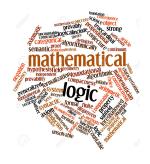
# 1-3 常用的证明方法

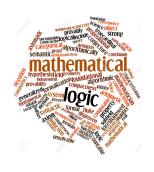
# 魏恒峰

hfwei@nju.edu.cn

2017年10月23日









# 习题选讲

UD (第五章) 反证法 (Contradiction)

UD (第十七章) 数学归纳法 (Mathematical Induction)

ES (第二十四章) 鸽笼原理 (Pigeonhole Principle)

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UD 题目 17.14: 第二数学归纳法

使用(第一)数学归纳法证明第二数学归纳法。

# Theorem (Cantor Theorem)

Let A be a set.

If  $f:A\to 2^A$ , then f is not onto.

#### UD 题目 17.14: 第二数学归纳法

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UD 题目 17.14: 第二数学归纳法

使用(第一)数学归纳法证明:

Theorem (Second Principle of Mathematical Induction)

For an integer n, let Q(n) denote an assertion. Suppose that

- (i) Q(1) is true and
- (ii) for all positive integers n, if  $Q(1), \dots, Q(n)$  are true, then Q(n+1) is true.

Then Q(n) holds for all positive integers n.

## Theorem (第二数学归纳法)

$$\left[Q(1) \land \forall n \in \mathbb{N}^+ \Big( \big(Q(1) \land \dots \land Q(n)\big) \to Q(n+1) \Big) \right] \to \forall n \in \mathbb{N}^+ Q(n).$$

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#### Theorem (第二数学归纳法)

$$\left[Q(1) \land \forall n \in \mathbb{N}^+ \Big( \big(Q(1) \land \dots \land Q(n)\big) \to Q(n+1) \Big) \right] \to \forall n \in \mathbb{N}^+ Q(n).$$

## Theorem ((第一) 数学归纳法)

$$\left[ P(1) \land \forall n \in \mathbb{N}^+ (P(n) \to P(n+1)) \right] \to \forall n \in \mathbb{N}^+ P(n).$$

"标准"证明示例。

$$P(n) \triangleq Q(1) \wedge \cdots \wedge Q(n)$$

用(第一) 数学归纳法证明 P(n) 对一切正整数都成立。

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## 用(第一) 数学归纳法证明 P(n) 对一切正整数都成立。

Proof.

By mathematical induction on  $\mathbb{N}^+$ .

Basis Step 
$$P(1)$$

Inductive Step 
$$P(n) \rightarrow P(n+1)$$

Therefore, P(n) holds for all positive integers.



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Inductive Hypothesis P(n)

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Proof.

能不能"算一算"呢?

$$P(n) \triangleq Q(1) \land \dots \land Q(n)$$



Let us calculate [calculemus].

## 数学归纳法

(第一) 数学归纳法与第二数学归纳法等价。

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#### 数学归纳法

(第一) 数学归纳法与第二数学归纳法等价。

Q: 为什么第二数学归纳法也被称为"强" (strong) 数学归纳法?



Georg Cantor (1845 - 1918)



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Leopold Kronecker (1823 – 1891)

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Henri Poincaré (1854 – 1912)



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Henri Poincaré (1854 - 1912)



Ludwig Wittgenstein (1889 - 1951) = 2017 年 10 月 23 日



Georg Cantor (1845 - 1918)



David Hilbert (1862 - 1943)



Leopold Kronecker (1823 – 1891)



Henri Poincaré (1854 – 1912) 1-3 常用的证明方法



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From his paradise that Cantor with us unfolded, we hold our breath in awe; knowing, we shall not be expelled.

— David Hilbert

没有人能把我们从 Cantor 创造的乐园中驱逐出去。

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Understanding this problem:

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#### Understanding this problem:

$$2^A \ A = \{1,2,3\},$$
 
$$2^A = \left\{\emptyset,\{1\},\{2\},\{3\},\{1,2\},\{1,3\},\{2,3\},\{1,2,3\}\right\}$$

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$$\forall B \in 2^A \ \exists a \in A \ (f(a) = B).$$

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Not Onto

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#### Proof.

Constructive proof:

$$B = \{ x \in A \mid x \notin f(x) \}.$$

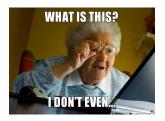
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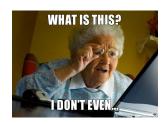
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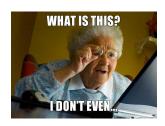
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$$Q: a \in B \ (= f(a))?$$



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# 对角线论证 (Cantor's diagonal argument) (以下仅适用于可数集合 A).

a	f(a)						
	1	2	3	4	5		
1	1	1	0	0	1		
2	0	0	0	0	0		
3	1	0	0	1	0	• • •	
4	1	1	1	1	1		
5	0	1	0	1	0	• • •	
:	:	:	:	:	:		

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:	:	:	:	:	:		

$$B = \{0, 1, 1, 0, 1\}$$

# 补充思考题

# 存在性证明 (Existence Proof)

- 1. 构造性证明 (Constructive proof)
- 2. 反证法 (By contradiction)

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Paul Erdős (1913 – 1996)

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Paul Erdős (1913 – 1996)



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Q:这是构造性证明吗?

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Q:这是构造性证明吗?这是反证法吗?

# Lossless Compression Algorithms



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Compression Alg.: a function  $\mathcal C$ 

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Lossless: f is injective

$$C(f_1) = C(f_2) \implies f_1 = f_2$$

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$$|\forall \mathcal{C}: (\exists f \in F: |\mathcal{C}(f)| < |f|) \to (\exists f \in F: |\mathcal{C}(f)| > |f|)$$



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$$\forall \mathcal{C}: \left(\exists f \in F: |\mathcal{C}(f)| < |f|\right) \rightarrow \left(\exists f \in F: |\mathcal{C}(f)| > |f|\right)$$

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▶ By contradiction

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M: # of bits of a shortest file f such that  $(|\mathcal{C}(f)| = N) < (|f| = M)$ 

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 ${\it M}: \#$  of bits of a shortest file f such that  $(|\mathcal{C}(f)| = N) < (|f| = M)$ 

▶ By the pigeonhole principle

$$2^{N} + 1$$
 vs.  $2^{N}$ 



# Longest Monotone Subsequence

Write a computer program that takes as its input a sequence of distinct integers and returns as its output the length of a longest monotone subsequence.

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Subsequence vs. substring

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Monotone increasing vs. decreasing

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Monotone increasing vs. decreasing strictly vs. non-strictly

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Monotone increasing vs. decreasing strictly vs. non-strictly

Longest existence? uniqueness?

#### ES 24.8: Longest Increasing Subsequence

- ▶ Given an integer array A[1...n]
- $\blacktriangleright$  To find (the length L of) a longest increasing subsequence.

$$5, 2, 8, 6, 3, 6, 9, 7 \implies 2, 3, 6, 9$$

学生反馈: 这道题为什么放在 "Pigeonhole Principle" 这一章?

# 学生反馈: 这道题为什么放在 "Pigeonhole Principle" 这一章?



#### 学生反馈: 这道题为什么放在 "Pigeonhole Principle" 这一章?



# Theorem (Erdős-Szekeres Theorem)

Let n be a positive integer. Every sequence of  $n^2 + 1$  distinct integers must contain a monotone subsequence of length n + 1.

Q:这道题与数学归纳法有什么关系?

#### Q:这道题与数学归纳法有什么关系?

- B.S. P(1)
- I.H. P(n)
- I.S.  $P(n) \rightarrow P(n+1)$

P(n) 是什么?

$$L = \max_{1 \le i \le n} L(i)$$

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$$L(1) = 1$$
  
 
$$L(i) = 1 + \max\{L(j) : j < i \land A[j] < A[i]\}$$

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# Thank You!