# **Extending JSON CRDT with move operations**

Liangrun Da, Martin Kleppmann October, 2023

#### **Contents**

1. Introduction

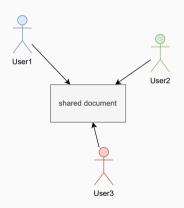
2. Algorithm

 $3. \ \, \mathsf{Evaluation}$ 

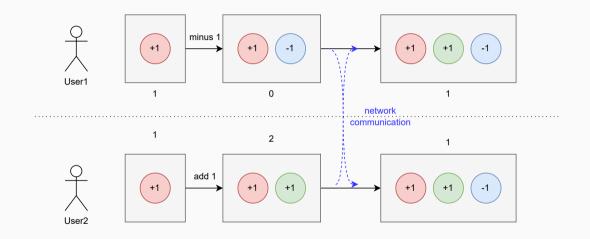
# Introduction

#### **Collaborative applications**

- Nowadays we use a lot of collaborative applications
- Google Docs, Figma, Trello, ...
- Even our slides were done in a collaborative way (on sharelatex.tum.de)
- Each user has local replica of the data and can make changes to it concurrently
- All replicas converge to the same state automatically
- This can be achieved by using Conflict-free Replicated Data Types (CRDTs) [3]



# A simple example of operation-based CRDT



#### Automerge: A CRDT for JSON documents



Automerge [1] is a CRDT library that exposes a JSON document

#### **Automerge: A CRDT for JSON documents**



- Automerge [1] is a CRDT library that exposes a JSON document
- Created by Martin but is now a community-led project

• Pair of

 $\langle counter, actor\_id \rangle$ 

 $<sup>^{1}\</sup>mathrm{The}$  operation id of the operation that created the object is used as its object id

• Pair of

$$\langle counter, actor\_id \rangle$$

• Define a total order (among operations)

$$\langle c_a, id_a \rangle < \langle c_b, id_b \rangle \iff c_a < c_b \lor \big(c_a = c_b \land id_a < id_b\big)$$

 $<sup>^{1}</sup>$ The operation id of the operation that created the object is used as its object id

Pair of

$$\langle counter, actor\_id \rangle$$

• Define a total order (among operations)

$$\langle c_a, id_a \rangle < \langle c_b, id_b \rangle \iff c_a < c_b \lor (c_a = c_b \land id_a < id_b)$$

 counter is set to the maximum of all known operations at a replica and incremented for each generated operation

<sup>&</sup>lt;sup>1</sup>The operation id of the operation that created the object is used as its object id

Pair of

Define a total order (among operations)

$$\langle c_a, id_a \rangle < \langle c_b, id_b \rangle \iff c_a < c_b \lor (c_a = c_b \land id_a < id_b)$$

- counter is set to the maximum of all known operations at a replica and incremented for each generated operation
- Also used to refer to an object (list or map) in the JSON document<sup>1</sup>

<sup>&</sup>lt;sup>1</sup>The operation id of the operation that created the object is used as its object id

• make\_list: creates a new list object

- make\_list: creates a new list object
- make\_map: creates a new map object

- make\_list: creates a new list object
- make\_map: creates a new map object
- put: sets a scalar value

- make\_list: creates a new list object
- make\_map: creates a new map object
- put: sets a scalar value
- delete: removes an object/scalar

#### **Automerge: Example JSON Document**

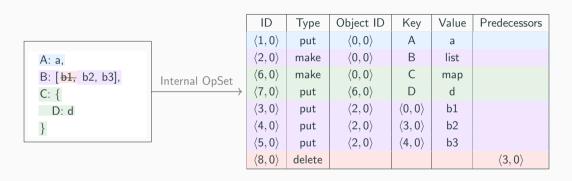


Figure 1: An example JSON document with its internal OpSet

#### Why move?

#### It is everywhere!

- In distributed file systems, we move a directory from one place to another
- In collaborative to-do lists, we reorder tasks
- In collaborative drawing tools, we move layers up and down
- ..

#### Why is it hard?

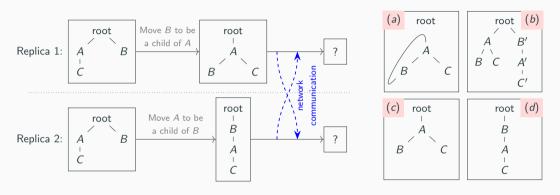


Figure 2: Concurrent moves might cause cycles or duplicate nodes. Figure from [2]

#### **Generating Move Operations**

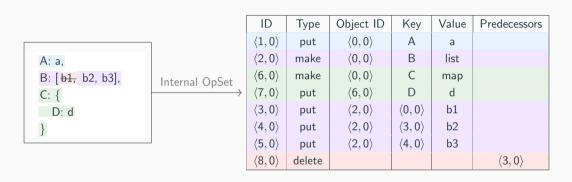


Figure 3: An example JSON document with its internal OpSet

**Algorithm** 

# **Generating Move Operations**

A: a, B: [b1, b2, d, b3], C: {}	ID	Туре	Object ID	Key	Value	Predecessors	MoveID
	$\langle 1,0 \rangle$	put	$\langle 0,0 \rangle$	А	а		
	$\langle 2,0 \rangle$	make	$\langle 0,0 \rangle$	В	list		
	$\langle 6,0 \rangle$	make	$\langle 0,0 \rangle$	С	map		
	$\langle 7,0 \rangle$	put	$\langle 6,0 \rangle$	D	d		
	$\langle 3,0 \rangle$	put	$\langle 2,0 \rangle$	$\langle 0,0 \rangle$	b1		
	$\langle 4,0 \rangle$	put	$\langle 2,0 \rangle$	$\langle 3,0 \rangle$	b2		
	$\langle 5,0 \rangle$	put	$\langle 2,0 \rangle$	$\langle 4,0 \rangle$	b3		
	$\langle 8,0 \rangle$	delete				$\langle 3,0 \rangle$	
	$\langle 9,0 \rangle$	move	$\langle 2,0 \rangle$	$\langle 4,0 \rangle$	d	$\langle 7,0 \rangle$	$\langle 7,0 \rangle$

Figure 4: Moving d to be an element of list B

### **Validity Check**

We define a move operation to be valid if and only if:

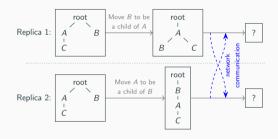
- It does not introduce any cycles.
- There is no concurrent move operation with a greater ID that moves the same element.

#### Validity Check: A wrong approach

Whenever a move operation is added

- 1. Check if the new operation is valid
- If the new operation introduces a cycle or there is an operation with a greater ID moving the same element, it is invalid

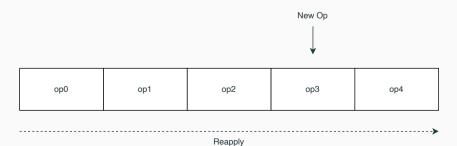
Result: inconsistent decisions on the validity of move operations across replicas



# Validity Check: A naive approach

#### Whenever an operation is added:

- 1. Reapply all the operations in ascending ID order and check the validity of each operation
- 2. If an operation introduces a cycle, it is invalid
- 3. If an operation is valid, all the operations that move the same element with lower IDs are invalid



#### Validity Check: An optimized approach

Since the validity of operations with lower IDs is not affected by the new operation:

- 1. Revert all the operations with greater IDs
- 2. Apply the new operation and check its validity
- 3. Reapply the reverted operations in ascending ID order and update the validity



#### Validity Check: Further Optimization

- Bulk Updating: Run revert-apply-reapply for a batch of new operations
- Checkpoint: Run revert-apply-reapply since the nearest checkpoint
- Avoid reverting and reapplying non-move operations as they are always valid

**Evaluation** 

#### **Evaluation**

- Average convergence time with validity check enabled
- Comparison to unreplicated JSON object

#### Experiment setup:

- 1. Three replicas: c5.large (2 vCPU, 4 GB RAM)
- 2. Average latency between replicas: 169 ms
- 3. Randomly generate move operations at different rates and exchange with each other

# Linear complexity of convergence

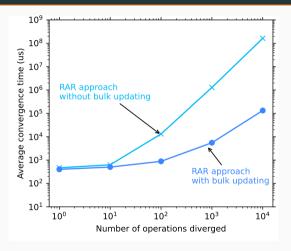
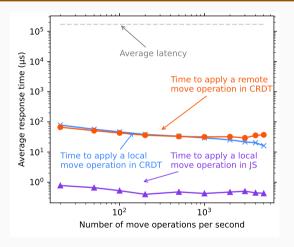


Figure 5: Average convergence time of two actors that diverge by move operation

#### Microseconds cost for replicated move operations!



**Figure 6:** Average response time of move operation on JSON CRDT and unreplicated JSON object

20/22

#### **Summary**

- Exteding move operations is feasible in a collaborative setting without any major performance cost
- Move operations take care of potential duplicates and cycles

#### References

- [1] Automerge CRDT. https://automerge.org.
- [2] Martin Kleppmann, Dominic P Mulligan, Victor BF Gomes, and Alastair R Beresford. A highly-available move operation for replicated trees. *IEEE Transactions on Parallel and Distributed Systems*, 33(7):1711–1724, 2021.
- [3] Marc Shapiro, Nuno Preguiça, Carlos Baquero, and Marek Zawirski. Conflict-free replicated data types. In *Stabilization, Safety, and Security of Distributed Systems:* 13th International Symposium, SSS 2011, Grenoble, France, October 10-12, 2011. Proceedings 13, pages 386–400. Springer, 2011.