

University of Applied Sciences FACHBEREICH
INGENIEUR- UND
NATURWISSENSCHAFTEN

#### **Master Thesis**

Design and implementation of a verifier for sequential programs using the Hoare calculus

submitted by: Florian Wege

Student number: 15856

Field of studies: Information and Communication Systems

Merseburg University of Applied Sciences

supervised by: Prof. Dr. phil. Dr. rer. nat. habil. Michael

Merseburg University of Applied Sciences
Prof. Dr. rer. nat. habil. Eckhard Liebscher
Merseburg University of Applied Sciences

### **Table of Contents**

1	Intr	oduction	2						
	1.1	Motivation	2						
	1.2	Analysis vs simulation	3						
	1.3	Basic approaches	4						
	1.4	What the paper is about	6						
2	Pre	liminaries	8						
	2.1	Overview	8						
	2.2	How to instruct computers	9						
3	Intr	oduction of language	13						
	3.1	On languages and grammars	13						
		3.1.1 Definitions	13						
		3.1.2 Ambuigity, Associativity, Precedence	15						
		3.1.3 The problem with left recursion	18						
		3.1.4 Construction of an LL(1) parser table	21						
	3.2								
		3.2.1 Commands	24						
		3.2.2 Numerical expressions	25						
		3.2.3 Boolean expressions	29						
	3.3	Semantics	32						
	3.4	Semantic tree	32						
4	Hov	v to prove	34						
	4.1	From operational semantics	34						
	4.2	Transition to Hoare calculus	35						
	4.3	Challenges	37						
		4.3.1 Finding invariants	37						
		4.3.2 Resolving implications	37						
5	lmp	lementation	38						
	5.1	Java, Surface	38						
	5.2	Grammar, Lexer, parser	40						
		5.2.1 First, follow	42						

	5.3	Hoare	48			
	5.4	Exception handling	48			
	5.5	GUI	48			
		5.5.1 Editor	48			
		5.5.2 Display of tokens/syntax tree $\dots \dots \dots \dots \dots$	48			
		5.5.3 Syntax chart with Hoare decoration	48			
6	Fazi	<u> </u>	49			
-	6.1	Summerization	49			
	6.2	Remaining problems	49			
	6.3	Extendabilities	49			
Α	First	, Follow, Parser Table listings	52			
В	3 Lexer, Parser listings					
C	C Hoare listing					
D	O Grammmar for while programs					
Е	Grammar for Hoare-decorated while programs					

### **List of Tables**

T1	empty First-Follow table	22
T2	filled First-Follow table	23
T3	predictive parser table	23
T4	operator table of $\langle exp \rangle$	27
T5	operator table of $\langle \exp \rangle$	30

# **List of Figures**

1.1	From intention to program
2.1	High level code to machine code
2.2	Processing chain of a compiler
3.1	first grammar
3.2	Two-sided recursive grammar
3.3	syntax trees of the example grammar for $id+id+id$
3.4	right-most derivation
3.5	left-most derivation
3.6	exp grammar with multiplication
3.7	syntax trees of the multiplication-extended exp grammar
3.8	grammar with operator precedence
3.9	syntax trees of the example grammar with different semantics 19
3.10	grammar with left recursion
3.11	elimination of left recursion
3.12	exp with right recursion
3.13	elimination of left recursion with multiple instances of $\alpha$ and $\beta$ 21
3.14	exp with right recursion
3.15	grammar to clarify the concept of First and Follow
3.16	commands
3.17	⟨prog⟩
3.18	core (exp) grammar
3.19	core grammar of $\langle bool\_exp \rangle$ (raw)
3.20	grammar of $\langle \exp \rangle$ (precedence)
3.21	core grammar of $\langle exp \rangle$ (associativity)
3.22	core grammar of $\langle exp \rangle$ (right recursive)
3.23	grammar of (exp) (final)
3.24	grammar of \( \lambda bool_exp \rangle \) (precedence) \( \lambda \ldots
3.25	grammar of (bool_exp) (final)
	extensive syntax tree of A+1
	nice tree of A+1
г 1	Material and a second s

5.2	Views	39
5.3	grammar-related class diagram	40
5.4	parser-related class diagram	43

#### **Abstract**

The Floyd-Hoare logic or calculus is a methodology for proving the partial or total correctness of computer programs developed by C.A.R. Hoare based on ideas of Robert W. Floyd and has awoken a wave of enthusiasm in the domain of program verification. Though the system has received a great deal of recognition, some fundamental problems in effectively using it remain to be solved, as they were found undecidable.

This paper aims to build a bridge between the often only theoretically-contemplated Hoare calculus and the venture to implement such with an example, starting from scratch. It describes the construction of an LL(1) parser, corresponding grammars, the Hoare methodology and delves into the issues of searching for loop invariants and the handling of logical expressions, finding heuristics and resorts to user interaction where automated solutions fall short.

#### Chapter 1

# 1

#### Introduction

#### 1.1 Motivation

Since the introduction of computers, more and more of today's workings were shifted to digital processing. That transition streamlined a lot of things but also requested for a new profession facing up to controlling those machines, which would soon become known under the term software engineering. As there are a lot of processes to be described and accounted for, of course it had been bound to fan out and different engineers for different systems and hybrid forms had and are still coming to life. Programming has turned into a basic skill and some politicians actually want to integrate it into the curriculums of elementary schools. That discipline also experienced a certain trait of creativity. With the right idea in mind for an App, arranging the available components in a way of high usability, one can explore and look out for a market demand because a number of platforms and services are already in existence for unleashing one's own ideas upon. Hardware, too, became more feasible and sophisticated in time but as the term discloses, software stands for a higher level of malleability, which fosters trial-and-error-flavored development. When developing for some platform, most of the details are abstracted, so, unless one is working with embedded systems, that require a close treatment, being aware of the inner workings at least partially has become increasingly unnecessary.

"At least once a semester I hear some kid yell, 'Wow! This is like magic!' and that really motivates them."

-Alfred Thompson, computer science teacher

This is in contradistinction to the foundations of computer science, which seeks to formalize and systematically find solutions to problems. And in fact, as the application of software engineering progressed, the domain of topics enlarged. Questions of optimizations and concerns about security are slowly catching up and thus a particularized insight into the groundwork is about to regain value. As noted above, most everyday

<sup>1</sup>http://www.npr.org/sections/ed/2016/01/12/462698966/the-president-wants-every-student-to-learn-computer-science-how-would-that-work

business is already handled by software and there are a couple of different applications where utmost accuracy is key:

- monetary transactions: automatic teller machines, to debit the right account, transfering ability to where it is needed
- infrastructure: e.g. ensuring the proper behavior of vehicles, switching and communication systems
- dealing with customer (private) data
- ...

Since it is more economic to do so, most software companies are content with the density of errors below a prior specified threshold. That is, the amount of errors per 1000 lines of code is measured and weighed against a target value set within the analysis phase. For applications where an error could cost the life of a human being, a limit of 0.5 (0.05%) is the common pick<sup>2</sup> albeit one may argue risks of that kind should be reduced to zero and the worth of an individual is not up for quantification.

From a historical viewpoint, a broad range of different causes for misfunctioning software could be recorded: A comma in lieu of a dot, a wrong sign in front of a numeric expression, use of a wrong formula, racing conditions, an insufficient domain of definition, protocol errors, imprecision of floating point operations, overload, buffer overflow/underflow, non-considered constellations and many more. Upcoming are trends like IoT (Internet of Things) or autonomous driving that pose new layers of networks and challenge established safety aspects. Another known concern is cost efficiency: The later a software mistake is found, the more expensive it is to be fixed. After the development phase, the team that initially wrote the program often moved on to new tasks. Or in a case like the Mars rover *Curiosity*, once deployed, it cannot just be replaced the software a posteriori. Moreover, often enough, the source code is not published, demands can change later on and glitches may manifest themselves without displaying symptoms at the beginning but which infect the system and which have an impact upon the expandability.

Summarizing this section, the sources of programming errors are multifarious, there is a lot of potential hidden in between and it is often vital to know that software is indeed working correctly before its deployment or utilization. Thus is implied a stricter methodology of investigation of software if not one for its systematic development.

#### 1.2 Analysis vs simulation

To decrease the number of errors, a range of methods is at disposal. Most often that involves peer reviews, i.e. an independent surveyor evaluates one's code. Another

<sup>&</sup>lt;sup>2</sup>https://de.wikipedia.org/wiki/Fehlerquotient#Fehlerdichte\_in\_der\_Informatik

idea is to simulate the behavior by carrying out dynamic test cases, directly running the code. Therefore, positive test cases are written that present well-formed inputs or conditions for an algorithm, then that algorithm is executed and the results are checked for integrity. Conversely, negative tests are to confirm bad input or precondition scenarios yield a proper error handling. The program is not supposed to end in an unregarded segmentation fault (stemming from an invalid access of memory), maybe should rather display a message box and append the information of the exception to a log file.

However, those tests cover but a part of the possible instances the code allows for, therefore fail to vindicate an overall correctness as a famous quote by E. W. Dijkstra alleges:

"Program testing can best show the presence of errors but never their absence."

—Edsger W. Dijkstra

On the other hand, when speaking about verification in the environment of theoretical computer science, the term denotes a genuine proof of the absence of errors within a program according to a specification using formal means. Hence it poses an exact method to evaluate the quality of a software, which, as hinted above, turns out to be crucial at some points and as a consequence rightfully enlarges the discipline of software engineering.

#### 1.3 Basic approaches

Currently, there are two main approaches known to this stipulation: model checking and deductive means.

Model checking is the method of deciding whether a program or a model of it suffices a given specification by exploring its state-space.

At this, a model serves an abstraction of the reality. The idea is to examine the model in order to draw conclusions about the actual system. It needs to be fitting to the task at hand, should be reduced as far as possible to simplify the issue but still contain all the relevant information. Different models can be mixed to acquire new information but such course of action is quick to decline the collective operability.

The state-space is a set or graph of constellations of the values of variables and the current point of execution. This vector describes the state of the program in its entirety. By going through the program code, a verifying tool shifts between the states in order to find all possible execution paths. Those are then checked against constraints,

e.g. invariants like a combination of variable values that should never occur. If an execution path should be found that violates these conditions, it will be exposed and the programmer can observe the execution path that lead to the error to hopefully fix its root cause. This approach basically traverses all accessible variations before it marks the program as approved. That is why there is an exponential growth in computation time and required memory involved, rendering the algorithm impractical fairly quickly. Model checking also necessitates a closed, finite system (or an algorithm to render it as such). Otherwise the program could keep allocating memory and the number of states would not exhaust, thus the verifier may never come to a conclusion. Dynamic data structures may be examined by specialized methods like shape analysis<sup>3</sup>.

Both the model and the specifications are described in dedicated languages that allow the verifier to work with. Due to the intent of exploring the state space, the modeling language must project a finite state machine. Examples are PROMELA (Process Meta Language), Timed Automata or Petri nets. The specifications or properties checked for are usually decorated by logical expressions like temporal logic as introduced in programs by A. Pnueli [Pnu77], are tacit (a division by zero should never occur) or may be integrated in the modeling language itself, e.g. PROMELA permits to insert assertions as part of the control flow.<sup>4</sup>

Another way of verifying a program and the method presented to be in this paper is the deductive resolution of theorems. This idea was first introduced by *Alan Turing* on a conference in 1949. Due to typographical mistakes and other circumstances, it went hidden for a bit but later on other researches would have retaken the topic. But the important thing was to notice that the problem could be modularized and that a program (*Turing* used flowcharts back then) could be decorated with assertions. Later, in 1969, *C.A.R. Hoare* invented a set of axioms and rules, the so-called *Hoare* triples, that would point out a relation between an elementary program instruction or control flow and its effect on what can be logically assumed from what the semantics of this piece of code are to imply. For example the following listing increments a numerical variable 'x' by 1.

x = x + 1

Listing L1: First listing

Now it can be said that prior to this assignment, the variable had been lower by 1 compared to afterwards. Or more generally, before the assignment, every occurrence of 'x' had been substituted by the expression of the new value.

<sup>3</sup>https://en.wikipedia.org/wiki/Shape\_analysis\_(program\_analysis)

<sup>4</sup>https://en.wikipedia.org/wiki/Model\_checking

```
1 PRE{true}
2 z=x+y;
3 z=z*2;
4 z=z-(x+y)
5 POST{z==x+y}
```

Listing L2: Disadvantages of state-space exploration

The above code snippet shall serve as an easily comprehensible example for when deductive means outclass the procedure of state exploration. Assuming that x and yare only 2 byte integer variables and may take any value in their data type domain, that totals the combination of 32 bits or over 4 billion possibilities to check the post condition for, which is what state exploration would do. On the other hand, a human being should be able to recognize the pattern easily. By substitution, one could argue the assignments could be reduced to a single one (z=x+y) and that straight seems to match the post condition. So does the above program fulfill the surrounding specification? Maybe, maybe not. It should be considered that, first off, the conditions between the curly braces possess their own language with their own semantics, e.g. the operators may have their own meaning. Secondly, those are not exactly mathematical expressions. As hinted by x and y being 2 byte integer variables, z too might be restricted, thus the add and multiplication instructions may cause a buffer overflow, whereupon the semantics would have been altered by the substitution then. So of course it depends on the underlying system and that system respectively the semantics of the language have to be well-known in detail. Other than that, an axiomatic theorem solver like the human would identify the pattern, apply rules on the structure of the program to see what can be derived from it and then make statements about it.

However, deductive program verification comes with its own set of problems: Those usually revolve around the elementary control flow constructs of imperative programming languages and the implications of those are not necessarily assessable in their entirety. The question whether a predicate logic expression connotes another is known to be undecidable in general. Furthermore, the calculus viewed here only establishes relationships and does not exactly hand out an algorithm.

From theoretical computer science it can be stated that, in general, it is impossible to say if a program satisfies a set of specifications of any kind. However, it becomes more feasible when narrowed down to classes of programs and regarded languages.

#### 1.4 What the paper is about

The objective of this paper shall be to outline the Hoare calculus, to make a design for a verifier that would present how rationales can be applied starting from a raw

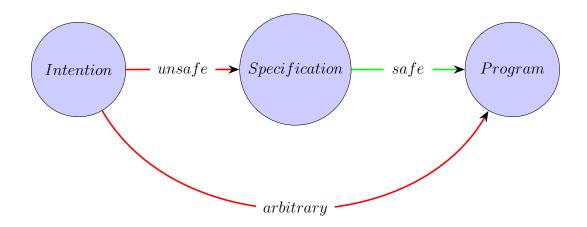


Figure 1.1: From intention to program

string input, how the mathematical formulas that come with it could be transcribed to imperative algorithms and at which points the interaction with a human user is still required. The elaborated design is then to be implemented in the *Java* programming language along with an appropriate graphical user interface to portray the inductive procedure of Hoare-style reasoning.

To conclude the introduction, it should be stated that both model checking and theorem solving rely on a proper specification of the issue. If that is already faulty, which may be the case, since the specification needs a strict formalization as well, any verification will be meaningless and is prone to invoke type II errors (false negatives) because it fails to project the client's true intentions.

figure 1.1 reveals more origins of error. Even when establishing specifications and a model and even obtaining the program by transformation of the model in the target language, there are still risks of human failings in between that may falsify the verification result (and the tooling must be assumed to be working flawlessly). That poses another reason why even a formal verification should only be seen as an additional scheme in the quality assurance environment.

#### Chapter 2

# Preliminaries

#### 2.1 Overview

Before plunging into the core topic of this paper, it appears necessary to formalize the target of a prospective verifier. In order to make statements about the validity of a program, whether it holds to certain properties or not, both the program and the properties should be fixated. What could be considered secure knowledge anyway? Such a holistic view only makes sense under the presumption that some basic ideas can already be regarded as irrevocably intrinsic and then means of induction, analogy or similar are used to widen the scope and to declare more statements compatible to the existing knowledge base, verifying or, if they appear as contradictory, objecting them.

And the strategy here is likewise: To know if a program fulfills some conditions, the meaning of the program and the conditions have to be precise. Since this entails lots and lots of programs and attributes in general, it becomes evident that rather than manually and pointlessly contemplating all possible variations, it deems better to ascribe it to some underlying scheme that can be unfolded on demand. Therefore the meaning, also called the semantics, of a program (and later also those of conditions) should be inductively synthesized using an appropriate model kit relating to a set of basic entities. Prior to determining the semantics, these entities also have to be identified as such, which is the part of the syntax analysis and shall be depicted as well.

Therefore the schedule is as follows: The rest of this chapter will give some further classification, tease about the purport and hand over a short preview. It may be skimmed or skipped over if the reader is fond of the contextual knowledge. Chapter ??: ?? will formally start with the definition of a language, how are they characterized and how they can be processed. Subsequently, the specific language subject to this paper and whose programs are to be verified is firmly presented along with some simple remarks about its significance. Afterwards, in the dedicated ??: ?? chapter, these semantics are going to be formalized and, moreover, the type of semantics and how to continue with it are explained. The ??: ?? chapter reasons about using the obtained semantics, talks about the notion of correctness and introduces assertions by extending the language. Finally, a transition to the *Hoare* rule system will be conducted, how to use it for verification of sequential programs and what challenges come with it. Examples will be reviewed and ideas to overcome the challenges be discussed along

with some hints to the implementability of such endeavor. At this point, the theory and the general design will have been covered. The realization of the verifier using the aforementioned theory is carried out via the *Java* programming language and eyed in the hindmost 5: *Implementation*. Before rounding out with a final recapitulation, ??: ?? touches upon some alternate verification concepts.

Introduced shall be a simplified imperative language that later becomes target of the *Hoare* rule system which is derived from operational semantics. The language contains basic control flow elements like the composition of instructions, the selection routine and condition-controlled loops. More complex programs can be written by combining the aforementioned basic structures.

#### 2.2 How to instruct computers

Computers by definition are devices that understand some sort of digitally represented information and can process it in compliance with a program. That program may be immutably ingrained or be loaded from a mounted memory storage as more dynamic machines permit, which is what bestows a great range of use cases upon them and made them ubiquitous. Yet those machines are set up at some point and consist of a number of rigid hardware components providing their specific functionality and each of that hardware speaks a certain language that needs to be addressed for. To be able to have this orchestra flawlessly work in unison, besides complying with a couple of basic interfaces, there is usually a mediator called the operating system involved and the concept of drivers further helps to identify the spoken language of each component. Hardware and operating system together are then referred to as the platform, serving as a layer to host user-written programs on and more layers can be stacked on top if needed. But the platform is essentially the lowest layer to have the angularity of the hardware components relaxed. At this point, everything channels through its digital interface and is therefore unified in the language referred to as *machine code*.

Still, machine code, as the name indicates, varies between different machines. Infact, in the beginning, software engineers tended to write in *assembly* language, which is a more human-readable representation of machine code yet still platform-dependent. To not have to rewrite the same program logic for different platforms over and over again, more levels of abstractions were piled up and thus high level languages were born. High level languages like *C* aggregate universal coding paradigms like variables or control structures and can combine elementary instructions to larger compounds. This makes it easier for the software engineer to assess the functionality of a program, which in turn in some way is a first step to boost the drafting of correct programs.

For the platform to be able to use such high level programs, of course it appears necessary to reduce them to executable machine code again. The standard procedure

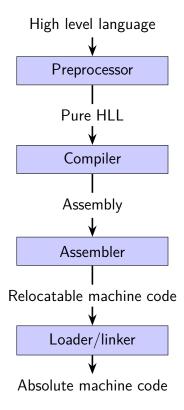


Figure 2.1: High level code to machine code

is depicted in figure 2.1.

The user-manufactured code may be exposed to a preprocessor, which takes care of some preparatory tasks like the inclusion of other script files or alternative substitutions like those carried out by the #define directive in the C language. This yields the pure high level language as understandable by a compiler. The compiler does the main part of the translation and outputs assembly code which only needs to be transcribed to machine code and linked together to obtain the final executable version the hardware setup can be operated with.

The language as described in this paper won't be in need of such a preprocessor and the verification is directly applied to the high level language during the compiler phase. Thus any kind of assembly output or lower level persistence is not required here and, in fact, the workings of a compiler can be split up further in detail.

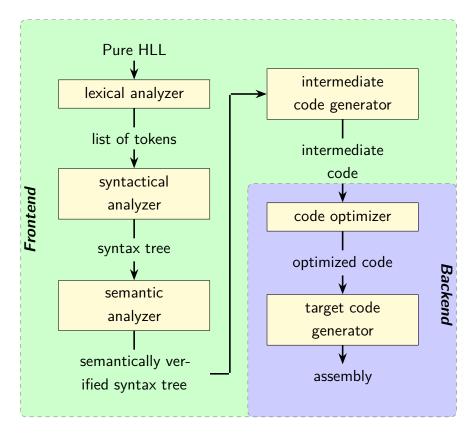


Figure 2.2: Processing chain of a compiler

#### x=y+1 -> id assignmentOperator id plusOperator

figure 2.2 shows the composition of the different components of a compiler. In a first step, a lexical analyzer, usually denoted as *lexer* or *tokenizer*, surveys the raw input sequence of characters and contracts the to be as cohesive identified words (*lexemes*) to a sequence of tokens in the same order. This makes it easier for the syntactical analyzer (*parser*) to progress because it abstracts the tokens as the atomic symbols instead of the initial single characters then. Both lexer and parser work with some grammar based on which the symbols are bestowed semantics but while the task of a lexer is to handle a less complex regular grammar (*Chomsky*'s level 3), which can be realized using regular expressions, parsers must cope with context-free grammars (*Chomsky*'s level 2) and normally it would be desirable for the parser to produce a tree structure incorporating rule precedence. The lexer can also be used to remove unnecessary white space and comments. More about the process can be read in the next chapter.<sup>1</sup>

After the syntactical analysis, there can be a semantic analysis that cross-checks the validity of the constructed syntax tree or sanitizes it, e.g. the typing in a variable assignment statement like:

https://stackoverflow.com/questions/2842809/lexers-vs-parsers

$$x = y + 1 \tag{2.1}$$

Do variable (x) and the expression assigned to it (y+1) actually match in their respective data type? Since the type of the variable may be fixated (declared) far off the assignment instruction, it probably won't reside in the same branch/grammar production rule path. Moreover, the namespace for variables may be shared with other entities like functions, so it might make sense to examine whether the identifier does in fact denote a variable. Thus the semantic analyzer exposes a verified syntax tree.

The Intermediate Code Generator translates the syntax tree into another intermediate representation, which serves as an interface between the high level language and the platform. The last two steps (*Code Optimizer* and *Target Code Generator*) can then be replaced per setup, so the other components are left untouched.

The program verifier as described in this paper is inserted right after the syntactical analyzer. It would ideally be done after a semantic analysis but the contemplated language and the samples are simple enough that such won't be required. Nowadays, the described analyses are often processed incrementally in background threads parallel to the programmer writing code and, in this way, assisted deductive program verification can be blended in on-the-fly but suchlike tool and verification in general are rather subject to specialized languages at this point in time. Examples of those languages are Spec#, an extension to C# or JML (Java Modeling Language), which seeks to introduce verification specifications in the Java programming language by wilily wrapping the additional semantics required in comment syntax.

# Chapter 3

## Introduction of language

#### 3.1 On languages and grammars

#### 3.1.1 Definitions

The considered programs are made up from a sequence of symbols of a given *alphabet*. A sequence of symbols is said to be a *word*.

**Definition 1.** An alphabet is a set of symbols. Ex:  $\{a, b, c\}$ 

**Definition 2.** A word is a string or finite sequence of symbols (associated to a specific alphabet). Ex:  $\{aaba, bbb, acb\}$  using alphabet  $\{a, b, c\}$ 

To later derive a meaning from it, some code must be established in advance and not just any word shall be accepted by the compiler but a well-defined set of words as denoted by a formal *language*.

**Definition 3.** A language is a set of words. Since those sets are usually infinite, a language is commonly described by a predicate.

Ex: A language may be designated by the notation of a regular expression like for instance a\*b, the asterisk being a quantifier for the preceding symbol, indicating "an arbitrary number of", so the given example would encompass the words b, ab, aab, aaab, aaaab and so on but regular expressions can only describe regular languages (Chomsky's level 3) while there are other ways to address greater sets of languages.

Since languages as defined above alone still lack a proper structure to bind semantics to, the concept of grammars is to be introduced. Those consist of a set of production rules to span a language incrementally, chunk and organize their words into a tree structure, called the tree of the syntax. Grammars always relate to a (specific type of) language and are formalized as following:

**Definition 4.** A grammar is a tuple of a set of variables (also called non-terminals), terminal symbols, production rules and a starting symbol (of set thereof).

$$G = (V, T, P, S)$$

- V set of non-terminal symbols or variables
- T set of terminal symbols
- P production rules
- S starting symbol(s)

The first example of a grammar in figure 3.1 displays the standard *Backus-Naur Form*<sup>1</sup> notation that will also be used throughout this paper. In a mathematical set notation it correspond to:

```
G = (V = \{\langle \exp \rangle, \langle \operatorname{boolExp} \rangle\}, T = \{'\operatorname{id}', '+', '*', '\&\&', '||', '\operatorname{compOp'}, '\operatorname{true'}, '\operatorname{false'}\}, P = \{\langle \exp \rangle ::= \langle \exp \rangle '+' \langle \exp \rangle, \langle \exp \rangle ::= \langle \exp \rangle '*' \langle \exp \rangle, \langle \exp \rangle ::= '\operatorname{id}', \langle \operatorname{boolExp} \rangle ::= \langle \operatorname{boolExp} \rangle '\&\&' \langle \operatorname{boolExp} \rangle, \langle \operatorname{boolExp} \rangle ::= \langle \operatorname{boolExp} \rangle '||' \langle \operatorname{boolExp} \rangle, \langle \operatorname{boolExp} \rangle ::= \langle \exp \rangle '\operatorname{compOp'} \langle \exp \rangle, \langle \operatorname{boolExp} \rangle ::= '\operatorname{true'}, \langle \operatorname{boolExp} \rangle ::= '\operatorname{false'}\}, S = \{\langle \exp \rangle\}
```

This assumes that  $\langle \exp \rangle$  is indeed the starting symbol, which is not quite clarified in the first notation and will instead be separately stated when needed. Variables are those that appear on the left-hand side of the production rules and which form the non-terminal nodes of the syntax tree. Terminals are atomic symbols, which means they cannot be split up any further and become leaves of the syntax tree. They can only be found on the right-hand side of the rules. Furthermore, production rules tell how the input words shall be broken down into variables and terminals. The starting symbol (or set thereof) determines what rule(s) to regard on the highest level. The pipe or vertical line symbol | indicates an alternative. Thus the variable  $\langle \exp \rangle$  can either be derived to  $\exp + \exp$ , to  $\exp * \exp$  or to id here.

```
 \langle exp \rangle \qquad ::= \langle exp \rangle \text{ '+' } \langle exp \rangle \\ | \langle exp \rangle \text{ '*' } \langle exp \rangle \\ | \text{ id}   \langle boolExp \rangle \qquad ::= \langle boolExp \rangle \text{ '&&' } \langle boolExp \rangle \\ | \langle boolExp \rangle \text{ '||' } \langle boolExp \rangle \\ | \langle exp \rangle \text{ 'compOp' } \langle exp \rangle \\ | \text{ 'true'} \\ | \text{ 'false'}
```

Figure 3.1: first grammar

<sup>1</sup>http://matt.might.net/articles/grammars-bnf-ebnf/

Now there are different classes of grammars. Depending on it, the required strategy of a parser will look different. The later introduced language shall suffice the context-free LL(1) type. This is why the following steps explain the constraints and the construction of a LL(1) grammar. To obtain such a one, ambiguity, left recursion and non-determinism shall be erased. Some examples are to be inspected and transformed accordingly before stepping further and applying the learned techniques on the actual used language.

#### 3.1.2 Ambuigity, Associativity, Precedence

Most of the conductions and remarks in this section refer to the compiler design lectures by *Ravindrababu Ravula*[Rav17].

In a first step, the grammar of figure  $\ref{star}$ ? shall depict the concatenation of id terminals by the + operator, namely the infix notation of addition. When exposing an input id+id+id to that grammar, the two different syntax trees shown in figure 3.3 may be derived. That means the derivation process is not definite. Even with the arithmetic addition of two numbers being associative and commutative (Abelian), ambiguity in grammars is not really desired. There shall exist no more than one possible syntax tree for the same input and grammar. Telling if a grammar is ambiguous in general is not decidable. However, the ambiguity at hand is evidently induced because it is unclear whether the addition operator binds to the left or right side. This can be taken care of by rewriting the production rules to not include the same non-terminal on both ends.

$$\langle exp \rangle$$
 ::=  $\langle exp \rangle$  '+'  $\langle exp \rangle$  | 'id'

Figure 3.2: Two-sided recursive grammar

<sup>&</sup>lt;sup>2</sup>https://en.wikipedia.org/wiki/Ambiguous\_grammar#Recognizing\_ambiguous\_grammars

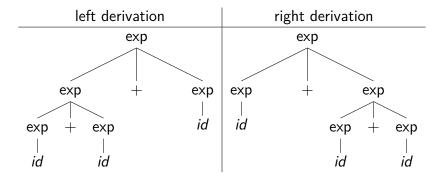


Figure 3.3: syntax trees of the example grammar for id+id+id

The grammar of figure ?? has the non-terminal situated at the ending, its syntax trees grow on the right side (rightmost derivation). The grammar of figure 3.5 has the non-terminal situated at the beginning, its syntax trees grow on the left side (leftmost derivation). With the addition being commutative, it does not matter semantics-wise but in order to be capable of elucidating another point about leftmost derivation, it shall be persevered.

$$\langle exp \rangle$$
 ::= 'id' '+'  $\langle exp \rangle$  | 'id'

Figure 3.4: right-most derivation

```
\langle exp \rangle ::= \langle exp \rangle '+' 'id' | 'id'
```

Figure 3.5: left-most derivation

In the following step, the grammar is extended by multiplication. Again an example input id+id\*id yields two different syntax trees as visible in figure 3.7 . Moreover, they entail different semantics. When evaluating the semantics of an expression like applying the mathematical operations of addition and multiplication, it is not reasonable to re-synthesize the string containing the mathematical expression as that would again call for the necessity of analyzing the structure but rather the syntax tree should directly be worked on progressively. The children of a node would recursively be conflated before advancing to their parent. So in figure 3.7, the left tree would prioritize and execute the addition first and the resulting sum would become a factor in the multiplication, which would amount to a different value than what the mathematical expression id+id\*id denotes. The multiplication must be carried out before the summation directive. This raises the question on how to enforce precedence of operators within a grammar. Operations of higher precedence have to be on a deeper tree level. The solution is to split the grammar into more stages of variables.

```
\langle exp \rangle ::= \langle exp \rangle '+' \langle exp \rangle | \langle exp \rangle '*' \langle exp \rangle | 'id'
```

Figure 3.6: exp grammar with multiplication

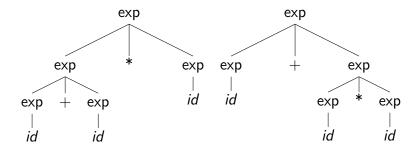


Figure 3.7: syntax trees of the multiplication-extended exp grammar

Now, in the grammar of figure 3.8 , the '+' and the '\*' operators are disconnected. Only the  $\langle \text{mult} \rangle$  variable is able to contain multiplication and it cannot go back to  $\langle \text{exp} \rangle$ . The purpose of  $\langle \text{exp} \rangle$  is to realize summation but it can derive to occurrences of  $\langle \text{mult} \rangle$ . So it realizes a one-way street and the sum derivation happens at the upper levels. The intrinsic ambiguity was eliminated as well. The raison d'être of the second production rule of  $\langle \text{exp} \rangle$  is for the case that there is no summation involved, it should go straight to  $\langle \text{mult} \rangle$  then. The second rule of  $\langle \text{mult} \rangle$  functions as a terminator, otherwise the tree would keep on growing and it accounts the case that maybe there is no multiplication within the expression.

In summarization, to fix associativity, the recursivity of the rules has to be adjusted. To fix precedence, a hierarchy of non-terminals has to be established. This information will later be adduced when designing the language whose words shall be verified and that is up for implementation.

#### 3.1.3 The problem with left recursion

When writing a grammar, it should be ensured that a real parser will have to be able to work with it. In a grammar as depicted in figure 3.10 with the starting symbol being  $\langle \exp \rangle$ , a top-down parser has to make a decision whether to pick the rule  $\langle \exp \rangle ::= \langle \exp \rangle$  '+' 'id' or  $\langle \exp \rangle ::=$  'id'. The basis for a specific decision is the input string. When entering the  $\langle \exp \rangle$  variable, the type of parser that will be illustrated here would investigate the first part of the first production rule  $\langle \exp \rangle ::= \langle \exp \rangle$  '+' 'id', which

$$\langle exp \rangle$$
 ::=  $\langle exp \rangle$  '+'  $\langle mult \rangle$  |  $\langle mult \rangle$  ::=  $\langle mult \rangle$  '\*' 'id' | 'id'

Figure 3.8: grammar with operator precedence

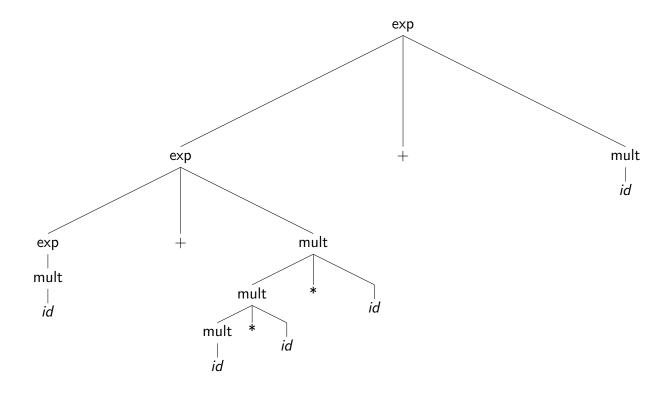


Figure 3.9: syntax trees of the example grammar with different semantics

$$\langle exp \rangle$$
 ::=  $\langle exp \rangle$  '+' 'id' | 'id'

Figure 3.10: grammar with left recursion

again is  $\langle \exp \rangle$ . Without having made any progress, it finds itself in the same situation, the parser will try to derive  $\langle \exp \rangle$  and see  $\langle \exp \rangle := \langle \exp \rangle$  '+' 'id' as the path to pursue. It turns out to be an never-ending loop. This is why left recursion should be avoided in all of the production rules.

To retain the generated language yet still get rid of left recursion, there is a simple conversion prescript demonstrated in figure 3.11 . Another non-terminal is inserted that may right-recursively spawn new instances of  $\alpha$  (everything that follows the initial non-terminal of the production rule causing the left-recursion) or end with  $\varepsilon$  which is the empty word.  $\varepsilon$  does not take any token from the input. Now,  $\alpha$  and  $\beta$  may be substituted by any sequence of variables/terminals. To reform the above example grammar in figure 3.8 and match the conversion pattern,  $\alpha$  corresponds to '+'  $\langle$  mult  $\rangle$  and  $\beta$  corresponds to  $\langle$  mult  $\rangle$ . It is shown in figure 3.12 . On top of that, the pattern can be extended for multiple  $\alpha$  and  $\beta$  branches like in figure 3.13 .

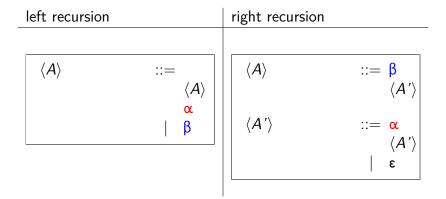


Figure 3.11: elimination of left recursion

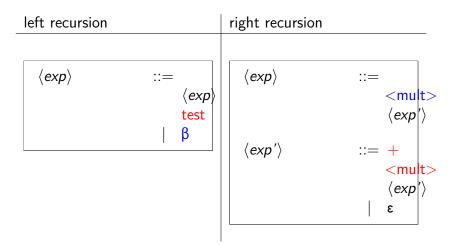


Figure 3.12: exp with right recursion

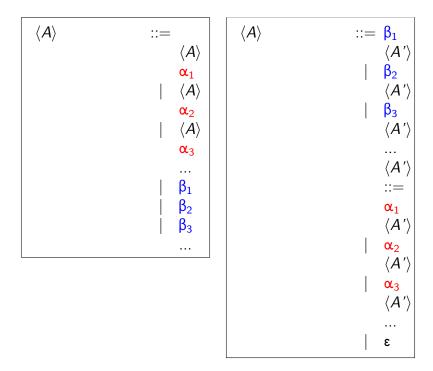


Figure 3.13: elimination of left recursion with multiple instances of  $\alpha$  and  $\beta$ 

Lastly, in order to produce a LL(1) grammar, non-determinism must be preempted. That means that the parser must be able to deduce which rule to use looking only at the next token of the input sequence and never tracing back. Common prefixes in the production rules of the same variable have to be factored out to realize that feature. That process is also called left factoring and is visualized in figure 3.14.

#### 3.1.4 Construction of an LL(1) parser table

LL(1) stands for processing the input from left to right, right-most derivation and having a lookahead of 1 token, so no back tracing required. Using a parsing table,

Figure 3.14: exp with right recursion

$$\langle S \rangle \qquad \qquad ::= \langle A \rangle \langle C \rangle \langle B \rangle \\ | \langle C \rangle \text{ 'b' } \langle B \rangle \\ | \langle B \rangle \text{ 'a'}$$

$$\langle A \rangle \qquad \qquad ::= \text{ 'd' 'a'} \\ | \langle B \rangle \langle C \rangle$$

$$\langle B \rangle \qquad \qquad ::= \text{ 'g'} \\ | \epsilon \qquad \qquad | \epsilon \qquad \qquad |$$

$$\langle C \rangle \qquad \qquad ::= \text{ 'h'} \\ | \epsilon \qquad \qquad | \epsilon \qquad \qquad |$$

Figure 3.15: grammar to clarify the concept of First and Follow

Table T1: empty First-Follow table

Non-	First	Follow
terminal		
S		
Α		
В		
C		

a LL(1) parser can directly make the rule picking decision by knowing the current non-terminal and the next terminal obtained from the input sequence:  $NT \times T \mapsto P$ . Before moving on to the actual language going to be used, the process of constructing a LL(1) parser table shall be outlined, which requires the concept of *First* and *Follow*. *First* and *Follow* both describe sets of terminals. *First* can furthermore contain the empty word and *Follow* the terminating symbol. In order to construct the parser table, each variable is going to be assigned a pair of *First* and *Follow* sets. Considering the grammar in figure  $\ref{figure}$ , table T1 must be filled in.

<u>First</u>: First of a variable  $\langle X \rangle$  is obtained by taking a look at each of its production rules  $Q_i$ , processing its sequence of symbols  $P_i$ , collecting First of  $P_i$ . To get First of  $P_i$ , look at its first symbol. If it is a terminal, add it to the set and leave  $P_i$ . If it is a variable  $\langle Sub \rangle$ , get First of  $\langle Sub \rangle$ . The next step depends on if First of  $\langle Sub \rangle$  contains the empty word  $\varepsilon$ , for then  $\langle Sub \rangle$  might be erased in  $P_i$  while parsing. If  $\varepsilon$  is not included or if the variable being investigated is the last symbol of  $P_i$ , add all of First of  $\langle Sub \rangle$  to the set and leave  $P_i$ . If  $\varepsilon$  was contained and  $\langle Sub \rangle$  was not the last symbol of  $P_i$ , everything except the  $\varepsilon$  is added and  $P_i$  advances to the next symbol.

<u>Follow</u>: Follow of a variable  $\langle X \rangle$  is obtained by taking a look at each of its occurrences in every production rule  $Q_i$  of the grammar, processing its remaining symbols after the

Table T2: filled First-Follow table

Non-	First	Follow
terminal		
S	$\{a,b,d,g,h,e\}$	{\$}
Α	$\{d,g,h,e\}$	$\mid \{g,h,\$\}$
В	$\mid \{g,e\}$	$\{a,g,h,\$\}$
С	$\{h,e\}$	$\{b,g,h,\$\}$

occurrence of  $\langle X \rangle$   $P_i$ , collecting Follow  $P_i$ . Additionally, start variables automatically get the terminator symbol \$\\$ bestowed. If  $P_i$  contains symbols get First of  $P_i$ . If First of  $P_i$  contains the empty word  $\epsilon$ , add everything of First of  $P_i$  to the set except for  $\epsilon$  and also get Follow of the variable  $Q_i$  resides on. If  $\epsilon$  is not included, simply add everything of First of  $P_i$ . If  $P_i$  was empty to begin with, add Follow of the variable its original production rule  $Q_i$  resides on. The Follow set never contains  $\epsilon$ .

Since production rules may reference themselves or create a cycle dependency, both algorithms should mark which non-terminals were already visited. Using these algorithms for *First* and *Follow - Java* listings are provided in ??: ?? - the table can be filled in like in table ?? . Transcribing the First-Follow table, the promised predictive LL(1) parser table is about to emerge. Therefore, each of the variables qualifies a row once again and the columns are made up of all terminals of the grammar, including the termination symbol.

The procedure appears straightforward: For every variable  $\langle X \rangle$  and every rule P, check the first symbol of P. If that symbol is a terminal, put P in the cell denoted by  $\langle X \rangle$  and the terminal. If it is a non-terminal  $\langle Y \rangle$ , put P in every cell denoted by  $\langle X \rangle$  and any of the terminals in the *First* set of  $\langle Y \rangle$ . If as a last option it should be the empty word  $\varepsilon$ , the rule will be to all of the terminals of the Follow set of  $\langle X \rangle$ .

If any of the cells should be object to multiple entries using the method just described, the grammar has not been LL(1) as seeing only the next token is not enough to make a decision in that case. All cells that remain empty are instances of failure. If the parser comes across such a combination, it has to throw an exception and the input must not be accepted. It should be noted that the above described algorithm for developing a

Table T3: predictive parser table

NT T	а	b	d	g	h	\$
S						
Α			A->d a	A->B C		
В	Β->ε			Β->ε	B-> <b>ε</b>	Β->ε
С		C->ε		C->ε		C->ε

grammar does not ensure LL(1) behavior. Left factorization lifts the ambiguity evoked by a common prefix in multiple production rules of the same variable but is purely syntactic. Variables, whose decomposition may yield the same prefix of terminals, are not of further interest, e.g. the rules  $\langle A \rangle ::=$  'a' 'b' and  $\langle A \rangle ::=$   $\langle A' \rangle$ 'b' cannot be unified/left factored even if 'a'  $\subseteq \langle A' \rangle$ . Additionally, there may be overlapping with the *Follow* sets. For a grammar to be LL(1), the *First* sets of all production rules per variable must be disjoint and the intersection of the *First* and *Follow* sets of a variable must be empty in case the variables has an  $\epsilon$  production rule. One type of parser that can handle LL(1) grammars is those that descend recursively. It is presented in ??: ??

#### 3.2 Core language

#### 3.2.1 Commands

Now the core language shall be constructed. The *Hoare* calculus provides rules for the typical elementary imperative flow control elements and statements: variable assignment, selection, head-controlled loops and of course sequential composition.

Some more known structures can be derived from this set. Foot-controlled loops are semantically equivalent to head-controlled loops with the loop body duplicated once in front of the loop for example:

Listing L1: Conversion foot-loop to while-loop (Java)

Count-controlled loops can be converted to head-controlled loops as well:

```
1
   for (INIT; CONDITION; INCREMENT) {
 2
      BODY;
 3
 4
 5
   //same as
 6
   INIT;
 7
   while (CONDITION) {
 8
      BODY;
 9
      INCREMENT;
10 }
```

Listing L2: Conversion count-controlled loop to while-loop (Java)

```
\langle assign \rangle \hspace{1cm} ::= \langle var \rangle \text{ '=' } \langle exp \rangle \ \langle selection \rangle ::= \text{ 'IF' } \langle bool\_xp \rangle \text{ 'THEN' } \langle prog \rangle \text{ 'ELSE' } \langle prog \rangle \text{ 'FI' } \langle loop \rangle ::= \text{ 'WHILE' } \langle bool\_exp \rangle \text{ 'DO' } \langle prog \rangle \text{ 'OD' } \langle skip \rangle ::= \text{ 'SKIP'}
```

Figure 3.16: commands

```
\langle prog \rangle ::= \langle prog \rangle ';' \langle prog \rangle | \langle skip \rangle | \langle assign \rangle | \langle selection \rangle | \langle loop \rangle
```

Figure 3.17: (prog)

Although converting the old-school goto jumps to loops may not be trivial and without the use of additional support variables, it is possible to do so. This just to state that the concepts presented here are transferable to more elaborated structures as they exist in real programming languages.

The commands for variable assignment, if selection and while loop are depicted in figure 3.16 . The variable assignment  $\langle assign \rangle$  assigns  $\langle exp \rangle$  to  $\langle var \rangle$ , the if selection  $\langle selection \rangle$  checks the truth value of  $\langle bool\_exp \rangle$ . If it evaluates to true, the  $\langle prog \rangle$  of the THEN-branch will be executed, otherwise it will execute the  $\langle prog \rangle$  of the ELSE-branch. The  $\langle loop \rangle$  command checks the truth value of  $\langle bool\_exp \rangle$ . Should it evaluate to false, the execution point will jump right after the loop. In case it is true, the inner  $\langle prog \rangle$  will be called and afterwards the whole loop mechanism be repeated. Additionally, there is  $\langle skip \rangle$ , which does nothing of meaning but can be placed to suffice the syntax (like maybe if the ELSE-branch of the selection is not required). After having defined the single commands, they can be tied together via  $\langle prog \rangle$  as shown in figure 3.17 , which is also the starting symbol. The first rule of  $\langle prog \rangle$  already displays left recursion which must be get rid of.

#### 3.2.2 Numerical expressions

The  $\langle \exp \rangle$  and  $\langle bool\_exp \rangle$  non terminals are still open to define.  $\langle \exp \rangle$  describes an expression. In normal programming languages, this could be of any type. Here, it is restrained to integer. Floating-point operations in numerical systems are commonly imprecise respectively on the bit level, which would make a semantic observation more difficult.  $\langle bool\_exp \rangle$  yields a boolean value.

The indication of these initial grammars is as it appears most intuitive. For example,

```
 \langle exp \rangle \qquad ::= \langle exp \rangle '+' \langle exp \rangle 
 | \langle exp \rangle '-' \langle exp \rangle 
 | \langle exp \rangle '*' \langle exp \rangle 
 | \langle exp \rangle '-' \langle exp \rangle 
 | \langle exp \rangle '-' \langle exp \rangle 
 | \langle exp \rangle '!' 
 | 'id' 
 | 'num'
```

Figure 3.18: core  $\langle \exp \rangle$  grammar

Figure 3.19: core grammar of  $\langle bool\_exp \rangle$  (raw)

Table T4: operator table of  $\langle exp \rangle$ 

	+	-	*	/	^	ļ.
+	> > > > > > > > > > > > > > > > > > >	>	<	<	<	<
-	>	>	<	<	<	<
*	>	>	>	>	<	<
/	>	>	<b>&gt;</b>	>	<	<
^	>	>	>	>	<	<
ļ.	>	<b>&gt;</b>	<b>≫</b>	<b>≫</b>	⋗	>

an integer expression might be a multiplication of two other integer expressions or using any binary arithmetic operation for that matter. '+' stands for addition, '-' for subtraction, '\*' for multiplication, '/' for (integer) division, '^' for potentization and '!' for factorial (which is unary). The terminal 'id' matches a variable name  $([a-zA-Z][a-zA-Z0-9]^*)$ , 'num' is any integer literal  $([1-9][0-9]^* \mid 0)$ .

In  $\langle bool\_exp \rangle$ , it may either be a conjunction ( $\langle bool\_exp \rangle$  &&  $\langle bool\_exp \rangle$ ), a disjunction ( $\langle bool\_exp \rangle$  ||  $\langle bool\_exp \rangle$ ), a negation (!  $\langle bool\_exp \rangle$ ) or an elementary entity: The comparison of two  $\langle exp \rangle$  expressions or the boolean literals 'true' or 'false'.

Both the grammar rules for  $\langle \exp \rangle$  and  $\langle bool\_exp \rangle$  contain left recursion and the precedence must be fixated to influence the setup of the syntax tree as described in  $\ref{eq:contain}$ :  $\ref{eq:contain}$ : First off, a total order of the operators must be established. It seems obvious to do it according to the mathematical notation rules:

$$+ \doteq - \lessdot * \doteq / \lessdot ^{ } \lessdot !$$

So addition and subtraction have the lowest precedence, followed by multiplication and division, then comes exponentiation and finally the factorial operator gains the highest precedence. The hierarchy is displayed in table T5 , too. Moreover, it denotes the associativity of the binary operators: addition through division are left-associative but exponentiation is not. The expression  $2^2^3$  shall denote  $2^2^3 = 2^8 = 256$  as opposed to  $(2^2)^3 = 4^3 = 64$ . While semantics-wise, associativity does not matter for addition and multiplication, it is fixed to left bias on default to reduce ambiguity.

Using the methods proposed in ??: ??, the grammar fragment for  $\langle \exp \rangle$  can be arranged. The first version in figure 3.20 shows the precedence levels. In figure 3.21 associativity is applied, in figure 3.22 left recursion is abolished and finally the grammar is exposed to left factoring in figure 3.23.

```
\langle bool\_exp \rangle ::= \langle bool\_or \rangle
\langle bool\_or \rangle ::= \langle bool\_and \rangle \langle bool\_or' \rangle
```

```
::= \langle factor \rangle '+' \langle factor \rangle
\langle exp \rangle
                                      ⟨factor⟩ '-' ⟨factor⟩
                                       ⟨factor⟩
⟨factor⟩
                               ::= \langle pow \rangle '*' \langle pow \rangle
                                    \langle pow \rangle '/' \langle pow \rangle
                                        \langle pow \rangle
                              ::= \langle factorial \rangle '^{\prime} \langle factorial \rangle
\langle pow \rangle
                                | \langle factorial \rangle
⟨factorial⟩
                              ::= \langle exp\_elem \rangle '!'
                                 | \(\left(\exp_elem\right)\)
⟨exp_elem⟩
                               ::= 'id'
                                 'num'
```

Figure 3.20: grammar of  $\langle \exp \rangle$  (precedence)

```
::= \langle exp \rangle '+' \langle factor \rangle
\langle exp \rangle
                                 | ⟨exp⟩ '-' ⟨factor⟩
                                      ⟨factor⟩
⟨factor⟩
                              ::= \langle factor \rangle '*' \langle pow \rangle
                               | \langle factor \rangle '/' \langle pow \rangle
                                      \langle pow \rangle
                             := \langle factorial \rangle `` \langle pow \rangle
\langle pow \rangle
                                | \langle factorial \rangle
⟨factorial⟩
                              := \langle exp\_elem \rangle '!'
                               | \(\left(\exp_elem\right)\)
                               ::= 'id'
⟨exp_elem⟩
                                      'num'
```

Figure 3.21: core grammar of  $\langle \exp \rangle$  (associativity)

```
\langle exp \rangle
                            ::= \langle factor \rangle \langle exp' \rangle
                            ::= '+' \langle factor \rangle \langle exp' \rangle
\langle exp' \rangle
                               | '-' \( factor \) \( \left( exp' \right) \)
⟨factor⟩
                            := \langle pow \rangle \langle factor' \rangle
                            ::= '*' \langle pow \rangle \langle factor' \rangle
⟨factor'⟩
                               | '/' \pow\ \factor'\
                            ::=\langle factorial \rangle '^' \langle pow \rangle
\langle pow \rangle
                              | \langle factorial \rangle
                            := \langle exp \ elem \rangle '!'
⟨factorial⟩
                              | \langle exp_elem \rangle
⟨exp_elem⟩
                              ::= 'id'
                                 num
```

Figure 3.22: core grammar of  $\langle \exp \rangle$  (right recursive)

```
 \langle bool\_or'\rangle \qquad ::= '||' \langle bool\_and \rangle \langle bool\_or'\rangle \\ | '\varepsilon' \\ \langle bool\_and \rangle \qquad ::= \langle bool\_neg \rangle \langle bool\_and' \rangle \\ \langle bool\_and' \rangle \qquad ::= '&&' \langle bool\_neg \rangle \langle bool\_and' \rangle \\ | '\varepsilon' \\ \langle bool\_neg \rangle \qquad ::= \langle bool\_elementary \rangle \\ | ' ' \langle bool\_elementary \rangle \\ \langle bool\_elementary \rangle ::= \langle exp \rangle '<' \langle exp \rangle \\ | 'true' \\ | '[' \langle bool\_exp \rangle ']'
```

#### 3.2.3 Boolean expressions

The same procedure must be done for boolean expressions.

```
`|\ |\ ' \lessdot `\&\&' \lessdot `\neg' \lessdot `compOp'
```

This concludes the language definition that will be subject to the forthcoming verifying methods. It will be extended by the proof specification decorations later on. The whole language up to now can be viewed in appendix D: *Grammmar for while programs*.

```
::= \langle factor \rangle \langle exp' \rangle
\langle exp \rangle
                            ::= '+' \langle factor \rangle \langle exp' \rangle
\langle exp' \rangle
                               '-' \langle factor \langle \left( exp' \rangle
\langle factor \rangle
                       ::= \langle pow \rangle \langle factor' \rangle
                           ::= '*' \( pow \) \( factor' \)
⟨factor'⟩
                               | '/' ⟨pow⟩ ⟨factor'⟩
                       ::=\langle \mathit{factorial} \rangle \langle \mathit{pow'} \rangle
\langle pow \rangle
                        ::= 'î' \langle pow 
angle
\langle pow' \rangle
\langle factorial \rangle ::= \langle exp\_elem \rangle \langle factorial' \rangle
⟨factorial'⟩
                             ::= '!'
                              ::= 'id'
⟨exp_elem⟩
                                      'num'
```

Figure 3.23: grammar of  $\langle exp \rangle$  (final)

Table T5: operator table of  $\langle exp \rangle$ 

		&&	$\neg$	compOp
	>	<	<	<
&&	>	>	<	<
$\neg$	>	>	>	>
compOp	<	≪	<	≽

```
 \langle bool\_exp\rangle & ::= \langle bool\_or\rangle \\ \langle bool\_or\rangle & ::= \langle bool\_and\rangle \mid | \langle bool\_and\rangle \\ \langle bool\_and\rangle & ::= \langle bool\_neg\rangle & & \langle bool\_\{\}neg\rangle \\ \langle bool\_neg\rangle & ::= \neg \langle bool\_elem\rangle \\ \mid \langle bool\_elem\rangle & ::= \langle exp\rangle \text{ 'comp' } \langle exp\rangle \\ \mid \text{ 'true'} \\ \mid \text{ 'false'}
```

Figure 3.24: grammar of \( bool\_exp \) (precedence)

```
 \langle bool\_exp\rangle \qquad ::= \langle bool\_or\rangle \\ \langle bool\_or\rangle \qquad ::= \langle bool\_and\rangle \langle bool\_or'\rangle \\ \langle bool\_or'\rangle \qquad ::= '||' \langle bool\_and\rangle \langle bool\_or'\rangle \\ | '\varepsilon' \\ \langle bool\_and\rangle \qquad ::= \langle bool\_neg\rangle \langle bool\_and'\rangle \\ \langle bool\_and'\rangle \qquad ::= '&&' \langle bool\_neg\rangle \langle bool\_and'\rangle \\ | '\varepsilon' \\ \langle bool\_neg\rangle \qquad ::= \langle bool\_elementary\rangle \\ | ' ' \langle bool\_elementary\rangle \\ | 'true' \\ | '[' \langle bool\_exp\rangle ']'
```

Figure 3.25: grammar of \( bool\_exp \) (final)

### 3.3 Semantics

The semantics are inductively defined, which means to make sense of a construct, its components are recursively examined.

expression: To obtain the semantics of an expression, the involved variables need to be resolved for their current value.

condition:

### 3.4 Semantic tree

The syntax tree constructed so far was due to the technical workings of the LL(1) parser. It creates a lot of unnecessary levels and the right recursion spawns cascades and  $\epsilon$ -branches. Ex: The syntax tree of a simple expression A+1 produces the syntax tree in figure 3.26 . As can easily be observed, this representation is immensely oversized, contains redundancy and traversing it would be convoluted. Each additional summand would append another operator and another  $\langle \text{prod} \rangle$ -sub tree. It turns out to be impractical for further processing, which is why it should be converted into a more semantically meaningful tree. The deflated result is shown in figure 3.27 .

The author will henceforth be using the term *semantic tree* to refer to the new type when trying to emphasize a distinction between it and the original *syntax tree*. The usual term found in literature is *abstract syntax tree* while *semantic tree* is reserved for a quite similar entity in logic. The author reckons the meaning can be generalized and fits.

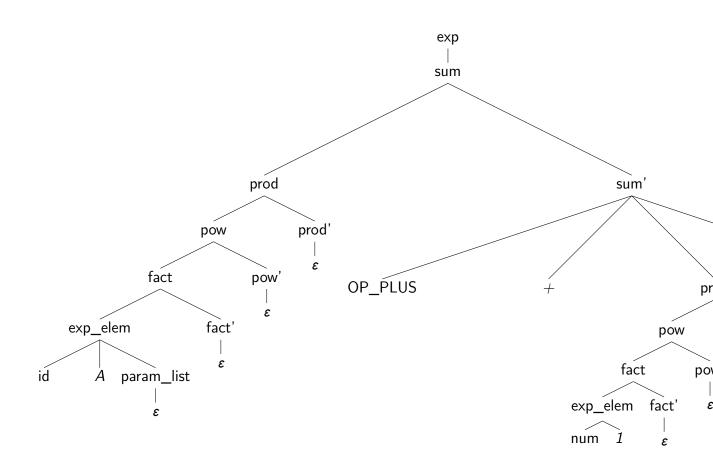


Figure 3.26: extensive syntax tree of  $A\!+\!1$ 

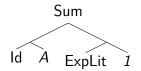


Figure 3.27: nice tree of  $A\!+\!1$ 

# How to prove

## 4.1 From operational semantics

determinism -> only one instruction to be executed next -> subclass while programs

proof outline -> assertional decoration

in assignment var and expr same type, no declaration

var(s), change(s)

denotational approach good for det. seq. programs

M[[s]] mapping reachable states from initial states, in det. programs in PW exactly one

TW divergence

configurations abstract machine transition relation

design by contract/specification (Meyer), rich interfaces, model variables, data refinement

dynamic logic (KeY), modal operators

assertions, extension of language partial correctness, total correctness

The idea now is to use the semantics defined at the end of the previous chapter in order to make statements about the execution of a given program. This is similar to actually running the program, subsequentially traversing the entered lines of code and keeping memory of the current variable values. The mapping of all variables used inside the program as well as additional helper variables to specific values is called a state. As according to the introduced language, only the assignment instruction has the ability to alter the state.

**Definition 5.** A state is a function, mapping a value to every variable in var(S) as well as supporting variables.

**Definition 6.** A configuration is a pair of a program and a state.

$$<$$
skip, $\sigma> \rightarrow^* <$ E, $\sigma> <$ u $=$ t, $\sigma> \rightarrow^* <$ E, $\sigma[u=\sigma(t)]>$ 

$$\frac{\langle S_1, \sigma \rangle \to \langle S_2, \tau \rangle}{\langle S_1; S, \sigma \rangle \to^* \langle S_2; S, \tau \rangle} \tag{4.1}$$

$$< IF \ COND \ THEN \ S_1 \ ELSE \ S_2 \ FI, \sigma > \rightarrow < S_1, \sigma > \ where \ \sigma \models COND$$
 (4.2)

$$< IF \ COND \ THEN \ S_1 \ ELSE \ S_2 \ FI, \sigma > \rightarrow < S_2, \sigma > \ where \ \sigma \nvDash COND$$
 (4.3)

$$<$$
 WHILE COND DO  $S$  OD,  $\sigma > \rightarrow <$   $S$ ; WHILE CONDITION DO  $S$  OD,  $\sigma >$  where  $\sigma \models 0$  (4.4)

$$< WHILE \ COND \ DO \ S \ OD, \sigma > \rightarrow \ < E, \sigma > \ where \ \sigma \nvDash COND$$
 (4.5)

### 4.2 Transition to Hoare calculus

SKIP AXIOM:

$$\{p\} SKIP \{p\} \tag{4.6}$$

// ASSIGNMENT AXIOM:

$${p[u := t]} \ u := t \ {p}$$
 (4.7)

// COMPOSITION RULE:

$$\frac{\{p\}S_1\{r\},\{r\}S_2\{q\}}{\{p\}\ S_1;S_2\ \{q\}} \tag{4.8}$$

// CONDITIONAL RULE:

$$\frac{\{p \land B\}S\{p\}}{\{p\}IF\ B\ THEN\ S_1\ ELSE\ S_2\ FI\{q\}} \tag{4.9}$$

// LOOP RULE:

$$\frac{\{p \land B\}S\{p\}}{\{p\} \ WHILE \ B \ DO \ S \ OD \ \{p \land \neg B\}}$$
 (4.10)

// CONSEQUENCE RULE:

$$\frac{p \to p_1, p_1 S q_1, q 1 \to q}{p S q} \tag{4.11}$$

The *Hoare* calculus is a proof system consisting of axioms and rules that establish a relationship between pre- and postconditions of a set of basic programming constructs. Each of them is embodied by a Hoare triple p S q, p denoting the precondition, S the program section and q the postcondition. The horizontal bar is a fancier notation an implication: If all of the conditions above the bar are correct, the statements below are true as well.

The 'SKIP' axiom states that it does not cause any change, which makes sense, as the instruction does infact nothing.

In the assignment axiom, it is indicated that the precondition can be derived from the postcondition by replacement of each of the occurences of the variable by the assigned expression. Example:

$${x = 10[x := 2 * x]}x = 2 * x{x = 10} \equiv {2 * x = 10}x = 2 * x{x = 10}$$
 (4.12)

Resolving that precondition formula for x yields 5. So in order to arrive at a state z with z(x)=10 after the program section x=2\*x, x must have hold the value 5 just previously.

The composition rule displays a transitive property. A sequential composition of two program parts can be evaluated by taking a look at one part and passing an obtained postcondition to the second part as its precondition, respectively vice versa when verifying from right to left.

Encountering a selection is somewhat more troublesome. Either the B condition is found true at the point of execution whereupon S\_1 must be processed or B is evaluated to false and the processor pursues S\_2. Therefore, it is one of those two cases and the triple tries to account for both.

The rule for while loops is particularly sophisticated. It requires one to think of a condition that holds true before and after each iteration, which is why such a condition is called a loop invariant p. After the loop has finished, p must still be true and the loop condition B untrue. Searching for p is a non-trivial, undecidable venture and a research matter up for discussion in the 4.3: *Challenges* section.

Finally, the consequence rule serves as an interface and makes an important point: A precondition can always be substituted by one that encompasses a previous one, i.e. is a stronger assertion. Similarly, a postcondition may be weakened anytime.

Some more notes about the use of the calculus and the effects of the constructs: As the system depicts only the mapping of relations, the order of evaluation is not quite fixed. The analysis of a program may commence from the beginning onwards or push

up from the ending, or even a combination thereof. However, there are a couple of reasons why the second option seems preferable.

The assignment axiom presents an explicit instruction to get the precondition from the postcondition. Going from left to right requires more thinking and case distinction.

Assuming that the precondition p does not include the modified variable x, it would be intuitive that the strongest postcondition just adds the clause of x possessing the newly assigned value:

$$\{p\}x = 2\{p \land x = 2\} \tag{4.13}$$

If p does not contain x and the assigned value is a function of x, as there is no presumption about x, p will stay the same:

$$\{p\}x = x + 2\{p\} \tag{4.14}$$

If p contains x and the value expression does not, the clauses in p that contain x would have to be discarded and x possessing the newly assigned value added:

$$\{p\}x = 2\{p[x :=] \land x = 2\} \tag{4.15}$$

## 4.3 Challenges

### 4.3.1 Finding invariants

A main challenge of using the Hoare calculus consists of finding fitting loop invariants. Those invariants are supposed to imply the postcondition.

p ->

### 4.3.2 Resolving implications

#### Chapter 5

# $\mathbf{5}^{\frac{1}{\ln 2}}$

# **Implementation**

### 5.1 Java, Surface

The verifier tool is implemented using the *Java* programming language. Since the user input codes may vary, should be able to be changed on-the-fly for experimentation purposes and more user input is required, a graphical user interface seems plausible. Moreover, verifying assistance should ideally be integrated into an *IDE*, so that the context would be a better fit. Yet for simplicity, independence of implementation and concentration on the core algorithms, the idea of a standalone application surpasses the one of a plug-in for an existing IDE. *JavaFX* is chosen as a framework for the *GUI*. It enforces the *MVC* pattern, thereby separates the graphical representation from the programming logic in an uniform way. Since the framework lacks a widget for so-called *rich text*<sup>1</sup>, which allows for individual styling of characters inside a text area, the *RichTextFX* library by *TomasMikula* is added in order to be able to properly illustrate both inputs and outputs. That package also contains a dedicated widget for code areas. Features like line numbers, highlighting of keywords or breakpoints can be realized. figure 5.1 shows the main window of the GUI.

The program provides basic text editor functionality: opening, saving and creating new files. Multiple files can be opened at once, each one being represented by a tab. The displayed tab can be split into multiple views (figure 5.2). Besides showing the input code (1), this can reveal the lexer-generated tokens (2) and the syntax tree (3) produced by the parser for introspection purposes. The branches that only contain the empty word are hidden on default since the tree can get pretty convoluted even without them. More output is visible in the console () and the syntax chart, which is an alternative representation of the syntax tree. After the code has been parsed, the interactive proving functionality becomes activatable (H button).

<sup>1</sup>https://techterms.com/definition/richtext

Figure 5.1: Main window

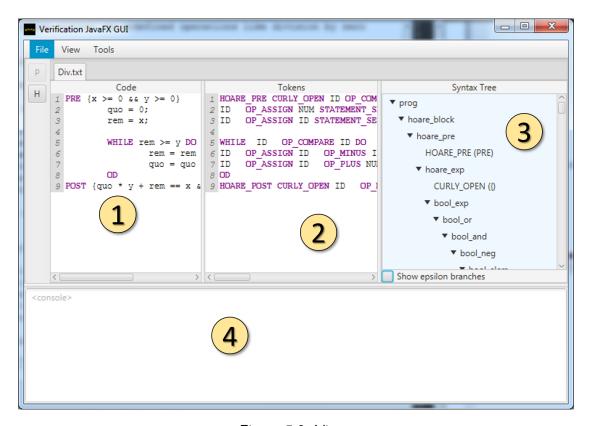


Figure 5.2: Views

### 5.2 Grammar, Lexer, parser

A grammar hosts terminals and non terminal symbols, which come with their respective rules. Terminals are defined by lexer rules. Those are either regular expressions or fixed terms especially used for keywords. Parser rules for non terminals consist of an ordered list of a combination of non terminals and terminals. Therefore, *Symbol* was chosen as an abstract class generalizing *Terminal* and *NonTerminal*. The relationship is depicted in figure 5.3 and an example for the  $\langle \exp \rangle$  grammar is found in listing L1.

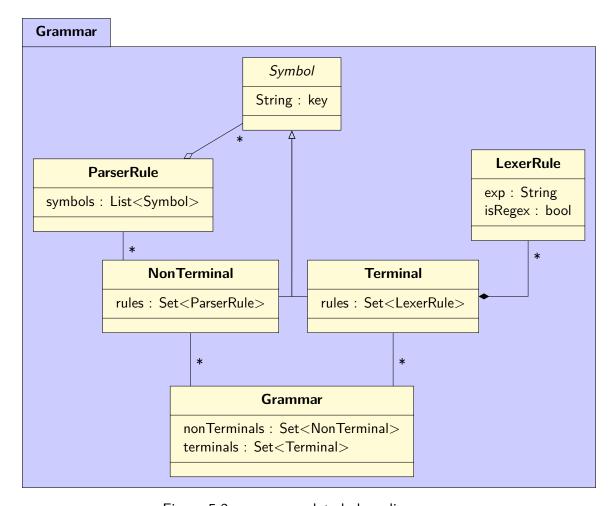


Figure 5.3: grammar-related class diagram

```
public ExpGrammar() {
 2
      super();
 3
      //lexer rules
 5
      TERMINAL_NUM = createTerminal("NUM");
 6
      TERMINAL_PAREN_OPEN = createTerminal("PAREN_OPEN");
 7
      TERMINAL_PAREN_CLOSE = createTerminal("PAREN_CLOSE");
 8
      TERMINAL_OP_PLUS = createTerminal("OP_PLUS");
 9
      TERMINAL_OP_MINUS = createTerminal("OP_MINUS");
10
      TERMINAL_OP_MULT = createTerminal("OP_MULT");
11
      TERMINAL_OP_DIV = createTerminal("OP_DIV");
12
      TERMINAL_OP_POW = createTerminal("OP_POW");
13
      TERMINAL_OP_FACTORIAL = createTerminal("OP_FACTORIAL");
     TERMINAL_ID = createTerminal("ID");
```

```
15
16
      TERMINAL_NUM.addRuleRegEx("[1-9][0-9]*");
17
      TERMINAL_NUM.addRuleRegEx("0");
18
19
      TERMINAL_PAREN_OPEN.addRule("(");
20
      TERMINAL_PAREN_CLOSE.addRule(")");
21
      TERMINAL_OP_PLUS.addRule("+");
22
      TERMINAL_OP_MINUS.addRule("-");
23
      TERMINAL_OP_MULT.addRule("*");
24
      TERMINAL_OP_DIV.addRule("/");
25
      TERMINAL_OP_POW.addRule("^");
26
      TERMINAL_OP_FACTORIAL.addRule("!");
27
28
      TERMINAL_ID.addRuleRegEx("[a-zA-Z][a-zA-Z0-9]*");
29
30
      //parser rules
31
      NON_TERMINAL_EXP = createNonTerminal("exp");
32
      NON_TERMINAL_EXP_ = createNonTerminal("exp'");
33
      NON_TERMINAL_FACTOR = createNonTerminal("factor");
34
      NON_TERMINAL_FACTOR_ = createNonTerminal("factor'");
35
      NON_TERMINAL_POW = createNonTerminal("pow");
36
      NON_TERMINAL_POW_ = createNonTerminal("pow',");
37
      NON_TERMINAL_FACTORIAL = createNonTerminal("factorial");
38
      NON_TERMINAL_FACTORIAL_ = createNonTerminal("factorial'");
39
      NON_TERMINAL_EXP_ELEMENTARY = createNonTerminal("exp_elementary");
40
41
      createRule(NON_TERMINAL_EXP, "factor_exp'");
42
43
      createRule(NON_TERMINAL_EXP_, "OP_PLUS_factor_exp'");
44
      createRule(NON_TERMINAL_EXP_, "OP_MINUS_factor_exp'");
45
      createRule(NON_TERMINAL_EXP_, Terminal.EPSILON);
46
47
      createRule(NON_TERMINAL_FACTOR, "pow_factor'");
48
49
      createRule(NON_TERMINAL_FACTOR_, "OP_MULT_pow_factor'");
50
      \texttt{createRule(NON\_TERMINAL\_FACTOR\_, "OP\_DIV}_{\square} pow_{\square} \texttt{factor'")};
51
      createRule(NON_TERMINAL_FACTOR_, Terminal.EPSILON);
52
53
      createRule(NON_TERMINAL_POW, "factorial pow'");
54
55
      createRule(NON_TERMINAL_POW_, "OP_POW_pow");
56
      createRule(NON_TERMINAL_POW_, Terminal.EPSILON);
57
58
      createRule(NON_TERMINAL_FACTORIAL, "exp_elementary_factorial',");
59
60
      createRule(NON_TERMINAL_FACTORIAL_, "OP_FACTORIAL");
61
      createRule(NON_TERMINAL_FACTORIAL_, Terminal.EPSILON);
62
63
      createRule(NON_TERMINAL_EXP_ELEMENTARY, "ID");
64
      createRule(NON_TERMINAL_EXP_ELEMENTARY, "NUM");
65
      createRule(NON_TERMINAL_EXP_ELEMENTARY, "PAREN_OPENUexpUPAREN_CLOSE");
66
67
68
      setStartParserRule(NON_TERMINAL_EXP);
69
70
      updatePredictiveParserTable();
71
```

Listing L1: Implementation of  $\langle exp \rangle$  grammar (Java)

The Grammar class can be extended, e.g. ExpGrammar is inherited by WhileGrammar

(the grammar that describes while programs). This modularization approach concedes further flexibility when converting between string and syntax tree. An instance of *Grammar* both is used by the lexer and the parser. The *Lexer* class splits a string into a stream of tokens and the *Parser* class transforms the tokens into a syntax tree. *Token* is an aggregate of *Terminal* and contains additional details like the position it occupies relating to the input string. That information can be used for error reporting when checking the syntax or for other output arrangement.

The inner workings of the lexer can be looked at in listing B. The method first removes comments and sanitizes the input from unnecessary line breaks. The current position is memorized and incorporated into the regular expression pattern. All of the terminals and rules are iterated over in order to find the longest match. Before doing that, the rules get sorted because the language for 'id' is infact a superset of most keywords like 'IF' or 'WHILE'. In that case, the keywords should be prioritized. If no match is found after trying everything, an exception with the current position will be thrown. Otherwise, the longest match will generate a new instance of *Token* and the lexer appends it to the output list. The pointer advances and the process is repeated until it transcends the end of the input string.

Using the terminals and non terminals along with the information about the parser rules, a grammar can construct a predictive parser table. This table makes a direct assignment between a pair of NonTerminal and Terminal and ParserRule and is used by the parser to select the next rule. The parsing algorithm is depicted in listing B. First the terminator symbol ('\$') is annexed to the token list and the iterator is pointed to the first token. Beginning with the designated starting rule of the grammar, the recursively invoked getNode method is called. It is supposed to create a single node of the syntax tree (paying attention to the current non terminal and token) but triggers the construction of all children nodes in one fell swoop. The selectRule method fetches the rule to pursue from the predictive parser table and will report an error if there is no entry. Then the symbols of the rule are gone over: If a symbol is a terminal, it will be compared against the current token, added as a child and the iterator will take a step to point to the next token. In case of the empty word, the child is a special  $\epsilon$ node. Lastly, non terminals amount to a child as well but call the getNode method again to acquire their own respective children. Since the last case does not effectuate a change in the token iterator, the grammar is expected to indeed be a LL(1) grammar as a different scenario would be prone to induce an infinite loop.

#### 5.2.1 First, follow

The algorithms for the concept of *First* and *Follow* were already outlined in 3: *Introduction of language*. Specific *Java* implementations are provided in listing L2 and listing L3. The predictive parser table is pieced together in listing A;

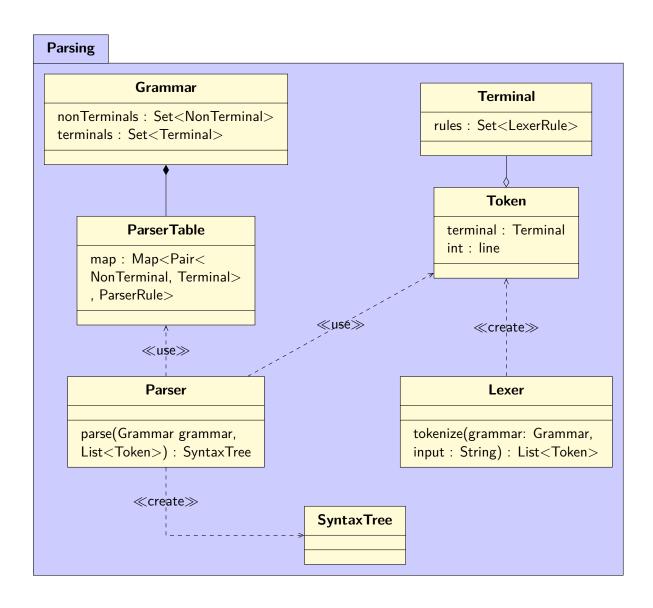


Figure 5.4: parser-related class diagram

```
1 public Set < Terminal > getFirst(List < Symbol > symbols, Set < NonTerminal > recursiveSet)
 2
      if (symbols.contains(Terminal.EPSILON)) return new LinkedHashSet<>(Arrays.asList
        (new Terminal[]{Terminal.EPSILON}));
 3
 4
      Set < Terminal > ret = new LinkedHashSet < >();
 5
 6
      for (int i = 0; i < symbols.size(); i++) {</pre>
 7
        Symbol symbol = symbols.get(i);
 8
 9
        if (symbol instanceof Terminal) {
10
          ret.add((Terminal) symbol); break;
11
        } else if (symbol instanceof NonTerminal) {
12
          Set<Terminal> setSub = getFirst((NonTerminal) symbol, recursiveSet);
13
14
          if (i < symbols.size() - 1 && setSub.contains(Terminal.EPSILON)) {</pre>
15
            setSub.remove(Terminal.EPSILON); ret.addAll(setSub);
16
          } else {
17
            ret.addAll(setSub); break;
18
```

```
19
20
21
22
      return ret;
23
24
25
   public Set<Terminal > getFirst(NonTerminal nonTerminal, Set<NonTerminal >
       recursiveSet) {
26
      Set < Terminal > ret = new LinkedHashSet <>();
27
28
      if (recursiveSet.contains(nonTerminal)) return ret;
29
30
     recursiveSet.add(nonTerminal);
31
32
      for (ParserRule p : nonTerminal.getRules()) {
33
        ret.addAll(getFirst(p.getSymbols(), recursiveSet));
34
35
36
      return ret;
37
38
39
   public Set<Terminal > getFirst(NonTerminal nonTerminal) {
40
      return getFirst(nonTerminal, new LinkedHashSet <>());
41
```

Listing L2: Implementation of First (Java)

```
public Set<Terminal> getFollow(NonTerminal nonTerminal, Grammar grammar, Set<</pre>
                      NonTerminal > recursiveSet) {
   2
                 Set < Terminal > ret = new LinkedHashSet < >();
   3
   4
                 if (recursiveSet.contains(nonTerminal)) return ret;
   5
   6
                 recursiveSet.add(nonTerminal);
   7
   8
                 if (grammar.getStartParserRule().equals(nonTerminal)) ret.add(Terminal.
                            TERMINATOR);
   9
10
                 for (NonTerminal p : grammar.getNonTerminals()) {
11
                      for (ParserRule rule : p.getRules()) {
12
                            List<Symbol> symbols = rule.getSymbols();
13
14
                            for (int i = 0; i < symbols.size(); i++) {</pre>
15
                                  Symbol symbol = rule.getSymbols().get(i);
16
17
                                  if (symbol.equals(nonTerminal)) {
18
                                        List < Symbol > restSymbols = (i < symbols.size() - 1) ? symbols.subList(i) = (i < symbols.subList(i)) = (i) + (
                                                   + 1, symbols.size()) : new ArrayList<>();
19
20
                                       if (restSymbols.isEmpty()) {
21
                                             ret.addAll(getFollow(p, grammar, recursiveSet));
22
                                        } else {
23
                                             Set<Terminal> subSet = getFirst(restSymbols, new LinkedHashSet<</pre>
                                                         NonTerminal >()):
24
25
                                             if (subSet.contains(Terminal.EPSILON)) {
26
                                                   subSet.remove(Terminal.EPSILON);
27
28
                                                    ret.addAll(subSet);
29
30
                                                    ret.addAll(getFollow(p, grammar, recursiveSet));
```

```
31
                } else {
32
                  ret.addAll(subSet);
33
34
35
            }
36
          }
37
       }
38
      }
39
40
      return ret;
41
42
43
   public Set<Terminal > getFollow(NonTerminal nonTerminal, Grammar grammar) {
44
      return getFollow(nonTerminal, grammar, new LinkedHashSet<NonTerminal>());
45
```

Listing L3: Implementation of Follow (Java)

```
1
   public PredictiveParserTable(Grammar g) {
 2
      Map<NonTerminal, Set<Terminal>> firstMap = new LinkedHashMap<>();
 3
      Map < NonTerminal , Set < Terminal >> followMap = new LinkedHashMap <> ();
 4
 5
      for (NonTerminal p : g.getNonTerminals()) {
 6
        firstMap.put(p, getFirst(p));
 7
        followMap.put(p, getFollow(p, g));
 8
 9
10
      for (NonTerminal p : g.getNonTerminals()) {
11
        for (ParserRule r : p.getRules()) {
12
          List<Symbol> symbols = r.getSymbols();
13
14
          if (symbols.contains(Terminal.EPSILON))
15
            for (Terminal terminal : followMap.get(p)) {
16
              set(p, terminal, r);
17
18
          else {
19
            Symbol symbol = r.getSymbols().get(0);
20
21
            if (symbol instanceof Terminal) {
22
              set(p, (Terminal) symbol, r);
23
            } else if (symbol instanceof NonTerminal) {
24
              for (Terminal terminal : firstMap.get((NonTerminal) symbol)) {
25
                set(p, terminal, r);
26
27
28
29
30
      }
31
```

Listing L4: Parser table (Java)

```
public LexerResult tokenize(String s) throws LexerException {
    s = removeComments(s);

Vector<Terminal> terminals = new Vector<>(_grammar.getTerminals());

terminals.sort(new Comparator<Terminal>() {
    private boolean isRegEx(Terminal terminal) {
    for (LexerRule rule : terminal.getRules()) if (rule.isRegEx()) return true;
}
```

```
10
          return false;
11
        }
12
13
        @Override
14
        public int compare(Terminal terminalA, Terminal terminalB) {
15
          if (terminalA.getRules().isEmpty() || terminalB.getRules().isEmpty()) return
16
17
          if (isRegEx(terminalA)) return 1;
18
          if (isRegEx(terminalB)) return -1;
19
20
         return 0;
21
       }
22
      });
23
24
      Terminal wsRule = new Terminal(new SymbolKey("WS"), true);
25
26
      wsRule.addRule(new LexerRule("\\s+", true));
27
28
      terminals.add(wsRule);
29
30
      int curPos = 0; Vector<Token> tokens = new Vector<>(); int x = 0; int y = 0;
31
32
      while (curPos < s.length()) {</pre>
33
        if ((s.length() - curPos >= System.lineSeparator().length()) && s.substring(
            curPos, curPos + System.lineSeparator().length()).equals(System.
            lineSeparator())) {
34
          curPos += System.lineSeparator().length(); x = 0; y++;
35
36
          continue;
37
38
39
        int curLen = 0; LexerRule curRule = null; Terminal curTerminal = null;
40
41
        for (int i = 0; i < terminals.size(); i++) {</pre>
42
          Terminal terminal = terminals.get(i);
43
44
          for (LexerRule rule : terminal.getRules()) {
45
            String ruleS = (curPos > 0) ? String.format("^.{%d}(%s)", curPos, rule.
                getRegEx()) : String.format("^(%s)", rule.getRegEx());
46
47
              Pattern adjustedPattern = Pattern.compile(ruleS, Pattern.DOTALL);
48
49
            Matcher matcher = adjustedPattern.matcher(s);
50
51
            if (matcher.find() && (matcher.start(1) == curPos)) {
52
              int newLen = (matcher.end(1) - 1) - matcher.start(1) + 1;
53
54
                if (newLen > curLen) {
55
                curTerminal = terminal; curRule = rule; curLen = newLen;
56
57
            }
58
         }
59
60
61
        if (curRule == null) throw new LexerException(y, x, curPos, s);
62
        else {
63
          String text = s.substring(curPos, curPos + curLen);
64
65
          for (int i = 0; i < text.length();) {</pre>
66
           if ((text.length() - i >= System.lineSeparator().length()) && text.
```

```
substring(i, i + System.lineSeparator().length()).equals(System.
                lineSeparator())) {
67
             i += System.lineSeparator().length();
68
           } else {
69
             i++;
70
           }
71
         }
72
73
          Token token = createToken(curTerminal, curRule, text, y, x, curPos);
74
75
          if (!token.getTerminal().isSkipped()) tokens.add(token);
76
77
          curPos += curLen:
78
          x += curLen:
79
       }
80
81
82
      return new LexerResult(tokens);
83
```

Listing L5: Lexer (Java)

```
private ParserRule selectRule(NonTerminal nonTerminal, Token terminal) throws
       ParserException {
 2
      try {
 3
       ParserRule rule = _ruleMap.get(nonTerminal, terminal.getTerminal());
 4
 5
       if (rule == null) throw new Exception();
 6
 7
       return rule;
 8
     } catch (Exception e) {
 9
       if (terminal == null) throw new NoMoreTokensException(nonTerminal);
10
       else throw new NoRuleException(terminal, nonTerminal);
11
12
13
14
   private SyntaxTreeNode getNode(NonTerminal rule) throws ParserException {
15
      ParserRule nextRule = selectRule(rule, _token);
16
17
      SyntaxTreeNode thisNode = new SyntaxTreeNode(rule, nextRule);
18
19
      for (Symbol symbol : nextRule.getSymbols()) {
20
       if (symbol instanceof NonTerminal) {
21
         thisNode.addChild(getNode((NonTerminal) symbol));
22
       } else {
23
         if (symbol.equals(Terminal.EPSILON)) {
24
           thisNode.addChild(new SyntaxTreeNodeTerminal(null));
25
26
           continue;
27
28
29
          if (_token == null) throw new NoMoreTokensException(rule, (Terminal) symbol)
30
          if (!_token.getTerminal().equals(symbol)) throw new WrongTokenException(
              _token, rule, symbol);
31
32
          thisNode.addChild(new SyntaxTreeNodeTerminal(_token));
33
34
          _token = _tokensItr.hasNext() ? _tokensItr.next() : null;
35
36
     }
```

```
37
38
      return thisNode;
39
40
41 public SyntaxTree parse(Vector<Token> tokens) throws ParserException {
42
      _tokens = tokens;
43
44
     if (_tokens.isEmpty()) throw new NoMoreTokensException(_grammar.
         getStartParserRule());
45
46
      _tokens.add(Token.createTerminator(tokens));
47
48
      _tokensItr = _tokens.iterator();
49
50
      _token = _tokensItr.next();
51
52
      SyntaxTreeNode root = getNode(_grammar.getStartParserRule());
53
      if (!_token.getTerminal().equals(Terminal.TERMINATOR)) throw new
         SuperfluousTokenException(_token);
55
56
      return new SyntaxTree(_grammar, root);
57
```

Listing L6: Parser (Java)

### 5.3 Hoare

## 5.4 Exception handling

### 5.5 **GUI**

- **5.5.1** Editor
- 5.5.2 Display of tokens/syntax tree
- 5.5.3 Syntax chart with Hoare decoration

# 6 Fazit

- 6.1 Summerization
- 6.2 Remaining problems
- 6.3 Extendabilities

[Rav17]

## **Bibliography**

- [APdBO10] K. Apt, A. Pnueli, F.S. de Boer, and E.R. Olderog. *Verification of Sequential and Concurrent Programs*. Texts in Computer Science. Springer London, 2010. URL: https://books.google.de/books?id=9BGPVwLTkh4C.
- [Gri82] David Gries. A note on a standard strategy for developing loop invariants and loops. Science of Computer Programming, 2(3):207 214, 1982. URL: http://www.sciencedirect.com/science/article/pii/0167642383900151, doi:http://dx.doi.org/10.1016/0167-6423(83)90015-1.
- [Kam16] The Anya Kamenetz. president wants every student learn computer science. how would that work? http://www.npr.org/sections/ed/2016/01/12/462698966/the-presidentwants-every-student-to-learn-computer-science-how-would-that-work, 2016.
- [Kle09] S. Kleuker. Formale Modelle der Softwareentwicklung: Model-Checking, Verifikation, Analyse und Simulation. Vieweg Studium. Vieweg+Teubner Verlag, 2009. URL: https://books.google.de/books?id=Gql3pv5V85cC.
- [Pnu77] Amir Pnueli. The temporal logic of programs. In *Proceedings of the 18th Annual Symposium on Foundations of Computer Science*, SFCS '77, pages 46–57, Washington, DC, USA, 1977. IEEE Computer Society. URL: http://dx.doi.org/10.1109/SFCS.1977.32, doi:10.1109/SFCS.1977.32.
- [Rav17] Ravindrababu Ravula. Compiler design online lectures. https://www.youtube.com/playlist?list=PLEbnTDJUr\_IcPtUXFy2b1sGRPsLFMghhS, 2017. [Online; accessed 31-August-2017].
- [Wik17a] Wikipedia. Fehlerquotient wikipedia, die freie enzyklopädie. https://de.wikipedia.org/w/index.php?title=Fehlerquotient, 2017. [Online; accessed 24-August-2017].
- [Wik17b] Wikipedia. Shape analysis (program analysis) wikipedia, the free encyclopedia.

 $https://en.wikipedia.org/w/index.php?title=Shape\_analysis\_(program\_analysis), \\ 2017. \ [Online; accessed 24-August-2017].$ 

#### Appendix A

# A

# First, Follow, Parser Table listings

```
public Set<Terminal> getFirst(List<Symbol> symbols, Set<NonTerminal> recursiveSet)
      if (symbols.contains(Terminal.EPSILON)) return new LinkedHashSet<>(Arrays.asList
        (new Terminal[]{Terminal.EPSILON}));
 3
 4
      Set < Terminal > ret = new LinkedHashSet <>();
 5
 6
      for (int i = 0; i < symbols.size(); i++) {</pre>
 7
        Symbol symbol = symbols.get(i);
 8
 9
       if (symbol instanceof Terminal) {
10
         ret.add((Terminal) symbol); break;
11
       } else if (symbol instanceof NonTerminal) {
12
          Set<Terminal> setSub = getFirst((NonTerminal) symbol, recursiveSet);
13
14
          if (i < symbols.size() - 1 && setSub.contains(Terminal.EPSILON)) {</pre>
15
            setSub.remove(Terminal.EPSILON); ret.addAll(setSub);
16
          } else {
17
            ret.addAll(setSub); break;
18
19
20
21
22
      return ret;
23
24
25
   public Set<Terminal> getFirst(NonTerminal nonTerminal, Set<NonTerminal>
        recursiveSet) {
26
      Set < Terminal > ret = new LinkedHashSet <>();
27
28
      if (recursiveSet.contains(nonTerminal)) return ret;
29
30
      recursiveSet.add(nonTerminal);
31
32
     for (ParserRule p : nonTerminal.getRules()) {
33
        ret.addAll(getFirst(p.getSymbols(), recursiveSet));
34
35
36
      return ret;
37
38
39
   public Set < Terminal > getFirst(NonTerminal nonTerminal) {
40
      return getFirst(nonTerminal, new LinkedHashSet <>());
```

```
1 public Set < Terminal > getFollow(NonTerminal nonTerminal, Grammar grammar, Set <
        NonTerminal > recursiveSet) {
 2
      Set < Terminal > ret = new LinkedHashSet <>();
 3
 4
      if (recursiveSet.contains(nonTerminal)) return ret;
 5
 6
      recursiveSet.add(nonTerminal);
 7
 8
      if (grammar.getStartParserRule().equals(nonTerminal)) ret.add(Terminal.
          TERMINATOR);
 9
10
      for (NonTerminal p : grammar.getNonTerminals()) {
11
       for (ParserRule rule : p.getRules()) {
12
          List<Symbol> symbols = rule.getSymbols();
13
14
         for (int i = 0; i < symbols.size(); i++) {</pre>
15
            Symbol symbol = rule.getSymbols().get(i);
16
17
           if (symbol.equals(nonTerminal)) {
18
              List<Symbol> restSymbols = (i < symbols.size() - 1) ? symbols.subList(i
                  + 1, symbols.size()) : new ArrayList<>();
19
20
             if (restSymbols.isEmpty()) {
21
                ret.addAll(getFollow(p, grammar, recursiveSet));
22
              } else {
23
               Set < Terminal > subSet = getFirst(restSymbols, new LinkedHashSet <
                    NonTerminal >());
24
25
               if (subSet.contains(Terminal.EPSILON)) {
26
                  subSet.remove(Terminal.EPSILON);
27
28
                  ret.addAll(subSet);
29
30
                  ret.addAll(getFollow(p, grammar, recursiveSet));
31
32
                  ret.addAll(subSet);
33
34
             }
35
           }
36
          }
37
       }
38
39
40
      return ret;
41 <sub>}</sub>
42
43 public Set < Terminal > getFollow(NonTerminal nonTerminal, Grammar grammar) {
44
      return getFollow(nonTerminal, grammar, new LinkedHashSet<NonTerminal>());
45
```

```
public PredictiveParserTable(Grammar g) {
      Map < NonTerminal , Set < Terminal >> firstMap = new LinkedHashMap <> ();
 3
      Map < NonTerminal , Set < Terminal >> followMap = new LinkedHashMap <> ();
 4
 5
      for (NonTerminal p : g.getNonTerminals()) {
 6
       firstMap.put(p, getFirst(p));
 7
        followMap.put(p, getFollow(p, g));
 8
 9
10
      for (NonTerminal p : g.getNonTerminals()) {
11
       for (ParserRule r : p.getRules()) {
12
          List<Symbol> symbols = r.getSymbols();
13
14
          if (symbols.contains(Terminal.EPSILON))
15
           for (Terminal terminal : followMap.get(p)) {
16
              set(p, terminal, r);
17
18
          else {
19
           Symbol symbol = r.getSymbols().get(0);
20
21
           if (symbol instanceof Terminal) {
22
              set(p, (Terminal) symbol, r);
23
            } else if (symbol instanceof NonTerminal) {
24
              for (Terminal terminal : firstMap.get((NonTerminal) symbol)) {
25
                set(p, terminal, r);
26
27
           }
28
         }
29
       }
30
     }
31
```

#### Appendix B

# B

# Lexer, Parser listings

```
public LexerResult tokenize(String s) throws LexerException {
      s = removeComments(s):
 3
 4
      Vector < Terminal > terminals = new Vector <> (_grammar.getTerminals());
 5
 6
      terminals.sort(new Comparator<Terminal>() {
 7
        private boolean isRegEx(Terminal terminal) {
 8
         for (LexerRule rule : terminal.getRules()) if (rule.isRegEx()) return true;
 9
10
          return false;
11
        }
12
13
        @Override
14
        public int compare(Terminal terminalA, Terminal terminalB) {
15
          if (terminalA.getRules().isEmpty() || terminalB.getRules().isEmpty()) return
               0;
16
17
          if (isRegEx(terminalA)) return 1;
18
          if (isRegEx(terminalB)) return -1;
19
20
          return 0;
21
       }
22
     });
23
24
      Terminal wsRule = new Terminal(new SymbolKey("WS"), true);
25
26
      wsRule.addRule(new LexerRule("\\s+", true));
27
28
      terminals.add(wsRule);
29
30
      int curPos = 0; Vector<Token> tokens = new Vector<>(); int x = 0; int y = 0;
31
32
      while (curPos < s.length()) {</pre>
33
       if ((s.length() - curPos >= System.lineSeparator().length()) && s.substring(
            curPos, curPos + System.lineSeparator().length()).equals(System.
            lineSeparator())) {
34
          curPos += System.lineSeparator().length(); x = 0; y++;
35
36
          continue;
37
38
39
        int curLen = 0; LexerRule curRule = null; Terminal curTerminal = null;
40
41
        for (int i = 0; i < terminals.size(); i++) {</pre>
42
         Terminal terminal = terminals.get(i);
43
44
          for (LexerRule rule : terminal.getRules()) {
45
            String ruleS = (curPos > 0) ? String.format("^.{%d}(%s)", curPos, rule.
                getRegEx()) : String.format("^(%s)", rule.getRegEx());
46
```

```
47
              Pattern adjustedPattern = Pattern.compile(ruleS, Pattern.DOTALL);
48
49
            Matcher matcher = adjustedPattern.matcher(s);
50
51
            if (matcher.find() && (matcher.start(1) == curPos)) {
52
             int newLen = (matcher.end(1) - 1) - matcher.start(1) + 1;
53
54
                if (newLen > curLen) {
55
                curTerminal = terminal; curRule = rule; curLen = newLen;
56
57
           }
58
         }
59
        }
60
61
        if (curRule == null) throw new LexerException(y, x, curPos, s);
62
        else {
63
          String text = s.substring(curPos, curPos + curLen);
64
65
          for (int i = 0; i < text.length();) {</pre>
66
           if ((text.length() - i >= System.lineSeparator().length()) && text.
                substring(i, i + System.lineSeparator().length()).equals(System.
                lineSeparator())) {
67
              i += System.lineSeparator().length();
68
            } else {
69
              i++;
70
           }
71
         }
72
73
          Token token = createToken(curTerminal, curRule, text, y, x, curPos);
74
75
          if (!token.getTerminal().isSkipped()) tokens.add(token);
76
77
         curPos += curLen;
78
         x += curLen;
79
       }
80
81
82
      return new LexerResult(tokens);
83
 1 private ParserRule selectRule(NonTerminal nonTerminal, Token terminal) throws
```

```
ParserException {
 2
      try {
 3
       ParserRule rule = _ruleMap.get(nonTerminal, terminal.getTerminal());
 4
 5
       if (rule == null) throw new Exception();
 6
 7
       return rule;
 8
     } catch (Exception e) {
 9
       if (terminal == null) throw new NoMoreTokensException(nonTerminal);
10
       else throw new NoRuleException(terminal, nonTerminal);
11
     }
12
13
14
   private SyntaxTreeNode getNode(NonTerminal rule) throws ParserException {
15
     ParserRule nextRule = selectRule(rule, _token);
16
17
      SyntaxTreeNode thisNode = new SyntaxTreeNode(rule, nextRule);
18
19
      for (Symbol symbol : nextRule.getSymbols()) {
20
       if (symbol instanceof NonTerminal) {
```

```
21
          thisNode.addChild(getNode((NonTerminal) symbol));
22
       } else {
23
         if (symbol.equals(Terminal.EPSILON)) {
24
           thisNode.addChild(new SyntaxTreeNodeTerminal(null));
25
26
           continue;
27
         }
28
29
         if (_token == null) throw new NoMoreTokensException(rule, (Terminal) symbol)
30
          if (!_token.getTerminal().equals(symbol)) throw new WrongTokenException(
              _token, rule, symbol);
31
32
          thisNode.addChild(new SyntaxTreeNodeTerminal(_token));
33
34
          _token = _tokensItr.hasNext() ? _tokensItr.next() : null;
35
36
     }
37
38
     return thisNode;
39
40
41
   public SyntaxTree parse(Vector<Token> tokens) throws ParserException {
42
     _tokens = tokens;
43
44
     if (_tokens.isEmpty()) throw new NoMoreTokensException(_grammar.
          getStartParserRule());
45
46
     _tokens.add(Token.createTerminator(tokens));
47
48
     _tokensItr = _tokens.iterator();
49
50
     _token = _tokensItr.next();
51
52
     SyntaxTreeNode root = getNode(_grammar.getStartParserRule());
53
54
     if (!_token.getTerminal().equals(Terminal.TERMINATOR)) throw new
         SuperfluousTokenException(_token);
55
56
     return new SyntaxTree(_grammar, root);
57
```

#### Appendix C

## **Hoare listing**

```
private class HoareNode {
     private SyntaxTreeNode _actualNode;
 3
 4
     private Vector < HoareNode > _children = new Vector <>();
 5
 6
      public Vector < HoareNode > getChildren() {
 7
       return _children;
 8
 9
10
      public void addChild(HoareNode child) {
11
       _children.add(child);
12
13
14
     public HoareNode(SyntaxTreeNode actualNode) {
15
       _actualNode = actualNode;
16
17
18
19
   private Vector < HoareNode > collectChildren(SyntaxTreeNode node) {
20
     Vector < HoareNode > ret = new Vector <>();
21
22
     for (SyntaxTreeNode child : node.getChildren()) {
23
       Vector<HoareNode> hoareChildren = collectChildren(child);
24
25
       ret.addAll(hoareChildren);
26
     }
27
28
      if ((node.getSymbol() != null) && node.getSymbol().equals(_grammar.
        nonTerminal_hoare_block)) {
29
        HoareNode selfNode = new HoareNode(node);
30
31
       for (HoareNode child : ret) {
32
         selfNode.addChild(child);
33
34
35
       ret.clear();
36
37
       ret.add(selfNode);
38
39
40
      return ret;
41
42
43
   private interface ExecInterface {
44
     public void finished() throws HoareException, LexerException, IOException,
       ParserException;
45
46
47
   public static class Executer {
   private HoareNode _node;
```

```
49
       private int _nestDepth;
 50
       private HoareWhileGrammar _grammar;
 51
       private ObservableMap < SyntaxTreeNode , HoareCond > _preCondMap;
 52
       private ObservableMap < SyntaxTreeNode , HoareCond > _postCondMap;
 53
       private ExecInterface _callback;
 54
 55
       private Vector < Executer > _execChain = new Vector <>();
 56
       private Iterator < Executer > _execChainIt;
 57
 58
       public interface ImplicationInterface {
 59
         public void result(boolean yes) throws HoareException, LexerException,
         IOException, ParserException;
 60
 61
 62
       public interface InvariantInterface {
 63
         public void result(HoareCond invariant) throws HoareException, LexerException,
          IOException, ParserException;
 64
 65
 66
       private boolean check(HoareCond a) throws ScriptException {
 67
         /*ScriptEngineManager manager = new ScriptEngineManager();
 68
 69
         ScriptEngine engine = manager.getEngineByName("JavaScript");
 70
 71
         System.out.println(engine.eval(a.toString()));
 72
 73
         return true; */
 74
         return true;
 75
 76
 77
       private boolean implicates (HoareCond a, HoareCond b, ImplicationInterface
         callback) throws ScriptException, IOException, HoareException, LexerException,
          ParserException {
 78
         System.out.println("try_implication_" + a + "->" + b);
 79
 80
         //TODO implicit check
 81
         boolean checkSuccess = false;
 82
         boolean checkResult = false;
 83
 84
        if (checkSuccess) {
 85
          callback.result(checkResult);
 86
         } else {
 87
          new ImplicationDialog(a, b, callback, false).show();
 88
 89
 90
        return !check(a) || check(b);
 91
 92
 93
       private int _wlp_nestDepth = 0;
 94
       private int _wlp_printDepth = 0;
 95
 96
       private void println_begin() {
 97
        _wlp_printDepth++;
 98
 99
100
       private void println(String s) {
101
        System.out.println(StringUtil.repeat("\t", _wlp_printDepth - 1) + s);
102
103
104
       private void println_end() {
105
        _wlp_printDepth--;
```

```
106
107
108
       private interface wlp_callback {
109
         public void result(HoareCond cond) throws IOException, HoareException,
         LexerException, ParserException;
110
111
112
       private void wlp_assign(HoareCond postCond, String var, SyntaxTreeNode valNode,
         wlp_callback callback) throws IOException, HoareException {
113
         println_begin();
114
115
         HoareCond preCond = postCond.copy();
116
117
         trv {
118
           Exp val = Exp.fromString(valNode.synthesize());
119
120
           preCond.replace(_grammar.TERMINAL_ID, var, val.getBaseEx());
121
122
           println("apply assignment rule:");
123
           println("\t" + postCond.toStringEx(var + ":=" + valNode.synthesize()) + "_"
         + var + "=" + valNode.synthesize() + "_ " + postCond.toStringEx());
124
           println("\t->" + preCond.toStringEx() + "_{\sqcup}" + var + "=" + valNode.synthesize
         () + "u" + postCond.toStringEx());
125
126
           println_end();
127
128
           callback.result(preCond);
129
         } catch (ParserException | LexerException e) {
130
           throw new RuntimeException(e);
131
132
       }
133
134
       private void wlp_composite(HoareCond postCond, SyntaxTreeNode first,
         {\tt SyntaxTreeNode\ second\,,\ wlp\_callback\ callback)\ throws\ HoareException\,,}
         IOException, LexerException, ParserException {
135
         println_begin();
136
137
         println("applying composition rule...");
138
139
         wlp(second, postCond, new wlp_callback() {
140
           @Override
141
           public void result(HoareCond midCond) throws IOException, HoareException,
         LexerException, ParserException {
142
             wlp(first, midCond, new wlp_callback() {
143
               @Override
144
               public void result(HoareCond preCond) throws IOException, HoareException
         , LexerException, ParserException {
145
                  String firstS = first.synthesize().replaceAll("\n", "");
146
                  String secondS = second.synthesize().replaceAll("\n", "");
147
148
                  //System.out.println("{" + postCondition + "}" + " -> " + "{" +
         midCondition + "}" + " -> " + "{" + ret + "}");
149
                  \verb|println("apply_{\sqcup}composition_{\sqcup}rule:");|\\
150
                  println("\t" + preCond.toStringEx() + "_{\sqcup}" + firstS + "_{\sqcup}" + midCond.
         toStringEx() + ",_{\sqcup}" + midCond.toStringEx() + "_{\sqcup}" + secondS + "_{\sqcup}" + postCond.
         toStringEx());
151
                  println("\t" + "->");
152
                  println("\t" + preCond.toStringEx() + "_{\sqcup}" + firstS + ";_{\sqcup}" + secondS +
         "u" + postCond.toStringEx());
153
154
                 println_end();
```

```
155
156
                                   callback.result(preCond);
157
                               }
158
                           });
159
                       }
160
                  });
161
              }
162
163
              private void wlp_alt(HoareCond postCond, HoareCondBoolExpr altCond,
                  SyntaxTreeNode first, SyntaxTreeNode second, wlp_callback callback) throws
                  IOException, HoareException, LexerException, ParserException {
164
                  println_begin();
165
166
                  HoareCond preCond = postCond.copy();
167
168
                  String firstS = first.synthesize().replaceAll("\n", "");
169
                  String secondS = second.synthesize().replaceAll("\n", "");
170
171
                  //TODO
172
                   println("apply alternative urule:");
173
                   println("\t" + new \ HoareCondAnd(preCond, \ altCond).toStringEx() + "_{\sqcup}" + firstS
                   + "_{\sqcup}" + postCond.toStringEx() + ",_{\sqcup}" + new HoareCondAnd(preCond, new
                  toStringEx());
174
                   println("\t" + "->");
175
                   println("\t" + preCond.toStringEx() + "_{\sqcup}if_{\sqcup}" + "(" + altCond + ")" + "{" +
                   firstS + "}" + "uelse_{\sqcup}" + "{" + secondS + "}" + postCond.toStringEx());
176
177
                  println_end();
178
179
                  callback.result(preCond);
180
181
182
              private void wlp_loop_acceptInvariant(HoareCond invariant, SyntaxTreeNode
                  loopNode, wlp_callback callback) throws IOException, HoareException,
                  LexerException , ParserException {
183
                  HoareCond loopCond = new HoareCondBoolExpr(loopNode.findChild(_grammar.
                  NON_TERMINAL_BOOL_EXP));
184
                  SyntaxTreeNode body = loopNode.findChild(_grammar.NON_TERMINAL_PROG);
185
186
                  println("accept invariant " + invariant);
187
188
                  HoareCond preCond = new HoareCondAnd(invariant);
189
190
                  if (preCond==null) throw new RuntimeException("preCondunull");
191
                  if (loopCond==null) throw new RuntimeException("loopCondunull");
192
193
                  String bodyS = body.synthesize().replaceAll("\n", "");
194
195
                  println("apply loop rule:");
196
                  println("\t" + new HoareCondAnd(preCond, loopCond).toStringEx() + "u" + bodyS
                   + "u" + preCond.toStringEx());
197
                  println("\t" + "->");
198
                  println("\t" + new \ HoareCondAnd(preCond).toStringEx() + "_{\sqcup}while_{\sqcup}" + "(" + new \ HoareCondAnd(preCond).toStringEx() + "_{\square}while_{\square}" + "(" + new \ HoareCondAnd(preCond).toStringEx()) + "_{\square}while_{\square}"
                  loopCond + ")" + "{" + bodyS + "}" + "_{\sqcup}" + new HoareCondAnd(preCond, new
                  HoareCondNeg(loopCond)).toStringEx());
199
200
                  println_end();
201
202
                   callback.result(preCond);
203
```

```
204
205
       private void wlp_loop_tryInvariant(SyntaxTreeNode loopNode, HoareCond postCond,
         HoareCond invariantPost, wlp_callback callback) throws HoareException,
         {\tt IOException\,,\; LexerException\,,\; ParserException\; \{}
206
         //TODO: auto-generate invariants
207
208
         if (invariantPost == null) {
209
           println("failed to guess invariant: ask user");
210
211
           InvariantDialog diag = new InvariantDialog(_grammar, loopNode, postCond, new
          InvariantInterface() {
212
             @Override
213
             public void result(HoareCond invariant) throws HoareException, IOException
         , LexerException, ParserException {
214
               if (invariant != null) {
215
                 wlp_loop_acceptInvariant(invariant, loopNode, callback);
216
               } else {
217
                 throw new HoareException("aborted");
218
219
             }
220
           });
221
222
           diag.show();
223
         } else {
224
           println("try_{\sqcup}invariant:_{\sqcup}" + invariantPost);
225
226
           wlp_callback() {
227
228
             public void result(HoareCond invariantPre) throws HoareException,
         LexerException, IOException, ParserException {
229
               println("tried_{\sqcup}invariant_{\sqcup}" + invariantPost + "_{\sqcup}resulted_{\sqcup}in_{\sqcup}" +
         invariantPre);
230
231
               ImplicationDialog diag = new ImplicationDialog(invariantPre,
         invariantPost, new ImplicationInterface() {
232
                 @Override
233
                 public void result(boolean yes) throws HoareException, LexerException,
          IOException , ParserException {
234
                   if (yes) {
235
                     wlp_loop_acceptInvariant(invariantPre, loopNode, callback);
236
237
                     wlp_loop_tryInvariant(loopNode, postCond, null, callback);
238
                   }
239
                 }
240
               }, true);
241
242
               diag.show();
243
             }
244
           });
245
        }
246
       }
247
248
       private void wlp_loop(HoareCond postCond, SyntaxTreeNode loopNode, wlp_callback
         callback) throws HoareException, IOException {
249
         println_begin();
250
251
         try {
252
           println("applying \loop \loop \rule . . . \loop \rule applying \loop \rule invariant");
253
254
           //HoareCondition invariantPost = HoareCondition.fromString("erg == 2^(y-x)");
```

```
255
                      HoareCond invariantPost = null;//HoareCond.fromString("y==z!");
256
257
                      wlp_loop_tryInvariant(loopNode, postCond, invariantPost, callback);
258
                  } catch (LexerException | ParserException e) {
259
                      throw new HoareException(e.getMessage());
260
                 }
261
              }
262
263
              private void wlp_consequence_pre(HoareCond origPreCond, HoareCond origPostCond,
                  SyntaxTreeNode body, HoareCond newPreCond, HoareCond newPostCond, wlp_callback
                    callback) throws IOException, HoareException, LexerException, ParserException
264
                  println_begin();
265
266
                  String bodyS = body.synthesize().replaceAll("\n", "");
267
268
                  {\tt System.out.println("apply\_consequence\_rule");}
269
                  System.out.println("\t" + newPreCond + "->" + origPreCond + ", " +
                   origPostCond.toStringEx() + "_{\sqcup}" + bodyS + "_{\sqcup}" + origPostCond.toStringEx() + ", \\
                  " + origPostCond + "->" + newPostCond);
270
                  System.out.println("\t" + "->");
271
                  System.out.println("\t" + newPreCond.toStringEx() + "_{\sqcup}" + bodyS + "_{\sqcup}" + bodyS + "_{\sqcup}" + bodyS + "_{\square}" + bodyS + bodyS + "_{\square}" + bodyS + bodyS + "_{\square}" + bodyS + bo
                  newPostCond.toStringEx());
272
273
                  println_end();
274
275
                  //TODO: for post as well, merged?
276
                  callback.result(newPreCond);
277
278
279
              private void wlp(SyntaxTreeNode node, HoareCond postCondV, wlp_callback callback
                  ) throws HoareException, IOException, LexerException, ParserException {
280
                  _wlp_nestDepth++;
281
282
                  final HoareCond postCond = postCondV.copy();
283
284
                  _postCondMap.put(node, postCond);
285
286
                  //System.out.println(StringUtil.repeat("\t", _wlp_nestDepth) + "postcond " +
                  node);
287
288
                  wlp_callback retCallback = new wlp_callback() {
289
                      @Override
290
                      public void result(HoareCond cond) throws IOException, HoareException,
                  LexerException, ParserException {
291
                          _preCondMap.put(node, cond);
292
                          _wlp_nestDepth--;
293
294
                          callback.result(cond);
295
                     }
296
                  };
297
298
                  if (node.getSymbol().equals(_grammar.NON_TERMINAL_PROG)) {
299
                      SyntaxTreeNode firstChild = node.getChildren().firstElement();
300
                      SyntaxTreeNode lastChild = node.getChildren().lastElement();
301
302
                      if (lastChild.findChild(_grammar.NON_TERMINAL_PROG) != null) {
303
                           wlp_composite(postCond, firstChild, lastChild.findChild(_grammar.
                  NON_TERMINAL_PROG), retCallback);
304
                      } else {
305
                          wlp(firstChild, postCond, retCallback);
```

```
306
           }
307
         } else if (node.getSymbol().equals(_grammar.NON_TERMINAL_PROG_)) {
308
           if (node.getSubRule().equals(_grammar.RULE_PROG__PROG))
309
             wlp(node.findChild(_grammar.NON_TERMINAL_PROG), postCond, retCallback);
310
311
            retCallback.result(postCond);
312
         } else if (node.getSymbol().equals(_grammar.NON_TERMINAL_SKIP))
313
           retCallback.result(postCond);
314
         else if (node.getSymbol().equals(_grammar.NON_TERMINAL_ASSIGN)) {
315
           SyntaxTreeNode idNode = node.findChild(_grammar.TERMINAL_ID);
316
317
           SyntaxTreeNode expNode = node.findChild(_grammar.NON_TERMINAL_EXP);
318
319
           String var = idNode.synthesize();
320
           SyntaxTreeNode exp = expNode;
321
322
           wlp_assign(postCond, var, exp, retCallback);
323
         } else if (node.getSymbol().equals(_grammar.NON_TERMINAL_SELECTION)) {
324
           if (node.getSubRule().equals(_grammar.RULE_SELECTION)) {
325
             {	t Syntax Tree Node selection Else Rule = node.find Child (\_grammar.}
         NON_TERMINAL_PROG);
326
327
             if (selectionElseRule.getSubRule().equals(Terminal.EPSILON)) {
328
               wlp_callback() {
329
                 @Override
330
                 public void result(HoareCond thenCond) throws IOException,
         HoareException, LexerException, ParserException {
331
                   HoareCond elseCond = postCond;
332
333
                   retCallback.result(new HoareCondOr(thenCond, elseCond));
334
                 }
335
               });
336
             } else if (selectionElseRule.getSubRule().equals(_grammar.
         RULE_SELECTION_ELSE)) {
337
               wlp(node.findChild(_grammar.NON_TERMINAL_PROG), postCond, new
         wlp_callback() {
338
                 Onverride
339
                 public void result(HoareCond thenCond) throws IOException,
         HoareException, LexerException, ParserException {
340
                   wlp(node.findChild(_grammar.NON_TERMINAL_PROG, 2), postCond, new
         wlp_callback() {
341
                     @Override
342
                     public void result(HoareCond elseCond) throws IOException,
         HoareException, LexerException, ParserException {
343
                       retCallback.result(new HoareCondOr(thenCond, elseCond));
344
345
                   });
346
                 }
347
              });
348
            }
349
          }
350
         } else if (node.getSymbol().equals(_grammar.NON_TERMINAL_WHILE)) {
351
           wlp_loop(postCond, node, retCallback);
352
         } else if (node.getSymbol().equals(_grammar.nonTerminal_hoare_block)) {
353
           wlp(node.findChild(_grammar.NON_TERMINAL_PROG), postCond, retCallback);
354
355
           throw new HoareException("no_{\sqcup}wlp_{\sqcup}for_{\sqcup}" + node + "_{\sqcup}with_{\sqcup}rule_{\sqcup}" + node.
         getSubRule());
356
357
```

```
358
359
       public void exec() throws IOException, HoareException, LexerException,
         ParserException {
360
         SyntaxTreeNode preNode = _node._actualNode.findChild(_grammar.
         nonTerminal_hoare_pre);
361
         SyntaxTreeNode postNode = _node._actualNode.findChild(_grammar.
         nonTerminal_hoare_post);
362
363
         HoareCond preCondition = HoareCond.fromString(preNode.findChild(_grammar.
         NON_TERMINAL_BOOL_EXP).synthesize());
364
         HoareCond postCondition = HoareCond.fromString(postNode.findChild(_grammar.
         NON_TERMINAL_BOOL_EXP).synthesize());
365
366
         System.err.println(StringUtil.repeat("\t", _nestDepth) + "checking_" +
         preCondition + "->" + postCondition + "_{\sqcup}at_{\sqcup}" + _node);
367
368
         _wlp_nestDepth = 0;
369
         _wlp_printDepth = 0;
370
371
         wlp(_node._actualNode, postCondition, new wlp_callback() {
372
           @Override
373
           public void result(HoareCond finalPreCondition) throws IOException,
         HoareException, LexerException, ParserException {
374
             {\tt System.out.println("final\_preCondition:$_{\sqcup}" + finalPreCondition);}
375
376
             try {
377
                implicates(preCondition, finalPreCondition, new ImplicationInterface() \{
378
379
                  public void result(boolean yes) throws HoareException, LexerException,
          IOException , ParserException {
380
                    if (yes) {
381
                      System.out.println(preCondition + "->" + postCondition + "_{\sqcup}holds_{\sqcup}
         true_(wlp:_" + finalPreCondition + ")");
382
                    } else {
383
                      System.out.println(preCondition + "->" + postCondition + "_{\sqcup}failed_{\sqcup}
         (wlp: " + finalPreCondition + ")");
384
385
386
                    _callback.finished();
387
                 }
388
               });
389
             } catch (ScriptException e) {
390
                e.printStackTrace();
391
392
           }
393
         });
394
395
396
       public void start() throws IOException, HoareException, LexerException,
         ParserException {
397
         _execChainIt.next().exec();
398
399
400
       public Executer(HoareNode node, int nestDepth, HoareWhileGrammar grammar,
         ObservableMap < SyntaxTreeNode, HoareCond > preCondMap, ObservableMap <
         SyntaxTreeNode, HoareCond> postCondMap, ExecInterface callback) throws
         {\tt IOException\,,\,\, Hoare Exception\,,\,\, NoRule Exception\,,\,\, Lexer Exception\,\,\{}
401
         _node = node;
402
         _nestDepth = nestDepth;
403
          _grammar = grammar;
404
         _preCondMap = preCondMap;
```

```
405
         _postCondMap = postCondMap;
406
         _callback = callback;
407
408
         ExecInterface childCallback = new ExecInterface() {
409
410
          public void finished() throws HoareException, LexerException, IOException,
         ParserException {
411
            Executer next = _execChainIt.next();
412
413
            next.exec():
414
          }
415
        };
416
417
         for (HoareNode child : node.getChildren()) {
418
           _execChain.add(new Executer(child, nestDepth + 1, _grammar, preCondMap,
         postCondMap, childCallback));
419
420
421
         _execChain.add(this);
422
423
         _execChainIt = _execChain.iterator();
424
      }
425 h
426
427
     private Vector < Executer > _execChain;
428
    private Iterator < Executer > _execChainIt;
429
430
    public void exec() throws HoareException, LexerException, IOException,
         ParserException {
431
       System.err.println("hoaring...");
432
433
       Vector < HoareNode > children = collectChildren(_tree.getRoot());
434
435
       if (children.isEmpty()) {
436
         System.err.println("no_hoareBlocks");
437
       } else {
438
         _execChain = new Vector<>();
439
440
         for (HoareNode child : children) {
441
          if (children.lastElement().equals(child)) {
442
             _execChain.add(new Executer(child, 0, _grammar, _preCondMap, _postCondMap,
         new ExecInterface() {
443
               @Override
444
               public void finished() throws HoareException, NoRuleException,
         LexerException , IOException {
445
                System.err.println("hoaring_finished");
446
447
             }));
448
449
             _execChain.add(new Executer(child, 0, _grammar, _preCondMap, _postCondMap,
          new ExecInterface() {
450
               @Override
451
               public void finished() throws IOException, HoareException,
         LexerException , ParserException {
452
                 _execChainIt.next().exec();
453
454
             }));
455
          }
456
457
458
         Iterator < Executer > execChainIt = _execChain.iterator();
```

#### Appendix D

# D

## **Grammar for while programs**

```
::= [1-9][0-9]*
\langle num \rangle
                           | 0
\langle id \rangle
                         ::= [a-zA-Z][a-zA-Z0-9]*
                         ::= \langle factor \rangle \langle exp' \rangle
\langle exp \rangle
                          ::= '+' \langle factor \rangle \langle exp' \rangle
\langle exp' \rangle
                            | '-' \( factor \) \( \left( exp' \right) \)
                          ::= \langle pow \rangle \langle factor' \rangle
⟨factor⟩
                         ::= '*' \langle pow \rangle \langle factor' \rangle
⟨factor'⟩
                            | '/' \pow\ \factor'\
                                  \epsilon
               ::= \langle factorial \rangle \langle pow' \rangle
\langle pow \rangle
                         ::= '^' \langle pow \rangle
⟨pow'⟩
                            | \epsilon
⟨factorial⟩
                         ::= \langle exp\_elem \rangle \langle factorial' \rangle
                          ::= '!'
⟨factorial'⟩
                            | \epsilon
                          ::= 'id'
⟨exp_elem⟩
                           | 'num'
                           | '(' \(exp\) ')'
⟨bool_exp⟩
                    ::= \langle bool\_or \rangle
\langle bool\_or \rangle
                         ::= \langle bool\_and \rangle \langle bool\_or' \rangle
                          ::= '| | ' \langle bool\_and \rangle \langle bool\_or' \rangle
⟨bool_or'⟩
                           | \epsilon
\langle bool\_and \rangle
                         ::= \langle bool\_neg \rangle \langle bool\_and' \rangle
⟨bool_and'⟩
                         ::= `\&\&' \ \langle bool\_neg \rangle \ \langle bool\_and' \rangle
                             | \epsilon
```

```
\langle bool\_neg \rangle ::= \langle bool\_elem \rangle
                              | '~' ⟨bool_elem⟩
\langle bool\_elem \rangle ::= \langle exp \rangle '<' \langle exp \rangle
                               'true'
                               | '[' \langle bool_exp\rangle ']'
                             ::= \langle skip \rangle \langle prog' \rangle
⟨*prog⟩
                               | \langle assign \rangle \langle prog' \rangle
                               | \langle selection \rangle \langle prog' \rangle
                               | \langle while \rangle \langle prog' \rangle
                            ::= ';' \( prog \)
\langle prog' \rangle
                               | \epsilon
                      ::= 'SKIP'
\langle skip \rangle
\langle assign \rangle ::= 'id' '=' \langle exp \rangle
\langle selection \rangle \hspace{1cm} ::= \text{`IF'} \; \langle bool\_exp \rangle \; \text{`THEN'} \; \langle prog \rangle \; \langle selection\_else \rangle \; \text{`FI'}
\langle selection\_else \rangle ::= `ELSE' \langle prog \rangle
                               | \epsilon
                              ::= 'WHILE' \langle bool_exp\rangle 'DO' \langle prog\rangle 'OD'
\langle while \rangle
```

#### Appendix E

# E

# Grammar for Hoare-decorated while programs

```
::= [1-9][0-9]*
\langle num \rangle
                          ::= [a-zA-Z][a-zA-Z0-9]*
\langle id \rangle
                          := \langle factor \rangle \langle exp' \rangle
\langle exp \rangle
                          ::= '+' \langle factor \rangle \langle exp' \rangle
\langle exp' \rangle
                             | '-' \( factor \) \( \left( exp' \right) \)
                                    \epsilon
                          ::= \langle pow \rangle \langle factor' \rangle
\langle factor \rangle
⟨factor'⟩
                          ::= '*' \langle pow \rangle \langle factor' \rangle
                             | '/' \pow\ \factor'\
                     ::= \langle factorial \rangle \langle pow' \rangle
\langle pow \rangle
                          ::= '^' \( pow \)
⟨pow'⟩
                             | \epsilon
\langle factorial \rangle
                          ::= \langle exp\_elem \rangle \langle factorial' \rangle
⟨factorial'⟩
                           ::= '!'
⟨exp_elem⟩
                           ::= 'id'
                             'num'
                             | '(' \(exp\) ')'
                          ::= \langle bool\_or \rangle
\langle bool\_exp \rangle
                          ::= \langle bool\_and \rangle \langle bool\_or' \rangle
\langle bool\_or \rangle
                          ::= '||' \langle bool\_and \rangle \langle bool\_or' \rangle
⟨bool_or'⟩
                            | \epsilon
\langle bool\_and \rangle ::= \langle bool\_neg \rangle \langle bool\_and' \rangle
```

```
⟨bool_and'⟩ ::= '&&' ⟨bool_neg⟩ ⟨bool_and'⟩
                          |\epsilon|
⟨bool_neg⟩
                         ::= \langle bool\_elem \rangle
                          | '~' ⟨bool_elem⟩
⟨bool_elem⟩
                          ::= \langle exp \rangle '<' \langle exp \rangle
                           'true'
                           | '[' \langle bool_exp\rangle ']'
                          ::= \langle skip \rangle \langle prog' \rangle
⟨*prog⟩
                           | \langle assign \rangle \left( prog' \rangle
                            | \langle selection \rangle \langle prog' \rangle
                            | \langle while \rangle \langle prog' \rangle
                           | \langle hoare_block \rangle \langle prog' \rangle
                        ::= ';' \( prog \)
\langle prog' \rangle
                          | \epsilon
\langle skip \rangle
                         ::= 'SKIP'
⟨assign⟩
                        ::= 'id' '=' \langle exp \rangle
                        ::= 'IF' \langle bool_exp \rangle 'THEN' \langle prog \rangle \langle selection_else \rangle 'FI'
\langle selection \rangle
⟨selection_else⟩ ::= 'ELSE' ⟨prog⟩
                           |\epsilon|
                         ::= 'WHILE' \(\langle bool_exp\rangle \'DO' \langle prog\rangle \'OD'
⟨while⟩
⟨hoare_exp⟩
                        ::= '{' \langle bool_exp\rangle '}'
⟨hoare_pre⟩
                        ::= 'PRE' \(\left(\text{hoare_exp}\right)
⟨hoare_post⟩ ::= 'POST' ⟨hoare_exp⟩
\langle hoare\_block \rangle ::= \langle hoare\_pre \rangle \langle prog \rangle \langle hoare\_post \rangle
```

## **Declaration of Originality**

I hereby confirm that I have written the accompanying thesis by myself, without contributions from any sources other than those cited in the text and acknowledgements. This applies also to all graphics, drawings, maps and images included in the thesis.

Merseburg, October 20, 2017		
Place and date	Signature	

# **Dedication and Acknowledgements**