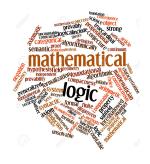
1-3 常用的证明方法

魏恒峰

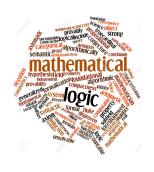
hfwei@nju.edu.cn

2017年10月30日











习题选讲

UD (第五章) 反证法 (Contradiction)

UD (第十七章) 数学归纳法 (Mathematical Induction)

ES (第二十四章) 鸽笼原理 (Pigeonhole Principle)

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UD 17.14: 第二数学归纳法

使用(第一)数学归纳法证明第二数学归纳法。

Theorem (Cantor Theorem)

Let A be a set.

If $f: A \to 2^A$, then f is not onto.

ES 24.8: Longest Monotone Subsequence (留待以后的专题)

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UD 题目 17.14: 第二数学归纳法

使用(第一)数学归纳法证明:

Theorem (Second Principle of Mathematical Induction)

For an integer n, let Q(n) denote an assertion. Suppose that

- (i) Q(1) is true and
- (ii) for all positive integers n, if $Q(1), \dots, Q(n)$ are true, then Q(n+1) is true.

Then Q(n) holds for all positive integers n.

$$\left[Q(1) \land \forall n \in \mathbb{N}^+ \Big(\big(Q(1) \land \dots \land Q(n)\big) \to Q(n+1) \Big) \right] \to \forall n \in \mathbb{N}^+ Q(n).$$

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$$\left[Q(1) \land \forall n \in \mathbb{N}^+ \Big(\big(Q(1) \land \dots \land Q(n)\big) \to Q(n+1) \Big) \right] \to \forall n \in \mathbb{N}^+ Q(n).$$

Theorem ((第一) 数学归纳法)

$$\left[P(1) \land \forall n \in \mathbb{N}^+ \big(P(n) \to P(n+1) \big) \right] \to \forall n \in \mathbb{N}^+ P(n).$$

$$\left[Q(1) \land \forall n \in \mathbb{N}^+ \Big((Q(1) \land \dots \land Q(n)) \to Q(n+1) \Big) \right] \to \forall n \in \mathbb{N}^+ Q(n).$$

Theorem ((第一) 数学归纳法)

$$\forall P: \left[P(1) \land \forall n \in \mathbb{N}^+ \big(P(n) \to P(n+1) \big) \right] \to \forall n \in \mathbb{N}^+ P(n).$$

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$$\left[P(1) \land \forall n \in \mathbb{N}^+ (P(n) \to P(n+1)) \right] \to \forall n \in \mathbb{N}^+ P(n).$$

Let us calculate [calculemus].

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Let us calculate [calculemus].

$$P(n) \triangleq Q(1) \land \dots \land Q(n)$$



说好的数学归纳法呢?

"标准"证明示例。

$$P(n) \triangleq Q(1) \land \cdots \land Q(n)$$

用(第一) 数学归纳法证明 P(n) 对一切正整数都成立。

"标准"证明示例。

$$P(n) \triangleq Q(1) \wedge \cdots \wedge Q(n)$$

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Proof.

By mathematical induction on \mathbb{N}^+ .

Basis Step
$$P(1)$$

Inductive Step
$$P(n) \rightarrow P(n+1)$$

Therefore, P(n) holds for all positive integers.



"标准"证明示例。

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数学归纳法

(第一) 数学归纳法与第二数学归纳法等价。

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数学归纳法

(第一) 数学归纳法与第二数学归纳法等价。

Q: 为什么第二数学归纳法也被称为"强" (strong) 数学归纳法?



Georg Cantor (1845 - 1918)



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Leopold Kronecker (1823 – 1891)



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Henri Poincaré (1854 – 1912)



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Henri Poincaré (1854 - 1912)1-3 常用的证明方法



Ludwig Wittgenstein (1889 - 1951) = 2017 年 10 月 30 日



Georg Cantor (1845 - 1918)



David Hilbert (1862 - 1943)



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Henri Poincaré (1854 – 1912) 1-3 常用的证明方法



Ludwig Wittgenstein
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From his paradise that Cantor with us unfolded, we hold our breath in awe; knowing, we shall not be expelled.

— David Hilbert

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Understanding this problem:

$$2^A \ A = \{1,2,3\},$$

$$2^A = \left\{\emptyset,\{1\},\{2\},\{3\},\{1,2\},\{1,3\},\{2,3\},\{1,2,3\}\right\}$$

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$$\forall B \in 2^A \ \exists a \in A \ (f(a) = B).$$

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Constructive proof:

$$B = \{ x \in A \mid x \notin f(x) \}.$$

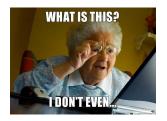
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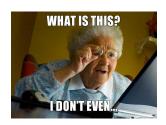
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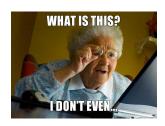
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$$Q: a \in B \ (= f(a))?$$



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a	f(a)					
	1	2	3	4	5	
1	1	1	0	0	1	
2	0	0	0	0	0	
3	1	0	0	1	0	
4	1	1	1	1	1	
5	0	1	0	1	0	
:	:	:	:	:	:	

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:	:	:	:	:	:	

$$B = \{0, 1, 1, 0, 1\}$$

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对角线论证 (Cantor's diagonal argument) (以下仅适用于可数集合 A).

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补充思考题

存在性证明 (Existence Proof)

- 1. 构造性证明 (Constructive proof)
- 2. 反证法 (By contradiction)

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Paul Erdős (1913 – 1996)

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Paul Erdős (1913 – 1996)



 $\exists a, b \in \mathbb{R} \setminus \mathbb{Q} : a^b \in \mathbb{Q}.$

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 (UD: Theorem 5.2)

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Q:这是构造性证明吗?



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Q:这是构造性证明吗?这是反证法吗?

Lossless Compression Algorithms



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File f: a string of bits of a finite length |f|

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Compression Alg.: a function $\mathcal C$

 $C: F \to F$

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Lossless: f is injective

$$C(f_1) = C(f_2) \implies f_1 = f_2$$

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Proof.

▶ By contradiction

$$\exists \mathcal{C} : (\exists f \in F : |\mathcal{C}(f)| < |f|) \land (\forall f \in F : |\mathcal{C}(f)| \le |f|)$$

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M: # of bits of a shortest file f such that $(|\mathcal{C}(f)| = N) < (|f| = M)$

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 ${\it M}: \#$ of bits of a shortest file f such that $(|\mathcal{C}(f)| = N) < (|f| = M)$

▶ By the pigeonhole principle

$$2^{N} + 1$$
 vs. 2^{N}



Longest Monotone Subsequence

Write a computer program that takes as its input a sequence of distinct integers and returns as its output the length of a longest monotone subsequence.

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Subsequence vs. substring

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Subsequence vs. substring

Monotone increasing vs. decreasing

Example (ES 24.8: Longest Monotone Subsequence)

Write a computer program that takes as its input a sequence of distinct integers and returns as its output the length of a longest monotone subsequence.

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Longest existence? uniqueness?

ES 24.8: Longest Increasing Subsequence

- ▶ Given an integer array A[1...n]
- \blacktriangleright To find (the length L of) a longest increasing subsequence.

$$5, 2, 8, 6, 3, 6, 9, 7 \implies 2, 3, 6, 9$$

学生反馈: 这道题为什么放在 "Pigeonhole Principle" 这一章?

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Theorem (Erdős-Szekeres Theorem)

Let n be a positive integer. Every sequence of $n^2 + 1$ distinct integers must contain a monotone subsequence of length n + 1.

Q:这道题与数学归纳法有什么关系?

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- B.S. P(1)
- I.H. P(n)
- I.S. $P(n) \rightarrow P(n+1)$

P(n) 是什么?

$$L = \max_{1 \le i \le n} L(i)$$

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$$L(1) = 1$$

$$L(i) = 1 + \max\{L(j) : j < i \land A[j] < A[i]\}$$

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Thank You!