## Synchronization

The Race Condition Problem

**Critical Sections** 

**Mutex Locks** 

Semaphores

**Condition Variables** 

Barriers

Producer-Consumer Problem with Bounded Buffer

## Bibliography

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## Problems when multiple threads update a memory location

• Simple example: shared counter:

```
Shared memory (global variable): int x=0
Thread 1: x++
Thread 2: x++
Final value of x: uncertain!
```

- Cause of problem: incrementing a variable is not an *atomic* operation! Its implementation is by a sequence of atomic machine operations:
  - 1. Load value of variable x from memory into register
  - 2. Increment register
  - 3. Store register into memory in location of variable x
- If we have 2 threads, the result depends on how the atomic machine operations happen to be interleaved!

## Thinking Question

For the shared counter example:

- Which is *the minimum possible value of x* at the end, when both threads have finished execution?
- Which is *the maximum possible value of x* at the end, when both threads have finished execution?

Justify/explain your answer.

## Possible good result...

Thread 1: x++;	Thread 2: x++;	r1	r2	X
r1 = x		0		0
r1 = r1+1		1		0
x = r1		1		1
	r2 = x		1	1
	r2 = r2+1		2	1
	x = r2		2	2

## Possible wrong result!

Thread 1: x++;	Thread 2: x++;	r1	r2	X
r1 = x		0		0
r1 = r1+1		1		0
	r2 = x	1	0	0
	r2 = r2+1	1	1	0
x = r1		1	1	1
	x = r2	1	1	1

#### Race Conditions and Critical Sections

#### Race conditions:

- When multiple threads attempt to update a shared variable the result may be unpredictable.
- When multiple threads attempt to access a shared variable, and at least one of the accesses is an update, the accesses can result in an error
- A **critical section**: a block of code that updates a shared variable that should only be updated by one thread at time.
- To avoid race conditions, threads need mutual exclusive access to critical sections
  - once one of the threads starts executing the critical section, it finishes executing it *before* any other thread enters the critical section.
  - only one thread can execute code from the critical section at one moment (all other threads must be outside the critical section)

## Implementing Critical Sections

- There are different ways to implement mutual exclusion for critical sections
- Threaded APIs provide *mutex-locks* (mutual exclusion locks) as the most basic support for implementing **critical sections** and **atomic operations**

Critical section



Mutex lock



#### Mutex locks

- A Mutex-lock (Mutex) has two states: locked and unlocked.
- A Mutex is associated with a piece of code that manipulates shared data (a critical section).
- At any point of time, only one thread can lock a Mutex.
- To access the shared data, a thread must first try to acquire the Mutex. If the Mutex is already locked, the thread trying to acquire the lock is blocked. This is because a locked Mutex implies that there is another thread currently in the critical section
- When a thread leaves a critical section, it must unlock the Mutex so that other threads can enter the critical section.
- A Mutex must be initialized to the unlocked state at the beginning of the program.

#### Mutex

- The Pthreads standard includes a special type for mutex locks: pthread\_mutex\_t.
- A variable of type pthread\_mutex\_t needs to be initialized by the system before it's used:

```
int pthread_mutex_init (
    pthread_mutex_t * mutex_p /* out */,
    const pthread_mutexattr_t* attr_p /* in */);
```

• When a program finishes using a mutex:

```
int pthread_mutex_destroy (
    pthread_mutex_t * mutex_p /* in / out */ );
```

All synchronization mechanisms in pthreads have their special defined types. All of them have similar init and destroy functions – will not repeat these in lecture

## Mutex lock and unlock operations

• To lock the mutex and gain exclusive access to the critical section, a thread calls:

```
int pthread_mutex_lock(pthread_mutex_t * mutex_p);
```

- A call to this function attempts a lock on the mutex. If the mutex is already locked, the calling thread blocks; otherwise the mutex is locked and the calling thread returns.
- A successful return from the function returns a value 0. Other values indicate error conditions.
- When a thread is finished executing the code in a critical section, it should call:

```
int pthread_mutex_unlock(pthread_mutex_t * mutex_p);
```

- On calling this function the lock is relinquished and one of the blocked threads is scheduled to enter the critical section.
- Only the thread that locked the mutex can unlock it!

## Mutex Example – Shared Counter

```
#define NUM THREADS 2
/* shared variable */
int count = 0;
/* mutex to protect critical regions
when threads are updating count */
pthread mutex t count mutex;
/* thread function */
void *inc count(void *t)
  int i;
  int my_id = *(int *)t;
  pthread_mutex_lock(&count_mutex);
  count++;
  pthread_mutex_unlock(&count_mutex);
  return NULL;
```

## Mutex Example (contd.)

```
int main(int argc, char *argv[]) {
  pthread t threads[NUM THREADS];
  int ids[NUM THREADS];
  pthread_mutex_init(&count_mutex, NULL);
  for (int i = 0; i < NUM_THREADS; i++) {</pre>
    ids[i] = i;
    pthread_create(&threads[i], NULL, inc_count, (void *)&ids[i]);
  for (int i = 0; i < NUM_THREADS; i++) {</pre>
    pthread join(threads[i], NULL);
  printf("Final value of count = %d \n", count);
  pthread mutex destroy(&count mutex);
```

## Semaphores

- Semaphore: another synchronization primitive, *similar to a generalization of a Mutex*.
- A semaphore is initialized to some value. That value represents the *number of threads allowed inside the protected region*. A Mutex is similar to a binary semaphore (value initialized with 1)



## Semaphores Operations

- sem\_wait (similar to mutex\_lock): lowers the value; If the value reaches zero and a sem\_wait is called, the thread will be blocked until a sem\_post is called.
- **sem\_post** (similar to mutex\_unlock): increases the value.
- Differences:
  - When using a Mutex, lock and unlock must be executed from the same thread (only the thread that locked a mutex can unlock it)
  - When using a semaphore, sem\_wait and sem\_post can be called from different threads! (a thread can block a semaphore and another thread can unblock it)
    - Mutex locks can be used only for critical regions
    - Semaphores can be used for critical regions and also for signaling
  - Semaphores can also be used for synchronization **between processes** (not only between threads)

#### Semaphore functions

```
Semaphores are not part of <pthread.h>
#include <semaphore.h>
int sem_init(
     sem_t* semaphore_p /* out */,
     int shared /*in */,
     unsigned initial_val /* in */);
int sem_destroy(sem_t* semaphore_p /* in/out */);
int sem_post(sem_t* semaphore_p /* in/out */);
```

 $int sem_wait(sem_t* semaphore_p /* in/out */);$ 

- Another synchronization problem (producer-consumer synchronization): a thread A can't proceed until another thread B has taken some action.
  - Thread A waits (is blocked) until it is signaled (notified) by thread B



- A condition variable in pthreads is a data object:
- pthread\_cond\_t
- A thread can suspend its execution until a certain event or *condition* occurs pthread cond wait
- When the event or condition occurs another thread can *signal* the thread to "wake up." pthread\_cond\_signal
- Condition variables are not for mutual exclusion, they deal with another form of synchronization signaling

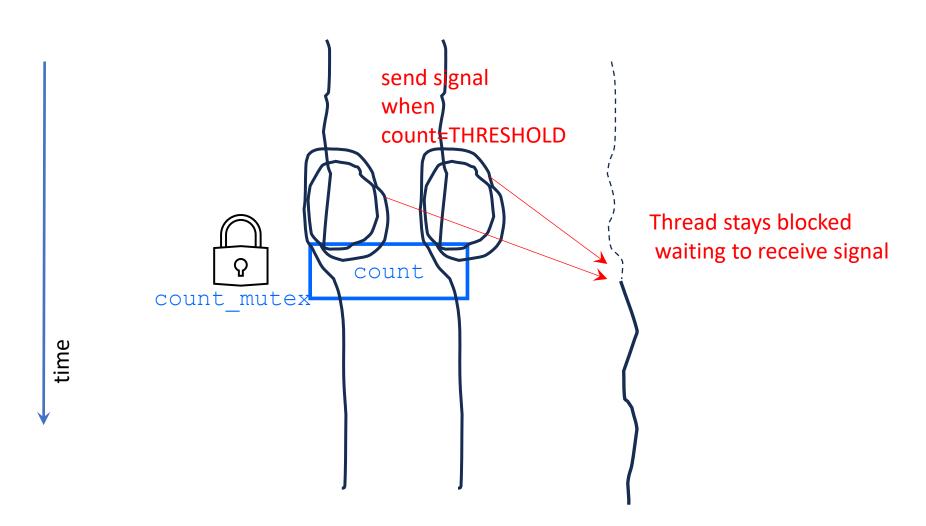
- Simple example:
- a set of threads increment a *shared variable x*
- another thread A must wait until the *shared variable x* reaches a certain value in order to start performing his task.
  - Without condition variables: thread A must continuously check the value of x (polling). Thread A is busy and running all the time.
  - With condition variables: thread A waits until it is signaled (notified) by one of the incrementer threads that x reached the value

- A condition variable is associated with a predicate (Boolean condition on a shared variable)
- A condition variable always <u>has a mutex associated</u> with it because the Boolean condition is given by a <u>shared</u> variable
- The thread A locks this mutex and tests the predicate defined on the shared variable; if the predicate is not true, the thread waits on the condition variable associated with the predicate using the function pthread cond wait.
- When another thread knows that the predicate becomes true, it uses the condition variable to send signal to threads waiting on the condition function pthread\_cond\_signal

## Condition Variables Example

- A simple scenario:
  - A shared variable int count
  - Two threads increment count, each thread performs a number of REPEAT increment operations
  - A third thread waits to be notified when the value of count passes over a THRESHOLD value in order to start doing some work

## Condition signaling



## Condition Variables Example (1)

```
#define NUM THREADS 3
#define REPEAT 15
#define THRESHOLD 10
/* shared variable */
int count = 0;
/* mutex to protect critical regions
when threads are updating count */
pthread mutex t count mutex;
/* condition variable to signal
when count reaches threshold value*/
pthread cond t count threshold cv;
```

## Condition Variables Example (2):

```
/* thread function for the two incrementer threads */
void *increment count(void *t)
  int i;
  int my id = *(int *)t;
  for (i = 0; i < REPEAT; i++)
    pthread mutex lock(&count mutex);
    count++;
    if (count == THRESHOLD)
      /* if condition reached send signal to waiting thread
      pthread cond signal(&count_threshold_cv);
    pthread mutex unlock(&count mutex);
    sleep(1); /* simulate some activity */
  pthread exit(NULL);
```

## Condition Variables Example (3):

```
/* thread function for the wait thread */
void *wait count(void *t)
  inr my id = *(int *)t;
  /*
  Lock mutex and wait for signal.
  Function pthread cond_wait will automatically unlock mutex while it waits.
  While is needed in case the thread gets unblocked by some other OS event
  */
  pthread_mutex_lock(&count_mutex);
                                                               Use while,
  while (count < THRESHOLD)
    pthread cond wait(&count threshold cv, &count mutex);
  pthread mutex unlock(&count mutex);
  pthread exit(NULL);
```

## Condition Variables Example (4)

```
int main(int argc, char *argv[]) {
  int i;
  pthread t threads[NUM THREADS];
  int ids[NUM THREADS];
  pthread mutex init(&count mutex, NULL);
  pthread_cond_init(&count_threshold_cv, NULL);
  ids[0] = 0;
  pthread_create(&threads[0], NULL, wait_count, (int *)&ids[0]);
  for (i = 1; i < NUM THREADS; i++) {
    ids[i] = i;
    pthread create(&threads[i], NULL, increment count, (int *)&ids[i]);
  for (i = 0; i < NUM THREADS; i++) {
    pthread join(threads[i], NULL);
  pthread mutex destroy(&count mutex);
  pthread cond destroy(&count threshold cv);
  pthread_exit(NULL);
```

## pthread\_cond\_signal

- When a thread sees that a predicate has been satisfied, it signals the condition using the function pthread cond signal
- int pthread\_cond\_signal(pthread\_cond\_t \*cond);
- When the condition is signaled (using pthread\_cond\_signal), one of the waiting threads is unblocked, and when the mutex becomes available, it is handed to this thread (and the thread becomes runnable).
- It is possible to wake **all** threads that are waiting on the condition variable as opposed to a single thread. This can be done using the function pthread cond broadcast.
- int pthread\_cond\_broadcast(pthread\_cond\_t \*cond);

### pthread\_cond\_wait

- int pthread\_cond\_wait(pthread\_cond\_t \*cond, pthread\_mutex\_t \*mutex);
- A call to this function blocks the execution of the thread until it receives a signal from another thread or is interrupted by an OS signal.
- In addition to blocking the thread, the pthread\_cond\_wait function releases the lock on mutex, for the duration of its blocked status
  - This is important because otherwise no other thread will be able to work on the shared variable and the predicate would never be satisfied.
- When the thread is released on a signal, it waits to reacquire the lock on mutex before returning. It always returns with the mutex locked.
- Programming Note: A call to pthread\_cond\_wait must be put in a loop checking the predicate associated with the condition variable, because the thread might be woken up also due to some other reasons (such as an OS signal).

#### Barriers

- Another synchronization problem: We need to perform a multi-threaded computation that has two stages (several threads execute each stage), but we don't want to advance to the second stage until all threads finished the first stage.
  - Dinner table manners: do not start eating second course until everyone (including the slowest eater) finished eating the first course!



#### Barriers

- **Barrier:** introduces a point of synchronization where no thread can proceed beyond the barrier until all the threads have reached the barrier.
  - A Barrier object is initialized with the number of threads that want to participate
  - pthread\_barrier\_t
  - Each participating thread executes a call to a barrier function <a href="mailto:pthread\_barrier\_wait">pthread\_barrier\_wait</a> in the place where it wants to synchronize with the others
  - When a thread reaches a barrier (executes the call to the barrier function), it will wait until all the threads participating in the barrier reach the barrier, and then they'll all proceed together.

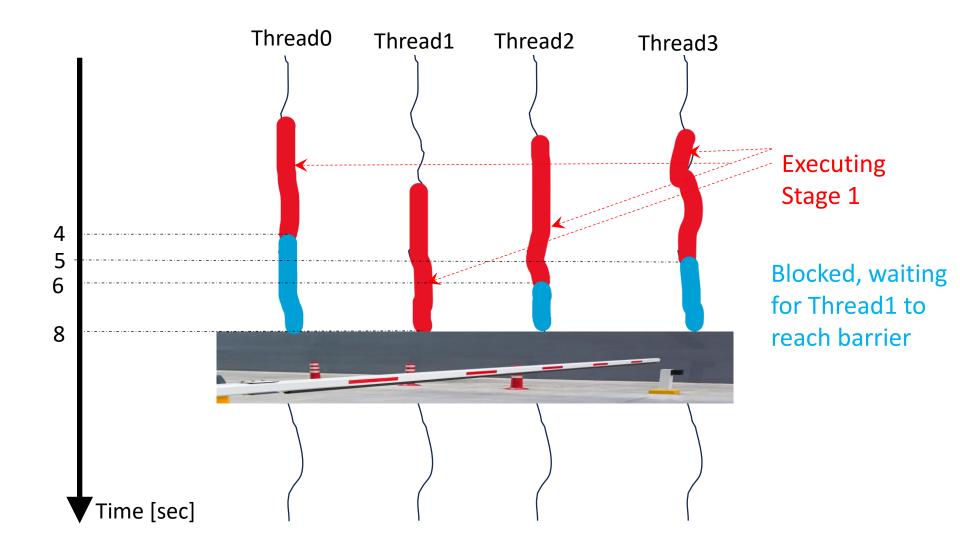
## Barrier Example(1)

```
/* the barrier */
pthread barrier t mybarrier;
/* thread function for all threads*/
void *threadFn(void *id ptr)
    int thread id = *(int *)id ptr;
    printf("thread %d: starting Stage 1.\n", thread id);
    sleep(thread id); /* simulate work in stage 1*/
    printf("thread %d: Stage 1 finished ...\n", thread id);
    pthread barrier wait(&mybarrier);
    printf("thread %d: going to Stage 2!\n", thread id);
    return NULL;
```

## Barrier Example(2)

```
int main(int argc, char *argv[]){
    int i;
    pthread t threads[THREAD COUNT];
    int ids[THREAD_COUNT];
    pthread barrier init(&mybarrier, NULL, THREAD COUNT);
    for (i = 0; i < THREAD COUNT; i++){
        ids[i]=i;
        pthread_create(&threads[i], NULL, threadFn, (void *)&ids[i]);
    for (i = 0; i < THREAD_COUNT; i++) {</pre>
        pthread join(threads[i], NULL);
    pthread_barrier_destroy(&mybarrier);
    return 0;
```

## Barrier Example



## Source Code of of Examples

- mutex.c
- condvar.c
- barrier.c

# The Producer-Consumer Problem

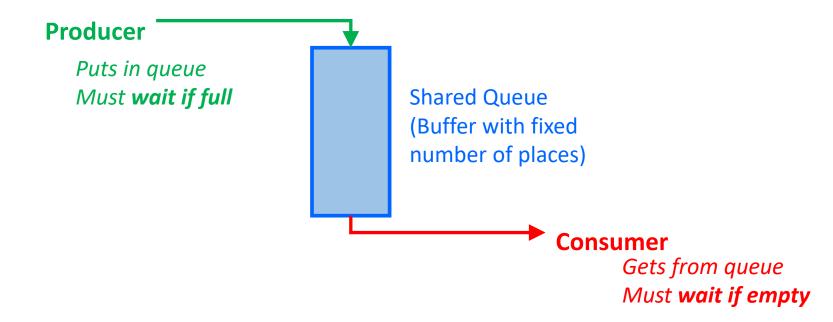
#### The Producer-Consumer Problem

- The most classic problem for synchronization
- Producer-consumer relationships occur frequently in various applications
  - The producer threads create tasks and insert them into a work-queue. The consumer threads pick up tasks from the task queue and executes them. Producers and consumers may work at different speed!

#### Variants:

- The shared queue between producers and consumers has a fixed size (Producer-Consumer with Bounded Buffer)
- The shared queue between producers and consumers has an unlimited size (Producer-Consumer with Unlimited Buffer or Infinite Buffer)

- A number of **Producers** put items into a Shared Queue (a Buffer)
- A number of **Consumers** get items out of the Shared Queue
- All Producers and Consumers work concurrently
- The size of the Queue is fixed (there are a limited number of places in the Queue = a Bounded Buffer)

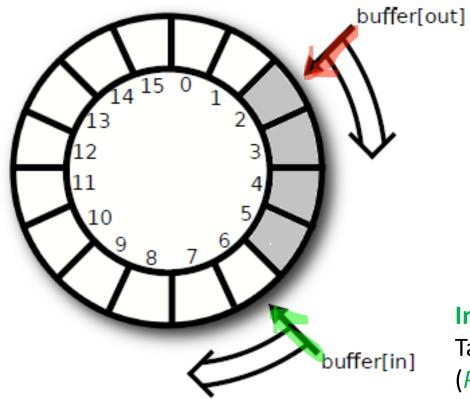


#### • Problems:

- If the Buffer is **empty**, *Consumers must block* until some item appear in queue
- If the Buffer is **full**, *Producers must block* until some item is removed from queue
- Several Producers or Consumers must not attempt to put or get items from the queue at the same time (the **classical mutual exclusion** cannot have 2 threads increment the same head or tail index at the same time)

# Bunded Buffer Queue Implementation

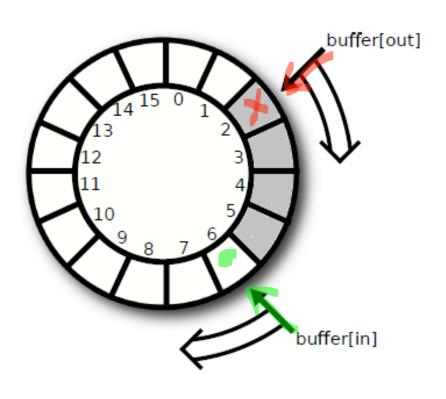
• Circular Array (Ring Buffer): an array exploited in a circular way: after the last index, we consider that the next element follows at the first index



out: the index of the Head of the Queue (Consumers get this element – dequeue)

In: the index of the Tail of the Queue (*Producers put here - enqueue*)

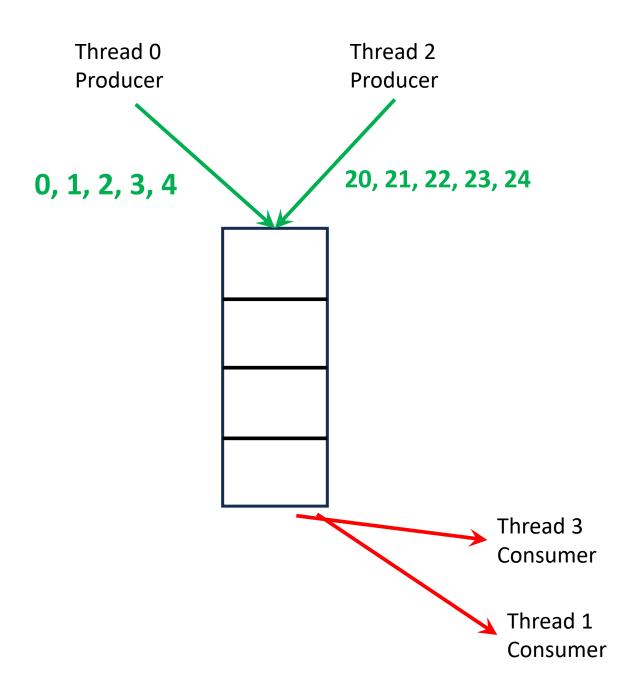
# Bunded Buffer Queue Implementation



- Initially: Buffer empty, in=out=0
- Enqueue: Put in buffer:
  - b[in] = value;
  - in = (in + 1) % BUFFER\_SIZE;
- Test Buffer is Full:
  - If ((in + 1) % BUFFER\_SIZE == out)
- Dequeue: Get from buffer:
  - value = b[out];
  - out = (out + 1) % BUFFER\_SIZE;
- Test Buffer is Empty:
  - If (out == in)

#### Producer and Consumer Threads

```
/* thread function for producer threads */
void *producer(void *t) {
    int i;
    int my id = *(int *)t;
    for (i = 0; i < REPEAT; i++) {
        enqueue(i + my_id * 10);
    pthread exit(NULL);
/* thread function for consumer threads */
void *consumer(void *t) {
    int i;
    int my id = *(int *)t;
    for (i = 0; i < REPEAT; i++) {
        int rez = dequeue();
        printf("Consumer thread %d got %d \n", my_id, rez);
    pthread exit(NULL);
```



### Enqueue and Dequeue

- Producer: Enqueue
- If queue is full:
  - thread must wait until a place gets empty (buffer not full condition)
- If queue is not full:
  - put element at tail
  - notify other threads(consumers) that an element is there = buffer not empty condition true

- Consumer: Dequeue
- If queue is empty:
  - thread must wait until at least an element is there (buffer not empty condition)
- If queue is not empty:
  - removes head element
  - notify other threads (producers) that a place got empty = buffer not full condition true

### Enqueue and Dequeue

- Producer: Enqueue
- If queue is full:
  - thread must wait until a place gets empty (buffer not full condition)
- If queue is not full:
  - put element at tail,
  - notify other threads( that an e = buffer condition

Every operation that handles the buffer in-out indexes must obtain exclusive access to these variables

- Consumer: Dequeue
- If queue is empty:
  - thread must wait until at least an element is there (buffer not empty condition)
- If queue is not empty:
  - removes head element
  - notify other threads

     that a place

= buffer not on true Producer-Consumer with Bounded Buffer — Solution with Mutex locks and Condition Variables

### Producer-Consumer with Bounded Buffer- Solution with Mutex locks and Condition Variables

```
/* condition variable to signal when buffer
is not empty - wakes up a waiting consumer */
pthread_cond_t not_empty_cv;

/* condition variable to signal when buffer
is not full - wakes up a waiting producer */
pthread_cond_t not_full_cv;

/* mutex lock protecting access to the buffer */
pthread_mutex_t lock;
```

```
#define REPEAT 5
#define NUM THREADS 4
#define BUFFER SIZE 4
/* The buffer containing the Shared Queue */
int b[BUFFER SIZE];
/* Index of queue tail (where to put next) - shared variable */
int in = 0;
/* Index of queue head (where to get next) - shared variable */
int out = 0;
/* mutex lock protecting access to the buffer */
pthread mutex t lock;
/* condition variable to signal when buffer
is not empty - wakes up a waiting consumer */
pthread cond t not empty cv;
/* condition variable to signal when buffer
is not full - wakes up a waiting producer */
pthread cond t not full cv;
```

```
int main(int argc, char *argv[])
{
    pthread t threads[NUM THREADS];
    int ids[NUM THREADS];
    /* Init cond var and mutex */
    init synchro();
    /* Create threads */
    /* odd thread ranks are consumers, even ranks are producers */
    for (int i = 0; i < NUM_THREADS; i++) {</pre>
        ids[i] = i;
        if (i % 2 ==0)
            pthread create(&threads[i], NULL, producer, (void *)&ids[i]);
        else
            pthread create(&threads[i], NULL, consumer, (void *)&ids[i]);
    }
    /* Wait for all threads to complete */
    for (int i = 0; i < NUM THREADS; i++) {</pre>
        pthread join(threads[i], NULL);
    }
    /* Clean up */
    destroy synchro();
```

### Init and cleanup synchro

```
void init synchro()
    /* Initialize mutex */
    pthread mutex init(&lock, NULL);
    /* Initialize cond vars */
    pthread_cond_init(&not_empty_cv, NULL);
    pthread_cond_init(&not_full_cv, NULL);
void destroy synchro()
    pthread_mutex_destroy(&lock);
    pthread cond destroy(&not empty cv);
    pthread cond destroy(&not full cv);
```

#### Producer and Consumer Threads

```
/* thread function for producer threads */
void *producer(void *t) {
    int i;
    int my id = *(int *)t;
    for (i = 0; i < REPEAT; i++) {
        enqueue(i + my_id * 10);
    pthread exit(NULL);
/* thread function for consumer threads */
void *consumer(void *t) {
    int i;
    int my id = *(int *)t;
    for (i = 0; i < REPEAT; i++) {
        int rez = dequeue();
        printf("Consumer thread %d got %d \n", my_id, rez);
    pthread exit(NULL);
```

```
/* Add one value into the Queue.
If Queue is full, wait */
void enqueue(int value)
{
    pthread mutex lock(&lock);
    /* while queue is full, wait */
    /* need a while loop, not a simple if !!! */
    while ((in + 1) % BUFFER_SIZE == out)
        pthread cond wait(&not full cv, &lock);
    /* put in queue */
    b[in] = value;
    in = (in + 1) % BUFFER_SIZE;
    /* signal that buffer is not empty */
    pthread cond signal(&not empty cv);
    pthread mutex unlock(&lock);
```

```
/* Pop one value from the Queue.
If Queue isempty, wait*/
int dequeue()
    pthread_mutex_lock(&lock);
    /* while queue is empty, wait */
     /* need a while loop, not a simple if !!! */
    while (out == in)
        pthread_cond_wait(&not_empty_cv, &lock);
    /* take out an element */
    int tmp = b[out];
    out = (out + 1) % BUFFER SIZE;
    /* signal that buffer is not full */
    pthread cond signal(&not full cv);
    /* exit critical section */
    pthread mutex unlock(&lock);
    return tmp;
```

## Thinking question (1)

 WHY should we use while and not just a simple if in this sequence?

```
/* while queue is empty, wait */
  /* need a while loop, not a simple if !!! */
while (out == in)
    pthread_cond_wait(&not_empty_cv, &lock);
```

## Thinking question (2)

• In a sequence like the one below, if the queue is empty, the thread waits (is blocked) in the condition variable. What happens with the mutex lock held by this thread?

```
/* Pop one value from the Queue.
If Queue isempty, wait*/
int dequeue()
{
    pthread_mutex_lock(&lock);

    /* while queue is empty, wait */
    while (out == in)
        pthread_cond_wait(&not_empty_cv, &lock);
...
...
...
```

## Thinking question (3)

- In a sequence like the one below, the thread acquires lock1 but gets blocked when trying to acquire lock2.
- The thread waits (is blocked) at lock2. What happens with the lock1 held by this thread?

```
pthread_mutex_lock(&lock1);
pthread_mutex_lock(&lock2);
...
```

 Solution with Mutex Locks and Condition variables: <u>bounded buff condvar.c</u>

## Producer-Consumer with Bounded Buffer — Solution with Semaphores

## Producer-Consumer with Bounded Buffer – Solution with Semaphores

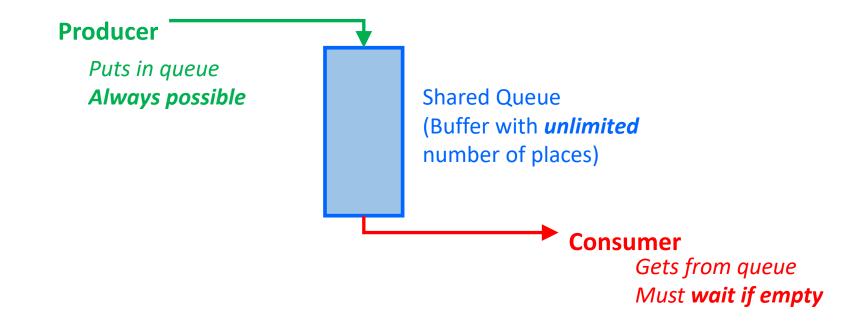
```
#define BUFFER SIZE 16
/* The buffer containing the Queue */
int b[BUFFER SIZE];
/* Index of queue tail (where to add next item) */
int in = 0;
/* Index of queue head (where to remove item) */
int out = 0;
/* mutual exclusion on shared buffer and in out indexes */
pthread_mutex_t lock;
/* semaphore will block consumers if buffer is empty */
/* initialized on value 0 */
sem t empty;
/* semaphore will block producers if buffer is full */
/* initialized on value BUFFER SIZE */
sem t full;
```

```
/* Add one value into the Queue.
If Queue is full, wait */
void enqueue(int value)
{
    /* wait if the buffer is full */
    sem wait(&full);
    pthread mutex lock(&lock);
    b[(in++) % BUFFER_SIZE] = value;
    pthread mutex unlock(&lock);
    /* signal that buffer is not empty
    - increment semaphore of used entries*/
    sem_post(&empty);
```

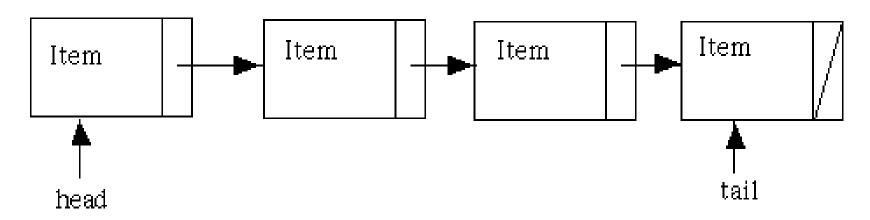
```
/* Pop one value from the Queue.
If Queue isempty, wait*/
int dequeue()
{
    /* wait if the buffer is empty */
    sem_wait(&empty);
    pthread mutex lock(&lock);
    int result = b[(out++) % (BUFFER_SIZE)];
    pthread mutex unlock(&lock);
    /* signal that buffer is not full
    - increment semaphore of free space */
    sem_post(&full);
    return result;
```

• Source code for solution with Semaphores: bounded buff semaph.c

- A number of **Producers** put items into a Shared Queue (a Buffer)
- A number of **Consumers** get items out of the Shared Queue
- All Producers and Consumers work concurrently
- The size of the Queue is **unbounded** (**infinite buffer**)



# Unbounded Buffer Queue Implementation



**head**: Consumers get (dequeue) from here

**tail**: *Producers*put (enqueue)
here