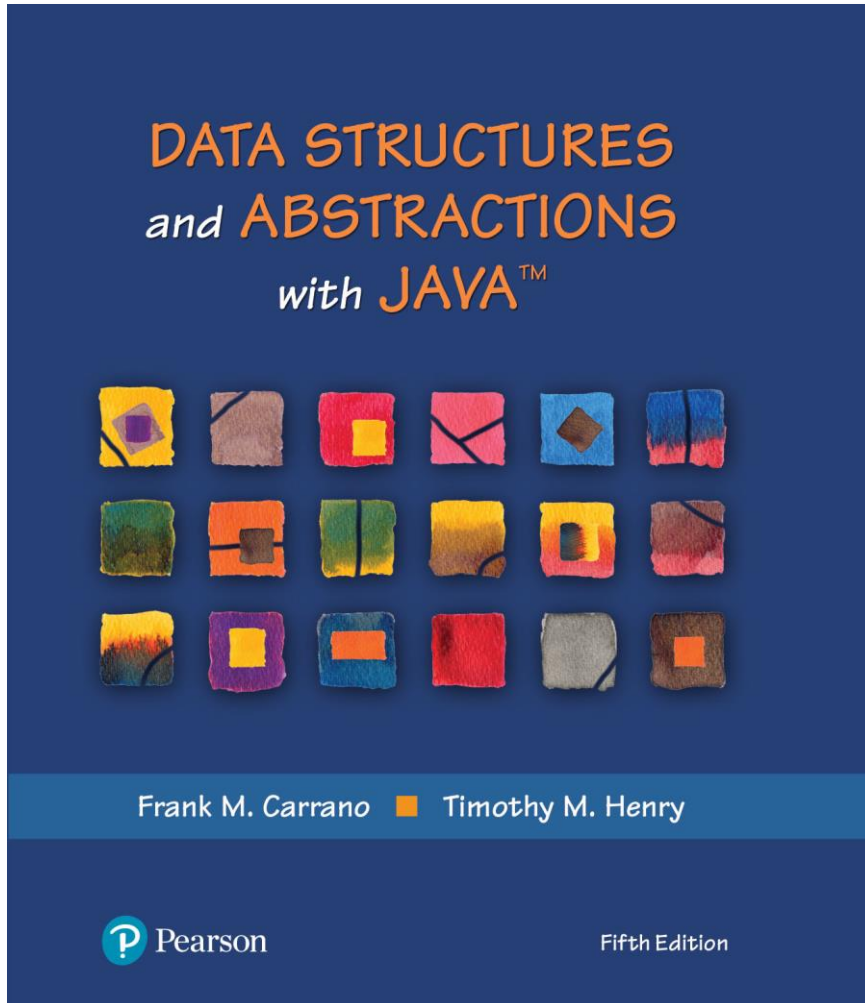


Data Structures and Abstractions with Java™

5th Edition



Chapter 16

Faster Sorting Methods

Merge Sort

- Divides an array into halves
- Sorts the two halves,
 - Then merges them into one sorted array.
- The algorithm for merge sort is usually stated recursively.
- Major programming effort is in the merge process

Merge Sort

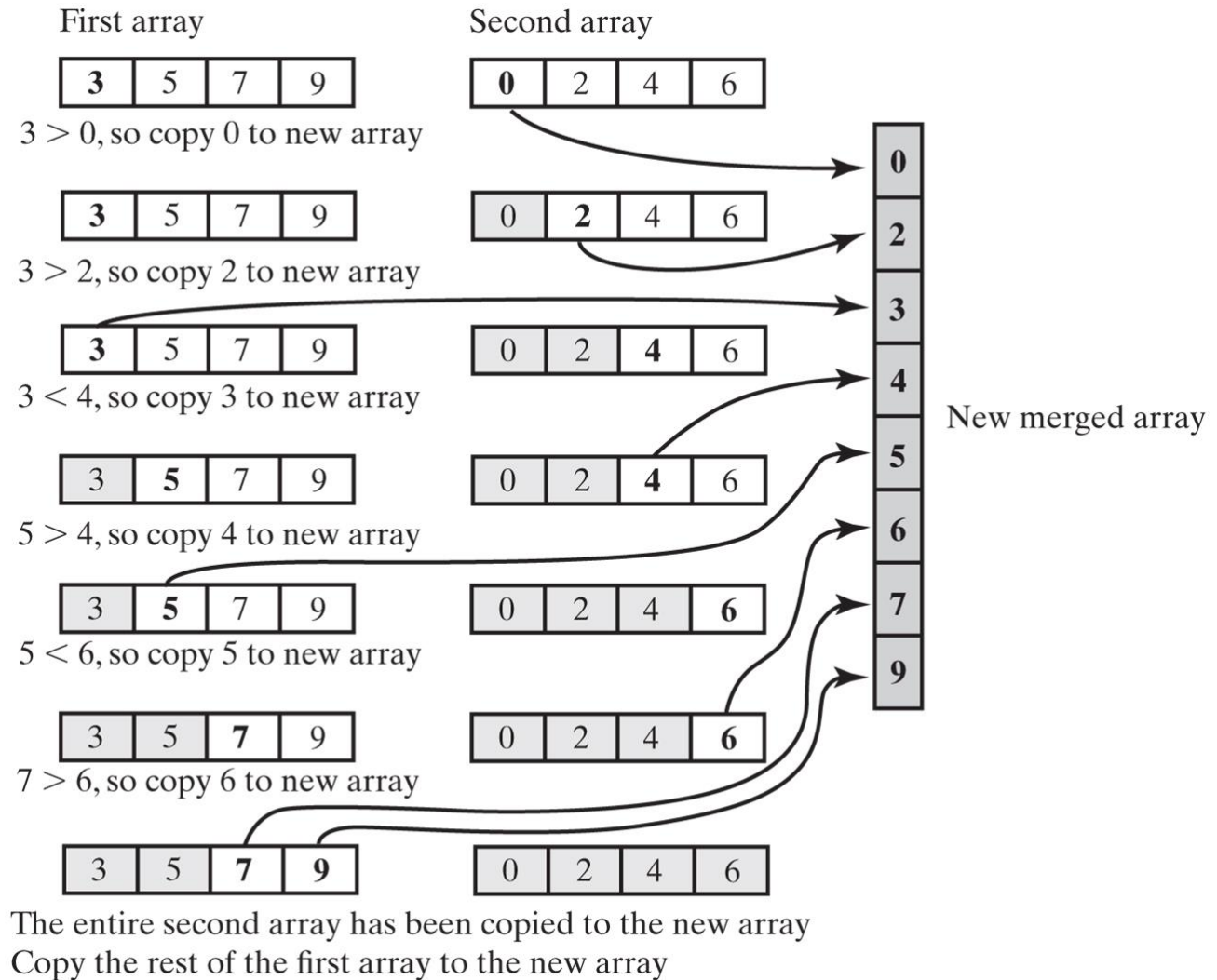
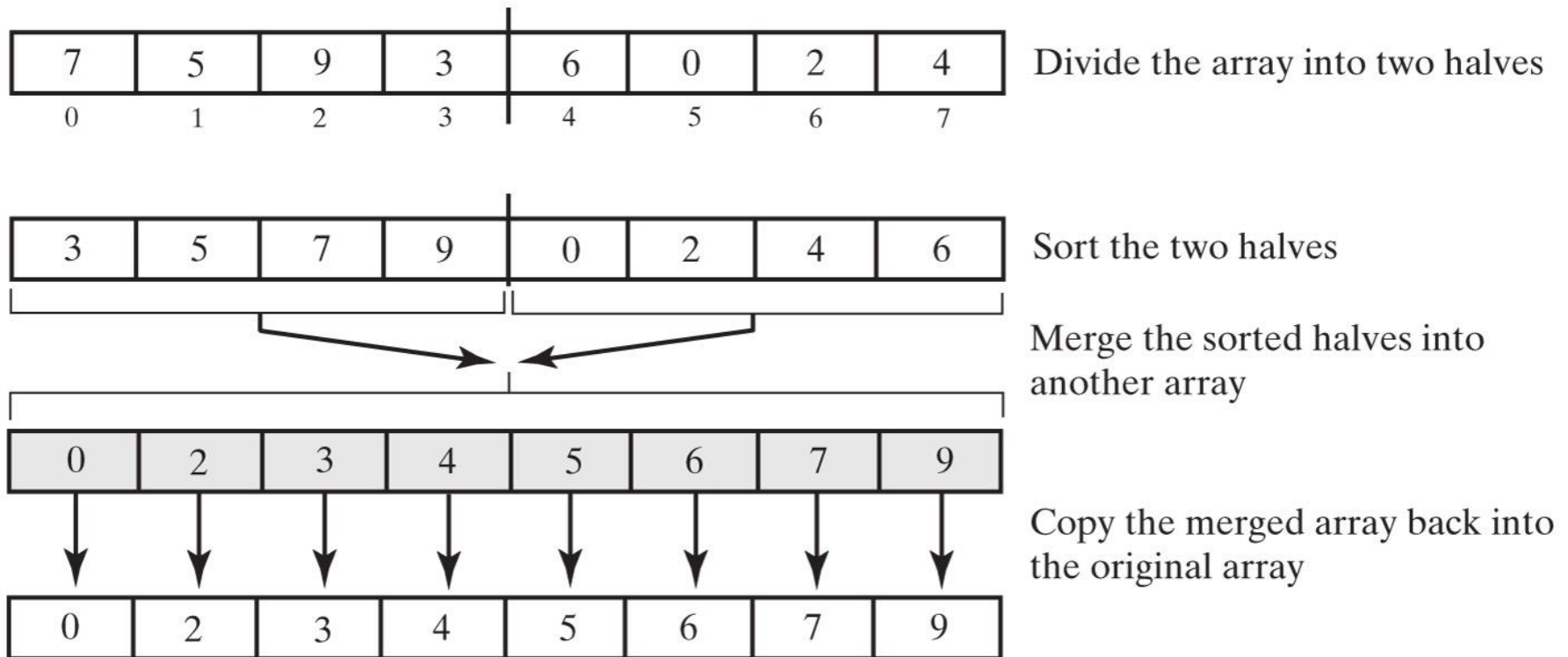


FIGURE 16-1 Merging two sorted arrays into one sorted array

Merge Sort



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FIGURE 16-2 The major steps in a merge sort

Recursive Merge Sort

Algorithm mergeSort(a, tempArray, **first**, last)

// Sorts the array entries a[first..last] recursively.

if (first < last)

{

 mid = *approximate midpoint between first and last*

 mergeSort(a, tempArray, first, mid)

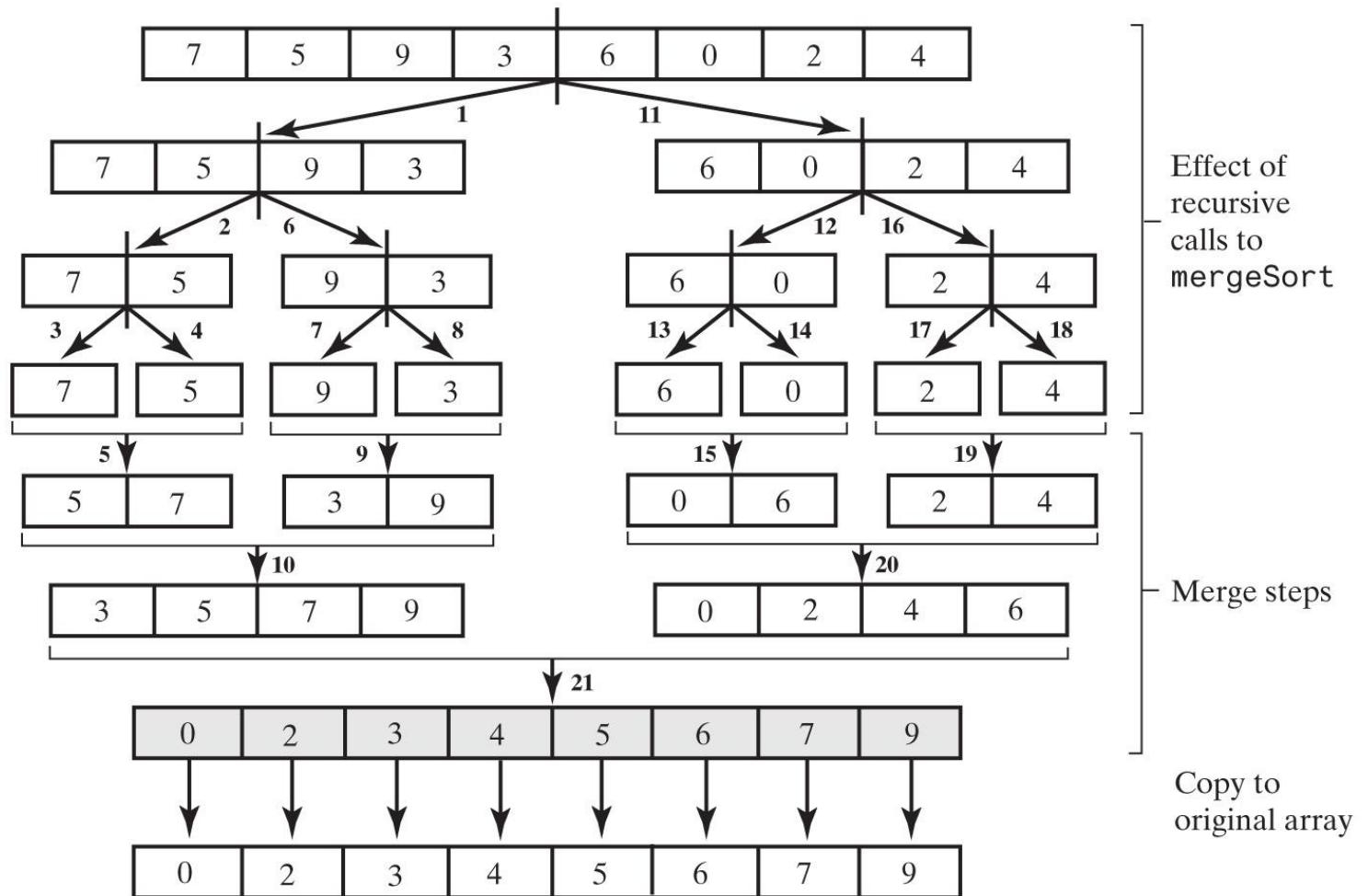
 mergeSort(a, tempArray, mid + 1, last)

Merge the sorted halves a[first..mid] and a[mid + 1..last] using the array tempArray

}

Recursive algorithm for merge sort.

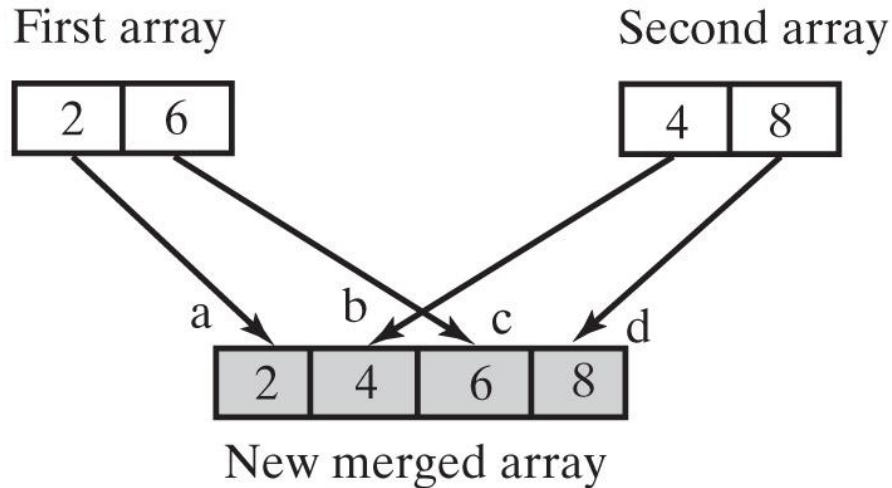
Merge Sort



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FIGURE 16-3 The effect of the recursive calls and the merges during a merge sort

Merge Sort



- a. $2 < 4$, so copy 2 to new array
- b. $6 > 4$, so copy 4 to new array
- c. $6 < 8$, so copy 6 to new array
- d. Copy 8 to new array

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Efficiency is $O(n \log n)$

FIGURE 16-4 A worst-case merge of two sorted arrays

Iterative Merge Sort

- Less simple than recursive version.
 - Need to control the merges.
- Will be more efficient of both time and space.
 - But, trickier to code without error.

Iterative Merge Sort

- Starts at beginning of array
 - Merges pairs of individual entries to form two-entry subarrays
- Returns to the beginning of array and merges pairs of the two-entry subarrays to form four-entry subarrays
 - And so on
- After merging all pairs of subarrays of a particular length, might have entries left over.

Merge Sort in the Java Class Library

- Class **Arrays** in the package `java.util` defines versions of a static method `sort`

```
public static void sort(Object[] a)
```

```
public static void sort(Object[] a, int first, int after)
```

Quick Sort

- Divides an array into two pieces
 - Pieces are not necessarily halves of the array
 - Chooses one entry in the array—called the pivot
- Partitions the array

Quick Sort

- When pivot chosen, array rearranged such that:
 - Pivot is in position that it will occupy in final sorted array
 - Entries in positions before pivot are less than or equal to pivot
 - Entries in positions after pivot are greater than or equal to pivot

Quick Sort

Algorithm quickSort(a, first, last)

// Sorts the array entries a[first..last] recursively.

if (first < last)

{

Choose a pivot

Partition the array about the pivot

pivotIndex = index of pivot

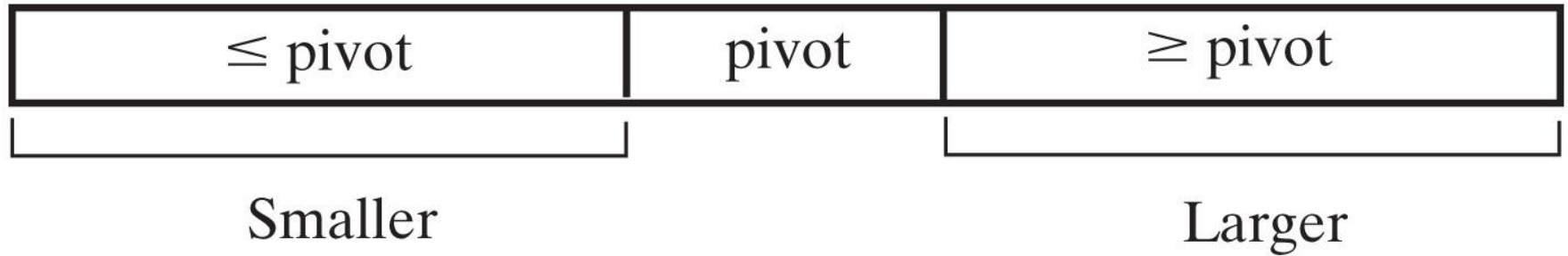
quickSort(a, first, pivotIndex - 1) // Sort Smaller

quickSort(a, pivotIndex + 1, last) //Sort Larger

}

Algorithm that describes our sorting strategy

Quick Sort



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FIGURE 16-5 A partition of an array during a quick sort

Quick Sort Partitioning (Part 1)

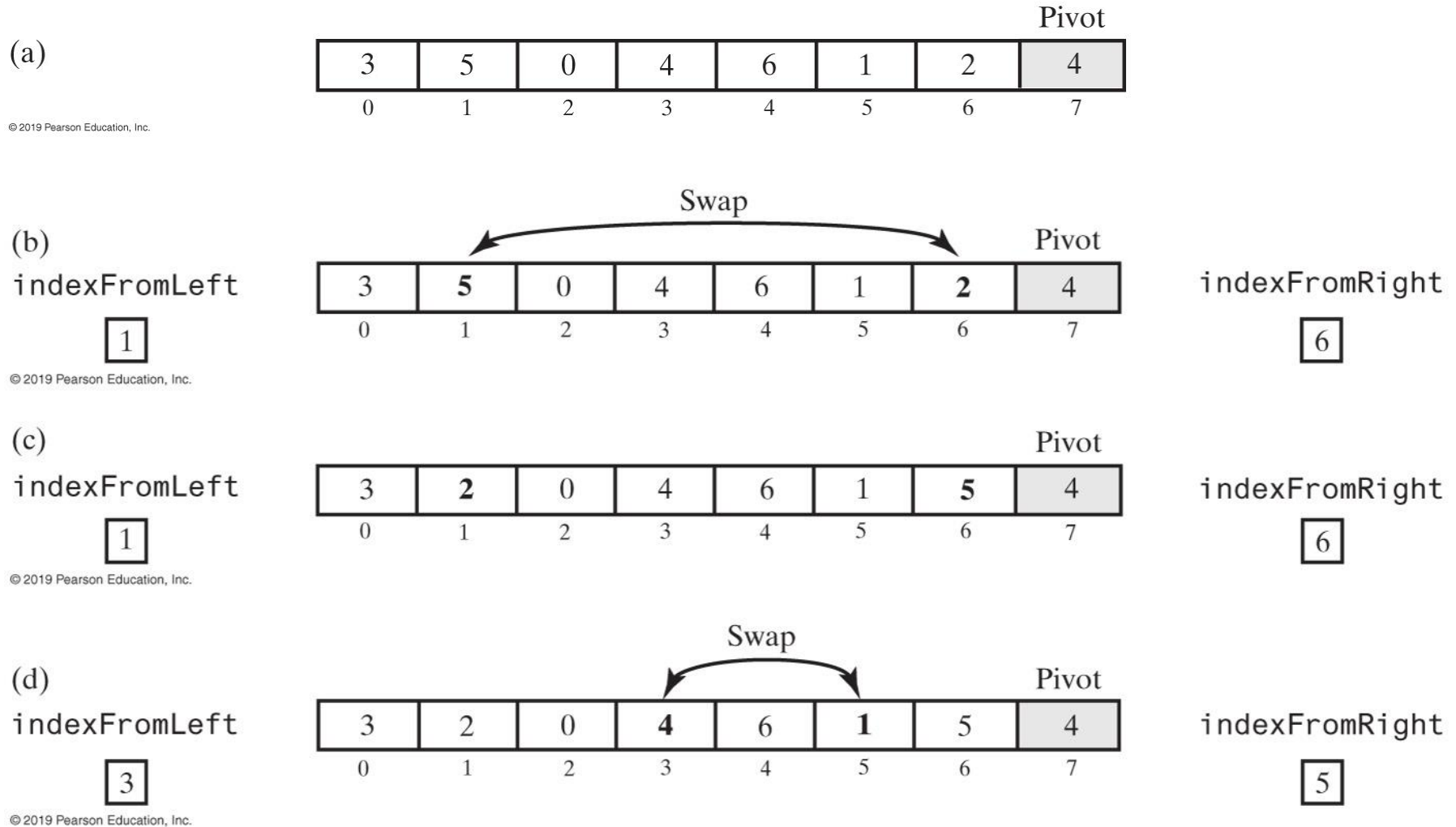
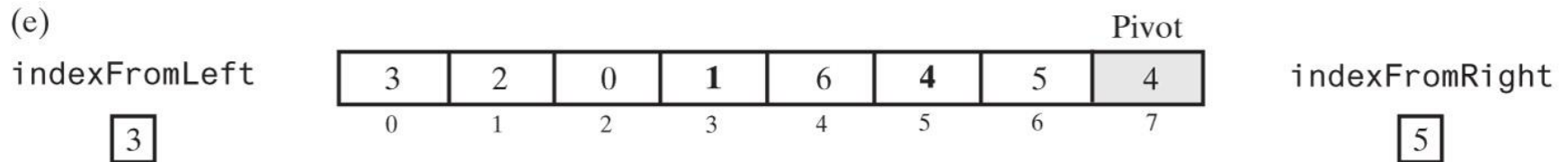
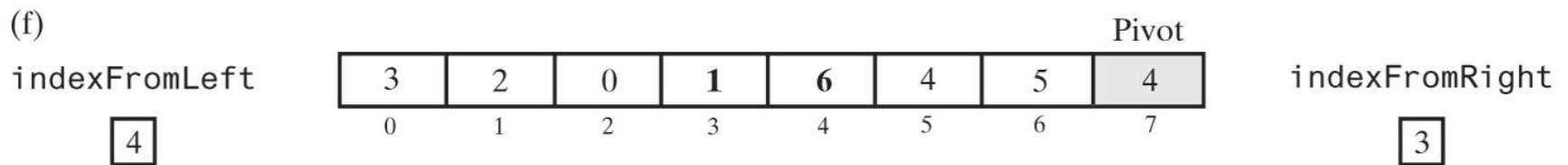


FIGURE 16-6 A partitioning strategy for quick sort

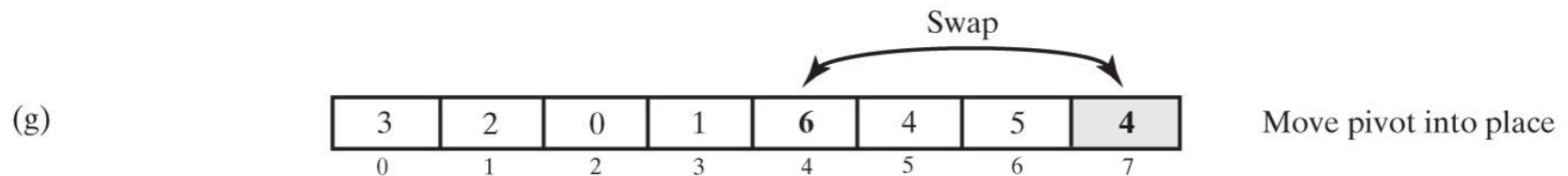
Quick Sort Partitioning (Part 2)



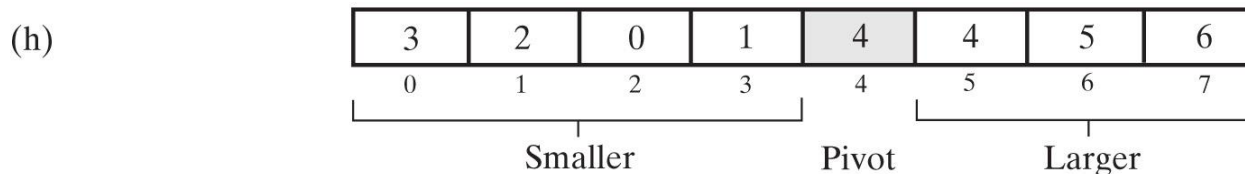
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FIGURE 16-6 A partitioning strategy for quick sort

Quick Sort Partitioning

(a) The original array

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5	8	6	4	9	3	7	1	2
---	---	---	---	---	---	---	---	---

(b) The array before partitioning and just after positioning the pivot

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2	8	6	4	1	3	7	5	9
---	---	---	---	---	---	---	---	---

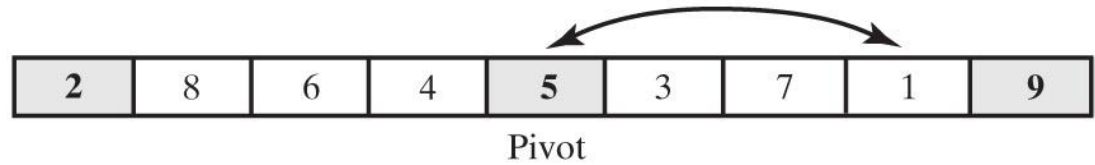
↑ indexFromLeft

↑ Pivot
indexFromRight

FIGURE 16-7 Median-of-three pivot selection

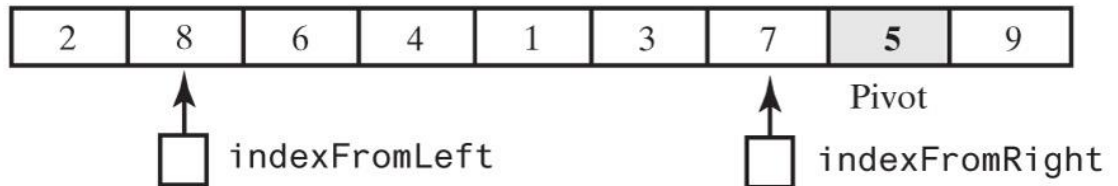
Quick Sort Partitioning

(a) The array after median-of-three pivot selection, as shown in Figure 16-7b



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(b) The array before partitioning and just after positioning the pivot



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FIGURE 16-8 The array after selecting and positioning the pivot and just before partitioning

Quick Sort in the Java Class Library

- Class **Arrays** in the package `java.util` defines versions of a static method `sort`

```
public static void sort(type[] a)
```

```
public static void sort(type[] a, int first, int after)
```

Radix Sort

- Does not use comparison
- Treats array entries as if they were strings that have the same length.
 - Group integers according to their rightmost character (digit) into “buckets”
 - Repeat with next character (digit), etc.

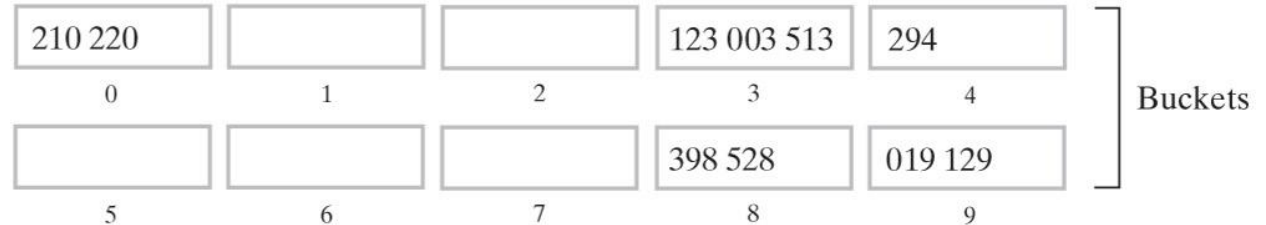
Radix Sort (Part 1)

(a) Distribution of the original array into buckets

123	398	210	019	528	003	513	129	220	294
-----	-----	-----	-----	-----	-----	-----	-----	-----	-----

 Unsorted array

Distribute integers into buckets according to the rightmost digit



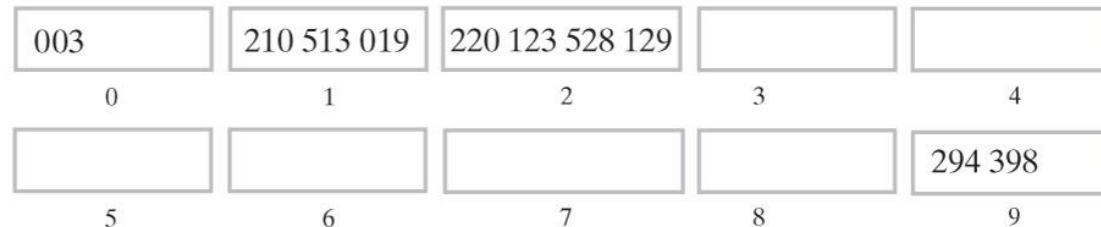
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(b) Distribution of the reordered array into buckets

210	220	123	003	513	294	398	528	019	129
-----	-----	-----	-----	-----	-----	-----	-----	-----	-----

 Reordered array

Distribute integers into buckets according to the middle digit



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FIGURE 16-9 The steps of a radix sort

Radix Sort (Part 2)

(c) Distribution of the reordered array into buckets

003	210	513	019	220	123	528	129	294	398	Reordered array
-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----------------

Distribute integers into buckets according to the leftmost digit

003 019	123 129	210 220 294	398	
0	1	2	3	4
513 528				
5	6	7	8	9

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(d) Sorting is complete

003	019	123	129	210	220	294	398	513	528	Sorted array
-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	--------------

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FIGURE 16-9 The steps of a radix sort

Algorithm Comparison

	Best Case	Average Case	Worst Case
Radix Sort	$O(n)$	$O(n)$	$O(n)$
Merge Sort	$O(n \log n)$	$O(n \log n)$	$O(n \log n)$
Quick Sort	$O(n \log n)$	$O(n \log n)$	$O(n^2)$
Shell Sort	$O(n)$	$O(n^{1.5})$	$O(n^{1.5})$
Insertion Sort	$O(n)$	$O(n^2)$	$O(n^2)$
Selection Sort	$O(n^2)$	$O(n^2)$	$O(n^2)$

FIGURE 16-10 The time efficiency of various sorting algorithms, expressed in Big Oh notation

Comparing Function Growth Rates

	10	10^2	10^3	10^4	10^5	10^6
n	10	100	1,000	10,000	100,000	1,000,000
$n \log n$	33	664	9,966	132,877	1,660,964	19,931,569
$n^{1.5}$	32	1,000	31,623	1,000,000	319,622,777	109
n^2	100	10,000	1,000,000	108	1,010	1,012

FIGURE 16-11 A comparison of growth-rate functions as n increases

End

Chapter 16