## HO unification from object language to meta language

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#### **ABSTRACT**

Specifying and implementing a logic from scratch requires significant effort. Logical Frameworks and Higher Order Logic Programming Languages provide dedicated, high-level Meta Languages (ML) to facilitate this task in two key ways: 1) variable binding and substitution are simplified when ML binders represent object logic ones; 2) proof construction, and even proof search, is greatly simplified by leveraging the unification procedure provided by the ML. Notable examples of ML are Elf [13], Twelf [14],  $\lambda$ Prolog [10] and Isabelle [20] which have been utilized to implement various formal systems such as First Order Logic [5], Set Theory [12], Higher Order Logic [11], and even the Calculus of Constuctions [4].

The object logic we are interested in is Coq's [18] Dependent Type Theory (DTT), for which we aim to implement a unification procedure  $\simeq_o$  using the ML Elpi [3], a dialect of  $\lambda$ Prolog. Elpi's equational theory comprises  $\eta\beta$  equivalence and comes equipped with a higher order unification procedure  $\simeq_\lambda$  restricted to the pattern fragment [9]. We want  $\simeq_o$  to be as powerful as  $\simeq_\lambda$  but on the object logic DTT. Elpi also comes with an encoding for DTT that works well for meta-programming [17, 16, 7, 6]. Unfortunately this encoding, which we refer to as  $\mathcal{F}_o$ , "underuses"  $\simeq_\lambda$  by restricting it to first-order unification problems only. To address this issue, we propose a better-behaved encoding,  $\mathcal{H}_o$ , demonstrate how to map unification problems in  $\mathcal{F}_o$  to related problems in  $\mathcal{H}_o$ , and illustrate how to map back the unifiers found by  $\simeq_\lambda$ , effectively implementing  $\simeq_o$  on top of  $\simeq_\lambda$  for the encoding  $\mathcal{F}_o$ .

We apply this technique to the implementation of a type-class [19] solver for Coq [18]. Type-class solvers are proof search procedures based on unification that back-chain designated lemmas, providing essential automation to widely used Coq libraries such as Stdpp/Iris [8] and TLC [1]. These two libraries constitute our test bed.

#### **KEYWORDS**

Logic Programming, Meta-Programming, Higher-Order Unification, Proof Automation

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#### 1 INTRODUCTION

Specifying and implementing a logic from scratch requires significant effort. Logical Frameworks and Higher Order Logic Programming Languages provide dedicated, high-level Meta Languages (ML) to facilitate this task in two key ways: 1) variable binding and substitution are simplified when ML binders represent object logic ones; 2) proof construction, and even proof search, is greatly simplified by leveraging the unification procedure provided by the ML. Notable examples of ML are Elf [13], Twelf [14],  $\lambda$ Prolog [10] and Isabelle [20] which have been utilized to implement various formal systems such as First Order Logic [5], Set Theory [12], Higher Order Logic [11], and even the Calculus of Constuctions [4].

The object logic we are interested in is Coq's [18] Dependent Type Theory (DTT), and we want to code a type-class [19] solver for Coq [18] using the Coq-Elpi [17] meta programming framework. Type-class solvers are unification based proof search procedures that combine a set of designated lemmas in order to providing essential automation to widely used Coq libraries.

As the running example we take the Decide type class, from the Stdpp [8] library. The class identifies predicates equipped with a decision procedure. The following three designated lemmas (called Instances in the type-class jargon) state that: 1) the type fin n, of natural numbers smaller than n is finite; 2) the predicate nfact n nf, linking a natural number n to its prime factors nf, is decidable; 3) the universal closure of a predicate has a decision procedure if the predicate has and if its domain is finite.

```
Instance fin_fin n : Finite (fin n). (* r1 *)
Instance nfact_dec n nf : Decision (nfact n nf). (* r2 *)
Instance forall_dec A P : Finite A \rightarrow (* r3 *)
\forall x:A, \ Decision \ (P \ x) \rightarrow Decision \ (\forall x:A, \ P \ x).
```

Under this context of instances a type-class solver is able to prove the following statement automatically by back-chaining.

```
Check \_: Decision (forall y: fin 7, nfact y 3). (g)
```

The encoding of DTT provided by Elpi, that we will discuss at length later in section ?? and ??, is an Higher Order Abstract Syntax (HOAS) datatype tm featuring (among others) the following constructors:

Following standard  $\lambda$ Prolog [10] the concrete syntax to abstract, at the meta level, an expression e over a variable x is «x\ e», and square brackets denote a list of terms separated by comma. As an example we show the encoding of the Coq term « $\forall$ y:t, nfact y 3»:

```
all (con"t") y\ app[con"nfact", y, con"3"]
```

We now illustrate the encoding of the three instances above as higher-order logic-programming rules: capital letters denote rule parameters; :- separates the rule's head from the premises; pi w\ p introduces a fresh nominal constant w for the premise p.

finite (app[con"fin", N]). (r1)
decision (app [con"nfact", N, NF]). (r2)
decision (all A x\ app[P, x]) :- finite A, (r3)
pi w\ decision (app[P, w]).

Unfortunately this translation of rule (r3) uses the predicate P as a first order term: for the meta language its type is tm. If we try to backchain the rule (r3) on the encoding of the goal (g) given below

```
decision (all (app[con"fin", con"7"]) y\
  app[con"nfact", y, con"3"]).
```

we obtain an unsolvable unification problem (p): the two lists of terms have different lengths!

```
app[con"nfact", y, con"3"] = app[P, y] (p)
```

In this paper we study a more sophisticated encoding of Coq terms allowing us to rephrase the problematic rule (r3) as follows:

```
decision (all A x\ Pm x) :- link Pm P A, finite A, (r3a) pi x\ decision (app[P, x]).
```

Since Pm is an higher-order unification variable of type tm -> tm, with x in its scope, the unification problem (p') admits one solution:

After unifying the head of rule (r3a) with the goal, Elpi runs the premise «link Pm A P» that is in charge of bringing the assignment for Pm back to the domain tm of Coq terms:

```
P = lam A a\ app[con"nfact", a, con"3"]
```

This simple example is sufficient to show that the encoding we seek is not trivial and does not only concern the head of rules, but the entire sequence of unification problems that constitute the execution of a logic program. In fact the solution for P above generates a (Coq)  $\beta$ -redex in the second premise (the predicate under the pi w\):

```
decision (app[lam A (a\ app[con"nfact", a, con"3"]), w])
```

In turn this redex prevents the rule (r2) to backchain properly since the following unification problem has no solution:

```
app[lam A (a\ app[con"nfact", a, con"3"]), x] =
app[con"nfact", N, NF]
```

The root cause of the problems we sketched in the running example is that the unification procedure  $\simeq_{\lambda}$  of the meta language is not aware of the equational theory of the object logic, even if both theories include  $\eta\beta$ -conversion and admit most general unifiers for unification problems in the pattern fragment  $\mathcal{L}_{\lambda}$  [9].

Contributions. In this paper we discuss alternative encodings of Coq in Elpi (Section ??), then we identify a minimal language  $\mathcal{F}_0$  in which the problems sketched here can be fully described. We then detail an encoding comp from  $\mathcal{F}_0$  to  $\mathcal{H}_0$  (the language of the meta language) and a decoding decomp to relate the unifiers bla

bla.. TODO citare Teyjus. The code discussed in the paper can be accessed at the URL: https://github.com/FissoreD/paper-ho.

#### 2 PROBLEM STATEMENT

The equational theory of Coq's Dependent Type Theory is very rich. In addition to the usual  $\eta\beta$ -equivalence for functions, terms (hence types) are compared up to proposition unfolding and fixpoint unrolling. Still, for efficiency and predictability reasons, most form of automatic proof search employ a unification procedure that captures a simpler one, just  $\eta\beta$ , and that solves higher-order problems restricted to the pattern fragment  $\mathcal{L}_{\lambda}$  [9]. We call this unification procedure  $\simeq_o$ .

The equational theory of the meta language Elpi that we want to use to implement a form of proof automation is strikingly similar, since it it comprises  $\eta\beta$  (for the meta language functions), and the unification procedure  $\simeq_{\lambda}$  solves higher-order problems in  $\mathcal{L}_{\lambda}$ .

In spite of the similarity the link between  $\simeq_{\lambda}$  and  $\simeq_{o}$  is not trivial, since the abstraction and application term constructors the two unification procedures deal with are different. For example

One could ignore this similarity, and "just" describe the object language unification procedure in the meta language, that is crafting a unif predicate to be used as follows in rule (r3):

```
decision X :- unif X (all A x\ app[P, x]), finite A,
  pi x\ decision (app[P, x]).
```

This choice would underuse the logic programming engine provided by the metalanguage since by removing any datum from the head of rules indexing degenerates. Moreover the unification procedure built in the meta language is likely to be faster than one implemented in it, especially if the meta language is interpreted as Elpi is.

To state precisely the problem we solve we need a  $\mathcal{F}_0$  representation of DTT terms and a  $\mathcal{H}_0$  one. We call  $=_0$  the equality over ground terms in  $\mathcal{F}_0$ ,  $=_{\lambda}$  the equality over ground terms in  $\mathcal{H}_0$ ,  $\simeq_0$  the unification procedure we want to implement and  $\simeq_{\lambda}$  the one provided by the meta language. TODO extend  $=_0$  and  $=_{\lambda}$  with reflexivity on uvars.

We write  $t_1 \simeq_{\lambda} t_2 \mapsto \sigma$  when  $t_1$  and  $t_2$  unify with substitution  $\sigma$ ; we write  $\sigma t$  for the application of the substitution to t, and  $\sigma X = {\sigma t | t \in X}$  when X is a set; we write  $\sigma \subseteq \sigma'$  when  $\sigma$  is more general than  $\sigma'$ . We assume that the unification of our meta language is correct:

$$t_i \in \mathcal{L}_{\lambda} \Rightarrow t_1 \simeq_{\lambda} t_2 \mapsto \rho \Rightarrow \rho t_1 =_{\lambda} \rho t_2$$
 (1)

$$t_i \in \mathcal{L}_{\lambda} \Rightarrow \rho t_1 =_{\lambda} \rho t_2 \Rightarrow \exists \rho', t_1 \simeq_{\lambda} t_2 \mapsto \rho' \wedge \rho' \subseteq \rho$$
 (2)

We illustrate a compilation  $\langle s \rangle \mapsto (t, m, l)$  that maps a term s in  $\mathcal{F}_0$  to a term t in  $\mathcal{H}_0$ , a variable mapping m and list of links l. The variable map connects unification variables in  $\mathcal{H}_0$  with variables in  $\mathcal{F}_0$  and is used to "decompile" the assignment,  $\langle \sigma, m, l \rangle^{-1} \mapsto \rho$ . Links represent problematic sub-terms which are linked to the

fix300

unification variable that stands in their place in the compiled term. These links are checked for or progress XXX improve....

We represent a logic program run in  $\mathcal{F}_o$  as a list  $steps\ p$  of length  $\mathcal{N}$ . Each made of a unification problem between terms  $\mathcal{S}_{p_I}$  and  $\mathcal{S}_{p_r}$  taken from the set of all terms  $\mathcal{S}$ . The composition of these steps starting from the empty substitution  $\rho_0$  produces the final substitution  $\rho_{\mathcal{N}}$ . The initial here  $\rho_0$  is the empty substitution

$$\begin{split} \text{fstep}(\mathcal{S}, p, \rho) &\mapsto \rho^{\prime\prime} \stackrel{def}{=\!\!\!=} \rho \mathcal{S}_{p_l} \simeq_o \rho \mathcal{S}_{p_r} \mapsto \rho^{\prime} \wedge \rho^{\prime\prime} = \rho \cup \rho^{\prime} \\ \text{frun}(\mathcal{S}, \mathcal{N}) &\mapsto \rho_{\mathcal{N}} \stackrel{def}{=\!\!\!=} \bigwedge_{p=1}^{\mathcal{N}} \text{fstep}(\mathcal{S}, p, \rho_{p-1}) \mapsto \rho_p \end{split}$$

We simulate each run in  $\mathcal{F}_0$  with a run in  $\mathcal{H}_0$  as follows. Note that  $\sigma_0$  is the empty substitution.

$$\begin{split} \operatorname{hstep}(\mathcal{T}, p, \sigma, \mathbb{L}) &\mapsto (\sigma'', \mathbb{L}') \stackrel{def}{=} \\ \sigma \mathcal{T}_{p_{l}} &\simeq_{\lambda} \sigma \mathcal{T}_{p_{r}} \mapsto \sigma' \wedge \operatorname{progress}(\mathbb{L}, \sigma \cup \sigma') \mapsto (\mathbb{L}', \sigma'') \\ \operatorname{hrun}(\mathcal{S}, \mathcal{N}) &\mapsto \rho_{\mathcal{N}} \stackrel{def}{=} \\ \mathcal{T} &\times \mathbb{M} \times \mathbb{L}_{0} = \{(t_{j}, m_{j}, l_{j}) | s_{j} \in \mathcal{S}, \langle s_{j} \rangle \mapsto (t_{j}, m_{j}, l_{j})\} \\ &\wedge_{p=1}^{\mathcal{N}} \operatorname{hstep}(\mathcal{T}, p, \sigma_{p-1}, \mathbb{L}_{p-1}) \mapsto (\sigma_{p}, \mathbb{L}_{p}) \\ &\langle \sigma_{\mathcal{N}}, \mathbb{M}, \mathbb{L}_{\mathcal{N}} \rangle^{-1} \mapsto \rho_{\mathcal{N}} \end{split}$$

Here hstep is made of two sub-steps: a call to  $\approx_{\lambda}$  (on the compiled terms) and a call to progress on the set of links. We claim the following:

Proposition 2.1 (Simulation).  $\forall S, \forall N$ ,

$$frun(S, N) \mapsto \rho_N \Leftrightarrow hrun(S, N) \mapsto \rho_N$$

That is, the two executions give the same result. Moreover:

Proposition 2.2 (Simulation fidelity). In the context of hrun, if  $\mathcal{T} \subseteq \mathcal{L}_{\lambda}$  we have that  $\forall p \in 1 ... \mathcal{N}$ ,

$$\mathsf{fstep}(\mathcal{S}, p, \rho_{p-1}) \mapsto \rho_p \Leftrightarrow \mathsf{hstep}(\mathcal{T}, p, \sigma_{p-1}, \mathbb{L}) \mapsto (\sigma_p, \_)$$

In particular this property guarantees that a *failure* in the  $\mathcal{F}_o$  run is matched by a failure in  $\mathcal{H}_o$  at the same step. We consider this property very important from a practical point of view since it guarantees that the execution traces are strongly related and in turn this enables a user to debug a logic program in  $\mathcal{F}_o$  by looking at its execution trace in  $\mathcal{H}_o$ .

XXX permuting hrun does not change the final result if check dooes not fail eagerly

XXX if we want to apply heuristics, we can apply them in decomp to avoid committing to a non MGU too early

We can define  $s_1 \simeq_o s_2$  by specializing the code of hrun to  $S = \{s_1, s_2\}$  as follows:

$$s_{1} \simeq_{o} s_{2} \mapsto \rho \stackrel{def}{=}$$

$$\langle s_{1} \rangle \mapsto (t_{1}, m_{1}, l_{1}) \land \langle s_{2} \rangle \mapsto (t_{2}, m_{2}, l_{2})$$

$$t_{1} \simeq_{\lambda} t_{2} \mapsto \sigma' \land \text{progress} (\{l_{1}, l_{2}\}, \sigma') \mapsto (L, \sigma'') \land$$

$$\langle \sigma'', \{m_{1}, m_{2}\}, L \rangle^{-1} \mapsto \rho$$

Proposition 2.3 (Properties of  $\simeq_o$ ).

$$s_{i} \in \mathcal{L}_{\lambda} \Rightarrow s_{1} \simeq_{o} s_{2} \mapsto \rho \Rightarrow \rho s_{1} =_{o} \rho s_{2}(correct)$$

$$s_{i} \in \mathcal{L}_{\lambda} \Rightarrow \rho s_{1} =_{o} \rho s_{2} \Rightarrow \exists \rho', s_{1} \simeq_{o} s_{2} \mapsto \rho' \land \rho' \subseteq \rho(complete)$$

$$(4)$$

$$\rho s_1 =_{\rho} \rho s_2 \Rightarrow \rho' \subseteq \rho \Rightarrow \rho' s_i \in \mathcal{L}_{\lambda} \Rightarrow \rho' s_1 \simeq_{\rho} \rho' s_2 \tag{5}$$

Properties (correct) and (complete) state, respectively, that in  $\mathcal{L}_{\lambda}$  the implementation of  $\simeq_{o}$  is correct, complete and returns the most general unifier.

Property 2.1 states that  $\simeq_o$ , hence our compilation scheme, is resilient to unification problems outside  $\mathcal{L}_{\lambda}$  solved by a third party. We believe this property is of practical interest since we want the user to be able to add heuristics via hand written rules to the ones obtained by our compilation scheme. A Typical example is the following problem (q) that is outside  $\mathcal{L}_{\lambda}$ :

app [F, con"a"] = app[con"f", con"a", con"a"] 
$$(q)$$

$$F = lam x \land app[con"f",x,x]$$
 (h)

Instead of rejecting it our scheme accepts it and guarantees that if (h) is given (after the compilation part of the scheme, as a run time hint) then ...

#### 2.1 The intuition in a nutshell

A term s is compiled in a term t where every "problematic" sub term p is replaced by a fresh unification variable h and an accessory link that represent a suspended unification problem  $h \simeq_{\lambda} p$ . As a result  $\simeq_{\lambda}$  is "well behaved" on t, that is it does not contradict  $=_{0}$  as it would otherwise do on "problematic" terms. We now define "problematic" and "well behaved" more formally.

*Definition 2.4* (
$$\Diamond \eta$$
).  $\Diamond \eta = \{t \mid \exists \rho, \rho t \text{ is an eta expansion}\}\$ 

An example of term t in  $\Diamond \eta$  is  $\lambda x.\lambda y.F$  y x since the substitution  $\rho = \{F \mapsto \lambda a.\lambda b.fba\}$  makes  $\rho t = \lambda x.\lambda y.fxy$  that is the eta long form of f. This term is problematic since its rigid part, the  $\lambda$ -abstractions, cannot justify a unification failure against, say, a constant.

Definition 2.5 (
$$\Diamond \beta$$
).  $\Diamond \beta = \{Xt_1 \dots t_n \mid Xt_1 \dots t_n \notin \mathcal{L}_{\lambda}\}.$ 

An example of t in  $\Diamond \beta$  is Fa for a constant a. Note however tha an oracle could provide an assignment  $\rho = \{F \mapsto \lambda x.x\}$  that makes the resulting term fall outside of  $\Diamond \beta$ .

*Definition 2.6 (Subterms*  $\mathcal{P}(t)$ ). The set of sub terms of t is the largest set  $\mathcal{P}(\sqcup)$  that can be obtained by the following rules.

$$t \in \mathcal{P}(t)$$
  

$$t = ft_1 \dots t_n \Rightarrow \mathcal{P}(t_i) \subseteq \mathcal{P}(t) \land f \in \mathcal{P}(t)$$
  

$$t = \lambda x \cdot t' \Rightarrow \mathcal{P}(t') \subseteq \mathcal{P}(t)$$

We write  $\mathcal{P}(X) = \bigcup_{t \in X} \mathcal{P}(t)$  when *X* is a set of terms.

Definition 2.7 (Well behaved set). Given a set of terms  $X \subseteq \mathcal{H}_0$ ,

$$W(X) \Leftrightarrow \forall t \in \mathcal{P}(X), t \notin (\Diamond \beta \cup \Diamond \eta)$$

Proposition 2.8 (*W*-preservation).  $\forall \mathcal{T}, \forall \mathbb{L}, \forall p, \forall \sigma, \forall \sigma'$ 

$$\mathcal{W}(\sigma\mathcal{T}) \wedge \text{hstep}(\mathcal{T}, p, \sigma, \mathbb{L}) \mapsto (\sigma', \Rightarrow) \mathcal{W}(\sigma'\mathcal{T})$$

<sup>&</sup>lt;sup>1</sup>If the same rule is used multiple time in a run we just consider as many copies as needed of the terms composing the rules, with fresh unification variables each time

is new

used?

A less formal way to state 2.8 is that hstep never "commits" an unneeded  $\lambda$ -abstraction in  $\sigma$  (a  $\lambda$  that could be erased by an  $\eta$ -contraction), nor puts in  $\sigma$  a flexible application outside  $\mathcal{L}_{\lambda}$  (an application node that could be erased by a  $\beta$ -reduction).

Note that proposition 2.8 does not hold for  $\simeq_0$  since decompilation can introduce (actually restore) terms in  $\diamond \eta$  or  $\diamond \beta$  that were move out of the way (put in  $\mathbb L$ ) during compilation.

# 3 ALTERNATIVE ENCODINGS AND RELATED WORK

Paper [2] introduces semi-shallow.

Our encoding of DTT may look "semi shallow" since we use the meta-language lambda abstraction but not its application (for the terms of type tm). A fully shallow encoding unfortunately does not fit our use case, although it would make the running example work:

```
finite (fin N). decision (nfact N NF). decision (all A \times P \times) :- finite A, pi \times decision (P \times).
```

There are two reasons for dismissing this encoding. The first one is that in DTT it is not always possible to adopt it since the type system of the meta language is too weak to accommodate terms with a variable arity, like the following example:

```
Fixpoint arr T n := if n is S m then T -> arr T m else T. Definition sum n := arr nat n := ... Check sum 2 7 8 : nat. Check sum 3 7 8 9 : nat.
```

The second reason is the encoding for Coq is used for meta programming the system, hence it must accommodate the manipulation of terms that are now know in advance (not even defined in Coq) without using introspection primitives such as Prologs's functor and arg.

In the literature we could find a few related encoding of DTT. TODO In [4] is related and make the discrepancy between the types of ML and DTT visible. In this case one needs 4 application nodes. Moreover the objective is an encoding of terms, proofs, not proof search. Also note the conv predicate, akin to the unif we rule out.

TODO This other paper [15] should also be cited.

None of the encodings above provide a solution to our problem.

## 4 PRELIMINARIES: $\mathcal{F}_o$ AND $\mathcal{H}_o$

In order to reason about unification we provide a description of the  $\mathcal{F}_0$  and  $\mathcal{H}_0$  languages where unification variables are first class terms, i.e. they have a concrete syntax. We keep these languages minimal, for example, we omit the all quantifier of DTT we used in the example in Section 1 together with the type notation of terms carried by the lam constructor.

```
kind fm type.
type fapp list fm -> fm.
type flam (fm -> fm) -> fm.
type fcon string -> fm.
type fuva addr -> fm.
type fuva addr -> fm.
type uva addr -> list tm -> tm.
type fuva addr -> fm.
```

Figure 1: The  $\mathcal{F}_o$  and  $\mathcal{H}_o$  languages

Unification variables (fuva term constructor) in  $\mathcal{F}_0$  have no explicit scope: the arguments of an higher order variable are given via

the fapp constructor. For example the term  $P \times is$  represented as fapp[fuva N, x], where N is a memory address and x is a bound variable.

In  $\mathcal{H}_o$  the representation of P x is instead uva N [x], since unification variables come equipped with an explicit scope. We say that the unification variable occurrence uva N L is in  $\mathcal{L}_\lambda$  if and only if L is made of distinct names. The predicate to test this condition is called pattern-fragment:

```
type pattern-fragment list A -> o.
```

The name builtin predicate tests if a term is a bound variable. <sup>2</sup>

In both languages unification variables are identified by a natural number representing a memory address. The memory and its associated operations are described below:

```
kind addr type.
type addr nat -> addr.
typeabbrev (mem A) (list (option A)).

type set? addr -> mem A -> A -> o.
type unset? addr -> mem A -> o.
type assign addr -> mem A -> A -> mem A -> o.
type new mem A -> addr -> mem A -> o.
```

If a memory cell is none, then the corresponding unification variable is not set. assign sets an unset cell to the given value, while new finds the first unused address and sets it to none.

Since in  $\mathcal{H}_0$  unification variables have a scope, their solution needs to be abstracted over it to enable the instantiation of a single solution to different scopes. This is obtained via the inctx container, and in particular via its abs binding constructor. On the contrary a solution to a  $\mathcal{F}_0$  variable is a plain term.

```
typeabbrev fsubst (mem fm).
kind inctx type -> type.
type abs (tm -> inctx A) -> inctx A.
type val A -> inctx A.
typeabbrev assignment (inctx tm).
typeabbrev subst (mem assignment).
```

We call fsubst the memory of  $\mathcal{F}_0$ , while we call subst the one of  $\mathcal{H}_0$ . Both have the invariant that they are not cyclic, TODO explain. Other invariant: the terms in ho\_subst never contains eta and beta expansion

```
kind arity type.
type arity nat -> arity.

kind fvariable type.
type fv addr -> fvariable.

kind hvariable type.
type hv addr -> arity -> hvariable.

kind mapping type.
type mapping fvariable -> hvariable -> mapping.
typeabbrev mmap (list mapping).
```

 $<sup>^{2}</sup>$ one could always load name x for every x under a pi and get rid of the name builtin

Invariant 1 (Unification variable arity). Each variable A in  $\mathcal{H}_o$  has a (unique) arity N and each occurrence (uva A L) is such that (len L N) holds

The compiler establishes a mapping between variables of the two languages. In order to preserve invariant 1 we store the arity of each hvariable in the mapping and we reuse an existing mapping only if the arity matches.

TODO: add ref to section 7

is this

theo1

right

 $seg_{\overline{0}3}$ 

tion?

When a single fvariable occurs multiple times with different numbers of arguments the compiler generates multiple mappings for it, on a first approximation, and then makes the mapping bijective by introducing  $link-\eta$ ; this detail is discussed in section 6.

As we mentioned in section 2.1 the compiler replaces terms in  $\Diamond \beta$  and  $\Diamond \beta$  with fresh variables linked to the problematic terms. Each class of problematic terms has a dedicated link.

```
kind baselink type.
type link-eta tm -> tm -> baselink.
type link-beta tm -> tm -> baselink.
typeabbrev link (inctx baselink).
typeabbrev links (list link).
```

The right hand side of a link, the problematic term, can occur under binders. To accommodate this situation the compiler wraps baselink using the inctx container.

Invariant 2 (Link left hand side of a new link is a variable.

If the variable is assigned during a run the link is considered for progress and possibly eliminated. This is discussed in section 6.

## 4.1 Notational conventions

When we write  $\mathcal{H}_0$  terms outside code blocks we follow the usual  $\lambda$ -calculus notation, reserving f,g,a,b for constants, x,y,z for bound variables and X,Y,Z,F,G,H for unification variables. However we need to distinguish between the "application" of a unification variable to its scope and the application of a term to a list of arguments. We write the scope of unification variables in subscript while we use juxtaposition for regular application. Here a few examples:

```
\begin{array}{lll} f \ a & & \operatorname{app[con "f", \ con "a"]} \\ \lambda x.F_{X} \ a & & \operatorname{lam \ x\ app[uva \ F \ [x], \ con "a"]} \\ \lambda x.\lambda y.F_{X} \ y & & \operatorname{lam \ x\ lam \ y\ uva \ F \ [x, \ y]} \\ \lambda x.F_{X} \ x & & \operatorname{lam \ x\ app[uva \ F \ [x], \ x]} \end{array}
```

When detailing examples we write links as equations between terms under a context. The equality sign is subscripted with kind of baselink. For example  $x \vdash A =_{\beta} F_x$  a corresponds to:

```
abs x\ val (link-beta (uva A []) (app[uva F [x],con "a"]))
```

When it is clear from the context we shall use the same syntax for  $\mathcal{F}_0$  terms (although we never subscripts unification variables).

## 4.2 Equational theory and Unification

In order to express properties ?? we need to equip  $\mathcal{F}_o$  and  $\mathcal{H}_o$  with term equality, substitution application and unification.

Term equality:  $=_o vs. =_{\lambda}$ . We extend the equational theory over ground terms to the full languages by adding the reflexivity of unification variables (a variable is equal to itself).

The first four rules are common to both equalities and correspond to  $\alpha$ -equivalence. In addition to that  $=_o$  has rules for  $\eta$  and  $\beta$ -equivalence.

```
type (=_o) fm -> fm -> o.
                                                                       (=_o)
fcon X =_o fcon X.
fapp A =_o fapp B := forall2 (=_o) A B.
flam F =_o flam G := pi x \setminus x =_o x => F x =_o G x.
fuva N =_o fuva N.
\mathsf{flam} \ \mathsf{F} \ =_o \ \mathsf{T} \ :\text{-}
                                                                       (\eta_l)
  pi x \land beta T [x] (T' x), x =_o x \Rightarrow F x =_o T' x.
T =_o flam F :=
                                                                       (\eta_r)
  pi x \land beta T [x] (T' x), x =_o x \Rightarrow T' x =_o F x.
fapp [flam X | L] = T: beta (flam X) L R, R = T. (\beta_l)
T =_o fapp [flam X | L] :- beta (flam X) L R, T =_o R. (\beta_r)
type (=_{\lambda}) tm -> tm -> o.
con C =_{\lambda} fcon C.
app A = \lambda fapp B :- forall2 (= \lambda) A B.
lam F =_{\lambda} flam G := pi x \ x =_{\lambda} x => F x =_{\lambda} G x.
uva N A =_{\lambda} fuva N B :- forall2 (=_{\lambda}) A B.
```

The main point in showing these equality tests is to remark how weaker  $=_{\lambda}$  is, and to identify the four rules that need special treatment in the implementation of  $\simeq_{\lambda}$ .

For reference, (beta T A R) reduces away lam nodes in head position in T whenever the list A provides a corresponding argument.

```
type beta fm -> list fm -> o.
beta A [] A.
beta (flam Bo) [H | L] R :- beta (Bo H) L R.
beta (fapp A) L (fapp X) :- append A L X.
beta (fuva N) L (fapp [fuva N | L]).
beta (fcon H) L (fapp [fcon H | L]).
beta N L (fapp [N | L]) :- name N.
```

The name predicate holds only on nominal constants (i.e. bound variables). Elpi provides it as a builtin, but one could implement it by systematically loading the hypothetical rule name  $\ x$  every time a nominal constant is postulated via pi  $\ x \$ .

Substitution application:  $\rho s$  and  $\sigma t$ . Applying the substitution corresponds to dereferencing a term with respect to the memory. To ease the comparison we split  $\mathcal{F}_0$  dereferencing into a fder step and a napp one. The former step replaces references to memory cells that are set with their values, and has a corresponding operation in  $\mathcal{H}_0$ , namely deref. On the contrary napp, in charge of "flattening" fapp nodes, has no corresponding operation in  $\mathcal{H}_0$ . The reasons for this asymmetry is that an fapp node with a flexible head is always mapped to a uva (as per sections ??), preventing nested applications to materialize.

```
type fder fsubst -> fm -> o.
fder _ (fcon C) (fcon C).
```

explain

better

```
fder S (fapp A) (fapp B) :- map (fder S) A B.
fder S (flam F) (flam G) :-
  pi x \land fder S x x \Rightarrow fder S (F x) (G x).
fder S (fuva N) R :- set? N S T, fder S T R.
fder S (fuva N) (fuva N) :- unset? N S.
type fderef fsubst -> fm -> fm -> o.
                                                          (\rho s)
fderef S T T2: - fder S T T1, napp T1 T2.
type napp fm \rightarrow fm \rightarrow o.
napp (fcon C) (fcon C).
napp (fuva A) (fuva A).
napp (flam F) (flam F1) :-
  pi x \rightarrow pi x = napp (F x) (F1 x).
napp (fapp [fapp L1 |L2]) T :- !,
  append L1 L2 L3, napp (fapp L3) T.
napp (fapp L) (fapp L1) :- map napp L L1.
```

Note that the cut operator is inessential, it could be removed at the cost of a verbose test on the head of L in the last rule (L head can be fcon, flam or a name).

Applying the substitution in  $\mathcal{H}_o$  is very similar, with the caveat that assignments have to be moved to the current scope, i.e. renaming the abs-bound variables with the names in the scope of the unification variable occurrence.

Note that move strongly relies on invariant 1: the length of the arguments of all occurrences of a unification variable and the number of abstractions in its assignment have to match. In turn this grants that move never fails.

```
type move assignment -> list tm -> tm -> o. move (abs Bo) [H|L] R :- move (Bo H) L R. move (val A) [] A.
```

Term unification:  $\simeq_0 vs. \simeq_\lambda$ . In this paper we assume to have an implementation of  $\simeq_\lambda$  that satisfies properties 1 and 2. Although we provide an implementation in the appendix (that we used for testing purposes) we only describe its signature here. Elpi is expected to provide this brick, as well as any other implementation of λProlog.

```
type (\simeq_{\lambda}) tm -> tm -> subst -> o.
```

The only detail worth discussing is the fact that the procedure updates a substitution, rather than just crafting one as presented in section 2. The reason is that the algorithm folds over a term, updating a substitution while it traverses it.

## 5 BASIC SIMULATION OF $\mathcal{F}_0$ IN $\mathcal{H}_0$

In this section we describe a basic compilation scheme that we refine later, in the following sections. This scheme is sufficient to implement an  $\simeq_0$  that respects  $\beta$ -conversion for terms in  $\mathcal{L}_{\lambda}$ . The extension to  $\eta\beta$ -conversion is described in Section 6 and the support for terms outside  $\mathcal{L}_{\lambda}$  in Section 8.

## 5.1 Compilation

The main task of the compiler is to recognize  $\mathcal{F}_o$  variables standing for functions and map them to higher order variables in  $\mathcal{H}_o$ . In order to bring back the substitution from  $\mathcal{H}_o$  to  $\mathcal{F}_o$  the compiler builds a "memory map" connecting the the kind of variables using routine (*malloc*).

The signature of the comp predicate below allows for the generation of links (suspended unification problems) that play no role in this section but play a major role in Sections 6 and 8. With respect to 2 the signature also allows for updates to the substitution. The code below only allocates space for the variables, i.e. sets their memory address to none, a details not worth mentioning in the previous discussion

```
type comp fm -> tm -> mmap -> mmap -> links -> links ->
    subst -> subst -> o.
comp (fcon C) (con C) M M L L S S.
comp (flam F) (lam F1) M1 M2 L1 L2 S1 S2 :-
    comp-lam F F1 M1 M2 L1 L2 S1 S2.
comp (fuva A) (uva B []) M1 M2 L L S1 S2 :-
    m-alloc (fv A) (hv B (arity z)) M1 M2 S1 S2.
comp (fapp [fuva A|Ag]) (uva B Ag1) M1 M2 L L S1 S2 :-
    pattern-fragment Ag, !,
    fold6 comp Ag Ag1 M1 M1 L L S1 S1,
    len Ag Arity,
    m-alloc (fv A) (hv B (arity Arity)) M1 M2 S1 S2.
comp (fapp A) (app A1) M1 M2 L1 L2 S1 S2 :-
    fold6 comp A A1 M1 M2 L1 L2 S1 S2.
```

This preliminary version of comp recognizes  $\mathcal{F}_o$  variables applied to a (possibly empty) duplicate free list of names (i.e. pattern-fragment detects variables in  $\mathcal{L}_{\lambda}$ ). Note tha compiling Ag cannot create new mappings nor links, since Ag is made of bound variables and the hypothetical rule loaded by comp-lam (see below) grants this property.

```
type comp-lam (fm -> fm) -> (tm -> tm) ->
    mmap -> mmap -> links -> links -> subst -> o.
comp-lam F G M1 M2 L1 L3 S1 S2 :-
    pi x y\ (pi M L S\ comp x y M M L L S S) =>
        comp (F x) (G y) M1 M2 L1 (L2 y) S1 S2,
    close-links L2 L3.
```

In the code above the syntax  $pi \times y \setminus ...$  is syntactic sugar for iterated pi abstraction, as in  $pi \times pi y \setminus ...$ 

The auxiliary function close-links tests if the bound variable  $\nu$  really occurs in the link. If it is the case the link is wrapped into an additional abs node binding  $\nu$ . In this way links generated deep inside the compiled terms can be moved outside their original context of binders.

```
type close-links (tm -> links) -> links -> o.
close-links (_\[]) [].
close-links (v\[X |L v]) [X|R] :- !, close-links L R.
close-links (v\[X v|L v]) [abs X|R] :- close-links L R.
```

manca betas noras mal<sub>7</sub> in 64entrata

Note that we could remove the second rule, whose purpose is to make links more readable by pruning unneeded abstractions (unused context entries).

#### 5.2 Execution

XXX links are update unlike section 2

```
type hstep tm -> tm -> links -> links -> subst -> o. hstep T1 T2 L1 L2 S1 S3 :- (T1 \simeq_{\lambda} T2) S1 S2, progress L1 L2 S2 S3. TODOD: discuss signature of progress type progress links -> links -> subst -> o. progress L0 L2 S1 S3 :- deref-links S1 L0 L,
```

progress1 L L1 S1 S2, !, occur-check-links S2 L1, if (L = L1, S1 = S2) (L2 = L1, S3 = S1) (progress L1 L2 S2 S3).

Note thar ((A  $\simeq_{\lambda}$  B) C D) is syntactic sugar for (( $\simeq_{\lambda}$  ) A B C D). TODO: why it terminates

## 5.3 Substitution decompilation

```
type decompile mmap -> links -> subst ->
  fsubst -> fsubst -> o.
decompile M1 L S F1 F3 :-
  commit-links L S S1,
 complete-mapping S1 S1 M1 M2 F1 F2,
  decompm M2 M2 S1 F2 F3.
type decompm mmap -> mmap -> subst -> fsubst -> fsubst -> o.
decompm _ [] _ F F.
decompm M [mapping (fv V) (hv H _) | MS] S F1 F3 :- set? H S A,
 deref-assmt S A A1,
  abs->lam A1 T, decomp M T T1,
 eta-contract T1 T2,
 assign V F1 T2 F2,
  decompm M MS S F2 F3.
decompm M [mapping _ (hv H _)|MS] S F1 F2 :- unset? H S,
  decompm M MS S F1 F2.
type decomp mmap \rightarrow tm \rightarrow fm \rightarrow o.
decomp _ (con C) (fcon C).
decomp M (app A) R :- map (decomp M) A [H|Ag], beta H Ag R.
decomp M (lam F) (flam G) :-
 pi \times y \setminus (pi M \setminus decomp M \times y) \Rightarrow decomp M (F x) (G y).
decomp M (uva Hv Ag) R :-
 mem M (mapping (fv Fv) (hv Hv _)),
 map (decomp M) Ag Bg,
 beta (fuva Fv) Bg R.
```

## 5.4 Definition of $\simeq_o$ and its properties

```
type (\simeq_o) fm -> fm -> fsubst -> o. 
 (A \simeq_o B) F :- comp A A' [] M1 [] [] [] S1,
```

```
comp B B' M1 M2 [] [] S1 S2,
hstep A' B' [] [] S2 S3,
decompm M2 M2 S3 [] F.
```

The code given so far applies to terms in  $\beta\eta$ -normal form where unification variables in  $\mathcal{F}_0$  can occur non linearly but always with the same number of arguments, and where their arguments are distinct names (as per  $\mathcal{L}_{\lambda}$ ).

```
Lemma 5.1 (Compilation round trip). If comp s t [] m [] _ [] _ then decomp m t s
```

PROOF SKETCH. trivial, since the terms are beta normal beta just builds an app.

Lemma 5.2. Properties (correct) and (complete) hold for the implementation of  $\simeq_0$  above

Proof sketch. In this setting  $=_{\lambda}$  is as strong as  $=_{o}$  on ground terms. What we have to show is that whenever two different  $\mathcal{F}_{0}$  terms can be made equal by a substitution  $\rho$  (plus the  $\beta_{l}$  and  $\beta_{r}$  if needed) we can find this  $\rho$  by finding a  $\sigma$  via  $\cong_{\lambda}$  on the corresponding  $\mathcal{H}_{0}$  terms and by decompiling it. If we look at the  $\mathcal{F}_{0}$  terms, the are two interesting cases:

- fuva  $X \simeq_o$  s. In this case after comp we have  $Y \simeq_{\lambda} t$  that succeeds with  $\sigma = \{Y \mapsto t\}$  and  $\sigma$  is decompiled to  $\rho = \{Y \mapsto s\}$ .
- fall[fuva X|L]  $\simeq_o$  s. In this case we have  $Y_{\vec{x}} \simeq_{\lambda} t$  that succeeds with  $\sigma = \{\vec{y} \vdash Y \mapsto t[\vec{x}/\vec{y}]\}$  that in turn is decompiled to  $\rho = \{Y \mapsto \lambda \vec{y}.s[\vec{x}/\vec{y}]\}$ . Thanks to  $\beta_l \ (\lambda \vec{y}.s[\vec{x}/\vec{y}]) \ \vec{x} =_o$ \* Foreach VM not mapped to OL, we build a link

Since the mapping is a bijection occur check in  $\mathcal{H}_o$  corresponds to occur check in  $\mathcal{F}_o$ .

LEMMA 5.3. Properties simulation (2.1) and fidelity (2.2) hold

PROOF SKETCH. Since progress1 is trivial fstep and hstep are the same, that is in this context where input terms are  $\beta\eta$ -normal and we disregard  $\eta$ -equivalence  $\simeq_{\lambda}$  is equivalent to  $\simeq_{o}$ .

## 5.5 Limitations of by this basic scheme

$$\lambda x y F y x = \lambda x y x \tag{6}$$

$$\lambda x. f(Fx) x = f(\lambda y. y)$$
 (7)

Note that here F is used with different arities, moreover in the second problem the left hand side happens to be an eta expansion (of  $f(\lambda y.y.)$ ) only after we discover that  $F = \lambda x \lambda y.y$  (i.e. that F discards the x argument). Both problems are addressed in the next section.

## 6 HANDLING OF $\Diamond \eta$

Even though the unification process explained in the previous sections is able to solve a large number of unification problems, it remains still incomplete: W is only a subset of terms in  $\mathcal{H}_o$ . In order to capture all the unification properties of  $=_o$ , we need ad-hoc compilation strategies over those subterms that have been defined as "problematic".

#### 6.1 Compilation

#### 6.2 Progress

```
comp (flam F) (uva A Scope) M1 M2 L1 L3 S1 S3 :-
  (pi x\ maybe-eta\ x\ (F\ x)\ [x]), !,
    alloc S1 A S2,
    comp-lam F F1 M1 M2 L1 L2 S2 S3,
    get-scope (lam F1) Scope,
    L3 = [@val-link-eta (uva A Scope) (lam F1)| L2].
and aux
%% x occurs rigidly in t iff \forall \sigma, \forall t', t' =_o \sigma t \Rightarrow x \in \mathcal{P}(t')
type occurs-rigidly fm -> fm -> o.
occurs-rigidly N N.
occurs-rigidly _ (fapp [fuva _|_]) :- !, fail.
occurs-rigidly N (fapp L) :- exists (occurs-rigidly N) L.
occurs-rigidly N (flam B) :- pi x\ occurs-rigidly N (B x).
/* maybe-eta N T L succeeds iff T could be an eta expasions for
%% is \exists \sigma, \sigma(\lambda n.t) = \lambda n.t'n and n
\%\% does not occur rigidly in t'
type maybe-eta fm -> fm -> list fm -> o.
maybe-eta N (fapp[fuva _|Args]) _ :- !,
  exists (x\ maybe-eta-of [] N x) Args, !.
maybe-eta N (flam B) L :- !, pi \times maybe-eta N (B x) [x | L].
maybe-eta _ (fapp [fcon _|Args]) L :-
  split-last-n {len L} Args First Last,
  forall1 (x\ forall1 (y\ not (occurs-rigidly x y)) First) L,
  forall2 (maybe-eta-of []) {rev L} Last.
```

```
%% is ∃σ, σt = o n
type maybe-eta-of list fm -> fm -> fm -> o.
maybe-eta-of _ N N :- !.
maybe-eta-of L N (fapp[fuva _|Args]) :- !,
  forall1 (x\ exists (maybe-eta-of [] x) Args) [N|L].
maybe-eta-of L N (flam B) :- !,
  pi x\ maybe-eta-of [x | L] N (B x).
maybe-eta-of L N (fapp [N|Args]) :-
last-n {len L} Args R,
  forall2 (maybe-eta-of []) R {rev L}.
```

TODO: The following goal necessita v1 (lo scope è usato):  $X = lam x \setminus lam y \setminus Y y x$ ,  $X = lam x \setminus f$ TODO: The snd unif pb, we have to unif  $lam x \setminus lam y \setminus Y x y$  with  $lam x \setminus f$ TODO: It is not doable, with the same elpi var

Invarianti: A destra della eta abbiamo sempre un termine che comincia per  $\lambda x.bla$ 

```
Altrimenti il link sarebbe stato risolto!!

- dopo l'unificazione rimane un link [variabile] → [etaX]

- nella progress-eta, se a sx abbiamo una constante o

- un'app, allora eta-espandiamo
di uno per poter unificare con il termine di dx.
```

#### 7 ENFORCING INVARIANT 1

Deduplicate mapping code etc...

## 8 HANDLING OF $\Diamond \beta$

 $\beta$ -reduction problems  $(\Diamond \beta)$  appears any time we deal with a subterm  $t = Xt_1 \dots t_n$ , where X is flexible and the list  $[t_1 \dots t_n]$  in not in  $\mathcal{L}_{\lambda}$ . This unification problem is not solvable without loss of generality, since there is not a most general unifier. If we take back the example given in section 2.1, the unification Fa = a admits two solutions for  $F: \rho_1 = \{F \mapsto \lambda x.x\}$  and  $\rho_2 = \{F \mapsto \lambda_-a\}$ . Despite this, it is possible to work with  $\Diamond \beta$  if an oracle provides a substitution  $\rho$  such that  $\rho t$  falls again in the  $\mathcal{L}_{\lambda}$ .

N,  $Q_{\text{Ma}}$ the other hand, the  $\simeq_{\lambda}$  is not designed to understand how the  $\beta$ -redexes work in the object language. Therefore, even if we know that F is assigned to  $\lambda x.x$ ,  $\simeq_{\lambda}$  is not able to unify Fa with a. On the other hand, the problem Fa = G is solvable by  $\simeq_{\lambda}$ , but the final result is that G is assigned to  $(\lambda x.x)a$  which breaks the invariant saying that the substitution of the meta language does not generate terms outide W (Property 2.8).

The solution to this problem is to modify the compiler such that any sub-term t considered as a potential  $\beta$ -redex is replaced with a hole h and a new dedicated link, called link- $\beta$ .

```
type link-beta tm -> tm -> link.
```

This link carries two terms, the former representing the variable h for the new created hole and the latter containing the subterm t. As for the link- $\eta$ , we will call h and t respectively the left hand side (lhs) and the right hand side (rhs) of the link- $\beta$ .

## 8.1 Compilation

In order to build a link- $\beta$ , we need to adapt the compiler so that it can recognize these "problematic" subterms. The following code snippet illustrate such behavior, we suppose the rule to be added just after ??.

A term is  $\Diamond \beta$  if it has the shape fapp[fuva A|Ag] and distinct Ag does not hold. In that case, Ag is split in two sublist Pf and Extra such that former is the longest prefix of Ag such that distinct Pf holds. Extra is the list such that append Pf Extra Ag. Next important step is to compile recursively the terms of these lists and allocate a memory adress B from the substitution in order to map the  $\mathcal{F}_0$  variable

fuva A to the  $\mathcal{H}_o$  variable uva B. The link- $\beta$  to return in the end is given by the term Beta = app[uva B Scope1 | Extra1] constituting the rhs, and a fresh variable C having in scope all the free variables occurring in Beta (this is lhs). We point out that the rhs is intentionally built as an uva where Extra1 are not in scope, since by invariant, we want all the variables appearing in  $\mathcal{H}_o$  to be in  $\mathcal{L}_{\lambda}$ .

#### 8.2 Progress

Once created, there exist two main situations waking up a suspended link- $\beta$ . The former is strictly connected to the definition of  $\beta$ -redex and occurs when the head of rhs is materialized by the oracle (see proposition 2.1). In this case rhs is safely  $\beta$ -reduced to a new term t' and the result can be unified with lhs. In this scenario the link- $\beta$  has accomplished its goal and can be removed from  $\mathbb{L}$ .

The second circumstance making the  $link-\beta$  to progress is the instantiation of the variables in the Extra1 making the corresponding arguments to reduce to names. In this case, we want to take the list Scope1 and append to it the largest prefix of Extra1 in a new variable Scope2 such that Scope2 remains in  $\mathcal{L}_{\lambda}$ ; we call Extra2 the suffix of Extra1 such that the concatenation of Scope1 and Extra1 is the same as the concatenation of Scope2 and Extra2. Finally, two cases should be considered: 1) Extra2 is the empty list, lhs and rhs can be unified: we have two terms in  $\mathcal{L}_{\lambda}$ ; otherwise 2) the  $link-\beta$  in question is replaced with a refined version where the rhs is app[uva C Scope2 | Extra2] and a new  $link-\eta$  is added between the lhs and the new-added variable C.

An example justifying this second link manipulation is given by the following unification problem:

$$f = flam x \land fapp[F, fapp[A, x]].$$

The compilation of these terms produces the new unification problem: f = X0

We obtain the mappings  $F \mapsto \mathbf{F}^0$ ,  $A \mapsto \mathbf{A}^1$  and the links:

$$c0 \vdash X3_{c0} =_{\beta} X2 X1_{c0} \tag{8}$$

$$+X0 =_{\eta} \lambda c 0.X3_{c0} \tag{9}$$

where the first link is a link- $\eta$  between the variable X0, representing the right side of the unification problem (it is a  $\Diamond \eta$ ) and X3; and a link- $\beta$  between the variable X3 and the subterm  $\lambda x.X1_X$  a (it is a  $\Diamond \beta$ ). The substitution tells that  $x \vdash X1_X = x$ .

We can now represent the hrun execution from this configuration which will, at first, dereference all the links, and then try to solve them. The only link being modified is the second one, which is set to  $x \vdash X3 =_{\beta} X2xa$ . The rhs of the link has now a variable which is partially in the PF, we can therefore remove the original  $link-\beta$  and replace it with the following couple on links:

$$\vdash$$
 X1 = $\eta$ = x\ `X4 x'  
x  $\vdash$  X3 x = $\beta$ = x\ `X4 x' a

By these links we say that X1 is now  $\eta$ -linked to a fresh variable X4 with arity one. This new variable is used in the new link- $\beta$  where the name x is in its scope. This allows

#### 8.3 Tricky examples

```
triple ok (@lam x\ @app[@f, @app[@X, x]]) @Y,
triple ok @X (@lam x\ x),
triple ok @Y @f
```

```
% @okl 22 [
% triple ok (@lam x\ @lam y\ @app[@Y, y, x]) @X,
% triple ok (@lam x\ @f) @X,
% ].
```

#### 9 FIRST ORDER APPROXIMATION

**TODO:** Coq can solve this: f 1 2 = X 2, by setting X to f 1 **TODO:** We can re-use part of the algo for  $\beta$  given before

#### 10 UNIF ENCODING IN REAL LIFE

TODO: Il ML presentato qui è esattamente elpi TODO: Il OL presentato qui è esattamente coq TODO: Come implementatiamo tutto ciò nel solver

#### 11 RESULTS: STDPP AND TLC

TODO: How may rule are we solving?
TODO: Can we do some perf test

#### 12 CONCLUSION

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#### HO unification from object language to meta language **APPENDIX** 1161 1162 This appendix contains the entire code described in this paper. The 1163 code can also be accessed at the URL: https://github.com/FissoreD/ 1165 Note that (a infix b) c d de-sugars to (infix) a b c d. Explain builtin name (can be implemented by loading name after each pi) 1168 1169 13 THE MEMORY 1170 kind addr type. type addr nat -> addr. typeabbrev (mem A) (list (option A)). 1173 1174 type set? addr -> mem A -> A -> o. 1175 set? (addr A) Mem Val :- get A Mem Val. 1176 1177 type unset? addr -> mem A -> o. 1178 unset? Addr Mem :- not (set? Addr Mem \_). 1179 1180 type assign-aux nat -> mem A -> A -> mem A -> o. 1181 assign-aux z (none :: L) Y (some Y :: L). 1182 assign-aux (s N) (X :: L) Y (X :: L1) :- assign-aux N L Y L1. 1183 type assign addr -> mem A -> A -> mem A -> o. assign (addr A) Mem1 Val Mem2 :- assign-aux A Mem1 Val Mem2. 1186 type get nat -> mem A -> A -> o. 1188 get z (some Y :: \_) Y. 1189 get (s N) (\_ :: L) X :- get N L X. 1190 type alloc-aux nat -> mem A -> mem A -> o. 1192

```
alloc-aux z [] [none] :- !.
```

alloc-aux z L L. 1194 alloc-aux (s N) [] [none | M] :- alloc-aux N [] M. 1195

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alloc-aux (s N) [X | L] [X | M] :- alloc-aux N L M. type alloc addr -> mem A -> mem A -> o.

alloc (addr A as Ad) Mem1 Mem2 :- unset? Ad Mem1, alloc-aux A Mem1 Mem2.

type new-aux mem A -> nat -> mem A -> o. new-aux [] z [none]. new-aux [A | As] (s N) [A | Bs] :- new-aux As N Bs.

type new mem A -> addr -> mem A -> o. new Mem1 (addr Ad) Mem2 :- new-aux Mem1 Ad Mem2.

## 14 THE OBJECT LANGUAGE

```
kind fm type.
type fapp list fm -> fm.
type flam (fm -> fm) -> fm.
type fcon string -> fm.
type fuva addr -> fm.
typeabbrev fsubst (mem fm).
```

```
type fder fsubst -> fm -> o.
                                                                        1220
                                                                       1221
fder _ (fcon C) (fcon C).
fder S (fapp A) (fapp B) :- map (fder S) A B.
fder S (flam F) (flam G) :-
  pi x \land fder S x x \Rightarrow fder S (F x) (G x).
                                                                        1224
fder S (fuva N) R :- set? N S T, fder S T R.
                                                                        1225
fder S (fuva N) (fuva N) :- unset? N S.
                                                                        1226
                                                                        1227
type fderef fsubst -> fm -> o.
                                                            (\rho s)
fderef S T T2: - fder S T T1, napp T1 T2.
type napp fm \rightarrow fm \rightarrow o.
                                                                        1231
napp (fcon C) (fcon C).
                                                                        1232
napp (fuva A) (fuva A).
                                                                        1233
napp (flam F) (flam F1) :-
                                                                        1234
  pi x \rightarrow pi x = napp (F x) (F1 x).
                                                                        1235
napp (fapp [fapp L1 |L2]) T :- !,
                                                                        1236
  append L1 L2 L3, napp (fapp L3) T.
                                                                        1237
napp (fapp L) (fapp L1) :- map napp L L1.
                                                                        1238
                                                                        1239
type (=_{o}) fm \rightarrow fm \rightarrow o.
                                                            (=_{o})
                                                                        1240
fcon X =_{o} fcon X.
                                                                        1241
fapp A =_{o} fapp B := forall2 (=_{o}) A B.
                                                                        1242
flam F =_o flam G := pi x \setminus x =_o x \Rightarrow F x =_o G x.
                                                                        1244
fuva N =_{\alpha} fuva N.
flam F =_o T :=
                                                                        1245
                                                            (\eta_l)
  pi x\ beta T [x] (T' x), x =_o x \Rightarrow F x =_o T' x.
                                                                        1246
T =_{o} flam F :=
                                                                        1247
                                                            (\eta_r)
  pi x\ beta T [x] (T' x), x =_o x \Rightarrow T' x =_o F x.
                                                                        1248
fapp [flam X | L] = T: beta (flam X) L R, R = T. (\beta_I)
T =_o fapp [flam X | L] :- beta (flam X) L R, T =_o R. (\beta_r)
                                                                        1250
                                                                        1251
type extend-subst fm -> fsubst -> fsubst -> o.
                                                                        1252
extend-subst (fuva N) S S' :- mem.alloc N S S'.
                                                                        1253
extend-subst (flam F) S S' :-
  pi x \ (pi S\extend-subst x S S) => extend-subst (F x) S S'.
extend-subst (fcon _) S S.
extend-subst (fapp L) S S1 :- fold extend-subst L S S1.
                                                                        1258
type beta fm -> list fm -> fm -> o.
                                                                        1259
beta A [] A.
                                                                        1260
beta (flam Bo) [H | L] R :- beta (Bo H) L R.
                                                                        1261
beta (fapp A) L (fapp X) :- append A L X.
beta (fuva N) L (fapp [fuva N | L]).
                                                                        1263
beta (fcon H) L (fapp [fcon H | L]).
                                                                        1264
beta N L (fapp [N | L]) :- name N.
                                                                        1265
                                                                        1266
type mk-app fm \rightarrow list fm \rightarrow fm \rightarrow o.
                                                                        1267
mk-app T L S :- beta T L S.
type eta-contract fm -> fm -> o.
eta-contract (fcon X) (fcon X).
                                                                        1271
eta-contract (fapp L) (fapp L1) :- map eta-contract L L1.
                                                                        1272
eta-contract (flam F) T :- eta-contract-aux [] (flam F) T.
                                                                        1273
eta-contract (flam F) (flam F1) :-
                                                                        1274
  pi x \le eta-contract x x \implies eta-contract (F x) (F1 x).
                                                                        1275
                                                                        1276
```

```
eta-contract (fuva X) (fuva X).
1277
                                                                                  type prune-same-variable addr -> list tm -> list tm ->
                                                                                                                                                       1335
1278
         eta-contract X X :- name X.
                                                                                                              list tm -> assignment -> o.
                                                                                                                                                       1336
1279
                                                                                  prune-same-variable N [] [] ACC (val (uva N Args)) :-
                                                                                                                                                       1337
         type eta-contract-aux list fm -> fm -> o.
                                                                                    rev ACC Args.
1281
         eta-contract-aux L (flam F) T :-
                                                                                  prune-same-variable N [X|XS] [X|YS] ACC (abs F) :-
                                                                                                                                                       1339
           pi x\ eta-contract-aux [x]L] (F x) T. % also checks H Prefix does muit x\empressee-variable N XS YS [x]ACC] (F x).
                                                                                                                                                       1340
1282
         eta-contract-aux L (fapp [H|Args]) T :-
                                                                                  prune-same-variable N [_|XS] [_|YS] ACC (abs F) :-
                                                                                                                                                       1341
1283
           rev L LRev, append Prefix LRev Args,
                                                                                    pi x\ prune-same-variable N XS YS ACC (F x).
                                                                                                                                                       1342
1284
1285
           if (Prefix = []) (T = H) (T = fapp [H|Prefix]).
                                                                                                                                                       1343
                                                                                  type permute list nat -> list tm -> list tm -> o.
                                                                                                                                                       1344
                                                                                  permute [] _ [].
                                                                                                                                                       1345
       15 THE META LANGUAGE
                                                                                  permute [PIPS] Args [TITS] :-
                                                                                                                                                       1346
         kind inctx type -> type.
                                                                                    nth P Args T.
1289
                                                                                                                                                       1347
                                                                                    permute PS Args TS.
         type abs (tm -> inctx A) -> inctx A.
1290
                                                                                                                                                       1348
1291
         type val A -> inctx A.
                                                                                                                                                       1349
1292
         typeabbrev assignment (inctx tm).
                                                                                  type build-perm-assign addr -> list tm -> list bool ->
                                                                                                                                                       1350
         typeabbrev subst (mem assignment).
                                                                                                        list nat -> assignment -> o.
1293
                                                                                                                                                       1351
1294
                                                                                  build-perm-assign N ArgsR [] Perm (val (uva N PermutedArgs)) :-1352
         kind tm type.
                                                                                    rev ArgsR Args, permute Perm Args PermutedArgs.
1295
         type app list tm -> tm.
                                                                                  build-perm-assign N Acc [tt|L] Perm (abs T) :-
1296
                                                                                                                                                       1354
         type lam (tm \rightarrow tm) \rightarrow tm.
                                                                                    pi x\ build-perm-assign N [x|Acc] L Perm (T x).
1297
                                                                                                                                                       1355
1298
         type con string -> tm.
                                                                                  build-perm-assign N Acc [ff|L] Perm (abs T) :-
                                                                                                                                                       1356
1299
          type uva addr -> list tm -> tm.
                                                                                    pi x\ build-perm-assign N Acc L Perm (T x).
                                                                                                                                                       1357
                                                                                                                                                       1358
         type (\simeq_{\lambda}) tm -> tm -> subst -> subst -> o.
                                                                                  type keep list A -> A -> bool -> o.
                                                                                  keep L A tt :- mem L A, !.
                                                                                                                                                       1360
         (con C \simeq_{\lambda} con C) S S.
                                                                                  keep \_ \_ ff.
         (app L1 \simeq_{\lambda} app L2) S S1 :- fold2 (\simeq_{\lambda}) L1 L2 S S1.
                                                                                                                                                       1361
1303
1304
         (lam F1 \simeq_{\lambda} lam F2) S S1 :-
                                                                                                                                                       1362
1305
           pi x\ (pi S\ (x \simeq_{\lambda} x) S S) => (F1 x \simeq_{\lambda} F2 x) S S1.
                                                                                  type prune-diff-variables addr -> list tm -> list tm ->
                                                                                                                                                       1363
          (uva N Args \simeq_{\lambda} T) S S1 :-
                                                                                                              assignment -> assignment -> o.
1306
           set? N S F,!, move F Args T1, (T1 \simeq_{\lambda} T) S S1.
                                                                                  prune-diff-variables N Args1 Args2 Ass1 Ass2 :-
1307
         (T \simeq_{\lambda} uva N Args) S S1 :-
                                                                                    map (keep Args2) Args1 Bits1,
1308
                                                                                                                                                       1366
           set? N S F,!, move F Args T1, (T \simeq_{\lambda} T1) S S1.
                                                                                    map (keep Args1) Args2 Bits2,
                                                                                                                                                       1367
1309
         (uva M A1 \simeq_{\lambda} uva N A2) S1 S2 :- !,
                                                                                    filter Args1 (mem Args2) ToKeep1,
1310
                                                                                                                                                       1368
1311
           pattern-fragment A1, pattern-fragment A2,
                                                                                    filter Args2 (mem Args1) ToKeep2,
                                                                                                                                                       1369
1312
           prune! M A1 N A2 S1 S2.
                                                                                    map (index ToKeep1) ToKeep1 IdPerm,
                                                                                                                                                       1370
1313
          (uva N Args \simeq_{\lambda} T) S S1 :- not_occ N S T, pattern-fragment Args,
                                                                                    map (index ToKeep1) ToKeep2 Perm21,
                                                                                                                                                       1371
1314
           bind T Args T1, assign N S T1 S1.
                                                                                    build-perm-assign N [] Bits1 IdPerm Ass1,
1315
          (T \simeq_{\lambda} uva N Args) S S1 :- not_occ N S T, pattern-fragment Args,
                                                                                    build-perm-assign N [] Bits2 Perm21 Ass2.
                                                                                                                                                       1373
           bind T Args T1, assign N S T1 S1.
                                                                                                                                                       1374
1316
                                                                                  type beta tm -> list tm -> tm -> o.
1317
                                                                                                                                                       1375
1318
         type prune! addr -> list tm -> addr ->
                                                                                  beta A [] A.
                                                                                                                                                       1376
                      list tm -> subst -> o.
                                                                                  beta (lam Bo) [H | L] R :- beta (Bo H) L R.
                                                                                                                                                       1377
1319
         /* no pruning needed */
1320
                                                                                  beta (app A) L (app X) :- append A L X.
                                                                                                                                                       1378
1321
         prune! N A N A S S :- !.
                                                                                  beta (con H) L (app [con H | L]).
                                                                                                                                                       1379
         prune! M A N A S1 S2 :- !, bind (uva M A) A Ass,
                                                                                  beta X L (app[X|L]) :- name X.
                                                                                                                                                       1380
1322
1323
           assign N S1 Ass S2.
                                                                                                                                                       1381
1324
         /* prune different arguments */
                                                                                  /* occur check for N before crossing a functor */
                                                                                                                                                       1382
1325
         prune! N A1 N A2 S1 S3 :- !,
                                                                                  type not_occ addr -> subst -> tm -> o.
                                                                                                                                                       1383
           new S1 W S2, prune-same-variable W A1 A2 [] Ass,
                                                                                  not_occ N S (uva M Args) :- set? M S F,
                                                                                                                                                       1384
           assign N S2 Ass S3.
                                                                                    move F Args T, not_occ N S T.
         /* prune to the intersection of scopes */
                                                                                  not_occ N S (uva M Args) :- unset? M S, not (M = N),
         prune! N A1 M A2 S1 S4 :- !,
                                                                                    forall1 (not_occ_aux N S) Args.
1329
                                                                                                                                                       1387
           new S1 W S2, prune-diff-variables W A1 A2 Ass1 Ass2,
1330
                                                                                  not_occ _ _ (con _).
                                                                                                                                                       1388
1331
           assign N S2 Ass1 S3,
                                                                                  not_occ N S (app L) :- not_occ_aux N S (app L).
                                                                                                                                                       1389
                                                                                                                                                       1390
           assign M S3 Ass2 S4.
                                                                                  /* Note: lam is a functor for the meta language! */
1332
                                                                                  not_occ N S (lam L) :- pi x\ not_occ_aux N S (L x).
1333
                                                                                                                                                       1391
                                                                                                                                                       1392
                                                                           12
```

```
1393
         not_occ _ _ X :- name X.
                                                                                  kind mapping type.
                                                                                                                                                        1451
1394
         /* finding N is ok */
                                                                                  type mapping fvariable -> hvariable -> mapping.
                                                                                                                                                        1452
1395
         not_occ N _ (uva N _).
                                                                                  typeabbrev mmap (list mapping).
                                                                                                                                                        1453
                                                                                                                                                        1454
1397
         /* occur check for X after crossing a functor */
                                                                                  typeabbrev scope (list tm).
                                                                                                                                                        1455
         type not occ aux addr -> subst -> tm -> o.
                                                                                  typeabbrev inctx ho.inctx.
1398
                                                                                                                                                        1456
         not_occ_aux N S (uva M _) :- unset? M S, not (N = M).
                                                                                  kind baselink type.
                                                                                                                                                        1457
1399
         not_occ_aux N S (uva M Args) :- set? M S F,
                                                                                  type link-eta tm -> tm -> baselink.
1400
                                                                                                                                                        1458
1401
           move F Args T, not_occ_aux N S T.
                                                                                  type link-beta tm -> tm -> baselink.
                                                                                                                                                        1459
         not_occ_aux N S (app L) :- forall1 (not_occ_aux N S) L.
                                                                                   typeabbrev link (inctx baselink).
                                                                                                                                                        1460
         not_occ_aux N S (lam F) :- pi x\ not_occ_aux N S (F x).
                                                                                  typeabbrev links (list link).
         not_occ_aux _ _ (con _).
                                                                                  macro @val-link-eta T1 T2 :- ho.val (link-eta T1 T2).
         not_occ_aux _ _ X :- name X.
1405
                                                                                                                                                        1463
                                                                                  macro @val-link-beta T1 T2 :- ho.val (link-beta T1 T2).
         /* finding N is ko, hence no rule */
1406
                                                                                                                                                        1464
1407
                                                                                                                                                        1465
1408
         /* copy T T' vails if T contains a free variable, i.e. it
                                                                                                                                                        1466
            performs scope checking for bind */
1409
                                                                                                                                                        1467
                                                                                  %% x occurs rigidly in t iff \forall \sigma, \forall t', t' =_{\sigma} \sigma t \Rightarrow x \in \mathcal{P}(t')
1410
         type copy tm -> tm -> o.
                                                                                                                                                        1468
         copy (con C) (con C).
                                                                                                                                                        1469
1411
                         (app L') :- map copy L L'.
                                                                                                                                                        1470
1412
         copy (app L)
                                                                                  type occurs-rigidly fm -> fm -> o.
         copy (lam T) (lam T') :- pi x \cdot copy x x \Rightarrow copy (T x) (T' x).
                                                                                  occurs-rigidly N N.
                                                                                                                                                        1471
1413
1414
         copy (uva A L) (uva A L') :- map copy L L'.
                                                                                  occurs-rigidly _ (fapp [fuva _|_]) :- !, fail.
                                                                                                                                                        1472
1415
                                                                                  occurs-rigidly N (fapp L) :- exists (occurs-rigidly N) L.
                                                                                                                                                        1473
1416
         type bind tm -> list tm -> assignment -> o.
                                                                                  occurs-rigidly N (flam B) :- pi x\ occurs-rigidly N (B x).
                                                                                                                                                        1474
1417
         bind T [] (val T') :- copy T T'.
                                                                                  /* maybe-eta N T L succeeds iff T could be an eta expasions for 1406 that
1418
         bind T [X | TL] (abs T') :- pi \times copy \times x \Rightarrow bind T TL (T' x).
                                                                                  %% is \exists \sigma, \sigma(\lambda n.t) = \lambda n.t'n and n
1419
                                                                                                                                                        1477
1420
         type deref subst -> tm -> tm -> o.
                                                                    (\sigma t)
                                                                                  %% does not occur rigidly in t'
                                                                                                                                                        1478
1421
         deref _ (con C) (con C).
                                                                                  type maybe-eta fm -> fm -> list fm -> o.
                                                                                                                                                        1479
         deref S (app A) (app B) :- map (deref S) A B.
                                                                                  maybe-eta N (fapp[fuva _|Args]) _ :- !,
1422
                                                                                                                                                        1480
1423
         deref S (lam F) (lam G) :-
                                                                                    exists (x\ maybe-eta-of [] N x) Args, !.
           pi x \leq S x x \Rightarrow S = S (F x) (G x).
                                                                                  maybe-eta N (flam B) L :- !, pi x\ maybe-eta N (B x) [x | L].
1424
         deref S (uva N L) R :- set? N S A,
                                                                                  maybe-eta _ (fapp [fcon _|Args]) L :-
1425
                                                                                                                                                        1483
           move A L T, deref S T R.
                                                                                    split-last-n {len L} Args First Last,
                                                                                                                                                        1484
1426
1427
         deref S (uva N A) (uva N B) :- unset? N S,
                                                                                     forall1 (x\ forall1 (y\ not (occurs-rigidly x y)) First) L,
                                                                                                                                                       1485
           map (deref S) A B.
                                                                                     forall2 (maybe-eta-of []) {rev L} Last.
                                                                                                                                                        1487
         type move assignment -> list tm -> tm -> o.
                                                                                  %% is \exists \sigma, \sigma t =_{o} n
         move (abs Bo) [H|L] R :- move (Bo H) L R.
                                                                                  type maybe-eta-of list fm -> fm -> o.
1431
         move (val A) [] A.
                                                                                  maybe-eta-of _ N N :- !.
                                                                                                                                                        1490
1432
                                                                                  maybe-eta-of L N (fapp[fuva _|Args]) :- !,
1433
                                                                                                                                                        1491
1434
                                                                                     forall1 (x\ exists (maybe-eta-of [] x) Args) [N|L].
                                                                                                                                                        1492
         type deref-assmt subst -> assignment -> o.
                                                                                  maybe-eta-of L N (flam B) :- !,
1435
                                                                                                                                                        1493
         deref-assmt S (abs T) (abs R) :- pi \times deref-assmt S (T \times x) (R \times x).
1436
                                                                                    pi x\ maybe-eta-of [x | L] N (B x).
                                                                                                                                                        1494
1437
         deref-assmt S (val T) (val R) :- deref S T R.
                                                                                  maybe-eta-of L N (fapp [N|Args]) :-
                                                                                                                                                        1495
                                                                                     last-n {len L} Args R,
                                                                                                                                                        1496
1438
1439
                                                                                     forall2 (maybe-eta-of []) R {rev L}.
                                                                                                                                                        1497
       16 THE COMPILER
1440
                                                                                                                                                        1498
1441
         kind arity type.
                                                                                                                                                        1499
                                                                                  type locally-bound tm -> o.
1442
         type arity nat -> arity.
                                                                                                                                                        1500
                                                                                  type get-scope-aux tm -> list tm -> o.
1444
         kind fvariable type.
                                                                                  get-scope-aux (con _) [].
         type fv addr -> fvariable.
                                                                                  get-scope-aux (uva _ L) L1 :-
                                                                                                                                                        1503
1445
1446
                                                                                    forall2 get-scope-aux L R,
                                                                                                                                                        1504
1447
         kind hvariable type.
                                                                                    flatten R L1.
                                                                                                                                                        1505
         type hv addr -> arity -> hvariable.
1448
                                                                                  get-scope-aux (lam B) L1 :-
                                                                                                                                                        1506
1449
                                                                                     pi x \setminus locally-bound x \Rightarrow get-scope-aux (B x) L1.
                                                                                                                                                        1507
1450
                                                                                                                                                        1508
                                                                           13
```

```
1509
         get-scope-aux (app L) L1 :-
1510
           forall2 get-scope-aux L R,
1511
           flatten R L1.
         get-scope-aux X [X] :- name X, not (locally-bound X).
1513
         get-scope-aux X [] :- name X, (locally-bound X).
1514
         %% TODO: scrivere undup
1515
         type get-scope tm -> list tm -> o.
1516
1517
         get-scope T Scope :-
1518
           get-scope-aux T ScopeDuplicata,
           names N, filter N (mem ScopeDuplicata) Scope.
         type rigid fm -> o.
1520
         rigid X :- not (X = fuva _).
1521
1522
1523
         type comp-lam (fm \rightarrow fm) \rightarrow (tm \rightarrow tm) \rightarrow
1524
           mmap -> mmap -> links -> links -> subst -> o.
         comp-lam F G M1 M2 L1 L3 S1 S2 :-
1525
1526
           pi x y\ (pi M L S\ comp x y M M L L S S) =>
             comp (F x) (G y) M1 M2 L1 (L2 y) S1 S2.
1527
1528
           close-links L2 L3.
1529
1530
         type close-links (tm -> links) -> links -> o.
1531
         close-links (_\[]) [].
         close-links (v\setminus[X \mid L \mid v]) [X|R] :- !, close-links L R.
         close-links (v\setminus[X\ v\mid L\ v]) [abs X\mid R] :- close-links L R.
         type comp fm -> tm -> mmap -> links -> links ->
1534
1535
           subst -> subst -> o.
1536
         comp (fcon C) (con C) M M L L S S.
1537
         comp (flam F) (uva A Scope) M1 M2 L1 L3 S1 S3 :-
           (pi x\ maybe-eta x (F x) [x]), !,
1538
             alloc S1 A S2.
             comp-lam F F1 M1 M2 L1 L2 S2 S3,
1540
             get-scope (lam F1) Scope,
1541
             L3 = [@val-link-eta (uva A Scope) (lam F1)| L2].
1542
1543
         comp (flam F) (lam F1) M1 M2 L1 L2 S1 S2 :-
1544
           comp-lam F F1 M1 M2 L1 L2 S1 S2.
         comp (fuva A) (uva B []) M1 M2 L L S1 S2 :-
1545
1546
           m-alloc (fv A) (hv B (arity z)) M1 M2 S1 S2.
         comp (fapp [fuva A|Ag]) (uva B Ag1) M1 M2 L L S1 S2 :-
1547
           pattern-fragment Ag, !,
1548
             fold6 comp Ag Ag1 M1 M1 L L S1 S1,
1549
1550
             len Ag Arity,
             m-alloc (fv A) (hv B (arity Arity)) M1 M2 S1 S2.
1551
1552
         comp (fapp [fuva A|Ag]) (uva C Scope) M1 M3 L1 L3 S1 S4 :- !,
1553
           pattern-fragment-prefix Ag Pf Extra,
           fold6 comp Pf
                            Scope1 M1 M1 L1 L1 S1 S1,
1554
1555
           fold6 comp Extra Extra1 M1 M2 L1 L2 S1 S2,
1556
           len Pf Arity,
1557
           m-alloc (fv A) (hv B (arity Arity)) M2 M3 S2 S3,
           Beta = app [uva B Scope1 | Extra1],
           get-scope Beta Scope,
           alloc S3 C S4,
1560
           L3 = [@val-link-beta (uva C Scope) Beta | L2].
1561
1562
         comp (fapp A) (app A1) M1 M2 L1 L2 S1 S2 :-
1563
           fold6 comp A A1 M1 M2 L1 L2 S1 S2.
1564
         type alloc mem A -> addr -> mem A -> o.
1565
1566
```

```
alloc S N S1 :- mem new S N S1.
                                                                 1567
                                                                 1568
type compile-terms-diagnostic
                                                                 1569
  triple diagnostic fm fm ->
  triple diagnostic tm tm ->
                                                                 1571
  mmap -> mmap ->
                                                                 1572
 links -> links ->
                                                                 1573
  subst -> subst -> o.
                                                                 1574
compile-terms-diagnostic (triple D FO1 FO2) (triple D HO1 HO2) M575M3 L1
  comp F01 H01 M1 M2 L1 L2 S1 S2,
  comp F02 H02 M2 M3 L2 L3 S2 S3.
type compile-terms
                                                                 1579
  list (triple diagnostic fm fm) ->
                                                                 1580
  list (triple diagnostic tm tm) ->
                                                                 1581
  mmap -> links -> subst -> o.
                                                                 1582
compile-terms T H M L S :-
                                                                 1583
  fold6 compile-terms-diagnostic T H [] M_ [] L_ [] S_,
  deduplicate-map M_ M S_ S L_ L.
                                                                 1585
                                                                 1586
type make-eta-link-aux nat -> addr -> addr ->
                                                                 1587
  list tm -> links -> subst -> subst -> o.
                                                                 1588
make-eta-link-aux z Ad1 Ad2 Scope1 L H1 H1 :-
                                                                 1589
  rev Scope1 Scope, eta-expand (uva Ad2 Scope) @one T1,
  L = [@val-link-eta (uva Ad1 Scope) T1].
make-eta-link-aux (s N) Ad1 Ad2 Scope1 L H1 H3 :-
  rev Scope1 Scope, alloc H1 Ad H2,
                                                                 1593
  eta-expand (uva Ad Scope) @one T2,
                                                                 1594
  (pi x\ make-eta-link-aux N Ad Ad2 [x|Scope1] (L1 x) H2 H3),
                                                                 1595
  close-links L1 L2,
  L = [@val-link-eta (uva Ad1 Scope) T2 | L2].
type make-eta-link nat -> nat -> addr -> addr ->
                                                                 1599
        list tm -> links -> subst -> o.
                                                                 1600
make-eta-link (s N) z Ad1 Ad2 Vars L H H1 :-
                                                                 1601
  make-eta-link-aux N Ad2 Ad1 Vars L H H1.
                                                                 1602
make-eta-link z (s N) Ad1 Ad2 Vars L H H1 :-
  make-eta-link-aux N Ad1 Ad2 Vars L H H1.
make-eta-link (s N) (s M) Ad1 Ad2 Vars Links H H1 :-
  (pi x\ make-eta-link N M Ad1 Ad2 [x|Vars] (L x) H H1),
                                                                 1606
  close-links L Links.
                                                                 1607
                                                                 1608
type deduplicate-map mmap -> mmap ->
                                                                 1609
    subst -> subst -> links -> links -> o.
deduplicate-map [] [] H H L L.
deduplicate-map [(mapping (fv 0) (hv M (arity LenM)) as X1) | Map1] Map2
  take-list Map1 (mapping (fv 0) (hv M' (arity LenM'))) _, !, 1613
  std.assert! (not (LenM = LenM')) "Deduplicate map, there is aloug",
  print "arity-fix links:" {ppmapping X1} "~!~" {ppmapping (mapping (fv
  make-eta-link LenM LenM' M M' [] New H1 H2,
  print "new eta link" {pplinks New},
                                                                 1617
  append New L1 L2,
  deduplicate-map Map1 Map2 H2 H3 L2 L3.
                                                                 1619
deduplicate-map [A|As] [A|Bs] H1 H2 L1 L2 :-
                                                                 1620
  deduplicate-map As Bs H1 H2 L1 L2, !.
                                                                 1621
deduplicate-map [A|_] _ H _ _ _ :-
  halt "deduplicating mapping error" {ppmapping A} {ho.ppsubst ₭}3
```

```
17 THE PROGRESS FUNCTION
1625
                                                                            append Scope1 L1 Scope1L,
                                                                                                                                         1683
1626
                                                                            pattern-fragment-prefix Scope1L Scope2 L2,
                                                                                                                                         1684
        macro @one :- s z.
1627
                                                                            not (Scope1 = Scope2), !,
                                                                                                                                         1685
                                                                            mem.new S1 Ad2 S2,
        type contract-rigid list ho.tm -> ho.tm -> o.
                                                                            len Scope1 Scope1Len,
1629
        contract-rigid L (ho.lam F) T :-
          1630
                                                                            make-eta-link Scope1Len Scope2Len Ad1 Ad2 [] LinkEta S2 S3,
1631
        contract-rigid L (ho.app [H|Args]) T :-
                                                                            if (L2 = []) (NewLinks = LinkEta, T2 = ho.uva Ad2 Scope2)
1632
          rev L LRev, append Prefix LRev Args,
1633
                                                                              (T2 = ho.app [ho.uva Ad2 Scope2 | L2],
          if (Prefix = []) (T = H) (T = ho.app [H|Prefix]).
                                                                              NewLinks = [@val-link-beta T T2 | LinkEta]).
        type progress-eta-link ho.tm -> ho.tm -> ho.subst -> ho.subst -> links -> o.
        progress-eta-link (ho.app _ as T) (ho.lam x\ _ as T1) H H1 [] :- !, progress-beta-link T1 (ho.app[ho.uva _ _ | _] as T2) _ _ _ :-
1636
                                                                            not (T1 = ho.uva _ _), !, fail.
1637
          ({eta-expand T @one} == 1 T1) H H1.
1638
        progress-eta-link (ho.con \_ as T) (ho.lam x\ \_ as T1) H H1 [] :- !,
1639
                                                                          progress-beta-link (ho.uva _ _ as T) (ho.app[ho.uva _ _ | _] as1692) S1 _
          (\{eta-expand T @one\} == 1 T1) H H1.
1640
                                                                            occur-check-err T T2 S1, !, fail.
                                                                                                                                         1698
        progress-eta-link (ho.lam _ as T) T1 H H1 [] :- !,
1641
                                                                                                                                         1699
          (T == 1 T1) H H1.
                                                                          progress-beta-link T1 (ho.app[ho.uva _ _ | _] as T2) H H [@val-link-beta
1642
        progress-eta-link (ho.uva _ _ as X) T H H1 [] :-
1643
          contract-rigid [] T T1, !, (X ==1 T1) H H1.
        progress-eta-link (ho.uva Ad _ as T1) T2 H H [@val-link-eta T1 T2]progress-beta-link T1 (ho.app [Hd | T1]) S1 S2 B :-
                                                                                                                                         1702
1644
                                                                            ho.beta Hd Tl T3.
                                                                                                                                         1703
1645
          if (ho.not_occ Ad H T2) true fail.
1646
                                                                            progress-beta-link-aux T1 T3 S1 S2 B.
                                                                                                                                         1704
1647
        type is-in-pf ho.tm -> o.
                                                                          type solve-link-abs link -> links -> ho.subst -> ho.subst -> o.1706
        is-in-pf (ho.app [ho.uva _ _ | _]) :- !, fail.
1649
                                                                          solve-link-abs (ho.abs X) R H H1 :-
        is-in-pf (ho.lam B) :- !, pi x\ is-in-pf (B x).
                                                                            pi x\ ho.copy x x \Rightarrow (pi S\ ho.deref S x x) \Rightarrow
1650
        is-in-pf (ho.con _).
                                                                              solve-link-abs (X x) (R' x) H H1,
                                                                                                                                         1709
1651
        is-in-pf (ho.app L) :- forall1 is-in-pf L.
                                                                            close-links R' R.
1652
                                                                                                                                         1710
        is-in-pf N :- name N.
1653
                                                                                                                                         1711
        is-in-pf (ho.uva _ L) :- pattern-fragment L.
                                                                          solve-link-abs (@val-link-eta A B) NewLinks S S1 :- !,
                                                                                                                                         1712
1654
1655
                                                                            progress-eta-link A B S S1 NewLinks.
        type arity ho.tm -> nat -> o.
1656
        arity (ho.con _) z.
                                                                          solve-link-abs (@val-link-beta A B) NewLinks S S1 :- !,
                                                                                                                                         1715
1657
        arity (ho.app L) A :- len L A.
                                                                            progress-beta-link A B S S1 NewLinks.
                                                                                                                                         1716
1658
1659
                                                                                                                                         1717
        type occur-check-err ho.tm -> ho.tm -> ho.subst -> o.
                                                                          type take-link link -> links -> link -> links -> o.
                                                                                                                                         1718
        occur-check-err (ho.con _) _ _ :- !.
                                                                          take-link A [B|XS] B XS :- link-abs-same-lhs A B, !.
                                                                                                                                         1719
        occur-check-err (ho.app _) _ _ :- !.
1662
                                                                          take-link A [L|XS] B [L|YS] :- take-link A XS B YS.
        occur-check-err (ho.lam _) _ _ :- !.
                                                                                                                                         1721
1663
        occur-check-err (ho.uva Ad _) T S :-
                                                                          type link-abs-same-lhs link -> link -> o.
                                                                                                                                         1722
1664
          not (ho.not_occ Ad S T).
                                                                          link-abs-same-lhs (ho.abs F) B :-
1665
                                                                                                                                         1723
1666
                                                                            pi x\ link-abs-same-lhs (F x) B.
                                                                                                                                         1724
        type progress-beta-link-aux ho.tm -> ho.tm ->
                                                                          link-abs-same-lhs A (ho.abs G) :-
1667
                                                                                                                                         1725
                ho.subst -> ho.subst -> links -> o.
1668
                                                                            pi x\ link-abs-same-lhs A (G x).
        progress-beta-link-aux T1 T2 S1 S2 [] :- is-in-pf T2, !,
                                                                          link-abs-same-lhs (@val-link-eta (ho.uva N _) _) (@val-link-eta17@ho.uva
1669
          (T1 == 1 T2) S1 S2.
1670
        progress-beta-link-aux T1 T2 S S [@val-link-beta T1 T2] :- !.
                                                                          type same-link-eta link -> link -> ho.subst -> ho.subst -> o. 1729
1671
1672
                                                                          same-link-eta (ho.abs F) B H H1 :- !, pi x\ same-link-eta (F x)180H H1.
        type progress-beta-link ho.tm -> ho.tm -> ho.subst ->
                                                                          same-link-eta A (ho.abs G) H H1 :- !, pi x\ same-link-eta A (G x) H H1.
1673
              ho.subst -> links -> o
        1674
                                                                                        (@val-link-eta (ho.uva N S2) B) H H1 :-
                                                                                                                                         1733
          arity T Arity, len L ArgsNb, ArgsNb >n Arity, !,
1676
                                                                            std.map2 S1 S2 (x\y\r\ r = ho.copy x y) Perm,
                                                                                                                                         1734
          minus ArgsNb Arity Diff, mem.new S V1 S1,
                                                                            Perm => ho.copy A A',
1677
                                                                                                                                         1735
          eta-expand (ho.uva V1 Scope) Diff T1,
                                                                            (A' == 1 B) H H1.
1678
                                                                                                                                         1736
          ((ho.uva V Scope) ==1 T1) S1 S2.
1679
                                                                                                                                         1737
        progress-beta-link (ho.uva _ _ as T) (ho.app[ho.uva Ad1 Scope1 | L‡ypes progress-1 links -> ho.subst -> ho.subst -> o.
1680
                                                                                                                                         1738
1681
                                                                          progress1 [] [] X X.
                                                                                                                                         1739
1682
                                                                                                                                         1740
                                                                    15
```

```
1741
         progress1 [A|L1] [A|L3] S S2 :- take-link A L1 B L2, !,
                                                                                 type add-new-map ho.subst -> ho.tm -> map ->
                                                                                                                                                      1799
           same-link-eta A B S S1,
                                                                                      map -> fo.fsubst -> fo.fsubst -> o.
1742
                                                                                                                                                      1800
1743
           progress1 L2 L3 S1 S2.
                                                                                 add-new-map _ (ho.uva N _) Map [] F1 F1 :-
                                                                                                                                                      1801
         progress1 [L0|L1] L3 S S2 :- deref-link S L0 L,
1744
                                                                                   mem Map (mapping _ (hv N _)), !.
1745
           solve-link-abs L R S S1, !,
                                                                                 add-new-map H (ho.uva N L) Map [Map1 | MapL] F1 F3 :-
           progress1 L1 L2 S1 S2, append R L2 L3.
                                                                                   mem.new F1 M F2.
1746
                                                                                                                                                      1804
1747
                                                                                   len L Arity, Map1 = mapping (fv M) (hv N (arity Arity)),
                                                                                                                                                      1805
                                                                                    add-new-map H (ho.app L) [Map1 | Map] MapL F2 F3.
1748
                                                                                                                                                      1806
       18 THE DECOMPILER
1749
                                                                                 add-new-map H (ho.lam B) Map NewMap F1 F2 :-
                                                                                                                                                      1807
1750
         type abs->lam ho.assignment -> ho.tm -> o.
                                                                                    pi x\ add-new-map H (B x) Map NewMap F1 F2.
                                                                                                                                                      1808
1751
         abs->lam (ho.abs T) (ho.lam R) :- !, pi x\ abs->lam (T x) (R x). add-new-map H (ho.app L) Map NewMap F1 F3 :-
         abs->lam (ho.val A) A.
                                                                                    add-new-map-aux H L Map NewMap F1 F3.
                                                                                 add-new-map _ (ho.con _) _ [] F F :- !.
1753
                                                                                                                                                      1811
         type commit-links-aux link -> ho.subst -> ho.subst -> o.
                                                                                 add-new-map _ N _ [] F F :- name N.
                                                                                                                                                      1812
1754
1755
         commit-links-aux (@val-link-eta T1 T2) H1 H2 :-
                                                                                                                                                      1813
1756
           ho.deref H1 T1 T1', ho.deref H1 T2 T2',
                                                                                 type complete-mapping-under-ass ho.subst -> ho.assignment ->
                                                                                                                                                      1814
           (T1' == 1 T2') H1 H2.
                                                                                    map -> map -> fo.fsubst -> fo.fsubst -> o.
1757
1758
         commit-links-aux (@val-link-beta T1 T2) H1 H2 :-
                                                                                 complete-mapping-under-ass H (ho.val Val) Map1 Map2 F1 F2 :-
                                                                                                                                                      1816
1759
           ho.deref H1 T1 T1', ho.deref H1 T2 T2',
                                                                                    add-new-map H Val Map1 Map2 F1 F2.
           (T1' == 1 T2') H1 H2.
                                                                                 complete-mapping-under-ass H (ho.abs Abs) Map1 Map2 F1 F2 :-
1760
                                                                                                                                                      1818
         commit-links-aux (ho.abs B) H H1 :-
                                                                                    pi x\ complete-mapping-under-ass H (Abs x) Map1 Map2 F1 F2.
                                                                                                                                                      1819
1761
1762
           pi x\ commit-links-aux (B x) H H1.
                                                                                                                                                      1820
                                                                                 type complete-mapping ho.subst -> ho.subst ->
                                                                                                                                                      1821
         type commit-links links -> links -> ho.subst -> ho.subst -> o.
                                                                                    map -> map -> fo.fsubst -> fo.fsubst -> o.
                                                                                                                                                      1822
         commit-links [] [] H H.
                                                                                 complete-mapping _ [] L L F F.
         commit-links [Abs | Links] L H H2 :-
                                                                                 complete-mapping H [none | Tl] L1 L2 F1 F2 :-
                                                                                                                                                      1824
1766
           commit-links-aux Abs H H1, !, commit-links Links L H1 H2.
                                                                                    complete-mapping H Tl L1 L2 F1 F2.
                                                                                                                                                      1825
1767
                                                                                 complete-mapping H [some T0 | T1] L1 L3 F1 F3 :-
1768
                                                                                                                                                      1826
1769
         type decompl-subst map -> map -> ho.subst ->
                                                                                   ho.deref-assmt H T0 T,
                                                                                                                                                      1827
           fo.fsubst -> fo.fsubst -> o.
                                                                                    complete-mapping-under-ass H T L1 L2 F1 F2,
1770
                                                                                                                                                      1828
1771
         decompl-subst _ [A]_] _ _ :- fail.
                                                                                    append L1 L2 LAll.
1772
         decompl-subst _ [] _ F F.
                                                                                   complete-mapping H Tl LAll L3 F2 F3.
                                                                                                                                                      1830
1773
         decompl-subst Map [mapping (fv V0) (hv VM _)[T1] H F F2 :-
                                                                                                                                                      1831
           mem.set? VM H T, !,
                                                                                 type decompile map -> links -> ho.subst ->
                                                                                                                                                      1832
1774
1775
           ho.deref-assmt H T TTT,
                                                                                    fo.fsubst -> fo.fsubst -> o.
                                                                                                                                                      1833
1776
           abs->lam TTT T', tm->fm Map T' T1,
                                                                                 decompile Map1 L HO FO FO2 :-
                                                                                                                                                      1834
           fo.eta-contract T1 T2, mem.assign V0 F T2 F1,
                                                                                    commit-links L L1_ HO HO1, !,
                                                                                                                                                      1835
           decompl-subst Map Tl H F1 F2.
                                                                                    complete-mapping HO1 HO1 Map1 Map2 FO FO1,
         decompl-subst Map [mapping _ (hv VM _)|T1] H F F2 :-
                                                                                    decompl-subst Map2 Map2 H01 F01 F02.
           mem.unset? VM H, decompl-subst Map T1 H F F2.
                                                                                                                                                      1838
1780
1781
                                                                                                                                                      1839
                                                                               19 AUXILIARY FUNCTIONS
1782
         type tm\rightarrow fm map \rightarrow ho.tm \rightarrow fo.fm \rightarrow o.
                                                                                                                                                      1840
                                                                                 type fold4 (A \rightarrow A1 \rightarrow B \rightarrow B \rightarrow C \rightarrow C \rightarrow o) \rightarrow list A \rightarrow
         tm->fm _ (ho.con C) (fo.fcon C).
1783
                                                                                                                                                      1841
                                                                                   list A1 \rightarrow B \rightarrow B \rightarrow C \rightarrow C \rightarrow o.
         tm->fm L (ho.lam B1) (fo.flam B2) :-
1784
                                                                                                                                                      1842
                                                                                 fold4 _ [] [] A A B B.
1785
           pi \times y \to fm _x y \Rightarrow tm \to fm L (B1 x) (B2 y).
                                                                                                                                                      1843
                                                                                 fold4 F [X|XS] [Y|YS] A A1 B B1 :- F X Y A A0 B B0,
1786
         tm->fm L (ho.app L1) T :- map (tm->fm L) L1 [Hd[T1],
                                                                                                                                                      1844
                                                                                    fold4 F XS YS A0 A1 B0 B1.
1787
           fo.mk-app Hd Tl T.
                                                                                                                                                      1845
1788
         tm->fm L (ho.uva VM TL) T :- mem L (mapping (fv VO) (hv VM _)),
                                                                                                                                                      1846
                                                                                 type len list A -> nat -> o.
1789
           map (tm->fm L) TL T1, fo.mk-app (fo.fuva V0) T1 T.
                                                                                                                                                      1847
                                                                                 len [] z.
                                                                                                                                                      1848
                                                                                 len [\_|L] (s X) :- len L X.
         type add-new-map-aux ho.subst -> list ho.tm -> map ->
               map -> fo.fsubst -> fo.fsubst -> o.
         add-new-map-aux \_ [] \_ [] S S.
                                                                                                                                                      1851
1793
         add-new-map-aux H [T|Ts] L L2 S S2 :-
1794
                                                                                                                                                      1852
1795
           add-new-map H T L L1 S S1,
                                                                                                                                                      1853
           add-new-map-aux H Ts L1 L2 S1 S2.
                                                                                                                                                      1854
1796
1797
                                                                                                                                                      1855
                                                                                                                                                      1856
                                                                           16
```