

# **Chapter 3: Introduction to SQL**

**Database System Concepts, 6th Ed.** 

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#### **Outline**

- Overview of The SQL Query Language
- Data Definition
- Basic Query Structure
- Additional Basic Operations
- Set Operations
- Null Values
- Aggregate Functions
- Nested Subqueries
- Modification of the Database



## **History**

- IBM Sequel language developed as part of System R project at the IBM San Jose Research Laboratory in the early 1970s
- Renamed Structured Query Language (SQL)
- ANSI and ISO standard SQL:
  - SQL-86
  - SQL-89
  - SQL-92
  - SQL:1999 (language name became Y2K compliant!)
  - SQL:2003, SQL:2006, SQL:2008, SQL:2011, SQL:2016



# **History (Cont.)**

- Commercial systems offer most, if not all, SQL-92 features, plus varying feature sets from later standards and special proprietary features.
  - Not all examples here may work on your particular system



## **Data Definition Language**

- The SQL data-definition language (DDL) allows the specification of information about relations, including:
  - The schema for each relation.
  - The domain of values associated with each attribute.
  - Integrity constraints
  - And as we will see later, also other information such as
    - The set of indices to be maintained for each relations.
    - Security and authorization information for each relation.
    - The physical storage structure of each relation on disk.



# **Domain Types in SQL**

- char(n). Fixed length character string, with user-specified length n.
- varchar(n). Variable length character strings, with user-specified maximum length n.
- int. Integer (a finite subset of the integers that is machine-dependent).
- smallint. Small integer (a machine-dependent subset of the integer domain type).
- numeric(p,d). Fixed point number, with user-specified precision of p digits, with d digits to the right of decimal point. (ex., numeric(3,1), allows 44.5 to be stores exactly, but not 444.5 or 0.32)
- real, double precision. Floating point and double-precision floating point numbers, with machine-dependent precision.
- float(n). Floating point number, with user-specified precision of at least n digits.
- More are covered in Chapter 4.



#### **BASIC SCHEMA DEFINITION**



#### **Create Table Construct**

An SQL relation is defined using the create table command:

```
create table r(A_1 D_1, A_2 D_2, ..., A_n D_n, (integrity-constraint_1), ..., (integrity-constraint_k))
```

- r is the name of the relation
- each A<sub>i</sub> is an attribute name in the schema of relation r
- $D_i$  is the data type of values in the domain of attribute  $A_i$



## **Create Table Construct (Cont.)**

#### Example:



## **Integrity Constraints in Create Table**

- **primary key**  $(A_1, ..., A_n)$
- **I** foreign key  $(A_m, ..., A_n)$  references r
- not null

```
Example:
```

```
create table instructor (
ID char(5),
```

name varchar(20) not null,

dept\_name varchar(20),

salary numeric(8,2),

primary key (ID),

foreign key (dept\_name) references department);

**primary key** declaration on an attribute automatically ensures **not null** 



#### **And a Few More Relation Definitions**



### And a Few More Relation Definitions (Cont.)

```
create table takes (
                 varchar(5),
                 varchar(8),
    course_id
    sec id varchar(8),
                 varchar(6),
    semester
                 numeric(4,0),
    year
          varchar(2),
    grade
    primary key (ID, course_id, sec_id, semester,
  year),
    foreign key (ID) references student,
    foreign key (course_id, sec_id, semester,
  year) references section);
```



#### And more still

```
create table course (
    course_id varchar(8),
    title varchar(50),
    dept_name varchar(20),
    credits numeric(2,0),
    primary key (course_id),
    foreign key (dept_name) references
    department);
```



## **Updates to tables**

#### Insert

insert into instructor values ('10211', 'Smith', 'Biology', 66000);

#### Delete

- Remove all tuples from the student relation
  - delete from student

#### Drop Table

- drop table r
- What is the difference between delete and drop?



## **Updates to tables (Cont.)**

#### Alter

#### alter table r add A D

- where A is the name of the attribute to be added to relation r and D is the domain of A.
- All exiting tuples in the relation are assigned *null* as the value for the new attribute.

#### alter table r drop A

- where A is the name of an attribute of relation r
- Dropping of attributes not supported by many databases. Only allow to drop the whole table.
- Can also drop constraint



# **Basic Query Structure**

A typical SQL query has the form:

select 
$$A_1$$
,  $A_2$ , ...,  $A_n$   
from  $r_1$ ,  $r_2$ , ...,  $r_m$   
where  $P$ 

- A<sub>i</sub> represents an attribute
- R<sub>i</sub> represents a relation
- P is a predicate.
- The result of an SQL query is a relation.
  - But, may have duplicates, not a relation according to formal mathematical definition



#### The select Clause

- The select clause lists the attributes desired in the result of a query
  - corresponds to the projection operation of the relational algebra
- Example: find the names of all instructors:
  select name
  from instructor



# The select Clause (Cont.)

- SQL allows duplicates in relations as well as in query results.
- To force the elimination of duplicates, insert the keyword distinct after select.
- Find the department names of all instructors, and remove duplicates

**select distinct** *dept\_name* **from** *instructor* 

The keyword all specifies that duplicates should not be removed.

**select all** dept\_name **from** instructor



# The select Clause (Cont.)

An asterisk in the select clause denotes "all attributes" select \*
from instructor

#### name

Srinivasan

Wu

Mozart

Einstein

El Said

Gold

Katz

Califieri

Singh

Crick

Brandt

Kim

#### dept\_name

Comp. Sci.

Finance

Music

**Physics** 

History

Physics

Comp. Sci.

History

Finance

Biology

Comp. Sci.

Elec. Eng.

Figure 3.2 Result of "select name from instructor".

**Figure 3.3** Result of "select dept\_name from instructor".



## The select Clause (Cont.)

- The select clause can contain arithmetic expressions involving the operation, +, -, \*, and /, and operating on constants or attributes of tuples.
  - The query:

**select** *ID, name, salary/12* **from** *instructor* 

would return a relation that is the same as the *instructor* relation, except that the value of the attribute *salary* is divided by 12.

Can rename "salary/12" using the as clause:
 select ID, name, salary/12 as monthly\_salary



#### The where Clause

- The where clause specifies conditions that the result must satisfy
  - Corresponds to the selection predicate of the relational algebra.
- To find all instructors in Comp. Sci. dept

**select** name **from** instructor **where** dept\_name = 'Comp. Sci.'



## The where Clause (Cont.)

- Comparison results can be combined using the logical connectives and, or, and not
  - To find all instructors in Comp. Sci. dept with salary > 80000

```
select name
from instructor
where dept_name = 'Comp. Sci.' and salary >
80000
```

Comparisons can be applied to results of arithmetic expressions.



#### The from Clause

- The from clause lists the relations involved in the query
  - Corresponds to the Cartesian product operation of the relational algebra.
- Find the Cartesian product *instructor X teaches*

select \*
from instructor, teaches

- generates every possible instructor teaches pair, with all attributes from both relations.
- For common attributes (e.g., ID), the attributes in the resulting table are renamed using the relation name (e.g., instructor.ID)
- Cartesian product not very useful directly, but useful combined with where-clause condition (selection operation in relational algebra).



### **Cartesian Product**

#### instructor

#### teaches

ID	name	dept_name	salary
10101	Srinivasan	Comp. Sci.	65000
12121	Wu	Finance	90000
15151	Mozart	Music	40000
22222	Einstein	Physics	95000
32343	El Said	History	60000
20457		T31 ·	07000

ID	course_id	sec_id	semester	year
10101	CS-101	1	Fall	2009
10101	CS-315	1	Spring	2010
10101	CS-347	1	Fall	2009
12121	FIN-201	1	Spring	2010
15151	MU-199	1	Spring	2010
22222	PHY-101	1	Fall	2009

Inst.ID	name	dept_name	salary	teaches.ID	course_id	sec_id	semester	year
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	10101	CS-315	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall	2009
10101	Srinivasan	Comp. Sci.	65000	12121	FIN-201	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	15151	MU-199	1	Spring	2010
10101	Srinivasan	Comp. Sci.	65000	22222	PHY-101	1	Fall	2009
•••			• • •	•••	•••	• • •	•••	•••
10*10*10*1			•(•)•	• • •	•••			
12121	Wu	Finance	90000	10101	CS-101	1	Fall	2009
12121	Wu	Finance	90000	10101	CS-315	1	Spring	2010
12121	Wu	Pinance	90000	10101	CS-347	1	Fall	2009
12121	Wu	Pinance	90000	12121	FIN-201	1	Spring	2010
12121	Wu	Finance	90000	15151	MU-199	1	Spring	2010
12121	Wu	Pinance	90000	22222	PHY-101	1	Fall	2009
• • •	•••		•••	•••	•••	• • •	•••	(* * *)
• • •	• • •		•••	•••	• • •			



## **Examples**

Find the names of all instructors who have taught some course and the course\_id

```
select name, course_id

from instructor, teaches

where instructor.ID = teaches.ID
```

Find the names of all instructors in the Art department who have taught some course and the course\_id

```
select name, course_id
from instructor, teaches
where instructor.ID = teaches.ID and instructor.
dept_name = 'Art'
```



## **Understanding SQL Query**

- In general, the meaning of an SQL query can be understood as follows:
  - Generate a Cartesian product of the relations listed in the from clause
  - Apply the predicates specified in the where clause on the result of Step 1
  - For each tuple in the result of Step 2, output the attributes (or results of expressions) specified in the select clause

Note: The above sequence of steps helps make clear what the result of an SQL query should be, *not* how it should be executed. A real implementation of SQL optimize evaluation by generating (as far as possible) only elements of the Cartesian product that satisfy the **where** clause predicates.



## **The Rename Operation**

The SQL allows renaming relations and attributes using the as clause:

old-name as new-name

Example:

select name as instructor\_name, course\_id
from instructor, teaches
where instructor.ID = teaches.ID;

select T.name, S.course\_id
from instructor as T, teaches as S
where T.ID = S.ID;



#### Join

- Matches attributes with the same value
- Consider the query "For all instructors in the university who have taught some course, find their names and the course ID of all courses they taught", we can write as

select name, course id

from instructor, teaches

**where** *instructor.ID* = *teaches.ID*;



# Join (Cont.)

It is equivalent to select name, course id from instructor join teaches on instructor.ID = teaches.ID;



## **String Operations**

- SQL includes a string-matching operator for comparisons on character strings. The operator like uses patterns that are described using two special characters:
  - percent (%). The % character matches any substring.
  - underscore ( \_ ). The \_ character matches any character.



## **String Operations (Cont.)**

Find the names of all instructors whose name includes the substring "dar".

> select name from instructor where name like '%dar%'

■ Match the string "100%"

like '100 \%' escape '\'

in that above we use backslash (\) as the escape character.



# **String Operations (Cont.)**

- Patterns are case sensitive.
- Pattern matching examples:
  - 'Intro%' matches any string beginning with "Intro".
  - '%Comp%' matches any string containing "Comp" as a substring.
  - '\_\_\_' matches any string of exactly three characters.
  - '\_\_\_ %' matches any string of at least three characters.



# **String Operations (Cont.)**

- SQL supports a variety of string operations such as
  - Concatenation (CONCAT)
  - converting from upper to lower case (and vice versa)
  - finding string length, extracting substrings, etc.

https://docs.microsoft.com/en-us/sql/t-sql/functions/string-functions-transact-sql?view=sql-server-2017



## **Ordering the Display of Tuples**

- List in alphabetic order the names of all instructors select distinct name
  - from instructor
    order by name
- We may specify desc for descending order or asc for ascending order, for each attribute; ascending order is the default.
  - Example: order by name desc
- Can sort on multiple attributes
  - Example: order by dept\_name, name
  - order by salary desc, name asc;



#### Where Clause Predicates

- SQL includes a between comparison operator
- Example: Find the names of all instructors with salary between \$90,000 and \$100,000 (that is,  $\geq$  \$90,000 and  $\leq$  \$100,000)

select name from instructor where salary between 90000 and 100000



#### Consider the following problems

- Find courses (just course id information) that ran in Fall 2009 or in Spring 2010
- Find courses that ran in both Fall 2009 and Spring 2010
- Find courses that ran in Fall 2009 but not in Spring 2010



### **Set Operations**

Find courses that ran in Fall 2009 or in Spring 2010

(**select** course\_id **from** section **where** semester = 'Fall' **and** year = 2009) union

(**select** course\_id **from** section **where** semester = 'Spring' **and** year = 2010)

■ Find courses that ran in both Fall 2009 and Spring 2010

(select course\_id from section where semester = 'Fall' and year = 2009) intersect

(**select** course\_id **from** section **where** semester = 'Spring' **and** year = 2010)

Find courses that ran in Fall 2009 but not in Spring 2010

(**select** course\_id **from** section **where** semester = 'Fall' **and** year = 2009)

except

(**select** course\_id **from** section **where** semester = 'Spring' **and** year = 2010)



### **Set Operations (Cont.)**

Find the salaries of all instructors that are less than the largest salary.

(select distinct salary

from instructor)

except

(select max(salary)

**from** *instructor*)



### **Null Values**

- It is possible for tuples to have a null value, denoted by null, for some of their attributes
- null signifies an unknown value or that a value does not exist.
- The result of any arithmetic expression involving null is null
  - Example: 5 + null returns null
- The predicate is null can be used to check for null values.
  - Example: Find all instructors whose salary is null.

select name from instructor where salary is null



### **Null Values and Three Valued Logic**

- Three values *true*, *false*, *unknown*
- Any comparison with *null* returns *unknown* 
  - Example: 5 < null or null <> null or null = null
- Three-valued logic using the value unknown:
  - OR: (unknown or true) = true,
     (unknown or false) = unknown
     (unknown or unknown) = unknown
  - AND: (true and unknown) = unknown, (false and unknown) = false, (unknown and unknown) = unknown
  - NOT: (not unknown) = unknown
  - "P is unknown" evaluates to true if predicate P evaluates to unknown
- Result of where clause predicate is treated as false if it evaluates to unknown



### **Aggregate Functions**

These functions operate on the multiset of values of a column of a relation, and return a value

avg: average value

min: minimum value

max: maximum value

sum: sum of values

count: number of values



### **Aggregate Functions (Cont.)**

 Find the average salary of instructors in the Computer Science department

```
select avg (salary)
from instructor
where dept_name= 'Comp. Sci.';
```

Find the total number of instructors who teach a course in the Spring 2010 semester

```
select count (distinct ID)
from teaches
where semester = 'Spring' and year = 2010;
```

Find the number of tuples in the course relation

```
select count (*)
from course;
```



### **Aggregate Functions – Group By**

- Find the average salary of instructors in each department
  - select dept\_name, avg (salary) as avg\_salaryfrom instructor

ID	name	dept_name	salary
76766	Crick	Biology	72000
45565	Katz	Comp. Sci.	75000
10101	Srinivasan	Comp. Sci.	65000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000
12121	Wu	Finance	90000
76543	Singh	Finance	80000
32343	El Said	History	60000
58583	Califieri	History	62000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
22222	Einstein	Physics	95000

dept_name	avg_salary
Biology	72000
Comp. Sci.	77333
Elec. Eng.	80000
Finance	85000
History	61000
Music	40000
Physics	91000



### **Aggregation (Cont.)**

- Attributes in select clause outside of aggregate functions must appear in group by list
  - /\* erroneous query \*/
    select dept\_name, ID, avg (salary)
    from instructor
    group by dept\_name;



### **Aggregate Functions – Having Clause**

Find the names and average salaries of all departments whose average salary is greater than 42000

```
select dept_name, avg (salary)
from instructor
group by dept_name
having avg (salary) > 42000;
```

Note: predicates in the **having** clause are applied after the formation of groups whereas predicates in the **where** clause are applied before forming groups



### **Null Values and Aggregates**

Total all salaries

**select sum** (salary) **from** instructor

- Above statement ignores null amounts
- Result is null if there is no non-null amount
- All aggregate operations except count(\*) ignore tuples with null values on the aggregated attributes
- What if collection has only null values?
  - count returns 0
  - all other aggregates return null



### **Exercise**

- Using the files provided to generate the University schema
- Write the following queries
  - Find the titles of courses in the Comp. Sci. department that have 3 credits.
  - Find the highest salary of any instructor.
  - Find all instructors earning the highest salary
  - Find the enrollment of each section that was offered in Spring 2009



### **Nested Subqueries**

SQL provides a mechanism for the nesting of subqueries. A subquery is a select-from-where expression that is nested within another query.



## **Subqueries in the Where Clause**



### **Subqueries in the Where Clause**

- A common use of subqueries is to perform tests:
  - For set membership
  - For set comparisons
  - For set cardinality



### **Set Membership**

Find courses offered in Fall 2009 and in Spring 2010



### **Set Membership (Cont.)**

Find courses offered in Fall 2009 but not in Spring 2010

```
select distinct course_id

from section

where semester = 'Fall' and year= 2009 and

course_id not in (select course_id

from section

where semester = 'Spring' and

year= 2010);
```



### Set Comparison – "some" Clause

Find names of instructors with salary greater than that of some (at least one) instructor in the Physics department.

select distinct T.name
from instructor as T, instructor as S
where T.salary > S.salary and S.dept\_name = Physics';

Same query using > some clause
select name
from instructor
where salary > some (select salary
from instructor
where dept\_name = 'Physics');



### **Definition of "some" Clause**

(5 < some 
$$\begin{bmatrix} 0 \\ 5 \end{bmatrix}$$
) = true (read: 5 < some tuple in the relation)  
(5 < some  $\begin{bmatrix} 0 \\ 5 \end{bmatrix}$ ) = false  
(5 = some  $\begin{bmatrix} 0 \\ 5 \end{bmatrix}$ ) = true  
(5 ≠ some  $\begin{bmatrix} 0 \\ 5 \end{bmatrix}$ ) = true (since  $0 \neq 5$ )  
(= some) = in However, ( $\neq$  some)  $\neq$  not in



### Set Comparison – "all" Clause

Find the names of all instructors whose salary is greater than the salary of all instructors in the Computer Science department.



### **Definition of "all" Clause**

```
5 ) = false
    (5 < all
     (5 < all
      (5 = \mathbf{all})
      (5 \neq \mathbf{all} \mid 6) ) = true (since 5 \neq 4 and 5 \neq 6)
(\neq all) \equiv not in
However, (= all) \neq in
```



## **Test for Empty Relations**

- The **exists** construct returns the value **true** if the argument subquery is nonempty.
- **exists**  $r \Leftrightarrow r \neq \emptyset$
- not exists  $r \Leftrightarrow r = \emptyset$



#### Use of "exists" Clause

Yet another way of specifying the query "Find all courses taught in both the Fall 2009 semester and in the Spring 2010 semester"

- Correlation name variable S in the outer query
- Correlated subquery the inner query



### **Use of "exists" Clause**

■ Find all courses taught in the Fall 2009 semester but not in the Spring 2010 semester.



# **Subqueries in the From Clause**



### Subqueries in the From Clause

- SQL allows a subquery expression to be used in the from clause
- Find the average instructors' salaries of those departments where the average salary is greater than \$42,000."

Note that we do not need to use the having clause



#### With Clause

- The with clause provides a way of defining a temporary relation whose definition is available only to the query in which the with clause occurs.
- Find all departments with the budget over the average

```
with avg_budget(value) as
          (select avg(budget)
          from department)
select department.dept_name
from department, avg_budget
where department.budget > avg_budget.value;
```



# **Subqueries in the Select Clause**



### **Subquery in SELECT**

List all departments along with the number of instructors in each department

```
select dept_name,
    (select count(*)
    from instructor
    where department.dept_name = instructor.dept_name)
    as num_instructors
from department;
```



#### **Modification of the Database**

- Deletion of tuples from a given relation.
- Insertion of new tuples into a given relation
- Updating of values in some tuples in a given relation



#### **Deletion**

Delete all instructors

delete from instructor

- Delete all instructors from the Finance department delete from instructor where dept\_name= 'Finance';
- Delete all tuples in the *instructor* relation for those instructors associated with a department located in the Watson building.



### **Deletion (Cont.)**

- Delete all instructors whose salary is less than the average salary of instructors
   delete from instructor
  - where salary < (select avg (salary)
    from instructor);</pre>
- Problem: as we delete tuples from deposit, the average salary changes
- Solution used in SQL (SQL already solves this problem by the following processing):
  - 1. Compute avg (salary) and find all tuples to delete
  - 2. Delete all tuples found above (without recomputing avg or retesting the tuples)



#### Insertion

- Add a new tuple to course insert into course values ('CS-437', 'Database Systems', 'Comp. Sci.', 4);
- or equivalently insert into course (course\_id, title, dept\_name, credits) values ('CS-437', 'Database Systems', 'Comp. Sci.', 4);
- Add a new tuple to student with tot\_creds set to null insert into student values ('3003', 'Green', 'Finance', null);



### **Updates**

- Increase salaries of instructors whose salary is over \$90,000 by 3%, and all others by a 5%
  - Write two update statements:

```
update instructor
  set salary = salary * 1.03
  where salary > 90000;
update instructor
  set salary = salary * 1.05
  where salary <= 90000;</pre>
```

- The order is important (what will happen if we change the order of the two update blocks?)
- Can be done better using the case statement (next slide)



## **Case Statement for Conditional Updates**

Same query as before but with case statement

```
update instructor
set salary = case
when salary <= 90000
then salary * 1.05
else salary * 1.03
end
```



### **Exercise**

- Find the names of all students who have taken at least one Comp. Sci. course; make sure there are no duplicate names in the result
- Create a new course "CS-001", titled "Weekly Seminar", with 0 credits.
- Increase the salary of each instructor in the Comp. Sci. department by 10%.
- Insert every student whose tot cred attribute is greater than 100 as an instructor in the same department, with a salary of 30000.



### **Exercise**

Write SQL DDL corresponding to the following schema. Make any reasonable assumptions about data types, and be sure to declare primary and foreign keys.

```
person (<u>driver_id</u>, name, address)
car (<u>license</u>, model, year)
accident (report_number, date, location)
owns (<u>driver_id</u>, <u>license</u>)
participated (report_number, <u>license</u>, driver_id, damage_amount)
```



```
person (<u>driver_id</u>, name, address)
car (<u>license</u>, model, year)
accident (report_number, date, location)
owns (<u>driver_id</u>, <u>license</u>)
participated (report_number, <u>license</u>, driver_id, damage_amount)
```

#### create table person

```
(driver_id varchar(50),
name varchar(50),
address varchar(50),
primary key (driver id))
```



```
person (<u>driver_id</u>, name, address)
car (<u>license</u>, model, year)
accident (report_number, date, location)
owns (<u>driver_id</u>, <u>license</u>)
participated (report_number, <u>license</u>, driver_id, damage_amount)
```

#### create table car

```
(license varchar(50),
model varchar(50),
year integer,
primary key (license))
```



```
person (<u>driver_id</u>, name, address)
car (<u>license</u>, model, year)
accident (report_number, date, location)
owns (<u>driver_id</u>, <u>license</u>)
participated (report_number, <u>license</u>, driver_id, damage_amount)
```

#### create table accident

```
(report_number integer,
date date,
location varchar(50),
primary key (report_number))
```



```
person (<u>driver_id</u>, name, address)
car (<u>license</u>, model, year)
accident (<u>report_number</u>, date, location)
owns (<u>driver_id</u>, <u>license</u>)
participated (<u>report_number</u>, <u>license</u>, <u>driver_id</u>, damage_amount)
```

#### create table owns

```
(driver_id varchar(50),
license varchar(50),
primary key (driver_id, license)
foreign key (driver_id) references person
foreign key (license) references car)
```



#### create table participated

(report\_number integer,
license varchar(50),
driver\_id varchar(50),
damage\_amount integer,
primary key (report\_number, license)
foriegn key (license) references car
foriegn key (report number) references accident))