# Vector field path following and obstacle avoidance singularity mitigation via look-ahead flight envelope

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Unmanned Aerial Vehicles conventionally navigate by following a series of pre-planned waypoints that may have to be re-planned when flying in a dynamic environment or encountering
previously unknown obstacles. Waypoints are generally planned off-line and relayed to the
UAV, taking up time and autopilot communication resources. Attractive path following and repulsive obstacle avoidance vector fields have been summed together to produce UAV guidance
that follows pre-planned paths and avoids obstacles without the need to re-plan. Summing
attractive and repulsive vector fields may produce small regions of null guidance, called singularities, which could potentially lead to trap situations. An investigation into singularity
mitigation by vector field weight parameterization is presented.

#### I. Nomenclature

UAV = Unmanned Aerial Vehicle

VF = Vector Field

VFF = Virtual Force Field

LVF = Lyapunov Vector Field

GVF = Goncalves Vector Field

## **II. Introduction**

Unmanned Aerial Vehicles are pilotless aircraft used by military, police, and civilian communities for tasks such as reconnaissance, damage assessment, natural disaster surveying, and target tracking [1, 2]. Tasks can be performed by a single UAV or with a team of other air, ground, or marine vehicles [3–5]. Autonomous vehicle missions are typically accomplished by navigating a series of waypoints [1] or path following [6]. Waypoints are conventionally generated off-line at a ground station and relayed over radio to the UAVs autopilot. Obstacles such as buildings, terrain, and other vehicles can be avoided by planning waypoints around obstacles. The on-board guidance directs the UAV towards the

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current active waypoint, once the UAV has reached a pre-defined distance from the waypoint the UAV is directed to the next waypoint. An example of a UAV following waypoint guidance while avoiding an obstacle is shown in Figure 1



Fig. 1 UAV path from waypoint guidance

During waypoint navigation the UAV may encounter obstacles or environmental changes that would require a new set of obstacle free waypoints to be generated. For highly uncertain or dynamic environments, there may have to be frequent updates which increases the communication overhead of the autopilot since paths may be updated and communicated from the ground. Additionally, if communication is delayed or lost, waypoints may not be updated rapidly enough and the UAV may fail to avoid the obstacles if the autopilot is following outdated waypoints that violate an obstacle. Real time obstacle avoidance without the need to replan may be found in the use of potential and vector fields, which operate on the principle of artificial attractive and repulsive forces to guide a robotic system.

#### A. Potential Field

Obstacle free paths in static and dynamic environments have been generated with the potential field method, which models a robot's workspace as a gradient of artificial attractive and repulsive forces [7]. Potential field combines path planning, trajectory planning, and control into a single system [8]. Paths can be generated by placing a point mass at an initially high potential and allowing it to descend a gradient until the point reaches the goal, located at a global minimum potential. Obstacles provide a limited repulsive force, pushing the mass away from the obstacle.

A histogram based potential field method can be found in [9–11] which allowed for real time goal seeking with obstacle avoidance. Sensors on-board a ground robot located at  $(x_0, y_0)$  detect obstacles within a pre-defined window containing a fixed number of cells. Cells containing an obstacle provide a repulsive force  $\overrightarrow{F_{i,j}}$  opposite in direction to the line-of-sight from vehicle to cell location  $(x_i, y_j)$ , where (i, j) represents the cell index,  $F_{cr}$  is a constant repulsive force, W the vehicle's width,  $C_{i,j}$  a cell's certainty, and  $d_{i,j}$  the distance to the center of the cell with respect to robots center.

$$\overrightarrow{F_{i,j}} = \frac{F_{cr} W^n C_{i,j}}{d_{i,j}^n} \left( \frac{x_i - x_0}{d_{i,j}} \hat{x} + \frac{y_i - y_0}{d_{i,j}} \hat{y} \right)$$
 (1)

The total repulsive force exerted on the robot is determined by summing the active cells, shown in Equation 2

$$\overrightarrow{F_r} = \sum_{i,j} \overrightarrow{F_{i,j}} \tag{2}$$

The robot is attracted to the goal by force  $\overrightarrow{F_t}$  with constant magnitude  $F_{ct}$  and along the LOS from robot center to goal, located at  $(x_t, y_t)$  and a distance  $d_t$ , shown in Equation 3

$$\overrightarrow{F_t} = F_{ct} \left( \frac{x_t - x_0}{d_t} \hat{x} + \frac{y_t - y_0}{d_t} \hat{y} \right)$$
 (3)

Summing together attractive and repulsive forces produce a vector that can be used for heading guidance, shown in Equation 4.

$$\overrightarrow{R} = \overrightarrow{F_r} + \overrightarrow{F_t} \tag{4}$$

Major drawbacks to potential field were identified in [11] consisting of local minimum and oscillations in corridors. The local minimum problem occurs when closely spaced obstacle's potential combine to produce a well on the descent gradient where a pre-mature stable point is found. Proposed solutions to local minimum include object clustering and virtual waypoint method [12], virtual escaping route [13], and use of navigation functions [14]. Oscillations in potential field were studied in [15] and [16].

In addition to local minimum and oscillations, potential field converges to a singular point which is not possible for fixed wing aircraft since it must maintain a minimum forward velocity to remain airborne. Similar to conventional waypoint guidance, the active goal point would change as a function of proximity. Simulating a UAV using VFF as guidance for a Dubins vehicle was performed and is shown in Figure 2, where a single obstacle cell located at the origin. The UAV initially travels directly toward the goal located at (50,0) until the obstacle is encountered, at which point a repulsive force is applied. The UAV avoids the obstacle, however significantly deviates and fails to get back on the path between waypoints. For certain applications it may be benefitial to follow explicit paths for tasks such as data collection on a roadway or searching a tree line.

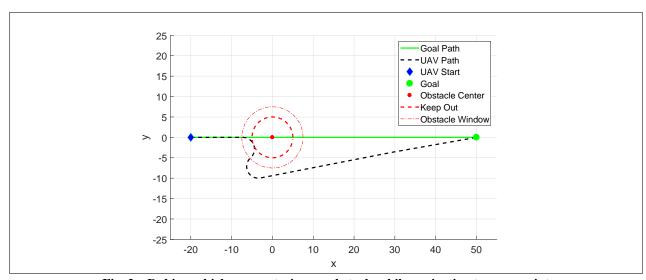


Fig. 2 Dubins vehicle encountering an obstacle while navigating to a waypoint

Following an explicit path to collect data or follow a ground target can be accomplished with vector fields, which produce a heading guidance that asymptotically converges and circulates a path. A comparison between vector field and waypoint guidance techniques was presented in [17] where each method was evaluated based on its complexity, robustness, and accuracy. The vector field model produced guidance that was both robust to external wind disturbances while maintaining a low cross track error. The two most prominent methods for generating vector fields in literature consist of the Lyapunov [18–23] and Goncalves [24–27] method.

Lyapunov vector fields for converging and following straight and circular paths were described in [18].

Straight and circular path vector fields can be selectively activated throughout flight to form more complex paths, shown in [18–20, 28]. Lyapunov vector field for curved path following was presented in [23] which may allow for more complex paths and eliminates the need to switch between vector fields.

#### B. GVF

The Gonvalves Vector Field (GVF) method produces a similar field, however has several advantages over LVFs. GVF produces an n-dimensional vector field that converges and circulates to both static and time varying paths. Additionally, convergence, circulation, and time-varying terms that make up the GVF are decoupled from each other allowing for easy weighting of the total field. GVFs converge and circulate at the intersection, or level set, of n-1 dimensional implicit surfaces ( $\alpha_i : \mathbb{R}^n \to \mathbb{R} | i = 1, ..., n-1$ ). The integral lines of the field are guaranteed to converge and circulate the level set when two conditions are met: 1) the implicit surface functions are positive definite and 2) have bounded derivatives.

The total vector field  $\overrightarrow{V}$  is calculated by:

$$\overrightarrow{V} = G\nabla V + H \wedge_{i=1}^{n-1} \nabla \alpha_i - LM(\alpha)^{-1} a(\alpha)$$
 (5)

or in component form:

$$\overrightarrow{V} = \overrightarrow{V}_{conv} + \overrightarrow{V}_{circ} + \overrightarrow{V}_{tv} \tag{6}$$

where  $\overrightarrow{V}_{conv}$  produces vectors perpendicular to the path,  $\overrightarrow{V}_{circ}$  produces vectors parallel to the path, and  $\overrightarrow{V}_{tv}$  is a feed-forward term that produces vectors accounting for a time varying path.

Convergence is calculated by:

$$\vec{V}_{conv} = G\nabla V \tag{7}$$

where scalar G is multiplied by the gradient of the definite potential function V:

$$V = -\sqrt{\alpha_1^2 + \alpha_2^2} \tag{8}$$

Circulation is calculated by taking the wedge product of the gradients of the surface functions:

$$\vec{V}_{circ} = \wedge_{i=1}^{n-1} \nabla \alpha_i \tag{9}$$

In the case of (n = 3) the wedge product simplifies as the cross product:

$$\vec{V}_{circ} = \nabla \alpha_1 \times \nabla \alpha_2 \tag{10}$$

The feed-forward time-varying component is calculated by:

$$\vec{V}_{tv} = M^{-1}a \tag{11}$$

where,

$$M = \begin{bmatrix} \nabla \alpha_1^T \\ \nabla \alpha_2^T \\ (\nabla \alpha_1 \times \nabla \alpha_2)^T \end{bmatrix}$$
 (12)

$$a = \begin{bmatrix} \frac{\partial \alpha_1}{\partial t} & \frac{\partial \alpha_2}{\partial t} & 0 \end{bmatrix}^T \tag{13}$$

Intersecting two flat planes ( $\alpha_1 = z$ ,  $\alpha_2 = x$ ) produces a GVF that converges and circulates a straight path, shown in Figure 4.

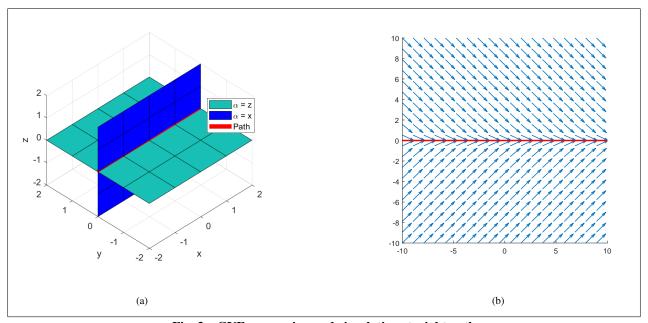


Fig. 3 GVF converging and circulating straight path

A GVF for converging and circulating a circular path can be produced by intersecting a plane and a cylinder  $(\alpha_1 = z, \alpha_2 = x^2 + y^2 - r^2)$ .

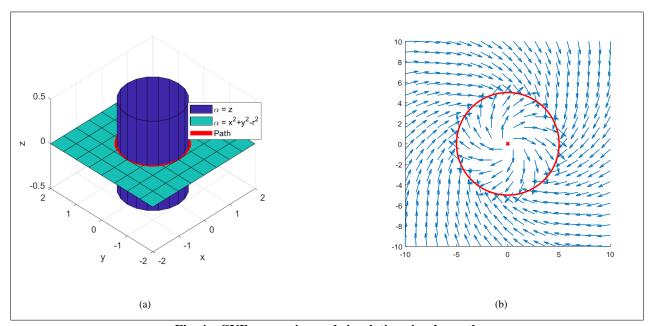


Fig. 4 GVF converging and circulating circular path

GVF was compared against LVP in a standoff tracking scenario in [Wilhelm] where a fixed wing UAV was tasked with with loitering around a moving ground target while avoiding static obstacles. A circular time-varying attractive vector field was attached to a moving ground target. Static circular repulsive vector fields centered at the obstacles and weighted by hyperbolic tangent decay functions were summed with the attractive circular field to produce a target loitering and obstacle avoidance guidance. The performance of Lyapunov [21] and gradient vector field [24–26] were compared for their cross track error with respect to the loiter circle. Gradient vector field had favorable performance due to compensation for a time-varying vector field. The gradient vector field technique also has the benefit of decoupled weighting parameters for convergence, circulation, and time-varying terms, allowing for easy modification of field behavior.

Decay functions for avoidance fields using GVF were investigated in [Zhu] for obstacles present on a straight path. When summing attractive and repulsive vector fields there is the possibility of guidance singularities, where magnitude and direction are equal and opposite. The presence of singularities were not addressed in [Wilhelm] and [Zhu], mentioned briefly in [18] and observed in [29]. For fixed wing UAVs the lack of guidance may prevent the UAV from avoiding an obstacle, while multi-rotor UAVs may end up in a trap situation. Singularities may be present at any location where a goal field and obstacle field are of equal strength.

#### C. Dubins Vehicle

Dubin's vehicle's position  $\overrightarrow{X}$  at time t is calculated from the integral of the velocity vector  $\overrightarrow{U}$ . The vehicle has a constant velocity magnitude  $u_{uav}$  at a heading  $\theta$ . The rate at which  $\theta$  changes with respect to time is based on limitations of the craft itself.

$$\overrightarrow{U}(t) = u_{uav} \begin{bmatrix} cos(\theta(t)) \\ sin(\theta(t)) \end{bmatrix}$$
(14)

$$\overrightarrow{X}(t) = \overrightarrow{U}dt + \overrightarrow{X}(t-1) \tag{15}$$

$$\dot{\theta} \le 20 deg/s \tag{16}$$

## III. methods

Overview of methods

Construction of guidance for desired path

Construction of avoidance guidance

Path following and obstacle avoidance guidance

Singularity detection

Selection of vf parameters for optimized obstacle avoidance

# A. Path Following with GVF

Path following guidance for a planar UAV at position (x, y) for a time invariant line is achieved by summing together convergence  $\overrightarrow{V}_{conv}$  and circulation  $\overrightarrow{V}_{circ}$  terms shown in Equation 17.

$$\overrightarrow{V} = \overrightarrow{V}_{conv} + \overrightarrow{V}_{circ} \tag{17}$$

where

$$\overrightarrow{V}_{conv} = G\nabla V \tag{18}$$

and the potential function V is

$$V = -\sqrt{\alpha_1^2 + \alpha_2^2} \tag{19}$$

where the plane defined by implicit surface function  $\alpha_1$  is at angle  $\delta$  and plane  $\alpha_2$  is at constant height of Z=1 shown in Equations 20 and 21 respectively.

$$\alpha_1 = \cos(\delta)x + \sin(\delta)y \tag{20}$$

$$\alpha_2 = z \tag{21}$$

The gradient  $\nabla$  of the potential function V is shown in Equation 22.

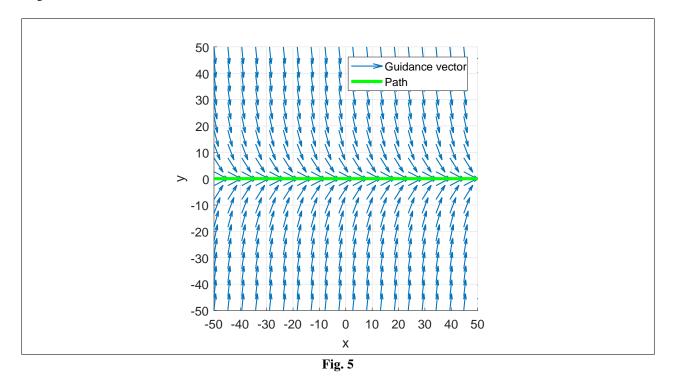
$$\nabla V = -\frac{1}{2(\sqrt{\cos^2(\delta)x^2 + 2\cos(\delta)\sin(\delta)xy + \sin^2(\delta)y^2})} \begin{bmatrix} 2x\cos^2(\delta) + 2\cos(\delta)\sin(\delta)y \\ 2y\sin^2(\delta) + 2\cos(\delta)\sin(\delta)x \\ 2 \end{bmatrix}$$
(22)

Circulation is calculated by the cross product of the surface function gradients, shown in Equation 23 and 24.

$$\overrightarrow{V}_{circ} = \nabla \alpha_1 \times \nabla \alpha_2 \tag{23}$$

$$\overrightarrow{V}_{circ} = \begin{bmatrix} cos(\theta) \\ sin(\theta) \\ 0 \end{bmatrix}$$
 (24)

Guidance for a path at angle  $\delta=0$  and equal parts circulation and convergence weights G=H=1 is shown in Figure 5 below.



## **B.** Avoidance

Constructing a repulsive vector field for avoidance using the GVF method starts with constructing a vector field that converges and circulates a circular path. A GVF that converges and circulates a circular path is constructed with

the implicit functions of a cylinder of radius r centered at  $(x_c, y_c)$  and a level plane of constant height Z, shown in Equations 25 and 26 below.

$$\alpha_1 = (x - x_c)^2 + (y - y_c)^2 - r^2 \tag{25}$$

$$\alpha_2 = z \tag{26}$$

Convergence is determined by the gradient of the potential function 22, which when simplified evaluates to

$$\overrightarrow{V}_{conv} = A\overrightarrow{B} \tag{27}$$

where

$$A = \frac{-1}{\sqrt{\bar{x}^4 + \bar{y}^4 + 2\bar{x}^2\bar{y}^2 - 2r^2\bar{x}^2 - 2r^2\bar{y}^2 + r^2 + z^2}}$$
(28)

and

$$\overrightarrow{B} = \begin{bmatrix} 2\bar{x}^3 + 2\bar{x}\bar{y}^2 - 2r^2\bar{x} \\ 2\bar{y}^3 + 2\bar{x}^2\bar{y} - 2r^2\bar{y} \\ z \end{bmatrix}$$
 (29)

$$\bar{x} = x - x_C \tag{30}$$

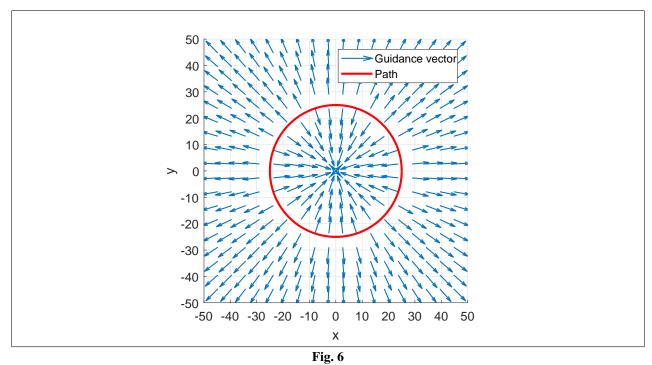
$$\bar{y} = y - y_c \tag{31}$$

Circulation is calculated from the cross product of each implicit surface functions gradient, which simplifies to

$$\overrightarrow{V}_{circ} = \begin{bmatrix} 2(y - y_c) \\ -2(x - x_c) \\ 0 \end{bmatrix}$$
 (32)

Guidance for avoiding a circular path with a large radius can be produced by setting the convergence weight G = -1 and circulation weight H = 0, shown in Figure 6. Note that the vectors are normalized prior to applying decay to ensure

the vector field strength is bounded.



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Note that inside of the path, vectors point towards the center of the circle which may produce a trap situation if the UAV ends up inside the radius. To prevent a trap situation inside of the circular path, the radius of the path can be reduced, as shown in Figure 7 where r = 0.01.

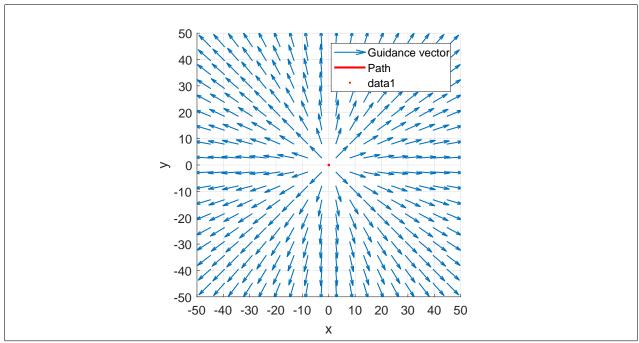


Fig. 7

To limit the influence of the repulsive field to a radius R, a decay function is applied prior to summing with the path following guidance. The decay strength P is determined in 33, where d is the euclidean distance, or range, between the UAV and the center of the obstacle, shown in Equation 34. At a distance d > R the decay strength P is effectively zero, having virtual no influence on the total guidance. At a distance  $d \le R$ , the field strength is bounded between [0, 2].

$$P = -\tanh\left(\frac{2\pi d}{R} - \pi\right) + 1\tag{33}$$

$$d = \sqrt{\bar{x}^2 + \bar{y}^2} \tag{34}$$

Applying the decay function with a decay edge radius R = 35 to the GVF shown in figure 7, results in the field shown in Figure 8.

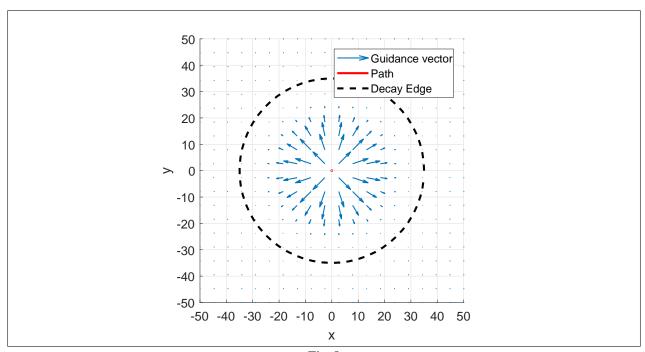
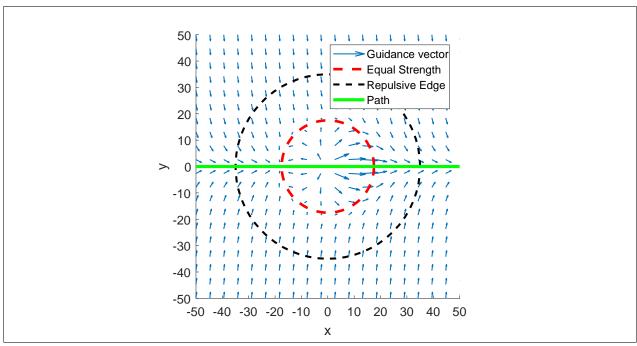


Fig. 8

Summing together the path following field with an obstacle centered on the path results in the guidance  $\overrightarrow{V}_G$  shown in Figure 9.

$$\overrightarrow{V}_g = \overrightarrow{V}_{path} + P\overrightarrow{V}_{obst} \tag{35}$$



# Fig. 9

# C. Singularity Detection

$$||\overrightarrow{V}_g|| = 0 \tag{36}$$

Summing GVFs together may lead to small regions where the vector magnitude is near or equal to zero. Singularities are expected to exist where two summed fields have equal strength. The location of the singularities can be found by determining where the magnitude of the resulting guidance is equal to zero.

Plotting the magnitude of the summed field near the obstacle shows a well that descends into several local minimums called singularities, shown in Figure 10.

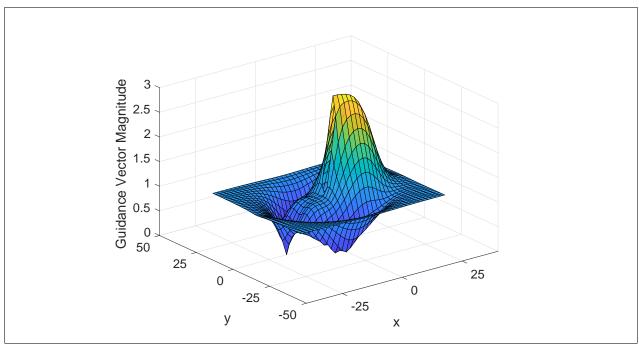


Fig. 10

Multiple singularities or near zero guidance regions may exist, so several initial conditions must be evaluated to increase the probability of detection. With the path and obstacle field shown in 9, several initial conditions evenly spaced were evaluated both inside and outside of the equal strength circle. Note how only points left of the obstacle were evaluated since this region is where attractive and repulsive vectors oppose each other, therefore it is where singularities are expected. Both inside and outside initial conditions determine the location of the singularities.

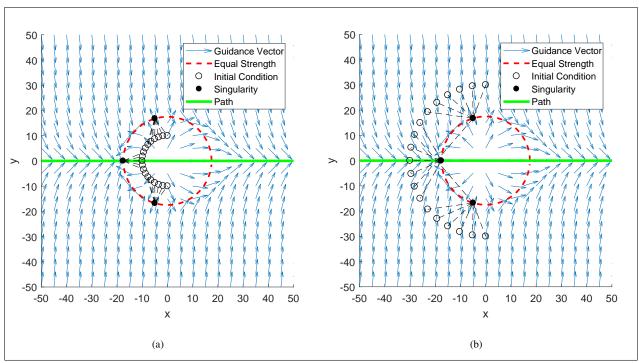


Fig. 11 GVF converging and circulating circular path

# D. Flight Envelope

Evaluating a large number of initial conditions to improve the probability of finding singularities may be computationally expensive and may also find singularities the UAV may not encounter. Selecting a reduced set of initial conditions and to determine if the singularities exist where the UAV may fly, a flight envelope is determined for some time horizon  $t_h$ . Consider the UAV depicted in Figure 12 with a turn rate  $\dot{\theta}$  and fixed speed u.

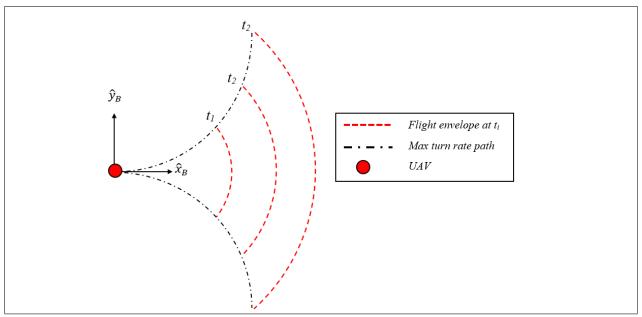


Fig. 12

The flight envelope, or positions the UAV, at time  $t_i$  with respect to the body frame is calculated in Equations 37 and 38

$$q_x = \frac{u}{\dot{\theta}} \sin(t_h \dot{\theta}) \tag{37}$$

$$q_{y} = \frac{u}{\dot{\theta}} \left( 1 - \cos(t_{h}\dot{\theta}) \right) \tag{38}$$

It is convenient to represent points on the flight envelope in the global inertial frame. The flight envelope points  $(q_x, q_y)$  can be expressed in vector form by finding the angle  $\phi$  with respect to the body frame  $\hat{x}_b$  axis and the vector magnitude q shown in equations 39 and 40 respectively.

$$\phi = \tan^{-1} \left( \frac{q_y}{q_x} \right) \tag{39}$$

$$q = \sqrt{q_x^2 + q_y^2} \tag{40}$$

$$\overrightarrow{Q_b} = \begin{bmatrix} q\cos\phi \\ q\sin\phi \\ 0 \end{bmatrix} \tag{41}$$

To express the flight envelope in the global inertial frame, the position vector of the UAV  $\overrightarrow{P}_0$  and  $\theta$  are applied with a rotation matrix R, shown in Equations 44, 42, and 43 below.

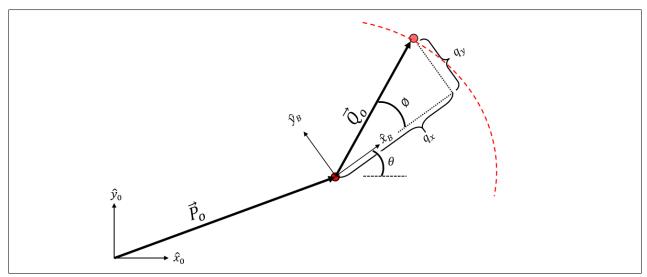


Fig. 13

$$\overrightarrow{P}_0 = \begin{bmatrix} x & y & 0 \end{bmatrix}^T \tag{42}$$

$$R = \begin{bmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$(43)$$

$$\overrightarrow{Q}_0 = \overrightarrow{P_0} + R\overrightarrow{Q_b} \tag{44}$$

Initial conditions placed on the flight envelope will follow the magnitude gradient of the GVF guidance and locate any singularities it may encounter. When a singularity is found to exist inside or near a flight envelope the field can be modified to counteract it.

## **IV. Conclusion**

# **Appendix**

# Acknowledgments

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