

Polynomial-time approximation scheme

In computer science, a **polynomial-time approximation scheme (PTAS)** is a type of **approximation algorithm** for **optimization problems** (most often, **NP-hard** optimization problems).

A PTAS is an algorithm which takes an instance of an optimization problem and a parameter $\epsilon > 0$ and, in polynomial time, produces a solution that is within a factor $1 + \epsilon$ of being optimal (or $1 - \epsilon$ for maximization problems). For example, for the Euclidean **traveling salesman problem**, a PTAS would produce a tour with length at most $(1 + \epsilon)L$, with L being the length of the shortest tour.^[1] There exists also PTAS for the class of all dense CSP problems.^[2]

The running time of a PTAS is required to be polynomial in n for every fixed ϵ but can be different for different ϵ . Thus an algorithm running in time $O(n^{1/\epsilon})$ or even $O(n^{\exp(1/\epsilon)})$ counts as a PTAS.

1 Variants

1.1 Deterministic

A practical problem with PTAS algorithms is that the exponent of the polynomial could increase dramatically as ϵ shrinks, for example if the runtime is $O(n^{(1/\epsilon)!})$. One way of addressing this is to define the **efficient polynomial-time approximation scheme** or **EPTAS**, in which the running time is required to be $O(n^c)$ for a constant c independent of ϵ . This ensures that an increase in problem size has the same relative effect on runtime regardless of what ϵ is being used; however, the constant under the **big-O** can still depend on ϵ arbitrarily. Even more restrictive, and useful in practice, is the **fully polynomial-time approximation scheme** or **FPTAS**, which requires the algorithm to be polynomial in both the problem size n and $1/\epsilon$. All problems in FPTAS are **fixed-parameter tractable**. An example of a problem that has an FPTAS is the **knapsack problem**.

Any **strongly NP-hard** optimization problem with a polynomially bounded objective function cannot have an FPTAS unless $P=NP$.^[3] However, the converse fails: e.g. if P does not equal NP , **knapsack with two constraints** is not strongly NP-hard, but has no FPTAS even when the optimal objective is polynomially bounded.^[4]

Unless $P = NP$, it holds that $FPTAS \subsetneq PTAS \subsetneq APX$.^[5] Consequently, under this assumption, APX-hard problems do not have PTASs.

Another deterministic variant of the PTAS is the **quasi-polynomial-time approximation scheme** or **QPTAS**. A QPTAS has time complexity $n^{\text{polylog}(n)}$ for each fixed $\epsilon > 0$.

1.2 Randomized

Some problems which do not have a PTAS may admit a **randomized algorithm** with similar properties, a **polynomial-time randomized approximation scheme** or **PRAS**. A PRAS is an algorithm which takes an instance of an optimization or counting problem and a parameter $\epsilon > 0$ and, in polynomial time, produces a solution that has a *high probability* of being within a factor ϵ of optimal. Conventionally, “high probability” means probability greater than $3/4$, though as with most probabilistic complexity classes the definition is robust to variations in this exact value (the bare minimum requirement is generally greater than $1/2$). Like a PTAS, a PRAS must have running time polynomial in n , but not necessarily in ϵ ; with further restrictions on the running time in ϵ , one can define an **efficient polynomial-time randomized approximation scheme** or **EPRAS** similar to the EPTAS, and a **fully polynomial-time randomized approximation scheme** or **FPRAS** similar to the FPTAS.^[3]

2 As a complexity class

The term PTAS may also be used to refer to the class of optimization problems that have a PTAS. PTAS is a subset of **APX**, and unless $P = NP$, it is a strict subset.^[5]

Membership in PTAS can be shown using a **PTAS reduction**, **L-reduction**, or **P-reduction**, all of which preserve PTAS membership, and these may also be used to demonstrate PTAS-completeness. On the other hand, showing non-membership in PTAS (namely, the nonexistence of a PTAS), may be done by showing that the problem is APX-hard, after which the existence of a PTAS would show $P = NP$. APX-hardness is commonly shown via PTAS reduction or **AP-reduction**.

3 References

- [1] Sanjeev Arora, Polynomial-time Approximation Schemes for Euclidean TSP and other Geometric Problems, Journal of the ACM 45(5) 753–782, 1998.

- [2] Arora, S.; Karger, D.; Karpinski, M. (1999), “Polynomial Time Approximation Schemes for Dense Instances of NP-Hard Problems”, *Joural of Computer and System Sciences*, **58** (1): 193–210, doi:10.1006/jcss.1998.1605
- [3] Vazirani, Vijay V. (2003). *Approximation Algorithms*. Berlin: Springer. pp. 294–295. ISBN 3-540-65367-8.
- [4] H. Kellerer and U. Pferschy and D. Pisinger (2004). *Knapsack Problems*. Springer.
- [5] Jansen, Thomas (1998), “Introduction to the Theory of Complexity and Approximation Algorithms”, in Mayr, Ernst W.; Prömel, Hans Jürgen; Steger, Angelika, *Lectures on Proof Verification and Approximation Algorithms*, Springer, pp. 5–28, doi:10.1007/BFb0053011, ISBN 9783540642015. See discussion following Definition 1.30 on p. 20.

4 External links

- Complexity Zoo: PTAS, EPTAS, FPTAS
- Pierluigi Crescenzi, Viggo Kann, Magnús Halldórsson, Marek Karpinski, and Gerhard Woeginger, *A compendium of NP optimization problems* – list which NP optimization problems have PTAS.

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