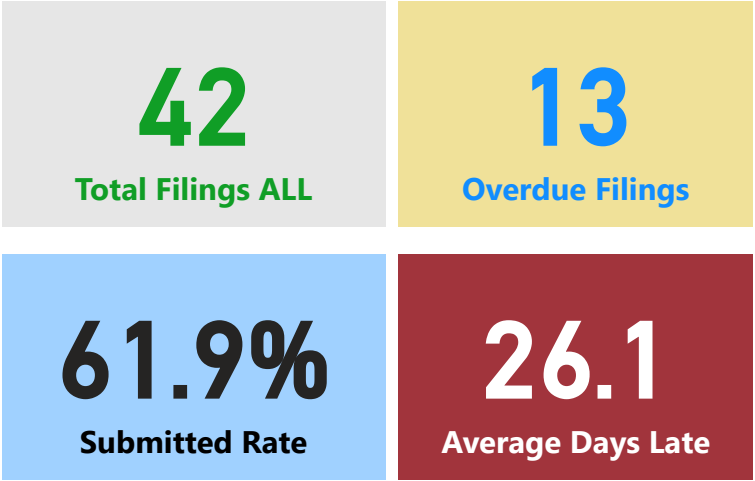
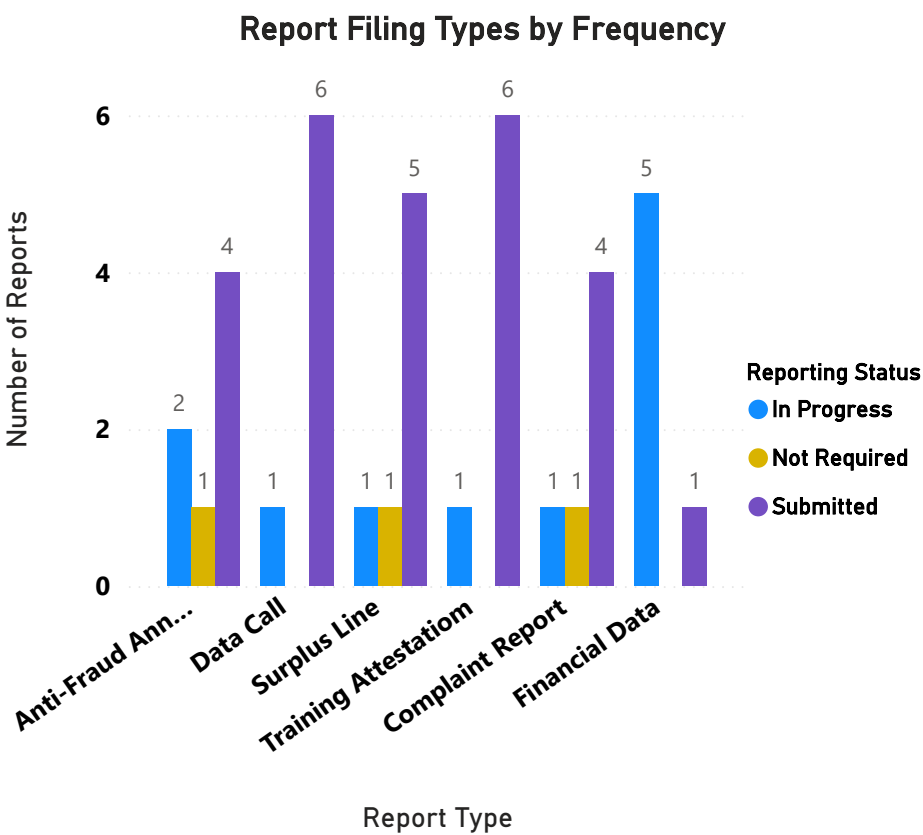


Multi-State Regulatory Filing Tracker

Submission Oversight Dashboard

This prototype dashboard visualizes regulatory filing activity, including submission status, overdue filings, and filing type distribution across jurisdictions. Designed to support cross functional compliance teams in monitoring timely submissions and identify areas requiring intervention

Built for demonstration purposes, the tracker showcases how compliance teams in regulated industries can visualize filing performance and proactively manage reporting obligations across state and federal bodies.



Reporting Status

☐

In Progress

☐

Not Required

☐

Submitted

| State | In Progress | Not Required | Submitted |
|-------|-------------------|-------------------|-------------------|
| TX | TX-2024-COM-3 | TX-2024-COM-26 | TX-2024-TMH-22 |
| NY | NY-2024-COM-36 | NY-Q1 2025-COM-34 | NY-Q1 2025-COM-13 |
| IL | IL-Q1 2025-COM-12 | | IL-2024-COM-19 |
| FL | FL-2024-COM-25 | | FL-2024-COM-29 |
| CA | CA-2024-COM-35 | | CA-2024-TMH-4 |