

# Data Structures Using C++ 2E

## *Chapter 11*

### *Binary Trees and B-Trees*

# Objectives

- Learn about binary trees
- Explore various binary tree traversal algorithms
- Learn how to organize data in a binary search tree
- Discover how to insert and delete items in a binary search tree

# Objectives (cont'd.)

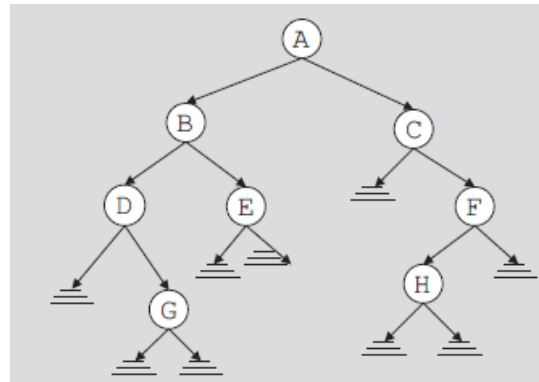
- Explore nonrecursive binary tree traversal algorithms
- Learn about AVL (height-balanced) trees
- Learn about B-trees

# Binary Trees

- Definition: a binary tree,  $T$ , is either empty or such that
  - $T$  has a special node called the root node
  - $T$  has two sets of nodes,  $L_T$  and  $R_T$ , called the left subtree and right subtree of  $T$ , respectively
  - $L_T$  and  $R_T$  are binary trees
- Can be shown pictorially
  - Parent, left child, right child
- Node represented as a circle
  - Circle labeled by the node

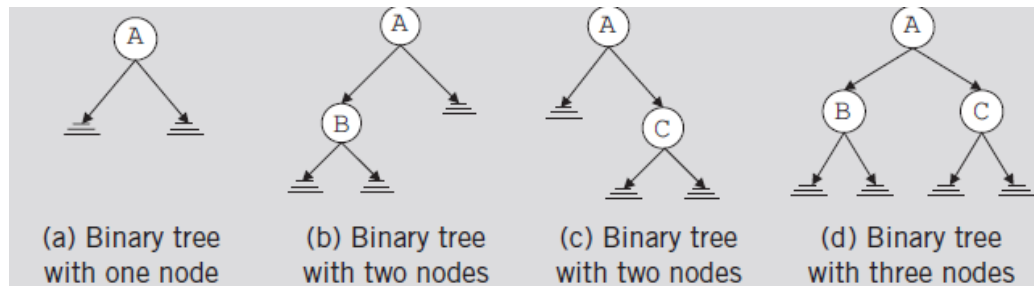
# Binary Trees (cont'd.)

- Root node drawn at the top
  - Left child of the root node (if any)
    - Drawn below and to the left of the root node
  - Right child of the root node (if any)
    - Drawn below and to the right of the root node
- Directed edge (directed branch): arrow

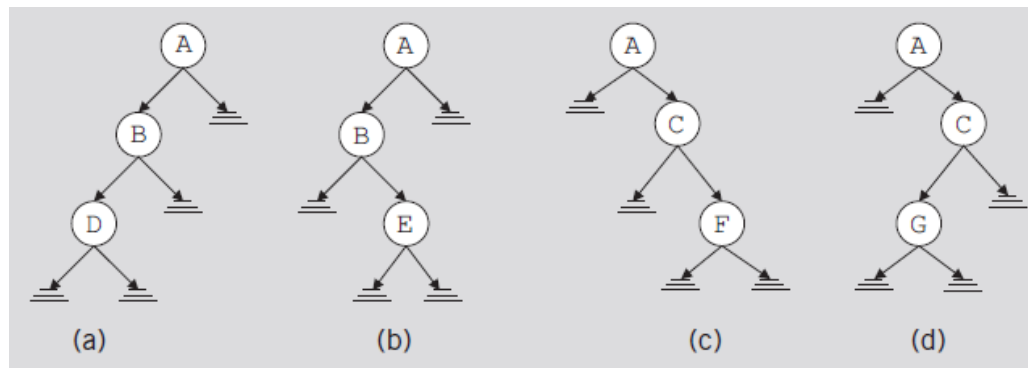


**FIGURE 11-1** Binary tree

# Binary Trees (cont'd.)



**FIGURE 11-2** Binary tree with one, two, or three nodes



**FIGURE 11-3** Various binary trees with three nodes

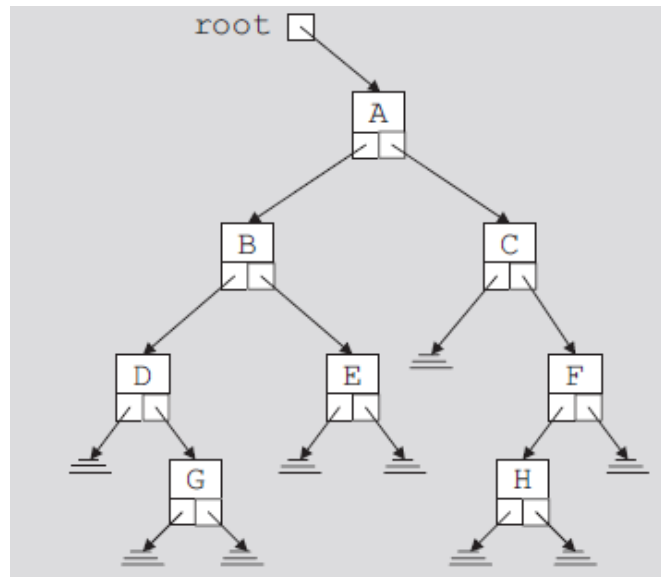
# Binary Trees (cont'd.)

- Every node in a binary tree
  - Has at most two children
- `struct` defining node of a binary tree
  - For each node
    - The data stored in `info`
    - A pointer to the left child stored in `llink`
    - A pointer to the right child stored in `rlink`

```
template <class elemType>
struct binaryTreeNode
{
    elemType info;
    binaryTreeNode<elemType> *llink;
    binaryTreeNode<elemType> *rlink;
};
```

# Binary Trees (cont'd.)

- Pointer to root node is stored outside the binary tree
  - In pointer variable called the root
    - Of type `binaryTreeNode`



**FIGURE 11-4** Binary tree



# Binary Trees (cont'd.)

- Level of a node
  - Number of branches on the path
- Height of a binary tree
  - Number of nodes on the longest path from the root to a leaf
  - See code on page 604

# Copy Tree

- Shallow copy of the data
  - Obtained when value of the pointer of the root node used to make a copy of a binary tree
- Identical copy of a binary tree
  - Need to create as many nodes as there are in the binary tree to be copied
  - Nodes must appear in the same order as in the original binary tree
- Function `copyTree`
  - Makes a copy of a given binary tree
  - See code on pages 604-605

# Binary Tree Traversal

- Must start with the root, and then
  - Visit the node first *or*
  - Visit the subtrees first
- Three different traversals
  - Inorder
  - Preorder
  - Postorder

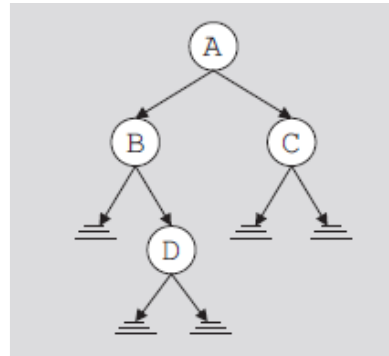
# Binary Tree Traversal (cont'd.)

- Inorder traversal
  - Traverse the left subtree
  - Visit the node
  - Traverse the right subtree
- Preorder traversal
  - Visit the node
  - Traverse the left subtree
  - Traverse the right subtree

# Binary Tree Traversal (cont'd.)

- Postorder traversal
  - Traverse the left subtree
  - Traverse the right subtree
  - Visit the node
- Each traversal algorithm: recursive
- Listing of nodes
  - Inorder sequence
  - Preorder sequence
  - Postorder sequence

# Binary Tree Traversal (cont'd.)



**FIGURE 11-5** Binary tree for an inorder traversal

```
template <class elemType>
void inorder(binaryTreeNode<elemType> *p) const
{
    if (p != NULL)
    {
        inorder(p->llink);
        cout << p->info << " ";
        inorder(p->rlink);
    }
}
```

# Binary Tree Traversal (cont'd.)

- Functions to implement the preorder and postorder traversals

```
template <class elemType>
void preorder(binaryTreeNode<elemType> *p) const
{
    if (p != NULL)
    {
        cout << p->info << " ";
        preorder(p->llink);
        preorder(p->rlink);
    }
}

template <class elemType>
void postorder(binaryTreeNode<elemType> *p) const
{
    if (p != NULL)
    {
        postorder(p->llink);
        postorder(p->rlink);
        cout << p->info << " ";
    }
}
```

# Implementing Binary Trees

- Operations typically performed on a binary tree
  - Determine if binary tree is empty
  - Search binary tree for a particular item
  - Insert an item in the binary tree
  - Delete an item from the binary tree
  - Find the height of the binary tree
  - Find the number of nodes in the binary tree
  - Find the number of leaves in the binary tree
  - Traverse the binary tree
  - Copy the binary tree



# Implementing Binary Trees (cont'd.)

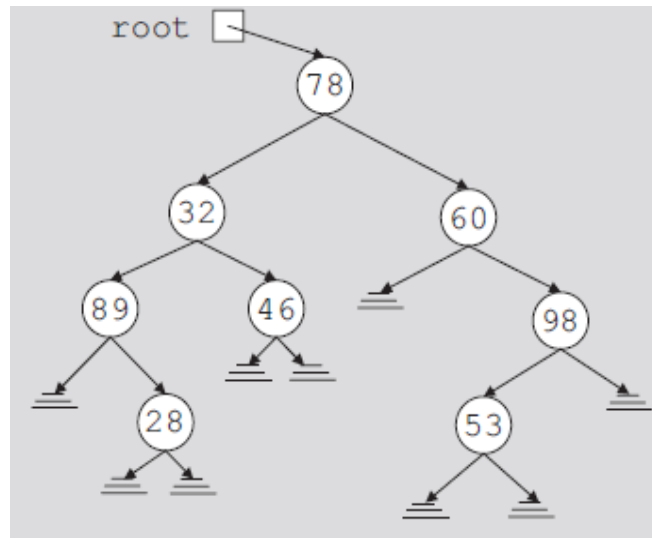
- `class binaryTreeType`
  - Specifies basic operations to implement a binary tree
  - See code on page 609
    - Contains statement to overload the assignment operator, copy constructor, destructor
    - Contains several member functions that are private members of the class
- Binary tree empty if root is `NULL`
  - See `isEmpty` function on page 611

# Implementing Binary Trees (cont'd.)

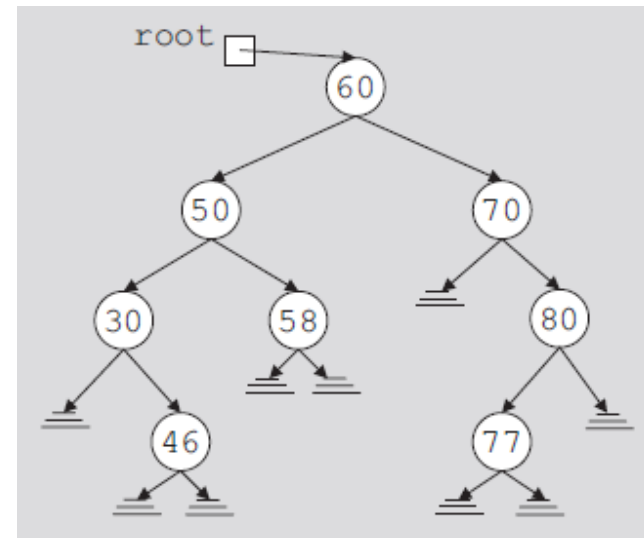
- Default constructor
  - Initializes binary tree to an empty state
  - See code on page 612
- Other functions for binary trees
  - See code on pages 612-613
- Functions: `copyTree`, `destroy`, `destroyTree`
  - See code on page 614
- Copy constructor, destructor, and overloaded assignment operator
  - See code on page 615

# Binary Search Trees

- Data in each node
  - Larger than the data in its left child
  - Smaller than the data in its right child



**FIGURE 11-6** Arbitrary binary tree



**FIGURE 11-7** Binary search tree

# Binary Search Trees (cont'd.)

- A binary search tree,  $T$ , is either empty or the following is true:
  - $T$  has a special node called the root node
  - $T$  has two sets of nodes,  $L_T$  and  $R_T$ , called the left subtree and right subtree of  $T$ , respectively
  - The key in the root node is larger than every key in the left subtree and smaller than every key in the right subtree
  - $L_T$  and  $R_T$  are binary search trees

# Binary Search Trees (cont'd.)

- Operations performed on a binary search tree
  - Search the binary search tree for a particular item
  - Insert an item in the binary search tree
  - Delete an item from the binary search tree
  - Find the height of the binary search tree
  - Find the number of nodes in the binary search tree
  - Find the number of leaves in the binary search tree
  - Traverse the binary search tree
  - Copy the binary search tree

# Binary Search Trees (cont'd.)

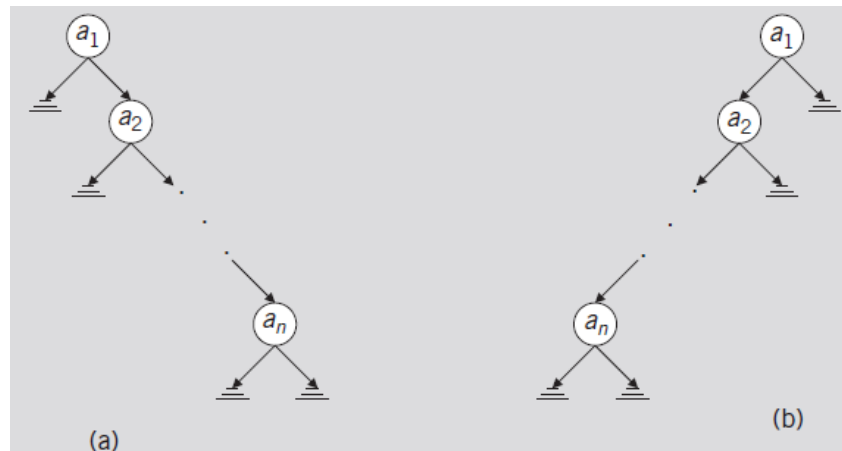
- Every binary search tree is a binary tree
- Height of a binary search tree
  - Determined the same way as the height of a binary tree
- Operations to find number of nodes, number of leaves, to do inorder, preorder, postorder traversals of a binary search tree
  - Same as those for a binary tree
    - Can inherit functions

# Binary Search Trees (cont'd.)

- `class bSearchTreeType`
  - Illustrates basic operations to implement a binary search tree
  - See code on page 618
- Function `search`
- Function `insert`
- Function `delete`

# Binary Search Tree: Analysis

- Worst case
  - $T$ : linear
  - Successful case
    - Algorithm makes  $(n + 1) / 2$  key comparisons (average)
  - Unsuccessful case: makes  $n$  comparisons



**FIGURE 11-10** Linear binary trees



# Binary Search Tree: Analysis (cont'd.)

- Average-case behavior
  - Successful case
    - Search would end at a node
    - $n$  items exist, providing  $n!$  possible orderings of the keys
  - Number of comparisons required to determine whether  $x$  is in  $T$ 
    - One more than the number of comparisons required to insert  $x$  in  $T$
  - Number of comparisons required to insert  $x$  in  $T$ 
    - Same as number of comparisons made in the unsuccessful search reflecting that  $x$  is not in  $T$

# Binary Search Tree: Analysis (cont'd.)

$$S(n) = 1 + \frac{U(0) + U(1) + \dots + U(n-1)}{n} \quad (\text{Equation 11-1})$$

It is also known that

$$S(n) = \left(1 + \frac{1}{n}\right)U(n) - 3 \quad (\text{Equation 11-2})$$

Solving Equations (11-1) and (11-2), it can be shown that  $U(n) \approx 2.77\log_2 n$  and  $S(n) \approx 1.39\log_2 n$ .

# Binary Search Tree: Analysis (cont'd.)

- Theorem: let  $T$  be a binary search tree with  $n$  nodes, where  $n > 0$ 
  - The average number of nodes visited in a search of  $T$  is approximately  $1.39 \log_2 n = O(\log_2 n)$
  - The number of key comparisons is approximately  $2.77 \log_2 n = O(\log_2 n)$

# Nonrecursive Inorder Traversal

1. `current = root; //start traversing the binary tree at the root node`
2. `while (current is not NULL or stack is nonempty)`  
    `if (current is not NULL)`  
        `{`  
            `push current into the stack;`  
            `current = current->llink;`  
        `}`  
    `else`  
        `{`  
            `pop stack into current;`  
            `visit current;               //visit the node`  
            `current = current->rlink;     //move to the right child`  
        `}`

# Nonrecursive Inorder Traversal (cont'd.)

```
template <class elemType>
void binaryTreeType<elemType>::nonRecursiveInTraversal() const
{
    stackType<binaryTreeNode<elemType>* > stack;
    binaryTreeNode<elemType> *current;
    current = root;

    while ((current != NULL) || (!stack.isEmptyStack()))
        if (current != NULL)
        {
            stack.push(current);
            current = current->llink;
        }
        else
        {
            current = stack.top();
            stack.pop();
            cout << current->info << " ";
            current = current->rlink;
        }

    cout << endl;
}
```

# Nonrecursive Preorder Traversal

1. `current = root;            //start the traversal at the root node`
2. `while (current is not NULL or stack is nonempty)`  
    `if (current is not NULL)`  
    `{`  
        `visit current node;`  
        `push current into stack;`  
        `current = current->llink;`  
    `}`  
    `else`  
    `{`  
        `pop stack into current;`  
        `current = current->rlink; //prepare to visit the`  
            `//right subtree`  
    `}`

# Nonrecursive Preorder Traversal (cont'd.)

```
template <class elemType>
void binaryTreeType<elemType>::nonRecursivePreTraversal() const
{
    stackType<binaryTreeNode<elemType>*> stack;
    binaryTreeNode<elemType> *current;

    current = root;

    while ((current != NULL) || (!stack.isEmptyStack()))
        if (current != NULL)
        {
            cout << current->info << " ";
            stack.push(current);
            current = current->llink;
        }
        else
        {
            current = stack.top();
            stack.pop();
            current = current->rlink;
        }

    cout << endl;
}
```

# Nonrecursive Postorder Traversal

```
1. current = root; //start the traversal at the root node
2. v = 0;
3. if (current is NULL)
    the binary tree is empty
4. if (current is not NULL)
    a. push current into stack;
    b. push 1 into stack;
    c. current = current->llink;
    d. while (stack is not empty)
        if (current is not NULL and v is 0)
        {
            push current and 1 into stack;
            current = current->llink;
        }
        else
        {
            pop stack into current and v;
            if (v == 1)
            {
                push current and 2 into stack;
                current = current->rlink;
                v = 0;
            }
            else
                visit current;
        }
    }
```

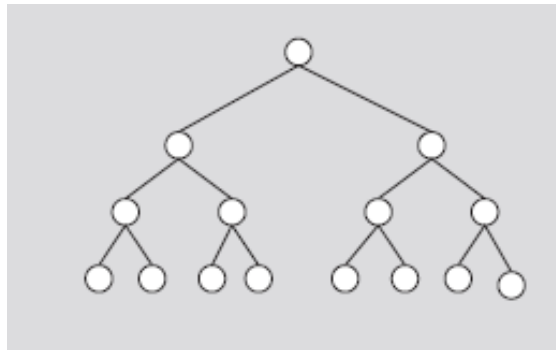


# Binary Tree Traversal and Functions as Parameters

- Passing a function as a parameter to the traversal algorithms
  - Enhances program's flexibility
- C++ function name without any parentheses
  - Considered a pointer to the function
- Specifying a function as a formal parameter to another function
- See Example 11-3

# AVL (Height-Balanced) Trees

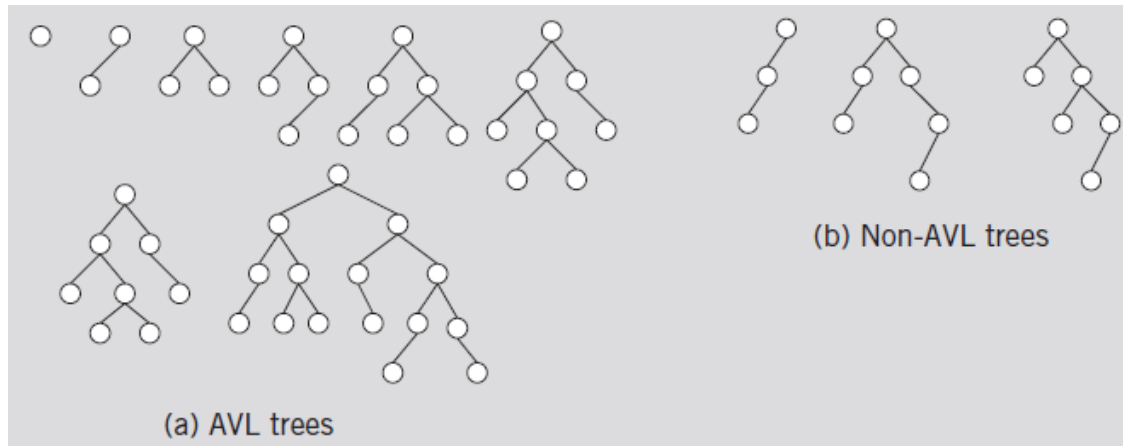
- AVL tree (height-balanced tree)
  - Resulting binary search is nearly balanced
- Perfectly balanced binary tree
  - Heights of left and right subtrees of the root: equal
  - Left and right subtrees of the root are perfectly balanced binary trees



**FIGURE 11-12** Perfectly balanced binary tree

# AVL (Height-Balanced) Trees (cont'd.)

- An AVL tree (or height-balanced tree) is a binary search tree such that
  - The heights of the left and right subtrees of the root differ by at most one
  - The left and right subtrees of the root are AVL trees



**FIGURE 11-13** AVL and non-AVL trees

# AVL (Height-Balanced) Trees (cont'd.)

**Proposition:** Let  $T$  be an AVL tree and  $x$  be a node in  $T$ . Then  $|x_h - x_l| \leq 1$ , where  $|x_h - x_l|$  denotes the absolute value of  $x_h - x_l$ .

Let  $x$  be a node in the AVL tree  $T$ .

1. If  $x_l > x_h$ , we say that  $x$  is **left high**. In this case,  $x_l = x_h + 1$ .
2. If  $x_l = x_h$ , we say that  $x$  is **equal high**.
3. If  $x_h > x_l$ , we say that  $x$  is **right high**. In this case,  $x_h = x_l + 1$ .

**Definition:** The **balance factor** of  $x$ , written  $bf(x)$ , is defined by  $bf(x) = x_h - x_l$ .

Let  $x$  be a node in the AVL tree  $T$ . Then,

1. If  $x$  is left high,  $bf(x) = -1$ .
2. If  $x$  is equal high,  $bf(x) = 0$ .
3. If  $x$  is right high,  $bf(x) = 1$ .

**Definition:** Let  $x$  be a node in a binary tree. We say that the node  $x$  **violates the balance criteria** if  $|x_h - x_l| > 1$ , that is, the heights of the left and right subtrees of  $x$  differ by more than 1.

# AVL (Height-Balanced) Trees (cont'd.)

- Definition of a node in the AVL tree

```
template<class elemType>
struct AVLNode
{
    elemType info;
    int bfactor; //balance factor
    AVLNode<elemType> *llink;
    AVLNode<elemType> *rlink;
};
```

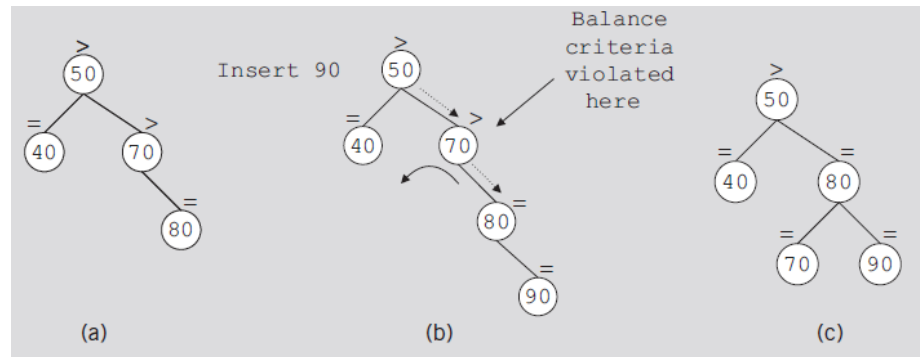
# AVL (Height-Balanced) Trees (cont'd.)

- AVL binary search tree search algorithm
  - Same as for a binary search tree
  - Other operations on AVL trees
    - Implemented exactly the same way as binary trees
  - Item insertion and deletion operations on AVL trees
    - Somewhat different from binary search trees operations

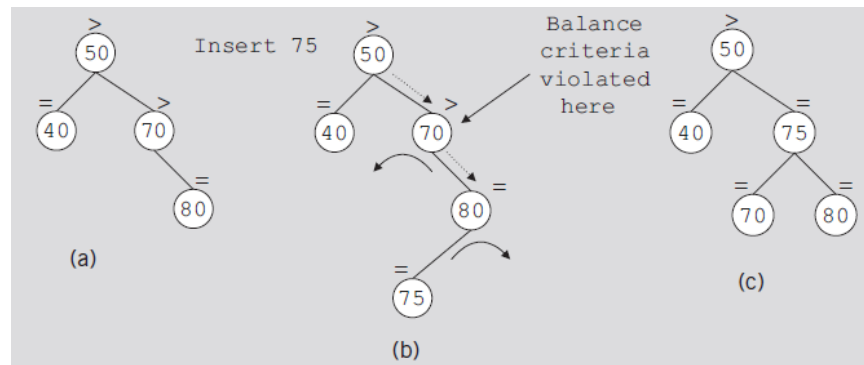
# Insertion

- First search the tree and find the place where the new item is to be inserted
  - Can search using algorithm similar to search algorithm designed for binary search trees
  - If the item is already in tree
    - Search ends at a nonempty subtree
    - Duplicates are not allowed
  - If item is not in AVL tree
    - Search ends at an empty subtree; insert the item there
- After inserting new item in the tree
  - Resulting tree might not be an AVL tree

# Insertion (cont'd.)



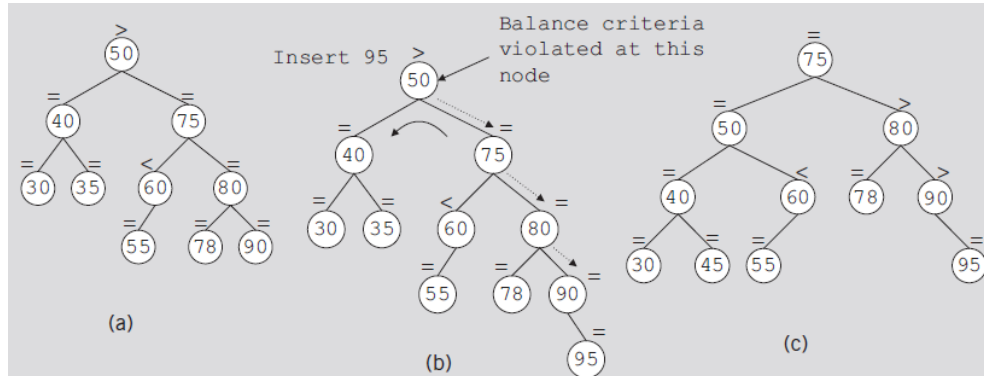
**FIGURE 11-14** AVL tree before and after inserting 90



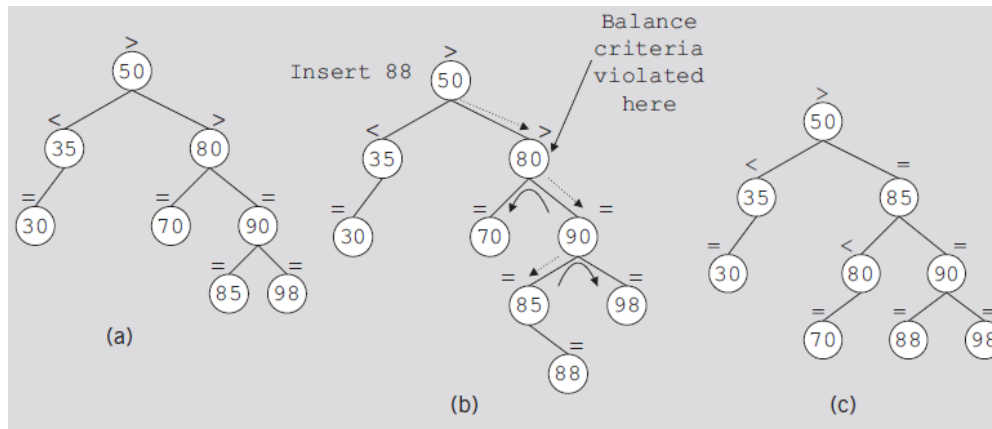
**FIGURE 11-15** AVL tree before and after inserting 75



# Insertion (cont'd.)



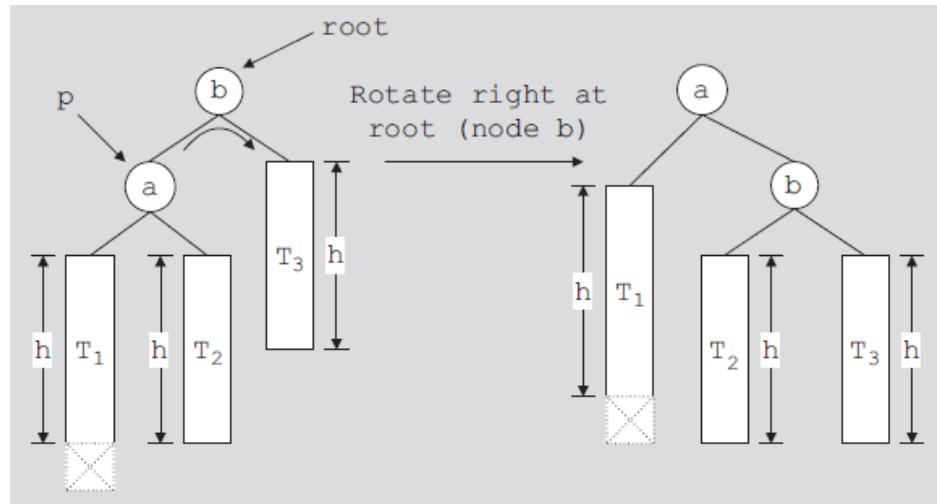
**FIGURE 11-16** AVL tree before and after inserting 95



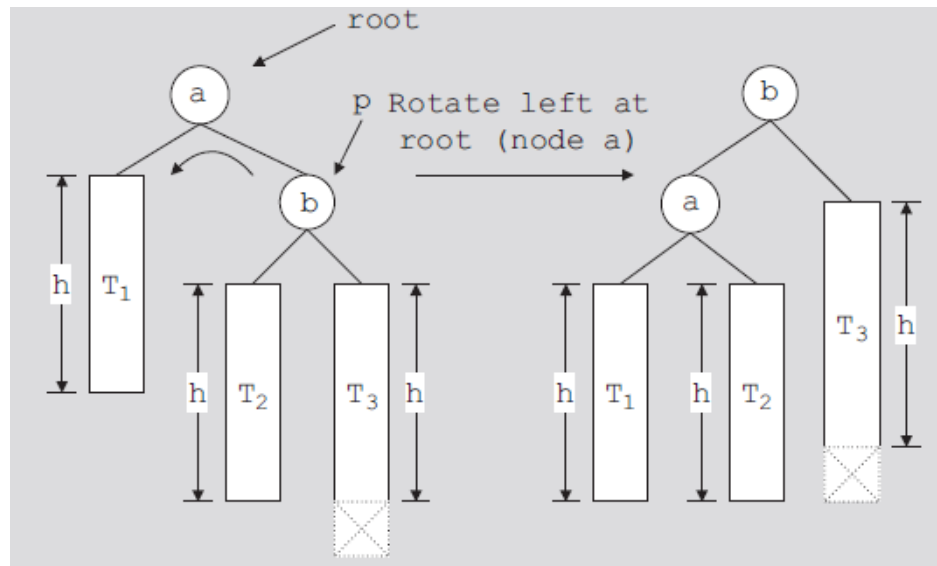
**FIGURE 11-17** AVL tree before and after inserting 88

# AVL Tree Rotations

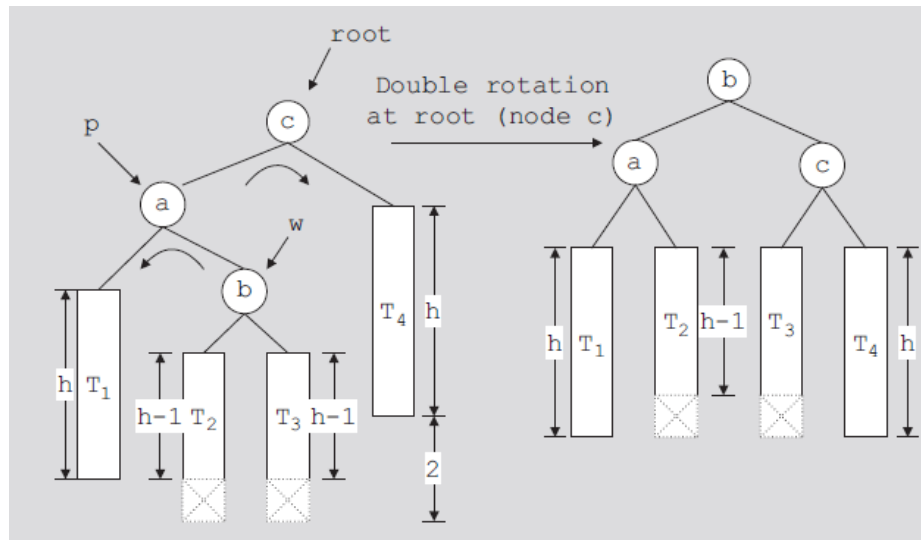
- Rotating tree: reconstruction procedure
- Left rotation and right rotation
- Suppose that the rotation occurs at node x
  - Left rotation: certain nodes from the right subtree of x move to its left subtree; the root of the right subtree of x becomes the new root of the reconstructed subtree
  - Right rotation at x: certain nodes from the left subtree of x move to its right subtree; the root of the left subtree of x becomes the new root of the reconstructed subtree



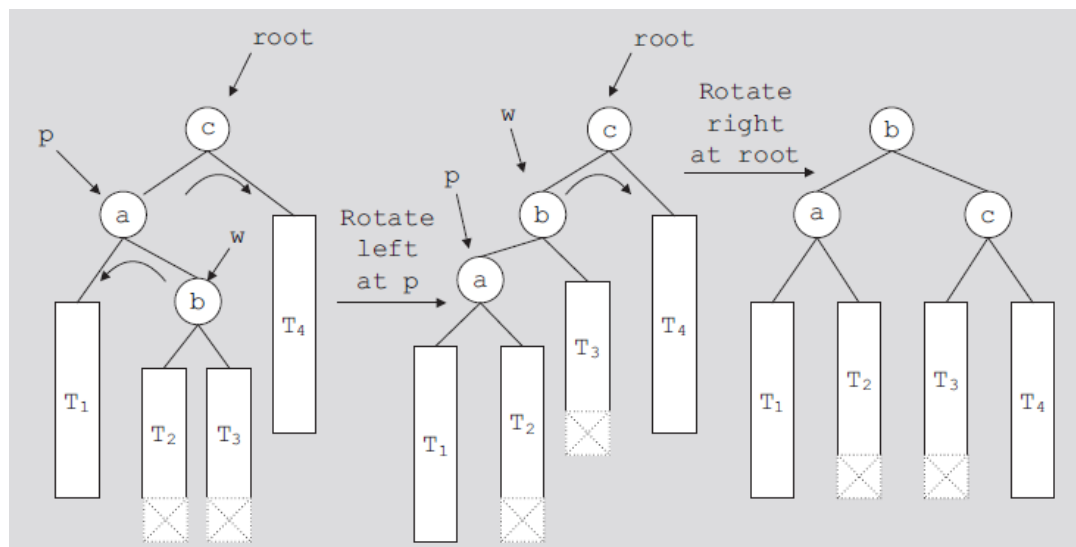
**FIGURE 11-18** Right rotation at  $b$



**FIGURE 11-19** Left rotation at  $a$

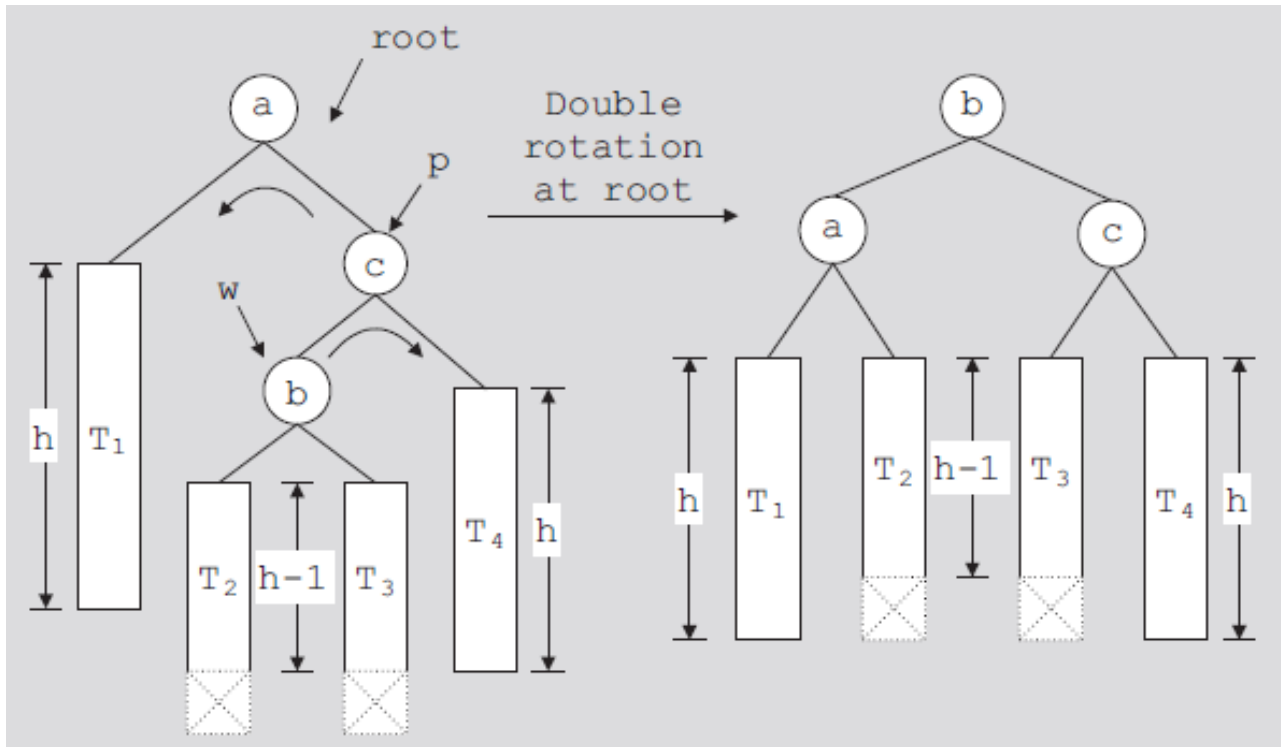


**FIGURE 11-20** Double rotation: First rotate left at *a* and then right at *c*



**FIGURE 11-21** Left rotation at *a* followed by a right rotation at *c*

# AVL Tree Rotations (cont'd.)



**FIGURE 11-22** Double rotation: First rotate right at  $c$ , then rotate left at  $a$

```

template <class elemT>
void rotateToLeft(AVLNode<elemT>* &root)
{
    AVLNode<elemT> *p; //pointer to the root of the
                        //right subtree of root

    if (root == NULL)
        cerr << "Error in the tree" << endl;
    else if (root->rlink == NULL)
        cerr << "Error in the tree:"
            << " No right subtree to rotate." << endl;
    else
    {
        p = root->rlink;
        root->rlink = p->llink; //the left subtree of p becomes
                                //the right subtree of root

        p->llink = root;
        root = p; //make p the new root node
    }
} //rotateLeft

template <class elemT>
void rotateToRight(AVLNode<elemT>* &root)
{
    AVLNode<elemT> *p; //pointer to the root of
                        //the left subtree of root

    if (root == NULL)
        cerr << "Error in the tree" << endl;
    else if (root->llink == NULL)
        cerr << "Error in the tree:"
            << " No left subtree to rotate." << endl;
    else
    {
        p = root->llink;
        root->llink = p->rlink; //the right subtree of p becomes
                                //the left subtree of root

        p->rlink = root;
        root = p; //make p the new root node
    }
} //end rotateRight

```

```

template <class elemT>
void balanceFromLeft(AVLNode<elemT>* &root)
{
    AVLNode<elemT> *p;
    AVLNode<elemT> *w;

    p = root->llink;    //p points to the left subtree of root

    switch (p->bfactor)
    {
    case -1:
        root->bfactor = 0;
        p->bfactor = 0;
        rotateToRight(root);
        break;

    case 0:
        cerr << "Error: Cannot balance from the left." << endl;
        break;

    case 1:
        w = p->rlink;
        switch (w->bfactor)    //adjust the balance factors
        {
        case -1:
            root->bfactor = 1;
            p->bfactor = 0;
            break;

        case 0:
            root->bfactor = 0;
            p->bfactor = 0;
            break;

        case 1:
            root->bfactor = 0;
            p->bfactor = -1;
        }//end switch

        w->bfactor = 0;
        rotateToLeft(p);
        root->llink = p;
        rotateToRight(root);
    }//end switch;
} //end balanceFromLeft

```

```

template <class elemT>
void balanceFromRight (AVLNode<elemT>* &root)
{
    AVLNode<elemT> *p;
    AVLNode<elemT> *w;

    p = root->rlink;    //p points to the left subtree of root

    switch (p->bfactor)
    {
    case -1:
        w = p->llink;
        switch (w->bfactor)    //adjust the balance factors
        {
        case -1:
            root->bfactor = 0;
            p->bfactor = 1;
            break;

        case 0:
            root->bfactor = 0;
            p->bfactor = 0;
            break;

        case 1:
            root->bfactor = -1;
            p->bfactor = 0;
        }//end switch

        w->bfactor = 0;
        rotateToRight (p);
        root->rlink = p;
        rotateToLeft (root);
        break;

    case 0:
        cerr << "Error: Cannot balance from the left." << endl;
        break;

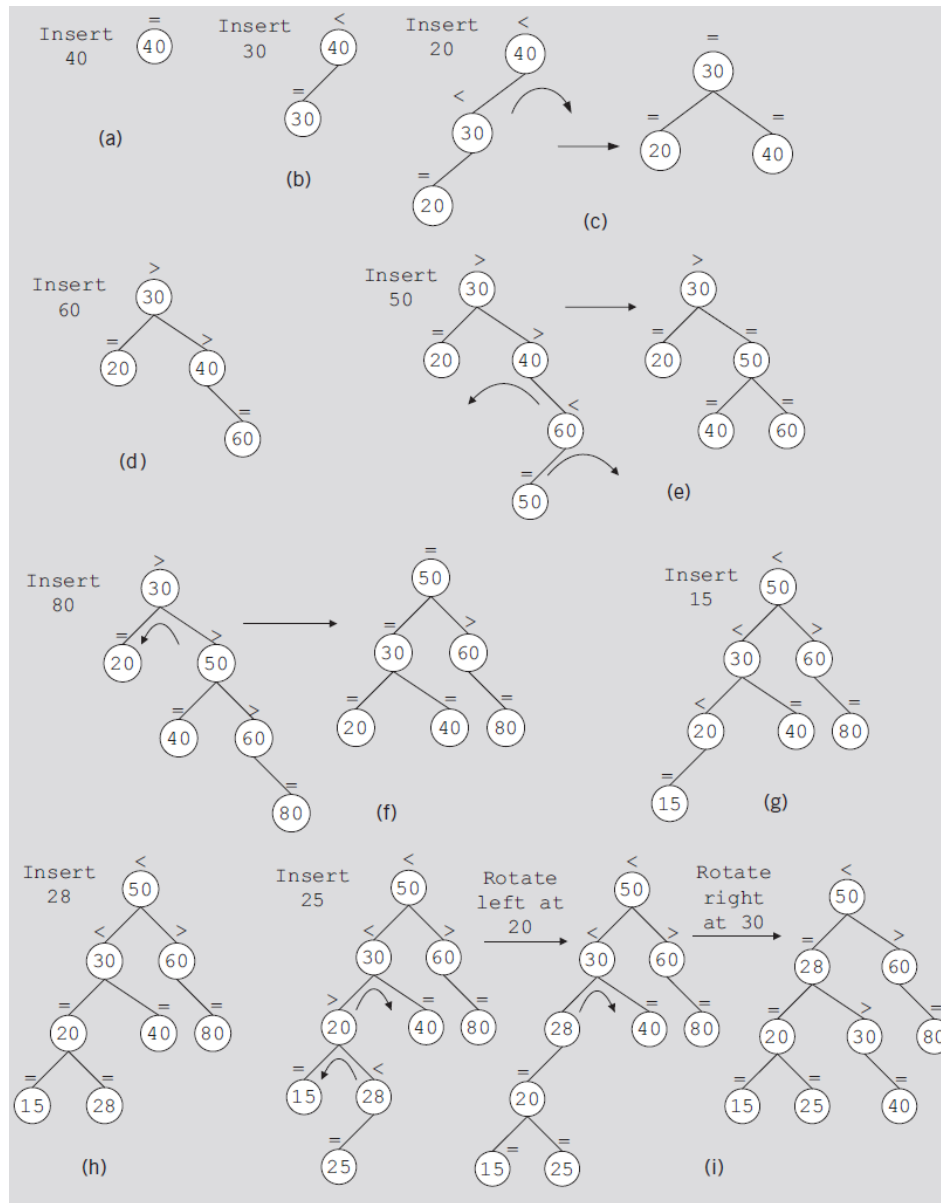
    case 1:
        root->bfactor = 0;
        p->bfactor = 0;
        rotateToLeft (root);
    }//end switch;
} //end balanceFromRight

```



# AVL Tree Rotations (cont'd.)

- Steps describing the function `insertIntoAVL`
  - Create node and copy item to be inserted into the newly created node
  - Search the tree and find the place for the new node in the tree
  - Insert new node in the tree
  - Backtrack the path, which was constructed to find the place for the new node in the tree, to the root node
    - If necessary, adjust balance factors of the nodes, or reconstruct the tree at a node on the path



**FIGURE 11-23** Item insertion into an initially empty AVL tree

# AVL Tree Rotations (cont'd.)

- Function `insert`
  - Creates a node, stores the info in the node, and calls the function `insertIntoAVL` to insert the new node in the AVL tree

```
template <class elemT>
void insert(const elemT &newItem)
{
    bool isTaller = false;
    AVLNode<elemT> *newNode;

    newNode = new AVLNode<elemT>;
    newNode->info = newItem;
    newNode->bfactor = 0;
    newNode->llink = NULL;
    newNode->rlink = NULL;

    insertIntoAVL(root, newNode, isTaller);
}
```

# Deletion from AVL Trees

- Four cases
  - Case 1: The node to be deleted is a leaf
  - Case 2: The node to be deleted has no right child, that is, its right subtree is empty
  - Case 3: The node to be deleted has no left child, that is, its left subtree is empty
  - Case 4: The node to be deleted has a left child and a right child
- Cases 1–3
  - Easier to handle than Case 4

# Analysis: AVL Trees

- Consider all possible AVL trees of height  $h$ 
  - Let  $T_h$  be an AVL tree of height  $h$  such that  $T_h$  has the fewest number of nodes
  - Let  $T_{hl}$  denote the left subtree of  $T_h$  and  $T_{hr}$  denote the right subtree of  $T_h$
  - Then:

$$|T_h| = |T_{hl}| + |T_{hr}| + 1,$$

- where  $|T_h|$  denotes the number of nodes in  $T_h$

# Analysis: AVL Trees (cont'd.)

- Suppose:
  - $T_{hl}$  is of height  $h - 1$  and  $T_{hr}$  is of height  $h - 2$ 
    - $T_{hl}$  is an AVL tree of height  $h - 1$  such that  $T_{hl}$  has the fewest number of nodes among all AVL trees of height  $h - 1$
  - $T_{hr}$  is an AVL tree of height  $h - 2$  that has the fewest number of nodes among all AVL trees of height  $h - 2$ 
    - $T_{hl}$  is of the form  $T_{h-1}$  and  $T_{hr}$  is of the form  $T_{h-2}$
  - Hence:

$$|T_h| = |T_{h-1}| + |T_{h-2}| + 1$$

Clearly,

$$\begin{aligned}|T_0| &= 1 \\ |T_1| &= 2\end{aligned}$$

Let  $F_{h+2} = |T_h| + 1$ . Then,

$$\begin{aligned}F_{h+2} &= F_{h+1} + F_h \\ F_2 &= 2 \\ F_3 &= 3.\end{aligned}$$

This is called a Fibonacci sequence. The solution to  $F_h$  is given by

$$F_h \approx \frac{\phi^h}{\sqrt{5}}, \text{ where } \phi = \frac{1 + \sqrt{5}}{2}.$$

Hence,

$$|T_h| \approx \frac{\phi^{h+2}}{\sqrt{5}} = \frac{1}{\sqrt{5}} \left[ \frac{1 + \sqrt{5}}{2} \right]^{h+2}.$$

From this, it can be concluded that

$$h \approx (1.44) \log_2 |T_h|.$$

# B-Trees

- Leaves on the same level
  - Not too far from the root
- *m*-way search tree
  - Tree in which each node has at most *m* children
    - If the tree is nonempty, it has the following properties:

1. Each node has the following form:

$n$	$P_0$	$K_1$	$P_1$	$K_2$	$K_2$	$\dots$	$K_n$	$P_n$
-----	-------	-------	-------	-------	-------	---------	-------	-------

where  $P_0, P_1, P_2, \dots, P_n$  are pointers to the subtrees of the node,  $K_1, K_2, \dots, K_n$  are keys such that  $K_1 < K_2 < \dots < K_n$ , and  $n \leq m - 1$ .

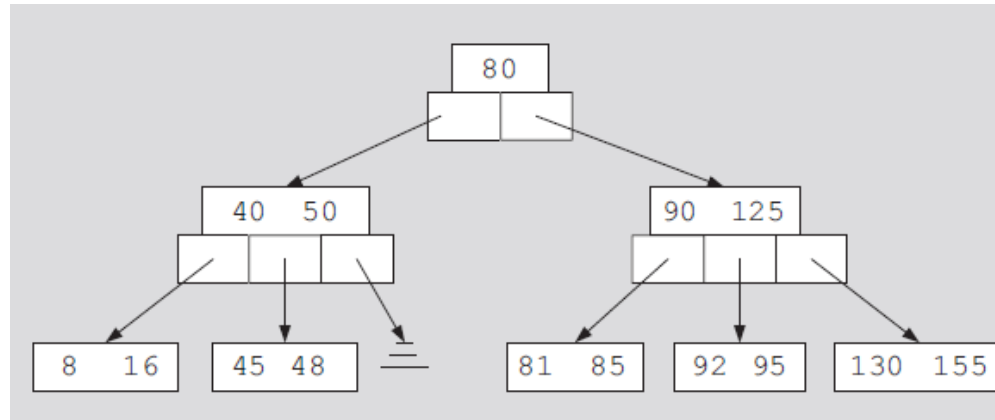
2. All keys, if any, in the node to which  $P_i$  points are less than  $K_{i+1}$ .
3. All keys, if any, in the node to which  $P_i$  points are greater than  $K_i$ .
4. The subtrees, if any, to which each  $P_i$  points are *m*-way search trees.



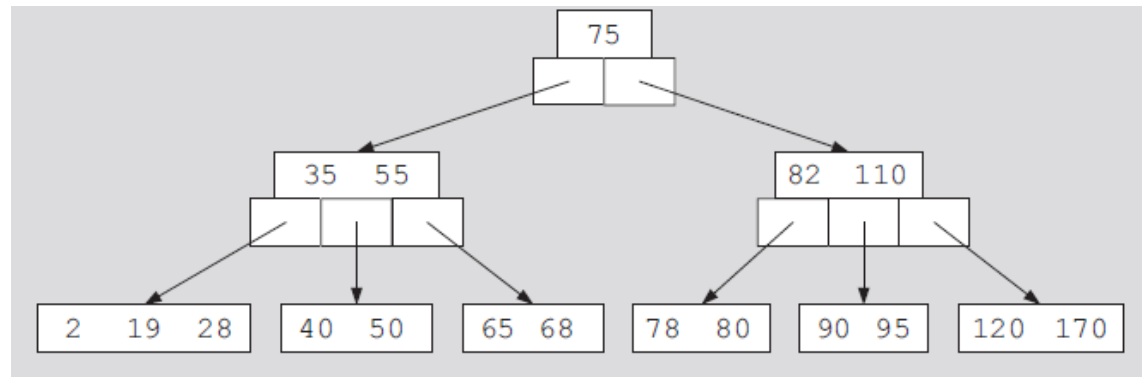
# B-Trees (cont'd.)

- *B*-tree of order  $m$ 
  - $m$ -way search tree
  - Either empty or has the following properties:
    1. All leaves are on the same level.
    2. All internal nodes except the root have at most  $m$  (nonempty) children and at least  $\lceil m/2 \rceil$  children. (Note that  $\lceil m/2 \rceil$  denotes the ceiling of  $m/2$ .)
    3. The root has at least 2 children if it is not a leaf, and at most  $m$  children.
- Basic operations
  - Search the tree, insert an item, delete an item, traverse the tree

# B-Trees (cont'd.)



**FIGURE 11-24** A 5-way search tree



**FIGURE 11-25** A B-tree of order 5

# B-Trees (cont'd.)

- Constant expressions
  - May be passed as parameters to templates
- Definition of a B-tree node
- Class implementing B-tree properties
  - See code on pages 664-665
    - Implements B-tree basic properties as an ADT

```
template<class elemType, int size>
class listType
{
public:
    .
    .
    .
private:
    int maxSize;
    int length;
    elemType listElem[size];
};
```

```
template <class recType, int bTreeOrder>
struct bTreeNode
{
    int recCount;
    recType list[bTreeOrder - 1];
    bTreeNode *children[bTreeOrder];
};
```

# Search

- Searches binary search tree for a given item
  - If item found in the binary search tree: returns true
  - Otherwise: returns false
- Search must start at root node
- More than one item in a node (usually)
  - Must search array containing the data
- Two functions required
  - Function `search`
  - Function `searchNode`
    - Searches a node sequentially

# Traversing a B-Tree

- B-tree traversal methods
  - Inorder, preorder, postorder

```
template <class recType, int bTreeOrder>
void bTree<recType, bTreeOrder>::inOrder()
{
    recInorder(root);
} // end inOrder

template <class recType, int bTreeOrder>
void bTree<recType, bTreeOrder>::recInorder
    (bTreeNode<recType, bTreeOrder> *current)
{
    if (current != NULL)
    {
        recInorder(current->children[0]);

        for (int i = 0; i < current->recCount; i++)
        {
            cout << current->list[i] << " ";

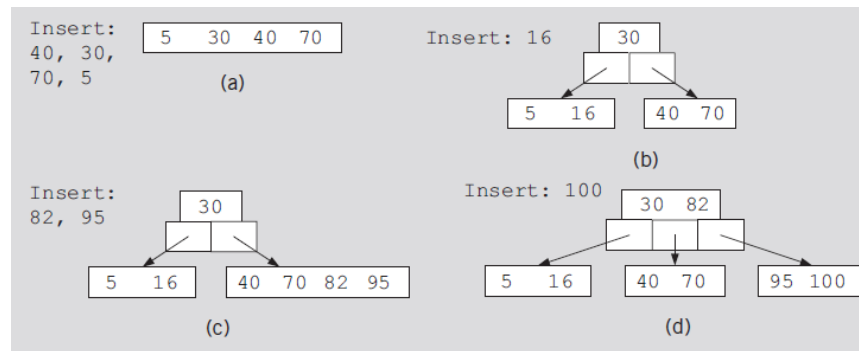
            recInorder(current->children[i + 1]);
        }
    }
} //end recInorder
```

# Insertion into a B-Tree

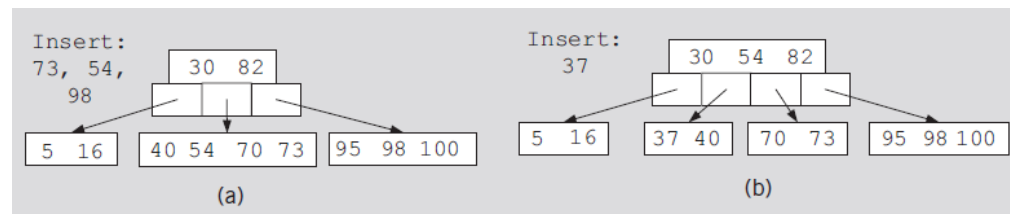
- Algorithm: search tree to see if key already exists
  - If key already in the tree: output an error message
  - If key not in the tree: search terminates at a leaf
  - Record inserted into the leaf: if room exists
    - If leaf full: split node into two nodes
    - Median key moved to parent node (median determined by considering all keys in the node and new key)
  - Splitting can propagate upward (even to the root)
    - Causing tree to increase in height

# Insertion into a B-Tree (cont'd.)

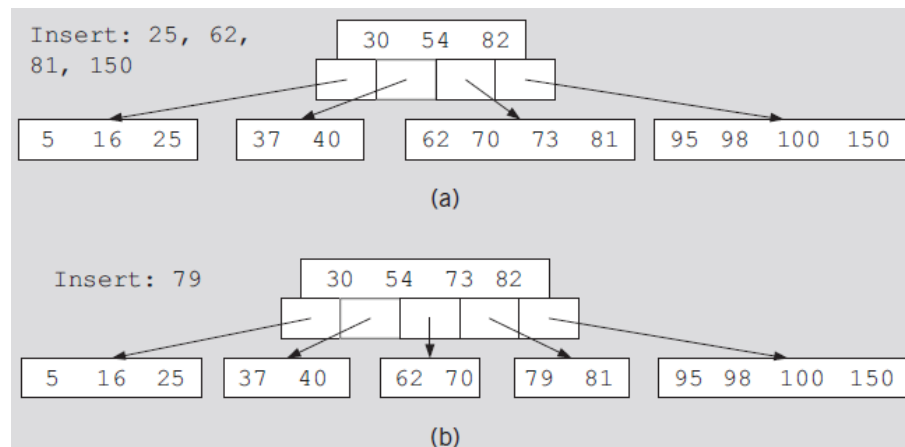
- Function `insertBTree`
  - Recursively inserts an item into a B-tree
- Function `insert` uses function `insertBTree`
- Function `insertNode`
  - Inserts item in the node
- Function `splitNode`
  - Splits node into two nodes
  - Inserts new item in the relevant node
  - Returns median key and pointer to second half of the node



**FIGURE 11-26** Item insertion into a B-tree of order 5

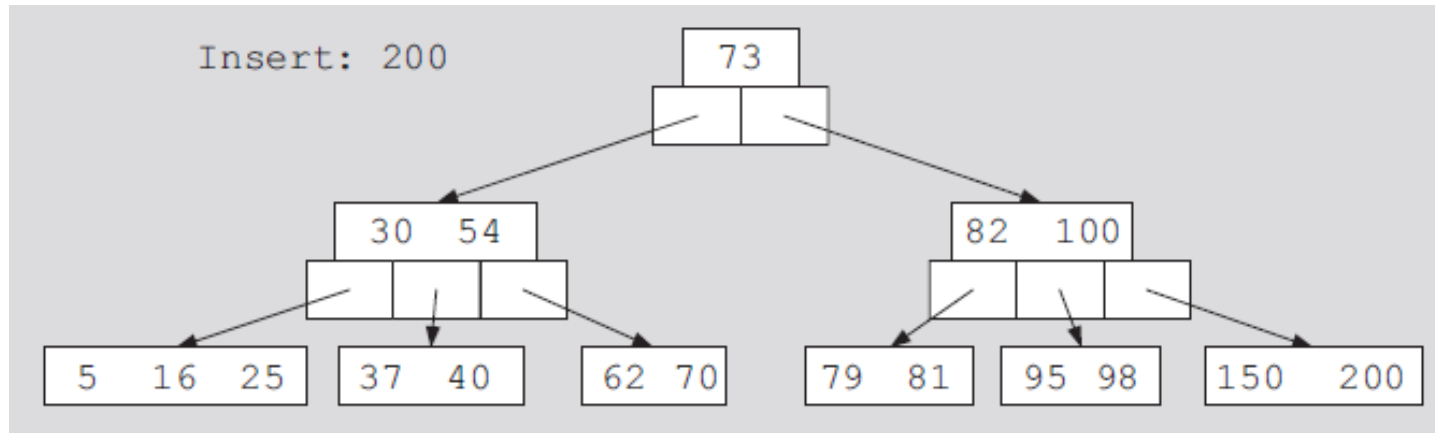


**FIGURE 11-27** Insertion of 73, 54, 98, and 37



**FIGURE 11-28** Insertion of 25, 62, 81, 150, and 79

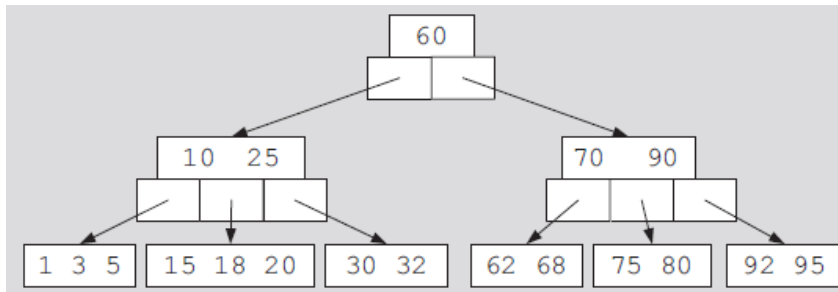




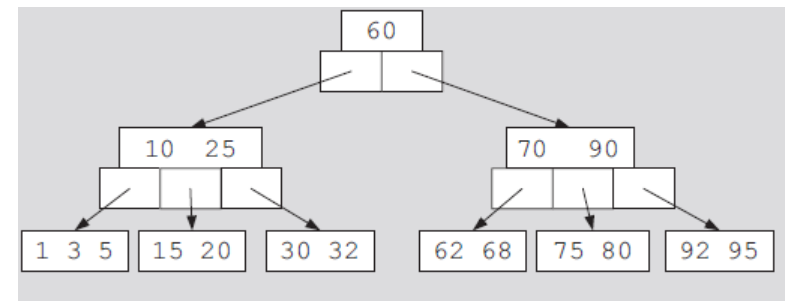
**FIGURE 11-29** Insertion of 200

# Deletion from a B-Tree

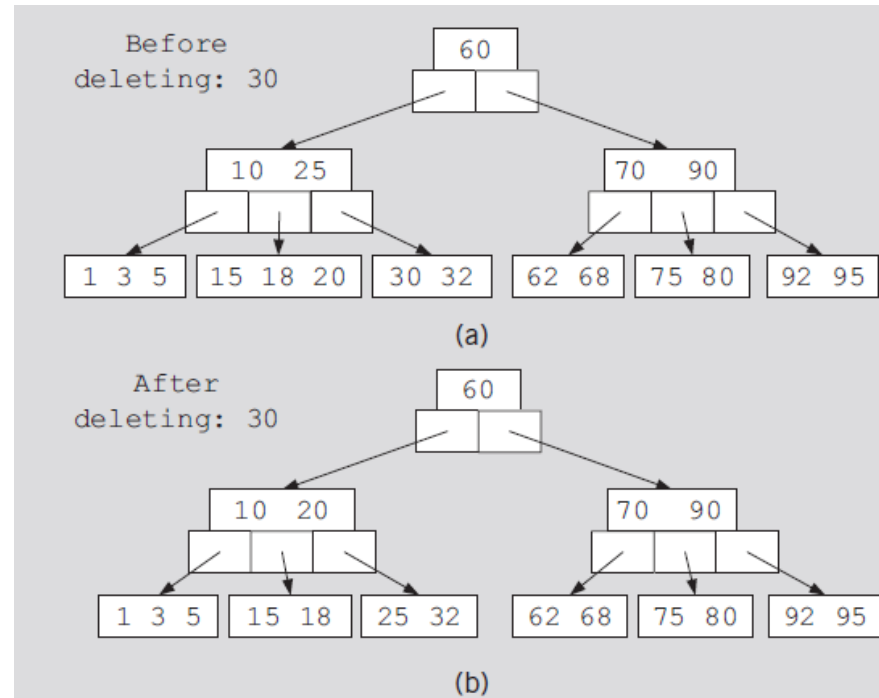
- Case to consider
  1. If `deleteItem` is not in the tree, output an appropriate error message.
  2. If `deleteItem` is in the tree, find the node containing the `deleteItem`. If the node containing the `deleteItem` is not a leaf, its immediate predecessor (or successor) is in a leaf. So we can swap the immediate predecessor (or successor) with the `deleteItem` to move the `deleteItem` into a leaf. We consider the cases to delete an item from a leaf.
    - a. If the leaf contains more than the minimum number of keys, delete the `deleteItem` from the leaf. (In this case, no further action is required.)
    - b. If the leaf contains only the minimum number of keys, look at the sibling nodes that are adjacent to the leaf. (Note that the sibling nodes and the leaf have the same parent node.)
      - i. If one of the sibling nodes has more than the minimum number of keys, move one of the keys from that sibling node to the parent and one key from the parent to the leaf, and then delete `deleteItem`.
      - ii. If the adjacent siblings have only the minimum number of keys, then combine one of the siblings with the leaf and the median key from the parent. If this action does not leave the minimum number of keys in the parent node, this process of combining the nodes propagates upward, possibly as far as the root node, which could result in reducing the height of the B-tree.



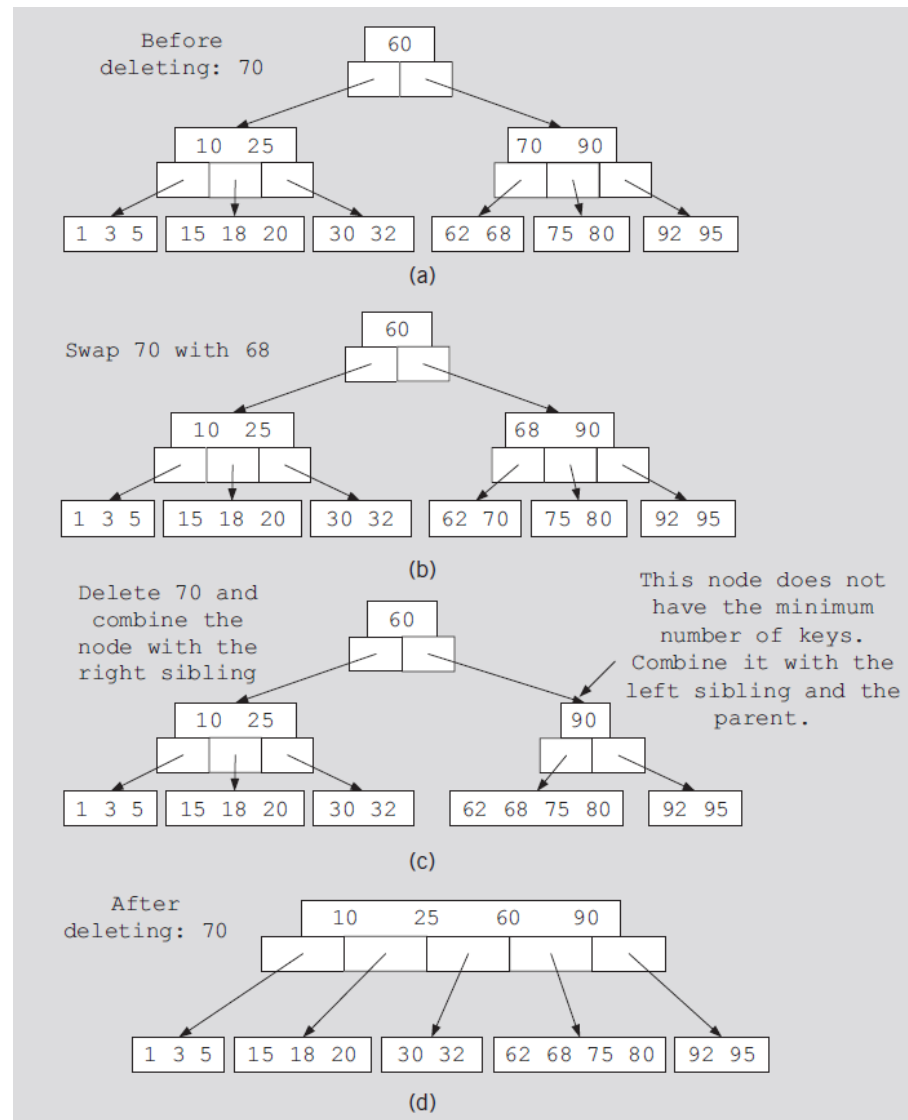
**FIGURE 11-30** A B-tree of order 5



**FIGURE 11-31** Deleting 18 from a B-tree of order 5



**FIGURE 11-32** B-tree before and after deleting 30



**FIGURE 11-33** Deletion of 70 from the B-tree

# Summary

- This chapter discussed
  - Binary trees
  - Binary search trees
  - Recursive traversal algorithms
  - Nonrecursive traversal algorithms
  - AVL trees
  - B-trees