Introduction to NLP

Context-free grammars

Context-free grammars

- A context-free grammar is a 4-tuple (N, Σ ,R,S)
 - N: non-terminal symbols
 - Σ : terminal symbols (disjoint from N)
 - R: rules (A \rightarrow β), where β is a string from ($\Sigma \cup N$)*
 - S: start symbol from N

Example

```
["the", "child", "ate", "the", "cake", "with", "the", "fork"]
      S \rightarrow NP VP
      NP -> DT N | NP PP
      PP -> PRP NP
      VP -> V NP | VP PP
      DT -> 'a' | 'the'
      N -> 'child' | 'cake' | 'fork'
      PRP -> 'with' | 'to'
      V -> 'saw' | 'ate'
```

Example

```
["the", "child", "ate", "the", "cake", "with", "the", "fork"]
       S \rightarrow NP VP
       NP \rightarrow DT N \mid NP PP
       PP -> PRP NP
       VP \rightarrow V NP \mid VP PP
       DT -> 'a' | 'the'
      N -> 'child' | 'cake' | 'fork'
       PRP -> 'with' | 'to'
      V -> 'saw' | 'ate'
```

Heads marked in bold face

Phrase-structure grammars (1/2)

- Sentences are not just bags of words
 - Alice bought Bob flowers
 - Bob bought Alice flowers
- Context-free view of language
 - A prepositional phrase looks the same whether it is part of the subject NP or part of the VP
- Constituent order
 - SVO (subject verb object)
 - SOV (subject object verb)

Phrase-structure grammars (2/2)

- Auxiliary verbs
 - The dog may have eaten my homework
- Imperative sentences
 - Leave the book on the table
- Interrogative sentences
 - Did the customer have a complaint?
 - Who had a complaint?
- Negative sentences
 - The customer didn't have a complaint

A longer example

```
S -> NP VP | Aux NP VP | VP
NP -> PRON | Det Nom
Nom -> N \mid Nom N \mid Nom PP
PP -> PRP NP
VP -> V | V NP | VP PP
Det -> 'the' | 'a' | 'this'
PRON -> 'he' | 'she'
N -> 'book' | 'boys' | 'girl'
PRP -> 'with' | 'in'
V -> 'takes' | 'take'
```

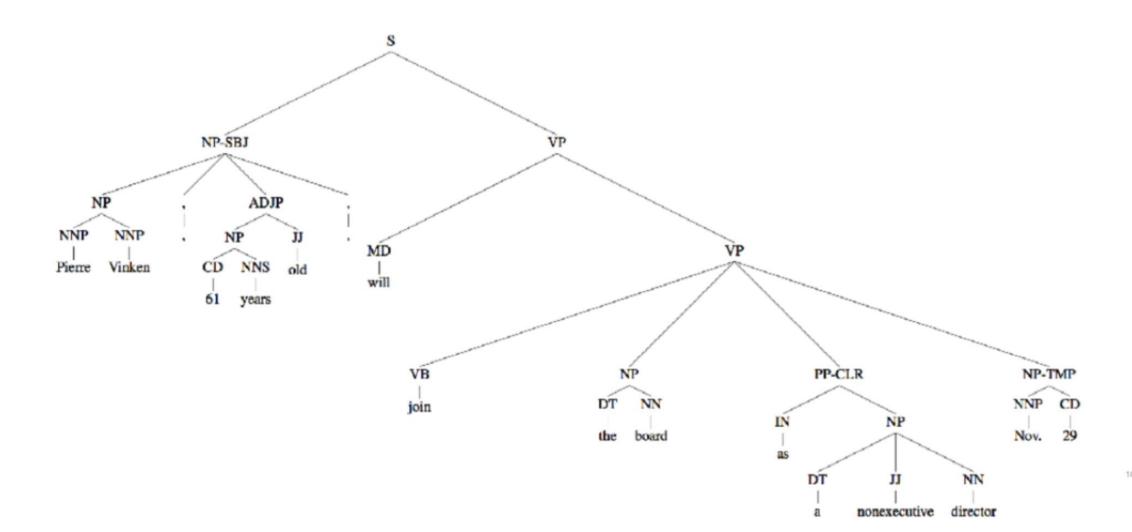
What changes were made to the grammar?

A longer example

```
S -> NP VP | Aux NP VP | VP
NP -> PRON | Det Nom
Nom -> N \mid Nom N \mid Nom PP
PP -> PRP NP
VP -> V | V NP | VP PP
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```

A longer example

```
S -> NP VP | Aux NP VP | VP
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```



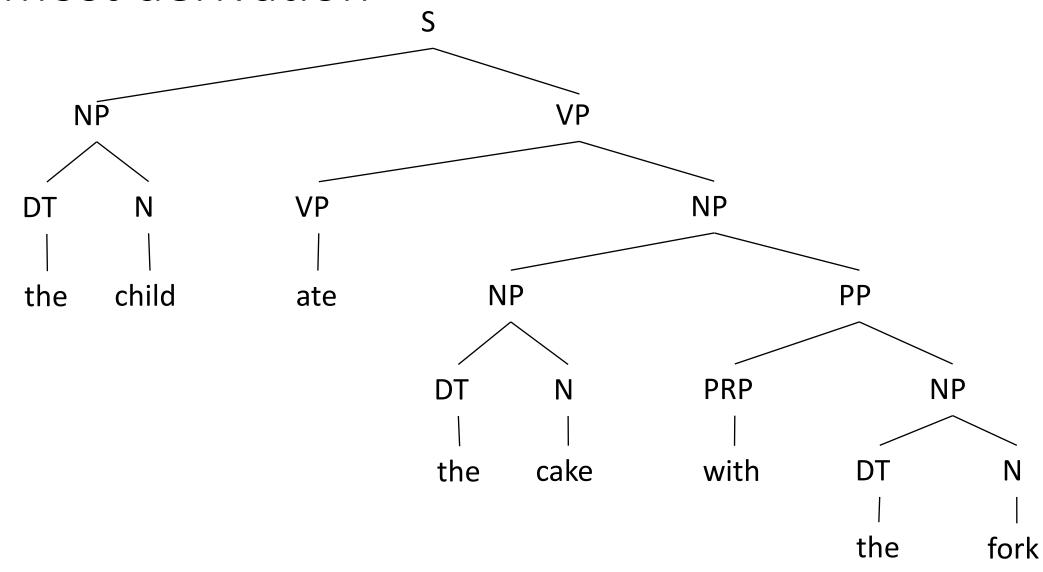
Penn Treebank Example

```
( (S
    (NP-SBJ
      (NP (NNP Pierre) (NNP Vinken) )
      (,,)
      (ADJP
      (NP (CD 61) (NNS years) )
       (JJ old) )
     (,,)
    (VP (MD will)
     (VP (VB join)
        (NP (DT the) (NN board) )
       (PP-CLR (IN as)
          (NP (DT a) (JJ nonexecutive) (NN director) ))
       (NP-TMP (NNP Nov.) (CD 29) )))
    (. .) ))
( (S
    (NP-SBJ (NNP Mr.) (NNP Vinken) )
    (VP (VBZ is)
      (NP-PRD
        (NP (NN chairman) )
        (PP (IN of)
          (NP
            (NP (NNP Elsevier) (NNP N.V.) )
            (,,)
            (NP (DT the) (NNP Dutch) (VBG publishing) (NN group) )))))
    (. .) ))
```

CFGs are equivalent to PDAs

- PDA = Pushdown Automata
- Example: consider the language L={xnyn}
 - stack is empty, input=xxxyyy
 - push * onto stack, input=xxyyy
 - push * onto stack, input=xyyy
 - push * onto stack, input=yyy
 - pop * from stack, input=yy
 - pop * from stack, input=y
 - pop * from stack, input=""

Leftmost derivation



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Issues with Context-free Grammars

Agreement

Number

• Chen is/people are

Person

• I am/Chen is

Tense

• Chen was reading/Chen is reading/Chen will be reading

Case

• not in English but in many other languages such as German, Russian, Greek

Gender

• not in English but in many other languages such as German, French, Spanish

Combinatorial explosion

- Many combinations of rules are needed to express agreement
 - $S \rightarrow NP VP$
 - $S \rightarrow 1sgNP 1sgVP$
 - $S \rightarrow 2sgNP 2sgVP$
 - $S \rightarrow 3sgNP 3sgVP$
 - ...
 - $1sgNP \rightarrow 1sgN$
 - ...

Subcategorization frames

- Direct object
 - The dog ate a sausage
- Prepositional phrase
 - Mary left the car in the garage
- Predicative adjective
 - The receptionist looked worried
- Bare infinitive
 - She helped me buy this place
- To-infinitive
 - The girl wanted to be alone
- Participial phrase
 - He stayed crying after the movie ended
- That-clause
 - Ravi doesn't believe that it will rain tomorrow
- Question-form clauses
 - She wondered where to go
- Empty (ϕ)
 - She slept

CFG independence assumptions

- Non-independence
 - All NPs
 - 11% NP PP, 9% DT NN, 6% PRP
 - NPs under S
 - 9% NP PP, 9% DT NN, 21% PRP
 - NPs under VP
 - 23% NP PP, 7% DT NN, 4% PRP
 - example from Dan Klein

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Syntax

Syntax

- Is language more than just a "bag of words"?
 - Grammatical rules apply to categories and groups of words, not individual words.

Example

- a sentence includes a subject and a predicate. The subject is a noun phrase and the predicate is a verb phrase.
- Noun phrases:
 - The cat, Samantha, She
- Verb phrase:
 - arrived, went away, had dinner
- When people learn a new word, they learn its syntactic usage.
 - Examples: wug (n), cluvious (adj) use them in sentences
 - Hard to come up with made up words: forkle, vleer, etc. all taken.



Defining Parts of Speech

- What do nouns typically have in common?
 - E.g., can be preceded by "the".
- What about verbs?
 - Verbs can be preceded by "can't".
- Adjectives can come between "the" and a noun.
 - How is this different from grade school definitions?
- Determiners
 - a, the, many, no, five
- Prepositions
 - for, to, in, without, before

Constituents

- Constituents are continuous
- Constituents are non-crossing
 - if two constituents share one word, then one of them must completely contain the other.
- Each word is a constituent

Constituent Tests

- "coordination" test
 - She bought a bagel and three chocolate croissants
- "pronoun" test
 - A small dog is barking in the park.
 - It is barking in the park
- "question by repetition" test:
 - I have seen blue elephants
 - Blue elephants?
 - * Seen blue?
 - Seen blue elephants?
- "topicalization" test:

- Blue elephants, I have seen.
- "question" test:
 - What have I seen?
- "deletion" test
 - Last year I saw a blue elephant in the zoo.
- "semantic" test
- "intuitition" test

How to generate sentences

- One way: tree structure
 - Generate the tree structure first
 - Then fill the leaf nodes with terminals

A Simple Syntactic Rule

• The simplest rule for a sentence, e.g. "Birds fly"

$$S \rightarrow N V$$

Simplest Grammar

```
\mathbf{S} \rightarrow \mathbf{N} \mathbf{V}
\mathbb{N} \rightarrow \mathbf{Samantha} \mid \mathbf{Min} \mid \mathbf{Jorge}
\mathbb{V} \rightarrow \mathbf{left} \mid \mathbf{sang} \mid \mathbf{walked}
```

Sample sentences:

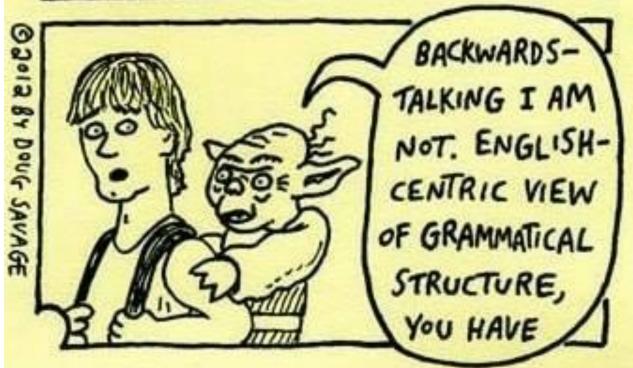
Samantha sang

Jorge left

Syntax

- The verbs so far were intransitive (no direct object)
- What rules are needed next?
 - Transitive verbs and direct objects ("Jorge saw Samantha")
 - Determiners ("the cats")
- Combinatorial explosion (even for the simplest form of sentences)
 - Need for noun phrases
 - Ditto for verb phrases





Latest Grammar

```
S \rightarrow NP \ VP
NP \rightarrow DT \ N
VP \rightarrow V \ NP
DT \rightarrow \text{the } | \text{ a}
N \rightarrow \text{child } | \text{ cat } | \text{ dog}
V \rightarrow \text{took } | \text{ saw } | \text{ liked } | \text{ scared } | \text{ chased}
```

Sample sentences:

a dog chased the cat the child saw a dog

Alternatives

- Different expansions of a category are delineated with " | "
 - NP \rightarrow PN | DT CN
- One rule for proper nouns and another for common nouns

Latest Grammar

```
S \rightarrow NP VP
NP \rightarrow DT CN
NP \rightarrow PN
VP \rightarrow V NP
DT \rightarrow the \mid a
CN \rightarrow child \mid cat \mid dog
PN → Samantha | Jorge | Min
V → took | saw | liked | scared | chased
```

Sample sentences:

a child scared Jorge

Min took the child

Optional categories

- Wherever N is allowed in a sentence,
 - DT N
 - JJ N
 - DT JJ N

are also allowed

- We can use the notation for alternatives
 - NP \rightarrow N | DT N | JJ N | DT JJ N
- Optional categories can be also marked using parentheses:
 - NP \rightarrow (DT) (JJ) N

Verb Phrases

- Samantha ran.
- Samantha ran to the park.
- Samantha ran away.
- Samantha bought a cookie.
- Samantha bought a cookie for John.
- Overall structure
 - VP \rightarrow V(NP) (P) (NP)

Latest Grammar

```
S \rightarrow NP VP
NP \rightarrow DT CN
NP \rightarrow PN
VP \rightarrow V (NP) (P)
                           (NP)
DT \rightarrow the \mid a
CN \rightarrow child \mid cat \mid dog
PN → Samantha | Jorge
P \rightarrow to \mid for \mid from \mid in
V \rightarrow took \mid saw \mid liked \mid scared \mid chased \mid gave
```

Sample sentences:

Samantha saw the cat

Jorge gave the cat to Min

Prepositional Phrases

• Examples:

- Mary bought a book for John in a bookstore.
- The bookstore sells magazines.
- The bookstore on Main St. sells magazines.
- Mary ran away.
- Mary ran down the hill.
- Changes are needed to both NP and VP to accommodate prepositional phrases
 - Wherever a preposition is allowed, it can be followed by a noun phrase.
 - Run up
 - NP can contain any number of PPs but only up to two NPs.
- How do we revise the grammar accordingly?

The Rules So Far

S → NP VP
NP → (DT) (JJ) N (PP)
VP → V (NP) (PP)
PP → P (NP)

PP Ambiguity

The boy saw the woman with the telescope.

```
PP \rightarrow PREP NP VP \rightarrow V NP PP VP \rightarrow DT N PP
```

Repetition (*)

- (JJ*) = a sequence of zero or more JJ
- Are all sequences of adjectives allowed?
 - a big red house
 - * a red big house
- Adjective ordering in English depends on semantics!

Exercise

- The Little Red Riding Hood
- Three Little Pigs
- The Three Musketeers
- The Steadfast Tin Soldier
- The French Connection
- Old Macdonald
- Five Golden Rings
- The Ancient Mariner

Adjective ordering

- Det
- Number
- Strength
- Size
- Age
- Shape
- Color
- Origin
- Material
- Purpose
- Noun
- det < number < size < color < purpose < noun
- strength < material < noun
- origin < noun

Nested Sentences

- Examples:
 - I don't recall whether I took the dog out.
 - Do you know if the mall is still open?
- $VP \rightarrow V$ (NP) (NP) (C S) (PP*)
- Can (C S) appear inside an NP?
 - Whether he will win the elections remains to be seen.

Recursion

- S can generate VP, VP can generate S
- NP can generate PP, PP can generate NP
- What does recursion allow?
- Is there a longest sentence in English?
- Conjunction of NPs:

$$NP \rightarrow NP \text{ and } NP$$

• Conjunction of PPs:

$$PP \rightarrow PP$$
 and PP

• Conjunction of VPs:

```
VP \rightarrow VP and VP
```

Meta-patterns

- $S \rightarrow NP VP$
 - NP \rightarrow (DT) (JJ) N (PP)
 - $VP \rightarrow V (NP) (PP)$
 - $PP \rightarrow P (NP)$
- Is there a meta-pattern here?
 - $XP \rightarrow (specifier) X'$
 - $X' \rightarrow X$ (complement)
- Example: $NP \rightarrow DT N'$
- X-bar Theory
 - http://www.unlweb.net/wiki/X-bar_theory

Meta-rules for Conjunctions

- Conjunction
 - $X \rightarrow X$ and X
- This kind of rule even covers entire sentences
 - $S \rightarrow S$ and S

Auxiliaries

- Is "Aux V" a constituent?
 - I have seen blue elephants and will remember them forever.
- Recursion:
 - VP -> Aux VP
 - Raj may have been sleeping.
- Is such recursion unlimited?

Exercise

• Grammar:

```
S → NP VP | CP VP
NP → (DT) (JJ*) N (CP) (PP*)
VP → V (NP) (NP) (PP*) | V (NP) (CP) (PP*)
PP → P NP
CP → C S
```

- What rules are needed to generate these three sentences:
 - 1. The small dog of the neighbors brought me an old tennis ball.
 - 2. That wugs have three eyes is unproven by scientists.
 - 3. I saw the gift that the old man gave me at the meeting.

Notes

- Syntax helps with sentences like
 - * The milk drank the cat
 - The milk is drunk by the cat
- Overgeneration
 The girl saw
- Undergeneration
- Grammar between the two

Arguments vs. Adjuncts

Arguments

- Mandatory (e.g., "* Romeo likes", "*likes Juliet")
- Cannot be repeated (e.g., "* Juliet likes Romeo John")
- Verbs can have more than one subcategorization frame

Adjuncts

- Optional
- Typically prepositional phrases or adverbs
- Can be repeated (e.g., "Apparently Candace ate pizza yesterday at the restaurant with pleasure")

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Probabilistic Grammars

Need for Probabilistic Parsing

- Time flies like an arrow
 - Many parses
 - Some (clearly) more likely than others
 - Need for a probabilistic ranking method

Probabilistic CFG

- Just like (deterministic) CFG, a 4-tuple (N, Σ ,R,S)
 - N: non-terminal symbols
 - Σ : terminal symbols (disjoint from N)
 - R: rules (A \rightarrow β) [p]
 - β is a string from $(\Sigma \cup N)^*$
 - p is the probability $P(\beta | A)$
 - S: start symbol (from N)

```
S -> NP VP
NP -> DT N | NP PP
PP -> PRP NP
VP -> V NP | VP PP
DT -> 'a' | 'the'
N -> 'child' | 'cake' | 'fork'
PRP -> 'with' | 'to'
V -> 'saw' | 'ate'
```

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S -> NP VP
NP -> DT N
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PP -> PRP NP
VP -> V NP
VP -> VP PP
DT -> 'a'
DT -> 'the'
N -> 'child'
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DT -> 'the'
N -> 'child'
N -> 'cake'
N -> 'fork'
PRP -> 'with'
PRP -> 'to'
V -> 'saw'
V -> 'ate'
```

```
S -> NP VP
               [p0=1]
               [p1]
NP -> DT N
               [p2]
NP -> NP PP
              [p3=1]
PP -> PRP NP
               [p4]
VP -> V NP
VP -> VP PP
               [p5]
DT -> 'a'
               [p6]
              [p7]
DT -> 'the'
               [8q]
N -> 'child'
               [p9]
N -> 'cake'
N -> 'fork'
             [p10]
PRP -> 'with'
               [p11]
PRP -> 'to'
              [p12]
V -> 'saw'
               [p13]
               [p14]
V -> 'ate'
```

Probability of a Parse Tree

• The probability of a parse tree t given all n productions used to build it:

$$p(t) = \prod_{i=1}^{n} p(\alpha \rightarrow \beta)$$

 $p(t) = \prod_{i=1}^{n} p(\alpha \rightarrow \beta)$ • The most likely parse is determined as follows:

$$\underset{t \in T(s)}{\operatorname{argmax}} p(t)$$

- The probabilities are obtained using MLE from the training corpus
- The probability of a *sentence* is the *sum* of the probabilities of all of its parses

А		β	P(β NP)
NP	\rightarrow	NP PP	0.092
NP	\rightarrow	DT NN	0.087
NP	\rightarrow	NN	0.047
NP	\rightarrow	NNS	0.042
NP	\rightarrow	DT JJ NN	0.035
NP	\rightarrow	NNP	0.034
NP	\rightarrow	NNP NNP	0.029
NP	\rightarrow	JJ NNS	0.027
NP	\rightarrow	QP -NONE-	0.018
NP	\rightarrow	NP SBAR	0.017
NP	\rightarrow	NP PP-LOC	0.017
NP	\rightarrow	JJ NN	0.015
NP	\rightarrow	DT NNS	0.014
NP	\rightarrow	CD	0.014
NP	\rightarrow	NN NNS	0.013
NP	\rightarrow	DT NN NN	0.013
NP	→	NP CC NP	0.013

```
S -> NP VP
               [p0=1]
               [p1]
NP -> DT N
               [p2]
NP -> NP PP
              [p3=1]
PP -> PRP NP
               [p4]
VP -> V NP
VP -> VP PP
               [p5]
DT -> 'a'
               [p6]
              [p7]
DT -> 'the'
               [8q]
N -> 'child'
               [p9]
N -> 'cake'
N -> 'fork'
             [p10]
PRP -> 'with'
               [p11]
PRP -> 'to'
              [p12]
V -> 'saw'
               [p13]
               [p14]
V -> 'ate'
```

```
[p0=1]
S -> NP VP
                        [p1]
NP -> DT N
                                                       S
                        [p2]
NP
     -> NP PP
                                             NP<sub>1</sub>
                        [p3=1]
     -> PRP NP
                        [p4]
                                           DT<sub>1</sub>
                                               N<sub>1</sub>
VP
     -> V NP
                        [p5]
VP -> VP PP
                                           the child ate
     -> 'a'
                        [p6]
\operatorname{DT}
                                                     DT<sub>2</sub> N<sub>2</sub> PRP
                                                                NP<sub>4</sub>
                        [p7]
     -> 'the'
                                                     the cake with DT<sub>3</sub> N<sub>3</sub>
N -> 'child'
                        [8q]
                                                               the fork
                        [p9]
N -> 'cake'
N -> 'fork'
                        [p10]
                        [p11]
PRP -> 'with'
                        [p12]
PRP -> 'to'
                        [p13]
V -> 'saw'
                        [p14]
V -> 'ate'
```

```
[p0=1]
    -> NP VP
                           [p1]
NP -> DT N
                           [p2]
NP
      -> NP PP
                                                  NP<sub>1</sub>
                           [p3=1]
      -> PRP NP
                           [p4]
                                                 DT<sub>1</sub>
                                                     N<sub>1</sub>
VP
      -> V NP
                           [p5]
     -> VP PP
                                                 the child ate
     -> 'a'
                           [p6]
\mathsf{DT}
                                                               N<sub>2</sub> PRP
                                                           DT<sub>2</sub>
                                                                        NP<sub>4</sub>
                           [p7]
      -> 'the'
                                                           the cake with DT<sub>3</sub> N<sub>3</sub>
N -> 'child'
                           [8q]
                                                                       the fork
                           [p9]
N -> 'cake'
N -> 'fork'
                           [p10]
                                                              S
       -> 'with'
                           [p11]
                                                  NP<sub>1</sub>
                                           t_2
                           [p12]
       -> 'to'
PRP
                                                 DT<sub>1</sub>
V -> 'saw'
                           [p13]
                                                 the child V
                                                                  PRP
                                                                        NP3
                           [p14]
V -> 'ate'
                                                        ate DT2
                                                                  with DT<sub>3</sub>
                                                                      the fork
                                                           the cake
```

```
[p0=1]
    -> NP VP
                              [p1]
NP -> DT N
                              [p2]
NP
      -> NP PP
                                                         NP<sub>1</sub>
                              [p3=1]
      -> PRP NP
                                                                                            p(t_1) = p_0 p_1 p_4 p_7 p_8 p_1 p_2 p_1 p_3 p_7 p_9 p_1 p_1 p_7 p_{10}
                              [p4]
                                                       DT<sub>1</sub>
                                                           N<sub>1</sub>
      -> V NP
VP
                              [p5]
                                                       the child ate
      -> VP PP
                                                                     NP<sub>3</sub>
      -> 'a'
                              [p6]
                                                                       N<sub>2</sub> PRP
                                                                   DT<sub>2</sub>
                                                                                 NP<sub>4</sub>
                              [p7]
      -> 'the'
                                                                   the cake with DT<sub>3</sub> N<sub>3</sub>
                              [8q]
    -> 'child'
                                                                               the fork
                              [p9]
    -> 'cake'
                              [p10]
   -> 'fork'
                              [p11]
       -> 'with'
                                                         NP<sub>1</sub>
                                                t_2
                              [p12]
PRP
        -> 'to'
                                                       DT<sub>1</sub>
                              [p13]
V -> 'saw'
                                                       the child V
                                                                           PRP
                                                                                 NP3
                              [p14]
V -> 'ate'
                                                               ate DT<sub>2</sub> N<sub>2</sub>
                                                                          with DT<sub>3</sub> N<sub>3</sub>
```

the cake

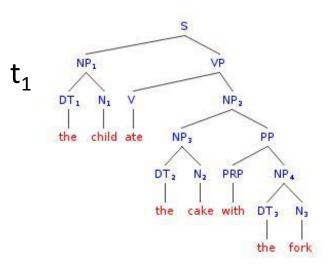
the fork

```
[p0=1]
    -> NP VP
                              [p1]
      -> DT N
                              [p2]
            NP PP
                                                        NP<sub>1</sub>
                              [p3=1]
      -> PRP
                                                                                           p(t_1) = p_0 p_1 p_4 p_7 p_8 p_1 p_2 p_1 p_3 p_7 p_9 p_1 p_1 p_7 p_{10}
                              [p4]
                                                      DT<sub>1</sub>
                                                           N<sub>1</sub>
      -> V NP
VP
                              [p5]
      -> VP PP
                                                      the child ate
                                                                    NP<sub>3</sub>
      -> 'a'
                              [p6]
                                                                      N<sub>2</sub> PRP
                                                                  DT<sub>2</sub>
                                                                                NP.
                              [p7]
      -> 'the'
                                                                  the cake with DT<sub>3</sub> N<sub>3</sub>
                              [8q]
    -> 'child'
                                                                              the fork
                              [p9]
    -> 'cake'
                              [p10]
    -> 'fork'
        -> 'with'
                              [p11]
                                                        NP<sub>1</sub>
                                                                                           p(t_2) = p_0 p_1 p_5 p_7 p_8 p_4 p_3 p_{14} p_1 p_1 p_1 p_7 p_9 p_7 p_{10}
                                                t_2
PRP
        -> 'to'
                              [p12]
                                                      DT<sub>1</sub>
   -> 'saw'
                              [p13]
                                                      the child V
                                                                          PRP
                                                                                NP3
                              [p14]
    -> 'ate'
                                                              ate DT2
                                                                         with
```

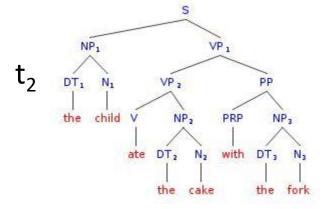
the cake

the fork

```
[p0=1]
  -> NP VP
                [p1]
   -> DT N
      NP PP
                [p2]
                [p3=1]
   -> PRP
           NP
                [p4]
   -> V NP
VP
                [p5]
   -> VP PP
   -> 'a'
                [p6]
                [p7]
   -> 'the'
  -> 'child'
                [8q]
                [p9]
  -> 'cake'
                [p10]
  -> 'fork'
    -> 'with'
                [p11]
PRP
    -> 'to'
                [p12]
  -> 'saw'
                [p13]
                [p14]
  -> 'ate'
```

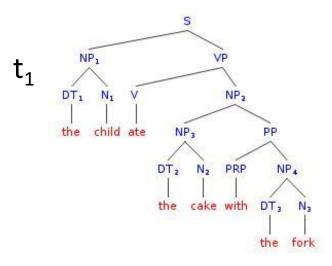


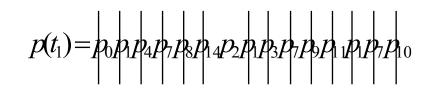
$$p(t_1) = p_0 p_1 p_4 p_7 p_8 p_1 p_2 p_1 p_3 p_7 p_9 p_1 p_1 p_7 p_{10}$$

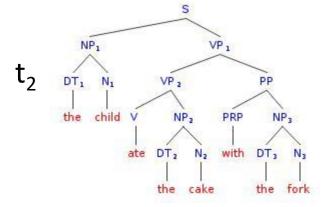


$$p(t_2) = p_0 p_1 p_5 p_7 p_8 p_4 p_3 p_1 p_1 p_1 p_1 p_2 p_5 p_7 p_{10}$$

```
[p0=1]
     NP
         VP
                 [p1]
NP
   -> DT N
                 [p2]
NP
      NP PP
                 [p3=1]
      PRP
           NP
                 [p4]
   -> V NP
VP
                 [p5]
   -> VP PP
   -> 'a'
                 [p6]
                 [p7]
   -> 'the'
                 [8q]
  -> 'child'
                 [p9]
  -> 'cake'
                 [p10]
  -> 'fork'
    -> 'with'
                 [p11]
                 [p12]
    -> 'to'
PRP
  -> 'saw'
                 [p13]
                 [p14]
  -> 'ate'
```







$$p(t_2) = p_0 p_1 p_5 p_7 p_8 p_4 p_3 p_1 4 p_1 p_1 p_7 p_5 p_7 p_{10}$$

Introduction to NLP

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Probabilistic Parsing

Main Tasks with PCFGs

- Given a grammar G and a sentence s, let T(s) be all parse trees that correspond to s
- Task 1
 - find which tree t among T(s) maximizes the probability p(t)
- Task 2
 - find the probability of the sentence p(s) as the sum of all possible tree probabilities p(t)

Probabilistic Parsing Methods

- Probabilistic Earley algorithm
 - Top-down parser with a dynamic programming table
- Probabilistic Cocke-Kasami-Younger (CKY) algorithm
 - Bottom-up parser with a dynamic programming table

Probabilistic Grammars

- Probabilities can be learned from a labeled corpus
 - Treebank
- Intuitive meaning
 - Parse #1 is twice as probable as parse #2
- Possible to do reranking
- Possible to combine with other stages
 - E.g., speech recognition, translation

Maximum Likelihood Estimates

- Use the parsed training set for getting the counts
 - $P_{ML}(\alpha \rightarrow \beta) = Count(\alpha \rightarrow \beta)/Count(\alpha)$
- Example:
 - $P_{ML}(S \rightarrow NP VP) = Count (S \rightarrow NP VP)/Count(S)$

Sample Probabilistic Grammar

Grammar		Lexicon
$S \rightarrow NP VP$	[.80]	$Det \rightarrow that [.10] \mid a [.30] \mid the [.60]$
$S \rightarrow Aux NP VP$	[.15]	$Noun \rightarrow book [.10] \mid flight [.30]$
$S \rightarrow VP$	[.05]	meal [.15] money [.05]
$NP \rightarrow Pronoun$	[.35]	flights [.40] dinner [.10]
$NP \rightarrow Proper-Noun$	[.30]	$Verb \rightarrow book [.30] \mid include [.30]$
$NP \rightarrow Det Nominal$	[.20]	<i>prefer</i> ; [.40]
$NP \rightarrow Nominal$	[.15]	$Pronoun \rightarrow I[.40] \mid she[.05]$
$Nominal \rightarrow Noun$	[.75]	me [.15] you [.40]
$Nominal \rightarrow Nominal Noun$	[.20]	$Proper-Noun \rightarrow Houston [.60]$
$Nominal \rightarrow Nominal PP$	[.05]	<i>NWA</i> [.40]
$VP \rightarrow Verb$	[.35]	$Aux \rightarrow does [.60] \mid can [40]$
$VP \rightarrow Verb NP$	[.20]	$Preposition \rightarrow from [.30] \mid to [.30]$
$VP \rightarrow Verb NP PP$	[.10]	on [.20] near [.15]
$VP \rightarrow Verb PP$	[.15]	through [.05]
$VP \rightarrow Verb NP NP$	[.05]	
$VP \rightarrow VP PP$	[.15]	
$PP \rightarrow Preposition NP$	[1.0]	

Example

```
[p0=1]
S -> NP VP
NP -> DT N [p1=.8]
NP -> NP PP
           [p2=.2]
PP -> PRP NP
            [p3=1]
          [p4=.7]
VP -> V NP
           [p5=.3]
VP -> VP PP
DT -> 'a' [p6=.25]
DT -> 'the' [p7=.75]
N -> 'child' [p8=.5]
N -> 'cake' [p9=.3]
N -> 'fork' [p10=.2]
PRP -> 'with' [p11=.1]
PRP -> 'to' [p12=.9]
V -> 'saw' [p13=.4]
         [p14=.6]
V -> 'ate'
```

```
function PROBABILISTIC-CKY(words,grammar) returns most probable parse and its probability
```

```
for j \leftarrow from 1 to LENGTH(words) do
   for all \{A \mid A \rightarrow words[j] \in grammar\}
      table[j-1,j,A] \leftarrow P(A \rightarrow words[j])
   for i \leftarrow from j-2 downto 0 do
        for k \leftarrow i+1 to j-1 do
                for all \{A \mid A \rightarrow BC \in grammar,
                                  and table[i,k,B] > 0 and table[k,j,C] > 0
                       if (table[i,j,A] < P(A \rightarrow BC) \times table[i,k,B] \times table[k,j,C]) then
                            table[i,j,A] \leftarrow P(A \rightarrow BC) \times table[i,k,B] \times table[k,j,C]
                             back[i,j,A] \leftarrow \{k,B,C\}
```

return BUILD_TREE(back[1, LENGTH(words), S]), table[1, LENGTH(words), S]

the								
	child							
		ate						
			the					
				cake				
				·	with			
						the		
						'	fork	

the	DT .75							
	child							
		ate						
			the					
				cake				
					with			
						the		
							fork	

the	DT .75							
	child	N .5						
		ate						
			the					
				cake				
					with			
						the		
							fork	

the	DT .75	NP .8						
	child	N .5						
		ate						
			the					
			·	cake				
					with			
						the		
							fork	

the	DT ——.75	-NP .8*.5*.75						
	child	N .5						
		ate						
		·	the					
			,	cake				
				·	with			
					·	the		
							fork	

the	DT ——.75	NP .8*.5*.75						
	child	N .5						
		ate						
			the					
				cake				
					with			
					·	the		
K	(eep onl	fork						

Exercise

- Now, on your own, compute the probability of the entire sentence using Probabilistic CKY.
- Don't forget that there may be multiple parses, so you will need to add the corresponding probabilities.

Notes

- Stanford Demo
 - http://nlp.stanford.edu:8080/parser/
- PTB statistics
 - 50,000 sentences (40,000 training; 2,400 testing)
- PTB peculiarities
 - includes traces and other null elements
 - Flat NP structure (e.g., NP -> DT JJ JJ NNP NNS)
- Parent transformation
 - Subject NPs are more likely to have modifiers than object NPs
 - E.g., replace NP with NP^S

Introduction to NLP

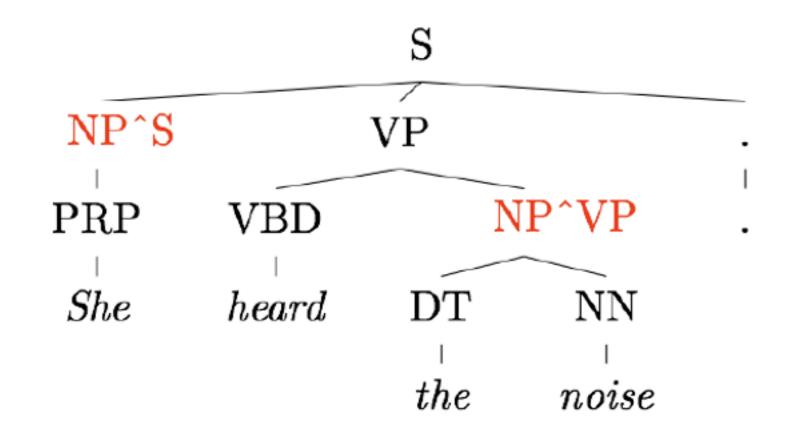
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Lexicalized Parsing

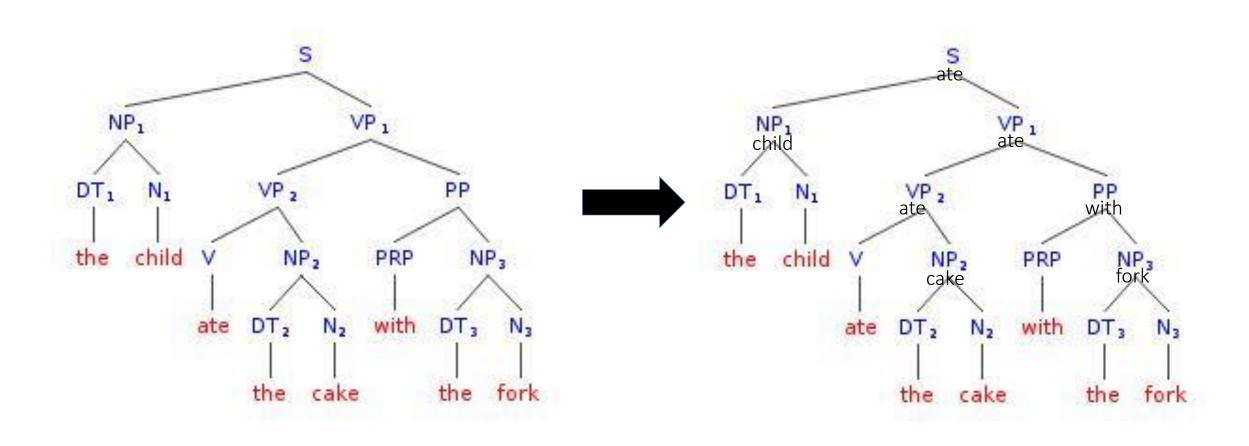
Limitations of PCFGs

- The probabilities don't depend on the specific words
 - E.g., give someone something (2 arguments) vs. see something (1 argument)
- It is not possible to disambiguate sentences based on semantic information
 - E.g., eat pizza with *pepperoni* vs. eat pizza with *fork*
- Lexicalized grammars idea
 - Use the head of a phrase as an additional source of information
 - VP[ate] -> V[ate]
 - Fundamental idea in syntax, cf. X-bar theory, HPSG
 - Constituents receive their heads from their head child

Parent Annotation



Lexicalization



Head Extraction Example (Collins)

```
NP -> DT NNP NN (rightmost)
NP -> DT NN NNP (rightmost)
NP -> NP PP (leftmost)
NP -> DT JJ (rightmost)
NP -> DT (rightmost leftover child)
```

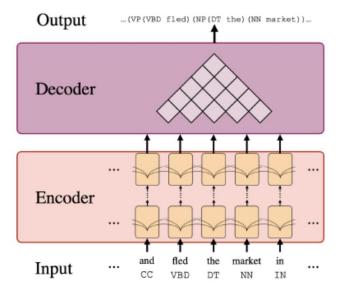
Notes

- Complexity of lexicalized parsing
 - O(N⁵g³V³), instead of O(N³) because of the lexicalization
 - N = sentence length
 - g = number of non-terminals
 - V = vocabulary size
 - Use beam search (Charniak; Collins)
- Sparse data
 - 40,000 sentences; 12,409 rules (Collins)
 - 15% of all test sentences contain a rule not seen in training (Collins)

Recent results

Labeled precision/recall on PTB-WSJ

- ▶ Vanilla PCFG: 70.6% recall, 74.8% precision
- ▶ Lexicalized PCFG: 88.1% recall, 88.3% precision
- Neuralized constituency parsing (Kitaev and Klein, 2018): 94.9% recall, 95.4% precision



Neural encoding followed by max marginal decoding: no independence assumption (read the paper)

Recent results (Label Attention Layer)

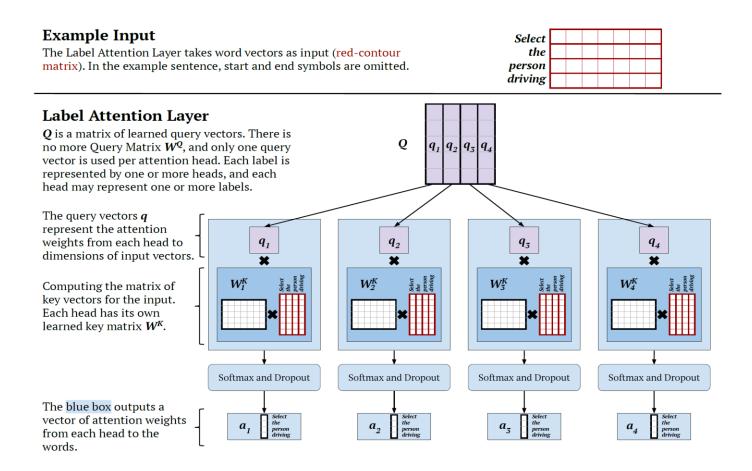


Figure 2: The architecture of the top of our proposed Label Attention Layer. In this figure, the example input sentence is "Select the person driving".