



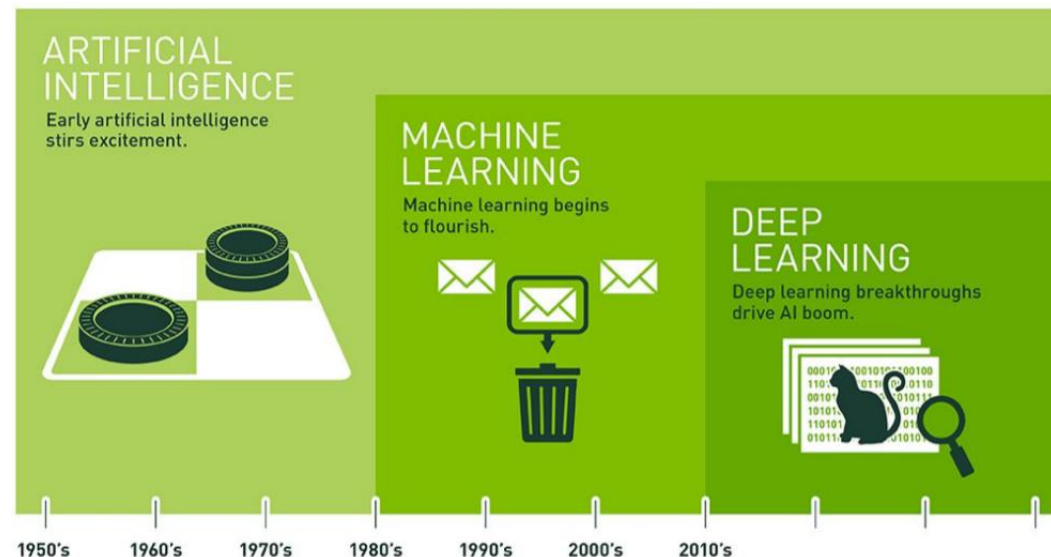
AI, ML & DL

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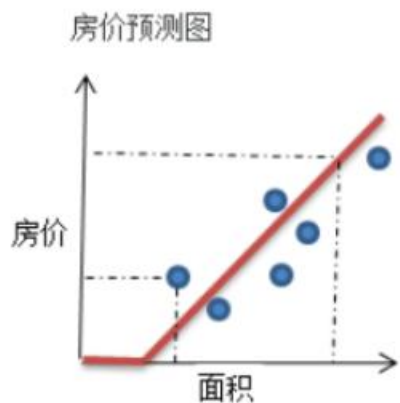
AI, ML, DL

- AI (Artificial Intelligence) 人工智能
 - 对人的意识、思维过程的模拟
- ML (Machine Learning) 机器学习
 - 实现AI的方法
 - 计算机利用已有数据，得到某个模型，并利用此模型预测未来的一种方法
 - 模式识别、数据挖掘、计算机视觉、语音识别、自然语言处理.....
 - 监督学习、无监督学习、强化学习
 - 分类和回归问题
 - SVM 典型的监督学习
 - 神经网络
- DL (Deep Learning) 深度学习
 - 机器学习中一种对数据进行表征学习的方法，实质上是层数更多的神经网络学习方法

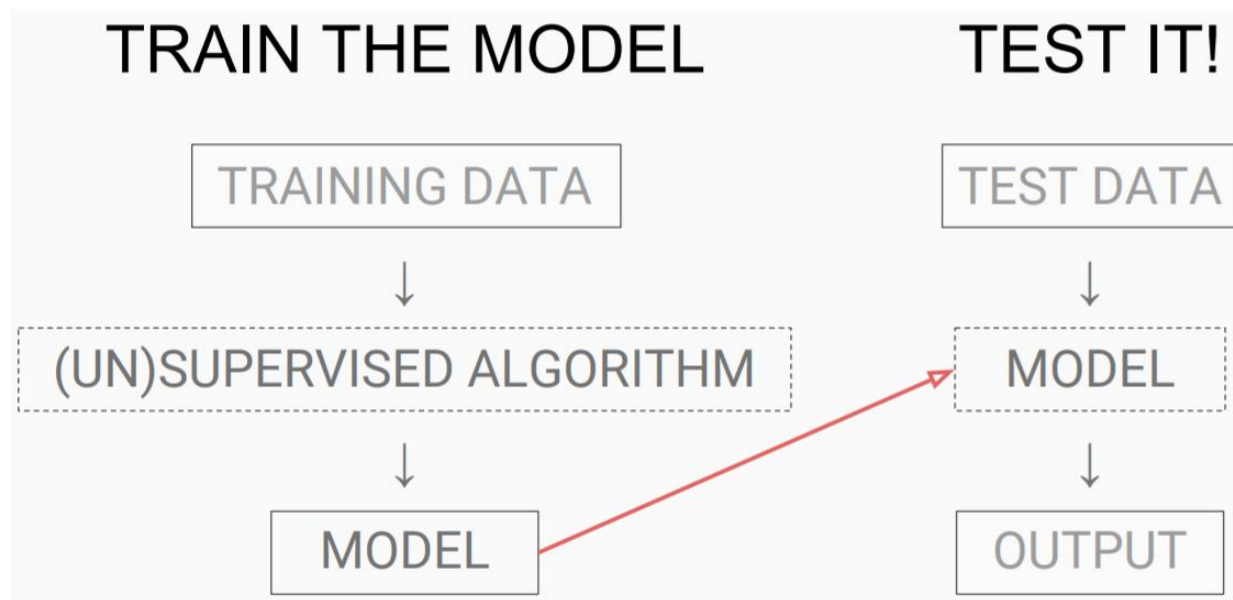


一个简单问题

- 通过面积预测房价



- 大量的数据通过一个函数进行处理分析，找到相同的规律，然后再根据这个规律分析其他数据



ML

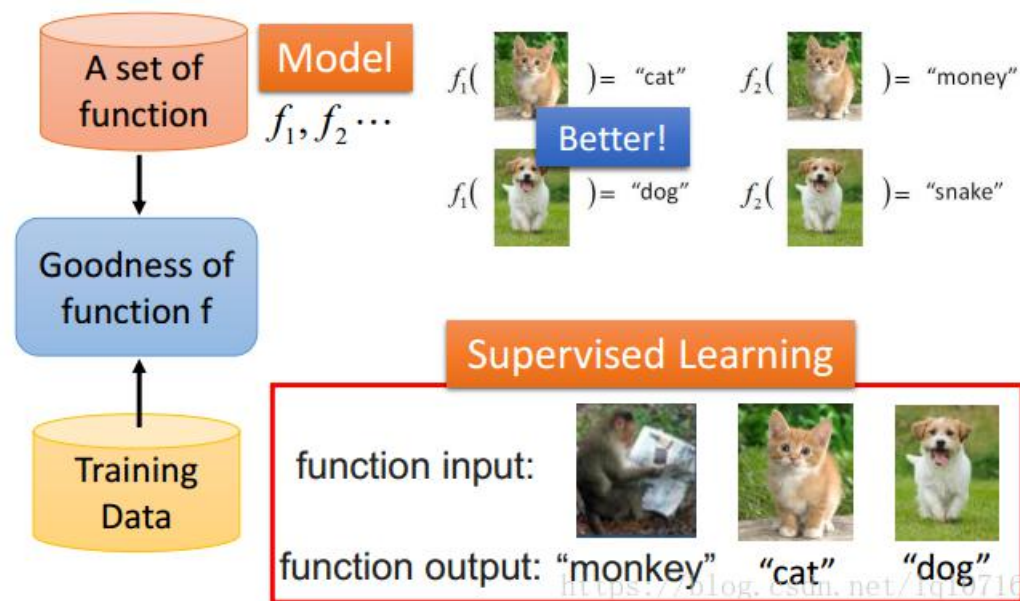
$f(\text{audio waveform}) = \text{"How are you"}$

$f(\text{cat image}) = \text{"Cat"}$

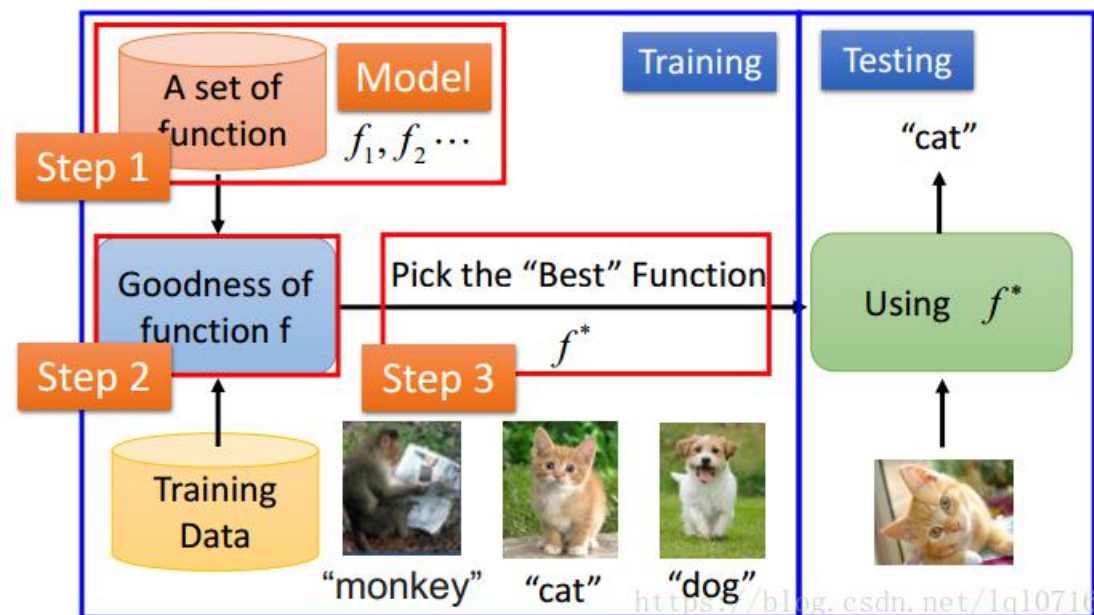
$f(\text{Go game board}) = \text{"5-5" (next move)}$

$f(\text{"Hi" (what the user said)}) = \text{"Hello" (system response)}$

目标:

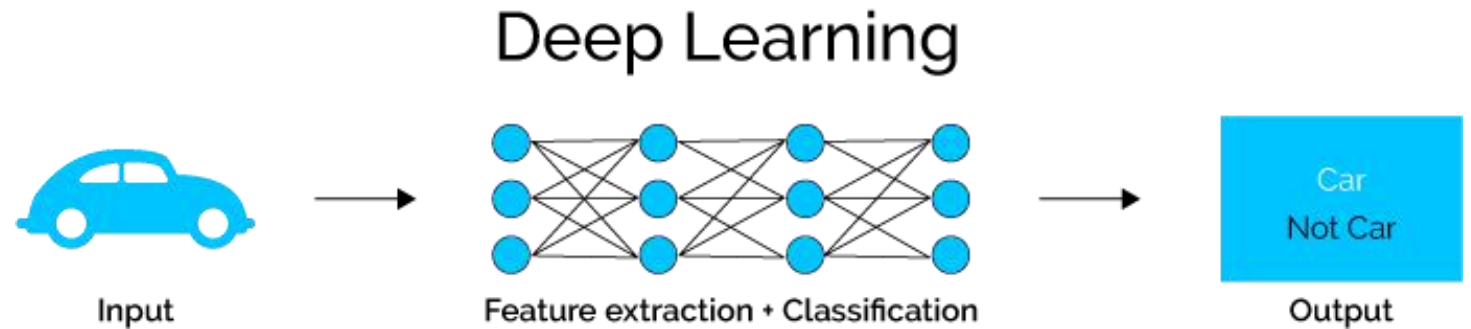
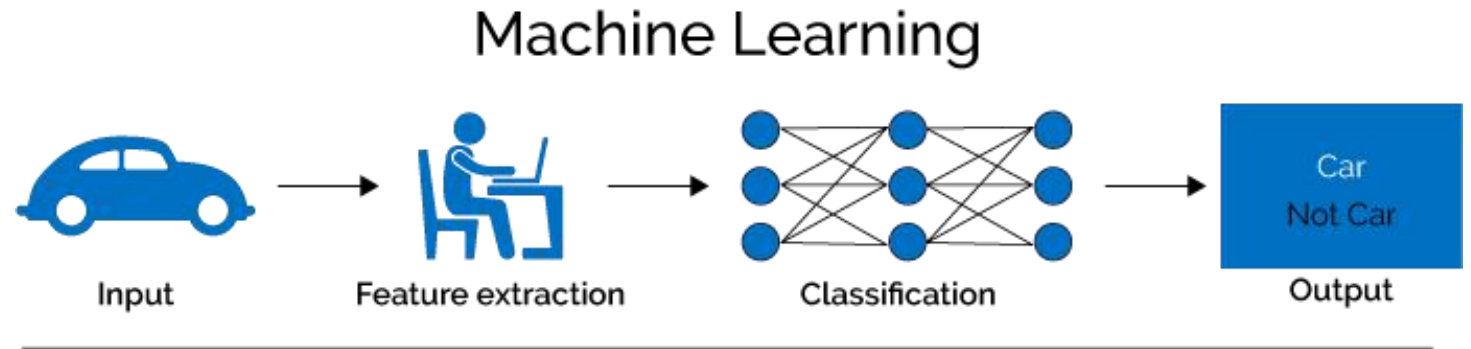
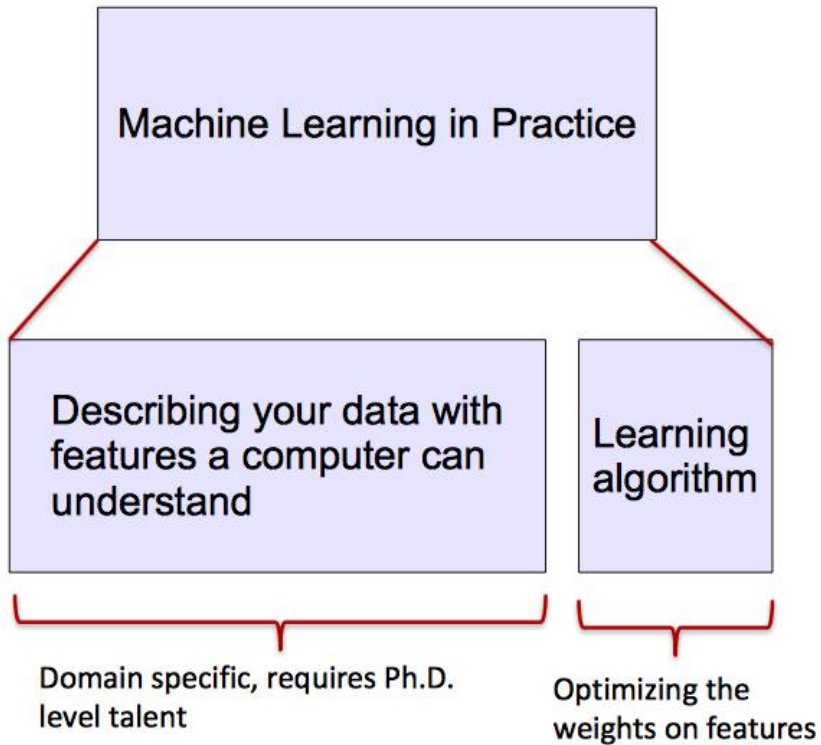


过程:



ML -> DL

- S



Why DL?

- 人工定义的特征往往是不全面、不完整的，需要消耗大量的时间精力去设计和验证
- DL学习到特征则更灵活和迅速
- End-to-end的学习机制更有效
- DL可以从大量数据中学习

NN

- NN (Neural Networks) 神经网络

- Neurons 神经元、Layers 层
- Activation Functions 激活函数
- 学习参数
 - Weights 权重
 - Biases 偏移

$$h = \sigma(W_1x + b_1)$$

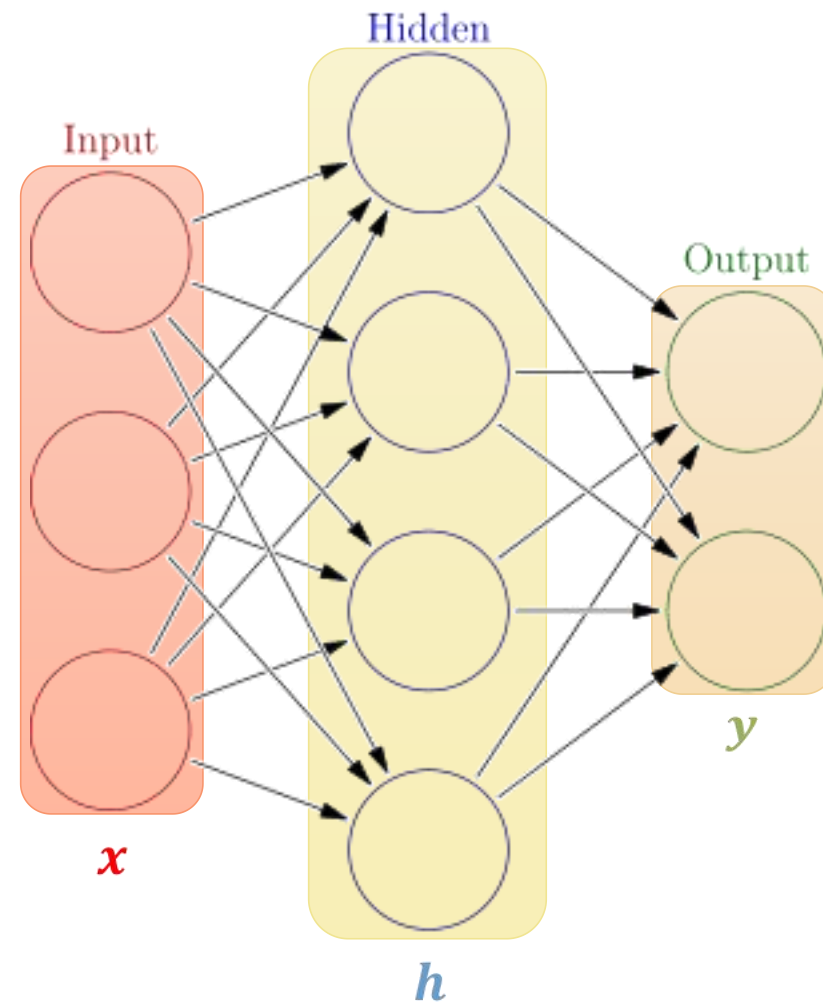
$$y = \sigma(W_2h + b_2)$$

4 + 2 = 6 neurons (不包含输入)

[3 x 4] + [4 x 2] = 20 weights

4 + 2 = 6 biases

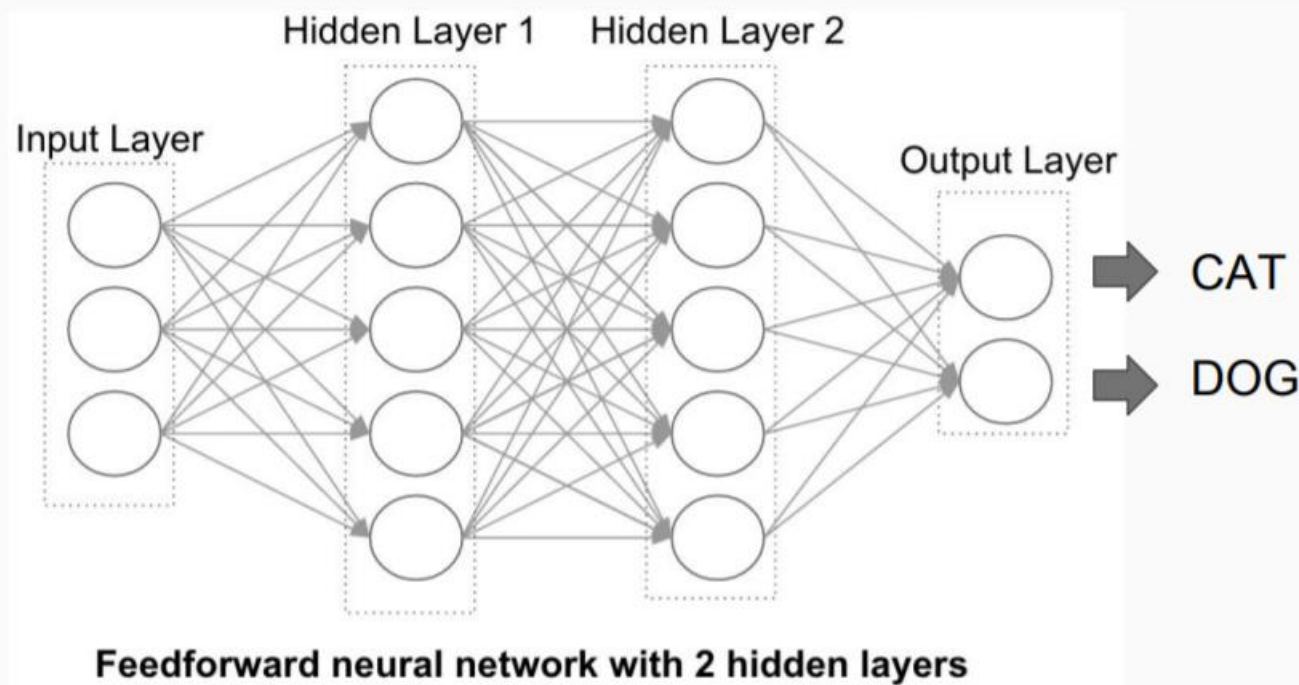
26 learnable parameters



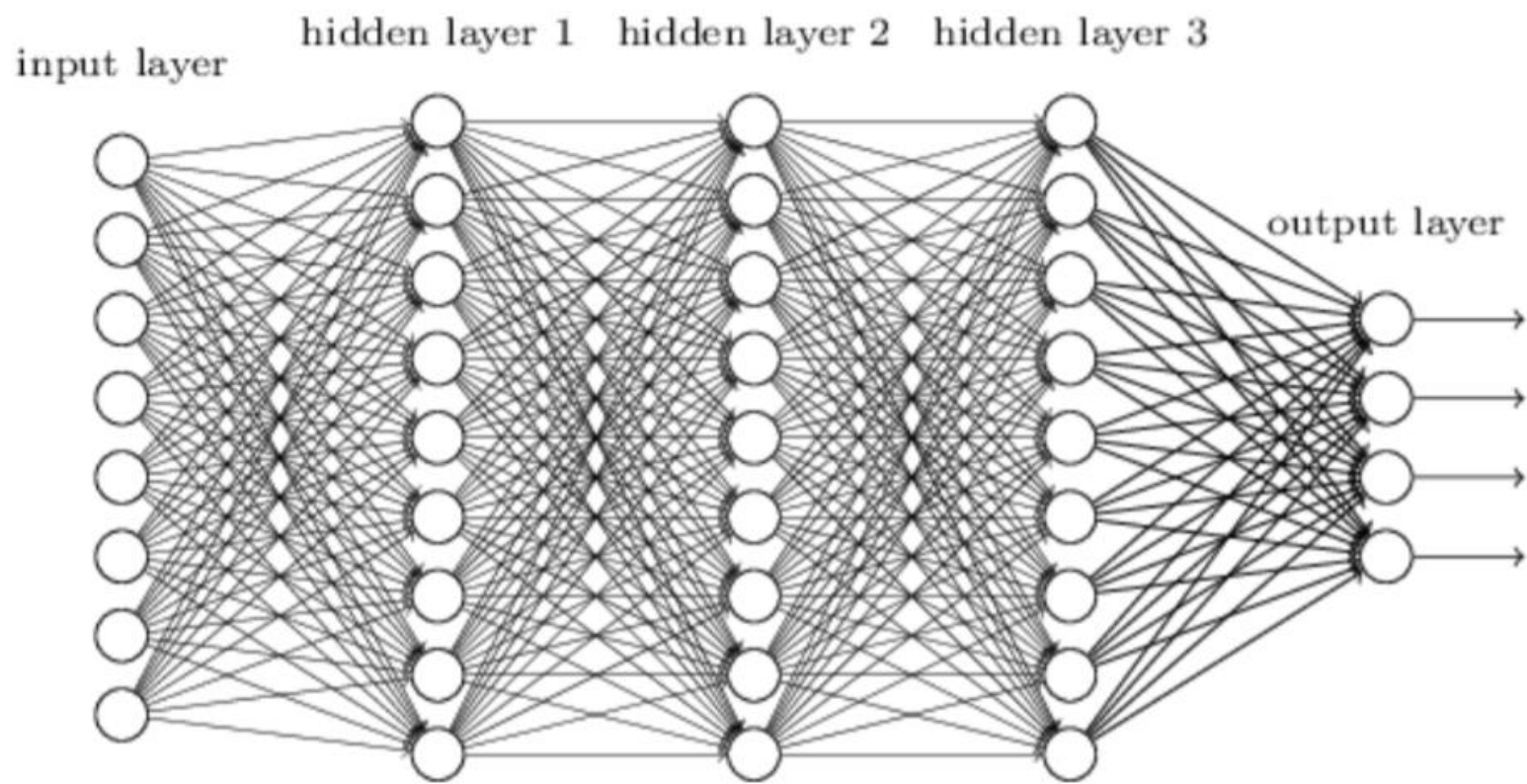
图像分类



VS

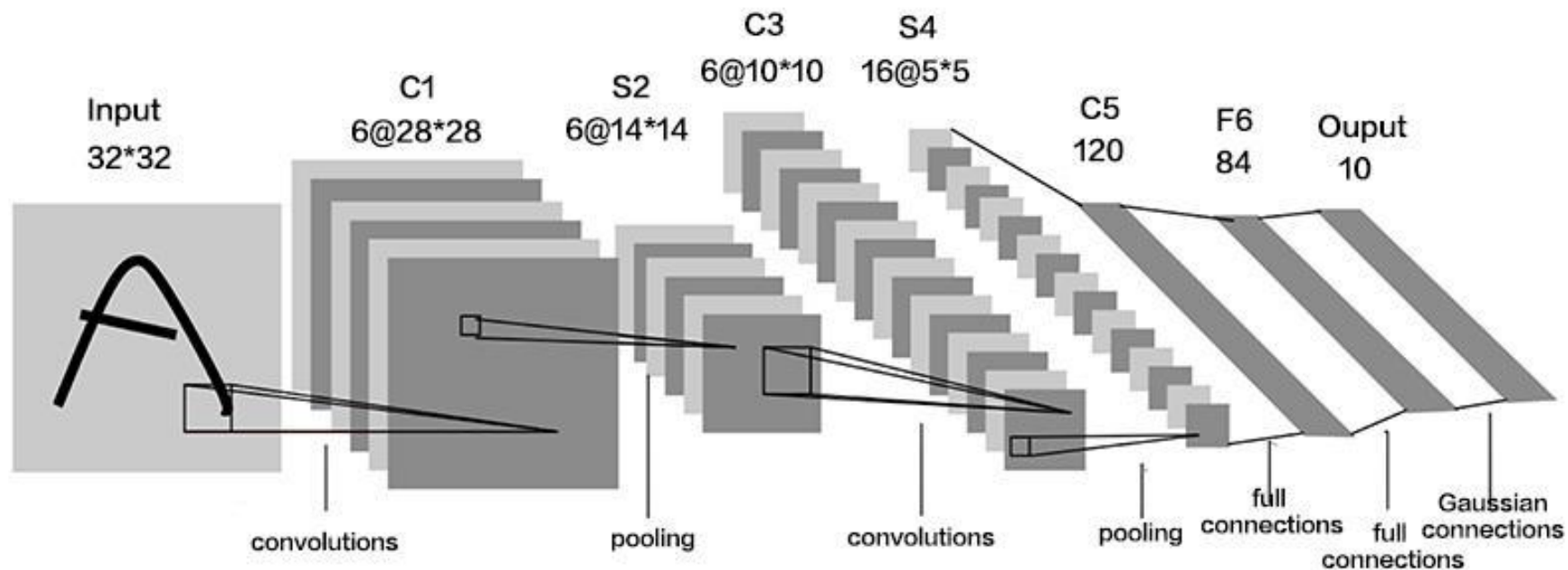


全连接模型



卷积神经网络

- 局部卷积
- 参数共享
- 多卷积核
- 下采样

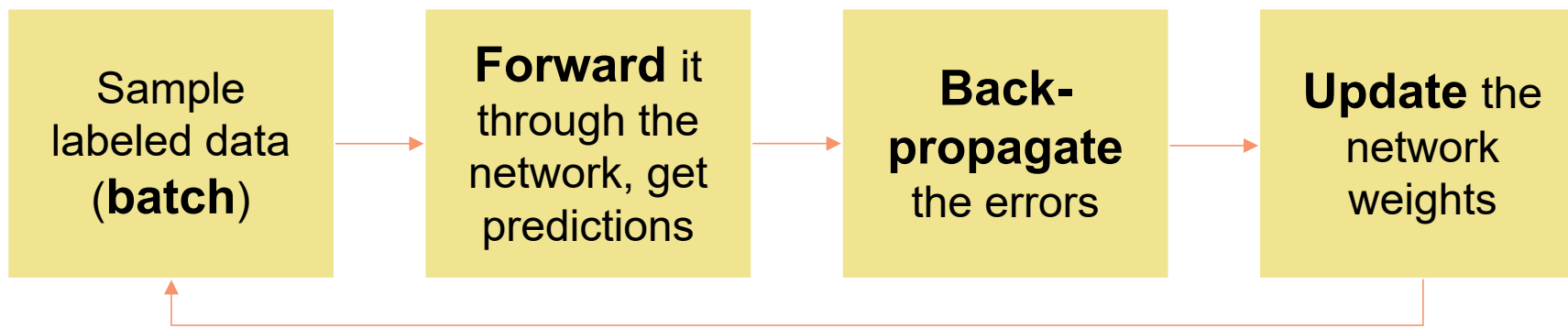


Training (训练)

- 目标：根据训练数据的输入计算输出，根据计算得到的输出与正确输出的误差，调节模型训练参数以减少误差
 - Cost/Loss Functions 代价/损失 函数：量化误差
 - 分类问题：Softmax Loss
 - 回归问题：MSE Loss 均方差

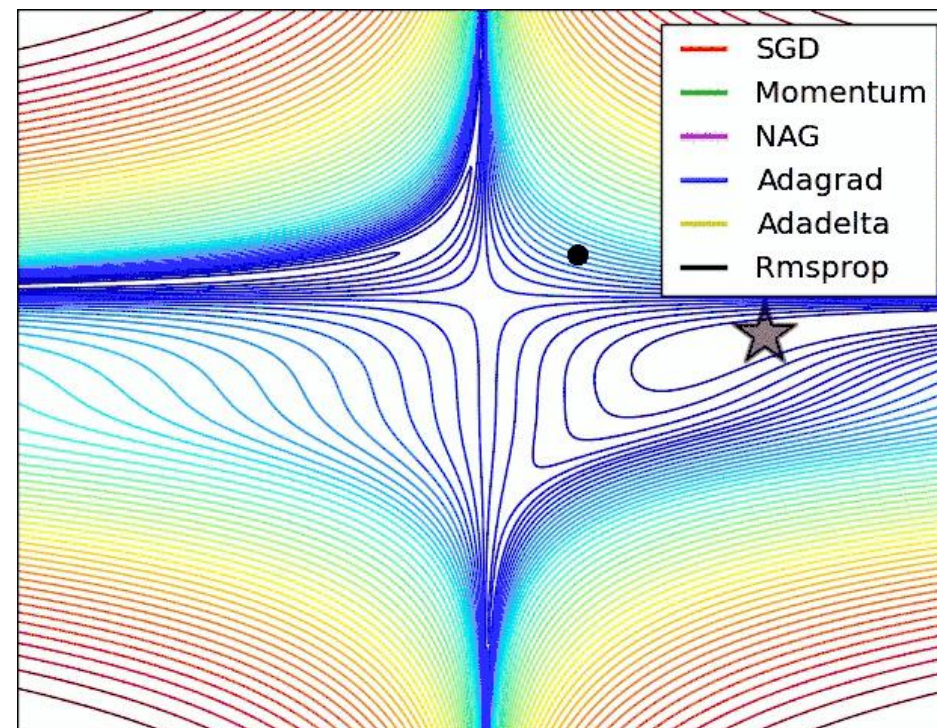
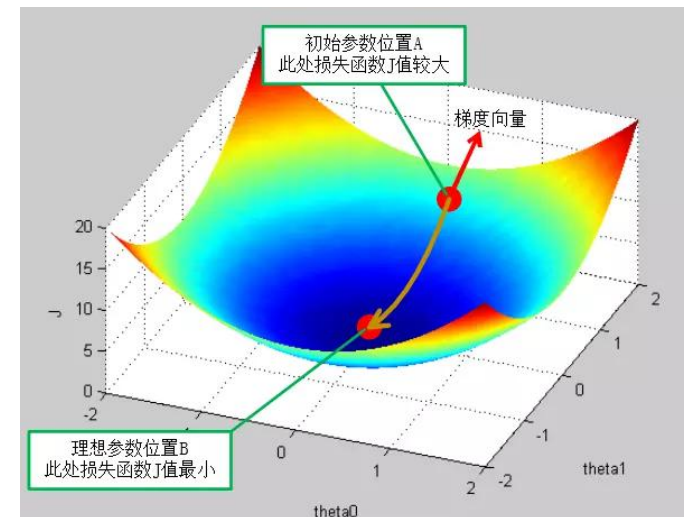
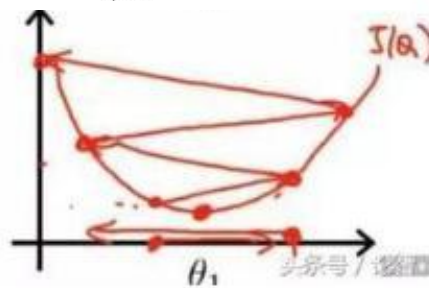
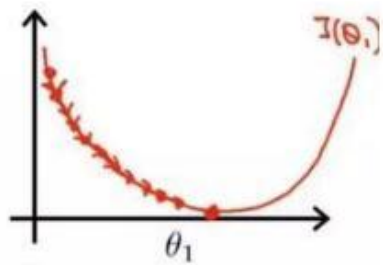
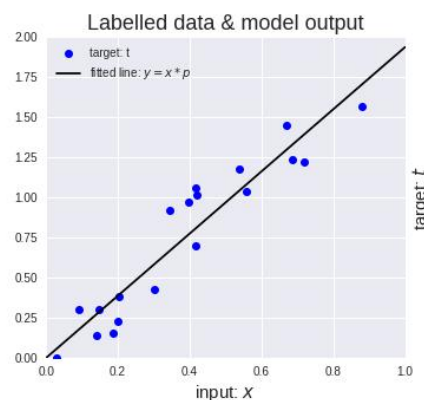
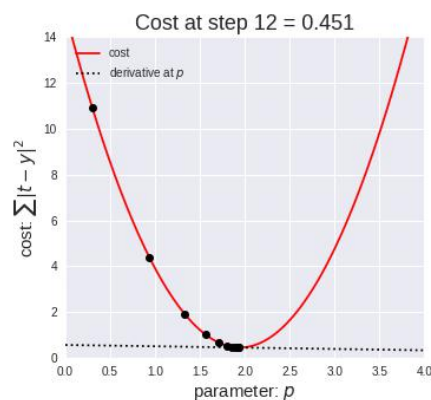
$$P(y = j \mid \mathbf{x}) = \frac{e^{\mathbf{x}^T \mathbf{w}_j}}{\sum_{k=1}^K e^{\mathbf{x}^T \mathbf{w}_k}}$$

$$J(\theta) = \frac{1}{n} \sum_{i=1}^n (y^{(i)} - \hat{y}^{(i)})^2$$



参数更新

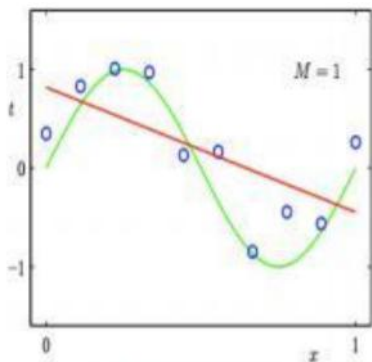
- 梯度下降
 - 梯度决定了努力的方向
 - 步长 (Learning rates) 决定了脚步的大小



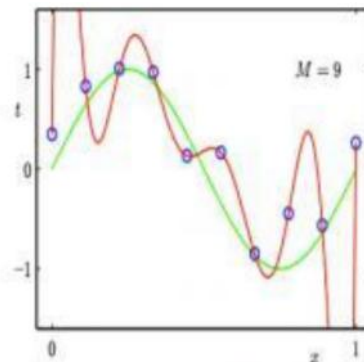
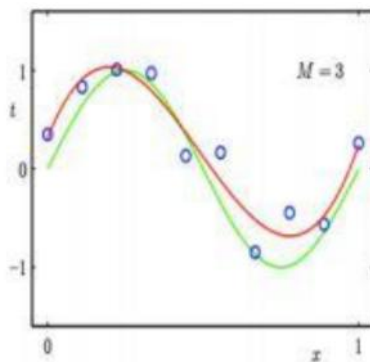
模型的能力评价

- 欠拟合(under-fitting)和过拟合(over-fitting)

Regression:

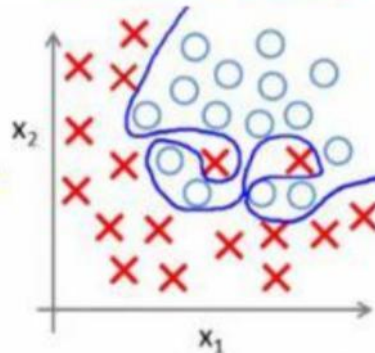
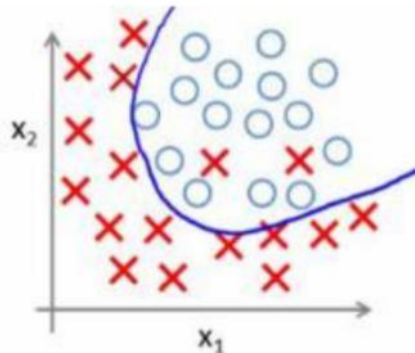
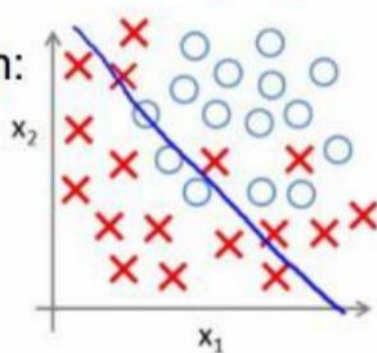


predictor too inflexible:
cannot capture pattern



predictor too flexible:
fits noise in the data

Classification:



深度学习框架

- Caffe
- Theano
- TensorFlow
- MXNet
- Torch/PyTorch

Microsoft
CNTK



Caffe

Caffe2

PYTORCH

Chainer

K Keras

TensorFlow

theano

dy/net

mxnet

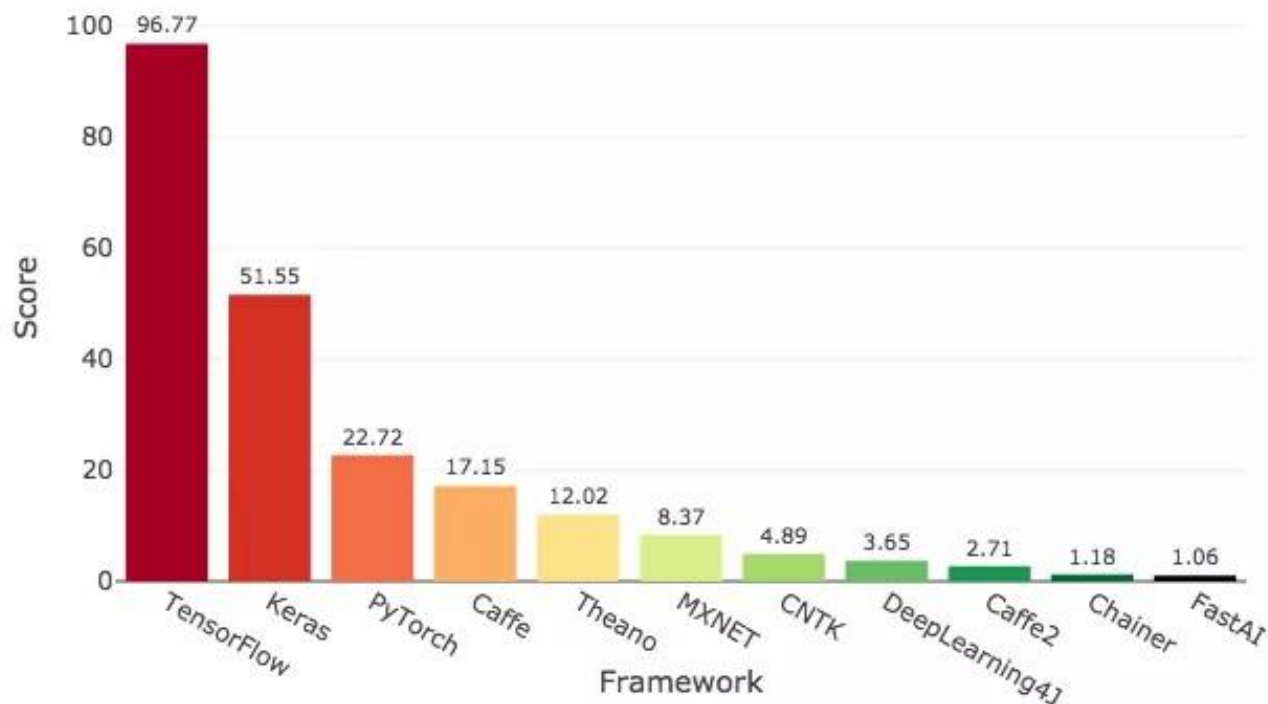
GLUON

<https://blog.csdn.net/lyh03601>

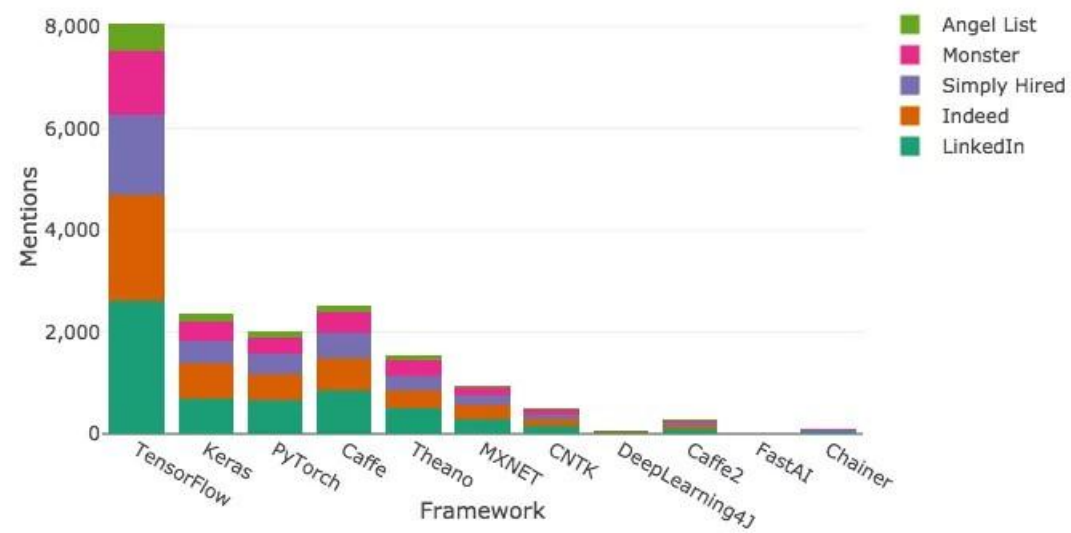
影响力排名

- 基本都使用Python做为接口语言（基本都使用C++做为开发语言）
- Keras, FastAI 为从属框架
- 若干大公司背书：Google、FaceBook、Amazon、Microsoft

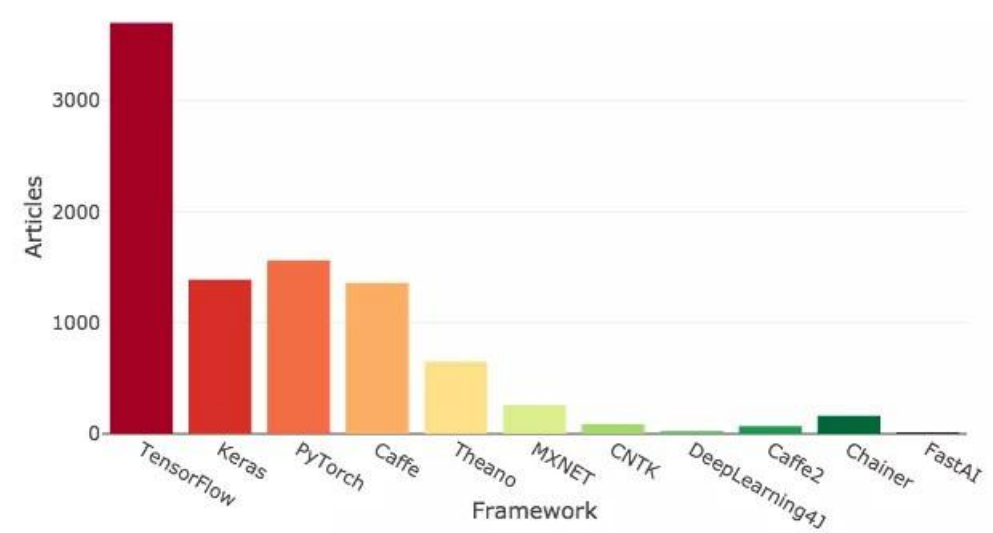
Deep Learning Framework Power Scores 2018



Online Job Listings



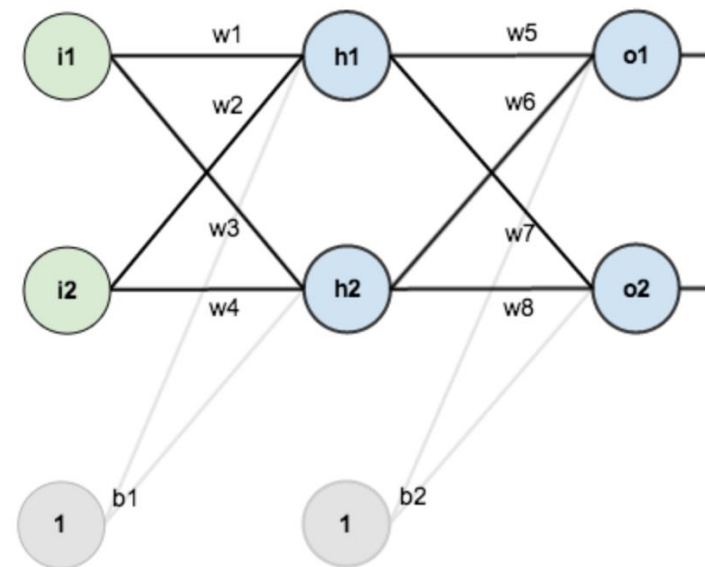
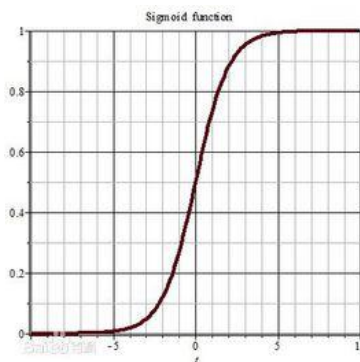
ArXiv Articles



神经网络训练简单示例

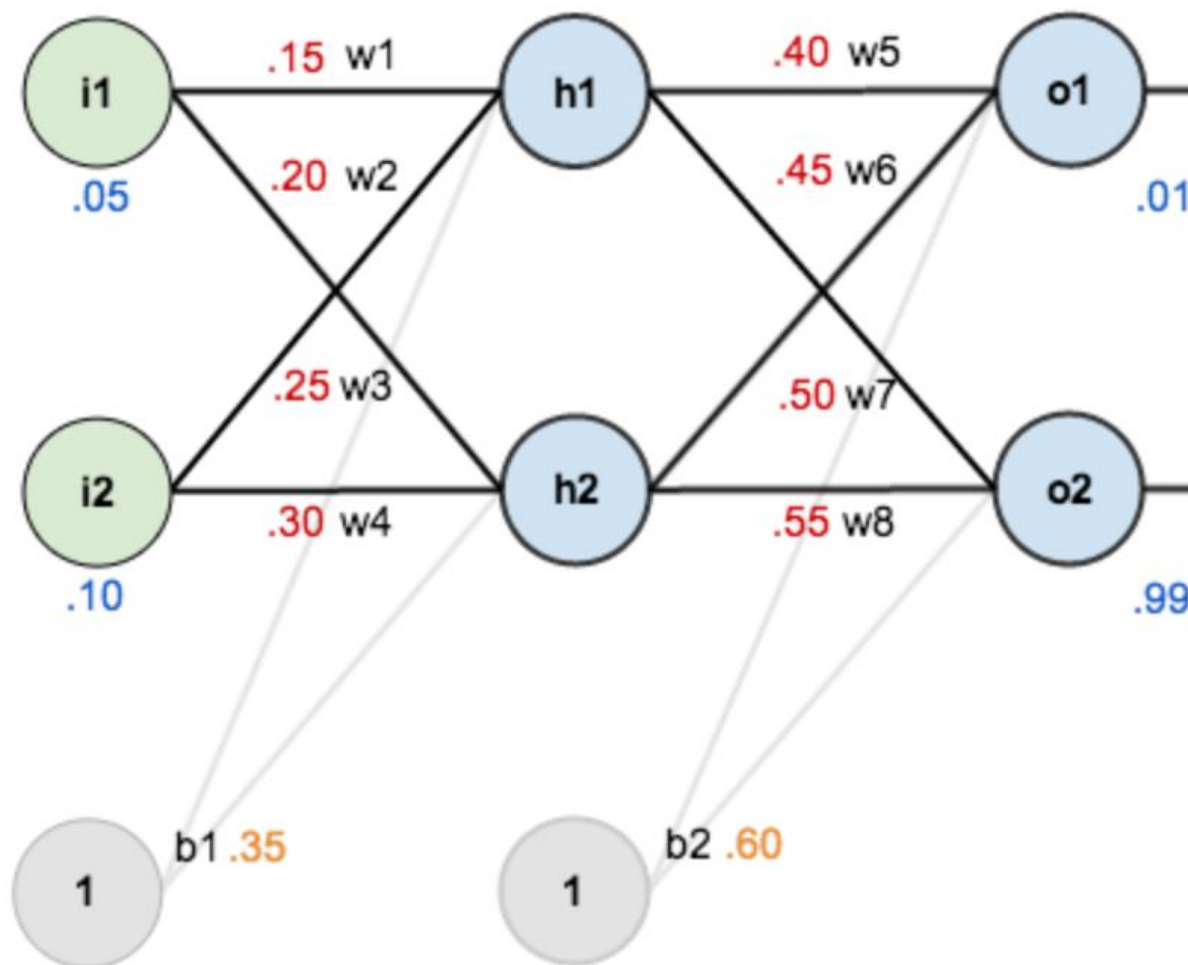
- 三层全连接网络
 - 输入层：两个神经元 i1、i2
 - 隐层：h1、h2
 - 输出层：o1、o2
- w_i 表示连接上的weights, b_i 表示biases
- 神经元上的激活函数是sigmoid

$$S(x) = \frac{1}{1 + e^{-x}}$$
$$S'(x) = \frac{e^{-x}}{(1 + e^{-x})^2} = S(x)(1 - S(x))$$



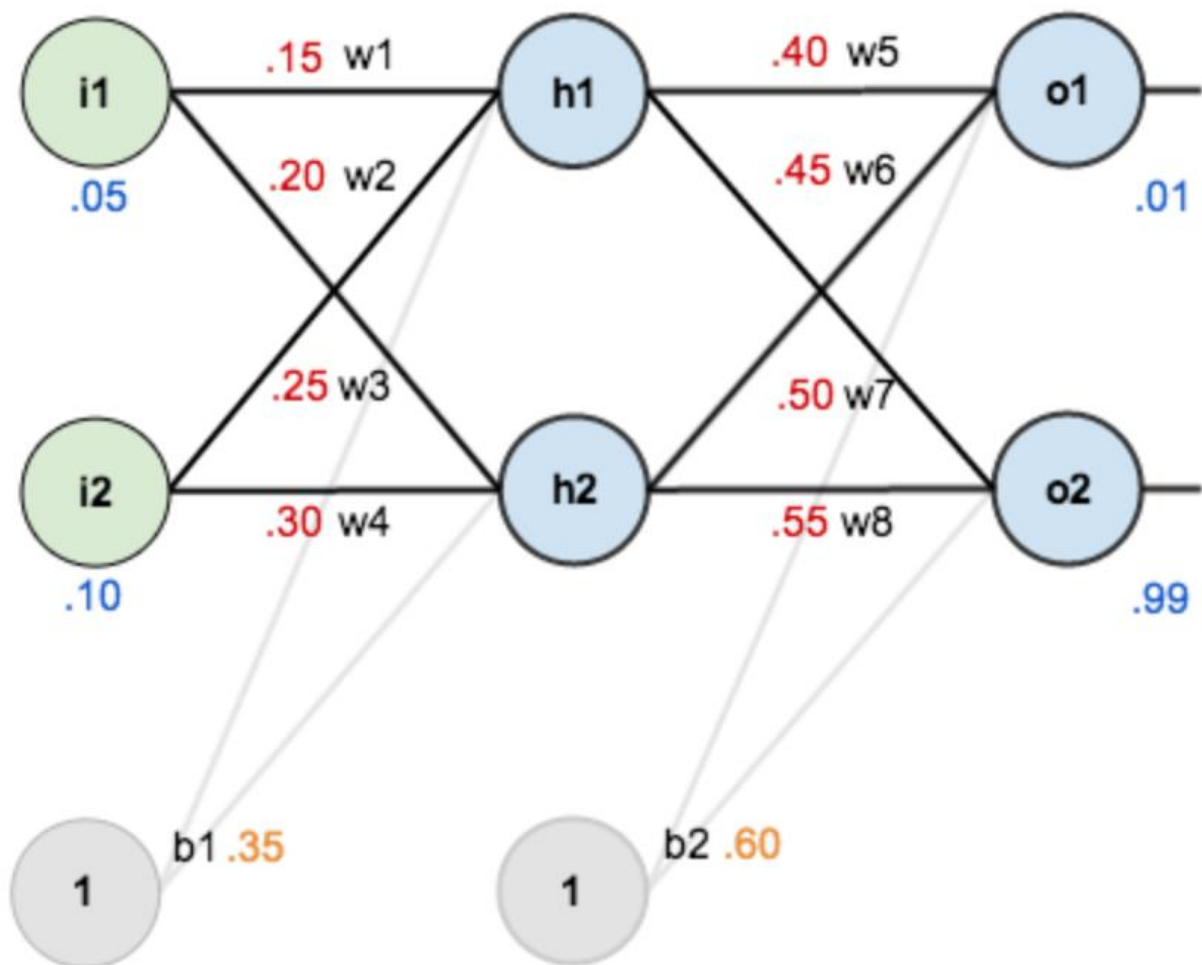
训练过程的初始状态

- 当前训练样本
 - 输入 = [0.05, 0.10]
 - 预期输出 = [0.01, 0.99]
 - 当前w、b如图(注意：为简化计算此处同层共享b，且b固定)
- 训练目标：调节w使得真实输出尽量接近预期输出



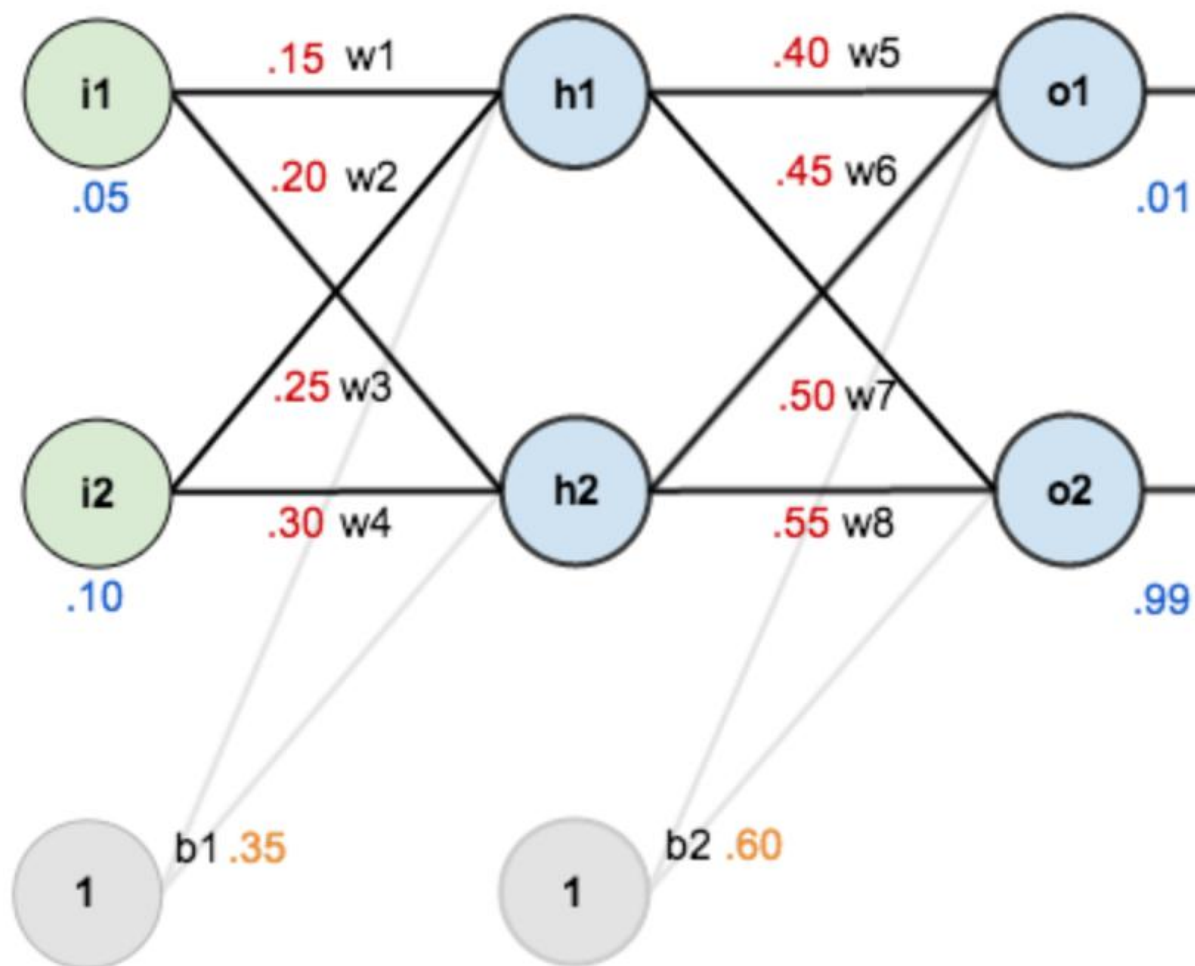
前向计算：输入层->隐层

- h1为例：
 - $net_{h1} = w1*i1 + w2*i2 + b1 = 0.15*0.05 + 0.2*0.1 + 0.35 = 0.3775$
 - $out_{h1} = 1/(1 + \exp(-0.3775)) = 0.593269992$
- 同理可得
 - $out_{h2} = 0.596884378$



前向计算：隐层->输出层

- 以o1为例：
 - $net_{o1} = w5 \cdot out_{h1} + w6 \cdot out_{h2} + b2 = 0.4 \cdot 0.593269992 + 0.45 \cdot 0.596884378 + 0.6 = 1.105905967$
 - $out_{o1} = 1 / (1 + \exp(-1.105905967)) = 0.75136507$
- 同理可得
 - $out_{o2} = 0.772928465$
- 实际输出与预期输出存在差异，需要更新网络参数



反向传播 Loss函数

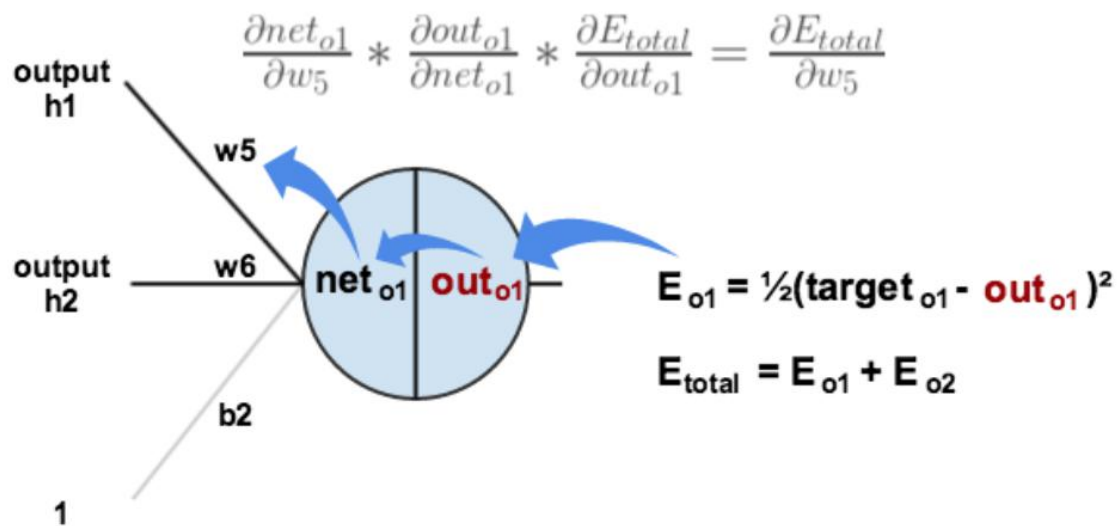
- 定义Loss函数:

- $E_{\text{total}} = \sum (\text{target} - \text{output})^2 / 2$
- $E_{o1} = (0.01 - 0.75136507)^2 / 2 = 0.274811083$
- $E_{o2} = 0.023560026$
- $E_{\text{total}} = E_{o1} + E_{o2} = 0.298371109$

反向传播：输出层参数更新

- 以w5为例：

$$\frac{\partial E_{total}}{\partial w_5} = \frac{\partial E_{total}}{\partial out_{o1}} * \frac{\partial out_{o1}}{\partial net_{o1}} * \frac{\partial net_{o1}}{\partial w_5}$$



$$E_{total} = \frac{1}{2}(target_{o1} - out_{o1})^2 + \frac{1}{2}(target_{o2} - out_{o2})^2$$

$$\frac{\partial E_{total}}{\partial out_{o1}} = 2 * \frac{1}{2}(target_{o1} - out_{o1})^{2-1} * -1 + 0$$

$$\frac{\partial E_{total}}{\partial out_{o1}} = -(target_{o1} - out_{o1}) = -(0.01 - 0.75136507) = 0.74136507$$

$$out_{o1} = \frac{1}{1+e^{-net_{o1}}}$$

$$\frac{\partial out_{o1}}{\partial net_{o1}} = out_{o1}(1 - out_{o1}) = 0.75136507(1 - 0.75136507) = 0.186815602$$

$$net_{o1} = w_5 * out_{h1} + w_6 * out_{h2} + b_2 * 1$$

$$\frac{\partial net_{o1}}{\partial w_5} = 1 * out_{h1} * w_5^{(1-1)} + 0 + 0 = out_{h1} = 0.593269992$$

更新参数

- 计算梯度，根据梯度和学习率(learning rate)更新参数

$$\frac{\partial E_{total}}{\partial w_5} = \frac{\partial E_{total}}{\partial out_{o1}} * \frac{\partial out_{o1}}{\partial net_{o1}} * \frac{\partial net_{o1}}{\partial w_5}$$

$$\frac{\partial E_{total}}{\partial w_5} = 0.74136507 * 0.186815602 * 0.593269992 = 0.082167041$$

$$w_5^+ = w_5 - \eta * \frac{\partial E_{total}}{\partial w_5} = 0.4 - 0.5 * 0.082167041 = 0.35891648$$

$$w_6^+ = 0.408666186$$

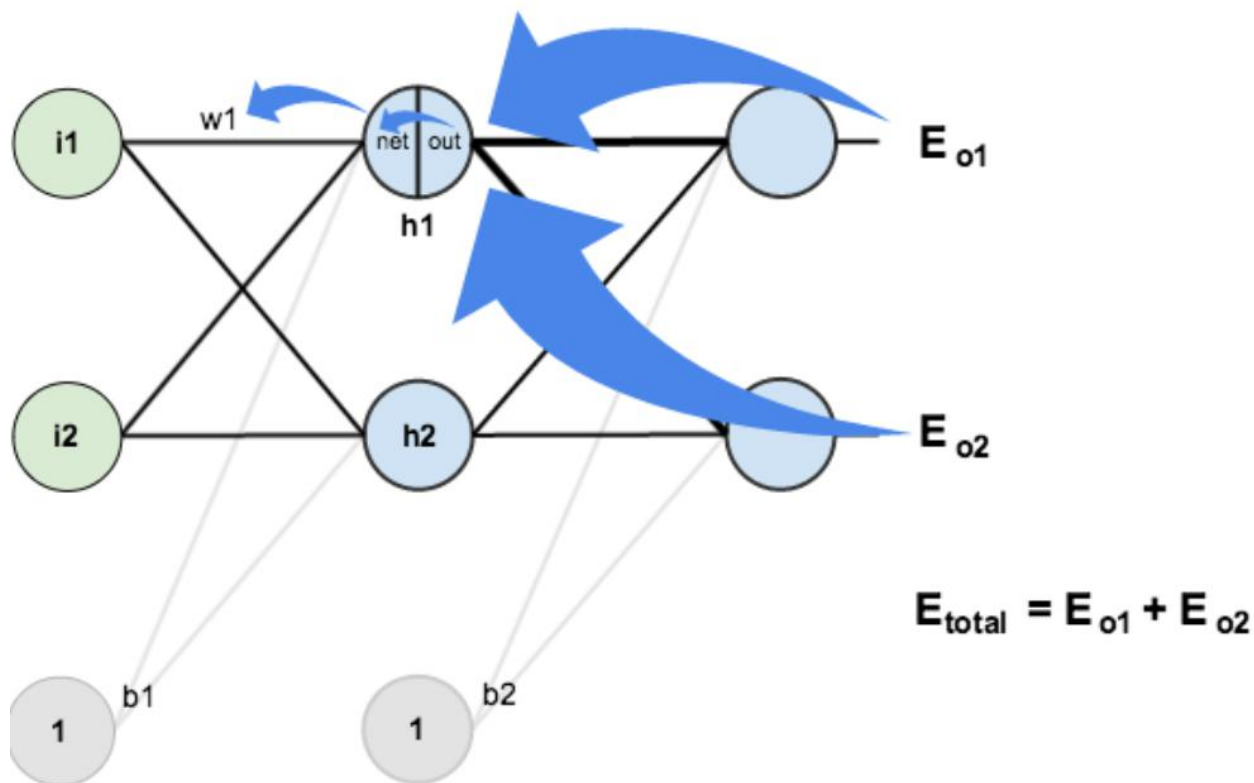
$$w_7^+ = 0.511301270$$

$$w_8^+ = 0.561370121$$

反向传播：隐层参数更新

- 以w1为例：

$$\frac{\partial E_{total}}{\partial w_1} = \frac{\partial E_{total}}{\partial out_{h1}} * \frac{\partial out_{h1}}{\partial net_{h1}} * \frac{\partial net_{h1}}{\partial w_1}$$
$$\downarrow$$
$$\frac{\partial E_{total}}{\partial out_{h1}} = \frac{\partial E_{o1}}{\partial out_{h1}} + \frac{\partial E_{o2}}{\partial out_{h1}}$$



$$\frac{\partial E_{o1}}{\partial out_{h1}} = \frac{\partial E_{o1}}{\partial net_{o1}} * \frac{\partial net_{o1}}{\partial out_{h1}}$$

$$\frac{\partial E_{o1}}{\partial net_{o1}} = \frac{\partial E_{o1}}{\partial out_{o1}} * \frac{\partial out_{o1}}{\partial net_{o1}} = 0.74136507 * 0.186815602 = 0.138498562$$

$$net_{o1} = w_5 * out_{h1} + w_6 * out_{h2} + b_2 * 1$$

$$\frac{\partial net_{o1}}{\partial out_{h1}} = w_5 = 0.40$$

$$\frac{\partial E_{o1}}{\partial out_{h1}} = \frac{\partial E_{o1}}{\partial net_{o1}} * \frac{\partial net_{o1}}{\partial out_{h1}} = 0.138498562 * 0.40 = 0.055399425$$

- 同理可得

$$\frac{\partial E_{o2}}{\partial out_{h1}} = -0.019049119$$

$$\frac{\partial E_{total}}{\partial out_{h1}} = \frac{\partial E_{o1}}{\partial out_{h1}} + \frac{\partial E_{o2}}{\partial out_{h1}} = 0.055399425 + -0.019049119 = 0.036350306$$

$$out_{h1} = \frac{1}{1+e^{-net_{h1}}}$$

$$\frac{\partial out_{h1}}{\partial net_{h1}} = out_{h1}(1 - out_{h1}) = 0.59326999(1 - 0.59326999) = 0.241300709$$

$$net_{h1} = w_1 * i_1 + w_2 * i_2 + b_1 * 1$$

$$\frac{\partial net_{h1}}{\partial w_1} = i_1 = 0.05$$

$$\frac{\partial E_{total}}{\partial w_1} = \frac{\partial E_{total}}{\partial out_{h1}} * \frac{\partial out_{h1}}{\partial net_{h1}} * \frac{\partial net_{h1}}{\partial w_1}$$

$$\frac{\partial E_{total}}{\partial w_1} = 0.036350306 * 0.241300709 * 0.05 = 0.000438568$$

$$w_1^+ = w_1 - \eta * \frac{\partial E_{total}}{\partial w_1} = 0.15 - 0.5 * 0.000438568 = 0.149780716$$

$$w_2^+ = 0.19956143$$

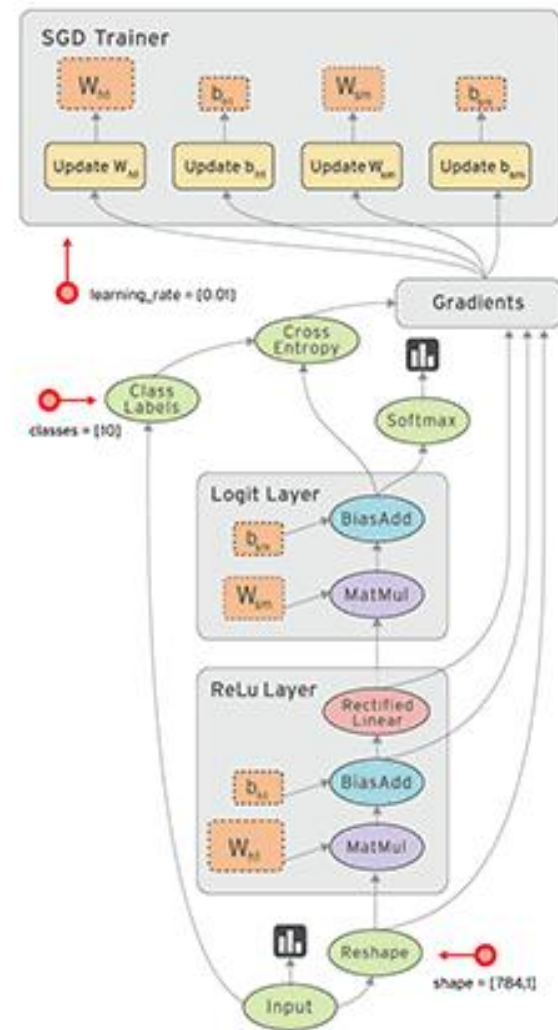
$$w_3^+ = 0.24975114$$

$$w_4^+ = 0.29950229$$

- 一次反向传播完成，再次正向计算可得到新的实际输出
- 迭代上述运算过程10000次后，输出为[0.015912196, 0.984065734]，已经非常接近期望输出

利用张量和TensorFlow处理

- 采用数据流图，用于数值计算的开源软件库
 - 不是一个严格的“神经网络”库，只要可以把计算表示为一个数据流图，就可以使用TF
 - 具有自动求微分的能力（极大降低了机器学习门槛）
 - 很好的可移植性（CPU、GPU、服务器、手机.....）
 - 多语言支持，底层实现C++，上层使用Python、GO、Javascript.....
 -



张量

- 深度学习框架中的核心概念
 - 标量、向量、矩阵分别为：0、1、2阶张量
 - 一张RGB图像可表示为3阶张量（高度、宽度、通道）
 - 深度学习中经常需要使用4阶张量（图像+batchSize）

$h =$

- 上述示例转换为张量表示：

$$i = \begin{bmatrix} 0.05 \\ 0.10 \end{bmatrix} \quad target = \begin{bmatrix} 0.01 \\ 0.99 \end{bmatrix} \quad w_h = \begin{bmatrix} 0.15 & 0.20 \\ 0.25 & 0.30 \end{bmatrix} \quad w_o = \begin{bmatrix} 0.40 & 0.45 \\ 0.50 & 0.55 \end{bmatrix}$$

$$b_1 = \begin{bmatrix} 0.35 \\ 0.35 \end{bmatrix} \quad b_2 = \begin{bmatrix} 0.60 \\ 0.60 \end{bmatrix}$$

对应到Tensorflow定义

- `i = tf.constant([[0.05],[0.10]], name="i")`
 - `target = tf.constant([[0.01],[0.99]], name="target")`
 - `b1 = tf.constant([[0.35], [0.35]], name="b1")`
 - `b2 = tf.constant([[0.60], [0.60]], name="b2")`
-
- `w_h = tf.Variable([[0.15, 0.20],[0.25, 0.30]], name="w_h")`
 - `w_o = tf.Variable([[0.40, 0.45],[0.50, 0.55]], name="w_o")`

相关运算

$$out_h = \frac{1}{1 + e^{-net_h}}$$

$$net_h = w_h i + b_1$$

$$out_o = \frac{1}{1 + e^{-net_o}}$$

$$net_o = w_o out_h + b_2$$

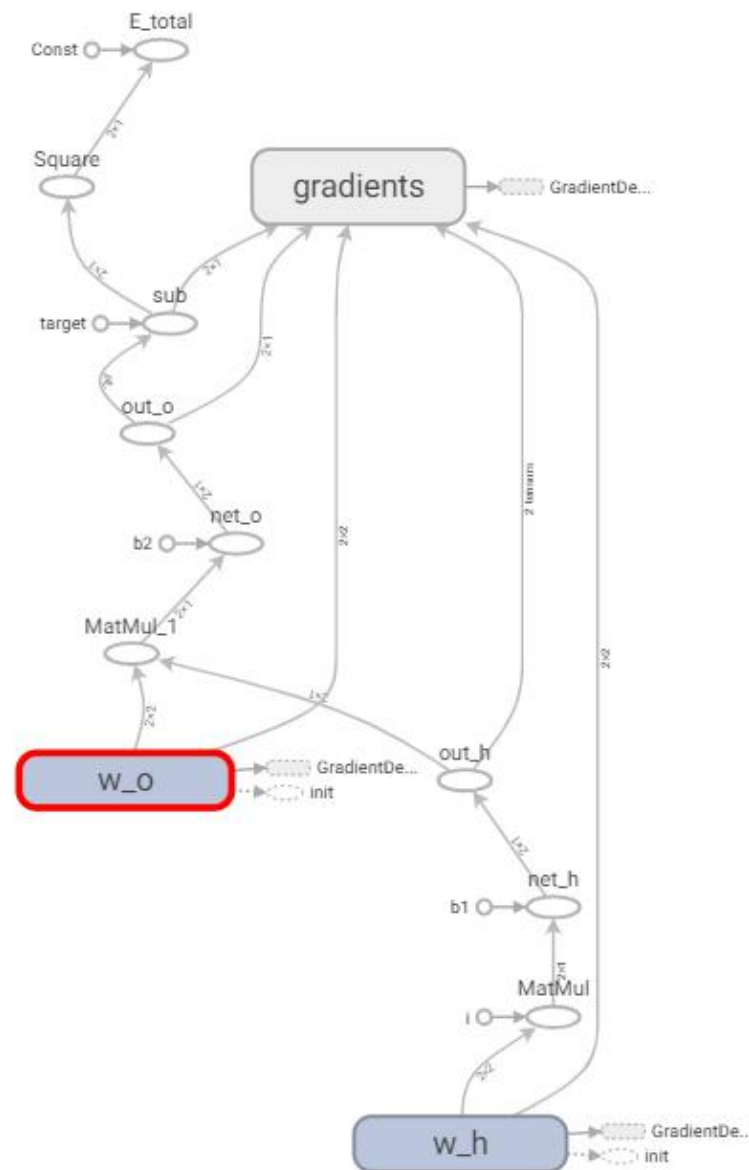
$$E_{total} = \frac{1}{2} \sum \|target - out_o\|^2$$

对应Tensorflow定义

- `net_h = tf.add(tf.matmul(w_h, i), b1, name="net_h")`
- `out_h = tf.nn.sigmoid(net_h, name="out_h")`
- `net_o = tf.add(tf.matmul(w_o, out_h), b2, name="net_o")`
- `out_o = tf.nn.sigmoid(net_o, name="out_o")`
- `E_total = tf.reduce_mean(tf.square(target - out_o), name="E_total")`

计算图及其运行

- 计算图 (Graph)
 - 定义张量Tensor和操作Operation后构建出计算图
 - 在构建计算图过程中并不真实运算，只是表示计算任务
- 会话 (Session)
 - 提供执行Operation和计算Tensor值的环境
 - `Session.run(fetches, feed_dict=None)`



训练模型

- `train_step = tf.train.GradientDescentOptimizer(0.5).minimize(E_total)`
- `init_op = tf.global_variables_initializer()`
- `with tf.Session() as sess:`
 - `sess.run(init_op)`
 - `for i in range(10000):`
 - `sess.run(train_step)`
 - `#train_step.run(session = sess)`
 -
 - `print(sess.run(out_o))`

可视化显示

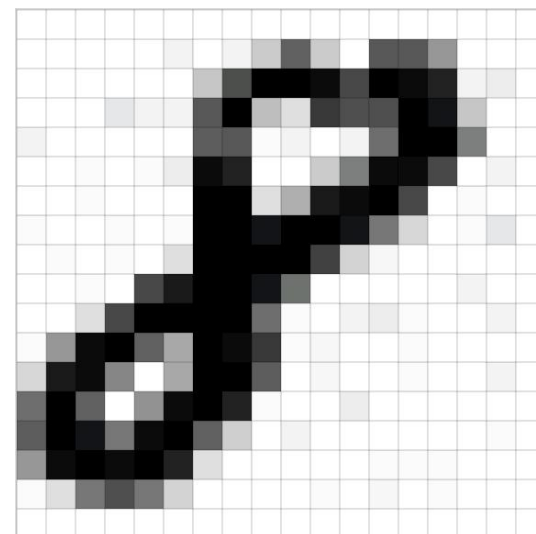
- 代码：
 - `writer = tf.summary.FileWriter("./graph/nn-3",sess.graph)`
- 运行 Tensorboard --logdir=./graph/nn-3
- <http://localhost:6006>

作业

- 完成Tensorflow环境的搭建
- 使用Tensorflow训练一个神经网络，能够拟合函数 $\sin(x) + \cos(x)$
- 可使用pytorch、caffe等其他框架完成

利用Tensorflow+CNN完成图像分类

- 卷积神经网络CNN
 - 必要性：全连接网络参数数量爆炸
 - 一个 $100 \times 100 \times 1$ 的灰度图像输入，相当于输入层包含10000个神经元
 - 假设下一层与输入同样尺寸，则需要 10000×10000 个权重参数
 - CNN思路
 - 局部感知
 - 参数共享
 - 单层多卷积核
 - 多卷积层
 - 下采样
 - CNN层参数：
 - kernel_size、stride、padding、kernel_num



局部感知和卷积核运算

1	1	1	0	0
0	1	1	1	0
0	0	1	1	1
0	0	1	1	0
0	1	1	0	0

输入

1	0	1
0	1	0
1	0	1

卷积核

1 _{x1}	1 _{x0}	1 _{x1}	0	0
0 _{x0}	1 _{x1}	1 _{x0}	1	0
0 _{x1}	0 _{x0}	1 _{x1}	1	1
0	0	1	1	0
0	1	1	0	0

Image

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Convolved
Feature

卷积步长stride和边界padding

- stride: 卷积每次移动的像素数量
 - 对输出尺寸有较大影响

INPUT IMAGE					
18	54	51	239	244	188
55	121	75	78	95	88
35	24	204	113	109	221
3	154	104	235	25	130
15	253	225	159	78	233
68	85	180	214	245	0

WEIGHT		
1	0	1
0	1	0
1	0	1

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INPUT IMAGE					
18	54	51	239	244	
55	121	75	78	95	
35	24	204	113	109	
3	154	104	235	25	
15	253	225	159	78	

WEIGHT		
1	0	1
0	1	0
1	0	1

429

- padding: 图像四周填充
 - 对输出尺寸产生影响

0	0	0	0	0	0	0	0
0	18	54	51	239	244	188	0
0	55	121	75	78	95	88	0
0	35	24	204	113	109	221	0
0	3	154	104	235	25	130	0
0	15	253	225	159	78	233	0
0	68	85	180	214	245	0	0
0	0	0	0	0	0	0	0

WEIGHT		
1	0	1
0	1	0
1	0	1

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多卷积核

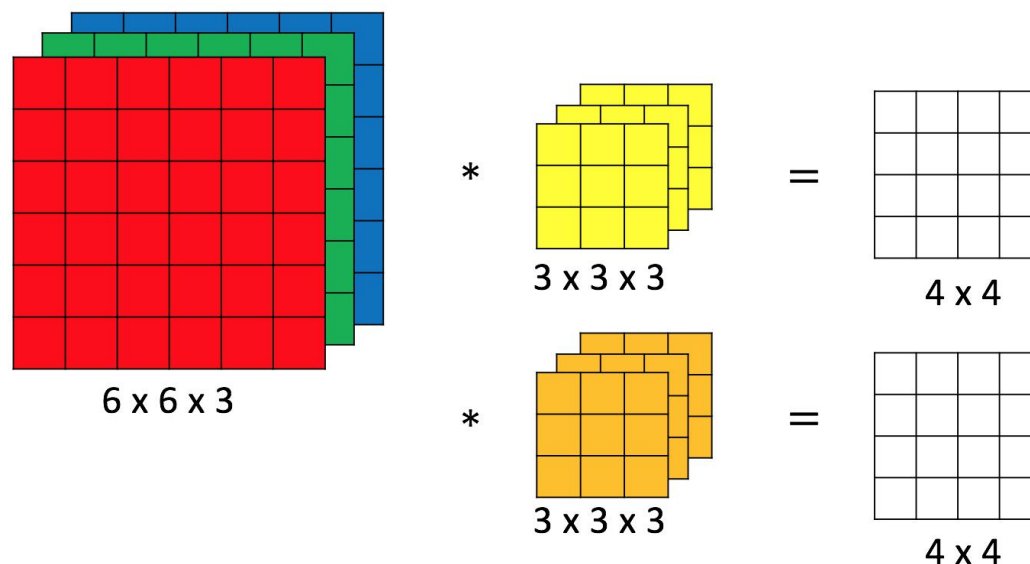
- 一个卷积层包含多个卷积核，实现特征的多样化



Input

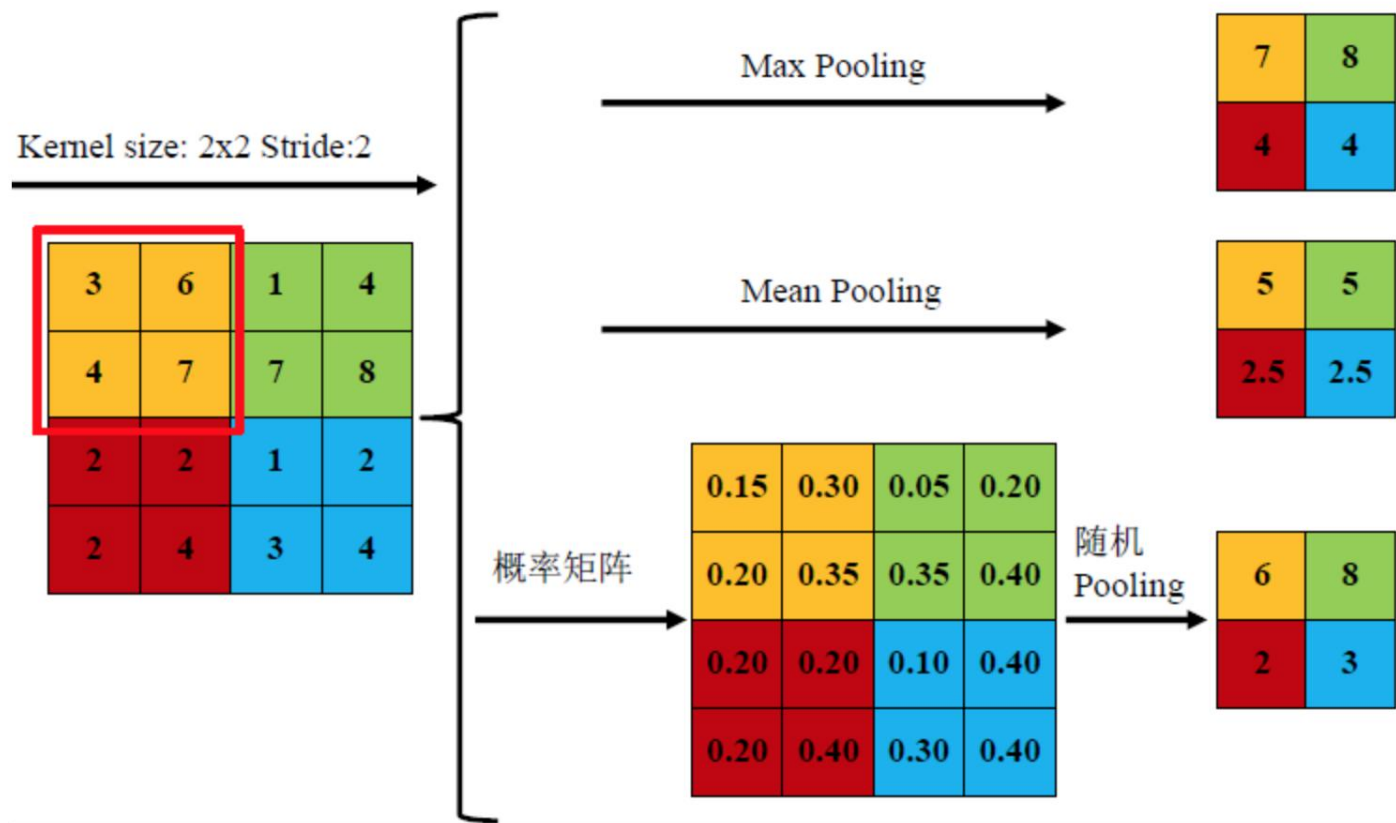
卷积层参数

- 假设卷积层输入为 $[w1, h1, c1]$ ，输出为 $[w2, h2, c2]$
 - 全连接的话需要 $w1 \times h1 \times c1 \times w2 \times h2 \times c2$ 个参数
 - 卷积层需要 $c2$ 个卷积核，每个卷积核尺寸 $[f1, f2, c1]$ ， $f1, f2$ 为相对较小的数
- 示例：
 - $[6, 6, 3] \rightarrow [4, 4, 2]$
 - 一共只需2个 $3 \times 3 \times 3$ 卷积



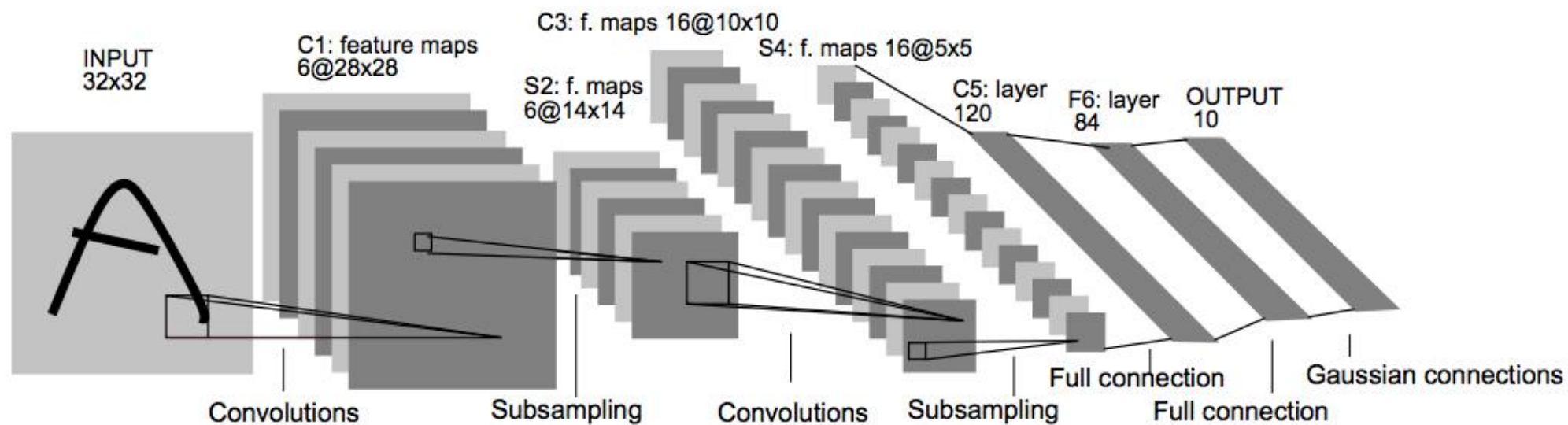
下采样（池化）

- 卷积后的图像特征仍然数量巨大，尤其是网络层数较多时，池化层进一步减少特征数量

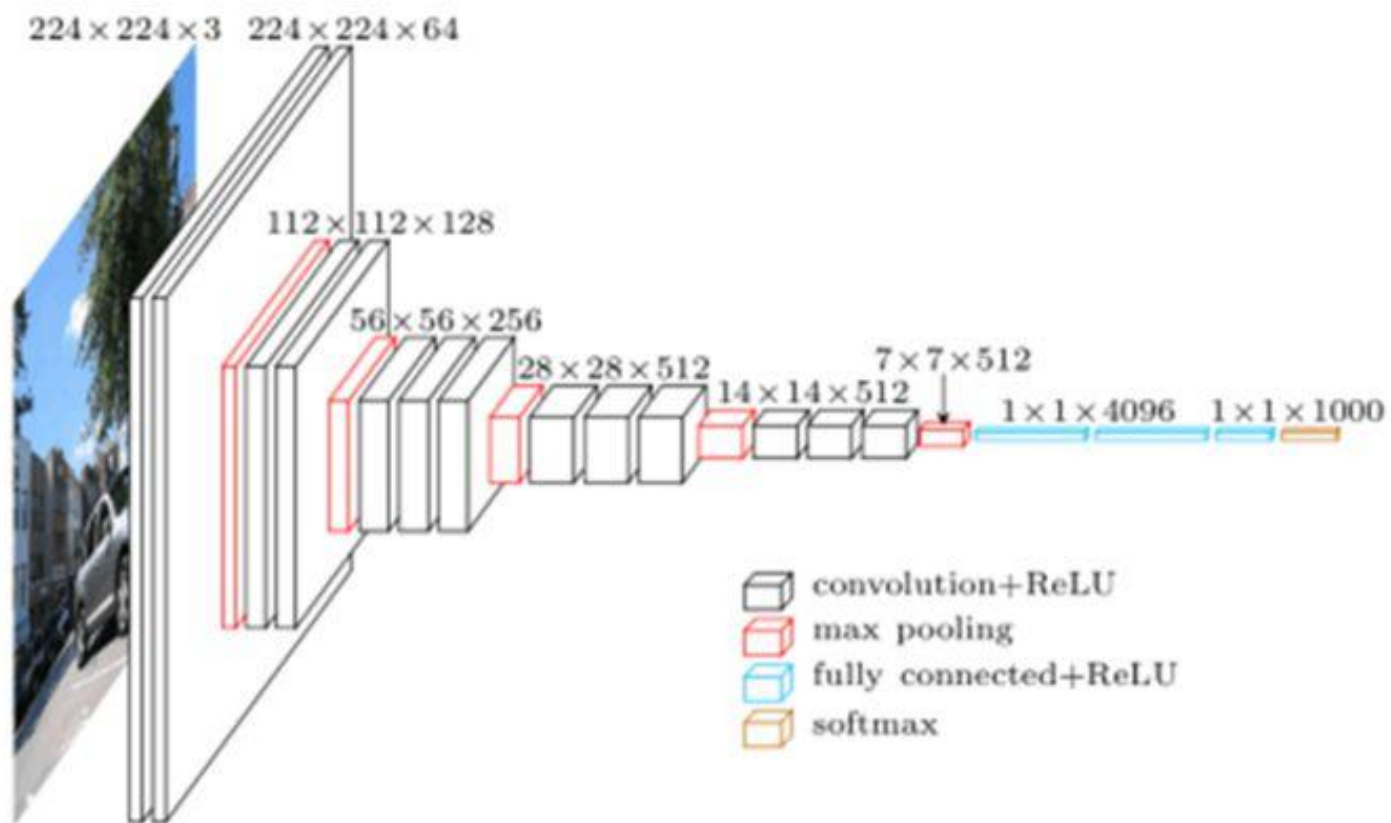


多卷积层实现深度网络

- LeNet5网络



- VGG-16网络结构



MNIST手写数字图像分类

- 输入图像 -> 10类
- 网络结构
 - 输入层 -> 卷积层1 -> 池化层1 -> 卷积层2 -> 池化层2 -> 全连接层 -> softmaxLoss
 - $28 \times 28 \times 1 \rightarrow 28 \times 28 \times 32 \rightarrow 14 \times 14 \times 32 \rightarrow 14 \times 14 \times 64 \rightarrow 7 \times 7 \times 64 \rightarrow 1024 \rightarrow 10$
 - $\text{softmaxLoss} = \text{softmax} + \text{cross_entropy}$ (交叉熵)

输入层和卷积层1

- `x = tf.placeholder(tf.float32,[None, 784])`
- `x_image = tf.reshape(x, [-1, 28, 28, 1])` #最后一维代表通道数目, 如果是rgb则为3
- `W_conv1 = weight_variable([5, 5, 1, 32])`
- `b_conv1 = bias_variable([32])`
- `h_conv1 = tf.nn.relu(conv2d(x_image, W_conv1) + b_conv1)`
- `h_pool1 = max_pool_2x2(h_conv1)`

卷积层2

- `W_conv2 = weight_variable([5, 5, 32, 64])`
- `b_conv2 = bias_variable([64])`
- `h_conv2 = tf.nn.relu(conv2d(h_pool1, W_conv2) + b_conv2)`
- `h_pool2 = max_pool_2x2(h_conv2)`

全连接和Softmax

- `W_fc1 = weight_variable([7 * 7 * 64, 1024])`
- `b_fc1 = bias_variable([1024])`
- `h_pool2_flat = tf.reshape(h_pool2, [-1, 7 * 7 * 64])`
- `h_fc1 = tf.nn.relu(tf.matmul(h_pool2_flat, W_fc1) + b_fc1)`
- `W_fc2 = weight_variable([1024, 10])`
- `b_fc2 = bias_variable([10])`
- `y_conv = tf.nn.softmax(tf.matmul(h_fc1, W_fc2) + b_fc2)`

训练loss和准确率定义

- `y_ = tf.placeholder("float", [None, 10])`
- `cross_entropy = -tf.reduce_sum(y_ * tf.log(y_conv))` #计算交叉熵
- `train_step = tf.train.AdamOptimizer(1e-4).minimize(cross_entropy)`
- `correct_prediction = tf.equal(tf.argmax(y_conv,1), tf.argmax(y_,1))`
- `accuracy = tf.reduce_mean(tf.cast(correct_prediction,"float"))`

开启会话训练模型

- `init = tf.global_variables_initializer();`
- `with tf.Session() as sess:`
 - `sess.run(init)`
 - `for i in range(5000):`
 - `batch = mnist.train.next_batch(50)`
 - `sess.run(train_step, feed_dict = {x:batch[0], y_:batch[1]})`
 - `if i % 100 == 0:`
 - `test_accuracy = accuracy.eval(session = sess, feed_dict = {x:mnist.test.images, y_:mnist.test.labels})`
 - `print("step %d, test accuracy %g" %(i, test_accuracy))`

作业

- 完成一个简单的图像分类任务，具体内容自拟