

User Manual DVH Analytics 0.4.3 (draft)

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August 4, 2018

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0.1 Introduction

DVH Analytics is a software application to help radiation oncology departments build an in-house database of treatment planning data for the purpose of historical comparisons and statistical analysis.

The application builds a SQL database of DVHs and various planning parameters from DICOM files (i.e., Plan, Structure, Dose). Since the data is extracted directly from DICOM files, we intend to accommodate an array of treatment planning system vendors.

In addition to viewing DVH data, this software provides methods to download queried data, view plan contours, create time-series plots of various planning and dosimetric variables, calculate correlations, and generate multi-variable linear regressions.

The code is built upon these core libraries:

- pydicom: https://github.com/pydicom/pydicom Read, modify and write DICOM files with python code
- dicompyler-core: https://github.com/dicompyler/dicompyler-core
 Extensible radiation therapy research platform and viewer for DICOM and DICOM RT
- Bokeh: https://bokeh.pydata.org/en/latest/ Interactive Web Plotting for Python

0.2 Installation

There are multiple ways to install DVH Analytics, depending on the user's level of comfort with python and SQL. Users familiar with python will find the most flexibility with a source installation, while users new to python or unfamiliar with setting up a postgres SQL database will likely have more success installing via Docker in Section 0.2.2.

0.2.1 Source Code

DVH Analytics currently requires python 2.7 (python 3 has not been tested). Python is available for free here: https://www.python.org/. Alternatively, python installations via Anaconda are also supported: https://anaconda.org/. So not to turn this manual into a crash course for python, user's unfamiliar with installing python packages with pip are encouraged to use the Docker install. DVH Analytics source good can be installed with the following command:

\$ pip install dvh-analytics

Depending on your operating system and user settings, you may need to prepend the above command with sudo to act as the root user:

\$ sudo pip install dvh-analytics

Alternatively, users may use Git or checkout with SVN using https://github.com/cutright/DVH-Analytics.git or download the source code as a zip at https://github.com/cutright/DVH-Analytics/archive/master.zip

Note to Linux users

You may need FreeType (https://www.freetype.org/). For example: \$ sudo apt-get install libfreetype6-dev

Note to Mac users

Make sure Xcode command line tools are installed prior to DVH Analytics installation. If the full Xcode package is installed (from the Mac App Store), enter the following into a terminal window:

\$ xcode-select -install

Note to Windows users

The python package Shapely frequently has issues installing on Windows. If your pip install dvh-analytics failed due to the Shapely package, consider installing Shapely from https://www.lfd.uci.edu/~gohlke/pythonlibs/#shapely.

0.2.2 Docker

Using Docker greatly simplifies the installation process of DVH Analytics. If you're famliar with Docker and prefer to work directly from the image, as opposed to using docker-compose below, it is hosted here: https://hub.docker.com/r/cutright/dvh-analytics/

- Download our docker-compose.yml file to a location of your choosing. https://raw.githubusercontent.com/cutright/DVH-Analytics-Docker/master/docker-compose.yml
- 2. Create a folder in your home directory 'DVH-Analytics' or replace any reference to '~/DVH-Analytics/' in docker-compose.yml with any other folder you like. Be sure to keep directories to right of the colon the same.
- 3. From a terminal or command prompt, navigate to the directory with your docker-compose.yml, type 'docker-compose up'.
- 4. If you'd like to shutdown these services, simply press CTRL+C with the terminal or command prompt window activated.

0.2.3 Postgres SQL

DVH Analytics depends on psycopg2 (http://initd.org/psycopg/) to connect python to the SQL database, therefore a postgres SQL database is required. If you already have login credentials for a postgres SQL database, you likely only need to update these settings as described in Section 0.6. If you are running DVH Analytics with Docker as opposed to running from source code, you do not need to install postgres SQL.

If you need postgres SQL, here are some options for Mac OS, Ubuntu, and Windows. Installs of postgres SQL on other operating systems are also supported; any installation of postgres SQL should be sufficient with appropriate log in credentials.

Mac OS

The simplest choice is to download the postgres SQL app: http://postgresapp.com/

- 1. Open the postgres SQL app.
- 2. Click Start.
- 3. Double-click "postgres" with the cylindrical database icon.
- 4. Type the following in the SQL terminal:
 - create database dvh;
 - \q

Ubuntu

You probably already have PostgreSQL installed, but if you don't, type the following in a terminal:

- \$ sudo apt-get install postgresql postgresql-client postgresql-contrib \$ sudo apt-get libpq-dev
- From here, it's best to create a new role with same name as your user name for your OS, then create a database; DVH Analytics assumes a database name of 'dvh' by default, but if you choose to use another name, just update your login credentials with the Settings view as described in Section 0.6.

Alternatively, you can install a GUI for admin controls and follow the instructions below in Role and Database Initialization for pgAdmin3 $\,$

\$ sudo apt-get install pgadmin3

Windows

Download the installer for BigSQL: https://www.bigsql.org/postgresql/installers.jsp/. Be sure to include pgAdmin3 LTS.

Role and Database Initialization for pgAdmin3

- 1. Launch pgAdmin3 LTS.
- 2. Right-click localhost and then click connect.
- 3. Right-click Login Roles and then click New Login Role.
- 4. Fill in Role name (e.g., dvh), click OK.
- 5. Right-click Databases then click New Database.
- 6. Fill in Name (e.g., dvh), set owner to the Role name you just created.
- 7. Click OK.

0.2.4 Web Browser Requirements

The preferred web browser is Google Chrome. Mozilla Firefox should also work. Microsoft Internet Explorer is currently incompatible with Bokeh, and Apple Safari intermittently loses connection to Bokeh. These requirements are entirely dependent on Bokeh and possibly Tornado (a Bokeh dependency).

0.3 Initialization

There a few things that need to be done before using DVH Analytics. Depending on how it was installed, you may need to update the SQL connections settings. DVH analytics also needs to know where to find incoming DICOM files and where to move them to after import. And finally, there is some post-processing required regarding ROI names and PTV distance and overlap calculations.

0.3.1 Establishing a SQL Connection

If you're using Docker, the Settings web server is already running. Otherwise, launch the Settings web server with one of the following commands:

- From pip install: \$ dvh settings
- From source code:\$ bokeh serve dvh/settings.py --port=5008

Launch the Settings view by navigating to http://localhost:5008. It's important to note that the values shown in this view are from the perspective of the web servers, so if you're running DVH Analytics with Docker, the directories and ports are Docker side. It is not recommended to edit the directories here if you're using Docker, instead edit the directories in the docker-compse.yml file as described in Section 0.2.2. The purpose of these directories are as follows:

- inbox Place your DICOM files to be processed in this folder.
- **imported** After DICOM files have been processed, they will be moved to this folder and organized by MRN (or Patient ID).
- review DICOM files to be used for the DVH review feature, as described in Section 0.4.2, should live here.

0.3.2 Importing Data

If you're using Docker, the Admin web server is already running. Otherwise, launch the Admin web server using one of the following commands, as appropriate:

- From pip install: \$ dvh admin
- From source code: \$ bokeh serve dvh/admin.py --port=5007

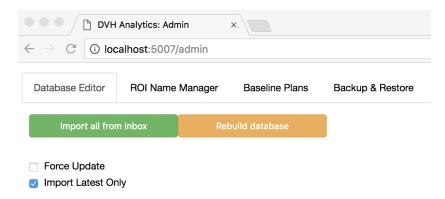


Figure 1: A screen shot of the admin view used for importing data.

Clicking "Import all from inbox" will Process all DICOM files in the inbox directory specified in Section 0.3. Some important things to note:

• Complete File Set: Plan, Dose, Structure A complete set of RT Dose, RT Structure, and RT Plan connected by the same study instance UID is required by default. If Force Update is checked, importing without the RT Plan will be allowed.

• Multiple File Sets

By default, only the latest DICOM file set will be parsed, based on the operating system's date modified timestamp on the file. If the user would like to import multiple DICOM file sets with the same study instance UID, uncheck 'Import Latest Only'.

• Other DICOM Files

All DICOM files connected with the same Study Instance UID will be catalogued, even if the file is not used.

• Study Instance UID

If the Study Instance UID of a DICOM file set in the inbox already exists in the database, this file set will not be imported unless "Force Update" is checked. This functionality is not recommended as one of the underlying assumptions about the database is that there is one Study Instance UID per course of treatment and the dose grid is the complete composite dose for the course.

• CTV, GTV, PTV

Target volumes are best identified by labeling the ROI in the TPS prior to DICOM export. ROIs are typically labeled as ORGAN by default in many planning systems. DVH Analytics recognizes CTV, GTV, and PTV labels. If multiple PTVs are included in one file set, DVH Analytics will automatically label them as PTV1, PTV2, etc. in ascending order of $D_{95\%}$.

ITV

An ROI will be labeled as an ITV if the ROI name begins with "ITV". If your TPS supports the ITV label, it is not necessary to begin your ITV ROI name with "ITV".

WARNING: Clicking Rebuild database will wipe all data from the SQL data base and reprocess all DICOM files in the "imported" directory. If your database has not been backed-up, this can not be undone.

NOTE TO PINNACLE USERS:

If your treatment planning system is Pinnacle 9.10 and earlier (and perhaps later), prescription information is not stored in the exported DICOM files. However, DVH Analytics does provide a Pinnacle script which will create dummy POIs containing the prescription information. It is recommended that you run this script in Pinnacle for each patient prior to DICOM export. This script can be found here: https://github.com/cutright/DVH-Analytics/tree/master/Pinnacle%20Scripts

Both p3rtp files are needed, however, only the file DVH-Analytics_Create-POIs.Script.p3rtp is executed from user in Pinnacle; this script will automatically call the DVH-Analytics_Create-Rx-POI.Script.p3rtp script.

Alternatively, you can manually create POIs with names in the following format:

- "tx: <site>" will allow DVH-Analytics to add this site name to the database upon import.
- "rx#: <rx name>: <rx dose in cGy> cGy x <fxs> to <normalization %>%: <normalization method>: <normalization object>" where # is rx number starting from 1, this will allow DVH-Analytics to retrieve rx information.

0.3.3 Post-Processing of Data

After some data has been imported, there is some additional mapping and processing needed. A physician ROI map needs to be generated and applied. The PTVs also need to be labeled as such for correct PTV distance and overlap calculations.

ROI Name Mapping

The ROI name mapping process requires the 'ReferringPhysicianName' or 'PhysiciansOfRecord' DICOM tag to match the physician tag shown in the ROI Map tab of the Admin view. Note that treatment planning systems may use different

labels in their user interface (e.g., Attending Physician or Radiation Oncologist). You might need to do some investigating for your particular TPS. If the Physician is not set in the DICOM file, this can be easily edited in the Admin view (localhost:5007). Performing a query with the Plans table, selecting import_time_stamp and physician (with CTRL or COMMAND clicks to select both), and leaving the condition text field empty will yield results similar to those in Figure 2.

#	mrn	study_instance_uid	import_time_stamp	physician
23	ANON29097	2.16.840.1.114362.1.6.7.4.17517.76937571	2018-03-21 10:21:32.813799	ввм
24	ANON78683	2.16.840.1.114362.1.6.7.4.17517.76937571	2018-03-21 10:20:52.245284	ВВМ
25	ANON32099	2.16.840.1.114362.1.6.7.4.17517.76937571	2018-03-21 10:30:58.223652	ввм
26	ANON26273	2.16.840.1.114362.1.6.7.4.17517.76937571	2018-03-21 10:34:04.991691	ввм
27	ANON99785	2.16.840.1.114362.1.6.6.12.17310.7693757	2018-03-23 22:17:04.389631	ввм
28	ANON93258	2.16.840.1.114362.1.6.7.4.17517.76937571	2018-04-05 09:40:58.564811	
29	ANON51316	2.16.840.1.114362.1.6.7.4.17517.76937571	2018-03-21 10:58:20.586703	ввм
30	ANON14574	2.16.840.1.114362.1.6.7.4.17517.76937571	2018-03-21 10:56:47.268465	ввм
31	ANON19900	2.16.840.1.114362.1.6.7.4.17517.76937571	2018-03-21 10:58:51.375300	ВВМ
32	ANON46299	2.16.840.1.114362.1.6.7.4.17517.76937571	2018-03-21 10:52:07.626243	ввм
33	ANON43737	2.16.840.1.114362.1.6.7.4.17517.76937571	2018-03-21 10:54:32.388058	ВВМ
34	ANON26958	2.16.840.1.114362.1.6.7.4.17517.76937571	2018-03-21 10:59:23.933387	ввм
35	ANON21164	2.16.840.1.114362.1.6.7.4.17517.76937571	2018-03-21 10:45:20.640406	ввм
36	ANON96236	2.16.840.1.114362.1.6.7.4.17517.76937571	2018-03-21 10:55:56.183389	ввм
37	ANON36796	2.16.840.1.114362.1.6.7.4.17517.76937571	2018-03-21 10:53:21.396311	ввм

Figure 2: Example case with a missing physician label.

In this example, we'd like to make sure the plan missing a physician is set to 'BBM'. This can be done in the Update Database section of the Admin view as shown in Figure 3. Note that you can double click a field in the table in Figure 2 to copy it's value to the clip board. If this particular patient had multiple plans, each with a different physician, you could define the condition based on study_instance_uid instead. Alternatively, the condition could be:

import_time_stamp > '2018-04-01' causing all plans imported since April 1, 2018 to be updated. Additional details about this functionality can be found in Section 0.5.1.



Figure 3: Example case to update physician.

Now that we have ensured all of our plans have a physician assigned, navigate to the ROI Name Mapping tab, as shown in Figure 4. From here, select the correct physician and click "Remap all ROIs for Physician" or simply "Remap

all ROIs in DB". Additional details about the features in this tab are explained in Section 0.5.2. Alternatively, you can add a new physician. Note that the physician name will be automatically capitalized; the intent is to use initials here.

Database Editor	ROI Name Manager	E	Baseline Plans Back	up & Restore		
All Institutional ROIs	3					
brachial plexus		\$				
hysician						
ВВМ		‡				
Physician ROIs			Variations			Linked Institutional ROI
ae fold		\$	ae fold	;	:	uncategorized \$
Jncategorized Varia	ations		Ignored Variations			
1		‡		,		
Ignore	Delete DVH		Unignore	Delete DVH		
	Reload Map		Мар	Saved		
Update Un	categorized ROIs in DB		Remap all RC	Ols for Physician		Remap all ROIs in DB
	in orange cannot be easil	/ I I I		•		,

Figure 4: ROI Name Manager tab with in the Admin view.

PTV Distance and Overlap Calculations

Assuming that all imported plans have been assigned at least one PTV, the PTV distance and overlap calculations can be performed by clicking "Calc PTV Distances", and upon completion, "Calc PTV Overlap" (the order doesn't matter). The total time to calculate both the distances and overlaps is approximately as long as the initial import time.

If these values are not calculated for a given plan, then this plan will not be included in the data for the Correlations or Regressions tab since the statistical tests used require each category to have the same number of data points.

0.4 Main View: http://localhost:5006

This is the main view for a user after data has been successfully imported and organized. Data cannot be edited or added from this view. There are two persistent text fields labeled Group 1 (Blue) Custom Title and Group 2 (Red) Custom Title. The sole purpose is to let the user notate their own label for Group 1 and 2 that will be displayed on all tabs. Automating this is difficult due to the potential complexity of the query.

0.4.1 Query

You can query by categorical or numerical data, using the categories in Table 1. Note that querying by mrn or study instance uid (UID) is typically not useful for the statistical modules. Also, the Total Plan MU is total MU to be delivered to the patient per the plan (i.e., planned MU times number of fractions, summed across all prescriptions), all other categories are fairly self-explanatory.

Table 1: Searchable Categories

Categorical	Numerical
Baseline	Age (at study date)
Beam Type	Beam Dose
Collimator Rotation	
	Beam Energy
Couch Rotation	Beam MU
Dose Grid Resolution	Birthdate
Gantry Rotation	Collimator Angle
Heterogeneity Correction	Couch Angle
MRN	Distance to PTV
Norm. Method	Fraction Dose
Patient Orientation	Gantry Angle
Patient Sex	Planned Fractions
Physician	ROI Min/Mean/Max Dose
ROI Institutional Category	ROI Volume
ROI Physician Category	Rx Dose
ROI Type	Rx Isodose
Radiation Type	Scan Spots (Protons)
Scan Mode (Protons)	Simulation Date
Treatment Machine Name	SSD (Linac)
Treatment Modality	Total Plan MU
Treatment Site	Treatment time (Brachy)
UID	,

The dropdown list for Category 2 will populate based on data in your database. Likewise, the titles of the Min and Max fields in the numerical data

query definition will update based on your database, not necessarily correlating to the min and max values of the currently defined query.

The download dropdown button in the top-right applies to the retrieved data once the Query button has returned back to its original green color. From here you can download all data, all data sans DVHs, only DVHs, or anonymized DVHs; all as csv files.

0.4.2 DVHs

DVH plots and selected metrics are shown in this tab. The plot is interactive; you can hover over DVHs for information, you can zoom and pan, and toggle the median, mean, and IQR DVHs. The 'review' DVH is parsed and calculated on the fly from the DICOM files, which are selected by the mrn (PatientID DICOM tag). By default, only the statistical DVHs are displayed (sans min and max). To display retrieved DVHs, simply select them in the table below the plot; you may shift or CTRL click to highlight additional. The statistical DVHs are built dose bin-wise. The IQR spread represents the middle 50% of the retrieved data, as calculated with numpy.

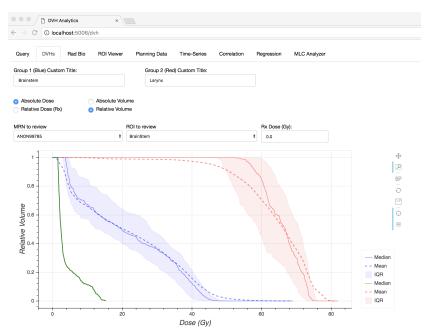


Figure 5: An example query of brainstem and larynx DVHs with a 'review' DVH of a brainstem plotted from the review directory (not from the database).

Below the DVH table, you can define volumetric and dosimetric endpoints. Note that only the first 10 endpoints are displayed, however, you may define as many as you'd like. All endpoints can be evaluated in other modules and

all endpoints will be included in the csv file retrieved when clicking 'Download Endpoints'.

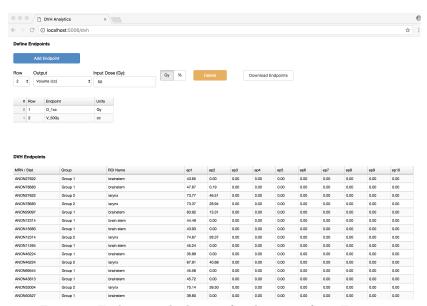


Figure 6: Endpoint calculations for the query from Figure 5.

0.4.3 Rad Bio

Equivalent uniform dose (EUD), tumor control probability (TCP), and normal tissue complication probability (NCTP) can be calculated in this module. For convenience, a sample of parameters are provided based on Emami et. al. for 1.8 to 2.0 Gy fractions (Figure 7); selecting a row from this table simply populates the fields below the table. Calculated values are tabulated in the table shown in Figure 8. Note that you can manually select rows with shift or CTRL clicks so that you can apply the parameters to this row with the 'Selected' radio button is depressed. Although TCP and NTCP represent different phenomena, they are computed with the same equation when replacing TD_{50} with TCD_{50} , or visa versa. The equation used is based on formalism described by Niemierko.

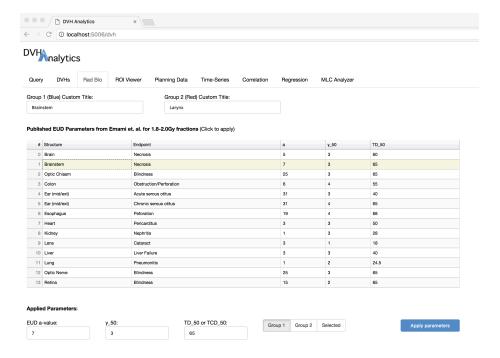


Figure 7: Published EUD parameters provided for convenience.

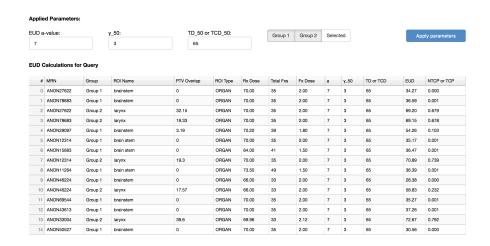


Figure 8: Calculated radbio metrics for the query performed for Figure 5.

0.4.4 ROI Viewer

The purpose of the ROI Viewer module is to provide quick transverse visuals of ROIs stored in the SQL database. There are no values calculated in this module. An external or skin ROI will default to ROI 1 if found. Currently, your web browser will still scroll when checking 'Enable Slice Scrolling with Mouse Wheel'. A custom version of Bokeh will need to be developed fix this.

0.4.5 Planning Data

This section is string tabulated data, which can be particular useful if suspcious data is noted from visual in other modules. Clicking a row in any of these tables will call all rows with the same study instance UID, in all of the tables in this tab, to be highlighted.

0.4.6 Time-Series

Any of the quantitative data retrieved in the SQL query can be plotted vs simulation date. Additionally, any defined DVH endpoints or calculated radbio parameters can be plotted. Above the histogram plot in Figure 10, a few p-values are displayed; these are calculated using the normaltest, ttest_ind, and ranksums functions from scipy.stats.

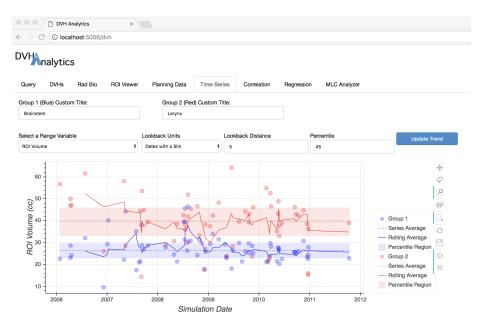


Figure 9: ROI volume vs simulation date for the query performed for Figure 5.

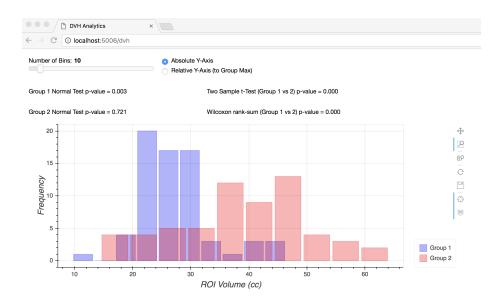


Figure 10: Histograms for the data in Figure 9.

0.4.7 Correlation

This module provides a visual method to explore Pearson R correlations between selected numerical data. It is required that each variable for a given query group have the same number of data points, so be sure you've calculated PTV distances and overlaps from the admin view (see Section 0.3.3). The top-right of this matrix refers to Group 1, the bottom-left referring to Group 2. The radius and opacity of each dot is directly proportional to the magnitude of the Pearson-R value; negative correlations are displayed in green/puprle for blue/red groups (these colors can be changed in the Settings view). Hovering over a dot reveals additional statistical details (p-value for the Pearson test and p-values for normal tests on each variable).

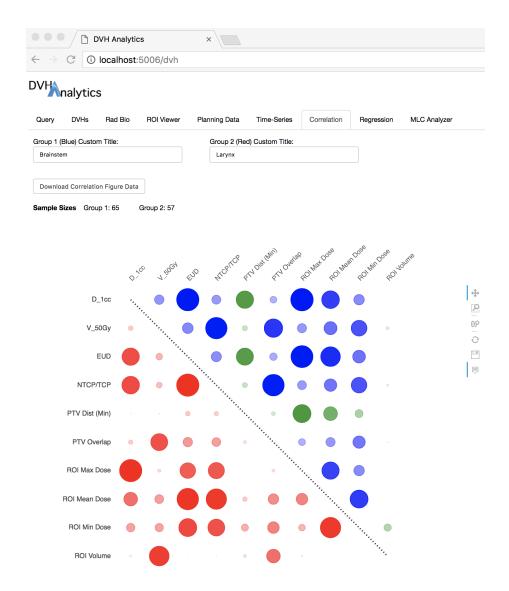


Figure 11: Correlation matrix for the query performed for Figure 5.

0.4.8 Regression

Multi-variable regression models can be performed using the python package statsmodels. First, select a dependent variable, then increment through independent variables. Results from a single variable linear regression are displayed for the currently selected dependent and independent variables. Check off any independent variables you'd like to include in a multi-variable regression model,

then scroll down and click "Perform Multi-Variable Regression", the results of this regression are tabulated just below this button.

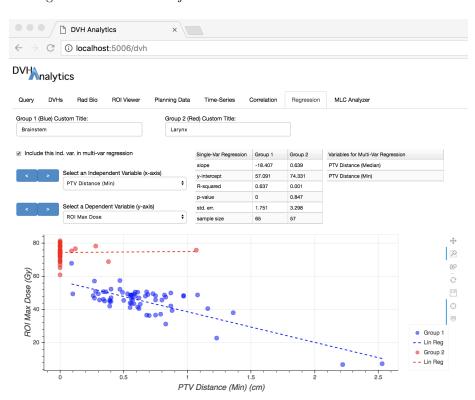


Figure 12: Example plot of ROI Max Dose vs Min PTV Distance for the query performed for Figure 5.

Perform Multi-Var Regression

Group 1

Dependent Variable	R-squared	Prob for F-statistic
ROI Max Dose	0.739	0.000

Independent Variable	coefficient	p-value
Constant	6.691E+01	0.000
PTV Distance (Median)	-8.612E+00	0.000
PTV Distance (Min)	-4.299E+00	0.189

Group 2

#	Dependent Variable	R-squared	Prob for F-statistic
0	ROI Max Dose	0.007	0.820
	TIOT WILL DOSC	0.007	0.020

#	Independent Variable	coefficient	p-value
0	Constant	7.356E+01	0.000
1	PTV Distance (Median)	8.617E-01	0.550
2	PTV Distance (Min)	-3.941E-01	0.916

Figure 13: Example multi-variable regression of max ROI dose with median and minimum PTV distances for the query performed for Figure 5.

0.4.9 MLC Analyzer

The MLC and jaw positions are displayed here. You may select any mrn included in the query and subsequently the sim study date, study instance UID, and plan file (if there is more than one for a given mrn). Selecting a row in table displayed in Figure 14 will update the aperture visualization. A complexity score is calculated for each control point and the total beam complexity score is displayed above the table. Future versions of DVH Analytics will incorporate these scores into the Correlation and Regression modules. The complexity score is based on Younge et al. Penalization of aperture complexity in inversely planned volumetric modulated arc therapy. Med Phys. 2012;39(11):7160-70.

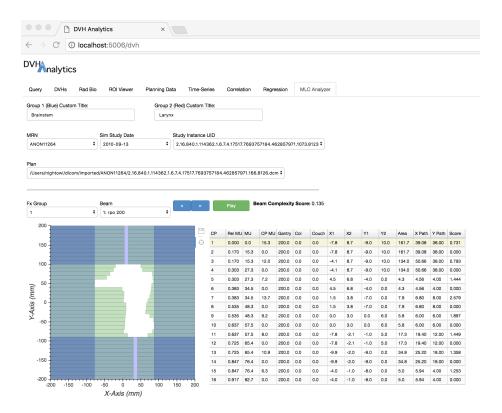


Figure 14: Example view of MLC and Jaw positions of a plan.

0.5 Admin View: http://localhost:5007

The admin view is used for managing the database. Raw queries may be performed here, but there are no graphics or statistics modules.

0.5.1 Database Editor

The primary function of the Database editor is to import new data into the SQL database. Additionally, there methods provided to query, update/edit, reimport, and delete.

Quick Tip

You can double-click any of the cells in the table at the bottom of the page, after a query, then copy that value to your OS clip board (great for copying a mrn or study instance uid). Although this table is editable, manual changes to this table will not be reflected in the SQL database.

Query Database

This module allows you to directly query the SQL database, the results are populated in the table at the bottom of the page. The SQL database is spit into 5 tables: DVHs, Plans, Rxs, Beams, and DICOM_Files. These tables are connected by the Patient_ID DICOM tag (referred to as mrn here) and the study_instance_uid DICOM tag. The condition needs to be formatted per postgres SQL syntax. If the condition is blank, all data will be retrieved.

Update Database

There are some instances where the database needs to be edited directly. Common examples are:

- 1. Ensuring proper PTV tags (roi_type)
- 2. Correcting physician name/intials (physician)
- 3. Adding simulation date to anonymized data (sim_study_date)

Like querying described above, the condition should be formatted per postgres SQL syntax. DVH Analytics will automatically add single quotes around the value if calling float(value) throws a ValueError in python. Additionally, dates should be entered at YYYY-MM-DD::date; DVH Analytics will appropriately modify this for postgres syntax.

Reimport from DICOM

If data has been accidentally or incorrectly edited, you can reimport directly from DICOM here. Entering a mrn will update the subsequent dropdown menus.

Delete all data with mrn or study_instance_uid

This module will delete all data for a specified mrn or study instance uid across all tables.

Change mrn or study_instance_uid in all tables

This module was implemented to accommodate studies that may want multiple plans for a single patient (e.g., photon vs proton comparision). In this case, one could manually edit the study_instance_uid to 'study_name_photon_patient_N'. So to avoid having multiple plans for one study_instance_uid at any point, it would be recommended to import all photon plans, then edit all study_instance_uid fields; then import all proton plans and edit.

Post Import Calculations

There are two calculations that need to be performed after proper roi_type assignment for PTVs: PTV distance and overlap volumes. For both PTV calculations below, DVH Analytics will generate a combined PTV contour based on all PTVs in a plan. Currently, uncategorized, external, and skin ROIs will not be included in the PTV distance calculations since very large contours could crash DVH Analytics due to memory issues. Finally, recalculating ages was added for ease in the case of editing sim_study_date.

PTV Distance

The cdist function in scipy.spatial.distance is used to calculate all point-to-point distances between the combined PTV and the OAR. The minimum, median, mean, and maximum values are recorded into the SQL database.

PTV Overlap

The python package, Shapely, is used to calculate the area of the intersection of the combined PTV and OAR, considering only slices involving the PTV. These areas are then each multiplied by the distance between adjacent slices, while assuming the most superior slice thickness is equal the minimum slice thickness for this PTV-OAR pair. This effectively, and albeit crudely, interpolates the delineated PTV, however, it's recommended that all intended slices are contoured or interpolated by your treatment planning system.

0.5.2 ROI Name Manager

To address the issue of varying contour/ROI names, a mapping system has been implemented in DVH Analytics with two ROI categories: Institutional and Physician. This provides the flexibility for physicians to have their own list of ROIs, while still allowing for institutional-wide queries. When adding a new physician to the map, the default list of institutional ROIs are automatically populated as a starting point, but may be edited or deleted. Physicians ROIs

that do not correspond to any particular ROI in the Institutional ROI list may be labeled as uncategorized.

The example in Figure 15 illustrates a large variation in possible labels in cervicothoracic esophagus. As plans are imported, any ROI that is not recognized will be assigned the ROI type of uncategorized. From ROI Name Manager, you may select an uncategorized ROI in the database for a given physician, and then choose to delete the ROI, label it as ignored (to keep it out of the uncategorized list so that it's not in your uncategorized list in a later review), or add this variation to the ROI map so that future instances will be caught. Note that you must remap the the ROIs for the physician or database in order to apply the change of the ROI map; editing the ROI map does not apply any changes in the database until then.

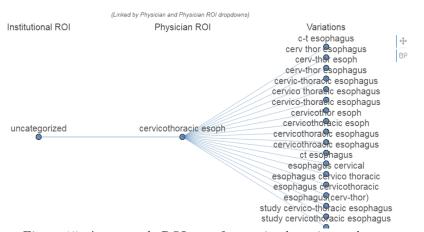


Figure 15: An example ROI map for cervicothoracic esophagus.

0.5.3 Baseline Plans

The intent of this module is to allow the user to label plans as baseline or 'good' plans. Ideally, all plans will be pushed to this database, but perhaps only a subset of them may deemed helpful for statistical analysis. It is left entirely to the user to decide how to use this label. An alternative use could be to consider baseline line status as an approval after the imported data has been validated.

0.5.4 Backup & Restore

The database backup feature in DVH Analytics is dependent on the pg_dump command from the psql tools. This may not be installed if you're using the posgres app for Mac and have not installed the command line tools. Separately, user preferences, such as import settings, SQL connection settings, and ROI maps can be backed up.

0.6 Settings View: http://localhost:5008

The purpose of this interface is to:

- Define directories for storing and accessing DICOM files
- Define login credentials for the SQL database
- Customize a variety of visual options

This view does not explicitly require a valid SQL connection and may be the only available view until valid credentials are saved within this view. This page allows the user to test the SQL connection with an 'echo' as well as delete or create tables in the database, however, launching the admin view with valid SQL credentials will automatically create the necessary tables if they don't already exist.

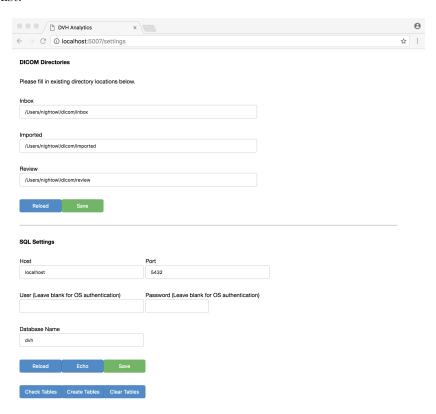


Figure 16: Example directory and SQL connection settings.

A variety of visual options are available:

• AUTH_USER_REQ

Turning on this feature will require web-users to login. By default, any login credentials will gain access. You'll need to edit the file auth.py in the source directory. This file is already populated with code that may get you started with LDAP, however, it is entirely up to the user to design a secure log in method.

• DISABLE_BACKUP_TAB

Set this to true if you'd like to hide the Backup Tab in the Admin view.

• OPTIONAL_TABS

If you find there are tabs you do not use, disabling them will speed up the launch speed of the Main view.

• LITE_VIEW

Enabling this option will strip all graphics and statistical modules from the Main view. Users with VERY large datasets may find this useful if all they are interested in is querying and downloading data to a csv file. Endpoints may still be calculted as well, but you'll need to download the csv file to view them.

• COLORS

Group, MLC, and jaw colors can be set here.

• SIZE

Maximum linac field size, font sizes, and circle sizes in Time-Series and Regression tabs can be edited here.

• LINE_WIDTH

Adjust the size of line widths of any plotted lines.

• LINE_DASH

Change line styles on any of the plots.

• OPACITY / ALPHA

Adjust the opacity of various semi-transparent features in any of the plots (except Correlation).

ENDPOINT_COUNT

Editing the number of columns in a Bokeh table requires refreshing the entire layout, which can be fairly slow. To avoid this, the number of columns displayed for the DVH endpoints is static but editable. Note that this is simply capping the number of DVH endpoints displayed in the table, if more endpoints are calculated, they will still be available in Time-Series, Correlation, Regression, and downloaded data.

• RESAMPLED_DVH_BIN_COUNT

Displaying DVHs with dose relative to prescription dose requires resampling the stored DVH data (which is binned by 1cGy intervals). Plotting DVHs with relative dose will set the number of bins between 0 to prescription dose with the bin count defined here.

DVH Analytics	× \	
\leftarrow \rightarrow \bigcirc \bigcirc localhost:5008/s	ettings	
Options		
AUTH_USER_REQ	True False	
DISABLE_BACKUP_TAB	True False	
OPTIONAL_TABS	 ✓ ROI Viewer ✓ Planning Data ✓ Time-Series ✓ Correlation ✓ Regression ✓ MLC Analyzer 	
LITE_VIEW	True False	
COLORS	GROUP_1_COLOR \$	blue \$
SIZE	MAX_FIELD_SIZE_X	400
LINE_WIDTH	DVH_LINE_WIDTH \$	2
LINE_DASH	DVH_LINE_DASH •	solid
OPACITY / ALPHA	HISTOGRAM_1_ALPHA \$	0.3
ENDPOINT_COUNT	10	
RESAMPLED_DVH_BIN_COUNT	5000	
Restore Default Options	Must restart Settings server to take effect after click	

Figure 17: Default visual options.

0.7 Docker

The docker image is hosted on docker.com here: https://hub.docker.com/r/cutright/dvh-analytics/

The code for this docker image, along with the docker-compose file, is hosted on GitHub here:

http://docker.dvhanalytics.com

Docker essentially creates some isolated space on your computer to run a premade image; somewhat like a virtual machine. In order for your local computer to interact with this container, you need to let Docker know how this information may flow. Since DVH Analytics depends on multiple services, we can use docker-compose to spin-up multiple images as well as map ports and directories; this is accomplished in the docker-compose.yml file.

If you'd like to customize your environment, simply edit the left side of the colon under any of the port or volume sections in docker-compose.yml. For example, if you are already running a different postgres service on port 5432 and you'd like to switch to port 5433, and separately you'd also like to map your postgres database to another location on your local drive, you can change the docker-compose.yml as inidcated with the bolded text below. This process of editing items to left of the colon extends to the remaining services in docker-compose.yml.

```
db:
    image: postgres
    restart: always
    ports:
        - 5433:5432
    volumes:
        - /some-new-directory/:/var/lib/postgresql/data
```

0.8 Security

Obviously, data intended for an application like this may be sensitive and require HIPPA compliance. The end user is entirely liable for setting up an appropriately secure environment. Bokeh provides some help with regards to a reverse proxy, so that HTTPS may be implemented.

https://bokeh.pydata.org/en/latest/docs/user_guide/server.html

Your SQL DB password is stored as plain text in preferences/sql_connection.cnf. This is not ideal, but if OS user authentication is implemented, you don't need a password. Alternatively, you could change permissions on this file so only you or root can access it, just be sure to run your bokeh serve with sudo if needed.

0.8.1 Network/Web Access

By default, Bokeh servers restrict access to localhost. However, users may choose to open up external access. If you append '-allow-websocket-origin=¡IP:port¿' to the command launch the bokeh server, any user with access to this IP and port will have access to the bokeh server. Depending on your environment (either from pip install or running from source directory), one of the following will open up access outside of localhost:

- \$ dvh run --allow-websocket-origin=XX.XXX.X.X:PORT
- \$ bokeh serve dvh --allow-websocket-origin=XX.XXX.X.X:PORT

Given the complexity and variation of hospital network setups, we can't really streamline this process for other users. It's recommended that users work with their IT or IS team and start with this data flow diagram.

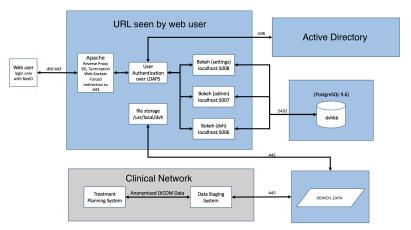


Figure 18: An example network setup of DVH Analytics for network access including LDAP authentication and HTTPS. This implementation was running source code on a CentOS virtual server. Credit: Marc Broxton

0.8.2 HTTPS

If you wish to allow DVH Analytics to be accessible outside of the computer/server running the software, and you have not anonymized your patient data, it's very important to implement HTTPS so that network activity is encrypted between the web user and the DVH Analytics server. DVH Analytics does not provide this service, but Bokeh does give some documentation of potential implementations. We have successfully implemented DVH Analytics behind an Apache server with a reverse proxy as illustrated in Figure 18.

0.8.3 LDAP

DVH Analytics does provide a loose framework for authentication using LDAP. This feature can be turned on in the Settings view. If set to True, user name and password fields will be provided to the user when accessing any of the Bokeh servers in this app. These credentials will be passed to the check_credentials function in auth.py. By default, this function simply returns True. The end user must supply their own code for authentication. An example code using python-ldap is provided in auth.py, but requires some editing for each end user's own implementation. And please remember, you need to setup HTTPS on your own for this authentication to make any sense, otherwise user name and passwords will not be encrypted in between the web-user and the Bokeh server.

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0.10 Acknowledgements

DVH Analytics was largely developed at Northwestern Memorial Hospital by Dan Cutright (coding, design, and UI development) and Mahesh Gopalakrishnan (design). Software and programming guidance was provided by Adit Panchal (Northwestern Medicine Chicago Proton Center). Statistical methods for plan quality assessments were provided by Arkajyoti Roy (Bowling Green State University). This software is under continuing development at Brown University, Northwestern Memorial Hospital, and University of Texas, San Antonio.

We would like to extend our sincere gratitude to:

- David Juliano for general coding and database consultation
- Marc Broxton from the Northwestern University IT team for designing and implementing a reliable and secure network environment for DVH Analytics
- Dr. Bharat B. Mittal for providing a large, consistent database of patients used for development as well as his clinical expertise and perspective

If you find this software useful as part of your research and you'd like to reference us, please refer to our manuscript in the Journal of Applied Clinical Medical Physics.

https://doi.org/10.1002/acm2.12401

D. Cutright, M. Gopalakrishnan, A. Roy, A. Panchal, B.B. Mittal. "DVH Analytics: A DVH database for clinicians and researchers." Journal of Applied Clinical Medical Physics (2018).