

Approximation Algorithms

Hengfeng Wei

hfwei@nju.edu.cn

May 14 ~ May 18, 2017



Approximation Algorithms

1 Approximation Classes

2 Approximation Algorithms

Approximation Classes

NPO: NP optimization problems

APX: constant factor ϵ -approximation ($\epsilon > 1$)

$f(n)$ -APX: Exp-APX, Poly-APX, Log-APX

PTAS: $\blacktriangleright \forall \epsilon > 0 : (1 + \epsilon)$ -approximation

$\blacktriangleright P : \text{Poly}(n) \quad O(n^{2/\epsilon}) \quad O(n^{2^{2^{1/\epsilon}}})$

FPTAS: $\blacktriangleright \forall \epsilon > 0 : (1 + \epsilon)$ -approximation

$\blacktriangleright FP : \text{Poly}(n, 1/\epsilon) \quad O((1/\epsilon)^2 \cdot n^3)$

PO: polynomial time solvable optimization problems

Approximation Classes

$$\begin{aligned} \text{PO} \subsetneq \text{FPTAS} \subsetneq \text{PTAS} \subsetneq \text{APX} \\ \subsetneq \text{Log-APX} \subsetneq \text{Poly-APX} \subsetneq \text{Exp-APX} \subsetneq \text{NPO} \end{aligned}$$

(if $P \neq NP$)

- Knapsack, Makespan, Vertex Cover, Set Cover, Clique, TSP

Stability of approximation

Stability of approximation

Approximation Algorithms

- 1 Approximation Classes
- 2 Approximation Algorithms
 - Makespan Scheduling Problem

Makespan scheduling problem

Makespan scheduling problem (MS)

- ▶ n jobs: J_1, \dots, J_n
- ▶ processing time: p_1, \dots, p_n
- ▶ $m \geq 2$ machines: M_1, \dots, M_m
- ▶ goal: minimize the makespan

MS is NP-complete

Definition (Partition)

$$A = \{5, 1, 3, 4, 8, 2, 7\} \implies A' = \{5, 3, 7\} \quad A - A' = \{1, 2, 4, 8\}$$

Definition (3-Partition)

$$A = \{3, 3, 2, 2, 2, 2\}, m = 2, B = 7$$

List-Scheduling (LS) algorithm

List-Scheduling algorithm (JH 4.2.1.4)

- ▶ online
- ▶ assign job to the least heavily loaded

$$J = 2, 3, 4, 6, 2, 2 \quad m = 3$$

LS is 2-approx.

$$T \text{ vs. } T^* : \frac{T}{T^*}$$

$$T^* \geq \frac{1}{m} \sum_j t_j$$

$$T^* \geq \max_j t_j$$

1. M_i : with the maximum load
2. J_i : the last job on M_i

$$ms_i \leq \sum_j t_j \implies s_i \leq \frac{1}{m} \sum_j t_j \leq T^*$$

$$T = c_i = s_i + p_i \leq T^* + T^* = 2T^*$$

2-approx. is (almost) tight

$$n = \underbrace{m(m-1)}_{p_i=1} + \underbrace{1}_{p_i=m}$$

$$\frac{T}{T^*} = \frac{2m-1}{m} = 2 - \frac{1}{m}$$

LS is $(2 - \frac{1}{m})$ -approx.

$$\begin{aligned}ms_i &\leq \sum_{j \neq i} p_j = \frac{1}{m} \left(\sum_j p_j - p_i \right) \\&= \frac{1}{m} \sum_j p_j - \frac{1}{m} p_i \\&\leq T^* - \frac{1}{m} p_i\end{aligned}$$

$$\begin{aligned}T = c_i &= s_i + p_i \\&\leq T^* + \left(1 - \frac{1}{m}\right) p_i\end{aligned}$$

Sorting-Scheduling algorithm

Sorting-Scheduling algorithm (JH 4.2.1.5)

Longest Processing Time (LPT) rule:

- ▶ sorting non-increasingly
- ▶ applying LS

1. M_i : with the maximum load
2. J_i : the last job on M_i

$$|M_i| = 1 \implies T = T^*$$

$$|M_i| \geq 2 \implies p_i \leq \frac{1}{2}T^*$$

$$\implies T = s_i + p_i \leq \left(\frac{3}{2} - \frac{1}{2m}\right)T^*$$

LPT rule is $(\frac{4}{3} - \frac{1}{3m})$ -approx.

$$p_1 \geq p_2 \geq \cdots \geq p_n$$

CASE $p_i \leq \frac{1}{3}T^*$:

$$T \leq (\frac{4}{3} - \frac{1}{3m})T^*$$

CASE $p_i > \frac{1}{3}T^*$:

$p_i \equiv p_n$ (w.l.o.g: T unchanged; T^* not smaller)

$$\implies p_1 \geq p_2 \geq \cdots \geq p_n > \frac{1}{3}T^* \implies |M_i| \leq 2$$

$$\implies n \leq 2m \implies n = 2m - h \xrightarrow[\text{argument}]{\text{exchange}} T = T^*$$

$(\frac{4}{3} - \frac{1}{3m})$ -approx. is tight

$$n = 2m + 1$$

$$\text{LPT: } J_1 = x, J_{2m} = y, J_{2m+1} = z$$

$$\text{OPT: } J_{2m-1} = J_{2m} = J_{2m+1} = y$$

$$\text{vs. } \frac{x + 2y}{3y} = \frac{4}{3} - \frac{1}{3m}$$

$$J = \{2m - 1, 2m - 1, \dots, m + 1, m + 1, m, m, m\}$$

Reference

- ▶ “Bounds on Multiprocessing Timing Anomalies” by R.L.Graham, 1969
- ▶ “Approximation Algorithms for NP-Hard Problems” edited by Dorit Hochbaum, 1996 (Theorem 1.5)

