

# Lab04-Matroid

CS214-Algorithm and Complexity, Xiaofeng Gao, Spring 2021.

\* If there is any problem, please contact TA Haolin Zhou.

\* Name: Beichen Yu   Student ID: 519030910245   Email: polarisybc@sjtu.edu.cn

## 1. Property of Matroid.

- (a) Consider an arbitrary undirected graph  $G = (V, E)$ . Let us define  $M_G = (S, C)$  where  $S = E$  and  $C = \{I \subseteq E \mid (V, E \setminus I) \text{ is connected}\}$ . Prove that  $M_G$  is a **matroid**.

**Proof.** (Hereditary) If removing  $I$  does not disconnect the graph  $G$ , then removing any subset of  $I$  will not disconnect  $G$  either. So it holds hereditary.

(Exchange property) For convenience, let  $n = |V|$ . Let  $A \in C$ , which means  $(V, E \setminus A)$  is a connected graph. So  $|E \setminus A| \geq n - 1$ . Consider a set  $B$  that  $|B| < |A|$ , then we have  $|E \setminus B| \geq |E \setminus A|$ . So  $|E \setminus B| \geq n$ , which means there is at least a circle in  $(V, E \setminus B)$ .

Because  $|E \setminus B| \geq |E \setminus A|$  and both of them is connected, we can make sure that the number of edges in all circles in  $(V, E \setminus B)$  is bigger than that in  $(V, E \setminus A)$ . So we can prove that we can find a edge  $e$  in a circle in  $(V, E \setminus B)$  that is not used in  $(V, E \setminus A)$ .

If it is false, then the edges in circles in  $(V, E \setminus B)$  is less or equal then that in  $(V, E \setminus A)$ , however with same number of vertices, the edges out of circles in  $(V, E \setminus B)$  can not be more then that in  $(V, E \setminus A)$ .

That means  $e \in A$  while  $e \notin B$ , which is  $e \in A \setminus B$ . As  $e$  is in a circle, we can remove it from  $(V, E \setminus B)$  without disconnecting the graph. So  $B \cup e \in C$ . That means exchange property is held.

As it holds both hereditary and exchange property, it is indeed a matroid. □

- (b) Given a set  $A$  containing  $n$  real numbers, and you are allowed to choose  $k$  numbers from  $A$ . The bigger the sum of the chosen numbers is, the better. What is your algorithm to choose? Prove its correctness using **matroid**.

**Solution.** Obviously we should sort the  $n$  real numbers in descending order at first, and then choose the first  $k$  numbers. The sum of the chosen numbers is the biggest.

Denote  $\mathbf{C}$  be the collection of all subsets of  $A$  that contains no more than  $k$  elements. Now we will try to prove that  $(A, \mathbf{C})$  is a matroid.

(Hereditary) If  $I \in \mathbf{C}$ , then  $I$  contains no more then  $k$  elements. Obviously any subset of  $I$  contains no more then  $k$  elements, so  $(A, \mathbf{C})$  is hereditary.

(Exchange property) Denote  $A \in \mathbf{C}, B \in \mathbf{C}$  and  $|A| < |B|$ . We can find a element  $x$  that  $x \in A$  and  $x \notin B$  because  $|A| < |B|$ . According to the definition we have  $|A| < |B| < k$ , so  $|A| < k - 1$ . So  $|A \cup x| < k, A \cup x \in \mathbf{C}$ . That means  $(A, \mathbf{C})$  is exchange property.

As  $(A, \mathbf{C})$  holds both hereditary and exchange property, it is indeed a matroid.

Next we prove that our solution is just equal to Greedy-MAX algorithm.

Find the smallest element  $s$  in the  $A$ . And next we denote the weighted function  $c : A \rightarrow \mathbb{R}^+$ . For each element  $x \in A$ , we denote  $c(x) = x - s + 1$ . Then the weight function extend to subset of  $A$  by summation:

$$c(I) = \sum_{x \in I} c(x)$$

Then we can using the Greedy-MAX algorithm. First sort all elements in descending order of  $c(x)$ , and add elements to the solution set  $S$  constantly until the solution set  $S \notin \mathbf{C}$ , which means there is already  $k$  elements in  $S$ . Because the descending order of  $c(x)$  is the same as the descending order of  $x$ , so our solution is the same as the Greedy-MAX algorithm.

□

2. *Unit-time Task Scheduling Problem.* Consider the instance of the **Unit-time Task Scheduling Problem** given in class.

- (a) Each penalty  $\omega_i$  is replaced by  $80 - \omega_i$ . The modified instance is given in Tab. 1. Give the final schedule and the optimal penalty of the new instance using Greedy-MAX.

Table 1: Task

$a_i$	1	2	3	4	5	6	7
$d_i$	4	2	4	3	1	4	6
$\omega_i$	10	20	30	40	50	60	70

**Solution.** The Greedy-MAX selects  $a_1, a_2, a_3, a_4$ , then rejects  $a_5, a_6$ , and finally accepts  $a_7$ . So the final schedule is  $\langle a_2, a_4, a_1, a_3, a_7, a_5, a_6 \rangle$ . The final optimal penalty is  $80 - \omega_5 + 80 - \omega_6 = 80 - 50 + 80 - 60 = 50$ . □

- (b) Show how to determine in time  $O(|A|)$  whether or not a given set  $A$  of tasks is independent. (**Hint:** You can use the lemma of equivalence given in class)

**Solution.** According to the lemma of equivalence given in class, the set  $A$  is independent means for  $t = 0, 1, 2, \dots, n, N_t(A) \leq t$ . We can assume that there are  $n$  elements in set  $A$ .

First of all, we should use an array  $a[t]$  to record the number of tasks whose deadline is  $t$ . This step takes  $O(n)$  to finish.

Then we should calculate the partial sum of  $a[t]$  and record it in  $b[t]$ . The value recorded in  $b[t]$  is in fact the value of  $N_t(A)$ . It takes another  $O(n)$ .

Finally we need to check for  $t = 0, 1, 2, \dots, n$ , whether  $b[t] \leq t$ , and it takes  $O(n)$  as well. So the time complexity is equal to  $O(n + n + n) = O(n) = O(|A|)$ . □

3. *MAX-3DM.* Let  $X, Y, Z$  be three sets. We say two triples  $(x_1, y_1, z_1)$  and  $(x_2, y_2, z_2)$  in  $X \times Y \times Z$  are *disjoint* if  $x_1 \neq x_2$ ,  $y_1 \neq y_2$ , and  $z_1 \neq z_2$ . Consider the following problem:

**Definition 1** (MAX-3DM). *Given three disjoint sets  $X, Y, Z$  and a non-negative weight function  $c(\cdot)$  on all triples in  $X \times Y \times Z$ , **Maximum 3-Dimensional Matching** (MAX-3DM) is to find a collection  $\mathcal{F}$  of disjoint triples with maximum total weight.*

- (a) Let  $D = X \times Y \times Z$ . Define independent sets for MAX-3DM.  
(b) Write a greedy algorithm based on Greedy-MAX in the form of *pseudo code*.

- (c) Give a counter-example to show that your Greedy-MAX algorithm in Q. 3b is not optimal.
- (d) Show that:  $\max_{F \subseteq D} \frac{v(F)}{u(F)} \leq 3$ . (Hint: you may need Theorem 1 for this subquestion.)

**Solution.** (a) A set  $I \subset D$  is an independent set if and only if for any two triples in  $I$  are disjoint.

(b)

---

**Algorithm 1:** Greedy-MAX

---

```

1 Sort all the triples in  $D$  by weight function  $c(\cdot)$  so that
   $c(d_1) \geq c(d_2) \geq \dots \geq c(d_m)$ ;
2  $S \leftarrow \emptyset$ ;
3 for  $i = 1$  to  $m$  do
4   if for any triple  $d$  in  $S$ ,  $d$  and  $d_i$  are disjoint then
5      $S \leftarrow S \cup \{d_i\}$ 
6 return  $S$ ;
```

---

- (c) We set  $A = \{1, 2\}$ ,  $B = \{3, 4\}$ ,  $C = \{5, 6\}$ , and  $c(2, 3, 5) = 4$ ,  $c(1, 3, 5) = 3$ ,  $c(2, 4, 6) = 2$ , and for any other triple  $d \in D$ ,  $c(d) = 0$ . If using the Greedy-MAX algorithm, we will choose  $c(2, 3, 5) = 4$  and  $c(1, 4, 6) = 0$  with total weight is equal to 4. However if we choose  $c(1, 3, 5) = 3$ ,  $c(2, 4, 6) = 2$ , and the total weight is 5.
- (d) For  $i \in \{1, 2, 3\}$ , we denote that a set  $F_i$  is an  $i$ -th independent set if and only if for any two triples in  $F_i$ , the the numbers in the  $i$ -th dimension are not equal. We denote  $\mathcal{I}_i$  as a collect of all  $i$ -th independent set. Then we try to prove that  $M_i = (D, \mathcal{I}_i)$  is a matroid.

(Hereditary) A set  $A \in \mathcal{I}_i$  means  $\forall d$  in  $A$ , the numbers in the  $i$ -th dimension are not equal. So for any subset of  $A$ , the numbers in the  $i$ -th dimension are not equal as well. So  $M_i$  satisfies hereditary.

(Exchange property) Suppose  $A, B \in \mathcal{I}_i$  and  $|A| < |B|$ . We can make sure that there must be a triple  $d$  in  $B$  that is different with any triple in  $A$  in the  $i$ -th dimension, otherwise we will find  $|A| \leq |B|$ . Then we have  $A \cup d \in \mathcal{I}_i$  because in the  $i$ -th dimension  $d$  is different with any triple in  $A$ . Then  $M_i$  satisfies hereditary.

So  $M_i = (D, \mathcal{I}_i)$  is indeed a matroid.

If we the independent set we defined in (a)  $I$ , then we get an independent system  $(D, \mathcal{I})$ . Obviously  $\mathcal{I} = \mathcal{I}_1 \cup \mathcal{I}_2 \cup \mathcal{I}_3$ . Now according to **Theorem 1**, we have  $\max_{F \subseteq D} \frac{v(F)}{u(F)} \leq 3$ .

□

**Theorem 1.** Suppose an independent system  $(E, \mathcal{I})$  is the intersection of  $k$  matroids  $(E, \mathcal{I}_i)$ ,  $1 \leq i \leq k$ ; that is,  $\mathcal{I} = \bigcap_{i=1}^k \mathcal{I}_i$ . Then  $\max_{F \subseteq E} \frac{v(F)}{u(F)} \leq k$ , where  $v(F)$  is the maximum size of independent subset in  $F$  and  $u(F)$  is the minimum size of maximal independent subset in  $F$ .

**Remark:** You need to include your .pdf and .tex files in your uploaded .rar or .zip file.