## HO unification from object language to meta language

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#### **ABSTRACT**

Specifying and implementing a logic from scratch requires significant effort. Logical Frameworks and Higher Order Logic Programming Languages provide dedicated, high-level Meta Languages (ML) to facilitate this task in two key ways: 1) variable binding and substitution are simplified when ML binders represent object logic ones; 2) proof construction, and even proof search, is greatly simplified by leveraging the unification procedure provided by the ML. Notable examples of ML are Elf [13], Twelf [14],  $\lambda$ Prolog [10] and Isabelle [20] which have been utilized to implement various formal systems such as First Order Logic [5], Set Theory [12], Higher Order Logic [11], and even the Calculus of Constuctions [4].

The object logic we are interested in is Coq's [18] Dependent Type Theory (DTT), for which we aim to implement a unification procedure  $\simeq_o$  using the ML Elpi [3], a dialect of  $\lambda$ Prolog. Elpi's equational theory comprises  $\eta\beta$  equivalence and comes equipped with a higher order unification procedure  $\simeq_\lambda$  restricted to the pattern fragment [9]. We want  $\simeq_o$  to be as powerful as  $\simeq_\lambda$  but on the object logic DTT. Elpi also comes with an encoding for DTT that works well for meta-programming [17, 16, 7, 6]. Unfortunately this encoding, which we refer to as  $\mathcal{F}_o$ , "underuses"  $\simeq_\lambda$  by restricting it to first-order unification problems only. To address this issue, we propose a better-behaved encoding,  $\mathcal{H}_o$ , demonstrate how to map unification problems in  $\mathcal{F}_o$  to related problems in  $\mathcal{H}_o$ , and illustrate how to map back the unifiers found by  $\simeq_\lambda$ , effectively implementing  $\simeq_o$  on top of  $\simeq_\lambda$  for the encoding  $\mathcal{F}_o$ .

We apply this technique to the implementation of a type-class [19] solver for Coq [18]. Type-class solvers are proof search procedures based on unification that back-chain designated lemmas, providing essential automation to widely used Coq libraries such as Stdpp/Iris [8] and TLC [1]. These two libraries constitute our test bed.

## **KEYWORDS**

Logic Programming, Meta-Programming, Higher-Order Unification, Proof Automation

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#### 1 INTRODUCTION

Specifying and implementing a logic from scratch requires significant effort. Logical Frameworks and Higher Order Logic Programming Languages provide dedicated, high-level Meta Languages (ML) to facilitate this task in two key ways: 1) variable binding and substitution are simplified when ML binders represent object logic ones; 2) proof construction, and even proof search, is greatly simplified by leveraging the unification procedure provided by the ML. Notable examples of ML are Elf [13], Twelf [14],  $\lambda$ Prolog [10] and Isabelle [20] which have been utilized to implement various formal systems such as First Order Logic [5], Set Theory [12], Higher Order Logic [11], and even the Calculus of Constuctions [4].

The object logic we are interested in is Coq's [18] Dependent Type Theory (DTT), and we want to code a type-class [19] solver for Coq [18] using the Coq-Elpi [17] meta programming framework. Type-class solvers are unification based proof search procedures that combine a set of designated lemmas in order to providing essential automation to widely used Coq libraries.

As the running example we take the Decide type class, from the Stdpp [8] library. The class identifies predicates equipped with a decision procedure. The following three designated lemmas (called Instances in the type-class jargon) state that: 1) the type fin n, of natural numbers smaller than n is finite; 2) the predicate nfact n nf, linking a natural number n to its prime factors nf, is decidable; 3) the universal closure of a predicate has a decision procedure if the predicate has and if its domain is finite.

```
Instance fin_fin n : Finite (fin n). (* r1 *)
Instance nfact_dec n nf : Decision (nfact n nf). (* r2 *)
Instance forall_dec A P : Finite A \rightarrow (* r3 *)
\forall x:A, \ Decision \ (P \ x) \rightarrow Decision \ (\forall x:A, \ P \ x).
```

Under this context of instances a type-class solver is able to prove the following statement automatically by back-chaining.

```
Check _ : Decision (forall y: fin 7, nfact y 3). (g)
```

The encoding of DTT provided by Elpi, that we will discuss at length later in section ?? and ??, is an Higher Order Abstract Syntax (HOAS) datatype tm featuring (among others) the following constructors:

Following standard  $\lambda$ Prolog [10] the concrete syntax to abstract, at the meta level, an expression e over a variable x is «x\ e», and square brackets denote a list of terms separated by comma. As an example we show the encoding of the Coq term « $\forall$ y:t, nfact y 3»:

```
all (con"t") y\ app[con"nfact", y, con"3"]
```

We now illustrate the encoding of the three instances above as higher-order logic-programming rules: capital letters denote rule parameters; :- separates the rule's head from the premises; pi w\ p introduces a fresh nominal constant w for the premise p.

finite (app[con"fin", N]). (r1)
decision (app [con"nfact", N, NF]). (r2)
decision (all A x\ app[P, x]) :- finite A, (r3)
pi w\ decision (app[P, w]).

Unfortunately this translation of rule (r3) uses the predicate P as a first order term: for the meta language its type is tm. If we try to backchain the rule (r3) on the encoding of the goal (g) given below

```
decision (all (app[con"fin", con"7"]) y\
  app[con"nfact", y, con"3"]).
```

we obtain an unsolvable unification problem (p): the two lists of terms have different lengths!

```
app[con"nfact", y, con"3"] = app[P, y] (p)
```

In this paper we study a more sophisticated encoding of Coq terms allowing us to rephrase the problematic rule (r3) as follows:

```
decision (all A x\ Pm x) :- decomp Pm P A, finite A, (r3a) pi x\ decision (app[P, x]).
```

Since Pm is an higher-order unification variable of type tm -> tm, with x in its scope, the unification problem (p') admits one solution:

After unifying the head of rule (r3a) with the goal, Elpi runs the premise «decomp Pm A P» that is in charge of bringing the assignment for Pm back to the domain tm of Coq terms:

```
P = lam A a\ app[con"nfact", a, con"3"]
```

This simple example is sufficient to show that the encoding we seek is not trivial and does not only concern the head of rules, but the entire sequence of unification problems that constitute the execution of a logic program. In fact the solution for P above generates a (Coq)  $\beta$ -redex in the second premise (the predicate under the pi w\):

```
decision (app[lam A (a\ app[con"nfact", a, con"3"]), w])
```

In turn this redex prevents the rule (r2) to backchain properly since the following unification problem has no solution:

```
app[lam A (a\ app[con"nfact", a, con"3"]), x] =
app[con"nfact", N, NF]
```

The root cause of the problems we sketched in the running example is that the unification procedure  $\simeq_{\lambda}$  of the meta language is not aware of the equational theory of the object logic, even if both theories include  $\eta\beta$ -conversion and admit most general unifiers for unification problems in the pattern fragment  $\mathcal{L}_{\lambda}$  [9].

Contributions. In this paper we discuss alternative encodings of Coq in Elpi (Section ??), then we identify a minimal language  $\mathcal{F}_0$  in which the problems sketched here can be fully described. We then detail an encoding comp from  $\mathcal{F}_0$  to  $\mathcal{H}_0$  (the language of the meta language) and a decoding decomp to relate the unifiers bla

bla.. TODO citare Teyjus. The code discussed in the paper can be accessed at the URL: https://github.com/FissoreD/paper-ho.

#### 2 PROBLEM STATEMENT

The equational theory of Coq's Dependent Type Theory is very rich. In addition to the usual  $\eta\beta$ -equivalence for functions, terms (hence types) are compared up to proposition unfolding and fixpoint unrolling. Still, for efficiency and predictability reasons, most form of automatic proof search employ a unification procedure that captures a simpler one, just  $\eta\beta$ , and that solves higher-order problems restricted to the pattern fragment  $\mathcal{L}_{\lambda}$  [9]. We call this unification procedure  $\simeq_o$ .

The equational theory of the meta language Elpi is strikingly similar, since it it comprises  $\eta\beta$  (for the meta language functions), and the unification procedure  $\simeq_{\lambda}$  solves higher-order problems in  $\mathcal{L}_{\lambda}$ .

In spite of the similarity the link between  $\simeq_{\lambda}$  and  $\simeq_{o}$  is not trivial, since the abstraction and application term constructors the two unification procedures deal with are different. For example

One could ignore this similarity, and "just" describe the object language unification procedure in the meta language, that is crafting a unif predicate to be used as follows in rule (r3):

```
decision X :- unif X (all A x\ app[P, x]), finite A,
  pi x\ decision (app[P, x]).
```

This choice would underuse the logic programming engine provided by the metalanguage since by removing any datum from the head of rules indexing degenerates. Moreover the unification procedure built in the meta language is likely to be faster than one implemented in it, especially if the meta language is interpreted as Elpi is.

To state precisely the problem we solve we need a  $\mathcal{F}_o$  representation of DTT terms and a  $\mathcal{H}_o$  one. We call  $=_o$  the equality over ground terms in  $\mathcal{F}_o$ ,  $=_\lambda$  the equality over ground terms in  $\mathcal{H}_o$ ,  $\simeq_o$  the unification procedure we want to implement and  $\simeq_\lambda$  the one provided by the meta language. TODO extend  $=_o$  and  $=_\lambda$  with reflexivity on uvars.

We write  $t_1 \simeq_{\lambda} t_2 \mapsto \sigma$  when  $t_1$  and  $t_2$  unify with substitution  $\sigma$ ; we write  $\sigma t$  for the application of the substitution to t, and  $\sigma X = \{\sigma t | t \in X\}$  when X is a set; we write  $\sigma \subseteq \sigma'$  when  $\sigma$  is more general than  $\sigma'$ . We assume that the unification of our meta language is correct:

$$t_i \in \mathcal{L}_{\lambda} \Rightarrow t_1 \simeq_{\lambda} t_2 \mapsto \rho \Rightarrow \rho t_1 =_{\lambda} \rho t_2$$
 (1)

$$t_i \in \mathcal{L}_{\lambda} \Rightarrow \rho t_1 =_{\lambda} \rho t_2 \Rightarrow \exists \rho', t_1 \simeq_{\lambda} t_2 \mapsto \rho' \wedge \rho' \subseteq \rho$$
 (2)

We illustrate a compilation  $\langle s \rangle \mapsto (t, m, l)$  that maps a term s in  $\mathcal{F}_0$  to a term t in  $\mathcal{H}_0$ , a variable mapping m and list of links l. The variable map connects unification variables in  $\mathcal{H}_0$  with variables in  $\mathcal{F}_0$  and is used to "decompile" the assignment,  $\langle \sigma, m, l \rangle^{-1} \mapsto \rho$ . Links represent problematic sub-terms which are linked to the

(4)

unification variable that stands in their place in the compiled term. These links are checked for or progress XXX improve....

We represent a logic program run in  $\mathcal{F}_o$  as a list  $steps\ p$  of length  $\mathcal{N}$ . Each step is a unification problem between terms  $\mathbb{P}_{p_l}$  and  $\mathbb{P}_{p_r}$  taken from the set of all terms  $\mathbb{P}$ . The composition of these steps starting from the empty substitution  $\rho_0$  produces the final substitution  $\rho_{\mathcal{N}}$ . The initial here  $\rho_0$  is the empty substitution

$$\begin{split} \text{fstep}(\mathbb{P},p,\rho) &\mapsto \rho^{\prime\prime} \stackrel{def}{=\!\!\!=} \rho \mathbb{P}_{p_l} \simeq_o \rho \mathbb{P}_{p_r} \mapsto \rho^\prime \wedge \rho^{\prime\prime} = \rho \cup \rho^\prime \\ \text{frun}(\mathbb{P},\mathcal{N}) &\mapsto \rho_{\mathcal{N}} \stackrel{def}{=\!\!\!=} \bigwedge_{p=1}^{\mathcal{N}} \text{fstep}(\mathbb{P},p,\rho_{p-1}) \mapsto \rho_p \end{split}$$

We simulate each run in  $\mathcal{F}_0$  with a run in  $\mathcal{H}_0$  as follows. Note that  $\sigma_0$  is the empty substitution.

$$\begin{split} \operatorname{hstep}(\mathbb{T}, p, \sigma, \mathbb{L}) &\mapsto (\sigma'', \mathbb{L}') \stackrel{def}{=} \\ \sigma \mathbb{T}_{p_l} &\simeq_{\lambda} \sigma \mathbb{T}_{p_r} \mapsto \sigma' \wedge \operatorname{progress}(\mathbb{L}, \sigma \cup \sigma') \mapsto (\mathbb{L}', \sigma'') \\ \operatorname{hrun}(\mathbb{P}, \mathcal{N}) &\mapsto \rho_{\mathcal{N}} \stackrel{def}{=} \\ \mathbb{T} &\times \mathbb{M} \times \mathbb{L}_0 = \{(t_j, m_j, l_j) | s_j \in \mathbb{P}, \langle s_j \rangle \mapsto (t_j, m_j, l_j)\} \\ &\wedge_{p=1}^{\mathcal{N}} \operatorname{hstep}(\mathbb{T}, p, \sigma_{p-1}, \mathbb{L}_{p-1}) \mapsto (\sigma_p, \mathbb{L}_p) \\ &\langle \sigma_{\mathcal{N}}, \mathbb{M}, \mathbb{L}_{\mathcal{N}} \rangle^{-1} \mapsto \rho_{\mathcal{N}} \end{split}$$

Here hstep is made of two sub-steps: a call to  $\simeq_{\lambda}$  (on the compiled terms) and a call to progress on the set of links. We claim the following:

Proposition 2.1 (Simulation).  $\forall \mathbb{P}, \forall \mathcal{N}$ ,

$$\operatorname{frun}(\mathbb{P}, \mathcal{N}) \mapsto \rho_{\mathcal{N}} \Leftrightarrow \operatorname{hrun}(\mathbb{P}, \mathcal{N}) \mapsto \rho_{\mathcal{N}}$$

That is, the two executions give the same result. Moreover:

PROPOSITION 2.2 (SIMULATION FIDELITY). In the context of hrun, if  $\mathbb{T} \subseteq \mathcal{L}_{\lambda}$  we have that  $\forall p \in 1...N$ ,

$$fstep(\mathbb{P}, p, \rho_{p-1}) \mapsto \rho_p \Leftrightarrow hstep(\mathbb{T}, p, \sigma_{p-1}, \mathbb{L}) \mapsto (\sigma_p, \underline{\hspace{1cm}})$$

In particular this property guarantees that a *failure* in the  $\mathcal{F}_o$  run is matched by a failure in  $\mathcal{H}_o$  at the same step. We consider this property very important from a practical point of view since it guarantees that the execution traces are strongly related and in turn this enables a user to debug a logic program in  $\mathcal{F}_o$  by looking at its execution trace in  $\mathcal{H}_o$ .

XXX permuting hrun does not change the final result if check dooes not fail eagerly

XXX if we want to apply heuristics, we can apply them in decomp to avoid committing to a non MGU too early

We can define  $s_1 \simeq_o s_2$  by specializing the code of hrun to  $\mathbb{P} = \{s_1, s_2\}$  as follows:

$$\begin{aligned} s_1 &\simeq_{o} s_2 \mapsto \rho \stackrel{def}{=} \\ &\langle s_1 \rangle \mapsto (t_1, m_1, l_1) \land \langle s_2 \rangle \mapsto (t_2, m_2, l_2) \\ &t_1 &\simeq_{\lambda} t_2 \mapsto \sigma' \land \operatorname{progress}(\{l_1, l_2\}, \sigma') \mapsto (L, \sigma'') \land \\ &\langle \sigma'', \{m_1, m_2\}, L \rangle^{-1} \mapsto \rho \end{aligned}$$

Proposition 2.3 (Properties of  $\simeq_0$ ).

$$s_{i} \in \mathcal{L}_{\lambda} \Rightarrow s_{1} \simeq_{o} s_{2} \mapsto \rho \Rightarrow \rho s_{1} =_{o} \rho s_{2}(correct)$$

$$s_{i} \in \mathcal{L}_{\lambda} \Rightarrow \rho s_{1} =_{o} \rho s_{2} \Rightarrow \exists \rho', s_{1} \simeq_{o} s_{2} \mapsto \rho' \land \rho' \subseteq \rho(complete)$$
(3)

$$\rho s_1 =_{\rho} \rho s_2 \Rightarrow \rho' \subseteq \rho \Rightarrow \rho' s_i \in \mathcal{L}_{\lambda} \Rightarrow \rho' s_1 \simeq_{\rho} \rho' s_2 \tag{5}$$

Properties (correct) and (complete) state, respectively, that in  $\mathcal{L}_{\lambda}$  the implementation of  $\simeq_o$  is correct, complete and returns the most general unifier.

fix

Property 2.1 states that  $\simeq_o$ , hence our compilation scheme, is resilient to unification problems outside  $\mathcal{L}_{\lambda}$  solved by a third party. We believe this property is of practical interest since we want the user to be able to add heuristics via hand written rules to the ones obtained by our compilation scheme. A Typical example is the following problem (q) that is outside  $\mathcal{L}_{\lambda}$ :

app [F, con"a"] = app[con"f", con"a", con"a"] 
$$(q)$$

$$F = lam x \land app[con"f",x,x]$$
 (h)

Instead of rejecting it our scheme accepts it and guarantees that if (h) is given (after the compilation part of the scheme, as a run time hint) then ...

#### 2.1 The intuition in a nutshell

A term s is compiled in a term t where every "problematic" sub term p is replaced by a fresh unification variable h and an accessory link that represent a suspended unification problem  $h \simeq_{\lambda} p$ . As a result  $\simeq_{\lambda}$  is "well behaved" on t, that is it does not contradict  $=_0$  as it would otherwise do on "problematic" terms. We now define "problematic" and "well behaved" more formally.

*Definition 2.4* (
$$\Diamond \eta$$
).  $\Diamond \eta = \{t \mid \exists \rho, \rho t \text{ is an eta expansion}\}$ 

An example of term t in  $\Diamond \eta$  is  $\lambda x.\lambda y.F$  y x since the substitution  $\rho = \{F \mapsto \lambda a.\lambda b.fba\}$  makes  $\rho t = \lambda x.\lambda y.fxy$  that is the eta long form of f. This term is problematic since its rigid part, the  $\lambda$ -abstractions, cannot justify a unification failure against, say, a constant.

Definition 2.5 
$$(\overline{\mathcal{L}_{\lambda}})$$
.  $\overline{\mathcal{L}_{\lambda}} = \{Xt_1 \dots t_n \mid Xt_1 \dots t_n \notin \mathcal{L}_{\lambda}\}.$ 

An example of t in  $\overline{\mathcal{L}_{\lambda}}$  is Fa for a constant a. Note however tha an oracle could provide an assignment  $\rho = \{F \mapsto \lambda x.x\}$  that makes the resulting term fall back in  $\mathcal{L}_{\lambda}$ .

Definition 2.6 (Subterms  $\mathcal{P}(t)$ ). The set of sub terms of t is the largest set

*subtermt* that can be obtained by the following rules.

$$t \in \mathcal{P}(t)$$
  

$$t = ft_1 \dots t_n \Rightarrow \mathcal{P}(t_i) \subseteq \mathcal{P}(t) \land f \in \mathcal{P}(t)$$
  

$$t = \lambda x.t' \Rightarrow \mathcal{P}(t') \subseteq \mathcal{P}(t)$$

We write  $\mathcal{P}(X) = \bigcup_{t \in X} \mathcal{P}(t)$  when X is a set of terms.

*Definition 2.7 (Well behaved set).* Given a set of terms  $X \subseteq \mathcal{H}_0$ ,

$$W(X) \Leftrightarrow \forall t \in \mathcal{P}(X), t \notin (\overline{\mathcal{L}_{\lambda}} \cup \Diamond \eta)$$

Proposition 2.8 (*W*-preservation).  $\forall \mathbb{T}, \forall \mathbb{L}, \forall p, \forall \sigma, \forall \sigma'$ 

$$\begin{split} \mathcal{W}(\sigma\mathbb{T}) \wedge \sigma\mathbb{T}_{p_l} &\simeq_{\lambda} \sigma\mathbb{T}_{p_r} \mapsto \sigma' \Rightarrow \mathcal{W}(\sigma'\mathbb{T}) \\ \mathcal{W}(\sigma\mathbb{T}) \wedge \operatorname{progress}(\mathbb{L}, \sigma) \mapsto (\_, \sigma') \Rightarrow \mathcal{W}(\sigma'\mathbb{T}) \end{split}$$

<sup>&</sup>lt;sup>1</sup>If the same rule is used multiple time in a run we just consider as many copies as needed of the terms composing the rules, with fresh unification variables each time

A less formal way to state 2.8 is that hstep and progress never "commit" an unneeded  $\lambda$ -abstraction in  $\sigma$  (a  $\lambda$  that could be erased by an  $\eta$ -contraction), nor put in  $\sigma$  a flexible application outside  $\mathcal{L}_{\lambda}$  (an application node that could be erased by a  $\beta$ -reduction).

Note that proposition 2.8 does not hold for  $\simeq_o$  as a whole since decompilation can introduce (actually restore) terms in  $\Diamond \eta$  or  $\overline{\mathcal{L}_{\lambda}}$  that were move out of the way (put in  $\mathbb{L}$ ) during compilation.

# 3 ALTERNATIVE ENCODINGS AND RELATED WORK

Paper [2] introduces semi-shallow.

Our encoding of DTT may look "semi shallow" since we use the meta-language lambda abstraction but not its application (for the terms of type tm). A fully shallow encoding unfortunately does not fit our use case, although it would make the running example work:

```
finite (fin N). decision (nfact N NF). decision (all A \times P \times):- finite A, pi \times decision (P \times).
```

There are two reasons for dismissing this encoding. The first one is that in DTT it is not always possible to adopt it since the type system of the meta language is too weak to accommodate terms with a variable arity, like the following example:

```
Fixpoint arr T n := if n is S m then T -> arr T m else T. Definition sum n : arr nat n := ...  
Check sum 2 7 8 : nat.  
Check sum 3 7 8 9 : nat.
```

The second reason is the encoding for Coq is used for meta programming the system, hence it must accommodate the manipulation of terms that are now know in advance (not even defined in Coq) without using introspection primitives such as Prologs's functor and arg.

In the literature we could find a few related encoding of DTT. TODO In [4] is related and make the discrepancy between the types of ML and DTT visible. In this case one needs 4 application nodes. Moreover the objective is an encoding of terms, proofs, not proof search. Also note the conv predicate, akin to the unif we rule out.

TODO This other paper [15] should also be cited.

None of the encodings above provide a solution to our problem.

## 4 PRELIMINARIES: $\mathcal{F}_o$ AND $\mathcal{H}_o$

In order to reason about unification we provide a description of the  $\mathcal{F}_0$  and  $\mathcal{H}_0$  languages where unification variables are first class terms, i.e. they have a concrete syntax. We keep these languages minimal, for example, we omit the all quantifier of DTT we used in the example in Section 1 together with the type notation of terms carried by the lam constructor.

```
kind fm type. kind tm type. type fapp list fm \rightarrow fm. type app list tm \rightarrow tm. type flam (fm \rightarrow fm) \rightarrow fm. type lam (tm \rightarrow tm) \rightarrow tm. type fcon string \rightarrow fm. type con string \rightarrow tm. type fuva addr \rightarrow fm. type uva addr \rightarrow list tm \rightarrow tm.
```

Figure 1: The  $\mathcal{F}_0$  and  $\mathcal{H}_0$  languages

Unification variables (fuva term constructor) in  $\mathcal{F}_0$  have no explicit scope: the arguments of an higher order variable are given via

the fapp constructor. For example the term  $P \times is$  represented as fapp[fuva N, x], where N is a memory address and x is a bound variable.

In  $\mathcal{H}_o$  the representation of P x is instead uva N [x], since unification variables come equipped with an explicit scope. We say that the unification variable occurrence uva N L is in  $\mathcal{L}_\lambda$  if and only if L is made of distinct names. The predicate to test this condition is called pattern-fragment:

```
type pattern-fragment list A -> o.
```

Natural numbers represent the memory addresses that identify unification variables in both languages. The memory and its associated operations are described below:

```
kind addr type.
type addr nat -> addr.
typeabbrev (mem A) (list (option A)).

type set? addr -> mem A -> A -> o.
type unset? addr -> mem A -> o.
type assign addr -> mem A -> A -> mem A -> o.
type new mem A -> addr -> mem A -> o.
```

If a memory cell is none, then the corresponding unification variable is not set. assign sets an unset cell to the given value, while new finds the first unused address and sets it to none.

```
is new used?
```

Since in  $\mathcal{H}_0$  unification variables have a scope, their solution needs to be abstracted over it to enable the instantiation of a single solution to different scopes. This is obtained via the inctx container, and in particular via its abs binding constructor. On the contrary a solution to a  $\mathcal{F}_0$  variable is a plain term.

```
typeabbrev fsubst (mem fm).
kind inctx type -> type. (`+`)
type abs (tm -> inctx A) -> inctx A.
type val A -> inctx A.
typeabbrev assignment (inctx tm).
typeabbrev subst (mem assignment).
```

We call fsubst the memory of  $\mathcal{F}_0$ , while we call subst the one of  $\mathcal{H}_0$ . Both have the invariant that they are not cyclic, TODO: explain.

```
kind arity type.
type arity nat -> arity.

kind fvariable type.
type fv addr -> fvariable.

kind hvariable type.
type hv addr -> arity -> hvariable.

kind mapping type.
type mapping fvariable -> hvariable -> mapping.
typeabbrev mmap (list mapping).
```

Invariant 1 (Unification variable Arity). Each variable A in  $\mathcal{H}_o$  has a (unique) arity N and each occurrence (uva A L) is such that (len L N) holds

The compiler establishes a mapping between variables of the two languages. In order to preserve invariant 1 we store the arity of each hvariable in the mapping and we reuse an existing mapping only if the arity matches.

TODO: add ref to section 7

When a single fvariable occurs multiple times with different numbers of arguments the compiler generates multiple mappings for it, on a first approximation, and then makes the mapping bijective by introducing link- $\eta$ ; this detail is discussed in section 6.

As we mentioned in section 2.1 the compiler replaces terms in  $\diamond \eta$  and  $\overline{\mathcal{L}_{\lambda}}$  with fresh variables linked to the problematic terms. Each class of problematic terms has a dedicated link.

```
kind baselink type.
type link-eta tm -> tm -> baselink.
type link-beta tm -> tm -> baselink.
typeabbrev link (inctx baselink).
typeabbrev links (list link).
```

The right hand side of a link, the problematic term, can occur under binders. To accommodate this situation the compiler wraps baselink using the inctx container (see,  $\cdot \vdash \cdot$ ).

Invariant 2 (Link left hand side of a suspended link is a variable.

New links are suspended by construction. If the left hand side variable is assigned during a step, then the link is considered for progress and possibly eliminated. This is discussed in section 6 and ??.

## 4.1 Notational conventions

When we write  $\mathcal{H}_o$  terms outside code blocks we follow the usual  $\lambda$ -calculus notation, reserving f, g, a, b for constants, x, y, z for bound variables and X, Y, Z, F, G, H for unification variables. However we need to distinguish between the "application" of a unification variable to its scope and the application of a term to a list of arguments. We write the scope of unification variables in subscript while we use juxtaposition for regular application. Here a few examples:

```
f \cdot a app[con "f", con "a"] \lambda x.\lambda y.F_{XY} lam x\ lam y\ uva F [x, y] \lambda x.F_{X} \cdot a lam x\ app[uva F [x], con "a"] \lambda x.F_{X} \cdot x lam x\ app[uva F [x], x]
```

When variables x and y can occur in term t we shall write  $t_{xy}$  to stress this fact.

We write  $\sigma = \{ A_{xy} \mapsto y \}$  for the assignment abs x\abs y\y and  $\sigma = \{ A \mapsto \lambda x.\lambda y.y \}$  for lam x\lam y\y .

When detailing examples we write links as equations between two terms under a context. The equality sign is subscripted with kind of baselink. For example  $x \vdash A_x =_{\beta} F_x$  a corresponds to:

```
abs x\ val (link-beta (uva A [x]) (app[uva F [x],con "a"]))
```

When it is clear from the context we shall use the same syntax for  $\mathcal{F}_0$  terms (although we never subscripts unification variables).

## 4.2 Equational theory and Unification

In order to express properties ?? we need to equip  $\mathcal{F}_o$  and  $\mathcal{H}_o$  with term equality, substitution application and unification.

Term equality:  $=_o vs. =_{\lambda}$ . We extend the equational theory over ground terms to the full languages by adding the reflexivity of unification variables (a variable is equal to itself).

The first four rules are common to both equalities and just define the usual congruence over terms, and since we use an HOAS encoding they also capture  $\alpha$ -equivalence. In addition to that  $=_0$  has rules for  $\eta$  and  $\beta$ -equivalence.

```
type (=_{o}) fm -> fm -> o.
fcon X =_{o} fcon X.
fapp A =_{o} fapp B := forall2 (=_{o}) A B.
flam F =_o flam G := pi x \setminus x =_o x => F x =_o G x.
fuva N =_{o} fuva N.
flam F =_o T :=
                                                                     (\eta_l)
  pi x \land beta T [x] (T' x), x =_o x \Rightarrow F x =_o T' x.
T =_{o} flam F :=
                                                                     (\eta_r)
  pi x\ beta T [x] (T' x), x =_o x \Rightarrow T' x =_o F x.
fapp [flam X | L] =_o T :- beta (flam X) L R, R =_o T. (\beta_l)
T =_o fapp [flam X | L] := beta (flam X) L R, <math>T =_o R. (\beta_r)
type (=_{\lambda}) tm -> tm -> o.
con C =_{\lambda} fcon C.
app A =_{\lambda} fapp B :- forall2 (=_{\lambda}) A B.
lam F =_{\lambda} flam G := pi x \ x =_{\lambda} x => F x =_{\lambda} G x.
uva N A =_{\lambda} fuva N B :- forall2 (=_{\lambda}) A B.
```

The main point in showing these equality tests is to remark how weaker  $=_{\lambda}$  is, and to identify the four rules that need special treatment in the implementation of  $\simeq_o$ .

For reference, (beta T A R) reduces away lam nodes in head position in T whenever the list A provides a corresponding argument.

```
type beta fm -> list fm -> fm -> o.
beta A [] A.
beta (flam Bo) [H | L] R :- napp (Bo H) F, beta F L R.
beta (fapp A) L (fapp X) :- append A L X.
beta (fuva N) L (fapp [fuva N | L]).
beta (fcon H) L (fapp [fcon H | L]).
beta N L (fapp [N | L]) :- name N.

type napp fm -> fm -> o.
napp (fcon C) (fcon C).
napp (fuva A) (fuva A).
napp (flam F) (flam G) :- pi x\ napp (F x) (G x).
napp (fapp [fapp L1 | L2]) T :- !,
   append L1 L2 L3, napp (fapp L3) T.
napp (fapp L) (fapp L1) :- map napp L L1.
napp N N :- name N.
```

The name predicate holds only on nominal constants (i.e. bound variables). The choice of using n-ary application, rather than binary,

 $<sup>^2</sup>$  Elpi provides it as a builtin, but one could implement it by systematically loading the hypothetical rule <code>name x</code> every time a nominal constant is postulated via <code>pi x</code>\

is to make it easy to access the application's head. The price we pay is that substituting an application in the head of an application should be amended by "flattening" fapp nodes, that is the job of napp. <sup>3</sup> Finally note that the cut operator is inessential, it could be removed at the cost of a verbose test on the head of L in the second rule about fapp: L's head can be fcon, flam or a name.

Substitution application:  $\rho s$  and  $\sigma t$ . Applying the substitution corresponds to dereferencing a term with respect to the memory. To ease the comparison we split  $\mathcal{F}_0$  dereferencing into a fder step and a napp one. The former step replaces references to memory cells that are set with their values, and has a corresponding operation in  $\mathcal{H}_0$ , namely deref. On the contrary napp has no corresponding operation in  $\mathcal{H}_0$ . The reasons for this asymmetry is that an fapp node with a flexible head is always mapped to a uva (as per section 5.1 and section 8), preventing nested applications to materialize.

```
type fder fsubst -> fm -> o.
fder _ (fcon C) (fcon C).
fder S (fapp A) (fapp B) :- map (fder S) A B.
fder S (flam F) (flam G) :-
   pi x\ fder S x x => fder S (F x) (G x).
fder S (fuva N) R :- set? N S T, fder S T R.
fder S (fuva N) (fuva N) :- unset? N S.

type fderef fsubst -> fm -> o. (\rho s)
fderef S T T2 :- fder S T T1, napp T1 T2.
```

Applying the substitution in  $\mathcal{H}_o$  is very similar, with the caveat that assignments have to be moved to the current scope, i.e. renaming the abs-bound variables with the names in the scope of the unification variable occurrence.

Note that move strongly relies on invariant 1: the length of the arguments of all occurrences of a unification variable and the number of abstractions in its assignment have to match. In turn this grants that move never fails.

```
type move assignment -> list tm -> tm -> o. move (abs Bo) [H|L] R :- move (Bo H) L R. move (val A) [] A.
```

Term unification:  $\simeq_0 vs. \simeq_{\lambda}$ . In this paper we assume to have an implementation of  $\simeq_{\lambda}$  that satisfies properties 1 and 2. Although we provide an implementation in the appendix (that we used for testing purposes) we only describe its signature here. Elpi is expected to provide this brick, as well as any other implementation of  $\lambda$ Prolog.

```
type (\simeq_{\lambda}) tm -> tm -> subst -> subst -> o.
```

The only detail worth discussing is the fact that the procedure updates a substitution, rather than just crafting one as presented in section 2. The reason is that the algorithm folds over a term, updating a substitution while it traverses it.

explain better

## 5 BASIC SIMULATION OF $\mathcal{F}_o$ IN $\mathcal{H}_o$

In this section we describe a basic compilation scheme that we refine later, in the following sections. This scheme is sufficient to implement an  $\simeq_0$  that respects  $\beta$ -conversion for terms in  $\mathcal{L}_{\lambda}$ . The extension to  $\eta\beta$ -conversion is described in Section 6 and the support for terms outside  $\mathcal{L}_{\lambda}$  in Section 8.

## 5.1 Compilation

### manca beta normal in entrata

The main task of the compiler is to recognize  $\mathcal{F}_0$  variables standing for functions and map them to higher order variables in  $\mathcal{H}_0$ . In order to bring back the substitution from  $\mathcal{H}_0$  to  $\mathcal{F}_0$  the compiler builds a "memory map" connecting the the kind of variables using routine (*malloc*).

The signature of the comp predicate below allows for the generation of links (suspended unification problems) that play no role in this section but play a major role in section 6 and section 8. With respect to section 2 the signature also allows for updates to the substitution. The code below uses that possibility in order to allocate space for the variables, i.e. sets their memory address to none (a details not worth mentioning in the previous discussion).

```
type comp fm -> tm -> mmap -> mmap -> links -> links ->
    subst -> subst -> o.
comp (fcon C) (con C) M M L L S S.
comp (flam F) (lam F1) M1 M2 L1 L2 S1 S2 :- (cλ)
    comp-lam F F1 M1 M2 L1 L2 S1 S2.
comp (fuva A) (uva B []) M1 M2 L L S1 S2 :-
    m-alloc (fv A) (hv B (arity z)) M1 M2 S1 S2.
comp (fapp [fuva A|Ag]) (uva B Ag1) M1 M2 L L S1 S2 :-
    pattern-fragment Ag, !,
    fold6 comp Ag Ag1 M1 M1 L L S1 S1,
    len Ag Arity,
    m-alloc (fv A) (hv B (arity Arity)) M1 M2 S1 S2.
comp (fapp A) (app A1) M1 M2 L1 L2 S1 S2 :-
    fold6 comp A A1 M1 M2 L1 L2 S1 S2.
```

This preliminary version of comp recognizes  $\mathcal{F}_0$  variables applied to a (possibly empty) duplicate free list of names. Note that compiling Ag cannot create new mappings nor links, since Ag is made of bound variables and the hypothetical rule loaded by comp-lam (see below) grants this property.

```
type comp-lam (fm -> fm) -> (tm -> tm) ->
    mmap -> mmap -> links -> links -> subst -> o.
comp-lam F G M1 M2 L1 L3 S1 S2 :-
    pi x y\ (pi M L S\ comp x y M M L L S S) =>
        comp (F x) (G y) M1 M2 L1 (L2 y) S1 S2,
    close-links L2 L3.
```

In the code above the syntax  $pi \times y \setminus ...$  is syntactic sugar for iterated pi abstraction, as in  $pi \times pi y \setminus ...$ 

<sup>&</sup>lt;sup>3</sup>Note that napp is an artefact of formalization of  $\mathcal{F}_o$  we do in this presentation and, as we explain later, no equivalent of napp is needed in  $\mathcal{H}_o$ .

The auxiliary function close-links tests if the bound variable v really occurs in the link. If it is the case the link is wrapped into an additional abs node binding v. In this way links generated deep inside the compiled terms can be moved outside their original context of binders.

type close-links (tm -> links) -> links -> o.

close-links (v\[X |L v]) [X|R] :- !, close-links L R.

close-links (v\[X v|L v]) [abs X|R] :- close-links L R.

Note that we could remove the first rule, whose solve purpose is to make links more readable by pruning unused context entries.

#### 5.2 Execution

close-links  $(_\[])$  [].

A step in  $\mathcal{H}_0$  consists in unifying two terms and reconsidering all links for progress. If any of the two tasks fail we say that the entire step fails, and it is at this granularity that we can relate steps in the two languages.

```
type hstep tm -> tm -> links -> links -> subst -> o. hstep T1 T2 L1 L2 S1 S3 :-  (T1 \simeq_{\lambda} T2) \ S1 \ S2,  progress L1 L2 S2 S3.
```

Note that he infix notation ((A  $\approx_{\lambda}$  B) C D) is syntactic sugar for (( $\approx_{\lambda}$ ) A B C D).

Reconsidering links is a fixpoint, since the progress of a link can update the substitution and in turn enable another link to progress.

```
type progress links -> links -> subst -> subst -> o.
progress L L2 S1 S3 :-
    progress1 L L1 S1 S2,
    occur-check-links L1,
    if (L = L1, S1 = S2)
        (L2 = L1, S3 = S1)
        (progress L1 L2 S2 S3).
```

In the base compilation scheme progress1 is the identity on both the links and the substitution, so the fixpoint trivially terminates. Sections 6 and 8 add rules to progress1 and justify why the don't hinder termination. For brevity we omit the code that applies the substitution S1 to all terms in  $\mathbb{L}$ .

Since compilation moves problematic terms out of the sigh of  $\simeq_{\lambda}$ , that procedure can only perform a partial occur check. For example the unification problem  $X \simeq_{\lambda} f Y$  cannot generate a cyclic substitution alone, but should be disallowed if a  $\mathbb{L}$  contains a link like  $\vdash Y =_{\eta} \lambda z. X_z$ : We don't know yet if Y will feature a lambda in head position, but we surely know it contains X, hence f Y and that fails the occur check. The procedure occur-check-links is in charge of ensuring that each link does not represent a (suspended) unification problem doomed to fail because of occur check. This check is needed in order to guarantee proposition 2.2 (simulation fidelity).

## 5.3 Substitution decompilation

Decompiling the substitution requires to first force the progress of links and then allocating new unassigned variables in the substitution for  $\mathcal{F}_0$  and finally decompiling all assignments. Note that invariant 2 and the occur check allows us to update the subst.

```
type decompile mmap -> links -> subst ->
  fsubst -> fsubst -> o.
decompile M1 L S F1 F3 :-
  commit-links L S S1,
  complete-mapping S1 S1 M1 M2 F1 F2,
  decompm M2 M2 S1 F2 F3.
```

TODO: What is commit-links and complete-mapping?, maybe complete-mapping can be hidden in the code rendering? Decompiling an assignment requires to turn abstractions into lambdas. For aestetic purposes we also eta-contract the result (not needed since  $\mathcal{F}_0$  equality can do that)

```
type decompm mmap -> mmap -> subst -> fsubst -> o.
decompm _ [] _ F F.
decompm M [mapping (fv V) (hv H _)|MS] S F1 F3 :- set? H S A,
    deref-assmt S A A1,
    abs->lam A1 T, decomp M T T1,
    eta-contract T1 T2,
    assign V F1 T2 F2,
    decompm M MS S F2 F3.
decompm M [mapping _ (hv H _)|MS] S F1 F2 :- unset? H S,
    decompm M MS S F1 F2.
```

Finally decompiling a term is trivial, now that we have an extended mapping containing all unassigned variables  $\simeq_{\lambda}$  may have introduced.

```
type decomp mmap -> tm -> fm -> o.
decomp _ (con C) (fcon C).
decomp M (app A) (fapp B) :- map (decomp M) A B.
decomp M (lam F) (flam G) :-
   pi x y\ (pi M\ decomp M x y) => decomp M (F x) (G y).
decomp M (uva Hv Ag) R :-
   mem M (mapping (fv Fv) (hv Hv _)),
   map (decomp M) Ag Bg,
   beta (fuva Fv) Bg R.
```

Note that we use beta to build fapp nodes when needed (if Ag is empty no fapp node should appear).

Invariant 3. TODO: dire che il mapping è bijective

## 5.4 Definition of $\simeq_o$ and its properties

```
type (\simeq_o) fm -> fm -> fsubst -> o.

(A \simeq_o B) F :-

comp A A' [] M1 [] [] S1,

comp B B' M1 M2 [] [] S1 S2,

hstep A' B' [] [] S2 S3,

decompm M2 M2 S3 [] F.
```

The code given so far applies to terms in  $\beta\eta$ -normal form where unification variables in  $\mathcal{F}_o$  can occur non linearly but always with the same number of arguments, and where their arguments are distinct names (as per  $\mathcal{L}_{\lambda}$ ).

```
Lemma 5.1 (Compilation round trip). If comp S T [] M [] _ [] _ then decomp M T S
```

PROOF SKETCH. trivial, since the terms are beta normal beta just builds an app. □

Lemma 5.2. Properties (correct) and (complete) hold for the implementation of  $\simeq_0$  above

Proof sketch. In this setting  $=_{\lambda}$  is as strong as  $=_{o}$  on ground terms. What we have to show is that whenever two different  $\mathcal{F}_{0}$  terms can be made equal by a substitution  $\rho$  (plus the  $\beta_{l}$  and  $\beta_{r}$  if needed) we can find this  $\rho$  by finding a  $\sigma$  via  $\simeq_{\lambda}$  on the corresponding  $\mathcal{H}_{0}$  terms and by decompiling it. If we look at the  $\mathcal{F}_{0}$  terms, the are two interesting cases:

- fuva  $X \simeq_{\sigma} s$ . In this case after comp we have  $Y \simeq_{\lambda} t$  that succeeds with  $\sigma = \{Y \mapsto t\}$  and  $\sigma$  is decompiled to  $\rho = \{Y \mapsto s\}$ .
- fapp[fuva X|L]  $\simeq_o$  s. In this case we have  $Y_{\vec{x}} \simeq_\lambda t$  that succeeds with  $\sigma = \{\vec{y} \vdash Y \mapsto t[\vec{x}/\vec{y}]\}$  that in turn is decompiled to  $\rho = \{Y \mapsto \lambda \vec{y}.s[\vec{x}/\vec{y}]\}$ . Thanks to  $\beta_l (\lambda \vec{y}.s[\vec{x}/\vec{y}]) \vec{x} =_o$  s.

Since the mapping is a bijection occur check in  $\mathcal{H}_o$  corresponds to occur check in  $\mathcal{F}_o$ .

LEMMA 5.3. Properties simulation (2.1) and fidelity (2.2) hold

PROOF SKETCH. Since progress1 is trivial fstep and hstep are the same, that is in this context where input terms are  $\beta\eta$ -normal and we disregard  $\eta$ -equivalence  $\simeq_{\lambda}$  is equivalent to  $\simeq_{0}$ .

## 5.5 Limitations of by this basic scheme

The basic compilation scheme is not about to deal wit the following problem:

$$\mathbb{P} = \{ \lambda xy. X \cdot y \cdot x \simeq_o \lambda xy. x \quad \lambda x. f \cdot (X \cdot x) \cdot x \simeq_o Y \}$$

Note that here X is used with different arities, moreover in the second problem the left hand side happens to be an eta expansion (of  $f(\lambda y.y)$ ) only after we discover (at run time) that  $X = \lambda x \lambda y.y$  (i.e. that X discards the x argument). Both problems are addressed in the next two sections.

## **6** HANDLING OF $\Diamond \eta$

 $\eta$ -reduction is an equivalence relation where a term of the form  $\lambda x.t.x$  can be converted to t any time x does not occur as a free variable in t. We call t the  $\eta$ -contraction of  $\lambda x.t.x$ .

Following the compilation scheme of section 5.1 the unification problem  $\mathbb P$  is compiled as follows:

$$\begin{split} \mathbb{P} &= \{ \ \lambda x. X \cdot x \simeq_o f \ \} \\ \mathbb{T} &= \{ \ \lambda x. A_x \simeq_\lambda f \ \} \\ \mathbb{M} &= \{ \ X \mapsto A^1 \ \} \end{split}$$

While  $\lambda x.X \cdot x \simeq_o f$  does admit the solution  $\rho = \{X \mapsto f\}$ , the corresponding problem in  $\mathbb T$  does not: lam  $x \setminus y$  and  $y \in f$  and con "f" start with different, rigid, term constructors hence  $y \in f$  fails.

In order to guarantee proposition 2.1 we detect lambdas that can disappear by eta contraction (section 6.1) and we modify the compiled terms by putting fresh unification variables in their place: the problematic term is moved from  $\mathbb T$  to  $\mathbb L$  (section 6.2). The compilation of the problem  $\mathbb P$  above is refined to:

$$\begin{split} \mathbb{P} &= \left\{ \begin{array}{l} \lambda x.X \cdot x \simeq_{o} f \end{array} \right\} \\ \mathbb{T} &= \left\{ \begin{array}{l} A \simeq_{\lambda} f \end{array} \right\} \\ \mathbb{M} &= \left\{ \begin{array}{l} X \mapsto B^{1} \end{array} \right\} \\ \mathbb{L} &= \left\{ \begin{array}{l} + A =_{\eta} \lambda x.B_{x} \end{array} \right\} \end{split}$$

As per invariant 2 the term on the left is a variable, and its right counterpart is the term in  $\diamond \eta$ , and that term has the following property:

Invariant 4 (link- $\eta$  rhs). The rhs of any link- $\eta$  has the shape  $\lambda x.t$  and t is not a lambda.

link- $\eta$  are kept in the link store  $\mathbb L$  during execution and activated when some conditions hold on lhs or rhs. Link activation is implemented by extending the progress1 predicate (defined in section 5.2).

## **6.1** Detection of $\Diamond \eta$

When compiling a term t we need to determine if any subterm  $s \in \mathcal{P}(t)$  that is of the form  $\lambda x.r$ , where x occurs in r, can be a  $\eta$ -expansion, i.e. if there exists a substitution  $\rho$  such that  $\rho(\lambda x.r) =_{o} s$ . The detection of lambda abstractions that can "disappear" is not as trivial as it may seems, here a few examples:

$$\begin{array}{lll} \lambda x.f\cdot (A\cdot x) & \in \Diamond \eta & \rho = \{\,A \mapsto \lambda x.x\,\} \\ \lambda x.f\cdot (A\cdot x)\cdot x & \in \Diamond \eta & \rho = \{\,A \mapsto \lambda x.a\,\} \\ \lambda x.f\cdot x\cdot (A\cdot x) & \notin \Diamond \eta \\ \lambda x.\lambda y.f\cdot (A\cdot x)\cdot (B\cdot y\cdot x) & \in \Diamond \eta & \rho = \{\,A \mapsto \lambda x.x,\, B \mapsto \lambda y.\lambda x.y\,\} \end{array}$$

The first two examples are easy, and show how a unification variable can expose or erase a variable and turn the resulting term in an  $\eta$ -expansion or not.

The third example shows that when a variable occurs outside the scope of a unification variable it cannot be erased and can hence prevent a term from being an  $\eta$ -expansion.

The last example shows the recursive nature of the check we need to implement. The term starts with a spine of two lambdas hence the whole term is in  $\Diamond \eta$  iff the inner term  $\lambda y.f\cdot (A\cdot x)\cdot (B\cdot y\cdot x)$  is in  $\Diamond \eta$  itself. If it is, it could  $\eta$ -contract to  $f\cdot (A\cdot x)$  making  $\lambda x.f\cdot (A\cdot x)$  a potential  $\eta$ -expansion.

We can now define more formally how  $\Diamond \eta$  terms are detected together with its auxiliary functions:

#### the compiler must do beta normal!!!!

*Definition 6.1* (may-contract-to). A term s *may-contract-to* a name x if there exists a substitution  $\rho$  such that  $\rho s =_{\rho} x$ .

LEMMA 6.2. A  $\beta$ -normal term  $s = \lambda x_1 \dots x_n \cdot t$  may-contract-to x only if one of the following three conditions holds:

- (1) n = 0 and t = x;
- (2) t is the application of x to a list of terms l and each  $l_i$  may-contract-to  $x_i$  (e.g.  $\lambda x_1 \dots x_n x_1 \dots x_n = 0$  x);
- (3) t is a unification variable with scope W, and for any  $v \in \{x, x_1 \dots x_n\}$ , there exists a  $w_i \in W$ , such that  $w_i$  maycontract-to v (if n = 0 this is equivalent to  $x \in W$ ).

PROOF SKETCH. Since our terms are in  $\beta$ -normal form there is only one rule that can play a role (namely  $\eta_l$ ), hence if the term s is not exactly x (case 1) it can only be an  $\eta$ -expansion of x, or a unification variable that can be assigned to x, or a combination of both. If s begins with a lambda, then the lambda can only disappear by  $\eta$  contraction. In that case the term t under the spine of binders

for  $x_1 ldots x_n$  can either be x itself applied to terms that can *may-contract-to* these variables (case 2), or a unification variable that can be assigned to that application (case 3).

Note that this condition does not require the term to be in  $\mathcal{L}_{\lambda}$ .

#### Is this relevant

Definition 6.3 (occurs-rigidly). A name x occurs-rigidly in a  $\beta$ -normal term t, if  $\forall \rho, x \in \mathcal{P}(\rho t)$ 

In other words x occurs-rigidly in t if it occurs in t outside of the scope of unification variables since an instantiation is allowed to discard x from the scope of the unification variable. Note that  $\eta$ -contraction cannot make x disappear, since the variables being erased by  $\eta$ -contraction are locally bound inside t.

We can now derive the implementation for  $\Diamond \eta$  detection:

Definition 6.4 (maybe-eta). Given a  $\beta$ -normal term  $s = \lambda x_1 \dots x_n .t$ , maybe-eta s holds if any of the following holds:

- (1) t is a constant or a variable applied to the arguments  $l_1 \dots l_m$  such that  $m \ge n$  and for every i such that  $1 \le i \le m n$  the term  $l_i$  may-contract-to  $x_i$ , and no  $x_i$  occurs-rigidly in  $l_1 \dots l_{m-n-1}$ ;
- (2) t is a unification variable with scope W and for each  $x_i$  there exists a  $w_i \in W$  such that  $w_i$  may-contract-to  $x_i$ .

LEMMA 6.5 ( $\Diamond \eta$  DETECTION). *If t is a β-normal term and* maybeeta *t holds, then t*  $\in \Diamond \eta$ .

PROOF SKETCH. Follows from definition 6.3 and lemma 6.2 □

Remark that the converse of lemma 6.5 does not hold: there exists a term t satisfying the criteria (1) of definition 6.4 that is not in  $\Diamond \eta$ , i.e. there exists no substitution  $\rho$  such that  $\rho t$  is an  $\eta$ -expansion. A simple counter example is  $\lambda x.f\cdot (A\cdot x)\cdot (A\cdot x)$  since x does not occurrigidly in the first argument of f, and the second argument of f may-contract-to x. In other words  $A\cdot x$  may either use or discard x, but our analysis does not take into account that the same term cannot have two contrasting behaviors.

As we will see in the rest of this section this is not a problem since it does not break proposition 2.1 nor proposition 2.2.

## 6.2 Compilation

The following rule is inserted just before rule  $(c_{\lambda})$  from the code in section 5.1.

```
comp (flam F) (uva A Scope) M1 M2 L1 L3 S1 S3 :-
maybe-eta (flam F) [], !,
   alloc S1 A S2,
   comp-lam F F1 M1 M2 L1 L2 S2 S3,
   get-scope (lam F1) Scope,
   L3 = [val (link-eta (uva A Scope) (lam F1)) | L2].
```

The rule triggers when the input term flam F is in  $\Diamond \eta$ . It compiles it to lam F1 but puts the fresh variable A in its place. The variable sees all the names free in lam F1. The critical part of this rule is the creation of the link- $\eta$ , which relates the variable A with lam F1. This link clearly validates invariant 2.

COROLLARY 6.6. The rhs of any link- $\eta$  has exactly one lambda abstraction, hence the rule above respects invariant 4.

PROOF SKETCH. By contradiction, suppose that the rule above triggered and that the rhs of the link is  $\lambda x.\lambda y.t_{xy}$ . If  $maybe-eta\,\lambda y.t_{xy}$  holds the recursive call to comp (made by comp-lam) must have put a fresh variable in its place, so this case is impossible. Otherwise, if  $maybe-eta\,\lambda y.t_{xy}$  does not hold, also  $maybe-eta\,\lambda x.\lambda y.t_{xy}$  does not hold, contradicting the assumption that the rule triggered.  $\Box$ 

TODO: W preservation proposition 2.8

## 6.3 Progress

link- $\eta$  are meant to delay the unification of "problematic" terms until we know for sure if the head lambda has to be  $\eta$ -contracted or not.

Definition 6.7 (progress- $\eta$ -left). A link  $\Gamma \vdash X =_{\eta} T$  is removed from  $\mathbb L$  when X becomes rigid. There are two cases:

- (1) if X = a or X = y or  $X = f \cdot a_1 \dots a_n$  we unify the  $\eta$ -expansion of the X with T, that is we run  $\lambda x. X \cdot x \simeq_{\lambda} T$  (under the context  $\Gamma$ )
- (2) if  $X = \lambda x.t$  we run  $X \simeq_{\lambda} T$ .

do we have the name case in the code?

Definition 6.8 (progress- $\eta$ -right). A link  $\Gamma \vdash X =_{\eta} T$  is removed from  $\mathbb{L}$  when *maybe-eta* T does not hold (anymore) and by  $\eta$ -contracting T to T' (if possible, else T' = T) and executing  $X \simeq_{\lambda} T'$  (under the context Γ).

there was a note about T' having a rigid head, TO BE FIXED

There is a third case in which a link is removed from  $\mathbb{L}$ , namely when the lhs is assigned to another variable that is the lhs of another link- $\eta$ .

Definition 6.9 (progress-η-deduplicate). A link  $\Gamma \vdash X_{\vec{s}} =_{\eta} T$  is removed from  $\mathbb{L}$  when another link  $\Delta \vdash X_{\vec{r}} =_{\eta} T'$  is in  $\mathbb{L}$ . By invariant 1 the length of  $\vec{s}$  and  $\vec{r}$  is the same hence we can move the term T' from  $\Delta$  to  $\Gamma$  by renaming its bound variables, i.e.  $T'' = T'[\vec{r}/\vec{s}]$ . We then run  $T \simeq_{\lambda} T''$  (under the context  $\Gamma$ ).

TODO: prove proposition 2.8: we never commit a  $\Diamond \eta$  term in  $\sigma$  since we run  $\simeq_{\lambda}$  only when we know that the terms are no more  $\Diamond \eta$ , and when lhs is no more a variable or rhs is no more a  $\Diamond \eta$ , the link is removed from  $\mathbb{L}$ .

LEMMA 6.10. progress terminates.

Proof sketch. Rules definitions 6.7 and 6.8 and definition 6.9 remove one link from  $\mathbb{L}$ , hence they cannot be applied indefinitely. Moreover each rule only relies on terminating operations such as  $\simeq_{\lambda}$ ,  $\eta$ -contraction,  $\eta$ -expansion, relocation (a recursive copy of a finite term).

*Example of* progress-η-left. The example at the beginning of section 6, once  $\sigma = \{A \mapsto f\}$ , triggers this rule since the link becomes  $\vdash f =_{\eta} \lambda x.B_X$  and the lhs is a constant. In turn the rule runs  $\lambda x.f \cdot x \simeq_{\lambda} \lambda x.B_X$ , resulting in  $\sigma = \{A \mapsto f ; B_X \mapsto f\}$ . Decompilation the generates  $\rho = \{X \mapsto f\}$ , since X is mapped to B and f is the η-contracted version of  $\lambda x.f \cdot x$ .

*Example of* progress-η-right.

#### TODO

A second example, showing the activation of a link when the rhs is no more a  $\Diamond \eta$ , is given in section 7, since we need to work with variables used with different arities. This example represent the run of the unification problems proposed at section 5.5

*Example of* progress- $\eta$ -deduplicate. A very basic example of link- $\eta$  deduplication, is given below:

$$\begin{split} \mathbb{P} &= \left\{ \begin{array}{l} \lambda x.(X\cdot x) \simeq_{o} \lambda x.(Y\cdot x) \end{array} \right\} \\ \mathbb{T} &= \left\{ \begin{array}{l} A \simeq_{\lambda} C \\ \end{array} \right\} \\ \mathbb{M} &= \left\{ \begin{array}{l} X \mapsto B^{1} & Y \mapsto D^{1} \end{array} \right\} \\ \mathbb{L} &= \left\{ \begin{array}{l} \vdash A =_{\eta} \lambda x.B_{x} & \vdash C =_{\eta} \lambda x.D_{x} \end{array} \right\} \end{split}$$

The result of  $A \simeq_{\lambda} C$  is that the two link- $\eta$  share the same lhs. By unifying the two rhs we get  $\sigma = \{A \mapsto C, B \mapsto D \}$ . In turn, given the map  $\mathbb{M}$ , this second assignment is decompiled to  $\rho = \{X \mapsto Y\}$  as expected.

## I don't get this TODO

TODO: we can have  $\lambda x.F_x$  in the substitution if we know that F does not reduce to Tx where x is not free in T.

#### 7 ENFORCING INVARIANT 1

We report here the problem given in section 5.5 where X is used with two different arities and the output of the compilation does not respect invariant 3 (merging the two mappings for s would break invariant 1). In this section we explain how to replace the duplicate mapping with some link- $\eta$  in order to restore the invariants.

$$\begin{array}{lll} \mathbb{P} = \left\{ \begin{array}{lll} \lambda x.\lambda y.(X\cdot y\cdot x) & \simeq_o & \lambda x.\lambda y.x & \lambda x.(f\cdot (X\cdot x)\cdot x) & \simeq_o & Y \end{array} \right\} \\ \mathbb{T} = \left\{ \begin{array}{lll} A & \simeq_\lambda & \lambda x.\lambda y.x & D & \simeq_\lambda & F \end{array} \right\} \\ \mathbb{M} = \left\{ \begin{array}{lll} X \mapsto E^1 & Y \mapsto F^0 & X \mapsto C^2 \end{array} \right\} \\ \mathbb{L} = \left\{ \begin{array}{lll} & \mapsto D & =_\eta & \lambda x.(f\cdot E_X \cdot x) & \mapsto A & =_\eta & \lambda x.B_X \\ x \mapsto B_x & =_\eta & \lambda y.C_{yx} \end{array} \right\} \end{array}$$

We see that the maybe-eta as identified  $\lambda xy.X$   $y\cdot x$  and  $\lambda x.f\cdot (X\cdot x)\cdot x$  and the compiler has replaced them with A and D respectively. However, the mapping  $\mathbb M$  breaks invariant 3: the  $\mathcal F_0$  variable X is mapped to two different  $\mathcal H_0$  variables. To address this problem we adjust the compiler's output with a map-deduplication procedure.

Definition 7.1 (align-arity). Given two mappings  $m_1 : X \mapsto A^m$  and  $m_2 : X \mapsto C^n$  where m < n and d = n - m, align-arity  $m_1 m_2$  generates the following d links, one for each i such that  $0 \le i < d$ ,

$$x_0 \dots x_{m+i} \vdash B_{x_0 \dots x_{m+i}}^i =_{\eta} \lambda x_{m+i+1} B_{x_0 \dots x_{m+i+1}}^{i+1}$$

where  $B^i$  is a fresh variable of arity m + i, and  $B^0 = A$  as well as  $B^d = C$ .

The intuition is that we  $\eta$ -expand the occurrence of the variable with lower arity to match the higher arity. Since each link- $\eta$  can add exactly one lambda, we need as many links as the difference between the two arities.

Definition 7.2 (map-deduplication). For all mappings  $m_1, m_2 \in \mathbb{M}$  such that  $m_1 : X \mapsto A^m$  and  $m_2 : X \mapsto C^n$  and m < n we remove  $m_1$  from  $\mathbb{M}$  and add to  $\mathbb{L}$  the result of align-arity  $m_1$   $m_2$ .

If we look back the example give at the beginning of this section, we can deduplicate  $X \mapsto E^1, X \mapsto C^2$  by removing the first

mapping and adding the auxiliary link- $\eta$ :  $x \vdash E_x =_{\eta} \lambda y.C_{xy}$ . After deduplication the compiler output is as follows:

$$\begin{array}{llll} \mathbb{P} = \left\{ \begin{array}{lll} \lambda x.\lambda y.(X\cdot y\cdot x) & \simeq_o & \lambda x.\lambda y.x & \lambda x.(f\cdot (X\cdot x)\cdot x) & \simeq_o & Y \end{array} \right\} \\ \mathbb{T} = \left\{ \begin{array}{llll} A & \simeq_\lambda & \lambda x.\lambda y.x & D & \simeq_\lambda & F \end{array} \right\} \\ \mathbb{M} = \left\{ \begin{array}{llll} Y \mapsto F^0 & X \mapsto C^2 \end{array} \right\} \\ \mathbb{L} = \left\{ \begin{array}{llll} x \vdash E_x & =_\eta & \lambda y.C_{xy} & \vdash D & =_\eta & \lambda x.(f\cdot E_x\cdot x) \\ \vdash A & =_\eta & \lambda x.B_x & x \vdash B_x & =_\eta & \lambda y.C_{yx} \end{array} \right\}$$

TODO: non so se serva spiegare l'esecuzione (in commento)

dire che preserviamo l'invariante che tutte le variable sono fully-applied

## 8 HANDLING OF $\overline{\mathcal{L}_{\lambda}}$

IIRC, second order unification, where variables can stand for functions, is semi-decidable, indeed Huet's algorith enumerates all solutions. Third order, functions that take functions, is undecidable, proved by Dowek. IMO here the problem is that there is no unique solution, (semi)decidability is a secondary point. This paragraph needs fixing.

Until now, we have only dealt we unification of terms in  $\mathcal{L}_{\lambda}$ . However, we want the unification relation to be more robust so that it can work with terms in  $\overline{\mathcal{L}_{\lambda}}$ . Unification in  $\overline{\mathcal{L}_{\lambda}}$  is in general a non-decidable procedure, e.g. X  $a \simeq_o a$  is a unification problem in  $\overline{\mathcal{L}_{\lambda}}$ , since we have Xa has the shape  $Xt_1 \ldots t_n$  where X is a unification variable and  $t_1 \ldots t_n$  is not a list of distinct names (in our example, a is a constant, hence not a name). We also point out that this unification problem admits two different substitutions:  $\rho_1 = \{X \mapsto \lambda x.x\}$  and  $\rho_2 = \{X \mapsto \lambda_- a\}$ .

It is the case, however, given a list of unification n problems  $\mathbb{P}$ , if  $\mathbb{P}_i$  is in  $\overline{\mathcal{L}}_{\lambda}$ , it is possible that the resolution of the problems  $\bigwedge_{j=0}^{i-1} \mathbb{P}_j$  gives a partial substitution  $\rho$ , such that  $\rho \mathbb{P}_i$  falls again in f.

$$\begin{split} \mathbb{P} &= \{ \ X \simeq_o \ \lambda x.Y \quad (X \cdot a) \simeq_o \ a \ \} \\ \mathbb{T} &= \{ \ A \simeq_\lambda \ \lambda x.B \quad (A \cdot a) \simeq_\lambda \ a \ \} \\ \mathbb{M} &= \{ \ Y \mapsto B^0 \quad X \mapsto A^0 \ \} \end{split}$$

In the example above, we see that  $\mathbb{P}_1$  instantiates X so that  $\mathbb{P}_2$ , can be solved in  $\mathcal{L}_{\lambda}$ .

it is even a ground term, there is no unification left to perform actually

On the other hand, we see that,  $\simeq_{\lambda}$  can't solve the compiled problems  $\mathbb{T}$ . In fact, the resolution of  $\mathbb{T}_1$  gives the substitution  $\sigma = \{A \mapsto \lambda x.B\}$ , but the dereferencing of  $\mathbb{T}_2$  gives the non-unifable problem  $(\lambda x.B)$   $a \simeq_{\lambda} a$ .

In order to encompass

## not sure has the right meaning

this unification, term compilation should capture the terms t in  $\overline{\mathcal{L}_{\lambda}}$  and replace them with fresh variables v.

## we use capitals for unif variables

As per  $\overline{\mathcal{L}_{\lambda}}$ , the variables v and the terms t are linked through a link- $\beta$ .

link- $\beta$  guarantees invariant 2 and the term on the rhs has the following property:

 Invariant 5 (link- $\beta$  rhs). The rhs of any link- $\beta$  has the shape  $X t_1 \ldots t_n$  such that X is a flexible variable and  $t_1 \ldots t_n$  is not in  $\mathcal{L}_{\lambda}$ . si dice: flexible term; oppure unification variable

link- $\beta$  are put in  $\mathbb{L}$  and activated when rhs falls in  $\mathcal{L}_{\lambda}$ . un po' ridondante con 8.2, non so se serva

Corollary 8.1. If the lhs of a link- $\beta$  is instantiated to a rigid term and its rhs counterpart is still in  $\overline{\mathcal{L}_{\lambda}}$ , the current unification problem is not in  $\mathcal{L}_{\lambda}$ 

non capisco cosa vuoi dire con "the current...". Data la prova sotto vuoi dire che il problem originale sarebbe stato fuori... e quindi falliamo

and the unification fails.

PROOF SKETCH. Given  $X \cdot t_1 \dots t_n \simeq_{\lambda} t$  where t is a rigid term and  $t_1 \dots t_n$  is not in  $\mathcal{L}_{\lambda}$ . By construction,  $X \cdot t_1 \dots t_n$  is replaced with a variable V, and the  $\liminf \beta \Gamma \vdash V =_{\beta} X \cdot t_1 \dots t_n$  is created. The unification instantiates V to t, making the lhs of the link a rigid term, while rhs is still in  $\overline{\mathcal{L}_{\lambda}}$ . The original problem is in fact outside  $\mathcal{L}_{\lambda}$  and unification fails.

## 8.1 Compilation

Detection of  $\overline{\mathcal{L}_{\lambda}}$  is quite simple to implement in the compiler, since it is sufficient to capture applications with flexible head and argument that are not in  $\mathcal{L}_{\lambda}$ .

```
comp (fapp [fuva A|Ag]) (uva B Scope) M1 M3 L1 L3 S1 S4 :- !,
  pattern-fragment-prefix Ag Pf Extra,
  len Pf Arity,
  alloc S1 B S2,
  m-alloc (fv A) (hv C (arity Arity)) M1 M2 S2 S3,
  fold6 comp Pf Pf1 M2 M2 L1 L1 S3 S3,
  fold6 comp Extra Extra1 M2 M3 L1 L2 S3 S4,
  Beta = app [uva C Pf1 | Extra1],
  get-scope Beta Scope,
  L3 = [val (link-beta (uva B Scope) Beta) | L2].
```

The list Ag is split into the list Pf and Extra such that append Pf Ext and Pf is the largest prefix of Ag such that Pf is in  $\mathcal{L}_{\lambda}$ . The rhs of the link- $\beta$  is the application of a fresh variable C having in scope all the free variables appearing in the compiled version of Pf and Extra. The variable B, returned has the compiled term, is a fresh variable having in scope all the free variables occurring in Pf1

anche in Extra1 no?

```
[Io direi X_{s_1...s_n} l_1...l_m ... where S = [s1 ... sn] e L = [l1 ... lm]
```

Invariant 6. The rhs of a link- $\beta$  has the shape  $N_S \cdot L$  (equivalent to app[uva N S|L]).

COROLLARY 8.2. Let app[uva N S|L] be the rhs of a link- $\beta$ , then L is not empty.

PROOF SKETCH. By contradiction, if L is a empty list, then the original  $\mathcal{F}_o$  term has the shape fapp[fuva M | Ag] where Ag is a list of distinct names (i.e. there list Extra is empty). This case is

however captured by rule  $(c_{\lambda})$  (from section 5.1) and no link- $\beta$  is produced: a contradiction.

COROLLARY 8.3. Let  $N_S$  L be the rhs of a link- $\beta$ , then the first argument t in  $\bot$  either appears in S or it is not a name.

PROOF SKETCH. By construction, the lists S and L are built by splitting the list Ag from the original term fapp [fuva A[Ag]. S is the longest prefix of the compiled terms in Ag which is in  $\mathcal{L}_{\lambda}$ . Therefore, by definition of  $\mathcal{L}_{\lambda}$ , either the first element of L is a name appearing in S or it a term with a constructor of tm as functor.

Dire che maybe eta fa il detect anche su termini che non sono il llambda, oppure dirlo in section of maybeeta + dare un esempio?

## 8.2 Progress

The activation of a link- $\beta$  is performed when its rhs falls under  $\mathcal{L}_{\lambda}$  under a given substitution.

Definition 8.4 (progress-beta- $\mathcal{L}_{\lambda}$ ). A link  $\Gamma \vdash X =_{\beta} N_S \cdot [t|L]$  is removed from  $\mathbb{L}$ , if it exists a substitution  $\gamma$ , such that the first term t is a name not occurring in S. In this case, let M a fresh variable, then the new link  $\Gamma, x \vdash X =_{\beta} M_{S,n} \cdot L$  is added to  $\mathbb{L}$  and if L = [], then X is unified with  $M_{S,n}$ , otherwise, the  $\Gamma \vdash N =_{\eta} \lambda x.M_X$  is added to  $\mathbb{L}$ .

non è chiaro. la sostituzione immagino sia la corrente, non se ne esiste una. Poi non è chiaro se otherwise si riferische a in this case. Usa un enumerate

Definition 8.5 (progress-beta-rigid-head). A link  $\Gamma \vdash X =_{\beta} N_S \cdot L$  is removed from  $\mathbb L$  if  $N_S$  is instantiated to a term t and the  $\beta$ -reduced term t' obtained from the application of t to L is in  $\mathcal L_{\lambda}$ . Moreover, X is unified to t.

Lemma 8.6. progress terminates

PROOF SKETCH. By definition 8.5, the link- $\beta$  is removed from The list Ag is split into the list Pf and Extra such that append Pf Extra AgL, hence they cannot be applied indefinitely. If the link- $\beta$  is produced Pf is the largest prefix of Ag such that Pf is in  $\mathcal{L}_{\lambda}$ . The rhs of gressed due to progress-beta- $\mathcal{L}_{\lambda}$ , then two links are added, the first

finire

Corollary 8.7. Given a link- $\beta$ , the scope of its rhs variables in  $\mathcal{L}_{\lambda}$ .

vuoi dire: le variabili che occorrono nel rhs sono in...?

PROOF SKETCH. By construction, the rhs of link- $\beta$  is of the form  $N_S$ -L and S is in  $\mathcal{L}_{\lambda}$ . If a link- $\beta$  is triggered by *progress-beta-rigid-head*, then, by definition 8.5 that link is removed by  $\mathbb{L}$ , and the property is satisfied. If the link- $\eta$  is activated by *progress-beta-* $\mathcal{L}_{\lambda}$ , then, by definition 8.4, L = [n|L'] and the scope 'S, x', is in  $\mathcal{L}_{\lambda}$ .  $\square$ 

*Example of* progress-beta- $\mathcal{L}_{\lambda}$ . A simple example of link- $\beta$  progression due to *progress-beta-L*<sub> $\lambda$ </sub> is given below:

```
\begin{split} \mathbb{P} &= \left\{ \begin{array}{ll} X \simeq_o \ \lambda x.x & \lambda x.(Y\cdot (X\cdot x)) \simeq_o \ a \end{array} \right\} \\ \mathbb{T} &= \left\{ \begin{array}{ll} A \simeq_\lambda \ \lambda x.x & B \simeq_\lambda \ a \end{array} \right\} \\ \mathbb{M} &= \left\{ \begin{array}{ll} Y \mapsto D^0 & X \mapsto A^0 \end{array} \right\} \\ \mathbb{L} &= \left\{ \begin{array}{ll} \vdash A =_\eta \ \lambda x.E_X & \vdash B =_\eta \ \lambda x.C_X \\ x \vdash C_X =_\beta \ (D \cdot E_X) \end{array} \right\} \end{split}
```

The link- $\beta$  in  $\mathbb L$  has a variable D applied to the variable  $E_X$ . The first unification problem,  $\mathbb T_1$  produces the substitution  $\sigma=\{A\mapsto \lambda x.x\}$ . This instantiation triggers  $\mathbb L_1$  which before its removal, assignes E to  $\lambda x.x$ . Now, the link- $\beta$  is  $x \vdash C_X =_{\beta} (D \cdot x)$ . This link is replaced with the couple of links:  $x \vdash C_X =_{\beta} F_X$ ,  $\vdash E =_{\eta} \lambda x.D_X$ .  $\mathbb T_2$  assignes B which activates  $\mathbb L_2$ , and then all the remaining links are solved. The final  $\mathcal H_0$  substitution is  $\sigma=\{A=\lambda x.x, B=a, C_X=a, D=\lambda\}$  and is decompiled into  $\rho=\{X\mapsto \lambda x.x, Y\mapsto a\}$ .

*Example of* progress-beta-rigid-head. We can take the example provided in section 8. The problem is compiled into:

$$\begin{split} \mathbb{P} &= \{ \ X \simeq_o \ \lambda x.Y \quad (X \ a) \simeq_o \ a \ \} \\ \mathbb{T} &= \{ \ A \simeq_\lambda \ \lambda x.B \qquad C \simeq_\lambda \ a \ \} \\ \mathbb{M} &= \{ \ Y \mapsto B^0 \quad X \mapsto A^0 \ \} \\ \mathbb{L} &= \{ \ \vdash C =_\beta \ (A \ a) \ \} \end{split}$$

The first unification,  $\mathbb{T}_1$ , gives the substitution  $\sigma = \{A \mapsto \lambda x.B\}$ . The link- $\beta$ ,  $\mathbb{L}_1$ , becomes  $\vdash C =_{\beta} ((\lambda x.B) \ a)$  whose rhs can be  $\beta$ -reduced to B. B is in  $\mathcal{L}_{\lambda}$  and is unified with C. The resolution of  $\mathbb{T}_2$  gives the final substitution  $\sigma = \{A \mapsto \lambda x.B, B \mapsto C, C \mapsto a\}$  which is decompiled into  $\rho = \{X \mapsto \lambda x.a, Y \mapsto a\}$ .

## 8.3 Tricky examples

```
triple ok (@lam x\ @app[@f, @app[@X, x]]) @Y,
triple ok @X (@lam x\ x),
triple ok @Y @f

% @okl 22 [
%    triple ok (@lam x\ @lam y\ @app[@Y, y, x]) @X,
%    triple ok (@lam x\ @f) @X,
% ].
```

## 9 FIRST ORDER APPROXIMATION

**TODO:** Coq can solve this: f 1 2 = X 2, by setting X to f 1 **TODO:** We can re-use part of the algo for  $\beta$  given before

#### 10 UNIF ENCODING IN REAL LIFE

```
TODO: Il ML presentato qui è esattamente elpi
TODO: Il OL presentato qui è esattamente coq
TODO: Come implementatiamo tutto ciò nel solver
```

#### 11 RESULTS: STDPP AND TLC

TODO: How may rule are we solving?
TODO: Can we do some perf test

#### 12 CONCLUSION

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#### **APPENDIX** 1509 1567 type fder fsubst -> fm -> o. 1510 1568 This appendix contains the entire code described in this paper. The 1511 fder \_ (fcon C) (fcon C). 1569 code can also be accessed at the URL: https://github.com/FissoreD/ fder S (fapp A) (fapp B) :- map (fder S) A B. 1512 1513 fder S (flam F) (flam G) :-1571 Note that (a infix b) c d de-sugars to (infix) a b c d. 1514 $pi x \land fder S x x \Rightarrow fder S (F x) (G x).$ 1572 Explain builtin name (can be implemented by loading name after fder S (fuva N) R :- set? N S T, fder S T R. 1515 1573 each pi) fder S (fuva N) (fuva N) :- unset? N S. 1516 1574 1517 1575 13 THE MEMORY 1518 type fderef fsubst -> fm -> o. $(\rho s)$ 1576 kind addr type. fderef S T T2: - fder S T T1, napp T1 T2. 1519 1577 type addr nat -> addr. 1520 typeabbrev (mem A) (list (option A)). 1579 1521 type $(=_o)$ fm -> fm -> o. 1522 $(=_o)$ 1580 type set? addr -> mem A -> A -> o. 1523 fcon $X =_{o} f$ con X. 1581 set? (addr A) Mem Val :- get A Mem Val. 1524 fapp $A =_{o} fapp B := forall2 (=_{o}) A B$ . 1582 flam $F =_o$ flam $G := pi x \setminus x =_o x \Rightarrow F x =_o G x.$ 1525 1583 type unset? addr -> mem A -> o. 1526 fuva $N =_{0}$ fuva N. 1584 unset? Addr Mem :- not (set? Addr Mem \_). flam $F =_{\alpha} T :=$ 1527 1585 $(\eta_l)$ $pi x \land beta T [x] (T' x), x =_o x \Rightarrow F x =_o T' x.$ 1528 1586 type assign-aux nat -> mem A -> A -> mem A -> o. $T =_{o} flam F :=$ 1529 $(\eta_r)$ 1587 assign-aux z (none :: L) Y (some Y :: L). $pi x \ beta T [x] (T' x), x =_o x \Rightarrow T' x =_o F x.$ 1530 1588 assign-aux (s N) (X :: L) Y (X :: L1) :- assign-aux N L Y L1. 1531 fapp [flam X | L] = $_{o}$ T :- beta (flam X) L R, R = $_{o}$ T. ( $\beta_{l}$ ) 1589 $T =_{o} fapp [flam X | L] := beta (flam X) L R, <math>T =_{o} R. (\beta_{r})$ type assign addr -> mem A -> A -> mem A -> o. assign (addr A) Mem1 Val Mem2 :- assign-aux A Mem1 Val Mem2. type extend-subst fm -> fsubst -> fsubst -> o. 1534 extend-subst (fuva N) S S' :- mem.alloc N S S'. 1535 1593 type get nat -> mem A -> A -> o. 1536 extend-subst (flam F) S S' :-1594 get z (some Y :: \_) Y. 1537 $pi x \ (pi S\extend-subst x S S) => extend-subst (F x) S S'.$ get (s N) (\_ :: L) X :- get N L X. 1538 extend-subst (fcon \_) S S. extend-subst (fapp L) S S1 :- fold extend-subst L S S1. 1539 type alloc-aux nat -> mem A -> mem A -> o. 1540 alloc-aux z [] [none] :- !. type beta fm -> list fm -> fm -> o. 1599 1541 alloc-aux z L L. beta A [] A. 1600 1542 alloc-aux (s N) [] [none | M] :- alloc-aux N [] M. 1543 beta (flam Bo) [H | L] R :- napp (Bo H) F, beta F L R. 1601 alloc-aux (s N) [X | L] [X | M] :- alloc-aux N L M. 1544 beta (fapp A) L (fapp X) :- append A L X. 1602 beta (fuva N) L (fapp [fuva N | L]). type alloc addr -> mem A -> mem A -> o. beta (fcon H) L (fapp [fcon H | L]). alloc (addr A as Ad) Mem1 Mem2 :- unset? Ad Mem1, beta N L (fapp [N | L]) :- name N. 1605 alloc-aux A Mem1 Mem2. 1548 1606 type napp fm -> fm -> o. 1549 1607 type new-aux mem A -> nat -> mem A -> o. 1550 napp (fcon C) (fcon C). 1608 new-aux [] z [none]. 1551 napp (fuva A) (fuva A). 1609 new-aux [A | As] (s N) [A | Bs] :- new-aux As N Bs. 1552 napp (flam F) (flam G) :- $pi \times pi \times (G \times)$ . 1553 napp (fapp [fapp L1 |L2]) T :- !, 1611 type new mem A -> addr -> mem A -> o. 1554 append L1 L2 L3, napp (fapp L3) T. 1612 new Mem1 (addr Ad) Mem2 :- new-aux Mem1 Ad Mem2. 1555 napp (fapp L) (fapp L1) :- map napp L L1. 1613 1556 napp N N :- name N. 1614 1557 1615 14 THE OBJECT LANGUAGE type mk-app $fm \rightarrow list <math>fm \rightarrow fm \rightarrow o$ . 1558 1616 kind fm type. mk-app T L S :- beta T L S. type fapp list fm -> fm. 1618 1560 type flam (fm -> fm) -> fm. type eta-contract fm -> fm -> o. 1619 1561 1562 type fcon string -> fm. eta-contract (fcon X) (fcon X). 1620 1563 type fuva addr -> fm. eta-contract (fapp L) (fapp L1) :- map eta-contract L L1. 1621 eta-contract (flam F) T := eta-contract-aux [] (flam F) T. 1564 1622 typeabbrev fsubst (mem fm). eta-contract (flam F) (flam F1) :-1565 1623

14

```
1625
           pi x = eta-contract x x = eta-contract (F x) (F1 x).
                                                                                                                                                       1683
1626
         eta-contract (fuva X) (fuva X).
                                                                                  type prune-same-variable addr -> list tm -> list tm ->
                                                                                                                                                       1684
1627
         eta-contract X X :- name X.
                                                                                                              list tm -> assignment -> o.
                                                                                                                                                       1685
                                                                                  prune-same-variable N [] [] ACC (val (uva N Args)) :-
1629
         type eta-contract-aux list fm -> fm -> o.
                                                                                    rev ACC Args.
         eta-contract-aux L (flam F) T :-
                                                                                  prune-same-variable N [XIXS] [XIYS] ACC (abs F) :-
                                                                                                                                                       1688
1630
1631
           pi x\ eta-contract-aux [x|L] (F x) T. % also checks H Prefix does poitx3eprune-same-variable N XS YS [x|ACC] (F x).
                                                                                                                                                       1689
         eta-contract-aux L (fapp [H|Args]) T :-
                                                                                  prune-same-variable N [_|XS] [_|YS] ACC (abs F) :-
1632
                                                                                                                                                       1690
1633
           rev L LRev, append Prefix LRev Args,
                                                                                    pi x\ prune-same-variable N XS YS ACC (F x).
                                                                                                                                                       1691
           if (Prefix = []) (T = H) (T = fapp [H|Prefix]).
                                                                                                                                                       1692
                                                                                  type permute list nat -> list tm -> list tm -> o.
                                                                                  permute [] _ [].
       15 THE META LANGUAGE
                                                                                  permute [P|PS] Args [T|TS] :-
1637
                                                                                                                                                       1695
         kind inctx type -> type.
                                                                     ( · ⊦ · )
                                                                                    nth P Args T,
1638
                                                                                                                                                       1696
1639
         type abs (tm -> inctx A) -> inctx A.
                                                                                    permute PS Args TS.
                                                                                                                                                       1697
1640
         type val A -> inctx A.
                                                                                                                                                       1698
         typeabbrev assignment (inctx tm).
                                                                                  type build-perm-assign addr -> list tm -> list bool ->
1641
                                                                                                                                                       1699
1642
         typeabbrev subst (mem assignment).
                                                                                                        list nat -> assignment -> o.
                                                                                  build-perm-assign N ArgsR [] Perm (val (uva N PermutedArgs)) :-1701
1643
         kind tm type.
                                                                                    rev ArgsR Args, permute Perm Args PermutedArgs.
1644
                                                                                                                                                       1702
         type app list tm -> tm.
                                                                                  build-perm-assign N Acc [tt|L] Perm (abs T) :-
1645
                                                                                                                                                       1703
1646
         type lam (tm -> tm) -> tm.
                                                                                    pi x\ build-perm-assign N [x|Acc] L Perm (T x).
                                                                                                                                                       1704
         type con string -> tm.
                                                                                  build-perm-assign N Acc [ff|L] Perm (abs T) :-
                                                                                                                                                       1705
         type uva addr -> list tm -> tm.
                                                                                    pi x\ build-perm-assign N Acc L Perm (T x).
         type (\simeq_{\lambda}) tm -> tm -> subst -> subst -> o.
                                                                                  type keep list A -> A -> bool -> o.
                                                                                                                                                       1708
1650
                                                                                  keep L A tt :- mem L A, !.
1651
         (con C \simeq_{\lambda} con C) S S.
                                                                                                                                                       1709
1652
         (app L1 \simeq_{\lambda} app L2) S S1 :- fold2 (\simeq_{\lambda}) L1 L2 S S1.
                                                                                  keep _ _ ff.
                                                                                                                                                       1710
1653
         (lam F1 \simeq_{\lambda} lam F2) S S1 :-
                                                                                                                                                       1711
           pi x\ (pi S\ (x \simeq_{\lambda} x) S S) => (F1 x \simeq_{\lambda} F2 x) S S1.
                                                                                  type prune-diff-variables addr -> list tm -> list tm ->
1654
                                                                                                                                                       1712
                                                                                                              assignment -> assignment -> o.
1655
         (uva N Args \simeq_{\lambda} T) S S1 :-
           set? N S F,!, move F Args T1, (T1 \simeq_{\lambda} T) S S1.
                                                                                  prune-diff-variables N Args1 Args2 Ass1 Ass2 :-
                                                                                                                                                       1714
1656
         (T \simeq_{\lambda} uva N Args) S S1 :-
                                                                                                                                                       1715
1657
                                                                                    map (keep Args2) Args1 Bits1,
           set? N S F,!, move F Args T1, (T \simeq_{\lambda} T1) S S1.
                                                                                    map (keep Args1) Args2 Bits2,
                                                                                                                                                       1716
1658
1659
         (uva M A1 \simeq_{\lambda} uva N A2) S1 S2 :- !,
                                                                                    filter Args1 (mem Args2) ToKeep1,
                                                                                                                                                       1717
           pattern-fragment A1, pattern-fragment A2,
                                                                                    filter Args2 (mem Args1) ToKeep2,
                                                                                                                                                       1718
           prune! M A1 N A2 S1 S2.
                                                                                    map (index ToKeep1) ToKeep1 IdPerm,
                                                                                                                                                       1719
         (uva N Args \simeq_{\lambda} T) S S1 :- not_occ N S T, pattern-fragment Args,
                                                                                    map (index ToKeep1) ToKeep2 Perm21,
           bind T Args T1, assign N S T1 S1.
                                                                                    build-perm-assign N [] Bits1 IdPerm Ass1,
                                                                                                                                                       1721
         (T \simeq_{\lambda} \text{ uva N Args}) \text{ S S1 :- not_occ N S T, pattern-fragment Args,}
                                                                                    build-perm-assign N [] Bits2 Perm21 Ass2.
                                                                                                                                                       1722
1664
1665
           bind T Args T1, assign N S T1 S1.
                                                                                                                                                       1723
1666
                                                                                  type beta tm -> list tm -> tm -> o.
                                                                                                                                                       1724
         type prune! addr -> list tm -> addr ->
                                                                                  beta A [] A :- !.
1667
                                                                                                                                                       1725
                      list tm -> subst -> o.
                                                                                  beta (lam Bo) [H | L] R :- beta (Bo H) L R1, beta-aux R1 R.
         /* no pruning needed */
                                                                                  beta (app A) L (app X) :- append A L X.
1669
         prune! N A N A S S :- !.
                                                                                  beta (con H) L (app [con H | L]).
                                                                                                                                                       1728
1670
         prune! M A N A S1 S2 :- !, bind (uva M A) A Ass,
                                                                                                                                                       1729
1671
                                                                                  beta X L (app[X|L]) :- name X.
1672
           assign N S1 Ass S2.
                                                                                                                                                       1730
1673
         /* prune different arguments */
                                                                                  type beta-aux tm -> tm -> o.
                                                                                                                                                       1731
         prune! N A1 N A2 S1 S3 :- !,
1674
                                                                                  beta-aux (app [HD|TL]) R :- !, beta HD TL R.
                                                                                                                                                       1732
           new S1 W S2, prune-same-variable W A1 A2 [] Ass,
                                                                                  beta-aux A A.
           assign N S2 Ass S3.
                                                                                                                                                       1734
         /* prune to the intersection of scopes */
                                                                                  /* occur check for N before crossing a functor */
                                                                                                                                                       1735
1677
                                                                                  type not_occ addr -> subst -> tm -> o.
         prune! N A1 M A2 S1 S4 :- !,
1678
                                                                                                                                                       1736
1679
           new S1 W S2, prune-diff-variables W A1 A2 Ass1 Ass2,
                                                                                  not_occ N S (uva M Args) :- set? M S F,
                                                                                                                                                       1737
           assign N S2 Ass1 S3,
1680
                                                                                    move F Args T, not_occ N S T.
                                                                                                                                                       1738
           assign M S3 Ass2 S4.
                                                                                  not_occ N S (uva M Args) :- unset? M S, not (M = N),
1681
                                                                                                                                                       1739
                                                                                                                                                       1740
                                                                           15
```

```
1741
           forall1 (not_occ_aux N S) Args.
                                                                                type fv addr -> fvariable.
                                                                                                                                                    1799
         not_occ _ _ (con _).
1742
                                                                                                                                                    1800
1743
         not_occ N S (app L) :- not_occ_aux N S (app L).
                                                                                kind hvariable type.
                                                                                                                                                    1801
         /* Note: lam is a functor for the meta language! */
                                                                                type hv addr -> arity -> hvariable.
1744
1745
         not_occ N S (lam L) :- pi x\ not_occ_aux N S (L x).
                                                                                                                                                    1803
         not_occ _ _ X :- name X.
1746
                                                                                kind mapping type.
                                                                                                                                                    1804
         /* finding N is ok */
                                                                                type mapping fvariable -> hvariable -> mapping.
1747
                                                                                                                                                    1805
         not_occ N _ (uva N _).
                                                                                typeabbrev mmap (list mapping).
1748
                                                                                                                                                    1806
1749
                                                                                                                                                    1807
         /* occur check for X after crossing a functor */
                                                                                typeabbrev scope (list tm).
                                                                                                                                                    1808
         type not_occ_aux addr -> subst -> tm -> o.
                                                                                typeabbrev inctx ho.inctx.
         not_occ_aux N S (uva M _) :- unset? M S, not (N = M).
                                                                                kind baselink type.
         not_occ_aux N S (uva M Args) :- set? M S F,
                                                                                type link-eta tm -> tm -> baselink.
1753
                                                                                                                                                    1811
                                                                                type link-beta tm -> tm -> baselink.
           move F Args T, not_occ_aux N S T.
                                                                                                                                                    1812
1754
1755
         not_occ_aux N S (app L) :- forall1 (not_occ_aux N S) L.
                                                                                typeabbrev link (inctx baselink).
                                                                                                                                                    1813
1756
         not_occ_aux N S (lam F) :- pi x\ not_occ_aux N S (F x).
                                                                                typeabbrev links (list link).
                                                                                                                                                    1814
1757
         not_occ_aux _ _ (con _).
                                                                                                                                                    1815
1758
         not_occ_aux _ _ X :- name X.
                                                                                macro @val-link-eta T1 T2 :- ho.val (link-eta T1 T2).
                                                                                                                                                    1816
1759
         /* finding N is ko, hence no rule */
                                                                                macro @val-link-beta T1 T2 :- ho.val (link-beta T1 T2).
                                                                                                                                                    1817
1760
                                                                                                                                                    1818
         /* copy T T' vails if T contains a free variable, i.e. it
                                                                                                                                                    1819
1761
1762
            performs scope checking for bind */
                                                                                                                                                    1820
         type copy tm -> tm -> o.
1763
                                                                                type occurs-rigidly fm -> fm -> o.
                                                                                                                                                    1821
         copy (con C)
                        (con C).
                                                                                occurs-rigidly N N.
                                                                                                                                                    1822
                        (app L') :- map copy L L'.
                                                                                occurs-rigidly _ (fapp [fuva _|_]) :- !, fail.
         copy (app L)
                        (lam T') :- pi x\ copy x x \Rightarrow copy (T x) (T' x).
                                                                                occurs-rigidly N (fapp L) :- exists (occurs-rigidly N) L.
                                                                                                                                                    1824
1766
         copy (lam T)
                                                                                occurs-rigidly N (flam B) :- pi x\ occurs-rigidly N (B x).
1767
         copy (uva A L) (uva A L') :- map copy L L'.
                                                                                                                                                    1825
1768
                                                                                                                                                    1826
1769
         type bind tm -> list tm -> assignment -> o.
                                                                                type reducible-to list fm -> fm -> o.
                                                                                                                                                    1827
         bind T [] (val T') :- copy T T'.
                                                                                reducible-to _ N N :- !.
1770
                                                                                                                                                    1828
1771
         bind T [X | TL] (abs T') :- pi \times copy \times x \Rightarrow bind T TL (T' x).
                                                                                reducible-to L N (fapp[fuva _[Args]) :- !,
1772
                                                                                  forall1 (x\ exists (reducible-to [] x) Args) [N|L].
                                                                                                                                                    1830
1773
         type deref subst -> tm -> tm -> o.
                                                                                reducible-to L N (flam B) :- !,
                                                                                                                                                    1831
                                                                  (\sigma t)
         deref _ (con C) (con C).
                                                                                  pi x\ reducible-to [x | L] N (B x).
                                                                                                                                                    1832
1774
1775
         deref S (app A) (app B) :- map (deref S) A B.
                                                                                reducible-to L N (fapp [N|Args]) :-
                                                                                                                                                    1833
1776
         deref S (lam F) (lam G) :-
                                                                                  last-n {len L} Args R,
                                                                                                                                                    1834
           pi x \cdot deref S x x \Rightarrow deref S (F x) (G x).
                                                                                  forall2 (reducible-to []) R {rev L}.
                                                                                                                                                    1835
         deref S (uva N L) R :- set? N S A,
           move A L T, deref S T R.
                                                                                type maybe-eta fm -> list fm -> o.
                                                                                                                                                    1837
                                                                                                                                        (\lozenge n)
                                                                                maybe-eta (fapp[fuva _|Args]) L :- !,
         deref S (uva N A) (uva N B) :- unset? N S,
                                                                                                                                                    1838
1780
           map (deref S) A B.
1781
                                                                                  forall1 (x\ exists (reducible-to [] x) Args) L, !.
                                                                                                                                                    1839
1782
                                                                                maybe-eta (flam B) L := !, pi \times maybe-eta (B \times) [x \mid L].
                                                                                                                                                    1840
         type move assignment -> list tm -> tm -> o.
                                                                                maybe-eta (fapp [fcon _|Args]) L :-
1783
                                                                                                                                                    1841
         move (abs Bo) [H|L] R :- move (Bo H) L R.
1784
                                                                                  split-last-n {len L} Args First Last,
                                                                                                                                                    1842
1785
         move (val A) [] A.
                                                                                  none (x\ exists (y\ occurs-rigidly x y) First) L,
                                                                                                                                                    1843
1786
                                                                                  forall2 (reducible-to []) {rev L} Last.
                                                                                                                                                    1844
1787
                                                                                                                                                    1845
1788
         type deref-assmt subst -> assignment -> o.
                                                                                                                                                    1846
1789
         deref-assmt S (abs T) (abs R) :- pi \times deref-assmt S (T x) (R x). type locally-bound tm -> o.
                                                                                                                                                    1847
         deref-assmt S (val T) (val R) :- deref S T R.
                                                                                type get-scope-aux tm -> list tm -> o.
                                                                                                                                                    1848
                                                                                get-scope-aux (con _) [].
1792
                                                                                get-scope-aux (uva _ L) L1 :-
       16 THE COMPILER
1793
                                                                                  forall2 get-scope-aux L R,
                                                                                                                                                    1851
         kind arity type.
1794
                                                                                  flatten R L1.
                                                                                                                                                    1852
1795
         type arity nat -> arity.
                                                                                get-scope-aux (lam B) L1 :-
                                                                                                                                                    1853
                                                                                                                                                    1854
                                                                                  pi x \setminus locally-bound x \Rightarrow get-scope-aux (B x) L1.
1796
         kind fvariable type.
1797
                                                                                get-scope-aux (app L) L1 :-
                                                                                                                                                    1855
                                                                                                                                                    1856
                                                                          16
```

```
1857
           forall2 get-scope-aux L R,
1858
           flatten R L1.
1859
         get-scope-aux X [X] :- name X, not (locally-bound X).
         get-scope-aux X [] :- name X, (locally-bound X).
1861
1862
         type names1 list tm -> o.
         names1 L :-
1863
           names L1.
1864
1865
           new_int N,
           if (1 is N mod 2) (L1 = L) (rev L1 L).
         type get-scope tm -> list tm -> o.
         get-scope T Scope :-
1869
           get-scope-aux T ScopeDuplicata,
1870
1871
           undup ScopeDuplicata Scope.
1872
         type rigid fm -> o.
         rigid X := not (X = fuva_).
1873
1874
         type comp-lam (fm \rightarrow fm) \rightarrow (tm \rightarrow tm) \rightarrow
1875
           mmap -> mmap -> links -> links -> subst -> o.
1876
         comp-lam F G M1 M2 L1 L3 S1 S2 :-
1877
1878
           pi x y\ (pi M L S\ comp x y M M L L S S) =>
1879
             comp (F x) (G y) M1 M2 L1 (L2 y) S1 S2,
           close-links L2 L3.
         type close-links (tm -> links) -> links -> o.
         close-links (v\setminus[X \mid L v]) [X|R] :- !, close-links L R.
1883
1884
         close-links (v\setminus[X\ v\mid L\ v]) [abs X|R] :- close-links L R.
1885
         close-links (_\[]) [].
         type comp fm -> tm -> mmap -> mmap -> links -> links ->
1886
1887
           subst -> subst -> o.
         comp (fcon C) (con C) M M L L S S.
1888
         comp (flam F) (uva A Scope) M1 M2 L1 L3 S1 S3 :-
1889
           maybe-eta (flam F) [], !,
1890
1891
             alloc S1 A S2,
             comp-lam F F1 M1 M2 L1 L2 S2 S3,
             get-scope (lam F1) Scope,
             L3 = [val (link-eta (uva A Scope) (lam F1)) | L2].
         comp (flam F) (lam F1) M1 M2 L1 L2 S1 S2 :-
1895
                                                                     (c_{\lambda})
           comp-lam F F1 M1 M2 L1 L2 S1 S2.
1896
         comp (fuva A) (uva B []) M1 M2 L L S1 S2 :-
1897
1898
           m-alloc (fv A) (hv B (arity z)) M1 M2 S1 S2.
         comp (fapp [fuva A|Ag]) (uva B Ag1) M1 M2 L L S1 S2 :-
1899
1900
           pattern-fragment Ag, !,
1901
             fold6 comp Ag Ag1 M1 M1 L L S1 S1,
             len Ag Arity,
1902
             m-alloc (fv A) (hv B (arity Arity)) M1 M2 S1 S2.
1903
1904
         comp (fapp [fuva A|Ag]) (uva B Scope) M1 M3 L1 L3 S1 S4 :- !,
1905
           pattern-fragment-prefix Ag Pf Extra,
           len Pf Arity.
           alloc S1 B S2,
           m-alloc (fv A) (hv C (arity Arity)) M1 M2 S2 S3,
1908
           fold6 comp Pf
                            Pf1
                                    M2 M2 L1 L1 S3 S3,
1909
           fold6 comp Extra Extra1 M2 M3 L1 L2 S3 S4,
1910
1911
           Beta = app [uva C Pf1 | Extra1],
           get-scope Beta Scope,
1912
           L3 = [val (link-beta (uva B Scope) Beta) | L2].
1913
1914
                                                                          17
```

```
comp (fapp A) (app A1) M1 M2 L1 L2 S1 S2 :-
                                                                  1915
  fold6 comp A A1 M1 M2 L1 L2 S1 S2.
                                                                  1916
                                                                  1917
type alloc mem A -> addr -> mem A -> o.
                                                                  1918
alloc S N S1 :- mem.new S N S1.
                                                                  1919
                                                                  1920
type compile-terms-diagnostic
                                                                  1921
  triple diagnostic fm fm ->
                                                                  1922
  triple diagnostic tm tm ->
                                                                  1923
  mmap -> mmap ->
                                                                  1924
  links -> links ->
  subst -> subst -> o.
compile-terms-diagnostic (triple D FO1 FO2) (triple D HO1 HO2) MP27M3 L1
  comp F01 H01 M1 M2 L1 L2 S1 S2.
                                                                  1928
  comp F02 H02 M2 M3 L2 L3 S2 S3.
                                                                  1929
                                                                  1930
type compile-terms
                                                                  1931
  list (triple diagnostic fm fm) ->
                                                                  1932
  list (triple diagnostic tm tm) ->
                                                                  1933
  mmap -> links -> subst -> o.
                                                                  1934
compile-terms T H M L S :-
                                                                  1935
  fold6 compile-terms-diagnostic T H [] M_ [] L_ [] S_,
                                                                  1936
  print-compil-result T H L_ M_,
                                                                  1937
  deduplicate-map M_ M S_ S L_ L.
                                                                  1938
                                                                  1939
type make-eta-link-aux nat -> addr -> addr ->
                                                                  1940
  list tm -> links -> subst -> o.
                                                                  1941
make-eta-link-aux z Ad1 Ad2 Scope1 L H1 H1 :-
                                                                  1942
  rev Scope1 Scope, eta-expand (uva Ad2 Scope) T1,
                                                                  1943
  L = [val (link-eta (uva Ad1 Scope) T1)].
                                                                  1944
make-eta-link-aux (s N) Ad1 Ad2 Scope1 L H1 H3 :-
  rev Scope1 Scope, alloc H1 Ad H2,
                                                                  1946
  eta-expand (uva Ad Scope) T2,
                                                                  1947
  (pi x\ make-eta-link-aux N Ad Ad2 [x|Scope1] (L1 x) H2 H3),
                                                                 1948
  close-links L1 L2,
                                                                  1949
                                                                 1950
  L = [val (link-eta (uva Ad1 Scope) T2) | L2].
                                                                  1951
type make-eta-link nat -> nat -> addr -> addr ->
                                                                  1952
        list tm -> links -> subst -> o.
make-eta-link (s N) z Ad1 Ad2 Vars L H H1 :-
                                                                  1954
  make-eta-link-aux N Ad2 Ad1 Vars L H H1.
                                                                  1955
make-eta-link z (s N) Ad1 Ad2 Vars L H H1 :-
                                                                  1956
  make-eta-link-aux N Ad1 Ad2 Vars L H H1.
                                                                  1957
make-eta-link (s N) (s M) Ad1 Ad2 Vars Links H H1 :-
                                                                  1958
  (pi x\ make-eta-link N M Ad1 Ad2 [x|Vars] (L x) H H1),
                                                                  1959
  close-links L Links.
                                                                  1960
                                                                  1961
type deduplicate-map mmap -> mmap ->
                                                                  1962
    subst -> subst -> links -> links -> o.
                                                                  1963
deduplicate-map [] [] H H L L.
deduplicate-map [(mapping (fv 0) (hv M (arity LenM)) as X1) | Map$] Map$
  take-list Map1 (mapping (fv 0) (hv M' (arity LenM'))) _, !, 1966
  std.assert! (not (LenM = LenM')) "Deduplicate map, there is alsog",
  print "arity-fix links:" {ppmapping X1} "~!~" {ppmapping (mapping (fv
  make-eta-link LenM LenM' M M' [] New H1 H2,
                                                                  1969
  print "new eta link" {pplinks New},
                                                                  1970
                                                                  1971
  append New L1 L2,
                                                                  1972
```

```
1973
           deduplicate-map Map1 Map2 H2 H3 L2 L3.
                                                                                arity T Arity, len L ArgsNb, ArgsNb >n Arity, !,
                                                                                                                                                2031
         deduplicate-map [A|As] [A|Bs] H1 H2 L1 L2 :-
1974
                                                                                minus ArgsNb Arity Diff, mem.new S V1 S1,
                                                                                                                                                2032
1975
           deduplicate-map As Bs H1 H2 L1 L2, !.
                                                                                eta-expand (ho.uva V1 Scope) Diff T1,
                                                                                                                                                2033
                                                                                ((ho.uva V Scope) == 1 T1) S1 S2.
         deduplicate-map [A|_] _ H _ _ _ :-
1977
           halt "deduplicating mapping error" {ppmapping A} {ho.ppsubst H}.
1978
                                                                              progress-beta-link (ho.uva _ _ as T) (ho.app[ho.uva Ad1 Scope1 40361] as
1979
                                                                                append Scope1 L1 Scope1L.
       17 THE PROGRESS FUNCTION
1980
                                                                                                                                                2038
                                                                                pattern-fragment-prefix Scope1L Scope2 L2,
1981
         macro @one :- s z.
                                                                                not (Scope1 = Scope2), !,
                                                                                                                                                2039
1982
                                                                                mem.new S1 Ad2 S2,
                                                                                                                                                2040
         type contract-rigid list ho.tm -> ho.tm -> o.
                                                                                len Scope1 Scope1Len,
                                                                                                                                                2041
1984
         contract-rigid L (ho.lam F) T :-
                                                                                len Scope2 Scope2Len,
           pi x\ contract-rigid [x|L] (F x) T. % also checks H Prefix does nomakæeeta-link Scope1Len Scope2Len Ad1 Ad2 [] LinkEta S2 S3,
1985
                                                                                                                                                2043
         contract-rigid L (ho.app [H|Args]) T :-
                                                                                if (L2 = []) (NewLinks = LinkEta, T2 = ho.uva Ad2 Scope2)
1986
1987
           rev L LRev, append Prefix LRev Args,
                                                                                  (T2 = ho.app [ho.uva Ad2 Scope2 | L2],
                                                                                                                                                2045
1988
           if (Prefix = []) (T = H) (T = ho.app [H|Prefix]).
                                                                                  NewLinks = [@val-link-beta T T2 | LinkEta]).
                                                                                                                                                2046
1989
                                                                                                                                                2047
1990
         type progress-eta-link ho.tm -> ho.tm -> ho.subst -> ho.subst -> limmogress-eta-link T1 (ho.app[ho.uva _ _ | _] as T2) _ _ _ :- 2048
         progress-eta-link (ho.app _ as T) (ho.lam x = T1) H H1 [] :- !, not (T1 = ho.uva _ _), !, fail.
1991
           \{\text{eta-expand T @one}\} == 1 \text{ T1}\} \text{ H H1}.
1992
         progress-eta-link (ho.con _ as T) (ho.lam x\ _ as T1) H H1 [] :- !progress-beta-link (ho.uva _ _ as T) (ho.app[ho.uva _ _ | _] as262) S1 .
1993
1994
           (\{eta-expand T @one\} == 1 T1) H H1.
                                                                                occur-check-err T T2 S1, !, fail.
1995
         progress-eta-link (ho.lam _ as T) T1 H H1 [] :- !,
           (T == 1 T1) H H1.
                                                                              progress-beta-link T1 (ho.app[ho.uva _ _ | _] as T2) H H [@val-105nk-beta
         progress-eta-link (ho.uva _ _ as X) T H H1 [] :-
           contract-rigid [] T T1, !, (X ==1 T1) H H1.
1998
                                                                              progress-beta-link T1 (ho.app [Hd | T1]) S1 S2 B :-
         progress-eta-link (ho.uva Ad _ as T1) T2 H H [@val-link-eta T1 T2] :ho!peta Hd T1 T3,
                                                                                                                                                2057
1999
2000
           if (ho.not_occ Ad H T2) true fail.
                                                                                progress-beta-link-aux T1 T3 S1 S2 B.
                                                                                                                                                2058
2001
                                                                                                                                                2059
         type is-in-pf ho.tm -> o.
                                                                              type solve-link-abs link -> links -> ho.subst -> ho.subst -> o.2060
2002
2003
         is-in-pf (ho.app [ho.uva _ _ | _]) :- !, fail.
                                                                              solve-link-abs (ho.abs X) R H H1 :-
         is-in-pf (ho.lam B) :- !, pi x\ is-in-pf (B x).
                                                                                pi x\ ho.copy x x \Rightarrow (pi S\ ho.deref S x x) \Rightarrow
2004
         is-in-pf (ho.con _).
                                                                                  solve-link-abs (X x) (R' x) H H1,
                                                                                                                                                2063
2005
         is-in-pf (ho.app L) :- forall1 is-in-pf L.
                                                                                close-links R' R.
                                                                                                                                                2064
2006
2007
         is-in-pf N :- name N.
                                                                                                                                                2065
         is-in-pf (ho.uva _ L) :- pattern-fragment L.
                                                                              solve-link-abs (@val-link-eta A B) NewLinks S S1 :- !,
                                                                                                                                                2066
                                                                                progress-eta-link A B S S1 NewLinks.
         type arity ho.tm -> nat -> o.
2010
                                                                              solve-link-abs (@val-link-beta A B) NewLinks S S1 :- !,
2011
         arity (ho.con ) z.
         arity (ho.app L) A :- len L A.
                                                                                progress-beta-link A B S S1 NewLinks.
                                                                                                                                                2070
2012
2013
                                                                                                                                                2071
2014
         type occur-check-err ho.tm -> ho.tm -> ho.subst -> o.
                                                                              type take-link link -> links -> link -> links -> o.
                                                                                                                                                2072
         occur-check-err (ho.con _) _ _ :- !.
                                                                              take-link A [B|XS] B XS :- link-abs-same-lhs A B, !.
                                                                                                                                                2073
2015
                                                                              take-link A [L|XS] B [L|YS] :- take-link A XS B YS.
2016
         occur-check-err (ho.app _) _ _ :- !.
                                                                                                                                                2074
2017
         occur-check-err (ho.lam _) _ _ :- !.
                                                                                                                                                2075
2018
         occur-check-err (ho.uva Ad _) T S :-
                                                                              type link-abs-same-lhs link -> link -> o.
                                                                                                                                                2076
2019
           not (ho.not_occ Ad S T).
                                                                              link-abs-same-lhs (ho.abs F) B :-
                                                                                                                                                2077
2020
                                                                                pi x\ link-abs-same-lhs (F x) B.
                                                                                                                                                2078
2021
         type progress-beta-link-aux ho.tm -> ho.tm ->
                                                                              link-abs-same-lhs A (ho.abs G) :-
                                                                                                                                                2079
                                                                                pi x\ link-abs-same-lhs A (G x).
                 ho.subst -> ho.subst -> links -> o.
2023
         progress-beta-link-aux T1 T2 S1 S2 [] :- is-in-pf T2, !,
                                                                              link-abs-same-lhs (@val-link-eta (ho.uva N _) _) (@val-link-eta206ho.uva
2024
           (T1 == 1 T2) S1 S2.
                                                                              type same-link-eta link -> link -> ho.subst -> ho.subst -> o. 2083
         progress-beta-link-aux T1 T2 S S [@val-link-beta T1 T2] :- !.
2025
                                                                              same-link-eta (ho.abs F) B H H1 :- !, pi x\ same-link-eta (F x)2\$4H H1.
2026
2027
         type progress-beta-link ho.tm -> ho.tm -> ho.subst ->
                                                                              same-link-eta A (ho.abs G) H H1 :- !, pi x\ same-link-eta A (G x) 5 H H1.
               ho.subst -> links -> o.
                                                                              same-link-eta (@val-link-eta (ho.uva N S1) A)
2028
                                                                                                                                                2086
         progress-beta-link T (ho.app[ho.uva V Scope | L] as T2) S S2 [@val-link-beta T T2 Pval-link-eta (ho.uva N S2) B) H H1 :-
                                                                                                                                                2087
2029
2030
```

```
2089
           std.map2 S1 S2 (x\y\r\ r = ho.copy x y) Perm,
                                                                                        map -> fo.fsubst -> fo.fsubst -> o.
                                                                                                                                                      2147
           Perm => ho.copy A A',
2090
                                                                                  add-new-map-aux _ [] _ [] S S.
                                                                                                                                                      2148
2091
            (A' == 1 B) H H1.
                                                                                  add-new-map-aux H [T|Ts] L L2 S S2 :-
                                                                                                                                                      2149
                                                                                    add-new-map H T L L1 S S1,
                                                                                                                                                      2150
2093
         type progress1 links -> links -> ho.subst -> ho.subst -> o.
                                                                                    add-new-map-aux H Ts L1 L2 S1 S2.
                                                                                                                                                      2151
                                                                                                                                                      2152
2094
         progress1 [] [] X X.
         progress1 [A|L1] [A|L3] S S2 :- take-link A L1 B L2, !,
                                                                                  type add-new-map ho.subst -> ho.tm -> map ->
                                                                                                                                                      2153
2095
           same-link-eta A B S S1,
                                                                                      map -> fo.fsubst -> fo.fsubst -> o.
                                                                                                                                                      2154
2096
2097
           progress1 L2 L3 S1 S2.
                                                                                  add-new-map _ (ho.uva N _) Map [] F1 F1 :-
                                                                                                                                                      2155
         progress1 [L0|L1] L3 S S2 :- deref-link S L0 L,
                                                                                    mem Map (mapping _ (hv N _)), !.
                                                                                                                                                      2156
            solve-link-abs L R S S1, !,
                                                                                  add-new-map H (ho.uva N L) Map [Map1 | MapL] F1 F3 :-
                                                                                                                                                      2157
           progress1 L1 L2 S1 S2, append R L2 L3.
                                                                                    mem.new F1 M F2,
                                                                                    len L Arity, Map1 = mapping (fv M) (hv N (arity Arity)),
                                                                                                                                                      2159
2101
                                                                                    add-new-map H (ho.app L) [Map1 | Map] MapL F2 F3.
2102
                                                                                                                                                      2160
       18 THE DECOMPILER
2103
                                                                                  add-new-map H (ho.lam B) Map NewMap F1 F2 :-
                                                                                                                                                      2161
2104
         type abs->lam ho.assignment -> ho.tm -> o.
                                                                                    pi x\ add-new-map H (B x) Map NewMap F1 F2.
                                                                                                                                                      2162
         abs->lam (ho.abs T) (ho.lam R) :- !, pi x\ abs->lam (T x) (R x). add-new-map H (ho.app L) Map NewMap F1 F3 :-
2105
                                                                                                                                                      2163
2106
         abs->lam (ho.val A) A.
                                                                                    add-new-map-aux H L Map NewMap F1 F3.
                                                                                                                                                      2164
2107
                                                                                  add-new-map _ (ho.con _) _ [] F F :- !.
                                                                                                                                                      2165
         type commit-links-aux link -> ho.subst -> ho.subst -> o.
                                                                                  add-new-map _ N _ [] F F :- name N.
                                                                                                                                                      2166
2108
         commit-links-aux (@val-link-eta T1 T2) H1 H2 :-
2109
                                                                                                                                                      2167
           ho.deref H1 T1 T1', ho.deref H1 T2 T2',
2110
                                                                                  type complete-mapping-under-ass ho.subst -> ho.assignment ->
                                                                                                                                                      2168
2111
            (T1' == 1 T2') H1 H2.
                                                                                    map -> map -> fo.fsubst -> fo.fsubst -> o.
                                                                                                                                                      2169
         commit-links-aux (@val-link-beta T1 T2) H1 H2 :-
2112
                                                                                  complete-mapping-under-ass H (ho.val Val) Map1 Map2 F1 F2 :-
                                                                                                                                                      2170
2113
           ho.deref H1 T1 T1', ho.deref H1 T2 T2',
                                                                                    add-new-map H Val Map1 Map2 F1 F2.
2114
            (T1' == 1 T2') H1 H2.
                                                                                  complete-mapping-under-ass H (ho.abs Abs) Map1 Map2 F1 F2 :-
         commit-links-aux (ho.abs B) H H1 :-
                                                                                    pi x\ complete-mapping-under-ass H (Abs x) Map1 Map2 F1 F2.
2115
                                                                                                                                                      2173
2116
           pi x\ commit-links-aux (B x) H H1.
                                                                                                                                                      2174
2117
                                                                                  type complete-mapping ho.subst -> ho.subst ->
                                                                                                                                                      2175
         type commit-links links -> links -> ho.subst -> ho.subst -> o.
                                                                                    map -> map -> fo.fsubst -> fo.fsubst -> o.
2118
                                                                                                                                                      2176
2119
         commit-links [] [] H H.
                                                                                  complete-mapping _ [] L L F F.
                                                                                                                                                      2177
         commit-links [Abs | Links] L H H2 :-
                                                                                  complete-mapping H [none | Tl] L1 L2 F1 F2 :-
                                                                                                                                                      2178
2120
           commit-links-aux Abs H H1, !, commit-links Links L H1 H2.
                                                                                    complete-mapping H Tl L1 L2 F1 F2.
                                                                                                                                                      2179
2121
2122
                                                                                  complete-mapping H [some T0 | T1] L1 L3 F1 F3 :-
                                                                                                                                                      2180
2123
         type decompl-subst map -> map -> ho.subst ->
                                                                                    ho.deref-assmt H T0 T,
                                                                                                                                                      2181
2124
           fo.fsubst -> fo.fsubst -> o.
                                                                                    complete-mapping-under-ass H T L1 L2 F1 F2,
                                                                                                                                                      2182
2125
         decompl-subst _ [A]_] _ _ :- fail.
                                                                                    append L1 L2 LAll,
                                                                                                                                                      2183
         decompl-subst _ [] _ F F.
                                                                                    complete-mapping H Tl LAll L3 F2 F3.
         decompl-subst Map [mapping (fv V0) (hv VM _)[T1] H F F2 :-
           mem.set? VM H T, !,
                                                                                  type decompile map -> links -> ho.subst ->
                                                                                                                                                      2186
2128
                                                                                    fo.fsubst -> fo.fsubst -> o.
2129
           ho.deref-assmt H T TTT,
                                                                                                                                                      2187
2130
           abs->lam TTT T', tm->fm Map T' T1,
                                                                                  decompile Map1 L HO FO FO2 :-
                                                                                                                                                      2188
           fo.eta-contract T1 T2, mem.assign V0 F T2 F1,
                                                                                    commit-links L L1_ HO HO1, !,
2131
                                                                                                                                                      2189
                                                                                    complete-mapping HO1 HO1 Map1 Map2 FO FO1,
2132
           decompl-subst Map Tl H F1 F2.
                                                                                                                                                      2190
2133
         decompl-subst Map [mapping _ (hv VM _)|Tl] H F F2 :-
                                                                                    decompl-subst Map2 Map2 H01 F01 F02.
                                                                                                                                                      2191
2134
           mem.unset? VM H, decompl-subst Map T1 H F F2.
                                                                                                                                                      2192
2135
                                                                                                                                                      2193
                                                                               19 AUXILIARY FUNCTIONS
2136
         type tm\rightarrow fm map \rightarrow ho.tm \rightarrow fo.fm \rightarrow o.
                                                                                                                                                      2194
                                                                                  type fold4 (A \rightarrow A1 \rightarrow B \rightarrow B \rightarrow C \rightarrow C \rightarrow o) \rightarrow list A \rightarrow
2137
         tm->fm _ (ho.con C) (fo.fcon C).
                                                                                                                                                      2195
                                                                                    list A1 \rightarrow B \rightarrow B \rightarrow C \rightarrow C \rightarrow o.
         tm->fm L (ho.lam B1) (fo.flam B2) :-
2138
                                                                                                                                                      2196
                                                                                  fold4 _ [] [] A A B B.
           pi \times y \to tm - fm x y = tm - fm L (B1 x) (B2 y).
                                                                                                                                                      2197
                                                                                  fold4 F [X|XS] [Y|YS] A A1 B B1 :- F X Y A A0 B B0,
         tm\rightarrow fm L (ho.app L1) T := map (tm\rightarrow fm L) L1 [Hd|T1],
2140
                                                                                    fold4 F XS YS A0 A1 B0 B1.
           fo.mk-app Hd Tl T.
2141
                                                                                                                                                      2199
2142
         tm->fm L (ho.uva VM TL) T :- mem L (mapping (fv VO) (hv VM _)),
                                                                                                                                                      2200
                                                                                  type len list A -> nat -> o.
2143
           map (tm->fm L) TL T1, fo.mk-app (fo.fuva V0) T1 T.
                                                                                                                                                      2201
                                                                                  len [] z.
2144
                                                                                                                                                      2202
                                                                                  len [_|L] (s X) :- len L X.
         type add-new-map-aux ho.subst -> list ho.tm -> map ->
2145
                                                                                                                                                      2203
2146
                                                                                                                                                      2204
                                                                           19
```