

# Data Structures and Algorithms Notes

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*An important note, these notes are absolutely **NOT** guaranteed to be correct, representative of the course, or rigorous. Any result of this is not the author's fault.*

# 1 Graph Theory

## 1.1 Definition of a Graph

A graph is a pair of sets  $G = (V, E)$ , where  $V$  is a set of vertices (or nodes) and  $E$  is a set of edges (or arcs).

## 1.2 Definition of an Edge

An edge of a graph  $G = (V, E)$  is  $e = \{u, v\}$  in  $E$  where  $u, v$  are vertices in  $V$ .

## 1.3 Definition of a Neighbourhood

For a graph  $G = (V, E)$  with  $v$  in  $V$ , the neighbourhood of  $v$  is the set  $V' \subseteq V$  of vertices connected to  $v$  by an edge in  $E$ .

The neighbourhood of  $v$  is denoted by  $N(v)$ .

The neighbourhood of a set of vertices is the union of the neighbourhoods of each vertex.

## 1.4 Definition of Degree

For a graph  $G = (V, E)$  with  $v$  in  $V$ , the degree of  $v$  is the size of its neighbourhood.

The degree of  $v$  is denoted by  $d(v)$ .

## 1.5 The Handshake Lemma

For a graph  $G = (V, E)$ , we have that:

$$|E| = \frac{\sum_{v \in V} d(v)}{2}.$$

*This is because each edge visits two vertices, so by counting the degree of each vertex we count each edge exactly twice.*

## 1.6 $k$ -regular Graphs

For a graph  $G = (V, E)$ , we have that  $G$  is  $k$ -regular for some  $k$  in  $\mathbb{Z}_{>0}$  if for all  $v$  in  $V$ , we have:

$$d(v) = k.$$

We cannot have a  $k$ -regular graph where  $k$  is odd and  $|V|$  is odd by the Handshake Lemma.

## 1.7 Isomorphic Graphs

Graphs  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  are called isomorphic if there exists a bijection  $f : V_1 \rightarrow V_2$  such that:

$$\{u, v\} \in E_1 \iff \{f(u), f(v)\} \in E_2.$$

This relationship is denoted by  $G_1 \cong G_2$ .

## 1.8 Definition of a Subgraph

A graph  $G' = (V', E')$  is a subgraph of  $G = (V, E)$  if  $V' \subseteq V$  and  $E' \subseteq E$ .

## 1.9 Definition of an Induced Subgraph

An induced subgraph generated from  $G = (V, E)$  by  $V' \subseteq V$  is the graph  $G' = (V', E')$  where:

$$E' = \{\{u, v\} \in E \text{ such that } u, v \in V'\}.$$

*Essentially, you generate an induced subgraph from a subset of the vertices of a graph by selecting edges that join vertices in the subset.*

## 1.10 Walks

### 1.10.1 Definition of a walk

A walk in a graph  $G = (V, E)$  is a set of vertices in  $V$  connected by edges in  $E$ . The length of the walk is the number of edges traversed in the walk.

### 1.10.2 Definition of a path

A path is a walk where no vertices are repeated.

### 1.10.3 Definition of an Euler walk

An Euler walk is a walk such that every edge is traversed exactly once. Thus, for a graph  $G = (V, E)$ , the length is  $|E|$ .

### 1.10.4 Conditions for an Euler walk

For an Euler walk to be possible on a given graph, all vertices must have an even degree **or** exactly two vertices have odd degree.

If all vertices have even degree we have that the Euler walk is a cycle, if exactly two vertices have odd degree then we have that these vertices are the start and end points of our Euler walk.

## 1.11 Definition of a Connected Graph

A connected graph is a graph where for each pair of vertices, there is a path connecting them.

## 1.12 Definition of a Component

A component of a graph  $G = (V, E)$  is a maximal connected induced subgraph of  $G$ . This means an induced subgraph of  $G$  that is connected but is not longer connected if a vertex is removed.

Connected graphs have a single component, the entire graph.

## 1.13 Digraphs

### 1.13.1 Definition of a digraph

A digraph (or directed graph) is a graph where each of the edges has a direction. This direction means the edge can only be traversed in a single direction.

### 1.13.2 The Directed Handshake Lemma

For a digraph  $G = (V, E)$ , we have that:

$$\sum_{v \in V} d^-(v) = \sum_{v \in V} d^+(v) = |E|.$$

*This is because if we consider the 'tail' of an edge (the vertex it leaves), each edge has exactly one tail.*

### 1.13.3 Definition of a strongly connected digraph

A digraph  $G = (V, E)$  is strongly connected if for each  $u, v$  in  $E$ , there exists a path from  $u$  to  $v$  **and** from  $v$  to  $u$ .

### 1.13.4 Definition of a weakly connected digraph

A digraph  $G = (V, E)$  is weakly connected if for each  $u, v$  in  $E$ , there exists a path from  $u$  to  $v$  **or** from  $v$  to  $u$ .

### 1.13.5 Definition of components of digraphs

A strong component of a digraph is the maximal *strongly* connected induced subgraph.

A weak component of a digraph is the maximal *weakly* connected induced subgraph.

*So, these are induced subgraphs that are strongly/weakly connected but are no longer strongly/weakly connected once a vertex is removed.*

### 1.13.6 Definition of neighbourhoods in digraphs

The neighbourhood of a vertex in a digraph can be considered by looking at the edges *from* the vertex and the edges *to* the vertex.

The in-neighbourhood of a vertex  $v$  are the edges that enter  $v$ . The out-neighbourhood of a vertex  $v$  are the edges that exit  $v$ . These are denoted by  $N^-(v)$  and  $N^+(v)$  respectively.

### 1.13.7 Definition of degrees in digraphs

For a vertex  $v$ , the in-degree of the vertex  $d^-(v)$  is the size of the in-neighbourhood and the out-degree of the vertex  $d^+(v)$  is the size of the out-neighbourhood.

It can be seen that the degree of a given vertex is the sum of its in and out degree (in a digraph).

### 1.13.8 Conditions for an Euler walk in a digraph

For an Euler walk to be possible on a given digraph, we have two cases, either:

- the digraph is strongly connected and every vertex has equal in and out degrees, or
- one vertex has an in-degree one greater than its out-degree, another has an out-degree one greater than its in-degree, and all remaining vertices have equal in and out degrees.

In the first case we have that the Euler walk is a cycle, in the second we have that the special vertices are the start and end points of our Euler walk.

### 1.13.9 Cycles

#### 1.13.10 Definition of a cycle

A cycle is a walk where the first and last vertices are the same and each vertex appears at most once (barring the first and last vertex).

#### 1.13.11 Definition of a Hamiltonian cycle

A Hamiltonian cycle is a cycle where each vertex is visited.

#### 1.13.12 Conditions for a Hamiltonian cycle

Whilst the conditions necessary for a Hamiltonian cycle in general are unknown, by Dirac's theorem, we know that for a graph with  $n$  vertices, if every vertex has degree  $\frac{n}{2}$  or greater then a Hamiltonian cycle exists.

## 1.14 Trees

### 1.14.1 Definition of a forest

A forest is a graph with no cycles.

### 1.14.2 Definition of a tree

A tree is a connected forest (or a connected graph with no cycles).

### 1.14.3 Path uniqueness of trees

For a tree  $T = (V, E)$ , we have that for any  $u, v$  in  $V$ , there exists a unique path from  $u$  to  $v$ .

*To prove this, suppose there are two unique paths between  $u$  and  $v$ . These paths must diverge and if we connect them, they form a cycle which contradicts the definition of a tree.*

### 1.14.4 The magnitude of edges in trees

For a tree  $T = (V, E)$ , we have that  $|E| = |V| - 1$ .

### 1.14.5 Rooted trees

For a tree  $T = (V, E)$ , we can root  $T$  with some  $r$  in  $V$ . For  $v$  in  $V \setminus r$ , we define  $P_v$  to be the path from  $r$  to  $v$ , we then direct the edges from  $r$  to  $v$  for each  $P_v$ .

For  $u, v$  in  $V \setminus \{r\}$ , we say that:

- $u$  is an **ancestor** of  $v$  if  $u$  lies on  $P_v$
- $u$  is the **parent** of  $v$  if  $u$  is in the in-neighbourhood of  $v$
- $v$  is a **leaf** if it has degree 1
- $L_0 = \{r\}$  and  $L_n = \{v : |P_v| = n\}$  are the **levels** of  $T$
- The **depth** of a tree is the greatest  $n$  where  $L_n$  is non-empty.

### 1.14.6 Lower bound on the amount of leaves in a tree

For a tree with  $T = (V, E)$ , if  $V > 1$ , there must be at least 2 leaves.

### 1.14.7 Equivalent statements to the tree definition

For a graph  $T = (V, E)$ , we have that the following are equivalent:

- $T$  is a tree
- $T$  is connected and has no cycles
- $|E| = n - 1$  and  $T$  is connected
- $|E| = n - 1$  and  $T$  has no cycles
- $T$  has a unique path between any two vertices

## 1.15 Bipartitions

### 1.15.1 Definition of a bipartite graph

For  $G = (V, E)$ , we have that  $G$  is bipartite if there exists  $A \subset V$ ,  $B \subset V$  such that  $A$  and  $B$  are disjoint and the induced subgraphs of  $A$  and  $B$  have no edges.  $A$  and  $B$  are bipartitions of  $G$ .

Saying  $G$  is bipartite is equivalent to saying  $G$  has no cycles of odd length.

### 1.15.2 Definition of a matching

A matching in a graph is a set of disjoint edges.

A matching is **perfect** if each vertex is contained in some matching edge.

### 1.15.3 Definition of a semi-matching

For  $k$  in  $\mathbb{Z}_{>0}$ , a  $k$  to 1 semi-matching in a bipartite graph  $G$  with a bipartition  $\{A, B\}$  is a subgraph of  $G$  where each vertex in  $A$  has degree at most  $k$  and each vertex in  $B$  has degree at most 1.

### 1.15.4 Definition of an augmenting path

Given a matching  $M$  in a bipartite graph  $G = (V, E)$ , an augmenting path is a set of vertices in  $V$  connected by edges  $e_i$  in  $E$  such that:

$$e_i \text{ is } \begin{cases} \text{in } M & \text{for } i \text{ odd} \\ \text{not in } M & \text{for } i \text{ even.} \end{cases}$$

With the condition that the first and last vertices in the path are not in the matching.

### 1.15.5 Hall's Theorem

For a bipartite graph  $G = (V, E)$  with the bipartition  $(A, B)$  has a perfect matching if and only if  $|A| = |B|$  and for all  $X \subseteq A$ ,  $|N(X)| \geq |X|$ .

## 2 Types of Algorithms

### 2.1 Greedy Algorithms

These types of algorithms start with a trivial solution and iteratively optimise their solution based on the information available at the time. They do not retroactively change the solution based on new data, only add to it.