### Number-Theoretic Algorithms

Hengfeng Wei

hfwei@nju.edu.cn

March 31  $\sim$  April 6, 2017



# Number-Theoretic Algorithms

- Modular Arithmetic
- Euclid's Algorithm
- Pairwise Relatively Prime
- 4 Chinese Remainder Theorem

### Cancellation in modular arithmetic

$$(TC 31.4-2)$$
 
$$ad \equiv bd \pmod{n} \implies a \equiv b \pmod{n}$$
 
$$ad \equiv bd \pmod{n}, \underline{d \perp n} \implies a \equiv b \pmod{n}$$

$$3 \cdot 2 \equiv 5 \cdot 2 \pmod{4}$$
  $3 \not\equiv 5 \pmod{4}$ 

# Changing the modulus

$$3 \cdot 2 \equiv 5 \cdot 2 \pmod{4} \quad 3 \not\equiv 5 \pmod{2}$$
 
$$ad \equiv bd \pmod{nd} \iff a \equiv b \pmod{n} \pmod{n} \pmod{d} \neq 0$$
 
$$\boxed{(a \bmod n)d = ad \bmod nd \pmod{d} \pmod{d} \pmod{1}}$$
 
$$ad \equiv bd \pmod{n} \iff a \equiv b \pmod{\frac{n}{(d,n)}}$$

# Changing the modulus

$$n = n_1 n_2 \cdots n_k$$

$$a \equiv b \pmod{n} \implies a \equiv b \pmod{n_i}$$

$$a \equiv b \pmod{100} \implies a \equiv b \pmod{20} \implies a \equiv b \pmod{5}$$

# Changing the modulus

$$n = n_1 n_2 \cdots n_k$$

$$a \equiv b \pmod{n_1}, a \equiv b \pmod{n_2} \iff a \equiv b \pmod{\operatorname{lcm}(n_1, n_2)}$$

$$a \equiv b \pmod{n_1}, a \equiv b \pmod{n_2} \iff a \equiv b \pmod{n_1 n_2}, \text{ if } n_1 \perp n_2$$

$$\forall 1 \leq i \leq k, a \equiv b \pmod{n_i} \iff a \equiv b \pmod{n}$$
, if  $n_i \perp n_j$ 

# Number-Theoretic Algorithms

- Modular Arithmetic
- Euclid's Algorithm
- Pairwise Relatively Prime
- 4 Chinese Remainder Theorem

(TC 31.2-5)

1. If  $a > b \ge 0$ ,  $\mathrm{Euclid}(a,b)$  makes  $\le 1 + \log_{\phi} b$  recursive calls.

Lamé's theorem:  $a > b \ge 1, b < F_{k+1} \implies r < k$ .

$$k = 2 + \log_{\phi} b$$

To prove  $b < F_{3 + \log_{\phi} b}$ .

$$F_k = \frac{\phi^k - \hat{\phi^k}}{\sqrt{5}} > \frac{\phi^k - 1}{\sqrt{5}}$$

(TC 31.2-5)

2. Improve this bound to  $1 + \log_{\phi}(\frac{b}{(a,b)})$ .

$$(a,b) = (a,b) \cdot \left(\frac{a}{(a,b)}, \frac{b}{(a,b)}\right)$$

$$(16,12) \qquad (4,3)$$

$$= (12,4) \qquad = (3,1)$$

$$= (4,0) \qquad = (1,0)$$

$$= 4 \qquad = 1$$

$$\text{Euclid}(a,b) \leftrightarrow \text{Euclid}(\frac{a}{(a,b)}, \frac{b}{(a,b)})$$

(TC 31.2-5)

2. Improve this bound to  $1 + \log_{\phi}(\frac{b}{(a,b)})$ .

$$\operatorname{Euclid}(a,b) \leftrightarrow \operatorname{Euclid}(\frac{a}{(a,b)},\frac{b}{(a,b)})$$

$$\operatorname{Euclid}(b,a \bmod b) \stackrel{?}{\leftrightarrow} \operatorname{Euclid}(\frac{b}{(a,b)},\frac{a}{(a,b)} \bmod \frac{b}{(a,b)})$$

$$\operatorname{Euclid}(b,a \bmod b) \leftrightarrow \operatorname{Euclid}(\frac{b}{(a,b)},\frac{a \bmod b}{(a,b)})$$

$$\frac{a}{(a,b)} \bmod \frac{b}{(a,b)} = \frac{a \bmod b}{(a,b)}$$

(TC 31.2-5)

2. Improve this bound to  $1 + \log_{\phi}(\frac{b}{(a,b)})$ .

### Lemma (Generalization of Lemma 31.10)

If  $a > b \ge 1$ , d = (a, b) and  $\mathrm{Euclid}(a, b)$  performs  $k \ge 1$  recursive calls, then  $a \ge dF_{k+2}$  and  $b \ge dF_{k+1}$ .

## Average-case analysis of Euclid's algorithm

$$T(m,0) = 0;$$
  $T(m,n) = 1 + T(n, m \mod n) \ n \ge 1$ 

When m is chosen at random:

$$T_n = \frac{1}{n} \sum_{0 \le k < n} T(k, n)$$

Assume that, for  $0 \le k < n$ ,  $(n \mod k)$  is "random":

$$T_n \approx 1 + \frac{1}{n}(T_0 + T_1 + \dots + T_{n-1}) = 1 + \frac{1}{2} + \dots + \frac{1}{n} = H_n \approx \ln n + O(1)$$

#### Reference

"The Art of Computer Programming, Vol 2: Seminumerical Algorithms (Section 4.5.3)" by Donald E. Knuth, 3rd edition.

# Number-Theoretic Algorithms

- Modular Arithmetic
- Euclid's Algorithm
- Pairwise Relatively Prime
- 4 Chinese Remainder Theorem

## Pairwise relatively prime

(TC 31.2-9)

 $n_1, n_2, n_3, n_4$  are pairwise relatively prime

$$\iff$$

$$\gcd(n_1 n_2, n_3 n_4) = \gcd(n_1 n_3, n_2 n_4) = 1$$

## Pairwise relatively prime

(TC 31.2-9)

 $n_1, n_2, \ldots, n_k$  are pairwise relatively prime

$$\iff$$

a set of  $\lceil \lg k \rceil$  pairs of numbers derived from the  $n_i$  are relatively prime.

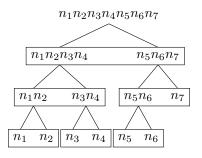
$$\binom{k}{2} = \Theta(k^2) \quad (\text{complete graph})$$

$$\gcd(\boxed{1_L},\boxed{1_R})=\gcd(\boxed{2_L},\boxed{2_R})=\cdots=\gcd(\boxed{\lceil\lg k\rceil_L},\boxed{\lceil\lg k\rceil_R})=1$$

$$k = 2$$
:  $gcd(n_1, n_2) = 1$ 

$$k = 3$$
:  $gcd(n_1, n_2n_3) = gcd(n_2, n_3) = 1$ 

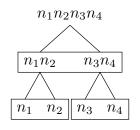
### Pairwise relatively prime: divide-and-conquer



$$\begin{cases} T(1) = 0 \\ T(k) = T(\lceil \frac{k}{2} \rceil) + T(\lfloor \frac{k}{2} \rfloor) + 1 \end{cases} \implies T(k) = k - 1 = \Theta(k)$$

$$T_k = k - 1 : (n_i, n_{i+1}n_{i+2} \cdots n_k) \quad \forall 1 \le i < k$$

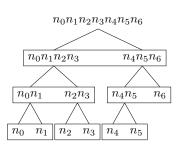
# Pairwise relatively prime: smarter combination



$$(n_1 n_2, n_3 n_4) = 1$$
  $(n_1 n_2, n_3 n_4) = 1$   $(n_1 n_2, n_3 n_4) = 1$   $(n_1 n_3, n_2 n_4) = 1$  
$$\begin{cases} T(1) = 0 \\ T(k) = T(\lceil \frac{k}{2} \rceil) + 1 \end{cases} \implies T(k) = \lceil \lg k \rceil$$

### Pairwise relatively prime: the dividing pattern

$$k = 7: n_0, n_1, n_2, \dots, n_6$$



0:000

1:001

2:010

3:011

4:100

5:101

6:110

$$T(k) = \lceil \lg k \rceil$$

### Can we do even better?

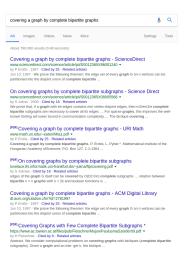
$$T(k) \ge \lceil \lg k \rceil$$

Prove by (strong) mathematical induction.

$$T(k) \ge 1 + T(\lceil \frac{k}{2} \rceil)$$
$$\ge 1 + \lceil \lg \lceil \frac{k}{2} \rceil \rceil$$
$$= \lceil \lg k \rceil$$

### Biclique covering

Covering a complete graph with few complete bipartite subgraphs.



# Biclique covering: rethinking the first divide-and-conquer

$$T(k) = k - 1$$

edge-disjoint biclique partition

### Reference for $T(k) \ge k - 1$

"On the Addressing Problem for Loop Switching" by Graham and Pollak, 1971.

#### Reference for weighted biclique partition

"Covering a Graph by Complete Bipartite Graphs" by P. Erdős and L. Pyber, 1997.

# Number-Theoretic Algorithms

- Modular Arithmetic
- Euclid's Algorithm
- Pairwise Relatively Prime
- Chinese Remainder Theorem

# Chinese Remainder Theorem (CRT)

### Theorem (CRT)

$$n_1,\ldots,n_k;\quad a_1,\ldots,a_k$$

$$n_i \perp n_j \quad i \neq j, \quad n = n_1 n_2 \cdots n_k$$

$$\exists ! a \ (0 \le a < n) : a \equiv a_i \pmod{n_i}.$$

$$a \leftrightarrow (a_1, a_2, \dots, a_k)$$

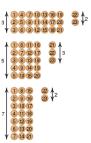
### Proof for uniqueness.

$$a \equiv a' \pmod{n_i} \implies n \mid a - a'.$$



# History of CRT

那就容易記了:三人同行七十稀, 之,餘數乘以七十;五五數之……」黃蓉道:「也不用這般硬記,我唸一首詩給你聽, 五五數之賸三,七七數之賸二,問物幾何?』我知道這是二十三,不過那是硬湊出來 白零五或其倍數。 一;七七數之,餘數乘十五。三者相加,如不大於一百零五,即為答數;否則須減去 逗題呢,說易是十分容易,說難卻又難到了極處 要列一個每數皆可通用的算式,卻想破了腦袋也想不出。 黃蓉笑道:「這容易得緊。以三三數之,餘數乘以七十;五五數之,餘數乘以二上 瑛姑在心中盤算了一遍,果然絲毫不錯,低聲記誦道: 五樹梅花廿一枝,七子團圓正半月, 『今有物不知其數, 三三數之賸二 「三三數



"物不知数"

# History of CRT



"孙子算经"



秦九韶"数书九章" 大衍求一术

# Proof of CRT (1)

#### Nonconstructive proof.

$$f: [0, n) \to \prod_{1 \le i \le k} [0, a_i)$$
$$f: a \mapsto (a \bmod n_1, \dots, a \bmod n_k)$$

- ▶ *f* is one-to-one.
- ► *f* is onto.

$$\exists a: f(a) = (a_1, \dots, a_k).$$



# Proof of CRT (2)

#### Constructive proof by induction.

$$a \equiv a_1 \pmod{n_1} \tag{1}$$

$$a \equiv a_2 \pmod{n_2}$$

$$(1) \implies a = a_1 + n_1 y$$

$$x = a_1 + n_1 n_1^{-1} (a_2 - a_1) \pmod{n_1 n_2}$$



# Proof of CRT (3)

#### Constructive proof by induction.

$$a \equiv a_1 \pmod{n_1} \tag{3}$$

$$a \equiv a_2 \pmod{n_2}$$

$$n_1 \perp n_2 \implies n_1 n_1' + n_2 n_2' = 1$$

$$x = a_1 n_1 n_1' + a_2 n_2 n_2' \pmod{n_1 n_2}$$



# Proof of CRT (4)

#### Constructive proof.

1. 
$$x \equiv 1 \pmod{n_i}$$
,  $x \equiv 0 \pmod{n_j}$   $(i \neq j)$ 

$$x = M_i(M_i^{-1} \mod n_i) \implies x = M_i M_i^{-1} \pmod{n}$$

2. 
$$x \equiv a_i \pmod{n_i}$$
,  $x \equiv 0 \pmod{n_j}$   $(i \neq j)$  
$$x = a_i M_i M_i^{-1} \pmod{n}$$

3. 
$$a \equiv a_i \pmod{n_i}, \forall 1 \le i \le k$$

$$a = \sum_{1 \le i \le k} a_i M_i M_i^{-1} \pmod{n}$$



# Proof of CRT (5)

More efficient constructive proof.

#### Reference

"The Residue Number System" by Garner, 1959.

#### Reference

"The Art of Computer Programming, Vol 2: Seminumerical Algorithms (Section 4.3.2)" by Donald E. Knuth, 3rd edition.



## Operations over CRT

$$a \leftrightarrow (a_1, a_2, \dots, a_n)$$

$$a \pm b \leftrightarrow (a_1 \pm b_1, a_2 \pm b_2, \dots, a_n \pm b_n)$$
  
 $a \times b \leftrightarrow (a_1 \times b_1, a_2 \times b_2, \dots, a_n \times b_n)$ 

TC 31.5-3

$$a \leftrightarrow (a_1, a_2, \dots, a_n), (a, n) = 1 \implies a^{-1} \leftrightarrow (a_1^{-1}, a_2^{-1}, \dots, a_n^{-1})$$

Proof.

$$a^{-1} \equiv a_i^{-1} \pmod{n_i} \iff \begin{cases} a \equiv a_i \pmod{n_i} \\ (a, n) = 1 \end{cases}$$



### The $\phi$ function

### Theorem (The $\phi$ function)

$$\phi(p) = p - 1$$
$$\phi(p^k) = p^k - p^{k-1}$$

$$\phi(n) = n \prod_{i=1}^{r} (1 - \frac{1}{p_i}) \quad (n = \prod_{i=1}^{r} p_i^{k_i})$$

"We shall not prove this formula here." — CLRS (Section 31.3)

Let us prove this formula now.

$$m \perp n \implies \phi(mn) = \phi(m)\phi(n)$$

### The $\phi$ function

### Theorem (The $\phi$ function)

$$m \perp n \implies \phi(mn) = \phi(m)\phi(n)$$

Proof.

$$U_{mn} = \{a \mod mn, (a, mn) = 1\}$$

$$U_m = \{b \mod m, (b, m) = 1\} \quad U_n = \{c \mod n, (c, n) = 1\}$$

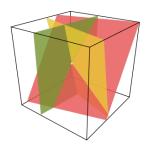
$$f: U_{mn} \to U_m \times U_n$$
$$f(a \bmod mn) = (a \bmod m, a \bmod n).$$



# Secret sharing using the CRT

Definition ((k, n)-threshold secret sharing scheme)

(3,3)-secret sharing:



#### Reference

"How to Share a Secret" by Maurice Mignotte, 1982.

# Secret sharing using the CRT

1. Choose  $m_i$ :

$$m_1 < m_2 < \dots < m_n, \quad m_i \perp m_j, \quad \prod_{i=n-k+2}^n m_i < \prod_{i=1}^k m_i$$

2. Choose the secret S:

$$\prod_{i=n-k+2}^{n} m_i < S < \prod_{i=1}^{k} m_i$$

3. Compute the shares:

$$s_i = S \mod m_i$$

## Solving simultaneous congruences

(TC 31.5–2) 
$$\begin{cases} x \equiv 1 \pmod{9} \\ x \equiv 2 \pmod{8} \\ x \equiv 3 \pmod{7} \end{cases}$$

$$x \equiv 10 \pmod{504}$$

## Solving simultannous congruences

#### CRT with large modulus

$$19x \equiv 556 \pmod{1155}$$

$$\begin{cases} 19x \equiv 556 \pmod{3} \\ 19x \equiv 556 \pmod{5} \\ 19x \equiv 556 \pmod{7} \\ 19x \equiv 556 \pmod{11} \end{cases} \begin{cases} x \equiv 1 \pmod{3} \\ x \equiv 4 \pmod{5} \\ x \equiv 2 \pmod{7} \\ x \equiv 9 \pmod{11} \end{cases}$$

# Solving simultaneous congruences

CRT with non-pairwisely co-prime moduli

$$\begin{cases} x \equiv 3 \pmod{8} \\ x \equiv 11 \pmod{20} \\ x \equiv 1 \pmod{15} \end{cases}$$

$$\begin{cases} x \equiv 3 \pmod{2^3} & \begin{cases} x \equiv 3 \pmod{2^2} \\ x \equiv 1 \pmod{5} \end{cases} & \begin{cases} x \equiv 1 \pmod{3} \\ x \equiv 1 \pmod{5} \end{cases}$$

$$x \equiv 3 \pmod{2}$$
$$x \equiv 1 \pmod{5}$$

$$\begin{cases} x \equiv 1 \pmod{3} \\ x \equiv 1 \pmod{5} \end{cases}$$

$$\begin{cases} x \equiv 3 \pmod{2^3} \\ x \equiv 3 \pmod{2^2} \end{cases}$$

$$\Big\{x\equiv 1\pmod 3$$

$$\begin{cases} x \equiv 1 \pmod{5} \\ x \equiv 1 \pmod{5} \end{cases}$$

### Solving simultaneous congruences

### Theorem (CRT with non-pairwisely coprime moduli)

$$a_i \equiv a_j \pmod{(n_i, n_j)}$$

$$0 \leq a < \operatorname{lcm}(n_1, n_2, \dots, n_k)$$

### Simultaneous incongruences

$$\exists ?a, \forall 1 \leq i \leq k : a \not\equiv a_i \pmod{n_i}$$

NP-complete

