# Programming Assignment 3 (Dynamic Programming)

Department of Computer Science, University of Wisconsin – Whitewater Theory of Algorithms (CS 433)

## Instructions For Submissions

- This assignment is to be completed individually. If you are stuck with something, consider asking the instructor for help.
- Submit code and a brief report. Submission is via Canvas as a single zip file. No need to include the algorithm description in the report.
- Any function with a compilation error will receive a zero, regardless of how much it has been completed.

## 1 Overview

We are going to implement a few dynamic programming algorithms. To this end, **your task is to implement the following methods**:

- isSumPossible in SubsetSum
- findOptimalProfit in KnapsackO1
- computeSum, computeSet, and computeSetHelper methods in MWIS
- longestCommonSubsequence in LCS
- numberOfBinaryStringsWithNoConsecutiveOnes in BinaryStrings
- longestIncreasingSubsequence in LIS

The project also contains additional files which you do not need to modify (but need to use). Use TestCorrectness file to test your code. For each part, you will get an output that you can match with the output I have given to verify whether or not your code is correct. Output is provided in the ExpectedOutput file. You can use www.diffchecker.com to tally the output.

You will also write a report for the Maximum Subarray Sum problem.

### 1.1 C++ Helpful Hints

For C++ programmers, you must use DYNAMIC ALLOCATION to return an array. Thus, to return an array x of length 10, declared it as: int \*x = new int[10];

## 1.2 Dynamic Arrays

Here, you will use their C++/Java/C# implementations of dynamic arrays, which are named respectively **vector**, **ArrayList**, and **List**. Typically, this encompasses use of generics, whereby you can create dynamic arrays of any type (and not just integer). However, you will create integer dynamic arrays here; the syntax is pretty self explanatory on how to extend this to other types (such as char, string, or even objects of a class).

- In C++, the syntax to create is vector(int) name. To add a number (say 15) at the end of the vector, the syntax is name.push\_back(15). To remove the last number, the syntax is name.pop\_back(). To access the number at an index (say 4), the syntax is name.at(4).
- In Java, the syntax to create is ArrayList(Integer) name = new ArrayList(Integer)(). To add a number (say 15) at the end of the array list, the syntax is name.add(15). To remove the last number, the syntax is name.remove(name.size() 1). To access the number at an index (say 4), the syntax is name.get(4).
- In C#, the syntax to create is List(int) name = new List(int)(). To add a number (say 15) at the end of the vector, the syntax is name.Add(15). To remove the last number, the syntax is name.RemoveAt(name.Count 1). To access the number at an index (say 4), the syntax is name[4].

### 1.3 Set and Iterator

We will use set for the Subset Sum problem; the set is essentially an implementation a balanced binary search tree (a Red-Black tree to be precise). As with AVL trees, we can insert a number (or a key) at most once; then, we can search or delete it. I'll provide some of the functions and a sample example; the code will need pretty much the same ideas. I'll only focus only on integers because that's what we will store, but other data types can be accommodated using generics.

- In C++, the syntax to create is set(int) name. The number of items in the set is given by name.size(). To add a number (say 15), the syntax is name.insert(15). To remove a number (say 15), the syntax is name.erase(15). To check if a number (say 15) exists, the syntax is if (name.find(15) != name.end()); the statement inside the if evaluates to true if the number is present.
- In Java, the syntax to create is TreeSet(Integer) name = new TreeSet(Integer)(). The number of items in the set is given by name.size(). To add a number (say 15), the syntax is name.add(15). To remove a number (say 15), the syntax is name.remove(15). To check if a number (say 15) exists, the syntax is if (name.contains(15)); the statement inside the if evaluates to true if the number is present.
- In C#, the syntax to create is SortedSet(int) name = new SortedSet(int)(). The number of items in the set is given by name.Count. To add a number (say 15), the syntax is name.Add(15). To remove a number (say 15), the syntax is name.Remove(15). To check if a number (say 15) exists, the syntax is if (name.Contains(15)); the statement inside the if evaluates to true if the number is present.

For this assignment, you will need to also read all the values that are in the set. There are multiple ways of doing this; we are going to use an an iterator (in C++/Java) or an enumerator (in C#). Starting from the minimum, the iterator points to a value in the set and can be moved to the next highest value in the set. Details:

• In C++, the syntax is:

```
set<int>::iterator it = name.begin(); // create iterator
while (it != name.end()) { // as long as there is a value
   int currentVal = (*it); // reads the value the iterator is pointing at
   it++; // moves to the next value
}
```

• In Java, the syntax is:

• In C#, the syntax is:

```
IEnumerator<int> it = name.GetEnumerator(); // create enumerator
while (it.MoveNext()) // as long as there is a value, move to the next value
  int currentVal = it.Current; // reads the value at which the iterator is pointing
```

# 2 Subset Sum (20 points)

You are going to implement the space-efficient algorithm for solving the subset-sum problem. Recall the idea is to keep all the sums less than or equal to the target in a set; then, add each new number to the sums and add the new sums to the set. We remarked that the set can be implemented as a balanced binary-searcg tree, which is what you are going to do over here.

Implement the isSumPossible method using the following pseudo-code.

#### Space-Friendly Floating Subset Sum

- Create a set sums. Insert 0 into sums.
- for (i = 0 to i < numElements), do the following:
  - create an integer array values having length equaling the size of the set
  - use an iterator to read the numbers from the set and fill up the array
  - for (j = 0 to j < the length of values), do the following:
    - \* let val = elements[i] + values[j]
    - \* if (val equals target) return true
    - \* else if (val is less than target), insert val into the sums
- return false

# 3 0-1 Knapsack (20 points)

You are going to implement 0-1 Knapsack, but in a space-efficient way. Recall the idea is to compute and keep only two rows at a time. You use the previous row to compute the current one, and then set the previous to be the current row; thus, in the next iteration, current becomes previous.

Implement the findOptimalProfit method using the following pseudo-code.

## Space-Friendly 0-1 Knapsack

- Create two integer arrays currentRow and prevRow of size (capacity + 1).
- Set all cells of prevRow to 0
- for (i = 0 to i < numElements), do the following:
  - if weights[i] is more than capacity, then continue
  - assign currentRow[j] = prevRow[j], where  $0 \le j < weights[i]$
  - for  $(j = weights[i] \text{ to } j \le capacity)$ ,
    - \* set currentRow[j] to the maximum of prevRow[j] and (prevRow[j weights[i]] + profits[i])
  - copy currentRow cell-by-cell to prevRow
- Finally, return currentRow[capacity]

# 4 Maximum Weighted Independent Set in a Tree (45 points)

Recall the maximum (weighted) independent set problem that we discussed. Here, we are going to implement it. The structure is pretty much the same, with the following minor modifications:

- The vertices in the tree are numbered 0 through n-1, where n is the number of vertices.
- Instead of augmenting *incl* and *excl* values at every node of the tree, we maintain an array *computedSum*[], where *computedSum*[i] stores the maximum between *incl* and *excl* values of node i in the tree.

Recall that when we want to create the independent set, we need to know whether incl value at a node is larger or smaller than excl value. To that end, we use another boolean array inIncludedSumLarger[] to indicate the same, i.e., inIncludedSumLarger[i] is set to true if the incl value of node i is larger than the excl value of the node.

Let's see the purpose of this implementation. A boolean (1 byte) takes less space than an integer (4 bytes). Thus, computedSum[ ] and inIncludedSumLarger[ ] need 5 bytes per node, whereas maintaining incl and excl values need 8 bytes per node.

• Finally, we have another boolean array  $isInSet[\ ]$ , where isInSet[i] is set to true if node i is included in the final independent set.

Representing the Tree in Memory: We use a two-dimensional jagged array adjList (called adjacency list) to represent the tree. Specifically, row index i in the array corresponds to the node  $v_i$ , i.e., row 0 corresponds to  $v_0$ , row 1 corresponds to  $v_1$ , and so on. Each cell in row i stores the children of  $v_i$ , and each row is an integer dynamic array. Also, adjList is a dynamic array of these integer dynamic arrays. This implementation makes it easier to read the tree. In C++, we implement adjList as a vector of integer vectors. In Java, we implement adjList as an ArrayList of integer ArrayLists. In C#, we implement adjList as a List of integer Lists.

The tree contains the weights associated with each node in weights[] array. For unweighted trees, each entry in this array is 1.

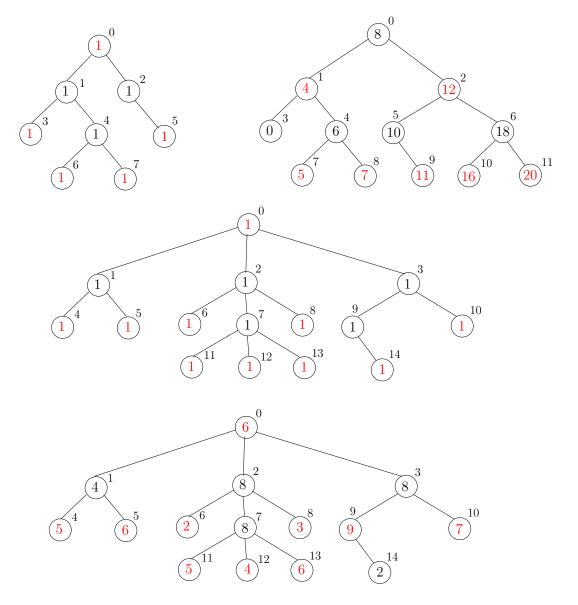


Figure 1: Trees used for Testing Weighted Maximum Set Algorithm. The numbers within the circle indicate the weight of a node, and on the top of the node is the id of the node. Red colors show the nodes that are included as the part of a weighted maximum independent set.

As an example, consider the last tree in Figure 2. Row 0 of adjList contains the following nodes:  $\langle 1, 2, 3 \rangle$ ; this is to be interpreted as vertex  $v_0$  has 3 children –  $v_1$ ,  $v_2$ , and  $v_3$ . Likewise, row 1 contains the nodes  $\langle 4, 5 \rangle$ , row 2 contains the nodes  $\langle 6, 7, 8 \rangle$ , and so on. In this example, weights[0] = 6, weights[1] = 4, weights[2] = 8, and so on.

To test the MWIS methods, I have included 4 sample files: (mis1.txt, mis2.txt, mis3.txt, and mis4.txt). For the MWIS methods to work, you MUST fill in the paths (in TestCorrectness) for the folder where the tree files are stored. The corresponding trees are shown above.

Implement the computeSum, computeSet, and computeSetHelper methods using the following.

## Compute Sum

- if  $computedSum[node] \neq -\infty$ , then return computedSum[node].
- Initialize excl = 0 and incl = weights[node]
- Let *children* be the children of node. Specifically, *children* is the dynamic array at index *node* of *adjList*
- for (i = 0 to i < size of children), do the following:
  - let *child* be the value at index i of children
  - increment excl by computeSum(child)
  - let grandChildren be the children of child
  - Use the same idea above for finding *child* to find each *grandChild* in *grandChildren*, and do the following:
    - \* increment incl by computeSum(grandChild)
- if incl is more than excl, then set computedSum[node] = incl and isIncludedSumLarger[node] = true, else set computedSum[node] = excl
- return computedSum[node]

### Compute Set

- if included sum of root is larger than excluded sum, then set isInSet[root] to true
- for each child of root, call computeSetHelper(child, root)

#### Compute Set Helper

- if included sum of node is larger than excluded sum and parent is not included in the set, then set isInSet[node] = true
- for each child of node, call computeSetHelper(child, node)

# 5 Longest Common Subsequence (35 points)

Implement the longestCommonSubsequence method using the following pseudo-code.

#### Compute Longest Common Subsequence

- $\bullet$  Let lenx and leny be the lengths of x and y respectively.
- Create an integer 2d array length and a char 2d array direction both having (lenx+1) rows and (leny+1) columns
- Set length[i][0] = 0 and  $direction[i][0] = '\0'$  for  $0 \le i \le lenx$
- Set length[0][j] = 0 and  $direction[0][j] = '\0'$  for  $0 \le j \le leny$

- Run two nested for-loops from i = 1 to  $i \leq lenx$ , and j = 1 to  $j \leq leny$ . Within the inner loop, do the following:
  - If the character at index i-1 of x equals the character at index j-1 of y, set
    - \* length[i][j] = length[i-1][j-1] + 1
    - \* direction[i][j] = 'D'
  - Else if (length[i-1][j] > length[i][j-1]), set
    - \* length[i][j] = length[i-1][j]
    - \* direction[i][j] = 'U'
  - Else set
    - $* \ length[i][j] = length[i][j-1]$
    - \* direction[i][j] = 'L'
- Initialize a string answer = "";
- As long as  $(direction[lenx][leny] \neq '\0')$ , do the following:
  - If (direction[lenx][leny] equals 'D'),
    - \* append the character at index (lenx 1) of x to answer
    - \* decrement both lenx and leny by one
  - Else if (direction[lenx][leny] equals 'U'), decrement lenx by one
  - Else decrement leny by one
- reverse answer and then return it

# 6 Binary Strings with No Consecutive Ones (15 points)

Complete the numberOfBinaryStringsWithNoConsecutiveOnes method to find the number of binary strings of length n with no consecutive ones. If B(n) is the answer for an n-length string, then B(1) = 2, B(2) = 3, and for any n > 2, we have B(n) = B(n-1) + B(n-2).

Here's a video on how the algorithm works: https://drive.google.com/file/d/1TA2GMo\_tRgBwGqxDjTnkpm2g6iOkl1bq/view?usp=sharing

You MUST write a bottom-up dynamic program that uses only O(1) space, i.e., it does not use an array for storage, but a few variables is fine. You won't receive any credits if your code has exponential complexity, and will get partial credits if it uses more than constant space and/or does not use a bottom-up approach.

# 7 Longest Increasing Subsequence (25 points)

Complete the longestIncreasingSubsequence method to find the longest increasing subsequence. Once again you should use a bottom-up dynamic program. Here's a video on how the algorithm works: https://drive.google.com/file/d/1DjYYLLe5nU-03dRaIb00sVqLaBWo5goV/view?usp=sharing. Here's a sketchy pseudo-code (I expect you to fill in the details):

## Compute Longest Increasing Subsequence

- Create two integer arrays LIS and PRED both of lengths len.
- For i = 0, 1, 2, 3, ..., len 1, do the following:
  - Set LIS[i] = 1 and pred[i] = -1.
  - Using a loop, among the indexes 0, 1, 2, ..., i-1, find the index maxIndex such that arr[maxIndex] < arr[i] and LIS[maxIndex] is the maximum of all the values among LIS[0], LIS[1], ..., LIS[i-1].
    - If the values  $arr[0], arr[1], \ldots, arr[i-1]$  are all greater than arr[i], then let maxIndex = -1
  - If  $maxIndex \neq -1$ , set LIS[i] = LIS[maxIndex] + 1 and PRED[i] = maxIndex
- Find lisIndex, which is the index containing the maximum value in the LIS[] array
- Create a dynamic integer array.
- Starting from *lisIndex* and by using the *PRED* array, add the values in the longest increasing subsequence to the dynamic array
- Reverse the dynamic array using the given helper function, and then return it.

## 8 Maximum Subarray Sum (15 points)

You don't need to write any code for this part. Instead, write a brief report on the following.

- Read the notes on the Maximum Subarray Sum problem. [2 points]
- In light of the numbers obtained by running TestTime, which of the following improvements is more Method 1 to Method 2, or Method 2 to Method 3? [1 point]
- Under what programming paradigm (Brute-force, Greedy, Divide & Conquer, and Dynamic Programming) does each of the three methods fall under? [3 points]
- What are the complexities of these 3 algorithms? Explain briefly. [6 points]
- Do the complexities align with the numbers from TestTime? Explain briefly. [3 points]