Syntactic Alignment in Conversations with Large Language Models: Do LLMs Adapt their Syntax Over the Long Term Similar to Humans?

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Abstract

This paper explores the effects of long-term syntactic alignment in Large Language Models (LLMs). Using OpenAI's GPT-40, artificial conversations were generated, addressing a lack in existing research of long natural conversations with LLMs. A statistical analysis on syntactic structures present in these conversations reveals that syntactic alignment occurs in LLMs over extended periods. A second analysis further explores how the process of alignment evolves throughout a conversation, showing that LLMs progressively adjust their syntax, with the largest changes occurring early on. The results indicate that LLMs are not only influenced by the linear order in which tokens of their inputs appear, but also that its influence becomes continuously larger with increasing context lengths.

1 Introduction

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Alignment in human language and communication is a widely studied process, in which people adapt to their communication partner by coordinating their behavior and language. These adaptation processes not only appears on a surface level, such as gestures, postures or the speech rate (Holler and Wilkin, 2011, Shockley et al., 2009, Jungers and Hupp, 2009), but also on more underlying levels, e.g. the semantics or syntax (Bock, 1986, Garrod and Anderson, 1987). Under these latter two aspects, artificial language generation has become almost indistinguishable from human language in recent years; Large language models (LLMs) are trained to produce texts that seem as coherent as human language. The extend to which they resemble human behaviour, however, has only recently gained focus (Cai et al., 2024). As LLMs become increasingly popular, it is pivotal to understand the extend of their human-like behaviour for better understanding of their societal impact and their potential psycholinguistic implications.

Although LLMs are never explicitly guided to exhibit such behaviour, do large language models nonetheless exhibit syntactic alignment in their text production, similar to us? 042

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1.1 Priming and Alignment

Research on human adaptation processes in language and communication has covered many different of its aspects. This paper puts its focus on syntactic adaptation. Reitter, 2008 showed that such adaptation correlates with success in goaloriented conversational tasks when this process appears over longer periods. On a theoretical side, the difference between long-term and short-term effects are explained by two opposing (although not exclusive) camps: One explains alignment as a result of conscious, cooperative decisions made during communication (Brennan and Clark, 1996), the other by an automatic, mechanistic process occurring across various linguistic levels (Rasenberg et al., 2020). This latter perspective has its theoretical foundation in Pickering and Garrod, 2004's interactive alignment model (IAM). Under this view, alignment is used to refer to a process in which situational cognitive models of speakers approach each other, such that they develop shared representations on different levels. The process is driven by a priming mechanism, automatic repetitions that occur in a short term, in which encountering an utterance will activate a representation increasing the likelihood of reproducing an utterance that uses the same representation.

As such theoretical views are not applicable to language models, the terms will be used in a more general sense. Alignment will refer to more robust adaptation over a longer period, whereas priming will refer to short-term repetitions. Based on psycholinguistic experiments, the terms *prime* and *target* are taken to refer to the first appearance of a linguistic structure and its subsequent repetition, detached from any theoretical implications.

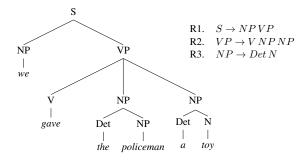


Figure 1: Example Phrase Structure Tree and Rules

2 Methodology

2.1 Structural Annotations

To analyze syntactic structures, corpora must be annotated accordingly. Syntactic annotations result in phrase structure trees (Figure 1), which can be interpreted in terms of their phrase structure rules, e.g. the subphrases that a phrase encompasses. Lexical and unary rules (phrases with a single child) are omitted here, as they don't contribute to the syntactic structure of sentences.

2.2 Analysing Syntactic Alignment

We analyse effects across whole conversations to capture adaptation processes that occur over the long term, following Reitter, 2008. His analysis introduced a method to measure alignment in corpora of natural conversations, eliminating the need for controlled experimental setups: Syntactic alignment takes place if occurences of syntactic structures become more likely, given that they have been used before inside a conversation. Conversely, there must be syntactic alignment if the presence of a syntactic structure is predictive for that structure to have occured beforehand. We use this paradigm, following Reitter's (2008) analysis:

First, to establish which phrase structures are deemed primes and targets, conversations are split in half, removing a small portion in the middle to eliminate short-term priming effects. Phrase structure rules from the remaining halves form two sets of rules for each conversation. We will refer to the set of the first half as PRIME and to the second as TARGET. For every rule in TARGET, we check whether that rule has been uttered by the other speaker in PRIME and encode the presence in a binary variable *Prime*. To establish whether such occurences are predictive for repetitions inside a conversation, we choose a control by sampling from another randomly chosen conversation, check-

ing whether the rule is in its PRIME. Datasamples capture this difference in another binary variable *SameConversation*. This process leads to two samples for each rule in TARGET of every conversation. Fitting a mixed effects logistic regression, we check whether there is an effect of *SameConversation* on *Prime*. A positive effect is only expected if there is syntactic alignment.

The analysis further includes the logarithmic frequency of rules across all conversations (ln(Frequency)) and the logarithmic size of PRIME (ln(Size)), the number of words uttered by the speaker sampled from. Rules that appear only once are excluded.

The analysis improves on Reitter's (2008) approach in two crucial aspects: First, the sampling process limits itself on the other speaker of every conversation, eliminating effects that solely stem from speaker idiosyncrasies. Second, the analysis directly includes the size of PRIME that was sampled from, which has definite impact on the likelihood of a rule to be present.

3 Verifying on Human Conversations

To verify the adapted analysis and to reproduce Reitter's (2008) findings, we first apply it to a dataset of human-human conversations. We use the Switchboard Corpus (Marcus et al., 1994) which consists of 650 annotated telephone conversations. The analysis follows the method described in section 2.

The mixed effects logistic regression was applied using the generalized linear mixed models (GLMM) of python's pymer4 package, including a random intercept for speakers and a random slope for *ln(Frequency)*. Outliers, rules with a frequency > 12000, were excluded. Results are shown in Table 1.

3.1 Results: Switchboard

As is expected, ln(Frequency) ($\beta=1.174, p<0.001$) and ln(Size) ($\beta=1.402, p<0.001$) largely increase the odds of Prime. SameConversation (beta=0.228, p<0.001) has a significant positive effect, supporting the findings of Reitter, 2008, although with slightly lower values ($\beta_{Reitter}=1.064, OR_{Reitter}=2.90$). The interaction between ln(Frequency) and SameConversation ($\beta=-0.101, p<0.001$) indicates that alignment is stronger for less frequent syntactic structures. The interaction of ln(Frequency) and ln(Size) ($\beta=0.068, p<0.004$) is neglegible.

	β	SE	OR	z	P > z
Intercept	-2.927	0.018	0.054	-158.847	0.000
ln(Frequency)	1.174	0.008	3.184	143.182	0.000
SameConversation	0.228	0.023	1.201	9.950	0.000
ln(Size)	1.402	0.033	3.804	41.921	0.000
ln(Frequency):SameConversation	-0.101	0.010	0.885	-9.757	0.000
ln(Frequency):ln(Size)	0.068	0.015	1.041	4.699	0.004

Table 1: The regression model for the Switchboard Corpus. Fixed effects, except *SameConversation*, are centered. The model with the lowest AIC was taken ($\Delta AIC > 6$ compared to the second-best model).

3.2 Preliminary Discussion

The analysis captures alignment effects in human conversations, verifying the results found in Reitter, 2008 on a different dataset and with a refined sampling method. The lower effect of *SameConversation* can be largely explained by this adaptation: Only sampling from the other speaker reduces the chances of finding a prime by more than half.

Adding on previous findings, the results underpin that alignment happens in-between speakers of conversations that are not goal-oriented. There are limitations, specifically concerning different topicality across conversations, which could be hypothesized to impact a speaker's used syntax. A discussion of this can be found in ??, as the focus here is on LLM-generated conversations, where topicality can be mostly controlled.

4 Experiment 1: Long-Term Syntactic Alignment in LLMs

4.1 Dataset Generation

Identical to the analysis on humans, the same analysis was run on conversations generated using OpenAI's GPT-40. Existing datasets proved to be unsuitable for two main reasons: Datasets containing conversations between LLMs contain interactions that are too short to analyze long-term effects. Datasets of human-LLM interactions are much too diverse and far from natural conversations to be suitable.

Conversations were generated by pairing different agents. Agents share a system prompt that instructs them on the conversational task. Each agent is further given a unique language specification to vary their individual syntax Reference Prompt templates. Reference the differences in their syntax (jsd matrix). Their responses are iteratively used to prompt the other agent, gradually building the context of a conversation. The process is run until a certain number of words is reached.

Overall 17 agents were created using ChatGPT.

Those agents were cross-matched to create a total of 136 conversations with unique pairings, out of which 12 were excluded as they ended in repeating patterns. All conversations have the same topic: "What makes a day a good day?". The remaing 124 conversations were used for the analysis.

4.2 Method

The analysis is described in section 2. The sampling process was adapted to exclude sampling from identical agents of other conversations. Outliers, rules with a frequency > 2000, were excluded. The results are shown in Table 2.

4.3 Results

Same Conversation has a significant positive effect on Prime ($\beta=0.198,\ p<0.001$), increasing the odds by around 1.2. As is expected, ln(Frequency) and ln(Size) also show strong positive effects. Similar to human conversations, ln(Frequency): Same Conversation has a negative effect on Prime, indicating that alignment is stronger on less frequent rules. The interaction between ln(Frequency) and ln(Size) deviates from the results found for human conversations with a significant positive effect ($\beta=0.266,\ p<0.001$).

4.4 Discussion

The results show that LLMs exhibit syntactic alignment over long periods, indicating that they adapt to the syntax present in their input. Clearly, the mechanism in LLMs for text production is different from humans, making the results highlight a shared feature, which appears rather coincidental than by any shared mechanism.

The data was generated on the instruction of having a conversation, which potentially induces this behaviour, as conversational data of humans follows this pattern. Further analysis is needed to explore, whether this behaviour reflects a bias in their training data, which is left for future directions.

	β	SE	OR	z	P > z
Intercept	-2.031	0.048	0.131	-42.488	0.000
ln(Frequency)	1.275	0.028	3.580	45.582	0.000
SameConversation	0.198	0.056	1.219	3.538	0.000
ln(Size)	1.175	0.107	3.240	10.972	0.000
ln(Frequency):SameConversation	-0.146	0.035	0.864	-4.204	0.000
ln(Frequency):ln(Size)	0.266	0.062	1.305	4.297	0.000

Table 2: The regression model for the GPT-4o-generated conversations. Fixed effects, except *SameConversation*, are centered. The model with the lowest AIC was taken ($\Delta AIC > 4$ compared to the second-best model).

The focus of the second experiment will be on how LLMs adapt their syntax over the course of a conversation.

5 Experiment 2: Progression of Syntactic Alignment in LLMs

5.1 Method

To explore the process of alignment throughout a conversation, we analyze the discrete distributions of used syntactic structures. We use the Jensen-Shannon Divergence (JSD) as distance measurement between two different agents, modelling how the syntactic similarity of their languages evolves.

For this analysis, a conversation is split into multiple sections of the same sizes. For each section, the respective distribution of rules for each agent is extracted to calculate the Jensen-Shannon Divergence between them. This results in a series of similarity measurements over the course of the conversation.

For accurate rule distribution approximations across sections, sufficient data needs to be available. The single conversation was therefore run for 520 times, keeping the agents and the topic of conversation identical. Out of these 520 conversations, 14 were excluded due to repeating patterns. The remaining 506 conversations were used for the analysis.

5.2 Results and Discussion

Figure 2 shows that the probability distributions of agents become progressively closer across splits, suggesting a continues process of alignment. Most alignment happens primarily between the first and second splits, which suggests that agents align their language predominantly on just a few initial exchanges. This behaviour is expected for continuous convergence of two approximating values: The further they are apart, the larger the change. It should be noted, however, that the JSD naturally follows a non-linear decay (Lin, 1991). A further analysis

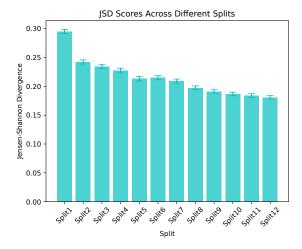


Figure 2: Jensen-Shannon divergence scores between two agents across splits of conversations. Values are averaged over 100 bootstraps of 506 conversations. Errorbars show their standard deviation.

would be required to determine the exact decay rate for the alignment process.

Nonetheless, we see clear evidence that LLMs not only adapt their syntax, but also that this effect becomes stronger with increasing context. Overall, these findings align with the results from section 4.

6 Conclusion

We have shown that LLMs adapt to the syntax of their input when prompted to hold a conversations. Unlike humans, who exhibit variability far from stochastic behavior (Kilgarriff, 2005), the findings support that LLMs operate in a much more deterministic way. While short-term and long-term alignment effects in humans are thought to arise from distinct mechanisms (Reitter and Moore, 2014, see discussion in Rasenberg et al., 2020), the results underpin the intuition that short-term effects, like those reported in Cai et al., 2024, are driven by the same principles as long-term alignment in LLMs; LLMs are not only influenced by the semantics of their input vectors, but also by the order in which these token embeddings appear.

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A Example Appendix

This is an appendix.