

# Higher-Order unification for free

*Reusing the meta-language unification for the object language*

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ÉCOLE UNIVERSITAIRE DE RECHERCHE  
SYSTÈMES NUMÉRIQUES  
POUR L'HUMAIN



# Metaprogramming for type-class resolution

- Our goal:
  - ▶ Type-class solver for Coq in Elpi
- Our problem:
  - ▶ The Elpi's unification algorithm differs from Coq's one
- Our contribution:
  - ▶ Reusing the meta-language unification for the object language

## A type-class problem in Coq

```
Instance forall_dec:  $\forall A$  P, Finite A  $\rightarrow$  (* r3 *)  
  ( $\forall x:A$ , Decision (P x))  $\rightarrow$  Decision ( $\forall x:A$ , P x).
```

---

```
Goal Decision ( $\forall x$ : fin 7, nfact x 3). (* g *)
```

## A type-class problem in Coq

**Instance** forall\_dec:  $\forall A\ P, \text{Finite } A \rightarrow$  (\* r3 \*)  
 $(\forall x:A, \text{Decision } (P\ x)) \rightarrow \text{Decision } (\forall x:A, P\ x).$

---

**Goal**  $\text{Decision } (\forall x: \text{fin } 7, \text{nfact } x\ 3).$  (\* g \*)

- Back-chain to forall\_dec with
- $\{A \mapsto \text{fin } 7; P \mapsto \lambda x. (\text{nfact } x\ 3)\}$

## A type-class problem in Coq

**Instance** forall\_dec:  $\forall A\ P, \text{Finite } A \rightarrow$  (\* r3 \*)  
 $(\forall x:A, \text{Decision } (P\ x)) \rightarrow \text{Decision } (\forall x:A, P\ x)$ .

---

**Goal** Decision  $(\forall x: \text{fin } 7, \text{nfact } x\ 3)$ . (\* g \*)

- $\{A \mapsto \text{fin } 7; P \mapsto \lambda x. (\text{nfact } x\ 3)\}$

## A type-class problem in Coq

**Instance** forall\_dec:  $\forall A\ P, \text{Finite } A \rightarrow$  (\* r3 \*)  
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---

**Goal**  $\text{Decision } (\forall x: \text{fin } 7, \text{nfact } x\ 3).$  (\* g \*)

- $\{A \mapsto \text{fin } 7; P \mapsto \lambda x. (\text{nfact } x\ 3)\}$

- subgoals:

$\text{Finite } (\text{fin } 7)$  and  $(\forall x:A, \text{Decision } ((\lambda x. (\text{nfact } x\ 3))\ x))$

## Coq terms in elpi

Coq	Elpi
$f \cdot a$	<code>app["f", "a"]</code>
$\lambda x. \lambda y. F \cdot x \cdot y$	<code>lam (x\ lam (y\ app[F, x, y]))</code>
$\lambda x. F \cdot x \cdot a$	<code>lam (x\ app[F, x, "a"])</code>

Note on unification:

- In coq:  $\lambda x. F \cdot x$  unifies with  $\lambda x. f \ x \ 3$
- In elpi:
  - “`lam (x\app [F, x])`” can’t unify with “`lam (x\app ["f", x, 3])`”
  - But, “`lam (x\G x)`” unifies with “`lam (x\app ["f", x, 3])`”

## The above type-class problem in elpi

**Instance** forall\_dec:  $\forall A\ P, \text{Finite } A \rightarrow$  (\* r3 \*)  
     $(\forall x:A, \text{Decision } (P\ x)) \rightarrow \text{Decision } (\forall x:A, P\ x).$

**Goal** Decision  $(\forall x: \text{fin } 7, \text{nfact } x\ 3).$  (\* g \*)

↓



## The above type-class problem in elpi

**Instance** forall\_dec:  $\forall A\ P, \text{Finite } A \rightarrow$  (\* r3 \*)  
 $(\forall x:A, \text{Decision } (P\ x)) \rightarrow \text{Decision } (\forall x:A, P\ x).$

**Goal** Decision  $(\forall x: \text{fin } 7, \text{nfact } x\ 3).$  (\* g \*)

↓

decision (all A (x\ app [P, x])) :- finite A, % r3  
pi w\ decision (app [P, w]).

?- decision (all (app ["fin", "7"]) % g  
                  (x\ app ["nfact", x, "3"])).

## Solving the goal in elpi

```
decision (all A (x\ app [P, x] )) :- finite A,           % r3
    pi w\ decision (app [P, w]).
```

```
?- decision (all (app ["fin", "7"])                       % g
    (x\ app ["nfact", x, "3"] )).
```

# The idea

```
decision (all A (x\ P' x)) :-                               % r3
  link P' (fun (x\ app[P, x])),
  finite A,
  pi w\ decision (P' x).

?- decision (all (app ["fin", "7"])                          % g
               (x\ app ["nfact", x, "3"])).
```

# What we propose

## ① Compilation:

- ▶ Recognize *problematic subterms*  $p_1, \dots, p_n$
- ▶ Replace  $p_i$  with fresh unification variables  $X_i$
- ▶ Link  $p_i$  with  $X_i$

*A link is a suspended unification problem*

## ② Runtime:

- ▶ Unify  $p_i$  and  $X_i$  only when some conditions hold
- ▶ Decompile remaining links

## Some notations

- $\mathbb{P}$ : the unification problems in the object language (ol)
  - $\mathbb{Q}$ : the unification problems in the meta-language (ml)
  - $\mathbb{L}, \mathbb{M}$ : the link store, the map store
- 

- $\text{run}_o(\mathbb{P}, n) \mapsto \rho$ : the run of  $n$  unif pb in the ol
- $\text{run}_m(\mathbb{P}, n) \mapsto \rho'$ : the run of  $n$  unif pb in the ml
- $\text{step}_o(\mathbb{P}, i, \rho_{i-1}) \mapsto \rho_i$ : the execution of the  $i^{\text{th}}$  unif pb in ol
- $\text{step}_m(\mathbb{Q}, i, \sigma_{i-1}, \mathbb{L}_{i-1}) \mapsto (\sigma_i, \mathbb{L}_i)$ : the exec of the  $i^{\text{th}}$  unif pb in ml

# Proven properties

**Run Equivalence**  $\forall \mathbb{P}, \forall n$ , if  $\mathbb{P} \subseteq \mathcal{L}_\lambda$

$$\text{run}_o(\mathbb{P}, n) \mapsto \rho \wedge \text{run}_m(\mathbb{P}, n) \mapsto \rho' \Rightarrow \forall s \in \mathbb{P}, \rho s =_o \rho' s$$

**Simulation fidelity** In the context of  $\text{run}_o$  and  $\text{run}_m$ ,  
if  $\mathbb{P} \subseteq \mathcal{L}_\lambda$  we have that  $\forall p \in 1 \dots n$ ,

$$\text{step}_o(\mathbb{P}, p, \rho_{p-1}) \mapsto \rho_p \Leftrightarrow \text{step}_m(\mathbb{Q}, p, \sigma_{p-1}, \mathbb{L}_{p-1}) \mapsto (\sigma_p, \mathbb{L}_p)$$

**Compilation round trip** If  $\langle s \rangle \mapsto (t, m, l)$  and  $l \in \mathbb{L}$  and  $m \in \mathbb{M}$  and  
 $\sigma = \{A \mapsto t\}$  and  $X \mapsto A \in \mathbb{M}$  then

$$\langle \sigma, \mathbb{M}, \mathbb{L} \rangle^{-1} \mapsto \rho \wedge \rho X =_o \rho s$$

## Problematic subterms recognition: $\diamond\beta$

- $X \cdot x$  becomes  $A \ x$  with mapping  $X \mapsto A$
- For example,  $\lambda y. X \cdot y = \lambda y. f \cdot y \cdot a$
- Is compiled into:  $\text{fun } (w \setminus A \ w) = \text{fun } (w \setminus \text{app}[f, w, a])$
- Unification gives:  $\{A \mapsto (w \setminus \text{app}[f, w, a])\}$
- Decompilation of  $A$  gives  $\{X \mapsto \lambda y. f \cdot y \cdot a\}$

## Problematic subterms recognition: $\diamond\eta$

- $\lambda x.s \in \diamond\eta$ , if  $\exists \rho, \rho(\lambda x.s)$  is an  $\eta$ -redex
- Detection of  $\diamond\eta$  terms is not trivial:

$$\lambda x.f.(A\ x) \quad \in \diamond\eta \quad \rho = \{ A \mapsto \lambda x.x \}$$

$$\lambda x.f.(A\ x).x \quad \in \diamond\eta \quad \rho = \{ A \mapsto \lambda x.a \}$$

- $\lambda x.f.x.(A\ x) \quad \notin \diamond\eta$

$$\lambda x.\lambda y.f.(A\ x).(B\ y\ x) \quad \in \diamond\eta \quad \rho = \{ A \mapsto \lambda x.x ; B \mapsto \lambda y.\lambda x.y \}$$



## Problematic subterms recognition: $\diamond\eta$ link resumption

- Several conditions: like lhs is assigned to a rigid term, two  $\eta$ -link with same lhs, the rhs becomes outside  $\diamond\eta \dots$
- These conditions guarantee the prefixed properties !
- An example:

$$\begin{aligned}\mathbb{P} &= \{ f \simeq_o \lambda x.(f.(X.x)) \} \\ \mathbb{Q} &= \{ \text{"f"} \simeq_m A \} \\ \mathbb{M} &= \{ X \mapsto B \} \\ \mathbb{L} &= \{ \vdash A =_\eta \text{fun } (x \backslash \text{app}[\text{"f"}, B x]) \} \end{aligned}$$

- After unification of  $A$  with  $\text{"f"}$ , the lhs of the link becomes rigid and  $\text{fun } (x \backslash \text{app}[\text{"f"}, B x])$  is unified with  $\text{fun } (x \backslash \text{app}[\text{"f"}, x])$
- That is  $\{B \mapsto x \backslash x\}$
- Decompilation will assign  $\lambda x.x$  to  $X$

# Problematic subterms recognition: $\diamond \mathcal{L}_\lambda^1$

- Example:

$$\begin{aligned}\mathbb{P} &= \{ X \simeq_o \lambda x.a & (X.a) \simeq_o a \} \\ \mathbb{Q} &= \{ A \simeq_m \text{fun } (x \backslash "a") & B \simeq_m "a" \} \\ \mathbb{M} &= \{ X \mapsto A \} \\ \mathbb{L} &= \{ \vdash B =_{\mathcal{L}_\lambda} A \text{ "a"} \}\end{aligned}$$

- After unification of  $A$  with  $\text{fun } (x \backslash "a")$ , the rhs of the link is in  $\mathcal{L}_\lambda$ , the link is triggered and  $B$  is unified to  $a$
- Decompile will assign  $\lambda x.a$  to  $A$

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<sup>1</sup>also read *maybe-pattern-fragment*

## Going further: the Constraint Handling Rules

- Elpi has a CHR for goal suspension and resumption
  - This fits well our notion of link: a suspended unification problem
- 

This can easily introduce new unification behaviors

- We can for example mimic the unification of the ol
  - Add heuristic for HO unification outside the pattern fragment
- 

```
% By def, R is not in the pattern fragment  
link-llam L R :- not (var L), unif-heuristic L R.
```

# Conclusion

- Takes advantage of the unification capabilities of the meta language at the price of handling problematic sub-terms on the side.
- It is worth mentioning that we replace terms with variables only when it is strictly needed, leaving the rest of the term structure intact and hence *indexable*.
- Our approach is flexible enough to accommodate different strategies and *heuristics* to handle terms outside the pattern fragment