

# Higher-Order unification for free

*Reusing the meta-language unification for the object language*

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ÉCOLE UNIVERSITAIRE DE RECHERCHE  
**SYSTÈMES NUMÉRIQUES  
POUR L'HUMAIN**



# Metaprogramming for type-class resolution

- Our goal:
  - ▶ Type-class solver for Coq in Elpi
  - ▶ The goal of a type-class solver is to back-chain lemmas taken from a database of 'type-class instances'.
- Our problem:
  - ▶ Elpi cannot unify correctly Coq's HO terms
  - ▶ But we want/need to use Elpi's unification algorithm
- Our contribution:
  - ▶ Reusing the meta-language unification for the object language

## A type-class problem in Coq

**Instance** forall\_dec:  $\forall A\ P, \text{Finite } A \rightarrow$  (\* r3 \*)  
 $(\forall x:A, \text{Decision } (P\ x)) \rightarrow \text{Decision } (\forall x:A, P\ x).$

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**Goal**  $\text{Decision } (\forall x: \text{fin } 7, \text{nfact } x\ 3).$  (\* g \*)

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**Goal** Decision  $(\forall x: \text{fin } 7, \text{nfact } x\ 3)$ . (\* g \*)

- $\{A \mapsto \text{fin } 7; P \mapsto \lambda x. (\text{nfact } x\ 3)\}$

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**Goal**  $\text{Decision } (\forall x: \text{fin } 7, \text{nfact } x\ 3).$  (\* g \*)

- $\{A \mapsto \text{fin } 7; P \mapsto \lambda x. (\text{nfact } x\ 3)\}$

- subgoals:

$\text{Finite } (\text{fin } 7)$  and  $(\forall x:A, \text{Decision } ((\lambda x. (\text{nfact } x\ 3))\ x))$

## Coq terms in elpi : HOAS

Coq	Elpi
$f$	<code>c"f"</code>
$f\ a$	<code>app[c"f", c"a"]</code>
$\lambda(x : T). F\ x$	<code>fun T (x\ app[F, x])</code>
$\forall(x : T), F\ x$	<code>all T (x\ app[F, x])</code>
...	...

Benefits of this encoding:

- variable bindings and substitutions are for free
- easy term inspection (no need of the functor/3 and arg/3 primitives)

## The above type-class problem in elpi

**Instance** forall\_dec:  $\forall A\ P, \text{Finite } A \rightarrow$  (\* r3 \*)  
           $(\forall x:A, \text{Decision } (P\ x)) \rightarrow \text{Decision } (\forall x:A, P\ x).$

**Goal** Decision  $(\forall x: \text{fin } 7, \text{nfact } x\ 3).$  (\* g \*)

↓

## The above type-class problem in elpi

```
Instance forall_dec:  $\forall A\ P, \text{Finite } A \rightarrow$  (* r3 *)  
  ( $\forall x:A, \text{Decision } (P\ x) \rightarrow \text{Decision } (\forall x:A, P\ x)$ ).
```

```
Goal Decision ( $\forall x: \text{fin } 7, \text{nfact } x\ 3$ ). (* g *)
```

↓

```
decision (all A (x\ app [P, x])) :- finite A, % r3  
  pi w\ decision (app [P, w]).
```

```
?- decision (all (app [c"fin", c"7"])  
  (x\ app [c"nfact", x, c"3"])). % g
```



## Solving the goal in elpi

```
decision (all A (x\ app [P, x] )) :- finite A,           % r3
  pi w\ decision (app [P, w]).
```

```
?- decision (all (app [c"fin", c"7"])  
  (x\ app [c"nfact", x, c"3"] ))).           % g
```

# What we propose

## ① Compilation:

- ▶ Recognize *problematic subterms*  $p_1, \dots, p_n$   
There are three kinds:  $\diamond\beta$ ,  $\diamond\eta$ ,  $\diamond\mathcal{L}_\lambda$
- ▶ Replace  $p_i$  with fresh unification variables  $X_i$
- ▶ Link  $p_i$  with  $X_i$   
*A link is a suspended unification problem*

## ② Runtime:

- ▶ Execute unification of terms
- ▶ If some condition hold, trigger links

## ③ Lastly:

- ▶ Decompile remaining links

# The idea

```
decision (all A (x\ P' x)) :-                               % r3
  link P' (fun A (x\ app[P, x])),
  finite A,
  pi w\ decision (P' w).
```

```
?- decision (all (app ["fin", "7"])                          % g
               (x\ app [c"nfact", x, c"3"] )).
```

## Some notations

- $\mathbb{P}$ : the unification problems in coq (ol)
- $\mathbb{Q}$ : the unification problems in elpi (ml)
- $\mathbb{L}, \mathbb{M}$ : the link store, the unification-variable map

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- $\text{run}_o(\mathbb{P}, n) \mapsto \rho$ : the run of  $n$  unif pb in the ol
- $\text{run}_m(\mathbb{P}, n) \mapsto \rho'$ : the run of  $n$  unif pb in the ml
- $\text{step}_o(\mathbb{P}, i, \rho_{i-1}) \mapsto \rho_i$ : the execution of the  $i^{\text{th}}$  unif pb in ol
- $\text{step}_m(\mathbb{Q}, i, \sigma_{i-1}, \mathbb{L}_{i-1}) \mapsto (\sigma_i, \mathbb{L}_i)$ : the exec of the  $i^{\text{th}}$  unif pb in ml

## A zoom on $\text{run}_m$

$$\begin{aligned}\text{run}_m(\mathbb{P}, n) &\mapsto \rho_n \stackrel{\text{def}}{=} \\ &\mathbb{Q} \times \mathbb{M} \times \mathbb{L}_0 = \{(t, m, l) \mid s \in \mathbb{P}, \langle s \rangle \mapsto (t, m, l)\} \\ &\bigwedge_{p=1}^n \text{step}_m(\mathbb{Q}, \rho, \sigma_{p-1}, \mathbb{L}_{p-1}) \mapsto (\sigma_p, \mathbb{L}_p) \\ &\langle \sigma_n, \mathbb{M}, \mathbb{L}_n \rangle^{-1} \mapsto \rho_n\end{aligned}$$

# Proven properties

**Run Equivalence**  $\forall \mathbb{P}, \forall n$ , if each subterm in  $\mathbb{P}$  is in the pattern fragment

$$\text{run}_o(\mathbb{P}, n) \mapsto \rho \wedge \text{run}_m(\mathbb{P}, n) \mapsto \rho' \Rightarrow \forall s \in \mathbb{P}, \rho s =_o \rho' s$$

**Simulation fidelity**  $\forall \mathbb{P}$ , in the context of  $\text{run}_o$  and  $\text{run}_m$ ,  $\forall i \in 1 \dots n$ ,

$$\text{step}_o(\mathbb{P}, i, \rho_{i-1}) \mapsto \rho_i \Leftrightarrow \text{step}_m(\mathbb{Q}, i, \sigma_{i-1}, \mathbb{L}_{i-1}) \mapsto (\sigma_i, \mathbb{L}_i)$$

**Compilation round trip** If  $\langle s \rangle \mapsto (t, m, l)$  and  $l \in \mathbb{L}$  and  $m \in \mathbb{M}$  and  $\sigma = \{A \mapsto t\}$  and  $X \mapsto A \in \mathbb{M}$  then

$$\langle \sigma, \mathbb{M}, \mathbb{L} \rangle^{-1} \mapsto \rho \text{ and } \rho X =_o \rho s.$$

## Problematic subterm recognition

## Sketch of $\diamond\beta$ terms : the problem

- An example: given a bound variable  $x$

$$\begin{aligned}\mathbb{P} &= \{ Y \cdot x \simeq_o f \cdot x \cdot a \} \\ \mathbb{Q} &= \{ \text{app}[A, x] \simeq_m \text{app}[c\text{"f"}, x, c\text{"a"}] \} \\ \mathbb{M} &= \{ Y \mapsto A \}\end{aligned}$$

- Unification fails...



## Sketch of $\diamond\beta$ terms : the solution

- An example, let  $x$  be a bound variable:

$$\begin{aligned}\mathbb{P} &= \{ Y \cdot x \simeq_o f \cdot x \cdot a \} \\ \mathbb{Q} &= \{ A \ x \simeq_m \text{app}[c\text{"f"}, x, c\text{"a"}] \} \\ \mathbb{M} &= \{ Y \mapsto A \} \end{aligned}$$

- Unification of  $\mathbb{Q}_0$  gives:  $\{A \mapsto (w \setminus \text{app}[c\text{"f"}, w, c\text{"a"}])\}$
- Decompilation of  $A$  gives  $\{Y \mapsto \lambda x. f \cdot x \cdot a\}$

## Sketch of $\diamond\eta$ terms

- $\lambda x.s \in \diamond\eta$ , if  $\exists \rho, \rho(\lambda x.s)$  is an  $\eta$ -redex
- Detection of  $\diamond\eta$  terms is not trivial:

$$\lambda x.f.(A\ x) \qquad \in \diamond\eta \quad \rho = \{ A \mapsto \lambda x.x \}$$

$$\lambda x.f.(A\ x).x \qquad \in \diamond\eta \quad \rho = \{ A \mapsto \lambda x.a \}$$

- $\lambda x.\lambda y.f.(A\ x).(B\ y\ x) \in \diamond\eta \quad \rho = \{ A \mapsto \lambda x.x ; B \mapsto \lambda y.\lambda x.y \}$

$$\lambda x.f\ x.(A\ x) \qquad \notin \diamond\eta$$

## Sketch of $\diamond\eta$ link : the problem

- An example:

$$\begin{aligned}\mathbb{P} &= \{ f \simeq_o \lambda x.(f.(Y.x)) \} \\ \mathbb{Q} &= \{ c\text{"f"} \simeq_m \text{fun } (x \backslash \text{app}[c\text{"f"}, B\ x]) \} \\ \mathbb{M} &= \{ Y \mapsto B \}\end{aligned}$$

- We have recognized the  $\diamond\beta$  subterm  $Y.x$
- But the unification problem in  $\mathbb{Q}$  raises a failure...

## Sketch of $\diamond\eta$ link: the solution

- An example:

$$\begin{aligned}\mathbb{P} &= \{ f \simeq_o \lambda x.(f.(Y.x)) \} \\ \mathbb{Q} &= \{ c\textcolor{red}{f} \simeq_m A \} \\ \mathbb{M} &= \{ Y \mapsto B \} \\ \mathbb{L} &= \{ \text{eta-link } A \text{ (fun (x\ app[c\textcolor{red}{f}, B x]))} \} \end{aligned}$$

- After unification of  $c\textcolor{red}{f}$  with  $A$ ,  
its  $\eta$ -expansion is unified with  $\text{fun (x\ app[c\textcolor{red}{f}, B x])}$   
Hence  $B$  is assigned to  $x\backslash x$
- Decompilation will assign  $\lambda x.x$  to  $Y$

## Going further: the Constraint Handling Rules

- Elpi has CHR for goal suspension and resumption
- This fits well our notion of link: a suspended unification problem

```
pred eta-link i:term, i:term.  
eta-link A (fun _ _ B as T) :- not (var A), not (var B), !,  
    unify-left-right A T.  
eta-link A B :- progress-eta-right B B', !, A = B'.  
eta-link A B :- progress-eta-left A A', !, A' = B.  
eta-link A B :- scope-check A B, get-vars B Vars,  
    declare_constraint (eta-link A B) [A|Vars].
```

---

This can easily introduce new unification behaviors

- Add heuristic for HO unification outside the pattern fragment

```
% By def, R is not in the pattern fragment  
llam-link L R :- not (var L), unif-heuristic L R.
```

# Conclusion

- Takes advantage of the unification capabilities of the meta language at the price of handling problematic sub-terms on the side.
- Our approach is flexible enough to accommodate different strategies and **heuristics** to handle terms outside the pattern fragment

*Thanks!*

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Questions ?