                                                                                         2052
1995
        type is-in-pf ho.tm -> o.
                                                                          type solve-link-abs link -> links -> ho.subst -> ho.subst -> o.2054
        is-in-pf (ho.app [ho.uva _ _ | _]) :- !, fail.
                                                                          solve-link-abs (ho.abs X) R H H1 :-
        is-in-pf (ho.lam B) :- !, pi x\ is-in-pf (B x).
                                                                            pi x\ ho.copy x x \Rightarrow (pi S\ ho.deref S x x) \Rightarrow
1998
        is-in-pf (ho.con _).
                                                                              solve-link-abs (X x) (R' x) H H1,
                                                                                                                                         2057
1999
        is-in-pf (ho.app L) :- forall1 is-in-pf L.
                                                                            close-links R' R.
2000
                                                                                                                                         2058
        is-in-pf N :- name N.
2001
                                                                                                                                         2059
        is-in-pf (ho.uva _ L) :- pattern-fragment L.
                                                                          solve-link-abs (@val-link-eta A B) NewLinks S S1 :- !,
2002
                                                                                                                                         2060
2003
                                                                            progress-eta-link A B S S1 NewLinks.
        type arity ho.tm -> nat -> o.
2004
        arity (ho.con _) z.
                                                                          solve-link-abs (@val-link-beta A B) NewLinks S S1 :- !,
                                                                                                                                         2063
2005
        arity (ho.app L) A :- len L A.
                                                                            progress-beta-link A B S S1 NewLinks.
                                                                                                                                         2064
2006
2007
                                                                                                                                         2065
        type occur-check-err ho.tm -> ho.tm -> ho.subst -> o.
2008
                                                                          type take-link link -> links -> link -> links -> o.
                                                                                                                                         2066
        occur-check-err (ho.con _) _ _ :- !.
                                                                          take-link A [B|XS] B XS :- link-abs-same-lhs A B, !.
        occur-check-err (ho.app _) _ _ :- !.
2010
                                                                          take-link A [L|XS] B [L|YS] :- take-link A XS B YS.
        occur-check-err (ho.lam _) _ _ :- !.
2011
        occur-check-err (ho.uva Ad _) T S :-
                                                                          type link-abs-same-lhs link -> link -> o.
                                                                                                                                         2070
2012
          not (ho.not_occ Ad S T).
                                                                          link-abs-same-lhs (ho.abs F) B :-
2013
                                                                                                                                         2071
2014
                                                                            pi x\ link-abs-same-lhs (F x) B.
                                                                                                                                         2072
        type progress-beta-link-aux ho.tm -> ho.tm ->
                                                                          link-abs-same-lhs A (ho.abs G) :-
                                                                                                                                         2073
2015
                ho.subst -> ho.subst -> links -> o.
2016
                                                                            pi x\ link-abs-same-lhs A (G x).
        progress-beta-link-aux T1 T2 S1 S2 [] :- is-in-pf T2, !,
2017
                                                                          link-abs-same-lhs (@val-link-eta (ho.uva N _) _) (@val-link-eta200ho.uva
          (T1 == 1 T2) S1 S2.
2018
        progress-beta-link-aux T1 T2 S S [@val-link-beta T1 T2] :- !.
                                                                          type same-link-eta link -> link -> ho.subst -> ho.subst -> o. 2077
2019
2020
                                                                          same-link-eta (ho.abs F) B H H1 :- !, pi x\ same-link-eta (F x){}^{2}8 H H1.
        type progress-beta-link ho.tm -> ho.tm -> ho.subst ->
                                                                          same-link-eta A (ho.abs G) H H1 :- !, pi x\ same-link-eta A (G x)y9H H1.
2021
              ho.subst -> links -> o
        (@val-link-eta (ho.uva N S2) B) H H1 :-
          arity T Arity, len L ArgsNb, ArgsNb >n Arity, !,
                                                                            std.map2 S1 S2 (x\y\r\ r = ho.copy x y) Perm,
2024
          minus ArgsNb Arity Diff, mem.new S V1 S1,
                                                                            Perm => ho.copy A A',
                                                                                                                                         2083
2025
          eta-expand (ho.uva V1 Scope) Diff T1,
                                                                            (A' == 1 B) H H1.
2026
                                                                                                                                         2084
          ((ho.uva V Scope) ==1 T1) S1 S2.
2027
                                                                                                                                         2085
        progress-beta-link (ho.uva _ _ as T) (ho.app[ho.uva Ad1 Scope1 | L‡ypes progress-1 links -> ho.subst -> ho.subst -> o.
2028
                                                                                                                                         2086
2029
                                                                          progress1 [] [] X X.
                                                                                                                                         2087
2030
                                                                                                                                         2088
                                                                    18
```

```
2089
         progress1 [A|L1] [A|L3] S S2 :- take-link A L1 B L2, !,
                                                                                  type add-new-map ho.subst -> ho.tm -> map ->
                                                                                                                                                      2147
           same-link-eta A B S S1,
                                                                                      map -> fo.fsubst -> fo.fsubst -> o.
2090
                                                                                                                                                      2148
2091
           progress1 L2 L3 S1 S2.
                                                                                  add-new-map _ (ho.uva N _) Map [] F1 F1 :-
                                                                                                                                                      2149
         progress1 [L0|L1] L3 S S2 :- deref-link S L0 L,
                                                                                    mem Map (mapping _ (hv N _)), !.
                                                                                                                                                      2150
2093
           solve-link-abs L R S S1, !,
                                                                                  add-new-map H (ho.uva N L) Map [Map1 | MapL] F1 F3 :-
                                                                                                                                                      2151
           progress1 L1 L2 S1 S2, append R L2 L3.
                                                                                                                                                      2152
2094
                                                                                    mem.new F1 M F2.
                                                                                    len L Arity, Map1 = mapping (fv M) (hv N (arity Arity)),
                                                                                                                                                      2153
2095
                                                                                    add-new-map H (ho.app L) [Map1 | Map] MapL F2 F3.
                                                                                                                                                      2154
2096
       18 THE DECOMPILER
2097
                                                                                  add-new-map H (ho.lam B) Map NewMap F1 F2 :-
                                                                                                                                                      2155
2098
         type abs->lam ho.assignment -> ho.tm -> o.
                                                                                    pi x\ add-new-map H (B x) Map NewMap F1 F2.
                                                                                                                                                      2156
         abs->lam (ho.abs T) (ho.lam R) :- !, pi x\ abs->lam (T x) (R x). add-new-map H (ho.app L) Map NewMap F1 F3 :-
                                                                                                                                                      2157
         abs->lam (ho.val A) A.
                                                                                    add-new-map-aux H L Map NewMap F1 F3.
                                                                                  add-new-map _ (ho.con _) _ [] F F :- !.
                                                                                                                                                      2159
2101
         type commit-links-aux link -> ho.subst -> ho.subst -> o.
                                                                                  add-new-map _ N _ [] F F :- name N.
2102
                                                                                                                                                      2160
2103
         commit-links-aux (@val-link-eta T1 T2) H1 H2 :-
                                                                                                                                                      2161
2104
           ho.deref H1 T1 T1', ho.deref H1 T2 T2',
                                                                                  type complete-mapping-under-ass ho.subst -> ho.assignment ->
                                                                                                                                                      2162
           (T1' == 1 T2') H1 H2.
                                                                                    map -> map -> fo.fsubst -> fo.fsubst -> o.
2105
2106
         commit-links-aux (@val-link-beta T1 T2) H1 H2 :-
                                                                                  complete-mapping-under-ass H (ho.val Val) Map1 Map2 F1 F2 :-
2107
           ho.deref H1 T1 T1', ho.deref H1 T2 T2',
                                                                                    add-new-map H Val Map1 Map2 F1 F2.
            (T1' == 1 T2') H1 H2.
                                                                                  complete-mapping-under-ass H (ho.abs Abs) Map1 Map2 F1 F2 :-
2108
                                                                                                                                                      2166
         commit-links-aux (ho.abs B) H H1 :-
                                                                                    pi x\ complete-mapping-under-ass H (Abs x) Map1 Map2 F1 F2.
                                                                                                                                                      2167
2109
2110
           pi x\ commit-links-aux (B x) H H1.
                                                                                                                                                      2168
2111
                                                                                  type complete-mapping ho.subst -> ho.subst ->
                                                                                                                                                      2169
         type commit-links links -> links -> ho.subst -> ho.subst -> o.
                                                                                    map -> map -> fo.fsubst -> fo.fsubst -> o.
2112
                                                                                                                                                      2170
2113
         commit-links [] [] H H.
                                                                                  complete-mapping _ [] L L F F.
                                                                                                                                                      2171
         commit-links [Abs | Links] L H H2 :-
                                                                                  complete-mapping H [none | Tl] L1 L2 F1 F2 :-
                                                                                                                                                      2172
2114
           commit-links-aux Abs H H1, !, commit-links Links L H1 H2.
                                                                                    complete-mapping H Tl L1 L2 F1 F2.
                                                                                                                                                      2173
2115
                                                                                  complete-mapping H [some T0 | T1] L1 L3 F1 F3 :-
2116
                                                                                                                                                      2174
2117
         type decompl-subst map -> map -> ho.subst ->
                                                                                    ho.deref-assmt H T0 T,
                                                                                                                                                      2175
           fo.fsubst -> fo.fsubst -> o.
                                                                                    complete-mapping-under-ass H T L1 L2 F1 F2,
2118
                                                                                                                                                      2176
2119
         decompl-subst _ [A]_] _ _ :- fail.
                                                                                    append L1 L2 LAll.
2120
         decompl-subst _ [] _ F F.
                                                                                    complete-mapping H Tl LAll L3 F2 F3.
                                                                                                                                                      2178
         decompl-subst Map [mapping (fv V0) (hv VM _)[T1] H F F2 :-
                                                                                                                                                      2179
2121
           mem.set? VM H T, !,
2122
                                                                                  type decompile map -> links -> ho.subst ->
                                                                                                                                                      2180
2123
           ho.deref-assmt H T TTT,
                                                                                    fo.fsubst -> fo.fsubst -> o.
                                                                                                                                                      2181
2124
           abs->lam TTT T', tm->fm Map T' T1,
                                                                                  decompile Map1 L HO FO FO2 :-
                                                                                                                                                      2182
           fo.eta-contract T1 T2, mem.assign V0 F T2 F1,
                                                                                    commit-links L L1_ HO HO1, !,
2125
                                                                                                                                                      2183
           decompl-subst Map Tl H F1 F2.
                                                                                    complete-mapping HO1 HO1 Map1 Map2 FO FO1,
2127
         decompl-subst Map [mapping _ (hv VM _)|T1] H F F2 :-
                                                                                    decompl-subst Map2 Map2 H01 F01 F02.
           mem.unset? VM H, decompl-subst Map T1 H F F2.
                                                                                                                                                      2186
2128
2129
                                                                                                                                                      2187
                                                                               19 AUXILIARY FUNCTIONS
2130
         type tm\rightarrow fm map \rightarrow ho.tm \rightarrow fo.fm \rightarrow o.
                                                                                                                                                      2188
                                                                                  type fold4 (A \rightarrow A1 \rightarrow B \rightarrow B \rightarrow C \rightarrow C \rightarrow o) \rightarrow list A \rightarrow
         tm->fm _ (ho.con C) (fo.fcon C).
2131
                                                                                                                                                      2189
                                                                                    list A1 \rightarrow B \rightarrow B \rightarrow C \rightarrow C \rightarrow o.
         tm->fm L (ho.lam B1) (fo.flam B2) :-
2132
                                                                                                                                                      2190
                                                                                  fold4 _ [] [] A A B B.
2133
           pi \times y \to m->fm x y \Rightarrow tm->fm L (B1 x) (B2 y).
                                                                                                                                                      2191
                                                                                  fold4 F [X|XS] [Y|YS] A A1 B B1 :- F X Y A A0 B B0,
2134
         tm->fm L (ho.app L1) T :- map (tm->fm L) L1 [Hd[T1],
                                                                                                                                                      2192
                                                                                    fold4 F XS YS A0 A1 B0 B1.
2135
           fo.mk-app Hd Tl T.
                                                                                                                                                      2193
2136
          tm->fm L (ho.uva VM TL) T :- mem L (mapping (fv VO) (hv VM _)),
                                                                                                                                                      2194
                                                                                  type len list A -> nat -> o.
2137
           map (tm->fm L) TL T1, fo.mk-app (fo.fuva V0) T1 T.
                                                                                                                                                      2195
                                                                                  len [] z.
                                                                                                                                                      2196
                                                                                  len [\_|L] (s X) :- len L X.
2139
         type add-new-map-aux ho.subst -> list ho.tm -> map ->
                map -> fo.fsubst -> fo.fsubst -> o.
         add-new-map-aux \_ [] \_ [] S S.
2141
                                                                                                                                                      2199
         add-new-map-aux H [T|Ts] L L2 S S2 :-
2142
                                                                                                                                                      2200
2143
           add-new-map H T L L1 S S1,
                                                                                                                                                      2201
           add-new-map-aux H Ts L1 L2 S1 S2.
2144
                                                                                                                                                      2202
2145
                                                                                                                                                      2203
2146
                                                                                                                                                      2204
                                                                           19
```