

Corporate Prosecutions Overview (2001-2017)
U.S. Department of Justice Enforcement Trends Across Industries,
Crime Types, and Case Resolutions.

Executive Summary

This dashboard analyzes U.S. federal corporate prosecutions from 2001 to 2021, focusing on fines, disposition types, and crime trends. Over this 20-year period, corporations across various industries have paid billions in fines, with the majority of cases resolved through deferred and non-prosecution agreements.

Key metrics highlight the concentration of enforcement in specific crime categories, with financial fraud, false statements, and FCPA-like offenses among the most frequent. The summary view enables users to filter enforcement activity by country and disposition, offering insight into how corporate misconduct is penalized over time and across jurisdictions. This data provides compliance leaders with valuable context for assessing regulatory risk and identifying patterns of concern across industries.

2908
Total Cases

COUNTRY	
<input type="checkbox"/>	Select all
<input type="checkbox"/>	Argentina
<input type="checkbox"/>	Austria
<input type="checkbox"/>	Bahamas
<input type="checkbox"/>	Bahrain
<input type="checkbox"/>	Bangladesh
<input type="checkbox"/>	Belgium
<input type="checkbox"/>	Brazil
<input type="checkbox"/>	Canada
<input type="checkbox"/>	Chile
<input type="checkbox"/>	Chile, Brazil
<input type="checkbox"/>	China
<input type="checkbox"/>	Costa Rica
<input type="checkbox"/>	Cyprus
<input type="checkbox"/>	Denmark
<input type="checkbox"/>	Egypt
<input type="checkbox"/>	France
<input type="checkbox"/>	German
<input type="checkbox"/>	Germany
<input type="checkbox"/>	Greece

\$39.36bn
Total Fines

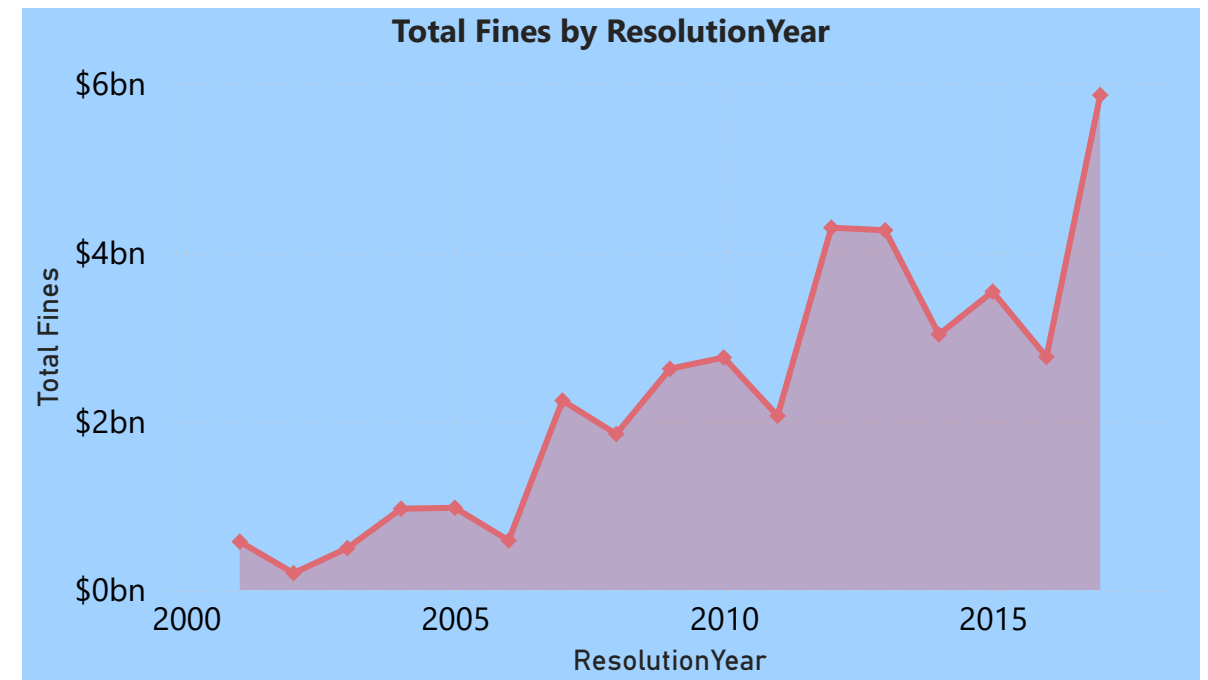
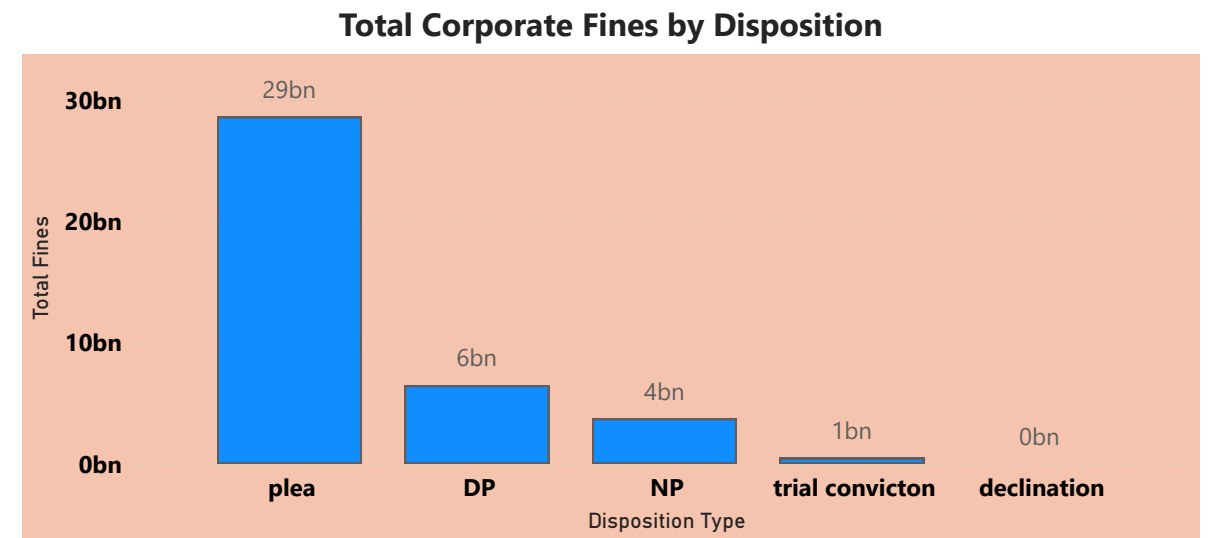
CRIME NAME	Total Cases
Environmental	519
Fraud - General	458
Antitrust	244
Other	205
Import / Export	156
FCPA	133
Immigration	133
False Statements	131
Fraud - Tax	123
FDCA / Pharma	108
Act to Prevent Pollution from Ships	101
Wildlife	95
Food	87
Fraud - Health Care	81
Money Laundering	68
Controlled substances / Drugs / Meth Act	51
Bank Secrecy Act	50
Gambling	29
OSHA / Workplace Safety / Mine Safety	29
Kickbacks	28
Fraud - Securities	27
Obstruction of Justice	21
Bribery	17
Fraud - Accounting	11
Total	2905

Resolution Trends by Disposition Type

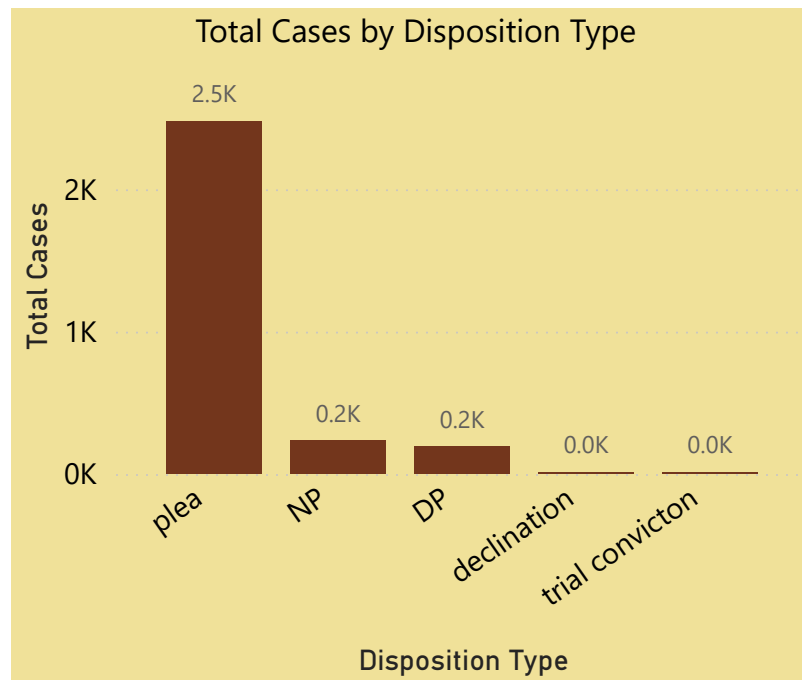
Understanding how Corporate Cases are Resolved and their Financial Impact Overtime

Executive Summary

This section focuses on how corporate prosecutions have been resolved over time including the use of deferred prosecution agreements (DPAs), non-prosecution agreements (NPAs), guilty pleas, and convictions. The visualizations highlight the total number of cases and fines associated with each disposition type, as well as annual enforcement trends. The view helps compliance professionals evaluate the evolving enforcement landscape, recognize the prevalence of non-trial resolutions, and assess the financial implications of various resolution types.



- Disposition Type ▾
- ☒ declination
 - ☐ DP
 - ☐ NP
 - ☐ plea
 - ☐ trial convicton

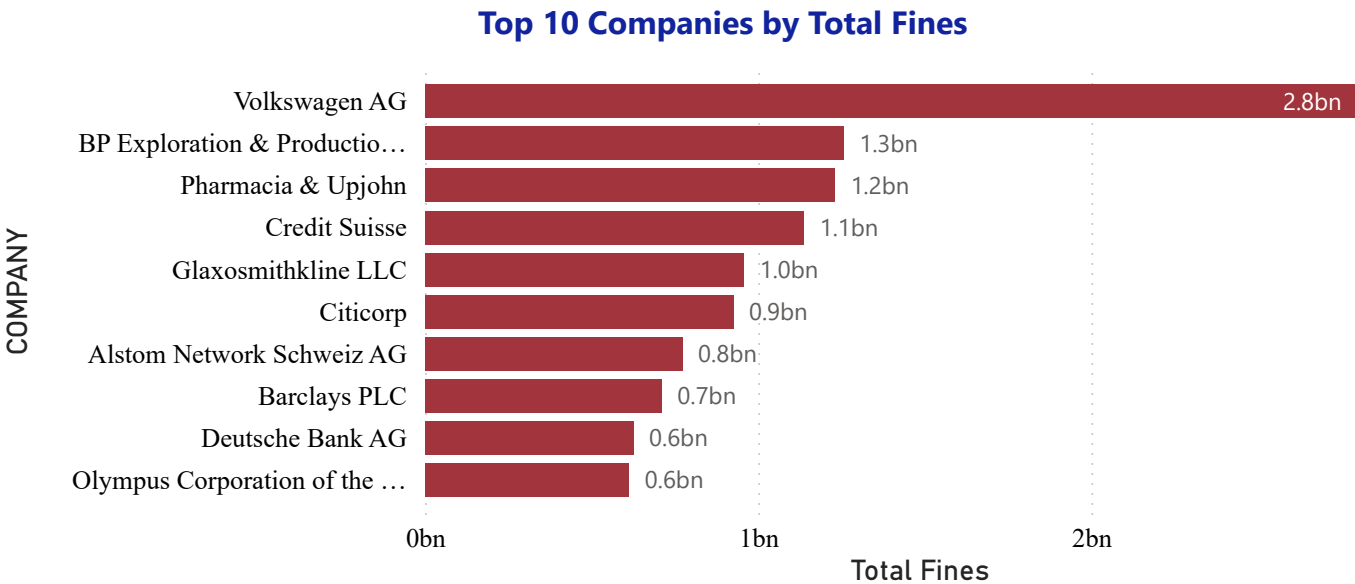


TOP COMPANIES AND RISK CONCENTRATION

Identifying Organizations with Highest Penalties and Enforcement Exposure.

Executive Summary

This section highlights the companies most frequently prosecuted and those receiving the largest financial penalties. By analyzing corporate-level enforcement data, users can identify risk patterns, industry exposure, and the scale of penalties imposed on major organizations. These highlights support risk and benchmarking and allow compliance teams to evaluate how their company compares within the broader regulatory landscape.



Total Fines by Company and Crime Category (Top 10)

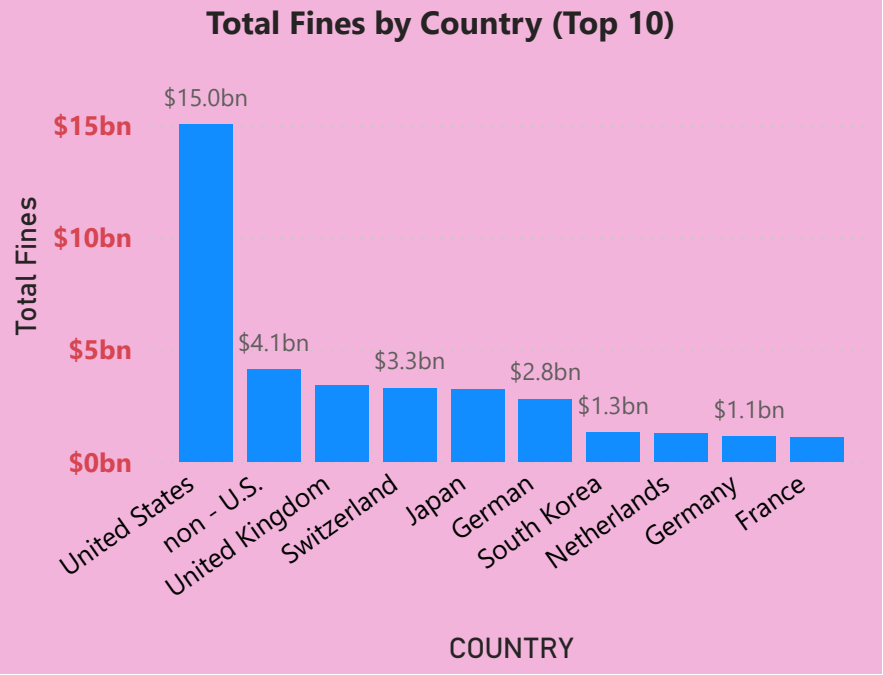
COMPANY	Antitrust	Environmental	FCPA	FDCA / Pharma	Fraud - General	Fraud - Health Care	Fraud - Tax	Kickbacks	Total
Volkswagen AG					\$2,800,000,000				\$2,800,000,000
Pharmacia & Upjohn				\$19,680,000		\$1,195,000,000		\$15,000,000	\$1,229,680,000
Olympus Corporation of the Americas								\$612,000,000	\$612,000,000
Glaxosmithkline LLC				\$956,814,400					\$956,814,400
Deutsche Bank AG	\$625,000,000						\$0		\$625,000,000
Credit Suisse							\$1,136,988,986		\$1,136,988,986
Citicorp	\$925,000,000								\$925,000,000
BP Exploration & Production, Inc.		\$1,256,000,000							\$1,256,000,000
Barclays PLC					\$710,000,000				\$710,000,000
Alstom Network Schweiz AG			\$772,290,000						\$772,290,000
Total	\$1,550,000,000	\$1,256,000,000	\$772,290,000	\$976,494,400	\$3,510,000,000	\$1,195,000,000	\$1,136,988,986	\$627,000,000	\$11,023,773,386

Geographic Distribution of Corporate Prosecutions

Analyzing Enforcement Activity and Penalties by Country

Executive Summary

This section explores the geographic distribution of corporate enforcement actions, highlighting the countries where penalties have been most significant. By analyzing total fines and case volumes by the country, compliance professionals can identify high-risk jurisdictions and monitor global enforcement hotspots. This view supports risk-based compliance assessments, especially for organizations with international operations, third party relationships, or exposure in emerging markets.



This map shows where U.S. enforcement agencies have imposed the largest financial penalties on corporations globally. Larger circles indicate higher total fines, signaling geographic risk concentration for compliance teams.

Total Fines by Country

