Constraining Remote River Discharge Estimation Using Reach-Scale Geomorphology

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Key Points:

* Discharge prediction accuracy is substantially increased by improving the quality of prior river knowledge, tested on both SWOT-simulated data and Landsat imagery
* Prior river knowledge is defined using unsupervised learning and bespoke expert methods
* River discharge for 1984-2013 is successfully retrieved using only river width for over 7,500 reaches in the Canadian Arctic

**Keywords:** remote sensing, river discharge, fluvial geomorphology, Arctic hydrology, McFLI

Abstract

Advances in global remote sensing and the upcoming launch of the NASA Surface Water and Ocean Topography (SWOT) satellite point towards global remote sensing of river discharge. Existing discharge algorithms rely on ‘prior river knowledge’ to infer parameters not directly measured from space. To our knowledge, no existing algorithm explicitly accounts for differences in geomorphology and hydraulics to generate this prior knowledge, despite existing in situ training data and sophisticated global geomorphology work. Here, we show that discharge estimation is improved by parameterizing each river based on its geomorphology. Using over 370,000 in situ hydraulic observations for training data, we test a) unsupervised learning and b) an ‘expert’ method to assign these hydraulics to rivers via remote sensing. We then estimated river discharge in two disparate settings using the Bayesian At-many-stations hydraulic geometry Manning’s (BAM) discharge algorithm: 1) from Landsat data on more than 7,500 rivers in Canada’s Mackenzie River basin and 2) on simulated SWOT data for 19 rivers. Both prior generation methods yielded considerable improvement in discharge prediction, with a mean improvement in the Nash-Sutcliffe Efficiency of 0.64 in the Mackenzie River and 0.31 in the SWOT rivers. Further, 98% of Mackenzie rivers and 79% of SWOT rivers either maintain or improve performance. We conclude that BAM, and perhaps other discharge algorithms, improves considerably solely by parameterizing by river rather than from global parameters. This prior information already exists and is easily mapped to rivers at the global scale, thus paving the way for improving future discharge estimates.

1 Introduction

In recent decades, remote sensing (RS) of rivers has flourished as a sub-field within fluvial geomorphology and hydrology. At the global scale, RS of rivers is changing current perceptions of rivers and their role in the earth system: globally modeled hydrography at fine-spatial scales (Lehner et al. 2008; Yamazaki et al. 2019), daily runoff routed through almost 3 million river reaches over 30 years (Lin et al. 2019), assessments of rivers and climate (Yang et al. 2020), water quality (Ross et al. 2019), surface area (Allen & Pavelsky, 2018), and hydrological connectivity (Grill et al. 2019) have all debuted recently. These examples, along with similar recent work quantifying global fluvial geomorphic patterns (Chen et al. 2019; Frasson et al. 2019), suggest that RS is coming of age in its ability to provide global scale data that honors local differences in rivers. These ideas will be further explored with the launch of the Surface Water and Ocean Topography (SWOT) satellite in 2021, which is expected to provide measurements of water surface elevation and extent at unprecedented spatial and temporal resolutions (Biancamaria et al. 2016).

A particular subset of this literature is showing that global RS of river discharge (RSQ) is presently possible with some gauging information in hand and should be globally possible in ungauged basins in the near future (Gleason and Durand, 2020). In basins with stream gauges or extensive field-measurements, RSQ approaches calibrate RS to local channel hydraulics (e.g. Brackenridge et al. 2007; LeFavour & Alsdorf 2005; Pavelsky, 2014; Pavelsky & Smith, 2009; Tarpanelli et al. 2013) or introduce RS data into hydrologic or hydraulic models (e.g. Bjerklie et al. 2005; Chandanpurker et al., 2017; King et al. 2018; Lin et al. 2019; Neal et al. 2009; Silvestro et al., 2015; Siquera et al., 2018; Zhang et al., 2016). These approaches (i.e. merging in situ and RS data) yield good predictive accuracy and can extend existing gauge records in space and time. In ungauged settings however, there are no gauge records to extend. Ground-based knowledge would improve RSQ accuracy in these scenarios, but in lieu of such information these methods must produce reasonably accurate results without relying on in situknowledge (Gleason & Durand, 2020). In ungauged settings, standard practice is again to introduce RS data into hydrologic models (e.g. Emery et al 2018; Sun et al. 2015) or hydraulic models (e.g. Andreadis et al. 2007; Biancamaria et al. 2011; Durand et al. 2008; Yoon et al. 2012). The most recent and sophisticated methods for assimilating RS into hydraulic models (Oubanas et al. 2018a; b) are highly accurate in ungauged settings but computationally burdensome for global application (Gleason & Durand, 2020).

A recent branch of RSQ has emerged with global application, SWOT, and ungauged basins in mind. This approach is termed Mass Conserved Flow-Law Inversion or McFLI (Gleason et al., 2017). McFLIs assume a river reach is mass conserved and then inversely solves for the unknown parameters in a flow law given some set of RS observations. This means that no hydrologic or hydraulic model is necessary and dischargeis exclusively estimated from RS by inverting basic geomorphic theories. McFLIs are therefore defined by their flow laws. To date, all published McFLIs have used either Manning’s equation (Bjerklie et al. 2018; Durand et al. 2014; Garambois & Monnier 2015; Hagemann et al. 2017; Sichangi et al. 2018) or at-many-stations hydraulic geometry (AMHG; Gleason et al. 2014; Hagemann et al. 2017; Feng et al., 2019) as a flow law, where AMHG reflects relationships between at-a-station hydraulic geometry (AHG) parameters along a river’s course (Gleason & Smith, 2014; Brinkerhoff et al., 2019). Regardless of the geomorphology driving McFLI, all McFLIs suffer from equifinality, as multiple sets of flow law variables can solve the inversion in this ill-posed estimation problem (Garambois & Monnier, 2015).

At the core of McFLI inversion is a reliance on initial guesses for parameters not observable from RS, termed ‘priors’ in Bayesian parlance. For example, to invert Manning’s equation, priors are generally needed for discharge, channel roughness, and channel cross-sectional area. These priors have previously been estimated from global hydrologic model output (Durand et al. 2016; Bonnema et al., 2016; Feng et al., 2019) and/or from external training data of geomorphic and hydraulic variables (e.g. Canova et al. 2016; Hagemann et al., 2017). Priors take the form of a probability distribution of these RS-unobservable parameters. If in situ data are available, then priors have extremely low variance: for example, we might know channel roughness a priori. The less certain we are about a parameter a priori, the wider the distribution. Durand et al. (2016) found that McFLIs are sensitive to their priors in a test of five McFLIs on simulated SWOT observations (as SWOT has not launched, McFLIs are tested on ‘SWOT-like’ simulated data). In a similar comparison of algorithms using simulated rivers, Bonnema et al. (2016) found that AMHG inversion is particularly sensitive to its priors, and Tuozzolo et al. (2019a) found that McFLI estimation bias is sensitive to the discharge prior in the first test of McFLIs from airborne Ka-band interferometry measurements of rivers. Finally, Andreadis et al. (2020) found that an expert classification of river planform morphology used to define channel shapes a priori yielded improved discharge prediction. This is logical- the more we know about a river, the better we can invert discharge.

These findings indicate that priors play a pivotal role in McFLI discharge accuracy, yet despite the geomorphic foundations of the McFLI paradigm, present McFLIs have used the same set of geomorphic priors for every river on earth, regardless of differences in planform geometry, hydraulics, and river size. This means that McFLIs use the same expectations for, e.g., Manning’s *n*, width/depth ratios, and AHG exponents in a braided river and a canal. No study to date has explicitly explored the sensitivity of McFLIs to the *quality* of their priors, where ‘quality’ refers to the hydraulic and geomorphic representativeness of a prior for a given river. High-quality priors would give accurate, river-specific knowledge that closely approximates field measurements and contextualizes discharge inversion to the specific hydraulics of the river. While Andreadis et al. (2020) did briefly address prior quality, their method was only applied to the channel shape prior and, more importantly, was not scalable globally. Further, Lin et al. (2019) recently developed a global modeling framework to provide global reach-scale priors on river discharge. However, their work was limited to discharge, and globally implementable priors on channel hydraulics are still underdeveloped.

There are also troves of existing in situ data that can be mapped onto these global products to inform McFLIs. The United States Geological Survey (USGS) makes periodic field measurements of discharge and other hydraulics to calibrate the rating curves for their stream gauges, and all of these are meticulously archived and freely available. These measurements are easily joined to existing hydrographic datasets, thereby providing reach-scale geomorphic attributes for each hydraulic measurement. For example, Brinkerhoff et al. (2019) joined over 730,000 USGS in situ measurements to the National Hydrography Dataset (NHD- USGS, 2019), building on earlier work (e.g. HYDRoSWOT- Bjerklie et al. 2020; Canova, et al. 2016).

This proliferation of in situmeasurements is welcomed but is largely useless for ungauged RSQ if we cannot map these measurements to rivers using RS. Thus, to be useful for McFLI, datasets of in situ measurements must be mapped to specific reaches. Hagemann et al (2017) provide one such approach, where they trained simple regression models on HYDRoSWOT to predict priors from just river width. This is a ‘global’ approach, where all rivers in the dataset are used to predict priors without attempting to differentiate between rivers, following Bjerklie et al. (2003, 2005). A potential alternative that does address geomorphic differences is statistical classification. Distinct river classes, or types, should exhibit fundamentally different hydraulics that could be assigned to rivers using RS observations as predictors. By assigning representative values from the in situdatasets to each river type, global hydraulic priors are reduced to look-up tables of ballpark hydraulic estimates for each river type. However, scaling these river types to every river in a network poses a significant challenge (e.g. Guillon et al. 2020).

Given this context, we hypothesize that McFLI performance will be improved by acknowledging geomorphic differences between rivers and assigning different priors to different rivers, building on recent global RS of rivers and decades of detailed in situ work mapped to global rivers via RS. Further, we hypothesize that this intervention alone should be sufficient to improve accuracies, and no new RS-observations or updates to McFLI algorithms are needed to make better predictions of discharge. We use the Bayesian-AMHG-Manning’s (BAM) algorithm (Hagemann et al. 2017) as a case study for McFLIs. We provide BAM with improved prior river knowledge by 1) obtaining priors from the largest known repository of in situ data joined to hydrography (Brinkerhoff et al., 2019) and 2) constructing a river classification framework to reduce hydraulic variation to geomorphically distinct river types. We test these interventions to produce river discharge from Landsat observations on 7,522 river reaches in the Mackenzie River basin (validated at 95 gauges) and from SWOT-simulated data representing 17 rivers from Durand et al (2016). Ultimately, we provide a method for improving discharge estimation that is globally scalable using only RS observations and could theoretically be applied to any river on Earth large enough to see from space.

2 Data

The goal of this study was to improve McFLI accuracy by improving the quality of its priors. This required creating three distinct datasets: 1) in situ measured training data for generating new prior river knowledge (Section 2.1), 2) RS observations for RSQ in the Mackenzie River basin (Section 2.2), and 3) modelled RS observations for RSQ using SWOT-simulated rivers (Section 2.3).

**2.1 Hydraulic dataset used for prior river knowledge**

Our first task was to gather a comprehensive dataset of measured river hydraulics to generate training data. We started with Brinkerhoff et al. (2019)’s dataset. This dataset merges USGS surface water measurements (NWIS- https://waterdata.usgs.gov/nwis/measurements) of channel discharge and geometry with the National Hydrography Dataset (NHD- https://www.epa.gov/waterdata/nhdplus-national-hydrography-dataset-plus) and filters the data to include only those rivers that have at least six stations of 20 measurements each to derive that river’s AMHG. Brinkerhoff et al. (2019)’s dataset ultimately contains 730,072 unique measurements of hydraulics. For the present study, we further filtered their data to exclude out impossible measurements (i.e. *Q* < 0) and measurements identified by the USGS as ‘poor’, yielding 372,109 unique in situ hydraulic measurements at 1,409 cross-sections in 190 rivers in the continental United States (Figure S1). We added to these data by calculating river and landscape geomorphic variables from the NHD for each observation in the training data. We then reduced this dataset to ‘representative hydraulics’ for each cross-section such that they did not vary with river stage. These variables were calculated via taking the median and sample variance of the observed values at each cross-section. This amounted to the 24 features in Table 1, which were the variables available to our ultimate training dataset used to differentiate rivers.

**Table 1.** The 24 geomorphic variables used to define river types in this study.

|  |  |  |  |
| --- | --- | --- | --- |
| **Parameter** | **Symbol** | **Description** | **Source** |
| Median Channel Width | W | Observed channel width | measured- NWIS\* |
| Median Channel Velocity | V | Observed mean channel velocity | measured- NWIS\* |
| Median Channel Depth | D | Observed mean channel depth | measured- NWIS\* |
| Slope | S | Observed slope | measured-NHD\*\* |
| Stream Order | SO | Strahler stream order | calculated- NHD\*\* |
| Distance Downstream | Dd | River kms from headwater | calculated- NHD\*\* |
| Sinuosity | Sn | Deviation from a path of maximum downslope | calculated- Wieczorek et al. (2018) |
| Hydraulic Residence Time | HRT | Travel time spent in river reach or waterbody (at mean annual flow) | calculated- NHD\*\* |
| USGS Waterbody Type | WB | River/waterbody | calculated- NHD\*\* |
| Channel Shape | r | Geometrically-defined shape parameter (Dingman, 2007) | calculated- NWIS\* |
| Drainage Area | DA | Upstream catchment area | calculated- NHD\*\* |
| Median Froude Number | Fr | Measure of hydraulic flow regime in open-channel flow | calculated- NWIS\* |
| Median Shear Stress |  | Force of moving water against channel bed | calculated- NWIS\* |
| Median Unit Power |  | Energy dissipation against riverbanks, normalized by channel width | calculated- NWIS\* + NHD\*\* |
| Minimum Grain Size Entrained | De | Smallest bed material entrained and transported (Henderson, 1955) | calculated- NWIS\* + NHD\*\* |
| Median Manning’s n | n | Roughness term for Manning’s equation | calculated- NWIS\* |
| Variance of Cross-Sectional Channel Width | Var(W) | Observed channel width | measured- NWIS\* |
| Variance of Cross-Sectional Channel Velocity | Var(V) | Observed mean channel velocity | measured- NWIS\* |
| Variance of Cross-Sectional Channel Depth | Var(D) | Observed mean channel depth | measured- NWIS\* |
| Variance of Cross-Sectional Froude Number | Var(Fr) | Measure of hydraulic flow regime in open-channel flow | calculated- NWIS\* |
| Variance of Cross-Sectional Shear Stress | Var() | Force of moving water against channel bed | Calculated- NWIS\* |
| Variance of Cross-Sectional Unit Power | Var() | Energy dissipation against riverbanks, normalized by channel width | calculated- NWIS\* + NHD\*\* |
| Variance of Cross-Sectional Minimum Grain Size Entrained | Var(De) | Smallest bed material entrained and transported (Henderson, 1955) | calculated- NWIS\* |
| Variance of Cross-Sectional Manning’s n | Var(n) | Roughness term for Manning’s equation | calculated- NWIS\* |
| \*https://waterdata.usgs.gov/nwis/measurement |  |  |  |
| \*\* https://www.epa.gov/waterdata/nhdplus-national-hydrography-dataset-plus |  |  |  |

This dataset is built exclusively for the United States, and we acknowledge that the continental US is not reflective of all global landscapes. Further, we have also limited our dataset to those rivers where there are six or more stations to parameterize AMHG. With that said, this is to our knowledge the largest possible freely available fluvial geomorphology dataset that covers a wide range of geographies from temperate and semi-arid climates to deserts and sub-tropical regions, and these data represent a best-case scenario for our analysis. Notably, our training data are missing observations in equatorial and Arctic/subarctic regions. With the aim of improving global RSQ at the center of this study, we chose to use one of these poorly represented regions as a case study: the Mackenzie River basin in the Canadian Arctic.

**2.2 RS data for the Mackenzie River basin**

The Mackenzie River basin is representative of Arctic hydrology and a good test for applying our new prior river knowledge at a large network scale. We use the Mackenzie as a test basin using real satellite data (as opposed to modelled SWOT data, Section 2.3). To do so, we needed RS observations of river widths to drive the BAM algorithm. We extracted multi-temporal widths for 228,659 cross-sections in the basin following Feng et al. (2019, Text S1). In short, we (1) used the MERIT hydro river network product (Lin et al. 2019), which was vectorized from flow accumulation data by Yamazaki et al. (2019), to define river centerlines and generate width measurement stations at varying intervals along the centerlines based on river widths (Yamazaki et al. 2019- Table S1). (2) We constructed orthogonal cross sections for every station following Yang et al. (2019), and (3) these orthogonal lines wsere used as inputs to RivWidthCloud, an automated algorithm for river width extraction using the Google Earth Engine (Yang et al. 2019). After filtering for clear-sky images, RivWidthCloud classifies a pixel as water using an algorithm detailed in Yang et al. (2019) and Text S1. The classified water mask is intersected with the orthogonal lines to estimate wetted width at each cross-section. We measured widths this way at 228,659 cross-sections from 7,522 Landsat-visible reaches in the Mackenzie River Basin using Landsat imagery from 1984-2013. Finally, these cross-sectional river widths were binned by river reach using the MERIT Hydro river network (Lin et al. 2019) to define the reaches. This was done to later estimate discharge for each reach (Section 3.3).

For validation, we collected in situ daily discharge data for the Mackenzie River basin for all time periods that gauges were operational form 1984-2013 from the Water Survey of Canada (WSC- https://wateroffice.ec.gc.ca/mainmenu/real\_time\_data\_index\_e.html). These gauge data are analogous to USGS gauging data provided by the NWIS. WSC gauge stations were linked to our hydrography based on their geospatial locations (distance within 500 m of a centerline) and drainage areas (i.e., difference within +/-10% of a hydrography reach), resulting in 327 validation gauges. Of these 327 gauges, 108 coincide with Landsat-visible reaches and these were ultimately used for validation. Together, these RS width and completely independent gauge validation data provide a platform to test McFLI improvements in an actual application setting, with all inherent RS errors present in the data. We also use a ‘perfect’ scenario to test our improvements without the influence of real-world errors, described next.

**2.2 SWOT-simulated rivers**

Once SWOT launches, Manning’s-based McFLIs can be run globally from SWOT’s novel simultaneous observations of river width, height, and slope. However, existing SWOT-like data are limited to an airborne Ka-band InSAR, which is currently available for less than five rivers globally with only a handful of observations each (Tuozollo et al. 2019a), or painstaking data fusion of altimetry and imagery (Bjerklie et al., 2018). Therefore, authors typically use simulated SWOT data to test Manning’s-based McFLIs. A SWOT simulator has been built by the Jet Propulsion Laboratory (JPL) to simulate the errors that are expected to come with actual SWOT data (namely, radar layover errors and random noise), and has been used to benchmark McFLIs before (e.g. Oubanas et al. 2018). However, for this study we are interested purely in algorithm performance and so seek a test scenario that assumes perfect measurement conditions with no introduced errors. Satisfying data to achieve this are simple reach-averaged hydraulic model outputs with water surface slopes and river widths labelled as ‘RS observations’. For this test, we used 19 test rivers from Frasson et al. (2019) which were developed for benchmarking McFLIs by Durand et al. (2016) and outlined in Table S2. These rivers cover the United States, Canada, Great Britain, France, Bangladesh, and Italy. Median discharge ranged from 62-14,199 m3/s and ‘observation’ windows ranged from 22-365 days over 3-16 reaches. Simulated rivers mimic perfect measurement conditions and represent the best-case scenarios of what SWOT will provide to hydrologists. Specifically, these model rivers provide ‘observations’ of river width and water surface elevation, which are used to calculate water surface slope.

**3 Methods**

Our experimental design is as follows. For each of our two datasets, we ran a default McFLI as published by Hagemann et al., (2017): the ‘Bayesian-AMHG-Manning’s algorithm’ (BAM). This establishes the status quo of McFLI retrievals for one algorithm. We then ran the algorithm using new classified prior information, here termed ‘geoBAM’. geoBAM’s new prior river knowledge refers to the two interventions previously outlined: 1) using a larger and more geomorphically-varied dataset to obtain prior knowledge (Section 2.1), and 2) classifying these prior data into discrete river types (Sections 3.1, 3.2).

**3.1 River Classification**

Recall our hypothesis that defining priors for specific river types will improve McFLI performance. To test this, we constrain prior river knowledge using expert and unsupervised statistical classifications to extract geomorphically distinct river types.

Statistical learning is generally binned into unsupervised and supervised approaches (James et al. 2013; Hastie et al. 2009). Both use a suite of variables extracted from a training dataset to define a feature space and then identify patterns in that space. Unsupervised learning identifies these patterns and then clusters observations solely from the training data given user guidance only on algorithm parameters, while supervised learning uses user-defined predictors to model ‘target variables’ that are known a priori. The familiar concepts of regression (if the target variable is continuous), or classification (if it is discrete) are forms of supervised learning. In this study, we lack a priori river types in the training data to take a supervised approach to learning, and so instead we implement both unsupervised learning and an expert classification framework that forces specific knowledge on river geomorphology to guide statistical methods of classification.

As a representative unsupervised clustering approach, we used the ‘density-based spatial clustering of applications with noise’ (DBSCAN: Ester et al. 1996) algorithm. DBSCAN is a density-based clustering algorithm that groups observations in the multi-dimensional feature space using proximity. Distance between points is determined using Euclidean distance. Unlike simpler unsupervised clustering algorithms, DBSCAN does not assume all clusters have a convex shape in the feature space and instead uses density to group observations. This means clusters can be arbitrarily shaped or completely surround other clusters. This also permits DBSCAN to identify ‘noise’ points which are outside of the dense areas of the feature space, differing in practice from other simple unsupervised learning methods (e.g. K-Means clustering will assign every observation to a cluster). The user must provide a minimum number of points for a cluster and a maximum cluster radius, and DBSCAN determines the number of clusters (unlike simpler unsupervised algorithms). We used a standard, ‘elbow’-based approach to choose a maximum cluster radius of 0.5 (Text S2, Figure S2) and chose a minimum cluster size of 5 river cross-sections as a balance of interpretability, within-cluster variation, and number of resulting clusters. We ran DBSCAN on non-dimensional forms of the 24 geomorphic variables in Table 1, yielding 7 clusters in approximately 95% of the cross-sections, with the remaining 5% classified as ‘noise’.

We also developed a bespoke expert classification framework for extracting river types, built specifically such that river width is a predictor of these types. By using principal component analysis (PCA) as a guiding tool, the approach follows similar methods used to extract global hydro-climatic river types (Dallaire et al. 2018) and hydrologic flow regimes (Olden et al. 2012). Here, a PCA was used to dimensionally reduce our dataset and create multivariate, non-dimensional principal components (PCs) responsible for some amount of geomorphic variation across the feature space (and ultimately the United States). We ran a PCA on non-dimensional forms of the 24 geomorphic variables in Table 1 at all cross-sections and selected the three most influential PCs (cumulatively responsible for 54% of the variance in the feature space). In order of most variance explained, these PCs qualitatively represented 1) stream competency (a measure of the size of sediment a stream can transport), 2) longitudinal location along the channel, and 3) variation in velocity/Froude number (Table S3).

There are two parts to the expert classification. In the first, we used PC scores to assist in classifying cross-sections. PC scores were calculated for every cross-section in the training data to locate each cross-section in the PCA subspace (or more formally, linear combinations of the normalized feature values multiplied by their ‘loadings’). Each cross-section has 24 PC scores associated with it. Because the loading vectors, and thus the PC scores, are all normalized to the same global values (James et al. 2013; Hastie et al. 2009), we simply summed the three PC scores for each cross-section corresponding to PCs 1-3. This provides a single value per cross-section, where cross-sections with similar aggregate PC values have similar geomorphology. We then use this metric to classify all cross-sections into river types by segmenting rivers into 15 classes using quantiles of the aggregate PC metric as class thresholds. We subjectively chose 15 classes to explicitly maintain river width as a predictor of river types. Adding more classes obscured differences between classes and broke down the predictive relationship between river type and river width (Figure S3). This relationship is essential, as width is easily obtained from RS and thus allows this expert classification to be mapped to all global rivers (Section 3.2).

The second part of the expert classification framework was designed to parse out unique river geomorphology from the previously defined 15 classes, namely ‘big’ rivers and ‘highly width-variable’ rivers. For some very large rivers (e.g. the Mississippi or St. Lawrence rivers), the training data had very few cross-sections in rivers of similar size and thus hydraulics are ill defined. We defined ‘big’ rivers as those with a mean width greater than 665 meters. This threshold was subjectively chosen using the distribution of river widths for the widest river type (Type 15). We assumed that any further from the median width than 665 meters and the river is no longer well represented by river type 15. We then defined ‘highly width-variable’ rivers as those with a channel shape parameter *r* < 1 (like Andreadis et al. 2020’s definition for braided rivers), which guarantees significant variability in river width for both single channel and multi-channel rivers (Dingman, 2007).

**3.2 Mapping river type from remote sensing**

The goal of this study is to improve ungauged discharge prediction at the global scale. Thus, river types must be assignable to rivers using only RS observations. However, our classifications above are defined using in situmeasurements that are not remotely sensible. This presents a unique supervised classification challenge necessitating width and/or slope (i.e., current synoptically available fluvial parameters) as the sole predictors of the river types. Here, we use ‘river type mapping’ to refer to a final supervised classification where the target variables are our river types and the predictors are river width and/or slope. This is a second classification step applied to both the unsupervised and expert classifications already performed on the in situ data.

For the DBSCAN framework, neither width nor slope were strong predictors of river types. Therefore, we needed a way to map RS observations at the scale of the Mackenzie basin (228,659 cross sections) to the DBSCAN-generated classes (which use no RS data). To do so, we turned to basic supervised statistical learning to assign river types, which has seen some success at the regional scale (Guillon et al., 2020). Using a classic validation-set approach to model training, we trained a multi-class logistic regression classifier on 80% of the training data using the median of cross-sectional widths as the sole predictor. Logistic regression models yield the probability of an observation being assigned some class, conditional on the observations in the dataset (Hastie et al. 2009). While generally used to predict binary classes, multi-class logistic regression is possible, and implemented here using a ‘one-versus-rest’ classifier (Bishop et al. 2006). When tested on the remaining 20% of the data, the classification accuracy was 87%. Thus, we can reproduce our classification, which carries rich in situ prior information, solely from RS.

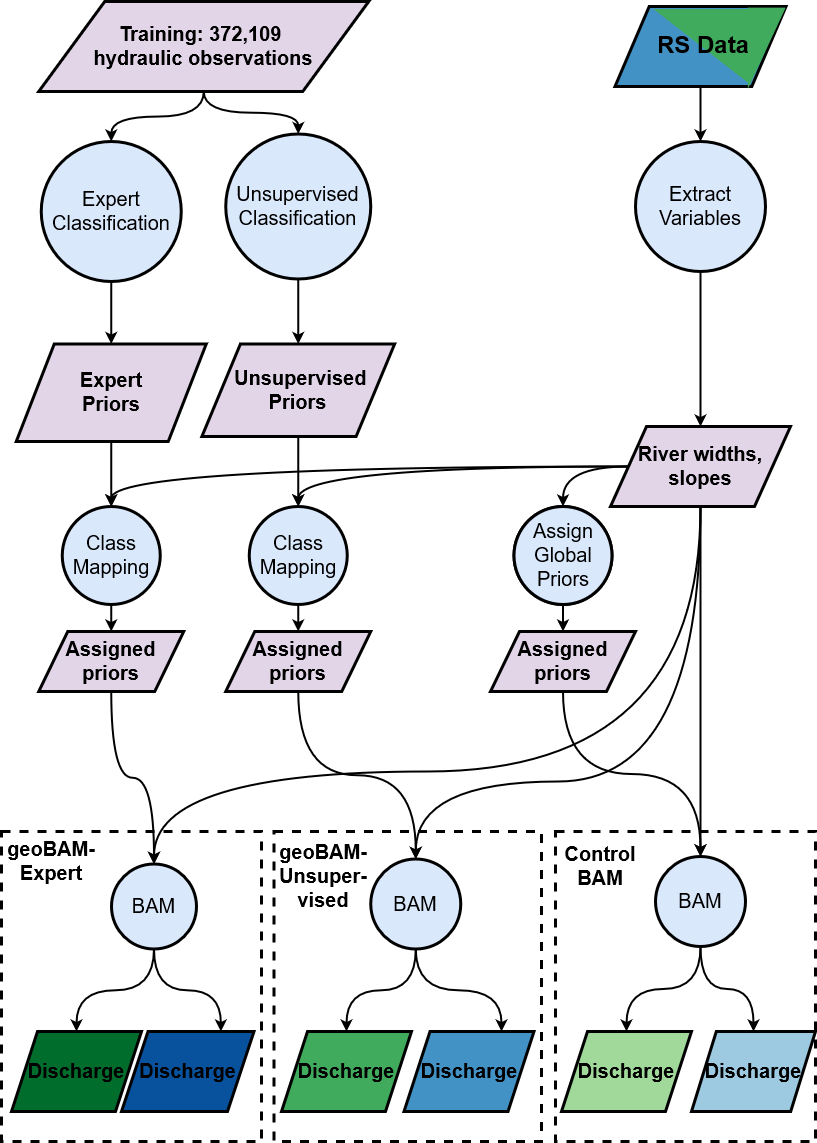
As previously stressed, the expert framework was developed explicitly such that river width was a strong predictor of river type as produced by the PCA. This is confirmed visually in Figure S3. Thus, we assigned river class by proximity of MERIT hydro widths to the characteristic width (i.e., median of expert class river width) in the expert classes. We mapped the ‘highly width-variable’ type to rivers using a minimum standard deviation of at-a-station width > 1.57 meters as the threshold. This threshold was chosen using the SWOT rivers as a guide: rivers with values > 1.57 meters were subjectively determined to be outside of the normal distribution of standard deviation of widths for these rivers (Figure S4).

**3.3 Discharge estimation**

McFLI RSQ was performed using the BAM algorithm (Hagemann et al. 2017). BAM probabilistically estimates discharge via Bayesian inference and a Hamiltonian Monte Carlo sampling scheme. The user chooses to invert Manning’s equation, AMHG, or a combination of both as its flow law. The user also chooses whether to run BAM at every cross-section or to use reach-averaged observations in line with how SWOT will observe rivers. For this study, we ran AMHG at the cross-section scale and ran a ‘switch’ flow law on the reach-averaged SWOT-simulated data. This ‘switch’ always inverts Manning’s equation, and inverts AMHG when it is deemed suitably strong (Text S3). We also updated AMHG’s flow law to reflect new findings on the physical basis of AMHG (Brinkerhoff et al. 2019) and, following recent work on temporally defined roughness terms in McFLIs (Tuozollo et al. 2019b), tested space-varying and space-and-time-varying roughness terms in BAM. We ultimately implemented a space-varying roughness term as a compromise between computational efficiency and predictive accuracy (Text S3, Figure S5).

To run BAM, the user provides width and/or slope RS observations, as well as priors on 34 parameters. These parameters define distributions for six hydraulic variables (as well as discharge, flow law errors, and AMHG’s ‘congruent width’ term) and are formalized within BAM as truncated, lognormal distributions where ) for , using mean (), standard deviation (σ), and upper () and lower bounds () as parameters. The six hydraulic variables are median cross-sectional area (*A0*), bankfull width (*Wb*),bankfull depth (*Db*)*,* Manning’s roughness term (*n*), a channel shape parameter (*r*), and an AHG exponent (*b*). For our tests (see Figure 1 for a flowchart), we used geomorphic river types to redefine the prior river knowledge on the six hydraulic terms in Figure 2 using the river mapping in Section 3.2 to assign a river type to each BAM reach.

Once a river type had been assigned, priors were extracted directly from the training data’s distribution of each hydraulic prior. See Text S4 for how these hydraulic terms were calculated. For ‘big’ and ‘width variable’ rivers with poor representation in our training data, we followed the method outlined in Hagemann et al. (2017)- by using a ‘global’ class that represents all rivers in a single summary from our were trained using our training dataset (Figure S6). For the expert framework, we further accounted for ‘big’ rivers by setting the bounds on some priors to larger values than produced by the global prior (Table S4). The prior estimate on discharge was defined differently for our two tests: for the Mackenzie River basin, we used a stream gauge when available and otherwise used the mean daily discharge estimate from GRADES (Lin et al 2019). For the SWOT-simulated rivers, output from a water-balance model (Wisser et al. 2010) was used in line with Durand et al. (2016).



**Figure 1.** Flowchart detailing our two classifications and experiment design. In the final row of discharge products, green outputs correspond to the Mackenzie River basin test, and blue outputs correspond to the SWOT-simulated rivers. ‘Control’ refers to the default prior generation as published by Hagemann et al (2017), and ‘expert’ and ‘unsupervised’ refer to our two classifications.

**3.4 Validation**

Error metrics (Table 3) to quantify RSQaccuracy followed Hagemann et al. (2017). rBIAS and RRMSE define the range and central tendency of prediction errors, respectively. NRMSE is a normalized variant of RRMSE to account for RRMSE’s high sensitivity to errors in low flow estimation, and NSE represents the amount of variance in the observed data that the model explains. An NSE greater than zero indicates that our model estimates better than guessing mean flow every time. Scores are reported at the river-scale for the SWOT-simulated rivers and at the reach-scale for the Mackenzie River basin.

**Table 3:** Error metrics used in this study.

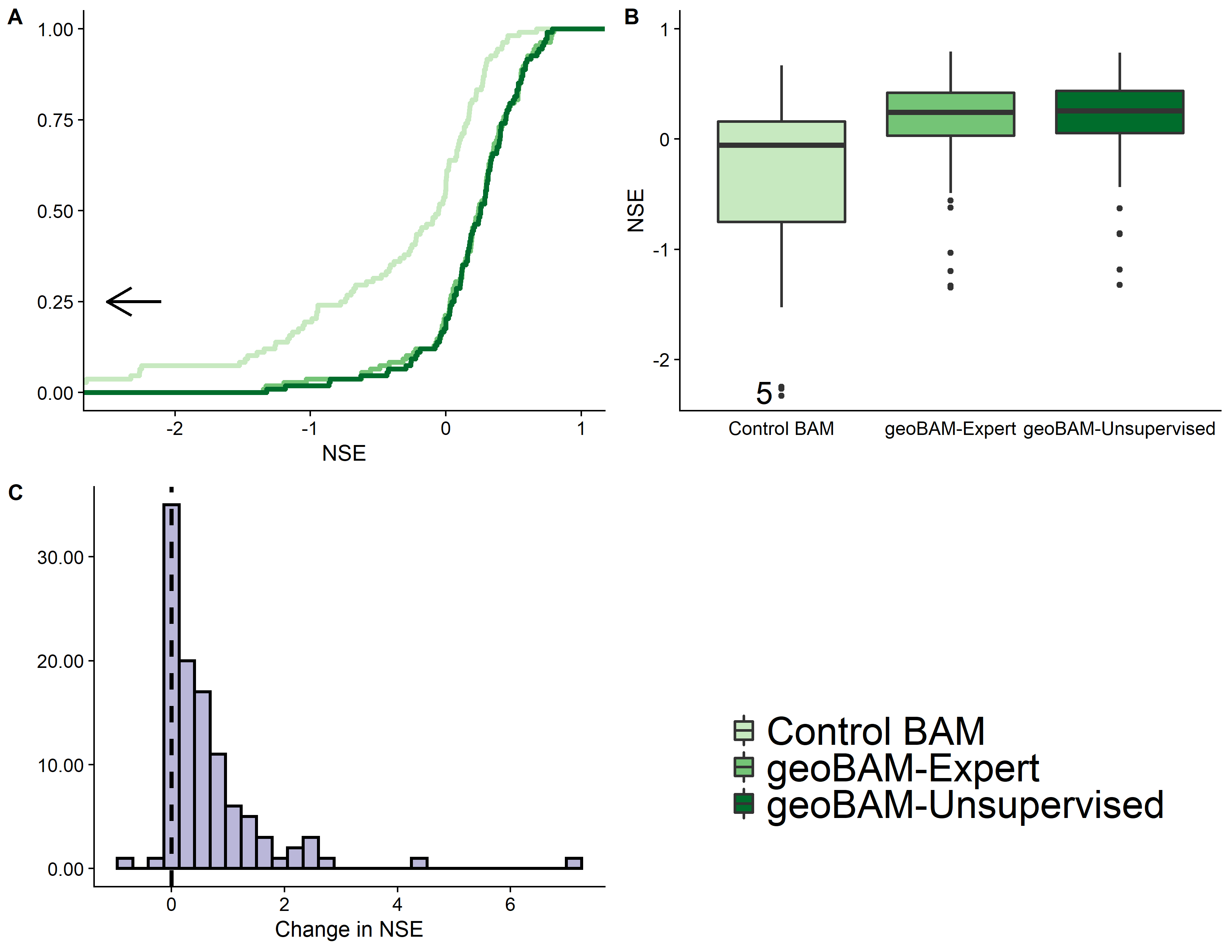
|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Description** | **Abbreviation** | **Definition** | **Ideal Score** | **Possible Range** |
| Relative root-mean-square error | RRMSE |  | 0 | 0 to ∞ |
| Normalized root-mean-square error | NRMSE |  | 0 | 0 to ∞ |
| Relative bias | rBIAS |  | 0 | -∞ to ∞ |
| Nash-Sutcliffe efficiency | NSE |  | 1 | -∞ to 1 |

1. Results

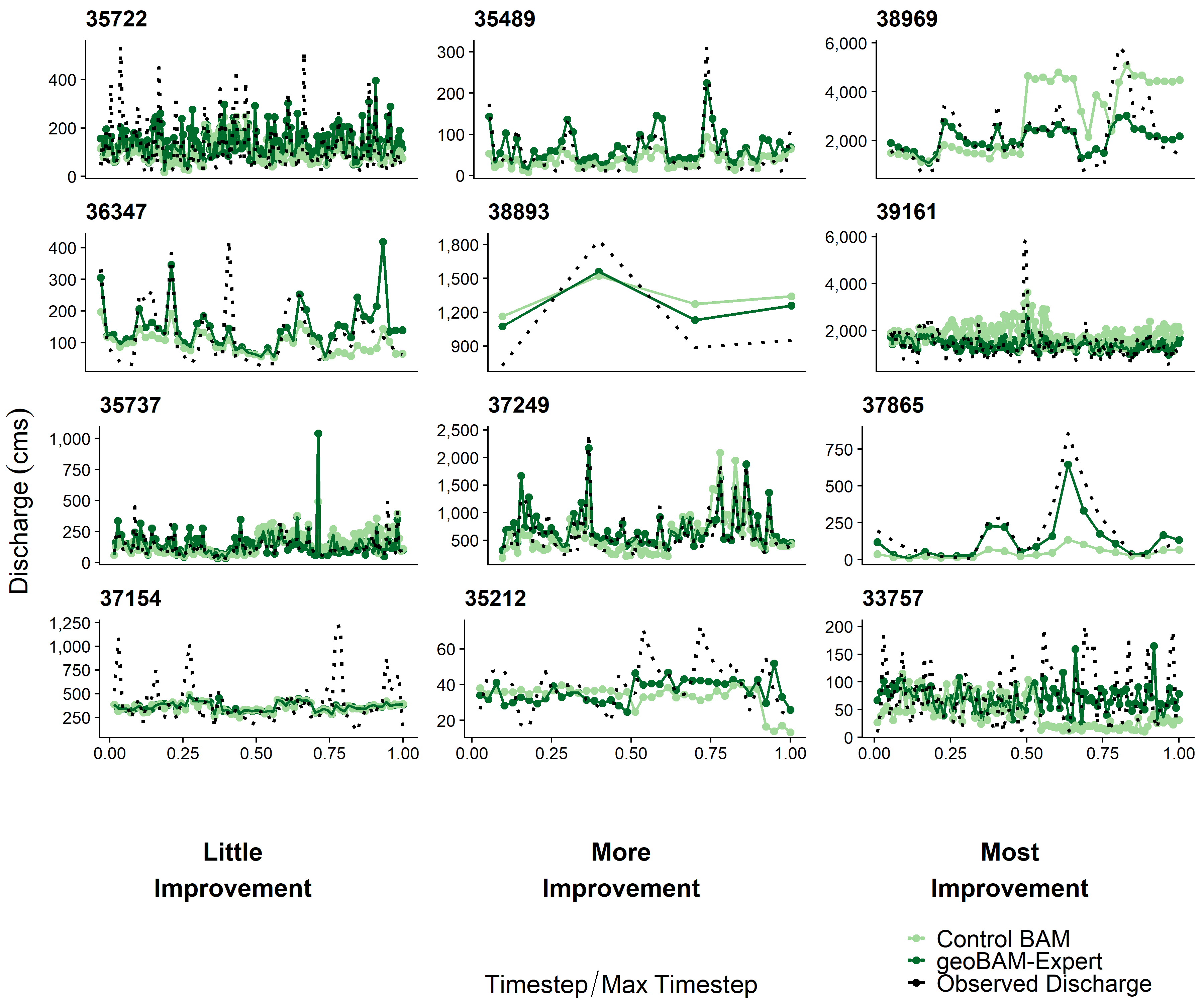
We first look at NSE scores and representative hydrographs for the Mackenzie River basin (Section 5.1), followed by SWOT-simulated rivers (Section 5.2). Then, we compare results across classifications (Section 5.3) and map the Mackenzie River basin with classified streams and NSE improvement to assess spatial patterns in RSQ accuracy (Section 5.4). Finally, we orient these results using additional RSQ error metrics (Section 5.5).

**4.1 Mackenzie River basin**

geoBAM predicted discharges are much improved over control BAM (Figure 3). Despite no new RS observations and no changes to BAM, we have yielded a mean increase in NSE of 0.64 (Figure 3c) across the 108 validation gauges when using geoBAM-Unsupervised. Using the histogram bins to define rivers with functionally no change in NSE (Figure 3c), 71/108 reaches improved NSE and 106/108 maintained or improved NSE. Almost the entire improvement distribution is greater than 0 when we take the difference in NSE between geoBAM-Unsupervised and control BAM, and improvement is often greater than 1.0 (Figure 3a). The largest improvement occurs in reaches that were poorly estimated (approximately NSE < 0) by control BAM. This area of largest improvement is also the only portion of the CDF (Figure 3a) with notable differences between unsupervised and expert variants of geoBAM, with geoBAM-Unsupervised performing slightly better. Control BAM had positive NSE for less than half of the reaches (42%). geoBAM-Unsupervised, however, has a positive NSE in most reaches (80%), and geoBAM-Expert has positive NSE in 79% of reaches. Median NSE across all reaches improved from -0.05 to 0.24 with geoBAM-Expert and to 0.26 with geoBAM-Unsupervised. Finally, the entire distribution of NSE scores is higher and more consistent with geoBAM: the middle 50% of scores increased and the inter-quartile range (IQR) narrowed substantially (from 0.91 to 0.38 for geoBAM-Unsupervised and 0.39 geoBAM-Expert). The minimum geoBAM NSE is also -1.35, which is well within the whiskers for control BAM (compared to control BAM’s -6.63). In sum, geoBAM substantially outperforms BAM in both the magnitude and consistency of NSE scores over all 108 validation reaches.



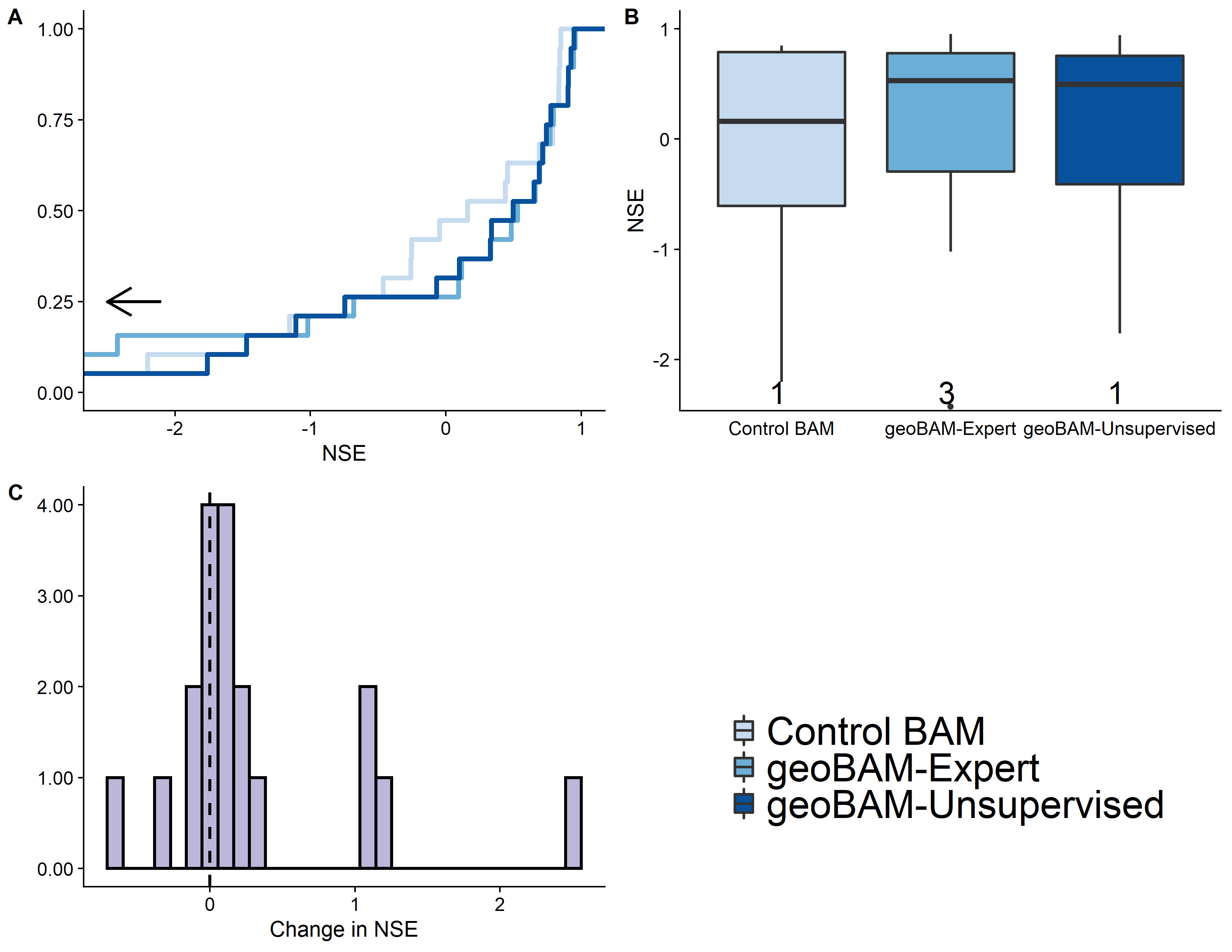
**Figure 3:** NSE improvement for 108 validation reaches in the Mackenzie River basin: A) empirical cumulative density functions (CDFs) of the NSE scores for every reach; B) boxplots of the same results; c) histogram of the change in NSE from control BAM to geoBAM-Unsupervised. Axes are truncated for visualization’s sake. We have provided arrows and number of rivers not plotted when necessary.



**Figure 4.** 12 hydrographs from the Mackenzie River basin: observed discharge (dashed black) is plotted alongside control BAM (light green) and geoBAM-Expert (dark green). Each column features four reaches randomly sampled from the tertiles for NSE improvement from control BAM to geoBAM-Expert.

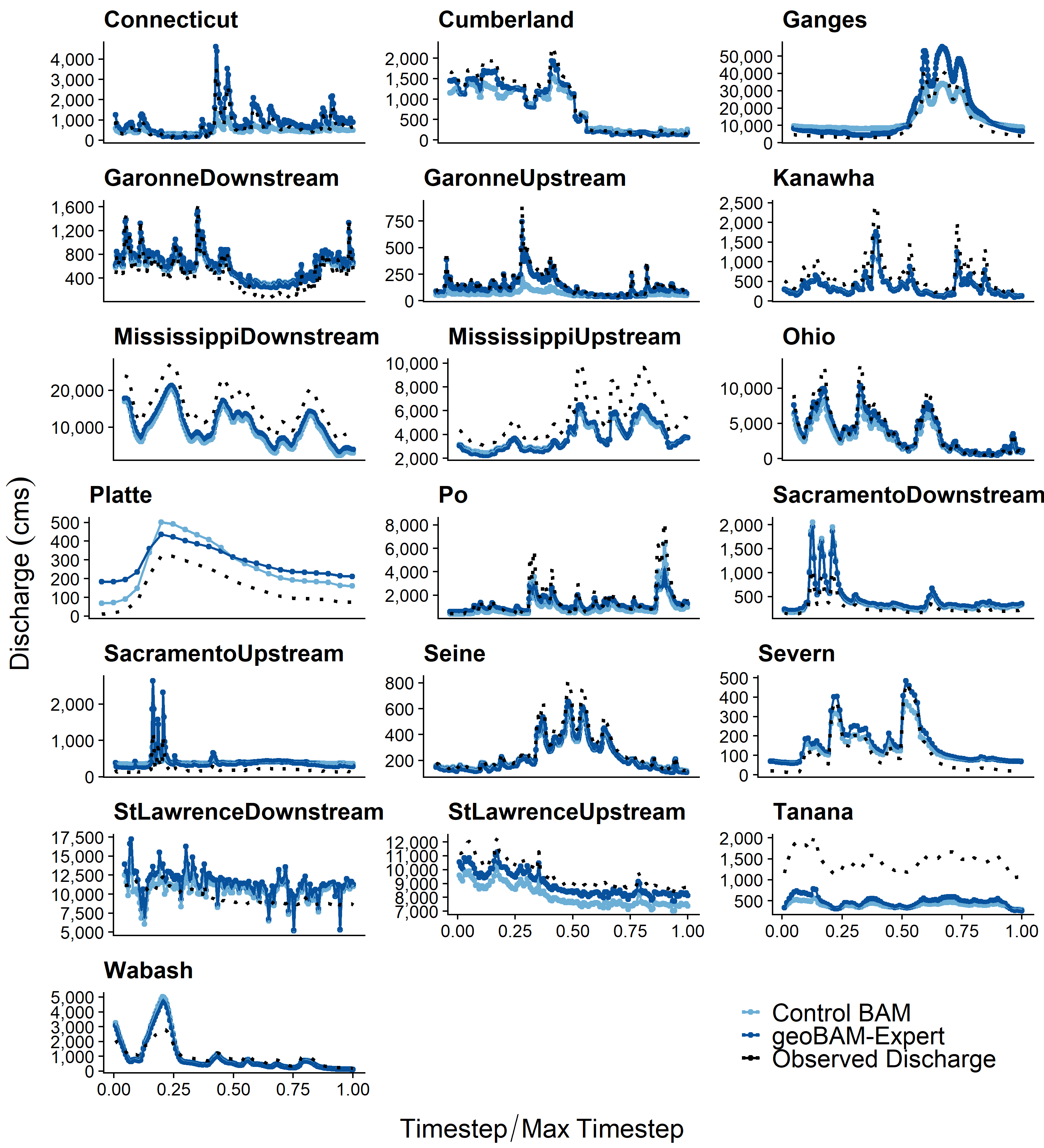
While nearly all validation reaches showed improvement in NSE with respect to control BAM (Figure 3c), there was a range in the magnitude of this improvement, visualized by the hydrographs of predicted discharge (Figure 4). To visualize, we binned all 108 validation reaches into three groups using the tertiles of the distribution of improvement in NSE from control BAM to geoBAM-Expert. We termed these bins ‘little improvement’, ‘more improvement’, and ‘most improvement’ and then randomly selected four reaches from each of these bins for Figure 4. For the reaches with the most improvement (38969, 39161, 37865, and 33757) control BAM could not replicate the observed dynamics of streamflow, while geoBAM-Expert was largely able to reproduce the peak events and baseflow periods present in the observed hydrographs. For reach 37865, both BAM variants modeled baseflow accurately, but only geoBAM-Expert successfully modeled peak flow events. For reaches 38969 and 33757, control BAM’s modeled discharge was marked by periods of no discernable streamflow dynamics and sudden jumps to other flow values. geoBAM-Expert was able to much more accurately reproduce the differences between baseflow and peak flow events in these reaches. For the ‘more improvement’ reaches, geoBAM-Expert hydrographs also visually resemble the observed hydrographs, though the magnitude of improvement was smaller than for the ‘most improvement’ reaches. Sometimes (reaches 37249 and 35489), control BAM was already modeling streamflow quite well. Here, geoBAM-Expert largely produced the same hydrograph as control BAM, but with better accuracy in simulating the magnitude of the high flow events that control BAM was missing. While some of the ‘little improvement’ reaches were poorly modeled by control BAM and continue to be poorly modeled by geoBAM-Expert (reach 37154), some were modeled well by both BAM variants (reach 35737). Finally, there is no discernable relationship between NSE improvement and the size of the river, as a full spectrum of observed discharge, ranging from tens of m3/s to thousands of m3/s, is evident across all improvement cases.

**4.2 SWOT-simulated rivers**



**Figure 5:** NSE improvement for 19 SWOT-simulated rivers: A) empirical cumulative density functions (CDFs) of the NSE scores across rivers; B) boxplots of the same results; C) histogram of the change in NSE using geoBAM-Unsupervised. Axes are truncated for visualization’s sake. We have provided arrows and number of rivers not plotted when necessary.

There is continued substantial improvement in NSE for the 19 SWOT-simulated rivers (Figure 5), though there is less overall improvement than in the Mackenzie River test (Figure 3). geoBAM-Unsupervised yielded a mean increase in NSE for all rivers of 0.31 (Figure 5c), as well as consistently better performance: the IQR shrinks from 1.40 to 1.07 for geoBAM-Expert and 1.17 for geoBAM-Unsupervised (Figure 5b). Using the histogram bins to define rivers with functionally no change in NSE, 11/19 rivers improved NSE and 15/19 maintained or improved NSE (Figure 5c). While this improvement is lower than in the Mackenzie River test, the median NSE across all 19 rivers significantly improved from 0.16 to 0.53 with geoBAM-Expert (Figure 5b). However, most improvement in the SWOT rivers came from those with a middling NSE (approximately -1 to 0.80- Figure 5a). Those rivers with very high NSE scores did not change at all with our interventions, and some of the very poorly performing rivers got worse. geoBAM-Expert slightly outperformed geoBAM-Unsupervised with respect to median NSE and the IQR of NSE scores, however geoBAM-Expert produced more poorly performing outliers than geoBAM-Unsupervised or control BAM (Figure 5b). The CDFs (Figure 5a) highlight this, where rivers with NSE < -1.5 had degraded performance with geoBAM-Expert.



**Figure 6.** Hydrographs for the SWOT-simulated rivers: observed discharge (dotted black) is plotted alongside control BAM (light blue) and geoBAM-Expert (dark blue).

Considering hydrographs, control BAM performed quite well in low/baseflow periods across rivers, but much worse in high flow events (e.g. Severn, Cumberland, Seine). In some rivers, geoBAM-Expert hydrographs (Figure 6- dark blue lines) significantly improved from those estimated using control BAM (light blue lines- e.g. St. Lawrence Upstream, Garonne Upstream, Cumberland, Connecticut, and Severn). In other rivers, very little changed (e.g. Wabash, Mississippi Downstream, and Tanana). Both control BAM and geoBAM produced hydrographs that visually resemble observed flow dynamics, but, similar to the Mackenzie River test (Figure 4), geoBAM has ‘filled in’ many errors in predicting the magnitude of peak events with varying degrees of success. For example, the Ohio River was mostly modeled correctly except for errors in the three peak events (Figure 6- ‘Ohio’). geoBAM-Expert has minimized the error in these peak events, while continuing to accurately model the rest of the hydrograph. Some rivers with little to no change in NSE scores (Figure 5) still appear to have partially ‘filled in’ these discrepancies (e.g. Seine, Severn, Cumberland). geoBAM-Expert results for both the Sacramento Upstream and St. Lawrence Downstream show visibly worsened reproduction of observed discharge than control BAM.

**4.3 Classification comparison**

Sections 5.1-5.2 show significant performance improvement in BAM discharge estimation when defining prior river knowledge via geomorphic river types. However, geoBAM-Expert and geoBAM-Unsupervised yielded functionally the same NSE performance in both the Mackenzie River basin and the SWOT-simulated rivers, despite being constructed very differently. Thus, we now turn to exploring differences in the river types these classifications produced.



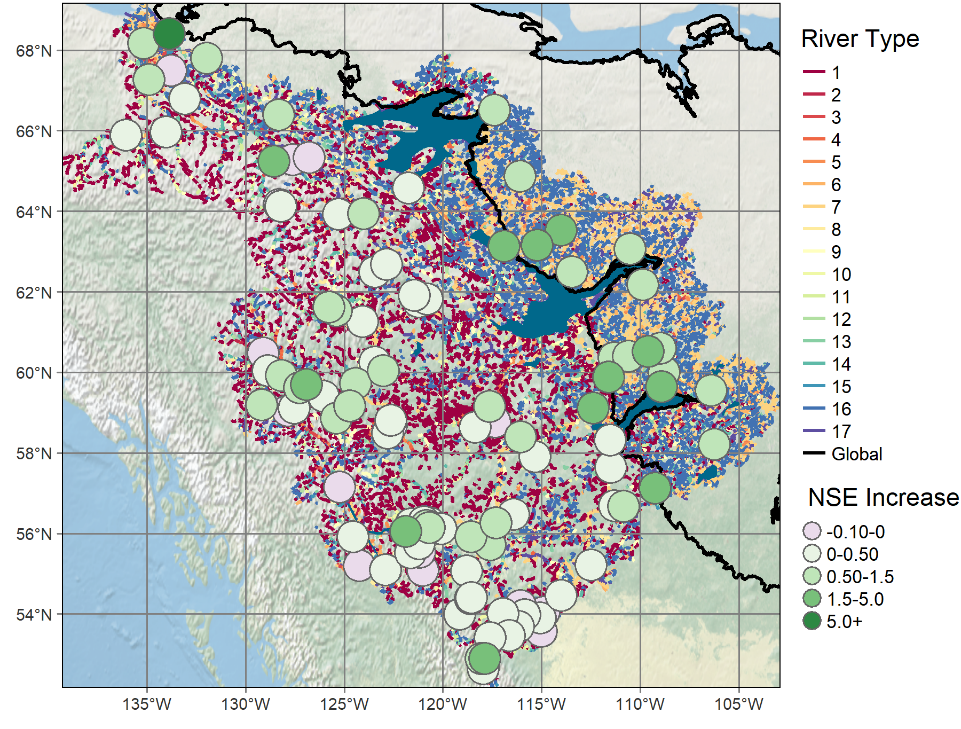
**Figure 7:** Truncated, lognormal distributions of hydraulic BAM priors as defined using new training data and the expert classification (left column) or the unsupervised classification (right column).

Both classification frameworks feature fundamentally different classifications and yield fundamentally different hydraulic priors across river types (Figure 7). Figure 7 plots truncated, parametric distributions of the six hydraulic terms calculated from the training data needed to run BAM for both classifications. Distributions for all six hydraulic terms are visually distinct for the unsupervised classification, while *A0*, *Wb*, and *Db* appear to increase monotonically by expert river type.

Using the parametric distributions in Figure 7, we qualitatively defined what these river types represent. Based on the *A0*, *Wb*, and *Db* distributions for geoBAM-Expert, we interpret these river types as discrete representations of river size: channel area and bankfull geometry monotonically increase with river type. Per the definition of *r* and *b* in the expert system, Type 16 (‘highly width-variable’) rivers are fundamentally different from the other types’ distributions. The unsupervised river types are more difficult to qualitatively define, but river type 7 appears strikingly similar to the expert system’s river type 16, suggesting that the unsupervised method successfully identified the highly width-variable cross-sections itself. The unsupervised system also identified a river type with exceptionally high Manning’s *n* values, distinct from the others. The remaining river types cover the full spread of channel geometry experienced in our training data, but with varying degrees of certainty in the distribution centers.

While these plots visually justify both classification frameworks as ways to extract differentiable distributions for hydraulic terms, we confirmed the uniqueness of the classes by running two One-Way ANOVA tests on the medians of the six hydraulic terms’ distributions in Figure 7, grouped by river type (Table S5). We note that distribution centers are not the only criteria necessary to define truncated probability distributions, and that distributions can have similar centers but drastically different overall shapes. Still, this is a convenient way to quantify one dimension of differentiability. For the expert framework, *Wb, Db*, *n*, and *A0* were significantly different (p < 0.05). For the unsupervised framework, *b* and *r* were marginally significant (p-values between 0.05 and 0.10) while the otherswere not significantly different. Overall, Figure 7 and Table S5 confirm that both classification frameworks yield differentiable river types across these six hydraulic terms.

**4.4 Mackenzie River basin classification**

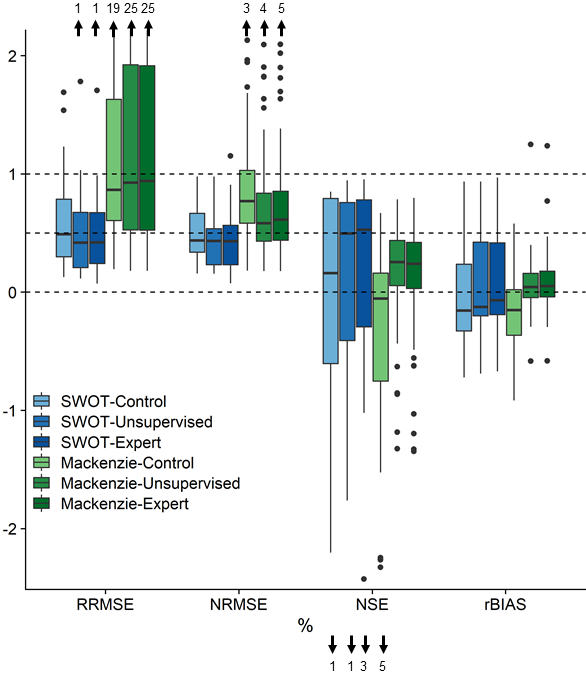


**Figure 8** River classification using geoBAM-Expert for Mackenzie River basin with 108 validation gauges and their increase in NSE from control BAM to geoBAM-expert. The Canadian Shield and major lakes (dark blue) are overlaid upon the classified hydrography (Lin et al. 2019; Yamazaki et al. 2019). ‘River Type’ labels align with those in the left column of Figure 7. Basemap made with Natural Earth.

Finally, we map every river in the Mackenzie River basin classified using the expert framework, along with the increase in NSE from control BAM to geoBAM-Expert for all 108 validation reaches (Figure 8). Rivers mostly fall into two regimes composed of Type 1 and 2 rivers in the western half of the watershed, and Type 15, 16, and 7 in the eastern. These two regimes align nearly perfectly with the boundary of the Canadian Shield, a particularly old and hard, exposed igneous rock that underlies thin soil, large lakes, and wetlands in the eastern portion of the basin (Spence & Woo, 2008). Meanwhile, the western portion drains the Rocky Mountains- a fundamentally different landscape. Thus, geoBAM-Expert qualitatively reflects observed geomorphology patterns in the landscape of the Mackenzie River basin through its different river types.

The clear manifestation of the Canadian Shield in our classification influences RSQ accuracy as well. All validation reaches on the Shield showed an NSE improvement of at least 0.50, while any reaches with less improvement, or even degradation, in NSE are not located on the Shield. This suggests that geoBAM is more able to hydraulically represent the types of rivers present in ‘Shield-like’ landscapes than control BAM is. The only river reach with an NSE increase > 5.0 is near the outlet of the basin. Finally, there is no clear relationship between NSE improvement and stream order (Figure S7), suggesting improvement is not a function of river size.

**4.5 Performance across other error metrics**



**Figure 9** Comparison of geoBAM classifications for both the SWOT-simulated rivers and the Mackenzie River basin. Numbers and arrows reflect the number of SWOT rivers or Mackenzie reaches not plotted due to axis truncation.

Finally, we analyze RSQ accuracy with one another and across classification schemes using additional error metrics (Figure 9). Again, differences between the two classification frameworks for either test are marginal, but improvement from control BAM is stark. In the SWOT rivers, geoBAM-Expert had a slightly better rBIAS score (-0.07 vs. -0.13) but otherwise median performance scores were functionally the same across both classification frameworks tested.

Interestingly, for the SWOT rivers significant performance improvement is limited to NSE, with marginal improvement seen for rBIAS and RRMSE (Figure 8). Conversely, the Mackenzie case exhibits noteworthy improvement for NRMSE and rBIAS than the SWOT rivers (on par with NSE) but worsened performance in RRMSE. RRMSE and rBIAS generally track together and are easily inflated due to errors in baseflow prediction. Our interventions alter baseflow predictions very little in both test cases (Figures 4 and 6) and because of this it is possible that these two metrics are relatively insensitive to geoBAM’s interventions in those rivers. In the Mackenzie River basin, the switch from a negative to a positive rBIAS is likely the reason for an increasing RRMSE, per these metrics’ definitions (Table 3).

While the magnitude of scores are worse across the board for the Mackenzie (a byproduct of using AMHG as the sole flow law in the discharge inversion), the improvement in NSE is largely similar for both tests (Figure 9). The one notable difference between tests is the much smaller variation in scores (i.e. boxplot IQRs in Figure 9) for the Mackenzie reaches than the SWOT rivers. The SWOT rivers exhibited large ranges in predictive skill regardless of the BAM/geoBAM implementation.

1. **Discussion**

**5.1 RSQ in ungauged basins**

The quality of prior knowledge significantly influences RSQaccuracy, as evidenced through large performance improvements in BAM (Figures 3-6, 9). While algorithm developments also continue to evolve our understandings of McFLIs and dischargeinversion, we have found that our relatively simple interventions to prior estimation are easy to implement and did not require new geomorphology within the algorithm. We also achieved skill improvement without relying on new and computationally intensive data assimilation schemes or hydraulic models (e.g. solving full Saint-Venant equations). With that said, these other approaches yield much stronger predictive skill than ours and are useful when the goal is RSQ in a handful of rivers, as opposed to global implementation of a single algorithm like BAM.

We highlight our improvements via real-world RS observations in the Mackenzie River basin over a massive spatial scale for two reasons. First, all reaches used in this study are in the Arctic/Subarctic, where our training data are unrepresentative (i.e. none of the field hydraulic measurements were made there). The success of these interventions in a blind case study like this, with training data only from the continental United States, suggests that this approach is implementable globally. Second, we have satisfyingly replicated Feng et al. (2019)’s results that were run only on eleven Alaskan rivers. We have extrapolated their RSQ workflow to thousands of reaches, relying on GRADES (Lin et al. 2019) for reach-explicit prior knowledge on discharge. These results corroborate the aggregate use of Feng et al. (2019)’s width mapping method, geoBAM, and GRADES for big-data RSQ and functionally open the door for uncalibrated RSQ across global-scale river networks.

We are aware of one other McFLI that has explicitly tested the influence of prior quality. Andreadis et al.’s (2020) SWOT Assimilated Discharge (SAD) algorithm was developed to address parameter equifinality issues in McFLIs by constraining their parameter space for discharge inversion. To test this, they introduced a suite of interventions to SAD, one of which was an expert geomorphic classification that defined their prior on channel shape. While it is difficult to directly compare results across algorithms and with different interventions, when they isolated this intervention median NSE across 18 SWOT-simulated rivers increased by 0.35. Using geoBAM-Expert, median NSE for our 19 SWOT-simulated rivers increased by 0.37. Such similar results, for this one test, suggest that this degree of improvement occurs across McFLIs, assuming the algorithm is flexible enough to ingest different definitions of prior river knowledge (like BAM and SAD are). Despite the similarities, the SAD geomorphic classification is not globally scalable because it relies on manual interpretation of a river’s planform geometry, and classification is limited to exclusively channel shape. Further, SAD does not invoke AMHG like BAM does. Conversely, the method developed here is globally scalable, can be used to extract distributions for any desired hydraulic term, and yields greater improvement in discharge prediction.

Future work should incorporate geoBAM’s classification into SAD, and other McFLIs, to see if performance further improves when using a geomorphic classification for all priors. It is unclear if this approach in improving prior quality will work with all McFLIs. Hagemann et al. (2017) purposefully designed BAM to be flexible in both the priors it ingests and the flow laws it inverts, allowing us to run this study in two disparate settings: 1) using simulated altimetry data to invert Manning’s equation and 2) using river widths to invert AMHG. Our similar results in both settings (Sections 5.1-5.2) suggest that the McFLI paradigm would broadly benefit from improved prior quality, regardless of the flow law or RS observations used. However, we exclusively tested this within BAM, meaning these interventions may not co-exist with the mathematical and/or computational setups in other McFLIs. In particular, other McFLI algorithms may have priors that do not correspond to our in situ data or classes. Not all McFLIs are the same even though many use Manning’s equation: each algorithm has its own unique prior set. Whether or not our classifications are useful to all McFLIs or just to BAM is left for future work.

For RSQ, classifying rivers to provide better priors will only yield improvements if classes are assigned correctly. Because we reduce priors to look-up tables with a set of priors assigned to each river type, if the wrong class assigned to a river then RSQ will considerably worsen. Andreadis et al. (2020) found a similar result when their expert classification misclassified two rivers and yielded poor performance. When they artificially assigned the correct river type, they improved RSQ accuracy. Similar behavior was identified in this study: the St. Lawrence Upstream’s NSE score was improved from -1.15 (control BAM) to 0.53 (geoBAM-Expert) but degraded to -1.76 when using geoBAM-Unsupervised**.** Clearly, there is room for improvement, and in this example it appears geoBAM-Unsupervised is assigning an incorrect class, or the unsupervised classes are unrepresentative of this river. This suggests that RSQ accuracy hinges on how river types are defined and ultimately mapped to rivers, and the massive range of performance accuracy within river types (Section 5.2) suggests there is substantial room to improve how river types are extracted and mapped to RS data. We theorize that because our river type mapping procedure uses only river widths to predict types, we are missing crucial information on predicting ‘correct’ river types which might reduce the variance in performance within river types. Regardless, these errors occurred in only a few rivers (St. Lawrence Downstream, Severn, Platte, Ganges)**,** both of our classification frameworks are globally scalable with much improved predictive accuracy and use just time-varying river widths as predictors.

Finally, the fact that Durand et al. (2016)’s test rivers are almost all very large rivers might explain why Manning’s-based McFLIs generally perform well in these tests. Manning’s equation simulates discharge in deeper, larger rivers quite well, but not in shallower, smaller ones (Ferguson, 2010). It is well-established that the equation’s fixed velocity~depth relation exponent and roughness term oversimplify flow (Ferguson 2010; Bjerklie et al. 2005; Katul et al. 2002; Ferguson 1986). If the goal is network-scale RSQ, like performed in the Mackenzie River basin, a generalized flow resistance relation might be used to improve prediction accuracy in smaller streams.

**5.2 Classifying global rivers**

It is useful to orient our classification workflow in the context of other global-scale river classification frameworks. Interestingly, geoBAM-Unsupervised largely replicated the results using the bespoke geoBAM-Expert, signifying that stark differences in classification did not manifest in discharge estimation. The success of such simple unsupervised classification suggests that a generalized, global river typology framework is possible. There are currently very few of these frameworks (e.g. Fernandex & Sayama, 2015; Puckridge et al. 1998; Haines et al. 1988), only one of which is globally consistent in coverage (Dallaire et al. 2019). Dallaire et al. (2019) clustered features explicitly associated with every observation/river reach within HydroSHEDS (Lehner et al. 2008), however fluvial geomorphology data does not generally exist in this form at the global-scale and so their analysis was largely limited to hydro-climatic river types. Conversely, Guillon et al. (2020) successfully used machine learning models to upscale a priori geomorphic river types for the Sacramento River basin- defined using field geomorphology campaigns at 290 sites- to over 100,00 reaches. Our study presents a novel amalgamation of these two methods, first using automated clustering of field data to define a geomorphic typology framework, and then using supervised learning to upscale river types to anywhere on Earth. Section 5.4 shows that this approach is viable in the Mackenzie River basin, where we accurately represent landscape geomorphology through our river types. However, more sophisticated methods will likely be needed to produce a generalized, global river typology framework that moves beyond RSQ.

Finally, Durand et al. (2016) noted the potential homogeneity of test rivers used for benchmarking McFLIs, but until now there has not been a geomorphically explicit way to quantify this homogeneity. Using the geoBAM-Expert river types, we found that the SWOT-simulated rivers are quite homogenous and large (23/132 reaches were classified as ‘big’ rivers and 52/132 reaches were assigned river type 15, the widest in the classification). Even more striking, geoBAM-Unsupervised assigned 16/19 rivers the same river type. These are not reflective of the global variation in hydraulics and geomorphology SWOT will encounter. What is thus needed is a wider range of river types for validating and testing McFLIs before SWOT launches, such that we can parse out specific river types that McFLIs model well, and those that McFLIs model poorly.

6 Conclusions

This study presents a first attempt at improving the quality of prior river knowledge for McFLI RSQ in two distinct settings: on thousands of Arctic river reaches using Landsat imagery, and on simulated rivers representing NASA SWOT satellite outputs prior to launch in 2021. Prior quality was improved via two methods: 1) providing a larger and geomorphically-varying training dataset, and 2) statistical mapping of priors to river types, using both unsupervised and expert methods. We found significant improvement in the accuracy of discharge predictions for both test cases and using both classification methods, with a mean NSE improvement (from control BAM to geoBAM-Unsupervised) for the Mackenzie rivers of 0.64 and of 0.31 for the SWOT-simulated rivers. Both classification methods yielded functionally the same improvement in accuracy, despite vastly different constructions. These findings are significant and highlight the importance of prior knowledge in a Bayesian mathematical setting, where we have shown that starting from a more informed understanding of the river yields more accurate results. These priors are implementable in any McFLI and will play a pivotal role in both current RSQ efforts in global river networks, as well as future global RSQ from SWOT.

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